

### **Unde venisti? The Prehistory of Italic through its Loanword Lexicon**

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## UNDE VENISTI

The Prehistory of Italic through its Loanword Lexicon



# Unde vēnistī? The Prehistory of Italic through its Loanword Lexicon



#### *Unde vēnistī?*

## The Prehistory of Italic through its Loanword Lexicon

#### **PROEFSCHRIFT**

ter verkrijging van
de graad van doctor aan de Universiteit Leiden,
op gezag van rector magnificus prof.dr.ir. H. Bijl,
volgens besluit van het college voor promoties
te verdedigen op woensdag 1 november 2023
klokke 10:00 uur

door

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geboren te Voorhees (New Jersey, Vereinigde Staten) in 1991 Promotores: Prof. dr. G.J. Kroonen

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Prof. dr. M.L. Weiss (Cornell University)

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	cui dōnō lepidum novum libellum āridā modo pūmice expolītum?
	To my parents
	namque võs solēbātis meās esse aliquid putāre nūgās.
(Adapted from Catullus 1)	

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Grātia [est], in quā amīcitiārum et officiōrum alterīus memoria et remūnerandī voluntās continētur (Cicero, de Inventione 2.53.161).

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#### **Abbreviations**

General		Du.	Dutch
		Egypt.	Egyptian
ca.	circa, around/approximately	Engl.	English
cf.	confer, compare	Etr.	Etruscan
dial.	dialectal	Fal.	Faliscan
dim.	diminutive	Far.	Faroese
e.g.	exempli gratia, for example	Fr.	French
esp.	especially	Georg.	Georgian
et al.	et alii, and others	Ger.	German
et alib.	et alibi, and elsewhere	Gk.	Ancient Greek
etc.	et cetera, and so on	Go.	Gothic
fn.	footnote	Hebr.	Hebrew
fthc.	forthcoming	Hitt.	Hittite
id.	_	HLuw.	Hieroglyphic Luwian
	idem, the same	Hsch.	Hesychian (gloss in Greek)
p.c.	personal communication	Hurr.	Hurrian
pg., pp.	page, pages	Icel.	Icelandic
s.v.	sub verbo, under the entry	IE	Indo-European
var(s).	variant(s)	It.	Italian
with lit.	with literature	Kartv.	Kartvelian
_		Khot.	Khotanese
Dates		Lat.	Latin
BCE	Before the Common Era (BC)	Latv.	Latvian
		LCo.	Late Cornish
	century	Lith.	Lithuanian
CE	Common Era (AD)	Lyd.	Lydian
Languag	res	Mac.	Macedonian
		MBret.	Middle Breton
Aeol.	Aeolic (Greek)	MBulg.	Middle Bulgarian
Akk.	Akkadian	MDu.	Middle Dutch
Alb.	Albanian	ME	Middle English
Att-Ion.	Attic-Ionic (Greek)	MHG	Middle High German
Arab.	Arabic	MIr.	Middle Irish
Aram.	Aramaic	MoDu.	Modern Dutch
Arcad.	Arcadian (Greek)	MoGk.	Modern Greek
Arm.	Armenian	MoP	Modern Persian
Av.	Avestan	MP	Middle Persian
Boeot.	Boeotian (Aeolic Greek)	MW	Middle Welsh
Bret.	Breton	Myc.	Mycenaean (Greek)
Bulg.	Bulgarian	Nw.	Norwegian
Cat.	Catalan	OBret.	Old Breton
Cl. Arab.	Classical Arabic	ODan.	Old Danish
CLuw.	Cuneiform Luwian	OE	Old English
Copt.	Coptic	OGeorg.	Old Georgian
Dan.	Danish	OHG	Old High German

OLG	Old Low German	PSlav.	Proto-Slavic
ON	Old Norse	PU	Proto-Uralic
OP	Old Persian	PVasc.	Proto-Vasconic
OPol.	Old Polish	Pt.	Portuguese
OPr.	Old Prussian	Rom.	Romanian
OProv.	Old Provençal	Ru.	Russian
OPt.	Old Portuguese	RuCS	Russian Church Slavonic
ORu.	Old Russian	Sard.	Sardinian
Osc.	Oscan	SCr.	Serbo-Croatian
OSum.	Old Sumerian	Serb.	Serbian
OW	Old Welsh	SGael.	Scottish Gaelic
PAlb.	Proto-Albanian	Slk.	Slovak
PArm.	Proto-Armenian	Slov.	Slovene
PBalt.	Proto-Baltic	Sogd.	Sogdian
PBerb.	Proto-Berber	Sp.	Spanish
PBS1.	Proto-Balto-Slavic	SPic.	South Picene
PCelt.	Proto-Celtic	Sum.	Sumerian
PEBalt.	Proto-East Baltic	Sw.	Swedish
PGk.	Proto-Greek	Syr.	Syriac
PGm.	Proto-Germanic	Thess.	Thessalian (Aeolic Greek)
Phoen.	Phoenician	Toch. A	Tocharian A
PIE	Proto-Indo-European	Toch. B	Tocharian B
PIIr.	Proto-Indo-Iranian	Turk.	Turkish, Turkic
PIr.	Proto-Iranian	U	Umbrian
PItal.	Proto-Italic	Ugr.	Ugaritic
Pol.	Polish	Ved.	Vedic Sanskrit
PRom.	Proto-Romance	W	Welsh
Prov.	Provençal	YAv.	Young Avestan
PSem.	Proto-Semitic		-

#### Grammar

abl.	ablative	masc.	masculine
acc.	accusative	neut.	neuter
adj.	adjective	nom.	nominative
dat.	dative	obl.	oblique
fem.	feminine	pass.	passive
fut.	future	pl.	plural
gen.	genitive	PPP	perfect passive participle
indecl.	indeclinable	pres.	present
inf.	infinitive	sg.	singular
loc.	locative	subj.	subjunctive

#### **Archaeo-Genetics**

ANE Ancient North Eurasian (ancestry component)

calBCE Calibrated radiocarbon date

CHG Caucasus Hunter-Gatherer (ancestry component)
EEF Early European Farmer (ancestry component)
EHG Eastern Hunter-Gatherer (ancestry component)

LBK Linearbandkeramik Culture

mtDNA Mitochondrial DNA

WHG Western Hunter-Gatherer (ancestry component)

#### **Symbols**

? (appurtenance) uncertain

< is from
> develops to
>> is borrowed as
~ alternates with

§ heading number (of this thesis)

<> graphical element // phonemic transcription

#### Phonological Cover Symbols (in text; not in header reconstructions)

C consonant

C<sub>i</sub> identical consonant

D voiced stop

Dh voiced aspirated stop

H laryngeal
R resonant
T unvoiced stop

V vowel

#### 1 Introduction

#### 1.1 The Motivation

The Italian peninsula, reaching far into the Mediterranean Sea, served by virtue of its geography as an important crossroads of ancient cultures. Economic intercourse occurred across millennia between groups speaking many different languages that we know of (Figure 1.1), and likely countless others that have been lost to time. Many of these interactions left a mark on Latin. Here, the contact was intense and transformative. The colonization of Magna Graecia in the 8th century BCE resulted in ca. 5000 Greek words appearing in Latin amongst 44,000 core lexemes (Seidl 2003: 519) and Greek also affected written Latin syntax (Clackson & Horrocks 2011: 191-7, Weiss 2020: 509). Latin and Etruscan seem to have been in close enough contact that they both underwent the same areal shift to initial accent. As will be discussed in detail in §5, the first traces of populations bearing steppe-derived ancestry appear in Northern Italy ca. 2000 BCE (Saupe et al. 2021) after the dispersal of Yamnaya populations ca. 3000 BCE (Haak et al. 2015), and they appeared on a peninsula that had been inhabited by Neolithic farmers since ca. 6000 BCE (Malone 2003: 242). With such intense contacts in the attested record, we must be able to see traces from *unattested* contacts as well.

Much research has been done on prehistoric substrates, but differences in methodology have produced a corpus of literature that on the one hand does not always agree on the exact nature and impact of these substrates and on the other hand deserves a fresh and more modern treatment.

#### 1.2 Prior Research

The research on linguistic substrates is at its core a question about language contact. The search to understand potential contact between Latin and poorly attested or unattested languages has led in several directions. In general, the feasibility of recognizing different linguistic strata only came about at the end of the nineteenth century, after nuanced views of language contact began to develop. Thomason and Kaufman (1988: 1) capture the volatility of the early field: Max Müller in 1871 claimed "Es gibt keine Mischsprachen" against Hugo Schuchardt's 1884 claim of the exact opposite, "Es gibt keine völlige ungemischte Sprache".

<sup>&</sup>lt;sup>1</sup> This is inferred for Etruscan and Italic on the basis of weakening and syncope in non-initial syllables (cf. Wallace 2008: 37-9, Weiss 2020: 118-19, 527). Etruscan spellings attest to what looks like vowel weakening by the end of the 7<sup>th</sup> century. Latin shows vowel weakening and syncope by ca. 500 BCE. Then Etruscan begins to show syncope in medial syllables beginning ca. 470 BCE. The Sabellic languages also developed initial accent. The order of the changes makes it difficult to determine if one group or the other initiated the change. Instead it looks areal.

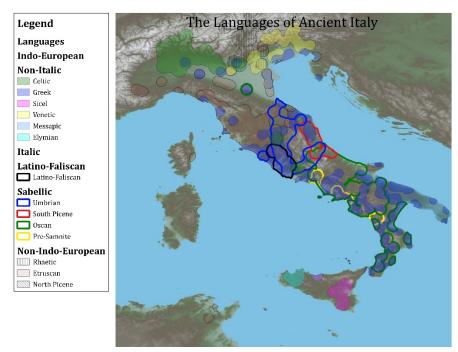


Figure 1.1 The linguistic diversity of the Italian peninsula represented by sites of inscriptions

Data taken mainly from a spreadsheet compiled by Katherine McDonald (https://katherinemcdonald.net/research/maps/ with refs.), further supplemented as follows: Elymian from Marchesini (2012: 97); Faliscan from Bakkum (2009); Latin from EAGLE (Electronic Archive of Greek and Latin Epigraphy, http://www.edr-edr.it/) with a search for inscriptions in Latin dating to before 400 BCE: Lepontic from the Lexicon Leponticum (https://lexlep.univie.ac.at/wiki/Main\_Page); Rhaetic from the Thesaurus Inscriptionum Raeticarum (https://tir.univie.ac.at/wiki/Main Page); Sicel from (http://sicily.classics.ox.ac.uk/). Not of Sicily Punic/Phoenician and the Greek inscriptions of Sicily. The simplified grouping of the Sabellic languages into Oscan, Umbrian, South Picene, and Pre-Samnite follows Weiss (2020: 15-16). Note that Pre-Samnite pre-dates Oscan where it was attested.

The theory of linguistic substrates began to develop in the work of Romanists (Craddock 1969: 18-22), especially Graziadio Isaia Ascoli, who were dealing with a wide variety of material attested in the numerous Romance languages and who seemed to understand

<sup>&</sup>lt;sup>2</sup> Like his 1882 'Lettere glottologiche: prima lettera' in *Rivista di Filologica Classica* 10: 1-71, where he argued that Gallo-Romance sound shifts were caused by Celtic speakers learning Latin. Craddock (1969: 19-22) notes several scholars preceding Ascoli who wrote about similar ideas.

that the spread of Latin must not have involved simple language replacement. It began, at least with Ascoli, as a criticism of the Neogrammarian model. This discontentment with the stringency of the Neogrammarian model and the exclusive focus of Indo-Europeanists on discovering the regularities of the daughter languages continued to influence the work of Italian scholars into the 1940s. Bertoldi (1939a: 5-19) contrasted the approaches/attitudes of Indo-Europeanists like Walde with those of Romanists like his teacher Jules Gilliéron and later (Bertoldi 1942: 1-8) highly praised Schuchardt for taking into account the shifting linguistic contacts that must underlie the complexity of Romance development from Latin:

> Schuchardt was therefore one of the first to rise up against the purely evolutionary conception of a language whose changes should be governed by rigid laws, who adheres instead to the principle that linguistic innovation in every system is the effect of contact of the individual with a more or less homogeneous collectivity of speakers in a varied game of expressive and receptive possibilities (Bertoldi 1942: 4-5).<sup>3</sup>

He saw that progress in the field relied on seeing linguistic change "no longer as the reflection of a pure and simple grammatical development, but as the result of historical events and cultural currents destined to accelerate or delay the rhythm of the consequent linguistic innovations" (Bertoldi 1942: 5).<sup>4</sup> Alessio (1944a: 94) shared the frustration when describing research on the Mediterranean substrate (see §1.2.2.1.1), noting that the major etymological dictionaries "do not know how to completely free themselves from the shackles of traditional etymology"5 and calling for the languages of the Mediterranean to be thoroughly surveyed by experts in the field, not Indo-Europeanists "who have a very different sensitivity in dealing with linguistic problems."

As will be mentioned further in §1.4, words borrowed from the unknown pre-Latin languages of Europe show up in Latin as traditionally unetymologizable. They are either isolated without any cognates or have lookalikes in other languages that defy understood sound laws. Some words like this can be explained through inter-dialectal borrowing or internal processes like analogy. However, given the disgruntlement towards the field of Indo-European studies mentioned above, it is worth noting that the state of the field today takes both sides' interests into account. It is only the adherence to the Neogrammarian model of the inviolability of sound law that allows for the identification of instances where regularity breaks down. It is the historical comparative method that

<sup>&</sup>lt;sup>3</sup> "Fra i primi ad insorgere contro la concezione puramente evoluzionistica d'una lingua i cui mutamenti dovrebbero essere retti da leggi rigide fu, dunque, lo Schuchardt che s'attiene invece al principio che l'innovazione linguistica in ogni sistema è l'effetto del contatto dell'individuo con una collettività di parlanti più o meno omogenea in un gioco vario di possibilità espressive e ricettive."

<sup>4 &</sup>quot;Non più come il riflesso di un puro e semplice sviluppo grammaticale, ma come il risultato delle vicende storiche e delle correnti culturali destinate ad accelerare o a ritardare il ritmo delle conseguenti innovazioni linguistiche."

<sup>&</sup>lt;sup>5</sup> "Non sanno liberarsi completamente dalle pastoie dell'etimologia tradizionale."

<sup>&</sup>lt;sup>6</sup> "Che hanno una sensibilità molto differente nel trattare i problemi linguistici."

allows us to determine if a word is the expected reflex of an inherited root, if it is isolated, or if it shows irregular correspondences to would-be cognates.

In the history of the field, there have been many kinds of attempts to explain the origins of Latin etyma once it has been determined that they are not inherited. Numerous examples of borrowing from known, well-understood languages are still accepted today. But countless lexemes cannot be explained in this way. Given that the linguistic diversity of the Italian peninsula and the Mediterranean Basin has been documented since antiquity, various other contact scenarios have been proposed and used as explanations. What follows is a summary of several kinds of proposals: borrowings from poorly understood Indo-European languages, borrowings from lost Indo-European languages, and borrowings from non-Indo-European languages.

#### 1.2.1 Indo-European Sources

#### 1.2.1.1 The Balkans

As will be shown in detail, there are several cases of Latin-Greek correspondences with very slight aberration in consonantism. Two salient examples include Lat. ballaena ~ Gk. φάλλαινα 'whale' and Lat. fascinus 'evil spirit; charm' ~ Gk. βάσκανος 'bewitcher, slanderer'. In both cases, especially the latter where one can imagine descent from PIE  $*b^heh_2$ - 'to speak', one reflex can be reconstructed as descending regularly from PIE  $*b^h$  while the other represents \*b. This has led to the suspicion that the irregular one of the pair represents a loan from a known language in which PIE mediae apsiratae yielded mediae. There are three potential culprits, namely the poorly attested Balkan languages Illyrian, Thracian, and Macedonian (cf. Schwyzer I: 65-71, Biville I: 180).

#### 1.2.1.1.1 Illyrian

Archaeological and onomastic material along with indications in the writings of ancient authors make it possible that Messapic was directly related to Illyrian (cf. Hamp 1957: 74), but there exists no inscriptional material to help confirm this (cf. Fortson 2010: 464-5, 467-8; Matzinger 2005: 29, de Simone 2018: 1842-3, Matzinger 2019: 20). In fact, Albanian is spoken in the geographic region where Illyrian is purported to have been spoken, but again, the absence of attested Illyrian material makes it very difficult to investigate any connection. On the other hand, some remarkable lexical correspondences between Albanian and Messapic, especially given the poor attestation of the latter and the nearly 1500 years separating their first attestations, make it quite likely that they are closely related (e.g. Matzinger 2005, followed lukewarmly by Hyllested & Joseph 2022: 240, 241). Thus, regardless of an existing relationship to the elusive Illyrian, Messapic seems to represent an originally Balkan language that came to be spoken on the Italian

<sup>&</sup>lt;sup>7</sup> From Greek (cf. Weise 1882, much more recently Biville I and II), Celtic (e.g. Schmidt 1966), Germanic (precious few: Green 1998: 182-200). On the possibility of inter-dialectal borrowing, i.e. Sabellicisms or regional variants appearing in attested urban Classic Latin see e.g. Rix (2005) and a careful treatment of the chronological and regional variation of Latin in Adams (2007).

peninsula.

Krahe (1955: 114-7) gave a summary of Latin and Greek words considered to be loans from Illyrian, often via Messapic, finding that they fell into two main categories—small sea-going vessels and horse-related words—along with some others. Even if we re-script Illyrian as "an ancestor of Albanian", many of the proposed loans have little to support them. Lat. gandeia 'an African vehicle' is supposed to be from Messapic on comparison with Venetian gondola 'type of boat'8 and the -eia suffix found in sabaia 'beer', given by glossators as Illyrian. Lat. hōreia 'small fishing boat, pointed at the front' would be Illyrian/Messapic on the evidence of the -eia suffix alone. Lat. caballus ~ Gk. καβάλλης 'workhorse' would have entered from Illyrian because of several personal names that all have the element cabal(l)-. But there is no reason to source this word specifically from Illyrian given its attestation in several other places.

The Messapic reflexes of PIE  $*b^h$  and  $*d^h$  really do seem to be b and d (cf. Messapic berain 'may they bring'  $< *b^her-o-ih_l-nt$ , Matzinger 2019: 64, and further hipa-des 'he/she dedicated'  $< *supo-d^heh_I-s-t$ , de Simone 2018: 1844). If this goes for Illyrian too, then a  $D^h \sim D^h \sim D^h$  discrepancy between languages might attest to one of them having borrowed an Illyrian reflex in place of its own inherited reflex. Several cases of this are given by Krahe (1955: 114-7) to explain irregular alternations between Latin and Greek, but there are methodological problems. According to him:

- Lat. ballaena 'whale' would be either from Gk. φάλλαινα 'whale' via Illyrian or both would be from Illyrian. But if the Illyrian reflex of  $*b^h$  was b, then Gk. φάλλαινα cannot be from Illyrian. The Latin diphthong (unweakened in a noninitial syllable) attests to a late loan from Greek. In that case, we are not looking for a language whose reflex of PIE  $*b^h$  was b but rather one that borrowed Gk.  $\varphi$  as b; there is no indication that Illyrian or Messapic did this.
- Lat. deda 'wet nurse', if the vowel length is correct, would be from the Illyrian reflex of \* $d^heh_1d^h\bar{a}$ -: cf. Gk. τήθη 'grandmother' (cf. also Krahe 1937) and PBSl. \*de?d- 'grandfather/uncle' (cf. Derksen 2007: 101, EDG 1477). But it is a Lallwort (cf. TLL s.v. dida) and thus its evidentiary value is dubious.
- Lat. galaia and Gk. γαλαία 'racing vessel' would be Illyrian along with Lat. golaia 'sea turtle', cognate with Gk. γέλος 'tortoise' and PSlav. \*žely- < \* $g^hel(H)$ -uH-. Note here also the -aia suffix. But the connection of the ship and turtle words is not secure. Despite the turtle lexeme being reconstructible to a common proto-form in two languages of the Balkans, golaia appears in Latin recently, in glosses and the Latin translation of Dioscorides' de Materia Medica. Thus a loan from Illyrian or even Messapic seems far from the only option.

<sup>&</sup>lt;sup>8</sup> This was used as evidence because Venetic had been thought to belong to the Messapic-Illyrian branch. It does not (already Beeler 1949: 48-57).

• Lat. brīsa 'remains of pressed grapes' would be from Illyrian \*brīsa, itself from Thracian \*brūtia, the source of Gk. βρῦτος 'barley beer' and βρύτεα 'refuse of olives or grapes' < PIE \*bʰru- (cf. Lat. dē-frutum 'boiled down must'). The assumption of Thracian origin is not bulletproof, but otherwise this is the only example where a Balkan language seems to have been involved, albeit not Illyrian. The pre-form of Alb. bërsí 'pomace, lees, dregs', PAlb. \*brīsā, is identical to Lat. brīsa. Since Albanian produces s < \*ti, a pre-form of Albanian may have been involved in the transmission of this lexeme into Latin. Krahe assumed that Illyrian was responsible for the change of ū to ī, but without any further evidence of this, it is ad hoc. All we can say is that βρῦτος reached Albanian (where a direct loan should have given \*\*brys-, Demiraj 1997: 98) indirectly.</p>

Kretschmer (1896: 248-9, fn. 4) had additionally suggested that a North Balkan treatment of  $*b^heh_2$ - 'to speak' might be responsible for Gk. βάσκανος 'who bewitches; sorcerer, slanderer' beside Lat. *fascinus* 'evil spirit, spell' (cf. also Devoto 1943: 364). But given the semantic distance from the root in question and the lack of evidence of other IE attestations of this formal and semantic derivation, it does not seem fully warranted to achieve regularity by forcing  $*b^haskano$ - through Illyrian on its way to Greek.

There are two cases of potential Illyrian loans given by Krahe where his reason seems to have been the aberrant *a*-vocalism in Latin. Lat. *mannus* 'small horse' (cf. also Brüch 1922: 246-7) would be from Illyrian \*manda- attested in a Messapic name of Jupiter *Menzana*, supposed to be from PIE \*mezd- 'to feed' (cf. Alb. *mënd* 'to suckle' but also *mëz* 'foal'). Orel (1998: 265) reconstructs for *mëz* PAlb. \*mandja- and takes It. *manzo* 'ox' from its Messapic cognate. It. *manzo* requires a pre-form like \*mandius and could indeed theoretically be related to the Albanian form, but Lat. *mannus* would not regularly have developed from a form with \*-nd-.9 Lat. *parō*, Gk. παρών 'small boat' would be from Illyrian, cognate to OHG farm 'fast ship', Ru. *poróm* 'ferry', etc. < \*por-mo-. But regardless of the source of the Greek form, the Latin is most easily explained as a loan from it. Illyrian need not have produced it.

Krahe additionally gives the case of Lat. g for Gk.  $\kappa$  in Lat.  $grab\bar{a}tus$ , Gk.  $\kappa$ ράβ(β)ατος 'bed'. They would be from Illyrian/Macedonian \*graba- 'oak', also found behind Gk. γράβιον 'torch, oakwood' and the Umbrian epithet of Jupiter Grabovius. EDG (284) notes that the forms are also compared to e.g. Ru. grab 'hornbeam'. The Slavic forms are the only indication of an originally Balkan source. Otherwise the forms could be from

<sup>&</sup>lt;sup>9</sup> The sequence -nd- is preserved in Latin, thus the assumption is in WH (II: 30) and EM (384) that it is a dialectal form (cf. dispennite for dispandite in Plautus' Miles Gloriosus). Nor does Sabellic origin provide a good explanation. Weiss (2020: 188) notes that even there, the evidence for a development \*nd > nn is poor. Whether the forms with \*-nd- are Messapic/Illyrian to begin with is unclear, but such an origin does not straightforwardly explain how Latin ended up with mannus (which, WH [II: 30] mention, the grammarian Consentius ascribes Gallic origin).

anywhere. Perhaps Illyrian transmitted the γ of Gk. ἔγγελυς 'eel' to Latin as the gloss enocilis = anguīlla, but this relies only on the Illyrian personal name Enoclia as evidence.

In the end, the evidence pointing to Illyrian (or Messapic/Proto-Albanian) being responsible for irregular sound correspondences involving Latin is too slim to confirm. When a solid IE root etymology can be proposed and several sound laws are involved, the case becomes stronger. But this is so far only the case for Lat. brīsa. Even there however, the vocalism suggests that its potential Proto-Albanian source did not receive the word from any attested source.

#### 1.2.1.1.2 Thracian and Macedonian

Thracian is poorly attested and poorly understood. Our best information generally comes from Hesychius glosses (Fortson 2010: 463-4) beside a small number of inscriptions. Its name often appears in compounds like Thraco-Phrygian and earlier as Thraco-Illyrian, but the evidence is too fragmentary to connect Thracian to another subgroup with any amount of certainty. Thus, though Kretschmer (1923a: 229) gave as evidence of the Thraco-Phrygian development of PIE mediae aspiratae to mediae  $\alpha\beta\beta\epsilon\rho\epsilon\tau < *b^her$ - and αδδακετ  $< *d^heh_{I^-}$ , these are Phrygian forms and cannot be used to investigate Thracian. Our best evidence that Thracian had the same outcome of the voiced aspirates is Gk. βρῦτος and βρύτεα (Hsch. βροῦτος, βρύττιον), which seems to descend from  $*b^h ru$ - if related (as mentioned above) to Lat. defrutum and Engl. brew, broth. But as will be mentioned in §2.4.3, I am skeptical of the Thracian origin of this word. Nor does it explain the vocalism of Lat. brīsa. Its origins in Thracian may have nothing to do with the change and the mediating language could have been any language of the Mediterranean, known (like Illyrian) or unknown.

Because historical Thrace is not contiguous to Italic-speaking areas but does border on Greek-speaking areas, many of the hypotheses about words of Thracian origin are more relevant to Greek than to Latin. The Thracian pedigree of a Greek word has no bearing on how it is borrowed into Latin unless Latin has also borrowed the word from Thracian, which is geographically unlikely. For example, Boisacq (1911-12: 58-9) gives e.g. Gk. πίσος 'pea' and πύξος 'box-tree' as words of Thracian origin due to a lack of an etymology and the presence of the suffix -aso or -so with preserved intervocalic s. But Lat. pisum 'pea' is a direct borrowing from Greek neut. πίσον and a Thracian origin of Gk. πύξος (for which there is no morphophonological indication) does not explain the voiced consonant of Lat. buxus.

There are enough Macedonian personal names and glosses given as Macedonian that we can be relatively certain that it reflects PIE mediae aspiratae as mediae. Cf. for example Mac. Βίλιππος : Gk. Φίλιππος, Mac. Βερενίκη : Gk. Φερενίκη, and Mac. κεβαλή : Gk. κεφαλή 'head' (Fortson 2010: 464), though Méndez Dosuna (2012) argues that this is the result of a Lautverschiebung in what was originally a Greek dialect. Nevertheless, it seems that few Macedonian loans have been proposed for Latin, perhaps because it 8

suffers from the same problem as Thracian in that it is not contiguous with Latin-speaking regions.

The problem for all of these languages is that, while they could be used to try to explain a few mismatching forms between Latin and Greek by claiming that one language borrowed the form while the other language continues an inherited reflex, this is generally the extent of their usefulness. To account for larger numbers of words with irregular correspondences, we would need to assume either a substantial number of cases of this incongruous borrowing or perhaps that these languages were once more widespread—that they might have underlain Latin or Greek. It is unlikely that we will be able to claim this, at least for the languages in their historically attested states. Thus there have been a number of attempts to identify within Latin and/or Greek and/or other IE branches remnants of older Indo-European languages that may have reached the areas that Latin and Greek would come to be spoken in some time before they got there. The idea is certainly not absurd. Populations with steppe ancestry appear in Italy around 2000 BCE (Saupe et al. 2021) but Latin is not attested until much later. Part of this must be due to the lack of an alphabet on the Italian peninsula until the 8th c. BCE, but it is easy to image that other Indo-European languages got to the Italian peninsula before the Italic family did. Several lost PIE languages have been proposed to account for irregular reflexes, not all directly bearing on Italic.

#### 1.2.1.2 Indo-European Substrates

Kretschmer (1896: 401-9), as will be mentioned in §1.2.2, in part summarizing what had been gathered up to that point, supported the idea of a non-IE speaking population having been present in Greece and Asia Minor before IE languages became settled in those areas. The convincing factor to him was the Gk.  $-v\theta o \zeta$  suffix, not be a gerundive in form or function, and which appeared on substantives of obscure etymology, several personal names, and placenames which corresponded to placenames in Asia Minor ending in -ndos and -nda. Gk.  $-\sigma$ -/ $-\sigma\sigma$ -/ $-\tau\tau$ - featured in his argument, but he noted that these can be the result of inherited morphology as well. He maintained this position for several decades (cf. still Kretschmer 1923a: 69). But by 1925, he began to change his mind, influenced by the paradigm-shifting discovery and decipherment of the Anatolian languages. He noticed a widespread, functionally diverse nt-suffix in words with a good IE etymology in Greek (e.g. ἀνδριάς, άντος 'statue'), Slavic (diminutives like \*ονьсε to \*ovbcà 'sheep'), Italic (ethnic names like Picentes, Fulcentes, Aventinus), Germanic (\*hri/unbiz- 'cow' < \*kr-ent-), and Illyrian placenames in -entum/-untum (Kretschmer 1925a: 84-106). After listing several examples, he concluded that the non-IE -νθος/-anda suffix was used in approximately the same ways as the nt-suffix he examined amongst the Indo-European languages. This could be due to chance, or it could suggest "daß schon vor der späteren Ausbreitung der Indogermanen sich eine indogermanische oder indogermanoide Welle nach dem Süden ergoß, und zwar über eine unindogermanische Urbevölkerung" (pg. 106).

In a second article in the same year, he used the early understanding of the recently understood Anatolian languages to make a logical postulation. If Lydian and Lycian are Lydian and if Etruscan related to Indo-European. is Tyrrheno-Pelasgian/Pre-Greek, then we should find Indo-European elements in Etruscan, Pre-Greek, and in the later Indo-European languages that settled over and around them, namely Italic and Greek (Kretschmer 1925b: 300-19). The relationships between the languages he mentioned may no longer be supported today, but the basic principle was clear: there could be traces of much older "protindogermanische" [sic] languages taken up by later Indo-European languages. And they should be visible: "In diesen Fällen erlaubte die unregelmäßige Vertretung der Verschlußlaute, die [protindogermanische] Herkunft von der [indogermanischen] zu unterscheiden" (pg. 310). He had set the stage for more systematic approaches to try to understand which irregular reflexes might be due to underlying but related languages—Indo-European substrates—with different sound laws.

