

Isotopes, isoscapes, and the search for geographic origins: unrealized potential or unrealisitc expectations

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DIGITAL ARCHAEOLOGY Promises and Impasses



edited by TUNA KALAYCI, KARSTEN LAMBERS & VICTOR KLINKENBERG



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TUNA KALAYCI, KARSTEN LAMBERS AND VICTOR KLINKENBERG



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Contents

7	Preface
9	Introduction: Leiden Perspectives on Digital Archaeology Karsten Lambers
17	Metaphors, Myths, and Transformations in Digital Archaeology Tuna Kalaycı and Piraye Hacıgüzeller
33	Data Exchange Protocol in Dutch Archaeology Milco Wansleeben, Walter Laan and Ronald Visser
47	Digital Data Integration in Mediterranean Field Survey Archaeology: Status Quo and Future Perspectives Tymon de Haas and Martijn van Leusen
57	Isotopes, Isoscapes, and the Search for Geographic Origins: Unrealized Potential or Unrealistic Expectations? Jason E. Laffoon and Till F. Sonnemann
77	From the Jungle to the Lab: Using Remote-Sensing and Deep Learning to Map Archaeological Features in Lab- based Settings Sarah Klassen, Tommaso Pappagallo and Damian Evans
95	Bibliometric Analysis of Agent-Based Simulation in Archaeology: People, Topics, and Future Prospects Iza Romanowska and Fulco Scherjon
113	Critical Miss? Archaeogaming as a Playful Tool for Archaeological Research and Outreach Aris Politopoulos and Angus Mol
129	Reflections Rachel Opitz

Isotopes, Isoscapes, and the Search for Geographic Origins: Unrealized Potential or Unrealistic Expectations?

Jason E. Laffoon and Till F. Sonnemann

1 INTRODUCTION

Isotope analysis has become an increasingly popular and utilized tool to investigate geographic origins in archaeology. Since the first application of isotope methods to assess human migrations and mobility over thirty years ago (Ericson 1985), these methods have developed to become one of the most commonly employed approaches to the study of human (and animal) paleomobility in archaeological research. Traditionally, most applications of this method have focused on simply distinguishing between locals and nonlocals. Over the last decade, however, there has been a concerted effort to develop spatially explicit databases of baseline (bioavailable) strontium and oxygen isotope variation. When applied at large scales these data can be used to generate maps or predictive models (isoscapes) of spatial isotopic variation in order to assess individual geographic origins (Bowen 2010; West et al. 2010). These isoscapes have been developed for different isotope systems, various sample materials, and many areas of the world at multiple scales from regional, to sub-continental, to global (e.g., Adams et al. 2019; Bataille and Bowen 2012; Bataille et al. 2012; 2018; 2020; Britton et al. 2020; Funck et al. 2021; Hedman et al. 2018; Hobson et al. 2012; Laffoon et al. 2012; Lugli et al. 2021; Scaffidi and Knudson 2020). Nevertheless, to date, there has been insufficient research testing the reliability of these isoscapes for their intended purpose of determining individual origins. Despite some preliminary evidence of the efficacy of such isoscapes for archaeological and forensic human provenance research (Bataille et al. 2021; Colleter et al. 2021; Ehleringer et al. 2010; Font et al. 2015a; Laffoon *et al.* 2017), much more systematic testing of the validity of these models, using individuals of known origin, is needed before these can be more broadly and effectively applied to archaeological studies of migration and mobility, generally, and of individual origins in particular.

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Bonn Center for Digital Humanities University of Bonn till.sonnemann@uni-bonn.de In this paper, we assess the reliability of extant Circum-Caribbean strontium and oxygen isoscapes using isotope data obtained from modern individuals of known childhood origins. The current study represents a follow up to a previous study that used dual isotopes and isoscapes (strontium and oxygen) to test and validate this approach. In this previous study (Laffoon *et al.* 2017), one modern individual of known origin from Caracas (Venezuela), and two (nonlocal) archaeological individuals recovered from sites on Trinidad and Cuba were tested. The results of this previous study demonstrated that: many areas within the broader macro-region (Circum-Caribbean) could be eliminated as possible places of origin based on a single isotope value; potential places of origin were further narrowed by using two isotopes proxies; the approach accurately identified Caracas as a possible place of origin for the modern individual; and the suspected geographic origins of the archaeological individuals (based on other lines of evidence) were consistent with the possible origins based on the dual-isoscape approach (Laffoon et al. 2017). The original study, however, only included one (modern) known origin individual, which is insufficient to robustly test the isoscape approach. The current study includes further testing of the isoscape method based on six additional modern, knownorigin individuals including four individuals with just strontium isotope data, and two more with both strontium and oxygen isotope data. The results of the current study demonstrate considerable variation in the efficacy of the isoscape approach for constraining childhood origins and illustrate areas where the predictive models may need to be improved before they can be more widely utilized for the accurate and precise identification of individual geographic origins in archaeological and forensic research.

