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Imaging the prenatal brain in congenital heart defects

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Serial neurosonography
in fetuses with congenital
heart defects shows
mild delays in cortical
development

CHAPTER

5

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ABSTRACT

INTRODUCTION: Neurodevelopmental delay is more common in children born with congenital heart defects (CHD), even with optimal perinatal and peri-operative care. It is hypothesized that fetuses with CHD are prone to neurological impairment in utero due to their cardiac defect, possibly leading to delayed cortical development.

METHODS: Cerebral cortical maturation was assessed with advanced neurosonographic examinations every four weeks in fetuses with CHD and compared to control fetuses. 5 different primary fissures and 4 areas were scored (ranging 0-5) by blinded examiners using a cortical maturation scheme.

RESULTS: Cortical staging was assessed in 574 ultrasound examinations in 85 CHD fetuses and 61 controls. Small differences in grading were seen in Sylvian and cingulate fissures. (Sylvian fissure: -0.12 grade, 95% CI (-0.23; -0.01) $p = 0.05$, cingulate fissure: -0.24 grade, 95% CI (-0.38; -.10) $p = <0.001$. Other cortical areas showed normal maturation as compared to control fetuses.

CONCLUSION: Small differences were seen in two of the nine analysed cortical areas in CHD fetuses, in contrast to previous reports on progressive third-trimester delay. The clinical implications of the small differences however, remain unknown.

INTRODUCTION

Congenital heart defects (CHD) are known to be associated with impaired neurodevelopmental outcome in children and adolescents, even in the absence of genetic syndromes^{1, 2}. Behavioral, executive function problems and lower scores on IQ tests are found to be more prevalent in these children, even in cases with optimal perinatal and perioperative care^{3, 4}. Hypoxemia and hemodynamic changes during fetal life are known to influence the development of the cerebral cortex in growth restricted fetuses⁵. In fetuses with CHD it is hypothesized that the altered cardiac anatomy results in reduced blood flow or oxygen delivery in the brain, comparable to IUGR fetuses, which would cause a delay in cerebral development. Studies in fetuses with CHD show a smaller head circumference and a smaller cerebral volume assessed by ultrasound⁶ and MRI⁷. Furthermore, a delay in the development of sulcation was seen in neonates with transposition of the great arteries and hypoplastic left heart syndrome prior to surgery^{8, 9}, suggesting a fetal origin of neurodevelopmental delay as well. Studies have shown that severe CHD that are expected to have worse cerebral oxygenation, are more prone to altered neurodevelopment¹⁰. However, the exact mechanisms are not known yet and a genetic, epigenetic and placental origin have to be considered as well¹¹. The aim of this study is to assess cortical development in fetuses with a CHD. We hypothesized that cortical development in fetuses with various isolated CHD is delayed compared to control fetuses.

Methods

Consecutive cases of isolated congenital heart defects were prospectively included in the ongoing heart and neurodevelopment (HAND) study from September 2013 onwards. A group of healthy volunteers with normally developing pregnancies was recruited to create a control group. Both groups were included after informed consent. All subjects underwent fetal neurosonography according to the ISUOG guidelines¹² every four weeks from 20 weeks onwards (around 20-24-28-32 and 36 weeks), by experienced sonographers (SE/FJ/AK). Fetuses were scanned in all cranial planes (axial, sagittal, coronal and parasagittal) using Voluson E8 and E10 systems (General Electric, Milwaukee, WI, USA) with a RAB 6-D three-dimensional transducer. Non-isolated cases (defined as cases with multiple abnormalities or positive results with genetic testing during pregnancy or in the first year of life), multiple pregnancies, referred cases >32 weeks and cases with postnatal normal cardiac anatomy, were excluded from participation or analysis. The sample size was calculated based on two MRI studies^{13, 14}, that showed a two-week delay in brain development compared to control cases. The current presented study analyses cortical grading as a proxy for brain development. We have calculated both the case and control groups

to consist of at least 60 subjects, to have a 90% power to detect a mean difference of 2 weeks (SD 1 week), evaluated with a two sided level of significance.

