

**Early detection of pancreatic cancer in high-risk individuals** Klatte, D.C.F.

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# PART I

Effectiveness of pancreatic cancer surveillance in carriers of a germline *CDKN2A* pathogenic variant

## Pancreatic Cancer Surveillance in Carriers of a Germline *CDKN2A* Pathogenic Variant: Yield and Outcomes of a 20-Year Prospective Follow-Up



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#### ABSTRACT

#### Purpose

Pancreatic cancer surveillance in high-risk individuals may lead to detection of pancreatic ductal adenocarcinoma (PDAC) at an earlier stage and with improved survival. This study evaluated the yield and outcomes of 20 years of prospective surveillance in a large cohort of individuals with germline pathogenic variants (PVs) in *CDKN2A*.

#### Methods

Prospectively collected data were analyzed from individuals participating in pancreatic cancer surveillance. Surveillance consisted of annual magnetic resonance imaging with magnetic resonance cholangiopancreatography and optional endoscopic ultrasound.

#### Results

Three hundred forty-seven germline PV carriers participated in surveillance and were followed for a median of 5.6 (interquartile range 2.3-9.9) years. A total of 36 cases of PDAC were diagnosed in 31 (8.9%) patients at a median age of 60.4 (interquartile range 51.3-64.1) years. The cumulative incidence of primary PDAC was 20.7% by age 70 years. Five carriers (5 of 31; 16.1%) were diagnosed with a second primary PDAC. Thirty (83.3%) of 36 PDACs were considered resectable at the time of imaging. Twelve cases (12 of 36; 33.3%) presented with stage I disease. The median survival after diagnosis of primary PDAC was 26.8 months, and the 5-year survival rate was 32.4% (95% CI, 19.1 to 54.8). Individuals with primary PDAC who underwent resection (22 of 31; 71.0%) had an overall 5-year survival rate of 44.1% (95% CI, 27.2 to 71.3). Nine (2.6%; 9 of 347) individuals underwent surgery for a suspected malignant lesion, which proved to not be PDAC, and this included five lesions with low-grade dysplasia.

#### Conclusion

This long-term surveillance study demonstrates a high incidence of PDAC in carriers of a PV in *CDKN2A*. This provides evidence that surveillance in such a high-risk population leads to detection of early-stage PDAC with improved resectability and survival.

#### INTRODUCTION

Pancreatic ductal adenocarcinoma (PDAC) is a highly lethal disease, which is expected to become the leading cause of cancer-related mortality by 2030.<sup>1</sup> There is a tremendous need for earlier detection, as it is evident that resected stage I tumors have by far the most favorable prognosis.<sup>2</sup>

Population-based screening for average-risk individuals is not recommended. The overall incidence of PDAC is too low and will therefore result in large numbers of false-positives.<sup>3</sup>

For high-risk individuals (HRIs), however, who are with a strong family history or carriers of specific germline pathogenic variants (PVs)<sup>4-6</sup>, evidence has accumulated that surveillance leads to detection of PDAC at an earlier stage, higher resectability, and improved survival.<sup>7,8</sup> However, although a recent cohort study found a substantial diagnostic yield of PDAC in carriers of high-risk pathogenic variants<sup>9</sup>, surveillance did not evidently translated into improved outcomes, so effectiveness of pancreatic cancer surveillance is still under debate.

Over the last 2 decades, multiple expert centers have developed imaging-based pancreatic surveillance programs.<sup>8, 10-12</sup> One such surveillance program for *CDKN2A* PV carriers was initiated in 2000 at the Leiden University Medical Center (LUMC). The majority of this population carries a specific Dutch founder PV in *CDKN2A* known as *p16-Leiden* (c.225\_243del19). *CDKN2A/p16-Leiden* PV carriers are at an estimated 70% lifetime risk of melanoma and a 15%-20% lifetime risk of pancreatic cancer.<sup>13</sup> Evaluations of surveillance in this high-risk cohort have been previously published in 2011 and 2016.<sup>7,14</sup> Key findings were increased resectability (75%) and survival (5-year survival rate of 24%) compared with PDAC in the general population, which were based on an analysis of 178 carriers of a *CDKN2A* PV.<sup>7</sup> Although these results are promising, it is important to evaluate if surveillance increases diagnosis of stage I PDAC, which is currently viewed as the goal of a beneficial pancreatic cancer surveillance program.<sup>4</sup>

In this study, we present an update of our pancreatic cancer surveillance program, in which we report on the yield and outcomes of a 20-year of prospective follow-up of a unique and large cohort of germline *CDKN2A* PV carriers. In addition, we assess whether surveillance in this population leads to detection of early-stage PDAC with higher resection rates and improved prognosis.

#### METHODS

#### **Study Design and Population**

This study is an analysis of prospectively collected data from an ongoing surveillance program initiated in 2000 at LUMC for carriers of a germline *CDKN2A* PV. Individuals with a proven pathogenic or likely pathogenic germline *CDKN2A* variant were referred from the Department of Clinical Genetics to the Department of Gastroenterology and Hepatology. All participants provided written informed consent before enrolment in the surveillance program. This study was approved by the institutional review board of the LUMC (MEC P00.107; P21.006) and was registered at the Netherlands Trial Register (NL9158). Enrollment in the surveillance program was selected as the start of follow-up. Surveillance data were evaluated up to November 1, 2021.

#### Surveillance protocol

A detailed description of the surveillance protocol is provided in **Supplementary Text 1**. In summary, surveillance was initially offered at age 45 years, or 10 years before the youngest age of familial onset.<sup>15</sup> In 2020, following the International Cancer of the Pancreas Screening Consortium guideline<sup>4</sup>, the age of enrollment was lowered to 40 years. Surveillance consisted of a dedicated magnetic resonance imaging (MRI)/magnetic resonance cholangiopancreatography protocol every  $12 \pm 1$  months. Since 2012, participants were able to also receive an optional endoscopic ultrasound (EUS) every 12 months, alternating every 6 months with MRI. Thus, individuals participating in both MRI and EUS surveillance underwent screening every 6 months. Cases were discussed in a multidisciplinary team consisting of surgeons, radiologists, oncologists, pathologists and gastroenterologists, in which a decision was made on the necessity for surgical resection.<sup>16-19</sup>

#### **Definitions and data collection**

Data on demographics, lifestyle, and family and medical history were collected at baseline and during follow-up. All imaging findings and EUS-guided cytological samples were reported in the database. In the case of multiple pancreatic lesions, the highest pathological grade was used for study endpoints. Malignant lesions were coded according to the Union for International Cancer Control TNM classification, eight edition. The date of diagnosis was based on the date of cytologic or histopathological diagnosis or the date on which a suspicious lesion was diagnosed on imaging when no pathological sample was obtained. The pathologic stage was selected over the clinical stage when histopathologic examination was available. For patients who received neoadjuvant chemotherapy, both the clinical stage and the pathologic stage are presented. Detected lesions were defined as prevalent when present at first screening and incident when diagnosed during surveillance. Analogous to the World Endoscopy Organization definition of interval carcinomas in colorectal cancer screening<sup>20</sup>, an interval cancer was defined as a diagnosis after a screening examination in which no cancer was detected, before the next recommended examination within 12 months.