Placing this chapter within the broader aims of this volume, exploring promises and impasses of digital archaeology, isoscapes are increasingly popular tools for investigating origins in widely diverse research fields. These include sourcing and authentication studies in food science (Schellenberg et al. 2010), tracing the illicit trade in elephant ivory (Van der Merwe et al. 1990) and illegal drugs (Galimov et al. 2005), tracking animal migrations in wildlife ecology (Hobson et al. 2010), determining the origins of human remains from forensic contexts (unidentified individuals, mass graves) (Chesson et al. 2018), and exploring human migrations in archaeology (Lee-Thorp 2008). This increased popularity can be attributed to several factors: such as the empirical nature of isotope methods; the increased throughput, reduced costs and improved accuracy of isotope measurements; the ability to apply them to a broad range of materials and research questions; and perhaps most importantly their vast potential for answering one of the most fundamental of guestions: 'where does someone (or something) come from?'. To date, however, the vast potential of isoscape approaches for researching origins has yet to be realized in part due to the fact that the science is quite young and fast developing, because large-scale reliable isoscapes are not yet available for many regions of the world, and due to the fact that extant isoscapes have not yet been systematically tested to validate that they are actually effective tools for such purposes. This case study

represents an explicit attempt to test the validity of current Circum-Caribbean isoscapes for the purpose of constraining human geographic origins.

2 ISOTOPE PRINCIPLES

Isotope analysis has a long history of applications in archaeological research. Analysis of the radioactive isotopes of carbon (carbon-14) forms the basis of the most commonly employed absolute dating method in archaeology. Stable isotope analyses have also been widely used for dietary studies (carbon and nitrogen) and for climate (oxygen) and environmental (carbon and oxygen) reconstructions (Lee-Thorp 2008). Isotope analysis of human remains was first proposed and applied to the study of human mobility using strontium isotopes (Ericson 1985) and later oxygen isotopes (Fricke et al. 1995; Schwarcz et al. 1991). Over the last several decades strontium and oxygen isotopes have been increasingly applied in archaeological research, to the extent that they now form, in addition to aDNA, one of the most commonly utilized analytical methods in paleomobility studies (Makarewicz and Sealy 2015).

Strontium isotope analysis is based on the following principles (see review in Bentley 2006). Of the four naturally occurring isotopes of strontium, three are stable (84Sr, 86Sr, and 88Sr), and one is radiogenic (87Sr) produced by the decay of 87Rb with a half-life of roughly 4.88 × 10¹⁰ years (Faure and Mensing 2005). The amount of ⁸⁷Sr in rocks and minerals is thus a function of the amount of ⁸⁷Rb (relative to strontium) in the parent material and time, and the ratio of interest is reported as ⁸⁷Sr/⁸⁶Sr. Strontium isotopes display geographic variation based on the age and lithology of underlying bedrock (Faure and Mensing 2005). Due to chemical weathering and leaching, strontium derived from bedrock enters soil and groundwater, is taken up by plants and enters the food web (referred to as biosphere or bioavailable strontium). As strontium is chemically similar to calcium, the former readily replaces the latter in various minerals including hydroxyapatite, the main mineral component of bones and teeth (Bentley 2006). Therefore, in vertebrate animals, including humans, strontium tends to be concentrated in the skeletal system. Whereas bones remodel throughout life, the crowns of teeth are comprised of dental enamel which does not undergo remodelling and thus retains the biochemical and isotopic signatures of the period during which it formed. The enamel of human deciduous (baby) teeth forms and mineralizes in utero until infancy, while enamel of permanent teeth

forms at different periods per tooth type, ranging from early infancy (first molars) to adolescence (third molars), although most tooth crowns form primarily during childhood (Hillson 1996). Therefore, human teeth preserve a permanent isotopic record, or biogeochemical passport, of the location where they formed as these will not change even after subsequent migration to a new location.

The principles of oxygen isotope analysis of human teeth for paleomobility studies reflect both similarities and differences with the strontium isotope method. Whereas both methods are primarily applied to teeth and can be used to determine childhood origins and thus infer migration, the main difference lies in the sources of isotopic variation. Oxygen in biological or biogenic tissues (including human bones and teeth) is predominantly derived from consumed water (Daux et al. 2008; Longinelli 1984; Luz et al. 1984; Pederzani and Britton 2019; Podlesak et al. 2008). In most, but certainly not all, archaeological contexts, drinking water was obtained from local water sources, which are ultimately derived from precipitation (rain, snow). Oxygen isotopes (δ^{18} O) in precipitation vary globally based on various geographic and climatic variables such as latitude, altitude, distance to coast, and temperature of precipitation (Dansgaard 1964; Gat 1996). Several decades of research have been devoted to understanding spatial and temporal variation in oxygen isotopes as these are one of the most reliable proxies for (paleo)-climate studies (Bowen and Wilkinson 2002). Because strontium and oxygen isotopes vary independently, they represent useful complementary isotope proxies, and when combined can potentially provide more interpretive power than either isotope proxy in isolation.

In paleomobility studies, strontium and/or oxygen isotope analysis is most commonly conducted on dental enamel. Many studies have primarily focused on using isotope data to distinguish between locals (locally born and raised individuals with a local isotope signal) and nonlocals (individuals with a nonlocal signal who were born and raised elsewhere and who subsequently migrated to the site/location where they were interred). One of the main interpretive limitations of this approach is that nonlocal individuals originating from isotopically similar regions are falsely identified as locals (false negatives). Another critical limitation is that isotope signals are never unique to a single location and many regions/locations are isotopically indistinguishable. This issue is known as equifinality (Price et al. 2007) and the implication

of this is that it is very difficult, if not impossible, to pinpoint a specific origin based on isotope data alone.

