

Quantum machine learning: on the design, trainability and noise-robustness of near-term algorithms Skolik. A.

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Equivariant quantum circuits for learning on weighted graphs

In Chapter 5, we described that the three key architectural choices one has to make for a variational QML model are i) the data encoding technique, ii) the circuit ansatz, and iii) the observable to measure. In that chapter, we have focused on how to encode data and pick suitable observables for a variational Q-learning algorithm. In this chapter, we turn to the question of how to design ansatzes that are tailored to a specific learning problem.

It is known that the right choice of ansatz is of key importance for the performance of these models. Much work has been dedicated to understand how circuits have to be structured to address problems in optimization [59, 227] or chemistry [71, 228]. For QML however, it is largely unknown which type of ansatz should be used for a given type of data. In absence of an informed choice, general architectures as the hardware-efficient ansatz [170] are often used [120]. It is known that ansatzes with randomly selected structure scale badly as the width and depth of the circuit grows, most prominently because of the barren plateau phenomenon [229, 52, 47] where the gradients of a PQC vanish exponentially as the system size grows and thus render training impossible, as we have described in detail in Section 2.2.1.2. This situation can be compared to the early days of NNs, where fully connected feedforward NNs were the standard architecture. These types of NNs also suffer from trainability issues that prevent their large-scale usage [230]. Recent breakthroughs in deep learning were in part possible because more efficient architectures that are directly motivated by the training data structure have been developed [29, 30, 231]. In fact, a whole field that studies the mathematical properties of successful NN architectures has emerged in the past decade, known as geometric deep learning.

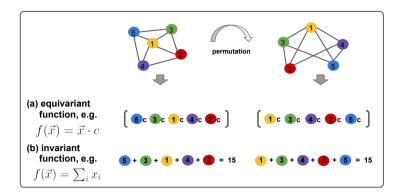


Figure 6.1: Depiction of two functions that respect important symmetries of graphs: a) The permutation *equivariant* function will yield the same output values for each graph permutation, but reordered according to the reordering of nodes. The above example shows a simple function that takes node features as an input and multiplies them with a constant. b) An *invariant* function will yield the same output, regardless of the permutation. The above example shows a simple function that takes node features as input and computes their sum. Which type of symmetry is preferable depends on the task at hand.

This field studies the properties of common NN architectures, like convolutional NNs or graph NNs, through the lens of group theory and geometry and provides an understanding of why these structured types of models are the main drivers of recent advances in deep learning. The success of these models can largely be attributed to the fact that they preserve certain symmetries that are present in the training data. Graph NNs, for example, take graph-structured data as input and their layers are designed such that they respect one of two important graph symmetries: invariance or equivariance under permutation of vertices [232], as depicted in Figure 6.1. Graph-structured data is ubiquitous in real-world problems, for example to predict properties of molecules [30] or to solve combinatorial optimization problems [108]. Even images can be viewed as special types of graphs, namely those defined on a lattice with nearest-neighbor connections. This makes graph NNs applicable in a multitude of contexts, and motivated a number of works that study quantum versions of these models [149, 233, 234, 172]. However, the key questions of how to design symmetry-preserving ansatzes motivated by a concrete input data structure and how these ansatzes perform compared to those that are structurally unrelated to the given learning problem remain open.

In this work, we address these open questions by introducing a symmetry-preserving ansatz for learning problems where the training data is given in form of weighted graphs, and study its performance both numerically and analytically. To do this, we extend the family of ansatzes from [172] to incorporate weighted edges of the input graphs and prove that the resulting ansatz is equivariant under node permutations. To evaluate this ansatz on a complex learning task where preserving a given symmetry can yield a significant performance advantage, we apply it in a domain where classical graph NNs have been used extensively: neural combinatorial optimization (NCO) [108]. In this setting, a model is trained to solve instances of a combinatorial optimization problem. Namely, we train our proposed ansatz to find approximate solutions to the Traveling Salesperson Problem (TSP). We numerically compare our ansatz to three non-equivariant ansatzes on instances with up to 20 cities (20 qubits), and show that the more the equivariance property of the ansatz is broken, the worse performance becomes and that a simple hardware-efficient ansatz completely fails on this learning task. Additionally, we analytically study the expressivity of our model at depth one, and show under which conditions there exists a parameter setting for any given TSP instance of arbitrary size for our ansatz that produces the optimal tour with the learning scheme that is applied in this work.

The neural combinatorial optimization approach presented in this work also provides an alternative method to employ near-term quantum computers to tackle combinatorial optimization problems. As problem instances are directly encoded into the circuit in form of graphs without the need to specify a cost Hamiltonian, this approach is even more frugal than that of the quantum approximate optimization algorithm (QAOA) [59] in terms of the requirement on the number of qubits and connectivity in cases where the problem encoding is non-trivial. For the TSP specifically, standard Hamiltonian encodings require n^2 variables where n is the number of cities (or $n \log(n)$ variables at the cost of increased circuit depth) [235], whereas our approach requires only n qubits and two-body interactions. We do note that the theoretical underpinnings and expected guarantees of performance of our method are very different and less rigorous than those of the QAOA, so the two are hard to compare directly. However, we establish a theoretical connection to the QAOA based on the structure of our ansatz, and in addition numerically compare QAOA performance on TSP instances with 5 cities to the performance of the proposed neural combinatorial optimization approach. We find that our ansatz at depth one outperforms the QAOA even at depth up to three. From a pragmatic

point of view, linear scaling in qubit numbers w.r.t. number of problem variables, as opposed to e.g. quadratic scaling as in the case of the TSP, dramatically changes the applicability of quantum algorithms in the near- to mid-term.

Our work illustrates the merit of using symmetry-preserving ansatzes for QML on the example of graph-based learning, and underlines the notion that in order to successfully apply variational quantum algorithms for ML tasks in the future, the usage of ansatzes unrelated to the problem structure, which are popular in current QML research, is limited as problem sizes grow. This work motivates further study of "geometric quantum learning" in the vein of the classical field of geometric deep learning, to establish more effective ansatzes for QML, as these are a prerequisite to efficiently apply quantum models on any practically relevant learning task in the near-term.

6.1 Geometric learning - quantum and classical

Learning approaches that utilize geometric properties of a given problem have lead to major successes in the field of ML, such as AlphaFold for the complex task of protein folding [30, 31] and have become an increasingly popular research field over the past few years. Arguably, the prime example of a successful geometric model is the convolutional NN (CNN), which has been developed at the end of the 20th century in an effort to enable efficient training of image recognition models [104]. Since then, it has been shown that one of the main reasons that CNNs are so effective is that they are translation invariant: if an object in a given input image is shifted by some amount, the model will still "recognize" it as the same object and thus effectively requires fewer training data [100]. While CNNs are the standard architecture used for images, symmetry-preserving architectures have also been developed for time-series data in the form of recurrent NNs [236], and for graph data with GNNs [107]. GNNs have seen a surge of interest in the classical machine learning community in the past decade [107, 232]. They are designed to process data that is presented in graph form, like social networks [107], molecules [106], images [237] or instances of combinatorial optimization problems [108].

The first attempt to implement a geometric learning model in the quantum realm was made with the quantum convolutional NN in [55], where the authors introduce a translation invariant architecture motivated by classical convolutional NNs. Approaches to translate the GNN formalism to QNNs were taken in [149], where

input graphs are represented in terms of a parametrized Hamiltonian, which is then used to prepare the ansatz of a quantum model called a quantum graph neural network (QGNN). While the approach in [149] yields promising results, this work does not take symmetries of the input graph into account. The authors of [233] introduce the so-called quantum evolution kernel, where they devise a graph-based kernel for a quantum kernel method for graph classification. Again, their ansatz is based on alternating layers of Hamiltonians, where one Hamiltonian in each layer encodes the problem graph, while a second parametrized Hamiltonian is trained to solve a given problem. A proposal for a quantum graph convolutional NN was made in [234], and the authors of [238] propose directly encoding the adjacency matrix of a graph into a unitary to build a quantum circuit for graph convolutions. While all of the above works introduce forms of structured QML models, none of them study their properties explicitly from a geometric learning perspective or relate their performance to unstructured ansatzes.

The authors of [172] take the step to introduce an equivariant model family for graph data and generalize the QGNN picture to so-called equivariant quantum graph circuits (EQGCs). EQGCs are a very broad class of ansatzes that respect the connectivity of a given input graph. The authors of [172] also introduce a subclass of EQGCs called equivariant quantum Hamiltonian graph circuits (EH-QGCs), that includes the QGNNs by [149] as a special case. EH-QGCs are implemented in terms of a Hamiltonian that is constructed based on the input graph structure, and they are explicitly equivariant under permutation of vertices in the input graph. The framework that the authors of [172] propose can be seen as a generalization of the above proposals. Different from the above proposals, EQGCs use a postmeasurement classical layer that performs the functionality of an aggregation function as those found in classical GNNs. In classical GNNs, the aggregation function in each layer is responsible for aggregating node and edge information in an equivariant or invariant manner. Popular aggregation functions are sums or products, as they trivially fulfill the equivariance property. In the case of EQGCs, there is no aggregation in the quantum circuit, and this step is offloaded to a classical layer that takes as input the measurements of the PQC. Additionally, the EQGC family is defined over unweighted graphs and only considers the adjacency matrix of the underlying input graph to determine the connectivity of the qubits. The authors of [172] also show that their EQGC outperforms a standard message

¹However, in an independent work prepared at the time of writing this manuscript, one of the authors of [149] shows that one of their proposed ansatzes is permutation invariant [173].

passing neural network on a graph classification task, and thereby demonstrate a first separation of quantum and classical models on a graph-based learning task. A work on invariant quantum machine learning models was published by the authors of [173]. They prove for a number of selected learning tasks whether an invariant quantum machine learning model for specific types of symmetries exists. Their work focuses on group invariance, and leaves proposals for NISQ-friendly equivariant quantum models as an open question.

