

## Hybrid quantum-classical metaheuristics for automated machine learning applications

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## Curriculum Vitae

David Von Dollen, born in 1978 in Berkeley, California, USA, received his Bachelor of Arts in Music from William Paterson University in 2007. He worked for four years as a mathematics teacher, completing his certificate in education from the state of New Jersey, USA, before transitioning into a career in data science, working in the finance and insurance industries. In 2014, he completed his professional certification in data science from the University of Washington. In 2018, he earned his Master of Science in Computer Science from The Georgia Institute of Technology while working as a data scientist for Audi of America and the Volkswagen Group. While at the Volkswagen Group, David co-authored three patents and seven publications and worked on projects related to artificial intelligence, quantum computing, intelligent transportation, mobility, and information technology. He started his Ph.D. at Leiden University in 2019. During his Ph.D. studies, he supervised two Master's students and coordinated the Quantum Computing for Industrial Applications Group. In 2023, he traveled to Leiden, where he gave a guest lecture on quantum machine learning, and attended classes on practicing ethical science. Since 2022, David has held technology leadership and advisory positions at several startups operating in the intelligent transportation, IoT, computer vision, and mobile application spaces. He currently resides in the Portland, Oregon, USA, metro area, where he enjoys spending time with his family and friends outside of his scientific and professional work.

## Acronyms

#### **AGI**

Artificial General Intelligence

### AI

Artificial Intelligence

## AutoML

Automated Machine Learning

#### **BPP**

Bounded Error Probabilistic Polynomial Time

## **BQP**

Bounded Error Quantum Polynomial Time

## **EDQA**

Evolutionary Designed Quantum Algorithms

#### **ELBO**

Evidence Lower Bound

 $\mathbf{E}\mathbf{S}$ 

**Evolution Strategies** 

 $\mathbf{FS}$ 

Feature Selection

#### **GBR**

Gradent Boosted Regression tree

## **GMIC**

Generalized Mean Information Coefficient

#### 7.1. ACRONYMS

## **GPR**

Gaussian Process Regression

## HHL

Harrow, Hassidim, Lloyd algorithm

## HPO

Hyper-Parameter Optimization

## LHS

Leap Hybrid Sampler

LR

Linear Regression

## MDP

Markov Decision Process

MI

Mutual Information

## **MIC**

Maximal Information Coefficient

ML

Machine Learning

#### **MRMR**

Maximum Relevancy Minimum Redundancy

#### NAS

Neural Architecture Search

## NFL

No Free Lunch

## **NISQ**

Noisy Intermediate Scale Quantum

## NP

Nondeterministic Polynomial Time

 $\mathbf{P}$ 

Polynomial Time

#### **PCC**

Pearson Correlation Coefficient

## **PQK**

Projected Quantum Kernel

## **PSD**

Positive Semi-Definite

## $\mathbf{Q}\mathbf{A}$

Quantum Assisted

## $\mathbf{QE}$

Quantum Enhanced

## **QEA**

Quantum Evolutionary Algorithms

## **QIEA**

Quantum-Inspired Evolutionary Algorithms

## QMA

Quantum Merlin-Arthur

## $\mathbf{QML}$

Quantum Machine Learning

## $\mathbf{QPU}$

Quantum Processing Unit

## **QUBO**

Quadratic Unconstrained Binary Optimization

## RFE

Recursive Feature Elimination

## $\mathbf{RFF}$

Random Fourier Features

## $\mathbf{RL}$

Reinforcement Learning

#### SA

Simulated Annealing

## 7.1. ACRONYMS

SD

Steepest Descent

TT

Tensor train

VQC

Variational Quantum Circuit

# **Symbols**

 $\mathcal{A}$  learning algorithm (AutoML process in preliminaries chapter) a chromosome/individual a activation function  $\hat{\alpha}$  acquisition function parameter  $\alpha$  fitness weight in QUBO  $\alpha$  GP weight vector **a**<sup>+</sup> current best point argmax argmax operator argmin argmin operator  $\mathbf{a}^*$  best solution found  $A_t$  time coefficient for driver Hamiltonian  $b_d$  phase shift (indexed)  $\beta$  diversity weight in QUBO  $\mathcal{O}$  big-O notation  $\{0,1\}$  binary set B(n) maximum grid size parameter b phase shift  $\langle \cdot | \text{ bra}$  $\langle \cdot | \cdot \rangle$  quantum bra-ket notation

 $B_t$  time coefficient for target Hamiltonian

**b** bias vector

#### 7.1. SYMBOLS

CE cross-entropy loss

Cholesky Cholesky decomposition

C characteristic matrix

cov covariance function

 $C^*$  maximal characteristic matrix

d dimension of feature vectors

 $\mathcal{D}_c$  classical dataset

 $\mathbf{distance}(\cdot, \cdot)$  distance metric

 $\mathcal{D}'$  processed dataset

 $\mathcal{D}_q$  quantum dataset

D number of random Fourier features

 $\mathcal{D}$  dataset

E energy function

EI expected improvement

ELBO ELBO function

 $\ell$  feature index (second)