#### Outcomes

Outcomes to report on the yield of surveillance were the number and nature of pancreatic abnormalities, characteristics of patients developing PDAC, the cumulative incidence of PDAC during follow-up, PDAC stage, surgical resection rate, and survival rates.

#### **Statistical Analysis**

Continuous variables were expressed as mean with standard deviation or median with interquartile range (IQR), depending on the distribution, and categorical variables as frequencies and percentage of total. For primary PDAC, incidence rates per 1,000 person-years of follow-up were calculated with their corresponding 95% CI on the basis of normal distribution. Kaplan-Meier survival analysis was used to estimate the cumulative incidence and survival of primary PDAC. All analyses were performed using R version 4.0.2.

#### RESULTS

Three hundred forty-seven *CDKN2A* PV carriers participated in the LUMC pancreatic cancer surveillance program, of whom 342 (98.6%) carry the *p16-Leiden* PV (**Table 1**). For this study, 169 participants have been included since the previous analysis in 2016.<sup>7</sup> One hundred forty-six (42.1%) were male, and the median age of enrollment in the surveillance program was 48.6 (interquartile range (IQR) 44.5 – 55.7) years. Of the total study population, 133 (38.3%) had at least 1 first-degree relative and 119 (34.6%) had at least 1 second-degree relative with PDAC. Individuals were followed for a total of 2,189 person-years with a median of 5.6 (IQR 2.3-9.9) years. Ninety-six (19.8%) individuals participated in both MRI and EUS surveillance. In total, 2,098 MRI (median 5; IQR 2-7) and 208 EUS (median 2; IQR 1-4; n = 69) for surveillance were performed until the end of data collection (November 1, 2021).

Characteristic	Total Population (N = 347)
Age at start of surveillance, years	48.6 (44.5-55.7)
Male	146 (42.1)
BMI	25.6 (23.2-28.3)
CDKN2A mutation	
p16-Leiden	342 (98.6)
Others <sup>a</sup>	5 (1.4)
First-degree relative with PDAC	133 (38.3)
1	108 (31.1)
2	22 (6.3)
3 or more	3 (0.9)
Second degree relative with PDAC	119 (34.6)
1	80 (23.1)
2	29 (8.4)
3 or more	11 (3.2)
Smoking	
Never	156 (45.0)
Former	151 (43.5)
Current	33 (9.5)
Unknown	7 (2.0)
Alcohol consumption	
Abstinent	73 (21.2)
< 7 units/wk	137 (39.0)
7-20 units/wk	85 (24.4)

**Table 1.** Characteristics of CDKN2A Pathogenic Variant Carriers (N = 347) Who Underwent Pancreatic Cancer

 Surveillance

#### Table 1. Continued.

Characteristic	Total Population (N = 347)
> 20 units/wk	22 (6.4)
Past or unknown	30 (8.6)
Personal history of malignancies	
Melanoma	191 (55.0)
Oropharyngeal or laryngeal	18 (5.2)
Upper Gl	5 (1.4)
Other <sup>b</sup>	40 (11.5)

NOTE. Data are median (interquartile range) or No. (%).

Abbreviations: BMI, body mass index; PDAC, pancreatic ductal adenocarcinoma.

<sup>a</sup>c.67G.C, p.(Gly23Arg); c.143C.G, p.(Pro48Arg); c.143C.A, p.(Pro48Gln);

c.47T.G, p.(Leu16Arg); c.176T.G, p.(Val59Gly).

<sup>b</sup>All other malignancies, excluding basal cell carcinoma.

#### Summary of Pancreatic Ductal Adenocarcinoma Cases

A total of 36 cases of PDAC were detected in 31 (31 of 347; 8.9%) patients (Tables 2-4). Primary PDAC was diagnosed at a median age of 60.4 (51.3-64.1) years. Five (16.1%) out of 31 patients were diagnosed with a second PDAC (Table 4), which are described in detail in Supplementary Text 2.<sup>21,</sup> <sup>22</sup> Individuals were followed for a median of 5.7 (IQR 0.6-10.0) years until diagnosis of primary PDAC. The incidence rate of primary PDAC was 14.2 (95% Cl, 9.6 to 20.1) cases per 1,000 person-years at risk, corresponding to an estimated cumulative incidence of 7.3% by the age of 60 years and 20.7% by the age of 70 years (Figure 1). Of all cases, approximately one fifth (8 of 36; 22.9%) were diagnosed at first screening (prevalent PDAC) and the majority (29 of 36; 80.6%) of PDAC were detected with MRI. Five (5 of 36; 13.8%) PDACs were detected with computed tomography and one (1 of 36; 2.7%) with abdominal ultrasound in patients who presented with symptoms or as an incidental finding outside of planned follow-up visit. In four (4 of 36; 11.1%) cases, surveillance was delayed and PDAC was diagnosed after the recommended interval of 12 + 1 months (15-28 months). In four cases (4 of 36; 11.1%) with no histological confirmation, diagnosis was based on imaging findings. In the past 5 years, six (50%) out of 12 cases detected through screening had stage I cancer, and six had a T1 tumor (Tables 3 and 4; Suplemental Figure 1). Notably, in the same period, 20.0% (3 of 15) of patients presented with interval cancers, as compared with 9.5% (2 of 21) in the preceding 15 years.