Our proposal is most closely related to EH-QGCs, but with a number of deviations. First, our model is defined on weighted graphs and can therefore be used for learning tasks that contain node as well as edge features. Second, the initial state of our model is always the uniform superposition, which allows each layer in the ansatz to perform graph feature aggregation via sums and products of node and edge features, as discussed in Section 6.3. Third, we do not require a classical post-processing layer, so our EQC model is purely quantum. Additionally, in its simplest form as used in this work, the number of qubits in our model scales linearly with the number of nodes in the input graph, while the depth of each layer depends on the graph's connectivity, and therefore it provides one answer to the question of a NISQ-friendly equivariant quantum model posed by [173].

6.2 Neural combinatorial optimization with reinforcement learning

The idea behind NCO is to use a ML model to learn a heuristic for a given optimization problem based on data. When combined with RL, this data manifests in form of states of an environment, while the objective is defined in terms of a reward function, as we described in Section 3.2. To do NCO in this setting, the reward function is defined such that maximizing the expected return (see Equation (3.16)) corresponds to finding the optimum of the given combinatorial optimization problem. In this work, we use a Q-learning agent as introduced in Section 5.1 as the learning model in this NCO scheme, and train it in an evironment that is specified to solve instances of the TSP.

6.2.1 Solving the Traveling Salesperson Problem with reinforcement learning

To evaluate the performance gains of an ansatz that respects certain symmetries relevant to the problem at hand, we apply our model to a practically motivated learning task on graphs. The TSP is a low-level abstraction of a problem commonly encountered in mobility and logistics: given a list of locations, find the shortest route that connects all of these locations without visiting any of them twice. Formally, given a graph $\mathcal{G}(\mathcal{V},\mathcal{E})$ with vertices \mathcal{V} and weighted edges \mathcal{E} , the goal is to find a permutation of the vertices such that the resulting tour length is minimal, where a tour is a cycle that visits each vertex exactly once. A special case of the TSP is the 2D Euclidean TSP, where each node is defined in terms of its x and y coordinates in Euclidean space, and the edge weights are given by the Euclidean distance between these points. In this work, we deal with the symmetric Euclidean TSP on a complete graph, where the edges in the graph are undirected. This reduces the number of possible tours from n! to $\frac{(n-1)!}{2}$. However, even in this reduced case the number of possible tours is already larger than 100k for instances with a modest number of ten cities, and the TSP is a well-known NP-hard problem.

To solve this problem with a RL approach, we follow the strategy introduced in [239]. In this work, a classical GNN is used to solve a number of combinatorial optimization problems on graphs. The authors show that this approach can outperform dedicated approximation algorithms defined for the TSP, like the Christofides algorithm, on instances of up to 300 cities. One episode of this learning algorithm for the TSP can be seen in Figure 6.3, and a detailed description of the learning task as implemented in our work is given in Section 6.4.1.

6.2.2 Solving the TSP with the QAOA

The quantum NCO scheme that we propose in this work poses an alternative to the well known quantum approximate optimization algorithm (QAOA), and for this reason we provide a comparison to this algorithm in addition to the comparison to non-equivariant ansatzes. The QAOA is implemented as a PQC by a Trotterization of Adiabatic Quantum Computation (AQC) [59]. In general, for AQC, we consider a starting Hamiltonian H_0 , for which both the formulation and the ground state are well known, and a final Hamiltonian H_P , that encodes the combinatorial

optimization problem to be solved. The system is prepared in the ground state of the Hamiltonian H_0 and then it is evolved according to the time-dependent Hamiltonian:

$$H(t) := (1 - s(t))H_0 + s(t)H_P,$$

where s(t) is a real function called annealing schedule that satisfies the boundary conditions: s(0) = 0 and s(T) = 1, with T the duration of the evolution. To implement this as a quantum circuit we use the following approximation:

$$e^{A+B} \approx \left(e^{\frac{A}{r}}e^{\frac{B}{r}}\right)^r, r \to +\infty,$$
 (6.1)

which is known as the Trotter-Suzuki formula. By using this formula to approximate the evolution according to H(t) and by parameterizing time we obtain:

$$e^{-i\beta_p H_0} e^{-i\gamma_p H_P} \cdots e^{-i\beta_1 H_0} e^{-i\gamma_1 H_P}. \tag{6.2}$$

All of these matrices are unitary since the Hamiltonians in the argument of the exponential are all Hermitian. We define a parameter p (integer known as the depth, or level) of QAOA which has the same role as r in Equation (6.1). Increasing the depth p adds additional layers to the QAOA circuit, and thus more closely approximates the H(t) [59].

In QAOA, all qubits are initialized to $|+\rangle^{\otimes_n}$, which is the ground state of $H_0 = \sum_i \sigma_x^{(i)}$. Alternating layers of H_p and H_0 are added to the circuit (p times), parameterized by γ and β as defined in Equation (6.2). The values of γ and β are found by minimizing the expectation value of H_p , and thus approximate the optimal solution to the original combinatorial optimization problem. When using QAOA, we do not solve the TSP directly, but a QUBO representation of this problem. This representation is well-known, and can be found in [235]:

$$\sum_{(i,j)\in\mathcal{E}} \sum_{t=1}^{N} \frac{\varepsilon_{i,j}}{W} x_{i,t} x_{j,t+1} + \sum_{i\in\mathcal{V}} \left(1 - \sum_{t=1}^{N} x_{i,t}\right)^{2} + \sum_{t=1}^{N} \left(1 - \sum_{i\in\mathcal{V}} x_{i,t}\right)^{2} + \sum_{(i,j)\notin\mathcal{E}} \sum_{t=1}^{N} x_{i,t} x_{j,t+1}.$$

Here, $\varepsilon_{i,j}$ are the distances between two nodes $i, j \in \mathcal{V}$ and $W := \max_{(i,j) \in \mathcal{E}} \varepsilon_{i,j}$. The variables $x_{v,t}$ are binary decision variables denoting whether node v is visited at step t. We optimize the β and γ parameters for p=1 by performing a uniform random search over the space $[0, 2\pi]^2$, and selecting the best configuration found.

6.3 Equivariant quantum circuit

In this section, we formally introduce the structure of our equivariant quantum circuit (EQC) for learning tasks on weighted graphs that we use in this work. Examples of graph-structured data that can be used as input in this type of learning task are images [231], social networks [240] or molecules [30]. In general, when learning based on graph data, there are two sets of features: node features and edge features. Depending on the specific learning task, it might be enough to use only one set of these features as input data, and the specific implementation of the circuit will change accordingly. As mentioned above, an example of an ansatz for cases where encoding node features suffices is the family of ansatzes introduced in [172]. In our case, we use both node and edge features to solve TSP instances. In case of the nodes, we encode whether a node (city) is already present in the partial tour at time step t to inform the node selection process described later in Definition 6.2. For the edges, we simply encode the edge weights of the graph as these correspond to the distances between nodes in the TSP instance's graph. In this work, we use one qubit per node in the graph, but in general multiple qubits per node are also possible. We discuss the details of this in ??. We now proceed to define the ansatz in terms of encoding node information in form of α (see Definition 6.1) and edge information in terms of the weighted graph edges $\varepsilon_{ij} \in \mathcal{E}$. For didactic reasons we relate the node and edge features to the concrete learning task that we seek to solve in this work, however, we note that this encoding scheme is applicable in the context of other learning tasks on weighted graphs as well.

6.3.1 Ansatz structure and equivariance

Given a graph $\mathcal{G}(\mathcal{V}, \mathcal{E})$ with node features α and weighted edges \mathcal{E} , and trainable parameters $\beta, \gamma \in \mathbb{R}^p$, our ansatz at depth p is of the following form

$$|\mathcal{E}, \boldsymbol{\alpha}, \boldsymbol{\beta}, \boldsymbol{\gamma}\rangle_p = U_N(\boldsymbol{\alpha}, \beta_p)U_G(\mathcal{E}, \gamma_p) \dots U_N(\boldsymbol{\alpha}, \beta_1)U_G(\mathcal{E}, \gamma_1)|s\rangle,$$
 (6.3)

where $|s\rangle$ is the uniform superposition of bitstrings of length n,

$$|s\rangle = \frac{1}{\sqrt{2^n}} \sum_{x \in \{0,1\}^n} |x\rangle, \qquad (6.4)$$

 $U_N(\boldsymbol{\alpha}, \beta_i)$ with $\operatorname{Rx}(\theta) = e^{-i\frac{\theta}{2}X}$, is defined as

$$U_N(\boldsymbol{\alpha}, \beta_j) = \bigotimes_{l=1}^n \operatorname{Rx}(\alpha_l \cdot \beta_j), \tag{6.5}$$

and $U_G(\mathcal{E}, \gamma_j)$ is

$$U_G(\mathcal{E}, \gamma_j) = \exp(-i\gamma_j H_{\mathcal{G}}) \tag{6.6}$$

with $H_{\mathcal{G}} = \sum_{(i,j)\in\mathcal{E}} \varepsilon_{ij} \sigma_z^{(i)} \sigma_z^{(j)}$ and \mathcal{E} are the edges of graph \mathcal{G} weighted by ε_{ij} . A 5-qubit example of this ansatz can be seen in Figure 6.2.

