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Blood flow dynamics in the total cavopulmonary connection long-term after Fontan completion

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CHAPTER 3

3

Long-term outcome of direct relief of subaortic stenosis in single ventricle patients

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Abstract

Background

Single ventricle patients with unrestrictive pulmonary blood flow and (potential) subaortic stenosis are challenging to manage and optimal surgical strategy is unknown. Direct relief of subaortic stenosis by enlargement of the VSD and/or subaortic chamber has generally been replaced for a DKS or Norwood procedure due to concerns of iatrogenic heart block, re-obstruction or ventricular dysfunction. Studies reporting long-term outcome after the direct approach are limited. The aim of our study was to describe and analyze our experience with direct relief of subaortic stenosis in single ventricle patients.

Methods

Demographic data, characteristics, (pre)-operative and outcome details were collected for children undergoing direct relief of subaortic stenosis between 1989 and 2016.

Results

Twenty three patients (median age 7.4 months, range 10 days- 5.5 years) underwent direct relief of subaortic stenosis. Complete follow-up was available in all patients (median 15.6 years, range 34 days-26.3 years). Seven patients (30%) had recurrence of subaortic stenosis. One patient developed complete heart block (4%) and 1 patient developed moderate ventricular dysfunction. Five (50%) patients developed a (pseudo) aneurysm at site of the patch and ventriculotomy. There were 2 perioperative deaths. Eighty six percent of patients underwent a successful Fontan procedure.

Conclusions

Direct relief of subaortic stenosis is associated with a substantial risk of re-obstruction and patch (pseudo)aneurysm formation. However, risk of heart block is low and long-term outcome is good with the majority of patients reaching Fontan completion. In our opinion, the direct approach appears to be a good and relatively simple procedure in selected cases for the treatment of subaortic stenosis.

Introduction

Single ventricle (SV) with unrestrictive pulmonary blood flow and (potential) systemic ventricular outflow tract obstruction remains a rare and challenging anomaly with heterogeneous underlying anatomy.¹ Classical examples are double inlet left ventricle (DILV) or tricuspid atresia (TA) with associated transposition of the great arteries (TGA). In these patients, systemic blood flow needs to pass through a ventricular septal defect (VSD), sometimes called bulboventricular foramen, to a rudimentary subaortic outlet chamber. Such a VSD tends to become restrictive and has been linked to initial pulmonary artery banding (PAB) due to ventricular hypertrophy²⁻⁵, or after volume-unloading surgery (Glenn or Fontan) due to altered ventricular geometry⁶, particularly when associated with aortic arch obstruction.^{7,8} Subaortic or aortic arch (AA) obstruction has been widely recognized as a risk factor for a good Fontan outcome. Therefore, early relief of such obstruction is mandatory. However, initial neonatal management in this patient group is not uniform, varying from an aggressive neonatal Norwood (NW) or Damus-Kaye-Stansel (DKS) approach to a more conservative initial PAB \pm AA plasty with potential later relief of developed subaortic stenosis (SAS) concomitant with the Glenn or Fontan procedure. SAS can occur because of 1) a restrictive subaortic chamber, 2) a restrictive VSD, 3) subaortic fibrous tissue/membrane or 4) a combination of these causes. SAS can be relieved directly by means of VSD and/or subaortic chamber enlargement, or indirectly by means of a NW or DKS procedure, in which the actual subaortic obstruction is bypassed by connecting the pulmonary trunk with the aorta. In addition, some centers have used a palliative arterial switch procedure for this purpose.⁹ The direct approach has been mostly replaced by the DKS/NW procedure because of the risk of heart block, development of recurrent SAS and ventricular dysfunction due to a ventriculotomy, thus making these patients potentially less suitable for a Fontan pathway. However, the DKS/NW procedure can result in left pulmonary artery compression by the neo-aortic root, or in semilunar valve insufficiency due to altered root geometry. Moreover, these operations carry higher mortality and morbidity when performed in a neonatal period.^{1,10,11}

There are only few reports on long-term outcomes in SV patients who underwent direct relief of SAS by enlargement of VSD and/or subaortic outflow chamber.¹² In this study we aim to revisit this partially abandoned concept by describing and analyzing our experience with the direct approach for relief of SAS in SV patients.

