

Pride, Prejudice and Manchurian Heritage: North Korean Migrants and Memories of a Land Left Behind

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APPENDIX I: RESEARCH DESIGN¹

History

This survey and interview project emerged from prolonged collaboration on a digital project called *Sino-NK* with Dr. Adam Cathcart, a lecturer at the University of Leeds in the UK, and Dr. Steven Denney, then a PhD candidate in Political Science at the University of Toronto and now a postdoctoral fellow in the Munk School of the same institution. Sino-NK was initiated by Cathcart at the time of Kim Chŏngil's death in December 2011, and I began to work closely with Cathcart and Denney in the middle of 2012.

At the beginning of 2013, a research team comprised of the same three people obtained a small research grant from the Academy of Korean Studies (AKS²). This grant funded a project entitled, "Documenting Cultural and Political Information Strategies on the Korean Peninsula." It facilitated field research in Jilin Province, PRC, as well as both North and South Korea, and a pilot interview project involving ten defector-migrant interviewees resettled in Sŏul.³ The pilot interview project resulted in one peer-reviewed research paper and a second working paper, in both of which the methodology used is discussed in greater detail.⁴

¹ I wish to thank Amanda Carr for her insightful comments on an early draft of the first appendix.

² Information about the Academy of Korean Studies is available earlier in this thesis.

³ The team spent three weeks tracing key historical currents central to the project focus in Northeast China. From April 3-24, 2014, the team followed the route taken by Kim Chŏngil when he travelled to China in August 2010. The team visited a number of key sites: the Ji'an-Manp'o border crossing, where Kim's private train crossed into China; Yuwen Middle School in Jilin, where Kim paid homage to his late father who attended the school in the 1920s; Changchun, where Kim both held a summit meeting with then-CCP General-Secretary Hu Jintao and visited Jilin Province Agricultural University; and Harbin, where Kim met relatives of a deceased first-generation revolutionary and visited several sites of economic importance.

⁴ Adam Cathcart, Christopher Green and Steven Denney, "How Authoritarian Regimes Maintain Domain Consensus: North Korea's Information Strategies in the Kim Chŏngŭn Era," Review of Korean Studies 17, no. 2, 145-178; Christopher Green, Steven Denny and Brian Gleason, "The Whisper in the Ear: Re-defector Press Conference as Information Management Tool," KEI Academic Paper Series, March 12, 2015; and an amended version of the same: Christopher Green, Steven Denney and Brian Gleason, "The Whisper in the Ear: Re-defector Press Conference as Information Management Tool," in On Korea: Volume 8 (Korean Economic Institute, 2016).

Armed with the lessons learned whilst implementing this first project, the team obtained a second research grant in 2015 under which I collaborated with Denney on the implementation of a survey and interview project of greater scope and ambition than the pilot project in 2012-13.⁵ Entitled, "Reproducing Contested Korean Identities and Social Structures on the Korean Peninsula," it forms the basis for the primary research in this thesis. Funding for the project was extended and doubled at the end of the first year.⁶

A third grant from the UniKorea Foundation was implemented in calendar year 2018. Run by Denney and Green without the participation of Cathcart, it was used for a still larger and more detailed survey and interview project on resettled defector-migrants in South Korea, the results of which are not included in this dissertation. Two peer-reviewed articles derived from this project have already been accepted for publication, with another on the way.

Funding and Payments

As noted above, the direct research costs of the survey and interview project were borne by small research grants from AKS. In total, these grants were worth approximately \$50,000, of which approximately 25 percent went towards tasks directly related to survey production and distribution, hiring personnel to assist in the interviewing process, tokens of thanks for those who helped facilitate the project on a voluntary basis, and compensation for interview participants at variable rates depending upon levels of participation. The remainder was allocated for travel, technical and other support activities. Additional support came from the European Research Council, funder of the "War of Words" project at the University of Leiden of which

⁵ The project received a third round of funding when it was renewed in 2016.

⁶ The first grant was AKS-2013-R-11. The second and third were under a single grant number, AKS-2015-R-49.

this thesis forms a part, and Leiden University Funds (LUF). Administrative assistance came from the University of Leeds and further indirect support was received from the University of Toronto.

Feasibility Issues

It is often said that survey and interview projects involving North Korean defector-migrants are not merely of questionable merit due to problems surrounding bias but also that such projects are in a practical sense difficult to accomplish. There are claims that the North Korean defectormigrant community is, with a few notable exceptions, hard to engage because it is insular and self-contained. More specific reasons given include concerns over the security of family and friends of respondents remaining inside North Korea, which may preclude some defectormigrants from taking part in activities that might result in their personal details leaking into the public domain. These factors then exacerbate existing self-selection issues with sampling.

However, this is only partially correct. This project activated five unconnected networks that allowed for the inclusion in the project of participants from diverse areas of defector-migrant society. One of the smaller of these networks was linked to prior work with the Sŏul-based digital periodical Daily NK from 2008 to 2015. I situate Daily NK and related organizations in the field of North Korean studies in chapter one of this thesis. A second network was activated via a relatively prominent young female defector-migrant, who also helped to facilitate the pilot project in 2012-13 that I refer to in a previous section. The remaining networks were not institutionally connected to North Korean human rights journalism or activism at all. They came

⁷ Hazel Smith, North Korea: Markets and Military Rule, 164. Jiyoung Song, "Unreliable witness: The challenge of separating truth from fiction when it comes to North Korea," Asia & the Pacific Policy Society Policy Forum, August 2, 2015. http://www.policyforum.net/unreliable-witnesses/ (last accessed August 31, 2016).