Additionally, there has been substantial discussion, and little consensus, concerning how local isotope ranges should be estimated (e.g., Valentine et al. 2015; Wright 2005). For strontium isotopes, many early studies (Ericson 1985; Nelson et al. 1986; Sealy et al. 1991) tended to focus on strontium isotope analysis of both enamel and bone with the assumption that owing to remodelling, bone ⁸⁷Sr/⁸⁶Sr should eventually equilibrate to the local isotope signal. Subsequent research has demonstrated that buried bone is highly susceptible to diagenetic alteration of biogenic 87Sr/86Sr (Budd et al. 2000; Hoppe et al. 2003; Trickett et al. 2003), and that the degree of post-mortem contamination of archaeological bone ⁸⁷Sr/⁸⁶Sr is highly variable and difficult to assess or remove (Price 1989). As such, strontium isotope analysis of bone as a sampling material has fallen out of favour, with the exception of cremated bone, which appears to retain the in vivo isotope signal (Snoeck et al. 2018). In the absence of independent data to assess local strontium isotope ranges, statistical assessments of human isotope data have also been used to generate local range estimates (Wright 2005), but these generally rely on dubious assumptions including that the majority of the sample population is of local origin, and that isotopic variation within the local population is random. One of the most commonly used methods to assess local strontium isotope ranges is to measure ⁸⁷Sr/⁸⁶Sr in locally derived materials, such as soils, water, animals and plants. In general, non-motile archaeological faunal remains are considered the best proxies for local range estimates (Price et al. 2002), but there is substantial variation in the targeting of sampling materials and species (Evans et al. 2010: Grimstead et al. 2017).

3 Isoscapes

Until fairly recently, the vast majority of isotope studies of human paleomobility represent site-based case studies limited to identifying local and nonlocal individuals. While these have been highly successful in this regard and for explorations of human paleomobility patterns more generally, one valid critique (Pestle *et al.* 2013) is that they provide little or no insight into the geographic origins of nonlocals (migrants). Subsequent studies have also made significant progress in our understanding of spatial isotopic variation at larger spatial scales. Much of this research has focused on developing larger regional databases of bioavailable strontium isotope ratios (BASR), based on a wide range of different sample proxies. These are essential for 'pushing the envelope' of isotope migration studies beyond the identification of nonlocals and towards a true provenance approach permitting scientific explorations of the geographic origins of people (or animals, materials, artefacts). It should be kept in mind that isotopic provenance studies are inherently exclusionary, meaning that the isotope data can only be used to exclude, and not pinpoint, possible places of origin. Nonetheless, a good understanding of spatial isotope variation can in many cases permit more nuanced interpretations of human isotope data and can sometimes be used to vastly reduce the possible areas of origin for nonlocal individuals, especially in combination with other lines of evidence.

Furthermore, advances in isotope method and theory in other fields of study have led to further development of these approaches in archaeology. Specifically, isotope studies of modern animal migrations in the field of wildlife ecology led to increased attention to mapping stable isotope variation at greater spatial scales (e.g., Hobson and Wassenaar 2018; Hobson et al. 2010). Owing at least in part to the very substantial dedication of resources (time, labour, money) required to collect and analyse appropriate sampling materials over large areas, digital solutions to the problem of largescale isotope mapping were investigated. This led to the development, approximately a decade ago, of the concept of isoscapes: spatially explicit predictive models of isotope variation (West et al. 2009). Regional and global isoscapes for various isotope systems have also been developed. For example, precipitation oxygen isoscapes are based on large datasets of oxygen isotope measurements of water collected at various locations across the globe as part of the Global Network of Isotope in Precipitation (GNIP) project of the IAEA (IAEA/WMO 2016). Using various spatial statistics to interpolate between measured data forms the basis for predictive models (maps) of isotopic variation across the landscape (isoscapes). In this sense, the development and use of large-scale isoscapes for light stable isotopes (e.g., oxygen, hydrogen, carbon, and nitrogen) is further advanced than those for strontium or lead (Pb). In fact, for several years, online platforms such as the Online Isotopes in Precipitation Calculator, and ISOMAP (Isoscape Modelling Analysis and Prediction) have provided invaluable tools for using isotope data for a wide range of research applications across various fields ranging from climate change to ecology to forensics.

However, compared to isoscapes for light stable isotopes, strontium isoscapes have been less widely used despite their enormous potential for provenance and mobility studies in archaeology and beyond. Fortunately, in the last several years strontium isoscapes have also been developed for many regions of the world, and recently even a global bioavailable strontium isoscape was published (Bataille et al. 2020). One of the main limitations to the broader application of strontium isoscapes is the fact that most examples have simply been published as figures within articles lacking associated code and as such, they are generally not very user friendly. This trend is expected to change dramatically in the coming years with the increased focus on digital archaeology (quantitative and computational approaches in archaeological research), the publication of associated programming codes for user-friendly applications (e.g., Bataille et al. 2018), the increasing availability of large digital repositories of isotope data (IsoArch, https://isoarch. eu/), and the development of online tools for inputting and interpreting archaeological strontium isotope data such as the British Isotope Domains website of the British Geological Survey. One persistent limitation concerning the use of isoscapes in general, and strontium isoscapes in particular, in paleomobility and provenance research, is that there has been a general lack of validation and testing of their accuracy and efficacy.

3.1 Circum-Caribbean isoscapes

One of the main aims of the current study is to further assess the reliability of isoscapes for the determination of individual geographic origins based on enamel strontium and oxygen isotope data. In this regard, this study represents a continuation of a previous validation study with similar aims using Circum-Caribbean strontium and oxygen isoscapes (figure 1). The original Circum-Caribbean strontium isoscape represents a three-source mixing model (which incorporates strontium inputs from bedrock, sea spray, and atmospheric dust) originally developed by Bataille et al. (2012) and was tested on several hundred baseline strontium isotope measurements from Mesoamerica (Hodell et al. 2004) and the insular Caribbean (Laffoon 2012; Laffoon et al. 2012). The multi-source Circum-Caribbean strontium isoscape performs better than a traditional single source model (bedrock only) in predicting the observed baseline ⁸⁷Sr/⁸⁶Sr ratios in both regional datasets: Mean Absolute Error (MAE) = 0.00040, Root Mean Square



Figure 1: (a.) Enamel oxygen (δ^{18} O) and (b.) bioavailable strontium (87 Sr/ 86 Sr) isoscapes of the Circum-Caribbean.