For p = 1, we have

$$|\mathcal{E}, \boldsymbol{\alpha}, \beta, \gamma\rangle_{1} = U_{N}(\boldsymbol{\alpha}, \beta)U_{G}(\mathcal{E}, \gamma)|s\rangle$$

$$= \frac{1}{\sqrt{2^{n}}}$$

$$\cdot \sum_{x \in \{0,1\}^{n}} \underbrace{\left(\cos\frac{\alpha_{1}\beta}{2} + \dots - i\sin\frac{\alpha_{l}\beta}{2} - \dots - i\sin\frac{\alpha_{n}\beta}{2}\right)}_{\text{weighted bitflip terms}}$$

$$\cdot \underbrace{\exp\left(\sum_{(i,j) \in \mathcal{E}} \operatorname{diag}(Z_{i}Z_{j})_{|x\rangle} \cdot - i\frac{\pi}{2}\gamma\varepsilon_{ij}\right)}_{\text{edge weights}}|x\rangle, \quad (6.7)$$

where $\operatorname{diag}(Z_i Z_j)_{|x\rangle} = \pm 1$ is the entry in the matrix corresponding to each $Z_i Z_j$ term, e.g., $I_1 \otimes \cdots \otimes Z_i \otimes I_k \otimes \cdots \otimes Z_j \otimes \cdots \otimes I_n$, corresponding to the basis state $|x\rangle$. (E.g., the first term on the diagonal corresponds to the all-zero state, and so on.) We see that the first group of terms, denoted weighted bitflip terms, is a sum over products of terms that encode the node features. In other words, in the one-qubit case we get a sum over sine and cosine terms, in the two-qubit case we get a sum over products of pairs of sine and cosine terms, and so on. The terms in the second part of the equation denoted edge weights is the exponential of a sum over edge weight terms. As we start in the uniform superposition, each basis state's amplitude depends on all node and edge features, but with different signs and therefore different terms interfering constructively and destructively for every basis state. This can be regarded as a quantum version of the aggregation functions used in classical graph NNs, where the k-th layer of a NN aggregates information over the k-local neighborhood of the graph in a permutation equivariant way [100]. In a similar fashion, the terms in Equation (6.7) aggregate node and edge information and become more complex with each additional layer in the PQC.

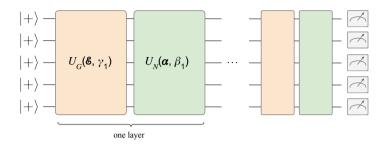


Figure 6.2: EQC used in this work. Each layer consists of two parts: the first part U_G encodes edge features, while the second part U_N encodes node features. Each of the two parts is parametrized by one parameter β_l, γ_l , respectively.

The reader may already have observed that this ansatz is closely related to an ansatz that is well-known in quantum optimization: that of the quantum approximate optimization algorithm [59]. Indeed, our ansatz can be seen as a special case of the QAOA, where instead of using a cost Hamiltonian to encode the problem, we directly encode instances of graphs and apply the "mixer terms" in Equation (6.5) only to nodes not yet in the partial tour. This correspondence will later let us use known results for QAOA-type ansatzes at depth one [241] to derive exact analytical forms of the expectation values of our ansatz, and use these to study its expressivity.

As our focus is on implementing an ansatz that respects a symmetry that is useful in graph learning tasks, namely an equivariance under permutation of vertices of the input graph, we now show that each part of our ansatz respects this symmetry.

Theorem 6.1 (Permutation equivariance of the ansatz). Let the ansatz of depth p be of the type as defined in Equation (6.3) with initial state $|+\rangle^{\otimes n}$ and parameters $\beta, \gamma \in \mathbb{R}^p$, that represents an instance of a graph \mathcal{G} with nodes \mathcal{V} and the list of edges \mathcal{E} with corresponding edge weights ε_{ij} , and node features $\alpha \in \mathbb{R}^n$ with $n = |\mathcal{V}|$. Let σ be a permutation of the vertices in \mathcal{V} , $P_{\sigma} \in \mathbb{B}^{n \times n}$ the corresponding permutation matrix that acts on the weighted adjacency matrix A of \mathcal{G} , and $\tilde{P}_{\sigma} \in \mathbb{B}^{2^n \times 2^n}$ a matrix that maps the tensor product $|v_1\rangle \otimes |v_2\rangle \otimes \cdots \otimes |v_n\rangle$ with $|v_i\rangle \in \mathbb{C}^2$ to

 $|v_{\tilde{p}_{\sigma}(1)}\rangle \otimes |v_{\tilde{p}_{\sigma}(2)}\rangle \otimes \cdots \otimes |v_{\tilde{p}_{\sigma}(n)}\rangle$. Then, the following relation holds,

$$|\mathcal{E}_{A}, \boldsymbol{\alpha}, \boldsymbol{\beta}, \boldsymbol{\gamma}\rangle_{p} = \tilde{P}_{\sigma} \left| \mathcal{E}_{(P_{\sigma}^{T}AP_{\sigma})}, P_{\sigma}^{T} \boldsymbol{\alpha}, \boldsymbol{\beta}, \boldsymbol{\gamma} \right\rangle_{p},$$
 (6.8)

where $\mathcal{E}_{(\cdot)}$ denotes a specific permutation of the adjacency matrix A of the given graph. We call an ansatz that satisfies this property permutation equivariant.

Proof of Theorem 6.1. We want to prove that our ansatz is equivariant under permutations of the nodes of the input graph $\mathcal{G}(\mathcal{V}, \mathcal{E})$,

$$|\mathcal{E}_{(P_{\sigma}^T A P_{\sigma})}, P_{\sigma}^T \boldsymbol{\alpha}, \boldsymbol{\beta}, \boldsymbol{\gamma}\rangle_p = \tilde{P}_{\sigma} |\mathcal{E}_A, \boldsymbol{\alpha}, \boldsymbol{\beta}, \boldsymbol{\gamma}\rangle_p.$$
 (6.9)

For this, we have to prove that the unitaries that are used to construct the full circuit are permutation equivariant, i.e.,

$$\tilde{P}_{\sigma}U_{G}(\mathcal{E}_{A},\gamma_{l})\tilde{P}_{\sigma}^{\dagger} = U_{G}(\mathcal{E}_{(P_{\sigma}^{T}AP_{\sigma})},\gamma_{l})$$
(6.10)

and

$$\tilde{P}_{\sigma}U_{N}(\boldsymbol{\alpha},\beta_{l})\tilde{P}_{\sigma}^{\dagger} = U_{N}(P_{\sigma}^{T}\boldsymbol{\alpha},\beta_{l}). \tag{6.11}$$

We begin with the edge-encoding unitary U_G :

$$\tilde{P}_{\sigma}U_{G}(\mathcal{E}_{A},\gamma_{l})\tilde{P}_{\sigma}^{\dagger} = \tilde{P}_{\sigma}e^{-i\gamma_{l}H_{\mathcal{G}}}\tilde{P}_{\sigma}^{\dagger}$$

$$(6.12)$$

$$=e^{-i\gamma_l\tilde{P}_{\sigma}H_{\mathcal{G}}\tilde{P}_{\sigma}^{\dagger}} \tag{6.13}$$

$$=e^{-i\gamma_l H_{\mathcal{G}}(P_{\sigma}^T A P_{\sigma})} \tag{6.14}$$

$$= U_G(\mathcal{E}_{(P_{\sigma}^T A P_{\sigma})}, \gamma_l), \tag{6.15}$$

where line (6.13) holds because for any unitary U we have $Ue^{-iH_{\mathcal{G}}}U^{\dagger} = e^{-iUH_{\mathcal{G}}U^{\dagger}}$, and line (6.14) holds because $H_{\mathcal{G}} = \sum_{\varepsilon \in \mathcal{E}} \varepsilon_{ij} Z_i Z_j$ is defined completely through the adjacency matrix and the edge weights of the input graph \mathcal{G} , and \tilde{P}_{σ} and P_{σ} are defined through permutations σ on the nodes of \mathcal{G} . Similarly, we get

$$\tilde{P}_{\sigma}U_{N}(\boldsymbol{\alpha}, \beta_{l})\tilde{P}_{\sigma}^{\dagger} = \tilde{P}_{\sigma}\bigotimes_{i}^{|\mathcal{V}|} \operatorname{Rx}(\alpha_{i}, \beta_{l})\tilde{P}_{\sigma}^{\dagger}$$
(6.16)

$$= \tilde{P}_{\sigma} \bigotimes_{i}^{|\mathcal{V}|} \exp\left(-i\frac{\alpha_{i}\beta_{l}}{2}X\right) \tilde{P}_{\sigma}^{\dagger} \tag{6.17}$$

$$= \bigotimes_{i}^{|\mathcal{V}|} \exp\left(-i\frac{\alpha_{\sigma^{-1}(i)}\beta_{l}}{2}X\right) \tag{6.18}$$

$$= U_N(P_{\sigma}^T \boldsymbol{\alpha}, \beta_l). \tag{6.19}$$

As each of the unitaries in the circuit is equivariant under permutations of the graph nodes, and the initial state is trivially permutation invariant $|+\rangle = \tilde{P}_{\sigma} |+\rangle$, we arrive at Equation (6.9).

As mentioned before, our ansatz is closely related to those in [172], and the authors of this work prove permutation equivariance of unitaries that are defined in terms of unweighted adjacency matrices of graphs. In order to prove equivariance of our circuit, we have to generalize their result to the case where a weighted graph is encoded in the form of a Hamiltonian, and parametrized by a set of free parameters as described in Equation (6.3). In the non-parametrized case this is trivial, as edge weights and node features are directly permuted as a consequence of the permutation of the graph. When introducing parameters to the node and edge features, however, we have to make sure that the parameters themselves preserve equivariance, as the parameters are not tied to the adjacency matrix but to the circuit itself. To guarantee this, we make the parametrization itself permutation invariant by assigning one node and edge parameter per layer, respectively, and this makes us arrive at the QAOA-type parametrization shown in Equation (6.3). Another difference of our proof to that in [172] is that we consider a complete circuit including its initial state, instead of only guaranteeing that the unitaries that act on the initial state are permutation equivariant.

