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# Validation of the Chinese Empathy Questionnaire for Pre-School Children

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# ABSTRACT

Research Findings: Empathy plays an important role in children's early socialemotional development. However, there are not many instruments available for measuring the different aspects/levels of empathy in preschool children. Moreover, few studies examined the validity of the existing measures for empathy in Chinese children. The present study tested the Chinese version of the Empathy Questionnaire (EmQue) upon a group of Chinese preschool children (N = 182) aged from 2 to 6 years. The Chinese EmQue is a parentreport translated from Dutch that assesses the behavioral manifestations of three distinct levels of empathy: emotion contagion, attention to others' feelings, and prosocial behaviors among preschool children. Confirmatory factor analysis showed satisfactory goodness-of-fit indexes for a three-level structure with 19 loading items. The results of concurrent validity showed that the three levels of empathy were functioning differently in the early social-emotional development. Practice or Policy: We could conclude that the 19-item Chinese EmQue is a valid, reliable instrument for measuring empathy in 2- to 6-year-old children in the Chinese context.

Empathy serves an important function in navigating daily social life, as it plays a key role in guiding interpersonal interactions, facilitating in-group behaviors, strengthening social bonds. Importantly, empathy, i.e. feeling and caring for another person's emotional response in a given situation, motivates people to care for one another and to focus on another person's needs, thereby temporarily overcoming one's own idiosyncratic needs and desires (De Waal, 2008; Decety & Jackson, 2006). The earliest signs of empathy can be already witnessed in infants, emphasizing that the capacity for empathy is innate and can be observed in children across different cultures (De Waal, 2008; Tong et al., 2012).

However, to date, research measuring empathy are mostly based on Western samples, and are with participants of older ages (e.g., late childhood, adolescence, and adulthood), while this topic has rarely been discussed among young children from East-Asian countries. Moreover, current research conducted in East-Asian countries mostly operationalized empathy as a unidimensional construct, whereas empathy is perceived as a complex construct consisting of several distinct levels (i.e. emotional contagion, attention to others' emotions, understanding others' emotions, and prosocial behaviors; e.g., Hoffman, 1987; Rieffe et al., 2010).

It is important to identify these levels separately in young Chinese children, as these levels may mature differently through development (see explanation below), and the development of these distinct levels of empathy have been shown to relate to various domains of social-

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emotional functioning, such as better developed social competence, and lower risks of developing externalizing behaviors (e.g., Neumann et al., 2016; Noten et al., 2019), according to Western studies. Recent studies among Chinese preschool children showed similar results of empathy (as an unidimensional construct): higher levels were associated positively with children's sociability, emotion regulation, whereas negatively with their peer problems, internalizing or externalizing behavioral symptoms (e.g. Lin et al., 2023; Qian et al., 2021; Wu et al., 2018). Yet, how separate levels of empathy are related to social-emotional functioning in Chinese preschoolers remains unknown.

To fill these gaps, the present study aims to translate and validate a parent-report which was especially designed for assessing three different levels of empathy in preschoolers, the Empathy Questionnaire (EmQue, Rieffe et al., 2010; Dutch in origin), in a sample of Chinese preschool children aged 2 to 6 years. This questionnaire has already been translated and validated in four other languages, and the validation studies confirmed its factor structure and showed good psychometric properties for the separate (sub-)scales (Da Silva et al., 2022; Grazzani et al., 2017; Lucas-Molina et al., 2018; Takamatsu et al., 2021). The theoretical as well as practical meanings of validating the EmQue will be discussed below.

# **Empathy in Preschool Children**

Hoffman (1987) proposed a multilevel model of how empathy develops during early childhood, where each of the levels builds upon the former. "Emotion contagion," referred to also as "affective empathy" (Rieffe et al., 2010), is the first level, representing the extent to which an individual can be emotionally affected via perceiving others' emotional expressions or behaviors (e.g., Noten et al., 2019; Pouw et al., 2013). For instance, when one infant bursts out crying, other nearby infants may follow spontaneously. Emotion contagion was described by De Waal (2008) as an innate, hard-wired, core mechanism of empathy. It is supposed to be the trigger for "feeling what the other feels," as to create an emotional bond between two individuals, stimulating interpersonal closeness, and thus feeding into social competence over time (Eisenberg et al., 2010). Yet, excessive levels of emotion contagion may lead to more internalizing behaviors, such as anxiety and depression (Geng et al., 2012; Rieffe et al., 2010; Yan et al., 2021), as empathizing with others' negative emotions can also be overwhelming and disturbing for young children.

The second level of empathy, "attention to others' feelings," emerges at around the second year. Hoffman (1987) argued that when toddlers have acquired a basic sense of self-awareness, they undergo the "separation-individuation" process through which they identify their core-self as separated from others. The awareness that the arousal they experience when they empathize with others is but a reflection of the arousal in someone else, helps them to be less overwhelmed by the intensity caused by others' emotions, and enables them to pay more attention to interpersonal interactions in social life (Decety, 2011). Besides, children need to have a basic level of self-control/emotion regulation to temporarily overrule their instinctive, idiosyncratic desires to focus on others' internal states and to serve others' needs (Rodríguez et al., 2020). The change of perspective to locate the source of emotional arousal is such a developmental milestone: it marks the shift of attention from the self and inner feelings to the social world, allowing further social learning and socialization processes to take place.