Characteristic	PDAC cases (n = 36)
Primary PDAC <sup>a</sup>	31 (8.9)
Second PDAC <sup>b</sup>	5 (16.1)
Synchronous	1 (3.2)
Metachronous	4 (12.9)
Age at diagnosis of primary PDAC, years	60.4 (51.3-64.1)
Male <sup>c</sup>	11 (35.5)
Year of diagnosis of primary PDAC	
2000-2005	3 (9.7)
2006-2010	5 (16.1)
2011-2015	6 (19.4)
2016-2021	17 (54.8)
Diagnosed at first screening (prevalent)	8 (22.2)
Diagnosed during follow-up (incident)	28 (77.8)
Interval cancer	6 (17.1)
Detection modality	
MRI/MRCP	29 (80.6)
Endoscopic ultrasound	1 (2.8)
СТ	5 (13.9)
Abdominal ultrasound	1 (2.8)
Localization	
Head	16 (44.4)
Body/tail	20 (55.6)
Resectable	30 (83.3)
Underwent surgery	27 (75.0)
Tumour-free resection margins (R0)	20 (74.1)
Stage	
IA	9 (25.0)
IB	3 (8.3)
IIA	7 (19.4)
IIB	4 (11.1)
III	9 (25.0)
IV	4 (11.1)

 Table 2. Summary of PDAC Cases (n = 36) Diagnosed in 31 Patients While Participating in Pancreatic Cancer

 Surveillance

NOTE. Data are median (interquartile range) or No. (%).

Abbreviations: CT, computed tomography; EUS, endoscopic ultrasound; MRI/MRCP, magnetic resonance imaging with magnetic resonance cholangiopancreatography; PDAC, pancreatic ductal adenocarcinoma.

<sup>a</sup>Proportion of primary PDAC cases was calculated from the total study population (N = 347).

<sup>b</sup>Proportions of second PDAC cases were calculated from the total number of primary PDAC cases (n = 31). <sup>c</sup>Proportion of male was calculated from the total study population (N = 347).

	Cause of Death	PDAC	PDAC	Melanoma metastasis	PDAC	PDAC	I	PDAC	PDAC	PDAC	I
	Outcome/Survival Time From Diagnosis, months	Died/15	Died/3	Died/10	Died/21	Died/21	Alive/153	Died/34	Died/17	Died/8	Alive/115
	TNM stage	cT4NxM1	pT4N1M0	cT1bN0M0	pT4N2M0	pT2N0M0	pT3N0M0	pT3N0M0	pT3N2M0	cT2N2M0	pT2N2M0
	Cytologic or Histopathologic Results	Poorly differentiated adenocarcinoma of pancreatobiliary origin	Moderately differentiated PDAC, R1 resection	Not obtained	Moderately differentiated PDAC, R2 resection	Moderately differentiated PDAC, R0 resection	Well-differentiated PDAC, R0 resection	Poorly differentiated PDAC, R0 resection	Poorly differentiated PDAC, R0 resection	Adenocarcinoma of pancreatobiliary origin	Moderately differentiated PDAC, R0 resection
	Management	No surgery	2	No surgery	2	ď	Oddd	DP	D	No surgery	Oddd
urveillance	Interval Cancer	Yes	0 N	0 N	°N N	No	N	0 N	0 N	No	°Z
: 31) During S	Detection Modality	CT	MRI/MRCP	MRI/MRCP	MRI/MRCP	MRI/MRCP	MRI/MRCP	MRI/MRCP	MRI/MRCP	MRI/MRCP	MRI/MRCP
Table 3. Details of Patients Who Were Diagnosed With Primary PDAC (n = 31) During Surveillance	Time Since Previous Screening, months	4	11	5	12	28	I	I	I	11	I
sed With Prim	Prior Screening Modality	MRI/MRCP	MRI/MRCP	MRI/MRCP	MRI/MRCP	MRI/MRCP	I	I	I	MRI/MRCP	I
Were Diagno	Follow-up Duration, months	4	21	35	66	8	m	m	4	16	17
atients Who \	Age at Diagnosis, years/Sex	57/F	72/F	58/M	57/M	57/F	62/F	48/M	47/F	63/F	39/M
. Details of Pa	Diagnosis Year	2002	2003	2004	2006	2008	2008	2009	2010	2011	2012
Table 3.	No.	bla	P2	P3b	P4	PS	92	Ρ7	P8	64	P10

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Duration, Screening months Modality
MRI/MRCP
MRI/MRCP
MRI/MRCP
MRI/MRCP 12
MRI/MRCP 9
1
MRI/MRCP 5
MRI/MRCP 11
MRI/MRCP
MRI/MRCP 12

2

Cause of Death	1	I	Other cause	PDAC	I	I	PDAC	I
Outcome/Survival Time From Diagnosis, months	Alive/51	Alive/47	Died/36	Died/15	Alive/38	Alive/40	Died/21	Alive/31
TNM stage	pT3N0M0	pT1bN0M0	pT3N0M0	cT4N0M1	pT2N2M0	pT2N0M0	cT4N0M0, ypT4N2M0	cT2N0M0, ypT2N1M0
Cytologic or Histopathologic Results	Moderately differentiated PDAC, R0 resection	Moderately differentiated PDAC originated from IPMN, R0 resection	Well-differentiated PDAC, R0 resection	Adenocarcinoma of pancreatobiliary origin	Moderately differentiated PDAC, R0 resection	Moderately differentiated PDAC, R1 resection	PDAC, partial regression (> 5% residual turmour), R2 resection	PDAC, partial regression (> 5% residual tumour), R1 resection
Management	Qddd	Oddd	Oddd	Neoadjuvant chemotherapy, surgery discontinued	D	Qddd	Neoadjuvant chemotherapy + DP	Neoadjuvant chemotherapy + PPPD
Interval Cancer	Yes	°Z	°N N	°2	N	0 N	°N N	°Z
Detection Modality	b	MRI/MRCP	MRI/MRCP	MRI/MRCP	MRI/MRCP	MRI/MRCP	MRI/MRCP	MRI/MRCP
Time Since Previous Screening, months	œ	m	13	I	13	12	I	16
Prior Screening Modality	MRI/MRCP	MRI/MRCP	MRI/MRCP	I	MRI/MRCP	MRI/MRCP	I	MRI/MRCP
Follow-up Duration, months	172	~	12	m	211	127	~	121
Age at Diagnosis, years/Sex	65/M	63/M	71/M	49/F	69/F	63/F	59/F	48/F
Diagnosis Year	2017	2017	2017	2018	2018	2018	2018	2019
No.	P21	P22	P23	P24	P25	P26	P27	P28

Table 3. Continued.

