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Efficacy and safety of intravenous imatinib in COVID-19 ARDS: a randomized, double-blind, placebo-controlled clinical trial

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Abstract

Purpose A hallmark of acute respiratory distress syndrome (ARDS) is hypoxaemic respiratory failure due to pulmonary vascular hyperpermeability. The tyrosine kinase inhibitor imatinib reversed pulmonary capillary leak in preclinical studies and improved clinical outcomes in hospitalized COVID-19 patients. We investigated the effect of intravenous (IV) imatinib on pulmonary edema in COVID-19 ARDS.

Methods This was a multicenter, randomized, double-blind, placebo-controlled trial. Invasively ventilated patients with moderate-to-severe COVID-19 ARDS were randomized to 200 mg IV imatinib or placebo twice daily for a maximum of seven days. The primary outcome was the change in extravascular lung water index (Δ EVLWi) between days 1 and 4. Secondary outcomes included safety, duration of invasive ventilation, ventilator-free days (VFD) and 28-day mortality. Posthoc analyses were performed in previously identified biological subphenotypes.

Results 66 patients were randomized to imatinib ($n = 33$) or placebo ($n = 33$). There was no difference in Δ EVLWi between the groups (0.19 ml/kg, 95% CI $- 3.16$ to 2.77, $p = 0.89$). Imatinib treatment did not affect duration of invasive ventilation ($p = 0.29$), VFD ($p = 0.29$) or 28-day mortality ($p = 0.79$). IV imatinib was well-tolerated and appeared safe. In a subgroup of patients characterized by high IL-6, TNFR1 and SP-D levels ($n = 20$), imatinib significantly decreased EVLWi per treatment day ($- 1.17$ ml/kg, 95% CI $- 1.87$ to $- 0.44$).

Conclusions IV imatinib did not reduce pulmonary edema or improve clinical outcomes in invasively ventilated COVID-19 patients. While this trial does not support the use of imatinib in the general COVID-19 ARDS population, imatinib reduced pulmonary edema in a subgroup of patients, underscoring the potential value of predictive enrichment in ARDS trials.

Trial registration [NCT04794088](https://clinicaltrials.gov/ct2/show/study/NCT04794088), registered 11 March 2021. European Clinical Trials Database (EudraCT number: 2020-005447-23).

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Keywords COVID-19, ARDS, Imatinib, Vascular permeability, Endothelial barrier dysfunction, Pulmonary edema

Introduction

Coronavirus disease 2019 (COVID-19) can lead to the development of acute respiratory distress syndrome (ARDS) [1]. Diffuse inflammatory damage of the alveolo-capillary membrane [2] and subsequent alveolar-capillary hyperpermeability results in protein-rich pulmonary edema, eventually causing acute hypoxaemic respiratory failure [3, 4]. Patients with COVID-19 ARDS benefit from immune modulation by dexamethasone [5], interleukin-6 (IL-6) receptor inhibitors [6], Janus kinase (JAK) inhibitors [7] and monoclonal antibodies [8, 9]. However, there is no effective treatment that directly targets increased alveolar-capillary hyperpermeability [10].

The tyrosine kinase inhibitor imatinib attenuates vascular hyperpermeability and pulmonary edema in various preclinical in vitro and animal models of vascular leak [11–14]. Imatinib protects endothelial barrier integrity under inflammatory conditions by inhibiting the tyrosine kinase Arg/Abl2. Arg/Abl2 mediates endothelial barrier disruption by increasing integrin turnover and reducing endothelial cell–matrix interaction [12, 15]. Translating these findings into the clinical setting, the randomized, placebo-controlled, double-blind CounterCOVID trial examined the efficacy and safety of oral imatinib in hospitalized COVID-19 patients requiring supplemental oxygen therapy [16]. While the primary endpoint, time to discontinuation of supplemental oxygen and mechanical ventilation for more than 48 consecutive hours, was negative, oral imatinib reduced duration of invasive ventilation and length of intensive care unit (ICU) admission at 28-days and additionally reduced mortality in 90-day follow up [16, 17].

Clinical benefits of imatinib were predominantly observed in patients admitted to the intensive care unit (ICU). In a secondary analysis of the CounterCOVID trial, patients were characterized using plasma biomarkers for hierarchical clustering [18]. Three subphenotypes were identified. Notably, only patients classified into subphenotype 3, characterized by elevated levels of inflammatory cytokines and endothelial and epithelial injury biomarkers, showed a mortality benefit from imatinib treatment. These findings suggested moderation of the beneficial effect of imatinib through biological subphenotypes [18].

In this trial, a newly developed intravenous (IV) formulation was used to bypass gastrointestinal dysfunction often observed in critically ill patients. Critically ill patients often suffer from gastrointestinal dysfunction, which includes delayed gastric emptying and intestinal

edema, which may delay drug uptake and drug exposure [19, 20]. The dosing scheme was based on pre-clinical evidence of the optimal protective effect of oral imatinib on the endothelial barrier (plasma concentrations of 2–10 μM) [11] and pharmacokinetic studies of IV imatinib [21]. The CounterCOVID trial supported the above-mentioned dosing, showing that treatment with 400 mg/day was sufficient to obtain target plasma levels [22].

The InventCOVID trial evaluated the efficacy and safety of IV imatinib in patients receiving invasive ventilation for moderate-to-severe COVID-19 ARDS. We hypothesized that IV imatinib would stabilize the alveolocapillary barrier and thereby reduce pulmonary edema, quantified by extravascular lung water index (EVLWi), a validated measure of pulmonary edema [23–25]. To our knowledge, this is the first translational trial to directly target and measure pulmonary vascular leak in patients with ARDS.

METHODS

Study design and population

This phase IIb, randomized, double-blind, placebo-controlled clinical trial was performed in four academic and non-academic ICUs in the Netherlands between March 2021 and March 2022 (Fig. 1, Additional file 1: Figure S1). The trial protocol was approved by the Medical Ethics Committee of the Amsterdam UMC (location VUMC, IRB number NL75871.029.20, approved on 22-01-2021) and has been published [26]. Written informed consent was obtained from the patient's legal representative. The trial was conducted according to the principles of the World Medical Association's Declaration of Helsinki.