#### 1.2.1.2.1 Pelasgian

Taking archaeological investigations into account, Kretschmer updated his ideas in 1940 (231-78) and 1943 (84-218). He argued for two Pre-Greek layers: a non-IE Anatolian layer and an Indo-European stratum that he called Danubian. He identified the Anatolian layer with the ancient autochthonous *Leleges*, while the Danubian layer represented the *Pelasgoi*. The latter appeared as the archaeological Dimini Culture, bringing *Linearbandkeramik* elements from the North into the Balkans along with the *Protindogermansich* linguistic elements preserved in Greek. Katičić (1976: 57-87) in general provides a more detailed overview of the development of the Pelasgian theories than I could here ever hope to replicate. With the help of his summary, I will highlight a few of the main developments of the Pelasgian theory.

Milan Budimir, publishing from the 1920s to the end of the 1960s, preferred the name *Pelastic*, based on the *lectio difficilior* Πελαστικέ for Πελαστικέ in the scholiast to the *Iliad* 16.233. He saw Pelastic as preserving the three-way distinction of the original PIE velar series, and argued 1) that Albanian does this too and therefore continues this oldest PIE stratum of the Balkans and 2) that Slavic has many of the same features of this IE Pre-Greek language of the Balkans including being *satom*, velarization of *-s-* after u, and preterit participles in *-lo*. Katičić's criticism of his work is the same as for Kretschmer. The variation in the phonology of the proposed cognate sets is too great. It contradicts the main thesis that all the forms descend from one genetically homogenous language. An example of a word family claimed by Budimir to descend from PIE \* $d^heup/b$ - 'deep' assumes  $d \sim l$  and  $d \sim s$  alternations, alternations between all nearly all possible vowels, and an a-prefix (Katičić 1976: 64-6):

```
Hsch. δάξα, var. δάψα 'sea' ∴ Θέτις 'Thetis' < *Θέπτις ∴ Όδυσσεύς 'Odysseus' = prep. o + δυσσ < *δυξ Lat. Ulixes = prep. u + lix

Gk. ζάψ 'surf' Alb. det/dejet 'sea'

Lat. Tiberis 'Tiber river'

Gk. σίμβλος 'beehive', σιπύη 'meal tub',

Gk. δέπας, δέπαστρον 'beaker'

Gk. ἀλάβαστρον/ἀλάβαστρος 'vase for perfume', λεπαστή 'limpet-shaped cup'

Gk. λαβρώνιον 'large, wide cup'

Lith. dauburŷs 'valley'
```

Vladimir Georgiev, especially by the time of his *Vorgriechische Sprachwissenschaft* (1941-5), had identified a pre-Greek *Pelasgian* underlying Greek that he considered an independent daughter branch of PIE. He was one of the first to propose a system of sound laws for Pelasgian. Based on his data, he found:

```
PIE *o > Pelasgian a
PIE *R > Pelasgian uR or iR (*r, *l sometimes > ru, lu)
Chain shift:
                   *b^h > b
                                     *b > p
                                                          *p > ph
                   *d^h > d
                                       *d > t
                                                           *t > th
                   *g^h > g
                                       *g > k
                                                          *k > kh
PIE *k^w, g^w, g^{wh} > Pelasgian k^h, k, g
PIE *k'; g', g' > Pelasgian g' (g'); g' (g'), i.e. it is a satom language
PIE *s preserved prevocalically and intervocalically
Aspirate dissimilation occurred before any other changes
```

Georgiev further claimed that this Pelasgian was the same language as the source of the Pre-Greek placenames. He does not convince Katičić on this account (pp. 79-80), who emphasizes that placenames are not reliably etymologizable. Several scholars built upon Georgiev's premises. Weriand Merlingen followed Georgiev, but envisioned the IE Pelasgian language (he called it *Akhaean*) as a superstrate rather than a substrate. He additionally proposed a second IE language that influenced both Greek and Pelasgian. He called it *Psi-Greek* because of the first row of the consonant shift:

```
*p *t *k *k' > ps s ks ks

*b *d *g *g' > ph th kh kh

*b**d**g**g** > b d g g

*k***g**g***s > ph bh b
```

Before the shift, aspirates were dissimilated in voicing and aspiration. As for vowels, \*o > u, \*e > i, \* $\bar{a} > \bar{o}$ , \* $\bar{e} > \bar{a}$ , but the syllabic nasals and resonants have irregular outcomes. This allowed etymological equivalences between Gk. θεός and Lat. deus 'god', Gk. ξανθός 'blond' and Lat. candidus 'white' as well as Gk. ἄνθρωπος and Gk. ἀνήρ, ἀνδρός 'man' (examples from Katičić 1976: 81). One sees how quickly this double system of superstrate languages can produce artificial results, especially when the matches are not perfect.

Otto Haas also followed Georgiev, and by 1960 had teased apart what he saw as two different layers: 1) That found by Georgiev (and Albrecht von Blumenthal in his 1930 Hesychstudien), which he called hylleisch after the Y\lambda\tilde{\alpha}\tilde{\

Albert Carnoy also followed Georgiev's sound laws and proposed numerous Pelasgian etymologies based on short roots with general meanings. (Cf. e.g. κόμαρος 'strawberry tree' < PIE \*geu- 'to bend, form a ball', μίνθη 'mint' < PIE \*mei- 'sweet, refreshing', νάρκισσος 'daffodil' < PIE \*snerg- 'to stiffen', σαλάμβη 'chimney' < PIE \*swel- 'absorb', Carnoy 1955a). This methodology led to criticism even from other Pelasgian scholars.

The problems with the methodology of the Pelasgian theories begin with the scholars themselves. Hester (1965: 347) writes that "the great ingenuity and erudition of the Pelasgianists," (the exact two words which Katičić (1976) also uses to preface his criticisms) "especially Georgiev and van Windekens, is generally praised, but...the greater the ingenuity, the greater the possibility of constructing a phantom Indo-European language from non-Indo-European material." Indeed, attempting to provide native etymologies for what had up to then begun to be suspected of being non-Indo-European was at the core of the Pelasgianists' methodology. In the end, they show that virtually anything can be provided with an IE etymology. Hester continues, "If we allow the Pelasgianists to postulate one new Indo-European language, we can hardly prevent them from postulating several (which is historically at least as plausible); but the postulation of them obviously increases the danger..." Of course there could have been numerous Indo-European languages in the area, now lost; the question is not one of plausibility but provability. Despite generally following the sound laws as proposed by Georgiev, there was disagreement amongst the Pelasgianists as to the exact phonology they reconstructed. Too many exceptions were allowed, defended on the basis of words entering Greek at different stages. This is theoretically valid—the way that language A borrows from language B changes as both languages' phonologies develop over time but it is perilously difficult to prove. Another criticism shared by both Hester and Katičić is that most words given as Pelasgian show only one of the sound changes.<sup>10</sup> Of those

<sup>&</sup>lt;sup>10</sup> Interestingly, Devoto (1943: 365) takes issue with exactly the cases that show more than one of the sound laws. However, this is because he sees several of the phenomena as having different sources. Thus

that show more than one, Hester (1965: 384) is only convinced by πύργος 'tower, fortress' ( $<*b^her\acute{g}^h_-$ ), τάργανον 'vinegar' ( $<*d^her∂-g^h_-$  or \*ster-eg-), τύμβος 'grave mound' ( $<*d^hmb^hos$  cf. Gk. τάφος 'grave'), and τρύγη 'drought; harvest' ( $<*d^hereg^h_-$  vel sim.), a list so small it could be due to coincidence. Katičić (1967: 76) places much weight on Hester's (1965: 384) conclusion "It appears then that there is a small number of probable Indo-European loan-words in Greek," but he does not finish the quotation. Hester continues "…borrowed most probably from neighbouring languages and not from a substrate or superstrate. These words are totally unconnected with 'Aegean'."

For these reasons, and particularly as evidence in favor of the Yamnaya theory of Indo-European dispersion has accumulated, Indo-European Pelasgian theories have generally been given up. Garnier and Sagot (2017) attempt a fresh approach with the criticisms in mind, as will be mentioned below. But the Pelasgianists were far from the only ones to attempt to find an Indo-European substrate amongst some of the daughter languages.

#### 1.2.1.2.2 Temematic

Less relevant to Latin and the Mediterranean world but systematically similar to the Pelasgian hypothesis is the Temematic language described by Georg Holzer (1989). He proposes the existence of an Indo-European language from which Baltic and Slavic borrowed words with seemingly irregular outcomes. The sound laws are:

```
    PIE tenues > mediae (The "teme-" of temematic)
        *p, *t, *k, *k', *kw > b, d, g, gw
    PIE mediae aspiratae > tenues (the "-mat-" of temematic)
        *bh, *dh, *gh, *gh, *gwh > p, t, k, k, kw
    PIE *r > ro, *l > lo
    PIE *V > V / _i, u, r, l, m, n
    PIE diphthongs immediately before a sequence *CV received acute accentuation. This functioned after rules 4 and 5.
```

Holzer's analysis benefits from a number of criteria het sets in order to ensure that his data are as objective as possible, including root length (shorter roots are more likely to be coincidence), root sharpness (the number of different root reconstructions allowed for by the comparanda due to the sound laws of the languages involved), probability of having

the connection of Gk.  $\sigma o \phi o color of color o$ 

an IE origin, semantic closeness, degree of abstractness of the semantics, and number of synonyms. Because of this, many of his comparisons are quite good. Kortlandt (2010: 73-80) accepts the existence of Temematic and takes it seriously enough to speculate on its placement in the IE tree.

The ability to establish that a root is of IE origin is per se difficult, especially when irregularities are involved. A large number of comparanda does not guarantee that a root is inherited (especially when the attestations are geographically close). For example, Holzer (1989: 121-2) connects PBSl. \*tron- 'drone' (PSlav. \*troto, Lith. trānas, Latv. trans 'drone') to Germanic and Greek drone words from \*dhrōn-. But problems with reconstruction within Germanic (cf. Kroonen 2013: 101) and Greek (cf. EDG 105, 554) suggest instead that the whole group represents loans from a non-IE language. On the other hand, Holzer's (1989: 51-4) connection of e.g. Lith. biržė 'furrow' to Lat. porca, OHG furh, and MW rhych 'furrow' < \*pṛk- is more difficult to do away with. Its potential reflex in Ved. párśāna- 'low sunken place?' seems to lend credence to an IE origin. What makes it particularly compelling is its irregularity in Balto-Slavic compared to the common pre-form reconstructible for the other branches. Distributions like this seem quite significant; while an IE substrate is not the only explanation (perhaps the lexeme was mediated by a non-IE language, cf. Devoto's 1943 peri-indoeuropeo), it should be kept in mind. 11

#### 1.2.1.2.3 Indo-European Substrates directly involving Italy

#### 1.2.1.2.3.1 Ribezzo's Ausonian

Francesco Ribezzo postulated throughout several articles (1928, 1929, 1930, 1932, 1934a etc.) that pre-Italic Southern Italy from Rome to Sicily was home to an IE language the he named Ausonian after the inhabitants of Southern and Central Italy (called  $A\ddot{\omega}\sigma\sigma\nu\varepsilon$  by the Greeks, *Aurunci* by the Romans). Its main feature was the reflection of *mediae apsiratae* as *tenues*, but almost all examples show \* $d^h > t$ :

```
Leuternii etc. < *leudʰer(i)no- < *h<sub>1</sub>leudʰ- 'people'
Rutulī < *rudʰ- 'red' (1930: 92)

Mount Aetna (Αἴτνη) < *aidʰenā < *h<sub>2</sub>ei̞dʰ- 'to burn' (1928: 192-4, 1934a: 91)

Etr. lautn 'free?' = līber < *h<sub>1</sub>leu̞dʰ- (1929: 64, 1932: 32)

Sicel λίτρα 'Sicilian coin', Lat. lībra 'Roman pound, scales' < *tlēidʰrā- < *telh<sub>2</sub>- 'to carry'
```

Szemerényi (1991 II: 655-672) reviews the idea by means of updating the etymology of  $\lambda i \pi \rho \alpha / l \bar{t} b r a$ . He is convinced by Ribezzo's arguments that "Sicel-Ausonian" had the

<sup>&</sup>lt;sup>11</sup> Holzer (1989) lists only the cases that work with Temematic sound laws. It would be interesting to see a list of cases of alternation between Balto-Slavic and other IE branches that attest to different irregular correspondences.

 $<sup>^{12}</sup>$  He asserts that Germanic forms (like OE  $l\bar{e}ad$ ) are not borrowed from Celtic (cf. MIr.  $l\dot{u}aide$ ) but instead that the Germanic and Celtic lead words are from \* $loud^h-o$ - 'lead', whence Lat.  $l\bar{b}bra$  as "in the

change  $*d^h > t$  and in fact further proposes to have found evidence for  $*b^h > p$  as well. He notes some cases that Ribezzo adduces that do not show this development (like  $*h_2elb^ho-$  'white'  $> A\Lambda\Lambda IBANON = Allifae$ ,  $*reud^ho-$  'red' > Rudiae, pg. 663), but thinks they can be safely removed from consideration (pg. 671-2).

The \* $b^h$  > p change is dubious: in Paulus ex Festo it is claimed that Latin has album 'white', Greek has  $\grave{\alpha} \lambda \varphi \acute{o} v$ , but Sabine has alpum. Szemerényi (1991 II: 671) argues that it should be taken seriously and that it is a remnant of the dialect of their predecessors. Neither of these proposals is warranted in my mind. He further mentions  $Alp\bar{e}s$  'Alps' < \* $h_2elb^h_-$  'white' and Tiberis 'the Tiber' < \* $d^heub^h_-$  'deep', but as toponyms there is no guarantee that their original semantic value is recoverable. The etymological analysis of ethnic and geographic names is extremely problematic, and Etr. lautn, if borrowed from Italic, can simply have been borrowed before the change from \* $h_1leud^h_-$  >  $l\bar{\imath}b$ - (on this, cf. Rix 2005: 564, who suggests an Umbrian source).

The only case where it seems like Ribezzo and Szemerényi were on the right track is that of  $\lambda$ itpa ~  $l\bar{t}bra$ , but it does not carry the significance that they claim. Rather than evidence of an IE Ausonian language where  $*d^h > t$ ,  $\lambda$ itpa is probably a loan from Sicel (Lejeune 1993: 2, Weiss 2021) where  $*d^h > d$ , and d was devoiced before sonorant consonants (Willi 2008: 22). Current scholarship leans towards Sicel being an Italic language (cf. Weiss 2020: 15 fn. 26), making it unlikely to have served as a substrate for the peninsula. Furthermore, the problems with a multi-wave origin of the Italic languages (as espoused here by Szemerényi 1991 II: 682-5) is discussed in §7.2.

#### 1.2.1.2.3.2 Haas's Frühitalische Element

Otto Haas, as mentioned above, had followed much of what Georgiev proposed for the Indo-European substrate language(s) in Greece, but would not call any of them Pelasgian. In 1960, he searched for traces of these in Latin. This too built off of work that Georgiev had done in his 1938 *Die Träger der kretisch-mykenischen Kultur, ihre Herkunft und ihre Sprache, II. Teil: Italiker und Urillyrier; Die Sprache der Etrusker.* But Haas set out to do it better by not assuming from the beginning that Etruscan was a dialect of Pelasgian.

His methodology included 1) that the only reason to compare an Italic word to another IE word despite a mismatch in form is its meaning. 2) The meaning must be well established; not hypothetical. He explicitly stated that this makes most of the Etruscan material unusable and that toponyms are generally not helpful. 3) The larger the portions of the words that correspond, the better (i.e. they do not share simply a root-like element). 4) The same strict standard must be used for counterarguments; alternative explanations do not take precedence simply by virtue of them being more conventional.

Despite his attempt at a strict methodology and his disclaimer that this is simply a preliminary attempt, his data leave an overall unconvincing impression. His comparisons led him to some of the following sound changes for *Frühitalisch*:

Some of the developments have alternative outcomes or are otherwise suspicious. For example, after a nasal  $*b^h > b$  and  $*g^h > g$  (in  $p\bar{\imath}nguis$  'fat'  $< *b^h\eta g^hu$ -). But in *truncus* 'mutilated; trunk'  $< *d^hrong^hos$ ,  $*ng^h$  instead produced c. While  $*\bar{a}u$  is meant to produce lu, lutra 'otter' ( $< *udr\bar{a}$ -) would suggest that \*u has the same result. Both  $*b^h$  and  $*d^h$  are meant to produce b after \*u in  $Fr\ddot{u}hitalisch$ , though these are simply regular Latin sound laws (the former is the expected development of non-initial  $*b^h$  and the latter is the RUbL Rule [Weiss 2020: 84]).

There are furthermore issues with some of his etymologies. For example, he compares Lat. *filix* 'fern' to the Greek and Germanic willow words of the shape \*welik-. Lat. culpa 'blame' he compares to derivations of PGm. \*glapp/bōn- 'to slip off' such as ON glapna 'to spoil, become useless'. He justifies the connection by comparison to Lat. vinum culpatum 'spoiled wine'; this despite his warnings against hypothetical reconstructions of meaning. Lat. tuba 'trumpet' he compares to Ru. dudá, etc. 'pipe (instrument)', leading him to reconstruct \*dhudh- and \*dhoudh- respectively; but \*dheudh- is not a permissible root structure for PIE (unless perhaps an exception given its onomatopoetic function). He collected much material, but its usefulness is in the irregular correspondences he notes between forms rather than his IE etymological connections.

#### 1.2.1.2.3.3 Garnier and Sagot

Garnier and Sagot (2017) set forth to re-analyze the situation, taking into account the criticisms of the Pelasgianists' methodology (semantic implausibility, exceptions to the proposed sound changes, one sound change per word, non-conformance to PIE word-formation patterns), and propose to have found underlying Latin and Greek traces of an IE substrate language that was 1) *centum*, 2) underwent a change in which "voiced aspirated consonants (at least PIE  $*b^h$ ) would be reflected as non-aspirated voiceless consonants, at least in certain contexts", 3) had syllabic sonorants, 4) had fortis consonants, and 5) underwent stress regression. The *centum* rather than *satam* nature of the language and the change  $*D^h > T$  rather than  $*D^h > D$  puts their substrate in league with Temematic and Ausonian rather than Pelasgian.

Their analysis first proposes a frequent nominal morph \*p, for which I am not convinced there is sufficient PIE evidence.<sup>13</sup> This allows proposals like (with their proposed phonetic laws in parentheses):

- (1) \*leiH- 'to drip, pour': \*loi-p-eh<sub>2</sub>-ie/ó- > \*loiβā- (intervocalic lenition) > \*lóiβā- (stress retraction). Gives Hsch. λοιβᾶται 'libates' and then deverbal Gk. λοιβή 'libation', secondary Gk. λείβω 'to shed, pour'; Lat.  $l\bar{l}b\bar{d}re$  'to pour, spill'.
- (2) \*dór-u- 'chopped piece of wood': \*dr-óμ-p-o- (action noun), \*dr-ú-p-eh<sub>2</sub>- (collective), analogically levelled to \*dr-ú-p-o- > \*drúPo- (consonant fortition). Gives Gk. \*drúphos, denominal verb \*druph-jo > Gk. δρύπτω 'to scratch'

#### (3) \**kel*- 'to cover':

- \*kl-óu-p-o- 'covering' > \*kəlūPo- (pretonic fortition and anaptyxis). Gives Gk. κέλῦφος 'shell'.<sup>14</sup>
- \*kl-u-p- $\epsilon h_2$  'set of huts' > \* $klu\beta \acute{a}$  (posttonic lenition). Gives Gk. καλύβη 'hut, cabin'.

They reconstruct several stages of derivations, which are difficult to prove but cannot be rejected outright. In fact, it is an important consideration: an Indo-European language, even one that served as the substrate to another, would have undergone the same complex derivations that we see in the attested languages. I take more issue with the semantic implausibility or sometimes vagueness of several of their suggestions. Gk. δρύπτω 'to scratch' above is difficult to envision from \*dór-u- 'chopped piece of wood' (actually 'tree'). Lat. porrum, Gk. πράσον 'leek' they derive from \*bhers-, traditionally 'to point, burst' but which they define as 'to break into pieces; to break through the ground upwards (especially speaking of a plant)'. Gk. βροῦκος 'edible locust' they take from \*(s)preug- 'to jump'. Gk. βλέπω 'to look', βλέφαρον 'eyelid' they derive from \* $b^h le\acute{g}$ - 'to sparkle, shine'. To list these cases is of course to ignore the cases that do work well,15 but suggests that such cases may have other explanations (e.g. chance, onomatopoeia, origin in a non-IE substrate). In Garnier and Sagot (2020) they propose that some of the material they treated may be the result of an Anatolian (mainly Lydian, in part Lycian) substrate in Greek. Their reanalysis of several proposals still relies on a, to me, unconvincing link to PIE roots.

Without committing to a comparison, I would like to mention how this calls to mind Hubschmid (1963 I), most of which is dedicated to a study of a purportedly widespread substrate *p*-suffix. Though he does e.g. agree that κέλῦφος is of inherited origin (p. 34).

<sup>&</sup>lt;sup>14</sup> Merrit (2021) offers an alternative explanation of the labial element as part of a light verb construction with \* $b^h uH$ -.

 $<sup>^{15}</sup>$  Some of the sound laws they propose allow the comparison of Gk. βρέμω 'to roar, grumble' with Lat.  $frem\bar{o}$  'to rumble, roar', OHG breman 'buzz', and MW brefu 'roar'; Gk. πόνδαξ 'bottom of a jar' with Lat. fundus 'bottom'; Gk. θρύον 'reed, rush' with PBS1. \*trus- 'reed, cane'; and a derivation of Gk. θρῖναξ 'trident' from PIE \*tri- 'three'.

# 1.2.1.3 Verdict on Indo-European Substrates

In sum, these attempts are all based on the perfectly plausible idea that the attested Indo-European languages were not the first Indo-European languages to be spoken in the area where they are attested. The irregularity of the data can *a priori* be due the intersecting effects of contact with 1) any number of different lost IE languages 2) over a long period of time in which both sides of the contact situation were undergoing sound changes.

On the other hand, it is equally plausible that such a complex pattern can have other explanations. It is certainly possible that Gk.  $\tau \acute{o}\mu \beta o \varsigma$  is borrowed from a language in which it is the inherited reflex of  ${}^*d^h m b^h o$ -, like Gk.  $\tau \acute{o}\phi o \varsigma$ , but which deaspirated PIE *mediae aspiratae*. But if Greek has borrowed it, then it is equally plausible that the sound changes are due to a non-IE language's treatment of PIE  ${}^*d^h m b^h o$ -. It is also possible, in light of Lat. *tumulus*, that  $\tau \acute{o}\mu \beta o \varsigma$  is not from the Indo-European formation  ${}^*d^h m b^h o$ - at all. The borrowing of a plosive into an IE language could only be mapped in terms of its voicedness, its aspiration, and (of velars) its palatal or labial quality. So a borrowing from a non-IE language that has its foreign sounds mapped onto native ones would look the same as a borrowing from an IE language with different sound laws. Given the amount of irregularity that such hypotheses do not explain, especially the cases where a link to an attested IE root is tenuous, perhaps other explanations are not simply plausible but even preferable. Alongside the field of explanation that proposed lost IE languages, a parallel field developed around the idea of the existence of non-IE substrates.

# 1.2.2 Non-Indo-European Sources

Whether or not traces of lost Indo-European languages exist in the attested daughter languages and whether these are visible as such, there are certainly a large number of cases for which an attempt at an Indo-European etymology is futile. Thus the focus of the rest of this chapter, and of this thesis in general is on the research of non-Indo-European sources of Latin vocabulary.

The history of research on non-Indo-European substrates began, as did that of the Pelasgianists et al. above, amongst the Romanists and especially Ascoli. Hirt (1894) had proposed that the differentiation of the Indo-European daughter languages was due in part to contact with different groups of languages. Kretschmer (1896: 401-9) importantly furnished evidence for what he understood as relics of an explicitly non-Indo-European language. From here, the field seems to have developed in several directions of which I will detail two for their direct impact on the motivation and methodology of this work. The first was a continuation of Kretschmer's work in the Mediterranean and the other, inspired by the phenomenon that Kretschmer identified, developed around Germanic.

### 1.2.2.1 The Two Lineages

#### 1.2.2.1.1 The Mediterranean Substrate

Antoine Meillet (1908), inspired in part by Sommer's comparison of Lat. plumbum and highly diverse Gk. μόλυβδος, proposed a list of words that Latin and Greek had independently borrowed from "un troisième langue inconnue," explicitly ruling out Semitic as the source. These included vaccīnium, cupressus, menta, rosa, līlium, fīcus, lībra, and vīnum. The article introduced the concept of a Mediterranean substrate to linguistics. <sup>16</sup> Albert Cuny (1910) took from both Kretschmer and Meillet to further develop the list. He importantly noted not only the words with the already supposed non-IE suffixes, but went on to describe the phonological alternations that occurred within variants of these words as well as several other cases of irregular correspondence between e.g. Latin and Greek. His insights were remarkably prescient, and many of his suggestions withstood the test of time and are accepted in this work.

In Italy (cf. Craddock's 1969 overview of the field, which I follow here), research on the Mediterranean substrate was taken up early on by Francesco Ribezzo and Alfredo Trombetti. Ribezzo (1920a, esp. 1920b) proposed to have found evidence of a unitary substrate language in toponyms of the Mediterranean. Trombetti, known otherwise for his work on linguistic monogenesis, took Ribezzo's approach to the extreme and saw two strata underlying the Indo-European languages of the Mediterranean: a Basque-Caucasian one and an Etruscan-Asia Minor one (cf. one of his latest formulations in Trombetti 1927: 220).

Vittorio Bertoldi<sup>17</sup> brought an amount of scientific rigor to the study of the Mediterranean substrate by investigating the lexicon in addition to the onomasticon. He laid out methodological considerations in a 1932 article and again in two books (Bertoldi 1939a, 1942). But Craddock (1969: 38-40), in reviewing Bertoldi's body of work, notes a general lack of transparency as to whether he considered the Mediterranean substrate to be a uniform language. While he grew to focus more and more on regional variation within the stratum, he also identified pieces of morphology that seemed to overlap broad areas. "In Bertoldi's writings it rarely, if ever, becomes crystal-clear exactly what languages belong to the Mediterranean substratum, what structural features words attributable to that substratum share, in short, what sort of linguistic reconstructions can be labeled uniquely Mediterranean" (Craddock 1969: 40).

Giacomo Devoto introduced the idea of Peri-Indo-European (Devoto 1943) as an

16 The development of this concept probably cannot be separated from the racial theory of "Mediterraneanism" proposed by Giuseppe Sergi in his 1895 Origine e diffusione della stirpe mediterranea. As an opposition to Nordicism, it proposed the existence of an autonomous, i.e. non-Nordic, non-African, Mediterranean race and culture with the further stipulation that they were the greatest race in the world (cf. Craddock 1969: 31 fn. 19).

<sup>&</sup>lt;sup>17</sup> As a student of Wilhelm Meyer-Lübke in Vienna, he met Carlo Battisti, who was at the time already a lecturer at the university.

alternative in response to the work of the Pelasgianists who were in many cases (especially Georgiev) all too willing to explain away all irregularities as traces of lost Indo-European languages. As an almost intermediate approach, Devoto's Peri-Indo-European languages/cultures/peoples were those of non-IE origin that became Indo-Europeanized, serving as vectors of non-IE phonology and morphology in the cases where they came to influence other Indo-European languages.

Giovanni Alessio, a student of Carlo Battisti, began to publish in the field of substrate studies with two articles (actually one, split into two) in 1935 and 1936. In 1955 he published a book overviewing his understanding of the situation. Similarly to Trombetti and in opposition to Bertoldi, he saw the Mediterranean substrate as a mostly homogenous unit. The patterns of phonology, morphology, and lexicon that he found reached from the Atlantic coast, through the Baltic, from Iberia to Asia Minor, on to the Caucasus and even to India (Alessio 1955: 220). He had earlier noted that "Mediterranean" was a term "which has gradually been emptied of its geographic significance" (Alessio 1946: 142). This inspired criticism from many, amongst them Alessio's rival Johannes Hubschmid.<sup>20</sup> Hubschmid was a student of Jakob Jud in Zürich, co-author of the 1920-40 Sprach- und Sachatlas Italiens und der Südschweiz. Thus the tradition of substrate research growing very visibly out of the study of the Romance languages was not restricted to the Italian scholars (many of whom had studied under Wilhelm Meyer-Lübke in Vienna or Jules Gilliéron in Paris). Beginning his publications in the field in 1943, his 1960 Mediterrane Substrate presents a less geographically extreme idea of the Mediterranean substrate, in fact two: an older Eurafrikanische (though he still calls it Mediterranean) substrate underlying most of Western Europe and a younger, Basque-related Hispano-kaukasische Mediterranean substrate (Hubschmid 1960a: 24). He identified further strata elsewhere as well, including several in Sardinia (Hubschmid 1953). His work began to culminate in the Thesaurus Praeromanicus, with volume 1 (1963) focusing on the evidence for a substrate p-suffix and volume 2 (1965) on Basque and Basque-Pre-Romance contact (including a discussion of Bertoldi's and Alessio's work). No further volumes were produced.

Thus from its inception, research on the Mediterranean substrate has been well informed by a large amount of material, especially from a detailed understanding of the Romance languages, using as important evidence the irregular phonological alternations between comparanda. But it has also suffered from a few problems. The reliance on toponyms as independent evidence has potentially over-extended the boundaries of the phenomenon. There has never been uniform agreement as to its geographic extent or the number of

<sup>&</sup>lt;sup>18</sup> "Che si è andata un po' alla volta svuotando del suo significato geografico."

<sup>&</sup>lt;sup>19</sup> Lewy (1934) had already used a definition of "Mediterranean" that extended to the Caucasus and in fact included parts of West Africa.

<sup>&</sup>lt;sup>20</sup> Interestingly, Johannes Hubschmid's father, Johann Ulrich Hubschmied, was a critic of the (Italian) substrate linguists, writing: "In der Tat stehe ich all diesen Etymologien aus der voridg. *kala-pala-bara*-Sprache skeptisch gegenüber" (1942: 118).

strata it comprises.<sup>21</sup> The spectrum ranged from Alessio's enormous, monolithic "Mediterranean" substrate, with regional variations, to e.g. de Simone's (1963: 196) conclusion that the evidence allows us neither to transgress well-delimited *Sprachräume* nor to postulate on the genetic relationship of these.