Table	Table 3. Continued.	d.										
So.	Diagnosis Year	Age at Diagnosis, years/Sex	Follow-up Duration, months	Prior Screening Modality	Time Since Previous Screening, months	Detection Modality	Interval Cancer	Management	Cytologic or Histopathologic Results	TNM stage	Outcome/Survival Time From Diagnosis, months	Cause of Death
P29	2019	60/F	m	1	I	MRI/MRCP	8	Neoadjuvant chemotherapy + pppD	PDAC, almost complete regression (< 5% residual turmor), R0 resection	cT1 cN0M0, ypT1aN0M0	Alive/23	1
P30	2020	61/M	125	MRI/MRCP	4	C	Yes	No surgery	Adenocarcinoma with a strong suspicion of pancreatobiliary origin	cT4N1M1	Died/1	PDAC
P31d	2020	50/F	80	MRI/MRCP	ω	MRI/MRCP	°Z	Transarterial chemoembolization and microwave ablation, awaiting surgery	Fine needle biopsy: at least high-grade dysplasia	cT1bN0M0	Alive/12	I
NOTE. Abbrev imagin TP, tota aThis ir bThis p melanc	NOTE. Patient numbers (N Abbreviations: CT, compu maging with magnetic re (P, total pancreatectomy. aThis interval PDAC was m of his patient had a conco of mod metastasis. His	NOTE. Patient numbers (No.) in italics were reported in pr Abbreviations: CT, computed tomography; DP, distal pa imaging with magnetic resonance cholangiopancreatog TP, total pancreatectomy. aThis interval PDAC was missed on first MRI examination. bThis patient had a concomitant melanoma metastasis an melanoma metastasis. Histologic confirmation was not o	calics were re prography; l ce cholangic on first MRI e : melanoma r : confirmatio	NOTE. Patient numbers (No.) in italics were reported in previous p Abbreviations: CT, computed tomography; DP, distal pancreates imaging with magnetic resonance cholangiopancreatography; P TP, total pancreatectomy. aThis interval PDAC was missed on first MRI examination. aThis patient had a concomitant melanoma metastasis and did th melanoma metastasis. Histologic confirmation was not obtained.	NOTE. Patient numbers (No.) in italics were reported in previous publications. Abbreviations: CT, computed tomography; DP, distal pancreatectomy; F, fer imaging with magnetic resonance cholangiopancreatography; PDAC, pancre TP, total pancreatectomy. aThis interval PDAC was missed on first MRI examination. bThis patient had a concomitant melanoma metastasis and did therefore not melanoma metastasis. Histologic confirmation was not obtained.	ons. ; female; IPMI increatic duct not have surg	N, intraduc al adenoca jery. On th	ctal papillary muc ircinoma; PPPD, p e basis of revision	NOTE. Patient numbers (No.) in italics were reported in previous publications. Abbreviations: CT, computed tomography: DP, distal pancreatectomy; F, female; IPMN, intraductal papillary mucinous neoplasm; M, male; MRI/MRCP, magnetic resonance imaging with magnetic resonance cholangiopancreatography; PDAC, pancreatic ductal adenocarcinoma; PPPD, pylorus-preserving pancreaticoduodenectomy; R, resectable; TF, total pancreatectomy. This interval PDAC was missed on first MRI examination. bThis patient had a concomitant melanoma metastasis and did therefore not have surgery. On the basis of revision of MRI, the differential diagnosis of the pancreatic lesion is a melanoma metastasis. Histologic confirmation was not obtained.	M, male; MF pancreatico :ntial diagno:	l//MRCP, magnetic duodenectomy; R sis of the pancreat	resonance resectable; c lesion is a

cPatient refused to undergo surgery.

dPatient's decision to undergo an alternative treatment with transarterial chemoembolization and microwave ablation, which was not advised by our multidisciplinary team.

2

C (n = 5) During Surveillance
Second PDA(
agnosed With S
Patients Who Were Di
Table 4. Details of

		years/Sex	Duration, months	Screening Modality	Previous Screening, months	Modality	Cancer		Histopathologic Results	Metachronous		Survival Time From Diagnosis, months	Death
P6	2013	67/F	65	EUS	-	EUS	Ŝ	<u>පි</u>	One duct with suspected malignancy and PanIN with high-grade dysplasia, RO resection	Metachronous	pTTaN0M0	Alive/95	I
P18°	2017	67/F	126	MRI/MRCP	Q	MRI/MRCP	Yes	ЧT	Moderate-poorly differentiated PDAC, R0 resection	Synchronous	pT3N0M1	Died/15	PDAC
P22	2020	66/M	41	MRI/MRCP	Ξ	MRI/MRCP	0 Z	d	Moderately differentiated PDAC, R0 resection	Metachronous	pT1bN0M0	Alive/10	I
P17	2021	55/F	142	MRI/MRCP	м	MRI/MRCP	°Z	Neoadjuvant chemotherapy + PD	PDAC, partial regression (. 5% residual tumor), R0 resection	Metachronous	cT2N0M0, ypT1cN0M0	Alive/4	I
P10	2021	49/M	131	MRI/MRCP	15	MRI/MRCP	0 Z	DP + adjuvant chemotherapy planned	Moderately differentiated PDAC, R1 resection	Metachronous	pT1cN1M0	Alive/1	I

"This synchronous PDAC was detected by retrospective assessment of the preoperative MRI before surgical resection of the primary PDAC and subsequently underwent a total

pancreatectomy.

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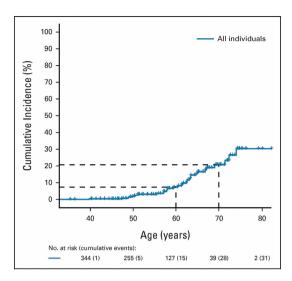


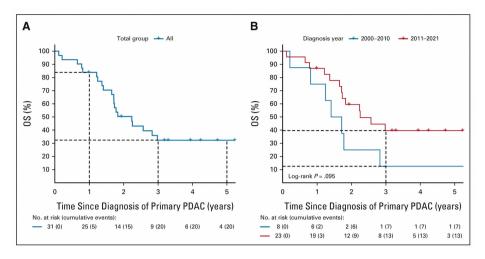
Figure 1. Cumulative incidence of primary pancreatic ductal adenocarcinoma (n = 31) in *CDKN2A* pathogenic variant carriers (N = 347) participating in surveillance.