Error (RMSE) = 0.00087; and MAE = 0.00014, RMSE = 0.0010, respectively. Furthermore, the multi-source model is in good agreement with the range of (local) human ⁸⁷Sr/⁸⁶Sr ratios for dozens of sites from multiple islands and locations within the broader Caribbean (Laffoon 2012) as well as subsequent baseline measurements from previously unsampled or undersampled regions. The enamel ⁸⁷Sr/⁸⁶Sr isoscape used in this paper is modified from the bioavailable multisource mixing model of Bataille *et al.* (2012).

Within the insular Caribbean there is a spatial bias of representation in the original baseline bioavailable ⁸⁷Sr/⁸⁶Sr dataset (Laffoon *et al.* 2012) with much of the eastern and southern Caribbean well covered, while much of the northern (Bahamian archipelago) and western Caribbean (Haiti/Dominican Republic, Jamaica, Cuba) is represented by few data points relative to their land mass. A similar problem of spatial representation also plagues the bioavailable data from the mainland regions of the Circum-Caribbean with Mesoamerica very well represented, whereas much of Central America and Northern South America are represented by very few sampling locations or data points. Fortunately, further research has made substantial progress filling in some of the gaps in bioavailable ⁸⁷Sr/⁸⁶Sr data for many areas within the Circum-Caribbean: Puerto Rico (Pestle et al. 2013), Nevis and Carriacou (Giovas et al. 2016), Trinidad and Tobago (Ostapkowicz et al. 2017), Venezuela (Laffoon et al. 2018), the Bahamas and Turks and Caicos (Schulting et al. 2018), Barbados (Giovas et al. 2019), the Dominican Republic (Laffoon et al. 2019), Jamaica (Mickleburgh et al. 2019), Panama (Sharpe et al. 2021) and Cuba (Laffoon and Chinique de Armas 2022). Additionally, strontium isotope mapping on the Bahamian archipelago has demonstrated little or no influence of Sr inputs from atmospheric dust on bioavailable ⁸⁷Sr/⁸⁶Sr (Schulting et al. 2018). This insight suggests that a simpler two-source mixing model (including bedrock and sea spray) may adequately reflect the main sources of strontium to terrestrial Antillean ecosystems, although further research is required to assess if this is more broadly applicable across the entire Circum-Caribbean.

The Circum-Caribbean oxygen isoscape used herein is ultimately based on measurements of oxygen isotopes in precipitation from the GNIP (IAEA/ WMO 2013) and the regionalized cluster-based water isotope prediction (RCWIP) model of Terzer *et al.* (2013). Details of the data conversion, reduction, and manipulation steps used to produce the oxygen isoscape are reported in Laffoon *et al.* (2017). The precipitation oxygen isoscape was converted to an enamel isoscape by converting the water δ^{18} O values to enamel δ^{18} O values based on the equation of Chenery *et al.* (2012). This enamel oxygen isoscape is generally consistent with measured human and animal enamel δ^{18} O values from a wide range of sites and islands throughout the Antilles (Laffoon *et al.* 2013). Isotope analyses of bioarchaeological samples in the insular Caribbean combined with strontium and/or oxygen isoscapes have already contributed to investigations of human paleomobility patterns, animal migrations and the provenance of artefacts made from faunal skeletal remains (Laffoon *et al.* 2014; 2017; 2018).

4 MATERIALS AND METHODS

The validation tests were applied to multiple modern individuals of known (childhood) origins from various locations within the Circum-Caribbean: Santo Domingo, Dominican Republic; Caracas, Venezuela; Gosier, Guadeloupe; unspecified location in Aruba; Cúcuta, Columbia; Kralendijk, Bonaire; Willemstad, Curaçao. In total, isotope data from seven individuals from seven different locations were assessed (table 1). Five of these derive from previous studies by Plomp et al. (2019; 2020), four of which include only strontium isotope data, and one with both strontium and oxygen Sr; one individual was previously reported (Laffoon et al. 2017) and is re-assessed here; and one individual is from a new analysis for the current study. Dental samples were donated (anonymously) from living individuals who self-reported their geographic location (as well as diet, habits) at the time of tooth formation and mineralization via a questionnaire, and permission for analyses was approved by the Medical Ethics Review Committee of the VU University Medical Center (Plomp et al. 2019). The sampled dental elements represent two deciduous first molars (dm1) and five permanent third molars (M3). Deciduous first molars primarily mineralize in utero (AlQahtani et al. 2010) and thus their enamel δ^{18} O should not be influenced by the breastfeeding effect, which can elevate δ^{18} O values (Lin et al. 2003). We furthermore sampled the enamel near the cusps, which tends to form earlier than enamel near the tooth cervix in order to avoid material formed after birth. Third molar enamel crowns form from late childhood through adolescence (circa 8-14 years) and thus are not impacted by breastfeeding effects (AlQahtani et al. 2010).

Sample processing and analyses were similar for all individuals and are reported in detail elsewhere



Figure 2: Sources of (a.) strontium and (b) oxygen uncertainties from measurements and raster map creation. Final areas from (c.) overlapping isotope uncertainty ranges.