The above definition and proof are given in terms of a learning problem where we map one vertex to one qubit directly. However, settings where we require more than one qubit to encode node information are easily possible with this type of architecture as well. In order to preserve equivariance of our ansatz construction, three conditions have to hold: i) the initial state of the circuit has to be permutation invariant or equivariant, ii) the two-qubit gates used to encode edge weights have to commute, iii) the parametrization of the gates has to be permutation invariant. In the case where each vertex or edge is represented with more than just one gate per layer, one has freedom on how to do this as long as the above i)-iii) still hold. A simple example is when each vertex is represented by m qubits: i) the initial state remains to be the uniform superposition, ii) the topology of the two-qubit gates that represent edges has to be changed according to the addition of the new qubits, but ZZ-gates can still be used to encode the information, iii) the parametrization is the same as in the one-qubit-per-vertex case.

6.3.2 Trainability of ansatz

Our goal in this work is to introduce a problem-tailored ansatz for a specific data type that provides trainability advantages compared to unstructured ansatzes. One important question that arises in this context is that of barren plateaus, where the variance of derivatives for random circuits vanishes exponentially with the system size [229]. This effect poses challenges for scaling up circuit architectures like the hardware-efficient ansatz [170], as even at a modest number of qubits and layers a quantum model like this can become untrainable [44, 47, 52]. Therefore it is important to address the presence of barren plateaus when introducing a new ansatz. In a recent work [57], it has been proven that barren plateaus are not present in circuits that are equivariant under the symmetric group S_n , namely the group of permutations on n elements, in this case all permutations over the qubits. While our circuit is also permutation equivariant, we define permutations based on the input graphs and not the qubits themselves, so our approach differs from the equivariant quantum neural networks in [57] as a) the incorporation of edge weights into the unitaries prevents the unitaries from commuting with all possible permutations of qubits, and b) multiple qubits can potentially correspond to one vertex. While permutation equivariance poses some restrictions on the expressibility of the ansatz and one would expect a better scaling of gradients than in, e.g., hardware-efficient types of circuits, the results of [57] do not directly translate to our work for the above reasons.

To get additional insight, one can also turn to results on barren plateaus related to QAOA-type circuits, due to the structural similarity that our ansatz has to them. The authors of [242] investigate the scaling of the variance of gradients of two related types of ansatzes. They characterize ansatzes given by the following two Hamiltonians: the transverse field Ising model (TFIM),

$$H_{\text{TFIM}} = \sum_{i=1}^{n_f} Z_i Z_{i+1} + h_x \sum_{i=1}^n X_i,$$
 (6.20)

where $n_f = n - 1$ $(n_f = n)$ for open (periodic) boundary conditions, and a spin glass (SG),

$$H_{SG} = \sum_{i < j} h_i Z_i + J_{ij} Z_i Z_j + \sum_{i=1}^n X_i,$$
 (6.21)

with h_i , J_{ij} drawn from a Gaussian distribution. Based on the generators of those two ansatzes, the authors of [242] show that an ansatz that consists of layers given

by the TFIM Hamiltonian has a favorable scaling of gradients. An ansatz that consists of layers given by $H_{\rm SG}$, on the other hand, does not. Considering the results for the two above Hamiltonians, one can expect that whether our ansatz exhibits barren plateaus will strongly depend on the encoded graphs, i.e., the connectivity, edge weights and node features. Which types of graphs lead to a favorable scaling of gradients, and for what learning tasks our ansatz exhibits good performance at a number of layers polynomial in the input size, is an interesting question that we leave for future work.

Additionally to barren plateaus that are a result of the randomness of the circuit, there is a type of barren plateau that is caused by hardware noise, called noise-induced barren plateaus (NIBPs) [49]. This problem can not be directly mitigated by the choice of circuit architecture, as eventually all circuit architectures are affected by hardware noise, especially when they become deeper. We do not expect that our circuit is resilient to NIBPs, however, the numerical results in Section 6.5 show that the EQC already performs well with only one layer for the environment we study in this work as we scale up the problem size. This provides hope that, at least in terms of circuit depth, the EQC will scale favorably in the number of layers as the number of qubits in the circuit is increased, and therefore the effect of NIBPs will be less severe than for other circuit architectures with the same number of qubits.

Another important question for the training of ML models is that of data efficiency, i.e., how many training data points are required to achieve a low generalization error. Indeed, one of the key motivating factors behind the design of geometric models that preserve symmetries in the training data is to reduce the size of the training data set. In the classical literature, it was shown that geometric models require fewer training data and as a result often fewer parameters as models that do not preserve said symmetries [243]. Recent work showed that this is also true for S_n -equivariant quantum models [57], where the authors give an improved bound on the generalization error compared to the bounds that were previously shown to exist for general classes of PQCs [244]. However, the results from [57] do again not directly translate to our approach as stated in the context of barren plateaus above.

6.4 Quantum neural combinatorial optimization with the EQC

Combinatorial optimization problems are ubiquitous, be it in transportation and logistics, electronics, or scheduling tasks. These types of problems have also been studied in computer science and mathematics for decades. Many interesting combinatorial optimization problems that are relevant in industry today are NPhard, so that no general efficient solution is expected to exist. For this reason, heuristics have gained much popularity, as they often provide high-quality solutions to real-world instances of many NP-hard problems. However, good heuristics require domain expertise in their design and they have to be defined on a perproblem basis. To circumvent hand-crafting heuristic algorithms, machine learning approaches for solving combinatorial optimization problems have been studied. One line of research in this area investigates using NNs to learn algorithms for solving combinatorial optimization problems [108, 245], which is known as NCO. Here, NNs learn to solve combinatorial optimization problems based on data, and can then be used to find approximate solutions to arbitrary instances of the same problem. First approaches in this direction used supervised learning to find approximate solutions based on NN techniques from natural language processing [246]. A downside of the supervised approach is that it requires access to a large amount of training data in form of solved instances of the given problem, which requires solving many NP-hard instances of the problem to completion. At large problem sizes, this is a serious impediment for the practicability of this method. For this reason, RL was introduced as a technique to train these heuristics. These RL-based approaches have been shown to successfully solve even instances of significant size in problems with a geometric structure like the convex hull problem [239], chip placement [247] or the vehicle routing problem [248]. To implement NCO in this work we use Q-learning as described in Section 3.2 and Section 5.1 following [239].

In this section, we formally define the NCO task that we address in this work, and the specific setup of the EQC and its observables. We show that each component of the QNCO scheme is equivariant under permutation of the vertices, and then analytically study the expressivity of our ansatz at depth one.

6.4.1 Formal definition of learning task and figures of merit

Our goal is to use the ansatz described in Section 6.3 to train a model that, once trained, implements a heuristic to produce tours for previously unseen instances of the TSP. The TSP consists of finding a permutation of a set of cities such that the resulting length of a tour visiting each city in this sequence is minimal. The heuristic takes as input an instance of the TSP problem in form of a weighted 2D Euclidean graph $\mathcal{G}(\mathcal{V},\mathcal{E})$ with $n=|\mathcal{V}|$ vertices representing the cities and edge weights $\varepsilon_{ij} = d(v_i, v_j)$, where $d(v_i, v_j)$ is the Euclidean distance between nodes v_i and v_j . Specifically, we are dealing with the symmetric TSP, where the edges in the graph are undirected. Given \mathcal{G} , the algorithm constructs a tour in n-2steps. Starting from a given (fixed) node in the proposed tour $T_{t=1}$, in each step t of the tour selection process the algorithm proposes the next node (city) in the tour. Once the second-before-last node has been added to the tour, the last one is also directly added, hence the tour selection process requires n-2 steps. This can also be viewed as the process of successively marking nodes in a graph as they are added to a tour. In order to refer to versions of the input graph at different time steps where the nodes that are already present in the tour are marked, we now define the annotated graph.

Definition 6.1 (Annotated graph). For a graph $\mathcal{G}(\mathcal{V}, \mathcal{E})$, we call $\mathcal{G}(\mathcal{V}, \mathcal{E}, \boldsymbol{\alpha}^{(t)})$ the annotated graph at time step t. The vector $\boldsymbol{\alpha}^{(t)} \in \{0, \pi\}^n$ specifies which nodes are already in the tour T_t ($\alpha_i^{(t)} = 0$) and which nodes are still available for selection ($\alpha_i^{(t)} = \pi$).

In each time step of an episode in the algorithm, the model is given an annotated graph as input. Based on the annotated graph, the model should select the next node to add to the partial tour T_t at step t. The annotation can be used to partition the nodes \mathcal{V} into the set of available nodes $\mathcal{V}_a = \{v_i | \alpha_i^{(t)} = \pi\}$ and the set of unavailable nodes $\mathcal{V}_u = \{v_i | \alpha_i^{(t)} = 0\}$. The node selection process can now be defined as follows.

Definition 6.2 (Node selection). Given an annotated graph $\mathcal{G}(\mathcal{V}, \mathcal{E}, \boldsymbol{\alpha}^{(t)})$, the node selection process consist of selecting nodes in a tour in a step-wise fashion. To add a node to the partial tour T_t , the next node is selected from the set of available nodes \mathcal{V}_a . The unavailable nodes \mathcal{V}_u are ignored in this process.