from two churches and two community organizations. One of these was a local governmentorganized sports, the other a Hana Center linked to the YMCA and based outside Sŏul.8

Questionnaire Development

The survey and interview project has two components: a structured survey and a semi-structured interview. The structured survey questions were a partial reproduction of the International Social Survey Programme's (ISSP) questionnaire on "national identity". 9 ISSP is an ongoing annual programme of "cross-national collaboration on surveys covering topics important for social science research." The questions were additionally informed by the structure of the British Social Attitudes Survey (BSAS), conducted by NatCen, an independent social research body. 11 Some additions were made to the ISSP/BSAS structure in order to tailor the North Korea questions to local issues too specific for inclusion in any cross-national collaborative survey. These are discussed in the footnotes to chapter three. The intended goal of the survey was to measure national and ethnic identification and highlight feelings of pride (or not) in aspects of North and South Korea among a sample of defector-migrant resettled in South Korea.

Neither the ISSP nor, of course, the BSAS survey have ever been conducted in North Korea, where social survey research is impossible for non-Koreans, and not to my knowledge attempted by the North Korean government itself. The exception to this general rule is censuses conducted by the United Nations Statistics Division with direct DPRK government support. The UN

⁸ Hana Centers are the network of local bodies that assist North Koreans resettling in South Korea after they pass through a twelve-week course at one of two main resettlement centers in South Korea, both of which are called Hanawon. One of these main resettlement centers is in a rural area outside the city of Ansong in Gyeonggi Province, and the other is in Hwacheon County, Gangwon Province.

⁹ The module on national identity is explained in more detail here: http://www.gesis.org/issp/modules/isspmodules-by-topic/national-identity/

¹⁰ For more information on ISSP, please consult the organization's general information page: http://www.issp.org/.

¹¹ NatCen is a British non-profit organization that operates independent of all UK government departments and political parties. NatCen's flagship research project, the British Social Attitudes Survey (BSAS) is in its 33rd year, having been conducted every year since 1983. See: http://natcen.ac.uk/.

conducted a population-only census on December 31, 1993 and a full census between October 1-15, 2008. The next census was planned for 2018, but no results have been published at time of writing.¹²

The survey questionnaire used in this project was sub-divided into three sections: first, demographic data; second, South Korea; and third, North Korea. Only the demographic and North Korea data are used in this thesis. The content of the questionnaires is reproduced in another appendix, although readers should note that questions were periodically reversed to reduce the impact of structural bias regarding the content of the questionnaire, whereas only one version of the questionnaire is reproduced here. The questionnaire was designed to be completed within fifteen to twenty minutes by anyone paying it a reasonable degree of attention. It was implemented either digitally or in the field variously by myself or a trained third party, with the latter acting independently when circumstances demanded it.¹³

The semi-structured interview questionnaire was designed to encourage dynamic interviews lasting approximately one hour. Occasionally interviews ran longer than this, but this was not due to poor questionnaire design; rather, it was due to the presence of respondents with an unexpectedly large amount to say. The average length of an interview was 60-90mins. The interview questions were written after analysis of the survey questionnaire results; in other words, the survey questionnaire results were used to inform the interview questions.

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¹² United Nations Statistics Division, "2020 World Population and Housing Census Programme." http://unstats.un.org/unsd/demographic/sources/census/censusdates.htm (last accessed on February 15, 2017).
¹³ An assistant on the project managed the distribution of surveys in collaboration with a Hana Center in Gyŏnggido. This took place after my collaborator Steven and I had left Korea, although we planned that part of the research prior to our departure. It would in any case have been impossible to do the research directly due to privacy concerns and sensitivities surrounding defector-migrant arriving in South Korea within a year of the research date.

The semi-structured interviews were implemented by three research assistants, one a trained social worker who oversaw the activities of the other two. After twenty interviews had been conducted, a review meeting with the research assistants was convened to ascertain areas of difficulty and smooth out kinks in the interview process. Only minor changes were made at this point. On a number of occasions, I attended interviews in order to observe the process, but we kept this to a minimum in order to avoid creating discomfort for the participants and introducing extra biases into the results.

Sample Characteristics

The survey does not use a random cross-sectional sample of the defector-migrant population resettled in the Republic of Korea. This is for two reasons.

First, while there is an official database of all resettled North Koreans in South Korea, it is managed by the state (Ministry of Unification) and used for bureaucratic functions. It is closed to the public, and not available for academic use. Only through this government database could a truly random sample have been obtained. A stratified sample, in which the reference population is divided into strata and then a probability sample taken from each stratum, could not be taken for the same reason of inaccessibility.

Second, as noted previously, some parts of the defector-migrant community in South Korea face an ongoing security threat. A proportion have relatives in North Korea who may be in danger of mistreatment by the state because of a relative's decision to leave the DPRK. Those for whom family safety is a legitimate concern may remain anonymous in South Korean society to mitigate this threat. One consequence of this is that it is not possible to access all parts of the community equally. People who already have denser network connections are likely to be less security-

conscious. This can result in overrepresentation of certain types of person in the sample for reasons that the data collection method cannot even capture, much less eliminate.¹⁴

Taking these difficulties into account, and having judged it to be the best option available in trying conditions, a non-probability sample was taken that met project criteria of accessibility, geographical proximity, and willingness to participate. The sample was drawn in the first instance from personal networks and then expanded through snowball techniques using network nodes in the community. The major networks were: (1) The congregation of church in southern Sŏul (52 people); (2) The congregation of a much smaller church in western Sŏul unconnected to the first church (46); (3) Attendees at a community sports event in western Sŏul (84); (4) A Hana Center linked to the YMCA in Gyeonggi Province that helps resettle new arrivals in South Korea (108); and (5) Young defector-migrants whose participation was obtained through snowballing from other participants across cohorts 1-4 (62).