#### 1.2.2.1.2 The European (Germanic) Substrate

In 1899, Bruno Liebich published Die Wortfamilien der lebenden hochdeutschen Sprache als Grundlage für ein System der Bedeutungslehre, in which he classified the German lexicon based on etymologizability. <sup>22</sup> Hirt (1909: 59) followed Liebich's figures, wherein nearly one third of the German vocabulary, a significant part of it pertaining to maritime technology, was not attested outside of the Germanic family. Feist (1910: 350-1) then used these two works to conclude that 30% of the Germanic vocabulary came from a pre-Germanic non-IE substrate. Thus the Germanic substrate hypothesis was born<sup>23</sup> and the figure of 30% was repeated into the 2000s.<sup>24</sup> The idea that a pre-Indo-European language should have existed in North Europe was, especially after the discovery of Hittite, easily defensible: North Europe was almost certainly not the *Urheimat* of Proto-Indo-European. <sup>25</sup> Those defending the Germanic substrate hypothesis even drew on Kretschmer's work that had already been published on the non-IE strata in Latin and Greek (e.g. Feist 1932, Güntert 1934: 72). But Feist's 30% figure was the result of a misunderstanding of Liebich's categorization: the etymologies on which the categorization was made were very conservative (Prokosch 1939: 23) and the 30% included innovative combinations of nonetheless inherited morphology that simply did not occur outside of Germanic (Neumann 1971b: 78). Some scholars had sought other types of evidence, such as Ribezzo (1934a) and Güntert (1934) who suggested external influence triggered the first Germanic consonant shift. They pointed to what they understood as a similar change in Etruscan; thus the pre-Germanic substrate would be a relative, perhaps Alpine Rhaetic. But such a hypothesis was questioned already by Pokorny (1936:81-2) and is no longer considered today.

In contrast to research on the Mediterranean substrate, which relied in part on irregular phonological correspondences within and between languages, the earliest conceptions of the Germanic substrate hypothesis relied on the non-etymologizability (i.e. isolation) of lexemes. This was a critical weakness of the methodology. Streitberg and Michels (1927: 51) quite reasonably noted that, as long as there is nothing explicitly non-Indo-European about the word, its lack of etymology might be an ephemeral lacuna awaiting a solution

<sup>23</sup> Though Neumann (1971b: 85) records even earlier ideas, for example Friederich Kluge's 1901 (second edition) *Urgermanisch. Vorgeschichte der altgermanische Dialekte*, in which he proposed *Apfel, Krug, Silber* and Go. *baira-* 'mulberry' were from an unknown *Urvolk*.

<sup>&</sup>lt;sup>21</sup> Karel Oštir (esp. 1921, 1930) is an outlier, in that his version of "Alarodian" included the Caucasian families, Sumerian, Urartian, Elamite, Egyptian, Berber, Basque, Etruscan, etc.

<sup>&</sup>lt;sup>22</sup> This summary follows Bichlmeier (2016).

<sup>&</sup>lt;sup>24</sup> Since Feist (1932). Cf. also recently Rifkin (2007: 54).

<sup>&</sup>lt;sup>25</sup> Published in English in the American journal *Language* because he had been so denounced for his *germanenfeindliche* ideas that no German journal would publish his work (Mees 2003: 20).

from improvements in the field. "Wer sagt uns, daß dies nicht morgen der Fall sein werde?" It took longer than a day, but Bichlmeier (2016: 323-4) notes two times (already Prokosch 1939: 23, Mees 2003: 26) at which it had already been claimed that most of the etymologies had been provided. Neumann (1971b), too, predicted that the number of pre-Germanic substrate words proposed on this basis would shrink as better etymologies came out. Pokorny, who was by no means opposed to the idea of a pre-IE substrate, <sup>26</sup> had taken this into account to conclude from other evidence (Lautbestand, innere Sprachform, and Flexion und Wortbildung), "that, from a purely linguistic perspective, there exist only few indications of a substrate. But these in all likelihood point to an ancient relationship with Finno-Ugric languages" (Pokorny 1936: 84).<sup>27</sup>

The 1960s saw a development along the lines of Pelasgian, Łowniański in 1963<sup>28</sup> criticized Pokorny for not taking into account the possibility of an Indo-European pre-Germanic substrate. Some Pelasgianists had already compared the sound changes they found to the Germanic Lautverschiebung. Hans Krahe (e.g. 1964) proposed to have found an inherited Old European stratum of linguistic unity behind Central and Western European hydronyms. But Mees (2003: 23) remarks that Krahe provided no actual proof that the hydronyms were from one monolithic stratum: "Having the model of Illyrian in mind, he assumed that together these elements represented the remnant of one archaic language, whereas in fact they may well represent the remains of a number of different Indo-European (palaeo-)dialects." At about the same time as each other, Maurits Gysseling and Hans Kuhn (esp. Kuhn, Hachmann, and Kossack 1962) remarked on several place-names in the region of Belgium preserving a p, making it unlikely that they were native Germanic words (given the rarity of PIE \*b) and unable to be of Celtic origin (as \*p is lost). Other elements were built up that seemed to point to a third language originally native to the area. The former called it Belgian (cf. Gysseling 1975), the latter the Nordwestblock, but both agreed it was Indo-European. Meid (1986) defended the idea of the existence of a Germanic-related, non-Celtic language against criticism but remained unsure about its extent.

Credible progression on the research of the Germanic substrate would require updated methodology.

# 1.2.2.2 Uniting the Lineages

The topic of this thesis as well as its methodology probably has F.B.J. Kuiper to thank for, whether directly or not, uniting various lines of substrate research. He first wrote on the topic of substrates in his 1948 Proto-Munda Words in Sanskrit, then on the pre-Greek substrate in 1956. He would not write about the substrate of Germanic until 1995, but

<sup>&</sup>lt;sup>26</sup> In fact, he wrote several articles on a non-IE substrate in Irish in the Zeitschrift für Celtische Philologie (1927 vol. 16: 95-144, 231-266, 363-394; 1928 vol. 17: 373-388; 1930 vol. 18: 233-248).

<sup>27</sup> "...daß rein sprachlich nur geringe Anhaltspunkte für ein Substrat vorhanden sind, die aber mit einer

gewissen Wahrscheinlichkeit auf uralte Beziehungen zu den finno-ugrischen Sprachen hinweisen."

<sup>28</sup> Łowmiański, Henryk. 1963 *Początki Polski: Z dziejów Słowian w I tysiącleciu n.e.* Warsaw:

Państwowe Wydawnictwo Naukowe. Page 45. Non vidi, apud Witczak (1996: 169-70).

two of his students, Edzard Johan Furnée and Robert S.P. Beekes, meanwhile made critical developments in the methodology of substrate research.

Kuiper's 1956 article formed the basis of Furnée's dissertation, published in 1972 as *Die wichtigsten konsonantischen Erscheinungen des Vorgriechischen*. The work undertook to describe all of the irregular consonant alternations (with an appendix on vowels) that occur within Greek and thus point to the influence of a non-IE substrate. Interestingly, he concludes that the variation was due to expressive alternation within the substrate language rather than to the borrowing process. Georgiev (1971: 164-67), who could think only of Pelasgian, reviewed Furnée harshly: "...These are apparently the sound laws(?) of Pre-Greek. The book presents a misguided attempt to rescue the already obsolete pan-Mediterranean idea. Its fundamental errors attest to an insufficient linguistic education," later saying that it is of no scientific value. Beekes on the other hand, who a year earlier had already used irregular alternations to argue for the European substrate origin of Gk.  $\lambda \acute{\epsilon} \pi \omega$  'to peel' (Beekes 1971), valued it greatly, calling it "without a doubt a turning point in the study of the Greek substratum" (Beekes 1975). He would incorporate its insights into his 2010 Greek etymological dictionary.

Around this time, research on the topic began to appear in the United States as well. Eric P. Hamp wrote in 1975 and 1979 about substrate words, in the former noting a case of invalid root structure in words for 'to cut' and in the latter importantly noting the presence of \*a and an  $a \sim o$  alternation in reflexes of the apple word. Hamp and several others, especially Edgar Polomé, would continue to publish on substrate topics throughout the 1980s.

The late 80s and the 90s saw a boom of substrate research output, especially in Leiden. Beekes developed his ideas on the pre-Greek substrate, with their roots all the way back in Kretschmer's work, culminating in his 2010 etymological dictionary and a 2014 monograph. Beekesian Pre-Greek was supposed to have been restricted to the geographic area of Greece, though this assertion will be investigated in this thesis. But even more work was being done on the evidence for a non-IE substrate language present outside of and beyond Greece, including by Beekes (Beekes 1996, 1999, 2000). Kuiper's 1995 article<sup>30</sup> focused on the importance of non-IE \*a to detect three substrates within Germanic: Krahe's Old European (but this time interpreted as non-IE), a stratum specific to Germanic, and a wider "European substrate." Peter Schrijver, having studied in Leiden under Beekes, wrote a 1997 article on "Western European" substrate words, focusing on regular irregularities, that is "phonological and morphological alternations which are regular in the sense that they occur in more than one etymon according to a certain

29 "...das sollen die Lautgesetze(?) des Vorgriechischen sein. Das Buch stellt einen verfehlten Versuch dar, die schon überholte panmediterransiche These zu retten. Seine Grundfehler zeugen von ungenügender sprachwissenschaftlicher Schulung."

<sup>&</sup>lt;sup>30</sup> He had also resumed the theme of his 1948 book in a 1991 article where he searched for non-IE words in the Rigveda. A decade later, Lubotsky (2001) would apply the updated methodology of the late 90s to search for substrate words that had entered Proto-Indo-Iranian.

pattern but irregular in the sense that they cannot be explained, for some reason or another, on the basis of Indo-European phonology and morphophonology" (pg. 296). Dirk Boutkan, a student of Beekes and Kortlandt, wrote in 1998 on "North European" substrate words that he found in Germanic, and many of his insights on the substrate were included in the 2003-2009 *Etymologisch Woordenboek van het Nederlands* by Philippa et al. Beekes in 2000 wrote on "European" substrate words in Greek, noting that they likely represent a different contact situation from that preserved by words with a more Mediterranean distribution.

Outside of the Netherlands, Eric Hamp and Edgar Polomé were joined by other Americans including Martin Huld and Joseph Salmons. Polomé (1986) provided a refreshed look at the Germanic lexicon lacking IE etymologies, indicating that, by this date, they were unlikely to receive them. Hamp (1990), drawing on his 1979 proposals about the apple word, attributed to a substrate in "Northern (Central) Europe" an  $a \sim o$  alternation arising from \*2, \*b, and \*DeD shaped roots. Huld (1990) wrote on words shared in two distributions, an "Alpine" group and a "North Balkan" group, which nonetheless shared "similar phonological, morphological and syntactic patterns" such that they might be remnants of a Sprachbund.

The modern methodological considerations and assumptions that allowed substrate research to begin advancing again had been mentioned all throughout the works of these scholars, but especially in Polomé (1989)<sup>31</sup> and Schrijver (1997).<sup>32</sup> The former's list was refined in a sense by Salmons (1992), who ranked the types of evidence. As most important he placed discrepant phonological or morphological features vis-à-vis IE, especially when they do not rely on isolated lexemes but rather show irregular correspondences. Weakest are items simply lacking a clear IE etymology. Schrijver's list (used also by Lubotsky 2001) was even more refined. It presented the items with the understanding that none of them could stand in isolation; rather it was the accumulation of circumstantial evidence that made a substrate origin more likely:

- 1. Limited geographic distribution
- 2. Phonological or morphological irregularity
- 3. Remarkable word formation
- 4. Non-IE phonology
- 5. Semantic category

As Bichlmeier (2016: 327) notes, "Die ursprüngliche Liste der vermeintlichen Substratwörter spielt bei diesen Untersuchungen interessanterweise (so gut wie) keine Rolle mehr." Thus the main early criticism of pre-Germanic substrate research had been

<sup>&</sup>lt;sup>31</sup> In part as a review of the work of Gysseling and why it failed to produce convincing results.

<sup>&</sup>lt;sup>32</sup> Schrijver's 1997 paper crucially remarked on the phenomenon of the "a-prefix" with concomitant vocalic reduction (cf. Gallo-Lat. alauda vs. PGm. \*laiuar/z- 'lark'). Interestingly, a "präfigiertes a-", thought to be of Hattic origin, was first noticed as early as the 1930s (Kretschmer 1933a: 86) in placenames of Asia Minor.

addressed and solved. Research continuing the work on the Mediterranean substrate could benefit from this refined methodology as well, interesting in light of the fact that the consideration of irregular alternations always played an important role there. Two contradictory assumptions seem to have hindered its progression, revolving around uniqueness and exclusivity. On the one hand, there seems to have been an underlying assumption that it was the only substrate. Thus as more evidence of substrate words shared across Europe grew, the geographic range of the "Mediterranean" was expanded until the word became meaningless. On the other hand, the Mediterranean substrate was exclusively Mediterranean. This is interestingly continued in Beekesian Pre-Greek, where it is assumed the substrate language was not spoken outside Greece. In reality, several features supposed to be unique to it occur elsewhere.

Guus Kroonen continued the search for non-IE substrates in Germanic (Kroonen 2012a. 2013 [his etymological dictionary], Iversen & Kroonen 2017). An argument had been growing that we should expect one or more of the substrate languages of Europe to have been spoken by pre-Indo-European farming populations. Since at least Schrader (1883), the likelihood had been established that the speakers of Proto-Indo-European were pastoral nomads (a theory that would come into competition with and ultimately prevail over the idea that Proto-Indo-European spread with farming; see §6.2). V. Gordon Childe (1926) drew on Schrader's work, finding it difficult to decide whether the PIE Urheimat was in Scandinavia or South Russia, but leaning towards the latter. In any case, he noted that the language of the original PIE-speakers would have been affected by contact. "Through migrations, intermingling with other races, commercial relations with alien civilizations and the autonomous local growth and specialization of arts and cults, many words may have been lost and replaced by others" (pg. 70). Marija Gimbutas built on Schrader as well, formulating the kurgan hypothesis (the direct predecessor of the steppe hypothesis) that saw Indo-European speakers expanding in waves from the Pontic-Caspian steppe (Gimbutas 1956), coming into conflict with and disrupting the previous inhabitants of agricultural "Old Europe". These Old Europeans seem to have been culturally and perhaps linguistically relatively homogenous (based on the widespread shared symbolism and artistic representation, Gimbutas 1989; cf. also Shennan 2018: 105). Kallio (2003: 232-4) also explicitly noted that "the Northwest Indo-European speech area was already agricultural before the spread of Indo-European" and that the language of the farmers was unlikely to have been related to Indo-European (opposite to what e.g. Sherratt and Sherratt 1988 had proposed). Schrijver (2007: 21-2) noted that linguistic unity (at a family level) of the pre-Indo-European farmers could explain the pattern we see, in which words of non-IE origin and features like the a-prefix are found with a wide distribution amongst the daughter languages.

Kroonen (2012), encouraged by Kallio and Schrijver as well as the early ancient DNA results (see §6.2), argued in support of the *Agricultural Substrate Hypothesis*, providing evidence of its existence in Germanic. By the time of Iversen and Kroonen (2017), ancient genome analysis had confirmed that agriculture had indeed been brought to

Europe via migrations of people. Several studies had shown that farming populations have served as vectors for language spread (e.g. Bellwood & Renfrew 2002; Diamond & Bellwood 2003; Bellwood 2005). Thus, under the supervision of Guus Kroonen and Kristian Kristiansen, this thesis forms a part of the ERC EUROLITHIC Project, whose full title is "The Linguistic Roots of Europe's Agricultural Transition", aiming to identify the substrate lexicon of Latin (a large part of which likely were borrowed from the languages of the first European farmers).

#### 1.2.2.3 An Excursion on Etruscan

Many have tried to show that Etruscan is Indo-European (cf. recently Steinbauer's 1999 connection to the Anatolian branch), but the current *communis opinio* is that it is unrelated (cf. Wallace 2008: 1; refutations of Anatolian connections in Simon 2021: 227 fn. 2). As such, the close linguistic and cultural contact which we know from the historical record that Etruscan had with Latin represents an important source for non-IE material in the Latin lexicon. Additionally, if Etruscan is the remnant of the languages that were spoken on the Italian peninsula before the arrival of the Italic family, it could crucially be an (albeit quite poorly) attested example of one of the substrate languages. Unfortunately, the evidence is far too complex to allow an answer to any of these questions.

The question of Etruscan origins remains, despite the confidence asserted by Beekes (2003) and Kloekhorst (2022), undecided. Deciding one way or the other requires believing either Herodotus' account that they came from Anatolia or the opposite report of an autochthonous Italian origin in Dionysius of Halicarnassus. The attestation of Lemnian on the island of Lemnos (two inscriptions, one the famous Lemnos Stele) in a slightly different alphabet than that used in Etruria shows that it was present there before the introduction of the alphabet. But whether it is autochthonous to Lemnos or represents an Etruscan colony cannot be determined. Two facts tip the balance in favor of an autochthonous origin, in my opinion. Firstly, the only other attested relative of Etruscan and Lemnian is Rhaetic. By the accounts of Oettinger (2010) and Marchesini (2013), Etruscan and Lemnian are more closely related to each other than either is to Rhaetic, suggesting Rhaetic split from the family first and that the split likely occurred in Italy. Secondly, a recent genetic study by Posth et al. (2021) found that by 800 BCE, populations in the area were genetically homogenous. That is, they found no difference between remains from Etruscan contexts and those from Latin contexts. If the Etruscans had come from elsewhere, then they did so long enough ago that they no longer retained a unique ancestry signature.

If the Etruscans were autochthonous, then their language is likely related to one or more of the European substrate languages. But if they are later intruders, then their linguistic influence on Latin is not as relevant to the research questions in this study. Unfortunately, it is also notoriously difficult to find solid proof of Etruscan lexical influence on Latin.

The main hindrance to understanding Etruscan lexical influence on Latin is its poor attestation. Despite thousands of texts, there are only around nine of any length (cf. Weiss 2020: 519). Our understanding of the grammatical structure is quite good, whereas our understanding of the lexicon is much more limited. Ernout (1930)<sup>33</sup> began to investigate the influence of Etruscan on Latin and his dictionary with Meillet (EM) along with that of Walde and Hofmann (WH) cautiously suspect many Latin lexemes to be of Etruscan origin. Often it is done even in the absence of an attested Etruscan source option. Given the lack of material, one can sympathize with this practice; this makes it no less reckless. More modern works base their proposals of Etruscan origin more concertedly on phonological and morphological considerations, yet the practice remains. Of the ca. 550 words that Breyer (1993) discusses (meaning that they have been proposed as loans from Etruscan), for only 123 is an Etruscan word attested (almost always of unknown meaning) that looks similar. Of the 198 words for which she accepts that Etruscan origin is either "sehr wahrscheinlich oder sicher" or "möglich, jedenfalls nicht auszuschließen", a potential Etruscan attested form exists for only 101.

The phonological and morphological criteria used to identify Etruscan loans in Latin vary in quality (cf. a ranked list in Breyer 1993: 11-135). Some of the most frequently given include:

#### Phonological

```
o \sim u vacillation

e \sim i vacillation

media \sim tenuis vacillation

tenuis \sim tenuis aspirate \sim spirant alternation in the environment of liquids

or nasals
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#### Morphological

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-rna (-rno-)
-enna / -ennus
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Etruscan had a four-vowel system in which the vowel they represented graphically with u is sometimes transcribed in Latin with o (Wallace 2008: 32-3, Weiss 2020: 523). Thus its pronunciation may well have been intermediate to Latin o and u and resulted in vacillation within Latin due to the borrowing process. On the other hand, Etruscan had both e and i. Their interchange (cf. Pfiffig 1969: 29-34) has been taken to be the culprit behind  $e \sim i$  alternations in Latin as well as between Latin and e.g. Greek (cf. Bertoldi 1939b: 89). While the "alternation" in Etruscan is likely explained by an umlaut of the first syllable and otherwise vowel weakening (Wallace 2008: 34-5), Latin could have borrowed from Etruscan before and after the changes occurred, creating a vacillation in Latin due to pre-existing formal diversity.

<sup>&</sup>lt;sup>33</sup> The text of the journal to which I have access has amended the printed year 1929 to 1930. In the bibliography, I have listed it under its re-print as Ernout (1946).

The question of Etruscan consonant voicing is actually perhaps too complex to be useful. Outside of early abecedary inscriptions, the Etruscan alphabet did not use the graphemes for voiced stops. As late as Bonfante (1985: 203), it has been claimed that Etruscan had no voiced stops and that no Latin words with voiced stops can have originated in Etruscan. Indeed, Etr. Catmite, borrowed into Latin as Catamītus, is none other than Greek Γανυμήδης "Ganymede" with devoicing (though note it suggests a variant \*Γαδυμήδης, cf. de Simone 1968-70 II: 189-90). On the other hand, Latin spellings of Etruscan names include Tidi for Titi, Pergomnsa for Percumsna and Pabassa for Papaga (Rix 1985: 220). Varro writes that Lat. sūbulō 'flute-player' is of Etruscan origin, which Watmough (1997: 53-68) defends. Thus Latin speakers at least sometimes perceived Etruscan intervocalic stops as voiced. Whether this makes it in fact more likely that such alternations within Latin could be due to Etruscan or whether it is too poorly understood to be diagnostic, I leave open. The question of tenuis ~ tenuis apsirata ~ spirant alternation is likewise complex, but seems to have been exaggerated due to misunderstanding. There does in fact seem to have been an alternation between the tenues and tenues aspiratae, at least in contact with liquids and nasals (Pfiffig 1969: 38-41). But the alternation of Etr. p and Etr. f (cf. already Terracini 1929: 230-48) is attested for only some six lexemes. Pfiffig (1969: 42) asserts that it is late and regional, with Steinbauer (1999: 59) saying specifically North Etruscan.<sup>34</sup> Thus it seems unlikely that Etruscan caused this change in Latin (cf. Thorsø & Wigman et al. 2023 on ferrum).

Niedermann (1916: 152) noted that several Etruscan names attest to the sequence -rn- (e.g. Macstrna, Perperna, Steprna, Oucerna, and Velθurna), and that several Latin words with this sequence (e.g. alaternus 'buckthorn', laburnum 'broom plant (Cystius)', santerna 'borax from gold smelting', vīburnum 'arrowwood') are of unclear etymology. Despite this suffix appearing on roots of clear IE origin, he, followed by Ernout (1946: 29-35), suggested the suffix might be of Etruscan origin. Several Latin words have a native -rn- suffix such as hībernus (and other temporal adjectives) < \*gheim-r-ino- with zero-grade of \*-er as in Ved. usar-búdh- 'waking at dawn' and hesternus 'of yesterday' built on the stem of heri 'yesterday' with zero-grade of the oppositional suffix \*-tero- and the \*-ino- suffix (Weiss 2020: 311). The sequence \*-esino would also yield -ernus (Michael Weiss, p.c.). Besides e.g. taberna 'hut, inn' < trabs 'beam' and caverna 'a hollow' < cavus 'hollow', Latin has cisterna 'cistern' alongside cista 'box' < Gk. κίστη 'basket, chest'. Could the suffix have been added in Etruscan? Latin lucerna 'oil lamp' looks like it must be from the inherited root \*leuk-, but Latin has only reflexes of the full-grade in lūx 'light' and lūceō 'to shine'. The short ŭ of lucerna is suspiciously unexplained (Alessio 1944a: 144 fn. 222, DV 355). Perhaps it went through Etruscan, which may not originally have vowel length (Wallace 2008:

<sup>&</sup>lt;sup>34</sup> Additionally, it seems that the spellings with f are older; thus rather than p > f, perhaps through  $\varphi$ , we have in fact only evidence for the opposite direction f > p (Watmough 1997: 99, Steinbauer 1999: 59-60). <sup>35</sup> Weiss (2020: 311) suggests that this suffix also occurs in PGm. \*gestra- 'the previous or next day'; but Kroonen (2013: 176) notes that the \*t can simply be the result of the Germanic sound law \*sr > \*str and thus PGm. \*gestra- would only attest to a suffix \*-ro-.

33-4, Weiss 2020: 523 on the vowel length). A crucial form is Lat. santerna 'borax from gold smelting' which seems likely to be related to Etruscan gold words zama9i 'gold', zam9ic 'golden' (cf. Trombetti 1928: 128, further Breyer 1993: 274 fn. 307 on the Etruscan meanings). But then it is the Etruscan forms that specifically do not occur with the -rn- suffix. Does this imply some other language was the source of the -rn- in both languages? In fact, one case proves that Etruscan has added an -n- suffix to a word that already ended in -r: Etr. Macstrna is simply a borrowing of Latin magister with the (perhaps  $most^{37}$ ) productive Etruscan suffix -na. Perhaps similarly, Lat. lanterna has the same meaning as Gk.  $\lambda\alpha\mu\pi\tau\eta\rho$  'torch, lantern' in which  $-\tau\eta\rho$  is an inherited agentive suffix added to  $\lambda\dot{\alpha}\mu\pi\omega$  'to shine'. Thus the status of -rn- as an Etruscan suffix cannot be decided, but the n-suffix will importantly feature in later discussion.

Similarly based on its appearance in Etruscan names (transmitted in Latin) like *Perpenna*, *Sisenna*, *Spurinna*, *Vibenna*, and *Porsenna*, the suffixes *-enna* and *-inna* are considered to be of Etruscan (or Etruscicizing) origin (Ernout 1946: 27-9, Leumann 1977: 321, Weiss 2020: 514, etc.). They too occur on Latin bases like *sociennus* 'partner' < *socius* 'ally' and *Dossennus* 'Hunchback' < *dossum* < *dorsum* 'back'. Unlike *-erno*-, the geminate *-nn-* is very unlikely to have a native source in Latin. However the proof that this suffix is specifically Etruscan feels just as underwhelming as for the *-rn-* suffix above.

Thus the true nature of the extent of Etruscan influence on Latin and vice versa is plagued by difficulty. A new approach to Etruscan research might instead involve finding evidence to support or reject its status as one of the pre-IE substrate languages of Europe. This means, however, that Etruscan itself will play little role in the forthcoming analysis. In the end, my analysis here may be able to say more about Etruscan than the Etruscan language itself can benefit my analysis.

# 1.3 The Consequences: Goals of this Dissertation

The research on the pre-Indo-European substrate languages of Europe has come a long way since the idea of substrate influence was given practical attention in the 1880s. The methodology for identifying words as being of substrate origin has also been sharply refined. As concerns Latin, there is an immense corpus of work on which lexemes might be of substrate origin. Given the shortcomings of some of this prior work (see the concerns of uniqueness and exclusivity above) and its existence in several disparate places, a current survey of the substrate lexicon of Latin is greatly needed.

<sup>&</sup>lt;sup>36</sup> Cf. Battisti (1929) who suggested that the suffix is too widespread in Mediterranean toponymy to have been originally just Etruscan. But furthermore, it is present in e.g. *palacurna* 'gold ingot' given by Pliny along with *palaga* of the same meaning and almost certainly related *balūx*, *bal(l)ūca* 'gold sand' as gold mining terminology from Iberia (WH I: 95, 851, II: 237; EM 65, 475). Ernout (1946: 32) wondered if this meant that the language of Iberia was related to Etruscan.

<sup>&</sup>lt;sup>37</sup> Steinbauer 1999: 121

Therefore, the goals of the present work are to:

- Use the most current methodology to collect the evidence for loanwords from unknown sources in Latin.
- 2. Determine the geographic extent of the attestations of these words and attempt to chronologize their appearance in Latin.
- 3. Use this corpus to understand what sorts of substrate languages were in Europe.
- 4. In combination with archaeology and genetics, use the linguistic data to postulate how and perhaps when Italic speakers (or their ancestors) entered the Italian peninsula.

# 1.4 Methodology

# **1.4.1** Theoretical Perspective

This work operates with the assumption that the steppe origin of the (core) Indo-European languages is correct. Thus, Latin has its origins with speakers of Proto-Indo-European who migrated over an as of yet unknown period of time, taking an as yet unknown route, to end up on the Italian peninsula. The *terminus post quem* is the ca. 3000 BCE initiation of the Yamnaya migrations from the Pontic Steppe while the literal *terminus ante quem* is the attestation of Very Old Latin in around the 7<sup>th</sup> century BCE. Ancient DNA analysis has dated the first populations with steppe-derived ancestry in Northern Italy to ca. 2000 BCE and in Central Italy to ca. 1600 BCE (Saupe et al. 2021). Given the proposed origins of Indo-European speakers, 2000 BCE therefore seems like a good *terminus post quem* for the arrival of Indo-European languages in Italy. Whether or not this was the Italic branch remains to be seen.

However, this means that there was a period of ca. 1000 years between the disintegration of Proto-Indo-European unity and the arrival of potentially Indo-European speaking populations in Italy during which contact would have occurred with the previous inhabitants of Europe (cf. already Hirt 1894: 38). Then there was a period of ca. 1400 years between the appearance of potentially Indo-European speaking populations in Italy and the written attestation of the Italic languages during which 1) the Italic languages must have arrived and 2) contact would have occurred with the previous inhabitants of the peninsula. Two major changes that speakers of Proto-Indo-European would have been exposed to on their way to Italy and which would likely have had a linguistic impact are 1) the introduction to and adoption of agricultural practices (and consequently the familiarity with vocabulary for new practices, tools, animals, and plants) and 2) the entry into the Mediterranean region (and consequently the familiarity with vocabulary for new plants, animals, etc.). These scenarios suggest several layers of lexical replacement would have occurred.

### 1.4.2 How (not) to do it

As mentioned above, Schrijver (1997: 294-6) discusses a list of five criteria that have been used to demonstrate that a word is of non-IE origin. He prefaces the discussion with the consideration that no one criterion alone is sufficient; only cumulatively can they be convincing. Indeed, objections can be raised to each, but I find the objections to some of them to be strong enough to warrant excluding them from the process. The criteria again are:

- 1. Limited geographic distribution
- Phonological or morphological irregularity
- 3. Remarkable word formation
- 4. Non-IE phonology
- 5. Semantic category

Criteria 2-4 are valid according the historical comparative method, whereas 1 and 5 are not actually based in valid *a priori* assumptions.

### 1.4.2.1 Reservations about Semantic Category

Schrijver (1997: 295) notes that words denoting economically and culturally unimportant animals and plants are possibly more likely to have a substrate origin. But he immediately expresses doubt about the robustness of this criterion due e.g. the ability to reconstruct \* $k_q H s$ - 'hornet' for PIE. I think the premise is faulty. Even despite the fact that, as mentioned above, many of the entities that the people participating in these migrations came across would have been unknown to them before, we must work with the caveat that the **absence** of an inherited *signifiant* does not necessarily mean the absence of its *signifié*. Nor, conversely, does the **presence** of an inherited *signifiant* always imply that it refers to its original *signifié*. In fact, there are generally four main input-output situations one can expect, which I would like to describe by use of an example from closer to (my) home. When English-speaking Europeans travelled to and began to live on the East Coast of North America, they came into contact with both new and familiar flora and fauna. They also had complex interactions with the indigenous peoples of the Americas and their languages.

The racoon, *Procyon lotor*, at the time did not exist outside of North America. It was a new animal to the Europeans, and they correspondingly adopted the Powhattan word transcribed at the time as *aroughcun* to refer to it.<sup>38</sup> The red fox, *Vulpes vulpes*, however,

<sup>&</sup>lt;sup>38</sup> Other transcriptions of the Powhattan included *aroughcoune, rahaugcum, rarowcun, raugroughcum, arathkone*, resulting in numerous early American English spelling variations (taken from the OED): with reduplication *rahaugcum, rahaughcum, rarowcun, raugroughcum*; with an initial vowel *arocoun, aroughcoune, aroughcun, arroughcan*; and without an initial vowel *raccoone, rackcame, rackoone, racoone, racoone, racoone, racoone, rackoone, rackoone, rackoone, raccoon, raccoon.* 

due to its native distribution across the Northern hemisphere, was already well known to them. Thus, rather than adopting a Powhattan word (such as that preserved in transcription as *onxe*), they kept the name 'fox'. These are the two situations that would be the most informative to the question of the origins and earliest contacts of the Italic-speakers. The words for new things are borrowed from already-present languages and the words for familiar things stay the same.

This is not always the case, however. The exact opposite of each of these processes can also occur. The American robin, *Turdus migratorius*, was a previously unknown bird to the arriving Europeans as it is exclusively native to North America.<sup>39</sup> Rather than adopt an indigenous word for it,<sup>40</sup> its red-breasted similarity to the European robin (*Erithacus rubecula*) and perhaps its lesser economic significance than the racoon, led to the re-purposing of the English word 'robin' to refer to it. The robust cervid *Alces alces* was and is called 'elk' in Europe. Incoming English-speakers, however, adopted (an) Algonquian word(s) like Narragansett *moos* and Eastern Abenaki *mos* and it is now known in American English as the 'moose'.<sup>41</sup>

These latter two examples did not occur without motivation (close similarity to a familiar animal in the first case and lack of familiarity with the "native" word in the latter case), but is the motivation strong enough that we would be able to predict its occurrence if we did not have the knowledge of hindsight? To be able to explain examples like this in prehistory furthermore already assumes the success of the primary task, that is being able to separate the racoons and foxes from the robins and moose. These examples suggest that semantics are not a robust way to do this. It is instead the morphophonotactics of the words that betray their non-native origin. A similar situation has been discovered for the names of cereals in Indo-European (Kroonen et al. 2022): many cereal terms are named with repurposed inherited material, rather than being foreign. Thus, semantic considerations are best kept for *after* non-inherited material has been identified, not used to identify it.<sup>42</sup>

<sup>&</sup>lt;sup>39</sup> No more than a few per year ever end up in the British Isles as a result of migration mishaps.

<sup>&</sup>lt;sup>40</sup> Perhaps an Iroquoian word like Oneida *tsiskóko* or an Algonquian word like Ojibwe *a/opichi* 

<sup>&</sup>lt;sup>41</sup> The reason for this is likely that *Alces alces* was basically a new species for settlers coming from the British Isles. *Alces alces* was likely extirpated from the British Isles by 900 CE, and so English-speakers had actually come to use the word "elk" to describe very large deer. The *Alces alces* that they saw in America was nothing like the deer they were used to (red deer *Cervus elaphus*, roe deer *Capreolus capreolus*, and fallow deer *Dama dama*), unlike the *Cervus canadensis* that looked like a very large version of their familiar red deer. Thus it was the uniquely American *Cervus canadensis* that received the European name "elk" (although it is also known as the *wapiti* from the Algonquian language Shawnee, since even the European red deer *Cervus elaphus* to which it was closely related was already quite rare in the South of the British Isles) and it was the species which also existed in Europe—albeit basically unbeknownst to British settlers—whose name was replaced with an indigenous word.

<sup>&</sup>lt;sup>42</sup> Cf. Iversen & Kroonen (2017: 517) who use phonological irregularities to identify non-inherited words and can then conclude "One of the striking features of the lexical layer surviving from this landscape is that it contains a cluster of words belonging to the sphere of agriculture... On the basis of this clustering, it seems safe to claim that the language that donated the words for crops...was spoken by a culture whose subsistence strategy was more pervasively agricultural than that of the predominantly pastoral

### 1.4.2.2 Doubts about Limited Geographic Distribution

Schrijver (1997: 294) immediately acknowledges that this criterion by itself is not a valid piece of evidence, giving one example (Lat. *porrum*, Gk.  $\pi\rho\alpha\sigma$ ov 'leek'  $<*p_rsom^{43}$ ) where an IE-looking proto-form can be reconstructed and one (\*mori 'sea') that was thought to be limited to North and West Europe until an Iranian cognate was found in Ossetic. Even without cases like the second example, the first consideration is extremely important. When working within the historical comparative method, isolated items are understood to be able to represent either innovations or chance preservations. Without a compelling morphophonological reason, it cannot be ruled out that an isolated or geographically restricted lexeme is simply the sole survivor of an inherited construction. For this reason, in the data, items that have been suspected to be of substrate origin due only to their restriction to only a few daughter language are placed in the category §2.4.1 *No Positive Evidence of Borrowing*.

From the other side of things, a widespread distribution does not guarantee inherited status. As Beekes (1996: 215-16) notes, in the face of morphophonological irregularities, we cannot *a priori* exclude items for which there are matches in the Western languages as well as Greek and e.g. Sanskrit. These could represent early loans that were taken up just after the proto-language disintegrated and the daughter branches were still in close proximity.

# 1.4.2.3 The Requirement of Positive Evidence

Thus, the most secure indication that a word is of non-IE origin in Latin is the existence of positive morphophonological evidence. Very rarely can positive evidence exist in words isolated to Latin and without attested variants. But when (morpho)phonological sequences appear that are not reconstructible to PIE, it is good evidence of non-native origin. Two such instances appear in the data: cases that must reconstruct to an invalid PIE root structure<sup>44</sup> and cases of unrhotacized intervocalic *s* after a short vowel.

Otherwise, positive evidence can only be the result of comparison. Loanwords were taken up into the daughter languages after the dissolution of the parent language. They entered in slightly different forms due to pre-existing dialectal differentiation within the substrate languages and/or due to the borrowing process itself. Thus, while reconstruction to the proto-stage of the daughter languages should result in forms that are closer to each other, further reconstruction to PIE should make them look less similar

Indo-Europeans."

<sup>&</sup>lt;sup>43</sup> See the entry on this word for potential problems with reconstructing it this way.

<sup>&</sup>lt;sup>44</sup> The root structure constraints relevant to the data set in §2 are those against \*DeD and \* $TeD^h$  roots (e.g. Szemerényi 1990: 103, Beekes 2011: 171; on \* $TeD^h$  roots see also Meillet 1912: 60). Even \* $TeRD^h$  roots (excluding if the resonant is a nasal) were probably not permitted (de Vaan 1999). Nor were \* $C_ieC_i$  roots (that is, roots beginning and ending with the same consonant, Szemerényi 1990: 103) or roots with initial \*r (Beekes 2011: 171).

again. It results in the reconstruction of irregular alternations, as if these words originated from different roots. Note that a Latin word can have remarkable phonotactics and still not be isolated to Latin. Cases like these are particularly strong. Likewise, however, other daughter languages can attest to forms that are not reconstructible in their branch despite being compared to a Latin word that is easily reconstructible. Even though the phonotactic problems are not present in Latin, they nonetheless occur in the family of the lexeme's attestation.

# 1.4.3 Terminology

### 1.4.3.1 Comparanda

The methodology for identifying words of non-IE origin as described above relies on locating irregular correspondences that appear upon comparison with forms in other daughter languages. I have decided to avoid using the word "cognate" for these groups and instead reserve that term for lexical items that have been inherited and developed regularly from the proto-language. Instead, the lexical items investigated in this thesis, since they must reconstruct to different proto-forms, will not look like cognates. But because of their morphophonological and semantic closeness, it is clear that they originate from the same non-native lexeme. They must still be compared. Thus I will employ the Latin gerundive *comparandum* to describe these quasi-cognates.

# 1.4.3.2 Substrate

Thomason and Kaufman (1988) in their seminal work *Language Contact, Creolization, and Genetic Linguistics* define **substratum interference** as:

a subtype of interference that results from imperfect group learning during a process of language shift. That is, in this kind of interference a group of speakers shifting to a target language fails to learn the target language (TL) perfectly. The errors made by members of the shifting group in speaking the TL then spread to the TL as a whole when they are imitated by original speakers of that language (pp. 38-9).

They further note that in cases like this, the target language often ends up borrowing few words from the shifting speakers' language. Because it requires a population that is shifting to a new language, its results are different from **borrowing**, "the incorporation of foreign features into a group's native language by speakers of that language: the native language is maintained but is changed by the addition of the incorporated features" (Thomason & Kaufmann 1988: 37).

In the case of Latin, both processes have likely occurred. Upon contact with the pre-Indo-European populations of Europe, speakers of what would eventually become Latin had both opportunity and cause to borrow words (migration to a new environment, shifting subsistence strategies upon contact with agricultural populations). But, at least upon reaching the Italian peninsula, the populations inhabiting the places where they

settled all eventually underwent a language shift to speak Italic languages. It is interesting to keep these inter-connected factors in mind.

Because the effects of both borrowing and language shift contributed to the non-IE lexicon of Latin, and because of its use in previous literature, I will focus on a more basic meaning of the word "substrate"; one that again connects to its Latin roots. *Substrātum* as the perfect passive participle of *substernō* means that which has been spread beneath. Used here, a "substrate" refers to an unknown language with which Latin speakers and their ancestors came into contact. This includes languages that might be classified as having served as adstrates or superstrates if we knew more about the intensity of contact and power relationships. I am generally of the opinion that the evidence points to the substrate languages being non-IE.

#### 1.4.4 A Mild Disclaimer

It is important to note from the beginning of a study like this that there will always be a degree of subjectivity in the analysis and categorization of the data. Despite the shorthand description of 'substrate' that I assign the accepted cases and the confidence with which I include all data in the analyses, discussions, and conclusions, I recognize that other scholars with other theoretical perspectives may disagree with some of my analyses. I have discovered that, in order for an analytical approach to be falsifiable, the assumptions behind that approach must be made explicit.

The basic tenet of my approach is to identify the words in the Latin lexicon that can be shown to demonstrate problems in reconstruction, and that therefore are unlikely to have been directly inherited from the Proto-Indo-European parent language. Some of the cases are more widely accepted than others. For many, however, attempts can and have been made to assign the lexemes an Indo-European origin. My approach to these attempts is that, unless the irregularities can be demonstrated to participate in a systematic process, I remain suspicious of inherited origin as an explanation. Future developments in the field will certainly, as they have done in many cases in the past, uncover more systematic patterns. In the meantime, I am generally not persuaded by explanations that can be described as ad hoc in the face of more frequently occurring irregular alternations. unparalleled root extensions, category are assimilation/dissimilation, unmotivated analogy, explanations proposing onomatopoeic origin, and explanations that involve several reconstructed (that is unattested) stages of derivation.

I am also opposed to assigning a word an Indo-European etymology if it requires that word to be derived from an inherited root with an only tenuous semantic link while at the same time removing it from comparison with an irregularly corresponding similar form that is a much better semantic match. For instance, Gk. βάσκανος 'slanderer, sorcerer' could theoretically be derived, like βάσκω 'to come; go' from \*g<sup>w</sup>em- 'to go' under the assumption that it underwent some sort of semantic change like 'to go (towards)' > 'to

accost, attack' > 'to harm with magic or speech', but it would require removing it from comparison with Lat. *fascinum* 'witchcraft, phallus charm' whose form can be reconstructed as identical but for the aspiration required ( $*b^haskano$ -) and whose meaning is quite patently more similar.

It is my hope that, while certain lexemes will receive alternative interpretations based on differences in approach, the majority of my data holds up to scrutiny, and therefore that the results of my analysis remain viable.

### 1.5 Limitations

# **1.5.1** Scope

Much of the work of the early substratists was deeply informed by their training as Romance linguists. They located numerous substrate lexemes attested only in the Romance languages. This thesis restricts itself to words that have an attestation in Classical (urban) Latin<sup>45</sup> with the hope that 1) it limits the scope of the material but especially 2) it says the most about the earliest layers of Latin-substrate contact. The Romance languages are like a net that has been thrown over Europe and has caught substrate lexemes. But the net was thrown from Rome and therefore necessarily attests to later contact phenomena.

# 1.5.2 Methodological Blind Spots

As Alessio (1944a: 94) writes with suitably metaphoric language, "è anche vero...che la

<sup>&</sup>lt;sup>45</sup> There are several words that Roman authors mention, but which are clearly foreign and which likely did not enter Latin parlance. While it seems counterintuitive to leave out what are basically sure-fire vestiges of lost languages, they do not comprise the substrate which entered Latin and therefore represent a different topic. For methodological reasons, I leave them out of the corpus. Pliny mentions several words in use in Iberian gold mines: arrugia, corrugus 'shaft and pit in a gold mine', bāllux 'gold dust', palacuma, palaga 'gold ingot', etc. But he gives these as foreign words, and it is clear they were never in use in Latin. Camōx 'some quadruped' occurs once in all of Latinity, in the calendar by the 5th century Polemius Silvius of Gaul. It has Alpine Romance descendants (cf. Bertoldi 1937a: 147, Hubschmid 1963-5 II: 94) but does not seem to have ever entered Latin. Mufrō and mus(i)mō 'wild sheep, almost certainly the mouflon', while fragmentarily mentioned in Cato, Lucilius, and Varro, is described as living in Corsica by Pliny (he also mentions Iberia) and Strabo. Amongst the Romance languages, most forms seem to have been borrowed from Corsican (REW no. 5715), suggesting that it may not have entered spoken Latin. Capys 'bird of prey, probably falcon' appears only in authors and glosses who attribute it to Etruscan and is not continued in Romance. (Isidore claims it is a native Italic word, but his spelling capus shows he has confused it with *capus* 'capon'.) Despite its interesting implications in combination with PGm. \*habuka-, PSlav. \*kobuzъ-, and Arm. k<sup>c</sup>owpič 'male peregrine falcon or hawk' (cf. Thorsø fthc.), it does not seem to have actually entered Latin. The words salmō 'salmon' and salar 'trout' are considered by Diebold (1985: 59) and Huld (1990: 397) to be loans from a substrate. But salmon do not live in the Mediterranean Sea, and Pliny (Nat. Hist. 9.32) writes that the salmō is from Aquitania. Furthermore, salar appears in Ausonius' (from Bordeaux) poem about the Moselle and in a letter by Sidonius Apollinaris (of Lyon) about his summer home. Thus they are likely loans from Celtic (cf. Holder 1896-1904 II: 1299, WH II: 467). The potential relationship to salpa 'a kind of fish' (cf. Alessio 1946a: 155) is interesting, but salpa itself is likely a loan from Gk. σάλπη/σάρπη 'sea bream'. Thus there is no independent Latin evidence for this group.

buona riuscita della cernita dipende dalla bontà del vaglio e dall'abilità dell'operatore, e che attraverso una maglia rotta o addirittura balzando al disopra del cerchio,...alcuni chicchi di grano possono passare nel loglio o alcuni granelli di loglio sfuggire e restare nel vaglio."46 Because the specification of positive evidence has above been given as the occurrence of irregular correspondences or remarkable phonotactics in the attested comparanda, some substrate words will be missed. If a particular phoneme of one of the substrate languages was similar to one existing in PIE, there is a chance that the daughter languages would borrow it in a way that would be too regular to be caught by this methodology.

Likewise there are bound to be false positives. For instance, there is always the chance that what has been identified as an irregularity will be understood as regular in the future. More insidious is possibility of chance resemblance. Schrijver (1997: 296) cautions:

When historical phonology no longer counts for much, the danger of producing false etymologies looms large. Any two words that lack a reliable IE etymology and resemble one another vaguely can now be lumped together under the label 'substratum', and we are deprived of our most important tool to check linguistic affiliation and perform linguistic reconstruction: sound laws.

Within the inherited corpus, it is the Ausnahmslosigkeit der Lautgesetze that allows us to rule out chance resemblances between lexemes. "A phonological 'near miss' is as good as useless" (Jakob fthc.). But substrate research operates outside of these laws. Schrijver (1997) solves this problem by exploring cases in which the same irregular (from an inherited perspective) correspondence recurs with the same distribution. This is similar to the methodology used in studies of loanwords between known languages. But as will be shown (§0), the correspondence patterns in the Latin words of loanword origin can be diverse. This is not unexpected given that the corpus presented by attested Latin represents all contact situations with 1) an unknown number of languages 2) throughout the prehistory of Latin from the dissolution of PIE until its attestation (some of the same arguments used to defend cases that did not match expected Pelasgian sound laws, cf. §1.2.1.3). But it means that quasi-lautgesetzlich approaches like that of Schrijver are unfeasible. I have only accepted for analysis cases where I believe the sematic match between forms is good. But semantic closeness can be subjective, and the risk of chance resemblance exists between even otherwise identical words. False positives and negatives are an unavoidable problem in any scientific investigation; we can only do our best to design a methodology that maximizes specificity and sensitivity.

The constraints of time and space have also meant that, amongst the list that would already include both false positives and false negatives, I have chosen to leave out some

<sup>&</sup>lt;sup>46</sup> "It is also true that the success of the sorting depends on the goodness of the sieve and the ability of the operator, and that through a broken mesh or even a jumping out over the hoop, some grains of wheat can pass into the chaff or some bits of chaff escape and remain in the sieve."

suggested examples that I did not think would lead to productive discussion.<sup>47</sup> Despite the limitations mentioned here, I am confident that the material discussed is a thoroughly representative sample.

<sup>&</sup>lt;sup>47</sup> This includes for example *bruscus* 'type of frog' (and *bruscum* 'excrescence on a maple', *brūscus* 'butcher's broom', *rūscum* 'butcher's broom', *rūscus* 'toad', Alessio 1944a: 119-22), *lāma* 'bog' (Alessio 1944a: 134-7), *lappa* 'burdock' (Alessio 1941b: 218-20), *lolium* 'darnel' (Alessio 1944a: 137), *parra* 'unlucky bird' (Matasović 2009: 334), *sturnus* 'starling' (cf. Matasović 2020: 340-1), *tālus* in the meaning 'dice' (Alessio 1944a: 147), *taxus* 'yew' (potentially IE, but the explanations feel like folk etymologies, cf. Alessio 1957), *tōfus* 'volcanic rock, tufa' (Fiesel & Groth 1932, Battisti 1943: 265, Alessio 1944a: 154-5).

# 2 The Linguistic Data

## 2.1 Introduction to the Data

This chapter presents discussions of the Latin lexical material that has been proposed to be of substrate origin in order to accept the cases that meet the criteria as discussed in §1.4 to use in further analysis, and to exclude cases that are inconclusive, methodologically unprovable, or inherited. The material is not exhaustive; the uncertain cases especially are limited to those which might prove valuable despite their inconclusive status. Some cases show that a frequent claim is based on precious little evidence. Others attest to the limits of the objective methodology, where too many subjective decisions are required to arrive at a conclusion. Together they form a representative sample.

#### 2.1.1 Structure of the Data

The data have been categorized into three main groups, each with sub-divisions:

§2.2 Non-inherited Origin in Latin Accepted

These words show evidence of being borrowed by Latin from an unknown source.

#### §2.2.1 Phonotactic Reasons

### §2.2.1.1 Isolated to Latin but with Unrhotacized S

An intervocalic *s* that remains in Classical Latin points to a loanword post-dating the fourth century BCE; the source of the loanword is unidentified.

§2.2.1.2 Isolated to Latin but with an Invalid Root Structure

Words in this category have no secure comparanda, but they cannot be reconstructed to a valid PIE root structure, pointing to non-IE origin.

#### §2.2.2 Comparanda in Other Branches

#### §2.2.2.1 Non-inherited Origin is Probable

The case for non-native origin in Latin based on irregular correspondences between comparanda is methodologically strongest in this group.

#### §2.2.2.2 Non-inherited Origin is Possible

A case for non-native origin can be made for this group based on irregular correspondences between comparanda, but some of the details are less certain (e.g. the security of the comparanda or whether irregularities can be explained by analogy).

#### §2.2.3 Comparanda only in Latin and Romance

A recent, non-native origin in Latin is likely for this group given the irregularities between attested Latin variants or between variants that can be reconstructed on the basis of Romance data.

#### §2.3 Origin Unclear

#### §2.3.1 No Comparanda

All proposed comparanda for these words can be ruled out.

#### §2.3.2 Uncertain Comparanda

Comparanda have been suggested for these words, but it is either difficult to determine how many are truly related or the evidentiary value of those that belong is compromised (e.g. they are onomatopoetic, semantically dubious, or perhaps themselves loans from/into Latin).

#### §2.3.3 Conflicting Possibilities

#### §2.3.3.1 Non-inherited vs. Inherited

For these words, choosing between existing interpretations (and therefore accepting or rejecting non-inherited origin) is too difficult.

### §2.3.3.2 Non-inherited vs. Loan from a Known Language

For these words, it is too difficult to decide whether they represent independent evidence of a non-native lexeme or if they are borrowed from a known language (whether or not they are native to that language).

#### §2.3.4 Core-Periphery Cases

For the majority of cases of suspected non-native origin, there is irregularity between all of the comparanda. For a few cases however, a common pre-form can be reconstructed for several branches against a few branches that, if compared, require the reconstruction of irregular correspondences. It cannot be ruled out that some cases of non-IE words were of such phonology that they were coincidentally borrowed the same way into most languages. But it seems suspicious to treat these cases the same way as the more numerous others in which the irregularity is more ubiquitous. This is because it also cannot be ruled out that an inherited lexeme has been borrowed by an Indo-European language through some sort of indirect mediation.

### §2.3.5 Methodologically Difficult to Delimit Comparanda

In several cases, the decision to exclude comparanda becomes particularly subjective. The semantics are a good fit and the irregular alternations between individual comparanda are paralleled in other more secure cases. But the end result is a very widespread distribution of very divergent forms that has only a very small chance of

representing a true substrate lexeme and a much larger chance of being the result of coincidental resemblance.

#### §2.4 Non-IE Origin in Latin Rejected

#### §2.4.1 No Positive Evidence of Borrowing

These words have been suggested to be of non-inherited origin but there are no positive formal criteria to make these claims beyond geographic distribution and semantic field. The comparanda can reconstruct to the same valid PIE root structures, despite the roots being otherwise unattested.

### §2.4.2 Best Explained as Inherited

These words have been suggested to be of non-inherited origin but there are no positive formal criteria to make these claims and they can instead reconstruct to known PIE roots.

#### §2.4.3 Loan from a Known Language

It cannot be ruled out that these words were borrowed from a known language (or proto-form thereof), regardless of the deeper origin of the etymon in that language.

#### 2.1.2 Structure of the Entries

The beginning of each entry lists the Latin word and its meaning(s). This is followed in the next line by a reconstructed pre-form, then by a section of comparanda and their reconstructions. At the right is the attested material or at least a representative sample of the attested material. Next to the left is the proto-form that can be reconstructed from the attested material on the branch level. Finally, to the far left is a quasi-Indo-European reconstruction that comes closest to being able to unite the intermediate proto-forms. In these reconstructions, there are a few features of notation that require explanation. Firstly, reconstructed \*k, \*g, and  $*g^h$  for *centum* languages do not necessarily rule out the possibility that these sounds were borrowed as palatovelars (see §3.2.1.1.2.4), just as for satəm languages they do not rule out original labiovelars. I only explicitly reconstruct palatovelars for centum pre-forms in cases where the lexemes are clearly inherited (§2.4.2). Secondly, there are only a few cases where original quasi-PIE a-vocalism is the only reconstructible option for a form in a daughter branch (§3.2.2.2.1). Otherwise, the range of possibilities is reconstructed (e.g. \*a/H for Italic), including \*a, which can be interpreted as a shorthand for  $*h_2e$  where possible. While \*H represents a larvngeal of unknown quality, other capital letters in the reconstructions do not represent cover symbols but rather elements that are not reconstructible (such as Latin intervocalic s). In the case that multiple phonemes are reconstructible, these are listed. Less certain comparanda are preceded with a question mark (?), in some circumstances two (??) indicating that they are not included in the strict version of the distribution analysis (§4.4).

Two checkboxes follow, "Irreg. correspondences" indicates when irregular phonological alternations between comparanda must be reconstructed. "Remarkable phonotactics" indicates the existence of sequences of phonology that are not reconstructible (e.g. for Latin: unrhotacized intervocalic s after a short vowel, lack of vowel weakening) or are not valid from a PIE perspective (a-vocalism, \*b, invalid root structures, gemination). The next line comprises a semantic categorization (analyzed in §5).

The last section before the text of the entry includes bibliographical information. The main sources utilized are the etymological dictionaries of Walde and Hofmann (abbreviated WH), Ernout and Meillet (EM) and de Vaan (DV). In the former two, the suspicion of substrate origin is often indicated via the designation "Mediterranean". References to these three works as well as to Pokorny's 1959 dictionary are given in the first line of citations in each entry, even if they do not feature in the text of the entry. Further literature appears in the next line. I have made frequent use of Schrijver's 1991 The Reflexes of the Proto-Indo-European Laryngeals in Latin and the Leiden series of etymological dictionaries (esp. Derksen 2007, Beekes 2010 [EDG], Martirosyan 2009, Matasović 2009, Kroonen 2013, Derksen 2014). Other frequently recurring citations are those of Bertoldi, Battisti, Alessio, and Hubschmid.

# 2.2 Non-inherited Origin in Latin Accepted

#### 2.2.1 Phonotactic Reasons

#### 2.2.1.1 Isolated to Latin but with Unrhotacized S

asīlus 'gadfly'

\* $h_2eS$ - | PItal. \* $aS\bar{\imath}lo$ -Pre-form:

Comp.:

□ Irreg. correspondences ■ Remarkable phonotactics

Semantics: animal, wild; insect WH (I: 72), EM (51), DV (57)

Gil Fernandez (1959: 157), Breyer (1993: 335-6), EDG (1062), Mata Oroval (2017:

52-6), Weiss (2020: 301 fn. 88)

Latin asīlus is likely a loan due to its single intervocalic s, which does not occur in an environment where it could be the result of a simplified geminate (DV 57) unless by the mamilla rule (cf. pusillus 'tiny' < \*pussillo- < \*putslo-lo-, DV 502). However it has no comparanda to elucidate a potential source. EM (51) mention a connection with Gk. οἴστρος 'gadfly', but this is too formally dissimilar (cf. DV 57) and likely to be inherited in Greek, i.e. continuing \* $h_3eis$ -tro- to a root \* $h_3eis$ - 'to irritate', cf. Gk. oĩµ $\alpha$  'rush, attack, rage', Lat. īra 'anger' (Gil Fernandez 1959: 157, EDG 1062). Otherwise, WH (I: 72) and EM (51) accept the potential of Etruscan origin, as Servius claims the names Asīlus and Asīlās are Etruscan. But the reliability of this evidence is difficult to determine, and Breyer (1993: 335-6) finds any connection with attested Etruscan material semantically and morphologically untenable. Inspired by the potential Etruscan connection and in light of the possible Anatolian origin of Etruscan, Mata Oroval (2017: 52-6) suggests that asīlus could be from a diminutive of asinus 'donkey' (since the donkey seems to have been introduced from the East). The Benennungsmotif would be similar to Engl. horsefly. But the attested diminutive of asinus is asellus (besides one Late Latin attestation of asinulus, cf. Du Cange's Glossarium mediae et infimae latinitatis), making it difficult to prove that the required preform for asīlus, namely asinulus, existed in antiquity (s.v. asinus for more details). Additionally, while the proposed semantic change is possible, such changes, especially when the phonological details are complicated, are impossible to confirm. Lat. asīlus remains a recent loanword due to its lack of rhotacism.

### asinus 'donkey'

Pre-form:  $*h_2eS$ -in- | PItal. \*aSino-

Comp.: ?\**Ho*(*s*?)-*n*- | PGk. \**ono*- | Gk. ὄνος 'donkey'

?PSem. \*'atān- 'female donkey'

?Sum. anšu 'donkey'

??HLuw. tarkasna- 'donkey'

□ Irreg. correspondences ■ Remarkable phonotactics

Semantics: animal, domestic

Pokorny (301-2), WH (I: 73), EM (51), DV (57)

de Lagarde (1877: 56-7), Solmsen (1888: 89-90, Meyer (1892: 319-20), Stolz (1902: 96-7), Niedermann (1903: 113), Pedersen (1906: 449), Brugmann (1908), Vasmer (1908), Cuny (1910: 160), Haupt (1915), Neumann (1964: 61), Leumann (1977: 143, 179, 306), EIEC (33-4), Schrijver (1991: 252), Janda (1999: 194-5), Melchert (2003: 195-6), Militarev & Kogan (2005: 29), Rix (2005: 568-9), EDG (274, 593, 1086), Kogan (2011: 206), Simon (2017: 328-9 fn. 58), Milevski & Horwitz (2019), Weiss (2020: 301 fn. 88), Todd et al. (2022)

Latin *asinus* is widely suspected of being a recent loan due to its single intervocalic *s*, which does not occur in an environment where it could be the result of a simplified geminate (Leumann 1977: 179, DV 57, Meiser 2010: 125, etc.). It must have entered Latin after around the fourth century BCE.

It has been proposed that there were several loci of donkey domestication occurring ca. 4500 BCE including North Africa and the Levant (Milevski & Horwitz 2019). A recent genetic study supports a single original domestication in North Africa around 5000 BCE, with a spread to Eurasia by 2500 BCE. Donkeys were present in Italy by the first

millennium BCE (Todd et al. 2022), and it seems that they were introduced to Italy and Greece from the East (EIEC 33-4). Thus, despite the donkey's agricultural importance as a beast of burden, it did not travel via the original spread of agriculture.

Gk. ŏvoç 'donkey' is a good match for asinus semantically, but no common pre-form can be established. All who accept the comparison assume borrowing into Latin and Greek from a third source (e.g. Meyer 1892, Stolz 1902, Brugmann 1908, Cuny 1910: 160). But attempts to understand the relationship have all faltered. Meyer (1892: 319-20) and Stolz (1902: 96-7) assume independent borrowing in Greek and Latin from a pre-form \*asnos, which would have to have occurred after the date at which a form like PGk. \*osnos would have yielded Att-Ion. \*\*οὖνος. But \*asnos would have given Lat. \*\*ānos and any later anaptyxis in this environment is unparalleled (Niedermann 1903: 113-14, Brugmann 1908: 200). Niedermann (1903: 114) assumes original \*asenos behind Lat. asinus which Brugmann (1908: 200-2) further reconstructs to \*asonos, allowing him propose that Greek underwent Fernassimilation to \*osonos > \*ohonos (in contrast, WH I: 73 start with initial \*o- and suggest the Latin a is the result of a Thraco-Illyrian treatment), which was metathesized to \*hoonos reanalyzed as ὁ ὄνος. But this requires ad hoc developments in Greek, and the Mycenaean attestation of o-no rules out any explanation involving an article. Nor can either Niedermann or Brugmann explain the lack of rhotacism in Latin.<sup>48</sup> While Schrijver (1991: 252) proposes rural dialectal origin and Rix (2005: 568-9) suggests it is a Sabellic loan, both are difficult to prove.

Given the difficulty of getting the Greek and Latin forms to match, several (cf. Vasmer 1908 with lit.) instead reject the connection and adduce Gk.  $\delta$ vo $\varsigma$  to Lat. *onus* 'burden' (<\* $h_3en$ -os-, cf. Skt.  $\acute{a}nas$ - 'heavy cart', etc.) on comparison with several other Balkan words that have the double meaning 'donkey' and 'burden'. Chronologically, since  $\delta$ vo $\varsigma$  appears already in Homer while asinus entered Latin after rhotacism, if the words are related after all, the solution may simply lie in the forms arriving from different sources.

The source of the lexemes, and further comparanda in general, are not entirely clear. Early on, de Lagarde (1877: 56-7) argued against comparison with PSem. \*'atān-'female donkey' due to its semantic restriction to the female animal and its \*t. But despite Haupt's (1915) suggestion that the Semitic lexeme is deverbal from a root 'wt 'to agree/consent' found a few times in the Old Testament, current scholarship does not seem to consider it to have any internal etymology (cf. Militarev & Kogan 2005: 29, Kogan 2011: 206). Nor is it present in South Semitic, making a loan into the Semitic languages conceivable.

Sum. anšu 'donkey' is identical in meaning and has a sibilant like Latin. The order of its

<sup>&</sup>lt;sup>48</sup> The diminutive *asellus* is sometimes explained as the normal development of \*asen-elo- (Leumann 1977: 143, 306; Weiss 2020: 301 fn. 88), suggesting that the *i* of asinus is weakened from \*e. (An original \*a, closer to the Semitic forms, is also possible, but a pre-form \*asano- would have been liable to preserve its medial vocalism via the *alacer* rule.) It is difficult to confirm whether vowel weakening or rhotacism occurred first, but it is more likely that rhotacism is the later change (cf. Weiss 2020: 208-9). In this case, *asellus* would be an analogical diminutive on the model of e.g. *geminus* 'twin-born': *gemellus*.

consonants might represent a metathesis from whatever the source form was (WH I: 73), given its geographic position farther to the East of the direction of the spread of the donkey. The original order of consonants may be preserved in Semitic and perhaps HLuw. tarkasna- 'donkey' if it is interpreted as tark + asna 'draft donkey' (Neumann 1964: 61, cf. EIEC: 34, DV 57). This interpretation is problematic however, since -asna is a relatively frequent suffix in Luwian. A more traditional etymological explanation takes tarkasna- as an internal derivation from \*dhergh- 'to fasten' (Janda 1999: 194-5, Melchert 2003: 195-6) as '\*eine Last habend'. As this root is poorly attested, eDiAna<sup>49</sup> prefers a derivation from  $*d^h reg^h$  'to drag, haul'. 50

As early as Pedersen (1906: 449), Arm.  $\bar{e}\dot{s}$  'donkey' has been recognized as a reflex of \*h<sub>1</sub>ėkuos 'horse' (though both WH [73] and EM [51] still disagree). Pedersen was willing to see asinus as derived via some intermediary from the Armenian collective formation  $i\check{s}an(k^c)$  'donkeys', the phonological details of which would all necessarily be ad hoc. WH rather see this form as borrowed along with Gk. "tvvoc 'hinny' from a Pontic word \*\*išno-, but further connect this to asinus despite correctly rejecting a preform like \*asnos. In fact, ἴννος 'hinny' cannot be separated from several other asinine terms in Greek (ἰννός [Hsch.], γίννος/γιννός/γῖνος 'offspring of a mare by a mule', ὕννος, EDG [273, 593]). Gk. ŏvoç 'donkey' looks admittedly more similar to these than it does to Lat. asinus.

Each of the potential comparanda to Lat. asinus requires extra assumptions. The Greek form(s) lack a sibilant; the Semitic forms have a dental instead of a sibilant; Sumerian requires the assumption of metathesis; Anatolian would be homophonous with a frequent suffix. An explanation may lie in the different time periods in which this lexeme was borrowed, but this is difficult to prove. In the end, the Latin form may be isolated (cf. the more or less exasperated non liquet of Solmsen 1888: 89-90). This entry would be placed in the uncertain category if it were not for the unrhotacized s, which at least guarantees its status as a recent loan in Latin regardless of the identification of its source.

casa 'cottage, hut'

\*ka/HS- | PItal. \*kaSā-Pre-form:

Comp.:

□ Irreg. correspondences ■ Remarkable phonotactics

Semantics: architecture

Pokorny (534), WH (I: 175-6), EM (103), DV (96)

<sup>&</sup>lt;sup>49</sup> https://www.ediana.gwi.uni-muenchen.de/dictionary.php?lemma=319, entry by Andreas Opfermann. <sup>50</sup> Simon (2017: 328-9 fn. 58) however finds evidence that tarkasna/ī- actually means horse, and that it is the derivative tarkasniya- lit. 'horse-like' that means 'donkey'. This would make an etymology calling the tarkasna- the 'load-bearing one' less likely. As an alternative, he proposes a root PIE \*trg- 'goat/horse' in HLuw. tarkasna- and Gk. τράγος 'goat'.

Buck (1904: 66), Johansson (1906: 114), Berneker (1908-14 I: 589), Reichelt (1914: 340-1), Derksen (2007: 241, 244), Kroonen (2013: 313)

The non-geminate intervocalic s after a short vowel indicates a post-rhotacism borrowing (EM 103, DV 96). Etymologies that require a pre-form \*\*cassa\* are thus difficult to defend but include a 'dialectal' development of \*kat-ja\* to a root \*kat- 'to plait' in i.e. Lat. cassis 'hunting net' (WH I: 175-6), though \* $t_1$  > s seems to be restricted to the Oscan of Bantia (Buck 1904: 66, though s.v. rosa for more details). Reichelt (1914: 340-1) compares descendants of PSlav. \*kot 'booth, sty' (on the form see Derksen 2007: 241) and \*kot 'hut' (on the form see Derksen 2007: 244). DV (96) mentions further comparisons to OE heaðor 'incarceration, jail' (cf. also Johansson 1906: 114, WH I: 175) and Av. kata- 'chamber' (cf. also Berneker 1908-14 I: 589), though the vocalism of the latter has not palatalized the k or been lengthened by Brugmann's Law, making the only possible reconstructions \*kat- or \*kpt- (similar to PSlav. \*kotja). If Lat. casa is related to these isolated forms, it would establish an s/t alternation in the root. Otherwise, its source is simply unknown.

### 2.2.1.2 Isolated to Latin but with an Invalid Root Structure

faex 'wine sediment, dregs'

Pre-form:  $*b^h/d^h/g^{wh}aik$ - | PItal.  $*f/b/\gamma^w aik$ -

Comp.: ?

□ Irreg. correspondences ■ Remarkable phonotactics

Semantics: viticulture

WH (I: 444-5), EM (213), DV (199, 229) Bezzenberger (1911: 22), Alessio (1941a: 552)

Lat. faex is without certain comparanda. <sup>52</sup> Explanations from an inherited perspective are not semantically convincing and are formally difficult (if it originally meant 'dirt', relationship to Lith.  $b\acute{o}jus$  'swamp' or from  $*b^hoiH$ - 'to be afraid' like foedus 'foul, filthy' [WH I: 444-5 with lit.]; if it originally meant 'what is left behind', related to Lith.  $ga\~isti$  'to dawdle' [Bezzenberger 1911: 22]). EM (213) propose a Mediterranean loan because of its viticultural semantics alone. However, given its invalid  $*D^heT$  root structure, there is a good chance that faex is not inherited (similarly s.v  $frac\=es$ ) even without comparanda.

<sup>51</sup> If it is a non-IE lexeme, then perhaps it can be connected to PGm. \*kuta- 'shed' (cf. ON kot 'cottage, hut', etc.) and PGm. \*hudjan- (cf. OHG huttea, MGH hütte 'hut'), both classified as non-IE by Kroonen (2013: 313)

<sup>&</sup>lt;sup>52</sup> Alessio (1941a: 552) seems to compare Gk. τρύξ 'unfermented wine, must; dregs' but his reasoning is difficult to grasp. He gives the two as a Tyrrhenian-Aegean pair "in cui ad un elemento oscuro nel latino corrisponde un elemento oscuro nel greco, ma entrambi appartenenti a radicali diversi." In essence, they are not worth comparing.

farciō, -īre 'to fill completely, stuff'

Pre-form:  $*bh/dh/g^{wh}alHrk-|PItal.*fl/pl\chi^{w}arkje-$ 

Comp.: ?

□ Irreg. correspondences ■ Remarkable phonotactics

Semantics: action; culinary

Pokorny (110-11), WH (I: 456-7), EM (216-17), DV (202)

Schrijver (1991: 488-9), LIV2 (s.v. \**b*<sup>1</sup>*rek*<sup>1</sup>/<sub>4</sub>-), EDG (1588), van Beek (2022: 402-9)

Lat.  $farci\bar{o}$  reconstructs to an invalid \* $D^heT$  root structure, and as such points to a loan. Within Latin it is potentially from the same root as  $frequ\bar{e}ns$  'occurring at close intervals' (WH I: 456-7) < \* $b^hrek^{w_-}$  (LIV2 s.v.). Schrijver (1991: 488-9) suggests that the a-vocalism is the result of a syllabic resonant in a complex cluster (here \* $b^hrk^hr_i$ -). Its only potential match, Gk.  $\phi p \dot{\alpha} \sigma \sigma \omega$ , Attic  $\phi p \dot{\alpha} \tau \tau \omega$  'to fence in, surround' is semantically remote and cannot attest to the original voicing of its velar (Schrijver 1991: 489, LIV2 s.v. \* $b^hrek^u$ -, DV 202, EDG 1588). Nor do any of the Greek forms attest to labiovelar, further weakening the comparison with  $fr\bar{e}quens$ . Van Beek's (2022: 402-9) derivation of  $\phi p \dot{\alpha} \sigma \sigma \omega$  from the root \* $b^her\dot{g}^h$ - 'to rise' additionally removes it from comparison with  $farci\bar{o}$ , leaving the Latin verb likely isolated.

focus 'hearth, fireplace'

Pre-form:  $*b^h/d^h/g^{wh}ok$ - | PItal.  $*f/b/\chi^woko$ -

Comp.: ?

□ Irreg. correspondences ■ Remarkable phonotactics

Semantics: domestic life

Pokorny (495), WH (I: 521), EM (243), DV (228)

Schrijver (1991: 465-74), Hamp (1992), Martirosyan (2009: 191), Meiser (2010: 82),

Weiss (2020: 150)

In order to find an explanation for Lat. focus that does not require an invalid  $*D^heT$  root structure, Hamp (1992) proposed a backformation from \*foculus 'brazier':  $*d^hg^{wh}-e$ -tlo- (cf.  $fove\bar{o}$  'to warm'  $<*d^heg^{wh}_-$ )  $>*g^{wh}-e$ -tlo-  $>*\chi^weklo$ -  $>*f^weklo$ - >\*foklo- >foculum. DV (228) notes chronological problems however. The change  $*e > o / *w_-C(C)V_{[back]}$  (Schrijver 1991: 465-74, Meiser 2010: 82, though Weiss 2020: 150 requires the consonant to be a nasal) is not prehistoric. The pre-form of bonus is inscriptionally attested as DVENOS. It seems very unlikely that at this time, the reflex of  $*g^{wh}$  was still  $*f^w$ . Otherwise, Martirosyan (2009: 191) follows several before him (cf. WH I: 521 with lit.) in connecting Arm.  $boc^c$  'flame'  $<*b^hok$ -so-. There are few issues formally save that it too requires an invalid root structure. Martirosyan suggests it is a substrate lexeme with a distribution like that of Lat.  $faber \sim Arm$ . darbin 'craftsman,

smith'. The invalid root structure indeed suggests a loan.

pampinus 'shoot or leaf of a vine'

Pre-form: \*pa/Hmp- | PItal. \*pampino-

Comp.: ?

□ Irreg. correspondences ■ Remarkable phonotactics

Semantics: viticulture

Pokorny (94-5), WH (II: 243-4), EM (478)

Niedermann (1909: 58-9), Lafon (1934: 42-3), Bertoldi (1942: 172-3), Alessio (1946b: 215), Furnée (1972: 272), EDG (91), Smoczyński (2018: 906), van Sluis (fthc.)

Indo-European did not have  ${^*C_ieC_i}$  roots,  ${^{53}}$  so the reconstruction  ${^*pa/Hmp}$ - looks non-IE. Its exact relationships to proposed comparanda are unclear. Pokorny (94-5) compares Baltic words like Lith. pampti 'to swell, bulge', but Smoczyński (2018: 906) explains this as onomatopoeic. Otherwise pampinus is frequently compared to Gk. ἄμπελος 'grape vine' as a loan from a common Mediterranean source (Niedermann 1909: 58-9, WH II: 244, EM 578, Alessio 1946b: 215). EDG (91) notes that there is no reason beyond a lack of IE explanation to suspect that ἄμπελος is a substrate word. Bertoldi (1942: 172-3) instead compares pampinus to several Romance words for 'raspberry' like Rhaeto-Romance ámpua, Tuscan ámpola, lampone, etc. Alessio (1946b: 215) rejects the comparison on semantic grounds, and van Sluis (fthc.) proposes a better match for the group: an a-prefix alternation relationship with PCelt. \*mab- (cf. W mafon 'raspberries'). Note though that Sardinian zampina means 'wild grapevine' (Alessio 1946b: 215). Lafon's (1934: 42-3) comparison of Abkhaz papaniż' 'black grape' and Georg. babilo 'tall vine stock (or vine)' is widely followed (WH II: 243-4, Furnée 1972: 272, EM 478), though he admits it is unclear if they are loans or not. Thus it is unclear if Lat. pampinus has any relatives after all.

tabānus 'gadfly'

Pre-form: \*ta/Hb<sup>h</sup>- | PItal. \*tafāno-

Comp.: ?

☐ Irreg. correspondences ■ Remarkable phonotactics

Semantics: animal, wild; insect

WH (II: 639), EM (672)

REW (no. 8507, 8601b), Ernout (1946: 41), Ernout (1954: 53), Alessio (1955: 654-5), Latte (1955: 196-7), Furnée (1972: 200, 231, 388), FEW (XIII[1]: 6), Breyer (1993: 388-90), EDG (303, 534), Weiss (2020: 504)

<sup>53</sup> Cf. fn. 44.

Lat.  $tab\bar{a}nus$  'gadfly' has no comparanda. The Romance languages continue  $tab\bar{a}nus$  (e.g. Calabrian tavanu),  $tab\bar{o}^{54}$  (e.g. Rom.  $t\bar{a}un$ , Fr. taon), and \* $taf\bar{a}nus$  (e.g. It. tafano, Prov. tavan)(REW no. 8507). Sp.  $t\bar{a}bano$  seems to attest to \* $t\bar{a}b\bar{a}nus$  (Weiss 2020: 504). Ernout (1946: 41) considers the Etruscan personal names  $ta\phiane$  and  $ta\phiunias$  to be the source of the Latin word and thus the explanation for the variant with f. But the forms with f for Lat. f are easily explained as continuing a Sabellic reflex of the word (cf. Weiss 2020: 504). Breyer (1993: 389) also notes that Etr.  $\phi$  does not equate to Lat. f. Later, Ernout (1954: 53) takes a more conservative approach, suggesting that both the Italic and the Etruscan could have been borrowed independently from a substrate or that Etruscan had borrowed from Italic. Later still, EM (672) merely mention that the form is found in the Etruscan names.

Alessio (1955: 655) links PRom. \*tauna 'wasp, bee' (cf. Lyonnais tona, South Fr. tauna). REW (no. 8601b) writes that the gender and accentuation mean that it cannot be linked with  $tab\bar{a}nus$ , which would make  $tab\bar{a}nus$  and PRom. \*tauna independent comparanda with a  $b \sim w$  alternation (cf. Furnée 1972: 231). However FEW (XIII[1]: 6) shows that this is not the case. The forms that reconstruct to \*tauna are actually developments from \*tab\bar{o}ne (the oblique of  $tab\bar{o}$ ) with a secondary accent shift.

The only potential external comparanda are Hesychian forms. They are problematic (cf. EDG 534). Furnée (1972: 200) links Lat. tabānus with Hsch. θάπτα· μυῖα, Κρῆτες 'fly, Cretan' and Gk. δάπτης 'gnat'. The form δάπτης is however from δάπτω 'to devour, consume', and is better translated as 'eater' (EDG 303), perhaps referring to a carnivorous animal or person (Latte 1955: 196). The Hesychius gloss which caused the confusion, Latte (1955: 196) argues, is itself corrupted. Rather than θάπτα· μυῖα, Κρῆτες, it should read θάπτρα· μυῆμα, Κρῆτες 'monument, Cretan', with θάπτρα related to θάπτω 'to bury'. Furnée (1972: 388) further links to θάπτα the Hesychius gloss λάττα· μυῖα, Πολυρρήνιοι 'fly, Polyrrhenian (in Crete)', with λάττα probably from \*λαπτα (though this suggestion is based on comparison with θάπτα). Given the problems with the other forms, the comparison is too risky.

In the end, despite a lack of comparanda, PItal. \* $taf\bar{a}no$ - reconstructs to an invalid \* $TeD^h$  root structure, making inherited origin unlikely.

# 2.2.2 Comparanda in Other Branches

# 2.2.2.1 Non-inherited Origin is Probable

alnus 'alder'

Pre-form:  $*h_2el$ -s-no- | PItal. \*alsno-

<sup>&</sup>lt;sup>54</sup> Also attested in later Latin.

 $<sup>^{55}</sup>$  WH (II: 639) however note that it is generally *not* in the formerly Sabellic areas that the forms with f occur. Alessio (1955: 655) adds that one of the forms with f is Tuscan tafano. He takes these two facts as evidence that the f forms are not Oscanisms but rather point to an Etruscan origin. But Umbrian is not unattested in Tuscany.

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Comparanda:
                    *h2el-is- | PSlav. *olbxa- | Ru. ol'xá 'alder', etc.
                    *h<sub>1</sub>el-is- | PSlav. *elьха- | Slk. jelcha (dial.) 'alder', etc.
                    *h<sub>1</sub>el-(i)s- | PBalt. *(a/)el(i)snio- | Lith. alksnis, elksnis 'alder'
                    *h2el-us- | PGm. *aluz- | ON olr, OE alor 'alder'
                    *h2el-is- | PGm. *alis/zo- | OHG elira, MoDu, els 'alder'
                    ?*h_1el-(i)s- | PGm. *elustr\bar{o}- | ON jolstr 'laurel willow', etc.
                    ??Macedonian ἄλιζα (Hsch.) 'white poplar'
                    ??*h2el-s-no- | PAlb. *alsno- | Alb. halë 'black pine'
```