#### Management of PDAC cases (n = 36)

Thirty (83.3%) out of 36 PDAC cases in 31 patients were considered to have resectable disease at the time of imaging (**Table 2**). A flowchart of the management of these patients is depicted in the Supplementary materials (**Supplementary Figure 2**). Three (3 of 36; 8.3%) patients with resectable disease did not have surgery. One patient (P3) had a concomitant metastatic melanoma. The second patient (P16) refused to undergo surgery. The third patient (P31) chose an alternative treatment with transarterial chemoembolization and microwave ablation elsewhere. In total, 27 (75.0%) of the 36 PDACs were resected. Four (4 of 36; 11.1%) cases (P27-P29, P17) were treated with neoadjuvant chemotherapy in the trial setting before surgical resection. Stage I PDAC was detected in 12 (33.3%) of 36 cases.

#### Survival Outcomes in Individuals After Diagnosis of Primary PDAC (n = 31)

In total, 18 (58.1%) out of 31 patients died of PDAC. One (3.2%) patient died of melanoma metastasis, and one (3.2%) patient with metastasized PDAC died of trauma. The median overall survival time after diagnosis of primary PDAC was 26.8 months (IQR 20.6 to not available), and the overall 5-year survival rate was 32.4% (95% Cl, 19.1 to 54.8; **Figure 2A**). Individuals who underwent pancreatic resection for primary PDAC (22 of 31; 71.0%) had an overall 5-year survival rate of 44.1% (95% Cl, 27.2 to 71.3). Six (19.4%) individuals who were diagnosed with primary PDAC stage I and who underwent surgical resection reached an overall 3-year survival of 83.3%. Patients diagnosed with primary PDAC in 2011-2021 (23 of 31; 74.1%) had a trend for a more favorable prognosis than those diagnosed in 2000-2010 (8 of 31; 25.8%); the overall 3-year survival was 39.7% versus 12.5%, respectively (log-rank P = .095; **Figure 2B**). Survival did not differ between individuals with primary PDAC localized in the head (n = 14; 45.1%) versus body or tail (n = 17; 54.8%; log-rank P = .80; **Supplementary Figure 3**). There was no peri-operative mortality after pancreatic resection.



**Figure 2.** Kaplan-Meier curves for OS of *CDKN2A* pathogenic variant carriers after diagnosis of primary PDAC (n = 31): (A) OS of primary PDAC cases in total and (B) OS stratified by year of diagnosis: 2000-2010 in blue (n = 8) and 2011-2021 in red (n = 23). The maximum follow-up duration was 12.5 years. OS, overall survival; PDAC, pancreatic ductal adenocarcinoma.

#### Surgery for Other Pancreatic Lesions Detected by Surveillance

Nine (2.6%) of 347 individuals underwent surgery for a lesion suspected of malignancy and were found to not have PDAC (**Supplementary Table 1**). One patient had an early-stage (pT1aN0M0) ampullary carcinoma; one had a grade 1 neuroendocrine tumor (pT1N0M0); five (5 of 36; 14%) had precursor lesions with low-grade dysplasia, of whom one had a concurrent GI stromal tumor in the stomach which was resected; one had signs of ductal proliferation with chronic inflammation, and one diffuse islet cell hyperplasia. All these patients were alive at the time of analysis after a median follow-up time of 29 (IQR 25-35) months. The diagnostic workup before surgery of these cases is described in more detail in the **Supplementary Text 1-3**.

#### DISCUSSION

In this 20-year prospective follow-up study of a unique, large cohort of *CDKN2A* PV carriers, we demonstrate that pancreatic cancer surveillance leads to detection of resectable, early-stage PDAC with a favorable prognosis. This study encompasses the largest number of PDAC cases detected in pancreatic cancer surveillance to date, in which we present outcomes that are notably better than what is currently reported for individuals diagnosed with PDAC in the general population.<sup>23</sup> These data adds to the accumulating evidence that pancreatic surveillance for certain HRIs may be beneficial.

Approximately one fifth of this population was found to develop PDAC by the age of 70 years. This cumulative risk is the highest currently reported for *CDKN2A* in the literature and is amongst the highest of all known PDAC susceptibility genes.<sup>24, 25</sup> More than 80% of patients were found to have resectable disease and one third was diagnosed with stage I PDAC. Compared with sporadic PDAC

with only 10%-15% of resectable cases these results are very promising. This is also reflected in the relatively high 5-year overall survival rate of 32.4% in this high-risk population under surveillance compared with only 5% of PDAC diagnosed in the general population.<sup>1</sup> Survival appeared to be more favorable for patients diagnosed in the past decade, as compared with 2000-2010. This could be explained by improved imaging, leading to earlier diagnosis of PDAC, by centralization of PDAC care in the Netherlands<sup>26</sup>, and improved therapy, including the introduction of neo-adjuvant chemotherapy.<sup>27</sup> It is worth noting that in the same period, a relatively large proportion of cases presented as interval cancers. Recently, we have retrospectively assessed MRI examinations of incident and interval cases.<sup>28</sup> We observed that in 75%, direct or indirect signs of a tumor were present on previous examinations. Awareness of these (often subtle) findings offers an opportunity for expert radiologists to detect these lesions at an earlier stage and will potentially result in a decrease of interval tumors.

Over the past 2 decades, several centers have reported on the yield and outcomes of their surveillance programs conducted in various high-risk populations with varying outcomes. Canto et al.<sup>8</sup> conducted a large prospective multi-center study in the United States, including 354 HRI with a 16-year follow-up and found that 9 out of 10 PDACs were resectable with a high 3-year survival rate of 85%. In this study, 10 patients did undergo resection of a lesion with high-grade dysplasia who reached a 5-year survival rate of 100%. In our current study, we were not able to detect any cases with only high-grade dysplasia. Detection of high-grade dysplasia has thus far proven to be extremely challenging, mainly because of the lack of specific imaging findings for pancreatic intraepithelial neoplasia. It is important to consider, however, that half (24 of 48) of all resected HRI in the United States cohort had low-grade precursor lesions on histopathological evaluation, representing 7% of the total cohort.<sup>29</sup> This highlights an important trade-off between aggressiveness of treatment decisions and risk of false-positive findings, with a substantial risk of mortality and long-term morbidity.<sup>30</sup> In our program, seven (2.0%) individuals underwent surgery for a suspicion of malignancy and appeared to have benign pathology. A Dutch multicenter, prospective study recently reported on their long-term surveillance outcomes and compared the yield between HRIs with (n = 265) and without (n = 165) a known PDAC susceptibility gene variant (familial pancreatic cancer kindreds).9 Of note, all 10 PDAC cases were diagnosed in germline PV carriers-of which, 7 CDKN2A PV carriers-and no PDAC was diagnosed in the PV-negative HRIs. Importantly, more than half of the unnecessary resections (n=11) were performed in the PV-negative group. These observations support to preserve pancreatic surveillance for individuals who are at highest risk in order to maximize potential benefits while minimizing harms of overtreatment. Our results are not yet generalizable to groups with a lower PDAC risk. Future efforts should focus on discovery of reliable biomarkers which ideally distinguishes low-grade and high-grade precursor lesions, avoiding unnecessary interventions, while intervening at the earliest stage possible.