Patients were eligible if aged ≥ 18 years, intubated for invasive ventilation and had moderate-to-severe ARDS due to COVID-19. ARDS was classified according to Berlin criteria [27]. The patients were included in the trial as soon as possible after intubation and were excluded from participation if the anticipated start of study medication was >48 h after the start of invasive ventilation. Other exclusion criteria included a history of severe chronic pulmonary disease, ejection fraction of $<40\%$ and participation in another clinical trial. A complete overview of all exclusion criteria can be found in Additional file 1 (p. 2).

Randomization and blinding

Patients were randomized 1:1 to receive IV imatinib or placebo for 7 days. Randomization was done in the

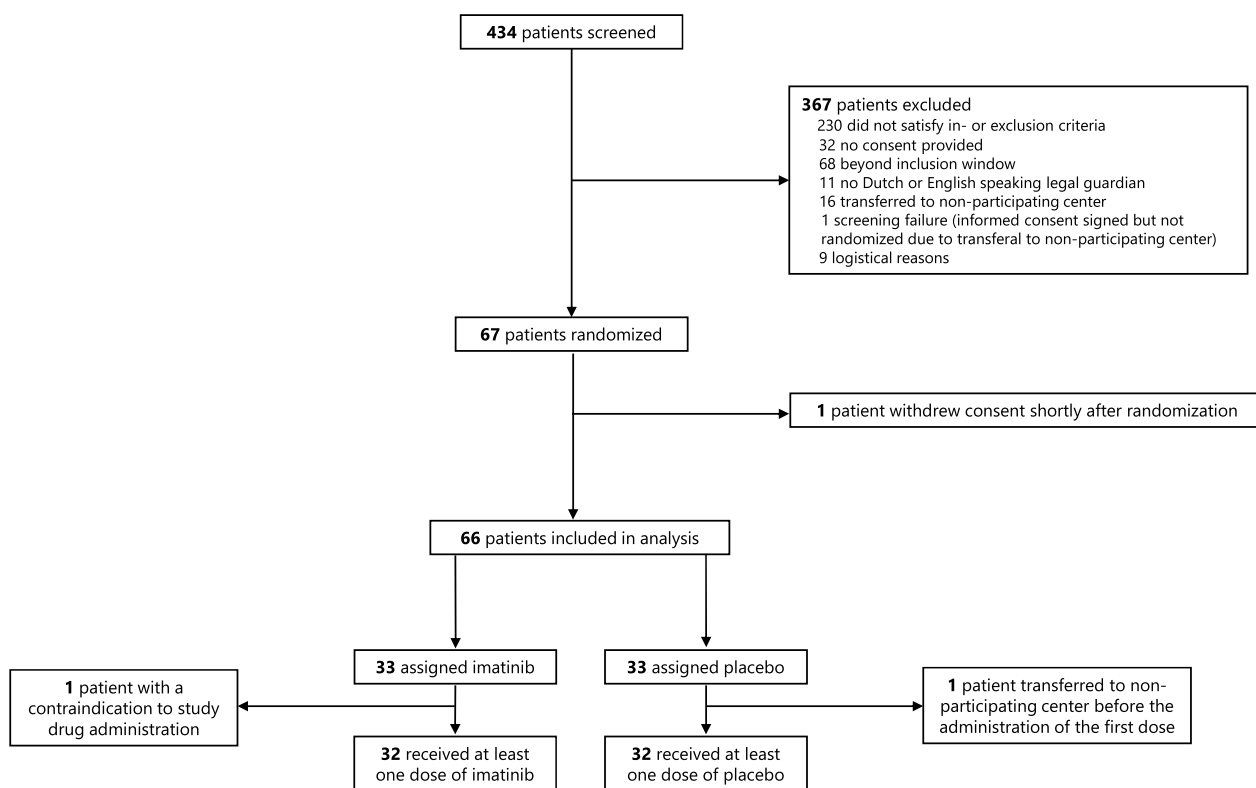


Fig. 1 Patient screening and inclusion. Screening and inclusion flowchart. Of the 67 randomized patients, 66 patients were included in the final analysis and 64 patients received at least one dose of the study medication

web-based application Castor electronic data capture using variable block sizes (4–6 patients per block) and stratification per participating center. Allocation of randomization group was only visible to the pharmacy staff preparing the treatment. The patients, clinical staff, investigators and statisticians remained blinded to randomization allocation during the entire study. Blinding was guaranteed by distribution of the study drug in amber-colored syringes and lines to conceal any color differences. The local pharmacies were responsible for the preparation of the study drug and, if necessary, for unblinding.

Study procedures

At baseline, all patients received a Pulse Contour Cardiac Output (PiCCO; Pulsion Medical Systems, Munich, Germany) catheter. PiCCO catheter placement was performed as part of a deferred consent procedure and replaced the standard care arterial line. Extravascular lung water (EVLW) measurements were performed daily for seven days or until transfer to the ward, as previously described [28, 29]. EVLW was indexed to predicted body weight.

After randomization and PiCCO measurement, the study drug was administered twice daily as a 25 ml, two-hour infusion for seven days or until ICU discharge. The study drug consisted of a 9.6 mg/mL imatinib mesylate solution (Exvastat Ltd, Cambridge, United Kingdom), corresponding to 200 mg imatinib per dose, or placebo. Clinical and ventilation parameters were recorded on days 1,2,4,7,10 and 28. Ventilation parameters were recorded once per hour, and partial oxygen pressure (PaO₂) at least once per shift (i.e. every 4 h) or more frequently, if clinically indicated. Ventilation parameters were collected at the time of the lowest ratio of PaO₂ to the fraction of inspired oxygen (FiO₂). Blood samples were collected for plasma biomarker analyses (Additional file 1: Table S1).

Adverse events (AEs) and serious adverse events (SAEs) were recorded until day 28. Due to the high incidence of adverse events in the ICU, only prespecified events were recorded (Additional file 2, pp. 26–29). Reporting was conducted according to the Council for International Organizations of Medical Sciences (CIOMS) reporting guidelines. Safety was assessed using clinical laboratory tests and electrocardiograms (ECG) at baseline and on days 1,2,4,7 and 10. Details on

predefined stopping criteria, are described in the protocol (Additional file 2, pp. 24–25). Patients discharged before day 28 were contacted by telephone on day 28 to evaluate their clinical status.

Prespecified outcomes

The primary endpoint was the change (Δ) in EVLWi between days 1 and 4. We chose this period to capture the effect of imatinib during the exudative phase of ARDS, characterized by vascular leak and alveolar flooding [3, 30, 31]. We hypothesized that this period would be the window-of-opportunity in which imatinib could best exert its vasculoprotective effect.

