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**the epidemiology of infections with *Clostridioides difficile*  
and multidrug-resistant bacteria, and faecal microbiota  
transplantation as an intervention strategy**

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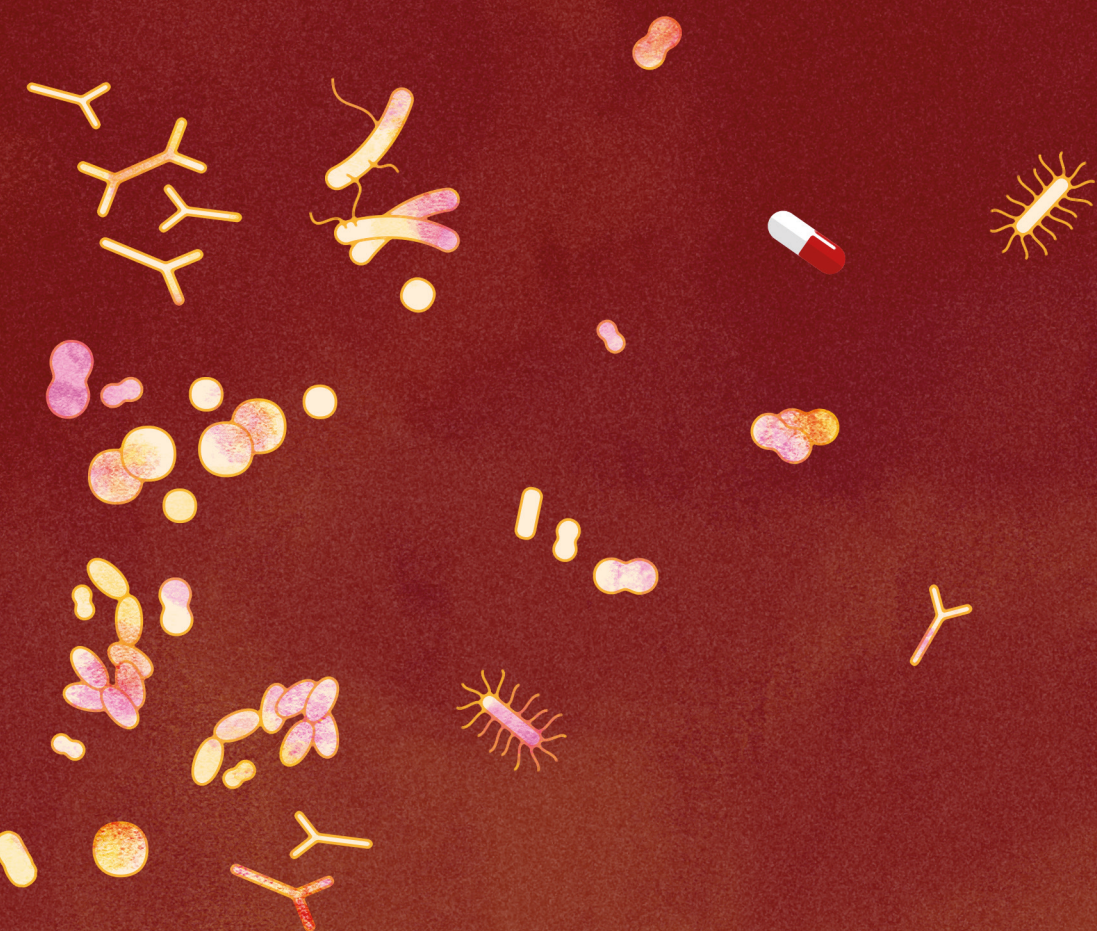
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# Chapter 1

## Introduction and thesis outline







## ***Clostridioides difficile* infections and the role of the gut microbiota**

### **Epidemiological and clinical characteristics and treatment of *C. difficile* infections**

*Clostridioides difficile* (*C. difficile*) is a spore-forming, anaerobic Gram-positive bacterium, which can colonise the intestines of humans and animals. Among healthy individuals in the community, 2-4% is asymptomatically colonised with *C. difficile* in their gut, as compared to 7-18% of patients admitted to the hospital.<sup>1</sup> Pathogenic *C. difficile* strains can produce toxin A (TcdA), toxin B (TcdB), and/or binary toxin (CDT). This may lead to damage of the intestinal wall and thereby gastrointestinal symptoms with severity depending on host susceptibility and the virulence of the infecting strain.<sup>1</sup> CDI often presents with mild diarrhoea. In some cases, more severe disease may develop, that may include bloody diarrhoea, pseudomembranous colitis, toxic megacolon, bowel perforation, sepsis and/or multiple organ dysfunction syndrome. Pseudomembranous colitis indicates a typical endoscopic picture with haemorrhage and deep ulcerations. Toxic megacolon involves severe dilatation of the colon and is characterised by systemic toxicity and high mortality.<sup>1</sup> In the Netherlands, 16% of hospitalised CDI patients suffer from severe CDI. Death within 30 days is reported for 9% of hospitalised CDI patients with 1% CDI-related mortality.<sup>2</sup>

Based on data of the National Expertise Centre for *C. difficile*, the CDI incidence rate in Dutch hospitals is relatively stable at around 3 CDI cases per 10,000 patient-days.<sup>2</sup> The mean hospital CDI incidence rate in Europe was 3.19 cases per 10,000 patient-days in 2016, ranging from 2.5 in Malta to 14.8 in Estonia.<sup>3</sup> However, the CDI testing frequency differed considerably between countries. The median number of CDI stool tests per 10,000 patient-days ranged between 19.6 in Croatia and 179.0 in the Netherlands. As expected, countries with the lowest CDI testing rates had the highest percentages of CDI positive stool tests.<sup>3</sup>

CDI is the most common cause of healthcare-associated gastro-intestinal infections.<sup>4-6</sup>, but it is also frequently observed in the community.<sup>7</sup> In the last 10–20 years, the incidence of CDI in the community has increased, mainly in patient populations previously thought to be at lower risk, including younger patients and those without prior antibiotic exposure.<sup>8</sup> In the Netherlands, almost half of the hospitalised CDI cases have onset of symptoms in the community.<sup>9</sup> However, an important problem in the community is that CDI is frequently unrecognised since general practitioners tend to request less *C. difficile* diagnostics compared to physicians in the hospital.<sup>10</sup>

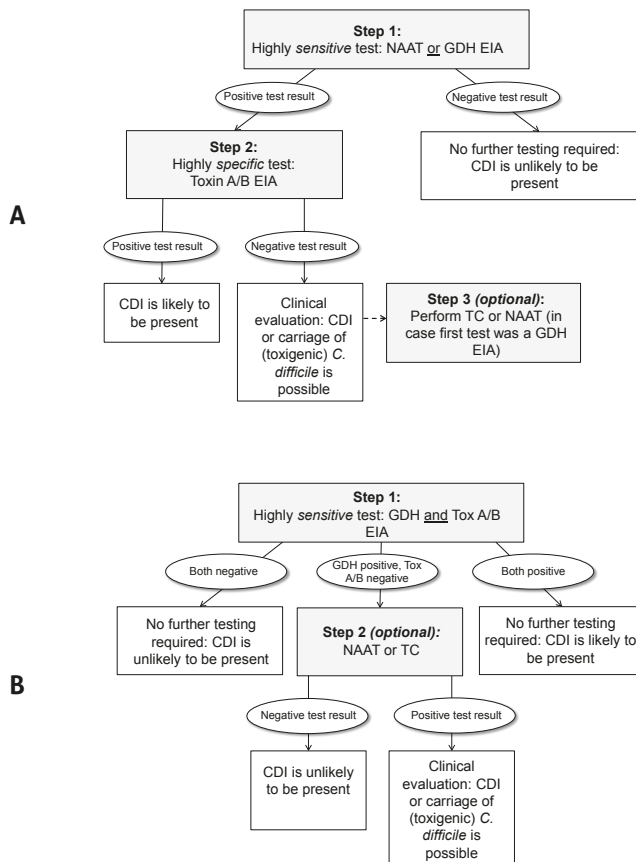
The most frequently found so called "hyper"virulent *C. difficile* ribotype is PCR ribotype (RT) 027. Compared to other ribotypes, it causes more severe disease, higher mortality and more recurrent CDI<sup>11,12</sup>, which may reflect type-specific host susceptibility and/or an increased virulence of the strain.<sup>13</sup> In the Netherlands, *C. difficile* RT027 was detected for the first time<sup>14</sup> in 2005 and it rapidly spread with major hospital outbreaks.<sup>11,15</sup> These



events prompted the Centre for Infectious Disease Control of the National Institute for Public Health and the Environment (RIVM) to establish the national reference laboratory for *C. difficile* at the Leiden University Medical Centre. The *C. difficile* reference laboratory provided ad hoc PCR ribotyping services in case of a suspected outbreak or severely diseased patients and coordinated the *C. difficile* sentinel surveillance in the Netherlands with 21-24 participating Dutch hospitals. Since mid-2006, the occurrence of ribotype 027 in the Netherlands has decreased significantly.<sup>9,16</sup> At the start of 2022, the *C. difficile* reference laboratory was transformed into a *C. difficile* expertise centre with only five hospitals participating in the sentinel surveillance.

The most important risk factors for acquiring a *C. difficile* infection are the use of antibiotics, higher age, recent hospitalisation, female sex, proton pump inhibitor (PPI) use, having a feeding tube, being resident in a long-term care facility, steroid use and comorbidity.<sup>17</sup> All antibiotic classes may be associated with CDI,<sup>18</sup> but in the Netherlands the risk is greatest for (in order of risk) carbapenems, second and third-generation cephalosporins, metronidazole and broad-spectrum penicillin combinations with beta-lactamase inhibitors (after adjustment for confounders).<sup>19</sup> The use of more small-spectrum antibiotics considerably reduces the risk for CDI. Besides the antibiotic class, also the number of administered antibiotics, dosage and duration of therapy are associated with a higher risk for CDI.<sup>20</sup> Risk factors for severe CDI are higher age and the presence of multiple comorbidities.<sup>21</sup>

An accurate diagnosis of CDI is challenging.<sup>22-24</sup> The diagnosis is based on clinical suspicion in combination with a laboratory test that confirms the presence of CDI toxins or toxin genes in the stool of the patient. Several laboratory tests with different targets are available. However, none of these tests are both highly sensitive and specific in diagnosing CDI and have low hands-on time and low costs.<sup>23</sup> Detection of free toxins in stool by the use of a toxin Enzyme Immunoassay only could underestimate the CDI incidence due to the low sensitivity, but the use of a Nucleic Acid Amplification test only could overestimate the CDI incidence with frequent detection of asymptomatic carriers due to the low specificity.<sup>24</sup> The gold standard method is the cytotoxicity assay with good specificity and sensitivity, but this is not frequently performed due to the lack of standardisation and long turn-around time.<sup>23</sup> Therefore, a two- or three step algorithm is recommended by the European Society of Clinical Microbiology and Infectious Diseases (ESCMID), including a highly sensitive screening test and a highly specific confirmation test.<sup>24</sup> This is visualised in Figure 1.



**Figure 1. Algorithms for *C. difficile* testing, recommended by the European Society of Clinical Microbiology and Infectious Diseases.**

Abbreviations: CDI: *Clostridioides difficile* infection, EIA: enzyme immunoassay, GDH: glutamate dehydrogenase, NAAT: nucleic acid amplification test, TC: toxigenic culture, Tox A/B: toxin A/B. Retrieved unchanged from Crobach MJT, et al.<sup>24</sup> © CC BY-NC-ND 4.0: <https://creativecommons.org/licenses/by-nc-nd/4.0/>.