The obtained images and clips were analysed offline by three researchers (SE/JvB/FJ) who were blinded for group allocation, gestational age (GA) and outcome. The grading system proposed by Pistorius¹⁵ was used to score the development of the fetal brain during pregnancy. Nine cerebral cortical fissures and cortical areas that are representative of cerebral development were scored: Sylvian Fissure, parieto-occipital sulcus, central sulcus, cingulate sulcus and the calcarine sulcus and the frontal, parietal, temporal and occipital areas. The grading system is shown in Figures 1-3, and progresses from 0 (no visible development) to 5 (end-stage sulcation). All three researchers underwent a training period of 30 subjects not included in this study, in which differences in scores were agreed upon by consensus. After this initial training period, the intra-observer agreement was calculated on the included subjects. In cases with a cephalic position, transvaginal ultrasound could be included to optimize visibility after maternal approval.

Statistical analysis

The intraclass correlation coefficient was calculated with a two-way random model, to quantify intra-observer agreement on the included subjects (thus not using the cases scored during the initial training period). The nine different brain fissures and areas were analysed to compare differences in cortical development stage between CHD-cases and controls. To account for multiple assessments of cortical development within the same fetus over the course of pregnancy, linear mixed model regression was applied. For each of the five brain fissures and the four areas, a linear mixed model was used with the grades as outcome to analyse the differences in cortical development between CHD-cases and controls during pregnancy. We chose the variance/covariance structure according to the best model fit assessed by the Akaike information Criterion. The included covariates were GA (grouped into five age categories), the group (CHD-cases and controls) and the interaction between GA and group. Two effects were described for all nine different brain fissures and areas. Main group differences were reported as an overall mean difference with confidence interval. The interaction effect was calculated to determine differences in development speed with advancing GA. These effects were also calculated after adding the following five potentially confounding variables to the model: maternal age, BMI, diabetes, parity and fetal gender. Results from this adjusted model were primarily used for presentation of results. All statistical analyses were performed using IBM SPSS statistics version 24.0.0.0 (IBM, Armonk, NY, USA). Statistical significance was set at $p \leq 0.05$.

Results

In the study period, 97 cases of isolated CHD were included in this study (Table 1). 12 cases were excluded, encompassing 4 cases with a normal heart after birth (all suspected of coarctation of the aorta), and 8 cases with postnatal diagnosis of a genetic syndrome (2 cases with Kabuki syndrome, 4 cases with CHARGE syndrome, 2 cases with clear dysmorphic traits after birth, with no genetic diagnosis following whole exome sequencing (all patients died)). Of the 85 cases eligible for inclusion, 81 cases resulted in a live-born neonate, in which the prenatal diagnosis was confirmed with a postnatal echocardiogram. Of the 85 included cases, 4 decided to terminate the pregnancy. In these cases, no consent was given for postmortem examination, thus the prenatal diagnosis was adopted as the final diagnosis, as discrepancy rates are very low in our unit¹⁶. Secondly, 61 controls were included in this study following the same study protocol. Also, controls were examined postnatally with a cranial ultrasound without showing any abnormalities and displayed normal neurodevelopment up to one year of age.

In total, 608 ultrasound examinations were performed in 85 CHD-cases and 61 controls. 574 ultrasound examinations comprised of advanced neurosonography examinations, 280 examinations in the control group (mean 4.56 examinations per control), 294 exams in the CHD-cases (mean 3.47 examinations per case). In 34 examinations (3 from control group, 31 from CHD-cases), ultrasonographers were not able to produce all planes according to ISUOG guidelines due to fetal position or poor ultrasound quality, these examinations were excluded from analyses (5.6%). For all the analysed primary sulci and areas, the mean grade and standard deviation was calculated per age category and displayed in figures 4 a-e and 5 a-d. The method of scoring gyri and sulci was found to have excellent intraobserver variation with an ICC (95% CI) of 0.97 (95% CI, 0.95-0.98).