The shift in attention from self-focused to other-focused is an important step toward acting prosocially to relieve other's distress (i.e., "prosocial behaviors"), which is the third level of empathy. To initiate prosocial behaviors, first, children need to attend to the person in distress rather than being immersed in their own emotional arousal. Second, understanding another person's emotional states and needs is crucial for initiating prosocial behaviors. As argued by Hoffman (1987), although toddlers have the motivation to subside the impact of negative emotions in others, they tend to confuse their own needs with other people's needs, and thus, for example, offering candies to a crying adult. As children grow older, along with enhanced emotion regulation and perspective taking abilities

children's prosocial actions increase in both quality and quantity (Flook et al., 2019; B. Li et al., 2020; Tsou et al., 2021).

### **Empathy Measurement in China**

To the best of our knowledge, there is currently no parent-questionnaire designed to measure the multicomponent nature of empathy in Chinese preschool-aged children. Although some widely used self-report questionnaires have been validated to assess empathy in Chinese school-aged children, including the "Interpersonal Reactivity Index" (IRI, Siu & Shek, 2005), the "Jefferson Scale of Empathy" (JSE, L. Huang et al., 2019; Yi et al., 2020), the "Basic Empathy Scale" (BES, Geng et al., 2012), and the "Empathy Quotient" (EQ, Guan et al., 2012; Zhao et al., 2018), the inclusion of a scale focused on understanding others' emotions (perspective taking) makes them unsuitable for toddlers and preschoolers, who cannot yet acknowledge others' perspectives due to the different stages in their Theory-of-Mind development (Broekhof et al., 2015).

To date, parent reports used in Chinese preschoolers measured empathy as a one-dimensional construct (e.g., Lin et al., 2023; Qian et al., 2021; Wu et al., 2018). In other studies observational tasks were employed (e.g., H. Q. Huang et al., 2017; Roberts & Strayer, 1996). Both methodologies cannot capture the nuanced developmental characteristics of distinct empathy components in preschoolers. Drawing on prior research conducted with Western children, it has been observed that emotion contagion tends to decrease over time in early childhood, while attention to another's emotions and prosocial behaviors tend to increase with age (e.g., Rieffe et al., 2010; Tsou et al., 2021). By validating the EmQue, a parent-report that gauges the three essential components of empathy in toddlers and preschoolers, we cou provide a valuable instrument for understanding the intricate development of empathy among Chinese preschool-aged children.

Furthermore, our understanding of empathy in preschool years has stemmed primarily from observations of Western children. Yet, cross-cultural studies on empathy in adults have revealed distinctions in the experience and expression of empathy between Western and East-Asian individuals: East-Asian individuals experience higher levels of personal distress when witnessing someone in distress, as compared to the Western counterparts (Atkins et al., 2016). This variance can be attributed to the cultural emphasis on group harmony in many East-Asian societies, where expressions of negative emotions is viewed as disruptive or socially inappropriate – thus East-Asian children might have fewer opportunities to practice in coping with negative emotions, in responding to others' negative emotions or in regulating their own (Matsumoto et al., 2008). Consequently, East-Asian individuals can be more alerted to and be more heavily affected by others' expressions of negative emotions (Markus & Kitayama, 1991).

In fact, at the social level, individuals in collectivistic cultures (common in East-Asian societies) might exhibit a higher frequency/intensity of attention to interpersonal relationships, which increases distress levels; whereas those in individualistic cultures (common in Western societies) would focus more on their own feelings and needs, lowering the risk of developing emotional disorders (Hofstede, 2003; Markus & Kitayama, 1991). Validating the EmQue in Chinese preschoolers could provide a useful tool for conducting cross-cultural investigations, and contribute to new insights on the cultural variance of empathy development.

# **Present Study**

The aim of the present study was to validate a Chinese version of the Empathy Questionnaire (EmQue; Rieffe et al., 2010, Appendix Table A1) in a sample of Chinese preschool children. The EmQue is a Dutch-originated parent report comprising three scales: "Emotion Contagion," "Attention to Others' Feelings," "Prosocial Behaviors" that correspond to the first three levels of the Hoffman's (1987) model. It has been used in cross-sectional and longitudinal research on social-emotional functioning and development, and in preschoolers who are autistic or deaf or hard of hearing (Li et al., 2023; 4 🕳 Z. LI ET AL.

Netten et al., 2015). Thus far, the EmQue has been translated and validated in four other languages in Italian, Japanese, Spanish, and Portuguese preschoolers (respectively, Da Silva et al., 2022; Grazzani et al., 2017; Lucas-Molina et al., 2018; Takamatsu et al., 2021). The studies demonstrated robust psychometric properties of the three levels of empathy across different languages and cultures, although slightly different numbers of items were removed from the original version (20 items) to form a shorter version of the EmQue (the 13-item version was validated in Italian, Japanese, and Spanish; the 15-item version was validated in Portuguese; see Appendix Table A1 for an overview). Validating a Chinese EmQue would further our understanding of the nuances in empathy development and facilitate cross-cultural investigation.