The following key secondary outcomes were analyzed in hierarchical order: change in P_aO_2/FiO_2 ratio, number of ventilator-free days (VFD), length of ICU, hospital length of stay and 28-day mortality. Other explorative secondary endpoints included the duration of invasive ventilation, change in the pulmonary vascular permeability index (PVPi) and plasma biomarker concentrations (Additional file 1: Table S1), ventilation parameters, Sequential Organ Failure Assessment (SOFA) score and the 9-point World Health Organization (WHO) ordinal scale for clinical improvement (all specified in Additional file 1).

Sample size calculation

A sample size of 90 patients was determined to demonstrate a 25% reduction in EVLWi, with 80% power and a type 1 error rate of 0.05. The calculation was based on an anticipated baseline EVLWi of 17 ml/kg with a standard deviation (SD) of 7 ml/kg. This was based on previously performed EVLW measurements in patients with moderate to severe ARDS [32–34]. The expected EVLWi reduction of 25% was based on preclinical data [11].

The study protocol (Additional file 2) allowed for a sample size re-estimation in case of falling recruitment rates. Revision of the power calculation was supported by a lower variation in EVLWi than initially anticipated based on data in non-COVID-19 ARDS (i.e. SD of 4.9 instead of 7.0). Re-estimation was done by updating the assumptions using pre-randomization data from the 66 patients included at the time of re-estimation. The estimated difference between groups ($\mu_1 - \mu_2$) was left unchanged, as we were not able to re-estimate the treatment effect without unblinding. Based on the observed mean EVLWi at $n=66$ of 16.5 ml/kg with an SD of 4.9 and assuming an alpha of 5%, 19 patients per allocated group were considered sufficient to detect a 25% reduction in EVLWi between the groups at 80% power. Therefore, recruitment was halted after 67 patients.

Statistical analysis

Statistical analyses were performed in the intention-to-treat (ITT) population of 66 randomized patients. An overview of predefined statistical tests is provided in the Statistical Analysis Plan (Additional file 3). In summary, normal distribution was tested using the Shapiro–Wilk test. Baseline imbalances were defined as a difference of $\geq 5\%$ between the placebo and imatinib groups, and baseline imbalances deemed clinically relevant to the primary outcome by consensus were adjusted for in subsequent analyses. Categorical data were expressed as numbers and percentages, and differences between categorical variables were tested using a Chi-square test. Continuous data were expressed as mean \pm SD or median with interquartile range [IQR]. Differences between continuous variables were analyzed depending on parametric or non-parametric distribution using a two-tailed t-test, or Mann–Whitney-U-test, respectively.

The primary endpoint was presented as mean \pm SD and analyzed using a two-tailed t-test. In addition, an analysis of covariance (ANCOVA) was performed. For the primary endpoint, data imputation was performed in case of missing EVLWi values. In case of missing values on day 1, the data from day 2 was carried backwards. In case of missing values at day 4, the value was imputed by carrying the day 3 value forward, or, in case of no day 3 measurement, carrying the day 5 value backwards. For sensitivity analysis, the primary endpoint was analyzed in the ITT population without data imputation. In addition, a per-protocol analysis of the primary endpoint was performed in all patients missing ≤ 1 study drug dose in the first four study days and who had no missing EVLWi measurements on days 1 and 4.

28-day mortality was analyzed using a Cox proportional hazards model and visualized using a Kaplan–Meier curve. EVLWi, PVPi, ventilation parameters, plasma biomarkers and clinical laboratory outcomes over the first seven days were analyzed using linear mixed effect (LME) models, with time point, randomization group and their interaction term as fixed effects, study ID as random intercept and, in case of baseline imbalances, relevant covariates. For LME analyses, logarithmic transformation was applied to non-normally distributed data.

We performed a posthoc analysis applying the three biomarker-derived subphenotypes identified in the CounterCOVID trial [18]. Using the *nnet* package [35], a multinomial logistic regression model was trained using data from the CounterCOVID trial with plasma levels of interleukin (IL)-6, tumor necrosis factor receptor 1 (TNFR1), surfactant protein (SP)-D and angiopoietin (Ang)-2 to Ang-1 ratio measured at baseline as predictors of cluster allocation. Based on pre-treatment biomarker data obtained from the InventCOVID patients and using

the *predict()* function in R studio [36], this model was used to classify patients into three subphenotypes: i.e. subphenotype 1 (high IL-6, high TNFR1, low SP-D), subphenotype 2 (low IL-6, low TNFR1, low SP-D) and subphenotype 3 (high IL-6, high TNFR1, high SP-D). LME modelling of ΔEVLW_i per treatment day was repeated in the subphenotypes. Statistical analyses were performed using R, version 4.1.3 and RStudio, version 2022.02.1.

Results

Patient characteristics

Between March 2021 and March 2022, 434 patients admitted to the ICU were screened for trial participation. Of these, 67 patients underwent randomization. One patient withdrew consent shortly after randomization, before receiving the first dose of study medication, and was excluded from further analysis. The study medication was not administered in two patients because of a contraindication to study drug administration and transferal to a non-participating hospital before administration of the first dose. Altogether, the ITT population contained 33 patients per arm (Fig. 1).

Baseline patient characteristics were largely balanced between the two groups. Imbalances in age, BMI and d-dimer levels were deemed clinically relevant (Table 1) and adjusted for in the analysis of primary and secondary outcomes. 53 patients (80%) were classified as moderate ARDS and 13 patients (20%) as severe ARDS. All patients received dexamethasone treatment and 91% received IL-6 receptor inhibitors (Table 1). Additionally, 15 patients (23%) received monoclonal antibodies (Additional file 1: Table S2). The median duration of study treatment was 7 days [4, 7]. The most common reasons for study drug discontinuation were transferal to a non-participating hospital and discharge to the ward before study day 7 (Additional file 1: Table S3).

Primary outcome

No significant difference in mean ΔEVLW_i between days 1 and 4 was found between the two groups (difference 0.19 ml/kg, 95% CI - 3.16 to 2.77, $p=0.90$, Table 2, Fig. 2A). This finding was confirmed by ANCOVA using the randomization group and individual patients as covariates ($p=0.39$, Table 2). Four patients (6%) did not have a day 1 EVLW_i measurement and 10 patients (15%) did not have a day 4 measurement. These values could be imputed from a previous or subsequent day in 7 of the 14 patients (50%). Sensitivity analysis without imputation of missing EVLW_i data comprising 52 patients (78%) and the per-protocol analysis containing 48 patients (72%) both did not reveal significant differences in ΔEVLW_i (Table 2).