Of the analysed fissures, statistically significant delayed development was found in Sylvian and cingulate fissures as compared to controls: average difference (range 0-5) was for Sylvian fissure: adjusted difference -0.12, 95%CI (-0.23; -0.01) $p = 0.05$ and for cingulate fissure: adjusted difference -0.24, 95% CI (-0.38; -0.10) $p < 0.001$. These two fissures showed no significant difference in speed of development with advancing GA. The remaining three fissures (parieto-occipital, calcarine and central) showed no significant differences in the adjusted models as compared to controls (table 2). The parieto-occipital did show significant difference in speed of development: $p = 0.02$, indicating that the difference between cases and controls decreased as GA progressed (as is visible in figure 4b). None of the analysed cortical areas showed significant differences in cortical development as compared to controls.

Discussion

This study describes the largest number of extended neurosonography examinations performed in a consecutive cohort of fetuses with isolated congenital heart defect cases. The Sylvian and cingulate fissures were found to be significantly delayed in CHD fetuses as compared to controls. In line with previous work from our group, the differences are, however, very small, less than 0.25 grade point per fissure. It remains the question, whether this finding is clinically relevant and explains the reported neurodevelopment delay in CHD children.

Mild delays in maturation were observed in the Sylvian and cingulate fissures in our cohort. The magnitude of delay did not change during the course of pregnancy. In a study describing newborns prior to cardiac surgery, sulcal depth differences were found in the peri-Sylvian region⁸. Two ultrasound studies in fetuses with a comparable design as ours, but with a smaller sample size^{17, 18}, showed results that were not in agreement with each other. Peng et al. showed reduced Sylvian fissure depth comparable to our results, but Koning et al. only found reduced insular depth. In contrast to our study in which we found normal development of the parieto-occipital fissure, both Peng and Koning found this fissure to be delayed in development. The differences between our results and Peng and Koning may be explained by the fact that they performed measurements of fissure depth whereas we used a grading system with excellent intra-observer variation, that allows complete and more accurate assessment of a fissure. Furthermore, our study describes a much larger cohort than Koning¹⁷ and Peng¹⁸, which allows more robust conclusions.

Magnetic Resonance Imaging (MRI) has also been performed to study cortical development in fetuses. In addition to sulcal depth, MRI is able to express the extent of delay by assessment of cortical development, white matter aspect and gyrification index. These studies showed statistically significant delays compared to control fetuses for the Sylvian fissure and all other visible sulci¹⁹⁻²²: The extent of the delays found in these MRI-studies are much more profound than in the ultrasound studies, which suggests this to be a more precise modality to detect changes in fissure depth. The generalizability of these MRI studies towards all CHD fetuses is, however, questionable, as these concerned a single measurement in a broad range of gestational ages and included only cases at the severe end of the spectrum^{1, 22}.

The advantage of the assessment of brain development by ultrasound -in the hands of experienced sonographers- is the low cost and the availability of ultrasound technology, providing the possibility to perform serial neurosonography scans to assess cortical development during the course of pregnancy to all cases that present in a fetal cardiology unit, which prevents selection bias

The exact mechanisms behind the described delays in neurodevelopment are, however, not fully understood. Theoretically, the brain of a fetus with severe CHD resides in a state of relative hypoxia due to the cardiac lesion²³, and it is thus conceivable that the effect on cortical maturation is similar to studies in lamb fetuses in hypoxia²⁴. Several studies, that categorized their cases according to their effect on oxygenation towards the fetal brain, have shown that fetuses with hypoxic cardiac lesions show delayed cortical development, increased frequency of brain lesions and smaller head circumferences^{6, 25, 26}. However, long-term neurodevelopmental outcome in these fetuses, is generally good, with normal IQ's²⁷, if we take TGA children as an example (with prenatal detection, and optimal peripartum and perioperative care). A second indication that hemodynamic effects are not the sole explanation for alterations in the fetal brain is the fact that reduced head circumference is found in fetuses with non-hypoxic CHD's as well¹¹. Therefore, we have chosen to analyse all types of CHD together, to prevent selection bias as we included a consecutive cohort of fetuses.