First, we assessed the construct validity by examining the model fit of the 3-factor structure in the confirmatory factor analysis (CFA) (i.e., Emotion Contagion, Attention to Others' Feelings, Prosocial Behavior). Additionally, we also tested the fit of the short version EmQue (13 items) previously validated in different languages (i.e., Italian, Japanese, and Spanish; Grazzani et al., 2017; Lucas-Molina et al., 2018; Takamatsu et al., 2021), and compared it to our final model derived from the CFA. Second, we examined the internal consistencies of the three scales. Third, we investigated concurrent validity by examining the correlations between the EmQue scales and the variables of social-emotional functioning (internalizing behaviors, externalizing behaviors, and social competence). Based on prior studies, we expected Emotion Contagion to correlate positively to internalizing behaviors and social competence (Noten et al., 2019; Pouw et al., 2013; Yan et al., 2021), while negatively to externalizing behaviors to correlate positively to social competence, whereas negatively to externalizing behaviors (Bandstra et al., 2011; Da Silva et al., 2022). Prosocial Behaviors were expected to also correlate negatively to internalizing behaviors (Donohue et al., 2020; Huber et al., 2019; Salerni & Caprin, 2022).

# Method

# **Participants**

This study is part of a larger-scaled longitudinal research project on the moral development of preschoolers in China. 182 preschoolers (age range: from 24 to 72 months, mean age = 52.3 months,  $SD_{age} = 12.2$  months) along with their caregivers participated in the research. This validation study is part of a larger project containing two validation studies, and to date, one study was published using data of this sample. Further information on the demographic characteristics of our sample is provided in that publication (Li et al., 2023).

Participants were recruited from two public kindergartens in JiangSu province, China. Each kindergarten had three classes from three different grades, thus in total six classes. Both kindergartens and their six classes agreed to participate in this research. First, we explained to the teachers the inclusion criterion of participants: participant should not have any clinical diagnoses, such as the autism spectrum disorder or the attention-deficit hyperactivity disorder. Furthermore, we explained in details the aim of our research, the execution plan, the privacy policy and the voluntary nature of participation. Prior to the data collection, caregivers received information about the project and an informed consent form on which they could indicate if they approved for their child to participate. After our researchers verified the informed consents, the questionnaires were distributed by the teachers to the children that were included the study, delivered to the caregivers and brought back upon completion. Formal approval of this study was acquired from both the kindergartens and the Ethical Committee of Leiden University.

### Measures

Sum scores for all scales involve the mean score based on the total number of items per scale. Internal consistencies of the scales were adequate to good (Table 1).

Factors	Number of items	Mean (SD)	Cronbach alpha	Inter-item correlation	Composite reliability
EmQue Scales					
Emotional Contagion	6	1.49 (.41)	.70	.28	.72
Attention to Others' Feelings	7	2.34 (.36)	.67	.23	.63
Prosocial Behaviors	6	2.14 (.46)	.76	.35	.83
Social-Emotional Indexes					
Internalizing Behaviors	25	1.46 (.27)	.73	.27	.94
Externalizing Behaviors	18	1.31 (.25)	.87	.31	.83
Social Competence	10	2.37 (.38)	.69	.22	.76

Table 1. The descriptive statistics, internal consistencies of the EmQue scales and concurrent indices (social-emotional functions).

# The Empathy Questionnaire (EmQue)

The EmQue is a parental report that aims at assessing empathetic behaviors in young children. The original EmQue was designed by Rieffe et al. (2010) in Dutch language consisting of 20 items. According to the validation of Rieffe et al. (2010), one item (item 19, Appendix Table A1) was removed, leading to a 19-item Dutch version of EmQue. The 20-item version was also validated in Italian (Grazzani et al., 2017), Japanese (Takamatsu et al., 2021), and Spanish (Lucas-Molina et al., 2018) and that have formed a new, 13-item version. It was also validated in Portuguese recently suggesting a new 15-item version (Da Silva et al., 2022). Notably, all of the existing versions of EmQue had a same 3-factor structure, measuring Emotional Contagion, Attention to Others' Feelings and Prosocial Behaviors for empathy. In the scales, respondents were asked to rate the degree to which each item represents their child's behaviors over the past two months on a 3-point scale (0 = never, 1 = sometimes, 2 = often). Higher scores indicate a higher tendency of their children to perform the behaviors described by the items. Respondents were recommended to answer to all items, even if the items was not applicable to them.

# Internalizing and Externalizing Behaviors

The Early Childhood Inventory 4<sup>th</sup> edition parent checklist (ECI-4; Sprafkin et al., 2002) was deployed to evaluate the severity of internalizing and externalizing behavioral problems. This questionnaire consists of 9 scales and 108 items, screening for 15 distinct emotional/behavioral disorders of preschoolers. The caregivers were asked to rate how each item represented their child's behaviors in the last two months on a 4-point scale (0 = never, 1 = sometimes, 2 = often, 3 = very often), with higher scores indicating more severe behavioral problems.