Secondary outcomes

The median number of VFDs was 14 days [0, 23] in the imatinib group versus 19 days [0, 24] in the placebo group ($p=0.29$) (Table 3). There was no significant difference in the median duration of invasive ventilation, ICU stay and hospital stay (Table 3). The unadjusted hazard ratio for mortality was 0.89 (95% CI 0.39–2.07, $p=0.79$, Fig. 3). No difference in $\text{PaO}_2/\text{FiO}_2$ ratio, EVLW_i , PVPi, oxygenation index, driving pressure, compliance, mechanical power, SOFA score or 9-point WHO ordinal scale for clinical improvement was observed between groups (Table 4, Fig. 2B; Additional file 1: Figure S2, S3 and S6).

Safety

The reported AEs and SAEs are listed in Table 5. AEs and SAEs were recorded in 18 imatinib-treated patients and 17 placebo-treated patients. 16 patients (50%) in the imatinib group and 11 patients (34%) in the placebo group had at least one SAE. The most frequently reported AEs were pulmonary embolism and bacteremia. The most frequently reported SAE was respiratory failure. Respiratory failure events were reported more frequently in the imatinib group (11 versus four patients, respectively). Four cases of respiratory failure in the imatinib group occurred after study day 28 but were reported in adherence to the European Commission's CT3 guideline. In 18 patients, the final outcome of a reported SAE was death, with 10 deaths in the imatinib group and eight deaths in the placebo group. No infusion reactions due to imatinib administrations were observed and no AEs or SAEs were attributed to imatinib treatment.

Laboratory test results over time are displayed in Table S4 and Figure S4 (Additional file 1). There was no difference in hemoglobin, liver function and kidney function between the groups. Patients receiving imatinib showed a larger increase in leucocyte count over time. No difference in the course of NT-proBNP or QTc time was observed between the groups.

Posthoc analysis

Biomarker analyses are provided in Table S5 and Figure S5 (Additional file 1). Based on the three subphenotypes identified in the CounterCOVID trial, 43 patients were classified into subphenotype 1, 20 patients into subphenotype 3 and none in the milder subphenotype 2. Three patients had no baseline plasma biomarker measurements and could not be assigned. Subphenotype characteristics and biomarker levels can be found in Table S6, S7 and S8 (Additional file 1). In the LME analysis modelling EVLW_i over the 7 treatment days, a significant difference was found in patients classified into subphenotype

Table 1 Baseline demographic and clinical patient characteristics

	Placebo	Imatinib
n	33	33
<i>Admission characteristics</i>		
Age in years, mean (SD)	62 (11.4)	63 (9.3)
Male sex, n (%)	19 (58)	19 (58)
BMI in kg/m ² , median [IQR]	30 [28, 35]	28 [25, 32]
ARDS classification (Berlin criteria)		
Severe, n (%)	6 (18)	7 (21)
Moderate, n (%)	27 (82)	26 (79)
SOFA score, median [IQR]	8 [7.0, 8.0]	7 [6.8, 9.0]
Charlson comorbidity score, median [IQR]	2 [1, 4]	2 [1, 4]
Fluid balance in liters, median [IQR]	0.46 (1.01)	0.12 (1.3)
QTc in msec, mean (SD)	451.0 (31.6)	447.8 (29.6)
IL-6 receptor inhibitors*, n (%)	30 (91)	30 (91)
Dexamethasone, n (%)	31 (94)	30 (91)
Completed 10-day dexamethasone treatment before ICU admission, n (%)	2 (6)	3 (9)
Vaccinated, n (%)		
No	19 (58)	14 (42)
Yes	6 (18)	11 (33)
Unknown	8 (24)	8 (24)
Time from symptoms until intubation in days, median [IQR]	11 [8, 15]	12 [9, 13]
<i>Comorbidities[†]</i>		
COPD, n (%)	1 (3)	0 (0)
Heart failure, n (%)	0 (0)	0 (0)
Renal failure, n (%)	2 (6)	2 (6)
Myocardial infarction, n (%)	1 (3)	2 (6)
<i>Ventilation and gas exchange</i>		
TV/PBW in ml/kg, median [IQR]	6.2 [5.8, 7.2]	6.2 [5.6, 7.5]
PaO ₂ /FiO ₂ in mmHg, mean (SD)	138 (35.8)	130 (34.9)
PEEP in cmH ₂ O, mean (SD)	11.2 (2.3)	10.6 (2.8)
<i>Laboratory measurements</i>		
Hemoglobin in g/dL, median [IQR]	13.5 [13.1, 13.9]	13.1 [12.3, 13.9]
Leucocytes × 10 ⁹ /L, median [IQR]	10.8 [7.7, 14.6]	10.1 [8.4, 16.2]
Thrombocytes × 10 ⁹ /L, median [IQR]	267 [223, 348]	265 [227, 367]
D-dimer in mg/L, median [IQR]	1.7 [0.9, 4.0]	3.5 [1.3, 12.7]
Creatinine in micromol/L, median [IQR]	90 [65, 108]	84 [71, 121]
NTproBNP in pg/ml, median [IQR]	140 [62, 424]	169 [74, 471]
AST in U/L, median [IQR]	56 [48, 88]	53 [38, 77]
ALT in U/L, median [IQR]	57 [40, 114]	41 [27, 62]
<i>PiCCO measurements</i>		
EVLW in ml, median [IQR]	1039.0 [884.5, 1185.8]	1026.5 [849.3, 1363.5]
EVLWi in ml/kg, median [IQR]	15.2 [12.8, 18.5]	15.3 [13.7, 19.5]
PVPI, median [IQR]	3.1 [2.6, 3.9]	3.7 [2.8, 4.7]