*C. difficile* can easily spread via spores, which are resistant to heat and numerous other disinfectants.<sup>17</sup> In contrast to the vegetative cells of *C. difficile*, spores can survive for months in the environment. Transmission within the hospital setting is therefore common. Besides transmission of *C. difficile* via symptomatic CDI patients, transmission is also possible via asymptomatic carriers, although at a lower rate than symptomatic CDI patients.<sup>25,26</sup> Unfortunately, standard infection control precautions focus on symptomatic CDI patients only. The Dutch guidelines of the “Werkgroep Infectie preventie” (July 2011) and the guidelines (2018) from the ESCMID study group for *C. difficile* (ESGCD)

recommend application of contact precautions, personal protective equipment and daily and terminal environmental cleaning and disinfection of rooms and equipment that have been in contact with CDI patients<sup>27</sup>. For hand-hygiene, it is recommended to switch from alcohol-based hand rub to washing with soap and water in an outbreak setting, but not in an endemic situation.<sup>28</sup> Contact precautions are frequently ended 48 hours after the clearance of CDI symptoms, but CDI patients may still shed spores after this period.<sup>29</sup> Furthermore, high concentrations of chloride and a long exposure time are needed to eradicate spores, which is not enforced by many Dutch hospitals due to occupational health issues.<sup>27</sup> Antibiotic stewardship is also important in reducing CDI incidence.<sup>30</sup> The use of antibiotic agents should be restricted and, if used, it should be preferably small-spectrum. The duration of antibiotic therapy should be kept to a minimum.<sup>28</sup> Since 2015, Dutch hospitals have A-teams, responsible for implementation of antibiotic stewardship programs. Compared to other countries, the use of antibiotics is low in the Netherlands.<sup>31</sup> However, there is still progress to be made.

*C. difficile* infections are primarily treated by discontinuation of the inciting antibiotic and by prescribing antibiotics for which *C. difficile* is susceptible, such as metronidazole, vancomycin and fidaxomicin. Which antibiotic to choose depends on the severity of the disease, the number of previous CDI episodes, provided CDI antibiotics for previous episodes and the risk of recurrence. Since 2021, the use of metronidazole is no longer recommended by the ESCMID<sup>32</sup> and the Infectious Diseases Society of America (IDSA) and Society for Healthcare Epidemiology of America (SHEA),<sup>33</sup> due to its inferior cure rates and higher recurrence rates compared to vancomycin and fidaxomicin.<sup>32</sup> Fidaxomicin is the preferred treatment in case of an initial CDI episode or the first CDI recurrence. When this is not available or feasible and there is a high risk of recurrence, bezlotoxumab (humanised monoclonal antibodies against *C. difficile* toxin B) should be provided in addition to vancomycin. When fidaxomicin is prescribed for the initial CDI episode, bezlotoxumab could be added in case of a first recurrence. Bezlotoxumab or faecal microbiota transplantation (FMT) in addition to anti-CDI antibiotics are advised for treatment of patients with multiple recurrent CDI.<sup>32</sup>

Recurrent episodes of CDI are not uncommon. Approximately 20–35% of patients with a first CDI episode develop a recurrence and, of these, 40–60% have a second episode.<sup>34</sup> After the second recurrence, patients are prone to develop multiple recurrences.<sup>35</sup> Fortunately, (relatively) new treatment strategies such as fidaxomicin,<sup>36</sup> bezlotoxumab,<sup>37</sup> and FMT<sup>38</sup> have lowered the recurrence rates.

Metronidazole resistance in *C. difficile* strains is rare and resistance rates vary considerably between countries.<sup>39</sup> It appears to be at least partly plasmid-mediated.<sup>40</sup> Fortunately, vancomycin and fidaxomicin resistance is still rare.<sup>41,42</sup> Importantly, vancomycin resistance seems to increase *in vitro* with elevated minimal inhibitory concentrations (MIC) though confirmation is necessary and the clinical relevance is



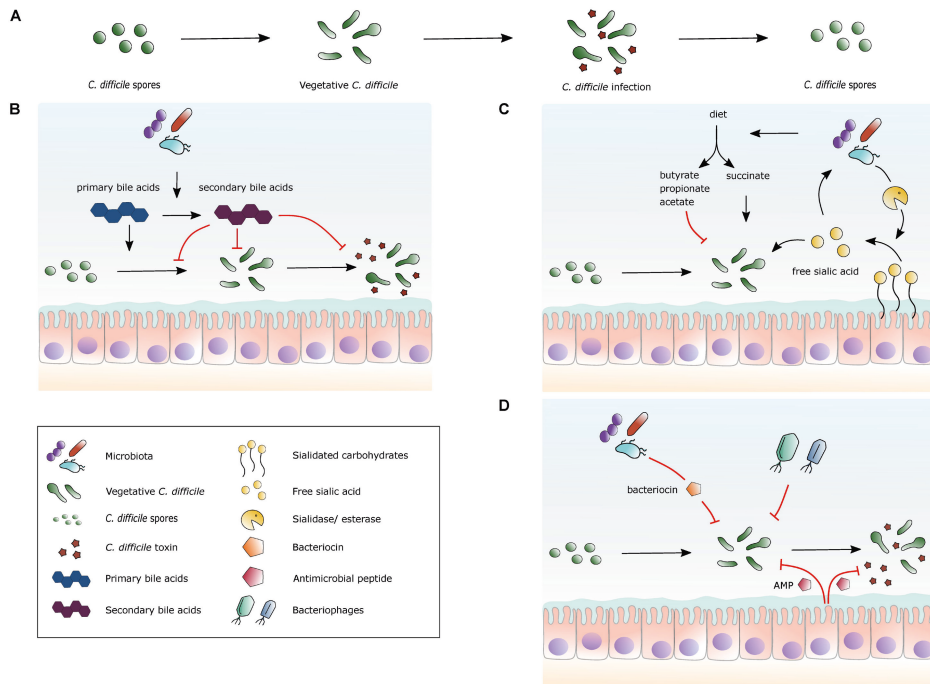
unclear.<sup>41</sup> Furthermore, *C. difficile* strains are resistant to many other antibiotics and could act as a reservoir of antimicrobial resistance genes that could potentially be transferred to other pathogens.<sup>39</sup>

## The role of the human gut microbiota in *C. difficile* infections

The gut microbiota is the assemblage of microorganisms present in the gut. Sometimes, articles refer to the gut microbiome, which includes the entire habitat, including the microorganisms (bacteria, archaea, eukaryotes, and viruses), their genomes, and the surrounding environmental conditions.<sup>43</sup>

CDI is characterised by a disturbed gut microbiota, which is frequently the result of previous use of antibiotics, an important risk factor for *C. difficile* infections.<sup>17</sup> However, several other factors, such as comorbidity, diet, travel and other medication (such as proton pump inhibitors, non-steroidal anti-inflammatory drugs, antidiabetics and chemotherapeutics),<sup>44</sup> are also known to affect the composition and stability of the gut microbiota.<sup>45</sup> A disturbed microbiota could result in decreased colonisation resistance, i.e. the role of the microbiota to provide a barrier against colonisation and expansion of potentially pathogenic microorganisms. Colonisation resistance depends on functional, synergistic relationships between gut microorganisms and host cells and their associated metabolites.<sup>46</sup> Antibiotic-induced disruption of the gut microbiota could persist for several months, indicating that patients remain susceptible to develop CDI for a long period after antibiotic treatment.<sup>19,47</sup> Patients with CDI or with asymptomatic *C. difficile* colonisation are shown to have a lower diversity and richness of their gut microbiota compared to healthy subjects.<sup>48-51</sup> The number of different species in their gut is reduced and their relative abundance is less evenly spread (within-sample diversity; alpha diversity). Furthermore, their gut microbiota composition is different from healthy controls (between sample diversity; beta diversity)<sup>52</sup>. Patients with CDI have a different gut microbiota composition compared with asymptomatic *C. difficile* carriers with a significantly higher relative abundance of *Escherichia/shigella*,<sup>50</sup> *Clostridioides*, and *Veillonella*,<sup>51</sup> and lower abundance of *Bifidobacterium*<sup>50</sup> and genera belonging to the *Ruminococcaceae* family and Actinobacteria phylum<sup>51</sup> in CDI patients, although this differs between studies. Many of the genera that were lower abundant are known short-chain fatty acids (SCFA)-producers and carbohydrate degraders.<sup>51</sup> The disturbances of the gut microbiota are larger for patients with recurrent CDI, compared to patients with an initial CDI episode.<sup>53</sup>

Mechanisms by which the gut microbiota can mediate colonisation resistance against *Clostridioides difficile* are shown in Figure 2. Potential mechanisms by which a disruption of the microbiota may contribute to the development of CDI are alterations in bile acid or amino acid metabolism, production of bacteriocins or short chain fatty acids (mainly butyrate), metal availability, nutrient or niche competition, bacteriophage exposure, carbohydrate digestion and interactions with the host immune system.<sup>54-56</sup>



**Figure 2. Several important mechanisms by which the gut microbiota can mediate colonisation resistance against *Clostridioides difficile*.**

Part A describes the lifecycle of *C. difficile* from spores to vegetative cells to toxin-producing cells and back to spores. Part B shows the effects of the bile acid metabolism on *C. difficile*. Primary bile acids stimulate *C. difficile* spore germination into vegetative cells. Members of the gut microbiota in the colon can metabolise these primary bile acids into secondary bile acids. Secondary bile acids inhibit spore germination and toxin production. Part C shows the effects of certain nutrients on *C. difficile*. Short-chain fatty acids (SCFAs) are produced by the gut microbiota through microbial fermentation of dietary fibre and starches. Most SCFAs inhibit growth of *C. difficile*, whereas succinate can stimulate *C. difficile* expansion. Sialic acid is released by cleavage of sialinated carbohydrates by certain members of the gut microbiota. Sialic acid stimulates *C. difficile* expansion. Part D shows the effects of antimicrobial factors. Some members of the gut microbiota produce bacteriocins, that can be bacteriostatic or bactericidal for *C. difficile*. Furthermore, *C. difficile* can be used as a host for some bacteriophages in the gut microbiota. In addition, host cells can produce antimicrobial peptides (AMP) that inhibit *C. difficile* growth and toxin activity.<sup>56</sup>

Abbreviations: AMP: antimicrobial peptides.

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The exact members of the microbiota involved in protection against or development of CDI have not been elucidated yet. However, several micro-organisms and metabolites have been suggested to play a role. Studies suggest that CDI appears to be associated with a decrease in members of the phyla Bacteroidetes and Firmicutes and an increase in Proteobacteria and Bacilli.<sup>53,57</sup> Proteobacteria contain numerous pathogenic pro-inflammatory bacteria, such as the genera *Escherichia*, *Klebsiella* and *Enterobacter*.<sup>58</sup> Bacteroidetes are involved in carbohydrate digestion and they produce short-chain fatty acids, mainly propionate and acetate.<sup>59</sup> They produce substrates essential for homeostasis of colonocytes and thereby colonic health.<sup>60</sup> The phylum Firmicutes includes several butyrate-producers and bile acid metabolising bacteria. CDI patients typically have a reduced number of butyrate-producing commensal bacteria in their gut.<sup>48</sup> Butyrate is an SCFA, which is produced by the gut microbiota through microbial fermentation of dietary fibre and starches in the lower intestinal tract. Butyrate has anti-inflammatory and pH-lowering properties (while *C. difficile* favours an alkaline pH<sup>61</sup>) and enhances intestinal barrier function and mucosal immunity.<sup>62</sup> Butyrate-producing bacteria, such as *Lachnospiraceae* and *Ruminococcaceae* of the phylum Firmicutes, are thought to provide colonisation resistance against CDI.<sup>48,52</sup> The germination of *C. difficile* spores can be influenced by bile salts. Primary bile acids are produced in the liver and are released into and reabsorbed from the small intestines. Primary bile acids stimulate *C. difficile* spore germination. A small proportion of primary bile acids is not reabsorbed in the small intestines and is passed into the colon. Members of the gut microbiota in the colon can metabolise these primary bile acids into secondary bile acids. Secondary bile acids inhibit the growth of *C. difficile*.<sup>55</sup> The gut microbiota members that can metabolise primary bile acids into secondary bile acids are of the *Lachnospiraceae*, *Ruminococcaceae* and *Blautia* families of the phylum Firmicutes.<sup>63,64</sup> A decrease in these taxa through disruption of the microbiota will result in reduced secondary bile acid levels and increased primary bile acid levels with a favourable environment for *C. difficile*. CDI patients indeed have higher levels of primary bile acids and lower levels of secondary bile acids in their faeces, compared to controls, mainly in recurrent cases.<sup>65</sup> In an *in vitro* study and a mouse study, *Clostridium scindens*, a bile acid 7 $\alpha$ -dehydroxylating commensal intestinal bacterium, appeared to play a role in the resistance against CDI by converting primary bile acids to secondary bile acids and by the production of antimicrobials.<sup>66,67</sup> However *C. scindens* has also been found in stool samples of CDI patients,<sup>68</sup> although this study is under investigation for scientific misconduct.<sup>69</sup> The amount of germination in response to bile acids depends on whether there is a functional CspC germinant receptor that recognises primary bile acids.<sup>70</sup>