While unavoidable under present circumstances, this non-probability sampling methodology creates several problems. First and foremost, as noted, the survey sample is not random. Instead, non-probability sampling methods were used. And second, the survey sample is small. Just over 350 people were surveyed. Even if a random cross-sectional sample had been taken, a sample of this size would still have a sampling error of 3-5 percent at the 95 percent confidence level.

To counter sampling problems as far as possible, the sample was constantly reevaluated throughout the survey period (which spanned approximately four months). The channels by which the sample was obtained were tweaked several times (adding additional respondents from one channel and reducing the intake from another, for instance) so that, overall, it would reflect

¹⁴ The finger of blame is often pointed at the state security service, the Ministry of State Security. Family remaining in North Korea may pay the requisite bribe to have their departed relatives registered with the authorities as

the defector-migrant population, albeit only in demographic terms. This approach, while clearly sub-optimal, was somewhat successful. The sample did end up reflecting the reference population closely in several important respects, which I highlight in the chapter comparing the survey sample with the general population. Nevertheless, it cannot be treated as representative, and in this thesis, it is not.

Because the survey respondents were not randomly selected, the results cannot be used to generalize about the referent population (i.e. the defector-migrant population in South Korea). Although I do compare the survey sample with the defector-migrant population in the thesis, readers should be aware of this limitation. Nevertheless, since the project successfully sampled more than one percent of all such migrants currently residing in South Korea, it does provide an excellent basis for future studies, which will hopefully be in a much better position to meet the exacting standards of random cross-sectional survey methodology.

Questions

This thesis compares and contrasts national consciousness and pride in factors of national identity between resettled North Koreans in various age cohorts, and who lived in border provinces of the DPRK prior to their escape and resettlement in South Korea, and those who either lived in the interior or in the capital, P'yŏngyang.

Respondents were asked three batteries of questions, in addition to providing demographic data on their current and former socio-economic status.¹⁵ The first battery discussed the notion of "true Koreanness" and what being Korean means.¹⁶ It asked the following (in Korean):

"Some people say that the following things are important for being truly Korean. Others say they are not important. How important do you think each of the following is:"

- 9. To have been born in DPRK (pukhanesŏ t'aeŏnanŭn kŏt)
- 10. To have DPRK citizenship (pukhan kukchögŭl kannŭn köt)
- 11. To have lived in DPRK for most of one's life (saengaeŭi taebubunŭl pukhanesŏ sanŭn kŏt)
- 12. To be able to speak Korean (chosŏnŏrŭl hal su innŭn kŏt)
- 13. To respect DPRK institutions and laws (pukhanŭi chŏngch'ijedowa pŏbŭl chonjunghanŭn kŏt)
- 14. To have Korean bloodline (pukhanin hyölt'ongŭl kajigo innŭn köt)
- 15. To understand DPRK history and follow DPRK traditions (pukhanŭi yŏksarŭl ihaehago pukhanŭi chŏnt'onggwa kwansŭbŭl ttarŭnŭn kŏt)
- 16. To follow Confucian teachings (yugyoŭi karŭch'imŭl ttarŭnŭn kŏt)

Respondents answered by choosing one of the following responses: very important (*maeu chungyo*); somewhat important (*taso chungyo*); not very important (*pyŏllo chungyohaji anhŭm*); not important at all (*chŏnhyŏ chungyohaji anhŭm*); or cannot choose (*sŏnt'aekhal su ŏpta*).

http://zacat.gesis.org/webview/index.jsp?object=http://zacat.gesis.org/obj/fStudy/ZA5950

¹⁵ The basis of the questions is the national identity component of the International Social Survey Programme. The survey can be downloaded in several languages from the following URL:

¹⁶ The first battery of questions mirror those used in Q56 of the International Social Survey Programme: National Identity III - ISSP 2013 (unique identifier: ZA5950), apart from number seven ("To understand DPRK history and follow DPRK traditions"). It was felt that the ISSP question ("To feel Korean") was insufficiently precise. In number six ("To have Korean bloodline"), bloodline (*hyŏlt'ong*) replaced ancestry (*chosang*).

The second battery of questions pertained to feelings of closeness to geographical constructs at a range of scales.¹⁷ It asked the following (in Korean):

"How close do you feel to....?"

- 8. Your family
- 9. Your town or city
- 10. Your province
- 11. Democratic People's Republic of Korea
- 12. Republic of Korea
- 13. People's Republic of China
- 14. Asia

Respondents answered by choosing one of the following responses: very close (maeu kakkapke); somewhat close (taso kakkapke); not very close (pyŏllo kakkapchi anhŭm); not close at all (chŏnhyŏ kakkapchi anhŭm); or cannot choose (sŏnt'aekhal su ŏpta).

The third battery of questions asked respondents to assess their subjective feeling of pride in twelve different factors of what we may call "Koreanness." It asked the following (in Korean):

"How proud are you of DPRK in each of the following?"

¹⁷ The second battery of questions mirror those used in Q55 of the International Social Survey Programme: National Identity III - ISSP 2013 (unique identifier: ZA5950). However, it augments the original with a number of additional options. The ISSP original asked only how close respondents feel to: 1) Your town or city; 2) Your country; 3) Korea; and 4) East Asia.