Patients and Methods

We conducted a retrospective study in children with SV and unobstructed pulmonary blood flow, in whom initial or later SAS had been relieved directly via VSD and/or subaortic chamber enlargement. The study was approved by the Ethics Committee and individual consent for the study was waived due to its retrospective study design. At our institution, this is the preferred approach in children who do not strictly need a NW or DKS procedure. In subjects with a small aortic valve, ascending aorta or otherwise unsuitable anatomy (e.g. unbalanced AVSD), an initial DKS/NW procedure was considered the only available option. Data from medical records were analyzed for demographic, pre-, peri- and postoperative characteristics (Table 1). Primary endpoints included mortality, achievement of Fontan circulation and adequacy of SAS relief. Secondary endpoints were the incidence of recurrent SAS, heart block, subaortic chamber aneurysm, ventricular function and semilunar valve function.

Data are presented as medians with ranges where appropriate. The presence of SAS was established by means of preoperative echocardiography or catheterization and/or peroperative evaluation of subaortic outflow tract by the surgeon. Any measurable gradient, VSD/aortic valve ratio <1.0 or restriction at level of the subaortic chamber was considered relevant and formed an indication for relief using VSD and/or subaortic chamber enlargement.

Table 1. General characteristics and demographic data

Characteristic	Results
Age relief SAS	7.2 months (10 days-4.7 years)
Age first operation	34 days (0 days-11.2 months)
Sex (M/F)	(12/11)
Weight (kg)	3.3 (2.2-4.3)
Left SV morphology	20 (91)
Aortic arch obstruction	12 (55)
Pulmonary artery banding	23 (100)
Glenn	20 (91)
Age Glenn	8.3 months (3.1 months-3.7 years)
Fontan	19 (83)
Age Fontan (years)	3.1 (1.4-5.3)
Mortality	4 (17)
Follow-up	15.6 years (34 days-26.3 years)

Values are reported as median + range or as percentage. SAS; subaortic stenosis

SV; single ventricle

Surgical technique

All operations were performed via median sternotomy using cardiopulmonary bypass and cold antegrade cardioplegia. The approach of VSD enlargement could be via a right ventricle (RV) ventriculotomy, right atrium, pulmonary valve or via the aorta and was based on surgeon preference. VSD and/or subaortic chamber enlargement was performed according to the technique firstly described by Cheung et al.¹³ The VSD was enlarged superiorly or apically towards the obtuse margin of the heart (Figure 1A, dashed line). When a ventricular approach was used, the vertical incision was closed with a patch (Figure 1B). A RV ventriculotomy was the preferred approach in cases in which pre-operative echocardiography showed a small subaortic chamber, thereby allowing thorough inspection and muscle resection in this area.

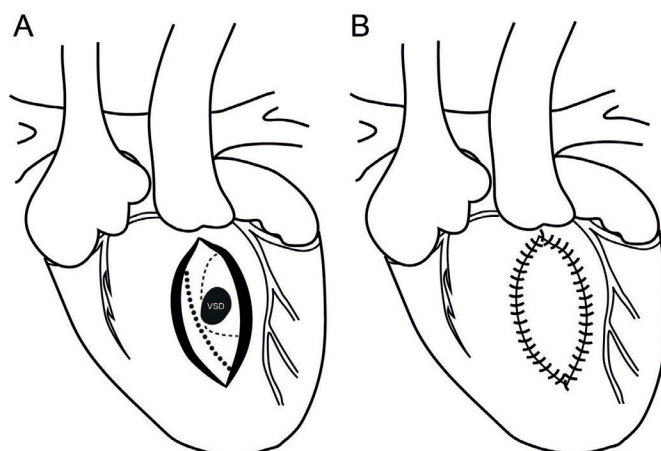


Figure 1. (A) View from a patient with DILV+TGA, where systemic blood flow needs to pass the VSD into a rudimentary RV towards the aorta. After a ventriculotomy, the VSD can be enlarged safely into the superior and apical direction towards the obtuse margin. The safe margin (dashed line) and suspected course of the conduction system (circles) are indicated. **(B)** After enlarging the VSD, the subaortic chamber is enlarged with a patch.