For the purpose of this study, we merged scales within the domain of internalizing and externalizing symptoms to measure the two broadband categories of behavioral problems (Ketelaar et al., 2017; B. Li et al., 2020). For the assessment of "Internalizing Behaviors," we combined four scales: "Major Depressive Disorder" (10 items, e.g., "Always feeling tired for no particular reason," "Being too shy in front of their peers"), "Separation Anxiety" scale (8 items, e.g., "Having nightmares about being separated from parents," "Cannot fall asleep without parents staying around"), "Social Phobia" (3 items, e.g., "Wet the bed at night"), and "Generalized Anxiety" (4 items, e.g., "Cannot get rid of worrying thoughts"). A higher score indicates more internalizing symptoms.

For the assessment of "Externalizing Behaviors," we combined two scales which are: "Conduct Disorder" (10 items, e.g., "steal from others," "physically hurting others"), and "Oppositional Defiant Disorder" (8 items, e.g., "arguing with adults," "blame others when making mistakes"). A higher score indicates more externalizing symptoms.

# Social Competence

The Chinese version of the Strengths and Difficulties Questionnaire (Chinese SDQ; Lai et al., 2010) was used to assess social competence. The questionnaire consists of five scales (each scale has five items), evaluating five aspects of children's social-emotional functions. The caregivers were asked to rate to what extent their child manifested each behavior/symptom in the past two months on a 3-point scale (0 = not true, 1= somewhat true, 2 = certainly true). Following Ketelaar et al. (2017), we merged two scales to assess children's social competence: the "Peer Relation" scale (e.g., "Rather solitary, tends to play alone," "Picked on or bullied by other children"), and the "Prosocial Behavior" scale (e.g., "Kind to younger children," "Helpful if someone is hurt, upset or feeling ill"). Negatively formulated items were reversedly coded. A higher score indicates a higher level of social competence.

# **Translation and Task Procedures**

As there were no Chinese versions of the EmQue and the ECI-4, a back-translation procedure was performed to translate the two questionnaires (Brislin et al., 1973). First, the EmQue and ECI-4 were translated from English to Chinese by two researchers in our lab who are fluent in English/Chinese. Next, other researchers translated the two questionnaires from Chinese back to English. The back-translations were checked for language consistency as compared to the original questionnaires. Disagreement was resolved through discussion. Finally, the research team checked and approved the translations.

# **Statistical Analyses**

The construct validity of the Chinese EmQue was evaluated via confirmatory factor analysis (CFA)<sup>1</sup> (Jackson et al., 2009). We started with the 20-item Dutch EmQue (Rieffe et al., 2010). Several models were sequentially tested, on the three-factor construct (Emotional Contagion, Attention to Others' Feelings, Prosocial Behaviors). Given the ordinal nature of three-point scales, we used the Weighted Least-Squares Means and Variance adjusted (WLSMV), which was considred a more adaptable estimator (Bandalos, 2014) to examine construct validity and measurement invariance. A set of absolute and relative fit indices were used to evaluate if our model fit was sufficient: the normed chi square ( $\chi$ 2) < 3.0 and  $\chi$ 2/df < 2.0 (Brown, 2014), the comparative fit index (CFI) > .90, the Root-Mean-Square Error of Approximation (RMSEA) < .08, the standardized root mean square residual (SRMR) < .08 (Hu & Bentler, 1999), on condition with the null model RMSEA > .158 (Kenny et al., 2015). Besides, the modification indices (MI) > 10 was used as the criterion to evaluate if any item was loaded on a non-target scale (Whittaker, 2012).

For internal consistencies, we assessed Cronbach's alphas, inter-item correlations, and composite reliabilities. A cronbach's alpha value above .70 was deemed adequate (Ponterotto & Ruckdeschel, 2007). Inter-item correlations ranged [.30, .50] indicate a consistency of the items within one scale. A scale could be too homogenous when this value was higher than .50. For composite reliabilities, a value higher than .70 was deemed sufficient; however, a value higher than .95 may indicate high homogeneity of items within a scale (Bacon et al., 1995).

Moreover, we assessed the concurrent validity of the three EmQue scales by checking their correlations with three social-emotional indices: Externalizing Behaviors, Internalizing Behaviors, and Social Competence. Given the ordinal nature of our data, partial Spearman's rank-order correlations were preferred over Pearson's correlations, and the age factor was controlled for. Also, when the EmQue scales were inter-correlated, they were controlled for too. Bonferroni corrections were used as our adjustment method in the multiple testing.

Additionally, we assessed measurement invariance of the EmQue scales across gender and age groups (as 54 months was the median age, we compared two groups: < 54 months vs.  $\geq$ 54 months) through multigroup CFAs. Following Brown's (2014) standard procedure, three measurement invariance hypotheses: configural, metric, and scalar, were tested sequentially. Equivalence of item factor

loadings and intercepts were examined across the target groups. Partial invariance was achieved by freeing invariant items on the condition that full metric or scalar invariance was not achieved (Byrne et al., 1989). The criteria for the invariance were: the change value of CFI ( $\Delta$ CFI) < .01; the change value of RMSEA ( $\Delta$ RMSEA) < .015; and the change value of SRMR ( $\Delta$ SRMR) < .030 (Chen, 2007). Items can be freed based on the univariate MI and the Lagrange multiplier test. Group differences were checked if > 50% of the items of one scale were invariant (Martín-Puga et al., 2022).