■ Irreg. correspondences

□ Remarkable phonotactics

Semantics: plant, tree

Pokorny (302-4), WH (I: 31), EM (23), DV (34)

Pedersen (1895: 40), Specht (1947: 59), Szemerényi (1960: 227-9), Zinkevičius (1966: 131-5), Friedrich (1970: 70-3), Huld (1981: 304), Corominas & Pascual (1984-91 I: 175), Puhvel (I: 29-30), Magnusson (1989 s.v. jölstur), Schrijver (1991: 40), Andersen (1996: 130), Demiraj (1997: 193-4), Derksen (2002: 6), Derksen (2007: 370), Kroonen (2013: 22), Simon (fthc.)

The main difficulties in reconstructing the comparanda are the forms in el- beside al- and the alternation in suffix vocalism -is- ~ -s-. Unsatisfactory explanations have included reducing the root to \*el-, ol-, el- 'red, brown' referring to the color of the wood (WH I: 31, Pokorny 302-4), explaining the alternations as secondary (Szemerényi 1960: 228, Friedrich 1970: 70-3), and writing the variation off as a phenomenon common with tree names (EM 23).

An alternating reflex a/e of \*e within Baltic (Lith. alksnis, elksnis, dial. alksnis; Latv. àlksnis, dial. èlksnis; the k is secondary) is common due to Rozwadowski's change (Andersen 1996: 130, Derksen 2002: 6), but the same alternation cannot be explained away in Slavic. That the original vocalism of Slavic is \*al- (> \*ol-, preserved in Ru. ol'xá) and that the forms in \*el- represent contamination from ëlka 'spruce' < \*edl- (Schrijver 1991: 41) cannot explain Slk. dial. jelcha because the West Slavic reflex of \*edl- 'spruce' is jedl-, precluding the contamination (Derksen 2007: 370). The  $a \sim e$ alternation thus seems to be original in Slavic, suggesting that it may also be so in Baltic. The same alternation may also be present in Germanic. Most forms there go back to an initial \*al-. Gothic \*alisa might even survive in Spanish aliso (cf. Szemerényi 1960: 227, Schrijver 1991:40), although the latter has also been interpreted as independent evidence (Corominas & Pascual 1984-91 I: 175).56 Even without a Gothic form, the West Germanic languages attest both Verner variants: MDu. else < \*alisan- vs. OHG elira (and erila with metathesis)  $< *aliz\bar{o}(n)$  (Kroonen 2103: 22). It is within Old Norse that

<sup>&</sup>lt;sup>56</sup> Go. \*álisa should yield Spanish \*\*álasa or \*\*alésa, not the attested alíso.

the forms *jolstr* 'laurel willow' (< \*elustrō-, with unclear -u-) and *ilstri* 'willow' (< \*elistrio-) show initial \*el- beside *olr* 'alder' < \*aluz-. But because both *jolstr* and *ilstri* are types of willow (Magnusson 1989 s.v. *jölstur*), not alder, their evidentiary value is reduced. If related, Schrijver (1991: 41) suggests that their initial vocalism might have arisen through analogy to the *elm* word. But in light of the Slavic situation, it may be original.

For the vocalism of the suffix, the two Verner variants in Germanic along with what seems to be a secondary vowel in OE *alor* < \**aluz*- suggest that this lexeme was early remodeled into an *s*-stem, <sup>57</sup> meaning that Germanic does not actually offer evidence of original -*is*- vocalism of the suffix (Schrijver 1991: 41). <sup>58</sup> This vocalism *is* demonstrable for Balto-Slavic however, where the explanation given for Germanic cannot apply (cf. Derksen 2007: 370). The Baltic forms of the shape \**a/elsnio*- can have arisen by late syncope (Szemerényi 1960: 228) which, despite Schrijver's (1991: 42) dismissal and explanation that the Slavic forms with \*-*is*- have innovated an "ancient secondary ablaut," *does* sometimes occur within Lithuanian (Zinkevičius 1966: 131-5). Weak further evidence of an \*-*is*- suffix is potentially to be found in Hsch. ἄλιζα· ἡ λεύκη τὸ δένδρον. Μακεδόνες 'white poplar, Macedonian', but Schrijver correctly points out that we do not know enough about Macedonian to be able to make any claims.

Lat. alnus offers incontrovertible evidence of a zero-grade of the s-suffix. It can only go back to \*alsno- $^{59}$  (WH I: 31, DV 34, pace Pedersen 1895: 40, Szemerényi 1960: 228) because \*alisino- > \*\*alernus and \*alisno- > \*\*alīnus. Huld (1981: 304) reconstructs Alb. halë 'black pine' to the same preform (\*A₂éls-no-), but its semantics are aberrant and Demiraj (1997: 193-4) notes that several other reconstructions are possible. In any case, Latin proves an alternation -is- ~ -s- in the suffix that is not reconcilable from a PIE perspective. This along with the likely  $a \sim e$  alternation within the vocalism of the first syllable shows we are dealing with a non-Indo-European lexeme. The n-suffix of Lat. alnus and the nasal element in the Baltic forms as well as the -str- suffix of OIc. jolstr are potentially pieces of substrate morphology.

Puhvel (I: 29-30) considers the possibility that Hitt.  $^{GI\hat{S}}alanza(n)$ - (c.) 'a kind of tree' might rather be related to this group of words than to Gk.  $\dot{\epsilon}\lambda\dot{\alpha}\tau\eta$  'silver fir' with which it is sometimes compared. Hittie would have to have metathesized \*alsno->\*alnso->\*alnsa- after which /ns/>/nts/ is regular and would produce alanza-. Because we have no indications as to which tree  $^{GI\hat{S}}alanza(n)$ - refers, and because the metathesis is not regular, it cannot compared with any certainty (cf. Simon fthc.).

ascia 'axe, mason's trowel'

<sup>&</sup>lt;sup>57</sup> Kroonen (2013: 22) notes that it cannot be ruled out that the word originally inflected as a root noun, making it either very archaic or a foreign loan.

<sup>&</sup>lt;sup>58</sup> Because the Germanic \*-*is-/-us*- ablaut in *s*-stems is a reflex of an original \*-*es-/-os-/-s*- ablaut in PIE (Schrijver 1991: 41).

<sup>&</sup>lt;sup>59</sup> Or an *n*-stem formation like \**al-en-os* (Specht 1947: 59) but this seems highly unlikely in light of all other comparanda having a suffix containing -*s*-.

Pre-form: \*h2esk-jeh2- | PItal. \*askia-

Comp.:  $h_2 eg(h)/ks - ih_2 - n - | PGk. *aks\bar{n} - | Gk. ~aks\bar{v} + aks\bar{v} + aks\bar{v$ 

\* $h_2eg$ \*\*es-( $ih_2$ -) | PGm. \* $akwes(\bar{\imath})$ - | Go. aqizi, ON  $\phi x$ , OHG acchus 'axe', etc.

Akk. *ḫaṣṣīnnu*, Aram. *ḥṣn*, etc. 'axe' >> Arm. *kac'in* 'axe'

Sum. hazin 'axe'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: tool

Pokorny (9), WH (I: 71-2), EM (50), DV (57)

Cuny (1910: 160), Waldman (1972: 117), Ruijgh (1997: 540), Olsen (1999: 955), EDG (111), Kroonen (2013: 19), Rosół (2013: 21-3), Braune (2018: 242), Bernabé (2021: 115-16)

Lat. ascia would match the Greek and Germanic comparanda better if it were metathesized from \*\*aksia, but the cluster -ks- does not regularly metathesize (cf. axis,  $tex\bar{o}$ , etc.). Lat. viscum 'mistletoe' against Gk. i $\xi$ ó $\zeta$  'id.' may represent an example where this has indeed happened (EM 50, DV 57), but the inherited status of the viscum lexeme cannot be confirmed (s.v. viscum and cf. Cuny 1910: 160). Thus, if this is the only other case of such a metathesis, it only adds suspicion.

If we accept the explanation of sporadic metathesis, and if this occurred after devoicing, putative PItal. \*\*aksiā could be the result of \*g\*, \*k\*, \*g, or \*k. Gk. ἀξἷνη seems to rule out the possibility of a labiovelar because something like \*-k\*s- should have given  $\psi$ . A labiovelar is however required by Go. aqizi < PGm. \*akwesī-. This creates a strange alternation \* $h_2eg*es\bar{\imath} \sim h_2eks\bar{\imath}$ , cruling out any connection with PIE \* $h_2ek$ - 'sharp' (pace WH I: 72). It also makes the sibilant element look like a suffix, albeit one that is not explainable from an IE point of view (in fact, cf. that of alnus). While the Greek has a suffix - $\bar{\imath}$ n- (Pre-Greek according to Ruijgh 1997: 540 fn. 11 and EDG 111), Latin a suffix \*- $\bar{\imath}$ eh<sub>2</sub>-, and Gothic a suffix from PGm. \*- $\bar{\imath}$ -, OHG ackus inflects as a root noun (Braune 2018: 242).

Given the peculiarities between the comparanda, it is attractive to consider the Semitic (and Sumerian) forms mentioned by Rosół (2013: 21-3 with lit.) and several before him. Akk. *ḫaṣṣīnnu* (other Semitic forms like Aram. *ḥṣṇ*, Syr. *ḥaṣṣīnā*, Arab. *ḫaṣīn*, and Ge'ez

<sup>&</sup>lt;sup>60</sup> The Mycenaean hapax *a-qi-ja-i* is likely a misspelling for *i-qi-ja-i-* 'chariot [dat.pl.]' (Bernabé 2021: 115-16).

 $<sup>^{61}</sup>$  Cf. Gk. πέψω 'I will cook' < \* $pek^w$ -s-). That Gk. ξίφος 'type of sword' is represented as Myc. qi-si-pe-e with a labiovelar is explained by EDG (1036) as the result of a Pre-Greek consonant alternation.