Results

In the period 1989-2016, 23 children (median age 7.4 months, range 10 days-5.5 years) received direct relief of SAS at our institution. Patient demographics and general characteristics are shown in Table 1. Primary diagnoses are listed in Table 2. All patients underwent staged approach SV palliation with initial PAB \pm AA repair (n=11, 48%) and required primary relief of SAS at presentation or somewhere down the pathway (Figure 2, Table 3). Nine patients (39%) underwent direct relief of SAS as part of the

first operation (median age 29 days, range 7 days- 9.5 months), 9 patients (39%) at time of Glenn procedure (median age 7.4 months, range 4.4 months- 3.4 years), 1 between Glenn and Fontan procedure (age 3.2 years), 4 (17%) concomitant with Fontan procedure (median age 3.6 years, range 1.4- 4.8 years) and in 1 patient 3.5 years after Fontan completion. Concomitant surgery at time of first stage palliation was AVV repair in 1. Surgical procedures concomitant with the Glenn procedure were BT shunt (n=4), PAB (n=2), AVV repair (n=2), AVV closure (n=1) and a NW procedure (n=1). Management of the pulmonary valve at time of the Glenn procedure was variable and based on surgeon preference. Concomitant surgery at time of Fontan was AVV repair (n=3), AVV closure (n=3), DKS (n=1) and pulmonary artery augmentation (n=1).

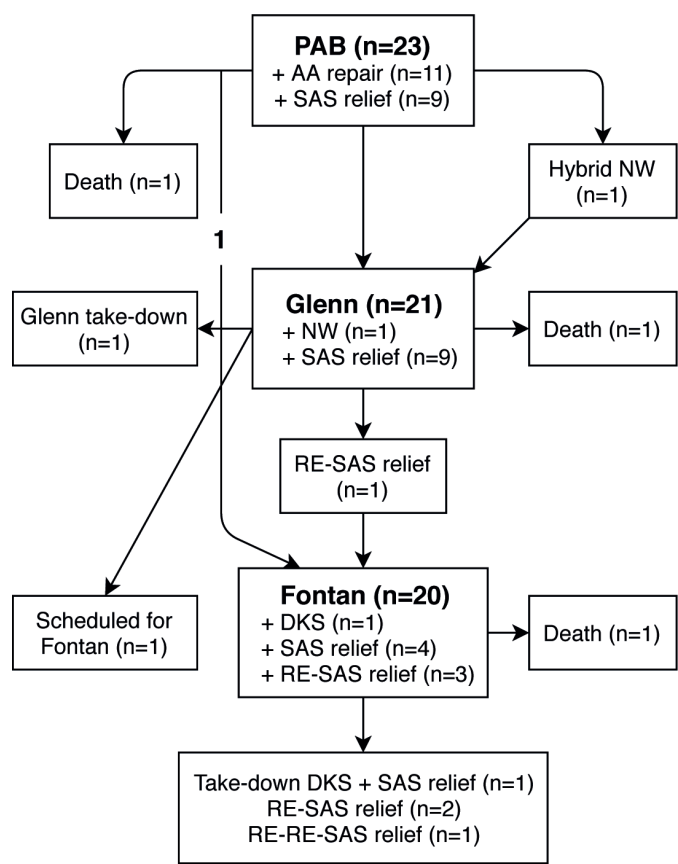


Figure 2. Clinical pathway of SV patients.

Approach of VSD enlargement was via a RV ventriculotomy in 11 procedures (42%), right atrium in 4 (15%), pulmonary artery in 1 (4%) and aorta in 10 procedures (38%). In 10 patients, a patch was used to enlarge the subaortic (RV) outlet chamber (6 xenopericard, 1 Gore-Tex®, 2 pulmonic homograft and in 1 unknown).

Two patients underwent both the direct and indirect approach and were excluded from primary endpoint analysis as long-term outcome in these patients was not considered to reflect one particular approach. One patient had DILV + TGA, a severely hypoplastic AA and SAS and underwent primary palliation with a hybrid NW procedure + VSD and subaortic chamber enlargement, thereby successfully deferring the NW procedure to stage II. The other patient received a DKS procedure concomitant with Fontan completion for relief of SAS. After 1.9 years, the DKS was closed and the VSD enlarged due to severe pulmonary regurgitation.