ALT alanine transaminase; ARDS Acute Respiratory Distress Syndrome; AST aspartate transaminase; BMI Body Mass Index; COPD chronic obstructive pulmonary disease; COVID-19 Coronavirus disease 2019; EVLW(i) extravascular lung water (index); FiO₂ fraction of inspired oxygen; ICU intensive care unit; IL-6 interleukin-6; IQR interquartile range; NTproBNP N-terminal prohormone brain natriuretic peptide; PaO₂ partial pressure of oxygen; PCR Polymerase chain reaction; PEEP positive end-expiratory pressure; PiCCO pulse contour cardiac output; PVPI pulmonary vascular permeability index. QT_c corrected QT interval time; SARS-CoV-2 Severe acute respiratory syndrome coronavirus 2; SD standard deviation; SOFA Sequential Organ Failure Assessment; TV/PBW tidal volume indexed to predicted body weight; PVPI pulmonary vascular permeability index

* Tocilizumab (8 mg/kg single intravenous administration) or sarilumab (400 mg single intravenous administration) administered upon Intensive Care Unit admission

† Known history of the disease at the moment of randomization

Table 2 Trial efficacy endpoints: Change in extravascular lung water index between days 1 and 4 (primary outcome)

Population	Test	Placebo	Imatinib	Effect size	t/F-value	df	95% CI	P-value
ITT (n=66)	T-test	- 1.37	- 1.18	0.19	- 0.13	41.63	- 3.16 to 2.77	0.90
PP (n=49)	T-test	- 1.35	- 1.34	0.01	- 0.00	32.32	- 3.70 to 3.71	0.99
Sensitivity (n=52)	T-test	- 1.34	- 1.17	0.17	- 0.10	35.07	- 3.64 to 3.30	0.92
ITT	ANCOVA	-	-	-	0.76	1	-	0.39

Table summarizing the primary endpoint analysis in the intention-to-treat (ITT) and per-protocol (PP) population, including the sensitivity analysis without imputation of missing data

ANCOVA analysis of covariance; CI confidence interval; df degrees of freedom, EVLWi extravascular lung water index in ml/kg; ITT intention-to-treat; PP per-protocol

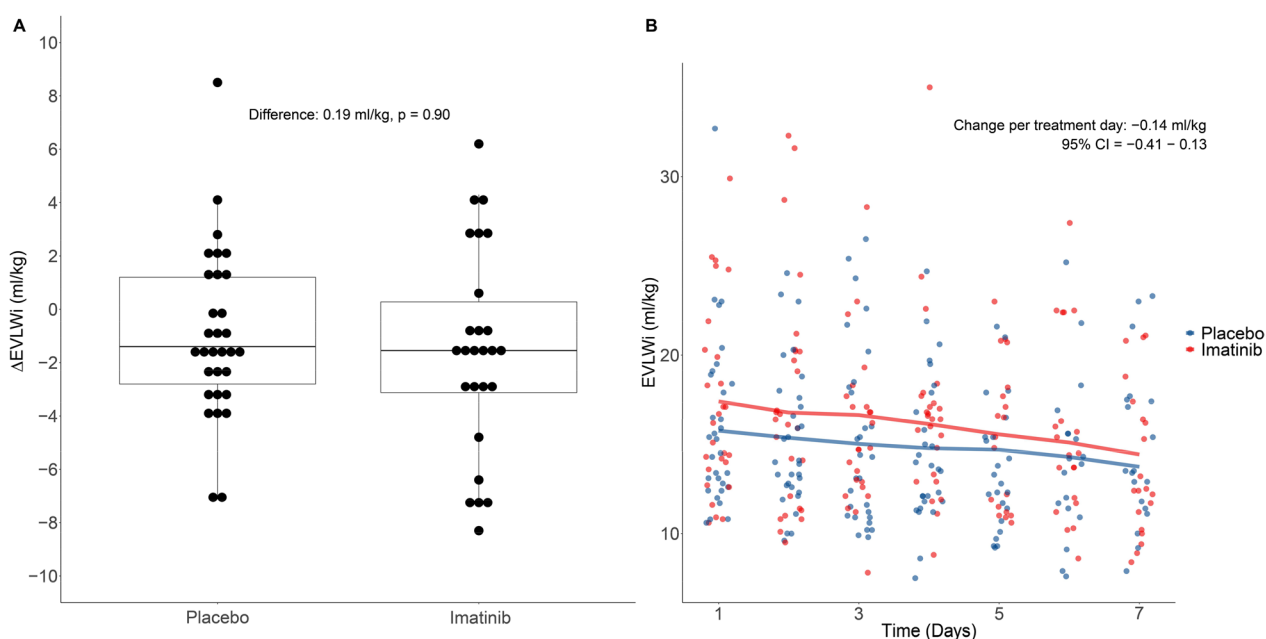


Fig. 2 The primary endpoint and extravascular lung water index (EVLWi) over time, stratified by randomization group. Boxplot (A) depicting the distribution of the change in EVLWi in the imatinib group versus the placebo group between baseline (day 1) and day 4. Scatterplot (B) depicting the dynamic changes of the EVLWi per treatment day in the imatinib group versus the placebo group from day 1 to day 7

Table 3 Trial efficacy endpoints: Key secondary outcomes

	Placebo	Imatinib	Effect size (95% CI)	p value
28-day mortality, n (%)	6 (18)	5 (15)	HR: 0.89 (0.39 to 2.07)	0.79
VFD, median days [IQR]*	19 [0, 24]	14 [0, 23]	HL: 1.00 (- 0.99 to 9.00)	0.29
Sensitivity analysis†	19 [0, 24]	14 [0, 23]	HL: 1.00 (- 1.00 to 9.00)	0.36
Duration of ICU stay, median days [IQR]‡	11 [6, 28]	23 [7, 28]	HL: 0.00 (- 0.11 to 0.00)	0.25
Hospital length of stay, median days [IQR]‡	21 [13, 28]	28 [13, 28]	HL: - 2.00 (- 8.00 to 0.00)	0.20

Table summarizing the secondary outcomes at 28 days

HL Hodges—Lehmann estimator; HR Hazard ratio; ICU intensive care unit; IQR interquartile range; VFD ventilator-free days