<sup>62</sup> This is Pokorny's (9)  $ag\underline{u}(e)s\overline{\imath}$ ,  $aks\overline{\imath}$ , the \*\u03c4 of which is based on Zupitza's (apud Pokorny) suggestion of \*\u03c4g\u03c4\u03c5\u03c4\u03c5\u03c4\u03

haṣṣṣ̂n 'axe' are loans from Akkadian, Waldman 1972: 117) is similar enough to especially Greek ἀξἷνη with its -n- suffix to be compared. While Rosół takes the Greek to be a probable loan from Semitic, it is not without problems. In loans, Semitic h and h usually yield Gk.  $\chi$  while Semitic ş yields σ (cf. Gk.  $\chi$ ρνσός < Phoen.  $h[u]r[\bar{o}/\bar{u}]$ ş). Rosół proposes a metathesis from haṣṣ̄n- > Gk. \*αχσῖν- > ἀξἷνη. Arm.  $kac^cin$  'axe' looks also to have something to do with Semitic, in this case without the metathesis. But it is likely not a direct loan, as Semitic h/h should not yield Arm. k (Olsen 1999: 955). And to explain the Latin form, already proposed to be a metathesized form of the Greek word, would we have to propose two metatheses? Nor can a Semitic origin explain the lack of the nasal element in the Latin and Germanic forms. In fact, even within Akkadian, attestations of the word exhibit irregular s ~ s alternation (Waldman 1972: 117), so the word is probably a loan there as well (cf. Šorgo 2020: 432; EDG 111 suggests an origin in an Anatolian language). Notable also is the existence of Sum. hazin 'axe' of nearly identical shape.

Thus we are dealing with a word spread through one or more intermediary languages. Its ultimate origin is uncertain, but it is not Indo-European.

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avēna 'oats; stalk, straw'
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Pre-form:  $*h_2eu-e(k(w)/g(w)(h))s-n- \mid PItal. *awe(C)sn\bar{a}-$ 

Comp.: \*h<sub>2</sub>eu-ik/s- | PSlav. \*ovьsъ | Ru. ovës, Cz. oves, SCr. òvas 'oats', etc.

\* $h_2eu$ - $i\acute{g}^h/S$ - | PEBalt. \* $(a)vi\check{z}a$ ?- | Lith.  $avi\check{z}\grave{a}$ , Latv. [nom.pl]  $\grave{a}uzas$ 

'oats'

?West Uralic \*we/äšnä 'wheat/spelt'

?\*ka/o/Hb<sup>h</sup>-a/e/os-on- | PGm. \*hab(a)zan- | ON hafri, OHG habaro 'oats', etc.

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, domestic

Pokorny (88), WH (I: 81), EM (56), DV (64)

Strömberg (1940: 87, 137), Huld 1990, Schrijver (1991: 46-7), Cooper (2005: 228-9), Derksen (2007: 384), EDG (32) Kroonen (2013: 197), Aikio (2014: 157), Pronk & Pronk-Tiethoff (2018: 294-5), Kroonen et al. (2022: 19-20)

Despite clearly related forms in both Baltic and Slavic, no single Balto-Slavic pre-form can be reconstructed due to the differences in voicing. The Slavic suggests the reconstruction PBSI. \*awiś- with a voiceless sibilant whereas the Baltic forms require PBSI. \*awiź- (Derksen 2007: 384). Latin avēna, which is clearly related, has thus traditionally been reconstructed to Proto-Italic as \*aweksna-, since palato-velars, albeit still with a voicing discrepancy, can theoretically be reconstructed for the Balto-Slavic pre-forms.

Even still, the Latin requires e-vocalism against the Balto-Slavic i-vocalism of an otherwise unknown \*-e/ik/g'(h)- suffix (cf. DV 64). A plosive need not even be reconstructed for Italic in the first place, as both \*-VKsn- and simply \*-Vsn- would yield -Vn-. Huld (1990) mentions that the reconstruction of the shape \*awig- was a way to account for Gk.  $\alpha i \gamma i \lambda \omega \psi$  'goat-/oatgrass, kind of oak', which is unrelated. He says that instead, the Latin, Baltic, and Slavic can be reconciled under some non-IE spirant, which indeed seems to be the most obvious solution (cf. Kroonen et al. 2022: 19-20). These three words are of non-IE origin (EM 56, Schrijver 1991: 46-47, Derksen 2007: 384, DV 64).

Latin seems to have added an -n- suffix, which Baltic and Slavic did not. Of course, a suffix \*-no/eh2- is a frequently occurring piece of PIE morphology, and perhaps Latin added it to nativize the foreign word. This possibility is brought into question if the appurtenance of West Uralic \*wešnä (Finn. vehnä, Mordvin viš), \*wäšnä (Mari wiste) 'wheat/spelt' is legitimate (Aikio 2014: 157). Aikio<sup>64</sup> identifies \*wešnä as a substrate word within Uralic, which, like ca. 45% of the substrate words he identifies, contains \*š. The reconstruction of a spirant is strikingly similar to that suggested for Latino-Balto-Slavic \*awe/iS-(na-). The semantic match is not as exact, but is still within the realm of cultivated cereals. Most problematically, the Saami word is lacking the initial syllable. For this, OPrus. wyse 'oats' might be comparable, but it lacks the n-suffix and its form might not be thoroughly trustworthy due to the potential for contamination with synonymic OPr. wisge, wysge 'oats' (Pronk & Pronk-Tiethoff 2018: 294-5). If it is indeed related, it suggests that the n-suffix found sporadically attached to words of non-IE origin might not always be of IE pedigree.

Mention must be made of Huld's (1990: 404) suggestion of adducing the Germanic words for oat. While Kroonen (2013: 197) reconstructs \*habran- as a secondary development from \*hafra- 'billy-goat' based on the double meaning of Faroese havur 'goat; unthreshed grain', he notes that the Cimbrian doublet habaro/havaro suggests an original \*b. Unless a Verner variant, this prevents a match with the goat word. Huld alternatively reconstructs PGm. \*xavazan-, which we can update to PGm. \*haba/ezan- as if from \*ka/o/Hbh-e/a/os-on-. Huld explains the source of the PGm. \*h as some fricative that was preserved due to its late borrowing into Germanic as opposed to Latin, Baltic, and Slavic. Seeing as Baltic and Slavic seem to have borrowed the word separately, we can propose a relatively late spread anyways. Its appurtenance would create a  $b \sim w$  alternation akin to but, perhaps problematically, opposite to that between Lat. faba and PGm. \*baunō- (s.v. faba). Thus it is difficult to accept with any certainty.

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<sup>63</sup> Cooper (2005: 228) summarizes earlier proposals where αἰγίλωψ is from ἀριγ-. Given that αἰγίλωψ is also a kind of oak, it is possible that this meaning has resulted from a conflation with αἴγιλος 'oat-grass' (Strömberg 1940: 87, 137, followed by EDG 32). On the other hand, Strömberg's derivation of αἴγιλος from αἴξ 'goat' may as well be folk etymological. The Greek word is simply too uncertain to compare.

64 "The Layers of Substrate Vocabulary in Western Uralic", talk at the workshop *Sub-Indo-European Europe: Problems, Methods and Evidence*. August 30-31, 2021. Leiden University.

## baculum 'stick, staff'

Pre-form: \*ba/Hk-tlo- / \*ba/Hk-elo- | PItal. \*bake/olo-

Comp.: \*ba/HK-el-o- | PRom. \*bakkillo- | Prov. bacèu 'washing staff', etc.

\*ba/h<sub>2</sub>k-tro- | PGk. \*baktro- | Gk. βάκτρον 'stick, cudgel'

\* $ba/o/Hk-i\acute{o}-/*ba/o/Hg^h-io-|$  PGm. \* $pagj\bar{o}-|$  Engl. peg, etc.

\*ba/HK-o- | PCelt. \*bakko- | OIr. bacc 'crook, angle, bend', etc.

■ Irreg. correspondences ■ Remarkable phonotactics

Semantics: tool

Pokorny (93), WH (I: 92), EM (64), DV (67)

Niedermann (1930: 5), FEW (I: 201), Thurneysen (1946: 92-3), Frisk (1960-72 I: 211), Lühr (1985: 283), Schrijver (1991: 100, 105), Matasović (2009: 52), EDG (194), Kroonen (2013: 395), Stifter (2023: 32)

The root in question here is immediately remarkable due to its comprising the two rarest phonemes \*a and \*b, for which reason, along with the root's limited distribution, Schrijver (1991: 100, 105) is more convinced of a non-IE origin than a need to reconstruct a root shape like \*bHk- for Latin. There is, however, a more convincing argument for a non-IE origin in the inexplicable geminate of some forms.

Gk. βακτηρία beside βάκτρον suggests that the former is an abstract formation from \*βακτήρ with the latter being a by-form of the same, as is the case with ἀροτήρ beside ἄροτρον (Frisk 1960-72 I: 211, EDG 194). Thus the Greek forms reconstruct to an original \*bak-tro-. Latin baculum superficially looks like it could be a diminutive \*bak-elo-, but in light of the semantics and the Greek form, it is more likely the reflex of the instrument noun suffix -tlo- (Niedermann 1930: 5, EM 64). In both cases, these productive formations need not be inherited as archaisms from PIE. In fact, since they are two different agentive suffixes, it is at best a common innovation. Schrijver (1991: 100) however uses the diminutive formation to reconstruct \*bak-(k)elo- for baculum because there is evidence of a geminate in some Latin and Romance forms. All extant Romance reflexes of the diminutive bacillum go back to \*baccillum with a geminate (FEW I: 201) and Lat. imbēcillus 'weak, feeble', if literally 'without a staff', could suggest the stem was  $*b\bar{a}c$ - and that the geminate forms within Romance originated by the littera rule. Given the rarity of a situation like this, it is impossible to know if a littera rule derived pre-form like \*bakk-elo- would yield Lat. baculum, but it seems like an extra assumption based on an already not fully secure etymology of imbēcillus. At face value, Latin and the Romance forms show an alternation \*bak- ~ \*bakk-. Celtic comparanda like OIr. bacc 'bill-hook, angle, bend' and OW bach 'hook, peg' securely

attest to a geminate in that they reconstruct to PCelt.. \*bakko- (Matasović 2009: 52).65

The Germanic forms in \*pag- are traditionally considered Verner variants of \*bak-' (cf. Kroonen 2013: 395), but could theoretically reconstruct to PIE \* $g^h$  as well. In any case, they securely rule out a geminate. Thus, along with the Greek forms, Germanic proves that an alternation \* $bak \sim *bakk$  must be reconstructed for this root, which, in addition to its remarkable phonetics, points to a non-IE root (Schrijver 1991: 100, DV 67, Kroonen 2013: 395, Stifter 2023: 32).

baiulus 'porter, carrier'

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Pre-form: *ba/Hg- | PItal. *bagjelo-
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Comp.: \*ba/o/Hg-nó- / \*ba/o/HK- | PGm. \*pakka- | ME packe, etc. 'bundle, pack'

\*bha/o/HGh- | PGm. \*bagg- | ON baggi 'pack, bundle'

\*bʰa/h₂k- | PGk. \*pʰakel(l)o- | Gk. φάκελος, φάκελλος 'bundle' ?\*bʰa/h₂sk- | PGk. \*pʰaskōlo- | Gk. φάσκωλος 'leather pouch, satchel',

\*b(h)a/HK- / \*b(h)a/Hsk- | PCelt. \*bakki- / \*baski- | W beich 'load, weight, burden', etc.

■ Irreg. correspondences

■ Remarkable phonotactics

Semantics: tool

Pokorny (111), WH (I: 93-4, 459-60), EM (64, 218), DV (68, 203) Osthoff (1893: 322), Solmsen (1904: 22-6), Bertoni (1910: 25-6), REW (no. 880),

Hubschmid (1955: 91-7), Corominas & Pascual (1984-91 I: 453-5), Furnée (1972: 173, 295-301), Schrijver (1991: 100, 102-3), Demiraj (1997: 93-4), Matasović (2009: 58),

Kroonen (2013: 396), EDG (1547), Šorgo (2020: 459), GPC (s.v. baich)

Osthoff (1893: 322) originally compared Lat. *baiulus* to Gk. βαστάζω 'to lift up', βάσταγμα 'load', with the Latin from \**bad-jo*- and the Greek from \**bad-to*-. But several Romance forms make a pre-form with \**g* much more likely (Solmsen 1904: 22-6, Bertoni 1910: 25-6, Schrijver 1991: 100, DV 68, Kroonen 2013: 396). While Span. *baga* 'flax seed capsule' is often mentioned, it is likely from Lat. *bāca* 'berry' (Corominas & Pascual 1984-91 I: 453-4). However Aragonese *baga* in the sense 'rope with which loads are tied' belongs to a group of words including Prov. *baga*, Venetian, Lombardian, Emilian *baga*, and Friulian *bage* 'bundle, bag, purse' (REW no. 880, Hubschmid 1955: 91-7 lists many more), which can easily be connected to Lat. *baiulus*. <sup>66</sup> Its \**b* and

<sup>65</sup> Lühr (1985: 283 with lit.) suggests that PCelt. \*bakko- could be from earlier \*bak-no-, basically a Celtic Kluge's Law. But several cases must be reconstructed for Proto-Celtic that do not undergo Kluge's law, such that it likely cannot explain Celtic geminates (Thurneysen 1946: 92-3).

<sup>&</sup>lt;sup>66</sup> This makes the first syllable of baiulus heavy, such that the actual length of the a is indeterminate (cf.

a-vocalism make it unlikely to be inherited.

Two Germanic forms can be compared to *baiulus*. PGm. \*pakka- 'bundle, pack' (ME packe, MDu. pac, etc.) can be mechanically reconstructed to \*bagg- with a (non-IE) voiced geminate. Its geminate can however also be explained via Kluge's Law < \*bag-n\u00f3-. The voiced geminate in ON baggi is not the classic outcome of Kluge's Law, but sometimes occurs as the result of contamination (Kroonen 2011: 124). Otherwise, it points to a reconstruction with a geminate voiced aspirate. The initial consonant alternation between the two Germanic forms has no explanation, pointing to the reconstruction of QPIE \*b\u00e9-\u00b9 b (and perhaps \*g\u00e9-\u00b9 g) alternations.

Further comparanda are difficult to navigate. Kroonen (2013: 392) further compares Gk. φάκελος 'bundle' < \*b\(^hak\)-, whose variant φάκελλος has geminate λλ (EDG 1547), with an *l*-suffix reminiscent of *baiulus*. But these forms are difficult to separate from several other Greek words, also referring to bundles, but with an additional sibilant, including Gk. φάσκωλος 'leather pouch, satchel', Hsch. βάσκιοι δεσμαὶ φρύγανων 'bundles of firewood', and Hsch. βασκευταί φασκίδες. ἀγκάλαι 'bundles'. This leads Furnée (1972: 173) to compare this \*b(\(^h)ak\)-/\*b(\(^h)ask\- group to Lat. *fascis* 'bundle'. Several Celtic forms like W *beich* 'load, weight, burden' can be either from \*bakki- (similar to the Germanic) or \*baski- (more similar to *fascis*)(WH I: 94, Matasović 2009: 58, Kroonen 2013: 396, GPC s.v. *baich*). <sup>67</sup> Neither Schrijver (1991: 102-3) nor DV (203) is convinced that Lat. *fascis* is anything more than an Italo-Celticism, <sup>68</sup> nor does EDG (1547) mention *fascis* under his entry on the Greek forms.

The Romance forms have long been suspected of being loans from another IE language. For the latter, the REW (no. 880) has somehow suggested Dalmatian origin, <sup>69</sup> while DV (68) suggests they are either borrowings from Germanic (asserted also by Corominas and Pascual 1984-91 I: 454-5) or Celtic. In fact, he suggests that Lat. *baiulus* itself could be from Celtic. If *baiulus* is a more recent borrowing, then *fascis* may represent the independent Latin reflex of the substrate bundle word. The semantic match is quite good, and the vacillating presence of a sibilant has parallels in other potential substrate vocabulary (cf. Furnée 1972: 295-301 in Greek, Šorgo 2020: 459 on PGm. \**aik*- vs. Lat. *aesculus* 'oak' and further the entries on *barba* and *turdus*). But even in taking the strictest approach—separating Lat. *fascis* and W *beich*, and having Lat. *baiulus* be a

similarly \*mag- $i\bar{o}s > mai(i)or$ , \* $h_2(e)\acute{g}$ - $ioh_2 > ai(i)\bar{o}$ , Weiss (2020: 172)). But there seems to be no reason to assume it was long (though it is given as  $b\bar{a}iulus$  by LS and DV 68).

<sup>&</sup>lt;sup>67</sup> Matasović (2009: 58) writes that OIr. *basc* 'necklace', often taken from the same pre-form, is scarcely attested. More importantly, its semantics seem too far removed to compare it with certainty.

<sup>&</sup>lt;sup>68</sup> Though Demiraj (1997: 93-4) and Matasović (2009: 59) further adduce semantically distant Alb. *báshkë* 'fleece'. (Its homonym *báshkë* 'together, common' is argued by Demiraj to be the same word, with a shift in meaning that has come about in the context of shepherding.)

<sup>&</sup>lt;sup>69</sup> Hubschmid (1955: 91-7) takes this much further, claiming an Altaic/Turkic origin on comparison with several words including Old Turkish *baġ* 'Warenbündel; Strick, Fessel' and numerous modern Turkic languages with the same form and meaning.

more recent borrowing—does not account for the phonological alternations present between the other comparanda of *baiulus*. It remains a member of a group of words whose morphophonological alternations make them difficult to explain from an inherited perspective.

#### ballaena 'whale'

Pre-form:  $*ba/Hl-d/n/s/u^{-70}$  | PItal.  $*ballAEn\bar{a}$ -

Comp.: \*bha/Hl-i- | PGk. \*phallaina- | Gk. φάλλαινα 'whale'

■ Irreg. correspondences ■ Remarkable phonotactics

Semantics: animal, wild; aquatic

Pokorny (120-2), WH (I: 94-5), EM (65), DV (68)

Brüch (1919a: 198-9), Kretschmer (1923b: 280-1), Frisk (1960-72 II: 987), Leumann

(1977 I: 158-9), Biville (I: 178-81), EDG (1549)

The ultimate source of Lat. *ballaena* is Gk. φάλλαινα 'whale', generally recognized to be an -αινα derivative of φαλλός 'penis' (Kretschmer 1923b: 280-1, Brüch 1919: 199, Frisk 1960-72 II: 987, EDG 1549), with the latter analyzable as either native (< \*b^hel- 'to swell' cf. Frisk 1960-72 II: 987) or Pre-Greek (because of evidence of a  $\lambda \sim \lambda\lambda$  alternation cf. EDG 1549). In any case, it was borrowed into Latin late enough for non-initial -ae- < -αι- to have missed the vowel weakening and monophthongization to - $\bar{\iota}$ - (DV 68). As a reflex of loaned Greek φ, we expect Lat. p (especially in early loans) or ph (in later and learned loans). Before the imperial period, we have two examples of Lat. p for Gk. φ: p ballaena < p φάλλαινα and p Brüch (1919a: 199) saw Proto-Greek \*bhallaina entering Illyrian where \*p such cause for the Latin consonantism while Kretschmer (1923b: 280-1) preferred Messapic directly. What can be said with certainty is that a third language has mediated the transmission of the Greek word into Latin.

burrus 'red, reddish-brown'

Pre-form: \*bur-so- | PItal. \*burso-

 $^{70}$  Several other clusters can produce ll in Latin, but as Greek also has a geminate and there are further irregularities between the forms, it would be artificial to provide all possible pre-forms as though the word were inherited.

<sup>73</sup> cf. Messapic *berad* = Lat. *ferat* (Biville I: 180).

 $<sup>^{71}</sup>$  Leumann (1977 I: 159) is incorrect in proposing that *ballaena* could be directly from φάλλαινα; his reasoning is that Gk.  $\pi$  sometimes yields Lat. b in loans. Despite the ancient grammarians using this exact argument, Lat. b for Gk.  $\pi$  is also irregular.

 $<sup>^{72}</sup>$  The explanation for Bruges, as it is a para-Balkan ethnonym, is more complex. It could be due to other languages that likely had the b < \*bh change that include Macedonian, Thracian, and Phrygian itself.

Comp.: \*ph2ur-s-uo-? | PGk. \*purswo- | Gk. πυρρός 'blazing red, tawny'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: color

WH (I: 124), EM (78-9)

Cuny (1910: 160), Kretschmer (1928: 166), Schulze (1933: 115-16), REW (nos. 1117, 1410, 1416), Kahane & Kahane (1960: 138-142), Furnée (1972: 157), Biville (I: 237-8), EDG (1264)

The same correspondence is found between the proper names Lat. *Burrus* and Gk. Πύρρος. But here the discussion is of the adjectives for 'red'. The adjective is attested only in glosses, lexicographers, and in Paulus *ex Festo*, who tells us it is an old word for *rufus* 'red'. But as Biville (I: 237-8) notes, it must have been in widespread use as it has many Romance descendants (from *birrus*, REW no. 1117; *burrus*, REW no. 1416; and *būrius*, REW no. 1410). The correspondence between Lat. *b* and Gk. *p*, like in *buxus* (s.v.) is irregular, leading Biville (I: 238) to conclude that it attests to a borrowing from Greek through an unknown language<sup>74</sup> (cf. also EM 79) or that it occurred within the Greek of Southern Italy. There does not seem to be any evidence of the latter.

Biville along with e.g. WH (II: 124) and EM (78-9) are certain that the Latin word, directly or not, was borrowed from Greek. The geminate in  $\pi$ υρρός is likely though a pre-form \*purswo- (Schulze 1933: 115-16, EDG 1264), which seems to contain the color adjective suffix \*-μο- (esp. if the Corinthian horse name Πυρρός is related). It has been suggested to derive from Gk.  $\pi$ ῦρ 'fire' (already called a pis-aller by Cuny 1910: 160) or to be related to Lith. purvas 'dirt, mud' (Schulze 1933: 115-16). Furnée (1972: 157) proposes that  $\pi$ υρρός 'red',  $\pi$ υρσός 'torch, firebrand', and burrus descend from a pre-IE color term, perhaps for horses (cf. Lat. būricus 'small horse', though EM 78 give this another etymology), coincidentally similar to  $\pi$ ῦρ 'fire' and thus later folk etymologically connected to it. In the end,  $\pi$ υρρός seems to have a pedigree in Greek. Its presence in Latin as burrus shows it arrived there via an intermediary language.

buxus 'box-tree'

Pre-form: \*buk(w)/g(w)(h)-so- | PItal. bukso-

Comp.: \*puk-so- | PGk. \*pukso- | Gk. πύξος 'box-tree'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, tree

<sup>&</sup>lt;sup>74</sup> Kretschmer (1928: 166) proposed Etruscan, especially based on the Hesychius gloss βυρρός· κάνθαρος ὑπὸ Τυρρηνῶν. But 1) the the semantics do not match, 2) the use of words meaning 'Etruscan' by Hesychius and Pseudo-Dioscorides often simply refers to Italy and not the Etruscans themselves (cf. Breyer 1993: 133), and 3) it is generally only intervocalically that the consonants of Etruscan names were perceived by Latin-speakers to be voiced (cf. s.v. taeda). Thus we can rule out Etruscan intermediation. Kahane and Kahane (1960: 138) simply explain it as 'rustic', but this does not feel like an explanation.

WH (I: 125), EM (79)

Cuny (1910: 160), Boisacq (1911-2: 58-9), Fohalle (1925: 171), Furnée (1972: 157), Biville (I: 240), Breyer (1993: 180), EDG (1259)

The mismatch in voicing between Lat. buxus and Gk.  $\pi$ ó $\xi$ o $\varsigma$  'box-tree' is not normal in loans between the two languages (Cuny 1910: 160). Boisacq (1911-2: 58-9) and EM (79) take them to be from a language of Asia Minor (Boisacq specifically names Thraco-Phrygian). EDG (1259) is unconvinced by all attempts to feed an Indo-European root through another IE language to arrive at the attested forms (like \*puk-s-o- to \*peuk-s-s-'fir tree'). Nor is it likely that Etruscan intermediation could produce voicing in this position as a loan from Greek (Breyer 1993: 180). WH (I: 125), Furnée (1972: 157) and EDG (1259) assert that the box-tree is native to Italy, not Greece or Asia Minor, so the Greek form would have to be a borrowing from Latin (perhaps with devoicing through Etruscan). Biville (I: 240) instead follows the argumentation that this word represents a loan into both Latin and Greek from a third language in the Mediterranean (cf. also Fohalle 1925: 171).

caballus 'horse, esp. a nag or workhorse'

<sup>75</sup>Kretschmer (1928: 166-7) preferred to take cases like these as loans from Greek, but it seems that the only alternative that he considered was the one presented by Fohalle (1925), namely that both Latin and Greek had borrowed from a third language. "Gegen [diese] Möglichkeit," he wrote, "besteht das Bedenken, daß die voridg. Urbevölkerung der Appenninhalbinsel für uns viel weniger greifbar ist als die der Balkaninsel, daß die Berührungen der Römer und anderer idg. Stämme Italiens mit ihr in sehr alte Zeiten zurückgehen müssen und wir nicht wissen, ob Wörter wie göbius cobius, conger so alt im Lateinischen sind." His apprehension and tendency toward rejection seems thus to have been based on uncertainty about the past. But another option exists, like for ballaena and burrus above—namely that a third language was responsible for transmitting many of these words from Greek to Latin. Biville (I: 245) for example mentions several nautical terms that have been argued to have entered Latin indirectly from Greek (gubernāre [s.v.], ancora 'anchor' < Gk. ἄγκῦρα 'id.', anquīna 'halyard' < Gk. ἀγκοίνη 'bent arm'?, aplustre 'ornamented ship stern' < Gk. ἄφλαστον 'ship's poop', struppus 'band, strap' < Gk. στρόφος 'twisted band or chord', supparus [s.v.]). Even so, there are several examples mentioned by Fohalle that I do not include because their analysis is difficult. Lat. gōbiō and gōbius 'goby, gudgeon' occur beside forms in c-, from Gk. κωβιός 'gudgeon'. Biville (I: 244) considers the voiceless variants learned Hellenisms, but they appear in some Italian dialects. The REW (no. 3815) says they are Southern Italian and show the κ- of Greek, which would rule out a Greek dialect of Southern Italy being responsible for the voicing. This is suspicious, but even EDG (812) considers the Latin loaned from Greek. The idea seems to be that the voicing is somehow secondary. It sounds ad hoc, but Gk. κόμμι 'gum' appears first in Latin as cummi and later as gummi. EDG (744) does not believe that independent borrowings from Egyptian (the source of the Greek) would yield such similar forms, while Biville (I: 257) simply stresses that the form with g- is later (suggesting that it developed in Italy post-borrowing). From Gk. καμπή 'bend', gamba 'horse ankle (pastern)' seems to have been borrowed in a veterinary context, but it appears late (4th c.) and appears besides camba. Thus when both variants are in circulation, which mysteriously seems only to be the case for yelars, it is difficult to reach a conclusion. Lat. amurca 'the watery part that flows out of pressing olives' seems to be a borrowing of Gk. ἀμόργη 'watery part which runs out when olives are pressed' (itself a derivation of ἀμέργω 'to pluck, squeeze out') with the devoicing and vowel change suspected to be due to Etruscan (cf. Biville I: 233-4), but no such Etruscan word is attested and the variant amurga is also attested and preserved in Romance. It is difficult to decide in favor of 1) a situation involving multiple borrowings but only affecting words with a variation in a velar or 2) a Latin-internal situation that only seems to have affected these few words.

Pre-form:  $*ka/Hb(^h)$ - | PItal. \*kab/fallo-

 $??*ka/Hb(h)-\bar{o}n-|$  PItal.  $*kab/f\bar{o}n-|$  Lat.  $cab\bar{o}$  'nag'

Comp.: \*ka/Hb- | PGk. \*kaballā- | Gk. καβάλλης 'workhorse, nag'

\*ka/ob<sup>h</sup>- | PSlav. \*ka/ob- | OCS, Ru. kobýla, Pol. kobyla, etc. 'mare'

\*ka/HP- | PCelt. \*kappe/ilos | OIr. capall 'horse', W ceffyl, etc. 'horse'

\*kab(h)/p- | PIr. \*kab/parda- | MoP kawal 'second class horse of mixed blood'

■ Irreg. correspondences

■ Remarkable phonotactics

Semantics: animal, domestic; equestrian

WH (I: 125), EM (80), DV (77)

Boisacq (1916: 388-9), Maass (1925: 469), Tafrali (1925: 259), Kretschmer (1928: 191-2), Kretschmer (1932a: 247-8), van Windekens (1959), Schmidt (1966: 161), Bailey (1979: 52), Emmerick (1981: 185), Huld (1990: 403), Schrijver (1991: 425-35), Breyer (1993: 509), Gamkrelidze & Ivanov (1995 II: 474), Watmough (1997: 54-5), Polomé (1998: 673), Delamarre (2003: 69), Simon (2005: 405-16), Derksen (2007: 231), Schwarz (2008), EDG (611), Weiss (2020: 130)

The shape of Lat. *caballus* is strange enough that it is unanimously considered a loan, but its age is difficult to securely determine. While it looks to have entered Latin after the 4<sup>th</sup> c. BCE vowel weakening that would have produced \*\**cabellus* (Simon 2005: 411), the absence of weakening could be the result of the *alacer* rule (on the rule, cf. Weiss 2020: 128). Thus it could have entered Latin much earlier, but in either case, the second vowel was *a* when it did so. Both its proximal and ultimate sources and therefore its relationship to Lat. *cabō* are a matter of debate. *Caballus* cannot be regularly derived from *cabō* (*pace* Polomé 1998: 673 as anything like \**kab-on-elo-* should have resulted in *-ullus*, cf. Weiss 2020 301, fn. 88). Nor do there seem to be good parallels for the *n*-stem *cabō* to be a shortening of *caballus* (Nehring 1949: 166, Simon 2005: 407 *pace* e.g. Maass 1925: 469).

Gk. καβάλλης [masc.] is nearly identical, and the 3<sup>rd</sup> c. BCE attestation of καβάλλειον (Tafrali 1925: 259) shows that the lexeme is old in Greek. With *caballus* first attested in Lucilius (2<sup>nd</sup> c. BCE), it is not the age of the forms that rules out a borrowing from Greek (cf. DV 77) as much as the unexplained difference in endings. Several (Maass 1925: 469, heartily followed by Kretschmer 1928: 191 and Kretschmer 1932a: 247-8, WH I: 125-6, EM 80, EDG 611) have suggested a Wanderwort with its origins in the name of some horse-breeding ethnic group (on comparison with names for types of horse such as

<sup>&</sup>lt;sup>76</sup> Van Windekens (1959: 80) suggests that a borrowing from Greek to Latin cannot be ruled out, but nevertheless prefers an ultimate origin of the forms from PIE  $*g^hab^h(o)l$ - 'forked' via Pelasgian. We can rule out the latter suggestion on semantic grounds.