## FMT as treatment strategy to prevent recurrent episodes of *C. difficile* infections

The decreased colonisation resistance due to the disturbed microbiota in CDI patients can be counteracted by using microbiota interventions, such as FMT,<sup>38</sup> probiotics<sup>71</sup> and live biotherapeutics.<sup>72-76</sup> Live biotherapeutics are a recent development, aiming to replace FMT as microbiota intervention. They contain established consortia of live microorganisms that are proven to have a beneficial effect on human diseases. RBX2660<sup>72</sup> is the first live biotherapeutic that has recently been approved by the FDA, though it is not based on cultured microorganisms but it contains merely a well characterised donor faecal suspension. Furthermore, studies on SER-109<sup>73</sup> are at an advanced stage and have also shown promising results. As mentioned, bezlotoxumab or FMT in addition to anti-CDI antibiotics are currently advised as treatment in patients with multiple recurrent CDI.<sup>32</sup> At the moment, CDI is the only registered treatment indication for FMT. Besides recurrent CDI, FMT can also be provided for patients with severe CDI,<sup>77,78</sup> although more evidence is needed to ascertain the chance of improvement and the safety in this fragile population.<sup>79</sup> The cure rate of FMT for patients with multiple recurrent CDI is 83-92%.<sup>80-82</sup> For some patients, repeated FMTs are required.

FMT restores the reduced gut microbiota diversity and the disturbed composition and metabolic capacity of the microbiota in CDI patients by administration of a healthy gut microbiota of a faeces donor.<sup>83-85</sup> Thereby, colonisation resistance against *C. difficile* will be improved. However, some evidence suggests it is not the donor microbiota that provides the cure, since sterile faecal filtrates<sup>86</sup> and autologous FMT<sup>87</sup> appear to be beneficial as well.

Broad-spectrum antibiotics and other microbiota-disturbing factors lead to disruption of the gut microbiota. After exposure to *C. difficile*, this may lead to a vicious cycle with (recurrent) *C. difficile* infection for which antibiotic treatment is provided and persistent dysbiosis, which can be restored by FMT.<sup>88</sup> After FMT, the microbiota of the patient is at least partly replaced by the healthy donor microbiota and a new balance will be achieved with a patient microbiota that is more similar to the microbiota of the donor.

The mechanisms underlying the success of FMT in recurrent CDI are only partly understood. The microbiota composition of successfully treated patients changes after FMT from a low-diversity microbiota which is dominated by Proteobacteria and Bacilli, to a more diverse microbiota resembling that of healthy donors, which is dominated by Bacteroidetes and *Clostridium* groups, including butyrate-producing bacteria.<sup>57</sup> Furthermore, FMT leads to restoration of the bile acid balance by an increase in secondary bile acid levels and decrease in primary bile acid levels.<sup>84</sup> Indeed, bile acid concentrations from patients' faeces prior to FMT induce *C. difficile* germination, while bile acid concentrations from patient's faeces after FMT do not induce germination and inhibit vegetative growth of *C. difficile* strains.<sup>89</sup> Fungi may also play a role in the success

of FMT,<sup>90,91</sup> although evidence is unclear for fungi other than *Candida albicans*. CDI patients frequently have a high abundance of *Candida albicans* in their faeces and a decreased fungal diversity, richness and evenness, which are improved after a successful FMT to a state comparable to that of the donor. In faeces of non-responders, *C. albicans* remains dominant, and faeces of donors enriched with *C. albicans* are associated with reduced FMT efficacy.<sup>91</sup> There may also be a role for other non-bacterial, non-fungal components of faecal suspensions, such as viruses and bacteriophages,<sup>86</sup> in the success rate of FMT in CDI.

Faeces donors, who provide faeces for FMT, are usually rigorously screened with risk assessments and repeated blood and faecal examination.<sup>92</sup> Their donated faeces is processed into a faecal suspension, which is usually stored in a -80 degrees Celsius freezer until use. The faecal suspensions are administered via upper or lower gastrointestinal (GI) routes. Potential upper GI routes include oral capsules, nasogastric tube, nasoduodenal tube, nasojejunal tube or direct gastric/duodenal/jejunal administration via gastroscope; lower GI routes include enema or direct infusion into the rectum or colon via colonoscope. The lower GI routes appear slightly more effective,<sup>81,82</sup> but are associated with slightly more serious adverse events compared to upper GI routes.<sup>93</sup> FMT treatment frequently includes pre-treatment with anti-CDI antibiotics and bowel lavage to improve engraftment of the donor gut microbiota. When a CDI recurrence develops post-FMT, this can be treated with anti-CDI antibiotics (preferably a small spectrum agent such as fidaxomicin) alone due to the improved state of the gut microbiota post-FMT. Risk factors for a CDI recurrence after FMT include the use of non-CDI antibiotics after FMT and moderately or severely immunocompromised state.<sup>94</sup>

FMT is considered a rather safe treatment for patients with CDI (although underreporting of serious adverse events is likely<sup>95</sup>).<sup>82</sup> FMT-related serious adverse events (SAEs) in these patients, such as aspiration pneumonia, infections, GI haemorrhage or death, have been described, but occur in no more than 5% of patients.<sup>93,94,96,97</sup> A study performed by the Netherlands Donor Faeces Bank on FMT-treated patients with recurrent CDI revealed that approximately 21-33% of patients report mild GI adverse events (AEs), such as abdominal pain and diarrhoea, in the three weeks after FMT and at long-term follow-up.<sup>94</sup> A recent meta-analysis found an AE rate of 39%.<sup>97</sup> These AEs are mostly self-limiting and may also (partly) be a result of the CDI itself or post-infectious irritable bowel syndrome.<sup>98</sup> Long-term SAEs are largely unknown, although one recent study suggests that FMT does not cause long-term SAEs.<sup>99</sup>

## Multidrug-resistant bacteria and the role of the gut microbiota

### Epidemiological and molecular characteristics of multidrug-resistant bacteria

Antimicrobial resistance (AMR) is one of the biggest threats to public health. It can occur in bacteria, fungi, parasites, and viruses. This thesis focusses on antimicrobial resistance in bacteria. Bacteria can be intrinsically resistant or they can acquire AMR. AMR can be acquired by mutations in chromosomal genes or by acquisition of exogenous resistance genes that are carried on mobile genetic elements.<sup>100</sup> Mobile genetic elements are DNA segments that encode enzymes mediating the movement of other DNA pieces.<sup>101</sup> They can be divided into two groups: 1) those enabling intracellular mobility with movement of DNA segments within the same bacterial genome including insertion sequences, transposons, integrons and prophages, and 2) those enabling intercellular mobility with transfer of genes between different bacteria including plasmids and integrative conjugative elements. A plasmid is extra-chromosomal circular DNA that replicates independently of the host genome and that can also spread horizontally between bacteria, including bacteria from different species.<sup>102</sup> This means that AMR genes can be transferred from commensals in the gut, to pathogenic bacteria that can be life-threatening to humans after infection. Transfer of plasmids with AMR genes are of the most concern.

The use of antibiotics and transmission of antimicrobial resistant micro-organisms (or plasmids) between humans, animals and the environment are the most important drivers of AMR. AMR is therefore regarded a One Health issue. The spread can be restricted by appropriate infection prevention control measures and prudent antimicrobial prescribing, comprising limited use and short duration of antibiotics that are as small spectrum as possible.<sup>100</sup> It is important that prudent and limited antimicrobial prescribing is also implemented in animals, such as pets and livestock. Antibiotics are used in livestock to treat infections, but also as feed additives and growth promoters. Furthermore, multiple environmental reservoirs play a role in AMR dissemination, including soil, water, waste of hospital, industries and farms, and various polluted ecological niches.<sup>103</sup> A coordinated One Health approach is essential in the combat against AMR.

The increase in multidrug-resistant bacteria (MDRO), bacteria that are resistant to multiple clinically relevant antibiotics and that can spread worldwide, is worrisome. Antibiotic resistant bacteria that belong to the so-called ESKAPE group (*Enterococcus faecium*, *Staphylococcus aureus*, *Klebsiella pneumoniae*, *Acinetobacter baumannii*, *Pseudomonas aeruginosa* and *Enterobacter* species) are the most threatening and are associated with hospital infections with high mortality rates.<sup>104</sup> In the Netherlands, there are relatively few problems with MDRO so far.<sup>100 105</sup>

Infections with MDRO are frequently preceded by intestinal colonisation with this MDRO.<sup>46</sup> However, individuals can also remain asymptotically colonised.



Unfortunately, definitions of MDRO differ between countries and regions. The currently published guideline of the Dutch Working Group on Infection Prevention<sup>106</sup> (a new guideline is in progress but is not published yet) defines MDRO as:

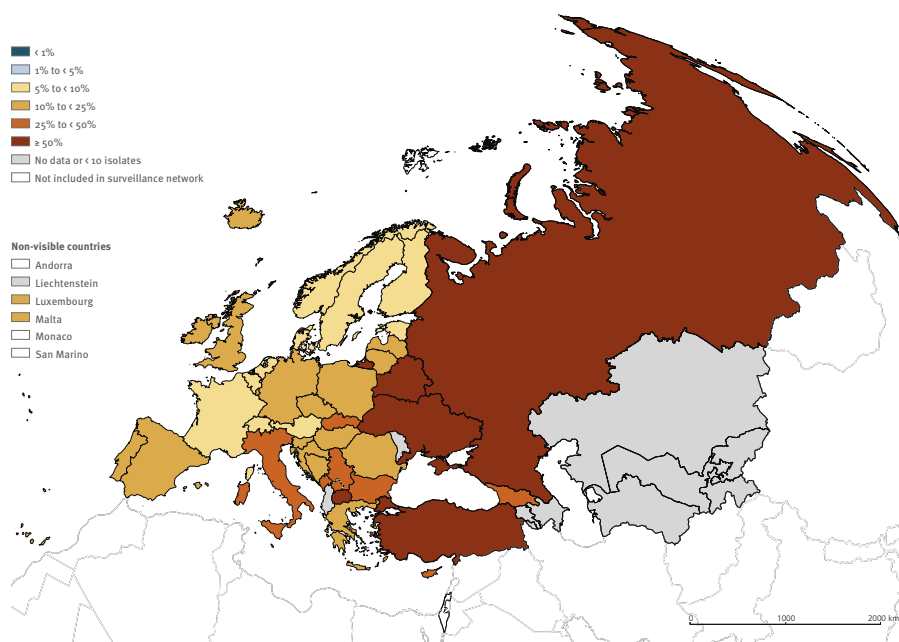
- Extended-spectrum beta-lactamase (ESBL)-producing Enterobacterales, Enterobacterales that are resistant to both a fluoroquinolone and an aminoglycoside or that produce carbapenemases.
- *Acinetobacter* spp that are resistant to both a fluoroquinolone and an aminoglycoside or that produce carbapenemases.
- *Pseudomonas aeruginosa* that is carbapenemase-producing and resistant to at least two of the following antibiotic classes or agents (or no carbapenemase production and a combination of three classes or agents): fluoroquinolones, aminoglycosides, ceftazidime or piperacillin, and carbapenems.
- Co-trimoxazole-resistant *Stenotrophomonas maltophilia* (outside the scope of this thesis).
- Penicillin- and vancomycin-resistant *Enterococcus faecium* (VRE).
- Penicillin- or vancomycin-resistant *Streptococcus pneumoniae* (outside the scope of this thesis).
- Meticillin-resistant *Staphylococcus aureus* (MRSA).<sup>106</sup>

Colistin-resistant Enterobacterales are also of serious concern since colistin is a last-resort treatment option against ESBL-producing and carbapenem-resistant Enterobacterales.