Table 2. Primary diagnosis

Diagnosis	Nr
DILV + TGA	17 (9)
TA + TGA	2 (1)
ccTGA +/- TA	3 (1)
DORV	1 (1)

Number of patients with aortic arch obstruction are shown in parenthesis.

DILV, double inlet left ventricle; TGA, transposition of great arteries; TA, tricuspid atresia; ccTGA, congenital corrected transposition of great arteries; DORV, double outlet right ventricle

Primary outcome

Complete follow up was available in all patients for a median period of 15.6 years (range 34 days-26.3 years). Overall mortality was 17% (4/23), of which were 2 peri-operative deaths. One patient died 2 days after initial PAB + AA repair + subaortic chamber enlargement due to unexpected cardiac arrest without known cause. One patient died 1 day after Fontan completion + VSD enlargement. This patient was reoperated for fenestration creation because of low cardiac output, which was complicated by cardiac arrest with severe neurological damage. One child with DILV+TGA, mitral atresia and dysplastic tricuspid valve underwent 2 tricuspid valve repairs and eventually tricuspid valve replacement with post-operative poor ventricular function. This child died of end stage heart failure at an age of 4.3 years. One late death occurred 16.5 years after Fontan completion after a large cerebral vascular accident. Eighteen out of 21(excluding the 2 patients with both direct and indirect approach) patients underwent Fontan completion and one patient is scheduled for completion (86%).

Table 3. Clinical pathway

No.	Diagnosis	First procedure	Timing of SAS relief				Post-Fontan SAS relief	SAS mechanism/ gradient	RE-SAS mechanism/ gradient
			SAS relief Glenn	Interstage II- III SAS relief	SAS relief Fontan				
1	DILV+TGA	PAB	VSD↑+SAC↑	-	-	-	-	VSD ratio <1.0	-
2	DILV+TGA	PAB+VSD↑+SAC↑	-	RE-VSD↑	-	-	-	12mmHg	36mmHg
3	TA+TGA	PAB+AA+R+SAC↑	-	-	VSD↑	-	-	23mmHg	-
4	ccTGA+TA	PAB	VSD↑	-	-	-	-	VSD ratio 0.7	-
5	DILV+TGA	PAB+MVP+AA+R+VSD↑+SAC↑	-	-	RE-VSD↑	-	-	VSD ratio <1.0, 3mm	8mmHg
6	DILV+TGA	PAB+AA+R+SAC↑	-	-	No Fontan	-	-	Restrictive SAC	-
7	DILV+TGA	PAB	-	-	VSD↑	RE-VSD↑	-	20mmHg	40mmHg
8	DILV+TGA	PAB+AA+R+VSD↑	-	-	-	-	-	VSD ratio <1.0, 3mm	-
9	DILV+TGA	PAB	VSD↑	-	RE-VSD↑	RE-RE-VSD↑	-	VSD ratio <1.0	35mmHg, RE-RE 46mmHg
10	TA+TGA	PAB	VSD↑	-	-	-	-	30mmHg	-
11	DILV+TGA+TA	PAB	No Glenn	-	VSD↑	-	-	10mmHg, 9mm	-
12	DILV+TGA	PAB+VSD↑+SAC↑	NW	-	-	-	-	VSD ratio <1.0	-
13	DILV+TGA	PAB	VSD↑	-	-	-	-	5mmHg	-
14	DILV+TGA	PAB+AA+R+VSD↑	-	-	-	-	-	VSD ratio 0.7	-
15	DILV+TGA	PAB+AA+R+VSD↑+SAC↑	-	-	-	RE-VSD↑	-	VSD ratio 0.6	70mmHg
16	ccTGA+TA	PAB	VSD↑	-	-	-	-	VSD ratio <1.0, 6mm	-
17	DILV+TGA	PAB	SAC↑	-	-	-	-	Restrictive SAC	-
18	DILV+TGA+MA	PAB+AA+R	-	VSD↑	No Fontan	-	-	21mmHg	-
19	DILV+TGA	PAB+AA+R	VSD↑+SAC↑	-	Scheduled	-	-	25mmHg	-
20	DILV+TGA	PAB+AA+R+SAC↑	No Glenn	-	No Fontan	-	-	Restrictive SAC	-
21	ccTGA	PAB+AA+R	-	-	VSD↑	fibrous tunnel	-	VSD ratio <1.0	49mmHg
22	DORV	PAB+AA+R	VSD↑	-	-	-	-	20mmHg	-
23	DILV+TGA	PAB	-	-	DKS	VSD↑	-	VSD ratio <1.0	-