A major concern in evaluating effectiveness of screening is that any observed survival benefit is largely attributable to lead-time bias. Although the exact influence of this bias is difficult to ascertain, we are confident that not all of the observed benefit in this study is attributable to lead time. More than 80 percent had a resectable tumor, and after resection of primary PDAC, approximately half reached 5-year survival. Particularly, in the past 5 years, a relatively large number of stage I cancers were detected, which may be a consequence of enhancements of a dedicated MRI protocol.<sup>28</sup>

When resected, the 3-year survival of these cancers (n = 6) reached over 80%, which is even higher than resected stage I PDAC in the general population (50%).<sup>2</sup> Since effects of lead time are most prominent in short-term survival, future studies assessing long-term (10-year) survival will likely give a more reliable appraisal of a true survival benefit.

An important finding is that CDKN2A PV carriers are at a substantial risk of multiple PDACs. Five (16.1%) out of 31 patients were diagnosed with a second PDAC, of which four were metachronous cancers occurring up to 9 years after primary PDAC. Although a considerable proportion of PDAC survivors in the general population appears at risk of second PDAC (6%), the ratio in our population appears more than twice as high.<sup>31</sup> We expect this number to further increase as a larger number of patients with early-stage cancer will reach long-term survival. A likely pathophysiological basis is that loss of heterozygosity of CDKN2A occurs in multiple regions, causing multiple malignant precursors to originate in the pancreas. One case report of a CDKN2A PV carrier with a second PDAC 7 years after primary PDAC showed distinct mutation profiles between the two lesions, which made a local recurrence unlikely.<sup>22</sup> The increased risk of second PDAC has important clinical consequences. In the first place, we advocate that after a partial pancreatectomy with a diagnosis of PDAC or a highgrade precursor lesion, intensified surveillance with imaging every 6 months may be beneficial. Furthermore, in patients with small, early-stage PDAC without evidence of lymph node metastasis, a total pancreatectomy may be considered. We acknowledge that a major drawback in offering a total pancreatectomy is the development of diabetes with substantial long-term morbidity.<sup>32</sup> Intraportal islet autotransplantation may offer a future solution to substantially decrease risk of pancreatogenic diabetes.<sup>33</sup> Further research is necessary to evaluate feasibility of total pancreatectomy.

A major strength of this study is the prospective, long-term follow-up of a highly selected population of proven *CDKN2A* PV carriers. These results are valuable for the management of *CDKN2A* PV carriers in other centers and adds to the scarce data on outcomes of pancreatic surveillance. Another strength is that pancreatic cancer surveillance was performed in a highly dedicated and experienced multidisciplinary team.<sup>28</sup>

This study has several limitations. First, although this study records one of the longest followup time thus far, the majority of cases were diagnosed in the past decade. The lack of long-term follow-up data limits conclusions on a long-term survival benefit. Second, the homogeneity of this population, limits generalizability to other high-risk surveillance programs. Finally, a relatively small proportion of individuals underwent EUS surveillance and as a consequence, a low number of EUS (n = 208) as compared with MRI (n = 2,098) were performed, which hinders comparison of the diagnostic accuracy of these two imaging modalities.

In conclusion, in this 20-year prospective follow-up study we demonstrate that individuals with a germline *CDKN2A* PV are at high risk of primary and second PDACs. Our outcomes support the evidence that pancreatic cancer surveillance in individuals at high-risk leads to detection of earlystage, resectable PDAC with improved survival.

#### SUPPLEMENTARY MATERIALS

#### APPENDIX 1. DETAILED DESCRIPTION OF THE SURVEILLANCE PROTOCOL

Surveillance was initially offered at age 45 years, or 10 years before the youngest age of familial onset.<sup>7</sup> In 2020, following the International Cancer of the Pancreas Screening guideline<sup>4</sup>, the age of enrollment was lowered to 40 years. Before inclusion in the surveillance program, all individuals were extensively informed about the advantages and

disadvantages of pancreatic cancer surveillance. Individuals with comorbidity leading to an impaired physical performance (WHO performance status 3-4), mental retardation, or a life expectancy < 5 years were excluded from surveillance.

Surveillance consisted of a dedicated magnetic resonance imaging (MRI)/magnetic resonance cholangiopancreatography protocol including T1-weighted images before and after intravenous gadolinium and T2-weighted and diffusion-weighted images every  $12 \pm 1$  months. MRI examinations were performed on a 3T system (Philips Ingenia; Philips Medical Systems, Best, the Netherlands)<sup>28</sup> and were evaluated by a highly dedicated team of abdominal radiologists (B.B., M.N.J.M.W., and S.S.F.). Since 2012, endoscopic ultrasound (EUS) was offered optionally alternating every 6 months with MRI. EUS was performed by experienced gastroenterologists (> 1,000 procedures; A.I., J.J.B., and J.E.v.H.). During EUS, standard methods of conscious sedation (midazolam and/or fentanyl citrate or propofol) and cardiopulmonary monitoring were used.