The CFAs and measurement invariance tests were carried out using *Lavaan* package (Rosseel, 2012) on the platform of R version 4.0.5 (R Core Team, 2022). The calculations of Cronbach's alphas, inter-item correlations, partial Spearman's correlations were performed in SPSS 24.0 (IBM Corp, 2016). Composite reliabilities were computed by using the values of factor loadings acquired in the CFAs.

#### Missing Data Analysis

Out of the 186 responses we received, four had missing values larger than 10% and thus were removed from further analyses. The remaining responses had less than 0.5% missing values and were included in the final analyses. The MCAR test suggested the missing pattern was random (Little, 2013). Listwise deletion was used to remove the missing values.

# Results

# **Construct Validity**

Table 2 shows the fit indices of the models tested successively. Model 1 was the original 20-item Dutch model (Rieffe et al., 2010), the starting point of the analysis. This baseline model showed an acceptable model fit. Yet, item 19 had a low factor loading of .18 (<.30) on its target scale (Emotion Contagion) and thus was removed from the analysis, leading to Model 2. The fit indices of Model 2 revealed an improvement:  $\chi^2 = 167.37$ , df = 107, CFI = .926, TLI = .910, RMSEA = .53, while the null model RMSEA = .173 (>.158). Furthermore, we examined the factor loadings, MI, SEPC, and inter-factor correlations, and no significant unfitness was observed. Hence, Model 2 was accepted as the final model. Additionally, we tested also the 13-item version, as suggested by the Italian, Japanese, and Spanish versions (Grazzani et al., 2017; Lucas-Molina et al., 2018; Takamatsu et al., 2021). The results showed an adequate model fit (Table 2). Figure 1 shows the construct and factor loadings of the validated EmQue.

# Measurement Invariance Across Gender

Based on our final model, first, the configural model showed an adequate fit (see Table 3). Next, metric invariance examination revealed a significant change in the fit indices ( $\Delta$ CFI= -.030;  $\Delta$ RMSEA = .022;  $\Delta$ SRMR = .007). Partial metric invariance was thus tested by freeing the equivalence constraint of item 14 (from Prosocial Behavior) and item 16 (from Emotional Contagion), and was found tenable ( $\Delta$ CFI = -.009;  $\Delta$ RMSEA = .010;  $\Delta$ SRMR = .004), i.e., the item loadings except for items 14 and 16 were invariant across gender groups. Later, testing scalar invariance revealed an insignificant change of the fit indices ( $\Delta$ CFI = .004;  $\Delta$ RMSEA = -.005;  $\Delta$ SRMR = .002), confirming the scalar invariance

				Null			
Model	χ2	df	RMSEA [90% CI]	RMSEA	CFI	TLI	SRMR
Model1	248.82	167	.052 [.038, .065]	.161	.921	.910	.083
Model2	224.81	149	.053 [.038, .067]	.173	.926	.915	.083
Previous model	79.83	62	.040 [.013, .063]	.205	.970	.962	.070

Table 2. Fit indices for the model testing of the EmQue.

RMSEA [90% CI] = root-mean-square error of approximation [lower, upper limits, 90% Confidence Interval]; Null RMSEA = the baseline model RMSEA; CFI = comparative fit index; TFI = Tucker-Lewis Index; SRMR = standardized root mean square residual. Model 1 = original design of the questionnaire which consists of 20 items (our starting point).

Model 2 = the final model, item 19 (from Emotional Contagion) was deleted based on Model 1 to acquire better fit measures. Model 3 = the Italian model of 13 items tested additionally as a comparison to the final 19-item model.

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Figure 1. CFA of the three-factor structure of the Chinese EmQue.

Table 3. Fit indices of the invariance examination	is across gender and age	groups (half of participants -	<54 months; the other half
≥54 month).			

	Model Fit Indices						Mod	el Fit Change	values
Parameters	χ2	df	CFI	RMSEA [90% CI]	Null RMSEA	SRMR	ΔCFI	∆RMSEA	ΔSRMR
Gender									
Configural	303.97	298	.994	.015 [0, .044]	.186	.091			
Metric	351.46	314	.964	.037 [0, .056]	.186	.098	030	.022	.007
Partial Metric <sup>a</sup>	328.89	312	.985	.025 [0, .048]	.186	.095	009	.010	.004
Scalar	339.26	328	.989	.020 [0, .045]	.186	.097	.004	005	.002
Age group									
Configural	343.50	298	.956	.041 [.012, .060]	.185	.096			
Metric	389.03	314	.928	.052 [.032, .068]	.185	.102	028	.011	.006
Partial Metric <sup>b</sup>	367.52	311	.946	.045 [.021, .063]	.185	.099	010	007	.003
Scalar	384.03	327	.945	.044 [.021, .061]	.185	.101	001	001	.002

CFI: comparative fit index; RMSEA: root mean square error of approximation; CI: confidence interval; SRMR: standardized root mean square residual. N=182. \*p < .05 <sup>a</sup>Equality constraint on the factor loadings of Item 14 and item 16 was freed from the model; <sup>b</sup> Equality constraints on the factor loadings of Items 12, item 16 and item 20 was freed from the model.

hypothesis of equivalent intercepts. Given  $\geq$  50% of the items of each scale being invariant, the EmQue scores were comparable across gender. Independent t-tests revealed no gender differences in the three EmQue factors, ts < 1.42, ps > .157.