### Multidrug-resistant Gram-negatives

Multidrug-resistant Gram-negative bacteria are rapidly emerging worldwide. Beta-lactam antibiotics, such as penicillins, cephalosporins and carbapenems, are among the most frequently prescribed antibiotics worldwide. These antibiotics bind to and inhibit bacterial enzymes involved in cell wall synthesis.<sup>107</sup> However, some bacteria contain bacterial enzymes, called beta-lactamases, that inactivate beta-lactam antibiotics by hydrolysis. This is the most important contributing factor to beta-lactam resistance.<sup>102</sup> ESBLs are a group of beta-lactamases that cause resistance to oxyimino-cephalosporins (e.g. ceftazidime or cefuroxime) and monobactams (e.g. aztreonam), but not to cephamycins (e.g. ceftiofime) or carbapenems (e.g. meropenem or imipenem) and they are inhibited by beta-lactamase inhibitors (e.g. clavulanic acid).<sup>108</sup> There is an increase in penicillin and cephalosporin resistance due to the global spread of ESBL-producing bacteria. The most important ESBL genes include several types of *bla*<sub>CTX-M</sub>, *bla*<sub>TEM</sub> and *bla*<sub>SHV</sub>. These genes can mobilise intracellularly via insertion elements, transposons and integrons, but they can also spread between different bacteria by plasmids.<sup>102</sup> Figure 3 and 4 show resistance to third generation cephalosporins (e.g. ceftazidime and ceftriaxone) of invasive *E. coli*

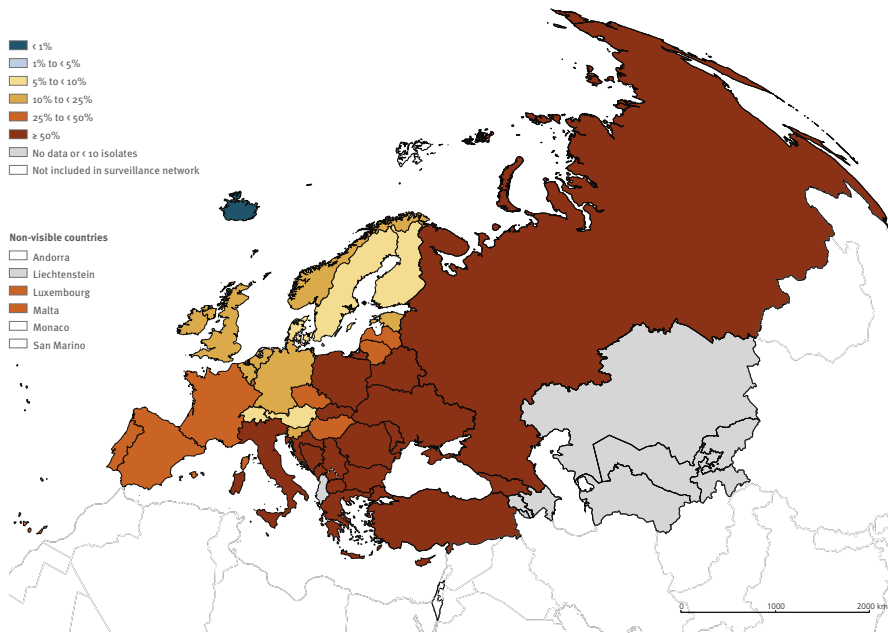
and *K. pneumoniae* isolates in Europe in 2020. The population-weighted mean resistance percentage for the European Union/European Economic Area (EU/EEA) was 14.9% in 2020 and 13.8% in 2021 for invasive *E. coli* isolates, with resistance percentages of 50.0% or above in 12.5% of countries in 2020. For invasive *K. pneumoniae* isolates, the mean resistance percentage was 33.9% in 2020 and 34.3% in 2021, with 43.9% of countries reporting resistance percentages of  $\geq 50\%$  in 2020. Ceftazidime resistance was observed in 15.5% of European invasive *Pseudomonas aeruginosa* isolates in 2020 and 15.8% in 2021.<sup>100,109</sup>



**Figure 3. Percentage of invasive *E. coli* isolates resistant to third-generation cephalosporins in Europe in 2020.**

Note: data for Serbia and Kosovo were combined for this map. Data for the United Kingdom for 2020 do not include Scotland and Wales. Data sources: 2020 data from the Central Asian and European Surveillance of Antimicrobial Resistance (©WHO 2021. All rights reserved.) and 2020 data from the European Antimicrobial Resistance Surveillance Network (©ECDC 2021). Map production: ©WHO.

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**Figure 4. Percentage of invasive *K. pneumoniae* isolates resistant to third-generation cephalosporins in Europe in 2020.**

Note: data for Serbia and Kosovo were combined for this map. Data for the United Kingdom for 2020 do not include Scotland and Wales. Data sources: 2020 data from the Central Asian and European Surveillance of Antimicrobial Resistance (©WHO 2021. All rights reserved.) and 2020 data from the European Antimicrobial Resistance Surveillance Network (©ECDC 2021). Map production: ©WHO. Retrieved unchanged from World Health Organization Regional Office for Europe/European Centre of Disease Prevention and control.<sup>100</sup> © CC BY 3.0 IGO: <https://creativecommons.org/licenses/by/3.0/igo/>.

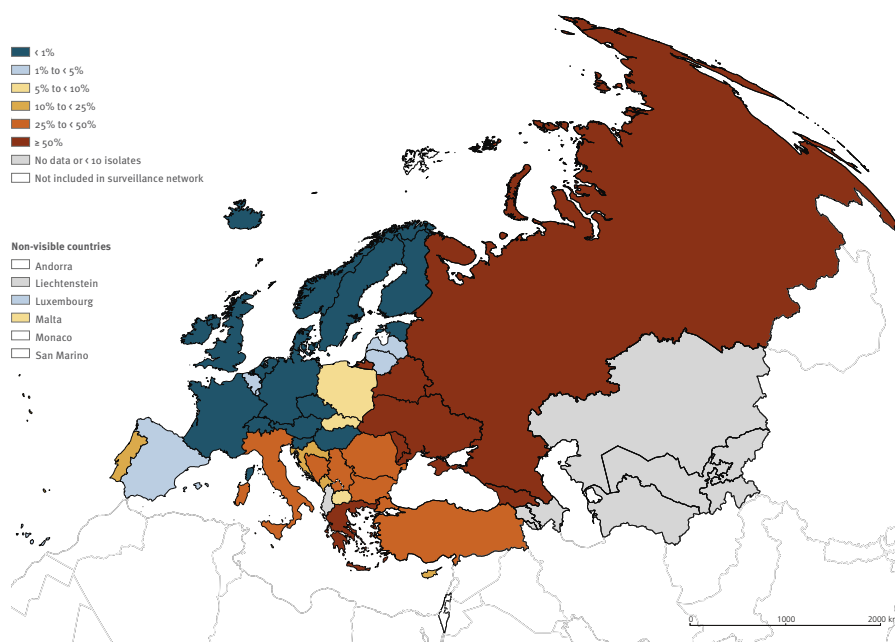
Another type of beta-lactamase is the ampC type (AmpC-BL), that causes decreased susceptibility to oxyimino-cephalosporins and methoxy-cephalosporins, but with good susceptibility against fourth-generation cephalosporins. The phenotypic resistance is very similar to ESBL-producers, except that AmpC-BL is not inhibited by clavulanic acid. Several bacteria contain these genes on their chromosomes, but some species, such as *E. coli* and *K. pneumoniae*, can present these genes on plasmids.<sup>110</sup>

Carbapenems are beta-lactam antibiotics, such as meropenem and imipenem, that are effective against ESBL-producing bacteria. Unfortunately, carbapenem-resistance is also spreading worldwide. Carbapenem-resistance is for a large part caused by carbapenemase-encoding genes, such as *bla*<sub>NDM</sub>, *bla*<sub>KPC</sub>, *bla*<sub>VIM</sub>, *bla*<sub>IMP</sub> and *bla*<sub>OXA-48</sub>. Carbapenemases are beta-lactamase enzymes that hydrolyse carbapenems. These genes are frequently located



on plasmids or associated with other mobile genetic elements, like transposons and integrons. A cause of concern is the occurrence of a combination of carbapenemase genes with multidrug resistance genes on the same mobile genetic element.<sup>111</sup> Other causes of carbapenem resistance include the combined effect of other beta-lactamases and/or decreased bacterial cell membrane permeability due to alterations or mutations in porins, increased transport out of the cell by efflux-pumps or modification of targets of antibiotics through genetic mutations or post-translational modification.<sup>111,112</sup>

The population-weighted EU/EEA mean resistance percentage for carbapenem among invasive *Klebsiella pneumoniae* isolates in Europe was 10.0% in 2020 and 11.7% in 2021, with 15% of European countries reporting resistance percentages of 50.0% or higher in 2020. This is shown in Figure 5.



**Figure 5. Percentage of carbapenem-resistant invasive *K. pneumoniae* isolates in Europe in 2020.**

Note: data for Serbia and Kosovo were combined for this map. Data for the United Kingdom for 2020 do not include Scotland and Wales. Data sources: 2020 data from the Central Asian and European Surveillance of Antimicrobial Resistance (©WHO 2021. All rights reserved.) and 2020 data from the European Antimicrobial Resistance Surveillance Network (©ECDC 2021). Map production: ©WHO.

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It was only observed in 0.2% of European invasive *Escherichia coli* isolates in 2020 and 2021. Significant increasing trends are observed for both *K. pneumoniae* and *E. coli* in Europe. Carbapenem resistance is also frequently observed in invasive isolates of *P. aeruginosa* and *Acinetobacter species*, even at a higher percentage than in invasive *K. pneumoniae* isolates.<sup>100,109</sup>

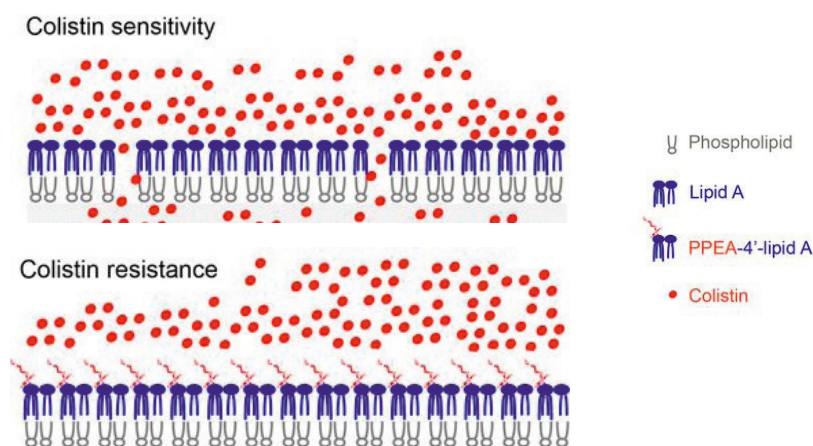
Polymyxins are one of the last resort treatment options for infections with carbapenem-resistant multidrug-resistant (MDR) Gram-negative bacteria. Polymyxins are cationic polypeptide antibiotics that bind to lipopolysaccharides (LPS) and phospholipids in the outer cell membrane of Gram-negative bacteria. They displace divalent cations by competition from the phosphate groups of membrane lipids, leading to disruption of the outer cell membrane and ultimately cell death.<sup>113,114 115</sup> Furthermore, polymyxins can bind to and neutralise LPS, reducing the pathophysiologic effects of this endotoxin in the circulation.<sup>116,117</sup>