DILV; double inlet left ventricle, TA; tricuspid atresia, TGA; transposition of the great arteries, ccTGA; congenital corrected transposition of the great arteries, DORV; double outlet right ventricle, MA; mitral atresia, AA; aortic arch, PAB; pulmonary artery banding, VSD↑; ventricular septal defect enlargement, AAR; aortic arch repair, SAC↑; subaortic chamber enlargement, MVP; mitral valve plasty, SAS; subaortic stenosis, DKS; Damus-Kaye-Stansel. VSD ratio=VSD/Aortic valve diameter ratio

At latest follow up, there was complete relieve of SAS in 17/18 patients. In 1 patient, there was a stable laminar flow with a velocity of 3.0m/s over the VSD and is monitored frequently with echocardiography.

Secondary outcome

Twenty out of 23 patients received a total of 26 VSD enlargement procedures (including reobstructions), of which 3 were in the neonatal period. Two patients underwent neonatal subaortic chamber enlargement only because of adequately sized VSD. These 5 neonatal patients presented with adequately sized aortic valve and ascending aorta with restriction at the level of the VSD (n=3) and/or subaortic chamber (n=4). One patient (4%) developed iatrogenic complete heart block for which a pacemaker was implanted. Five patients (50%) developed an aneurysm at site of the ventriculotomy, which was a true aneurysm in 1 and false in 4, and were repaired concomitantly during Fontan procedure in 2 and in a separate operation in 3 patients. The patient with a true aneurysm had an aneurysm of the entire subaortic ventricle, not only of the xenopericard patch, and required plication of the subaortic RV at time of Fontan.

At latest follow up, no patients had more than trivial aortic regurgitation. Ventricular function was good in 17, mildly impaired in 1 and moderately impaired in 1 patient (NT-Pro BNP median 151, range 50- 3591 ng/L (n=12) , ASAT 28, range 17-62 U/L, ALAT 27, range 14- 46 U/L [n=15]). All surviving patients are in NYHA class 1-2.

In total 7 patients (30%) developed re-obstruction after direct SAS relief. Six re-obstructions occurred at VSD level and re-obstruction was caused by a fibrous subaortic tunnel in 1 patient. One patient developed a second re-obstruction. These re-obstructions were addressed in 1 patient 19 days after Glenn, in 3 patients during Fontan procedure and in 3 patients 8.9, 4.5 and 5.7 years after the Fontan procedure. The patient with a second re-obstruction underwent VSD enlargement 11.0 years after Fontan completion. The risk of re-obstruction was evident up until 10 years after first direct relief of SAS (Figure 3). We could not identify any risk factors for re-obstruction. There was no association between age at first relief of SAS or presence of AA hypoplasia/coarctation with risk of re-obstruction.

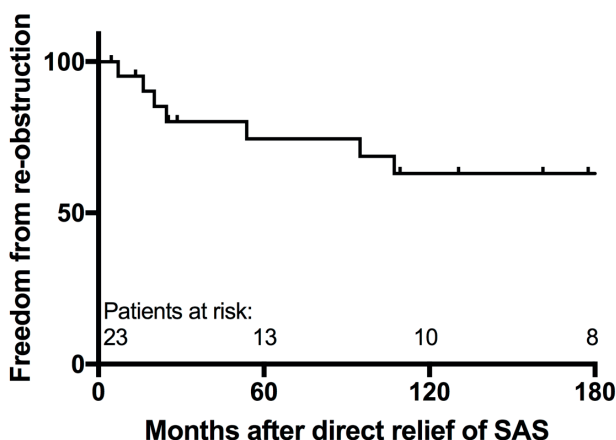


Figure 3. Freedom from recurrent SAS after first relief of SAS via the direct approach. The hazard of recurrent SAS is highest in the first few years, but can occur up till 10 years after first relief of SAS.