Many authors have studied the insular region of the fetal brain, as altered development of the insular region is correlated to decreased Sylvian fissure depth because of the close relation of both regions. Since the insula is known to have connections to many other parts of the brain, reduced development of this structure is associated with cognitive and behavioral deficits, the insular region is correlated with speech, emotion-regulation and social interaction^{28, 29}. Reduced insular cortical thickness in growth-restricted fetuses correlates with altered neurobehavior⁵. As CHD children display deficits on multiple domains including attention deficit disorder, the found delay in both the Sylvian fissure as well as the cingulate fissure, which is close to the insula as well, might be associated with these problems later in life. As CHD-children have been known to display deficits on multiple cognitive domains^{4, 30}, the delays found in the Sylvian fissure in our study might play a role in these restrictions. Moreover, alterations in the cingulate fissure area, as were found in our study, are associated with attention deficit disorder^{31, 32}, which is significantly more prevalent in CHD children³³. Therefore, it is possible the delay in maturation found in our study in the Sylvian and cingulate fissures are associated with altered cognitive and behavioral problems in later life.

Alternative pathways that could explain cognitive and behavioral impairment in CHD-children have to be considered. Multiple factors, like genetics, epigenetics, the absence of a prenatal detection of the defect, peripartum setting and complications around cardiothoracic procedures play a role in the long-term neurodevelopment in these children.

A strength of the current study is the prospective inclusion of a large number of consecutive cases with congenital heart defects, and the thorough scrutiny of included cases, resulting in the exclusion of cases with additional abnormalities and suspected or proven genetic syndromes.

Another strength of the methodology is the use of the grading system, which allows to view the morphology of a fissure instead of depth alone, to express the development numerically. We feel that measuring a sulcus could potentially overestimate the development of a sulcus, and therefore lead to incorrect conclusions.

As previously discussed, the findings in our large US study in isolated CHD cases underline cortical delays found in previous MRI studies. The found delays are, however, much smaller, making us question the extent of delay mentioned in previous studies. The modest differences in CHD-cases compared to controls (uncorrected for multiple testing) found in our study may also mean that these differences have little clinical implications.

In the current study, transabdominal scanning of sufficient quality (only 5.6% of scans were excluded due to suboptimal quality) was obtained. Since we have scanned both groups with the same protocol and baseline characteristics are similar, both groups are considered comparable to each other. In our ongoing cohort of CHD cases, we are including transvaginal sequences in addition to transabdominal imaging, as transvaginal scanning in vertex presenting fetuses can produce superior image quality³⁴.

The absence of the possibility to correlate of our findings with postnatal neurodevelopment at this stage is a limitation of the current study, since small differences in fetal life could theoretically lead to cumulative delays in childhood.

Conclusion

Minor changes in cortical development were observed in fetuses with congenital heart defects, in the areas that are associated with cognitive and behavioral deficits detected with serial ultrasonography. However, these changes were less profound than previously reported, and mostly stable throughout pregnancy, which limits firm conclusion on prenatal decelerated maturation in CHD.