There are two polymyxins available for the treatment of patients: polymyxin E (colistin) and polymyxin B. The antibacterial potencies of polymyxin B and colistin are identical. In the Netherlands, colistin is used orally for selective digestive tract (SDD) or oropharyngeal (SOD) decontamination. Furthermore, colistin in nebulised form is used for treatment of *Pseudomonas aeruginosa* colonisation/infection in patients with pulmonary diseases (mainly cystic fibrosis), topically for otitis externa or ophthalmic infections and parenterally for treatment of severe infections with MDRO or in cystic fibrosis patients. However, colistin is potentially neuro- and nephrotoxic when administered parenterally.<sup>118</sup> Colistin also used in livestock to treat infections caused by Enterobacterales, and in the past (and still in some non-European countries<sup>119</sup>) also as growth promotor.<sup>120</sup>

Unfortunately, colistin resistance has also been developed.<sup>121-123</sup> Some Gram-negative species are intrinsically resistant to colistin, such as *Neisseria meningitidis*, *Burkholderia species*, and *Proteus mirabilis*,<sup>124</sup> but colistin resistance can also be acquired. Colistin-resistance can be caused by several mechanisms, such as modification of lipid A in LPS due to chromosomal mutations, expression of a plasmid-mediated mobilised colistin resistance (*mcr*) gene, loss of LPS from the cell membrane, hyperproduction of capsule polysaccharides or the activity of efflux pumps.<sup>124</sup>

Several chromosomal mutations in bacteria can lead to colistin resistance. For *K. pneumoniae*, mutations in the chromosomally located *pmrAB*, *phoPQ*, *mgrB* and *crbB* genes have been intensively studied. Mutations in these genes lead to modification of lipid A in LPS. This modification leads to decreased negative charge of the bacterial membrane impairing the interaction between colistin and LPS.<sup>124</sup> In *E. coli*, evidence on the role of chromosomal mutations in colistin resistance is scarce.<sup>125</sup> Colistin resistance in *E. coli* strains has been linked to *phoPQ* and *pmrAB* genes, but experimental confirmation is still mostly lacking.<sup>125</sup>

The risk for spread of colistin resistance is further increased by transferable plasmid-mediated *mcr* genes that can transmit colistin resistance more easily between bacteria, including bacteria from different species.<sup>126</sup> The first *mcr* gene, *mcr-1*, was discovered in China in 2015.<sup>127</sup> *Mcr* genes encode phosphoethanolamine transferases, which catalyse the addition of the cationic phosphoethanolamine group to the lipid A proportion of LPS (Figure 6).<sup>124</sup>



**Figure 6. Colistin resistance by *mcr* genes.**

Modification of the lipid A proportion of lipopolysaccharides of the outer membrane of Gram-negative bacteria by addition of phosphoethanolamine (PPEA) leads to a more cationic state with decreased affinity for cationic colistin.

Adapted (only part of the figure was used) from 2018 Xu Y, *et al.*<sup>128</sup> © CC BY 4.0: <https://creativecommons.org/licenses/by/4.0/>.

Since 2016,<sup>127</sup> *mcr* genes 1 to 10 have been discovered. However, it is debatable whether *mcr-9* leads to colistin resistance. In a study<sup>129</sup> examining *Enterobacter* isolates, none of the *mcr-9* carrying isolates had phosphoethanolamine modification. In a retrospective analysis of *E. coli* isolates from chicken origin in 2016, Shen *et al.*<sup>130</sup> found that *mcr-1* genes were already observed in the 1980s. Notably, *E. coli* is the most abundant *mcr*-containing species.<sup>131,132</sup> A study that examined 457 *mcr-1*-positive Enterobacterales isolates from 31 different countries, reported 411 *E. coli* isolates (89.9%).<sup>131</sup> Plasmid-transferable *mcr* genes are rare in other species than *E. coli* and *K. pneumoniae*.

Assessing the prevalence of colistin resistance via international or national surveillance is difficult, since colistin susceptibility testing is frequently not part of routine susceptibility testing and the performance of tests varies considerably.

*K. pneumoniae* is the species most commonly involved in the development of colistin resistance.<sup>133</sup> Among 646 carbapenem-resistant *K. pneumoniae* found in Europe in 2013–2014, 28% were also colistin-resistant.<sup>134</sup> According to the European Centre for Disease Prevention and Control (ECDC), 8.5% of tested *K. pneumonia* isolates in Europe in 2016 was colistin-resistant, of which 88.5% was from Greece and Italy. Colistin resistance was reported only sporadically in *E. coli* and *P. aeruginosa* and was found in 4.0% of tested *Acinetobacter spp.*, of which 70.7% derived from Greece and Italy. However, due to the low number of isolates and the frequent unreliable results of colistin susceptibility testing methods, these percentages should be interpreted with caution.<sup>135</sup> In order to obtain a better insight into the prevalence of colistin-resistance in Europe, ECDC initiated a survey on carbapenem- and/or colistin-resistant Enterobacterales (CCRE-survey) in 2019 in EU Member states.<sup>136</sup>

### Multidrug-resistant Gram-positives

MDR Gram-positives of concern in the Netherlands are methicillin-resistant *Staphylococcus aureus* (MRSA) and penicillin- and vancomycin-resistant *Enterococcus faecium* (VRE).

Humans are frequently asymptomatically colonised with *S. aureus* on their skin and mucous membranes, including the nose and gut.<sup>137</sup> About 20% of humans are persistent nasal carriers and 30% are intermittent carriers.<sup>138</sup> *S. aureus* infections are frequently caused by *S. aureus* strains that these individuals have been carrying on the skin or mucous membranes.<sup>139</sup> *S. aureus* can cause infections of the bloodstream, skin and soft tissues and lower respiratory tract. It is an important nosocomial pathogen and can cause infections associated with medical instruments, such as central-line-associated bloodstream infections, or implantable materials, such as pacemakers and prostheses, as well as serious deep-seated infections such as osteomyelitis and endocarditis.<sup>140</sup>

A problem with *S. aureus* is the rapid development of resistance to multiple antibiotic classes. Within two years after the introduction of penicillin, the first penicillin-resistant *S. aureus* strain was detected.<sup>141</sup> Later, the semi-synthetic beta-lactamase-resistant antibiotic methicillin was introduced, but an MRSA was soon thereafter identified.<sup>142</sup> MRSA is resistant to all available penicillins and almost all of the other beta-lactam antibiotics. The resistance is caused by an acquired *mec* gene (*mecA*, *mecB*, *mecC* and *mecD*) located on the chromosome or on plasmids. The *mec* gene encodes an altered penicillin-binding protein with decreased affinity for most semisynthetic penicillins.<sup>143,144</sup> The *mec* gene is localised on a mobile genetic element termed “staphylococcal cassette chromosome *mec*” (SCC*mec*).<sup>145</sup>

*S. aureus* strains can enhance their virulence by various virulence factors, including toxins (such as exfoliative toxins, toxic shock syndrome toxin 1, enterotoxins and leukocidins), immune-evasive surface factors (such as capsule and protein A) and enzymes promoting tissue invasion (such as hyaluronidase).<sup>146</sup> One of the most studied

virulence factors is the cytotoxin Panton-Valentine Leukocidin (PVL). PVL encoding genes are possibly associated with development of skin infections, soft tissue infections and necrotising pneumonia, although evidence is contradicting.<sup>146</sup>

Infections with MRSA are associated with increased mortality rates, compared to meticillin-susceptible strains.<sup>147,148</sup> This can be caused by increased virulence of MRSA, but it is more likely that this is influenced by confounders/mediators such as increased age, more severe illness or comorbidity or delayed and less adequate treatment in MRSA patients.<sup>148,149</sup> In the past, MRSA was only associated with infections in health care facilities, but community-associated MRSA (CA-MRSA) infections have been emerging as well. At the time of the emergence of CA-MRSA strains, it was mostly limited to mild skin and soft tissue infections, but CA-MRSA strains have also been detected in health care facilities causing nosocomial infections. CA-MRSA strains have increased virulence and fitness,<sup>150</sup> are resistant to fewer non-beta-lactam antibiotics, carry a smaller version of *SCCmec*, and more frequently carry PVL encoding genes, compared to hospital-associated MRSA strains.<sup>140</sup>

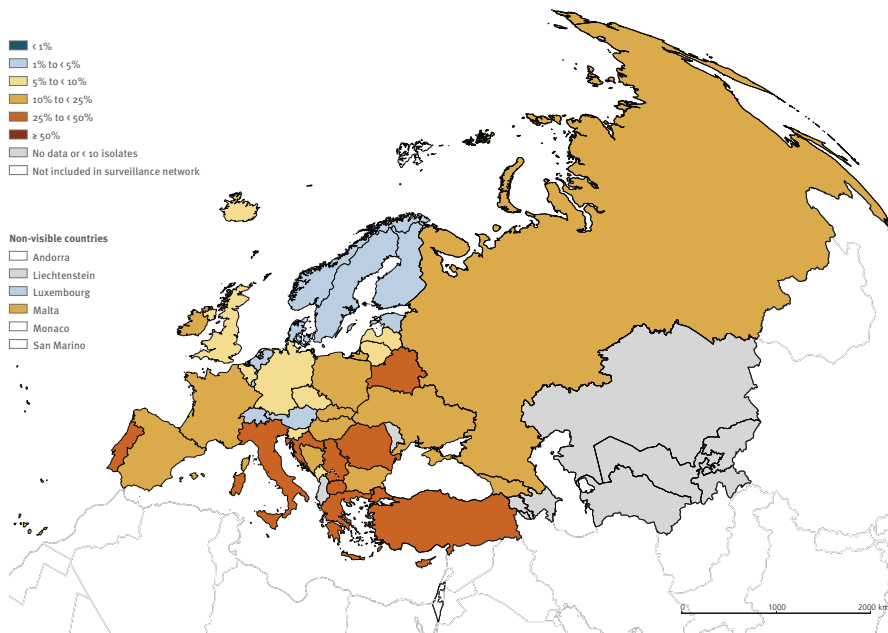
The population-weighted EU/EEA mean resistance percentage for meticillin resistance among invasive *S. aureus* isolates in Europe was 16.7% in 2020 and 15.8% in 2021. A decrease in MRSA percentages was observed in the period of 2016-2021 from 19.3% to 15.8%.<sup>100,109</sup> Twenty-five percent of European countries had MRSA percentages of 25% or higher in 2020 (Figure 7).<sup>100</sup>

Another important MDR Gram-positive bacterium is VRE. Most enterococci that cause infection originate from the gut microbiota. Intestinal colonisation with VRE mostly precedes infection.<sup>151</sup> VRE infections are predominantly observed as urinary tract infections (UTIs), wound infections, intra-abdominal infections, bloodstream infections, endocarditis or catheter-related infections.<sup>152</sup>

Vancomycin resistance in enterococci is associated with *van* gene clusters (*vanA*, *vanB*, and so on). Expression of these gene clusters causes alteration of the vancomycin target on the cell wall, preventing vancomycin from binding to the cell wall.<sup>153</sup> The most important gene is *vanA*, whereas *vanB* clones are emerging.<sup>154</sup> These gene clusters can be located on plasmids or the chromosome.<sup>155</sup>

The population-weighted EU/EEA mean resistance percentage for invasive VRE isolates in Europe was 16.8% in 2020 and 17.2% in 2021. This has significantly increased compared to 2016, when it was 11.6%.<sup>100,109</sup> Importantly, it is estimated that the median number of infections and deaths attributable to vancomycin-resistant enterococci had almost doubled between 2007 and 2015,<sup>156</sup> which has probably increased more from 2016 onwards. In 11% of European countries resistance percentages of 50% or higher were observed in 2020.<sup>100</sup>





**Figure 7. Percentage of invasive meticillin-resistant *S. aureus* isolates in Europe in 2020.**

Note: data for Serbia and Kosovo were combined for this map. Data for the United Kingdom for 2020 do not include Scotland and Wales. Data sources: 2020 data from the Central Asian and European Surveillance of Antimicrobial Resistance (CAESAR, ©WHO 2021. All rights reserved.) and 2020 data from the European Antimicrobial Resistance Surveillance Network (EARS-Net, ©ECDC 2021). Map production: ©WHO.