¹⁸ The third battery of questions mirror those used in Q58 of the International Social Survey Programme: National Identity III - ISSP 2013 (unique identifier: ZA5950). However, it augments the original with several options that pertain directly to the DPRK: number eight ("The Mt. Baekdu bloodline's achievements in political leadership"), number ten ("Anti-Japanese revolutionary history"), and number twelve ("The achievements of the chuch'e ideology").

- 13. The way socialism works (sahoejuŭiga chaktonghanŭn pangsik)
- 14. It's political influence in the world (segyeesŏŭi chŏngch'ijŏk yŏnghyangnyŏk)
- 15. It's economic achievements (kyŏngjejŏk sŏngch'wi)
- 16. It's social security system (sahoe pojang chedo)
- 17. It's scientific and technological achievements (kwahakkisurŭi sŏngch'wı)
- 18. It's achievements in sports (sŭp'och'йеsŏйi sŏngch'wi)
- 19. It's achievements in arts and literature (yesulgwa munhagesŏŭi sŏngch'wi)
- 20. The Mt. Baekdu bloodline's achievements in political leadership (paektuhyŏlt'ongŭi chŏngch'ijŏk ridŏsibŭi sŏngch'wi)
- 21. Armed forces (kunsaryŏk)
- 22. Anti-Japanese revolutionary history (hangil hyŏngmyŏngnyŏksa)
- 23. It's fair and equal treatment of all groups in society (sahoeŭi modŭn chiptandŭre taehan kongjonghago p'yongdŭng han taeu)
- 24. The achievements of the chuch'e ideology (chuch'esasangŭi sŏngch'wi)

Respondents answered by choosing one of the following responses: very proud (maeu charangsŭrŏpta); somewhat proud (taso charangsŭrŏpta); not very proud (pyŏllo charangsŭrŏpchi anhŭm); not proud at all (chŏnhyŏ charangsŭrŏpchi anhŭm); or cannot choose (sŏnt'aekhal su ŏpta).

Data Security

Given the concerns that rightly accompany research involving resettled former North Korean citizens, data security was a primary concern throughout the project. To ensure complete security for all those participating, the research team took several important steps, such that the survey design passed ethical review at both the University of Toronto, Canada and Leeds University,

UK, and in addition was deemed acceptable by the Academy of Korean Studies in Sŏul, South Korea. Information on data security and ethical review was also submitted to the European Research Council in August-September 2018.

All the structured survey data was collected on the Bristol Online Surveys (BOS¹¹) platform in compliance with a requirement of Leeds University Ethical Review Board that surveys use the BOS system because BOS servers are securely located in the UK. At the time, BOS was run by the University of Bristol. All semi-structured interview recordings and transcripts were held in files encrypted using Boxcryptor²⁰, a German encryption program that allows for files to be encrypted within cloud-based servers. This allowed for use of Google Drive, which would otherwise have been unacceptable. All files were backed up weekly with a LaCie flash drive. At both the structured survey and semi-structured interview stages, respondents were asked explicitly whether they would allow their data to be stored, "securely in the United Kingdom" in the case of the structured survey.

At the end of the structured survey component, all hard-copy surveys were sent by registered mail to a location in St. Louis, MI, USA. They will be stored there until the completion of the project. Thereafter, they will be securely destroyed in accordance with survey best practice.

It is noteworthy that not a single participant complained of anything relating to the security of the project. Nor would we expect such complaints to arise given the precautions taken in accordance with research best practice.

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¹⁹ Now known simply as Online Surveys. See https://www.onlinesurveys.ac.uk/. I obtained access to the platform via Leeds University. It is ordinarily only open to researchers based at UK universities and other institutions of higher education.

²⁰ The website for this product is https://www.boxcryptor.com/en.

Field Work

Surveying began in May 2016, but I spent the period from my arrival in Sŏul on December 27, 2015 and May 2016 activating networks to acquire participants and designing the survey. By August 20, 2016 when I returned to Leiden, 75 percent of all the structured surveys and semi-structured interviews had been implemented. A further one hundred participants took part thereafter. This final group was drawn from a regional Hana Center linked to the YMCA. A research assistant helped facilitate this process in our absence, and inputted the data into the BOS system. The same individual also conducted the final round of interviews; however, this sample size in this case was very small (N=5).

Confidentiality

Each survey is identified by a unique identifier number. Although at various times participants were required to provide either an email address or their name, at no time were they required to provide both on the same document. As a result, the only way to link email addresses with names is via the unique identifier number. This means that in addition to practical security measures, the data is held in a triple-lock verification structure that would have made the data difficult to use even if it were leaked, which to the best of my knowledge it was not.

Impacts of the Researcher

Researchers are impacted by all the same biases as their respondents. But in addition, there are structural biases that the researcher may introduce into his or her survey and interview work that are worthy of elaboration.

Question Effects²¹

The first problem concerns questions. The answer one gets to a question is causally connected to the question itself. It matters enormously how questions are written and how/by whom they are asked, as these factors determines whether questions end up producing what psychologists call "focusing illusions" in the respondent.²²

Take by way of example Gallup Korea's weekly summary of daily opinion polls (South Korean) for the period following North Korea's nuclear test on January 6, 2016. At 61 percent, the public's threat perception because of the test was markedly lower than at the time of North Korea's third test in 2013, when it had been measured at 76 percent. The sense of danger felt by the youngest cohort was relatively higher, at 62 percent, than for those in their 30s (53 percent), but the overall trend for all cohorts was downward. This seems to confirm something that is anecdotally reported quite often: South Korean people are increasingly indifferent to North Korea.