(Laffoon 2012; Plomp et al. 2020). Briefly, dental samples were mechanically cleaned and then enamel was extracted using a hand-held dental drill. The samples were subsequently split in two for strontium and oxygen isotope analyses. For strontium isotope measurements, samples were dissolved in nitric acid, and then strontium was separated from the sample matrix using column chromatography with Sr-specific resin. Strontium isotope ratios were measured on a Thermo-Scientific TritonPlus Multi-Collector TIMS. Total procedural blanks were negligible, and instrument performance was monitored via the analyses of the international strontium standard (NBS-987) with a long-term mean ⁸⁷Sr/⁸⁶Sr of 0.710249 ±0.0001 (1σ). Oxygen isotopes were measured on a Thermo-Finnigan DeltaPlus IRMS coupled to a Gasbench II interface. Oxygen isotope results are normalized using an internal laboratory standard (VICS), with a long-term reproducibility of the international reference material (NBS-19) for δ^{18} O of <0.2‰. Enamel oxygen isotope results are reported in the δ notation, in parts per thousand (‰) relative to the international VPDB standard and converted to VSMOW using the equation of Coplen (1988).

In terms of assessing geographic origins, we use the Interval Approach previously outlined in Laffoon *et al.* (2017). The Interval Approach is a simple way of assigning a yes (possible origin) or no (not possible origin) to each raster cell (location) on a map (isoscape) by directly comparing the range of possible isotope values for each raster cell on the map with the measured isotope value(s), and associated individual error ranges/uncertainties, of the sample for strontium, oxygen, or both.

Both isotope databases had previously been uploaded into a GIS environment, where the globally estimated RCWIP oxygen data point values of approximately 18 km x 18 km distance were adjusted to match the strontium raster data set resolution of one km² using cubic spline interpolation. Both images were subsequently framed by a Caribbean boundary map (open source by http://naturalearthdata.org), creating two exactly overlapping maps (Laffoon et al. 2017), displaying only land coverage. These datasets form the base to calculate the interval approach results. The uncertainty ranges of each isotope value, as minimum and maximum extent, are compared with the isotope values by a logical operator. If the map values lie within the defined range, the logical operator assigns this pixel value one; if the value is outside the range, the pixel value is zero. The extent of this min-max range based on the combined uncertainties fills the interval of matching values, which defines the size of the remaining areas.

Regarding strontium, the combined errors of map variation ($\varepsilon_{s,i}$) and measurement uncertainty ($\varepsilon_{s,i}$) result

Sample ID	Location	Country	element	source	⁸⁷ Sr/ ⁸⁶ Sr	⁸⁷ Sr/ ⁸⁶ Sr	δ¹ ⁸ Oc	δ¹ ⁸ Oc	δ¹ ⁸ Oc
							‰ VPDB	‰ VPDB	‰ VSMOW
					measured	2SE	measured	1σ	Coplen 1988
V464_V1	Caracas	Venezuela	dm	1	0.71013	0.00001	-4.9	0.1	25.8
DR_WL	Santo Domingo	Dom. Rep.	M3	2	0.70831	0.00001	-4.3	0.1	26.5
V463_G2	Le Gosier	Guadeloupe	dm	3	0.70820	0.00001	-4.5	0.1	26.2
W4-B16	Cúcuta	Columbia	M3	2	0.71175	0.00001			
W6-B13	?	A/B/C	M3	2	0.70950	0.00001			
W2-R8	Willemstad	Curaçao	M3	2	0.70945	0.00001			
W3-B4	Kralendijk	Bonaire	M3	2	0.70926	0.00001			

Table 1: Isotope values and relevant sampling information of known origin individuals from the Circum-Caribbean area. Data sources: 1) Laffoon *et al.* 2017; 2) Plomp *et al.* 2019; 3) this study.

in a total error of ±0.0008, which defines the range added or subtracted as a general mean error. Regarding oxygen, a novel path was chosen: firstly, the population variance is calculated by taking the square of the standard deviation of all measured values, with mean value at 0.687132‰, which was then rounded up to the significant digit ~ 0.7‰. To this value, a measurement uncertainty of 0.1 (reflecting the typical uncertainty of oxygen isotope measurements) was added, resulting in 0.8‰ measurement uncertainty (ε_0). An additional source of error was defined by the individual uncertainty for each data point from the RCWIP database (ϵ_{oi}) which was transferred and interpolated to each individual pixel accordingly, ranging within the map between 0.9 and 1.4‰. To this floating error range, the measurement uncertainty of ±0.8‰ was added respectively. Based on the rules of propagating error, the square root of the sum of the squares of each source of uncertainty formed the total error for each pixel. This approach produces a greater uncertainty range for the oxygen results than previously calculated (Laffoon et al. 2017), producing a larger potential region of origin, but reducing the possibility of false positive results.

For samples where both strontium and oxygen values exist, these resulting maps once again were compared by a logical operator, leaving out all areas where either oxygen or strontium had turned out zero (figure 2). Figure 2 is a graphical representation of how the interval approach defines overlapping areas by cancelling out the rest, using a range of hypothetical values, and associated errors, for both strontium and oxygen (y-axes) and hypothetical variation of both over geographic location (x-axis).

5 Results

The strontium and oxygen isotope measurements and relevant sample information are listed in table 1. The results of the Interval Approach are presented in figure 3 (using both strontium and oxygen isoscapes) and figure 4 (using only strontium isoscapes). We assess the effectiveness of the Interval Approach in terms of both accuracy and precision. In this context, accuracy refers to whether or not the Interval Approach correctly identifies the known geographic origin of the individual as a potential place of origin, whereas precision refers to the extent that the Interval Approach constrains the number of potential places of origin within the overall map. In this section we present the results on a case-by-case basis starting with the three samples for which both strontium and oxygen isotopes were measured.