When no abnormalities or no concerning findings (eg, minor signs of chronic pancreatitis or cysts without worrisome features) were seen, regular surveillance was continued. In the case of new or indeterminate lesions, the surveillance interval was shortened to 3 or 6 months or EUS-guided fine-needle aspiration or biopsy was performed following a standard decision protocol. If a lesion decreased or remained stable without worrisome features, the MRI surveillance interval was reset to 12 months. Worrisome features, which are suspicious lesions not warranting immediate surgery, were defined by the most recent prevailing guideline at that time<sup>16, 18, 34, 35</sup> and included cysts  $\geq$  3 cm, enhancing mural nodules < 5 mm, thickening or enhancing cyst walls, pancreatic duct dilation or abrupt changes in pancreatic duct caliber with distal pancreatic atrophy, lymphadenopathy, and a cyst growth rate  $\geq$  5 mm/2 years. Lesions suspicious for malignancy included mural nodules  $\geq$  5 mm, suspicion of main duct involvement, and cytology suspicious or positive for malignancy. Cases were discussed in a multidisciplinary team consisting of surgeons, radiologists, oncologists, pathologists, and gastroenterologists, in which a decision was made on the necessity for surgical resection.

### APPENDIX 2. DETAILED DESCRIPTION OF SECOND PANCREATIC DUCTAL ADENOCARCINOMA CASES

Five (16.1%) of 31 patients were diagnosed with a second pancreatic ductal adenocarcinoma (PDAC; **Table 4**). A synchronous PDAC was discovered in a patient (*P18*) who had undergone resection of a primary PDAC (pT3N1), 6 months earlier (previously reported in the

study by Ibrahim et al).<sup>21</sup> Retrospective assessment of the preoperative magnetic resonance imaging (MRI) showed a secondary lesion in the tail for which the remaining pancreas was resected. Pathologic examination of the surgical specimen confirmed the presence of a PDAC (pT3N0, R0

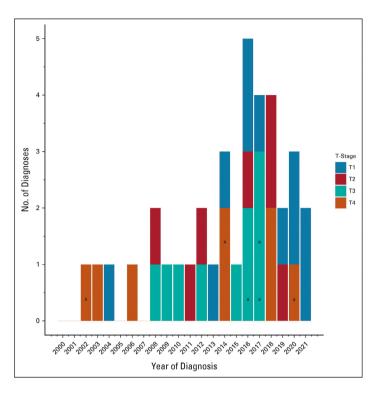
resection). Four (12.9%) patients were diagnosed with metachronous PDAC 3-9 years after primary PDAC. The first patient (*P6*) was diagnosed with a primary cancer (pT3N0, R0 resection) at first screening (previously reported in the study by Sibinga Mulder et al).<sup>22</sup> Almost 5 years later, this patient was diagnosed with a T1aN0M0 PDAC and pancreatic intraepithelial neoplasia with high-grade dysplasia. The second patient (*P22*) with metachronous PDAC was previously diagnosed with stage I cancer. A second lesion was

detected on MRI (pT1bN0M0, R0 resection) nearly 3.5 years later. A third individual participated in surveillance for 7 years when an abdominal ultrasound was performed for choledocholithiasis, in which as an incidental finding, a lesion in the pancreatic tail was discovered. This lesion was not visible on previous MRI. After distal pancreatectomy, histopathologic examination showed a stage IIA PDAC (pT3N0M0, R0 resection). Four and a half years later, a new lesion (cT2N0M0) was detected in the pancreatic head. Before pancreatoduodenectomy, she was treated with neoadjuvant chemotherapy. Histopathology showed PDAC with partial regression (ypT1cN0M0, R0 resection). Finally, a homozygous *CDKN2A* (*p16-Leiden*) pathogenic variant carrier (*P10*) was diagnosed at age 39 years with a primary stage III (pT2N0M0) PDAC at first screening. This patient was thereafter treated with adjuvant chemotherapy. Nine years later, a secondary malignant lesion was discovered in the remnant pancreas, for which a distal pancreatectomy was performed. This appeared a moderately differentiated stage IIB (pT1cN1M0) PDAC with tumor-positive resection margins. This patient was scheduled to receive adjuvant chemotherapy.

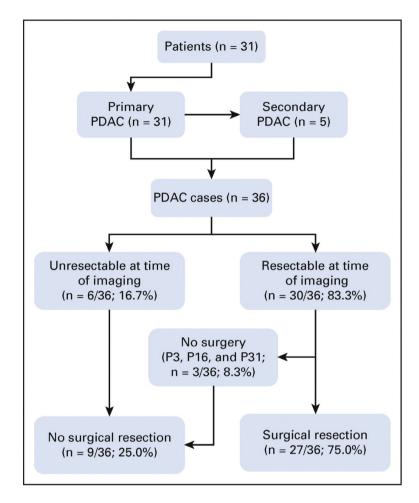
#### APPENDIX 3. DIAGNOSTIC WORKUP OF LESIONS OTHER THAN PANCREATIC DUCTAL ADENOCARCINOMA (N = 9) DETECTED DURING SURVEILLANCE, WHICH WERE RESECTED

Nine (2.6%) of 347 individuals underwent surgery for a lesion suspected of malignancy and were found to not have PDAC. Histopathologic outcomes are provided in Supplementary Table 1. The first patient (P32) had a cystic lesion in the body of the pancreas, suspicious for a side-branch intraductal papillary mucinous neoplasm (IPMN). This lesion remained stable over a period of 7 years. MRI/MRCP of 2008 showed a new lesion in the pancreatic tail and growth of the side-branch IPMN in the pancreatic body. Because of suspected premalignant lesions, surgery was performed. Intraoperative ultrasound confirmed multiple intrapapillary mucinous lesions in the body, tail, and uncinate process. Subsequently, a subtotal pancreatectomy was performed. P33 was diagnosed with a lesion suspected of malignancy at first screening. MRI/MRCP showed a 10-mm hypovascular lesion in the uncinate process. Subsequent endoscopic ultrasound EUS and computed tomography (CT) confirmed a round hypodense lesion with a maximum diameter of 14 mm. No histologic sampling was performed. Because of a potential malignancy, a pancreatic resection was decided. P34 had three previous MRI/MRCP examinations without pancreatic abnormalities. MRI/MRCP of 2015 showed a new suspected lesion with a maximum diameter of 8 mm in the uncinate process, which was only visible on dynamic contrast-enhanced sequences. The lesion was not visualized on EUS. However, CT confirmed the presence of a 10-mm hypodense area. A pylorus-preserving pancreaticoduodenectomy was performed for a strong suspicion of malignancy. P35 underwent surveillance for more than 14 years without any pancreatic abnormalities. MRI/MRCP showed a new 11-mm focus in the pancreatic tail with a low signal intensity on T1-turbo field echo. EUS confirmed