After n-2 steps, the model has produced a tour T_n . A depiction of this process can be found in Figure 6.3. To assess the quality of the generated tour, we compare

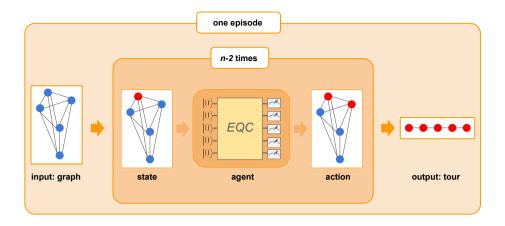


Figure 6.3: An illustration of one episode in the TSP environment. The agent receives a graph instance as input, where the first node is already added to the proposed tour (marked red), which can always be done without loss of generality. In each time step, the agent proposes which node should be added to the tour next. After the second-to-last node has been selected, the agent returns a proposed tour.

the tour length $c(T_n)$ to the length of the optimal tour $c(T^*)$, where

$$c(T) = \sum_{\{i,j\} \in \mathcal{E}_T} \varepsilon_{ij} \tag{6.22}$$

is the sum of edge weights (distances) for all edges between the nodes in the tour, with $\mathcal{E}_T \subset \mathcal{E}$. We measure the quality of the generated tour in form of the approximation ratio

$$\frac{c(T_n)}{c(T^*)}. (6.23)$$

In order to perform Q-learning we need to define a reward function that provides feedback to the RL agent on the quality of its proposed tour. The rewards in this environment are defined by the difference in overall length of the partial tour T_t at time step t, and upon addition of a given node v_l at time step t + 1:

$$r(T_t, v_l) = -c(T_{(t+1,v_l)}) + c(T_t).$$
(6.24)

Note that we use the negative of the cost as a reward, as a Q-learning agent will always select the action that leads to the maximum expected reward.

The learning process is defined in terms of a DQN algorithm, where the Q-function approximator is implemented in form of a PQC (which is described in detail in Section 6.3). Here, we define the TSP in terms of an RL environment, where the set of states $\mathcal{S} = \{\mathcal{G}_i(\mathcal{V}, \mathcal{E}, \boldsymbol{\alpha}^{(t)}) \text{ for } i=1,\ldots,|\mathcal{X}| \text{ and } t=1,\ldots,n-1\}$ consists of all possible annotated graphs (i.e., all possible configurations of values of $\boldsymbol{\alpha}^{(t)}$) for each instance i in the training set \mathcal{X} . This means that the number of states in this environment is $|\mathcal{S}| = 2^{n-1}|\mathcal{X}|$. The action that the agent is required to perform is selecting the next node in each step of the node selection process described in Definition 6.2, so the action space \mathcal{A} consists of a set of indices for all but the first node in each instance (as we always start from the first node in terms of the list of nodes we are presented with for each graph, so $\alpha_1^{(t)} = 0, \ \forall \ t$), and $|\mathcal{A}| = n - 1$.

The Q-function approximator gets as input an annotated graph, and returns as output the index of the node that should next be added to the tour. Which index this is, is decided in terms of measuring an observable corresponding to each of the available nodes \mathcal{V}_a . Depending on the last node added to the partial tour, denoted as v_{t-1} , the observable for each available node v_l is defined as

$$O_{v_l} = \varepsilon_{v_{t-1}, v_l} Z_{v_{t-1}} Z_{v_l} \tag{6.25}$$

weighted by the edge weight $\varepsilon_{v_{t-1},v_l}$, and the Q-value corresponding to each action is

$$Q(\mathcal{G}_{i}(\mathcal{V}, \mathcal{E}, \boldsymbol{\alpha}^{(t)}), v_{l}) = \left\langle \mathcal{E}, \boldsymbol{\alpha}^{(t)}, \boldsymbol{\beta}, \boldsymbol{\gamma} \middle|_{p} O_{v_{l}} \middle| \mathcal{E}, \boldsymbol{\alpha}^{(t)}, \boldsymbol{\beta}, \boldsymbol{\gamma} \middle\rangle_{p},$$
(6.26)

where the exact form of $|\mathcal{E}, \boldsymbol{\alpha}^{(t)}, \boldsymbol{\beta}, \boldsymbol{\gamma}\rangle_p$ is described in Section 6.3. The node that is added to the tour next is the one with the highest Q-value,

$$\operatorname{argmax}_{v_l} Q(\mathcal{G}_i(\mathcal{V}, \mathcal{E}, \boldsymbol{\alpha}^{(t)}), v_l). \tag{6.27}$$

All unavailable nodes $v_l \in \mathcal{V}_u$ are not included in the node selection process, so we manually set their Q-values to a large negative number to exclude them, e.g.,

$$Q(\mathcal{G}_i(\mathcal{V}, \mathcal{E}, \boldsymbol{\alpha}^{(t)}), v_l) = -10000 \ \forall \ v_l \in \mathcal{V}_u.$$

We also define a stopping criterion for our algorithm, which corresponds to the agent solving the TSP environment for a given instance size. As we aim at comparing the results of our algorithm to optimal solutions in this work, we have access to a labeled set of instances and define our stopping criterion based on these. However, note that the optimal solutions are not required for training, as a stopping criterion can also be defined in terms of number of episodes or other figures of merit that are not related to the optimal solution. In this work, the environment is considered as solved and training is stopped when the average approximation ratio of the past 100 iterations is < 1.05, where an approximation ratio of 1 means that the agent returns the optimal solution for the instances it was presented with in the past 100 episodes. We do not set the stopping criterion at optimality for two reasons: i) it is unlikely that the algorithm finds a parameter setting that universally produces the optimal tour for all training instances, and ii) we want to avoid overfitting on the training data set. If the agent does not fulfill the stopping criterion, the algorithm will run until a predefined number of episodes is reached. In our numerical results shown in Section 6.5, however, most agents do not reach the stopping criterion of having an average approximation ratio below 1.05, and run for the predefined number of episodes instead. Our goal is to generate a model that is, once fully trained, capable of solving previously unseen instances of the TSP.

6.4.2 Equivariance of algorithm components

We showed in Section 6.3.1 that our ansatz of arbitrary depth is permutation equivariant. Now we proceed to show that the Q-values that are generated from measurements of this PQC, and the tour generation process as described in Section 6.4.1 are equivariant as well. While the equivariance of all components of an algorithm is not a pre-requisite to harness the advantage gained by an equivariant model, knowing which parts of our learning strategy fulfill this property provides additional insight for studying the performance of our model later. As we show that the whole node selection process is equivariant, we know that the algorithm will always generate the same tour for every possible permutation of the input graph for a fixed setting of parameters, given that the model underlying the tour generation process is equivariant. This is not necessarily true for a non-equivariant model, and simply by virtue of giving a permuted graph as input, the algorithm can potentially return a different tour.

Theorem 6.2 (Equivariance of Q-values). Let $Q(\mathcal{G}(\mathcal{V}, \mathcal{E}, \boldsymbol{\alpha}), v_l) = Q(\mathcal{G}, v_l)$ be a Q-value as defined in Equation (6.26), where we drop instance-specific suband superscripts for brevity. Let σ be a permutation of $n = |\mathcal{V}|$ elements, where the l-th element corresponds to the l-th vertex v_l and σ_Q be a permutation that reorders the set of Q-values $Q(\mathcal{G}) = \{Q(\mathcal{G}, v_1), \dots, Q(\mathcal{G}, v_n)\}$ in correspondence to the reordering of the vertices by σ . Then the Q-values $Q(\mathcal{G})$ are permutation equivariant,

$$Q(\mathcal{G}) = \sigma_Q Q(\mathcal{G}_\sigma), \tag{6.28}$$

where \mathcal{G}_{σ} is the permuted graph.

Proof. We know from Theorem 6.1 that the ansatz we use, and therefore the expectation values $\langle O_{v_l} \rangle$, are permutation equivariant. The Q-values are defined as $Q(\mathcal{G}, v_l) = \varepsilon_{ij} \langle O_{v_l} \rangle$ (see Equation (6.26)) and therefore additionally depend on the edge weights of the graph \mathcal{G} . The edge weights are computed according to the graph's adjacency matrix, and re-ordered under a permutation of the vertices and assigned to their corresponding permuted expectation values.

As a second step, to show that all components of our algorithm are permutation equivariant, it remains to show that the tours that our model produces as described in Section 6.4.1 are also permutation equivariant.

Corollary 6.1 (Equivariance of tours). Let $T(\mathcal{G}, \beta, \gamma, v_0)$ be a tour generated by a permutation equivariant agent implemented with a PQC as defined in Equation (6.3) and Q-values as defined in Equation (6.26), for a fixed set of parameters β, γ and a given start node v_0 , where a tour is a cycle over all vertices $v_l \in \mathcal{V}$ that contains each vertex exactly once. Let σ be a permutation of the vertices \mathcal{V} , and σ_T a permutation that reorders the vertices in the tour accordingly. Then the output tour is permutation equivariant,

$$T(\mathcal{G}, \boldsymbol{\beta}, \boldsymbol{\gamma}, v_0) = \sigma_T T(\mathcal{G}_{\sigma}, \boldsymbol{\beta}, \boldsymbol{\gamma}, v_{\sigma(0)}). \tag{6.29}$$

Proof. We have shown in Theorem 6.2 that the Q-values of our model are permutation equivariant, meaning that a permutation of vertices results in a reordering of Q-values to different indices. Action selection is done by $v_{t+1} = \operatorname{argmax}_v Q(\mathcal{G}_i^{(t)}, v)$, and the node at the index corresponding to the largest Q-value is chosen. To generate a tour, the agent starts at a given node v_0 and sequentially selects the following n-1 vertices. Upon a permutation of the input graph, the tour now starts at another node index $v_{\sigma(0)}$. Each step in the selection process can now be seen w.r.t. the original graph \mathcal{G} and the permuted graph \mathcal{G}_{σ} . As we have shown in Theorem 6.1, equivariance of the model holds for arbitrary input graphs, so in particular it holds for each \mathcal{G} and \mathcal{G}_{σ} in the action selection process, and the output tour under the permuted graph is equal to the output tour under the original graph up to a renaming of the vertices.