Comment

The management of SV patients with (potential) SAS is subjected to different possible strategies. The conservative option is an initial PAB \pm AA repair. Early and interim results were, however, not optimal with poor candidacy for Fontan palliation.^{14,15} Therefore, others use a more aggressive neonatal modified NW/DKS procedure, thereby relieving any (potential) SAS at the first operation. However, this approach is associated with substantial perioperative mortality, reaching up to 27%.¹⁵ Contemporary results of the NW procedure for non-HLHS syndromes report considerable early mortality, with 15.4% in hospital mortality and 14.5% interstage mortality. Although left dominant morphologies (such as DILV+TGA and TA+TGA) have superior results compared with right dominant morphologies, early mortality still approaches 13-20% and can be higher in low-volume centers.^{10,11,16}

When SAS occurs early, most surgeons will perform a DKS or NW procedure. Another possible option is direct relief of SAS by enlarging the VSD and/or subaortic outflow chamber, but this method has mainly been reserved for patients with pulmonary regurgitation, pulmonary stenosis or for patients who present late after Fontan palliation in which pulmonary valves are closed and therefore are unsuitable for DKS repair.¹ This is based on relative good experiences with the DKS procedure and the possible disadvantages associated with the direct approach. Because most centers abandoned this technique, there is no study describing the long-term outcome of the direct approach in a relatively contemporary patient cohort.

In a large single center series, Lan et al. described the outcome in 140 patients with DILV/TA + TGA (median FU 7.7 years). Overall survival was 71%, with 24% mortality in patients without relief of SAS and 32% in patients with relief of SAS (n=95). Fifty-one patients received DKS and 44 patients underwent VSD enlargement with a similar cumulative mortality percentage of 33 vs 32%. Overall Fontan completion/suitability was 76%.¹²

Recently, long-term results (median 17 years) of a large cohort of 152 DILV+TGA and 59 TA+TGA patients from the Australia and New Zealand Fontan registry have been reported. In their experience, 5% of DILV patients had SAS at presentation and 44% developed SAS over time. Overall, 91% of DILV patients and 60% of TA patients proceeded to Fontan completion.¹⁷

In our selected cohort of mainly DILV+TGA patients with (developed) SAS, survival was 83% and the Fontan procedure was achieved or scheduled in 86% of patients. Differences with Lan et al can be explained by an earlier era of that report and the inclusion of a higher amount of TA+TGA patients, which have significant higher mortality than DILV+TGA patients.^{12,17} Our results are however comparable to the results of Franken et al, where overall (with and without development of SAS) 91% of DILV+TGA patients proceeded to Fontan completion.¹⁷

When SAS is present at birth, many centers adopt a strategy of a neonatal NW or DKS procedure to relief SAS and to concomitantly address AA hypoplasia when present. SAS at birth was associated with increased risk of death in the report by Franken et al. Association with a particular neonatal surgical strategy for relief of SAS could however not be established. In our experience, we had 5 patients who underwent neonatal relief of SAS by VSD ± subaortic chamber enlargement (n=3) or by subaortic chamber enlargement only (n=2). One of these latter 2 patients suffered from cardiac arrest 3 days postoperatively without known cause. The other patient underwent VSD enlargement at time of Fontan procedure due to recurrence of SAS at VSD level. The other 3 patients underwent an uncomplicated Fontan procedure and are doing well without re-obstruction at an age of 10.9, 7.5 and 15.6 years.

In one patient with a severely hypoplastic AA and SAS, we used a Hybrid NW + VSD enlargement to delay the NW procedure to the next stage with good result. In our opinion, neonatal VSD enlargement can be an acceptable option in selected patients and can thereby possibly delay DKS or NW surgery to a later stage when necessary with potential better outcome. Furthermore, we used the direct approach in 1 patient after DKS failure because of severe pulmonary regurgitation 1.9 years after Fontan with good outcome.

The risk of complete heart block has been reported to be between 0% and 34%.^{12,18-22} In our experience, 1 patient received a pacemaker because of complete heart block out of 26 performed VSD enlargement procedures (4%). Ventricular function was well preserved in all but one of our patients and we did not encounter cases with new aortic valve insufficiency.