We can hypothesize as to why this should be. Among the more compelling hypotheses is the idea that the Sunshine Policy of 1998-2008 had an unexpected outcome: it "de-bordered" North Korea. It offered a rare glimpse inside the Workers' Paradise, and people were not attracted by what they saw. Given that North Korea is thought by young people not to pose a substantive threat to them ("poverty and famine" are not threatening identifiers), and the lustre has gone from the image of autarkic, radical political independence that some thought North Korea exemplified in earlier years, it is now ignored. The pressing questions of young people in any

²¹ This section of chapter two previously appeared as Christopher Green, "Focusing Illusions: ROK Opinion Polling and the North Korea Lens," Sino-NK, January 19, 2016. http://sinonk.com/2016/01/19/focusing-illusionsrok-opinion-polling-and-the-north-korea-lens/ (last accessed January 8, 2017).

²² Daniel Kahneman, et al. "Would You Be Happier If You Were Richer? A Focusing Illusion," Science 312 (5782) (June 2006): 1908-1910.

dynamic modern economy -- jobs, housing, childhood education and the like – are dominant, and North Korea no longer looks like the answer to any of them.

This means that any poll that asks direct, unmediated questions about North Korea's actions will be unreliable. Daniel Kahneman explains why: "When people consider the impact of any single factor on their well-being... they are prone to exaggerate its importance." In other words, if you think about a specific issue at a specific time, it takes on outsize importance in all your judgements where it ordinarily would not. A typical example of this phenomenon concerns wealth: Poll someone about whether they think a wealthy person is happier than someone with less money, and wealth comes to be the decisive factor in determining happiness — at least in the answers the individual gives at that moment. Factors that are not generally entertained during daily life, such as the link between wealth and happiness, end up at the forefront of the mind because of the wording of the question.

This has an impact on survey research results, skewing them towards responses that are not in fact as important as they seem. The first question asked about the North Korean nuclear test in the cited Gallup Korea poll was as follows: "Recently, North Korea conducted its fourth nuclear test. Do you see this North Korean nuclear test as threatening to Korean peninsula peace, or not threatening?" When a question is asked in such a way, most respondents do of course agree that a nuclear test is at least mildly endangering (although they may disagree as to why). After all, respondents are being asked to assess the threat posed by a nuclear test conducted in a nearby country with a track record of lethal actions against South Korea, its people and assets, not to mention a questionable grasp of nuclear safety. Only 6 percent of the 1005 people polled in January 2016 said that they did not have an answer to the question at all.

However, because a focusing illusion was created by the question, problems follow. The overall threat perception of South Korean people where North Korea is concerned is almost certainly lower than any poll conducted in this way claims, especially during the lengthy periods of inactivity between North Korean acts of violence, when the "North Korea question" is not actually in the least bit salient to most people.²³

Interviewer Effects

A second problem is posed by insisting on specific qualifications for interviewers of defectormigrants. This is done for the simple reason that qualifications act as a proxy for competence as an interviewer, but doing so tends on the one hand to promote homogeneity, and does not take into account intangible issues surrounding the defector-migrant population.

The investigators running the previously mentioned Soviet Interview Project knew at the outset that finding qualified interviewers would be extremely taxing. Fluency in Russian was a prerequisite. Interviewers would also need to either be conversant in a standardized method of administering a long, complex questionnaire or be prepared to receive training to become so-conversant. Moreover, they would have to be willing at the time the project was underway to travel widely to conduct interviews wherever the interviewees wished.

Many of these issues are salient where survey and interview research involving resettled North Koreans is concerned. Knowledge of the Korean language is a prerequisite. It is also important that surveys be administered by other Koreans wherever possible.

²³ As an aside, this means that politicians have little to gain from engaging "the North Korea problem" in election campaigns.

There are competing schools of thought concerning the desirability of using South Korean or resettled North Korean interviewers for this purpose. On the one hand, resettled North Koreans are the most capable of capturing nuances in the language used by interviewees. They also have an instinctive understanding of the society under discussion, having lived in it for many years. On the other hand, whereas interviewers with limited experience of North Korean society would approach the subject with fewer biases of their own – due as much to ambivalence about the topic as awareness of the need for professional detatchment – people who had lived in North Korea and experienced the system there would perhaps be less likely to avoid imposing their own biases about that social structure on the interview.²⁴

Moreover, having spent prolonged periods of time living in North Korea, where society is atomized, "loose lips sink ships," and history is littered with rights abuses that may be excruciating for the sufferer to recall, a resettled North Korean interviewer might avoid probing sensitive or painful topics.

Questions also surround the impact of the researcher should he or she be physically present in the interview. Not only do language issues always introduce uncertainty into interviews, biasing findings, but also the presence of a foreign – in the case of this project, male, Caucasian, European – researcher fostered changes in the atmosphere of the interviews that leads to different responses than would otherwise have been the case. During the project, I attended a handful of group interviews where my impact would be lessened, to observe the method and read the body language of the participants. For the most part, however, I tried to keep out of the way for these reasons.

Social Desirability Bias

²⁴ Millar (ed.), Politics, work, and daily life in the USSR, 15.

Negative perceptions of the concept of a "Mt. Paektu bloodline" and the achievements of the chuch'e ideology are not a result of all respondents being resettled North Koreans in South Korea with a pre-existing negative sentiment about the state in which they used to live and ultimately made the decision to leave. Instead, interview respondents explained in detail that for all their ubiquity, the "Mt. Paektu bloodline" and "chuch'e ideology" mean little to ordinary people. This is in contrast with the day-to-day tangible links between rhetoric and reality that constitute daily life, and which have a substantive impact on the self-understanding of ordinary people and social construction and reproduction of the North Korean nation.