* Death penalized by assigning 0 days

† Death penalized by assigning -1 days

‡ Death penalized by assigning 28 days

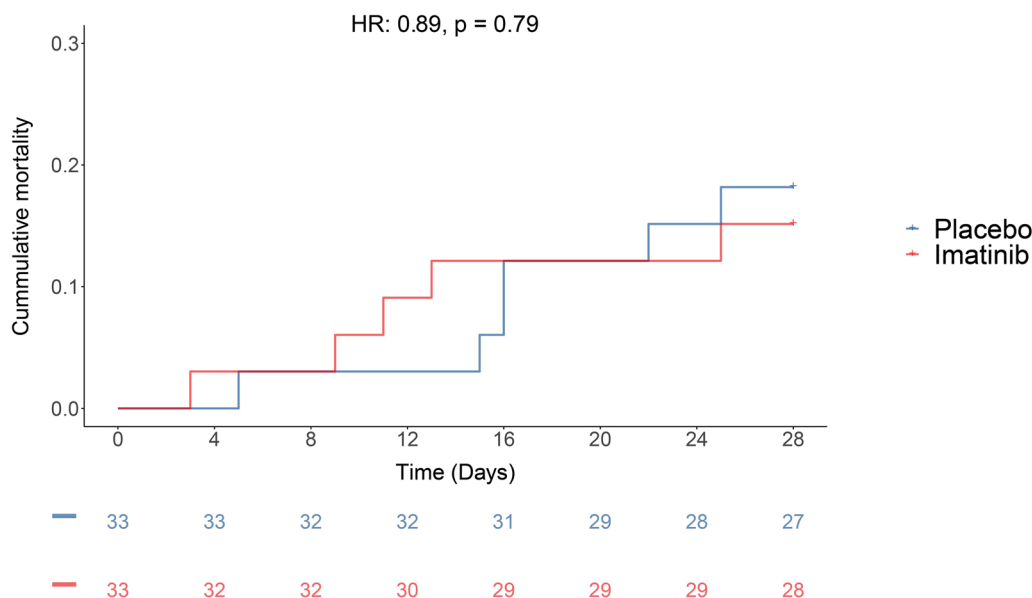


Fig. 3 Kaplan-Meier curve of the 28-day mortality rate. Kaplan-Meier curve depicting time-to-event analysis of the 28-day mortality rate in patients treated with imatinib and placebo. The unadjusted hazard ratio was calculated by Cox regression analysis

Table 4 Trial efficacy endpoints: P_aO_2/FiO_2 ratio and exploratory outcomes

Population	Outcome	Change per day exposed to treatment	95% CI
ITT	P_aO_2/FiO_2^*	-0.09	-4.11 to 4.01
ITT	EVLWi	-0.14	-0.41 to 0.13
PP	EVLWi	-0.68	-1.39 to 0.017
ITT	Oxygenation index	+0.32	-0.21 to 0.83
ITT	Driving pressure	+0.31	-0.21 to 0.83
ITT	Compliance	-1.54	-4.66 to 1.54
ITT	Mechanical power	+0.54	-0.40 to 1.46
ITT	PVPi	-0.05	-0.12 to 0.01
ITT	SOFA score	-0.08	-0.32 to 0.16

Table summarizing the secondary outcomes assessed by linear mixed effect modelling, with the placebo group as the reference group

CI confidence interval; EVLWi extravascular lung water index in ml/kg; ITT intention-to-treat; P_aO_2/FiO_2 partial pressure of oxygen to fraction of inspired oxygen (FiO_2) ratio; PP per-protocol; PVPi pulmonary vascular permeability index; SOFA sequential organ failure assessment

* Prioritized secondary outcome

3 receiving imatinib (Δ EVLWi per treatment day, with placebo as the reference group: -1.17 ml/kg, 95% CI -1.87 to -0.44 , Fig. 4B). In subphenotype 1, no significantly different change in EVLWi over time was observed between the two groups (Fig. 4A).

Discussion

Administration of IV imatinib in invasively ventilated patients with COVID-19 ARDS had no significant effect on Δ EVLWi between days 1 and 4, indicating that imatinib did not reduce pulmonary edema. Imatinib did not improve clinical outcomes such as oxygenation, duration of invasive ventilation or 28-day mortality. IV imatinib was well-tolerated, with no adverse events attributed to imatinib administration. A posthoc analysis in previously identified subphenotypes suggests that IV imatinib reduced EVLWi in a subgroup of patients characterized by high levels of IL-6, TNFR1 and SP-D.

This trial follows up on the CounterCOVID trial, which showed that oral imatinib, in hospitalized COVID-19 patients, resulted in a shorter duration of invasive ventilation and a lower 90-day mortality [16, 17]. There are several possible explanations why imatinib did not reduce pulmonary edema and did not improve clinical outcomes in the current study. The first explanation comprises the underlying heterogeneity of COVID-19 ARDS [37]. By applying previously identified subphenotypes [18], we aimed to investigate whether the biological heterogeneity found in the CounterCOVID trial may explain the absence of an effect observed in the current trial. While the sample size of the subphenotype groups is limited, subgroup analysis revealed a significant reduction in EVLWi one biological subphenotype, suggesting that

Table 5 Treatment-emergent adverse and serious adverse events, stratified by treatment group