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Ger. *Gaul*, *Wallach*, and Fr. *hongre*). Maass and Kretschmer preferred a source in Asia Minor due to e.g. Herodotus' mention of the  $K\alpha\beta\eta\lambda$ έες in Anatolia, but Nehring (1949: 165) and Simon (2005: 407) reject this as too speculative. Nehring still argues for a proximal Anatolian origin, but on the basis of ethnic names there that vacillate between  $-\alpha\lambda$ oς and  $-\omega$ . He suggests that *caballus* and  $cab\bar{o}$  are of Etruscan origin, with the latter representing the tendency of Latin to borrow Etruscan nouns in -u with Lat.  $-\bar{o}n$  (cf. further Breyer 1993: 509, Watmough 1997: 54-5). There is no further evidence that could make this any more than a guess.

Nehring (1949: 168-70) noted Persian (MoP kawal) and Turkic (11<sup>th</sup> c. kevel in kevel at 'well-bred, swift horse') words to suggest an ultimate origin in Central Asia. Others have placed more emphasis on the Slavic words. <sup>77</sup> Boisacq (1916: 388-9) interpreted them as pointing to a Northeastern European origin of the words. Huld (1990: 403) suggested a North Balkan substrate origin, linking Lat.  $cab\bar{o}$ , OCS konb 'stallion', and Lith.  $kum\bar{e}l\dot{e}$  'mare' via  $m \sim b$  and vocalic alternations. Simon (2005: 408) rejects the connection on the grounds of having only initial k in common, and I am likewise weary of putting so much variation under the same roof. Simon (2005) proposes an Iranian origin of caballus and an ultimately PIE origin of the root, but in the end, MoP kawal is probably a recent loan. Another possibility sometimes mentioned is that caballus entered Latin from Gaulish (Schmidt 1966: 161, Gamkrelidze and Ivanov 1995 II: 474, EM 80) where it occurs in personal names and toponyms (Delamarre 2003: 96). But Insular Celtic forms (OIr. capall, W ceffyl, etc.) reconstruct to \*kappe/ilo- (not \*cappallus, pace Delamarre 2003: 96, as the OIr. caiplib and acc.pl. caipliu show; nor does the geminate ll of the Old Irish form need to be original, p.c. David Stifter). The Insular

<sup>&</sup>lt;sup>77</sup> Kretschmer (1928: 192) used Hsch. κάβηλος, κάληβος· ἀπεσκολυμμένος τὸ αἰδοῖον. οἱ δὲ ὄνος, suggesting its use in referring to castrated donkeys, to propose that OCS *kobýla* is a labialized borrowing of a later Greek pronunciation /*kabilos*/. But EDG (611) correctly warns that we cannot be certain of the Hesychian forms' appurtenance.

 $<sup>^{78}</sup>$  Derksen (2007:  $\widehat{231}$ ) suggests an "either/or" scenario in which OCS konb 'stallion' could be related to  $cab\bar{o}$  if it goes back to \*kab-n-io- with a non-glottalic (i.e. foreign) \*b (though technically \* $b^h$  would work for both as well) or it derives from \*kom-nb and instead belongs with ORu. komonb, Cz. komoň 'horse', and perhaps Lith.  $kum\tilde{e}l\dot{e}$  'mare'.

<sup>&</sup>lt;sup>79</sup> Simon (2005) adduces Khot. *kabä* 'horse' as a dialectal development from expected \*\**kava*- < PIr. \**kaba*-, but PIr. \**kapa*- would work just as well (Emmerick 1981: 185 for Khotanese, for MoP cf. *nawa* 'grandson' < \**napat*-) and does not require the reconstruction of an invalid root structure \**keb*<sup>h</sup> or \**keb*- with rare \**b*. Nor is the meaning of *kabä* even certain (Bailey 1979: 52). In any case, the vocalism of the Iranian forms has to have been \**a* (\**e* would have palatalized and \**o* would have undergone Brugmannian lengthening), which is suspicious. Without *kabä*, the only forms that do not have an *l*-suffix are 1) Lat. *cabō* (whose development from \**keb-ōn*- relies on Schrijver's [1991: 425-35] proposal of \**e* > *a* after a plain velar), attested only in Late Latin glosses and without continuants in Romance, and 2) Finnish *hepo* 'steed, stallion' if it represents a loan from an otherwise unattested PGm. \**hepa*-. As to the forms with the *l*-suffix, Simon explains the geminate of Latin and Greek as independent suffixations of a PIr. \**kabala*-, but it is already highly unlikely (though not completely impossible, cf. Schwartz 2008) that the *l* of MoP *kawal* is inherited from Proto-Iranian; thus the PIr. reconstruction here with \**rd*. Simon further relies on two other independent suffixations with *l*, one in which Turk. *kevel* is the addition of the Turkic denominative suffix -(*V*)*l* to borrowed Khot. *kabä*, and another one to get OCS *kobýla* < \**kob-ōn*- + -*la*.

Celtic forms therefore look quite old, and are on phonological grounds *not* loans from Latin. The lexeme's presence in the Celtic languages could possibly explain its wide distribution, but in fact the Gaulish forms look more likely to be loans from Latin than vice versa

In the end, all secure comparanda attest to a Wanderwort of the general shape \*kabal- of unknown origin, though it looks older in the West (Proto-Insular-Celtic) and younger in the East (MoP kawal). It is more likely that the l was originally present than that the individual borrowing languages all added their own l-suffix. Thus any direct connection with  $cab\bar{o}$  is difficult to confirm. If related, its late attestation in glosses and absence from the Romance languages means that it does not need to have been borrowed at the same time or from the same source as caballus.

calix 'vessel for food or drink'

Pre-form: \*klH-ik-, \*ka/Hl-ik- | PItal. \*kalik-

Comp.: ?\*sklH-ik- | PItal. \*skalik- | U skalçeta [abl.sg. + postpos. -ta], etc.

'sacrificial vessel'

\*kul-ik- | PGk. \*kulik- | Gk. κύλιξ '(drinking) cup'

 $?*k(``)al(H)-e\acute{k}-|$  PIIr.  $*kala\acute{s}-|$  Skt.  $kal\acute{a}\acute{s}a-$  'pot, jug, bowl'

■ Irreg. correspondences ■ Remarkable phonotactics

Semantics: vessel

Pokorny (440-1), WH (I: 138-9), EM (87), DV (83)

KEWA (I: 179, 181), Frisk (1960-72 II: 47), EWAia (I: 321), Furnée (1972: 132), Schrijver (1991: 207), Giacomelli (1994: 36-7, 40), Untermann (2000: 683-4), EDG (628, 800), Beekes (2014: 67), van Beek (2022: 23)

WH (I: 138-9) and EM (87) connect Lat. *calix* and Gk. κύλιξ via a zero-grade formation of a root \*(s)kel- 'to split'. Schrijver (1991: 207), followed by DV (83), proposes that  $*k^wlH$ -ik- can regularly yield κύλιξ via u-coloring of the vowel that arises to the left of \*l (cf. γννή), and the form with s-mobile preserved in Umbrian caused the change  $*sk^w$ - \*sk- whereupon the delabialized velar was spread to the s-less forms in Latin. But given Latin words like  $squ\bar{a}ma$  '(fish)scale', it is clear that \*s does *not* delabialize  $*k^w$  in Italic. Furthermore,  $*k^wlH$ -V- in Greek ought to give  $*\pi\alpha\lambda$ -V- (cf.  $\beta\alpha\rho$ νς 'heavy'  $<*g^wrH$ -u-, van Beek 2022: 23). Instead, the  $a \sim u$  alternation between κύλιξ and calix seems to be

<sup>80</sup> An ultimate connection between the two via something like an original \*kabVn- ~ \*kabanlo-, despite seeming attractive since \*nl > ll in Latin and Greek (and Old Irish if the ll of capall is original), is made unlikely by the fact that in Slavic, the addition of the l-suffix would have to be secondary (after the change \* $-\bar{o}n\# > *-\bar{u}$ ). At best, the change \*nl > ll might be typologically frequent and the assimilation could have occurred already in the donor language. At worst,  $cab\bar{o}$ , which occurs in glosses also as  $cab\bar{o}nus$ , and whose meanings include caballus grandis/magnus, really is a late clipping of caballus with

the -on(e) augmentative suffix.

original, indicating a loan (cf. EDG [628, 800], though his position on the appurtenance is unclear; further Frisk 1960-72 II: 47, Schrijver 1991: 207, DV 83). Furnée (1972: 132) took Gk. κυλίχνη small cup' to attest to a  $*k \sim *k^h$  alternation, but it instead probably attests to the n-suffix that seems to trigger aspiration (cf. Beekes 2014: 67, s.v. laurus). Also compared is Skt. kaláśa- 'pot, jug, bowl' (WH I: 138-0, KEWA I: 179, EWAia I: 321, EM 87<sup>84</sup>, Untermann 2000: 684), though DV (83) follows Schrijver (1991: 207) in being more skeptical. However, given the absence of palatalization or Brugmann's Law, the Sanskrit as well as the Italic words reconstruct to original a-vocalism.

Gk. κάλυξ 'seed-vessel, husk' has the same vocalism of Latin, but is semantically more distant. Hsch. σκάλλιον κυλίκον μικρόν 'small cup' is formally and semantically similar to the Umbrian form, but this may be coincidental. Finally, Furnée (1972: 132) compares semantically similar Hsch. κίλλιξ· στάμνος 'jar', but this introduces several more irregularities.

In the end, Lat. *calix* and Gk. κύλιξ attest to an irregular  $a \sim u$  alternation. This points to a loanword. If U **skalçeta** represents the same lexeme, its initial s is not the IE s mobile. The appurtenance of Skt. *kaláśa*- is semantically and formally possible, but any archeo-linguistic reality for such a borrowing scenario has yet to be identified (similarly, s.y. *carbasus*).

calpar, -āris 'vessel, cask, pitcher'

Pre-form: \*ka/Hlp-eh2r- | PItal. \*kalpār-

Comp.: \*ka/h₂lp-id- | PGk. \*kalpid- | Gk. κάλπις, -ιδος 'jug, urn'

\*ka/h2lp-eh2- | PGk. \*kalpā- | Gk. κάλπη 'pitcher'

\*kelp-ur-n- | PCelt. \*kelqurno- | OIr. cilorn 'pitcher, vessel', W celwrn 'pail, pitcher, vessel', Bret. kelorn 'tub'

?Assyrian (Akk.) karpu, karpatu 'vase, pot'

■ Irreg. correspondences ■ Remarkable phonotactics

Semantics: vessel

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<sup>&</sup>lt;sup>81</sup> Giacomelli (1994: 36-7, 40) proposes that the vocalic alternation is the result of lower register variation in a population with widespread Greek-Latin diglossia, but this idea has been criticized by e.g. Ruijgh (1986).

<sup>&</sup>lt;sup>82</sup> Latin borrows this as *culigna* 'drinking vessel' (Biville I: 183). All the changes are expected in an early loan (with Latin borrowing  $\chi$  as c and then voicing it to g before n), such that there is no need to propose an Etruscan intermediary (cf. Brever 1993: 156-7, who does not rule it out).

 $<sup>^{83}</sup>$  The variant κυλίσκη has a sigmatic element that is difficult to explain.

<sup>&</sup>lt;sup>84</sup> EM (87) also compare Skt.  $kalik\bar{a}$ - 'bud', but KEWA (I: 181) takes it as either a by-form of  $kal\acute{a}\acute{s}a$ - with a -ka- suffix or, since it is first found in classical literature, a derivation from  $kal\acute{a}$ - 'small part, a sixteenth part' and thus not at all related to the family.

Pokorny (555), WH (I: 142), EM (88)

Scheftelowitz (1904: 149), Ernout (1946: 49), Holmes (1947), CAD (K: 219, 221), Untermann (2000: 374), EDG (627), Weiss (2020: 168), van Sluis (fthc.)

Lat. calpar does not occur outside of glosses and the grammarians (EM 88), which makes it difficult to ascertain whether it was actually in currency in Latin. WH (I: 142) suggest that it represents \*calp-āli-, an -ālis derivative of a form loaned from Gk.  $\kappa\acute{\alpha}\lambda\pi\eta$  (EDG 627). The suffix -ālis does indeed normally dissimilate to -āris when attached to roots with l (Weiss 2020: 168). EM (88) alternatively suggest that it came through Oscan, which has -āri- derivatives of this shape (cf. casnar 'old man', Untermann 2000: 374). Following Ernout (1946: 49), EM (88) consider the possibility that it has been transmitted via Etruscan with the plural ending -ar, though WH (I: 142) consider it unlikely.

The Etruscan connection is potentially bolstered by the Celtic evidence, with the formation \* $kel\varphi urno$ -. The suffix -erna appears in many Etruscan and Etrusco-Latin personal names (Niedermann 1916: 152), and though there are many native sources of a suffix \*-erno- in Latin (Holmes 1947), several Latin words in -erna/us are without secure etymology. Similar cases include Lat. cisterna 'cistern' with an -erna element attached from Gk.  $\kappa$ ( $\sigma$ t $\eta$  'vessel' and Lat. lanterna 'lantern' with a -na element attached from Gk.  $\lambda \alpha \mu \pi \tau \dot{\eta} \rho$  'lantern'; in both cases the element is attached for no discernable native Latin reason. Here we see Gk.  $\kappa \dot{\alpha} \lambda \pi \eta$  which ends up in Proto-Celtic with what looks like an -urno- suffix, pointing to Etruscan mediation into Celtic (cf. van Sluis fthc.). The Latin form exists somewhere along the Wanderwort chain.

Nor are the Greek forms, themselves lacking secure etymology (EDG 627), necessarily the originals. Also compared with varying degrees of security (beginning with Scheftelowitz 1904: 149) are Assyrian karpu and karpatu 'pot, earthenware container' (CAD K: 219, 221). The parallel to the two Greek forms, one with and one without a dental element, is striking. This would make for a Wanderwort with its origins far to the East. Even if only Latin, Greek, and Celtic are compared, the  $e \sim a$  alternation attested cannot be accounted for in an inherited way.

cant(h)ērius 'castrated horse; donkey'

Pre-form: \*ka/Hnt-eHr- | PItal. \*kantērio-

Comp.:  $*g^h/k(a)nd^h-eHl- | PGk. *kant^h\bar{e}l- | Gk. κανθήλιος 'pack ass'$ 

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: animal, domestic

WH (I: 155), EM (94)

Boisacq (1916: 406 fn. 2), Nehring (1949: 166), Deroy (1956a: 190-1), Furnée (1972: 130, 290), EDG (635)

Lat. canthērius would very obviously be a direct borrowing from Gk. κανθήλιος, reflecting the theta in spelling as well as the vowel length, but it has an r-suffix rather than an l-suffix. No Greek forms have an r-suffix, and while both suffixes are common in both languages, there is no reason for Latin to replace this suffix in a borrowing from Greek. That κανθήλιος originated in Greek (where it is probably a derivation of κανθήλια 'panniers on both sides of the pack-saddle; rafters' [EDG 635] on comparison with forms like κανθίαι 'baksets' is evidenced by the existence of unsuffixed forms like Gk. κάνθων 'pack ass', κανθίς 'donkey dung' (though EDG 635 suggests it may be a shortening of κανθήλιος akin to  $cab\bar{o}$  from caballus, hinted at by Boisacq 1918: 406 fn. 2; Nehring 1949: 166 disagrees). Given the Greek pedigree of the forms, regardless of their ultimate origin (likely Mediterranean),  $cant(h)\bar{e}rius$  looks very much like Gk. κανθήλιος was brought to Latin by a third language in which an  $l \sim r$  alternation occurred (rather than being an independent borrowing in both Latin and Greek as suggested by Boisacq 1918: 406 fn. 2, WH I: 155, EM 94). We would then have to assume that the spelling with th, for which there is no native source either way, is a learned spelling.

carbasus 'fine linen; sail', var. carbasa (pl. of carbasum)

Pre-form:  $*ka/Hrb(h)-^{86}$  | PItal. \*karb/faSo-

Comp.: \*ka/h<sub>2</sub>rp- | PGk. \*karpaso- | Gk. κάρπασος 'fine flax'

\*k(w)a/orp- | PIir. \*karpaso- | Skt. karpāsa- 'cotton'

> MoP *karpās* 'fine linen'

> Hebr. karpas 'fine garment'

■ Irreg. correspondences ■ Remarkable phonotactics

Semantics: textiles WH (I: 165), EM (99)

Lewy (1895: 126), Cuny (1910: 161), Fohalle (1925: 172-5), Porzig (1927: 272-4), Pisani (1938), Mackenzie (1971: 49), Klein (1987: 287), EWAia (I: 317), Guggenheimer

 $^{85}$  Deroy (1956a: 190-1) suggests a derivation from κάννα etc. 'reed' (thus reed > basket > pannier). Furnée (1972: 130, 290) further adduces ἀνθήλιον 'pack-saddle' and κάθος 'basket', assigning it Pre-Greek origin.

<sup>&</sup>lt;sup>86</sup> Schrijver (1991: 111-13) suggests that a root shape \*CHR(C) was rare. But he provides evidence that for some lexemes it can be reconstructed on the evidence that in some cases, under circumstances that are obscured by its rarity, it metathesized to \*CRHC. It is not immediately clear what the sequence \*CHrC ought to yield in Latin. If vocalization proceeded from the right, one might expect the resonant to vocalize producing \*CHorC- > \*CorC-. Thus a Latin sequence CarC- might require the reconstruction of a-vocalism. On the other hand, Schrijver (1991: 114-15) gives two cases where Latin seems to require the reflex of a zero-grade root with internal laryngeal before a resonant for which the result is still a-vocalism. These are cantus < \*kh2n-to- and callum < \*kHl-no-. The vocalization of the laryngeal would regularly yield a, whereas the vocalization of the resonant of \*kHl-no- ought to yield \*\*collum. But Schrijver (1991: 72-3) notes that in word-initial position (\*HRC-), the result is almost always aRC- (ursus must be regarded as irregular). Thus, if the development is the same word-internally, perhaps CaRC is the normal reflex of \*CHRC.

(1998), Biville (I: 201-2, 240-2), Shorto (2006: 490), EDG (648), Alves (2022: 32)

Reconstructing any sort of PIE pre-form for the Latin or Greek words is only to demonstrate that they are irregular loanwords, already indicated by the preserved intervocalic s. While Shorto (2006: 490) takes Skt. karpāsa- 'cotton' as a loan from Proto-Austroasiatic \*kpaas 'cotton', Alves (2022: 32) notes that cotton production likely post-dates the split of Austroasiatic by a millennium. Thus the Austroasiatic words are borrowings from an Indic source (Sanskrit or Pali). Nevertheless, Skt. karpāsa- 'cotton'<sup>87</sup> is itself likely a loanword (Cuny 1910: 161, EWAia I: 317). Gk. κάρπασος originally referred to high quality linen, and only much later means 'cotton' (EDG 648). It is often considered to have come from the Indic word (EWAia I: 317, EDG 648). Lat. carbasus 'fine linen; sail' must be from this same source, though to consider it directly borrowed from Greek (EDG 648) is not straightforward due to the difference in consonant voicing (Biville I: 240-2). It fits better into the series of words that Latin seems to have borrowed from Greek through an intermediary (cf. burrus, buxus, see also Fohalle 1925: 172-5).

An additional Greek word, homophonous with κάρπασος except in gender and likely also of Mediterranean origin, has entered Latin as well. Columella gives to a poisonous plant the name carpasum, which is clearly the same as Gk. κάρπασον 'white hellebore'. An inscription at Pompeii calls the juice of this plant opocarpasum, which is Gk. οποκάρπασον. But Pliny writes carpathum, which is not attested in Greek. Only from two Mycenaean women's names Ka-pa-si-ja vs. Ka-pa-ti-ja and toponym pairs like Καρπασία (Cyprus) vs. Κάρπαθος (Aegean island) is it suggested that a form like this must also have existed in Greek (Biville I: 201, EDG 648), though the meanings of these onomastics cannot be substantiated. This would make Greek a likely source for the Latin, but at the same time would require a word of non-IE origin in Greek (EDG 648).88 Post-biblical (Mishnaic) Hebrew has karpas 'celery'89 (Lewy 1895: 126). Aramaic has krafsā, krefsā 'celery' and Arabic karafs 'celery'. The latter is borrowed from Persian karafs (MP klps [Mackenzie 1971: 49]), though it is unclear whether the Hebrew and Aramaic forms can be as well (Klein 1987: 287). The (likely) alternation within Greek and the distribution make this look like a Mediterranean substrate word for an herbaceous plant.

EDG (648) keeps the two word families (linen and poisonous plant) strictly separate (cf. also Boisacq 1911-2: 58), though Porzig (1927: 272-4) and Pisani (1938) support the

<sup>&</sup>lt;sup>87</sup> The Sanskrit must also be the source of Persian *karpās* 'fine linen'. The Hebrew hapax *karpas* in Esther 1:6 most likely means 'fine garment', and is borrowed from Persian (Klein 1987: 287). In fact, this may explain the shape of *karpas* 'celery'. Guggenheimer (1998) suggests that the scribe who provided the vocalism for *krps* in the Qaddêsh u-Rechatz was only familiar with the vocalism of *krps* 'fine linen' in Esther, and mistakenly wrote them the same way, whereas *krps* 'celery' might originally have more closely reflected Persian *karafs*.

<sup>&</sup>lt;sup>88</sup> It is unclear if this could have anything to do with the Laconian change from  $\theta > \sigma$  that occurred by the 4<sup>th</sup> century BCE (cf. Allen 1987: 26). This seems too late, and Beekes (2014: 18) considers  $\theta \sim \sigma$  alternations as part of the wider Pre-Greek τ (δ, θ), ττ (τθ)  $\sim \sigma$  (ζ),  $\sigma\sigma$  alternations.

<sup>&</sup>lt;sup>89</sup> Klein (1987: 287) translates 'parsley'. This might perhaps be influenced by Pesach traditions.

idea that they were originally from the same substrate word. Porzig saw a Mediterranean substrate word (perhaps for plant that was both poisonous and used for its fiber) entering India via an Anatolian source while Pisani theorized about a linguistic substrate that could have existed across the whole area in the Bronze Age. Any archeo-linguistic reality for such a scenario has yet to be identified (see §4.2.2.3).

carpinus 'hornbeam'

Pre-form: \*ka/Hrp- | PItal. \*karpino-

Comp.: \*g(h)ra/obh-r-|PSlav.\*grabrb|Ru. grab, Cz. habr, Sln. gâber, grâber, grâber, graber, graber

etc. 'hornbeam'

?\*grab- | PGk. \*grab- | Gk. γράβιον 'torch, oakwood'

?\*sk(e)rp- | PBalt. \*ske/irp- | OPr. skerptus 'field elm', Lith. skirpstas 'elm, alder buckthorn, hornbeam, honeysuckle, beech'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, tree

Pokorny (938-47), WH (I: 171-2), EM (101), DV (94)

Alessio (1936: 185), Alessio (1944a: 123-4), Hubschmid (1958: 212), Wagner (1960-4 I: 311), ESSJa (VII: 99-100), Corominas & Pascual (1984-91 I: 856), Puhvel (IV: 99-100), EDG (284), Matasović (2013: 84)

Lat. *carpinus* 'hornbeam' is identical in meaning and close in form to descendants of PSlav. \*grabrb (on the form, ESSJa VII: 99-100). In this interpretation, the Slavic descendants dissimilated one or the other of two resonants (with both preserved in Sln. grâber). Perhaps *carpinus* could have originated via dissimilation from \*crarpinus, 90 or the second resonant in Slavic could be a suffix. But both explanations still require the assumption of metathesis to arrive at matching pre-forms. OPr. has wosi-grabis 'European spindle(tree)', which is semantically removed and thus of unclear appurtenance.

I am not convinced by Pokorny (938-47), WH (I: 171-2), and DV (94) who would rather derive *carpinus* from \*(*s*)*ker*- 'to cut' because of the serrated leaves of the hornbeam even though the Slavic forms are a perfect semantic match. They, along with EM (101) instead compare OPr. *skerptus* 'field elm' and Lith. *skirpstas* 'elm, alder buckthorn, hornbeam, honeysuckle, beech'. Smoczyński (2018: 1200) does not commit, but notes the *-st*- of the Lithuanian forms could be the result of reanalysis of a frequentative formation of a verb \**skirp*-. Nor is he certain about Lith. *skrõblas* 'hornbeam', another perfect semantic match, as the cognates are formally diverse.

<sup>90</sup> Lat.  $p\bar{o}rti\bar{o}$  'degree, portion' seems to be derived via dissimilation from \* $pr\bar{o}$  ratione (DV 448) and Lat.  $pr\bar{o}c\bar{e}rus$  'tall, lofty' is perhaps from \* $pr\bar{o}$  + \* $kr\bar{e}ros$  < \* $kreh_l$ -ro- (DV 491).

WH (I: 171-2) go further to suggest that Gk. γράβιον 'torch, oakwood' is related via the "Reimwurzel" \*greb\*- in γράφω 'to write', a suggestion which can be ignored along with the connection to U *Graboui*, an epithet of Jupiter. It is interesting that, though they reject the connection with Slavic, they consider γράβιον a Macedonian/Illyrian word. More interesting is that EDG (284) agrees, though he excludes *carpinus* and connects the Greek and Slavic words. He follows Furnée's (1972: 169) connection with Hsch. γοβρίαι· φανοί, λαμπτῆρες 'bright, torches' to conclude that the family is of non-IE Balkan substrate origin, with the Modern Greek forms γράβος (Epirus), γάβρος (Arcadia) 'hornbeam' continuing this word. But the Modern Greek words are probably loans from Slavic.

Matasović (2013: 84) accepts only the words that mean 'hornbeam', i.e. the Latin, Baltic, and Slavic. This approach makes the most sense (though the Baltic forms are formally the most distant and semantically broad; thus their appurtenance is uncertain). Alessio (1936: 185, 1944a: 123-4) had done the same, though he went too far in deriving them from a substrate root \*karra' 'rock' and further comparing Gk. κάρφος 'small dry body'. It is this semantic distance which removes Gk. γράβιον 'torch, oakwood' from consideration. Interestingly, there are several Romance (and Basque) forms for 'branch' and 'firewood' and even 'oak' that are similar to one or both of these Greek words: (Nuorese kárva 'branch', Asturian garbu 'small firewood', Basque karbasta 'stick with branches', Wagner 1960-4 I: 311; Sp. carba 'scrubland full of coarse oak trees', Pt. carvalho 'oak', Corominas and Pascual 1984-91 I: 856; etc.). But none is likely related to carpinus (cf. Hubschmid 1958: 212). We are left with irregular voicing (and perhaps aspiration) alternations in a root with likely original a-vocalism present in Latin and Slavic.

Hitt. *karpina*- 'a tree/shrub' has also been compared (Puhvel IV: 99-100, not necessarily in an inherited way), but its meaning is too vague to ascertain its appurtenance.

caulae 'railing or lattice barrier, sheepfold; pores of the skin'

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Pre-form: *ka/Hg^h-e/o/ul- | PItal. ka\chi e/o/ul\bar{a}
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Comp.: \*kogh- | PItal. \*koxom | Lat. cohum 'part of a yoke', incohāre 'to begin'

\*ka/o/Hg<sup>h</sup>- | PGm. \*haga(n)- | OHG hag 'hedge, fence', OE haga 'fence'

\*ka/Hg(h)- | PCelt. \*kagyo- | Gaul. caii 'fence', W cae 'fence, collar'

☐ Irreg. correspondences ■ Remarkable phonotactics

Semantics: tool

Pokorny (518), WH (I: 187-8, 243-4), EM (107, 131), DV (99, 123, 126)

Thurneysen (1887: 155-6), Schrijver (1991: 141, 462), EWAia (I: 288), Untermann (2000: 362, 380-1), Matasović (2009: 184), Kroonen (2013: 198), van Sluis, Jørgensen &

Kroonen (2023: 216)

Latin *caulae* is reconstructed to an original  $*ka\chi ul\bar{a}$ - (Pokorny 518, WH I: 187, EM 107, DV 99) because of its close semantic match with Germanic \*haga(n)-, etc. (Kroonen 2013: 198) and Celtic \*kagyo- (Matasović 2009: 184) of the same root shape. All go back to what could be reconstructed as  $*kHg^{h}$ -, but the invalid  $*TeD^{h}$  root structure points to a-vocalism.

Lat. *cohum* 'part of a yoke' is poorly attested outside of glosses, and its meaning is not completely understood, interpreted from accounts by Varro and Paulus *ex Festo* (Schrijver 1991: 141). Varro, followed at least in the beginning by Thurneysen (1887: 155-6) and by EM (131), favored a relation to *cavus* through a form like \* $co\mu um$ . WH (I: 243-4) reject this in favor of deriving it from the same root as *caulae*. DV (123) as well supports the connection with *caulae*, and champions the connection with *incohāre* 'to begin' as developing from 'to start work' < \*'to yoke a plow to a team of oxen'. This would be from \* $kog^{h_-}$ , pointing to an  $a \sim o$  alternation (since \* $kHg^{h_-} \sim *kHog^{h_-}$  is still of an invalid structure). Several Sabellic words including Osc. **kahad** [3sg.pres.subj.] 'to take?' (Untermann 2000: 362 with lit.), U *cehefi* [pass.inf.], and U **kukehes** [com + 2/3sg.fut.] 'to take/get?' (Untermann 2000: 380-1 with lit.) of relatively uncertain semantics also reconstruct to \* $kalog^{h_-}$ . Widely considered related to *cohumlincohāre*, van Sluis, Jørgensen & Kroonen (2023: 216) support a connection with the Celtic and Germanic words.