6.4.3 Analysis of expressivity

In this section, we analyze under which conditions there exists a setting of β , γ for a given graph instance \mathcal{G}_i for our ansatz at depth one that can produce the optimal tour for this instance. Note that this does not show anything about constructing the optimal tour for a number of instances simultaneously with this set of parameters, or how easy it is to find any of these sets of parameters. Those questions are beyond the scope of this work. The capability to produce optimal tours at any depth for individual instances is of interest because first, we do not expect that the model can find a set of parameters that is close-to-optimal for a large number of instances if it is not expressive enough to contain a parameter setting that is optimal for individual instances. Second, the goal of a ML model is always to find similarities within the training data that can be used to generalize well on the given learning task, so the ability to find optimal solutions on individual instances is beneficial for the goal of generalizing on a larger set of instances. Additionally, how well the model generalizes also depends on the specific instances and the parameter optimization routine, and therefore it is hard to make formal statements about the general case where we find one universal set of parameters that produces the optimal solution for arbitrary sets of instances.

For our model at p = 1, we can compute the analytic form of the expectation values of our circuit as defined in Equation (6.25) and Equation (6.26) as the following, by a similar derivation as in [241],

$$\langle O_{v_l} \rangle = \varepsilon_{v_{t-1}, v_l} \cdot \sin(\beta \pi) \sin(\varepsilon_{v_{t-1}, v_l} \gamma) \cdot \prod_{\substack{(v_l, k) \in \mathcal{E} \\ k \neq v_{t-1}}} \cos(\varepsilon_{v_l, k} \gamma), \tag{6.30}$$

where v_{t-1} is the last node in the partial tour and v_l is the candidate node. Note that due to the specific setup of node features used in our work where the contributions of nodes already present in the tour are turned off, these expectation values are simpler than those given for Ising-type Hamiltonians in [241]. For a learning task where contributions of all nodes are present in every step, the expectation values of the EQC will be the same as those for Ising Hamiltonians without local fields given in [241], with the additional node features α . Due to this structural similarity to the ansatz used in the QAOA, results on the hardness to give an analytic form of these expectation values at p > 1 also transfer to our model. Even at depth p = 2 analytic expressions can only be given for certain types

of graphs [249, 250], and everything beyond this quickly becomes too complex. For this reason, we can only make statements for p = 1 in this work.

In order to generate an arbitrary tour of our choice, in particular also the optimal tour, it suffices to guarantee that for a suitable choice of (fixed) γ , at each step in the node selection process the edge we want to add next to the partial tour has highest expectation. One way we can do this is by controlling the signs of each sine and cosine term in Equation (6.30) such that only the expectation values corresponding to edges that we want to select are positive, and all others are negative.

To understand whether this is possible, we can leverage known results about the expressivity of the sine function. For any rationally independent set of $\{x_1, ..., x_n\}$ with labels $y_i \in (-1, 1)$, the sine function can approximate these points to arbitrary precision ϵ as shown in [251], i.e., there exists an ω s.t.

$$|\sin(\omega x_i) - y_i| < \epsilon \text{ for } i = 1, \dots, n.$$
(6.31)

In general, the edge weights of graphs that represent TSP instances are not rationally independent. However, in principle they can easily be made rationally independent by adding a finite perturbation ϵ_i' to each edge weight. The results in [251] imply that almost any set of points x_1, \ldots, x_n with $0 < x_i < 1$ is rationally independent, so we can choose ϵ'_i to be drawn uniformly at random from $(0, \epsilon_{\text{max}}]$. As long as these perturbations are applied to the edge weights in a way that does not change the optimal tour, as could be done by ensuring that ϵ_{max} is small enough so that the proportions between edge weights are preserved, we can use this perturbed version of the graph to infer the optimal tour. (Such an $\epsilon_{\rm max}$ can be computed efficiently.) In this way we can guarantee that the ansatz at depth one can produce arbitrary labelings of our edges, which in turn let us produce expectation values such that only the ones that correspond to edges in the tour of our choice will have positive values. We note that in the analysis we assume real-valued (irrational) perturbations, which of course cannot be represented in the computer. However, by using the results of [251] and approximating ± 1 within a small epsilon, we can get a robust statement where finite precision suffices.

¹The real numbers x_1, \ldots, x_n are said to be rationally independent if no integers k_1, \ldots, k_n exist such that $x_1k_1 + \cdots + x_nk_n = 0$, besides the trivial solution $k_i = 0 \,\forall k$. Rational independence also implies the points are not rational numbers, so they are also not numbers normally represented by a computer.

Theorem 6.3 (Ansatz can generate optimal tours for rationally independent edge weights). There exists a setting $(\beta, \gamma)^*$ for each graph instance of the symmetric TSP such that the ansatz at depth one described in Section 6.3 will produce the optimal tour T^* with the node selection process described in Definition 6.2, given that the edge weights ε_{ij} of the graph are rationally independent and

$$\varepsilon_{ij}\gamma \neq \frac{\pi}{4} + n\pi \ \forall \ n \in \mathbb{Z}.$$

Proof. As known from [251], we can find a parameter ω such that we can approximate an arbitrary labeling in [-1,1] for our rationally independent edge weights with the sine function. Given that this labeling exists, we now show how to use this labeling to generate the optimal tour with the EQC at depth one.

For p = 1, we can compute the analytic form of the expectation values of our circuit as defined in Equation (6.25) and Equation (6.26) as the following, by a similar derivation as in [241],

$$\langle O_{v_l} \rangle = \varepsilon_{v_{t-1}, v_l} \cdot \sin(\beta \pi) \sin(\varepsilon_{v_{t-1}, v_l} \gamma) \cdot \prod_{\substack{(v_l, k) \in \mathcal{E} \\ k \neq v_{t-1}}} \cos(\varepsilon_{v_l, k} \gamma), \tag{6.32}$$

where v_{t-1} is the last node in the partial tour and v_l is the candidate node. By the identity $\cos(\theta) = \sin(\frac{\pi}{2} - \theta)$ we can rewrite Equation (6.30) as

$$\langle O_{v_l} \rangle = \varepsilon_{v_{t-1}, v_l} \cdot \sin(\beta \pi) \sin(\varepsilon_{v_{t-1}, v_l} \gamma) \cdot \prod_{\substack{(v_l, k) \in \mathcal{E} \\ k \neq v_{t-1}}} \sin\left(\frac{\pi}{2} - \varepsilon_{v_l, k} \gamma\right). \tag{6.33}$$

Let us now assume that we want to construct a fixed (but arbitrary) tour T. First, we notice that the term $\sin(\beta\pi)$ does not depend on v_{t-1} or v_l and is the same for all v_l . This means that this term can merely flip the sign of all $\langle O_{v_l} \rangle$, and from now on w.l.o.g. we assume that β is such that the term is positive. Now we can again formulate the tour generation task in terms of a binary classification problem, where we want to find a configuration of labels for our remaining sin terms in Equation (6.33) s.t. the product will have the highest expectation value in each node selection step for the edge that produces the ordering we have chosen for T. Again, we can accomplish this for arbitrary settings of edge weights by only considering the sign of the resulting product. This means that we have to find an assignment of the edges ε_{ij} to the classes f_{\pm} that at each step of the node selection process will lead to the node being picked that we specify in T. As all edges can occur in the above products multiple times during the node selection process, this is a non-trivial task. However, if we can guarantee that each $\langle O_{v_i} \rangle_t$ at

node selection step t contains at least one unique term that is only present in this specific expectation value, we can use this term to control the sign of this specific value. Each ε_{ij} occurs either in the leading term $\sin(\varepsilon_{ij}\gamma)$ (corresponding to the candidate edge to be potentially added in the next step) or in the product term as $\sin(\frac{\pi}{2} - \varepsilon_{ij}\gamma)$ (corresponding to an outgoing edge from the current candidate). We can easily see that the leading term only appears in the case when we ask for this specific ε_{ij} to be the next edge in the tour, and from Definition 6.2 we know that this only happens once in the node selection process. In all other expectations, ε_{ij} appears only with the "offset" of $\frac{\pi}{2}$. This means that this leading term is the unique term that we are looking for, as long as $\sin(\varepsilon_{ij}\gamma) \neq \sin(\frac{\pi}{2} - \varepsilon_{ij}\gamma)$, so as long as $\sin(\varepsilon_{ij}\gamma) \neq \cos(\varepsilon_{ij}\gamma)$. We know that $\cos(\theta) = \sin(\theta)$ for $\theta = \frac{\pi}{4} + n\pi$ with $n \in \mathbb{Z}$. So as long as

$$\varepsilon_{ij}\gamma \neq \frac{\pi}{4} + n\pi \ \forall \ n \in \mathbb{Z}, \varepsilon_{ij} \in \mathcal{E},$$
 (6.34)

and all ε_{ij} are unique, our ansatz can construct the desired tour T. In this case, we have a guarantee that we can construct the tour T for any configuration of edges that fulfills Equation (6.34). In particular, this means that we can construct the optimal tour in this way.

However, we point out that the parameter γ that leads to the construction of the optimal tour can in principle be arbitrarily large and hard to find. We do not go deeper into this discussion since in fact we do not want to rely on this proof of optimality as a guiding explanation of how the algorithm works.