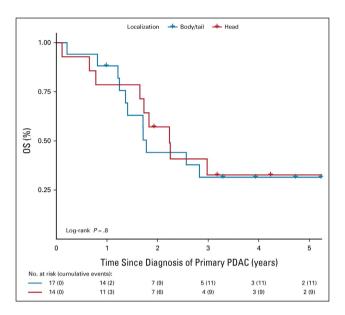
a hypodense (7 mm x 5 mm) area with an impression of a hyperechogenic center. Because of the unclear origin of the lesion and localization adjacent to the splenic artery, EUS-guided sampling was not performed. The suspicious lesion could not be confirmed with certainty on CT. A suspicion of malignancy remained on the basis of MRI findings. Therefore, a distal pancreatectomy was performed. In P36, on MRI/MRCP, a new T2 hyperintense lesion with a diffusion restriction of approximately 7 mm in the pancreatic was detected. Subsequent EUS confirmed a 5-mm hypoechogenic lesion in the pancreatic tail with a similar signal intensity as the spleen. An additional 7-mm lesion in the body was found for which histologic sampling was performed. Histopathologic evaluation concluded a grade 1 neuroendocrine tumor. An extended distal pancreatectomy was performed to resect both lesions. P37 had an 8-mm lesion in the uncinate process, which remained stable for several years. Within 2 years, this presumed side-branch IPMN increased to 19 mm. The lesion was only visible on MRI. Because of rapid growth of a suspected side-branch IPMN with malignant potential, a pancreatic resection was decided together with the patient. In P38, a small (5 mm) lesion was detected in the pancreatic tail on first MRI/MRCP. This lesion appeared hypointense on T1-turbo field echo and mildly hyperintense on T2 and showed diffusion restriction. This lesion was reproductible on EUS, on which a hypoechogenic lesion of 6 mm was observed. Unfortunately, there was no safe window for EUS-guided sampling. Because of a suspected malignancy on the basis of imaging findings, a pancreatic resection was performed. P39 underwent surveillance alternating MRI and EUS. Previous MRI did not show any abnormalities. On EUS, a hypoechogenic lesion of 28 x 30 mm was found in the pancreatic tail, for which a fine-needle biopsy was performed. Immunohistochemical staining was positive for glucagon and insulin. Differential diagnosis of a grade 1 neuroendocrine tumor (Ki-67 index: 1%-2%) or diffuse islet cell hyperplasia was observed. Subsequent MRI confirmed the presence of a 10-mm hypointense lesion with delayed contrast enhancement. This lesion could not be confirmed on CT. Surgery was chosen on the basis of lesion growth and the potential of a neuroendocrine tumor. Finally, P40 had two previous MRI examinations without abnormalities when an increase of the main pancreatic duct diameter was observed from 5 mm to 7 mm up to the ampulla. EUS confirmed a dilated pancreatic duct and showed an enlarged ampullary region, of which biopsies were taken. Histopathology showed adenocarcinoma, for which a pylorus-preserving pancreaticoduodenectomy was performed.



**Supplementary Figure 1.** Number of diagnoses (total n = 36) stratified by T-stage per year during 20 years of surveillance. <sup>a</sup>Cases are patients who presented with interval cancers, which were not detected through screening. T-stage, tumor stage.



**Supplementary Figure 2.** Flowchart of management of 36 cases of PDAC in 31 patients who were diagnosed with PDAC. Five of 31 patients were diagnosed with a second PDAC. Three patients with resectable disease at the time of diagnosis did not undergo surgery (**Table 3**). *P3* had a concomitant melanoma metastasis and did therefore not have surgery. *P16* refused to undergo surgery. *P31* decided to undergo an alternative treatment with transarterial chemoembolization and microwave ablation, which was not advised by our multidisciplinary team. P, patient; PDAC, pancreatic ductal adenocarcinoma.



**Supplementary Figure 3.** Kaplan-Meier curves for OS of *CDKN2A* pathogenic variant carriers after diagnosis of primary PDAC (n = 31) stratified by localization in the head (n = 14) versus body or tail (n = 17). OS, overall survival; PDAC, pancreatic ductal adenocarcinoma.

Š	Diagnosis Year	Age at Diagnosis, years/Sex	Follow-up Duration, months	Time Since Previous Screening, months	Detection Modality	Interval Cancer	Management	Cytologic or Histopathologic Results	TNM stage	Outcome/Survival Time From Diagnosis, months
P32	2008	62/F	87	4	MRI/MRCP	I	đ	Multifocal PanIN with low- grade dysplasia and extensive multifocal pancreatitis	1	Alive/162
P33	2014	66/F	4	I	MRI/MRCP	I	Qddd	Gastric type IPMN with low- grade dysplasia	I	Alive/93
P34	2015	55/M	43	12	MRI/MRCP	I	Qddd	Ductal proliferation with chronic inflammation and islets of Langerhans hyperplasia	I	Alive/76
P35	2016	65/M	170	12	MRI/MRCP	I	DP + wedge excision of gastric lesion	Focal PanIN with low grade dysplasia + gastric stromal tumor	I	Alive/60
P36	2017	54/F	30	41	MRI/MRCP	I	6	Grade 1 neuroendocrine tumor of 4mm, neuroendocrine adenosis with micro-adenomas, multifocal PanIN with low- grade dysplasia	pT1 N0M0	Alive/46
P37	2017	63/F	107	13	MRI/MRCP	I	DPPD	IPMN with low-grade dysplasia	I	Alive/44
P38	2018	47/F	4	I	MRI/MRCP	I	d	Ductal proliferation, PanIN with low-grade dysplasia	I	Alive/36
P39	2019	58/M	51	11	EUS	I	DP	Diffuse islet cell hyperplasia	Ι	Alive/28
P40	2019	49/M	33	14	MRI/MRCP	° Z	Oddd	Moderately differentiated ampullary carcinoma, R0 resection	pT1aN0M0	Alve/22
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Abbreviations: DP, distal pancreatectomy; EUS, endoscopic ultrasound; F, female; IPMN, intraductal papillary mucinous neoplasm; M, male; MRI/MRCP, magnetic resonance imaging with magnetic resonance cholangiopancreatography; PanIN, pancreatic intraepithelial neoplasia; PPPD, pylorus-preserving pancreaticoduodenectomy. and choi ורמוורה ארור 

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