# Measurement Invariance Across Age

We first divided our sample (by medium age) into two equal groups: one <54 months, and the other  $\geq$ 54 months. The configural model showed a good fit (see Table 3). The test of the metric invariance revealed a significant change in the fit indices ( $\Delta$ CFI = -.028;  $\Delta$ RMSEA = .011;  $\Delta$ SRMR = .006). Thus, partial metric invariance was tested by freeing the equivalence constraint on items 12 and 20 (both from Attention to Others' Feelings) and item 16 (from Emotional Contagion), then a nonsignificant change was observed ( $\Delta$ CFI = -.010;  $\Delta$ RMSEA = -.007;  $\Delta$ SRMR = .003), indicating that the factor

Table 4. Spearman's correlations between the EmQue scales and the concurrent indices (controlling for age; also for the other EmQue scales when they are correlated with the tested EmQue scale).

	Concurrent Indices: Social-Emotional Functions				
	Internalizing Behaviors	Externalizing Behaviors	Social Competence		
Emotion Contagion	.20**	.01	39***		
Attention to Others'Feelings	.29***	.09	.22**		
Prosocial Behaviors	25**	17***	.39***		

Significance level is adjusted with Bonferroni correction to  $p \le \alpha/3 = .017$ . \*p (one-tailed)  $\le .017$ . \*\*p (one-tailed)  $\le .001$ .

loadings besides items 12, 16 and 20 were invariant across age groups. Next, testing scalar invariance revealed a nonsignificant change ( $\Delta$ CFI = -.001;  $\Delta$ RMSEA = -.001;  $\Delta$ SRMR = .002), indicating equivalent intercepts across age groups. Independent t-tests showed an age difference for Prosocial Behavior: Prosocial <sub>elder</sub> = 2.28 > Prosocial <sub>younger</sub> = 2.00, t(179) = 4.807, *p* < .001, but not for Emotional Contagion or Attention to Others' Feelings, ts < -.50, *p* > .453.

## Internal Consistency

Internal consistencies were from acceptable to good. For the three EmQue scales, Cronbach Alpha's varied from 0.67 to 0.76; inter-item correlations varied from 0.23 to 0.35; composite reliabilities varied from 0.63 to 0.83, respectively (Table 1).

#### **Concurrent Validity**

Table 4 presents the Spearman correlation coefficients of the EmQue scales with the indices of socialemotional functions, and age (see Table A2 for more details). After controlling for age and Attention to Others' Feelings, higher levels of Emotion Contagion were correlated with more Internalizing Behaviors and lower Social Competence; more Prosocial Behaviors were correlated with fewer Internalizing Behaviors and Externalizing Behaviors, and higher Social Competence. When controlling for age, Emotional Contagion, and Prosocial Behaviors, Attention to Others' Feelings were positively correlated to Internalizing Behaviors and Social Competence.

# Discussion

The present validation study provided support for a 19-item Chinese version of EmQue, thus replicating the results of the original Dutch EmQue (Rieffe et al., 2010). The results of psychometric properties suggested a good construct validity, distinguishing between the three levels of empathy, i.e., Emotion Contagion, Attention to Others' Feelings, and Prosocial Behaviors in a sample of Chinese preschool children. Consistent with the Dutch EmQue, one item was removed from the 20-item version due to a low factor loading on its intended scale (item 19, "When another child cries, this child looks away"). The internal consistency within each scale was adequate. Also, partial measurement invariance was achieved when comparing across gender or age groups, meaning that the majority of the items of each scale were equivalent for young children with different age and gender. Hence, the 19-item version of EmQue is reliable for measuring (multi-levels of) empathy in young boys and girls aged from 2 to 6 years old.

In addition, we assessed the 13-item model used in the Italian, Japanese, and Spanish versions of EmQue (Grazzani et al., 2017; Lucas-Molina et al., 2018; Takamatsu et al., 2021). A comparable, yet not significantly higher, level of fit indices than the 19-item model was revealed. Thus in our case we considered that the 19-item and 13-item models fit equally adequate in terms of the construct validity, while the 19-item version has the advantage of its breadth of valid items, and therefore is regarded as more informative, comprehensive, and capable of offering a good view for the topic.

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Regarding concurrent validity, our hypotheses were partly confirmed by the results: as expected, the scale Emotion Contagion was related to more internalizing behaviors; the scale Attention to Others' Feelings was related to better social competence; and the scale Prosocial Behaviors were related to fewer internalizing, externalizing behaviors and better social competence. Yet, unexpected relations were also found for these scales: Emotion Contagion was related to lower social competence, and Attention to Others' Feelings was related to more internalizing behaviors. Besides, the expected negative associations for Contagion and Attention to Others' Feelings with externalizing behaviors were not confirmed. Below we discuss the outcomes in greater detail.