	AEs			SAEs		
	Placebo n = 32	Imatinib n = 32	Total n = 64	Placebo n = 32	Imatinib n = 32	Total n = 64
Any treatment-emergent adverse events, n (%)	16 (50)	18 (56)	34 (53)	11 (34)	16 (50)	27 (42)
Anemia	0 (0)	0 (0)	0 (0)	2 (6)	1 (3)	3 (5)
Fatal outcome*, n (%)	0 (0)	0 (0)	0 (0)	8 (25)	10 (31)	18 (28)
<i>Respiratory disorders</i>						
Bacterial pneumonia, n (%)	4 (13)	0 (0)	4 (6)	0 (0)	0 (0)	0 (0)
Viral pneumonia (herpes), n (%)	1 (3)	0 (0)	1 (2)	0 (0)	0 (0)	0 (0)
Aspiration pneumonia, n (%)	1 (3)	0 (0)	1 (2)	0 (0)	0 (0)	0 (0)
Fungal pneumonia, n (%)	2 (6)	3 (9)	5 (7.8)	1 (3)	0 (0)	1 (2)
Pneumonia unknown pathogen, n (%)	1 (3)	1 (3)	2 (3)	0 (0)	0 (0)	0 (0)
Respiratory failure, n (%) [†]	1 (3)	0 (0)	1 (2)	4 (13)	11 (34)	16 (23)
Pneumothorax, n (%)	0 (0)	1 (3)	1 (2)	0 (0)	0 (0)	0 (0)
Pulmonary embolism, n (%)	3 (9)	6 (19)	9 (14)	0 (0)	0 (0)	0 (0)
Laryngeal edema, n (%)	0 (0)	0 (0)	0 (0)	0 (0)	1 (3)	1 (2)
<i>Infections</i>						
Bacteremia, n (%)	3 (9)	5 (16)	8 (3)	0 (0)	0 (0)	0 (0)
Infection of unknown origin, n (%)	1 (3)	4 (13)	5 (8)	0 (0)	0 (0)	0 (0)
Sepsis, n (%)	0 (0)	1 (3)	1 (2)	0 (0)	0 (0)	0 (0)
Septic shock, n (%)	0 (0)	0 (0)	0 (0)	4 (13)	2 (6)	6 (9)
Ischemic stroke, n (%)	0 (0)	0 (0)	0 (0)	1 (3)	0 (0)	1 (2)
<i>Vascular</i>						
Arterial thrombosis, n (%)	0 (0)	1 (3)	1 (2)	0 (0)	0 (0)	0 (0)
Retroperitoneal hemorrhage, n (%)	0 (0)	0 (0)	0 (0)	1 (3)	0 (0)	1 (2)
<i>Cardiac disorders</i>						
Hyperkalemia-induced cardiac arrest, n (%)	0 (0)	0 (0)	0 (0)	1 (3)	0 (0)	1 (2)
Life-threatening arrhythmia, n (%)	0 (0)	0 (0)	0 (0)	0 (0)	1 (3)	1 (2)
Right ventricular failure, n (%)	0 (0)	0 (0)	0 (0)	0 (0)	1 (3)	1 (2)
Renal replacement therapy initiation, n (%)	0 (0)	0 (0)	0 (0)	1 (3)	4 (13)	5 (8)
Muscle necrosis, n (%)	0 (0)	0 (0)	0 (0)	1 (3)	0 (0)	1 (2)
Severe dyskinesia, n (%)	0 (0)	0 (0)	0 (0)	1 (3)	0 (0)	1 (2)
Skin rash, n (%)	1 (3)	1 (3)	2 (3)	0 (0)	0 (0)	0 (0)

Table summarizing the occurrence of adverse and serious treatment-emergent adverse events and the frequency of fatal outcomes of patients treated with imatinib or placebo (n = 64)

AEs adverse events; SAEs serious adverse events

* 7 deaths were reported beyond study day 28 in adherence with the CT3 guidelines of reporting adverse events in medicinal studies with human subjects. [†]4 cases of respiratory failure were reported beyond study day 28 (4 in the imatinib group, 0 in the placebo group)

predictive enrichment using ARDS severity classification was insufficient. Notably, a minority of patients were classified into this subphenotype, which may explain the overall neutral finding of the trial.

Second, a notable difference with the CounterCOVID trial was that in the current trial, virtually all patients received IL-6 receptor inhibitors before starting imatinib treatment. Although attenuation of pulmonary endothelial barrier disruption was the hypothesized working mechanism of imatinib, an immune-modulating effect was previously observed [12, 18, 38, 39]. Potentially, this

beneficial effect was already achieved by concomitant treatment with immunomodulatory drugs, making it more challenging to find an additional effect of imatinib. An argument against this is that imatinib treatment did not result in a decrease in IL-6 levels, providing no direct evidence for immune modulation through IL-6 reduction. It is unlikely that a potential difference in IL-6 levels was masked by the use of IL-6 receptor blockers, as these tend to cause an increase in IL-6 concentrations [40, 41].

Third, the timing of treatment may have been too late. Imatinib may not be able to effectively reverse vascular

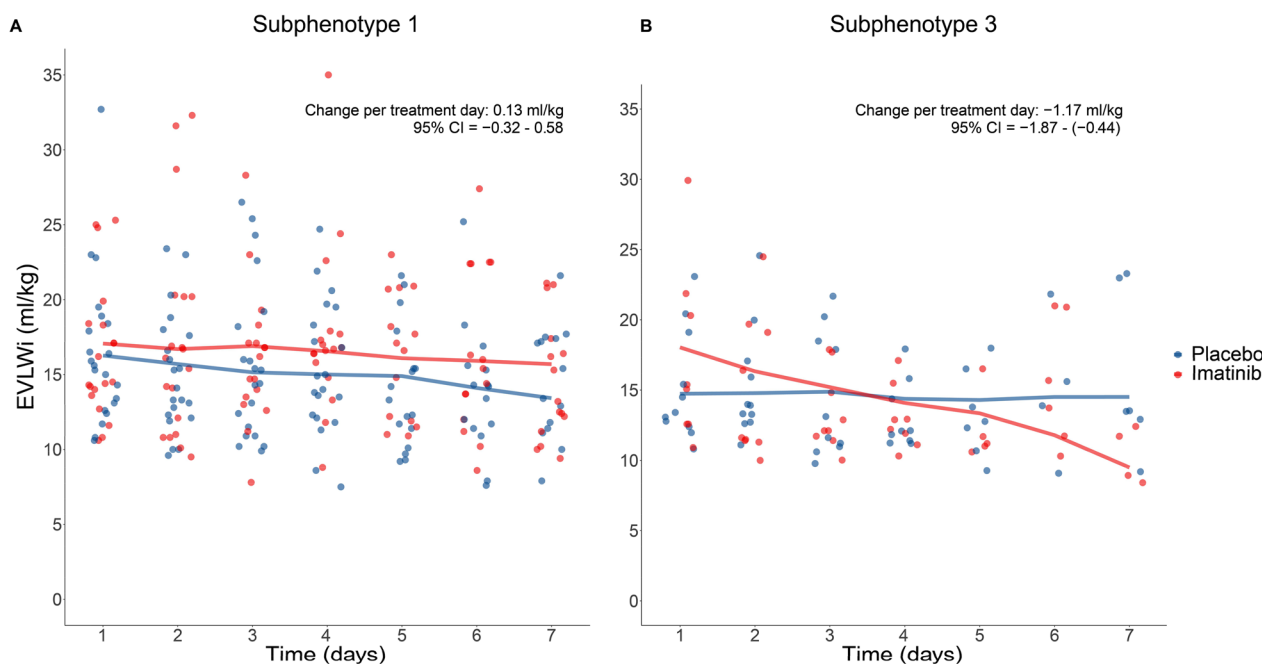


Fig. 4 The change in extravascular lung water index over time, stratified by treatment group in subphenotypes 1 and 3. Scatterplots depicting the dynamic changes of the extravascular lung water index (EVLWi) per treatment day in the imatinib group versus the placebo group from day 1 to day 7 in subphenotype 1 and subphenotype 3

leak and pulmonary edema once the alveolocapillary barrier has suffered excessive damage. The high baseline EVLWi and PVPi levels suggest that the peak in pulmonary edema formation likely occurred before intubation. This could explain the discrepancy with the beneficial clinical effect seen in the CounterCOVID ICU population, in whom imatinib treatment was started within 24 h after hospital admission [16]. We thus suggest that optimal start of alveolar endothelial barrier-enhancing drugs is before intubation.