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### **MDRO in the Netherlands**

Compared to other countries, there are relatively few problems with MDRO so far in the Netherlands.<sup>100 105</sup> This can be explained by the relatively limited use of antibiotics in the Netherlands.<sup>31</sup> As depicted in Figures 3,4,5 and 7, the percentages of invasive *K. pneumoniae* and *E. coli* isolates that are resistant to third-generation cephalosporins or carbapenems, and the percentage of invasive MRSA isolates in the Netherlands are among the lowest percentages in Europe.<sup>100</sup>

In 2021, Nethmap reported a percentage of ESBL-producing isolates among diagnostic *E. coli* isolates of inpatient departments, intensive care units, outpatient departments and general practitioners of 5%, 9%, 4% and 3%, respectively. This was 8%, 15%, 6% and 3% for *K. pneumoniae*. These percentages have decreased compared to 2019 for most

patient groups, except for *K. pneumoniae* isolates from the intensive care units in which percentages have increased from 12% to 15%.<sup>105</sup>

The overall percentage of (gradient strip test-confirmed) carbapenem non-susceptible *E. coli* and *K. pneumoniae* in 2021 was only 0.04% for *E. coli* and 0.36% for *K. pneumoniae*.<sup>105</sup> This percentage has been fluctuating in the previous years for *E. coli* (increase from 0.04% in 2017 to 0.07% in 2019 and decrease to 0.05% in 2020) and has slightly decreased for *K. pneumoniae* (from 0.50% in 2017-2019 to 0.36% in 2021). In total, 242 carbapenemase-producing Enterobacterales (CPE) from 209 patients were sent in as part of the CPE surveillance in 2021. This number has been fluctuating in the previous years (increase from 244 in 2017 to 397 in 2019 and decrease to 225 in 2020). The decrease compared to 2019 can most likely be explained by the decreased travelling and a reduction in regular healthcare during the COVID-19 pandemic. The carbapenemase genes that were observed the most in the Netherlands in 2021 were *bla*<sub>OXA-48</sub> and *bla*<sub>OXA48</sub>-like genes (42% of CPE isolates).<sup>105</sup>

The percentage of diagnostic *S. aureus* isolates that was identified as MRSA was 2% in 2021. This is similar to previous years. In total, 2,577 *S. aureus* isolates were sent in as part of the national MRSA surveillance in 2021. When only the first isolate per person was included, this was similar to 2020 with 2,379 isolates but lower than 2017-2019 (3,152-3,309). This decrease may also be due to the COVID-19 pandemic. The multiple-locus variable number of tandem repeat analysis (MLVA) complex that was the most common in 2021 was MC0398 (23%), which is also called livestock-associated MRSA.<sup>105</sup>

The percentage of VRE in diagnostic isolates is low, around 0.3%. However, several VRE outbreaks are reported each year, with eight in 2021, five in 2020 and 19 in 2019.<sup>105</sup> In 2022, a consultation among national experts took place at the RIVM to discuss the Dutch VRE policies. More concrete plans are expected to be made in 2023.

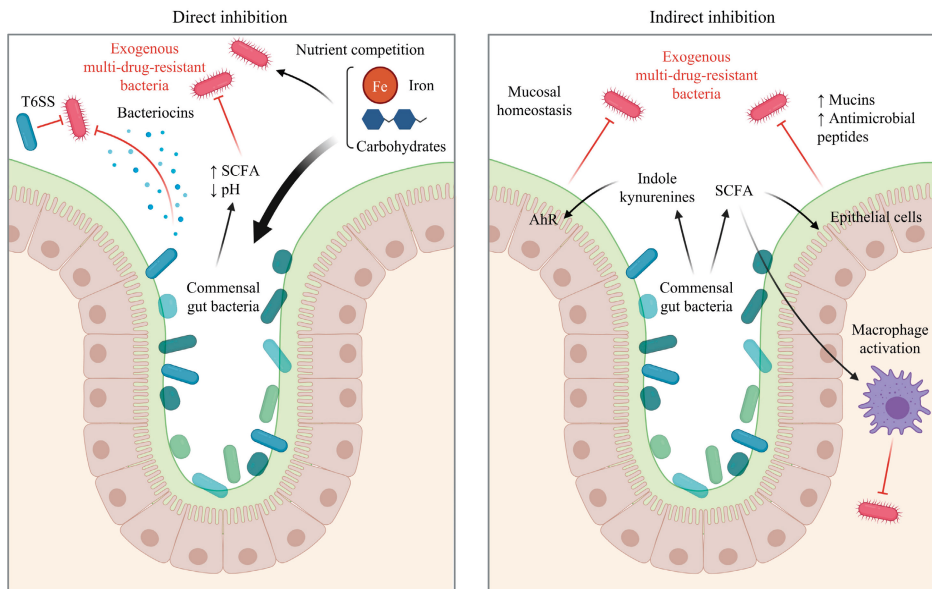
Also in the Netherlands, AMR is regarded a One Health issue. Fortunately, using the data of Maran, the use of antimicrobials in animals has been decreasing since more than ten years.<sup>105</sup> This has led to the reduction of AMR isolates in most livestock species.

### **The role of the gut microbiota in colonisation with multidrug-resistant bacteria**

Similar as for patients with *C. difficile* infections, patients colonised with MDR bacteria have frequently used antibiotics. The use of antibiotics influences the resistome of commensal microorganisms or potential pathogenic bacteria in the gut by survival and outgrowth of resistant strains, even for longer periods in the absence of this selective pressure.<sup>157,158</sup> The use of antibiotics and other factors such as specific diets, presence of comorbidity or use of other medication, could lead to a disturbed gut microbiota. This may result in decreased colonisation resistance against MDRO by e.g. an altered bile acid metabolism, an altered epithelial barrier or decreased bacteriocin production.<sup>46,159</sup> In the absence of perseverance of microbiota-disturbing factors, the microbiota has the ability to return to

its pre-disturbed state after some time.<sup>46</sup> A disturbed microbiota results in an increased susceptibility to colonisation with potentially pathogenic bacteria and subsequent development of infections.<sup>159</sup> For instance, a mouse study on VRE showed that a four-member consortium of commensal bacteria containing *Bacteroides sartorii*, *Parabacteroides distasonis*, and Clostridium cluster XIVa members *Clostridium bolteae* and *Blautia producta*, restored colonisation resistance against VRE.<sup>160</sup> A disturbed microbiota provides favourable conditions for colonisation with MDRO and it could act as a reservoir for horizontal AMR gene transfer within and between gut bacterial species.<sup>159</sup>

The gut microbiota can provide colonisation resistance by direct or indirect inhibition of potentially pathogenic bacteria or MDR bacteria (Figure 8).



**Figure 8. Direct and indirect mechanisms by which the gut microbiota can mediate colonisation resistance against multidrug-resistant bacteria.**

The gut microbiota can provide colonisation resistance by direct inhibition (such as nutrient competition, the production of short-chain fatty acids or bacteriocins or conversion of primary bile acids) or indirect inhibition (by stimulating the immune system and the intestinal epithelium) of potentially pathogenic bacteria or MDR bacteria.

Abbreviations: AhR: aryl hydrocarbon receptor, SCFA: short-chain fatty acids, T6SS: type VI secretion system.

Reprinted from le Guern R, *et al.*, 2021, (p.50)<sup>161</sup> with permission from Elsevier. Figure created with [BioRender.com](https://www.biorender.com). Adapted with permission.

It has been suggested that some commensal bacterial species in the gut prevent potentially pathogenic bacteria from becoming antibiotic-resistant. These commensals may influence the capability to benefit from chromosomal mutations and may inhibit the uptake of AMR genes by constraining plasmid transfer.<sup>162</sup> In general, *Bacteroides*, *Blautia*, Lachnospiraceae, *Prevotella*, and Ruminococcaceae are decreased in patients that are colonised with MDRO, suggesting they may play a protective role against MDRO colonisation.<sup>46</sup> Some commensal anaerobic bacteria produce butyrate, which is important in the regulation of intestinal epithelial homeostasis and immune functions.<sup>163,164</sup> A decrease of butyrate can lead to outgrowth of aerobic potentially pathogenic bacteria.<sup>165</sup> *Blautia producta* inhibits VRE colonisation by secreting a lantibiotic, a lanthionine-containing antimicrobial peptide, that inhibits the growth of VRE.<sup>166</sup> Another study showed that the colonisation resistance of the gut microbiota against *K. pneumoniae* is enhanced after a previous *K. pneumoniae* infection by the production of sulfide through taurine conversion pathways, decreasing cellular respiration, which is important for host invasion for several pathogens.<sup>167</sup> Interestingly, some strains of the commensal *Klebsiella oxytoca* can compete with pathogenic MDR *K. pneumoniae* in the murine gut by carbohydrate competition, but not without support from other members of the gut microbiota.<sup>168</sup> Commensal bacteria that produce beta-lactamase enzymes could also protect pathogens against antibiotics, thereby promoting the intestinal dissemination of MDRO.<sup>169</sup>

Araos *et al.*<sup>170</sup> found reduced alpha diversity (Shannon) and a different composition of the gut microbiota in hospitalised patients with intestinal MDRO colonisation compared to controls, even after correction for comorbidity, previous use of antibiotics and prior stay in healthcare facilities. MDRO colonisation was associated with increased abundance of other multidrug resistance associated genes. Reduced alpha diversity and a different gut microbiota composition was also observed by Korach-Rechtman *et al.*,<sup>171</sup> who compared hospitalised patients colonised with carbapenem-resistant Enterobacterales (CRE) with hospitalised control patients and healthy controls. The CRE carriers had an increased abundance of several members of the Enterobacterales order and reduced abundance of several beneficial anaerobic commensals. Peled *et al.* found that patients with a more diverse gut microbiota had increased survival rates and decreased MDRO colonisation after hematopoietic stem cell transplantation. However, the matched case-control study of Ducarmon *et al.* found no difference in diversity parameters or in relative abundance of the gut microbiota between subjects that had an ESBL-producing *E. coli* compared to matched ESBL-negative subjects.<sup>172</sup>

Little is known about the association between the presence of *mcr* genes and the gut microbiota. One study<sup>173</sup> found that 2% of 214,095 publicly available metagenomes contained reads aligning to *mcr* genes, with *mcr-1* and *mcr-9* being the most frequently found. Another study<sup>174</sup> found 2,079 *mcr*-like genes in 2,046 genomes (1,880 metagenomic assembled genomes and 166 complete genomes from isolates) from the gut microbiota,

of which 215 were identified in plasmidial contigs. Most *mcr*-like genes were observed in the genera *Suterella* and *Parasuterella*, which include mostly commensals, but *mcr* genes were also identified in potential pathogens, such as *Escherichia coli*, *Vibrio species* and *Campylobacter hominis*. Furthermore, in these 2,046 genomes, 22,746 AMR genes belonging to 21 different antibiotic classes were found, suggesting a multidrug-resistant potential of these gut microbiomes.

## **FMT as treatment strategy for the colonisation with multidrug-resistant bacteria**

Colonisation of the gut with MDRO can result in spread of the MDRO and invasive infections with high morbidity and mortality.<sup>175-178</sup> Specific antibiotic therapy may not be sufficient to achieve sustained cure, i.e. the MDRO may not be eradicated from the intestinal tract, resulting in recurrent infections and continuous spread.<sup>179,180</sup>

Data on other possible indications for FMT than CDI (e.g., hepatic encephalopathy, autism spectrum disorder, ulcerative colitis) are becoming available in experimental settings, but results frequently do not meet the high expectations.<sup>181,182</sup> FMT has also been suggested as treatment strategy for the eradication of MDRO from the gut.<sup>183,184</sup> In patients with recurrent CDI, the number of antibiotic resistance genes present in the faeces decreased after FMT.<sup>83,185,186</sup> Several studies examining the efficacy of FMT in patients with intestinal MDRO colonisation have been published, but no conclusions can be drawn due to the low quality of and considerable heterogeneity between studies.<sup>183,184</sup> Decolonisation rates varied greatly among these studies, ranging from 20 to 100% for patients treated with FMT and 10 to 66% for controls. This includes mostly case reports/series and cohort studies<sup>183,184</sup> and only one randomised controlled clinical trial (RCT).<sup>187</sup> Furthermore, sample sizes were small and the studies were heterogeneous regarding decolonisation definition, patient population, MDRO type, administration route, the number of FMT administrations, pre- and/or post-FMT treatment (antibiotics, bowel lavage, proton-pump inhibitor) and the duration of follow-up resulting in difficulties in comparing the results. In one frequently cited RCT,<sup>187</sup> thirty-nine immunocompetent carriers of ESBL- (ESBL-E) or CPE were randomised to either a five days course of oral colistin and neomycin followed by FMT (capsules or nasogastric approach) or no specific intervention. No significant difference in decolonisation rates between the two groups was found, but the planned sample size was not reached, different administration routes were used and there was no control group with only antibiotics.