However, it is certainly possible that the results are partially a reflection of attempts on the part of individual respondents at "identity management," wherein the respondent may, in the course of describing or accounting for the social world, "attempt to avoid being imputed with the stigma of 'prejudice." Another term for this is "social desirability bias". This phenomenon may be prevalent in situations where, as in this case, a shared "banal national backdrop" is not shared by researcher and respondent and so the "resources for identity transmission" are limited or even absent. 26 As noted by Ni Maolalaidh and Stevenson, the study of so-called "strategic banality" under conditions of decontextualized national identity shows that groups and individuals understand and display their identity in a relational way that depends on the context in which that display occurs.²⁷

This is an argument in favor of North Korean interviewers conducting surveys and interviews with resettled North Koreans. It is an argument with which I strongly agree and which I address

²⁵ Susan Condor, "Pride and Prejudice: identity management in English people's talk about 'this country,' Discourse and Society 11(2) (2000): 175-205.

²⁶ Méabh Ni Maolalaidh and Clifford Stevenson, "National identity in a foreign context: Irish women accounting for their children's national identity in England," Discourse and Society 25, no. 2 (2014): 245-262.

²⁷ Maolalaidh and Stevenson, "National identity in a foreign context," 247.

in more detail elsewhere in this thesis. Alas, it is also a gold standard that this project did not meet. But I would also contend that it would not have been desirable given the circumstances. Simply being North Korean is not enough; competence in interviewing is also necessary. Anderson notes with reference to the Soviet Interview Project that "when questions are complex and perhaps not properly administered by the interviewer or correctly understood by the respondent, response quality is [...] lower."28 That is why, in light of the lack of properly trained resettled North Korean interviewers at the time of this project, I opted to utilize the skills of a trained South Korean social worker with the proper training and experience interviewing sensitive and vulnerable persons. As time passes and more and more resettled North Koreans in South Korea and elsewhere pass through tertiary education, this situation will change, and the design of interview projects will doubtless change with it. And those changes will be very welcome.

²⁸ Anderson and Silber, "The Validity of Survey Responses," 550

APPENDIX II: SAMPLE SURVEY



민족정체성에 관한 설문조사

조사 소개

이 설문조사는 두 개의 짧은 구성으로 이루어져 있습니다.

설문에서 유사한 일련의 질문들을 여러 차례 질문 받게 됩니다.

북한에 대한 또는 대한민국에 대한 대답을 해 주십시오.

매 부분 시작할 때 어느 신분으로서 답을 해야 할지 분명히 제시될 것입니다.

귀하는 불편하게 하는 질문들에 대답할 필요가 없습니다. 불편하실 경우 해당 항목을 비워 두셔도 됩니다.

15 분~20 분 정도 소요됩니다.

1. 성별: ○남 ○여
2. 몇 년생입니까?
3. 현재 혼인 상태는 어떻게 되어 있습니까? (하나만 선택하십시오.)
○ 미혼 ○ 기혼 ○ 이혼 ○ 사별 ○ 별거
4. 현재는 귀하는 어디에서 살고 계십니까? (구, 시)
5. 현재 근무상태는 어떻게 되어 있습니까? (하나만 선택하십시오.)
 수입이 있는 일을 하고 있다. 일을 안 하고 있다, 취업 준비 중 일을 안 하고 있다, 취업 준비 안 하고 있다. 선택할 수 없다. 기타(구체적으로 명시)
6. 현재는 직업에서 월평균 소득은 대략 얼마입니까? 세금공제 이전의 액수로서, 기본급, 상여금, 수당 등을 모두 포함하여 말씀해 주십시오. 약 원

7. 일을 안 하시면 이유는 무엇입니까? (하나만 선택하십시오.)
○ 학생이다 ○ 장애인이다 ○ 의무적인 군복무를 하고 있다 ○ 기타 ○ 전업주부이다 ○ 노령자이다 ○ 기타 (구체적으로 명시):
8. 귀하는 몇 년에도 북한을 떠나셨습니까? (일/월/년도)
9. 귀하는 몇 년에도한국에 오셨습니까? (일/월/년도)
10. 귀하는 중국에 얼마 동안 있었습니까?
10a. 혹시 다른 나라에 있었다면 그 나라와 기간, 그리고 그 이유에 대해 써 주십시오.
 11. 귀하는 어디에서 출생하였습니까? (군, 시)

11a. 당신은 유년시설부터 정년기까지 내부분을 태어난 모나 직알지에서 보내셨습니까? (예: 함경북도, 평양직할시)
○ 예 ○아니오
12. 북한을 떠나기 전에 어디에서 살고 계십니까? (군, 시)
13. 북한을 떠나기 전에 혼인상태는 어떻게 되어 있었습니까? (하나만 선택하십시오.)
○ 미혼 ○ 기혼 ○ 이혼 ○ 사별 ○ 별거
14. 북한을 떠나기 3 년 전에 생활수준은 어떻게 되어 있었습니까? (하나만 선택하십시오.)
 ○ 아파트, 자동차 등 값이 비싼 걸 아무 문제 없이 구매할 수 있었다. ○ 냉장고 등 소비 비품을 아무 문제 없이 구매할 수 있었지만 아주 비싼 것을 구매하기에 어려움이 있었다. ○ 쌀과 야채들은 구입할 수 있었지만, 생활에 필요한 소비 비품을 구매하는 데는 어려움이 있었다. ○ 먹고 살 정도는 돼지만 옷값이 없었다. ○ 하루하루 먹고 사는 게 힘들어서 굶어 죽을 수도 있을 정도였다.