Fourth, autopsy studies in COVID-19 patients have revealed extensive endothelial injury [42], the relative contribution of endothelial dysfunction to pulmonary edema development and clinical outcome may be outweighed by extensive inflammation and alveolar epithelial cell injury [43, 44]. Lastly, although the daily dosing in the current study was similar to that in the CounterCOVID trial (400 mg/day), pharmacokinetic analysis of the CounterCOVID data demonstrated that the free fraction of imatinib inversely correlates with acute-phase proteins [22]. The pharmacokinetics of imatinib may thus be perturbed in conditions of critical illness, potentially affecting the dose–response relationship in the current study population.

This was the first trial to intravenously administer imatinib and evaluate its safety. While no clear safety concerns were observed, a critical view of the data could

indicate a trend towards more (serious) adverse events in the imatinib group. However, these differences were not statistically significant, and none of the AEs could be attributed to the treatment of imatinib. A larger trial is necessary to provide a definitive answer to the question of safety of IV imatinib in critically ill patients.

A strength of this trial was that by using EVLWi as a measure of pulmonary edema, we could directly translate the preclinical hypothesis of the effect of imatinib on vascular leak to measurement in the clinical setting. EVLWi is a validated measure of pulmonary edema and an independent prognostic factor in patients with ARDS [29, 45]. Moreover, COVID-19 ARDS is characterized by higher EVLWi than non-COVID ARDS [46]. Thus, despite the neutral findings of this trial, we remain confident that it was a well-chosen endpoint for a phase IIb study investigating the efficacy of a drug targeting pulmonary vascular hyperpermeability. Another strength of the study design is the collection of a comprehensive array of parameters to study vascular leak in ARDS, such as transpulmonary thermodilution, ventilation parameters and plasma biomarker data. This provides an unprecedented biological and clinical characterization of vascular leak in ARDS patients. Moreover, this trial was conducted in a period in which dexamethasone [5], IL-6 receptor inhibitors [6] and monoclonal antibodies [9] had already been implemented

for the management of COVID-19, thus reflecting current pharmacotherapeutic practice.

Some limitations should be acknowledged. EVLW measurements can be affected by external and patient factors, such as ventilator settings [47] and rhythm disturbances [47], potentially causing inaccuracies. Moreover, imputation of missing EVLWi data may have introduced over- or underestimation. Attrition due to transfer to non-participating centers in the context of the pandemic lead to a relatively high rate of patients in whom the study drug had to be prematurely discontinued. Lastly, concomitant treatment with IL-6 receptor inhibitors may have affected subphenotype attribution in the posthoc analysis, as clustering was based on data from the CounterCOVID trial, which was conducted before the introduction of tocilizumab.

The study's findings have several implications. The neutral results of this study question the efficacy of targeting endothelial barrier disruption as a strategy in ARDS. Although preventing or attenuating endothelial barrier disruption may form a suitable strategy to prevent reaching the state of ARDS, the temporal dynamics of vascular leak in ARDS are still insufficiently understood. This precludes the current implementation of imatinib for the general COVID-19 ARDS population or translation to all-cause ARDS. A second implication is that a certain subpopulation may benefit from imatinib. Further clinical and biological characterization of subphenotypes is necessary here. Finally, the trial results underscore the importance of what is taking an increasingly central role in ARDS research: the characterization of biologically distinct subphenotypes for improved predictive enrichment in trials that examine potential treatments.

In conclusion, IV imatinib did not reduce EVLWi in invasively ventilated patients with COVID-19 ARDS. The administration of IV imatinib was well-tolerated and did not result in major safety concerns. Possible explanations for the lack of observed benefit include the biological heterogeneity of COVID-19 ARDS, concomitant use of immune-modulating medication and/or timing of imatinib administration. Further characterization of the imatinib-responsive subphenotype in future studies may help identify patients who benefit from vascular barrier-enhancing drugs.

Abbreviations

ARDS	Acute respiratory distress syndrome
COVID-19	Coronavirus disease 2019
EVLW	Extravascular lung water
EVLWi	Extravascular lung water index
ICU	Intensive care unit
LUS	Lung ultrasound
PICCO	Pulse contour cardiac output monitoring
VFD	Ventilator-free days

Supplementary Information

The online version contains supplementary material available at <https://doi.org/10.1186/s13054-023-04516-4>.

Additional file 1. Supplementray figures and tables.

Additional file 2. The study protocol.

Additional file 3. Statistical Analysis Plan.

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Author contributions

JA, JS, LA and LB had full access to all data and guarantee data integrity. AVN, HJB, JA, LB, LH, MS, NJ and PRT were responsible for the trial design and for creating the study protocol. Patient enrolment and data collection were done by JS, LA and ED. Data management was performed by Simbec-Orion, JS and LA. Statistical analyses, interpretation of the data and drafting of the manuscript were done by JA, JS, LA and LB. All authors revised the manuscript. JA, JS, LA and LB were responsible for the decision to submit for publication. All authors read and approved the final manuscript.

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Availability of data and materials

All anonymized patient data will be available after the publication of the article. The data can be requested from the corresponding author (jaman@amsterdamumc.nl) by other researchers when reuse conditions are met.

Declarations

Ethics approval and consent to participate

The medical ethics review committee of the Amsterdam UMC, location VUMC (reference number 2020.0752, approved on 21 January 2021) approved the InventCOVID trial and written informed consent for the use of clinical data, PICCO measurements and blood samples was obtained from the patient or their legal representatives. The trial was conducted according to the principles of the World Medical Association's Declaration of Helsinki.

Consent for publication

Not applicable.

Competing interests

JA and AVN are inventors of a patent (WO2012150857A1; 2011) covering protection against endothelial barrier dysfunction through inhibition of the tyrosine kinase abl-related gene (arg). JA reports serving as a non-compensated scientific advisor for Exvastat. All other authors declare no competing interests.

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