The reason for this is that in some way, this proof of optimality works despite the presence of the TSP graph and not because of it. This is similar in vein to universality results for QAOA-type circuits, where it can be shown that for very specific types of Hamiltonians, alternating applications of the cost and mixer Hamiltonian leads to quantum computationally universal dynamics, i.e., it can reach all unitaries to arbitrary precision [195, 252], but these Hamiltonians are not related to any of the combinatorial optimization problems that were studied in the context of the QAOA. While these results provide valuable insight into the expressivity of the models, in our case they do not inform us about the possibility of a quantum advantage on the learning problem that we study in this work. In particular, we do not know from these results whether the EQC utilizes the information provided by the graph features in a way in which the algorithm benefits from the quantumness of the model, at depth one or otherwise. As it is

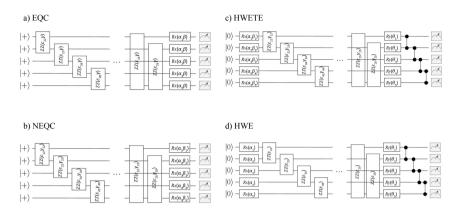


Figure 6.4: One layer of each of the circuits studied in this work. a) The EQC with two trainable parameters β , γ per layer. b) The same set of gates as in the EQC, but we break equivariance by introducing one individual free parameter per gate (denoted NEQC). c) Similar to the NEQC, but we start from the all-zero state and add a final layer of trainable one-qubit gates and a ladder of CZ-gates (denoted hardware-efficient with trainable encoding, HWETE). d) Same as the HWETE, but only the single-qubit Y-rotation parameters are trained (denoted HWE).

known that the QAOA applied to ground state finding benefits from interference effects, investigating whether similar results hold for our algorithm is an interesting question that we leave for future work.

Additionally, we note that high expressivity alone does not necessarily lead to a good model, and may even lead to issues in training as the well-studied phenomenon of barren plateaus [229], or a susceptibility to overfitting on the training data. In practice, the best models are those that strike a balance between being expressive enough, and also restricting the search space of the model in a way that suits the given training data. Studying and designing models that have this balance is exactly the goal of geometric learning, and the permutation equivariance we have proven for our model is a helpful geometric prior for learning tasks on graphs.

6.5 Numerical results

After proving that our model is equivariant under node permutations and analytically studying the expressivity of our ansatz, we now numerically study the training and validation performance of this model on TSP instances of varying size

in a NCO context. The training data set that we use is taken from [246], where the authors propose a novel classical attention approach and evaluate it on a number of geometric learning tasks.¹ To compute optimal solutions for the TSP instances with 10 and 20 cities we used the library [253].

We evaluate the performance of the EQC on TSP instances with 5, 10 and 20 cities (corresponding to 5, 10, and 20 qubits, respectively). As described in Section 6.4.1, the environment is considered as solved by an agent when the running average of the approximation ratio over the past 100 episodes is less than 1.05. Otherwise, each agent will run until it reaches the maximum number of episodes, that we set to be 5000 for all agents. Note that this is merely a convenience to shorten the overall training times, as we have access to the optimal solutions of our training instances. In a realistic scenario where one does not have access to optimal solutions, the algorithm would simply run for a fixed number of episodes or until another convergence criterion is met. When evaluating the final average approximation ratios, we always use the parameter setting that was stored in the final episode, regardless of the final training error. When variations in training lead to a slightly worse performance than what was achieved before, we still use the final parameter setting. We do this because as noted above, in a realistic scenario one does not have knowledge about the ratio to the optimal solutions during training. Unless otherwise stated, all models are trained on 100 training instances and evaluated on 100 validation instances.

As we are interested in the performance benefits that we gain by using an ansatz that respects an important graph symmetry, we compare our model to versions of the same ansatz where we gradually break the equivariance property. We start with the simplest case, were the circuit structure is still the same as for the EQC, but instead of having one β_l , γ_l in each layer, every X- and ZZ-gate is individually parametrized. As these parameters are now tied directly to certain one- and two-qubits gates, e.g. an edge between qubits one and two, they will not change location upon a graph permutation and therefore break equivariance. We call this the non-equivariant quantum circuit (NEQC). To go one step further, we take the NEQC and add a variational part to each layer that is completely unrelated to the graph structure: namely a hardware-efficient layer that consists

¹We note that we have re-computed the optimal tours for all instances that we use, as the data set uploaded by the authors of [246] erroneously contains sub-optimal solutions. This was confirmed with the authors, but at the time of writing of this work their repository has not been updated with the correct solutions.

of parametrized Y-rotations and a ladder of CZ-gates. In this ansatz, we have a division between a data encoding part and a variational part, as is often done in QML. To be closer to standard types of ansatzes often used in QML, we also omit the initial layer of H-gates here and start from the all-zero state (which requires us to switch the order of X- and ZZ-gates)¹. We denote this the hardware-efficient with trainable embedding (HWETE) ansatz. Finally, we study a third ansatz, where we take the HWETE and now only train the Y-rotation gates, and the graph-embedding part of the circuit only serves as a data encoding step. We call this simply the hardware-efficient (HWE) ansatz. A depiction of all ansatzes can be seen in Figure 6.4.

We start by comparing the EQC to the NEQC on TSP instances with 5, 10 and 20 cities. We show the training and validation results in Figure 6.5. To evaluate the performance of the models that we study, we compute the ratio to the optimal tour length as shown in Equation (6.23), as the instances that we can simulate the circuits for are small enough to allow computing optimal tours for.² To provide an additional classical baseline, we also show results for the nearest-neighbor heuristic. This heuristic starts at a random node and selects the closest neighboring node in each step to generate the final tour. The nearest-neighbor algorithm finds a solution quickly also for instances with increasing size, but there is no guarantee that this tour is close to the optimal one. However, as we know the optimal tours for all instances, the nearest-neighbor heuristic provides an easy to understand classical baseline that we can use. Additionally, we add the upper bound given by one of the most widely used approximation algorithms for the TSP (as implemented e.g. in Google OR-Tools): the Christofides algorithm. This algorithm is guaranteed to find a tour that is at most 1.5 times as long as the optimal tour [254]. In the case where any of our models produces validation results that are on average above this upper bound of the Christofides algorithm, we consider it failed, as it is more efficient to use a polynomial approximation algorithm for these instances. However, we stress that this upper bound can only serve to inform us about the failure of our algorithms and not their success, as in practice the Christofides algorithm often achieves much better results than those given by the upper bound. We also note that both the Christofides and nearest-neighbor algorithms are provided

¹However, in practice it did not make a difference whether we started from the all-zero or uniform superposition state in the learning task that we study.

²For reference, the authors of [246], who generated the training instances that we use, stop comparing to optimal solutions at n = 20 as it becomes extremely costly to find optimal tours from thereon out.

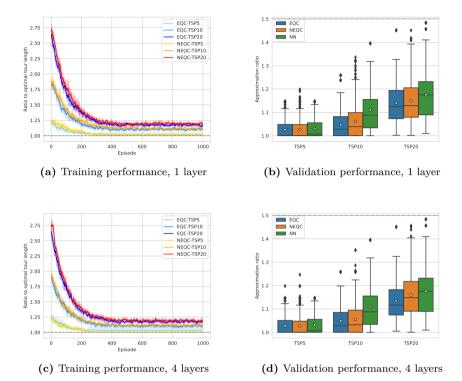


Figure 6.5: Comparison between the EQC and its non-equivariant version (NEQC) in terms of approximation ratio (lower is better) of ten trained models on a set of 100 previously unseen TSP instances for each instance size. The boxes show the upper quartile, median and lower quartile for each model configuration, the whiskers of the boxes extend to 1.5 times the interquartile range, and the black diamonds denote outliers. We additionally show the means of each box as white circles. In the NEQC each gate is parametrized separately but the ansatz structure is otherwise identical to the EQC, as described in Section 6.5. Results are shown on TSP instances with 5, 10 and 20 cities (TSP5, TSP10 and TSP20, respectively). To provide a classical baseline, we also show results for the nearest-neighbor heuristic (NN). a) and b) show the training and validation performance for both ansatzes with one layer, while c) and d) show the same for four layers. The dashed, grey line on the left-hand side figures denotes optimal performance. The dotted, black line on the right-hand side figures denotes the upper bound of the Christofides algorithm, a popular classical approximation algorithm that is guaranteed to find a solution that is at most 1.5 times as long as the optimal tour. Figures a) and c) show the running average over the last ten episodes.

here to assure that our algorithm produces reasonable results, and not to show that our algorithm outperforms classical methods as this is not the topic of the present manuscript. The bound is shown as a dotted black line in Figure 6.5 and Figure 6.6.