Another important factor limiting the comparability and reliability of these studies is the phenomenon of spontaneous decolonisation. A systematic review and meta-analysis<sup>188</sup> showed that ESBL-E/CRE colonisation rates in health care settings decreased spontaneously to 76.7% at one month follow-up, 75.2% at three months follow-up, 55.3% at six months follow-up and further to 35.2% at 12 months follow-up. In the



community (mostly traveller studies), this was for ESBL-E 52.3%, 52.5%, 19.2% and 25.4% at one, three, six and twelve months follow-up respectively. In another systematic review examining spontaneous intestinal/rectal decolonisation of VRE in hospitalised patients or long-term care facility residents, 80% of patients lost its VRE after 40 weeks, although not all decolonisation was confirmed with three separate swabs.<sup>189</sup> In a study with healthy subjects of the general population,<sup>190</sup> 12.4% of negative subjects acquired ESBL-producing *E. coli* or *K. pneumoniae* during a study period of 8 months. The duration of colonisation can vary greatly. E.g. for ESBL-producing microorganisms this varies per bacterial (sub)species and per ESBL enzyme.<sup>191,192</sup> Kantele *et al.*<sup>193</sup> demonstrated the potential transient nature of colonisation since they found that travellers could also carry ESBL-producing Enterobacterales for only a single day or a few days. Interestingly, the colonisation duration for ESBL-producing *E. coli* sequence type (ST) 131 is significantly longer compared to other STs, with a half-life of 13 months for ST131 versus two to three months for other STs.<sup>194</sup> These data underline the importance of including a control group in future studies.

Unfortunately, only seven studies assessing the efficacy of FMT in MDRO decolonisation included a control group.<sup>187,195-200</sup> Of the studies with a control group, four studies reported increased clearance of MDRO after FMT compared to the controls,<sup>195-198</sup> two studies reported only a minor non-significant improvement compared to controls,<sup>187,199</sup> and in one study the decolonisation rate of the control group was not reported.<sup>200</sup> Importantly, in the two studies with a control group that reported MDRO infection rates (one of which reported a minor non-significant improvement in MDRO colonisation and one reported no decolonisation rate for the control group), MDRO infections were clearly less frequently observed in the FMT group. Importantly, several other published studies have found reduced UTIs after FMT in patients with recurrent UTIs.<sup>179,201-207</sup> Furthermore, in a prospective cohort study examining the incidence of bloodstream infections (BSIs) in recurrent CDI patients treated with either FMT or antibiotics, less BSIs were observed in the FMT-group compared to the antibiotic group.<sup>208</sup> These studies suggest that FMT may potentially serve as a treatment strategy against recurrent MDRO infections originating from the gut without the necessity of complete eradication. FMT may inhibit the outgrowth of potential pathogens by restoring the gut microbiota composition. Furthermore, the decreased intestinal permeability established by treating CDI may prevent Gram-negative bacteria from entering the bloodstream. Other potential explanations for the decrease in BSIs and UTIs may be that FMT could reduce intestinal inflammation and the abundance of certain potentially pathogenic taxa, such as Enterobacterales.<sup>183</sup>

## Parkinson's disease and the role of the gut microbiota

### Epidemiological and clinical characteristics of Parkinson's disease

Parkinson's disease (PD) is a progressive neurodegenerative disease. The main motor symptoms of PD patients include tremor, bradykinesia, rigidity, and postural instability, but several other motor symptoms can be observed.<sup>209,210</sup> Non-motor symptoms include gastrointestinal complaints, cognitive dysfunction, sleep disturbances, fatigue, psychosis, hallucinations, mood disorders (including depression, anxiety, and apathy/abulia), autonomic dysfunction, pain and other sensory disturbances, olfactory dysfunction, and dermatological problems.<sup>211</sup> Disease progression in PD is variable. Approximately 77% have a poor outcome (as defined by death, dementia, postural instability) at 10 years after diagnosis.<sup>212</sup> Mortality in PD patients is moderately increased compared to age-matched controls, with a pooled mortality ratio of approximately 1.5.<sup>213</sup> The main therapy for PD is levodopa, a dopamine precursor that can pass the blood-brain barrier in contrast to dopamine itself. This is frequently combined with a decarboxylase inhibitor, which inhibits the peripheral conversion of levodopa into dopamine.

The worldwide prevalence of PD is estimated to be 0.3% in the general population that is aged 40 years and older.<sup>214</sup> The estimated global number of patients with PD increased from 6 million in 2016 to 8.5 million people in 2019,<sup>215</sup> which could only partially be explained by the increase in the number of older people worldwide. The age-standardised incidence rate of PD was 13.43 per 100,000 persons in 2019.<sup>216</sup> In 2020, there were about 36,300 PD patients in the Netherlands.<sup>217</sup> Several potential risk factors for PD are described. Many include environmental and other potentially modifiable risk factors, such as exposure to pesticides. However, evidence is frequently contradicting. Older age and a family history of PD are the only consistent risk factors, whereas cigarette smoking is a consistent protective factor. The male gender is also a frequently described risk factor.<sup>218</sup>

PD is characterised by the degeneration of dopaminergic and other neurons in the central nervous system (CNS), enteric nervous system (ENS) and peripheral autonomic nervous system, and the presence of Lewy bodies and Lewy neuritis in affected neurons<sup>219</sup>. An important factor in the aetiology of PD may be the misfolding and aggregation of the protein alpha-synuclein ( $\alpha$ Syn), a major component of Lewy-bodies.<sup>220</sup> Typical abnormalities in the brains of PD patients include depigmentation, neuronal loss, and gliosis, particularly in the substantia nigra pars compacta (SNc) and in the pontine locus ceruleus.<sup>218</sup> However, the aetiology and pathogenesis of PD are still mostly unknown.

### The role of the gut microbiota in Parkinson's disease

The gut can modulate the central nervous system through production of a variety of metabolites, neuroactive substances and gut hormones, that can travel to the brain via the

enteric nervous system, vagus nerve, circulatory system, or immune system. On the other hand, the brain also influences the function of the gut via the hypothalamic-pituitary-adrenal axis and the autonomic nervous system. Collectively, these pathways are called the (microbiota-)gut-brain axis.<sup>221</sup>

PD patients frequently have GI symptoms (including constipation and delayed transit) and these often precede the onset of motor symptoms, thus representing the first clinical manifestation of PD.<sup>222,223</sup> This suggests that the disease might be initiated in the gut. Concomitantly, several studies have demonstrated that alpha-synucleinopathy is present in the ENS and vagal nerves in an early phase of PD.<sup>224-229</sup> This led to the hypothesis that the disease may start in the gut, with a neurotrophic pathogen that is transported from the GI tract to the CNS by way of retrograde axonal and transneuronal transport through the vagal nerve.<sup>224</sup> This neurotrophic pathogen might consist of misfolded  $\alpha$ Syn molecular fragments.<sup>224,230</sup> The hypothesis is supported by studies suggesting that  $\alpha$ Syn can spread from neuron to neuron<sup>231</sup> and that  $\alpha$ Syn forms could be transported from the gut to the brain in mouse models.<sup>232-234</sup> It is further suggested that aggregation of  $\alpha$ Syn in the brain and possibly the gut of PD patients is a consequence of inflammation-induced oxidative stress.<sup>235-237</sup> Interestingly, PD patients have more signs of inflammation of the colon compared to healthy controls as measured by mRNA expression levels of pro-inflammatory cytokines and glial markers in colonic biopsies.<sup>238</sup> This finding suggests that there might be a role for intestinal inflammation in the initiation and/or the progression of PD.

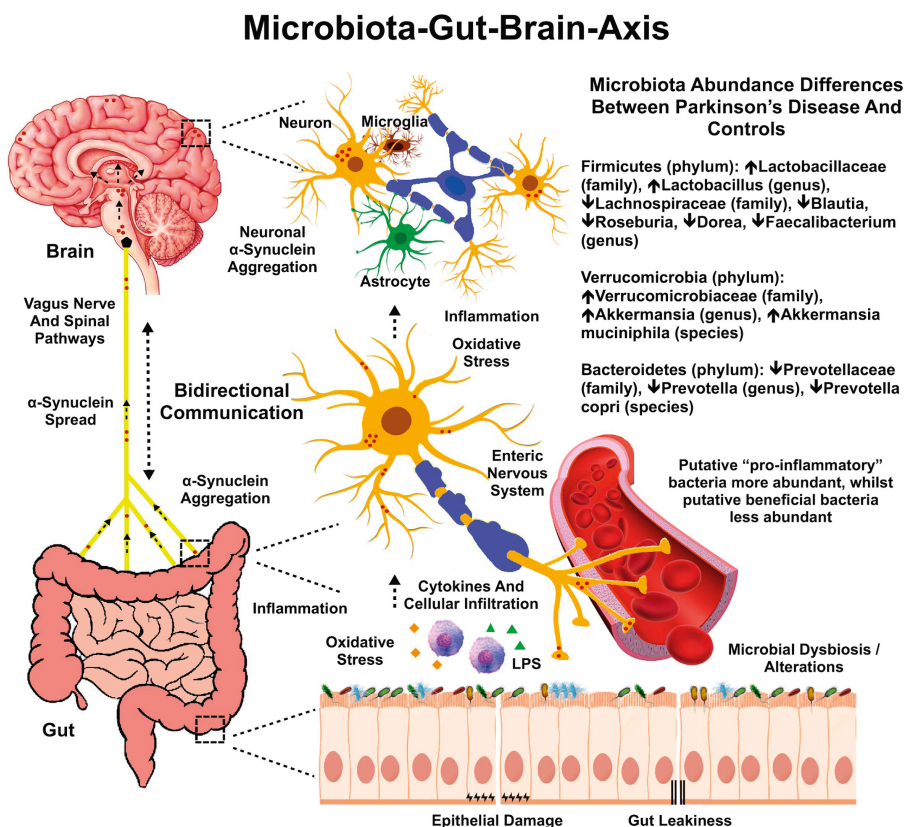
It has been hypothesised that the gut microbiota and their metabolites play an important role in the pathogenesis and course of PD. A potential beneficial effect of providing faeces of healthy donors via FMT on the course of PD is shown in several mouse studies.<sup>239-241</sup> Several recent studies indicate that the gut microbiota and their metabolic products in PD patients are different from healthy individuals,<sup>236,242-249</sup> and the alpha-diversity (within-subject diversity) is higher than that of controls<sup>249</sup> (although there is some inconsistency in the results between studies).<sup>244,247,248,250</sup> Other important findings are an overall more pro-inflammatory and less anti-inflammatory gut microbiota composition in PD patients,<sup>249,251</sup> with more opportunistic pathogens, dysregulated neuroactive signaling, toxic metabolites,<sup>252</sup> toxicants<sup>253</sup> and immunogenic bacterial components, such as LPS.<sup>236,252</sup> There is an increase in genes involved in degradation of the neuroprotective molecules trehalose and nicotinamide,<sup>252</sup> and a metabolomics study indeed found a decrease in nicotinamide.<sup>253</sup> Furthermore, PD patients have increased intestinal permeability compared to healthy controls.<sup>237,254</sup> The "leaky gut" in PD patients may allow gut microbes or bacterial toxins, such as LPS, to enter the bloodstream, leading to blood brain barrier destruction and systemic and neuro-inflammation.<sup>255</sup> The most consistent gut microbiota alterations compared to controls in published studies are increased abundance of the genera *Lactobacillus*, *Bifidobacterium* and *Akkermansia* and

decreased abundance of bacteria belonging to the Lachnospiraceae family and the genus *Faecalibacterium*. The latter two are both important SCFA-producers, mainly involving butyrate.<sup>249</sup> Lower faecal levels of butyrate correlate with gait disorders, postural instability, depression and severity of motor symptoms (as measured by the Movement Disorders Society Unified Parkinson Disease Rating Scale - MDS-UPDRS - part III motor score) in PD patients.<sup>255</sup> With MDS-UPDRS, various aspects of PD can be evaluated, including motor- and non-motor experiences of daily living and motor complications.<sup>256</sup> Surprisingly, one study found lower levels of faecal butyrate but higher levels of plasma butyrate in PD patients.<sup>257</sup> Furthermore, administration of SCFA to PD animal models also gave conflicting results.<sup>255</sup>