 $E_1$  first excited state energy

 $\epsilon$  error term

 $\mathbb{E}$  expectation

 $E_0$  ground state energy

 $f(\cdot)$  function

 $f_Q$  QUBO objective function

 $\mathbf{f}_*$  function at test points

 $f(\mathbf{x}^+)$  current best observation

 $\gamma$  RBF kernel length scale parameter

 $\gamma_{\mathbf{RL}}$  RL discount factor

G generations to convergence

**GD** genotype diversity

g generation index

GMIC generalized mean information coefficient

 $g_{\min}$  minimum energy gap

 $\mathcal{H}$  Hilbert space

 $Hamming(\cdot, \cdot)$  Hamming distance

 $H_f$  target/final Hamiltonian

h learning hypothesis

 $H_I$  driver/initial Hamiltonian

hit\_score hit score

h neural network layer

 $h^*$  optimal hypothesis

 $H_t$  time-dependent Hamiltonian

i iteration index

I identity matrix

 $\mathbb{I}$  indicator function

 $\langle \cdot | \cdot \rangle$  inner product

 $I^*$  maximum mutual information

j feature index

 $\kappa$  UCB exploration parameter

 $\kappa_{nn}$  number of nearest neighbors (MI estimation)

 $\mathbf{kernel}(\cdot, \cdot)$  kernel function

 $|\cdot\rangle$  ket

k number of features in reduced space

KL KL divergence

 $\mathbf{K}_m$  reduced kernel matrix

K kernel/Gram matrix

#### 7.1. SYMBOLS

K reduced quantum kernel matrix

 $\mathbf{K}_{X^*X}$  kernel matrix (test-train)

 $\mathbf{K}_{X^*X^*}$  kernel matrix (test-test)

 $\mathbf{K}_{XX}$  kernel matrix (train-train)

 $\mathbf{K}_{XX}^{-1}$  kernel matrix inverse

 $\lambda$  number of offspring

 $\lambda_s$  penalty weight for QUBO size constraint

L Cholesky lower triangular matrix

length\_score length score

m number of inducing points

MAE mean absolute error

MIC maximum information coefficient

MI mutual information

MSE mean squared error

 $\mu$  number of selected parents

 $\hat{\mu}$  predicted mean

 $\tilde{\mu}$  mean function

n number of samples/population size

 $\mathcal{N}$  Gaussian/normal distribution

 $\|\cdot\|$  Euclidean norm

 $n_q$  number of qubits

N number of samples for MSE

 $\hat{\mathbf{o}}$  optimal binary solution

 $o_i$  binary decision variable

 $\omega_d$  frequency vector (indexed)

 $\omega$  frequency vector

 $\tilde{\mathbf{o}}$  binary solution for inducing points

o binary decision variable vector

PCC Pearson correlation coefficient

 $P_{\mathcal{D}}$  preprocessing function

 $\Phi$  standard normal CDF

 $\phi(\cdot)$  quantum feature map

 $\phi$  standard normal PDF

 $P_{\lambda}$  offspring population

 $P_{\mu}$  parent population

 $p_m$  mutation probability

 $P(\boldsymbol{\omega})$  spectral density

P population

p prior distribution

PQK projected quantum kernel

 $\psi$  digamma function

 $\hat{\mathbf{Q}}$  QUBO matrix for features

q qubit index

**Q** QUBO matrix

Q QUBO matrix for inducing points

 $\rho_q\,$  quantum state for qubit q

 $\rho$  density operator

 $\mathbb{R}$  real numbers

SA subset accuracy

 $\sigma$  mutation strength

 $\hat{\sigma}$  predicted standard deviation

 $\sigma_n^2$  noise variance

s performance score

 $\otimes$  tensor product

#### 7.1. SYMBOLS

T evolution timescale

 $\theta$  hyperparameters (rotation parameters when applied to quantum circuits)

 $\theta^*$  optimal hyperparameters

 $\theta_{\mathbf{var}}$  variational parameters (VGPR)

t maximum generations

UCB upper confidence bound

u inducing point values

U unitary operator

 $U_{Z_j}$  unitary rotation for qubit j

 $U_{Z_j Z_\ell}$  controlled-Z unitary

val\_acc validation accuracy

V intermediate matrix for covariance

W weight matrix

w weights for linear regression

 $x_i$  individual feature component

 $\mathbf{X}_m$  inducing point subset

X set of input data

 $\mathbf{x}^+$  current best point

 $\mathbf{X}^*$  test data matrix

 $\mathbf{x}^*$  test feature vector

X reduced training data

x feature vector

 $\hat{\mathbf{y}}$  predicted observation vector

y label/observation

 $y^+$  current observation

y observation vector

 $z_d$  RFF basis function

- $\mathbf{z}$  inducing points
- $\mathbb{Z}_j$  Pauli-Z operator for qubit j
- $Z_{\mathbf{norm}}$  normalization constant (GMIC)
- Z Pauli-Z operator