Geometric learning models are expected to be more data-efficient than their unstructured counterparts, as they respect certain symmetries in the training data. This means that when a number of symmetric instances are present in the training or validation data, the effective size of these data sets is decreased. This usually translates into models that are more resource-efficient in training, e.g. by requiring fewer parameters or fewer training samples. In our comparison of the EQC and the NEQC, we fix the number of training samples and compare the different models in terms of circuit depth and number of parameters to achieve a certain validation error and expect that the EQC will need fewer layers to achieve the same validation performance as the NEQC. This comparison can be seen in Figure 6.5. In Figure 6.5 a) and b), we show the training and validation performance of both ansatzes at depth one. For instances with five cities, both ansatzes perform almost identically on the validation set, where the NEQC performs worse on a few validation instances. As the instance size increases, the gap between EQC and NEQC becomes bigger. We see that even though the two ansatzes are structurally identical, the specific type of parametrizations we choose and the properties of both ansatzes that result from this make a noticeable difference in performance. While the EQC at depth one has only two parameters per layer regardless of instance size, the NEQC's number of parameters per layer depends on the number of nodes and edges in the graph. Despite having much fewer parameters, the EQC still outperforms the NEQC on instances of all sizes. Increasing the depth of the circuits also does not change this. In Figure 6.5 c) and d) we see that at a depth of four, the EQC still beats the NEQC. The latter's validation performance even slightly decreases with more layers, which is likely due to the increased complexity of the optimization task, as the number of trainable parameters per layer is $\frac{(n-1)n}{2} + n$, which for the 20-city instances means 840 trainable parameters at depth four (compared to 8 parameters in case of the EQC). This shows that at a fraction of the number of trainable parameters, the EQC is competitive with its non-equivariant counterpart even though the underlying structure of both circuits is identical. Compared to the classical nearest-neighbor heuristic, both ansatzes perform well and beat it at all instance sizes, and both ansatzes are also below the approximation ratio upper bound given by the Christofides algorithm on all validation instances. The

box plots in Figure 6.5 show a comparison of the EQC and NEQC in terms of the quartiles of the approximation ratios on the validation set. As it is hard to infer statistical significance of results directly from the box plots, especially when the distributions of data points are not very far apart, we additionally plot the means of the distributions and their standard error, and compute p-values based on a t-test to give more insight on the comparison of these two models in Chapter 8. To show statistical significance of the comparison of the EQC and NEQC, we perform a two-sample t-test with the null-hypothesis that the averages of the two distributions are the same, as is common in statistical analysis, and compute p-values based on this. The p-values confirm that there is indeed a statistical significance in the comparison between models for the 10- and 20-city instances, and that we can be more certain about the significance as we scale up the instance size. The average approximation ratios in case of the 5-city instances are roughly the same, as we can expect due to the fact that there exist only 12 permutations of the TSP graphs of this size. However, even for these small instances the EQC achieves the same result with fewer parameters, namely 2 per layer instead of the 15 per layer required in the NEQC.

Next, we compare the EQC to ansatzes in which we introduce additional variational components that are completely unrelated to the training data structure, as described above. We show results for the HWETE and the HWE ansatz in Figure 6.6. To our own surprise, we did not manage to get satisfactory results with either of those two ansatzes, especially at larger instances, despite an intensive hyperparameter search. Even the HWETE, which is basically identical to the NEQC with additional trainable parameters in each layer, failed to show any significant performance. To gauge how badly those two ansatzes perform, we also show results for an algorithm that selects a random tour for each validation instance in Figure 6.6. In this figure, we show results for TSP instances with five and ten cities for both ansatzes with one and four layers, respectively. Additionally, we show how the validation performance changes when the models are trained with either a training data set consisting of 10 or 100 instances, in the hopes of seeing improved performance as the size of the training set increases. We see that in neither configuration, the HWETE or HWE outperform the Christofides upper bound on all validation instances. Additionally, in almost all cases those two ansatzes do not even outperform the random algorithm. This example shows that in a complex learning scenario, where the number of permutations of each input instance grows combinatorially with instance size and the number of states

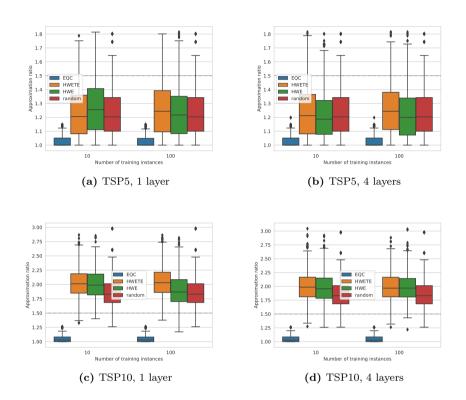


Figure 6.6: Comparison between EQC and two hardware-efficient ansatzes where we gradually break the equivariance of the original ansatz. We show results for TSP instances with five and ten cities (TSP5, TSP10 respectively) for models trained on 10 and 100 instances, and with one and four layers. Each box is computed over results for ten agents. The hardware-efficient ansatz with trainable embedding (HWETE) consists of trainable graph encoding layers as those in the EQC, with an additional variational part in each layer that consists of parametrized single-qubit Y-gates and a ladder of CZ-gates. The HWE ansatz is the same as the HWETE, but where the graph-embedding part is not trainable and only the Y-gates in each layer are trained. We also show approximation ratios of a random algorithm, where a random tour is picked as the solution to each instance. The dotted, black lines denote the upper bound of the Christofides algorithm. We see that the HWE ansatzes perform extremely badly and barely outperform picking random tours only in some cases.

in the RL environment grows exponentially with the number of instances, a simple hardware-efficient ansatz will fail even when the data encoding part of the PQC is motivated by the problem data structure. While increasing the size of the training set and/or the number of layers in the circuit seems to provide small advantages in some cases, it also leads to a decrease in performance in others. On the other hand, the EQC is mostly agnostic to changes in the number of layers or the training data size. Overall, we see that the closer the ansatz is to an equivariant configuration, the better it performs, and picking ansatzes that respect symmetries inherent to the problem at hand is the key to success in this graph-based learning task.

In Section 6.3 we have also pointed out that the EQC is structurally related to the ansatz used in the QAOA. The main difference in solving instances of the TSP with the NCO approach used in our work and solving it with the QAOA lies in the way in which the problem is encoded in the ansatz, and in the quantity that is used to compute the objective function value for parameter optimization. We give a detailed description of how the TSP is formulated in terms of a problem Hamiltonian suitable for the QAOA and how parameters are optimized in Section 6.2.2. As the QAOA is arguably the most explored variational quantum optimization algorithm at the time of writing, and due to the structural similarity between the EQC and the QAOA's ansatz, we also compare these two approaches on TSP instances with five cities.

For p=2 and 3, we optimized the circuit parameters using Constrained Optimization BY Linear Approximation (COBYLA). In addition, similar to [255], we employed a p-dependent initialization technique for the circuit parameters. Specifically, (p+1)-depth QAOA circuit parameters were initialized with the optimal parameters from the p-depth circuit, as follows:

$$\boldsymbol{\gamma} = (\gamma_1, \dots, \gamma_{p'}, 0),$$
$$\boldsymbol{\beta} = (\beta_1, \dots, \beta_{p'}, 0).$$

This way we are allowing the parameter training procedure to start in a known acceptable state based on the results of the previous step. In Figure 6.7 we show our results for five-city instances of the TSP. The approximation ratio shown is derived by dividing the tour length of the best feasible solution, measured as the output of the trained QAOA circuit, by the optimal tour length of the respective instance. In addition, we compute results for two different p=3 QAOA circuits: the first is trained in the procedure described above (where the parameters are

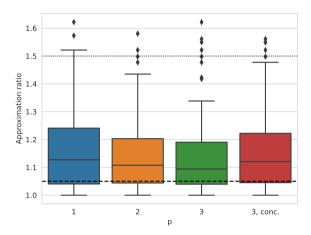


Figure 6.7: Approximation ratio of QAOA up to depth three. Dashed black line denotes average final performance of the EQC at depth one during the last 100 iterations of training on the same instances. Last box shows the results for the best parameters found for one instance at p=3 applied to all training instances, following a parameter concentration argument. The dotted, black line denotes the upper bound of the Christofides algorithm.

trained for each instance). The second uses the parameters of the best QAOA circuit out of those for all instances evaluated at p=3, following a concentration of parameters argument as presented in [61]. The second method is closer to what is done in a ML context, where one set of parameters is used to evaluate the performance on all validation samples.

Due to the number of qubits required to formulate a QUBO for the TSP, we were not able to run QAOA for all TSP instances. For example, an instance with six cities already requires 25 qubits (we can fix the choice of the first city to be visited without loss of generality, requiring only $(n-1)^2$ variables to formulate the QUBO). A different formulation of the QUBO problem presented in [256], that needs $\mathcal{O}(n\log(n))$ qubits, avoids this issue by modifying the circuit design. However, this proposal increases the circuit depth considerably and is therefore ill-suited for the NISQ era.

In Figure 6.7, we can see that finding a good set of parameters for QAOA to solve TSP is hard even for five-city instances. We note that the performance of QAOA improves with higher p, however, QAOA performance is still far from

matching the approximation ratios obtained by EQC even for p=3, which can be seen in Figure 6.7 as a black dashed line. Furthermore, we note that significant computational effort is required to obtain these results: methods like COBYLA are based on gradient descent, which requires us to evaluate the circuit many times until either convergence or the maximum number of iterations is reached. We also note that due to the heuristic optimization of the QAOA parameters themselves, we are not guaranteed that the configuration of parameters is optimal, which may result in either insufficient iterations to converge or premature convergence to sub-optimal parameter values. In an attempt to mitigate this, we tested several optimizers (Adam, SPSA, BFGS and COBYLA) and used the best results, which were those found by COBYLA.

We see in Figure 6.7 that already on these small instances, the QAOA requires significantly deep circuits to achieve good results, that may be out of reach in a noisy near-term setting. The EQC on the other hand i) uses a number of qubits that scales linearly with the number of nodes of the input graph as opposed to the n^2 number of variables required for QAOA, and ii) already shows good performance at depth one for instances with up to 20 cities. In addition to optimizing QAOA parameters for each instance individually, we also show results of applying one set of parameters that performed well on one instance at depth three, on other instances of the same problem following the parameter concentration argument given in [61] and described in more detail in Section 6.2.2. While we find that parameters seem to transfer well to other instances of the same problem in case of the TSP, the overall performance of the QAOA is still much worse than that of the EQC.

6.6 Discussion

After providing analytic insight on the expressivity of our ansatz, we have numerically investigated the performance of our EQC model on TSP instances with 5, 10, and 20 cities (corresponding to 5, 10, 20 qubits respectively), and compared them to other types of ansatzes that do not respect any graph symmetries. To get a fair comparison, we designed PQCs that gradually break the equivariance property of the EQC and assessed their performance. We find that ansatzes that contain structures that are completely unrelated to the input data structure are extremely hard to train for this learning task where the size of the state space scales exponentially in the number of input nodes of the graph. Despite much