Individual V1 was previously analysed and discussed in the original proof of concept study (Laffoon et al. 2017). We reanalysed it herein using a slightly modified version of the Interval Approach, namely with an expanded range of uncertainty for the oxygen isotope measurements (see paragraph 6.4). Ultimately, the results of this re-analysis with Interval Approach are very consistent with those of the original study. More specifically, separately both the strontium and oxygen isoscapes greatly constrain the potential places of origin for this individual but when the two isoscapes are combined using the Interval Approach the potential places of origin are even more constrained (figure 3). In this case the method is both accurate in the sense that Caracas (the known origin for this individual) is correctly identified as a potential





place of origin, and precise in that the vast majority of the map area (>90% of the total raster cells) is excluded as a place of origin.

For Individual WL, the model results were both less accurate and less precise than for Individual V1. Santo Domingo, the known location of origin, was not identified as a potential place of origin. However, a few small areas, several kilometres to the west of the city were corresponding positively (figure 3). Similar to the case for Individual V1, the combination of the strontium and oxygen isoscapes reduced the number of potential places of origin substantially compared to the results of either one individually. In terms of precision, the number of potential places of origin was greatly constrained but less so than the case for Individual V1. More specifically, on the mainland, the model identified many scattered potential places of origin throughout Central America, and north-western South America. Within the insular Caribbean, most islands were excluded but potential places of origin were identified in much of Jamaica, large portions of southwestern Hispaniola (Haiti and the Dominican Republic), and few tiny pockets in south-eastern Cuba.

As expected, the overall model results for Individual G2 are similar to those of Individual WL since they possess very similar isotope values for both strontium and oxygen (figure 3). However, the model results for Individual G2 are far less accurate than for Individual WL. Interestingly, the eastern half of Guadeloupe (Grande-Terre) is identified as a potential place of origin based on strontium but not on oxygen isotopes. When combined, in the Interval Approach, not only is the known origin (in Le Gosier, Grande-Terre, Guadeloupe) excluded as a potential place of origin but so is the whole island of Guadeloupe, as well as the entire eastern Caribbean (Lesser Antilles archipelago). In this case, the strontium isoscape is much more accurate than the oxygen isoscape. For Individual G2 the precision is similar to Individual WL when both isoscapes are combined with large but dispersed potential places of origin throughout Central America, large portions of north-western and northern Southern America, and relatively few and small areas of Jamaica, south-western Hispaniola, and a tiny area of south-eastern Cuba identified.

For the remaining four individuals only strontium isotope data are available. For individual B16 with known origins in Cúcuta, Columbia, the model results did not accurately identify this location as a potential place of origin. However, numerous other locations in Columbia, including several relatively constrained pockets in the highlands, including areas in the east somewhat near Cúcuta were identified as potential places of origin (figure 4). The model results for this individual were the most precise in the sense that the greatest area within the map was excluded as a potential place of origin relative to the other samples in this study. Interestingly, the model results primarily only identify relatively small and isolated locations in inland and highland areas of the Circum-Caribbean as possible places of origin for this individual. This pattern probably reflects the fact that individual B16 has a fairly high ⁸⁷Sr/⁸⁶Sr ratio (0.71175) that is more characteristic of older, continental geological settings primarily underlain by metamorphic bedrock. In addition to possible locations in Columbia, the model results also identify potential origins in very restricted areas of Venezuela, Panama, Nicaragua, Honduras, Cuba and Hispaniola. The latter two seem unlikely on the basis of empirical baseline ⁸⁷Sr/⁸⁶Sr data for the insular Caribbean where such high ratios have only been reported for Trinidad and Tobago (Laffoon et al. 2012; Ostapkowicz et al. 2017).

The remaining three samples for which only strontium isotope data are available have known origins in the so-called ABC islands (Aruba, Bonaire, Curaçao) located in the southern Caribbean just north of the coast of central Venezuela. For individual B13 it is only known that the origin is from one of the three ABC islands, whereas individual R8 has known origins from Willemstad, Curaçao and individual B4 originates from Kralendijk, Bonaire. All three individuals have very similar ⁸⁷Sr/⁸⁶Sr ratios and hence the model outputs for all three are highly comparable (figure 4). For these three individuals the model outputs are neither particularly accurate nor precise. All three model outputs correctly identify at least two of the ABC islands as a potential place of origin. For R8 and B4 all islands are identified as potential locations, while for B13 only Aruba and Curaçao are within the range. Some neighbouring areas of northern coastal Venezuela are also identified as such. The model outputs are less precise than the other samples in this study with a fairly reduced area of the map excluded as potential places of origin, and by consequence, a fairly large area of the map identified as possible places of origin for all three individuals.

6 DISCUSSION AND CONCLUSIONS

The relatively high degree of spatial variation in baseline isotope values in the Circum-Caribbean (figure 1), particularly for strontium, offers substantial



Figure 4: Results of the Interval Approach applied to strontium isotope data and corresponding isoscape.

potential for the application of isotopes and isoscapes to investigations of the geographic origins of a wide range of biogenic materials, including examinations of the childhood origins of individual humans from archaeological and forensic contexts. The application of the Interval Approach outlined herein to modern individuals with known geographic origins permits further assessment of the validity of this method. The model results of the Interval Approach for seven individuals detailed above raise numerous discussion points.