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Tables

Table 1 Baseline characteristics for congenital heart defect cases and controls

| Characteristics | CHD cases 97 subjects | Controls 61 subjects | p-value |
|--|--------------------------|-------------------------|-------------|
| Maternal Age in years - Mean (SD) | 29 (4.2) | 32 (4.6) | 0.01 |
| BMI (kg/m ²) - Mean (SD) | 23.7 (4.3) | 23.6 (3.9) | 0.97 |
| Maternal diabetes - n (%) | 3 (3) | 0 | 0.17 |
| Primigravidae - n (%) | 36 (37) | 20 (33) | 0.35 |
| Male gender- n (%) | 60 (62) | 28 (46) | 0.04 |
| no. of CHD cases | | | n.a. |
| Aortic Arch Hypoplasia and/or Aortic Stenosis- n (%) | 24 (25) | | |
| Tetralogy of Fallot or Fallot-like defect n (%) | 14 (14) | | |
| Transposition of the Great Arteries - n (%) | 14 (14) | | |
| Tricuspid or Pulmonary Atresia n (%) | 6 (6) | | |
| HLHS - n (%) | 5 (5) | | |
| (un)balanced atrioventricular septal defect n (%) | 5 (5) | | |
| Ventricular Septal defect n (%) | 3 (3) | | |
| Other major CHD† n (%) | 17 (18) | | |
| Other minor CHD‡ n (%) | 9 (9) | | |
| Excluded cases n(%) | | | |
| Postnatal normal heart | 4 (4) | | |
| Non-isolated cases | 8 (8) | | |
| Pregnancy outcome n(%) | | | n.a. |
| Live birth | 81 (95) | 61 (100) | |
| Termination of Pregnancy | 4 (5) | 0 (0) | |
| Mean GA at scanning - Mean (SD) | | | |
| 20 weeks | 20.9 (0.8) | 21.1 (0.8) | 0.49 |
| 24 " | 24.1 (0.8) | 24.2 (1.0) | 0.77 |
| 28 " | 28.3 (0.8) | 28.1 (0.8) | 0.36 |
| 32 " | 32.2 (0.8) | 32.1 (0.6) | 0.49 |
| 36 " | 36.2 (0.7) | 35.9 (0.5) | 0.04 |

* $P < 0.05$, Statistically significant.

† **Other major CHD include:** Truncus Arteriosus, Multiple level left obstruction syndrome (Shone's complex), Double Outlet Right Ventricle-TGA, Congenitally Corrected TGA without additional cardiac anomalies, AVSD with Pulmonary Atresia, Aortic-left ventricular tunnel with severe distention of the left ventricle.

‡ **Other minor CHD include:** Persistent left caval vein without obstruction of the left atrioventricular flow, Restrictive Foramen Ovale, mild pulmonary stenosis.

Table 2 Results of mixed model analysis in fissure grading

| | | Average difference in grade over all times | | | Difference in maturation speed |
|-------------------|-------------------|--|---------------|----------|--------------------------------|
| | | difference | 95% CI | <i>p</i> | <i>p</i> |
| Sylvian | <i>Unadjusted</i> | -0.11 | -0.21 ; -0.01 | 0.03* | 0.90 |
| | <i>Adjusted</i> | -0.12 | -0.23 ; -0.01 | 0.05* | 0.97 |
| Parieto-Occipital | <i>Unadjusted</i> | -0.08 | -0.18 ; 0.02 | 0.11 | 0.01* |
| | <i>Adjusted</i> | -0.05 | -0.16 ; 0.06 | 0.36 | 0.02* |
| Central | <i>Unadjusted</i> | -0.08 | -0.19 ; -0.04 | 0.21 | 1.00 |
| | <i>Adjusted</i> | -0.06 | -0.21 ; 0.09 | 0.44 | 0.98 |
| Cingulate | <i>Unadjusted</i> | -0.22 | -0.34 ; -0.11 | <0.01* | 0.08 |
| | <i>Adjusted</i> | -0.24 | -0.37 ; 0.10 | <0.01* | 0.19 |
| Calcarine | <i>Unadjusted</i> | -0.13 | -0.24 ; 0.02 | 0.02* | 0.04* |
| | <i>Adjusted</i> | -0.09 | -0.21 ; 0.03 | 0.15 | 0.07 |

Overall difference in grading represents the mean difference between scores in CHD and control cases. Speed of maturation represents the test on differences in progression with GA between scores of CHD and control cases. **p* < 0.05 is considered statistically significant. Unadjusted: Outcome of mixed model analysis, unadjusted for confounders. Adjusted: Outcome of mixed model analysis adjusted for maternal age, maternal BMI, maternal diabetes, parity and fetal gender.