The rate of re-obstruction reported in literature varies between 11 and 44%^{4,18,20,21,23}, and can occur many years after Fontan completion.²⁴ This is in line with our experience, where 30% developed recurrent SAS, of which 1 patient had a second re-obstruction. In 4 of these patients, re-obstruction occurred 8.9, 5.7, 4.5 and 11 years after Fontan completion indicating the continuous hazard of re-obstruction. Regular follow-up with echocardiography is therefore important in these patients even many years after Fontan repair.

In our experience, however, re-operation for re-obstruction could be done without associated mortality. To address the high rate of re-obstruction, one option would be to enlarge the VSD more aggressively, which in our opinion can be done without increased risk of heart block when strictly performed in the described direction.¹³ Another option would be to always do a DKS at time of Glenn or Fontan procedure. As a large proportion of DILV+TGA patients develop SAS, which is reported to occur in up to 44% of patients over time¹⁷, some centers have adopted a strategy where every patient receives a DKS procedure, independent of the occurrence of SAS, at stage II or during Fontan.^{17,25,26} However, although short- and mid-term outcome of the pulmonary valve (neo-aortic) after DKS is good²⁵⁻²⁷, the long-term 15-20 year outcome is mainly unknown.²⁸ Whether a universal DKS/NW, and therefore inherently an unnecessary DKS in some patients, is the best option for these patients is not known. To keep the option of future DKS open in these patients, we prefer to spare the pulmonary valve at time of Glenn procedure, especially in patients with previous SAS.

In a series of 23 patients undergoing VSD and subaortic outflow chamber enlargement by Jahangiri et al¹⁸, no formation of aneurysms were reported. Karl et al reported the formation of an aneurysm in 1 out of 2 patients in which they enlarged the VSD via ventriculotomy.⁸ In our experience, 5/10 patients developed an aneurysm, of which 4 were false aneurysms and 1 was a true aneurysm. Two of these patients required a separate operation to address this. We speculate that the reason of these false aneurysms, besides technically, can be the cause of the abnormal blood flow inherent to this technique, where blood has to travel from the LV through the VSD in an abnormal angle. Collision of this blood flow with the patch can be the cause of the increased risk of false aneurysms and is an area for future research with 4D flow magnetic resonance imaging.

Indications for the direct approach

In our opinion there are 3 groups of SV patients with (potential) SAS: 1) patients with small aortic valve and/or ascending aorta \pm SAS, for which a NW/DKS procedure is the only available option, 2) patients with an adequately sized subaortic pathway, which undergo primary palliation with PAB \pm AA repair at our center, and 3) patients with adequately sized aortic valve and ascending aorta but with restriction at the level of the VSD or subaortic chamber. For these patients we prefer to perform PAB \pm AA repair with direct relief of SAS when present, or perform direct relief of SAS in a later stage when it develops.

Limitations and strengths

This is a retrospective, single-center study which includes patients over a long time-frame and is limited by its small sample size. Strength of this study is its relative contemporary cohort and long-term follow-up of SV patients who underwent direct relief of SAS.

Conclusion

In our experience, the relief of SAS via the direct approach appears to result in good long-term outcome in terms of survival and Fontan suitability. When enlarging the VSD strictly via the described approach, risk of complete heart block is low. The ventricular function remained well preserved and we did not encounter new aortic valve insufficiencies. However, risk of re-obstruction is high and can occur many years after Fontan completion. Furthermore, we encountered a substantial risk of formation of an aneurysm at site of the patch and the ventriculotomy. In conclusion, direct relief of SAS appears to offer a relatively simple solution for a select group of SV patients with SAS. Although this approach is associated with a substantial risk of patch aneurysms and re-obstruction, this could be treated without associated mortality and long-term results appear to be good. Optimization of our current approach by more aggressive VSD enlargement or prophylactic DKS at time of Glenn or Fontan may reduce the burden of these complications and are subject to future study. The definitive role of VSD and/or subaortic chamber enlargement within the clinical pathway of SV patients with (potential) SAS is in our opinion therefore not limited to patients with pulmonary valve problems and can defer DKS/NW, if necessary, to an older age.

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