15. 북한에 있을 때 근무상태는 어떻게 되어 있었습니까?

(하나만 선택하십시오.)

 수입이 있는 일을 하고 있다. 일을 안 하고 있다, 취업 준비 중 일을 안 하고 있다, 취업 준비 안 하고 있다. 선택할 수 없다. 기타(구체적으로 명시)
16. 북한에 있을 때 직업은 무엇 이었습니까? 써 주십시오.
17. 북한에서 귀하는 학교를 어디까지 다니셨습니까? (하나만 선택하십시오.)
 무학 초등학교 중학교 고등학교 전문대학(2 년제) 대학교(4 년제) 대학원 서당, 한학
19. 귀하는 남한에 와서 학교를 다니셨습니까? 어디까지 다니셨습니까? (하나만 선택하십시오.)
 ○ 다닌적 없다. ○ 초등학교 ○ 중학교 ○ 고등학교 ○ 전문대학(2 년제) ○ 대학교(4 년제)

- 대학원
- 성당, 한학

파트 A: 정체성 (한국)

파트 A 에서, 다음의 질문들을 <u>대한민국에서</u> 살고 계시는 경험을 토대로 답해 주십시오.

26. 진정한 <u>한국인</u>이 되기 위해서는 다음 사항들이 중요하다고 말하는 사람도 있고, 중요하지 않다고 말하는 사람도 있습니다. 귀하는 이 사항들이 어느 정도 중요하다고 생각하는지 당신의 생각을 각각의 질문에 표시해 주시기 바랍니다.

	매우 중요	다소 중요	별로 중요하지 않음	전혀 중요하지 않음	선택할 수 없음
한국에서 태어나는					
한국 국적을 갖는 것					
생애의 대부분을 한국에서 사는 것					
한국어를 할 수 있는 것					
한국의 정치 제도와 법을 존중하는 것					
한국인 혈통을 가지고 있는 것					
한국의 역사를 이해하고, 한국의 전통과 관습을 따르는 것					
유교의 가르침을 따르는 것					

27. 귀하는 귀하가 살고 있는 다음 지역들에 대해 얼마나 가깝게 느끼는지 말씀해 주십시오.

(각 줄마다 하나만 선택하십시오.)

	매우 가깝게	다소 가깝게	별로 가깝지 않게	전혀 가깝지 않게	선택할 수 없음
가족					
귀하가 사는 마을/ 도시					
귀하의 주 (성/도)					
중국 (중화 인민공화국)					
북한 (조선민주주의 인민공화국)					
한국 (대한민국)					
아시아					

28. 귀하는 다음에 어느 정도 찬성 또는 반대하십니까?

	매우 찬성	다소 찬성	찬성도 반대도 아님	매우 반대	선택할 수 없음
한국의 전통과 풍습을 같이 하지 않은 사람들이 완전하게 한국인이 되는 것은 불가능하다.					
소수 인종집단의 전통과 풍습을 보존해주기 위해 정부가 이들을 지원해 주어야 한다.					

29. 국가를 위해 여러 인종이나 민족 집단이 그들 고유의 전통과 풍습을 유지하는 것이 좋다고 말하는 사람도 있고, 이들이 전체 사회에 적응하고 섞이는 것이 좋다고 말하는 사람도 있습니다. 귀하의 생각은 어느 쪽에 더 가깝습니까? (하나만 선택하십시오.)

○ 소수 집단의 고유한 전통과 풍습을 유지하는 것이 사회에 더 좋다	-
○ 소수 집단이 전체 사회에 적응하고 섞이는 것이 사회에 더 좋다.	
○ 선택할 수 없다.	

30. 한국에 살려고 온 외국인에 대하여 여러가지 의견들이 있습니다. 귀하는 다음의 의견들에 대해 어느 정도 찬성 또는 반대하십니까?

	매우 찬성	다소 찬성	찬성도 반대도 아님	다소 반대	매우 반대	선택할 수 없음
외국인 이민자들이 범죄 율을 높인다.						
이민자들은 일반적으로 한국 경제에 도움을 준다.						
이민자들은 한국인의 직업을 빼앗아간다.						
이민자들은 새로운 아이디어와 문화를 가져옴으로써 한국 사회를 좋게 만든다.						
한국 문화는 대체로 이민자들로 인해 약화된다.						
한국 국적이 없어도 합법적으로 한국에 이주한 사람은 한국 사람과 동등한 권리를 가져야 한다.						

한국 정부는 불법 이민자가 들어오지 못하도록 좀 더 강 조치를 취해야 한다.	력한							
합법적으로 한국에 이주한 / 한국 사람과 동등한 공교육을 받아야 한다.								
31. 이민자에 대한 다음 문항 중 어느 것이 귀하의 의견과 가까운지 당신의 생각을 각각의 질문에 표시해 주시기 바랍니다. (하나만 선택하십시오.) 이민자들의 그들의 본래 문화를 유지하고 한국의 문화를 받아들이지 않아야 한다.								
○ 이민자들은 그들의 본래 문화를 유지하고 한국의 문화를 받아들여야 한다. ○ 이민자들은 그들의 본래 문화를 포기하고 한국의 문화를 받아들여야 한다. 32. 귀하는 한국 국민인 것을 얼마나 자랑스럽게 생각하십니까? (하나만 선택하십시오.)								
 매우 자랑스럽다. 약간 자랑스럽다. 별로 자랑스럽지 않다. 전혀 자랑스럽지 않다. 한국인이 아니다. 선택할 수 없다. 								
33. 다음 각각에 대해서 귀하는 한국을 얼마나 자랑스럽게 여기는지 당신의 생각을 각각의 질문에 표시해 주시기 바랍니다. (각 줄마다 하나만 선택하십시오.)								
	매우 자랑스럽다		뱍간 다랑스럽□	별로 다. 자랑스 [*] 않다.	럽지	면혀 다랑스럽지 않다.	선택할 수 없다.	
자본주의가 작동하는 방식								