As expected, emotion contagion was associated with more internalizing behaviors in Chinese preschool children (Rieffe et al., 2010). However, unexpectedly, it was associated also with lower levels of social competence. This finding may be explained by the cultural norm in East-Asian societies, which stresses that the expression of negative emotions is unwelcome (Tsai & Levenson, 1997). For Chinese children, displaying personal distress and negative emotions in social settings may induce discomfort in others and disrupt interpersonal interactions, thus children manifesting too much emotion contagion can be perceived as being socially less competent and emotionally immature (Ip et al., 2021; Markus & Kitayama, 1991). Being overwhelmed by own arousal may further hinder children from reacting empathically, socially adaptively toward others, and in the long run may compromise their development of social competence (Hamaidi et al., 2021; MacCormack et al., 2019; Spinrad et al., 2006). In contrast, many Western cultures allow for more open expression of feelings, including negative emotions (Tsai & Levenson). In such a cultural context, expressing personal distress in an empathy-provoking situation may be viewed as showing understanding of another person's plight, thereby enhancing social relationships.

Attention to others' feelings was related to better social competence, as expected. As suggested by Hoffman (1987), children switching their focus of attention from the self to others facilitates children's socialization. However, unexpectedly, attention to others' feelings was also associated with more internalizing behaviors. Possibly, cultural variance should be taken into account: for Western children, attending to others' emotions might yield social benefits by enhancing the understanding of someone else's inner mental state. Yet, this may have relatively little impact on their own inner feelings, given the prevalent independent self-image in Western cultures. In contrast, in an interdependent cultural context, such as in the Chinese context, where individuals prioritize collective values and group harmony, children may be more alerted by others' distress, which could bring social benefits (e.g., strengthens social bonds), but simultaneously impose costs to the self (Tsai et al., 2006). In fact, prior research suggested that elevated attention to others' negative emotions may increase the emotion arousal in preschool children (Tsotsi et al., 2021). Attending to another person's distress thus could induce high emotional arousal in Chinese children, potentially leading to anxiety and depressive mood (Atkins et al., 2016).

Whereas emotion contagion and attention to another's emotion showed a negative association with externalizing behaviors in Western children, such associations were not observed in Chinese preschoolers. This discrepancy might stem from the different coping mechanisms between Western and East-Asian children: even when they experience similar levels of emotional arousal in empathy-provoking situations, the resulting action tendencies can manifest in distinct ways. In the absence of good emotion regulation and a matured form of emotion understanding, Western preschool children tend to externalize their distress and frustration by venting out negative emotions, whereas Chinese preschool children internalize negative feelings and express their distress and frustration through manifestations of anxiety and depression (Atkins et al., 2016). This inclination is probably shaped by the internalization of cultural values of collectivism, as prioritizing group harmony is emphasized over personal expressions (Hofstede, 2003).

Overall, our study supports that the tridimensional construct of empathy exists cross-culturally, yet our findings also indicated nuanced distinctions in the specific functions of each empathy level, which may be shaped by the cultural contexts in which children are socialized. This underscores the need for validated instruments to measure empathy in children from diverse cultural backgrounds, which would enable researchers to further validate these assumptions made in this study.

# **Limitations and Future Directions**

Validating a parent-report to assess empathy in Chinese preschoolers is an important first step to study empathy development in cross-cultural contexts. Yet limitations should be addressed. First, it is noteworthy that our sample size was relatively small, which could cause inaccuracy in our estimations on the psychometric properties of EmQue. For example, although our data distribution was close to symmetric (skewness were between -1 to 1), the standard errors can still be biased – approximately 10% larger than the standard error estimates of large samples (Bandalos, 2014; DiStefano & Morgan, 2014). The smaller sample size also prevented us from splitting the sample and respectively conducting an EFA and a CFA. Hence we highly recommend future validation studies to use larger-size (N > 500) samples to minimize the biases in estimations. With larger sample sizes, future studies could also conduct exploratory analyses and crossvalidate the data to further understand how empathy can be assessed in preschool children. Second, our results of concurrent validity of empathy may indicate some degrees of cultural variances, yet we did not directly measure cultural identity or cultural cognition of participants in this study, thus cannot examine the latent culture effects on children's perception of empathy. Third, our participants were recruited from two public kindergartens in the JiangSu province. Given that China is a large country with a diverse multiethnic, multi-subcultural composition, caution is warranted when applying the EmQue to assessing Chinese children of different demographic backgrounds. Nevertheless, we would like to mention that the socialeconomic characteristics of participants (e.g., parents' education level, net household income) was comparable to that of the general population in China (Akimov et al., 2021). Fourth, another limitation of this study is that only parent questionnaires were included, which could create a response bias and contains the possibility of shared method variance. Future studies could apply a multi-method and multi-informant design, for example using tasks or playground observations, to further validate this questionnaire. Lastly, the correlational nature of this study does not allow for interpretations on the causality of concurrent relations we found. Longitudinal research may have an advantage in deepening our understandings on the developmental trajectories of empathy in young Chinese children.