A previous study (Laffoon et al. 2017) using the same isoscapes and similar methods (i.e., dual isoscapes and the Interval Approach) was applied to an individual from Caracas, Venezuela (V1, figure 3a). The results of this previous study (Laffoon et al. 2017) indicated a high degree of accuracy and precision when both strontium and oxygen isotopes were combined. This analysis was replicated herein with a slightly modified method but with identical results. More specifically, the origin identified by the model could be pinpointed to the area around Caracas and the nearby Venezuelan coastal range and thus was highly consistent with the known origin for this individual in Caracas. This example represents the best-case scenario for the overall method and approach. Unfortunately, the results for the other six individuals in this study were much more variable and less promising.

For the other two individuals for whom both strontium and oxygen isotope data were available (WL from Santo Domingo, Dominican Republic; and G2 from Gosier, Guadeloupe) the model results were somewhat less precise than for individual V1 but large areas of the Circum-Caribbean map (>50%) were excluded as potential places of origin. Since the isotope method for assessing origins is essentially exclusionary, the approach could be considered partially successful in the sense that many possible areas of origin have been excluded. However, much more concerning is the fact that the model outputs for both individuals were inaccurate (i.e., did not correctly identify the known origin as a potential place of origin). One difference between these two cases is that for individual WL, possible places of origin were identified fairly close (within ~10 km) to the actual location of origin in Santo Domingo. Whereas, for individual G2 the strontium isoscape correctly identified the eastern half of Guadeloupe as a potential place of origin but this was excluded on the basis of the oxygen isoscape. We consider these two examples as being partially

successful in the sense that while both are moderately precise, the former is only slightly inaccurate while the latter is accurate based on one isotope system but not the other.

Highly variable results were also obtained from the four samples for which only strontium isotope data was available. For Individual B16 (from Cúcuta, Columbia), the results were very precise (approaching the precision obtained for Individual V1) with >90% of the map area excluded as a potential origin. The result was not very accurate given that the known origin was not identified as a potential place of origin, however. similar to the case for Individual WL where other. not too distant (<50 km) areas of eastern Columbia were identified as such. The results for the last three individuals were the least promising in terms of both accuracy and precision: while the model results correctly identified areas within the known islands of origin, extremely large areas of the overall map remained as possible places of origin.

In general, the model results obtained from the Interval Approach were highly variable and, in most cases, not particularly promising. We highlight several issues that need to be addressed to further develop these methods and hopefully improve the accuracy and precision of the results. First, one possible concern may be the errors associated with the isotope measurements themselves. This, however, seems unlikely to be a major concern given that the measurement uncertainties are much smaller (in some cases by an order of magnitude) than: the normal variation in isotopes within a single (local) individual (Plomp *et al.* 2020), the variance within most (archaeological) populations measured to date, and the uncertainties associated with the isoscape models themselves (Laffoon *et al.* 2017).

A second potentially confounding factor is the selection of the appropriate samples for isotope analysis. In this study, five teeth are third molars, which form during adolescence and thus are not impacted by breastfeeding effects. Two others are represented by deciduous first molars, which primarily form in utero. However, mineralization of deciduous first molars continues after birth, and it is possible that some of the sampled enamel from these teeth may reflect the breastfeeding period or a combination of enamel formed before and after birth, and therefore contain a mix of fetal and breastfeeding inputs. Other issues pertaining to the use of oxygen isotopes for provenance studies more generally include seasonal variation in oxygen isotope values and long-term climatic change (Pederzani and Britton 2019), the latter of course being more relevant for archaeological than for modern cases. There are also numerous processes that may cause significant differences between measured δ^{18} O values of local precipitation (upon which the oxygen isoscapes are based) and the δ^{18} O of consumed water (which is the primary source of δ^{18} O in biogenic tissues) such as fractionation from water storage, the consumption of imported (bottled) water, and cooking practices such as boiling and brewing (Brettell *et al.* 2012; Lee-Thorp 2008; Pederzani and Britton 2019), in addition to the previously discussed uncertainties associated with the conversions between water and enamel isotope values.

In terms of the reliability of the isoscapes, as previously mentioned for the Circum-Caribbean there is a distinct spatial bias in the representativeness of sampling for baseline strontium isotope ratios, with much of the northern and western insular Caribbean. and vast areas of Central America and northern South America grossly underrepresented relative to their size. A recent study that developed and tested the first global-scale strontium isoscape found that predictive models underperformed in areas that lacked spatially representative bioavailable ⁸⁷Sr/⁸⁶Sr data (Bataille et al. 2020). A related issue concerns the geospatial models that are used to predict bioavailable ⁸⁷Sr/⁸⁶Sr at large spatial scales. In the Caribbean, recent studies have demonstrated a notable lack of input from atmospheric sources (e.g., Saharan dust) on bioavailable 87Sr/86Sr for certain islands and archipelagos (Schulting et al. 2018) that contrast with the process-based models used to generate the Circum-Caribbean strontium isoscape used in this study (Bataille et al. 2012). Furthermore, newer more robust geospatial models utilizing machine-learning approaches (Bataille et al. 2018) have been recently developed and offer potential for improving existing isoscapes at multiple scales, including for the Circum-Caribbean. Although not relevant for the current validation test, it should also be noted that the spatial limits of the Circum-Caribbean isoscape place a priori constraints on the Interval Approach. In other words, the approach will only identify possible places of origin within the geographical boundaries of the isoscape model.