세계에서의 정치적			
영향력			
경제적 성취			
사회 보장 제도			
과학기술의 성취			
스포츠에서의 성취			
예술과 문학에서의 성취			
군사력			
사회의 모든 집단들에			
대한 공정하고 평등한			
대우			

<u>파트 B: 정체성 (북한)</u>

파트 B에서, 다음의 질문들을 <u>조선민주주의인민공화국에서</u> 살았던 경험을 토대로 답해 주십시오.

20. 진정한 <u>북한인</u>이 되기 위해서는 다음 사항들이 중요하다고 말하는 사람도 있고, 중요하지 않다고 말하는 사람도 있습니다. 귀하는 이 사항들이 어느 정도 중요하다고 생각하는지 당신의 생각을 각각의 질문에 표시해 주시기 바랍니다.

	매우	다소	별로 중요	전혀 중요	선택할 수
	중요	중요	하지 않음	하지 않음	아 없
북한에서 태어나))))
는것					
북한 국적을 갖는)
것					
생애의 대부분을					
북한에서 사는 것					
조선어 (북한어)를					
할 수 있는 것					
북한의 정치 제도					
와 법을 존중하는 것					

		·			
북한인 혈통을 가					
지고 있는 것					
북한의 역사를 이해하고					
북한의 전통					
과 관습을 따르는 것					
유교의 가르침을					
따르는 것					
21. 귀하는 귀하가 살고 있는 다	나음 지역들	에 대해 얼!	마나 가깝게 느	_끼는지 말씀	해
주십시오.					•
	_				

(각 줄마다 하나만 선택하십시오.)

	매우 가깝게	다소 가깝게	별로 가깝지 않게	전혀 가깝지 않게	선택할 수 없음
가정					
귀하가 사는 마을/도시					
귀하의 주 (성/도)					
조선민주주의 인민공화국					
대한민국					
중화인민공화국					
아시아					

22. 귀하는 다음에 어느 정도 찬성 또는 반대하십니까?

매우 찬성	다소	찬성도	매우	선택할 수
	찬성	반대도 아님	반대	없음

북한의 전통과 풍습을 같이 하지 않은 사람들이 완전하게 북한인이 되는 것은 불가능하다.			
소수 인종집단의 전통과 풍습을 보존해주기 위해 정부가 이들을 지원해 주어야 한다.			

23. 국가를 위해 여러 인종이나 민족 집단이 그들 고유의 전통과 풍습을 유지하는 것이 좋다고 말하는 사람도 있고, 이들이 전체 사회에 적응하고 섞이는 것이 좋다고 말하는 사람도 있습니다. 귀하의 생각은 어느 쪽에 더 가깝습니까? (하나만 선택하십시오.)

- 소수 집단의 고유한 전통과 풍습을 유지하는 것이 사회에 더 좋다.
- 소수 집단이 전체 사회에 적응하고 섞이는 것이 사회에 더 좋다.
- 선택할 수 없다.

24. 다음 각각에 대해서 귀하는 북한을 얼마나 자랑스럽게 여기는지 당신의 생각을 각각의 질문에 표시해 주시기 바랍니다.

	매우	약한	별로	전혀	선택할 수
	자랑스럽	자랑스	자랑스럽	자랑스럽	없다
	다	럽다	지 않다	지 않다	
사회주의가 작동하는 방식					
세계에서의 정치적 영향력					
경제적 성취					
사회 보장 제도					

기하기소이				
과학기술의 성취				
-				
스포츠에서				
의 성취				
예술과 문학				
에서의 성취				
백두혈통의				
정치적 리더십 의 성취				
군사력				
항일 혁명력사				
사회의 모든				
집단들에 대한 공정하고				
평등 한 대우				
주체사상의				
성취				
25. 북한에서 살고 계셨을 때음악, 뉴스, 등) 보거나 들으 (한나만 선택하세요.)		— E (—, 1-		_ 1 1, 0=
○ 없음○ 거의 못함○ 가끔				
○ 자주 ○ 하사/메이				
○ 자주 ○ 항상(매일) 26. 북한에 살고 있었을 때 질문을 받았다면 어떻게 대 (하나만 선택하십시오.)			할 수 있는 싱	'황에서 같은

허가

저희는 이 연구의 한 부분으로 기록된 귀하의 개인적인 정보량을 최소화하려 합니다. 그러나, 일부 정보들은 필수사항 입니다. 이것은 기본적인 인구통계학적 정보, 귀하가 제공한 설문의 답변 이외에도 귀하의 이메일 주소가 포함 될 수 있습니다 (사례의 목적으로).

1. 모든 정보가 영국에서 안전하게 보관될 것입니다. 보관을 동의 하십니까? ○ 예 ○ 아니오
2. 이메일을 주소 써 주십시오 (사례의 목적으로).
3. 전에 이 설문조사에 참여하신적이 있습니까?
○ 예 ○ 아니오
4. 귀하는 일대일 인터뷰를 하시겠습니까?
○ 예 ○ 아니오 ○ 아마도. 먼저 좀 더 알아 보고 싶습니다 (이메일로).

완료 설문조사에 참여해 주셔서 대단히 감사 합니다.

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