Researchers and practitioners can assess preschool children's dispositional proneness to experience empathy by using this parent report. Notably, our findings revealed that greater empathic responses such as feeling and attending to others' emotions could induce more personal emotional arousal in Chinese preschoolers, which could further lead to lower social competence and increased internalizing behaviors. To better support these children, parents, teachers, and practitioners are recommended to provide these children with opportunities to learn how to cope with and communicate about negative emotions. Yet, for the results to be informative to parents and teachers, a standardized norm might need to be established among Chinese children for this EmQue.

# Conclusions

The outcomes of this validation study suggest that the 19-item Chinese version of the EmQue is suitable of assessing "the multilevel empathy" in Chinese preschool children by means of a parent report. Our results on the construct validity indicated that as early as in the preschool years, empathy already develops into a multi-level construct consisting of distinct emotional, attentional and social facets, which entail different social-emotional functions. We hope that this questionnaire provides a useful tool for assessing empathy in Chinese preschool children, and could promote cross-cultural research to look into whether and how the development and the function of empathy may vary in different cultural contexts.

# Note

1. An exploratory factor analysis (EFA) was initially conducted in a pilot trial, and the 3-factor model was shown as appropriate according to the eigen value and scree-plot. Given that our aim was to confirm the 3-factor model in a Chinese sample, and that conducting EFA and CFA on the same sample could increase the risk of overfitting

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(Fokkema & Greiff, 2017; Worthington & Whittaker, 2016), in this study we only conducted the CFA as the formal analysis.

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# **Disclosure Statement**

No potential conflict of interest was reported by the author(s).

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# **Author Contributions**

Zijian Li: Investigation, Formal analysis, Writing Original Draft, Funding acquisition; Boya Li: Review & Editing, Project administration, Supervision; Funding acquisition; Yung-Ting Tsou: Formal analysis, Review & Editing, Supervision; Carolien Rieffe: (corresponding author): Conceptualization, Supervision, Review & Editing, Funding acquisition.

# **Data Availability Statement**

The data that support the findings of this study are openly available in DataverseNL (https://dataverse.nl/) at, reference number https://doi.org/10.34894/7ICO4S.

(The dataset and associated information used in the current study will be shared publicly on the Leiden University archiving platform DataverseNL once the manuscript is accepted. According to the regulations of our unit, materials and raw/processed data associated with an accepted paper will have to be archived and publicly shared along with the final manuscript within one month after publication).

# **Ethics Approval**

Formal approval of this study was acquired from the Ethical Committee of Leiden University.

# **Participant Consent Statement**

Signed paper-form consent were acquired from the caregivers of the participants.

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# Appendix

Table A1. The items of the existing five versions of the EmQue (by country).

Vers	ions of EmQue	NL	IT	JP	SP	PT
Emo	otional Contagion					
1	When another child cries, this child gets upset too.	Х				Х
4	This child also needs to be comforted when another child is in pain.	Х	Х	Х	Х	Х
7	When another child makes a bad fall, shortly after this child pretends to fall too.	Х	Х	Х	Х	
10	When another child is upset, this child needs to be comforted too	Х	Х	Х	Х	Х
13	When another child gets frightened, my child freezes or starts to cry	х	х	х	х	х
16	When other children argue, this child gets upset.	X				Х
19	When another child cries, this child looks away.					
Atte	ention to Others					
3	When this child sees other children laughing, he/she starts laughing too.	Х	Х	Х	Х	
6	When an adult gets angry with another child, this child watches attentively.	Х	Х	Х	Х	Х
9	This child looks up when another child laughs.	Х	Х	Х	Х	Х
12	When adults laugh, this child tries to get near them.	х	Х	х	х	Х
15	This child looks up when another child cries.	х	х	х	х	Х
18	When another child is angry, this child stops his own play to watch.	X				X
20	When other children quarrel, this child wants to see what is going on.	Х				Х
Pro	social Behaviors					
2	When I make clear that I want some peace and quiet, this child tries not to bother me.	х				
5	When another child starts to crv, this child tries to comfort him/her.	Х	Х	Х	Х	Х
8	When another child gets upset, this child tries to cheer him/her up.	Х	Х	Х	Х	Х
11	When I make clear that I want to do something by myself (e.g. read), this child leaves me	х				
	alone for a while.					
14	When two children are quarreling, this child tries to stop them.	Х	Х	Х	Х	Х
17	When another child gets frightened, this child tries to help him/her.	Х	Х	Х	Х	Х

"X" means the corresponding item is included in that version of EmQue.

The abbreviations represent the country in which the corresponding version of EmQue was validated: NL = Netherlands; IT = Italy; JP = Japan; SP = Spain; PT = Portugal.

Table A2. Spearman's correlations between the study variables.

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	2.	3.	4.	5.	6.	7.
1. Age	06	06	.34***	12	18*	.22**
2. Emotional Contagion		.26**	.06	.20**	.01	39***
3. Attention to Others' Feelings			.32***	.29**	.09	.22**
4. Prosocial Behaviors				25*	17**	.39***
5. Internalizing Behaviors					.37***	23**
6. Externalizing Behaviors						24**
7. Social Competence						-

\* $p \le .05$ ; \*\* $p \le .01$ ; \*\*\* $p \le .001$ .