Table 3 Results of mixed model analysis in cortical area grading

| | | Average difference in grade over all times | | | Difference in maturation speed |
|-----------|-------------------|--|---------------|----------|--------------------------------|
| | | difference | 95% CI | <i>p</i> | <i>p</i> |
| Frontal | <i>Unadjusted</i> | -0.01 | -0.12 ; 0.13 | 0.97 | 0.10 |
| | <i>Adjusted</i> | -0.11 | -0.26 ; 0.04 | 0.14 | 0.38 |
| Parietal | <i>Unadjusted</i> | -0.07 | -0.19 ; 0.05 | 0.24 | 0.01* |
| | <i>Adjusted</i> | 0.10 | -0.25 ; 0.04 | 0.16 | 0.06 |
| Temporal | <i>Unadjusted</i> | -0.09 | -0.22 ; -0.03 | 0.15 | 0.01* |
| | <i>Adjusted</i> | -0.12 | -0.26 ; 0.03 | 0.11 | 0.09 |
| Occipital | <i>Unadjusted</i> | -0.07 | -0.17 ; 0.03 | 0.23 | 0.08 |
| | <i>Adjusted</i> | -0.08 | -0.20 ; 0.04 | 0.17 | 0.47 |

Overall difference in grading represent the difference between scores in CHD and control cases. Speed of maturation represents the test on differences in progression with GA between scores of CHD and control cases. **p* <.05 is considered statistically significant. Unadjusted: Outcome of mixed model analysis, unadjusted for confounders. Adjusted: Outcome of mixed model analysis, adjusted for maternal age, maternal BMI, maternal diabetes, parity and fetal gender.

Figure 1 Sylvian fissure staging

| Sylvian fissure staging | | | | | |
|---|---|---|---|---|---|
|  |  |  |  |  |  |
| None | Shallow indentation | Angular shape | < 50% Opercularisation | ≥ 50% Opercularisation | Complete |
| 0 | 1 | 2 | 3 | 4 | 5 |

Figure 2 Sulcal staging

| Sulcal staging | | | | | |
|---|---|---|---|---|---|
|  |  |  |  |  |  |
| None | Shallow indentation or echogenic spot | >60° angle | <60° angle | I- or J-shape | Branched |
| 0 | 1 | 2 | 3 | 4 | 5 |

Sulcus: parieto-occipital, central, superior temporal, calcarine and cingulate progressing with gestational age from no visible echoscopic sign of sulcation, to end-stage sulcation.

Figure 3 Cortical area staging

| Staging of gyri in cortical areas | | | | | |
|---|---|---|---|---|---|
|  |  |  |  |  |  |
| None | Shallow indentation | Gyral width > depth | Gyral width = depth | Gyral depth > width | Branched gyri |
| 0 | 1 | 2 | 3 | 4 | 5 |

Frontal, parietal, temporal and occipital cortical areas progression with gestational age from no visible echoscopic sign of sulcation, to end-stage sulcation.

Figure 4 Progression of sulcal staging throughout pregnancy.

X-axis: sulcal grades, y-axis: gestational age in weeks.

Continuous line (—): CHD-fetuses, dotted line (· · ·) control fetuses.