Perhaps the most problematic issue limiting the utility of the isotope/isoscape method for provenance studies of modern individuals is the globalization of the food industry. For example, the three individuals in the present study from the ABC islands all have ⁸⁷Sr/⁸⁶Sr ratios that exceed the measured range of bioavailable ⁸⁷Sr/⁸⁶Sr for these islands

(maximum = 0.70915), a value that is very close to the mean ⁸⁷Sr/⁸⁶Sr ratio of seawater (0.70918). It is possible that the limited sampling (n=20 samples in total) of biosphere ⁸⁷Sr/⁸⁶Sr has not been sufficient to reflect the overall variance of ⁸⁷Sr/⁸⁶Sr for these three islands. This seems like an unlikely explanation in this case, however, as most island and coastal settings can be characterized as having bioavailable ⁸⁷Sr/⁸⁶Sr reflecting a two end-member mixing model between bedrock and marine-derived strontium. In the case of the ABC islands, the bedrock values represent the low endmember of this mixing solution and marine strontium (from sea spray and/or precipitation) represents the high endmember. As such, terrestrial bioavailable ⁸⁷Sr/⁸⁶Sr is not expected to exceed that of seawater (~0.7092) for these islands, or most other islands of the Caribbean for that matter. In this context, it is more parsimonious that the high 87Sr/86Sr ratios of the three measured individuals from the ABC islands reflect the consumption of imported foods.

It is difficult to assess the impact of imported foods on ⁸⁷Sr/⁸⁶Sr ratios in human dental enamel and the effects may be highly variable both spatially and temporally. Previous studies using isotope analyses of strontium and oxygen of World War 2 casualties successfully identified the geographic origins of at least one individual, which was later confirmed by other lines of evidence (Font et al. 2015a). Another recent study was successful at precisely and accurately constraining the origin of historically documented individuals from early modern Brittany (Bataille et al. 2021; Colleter et al. 2021). There are also numerous forensic studies that have utilized various isotope measurements and geographic assignment models to investigate individual (modern) human origins with varying degrees of success (Bartelink et al. 2020; Chesson and Berg 2021; Chesson et al. 2018; Font et al. 2015b; Kamenov and Curtis 2017; Kramer et al. 2020; Lehn et al. 2015; Mehl et al. 2019; Meier-Augenstein and Fraser 2008). Other studies have attempted to assess the reliability of isoscapes, or baseline isotope datasets, against isotope data obtained from modern individuals but the results have been quite variable with some areas demonstrating congruencies and other areas major differences depending on location and the type of material sampled for isotope analyses (e.g., Ammer et al. 2020; Kootker et al. 2020; Ueda and Bell 2021). These studies seem to suggest that the complications introduced by the globalized nature of the food economy are perhaps not insurmountable but may generally increase over time and with variable

impacts depending on the extent that people consume imported foodstuffs. The degree that people consume nonlocal foods (with isotope ratios that do not reflect local sources) may be further exacerbated in small countries or islands where a large proportion of food is imported, as is the case in much of the insular Caribbean nowadays. By contrast, in regions where most if not all consumed food and water is of local origin the globalized food industry may have little effect on biogenic isotope values or the reliability of the isotopic approach to provenance studies.

In summary, the results of this study are highly variable in terms of both precision and accuracy. In this regard, they demonstrate a more complicated and nuanced situation than the results of the original validation study (Laffoon et al. 2017) of the Circum-Caribbean isoscapes which was based on a single sample and was, perhaps purely by coincidence, the most accurate and precise of the seven samples included in the present expanded study. The general lack of precision for many of the cases presented herein is probably best considered an inherent limitation of the method and not particularly problematic from an interpretive perspective. In many regions of the world, including the Circum-Caribbean, large and/or numerous areas have similar or even identical strontium and/ or oxygen isotope values and some values or ranges of values are often much more common than others. If the measured isotope values in any given sample happen to be common in the study area, the isoscape provenance method will be limited in its capacity to constrain the potential places of origin. On the other hand, if a given sample happens to possess an isotope value, for one or more isotope systems, that is less common or particularly rare in a given study area, the degree that the origins can be constrained (the precision of the method) can be greatly improved. The lack of accuracy is a much more serious and confounding problem in this context. Perhaps counter-intuitively, the isotopic provenance method may actually work better for archaeological cases than for modern ones owing to the aforementioned complications of the globalized food industry, whereas attempts to validate the overall method and the isoscapes upon which they are based, generally require the use of modern individuals (with known origins).

Several avenues for future research to address these concerns can be proposed. As the method and approach works similarly for humans as for other terrestrial animals, validating the approach using isotope values from modern fauna may represent an ideal sampling approach that avoids the complications of the consumption of imported food, if samples are taken from wild or captive animals with known dietary histories. Another possibility would be to focus on (archaeological) individuals of known origins who pre-date the recent rapid expansion of the global food economy, for example from pre-20th century or other historical contexts. In the context of the broader aims of this volume (promises and impasses in digital archaeology), we conclude that the combined isotope/isoscape method still holds much potential for provenance investigations more generally, and for constraining the natal origins of individuals in archaeological research more specifically. The current study, however, clearly indicates that caution is merited when applying isotopes and isoscapes to assess geographic origins in modern and forensic cases, at least within the study area of the Circum-Caribbean. The consumption of large quantities of non-local foods violates one of the main underlying principles of the isotope method, namely that isotope values of biogenic tissues will reflect the local environment. We suggest that more research is required, particularly systematic validation tests of multiple isotopes systems and multiple isoscapes, within the Caribbean and beyond, in order to assess the reliability of these methods for investigations of geographic origins before they can be more widely and effectively applied in archaeological and forensic research.

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