One study found that *Prevotella* abundance is negatively associated with disease severity.<sup>258</sup> Furthermore, reduced abundance of the family Lachnospiraceae and increased abundance of the families Lactobacillaceae, Christensenellaceae and Ruminococcaceae and the order Enterobacterales has been found to be associated with cognitive impairment, motor symptoms and/or postural instability in PD patients.<sup>244,259-261</sup> Animal studies showed that colonisation with curli (amyloid proteins)-producing *E. coli* can promote  $\alpha$ Syn aggregation in the gut and the brain.<sup>262-264</sup> Wallen *et al.*<sup>252</sup> found an increased abundance in gene-families related to curli in faeces of PD patients. Another study showed that hydrogen sulfide, produced by certain gut bacteria such as genera of the family Desulfovibrionaceae and Enterobacterales order, may induce PD by inducing  $\alpha$ Syn aggregation.<sup>265</sup> Bacteria of the genus *Desulfovibrio*, which are hydrogen sulfide producers, are indeed present at higher levels in PD patients compared to healthy controls and are associated with PD severity.<sup>266</sup> Interestingly, a recent study<sup>267</sup> found an increased production of 2-hydroxypyridine (2-HP) in PD patients compared to controls, which was associated with the Archaeal species *Methanobrevibacter smithii*. 2-HP increased  $\alpha$ Syn aggregation in a yeast model and in human induced pluripotent stem cell derived enteric neurons. Furthermore, when 2-HP was injected intrastrially in a PD mouse model,  $\alpha$ Syn aggregation, PD motor symptoms and striatal degeneration increased.<sup>267</sup> In contrast,  $\alpha$ Syn aggregation may be attenuated by 3-(3-hydroxyphenyl) propionic acid (3-HPPA),<sup>268</sup> a gut microbiota fermentation product of dietary polyphenols and drugs, such as levodopa, which was found in lower levels in PD faeces compared to control faeces.<sup>253</sup>

Two other studies suggested that gut bacterial tyrosine decarboxylases can metabolise the frequently used PD medication levodopa to dopamine in PD patients without being susceptible for aromatic amino acid decarboxylase inhibitors, such as the frequently used carbidopa. Increased presence of gut bacterial tyrosine decarboxylases may thereby result in altered levodopa concentrations in the blood and, as a consequence, may potentially increase motor fluctuations in levodopa/carbidopa-treated PD patients as dopamine cannot cross the blood-brain barrier.<sup>269,270</sup>

All the above mentioned studies underline a possible role of gut bacteria in PD pathogenesis and/or the availability or absorption of PD medication. The microbiota-gut-brain axis in PD is visualised in Figure 9.



**Figure 9. Assumed microbiota-gut-brain axis in Parkinson's disease.**

This cartoon illustrates the hypothesis that an altered gut microbiota composition with increased pro-inflammatory bacteria induces intestinal inflammation and thereby oxidative stress with alpha-synuclein aggregation in the enteric nervous system; Alpha-synuclein then spreads through the vagal nerve to the brain. This is accompanied by increased gut permeability, which results in systemic inflammation and blood brain barrier disruption, resulting in neuroinflammation.

Abbreviations: LPS: lipopolysaccharides.

Retrieved unchanged from Lubomski M, *et al.*<sup>271</sup>, reproduced with permission from Springer Nature.

## FMT as treatment strategy for Parkinson's disease

At the moment, only symptomatic treatment for PD is available. Since there are no treatments available that cure PD or slow down the progression, the development of a new treatment strategy is crucial. The potential role of the gut microbiota in disease pathogenesis and/or availability or absorption of PD medication suggest that microbiota interventions, such as probiotics, prebiotics, synbiotics, dietary interventions, live biotherapeutic products, antibiotics or FMT, may be useful as treatment strategy.

One RCT showed an improvement in MDS-UPDRS score when PD medication was combined with probiotics.<sup>272</sup> However, whether there were any changes in PD medication during the study in the two groups was not mentioned. Another RCT showed improvement of sleep quality, anxiety, and GI symptoms after probiotics, but no improvement of UPDRS-III score or cognitive function compared to placebo.<sup>273</sup> An open-label, single-arm, baseline-controlled pilot trial found similar results, but this did not include randomisation with placebo treatment and blinding.<sup>274</sup> Most studies only examined the effect of probiotics on constipation and found an increase in defaecation frequency after probiotics. However, heterogeneity was high and the quality of evidence low.<sup>275,276</sup> Evidence on the usefulness of prebiotics,<sup>239,277-282</sup> synbiotics<sup>283,284</sup> and live biotherapeutic products<sup>285,286</sup> as treatment for PD is rather scarce.<sup>287,288</sup> Furthermore, it is still uncertain which specific species are beneficial for PD and which might potentially cause deterioration of PD. Important for the use of prebiotics is that, as mentioned before, evidence on the effect of SCFAs on PD shows contrasting results.<sup>255,257</sup> There are also studies that suggest a role for diet in PD, although results are inconsistent.<sup>289</sup> Interestingly, the first phase 1 trial with live biotherapeutic products was announced to start mid-2022.<sup>290</sup> The possibility to genetically engineer live biotherapeutic products makes them interesting candidates. Furthermore, there is some evidence suggesting that several antibiotics, including rifampicin, tetracyclines and beta-lactams, may have neuroprotective effects in PD patients (e.g. by decreasing neuroinflammation).<sup>291</sup> The chronic use of antibiotics in the treatment of PD may not be preferable, as it causes other kind of imbalances of the gut microbiota and may trigger the development of antimicrobial resistance.

Available literature suggests that FMT may be an option that not only improves PD symptoms and/or absorption and efficacy of PD medication but could potentially also influence the disease course. However, it is uncertain whether FMT is safe in this population due to the frequent swallowing problems, delayed gastric emptying and decreased GI motility in PD patients. Furthermore, it is unclear what would be the most effective administration route, number of FMTs, pre-treatment and donor or donor microbiota characteristics. The potential beneficial effect of FMT on the course of PD is shown in several mouse studies.<sup>239-241</sup> Sampson *et al.*<sup>239</sup> showed the importance of the gut microbiota in the development of motor symptoms in a PD mouse model with overexpression of  $\alpha$ Syn (ASO), concluding that gut bacteria are necessary to induce



motor symptoms, alpha-synucleinopathy and neuro-inflammation. In this study, germ-free (GF) ASO mice showed less motor symptoms compared to specific-pathogen-free (SPF) ASO mice. When ASO mice received an FMT with faeces from human PD patients, motor symptoms increased, compared to mice that received an FMT with faeces from healthy human donors. The study suggests that FMT with faeces from healthy donors beneficially influences the course of PD. Meng-Fei Sun *et al.*<sup>240</sup> used a 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP)-induced PD mouse model and showed that mice that received a MPTP-injection had a better motor function after FMT with faeces of healthy mice, compared to MPTP-injected mice that received no FMT. Furthermore, healthy mice that received faeces from Parkinson mice performed worse compared to controls and in the traction test they performed even comparable to MPTP-injected mice. Zhou *et al.*<sup>241</sup> observed less motor function decline and attenuated loss of dopaminergic neurons in the substantia nigra in PD mice that received a fasting-mimicking diet (FMD) compared to *ad libitum*-fed PD mice. Furthermore, they observed a higher (more favourable) striatal dopamine and serotonin concentration in PD mice that had received faeces from FMD-fed control mice compared to phosphate-buffered solution (PBS)-gavaged or *ad libitum* microbiota-gavaged PD mice.

Given the above-mentioned evidence, FMT could be an interesting treatment for patients with PD. Only one case report<sup>292</sup> and three case series (15, 11, and 6 patients)<sup>293-295</sup> have been published so far reporting the results of FMT in PD patients. All series reported some improvement of motor and non-motor symptoms, including constipation. There were significant changes in the gut microbiota after FMT in the case report and the one case series (11 patients)<sup>292,295</sup> that reported gut microbiota analysis. Unfortunately, the case report and case series showed large variability of methods concerning pre-treatment, FMT administration route, follow-up and clinical evaluation. No results of RCTs on FMT in PD patients have been reported yet.

## Outline of this thesis

This thesis is divided into three parts. The first part describes the epidemiology of infections with *Clostridioides difficile* and multidrug-resistant bacteria (MRSA and colistin-resistant Enterobacterales). The second part discusses the safety of FMT. The third part describes several neurological disorders as potential new indications for FMT.

### Part 1: The epidemiology of infections with *Clostridioides difficile* and multidrug-resistant bacteria

1

**Chapter 2** describes a retrospective sentinel surveillance study on the epidemiology of CDI in hospitalised patients during the coronavirus disease 2019 pandemic. The objectives of this study were to assess whether the CDI incidence and clinical and microbiological characteristics of CDI differed during the COVID-19 pandemic compared to previous years.

**Chapter 3** discusses a community outbreak of impetigo by a meticillin-resistant *Staphylococcus aureus* strain, resistant to fusidic acid and with exfoliative toxin genes, in the eastern part of the Netherlands in 2019. It describes the results of an analysis of the extent and clinical, microbiological and genomic characteristics of this MRSA outbreak.

**Chapter 4** reports on a prospective matched case-control study on the genomic epidemiology of colistin-resistant Enterobacterales from Dutch patients. This study describes the incidence and risk factors of patients colonised or infected with colistin-resistant *E. coli* or *K. pneumoniae* (COLR-EK) and the characterisation of these isolates.

### Part 2: Infectious adverse events of faecal microbiota transplantation

**Chapter 5** reports on a retrospective cohort study that discusses whether periodic screening of donor faeces with a quarantine period and screening after foreign travel is sufficient to prevent the presence of MDRO in faecal suspensions approved for use in faecal microbiota transplantation. Furthermore, the percentage of faeces donors that are colonised with MDRO in their gut is assessed and MDRO isolates from these donors are characterised.

**Chapter 6** describes a case report of a patient that received FMT because of intestinal colonisation with an MDRO causing recurrent urinary tract infections, with transmission of an antibiotic susceptible *Escherichia coli* strain causing urinary tract infections as a result.

### **Part 3: Exploring faecal microbiota transplantation as treatment for various neurological disorders**

**Chapter 7** provides a review of the potential new indications of FMT among neurological disorders, including PD. Publications on FMT in humans with, and animal models of, neurological disorders are discussed.

**Chapter 8** describes a study protocol for a single centre, self-controlled, interventional, safety and feasibility donor-FMT pilot study in PD patients. The primary objectives are to assess feasibility and safety of FMT in PD patients. Secondary objectives are to explore whether FMT leads to alterations of motor complications and PD symptoms in the short term, determine alterations in gut microbiota composition and donor-recipient microbiota similarities and their association with PD symptoms and motor complications, assess the ease of the study protocol and examine FMT-related adverse events in PD patients.

**Chapter 9** includes a summary of the most important findings of this thesis.

**Chapter 10** provides a general discussion of the research presented in this thesis.

**Chapter 11** includes recommendations for the future.

**Chapter 12** contains a summary in the Dutch language.

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