Sylvian

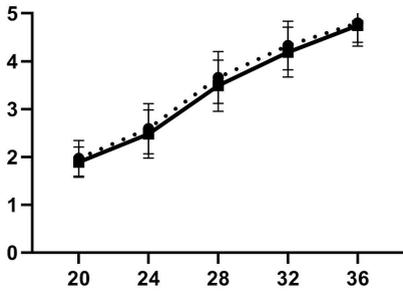


Figure 4a Sylvian fissure development

Parieto-occipital

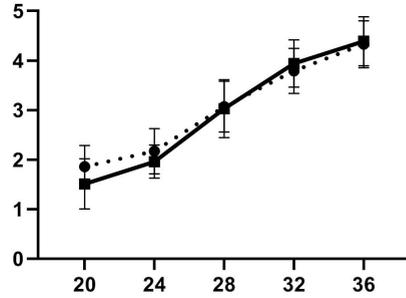


Figure 4b Parieto-occipital sulcus development

Central

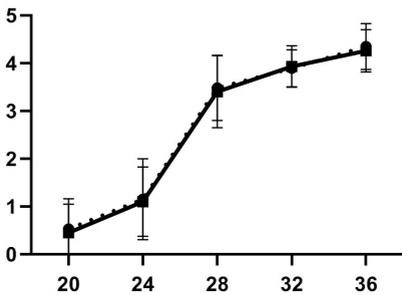


Figure 4c Central sulcus development

Cingulate

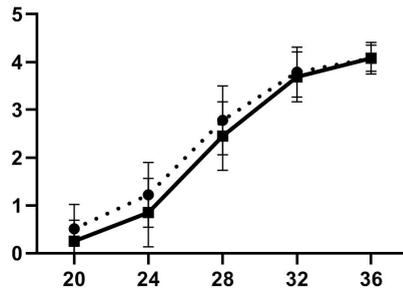


Figure 4d Cingulate sulcus development

Calcarine

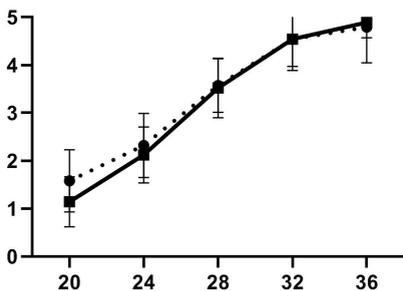


Figure 4e Calcarine sulcus development

Figure 5 Progression of brain area staging throughout pregnancy.

X-axis: sulcal grades, y-axis: gestational age in weeks.

Continuous line (—): CHD-fetuses, dotted line (···) control fetuses

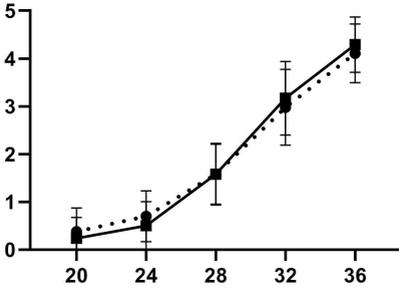


Figure 5a Frontal area development

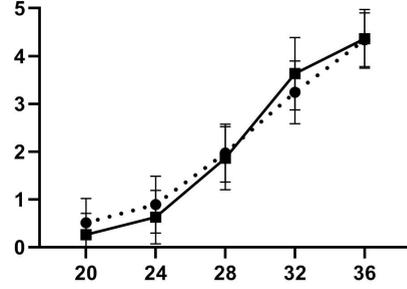


Figure 5b Parietal area development

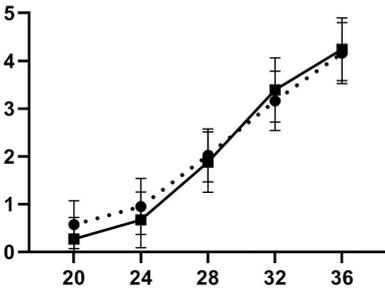


Figure 5c Temporal area development

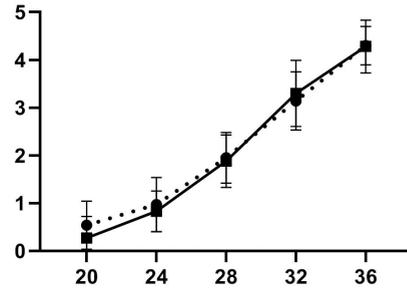


Figure 5d Occipital area development