Interestingly, EWAia (I: 288) argues that Skt.  $k\acute{a}k
signsymbol{s}a$ - 'bush, scrub' is to be separated from  $k\acute{a}k
signsymbol{s}a$ - 'armpit', leaving it to be potentially connected with this family. The semantics are not extremely far off if we consider either narrowing from 'bush' > 'hedge' > 'fence' or a broadening in the opposite direction. But \*g'-s- is by far not the only source of Skt.  $k
signsymbol{s}$ . Additionally, the potential appurtenance of Alb.  $than\ddot{e}$  'cornel; winter stall for sheep' < \*k'alo(C)- $neh_2$  (van Sluis, Jørgensen & Kroonen 2023: 216) to the Italic, Celtic, and Germanic family would rule out the connection with Sanskrit, as it cannot reflect \*k'. The inclusion of one excludes the other, but in fact neither is semantically close enough to compare to the Italo-Celto-Germanic group with certainty.

caupō 'trader, huckster; innkeeper'

Pre-form: \*kh2eup-/\*keh2up- | PItal. \*kaupōn-

Comp.: \*kh<sub>2</sub>(e)p- | PGk. \*kap- | Gk. κάπηλος 'huckster, innkeeper'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: economic

WH (I: 189), EM (107), DV (100)

Ernout (1946: 42-3), Nehring (1949: 165), Furnée (1972: 115-6, 257-8), Puhvel (III: 125-7), Bonfante (1985: 207), Breyer (1993: 507-13), Kloekhorst (2007: 295), EDG (638), Oettinger (2021: 120-2)

Despite the circulation of the forms  $c\bar{o}p\bar{o}$ ,  $c\bar{o}pa$ , and  $c\bar{u}p\bar{o}$ , the borrowing of this word into Proto-Germanic resulting in OHG *kaufon* 'to buy' etc. establishes the form with the diphthong as original rather than a hyper-urbanism of  $\bar{o}$  (WH 189).

It is often considered a Mediterranean substrate word based on its isolation beyond Gk. κάπηλος, likewise without etymology and of precisely the same semantics but aberrant in phonology and morphology (WH 189, EM 107, DV 100). A derivation of the Greek from κάπη 'crib' with the assumption that this originally meant 'chest' and therefore 'one who sells from a chest' is unlikely (WH 189, EDG 638). Furnée (1972: 115-6) includes κάπηλος as one of several Greek words with the Pre-Greek suffix -ηλος while the Latin form is a *praedō*-type *n*-stem, which is otherwise easily Indo-European. There is no strong evidence that the word entered Latin via Etruscan: neither in its *n*-stem (Bonfante 1985: 207, *pace* Ernout 1946: 42-3, Breyer 1993: 507-13) nor the  $a \sim au$  alternation (Breyer 1993: 251, *pace* WH 189).<sup>91</sup> Etruscan lookalikes (*caupis*, *caupnal*, *caupne*) are onomastic and of unknown meaning.

Furnée (1972: 257-8) hesitantly compares Hitt. happar- 'business, payment, price' (inherited from  $*h_3 \acute{e}p$ -r- <  $*h_3 \acute{e}p$ - 'work', Kloekhorst 2007: 295) on the uncertain grounds that cuneiform h may correspond to Gr.  $\kappa$  in some potential loans. Puhvel (III: 127) accepts the connection with Hittite, which makes the Latin and Greek words "Mediterranean" (his scare quotes) loans of Anatolian origin. Oettinger (2021: 120-2) argues that Gk. κάπηλος was directly borrowed from an unattested Lydian \*kapala- 'merchant', from the same root as Hitt. happar-. Interestingly, this would match Nehring's (1949: 165) argument that Lat. cabō ~ caballus is of ultimately Anatolian origin due to the vacillation there in some names between -αλος and -ων (cf. thus also Gk. κάνθων ~ κανθήλιος 'pack ass'). I am not fully convinced by Oettinger's evidence of the ability to reconstruct a Lydian form from the Greek. 92 Nor is he able to explain the au of Lat. caupō. If the root is indeed ultimately Anatolian, the word reached at least Latin through (an) intermediary language(s), resulting in the difference in vocalism. PItal. \* $kaup\bar{o}n$ - against PGk. \* $kap\bar{e}l$ - at first blush seem to attest to an  $n \sim l$ alternation, but they may instead be two different suffixes; the alternation between \*- $\bar{o}n$ - and \*- $\bar{a}l(l)$ - seems to have a few parallels. In any case, caupō remains a foreign word in Latin whose direct donor(s) is/are unclear.

citrus 'citron (Citrus medica); arar, sandarac gum/Sictus tree (Tetraclinis articulata93)'

Pre-form: \*kit-ro- | PItal. \*kitro-

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<sup>&</sup>lt;sup>91</sup> Etruscan attests the monophthongization of au > a, but would not produce the reverse (Breyer 1993: 251)

 $<sup>^{92}</sup>$  Greek has Γύγης from Lyd. Kuka-. The first  $\gamma$  for k is explained as being due to the neutralization between voiced and unvoiced stops in word-initial position leading to a variance in pronunciation. The second  $\gamma$  for k is explained via assimilation. But with so few secure examples of Greek loans from Lydian, it feels perilous to explain the correspondences away so quickly.

<sup>93</sup> At different times formerly assigned to the genera *Thuja* (thus sometimes called the 'thuja') and *Callieris* 

Comp.: \*ked-ro- | PGk. \*kedro- | Gk. κέδρος 'cedar, juniper'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, tree; fruit

WH (I: 223-4), EM (123-4), DV (116)

Fohalle (1925: 166-70), Battisti (1960: 375), Leumann (1977: 198), Hamp (1978: 185-95), Biville (I: 223-4), Breyer (1993: 188), EDG (663), Weiss (2020: 192)

Lat. *citrus* means both the fruit-bearing 'citron' and the aromatic-wooded 'arar'. While clearly related to Gk. κέδρος 'cedar, juniper', a direct loan is unlikely due to the differences in phonology and semantics. In contrast, Lat. *cedrus* 'cedar, juniper' is a direct loan in form and meaning from Gk. κέδρος, while Gk. κίτρος 'citron' is a direct loan from Latin *citrus* (Biville I: 223-4, EDG 663).

It is often accepted that Lat. *citrus* was taken from Gk. κέδρος through Etruscan mediation (WH I: 223-4, EM 123-4). This is not beyond questioning however, given the lack of an attested Etruscan from. The devoicing of \*-dr- > -tr- in Latin may have an internal explanation, though beyond the near total lack of the sequence dr in Latin, there are few secure examples of the devoicing. While Etruscan sometimes attests -i- from Gk.  $\varepsilon$  (cf. Etr. *Elina* < Έλένα), this is not attested in an initial syllable (Biville I: 223-4, Breyer 1993: 188). Given that there is nothing about the words that requires the direction of borrowing to have gone from Greek to Latin (via an intermediary), both Latin and Greek may well have borrowed the word from another language of the Mediterranean (Fohalle 1925: 166-70, Battisti 1960: 375, Biville I: 224, DV 116).

columba 'pigeon, dove'

Pre-form: \*ke/ol-o/umb(h)-| PItal.  $*ke/olo/umb/f\bar{a}-$ 

Comp.: \*g(h)ol-omb(h)-|PSlav.\*g"olobb-|OCS golobb 'pigeon, dove', etc.

\*gul-ub<sup>h</sup>- | PGm. \*kulubrōn- | OE culfre, culufre 'dove'

Copt. вроомпє /kjroompe/ 'dove' < Egypt. gr-n-p.t 'dove'

?\*kol- | PArm. \*salámba- | Arm. salamb 'partridge, francolin'

??\*kol-umb- | PGk. \*kolumbo- | Gk. κόλυμβος 'little grebe'95

94 Hamp (1978: 185-95) discusses this at length, proposing examples in word-initial position. Word-internally, the best example is *uter* 'wineskin, water bottle' < \*udris (cf. Gk. ὑδρία 'water jar') the water word, attested otherwise only in *unda* 'wave'. *Lutra* 'otter' on comparison with e.g. Skt. *udrá*- 'otter' is also probably an example from the water root, but the initial *l*- is of unknown origin. Leumann (1977: 198) gives *taeter* 'foul, disgusting' < \*taidro-, cf. *taedet* 's/he is tired/disgusted', but the latter is without etymology. The element *quadru*- seems to show the opposite phenomenon, but Weiss (2020: 192) suggests it is the reflex of the cluster \*tur.

<sup>&</sup>lt;sup>95</sup> Often given as *Podiceps minor*, but this is not a recognized species name and should be amended to *Tachybaptus ruficollis* (cf. also Batisti 2021: 211).

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: animal, bird

Pokorny (429-34, 547-8), WH (I: 249), EM (134), DV (126)

Skeat (1888: 146), Brugmann (1906: 386-7), Oštir (1921: 49), Worrell (1934: 67), Frisk (1960-72 I: 906), Erman and Grapow (1971 V: 181), Furnée (1972: 170), Lockwood (1990: 262), Vycichl (1990: 249), Schrijver (1991: 375), Biville (II: 265), Johnston & Janiga (1995: 6), Peust (1999: 280), Derksen (2007: 175), EDG (741), Martirosyan (2010: 565), Neri (2016: 14), Allen (2020: 115), Batisti (2021), Jakob (fthc.)

Lat. fem. columba is the primary form to which masc. columbus is a secondary derivation (WH I: 249, Schrijver 1991: 375, EM 134). Though remarkably similar to Gk. κόλυμβος 'little grebe', the latter's semantic mismatch in light of all other comparanda makes it difficult to compare with any certainty. Frisk (1960-72 I: 906) notes that the v of Greek makes it difficult to reconstruct a proto-form that is not a "lautliches Unding" from an inherited perspective, 96 and Biville (II: 265) suggests that the large semantic distance shows that neither is borrowed from the other. Even if the verb κολυμβάω 'to dive, submerge, jump into the water, swim', whose variants like κολυμφάω attest to features that Furnée (1972: 170) and EDG (741) take as evidence of a Pre-Greek origin (a φ ~ β alternation and -υμβ- suffix), is denominal from κόλυμβος (and therefore indicates that κόλυμβος is also Pre-Greek), it only further points to the original meaning of the Greek word being something like 'diver' and to the similarity with columba being coincidental.

The Slavic evidence provides the first phonological peculiarity indicative of a non-IE origin in that it requires the reconstruction of voiced initial \*g. There are Baltic relatives as well (WH I: 249, EM 134, DV 126, Derksen 2007: 175) but there the avian meanings are lacking: Lith. gelumbe 'cloth' and OPr. golimban 'blue'. Within Slavic, Derksen (2007: 175) reconstructs \*golobo 'blue' (cf. Ru. golubój 'pale blue', SCr. golùbijī 'dove-(colored), blue-gray', Slov. golôbji 'dove-') and widely-attested \*golobb 'pigeon, dove' (cf. OCS golobb, Cz. holub, Slov. golôb 'pigeon, dove'). Since it is the color meaning that is found in both branches, it is sometimes assumed (cf. WH I 249, EM 134, DV 126) that the dove meaning has been derived from the meaning 'blue/grav'. 97 But Lockwood (1990: 262) and Derksen (2007: 175) argue that it is instead the avian meaning that is primary in Balto-Slavic, with the color meanings being derived from it. The attestation of avian meanings outside of Balto-Slavic strengthens this idea wherein

<sup>96</sup> Neri (2016: 14) proposes the effect of Cowgill's Law, but Batisti (2021: 212) doubts that it occurred in the given phonetic environment.

<sup>97</sup> This is similar to an alternative explanation for Lat. columba. Gk. κελαινός 'dark, black' could reconstruct to \*kel-Vn-ios, attesting to an n-stem shared with Lat.  $columba < *kol-on-bha \ (EDG 667)$  and with further links via an anit-root \*kel- (Schrijver 1991: 427), cf. Lat. calidus 'with a white spot on the forehead', Lith, kalýb/vas 'dog with a white spot on the neck', Swiss German helm 'spot on the forehead of cattle', potentially Skt. karki- 'white cow', etc. But this explanation is doubted by Frisk (1960-72 I: 906) and Furnée (1972: 170) and would still require the Balto-Slavic color words to be unrelated.

Balto-Slavic innovated the adjective 'dove-colored' and the meaning 'pigeon' was lost in Baltic after the split. To explain the  $*g \sim *k$  alternation, Lockwood (1990: 262) proposes that Latin has undergone taboo deformation to \*kol-. This seems unlikely. Batisti (2021: 206-7) proposes parallel constructions with the color suffix \*- $b^ho$ - on two different roots: Latin from the root behind Lat. color 98 and Slavic from \*ghleh<sub>1</sub>- 'glow, be bright'. But this too seems unlikely given at least one further dove word of similar yet irreconcilable shape (in Germanic). We would have to assume several independent and coincidentally very similar formations.

Jakob (fthc.) adduces OE cul(u)fre 'dove' (Engl. dial. culver 'wood-pigeon') as an irregular comparandum. The traditional explanation (cf. Skeat 1888: 146) is a loan from Lat. columba, but the nasal loss in a process like this would be unexplained. Taken at face value, the lack of the nasal suggests this is not an example of one of Brugmann's (1906: 386-7) inherited \*-n-bho- morphemes (cf. Batisti's 2021 explanation) but rather an irregular alternation. The consonantal alternations within this family of comparanda is enough to show that it is not of IE origin.

Whatever source it originated from seems to have given it to New Egyptian as well. Ostir (1921: 49) noted the similarity to Coptic броомпє /kjroompe/ 'dove' < Egypt. gr-n-p.t 'dove'. The Egyptian word is attested from the New Kingdom (1300-1075 BCE), and looks transparently like a compound gr 'bird' n 'of' p.t 'the sky'. Peust (1999: 280) takes this at face value and suggests that Egyptian is the source of the European forms. Worrell (1934: 67) had already hinted that the European and Egyptian forms were independent borrowings, and Vycichl (1990: 249) reasonably suggests that this spelling 'bird of the sky' is a play on words, something akin to a folk etymology. The variation in spellings listed by Erman and Grapow (1971 V: 181) indeed seems to point to this. It appears not only with the genitival n but also with m (suggesting that it was not originally the genitival element at all) and both with the expected initial element gr 'bird' but also the homophonous gr 'to become still/silent'<sup>99</sup>. This together with its appearance in the New Kingdom suggests a loan in Egyptian. At the time of the New Kingdom, the word written gr-n-p.t would have been pronounced something like /k'VránipV/ (Allen 2020: 115). Since the n might be folk etymological and is also spelled with m, amending that to /k'VrámpV/ (cf. Jakob fthc.) means that the form looked strikingly similar to the European comparanda even before it developed into Coptic /kjroompe/. The Coptic evidence proves that the r is real and not a spelling for l (cf. Loprieno 1995: 31), which

<sup>&</sup>lt;sup>98</sup> He follows an analysis by Höfler (2015) that proposes Lat, color is from a root \*kuel- 'dark, black', but I do not find that the etymologies that Höfler proposes (Arm. šałax 'clay, mud, mortar', Gk.  $\pi$ ηλός 'clay, earth, mud' [with the length unexplained] < \*kuel-; Gk. κύλα 'the parts under the eyes', Lat. culex 'gnat' [the plural culices that seems to refer to floaters in the vitreous humor of the eye should be compared to their name e.g. in Fr. mouches volantes] < \*kul-o-) to be more convincing than the traditional etymology of color < \*kel- 'to cover' (cf. the parallel Ved. várna- 'color' alongside 'covering').

<sup>&</sup>lt;sup>99</sup> Spelled in full < g - r - MAN WITH HAND TO MOUTH> (Gardiner's W11-D21-A2), so we know it is this verb.

<sup>100</sup> σροομπε is not the only Coptic spelling; different dialects have o, a, and aa. This points to an original \*a in the Egyptian parent form (Allen 2020).

along with its appearance already before 1000 BCE proves it is not borrowed from any of the forms we have attested. Instead both the European and the Egyptian form were borrowed from a third source. Notably, the rock dove (Columba livia) was domesticated in the eastern Mediterranean between 5,000 and 10,000 years ago (Johnston & Janiga 1995: 6).

Martirosyan (2009: 565) adduces Arm. salamb 'partridge or francolin', via PArm. \*salámba- as if  $< *kol(o)mb^h-(e)h_2-$ , arguing that this is a Mediterranean word. The initial palatal needed for Armenian further removes the possibility of a link with explicitly unpalatalized (required by the Lithuanian and Sanskrit reflexes) \*kel- (see fn. 97) and also discredits \*gol- as the original root. For a similar suffix in a columbid bird, s.v. palumbēs 'wood-pigeon'. Though like Gk. κόλυμβος, the semantic difference makes this a less certain comparandum.

# cotōneum 'quince'

\*kot-ōn-eio- | PItal. \*kotōnejo-Pre-form:

\*kud-ōn-ih2 | PGk. \*kudōnia- | Gk. κυδώνια (μᾶλα) 'quinces' Comp.:

\*kod-u- | PG. \*kodu- | Gk. κοδύμαλον 'quince'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, tree; fruit

WH (I: 281), EM (146)

Solmsen (1911: 241-5), Nehring (1923), Fohalle (1925: 170-1), Berger (1956: 8-13), Battisti (1960: 380-1), Biville (I: 225-8), Breyer (1993: 189), EDG (797), Beekes (2014: 60)

Lat. cotōneum 'quince', often called mālum cotōneum, is often proposed to have been borrowed/calqued from Greek μήλον κυδώνιον, as if 'Cydonian apple', with Etruscan mediation potentially explaining the change from v > o and the devoicing of  $\delta$  (Solmsen 1911: 243, WH I: 281, EM 146, Breyer 1993: 189). However, the Greek word seems to have been connected with the Cretan city of Κυδωνία by folk etymology only (Solmsen 1911: 242, Fohalle 1925: 170-1, EDG 797, Beekes 2014: 60). The preservation in Alcman of κοδύ-μαλον<sup>101</sup> seems to attest the older, original form. Biville (I: 227-8) and EDG (797) consider it to be specifically Anatolian, but this seems to be based on toponymic evidence (cf. also Nehring 1923). 102 In any case, this is crucial evidence that

<sup>101</sup> Hesychius also has κοδώνεα. His definition of the term as σῦκα γειμερινά. καὶ καρύων εἶδος Περσικῶν 'winter figs; a kind of Persian nut' is argued by EDG (797) to have been based on confusion with κόττανον 'small kind of fig'. This is not related, at least not in any close way, and is of Semitic origin (Solmsen 1911: 242).

<sup>&</sup>lt;sup>102</sup> Solmsen (1911) and Biville (I: 227-8) also propose the word might be Lydian, since the Etruscans are purported to have come from Lydia; this after Biville just discussed the problems with the theory of Etruscan mediation for this word. There are many problems with this analysis. Nehring (1923) argues that neither the o nor the t is proof of Etruscan mediation because they could also be from a language of Asia

strongly suggests that Latin and Greek have borrowed the quince word independently of one another, perhaps from an Anatolian language,  $^{103}$  but otherwise from an unknown source (WH I: 281, Biville I: 228, EM 146, EDG 797, Beekes 2014: 60). Berger (1956: 8-13) followed by Battisti (1960: 380-1) compares Burushaski jatur/jator, purportedly reconstructible to kodu-ur, where the suffix -ur is common in other plant names. But the changes from k > j and k > t are not well understood and may be without parallel.

cucumis 'Armenian cucumber/snake melon'

Pre-form: \*ku-kum-es- | PItal. \*kukumes-

Comp.: \*ku-ku- | PGk. \*kuku- | Hsch. κύκυον· τὸν σικυόν 'cucumber', κυκύιζα·

γλυκεῖα κολόκυντα 'sweet round gourd'

\*tik-u- / \*t/kjik-u- | PGk. \*tiku- / \*t/kyiku- | Gk. σικύα 'bottle gourd'

\*t/kjek-u- | PGk. \*t/kyeku- | Hsch. σεκούα· σικύα 'bottle gourd'

\*kek(w)- | PArm. \*sekh- | Arm. sex 'muskmelon'

\* tūkū- | PSlav. \* tyky- | Ru. tykva 'gourd' 104

■ Irreg. correspondences

■ Remarkable phonotactics

Semantics: plant, domestic

WH (I: 299-300), EM (154), DV (148)

Alessio (1944a: 109-10), Alessio (1946b: 36), Neumann (1971a: 265), Furnée (1972: 243, 251), André (1978: 49), Puhvel (IV: 250-1), RLA (X: 20), Jannick, Paris, and Parrish (2007), Martirosyan (2009: 574), EDG (1330), Sebastian, Schaefer, Telford & Renner (2010), Kogan (2011: 203), PSD (s.v. tikil, ukuš, ukuštikil)

Lat. *cucumis* and its comparanda originally referred to various cultivars of the muskmelon *Cucumis melo*, most likely the non-sweet snake melons (Jannick, Paris, and Parrish 2007). The Latin and some of the Greek forms look like they might be reduplicated (André 1978: 49),<sup>105</sup> but this does not explain the other variants.

In fact, there are several peculiarities in this family of comparanda that cannot be explained from a native IE perspective. Within Greek, there exists  $i \sim e \sim u$  alternation in σικύα, σεκούα, and συκύα (EDG 1330). Arm. sex might preserve an initial \*s, which would be irregular, and could reflect a final unvoiced aspirate \* $k^h$  (Martirosyan 2009: 574). However given Hsch. κύκυον 'cucumber', both the Greek and Armenian forms with irregular initial s could have been borrowed from a source starting in \*ki

Minor. While this is not provable, it shows that Etruscan is not the only explanation for the changes.

<sup>&</sup>lt;sup>103</sup> It is unclear if this is then assumed to be a non-IE language of Anatolian, a non-IE word in an IE Anatolian language, or an unattested inherited word.

 $<sup>^{104}</sup>$  Some, like Alessio (1946b: 33-43) have suggested that this specifically, and in fact the root more generally, is the same as in *ficus* 'fig'.

<sup>&</sup>lt;sup>105</sup> André suggested it was perhaps due to the shape and volume of the vegetable.

(paralleling PIE \*k- > Arm. s-). Less likely is Furnée's (1972: 251) suggestion (cf. also Alessio 1946b: 36), based on the shape of Slavic \*tyky-, that the first k of Latin and some of the Greek forms might be due to assimilation of an original \*t to the second k. If Gk. σικύα etc. are from \*σικύρα, it might be evidence of an  $m \sim w$  alternation with *cucumis*. Alessio (1944a: 109-10) proposes that the -mo- suffix is Mediterranean, more specifically Tyrrhenian, but I am skeptical of this. It might otherwise be related to the suffix of Lat. racēmus (s.v.).

Semitic forms like Ge'ez  $k^w \ddot{a} sya$ , Akk.  $qi\check{s}\check{s}\hat{u}$ , Hebr.  $qi\check{s}\check{s}\bar{u}$  'cucumber' (cf. EDG 1330) can only be related if we assume metathesis of the sibilant and velar elements. 106 Neumann (1971a: 265) suggested that Hitt. kunkumati- is a reflex of this culture word, with which Puhvel (IV: 250-1) agrees. Its meaning cannot be determined beyond the name of a plant, perhaps a vegetable, so that it cannot be adduced with certainty.

### cupressus 'cypress'

\*kup-Vr-et-to- | PItal. \*kup(V)resso-Pre-form:

\*kup-ar-it-io- | PGk. \*kuparisso- | Gk. κυπάρισσος 'cypress' Comp.:

\*kubh-ar-it-j-ino- | PGk. \*kupharissino- | κυφαρίσσινος 'made of

cypress'

Hebr. gofer 'gopher wood'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, tree

WH (I: 313), EM (159)

Strong (1890 no. 1614), Fraenkel (1886: 153), Brown-Driver-Briggs (172), Cuny (1910: 162), Zimmern (1915: 53), Pisani (1938b), Ernout (1946: 36), CAD (K: 178, 333, 553), Furnée (1972: 159-60), Klein (1986: 284), Breyer (1993: 198), Biville (II: 146), EDG (803), Weiss (2020: 507)

Lat. cupressus and Gk. κυπάρισσος are clearly related, but the relationship is not one of direct borrowing. The syncope of the stressed Greek α is expected if the word entered Latin before the shift to initial accentuation and syncope, <sup>107</sup> however there is no way to

<sup>106</sup> RLA (X: 20) equates Akk, aiššû with Sum, ukuš 'member of Cucurbitaceae' (cf. PSD s.v. ukuš 'cucumber') implying but not explicitly stating a loan from Sumerian. The word occurs in a compound ukuštikil 'colocynth, the bitter cucumber' (PSD s.v. ukuštikil), the second element of which is tikil 'pointed' (PSD s.v. tikil). This looks close to the potential reconstruction \*TVkV- for the IE comparanda. But it is almost certainly coincidence, especially given the more likely reconstruction \*k(i)Vku- for the IE forms. Furthermore, Kogan (2011: 203) reconstructs PSem. \*kVt(t)V'- for the Semitic forms. This would mean at best a loan into both Proto-Semitic and Sumerian independently. Given that the wild progenitor of Cucumis melo occurs in India and that both this melon and the cucumber (C. sativus) are likely of Asian origin (Sebastian, Schaefer, Telford & Renner 2010), a Wanderwort that left a trace in Sumerian would not be unexpected. However, the large phonological distance between the forms makes them difficult to connect, even if we assume they must have traveled over large geographical distances.

<sup>107</sup> The shift to initial accent in this word can have occurred within Latin, or it could have entered Latin

Latin has either received this word from Pre-Greek, from Pre-Greek through an intermediary, or from Greek through an intermediary. But in any case, its most proximal source is unknown.

A form of the word without the Pre-Greek suffix seems to have existed in the Mediterranean region, where it was borrowed into Hebrew as the hapax<sup>109</sup> in Genesis 6:14 *gofer*, the wood used to build the ark, thus often simply translated as 'gopher wood' (WH I 313, Furnée 1972: 160, as recently as Weiss 2020: 507).<sup>110</sup>

#### ervum 'bitter vetch'

Pre-form:  $*h_1er(H-/-V-)\mu o- | PItal. *er(V) wo-$ 

Comp.: \*h<sub>1</sub>orh<sub>3</sub>-bo- | PGk. \*orobo- | Gk. ὄροβος 'bitter vetch'

\*h<sub>1</sub>erh<sub>1</sub>-bind<sup>h</sup>o- | PGk. \*erebint<sup>h</sup>o- | Gk. ἐρέβινθος 'chickpea'

>> OGeorg. erbindi, Georg. erevindi 'pea'

\*h<sub>1</sub>or-u-īd- | PGm. \*arwīt- | ON ertr 'peas', OHG arawīz 'pea', etc.

\*h<sub>1</sub>orVb- | PArm. \*ari/uw- | Arm. arowoyt 'alfalfa'

with the shift having already taken place. In any case, we have a *terminus ante quem* of the 3<sup>rd</sup> century BCE for its borrowing. Alternatively, the syncope could have occurred in the donor language.

<sup>108</sup> Lat. platessa 'flatfish' does not seem to occur in Greek, but has the -essa suffix built on Gk. πλατός 'broad, flat', which has no Latin cognate.

<sup>&</sup>lt;sup>109</sup> Despite *gofer* being a hapax, Strong (1890 no. 1614) and Brown-Driver-Briggs (172) take the word *gofriyi* 'brimstone' (Mod.Hebr. *gofriyi* 'sulfur') as derived from it (the latter follow the assumption that *gofer* is a misreading of *kōfer* 'pitch', therefore 'pitched wood'). However in light of Arab. *kibrīt* 'sulfur', a loan from Aramaic *kibrītā* 'sulfur' (Fraenkel 1886: 153) with a cognate in Akkadian *ki/ubrītu* 'sulfur' (CAD K: 333), this must be an unrelated family of words.

<sup>&</sup>lt;sup>110</sup> Cuny (1910: 162) additionally compares Hebr. *kōfer* 'pitch, tar; henna', but this is going too far. It has Semitic cognates in e.g. Akk. *kupru* 'bitumen' and *kupāru* 'to smear on; to wipe off' (CAD K: 178, 553, Klein 1987: 284). Interestingly, Hebr. *kōfer* seems to have formed a verb *kfr*, which occurs as a hapax—where else but Genesis 6:14 (Klein 1986: 284). Pisani (1938b) compared MoP *sarw* 'cypress', but I see no need to reject the alternative etymology as a loan from Semitic (cf. Zimmern 1915: 53).

?\*Hreb(h)-e/ont/d(h)-|PIIr.\*Hrab(h)ant/d(h)-|Rushani ravand 'wildchickpea (Cicer songaricum),' etc.

■ Irreg. correspondences

■ Remarkable phonotactics

Semantics: plant, domestic

Pokorny (429-34, 547-8), WH (I: 419-20), EM (202), DV (195)

Alessio (1944b: 410), Thurneysen (1946: 175), Hubschmid (1955: 238-45), Mayrhofer (KEWA I: 48), Furnée (1972: 198), Corominas & Pascual (1984-91 III: 85), Puhvel (V: 134), Schrijver (1991: 36, 423), Mayrhofer (EWAia III: 13), Matasović (2009: 40), EDG (451), Kroonen (2013: 37), EDIL (s.v. 1 *arbor*), Kroonen (fthc.), Thorsø (fthc.)

Gk. ἐρέβινθος 'chickpea' shows the textbook Pre-Greek suffix -ινθος (EM 202, EDG 451). 111 Gk. ὄροβος 'bitter vetch' is clearly a related form, but the vocalism of the second syllable cannot be reconstructed to the same pre-form and it lacks the -ινθος suffix. Lat. ervum 'bitter vetch' with the same meaning as ὄροβος cannot be separated as a comparandum, but contributes to the impossibility of constructing a common pre-form. The Greek and Latin forms technically allow the reconstruction of \*gw, but PGm. \*arwīt- 'pea', 112 which must also be the same etymon, allows only \*w. Thus a labial element in Greek and Latin are most likely. In this case, Latin requires \*w and Greek requires \*b. This  $b \sim w$  alternation is still irregular, but not unattested (cf. Kroonen 2013: 37). Thorsø (fthc.) shows that we must adduce Arm. arowoyt 'alfalfa' as a comparandum, and suggests that it is a hypercorrection of earlier \*arowowt. In this case it would end with a suffix \*-oud, perhaps an un-nasalized form of the  $v\theta$ -suffix, which might also be present in the \*-īt- of Germanic (see Kroonen fthc. with lit.).

A connection with Skt. aravinda- 'lotus' mentioned by WH (420) and several earlier sources is very uncertain according to Mayrhofer (KEWA I: 48; EWAia III: 13). The lotus indeed has round, edible, high-protein seeds, but the word does not appear until the period of the epics, which is problematically late for a word that might have been picked up in Europe. At the same time, potential Dravidian sources like Kannada are-viri and Telugu ara-viri 'to be half-opened (as a flower)' do not seem any more convincing. Instead, more reliable might be several Iranian forms, albeit isolated to the Pamir languages, which Kroonen (fthc.) reconstructs to PIIr. \*Hrab(h)anT-: Shughni rivand, Rushani ravand 'wild chickpea (Cicer songaricum),' and Yazgulyam raván 'pea'.

While sources as recently as DV (195) and EDG (451) adduce MIr. orbaind 'grains' as a

<sup>&</sup>lt;sup>111</sup> The Georgian forms are almost certainly borrowed from Greek (pace Furnée 1972: 198). Lafon (1934: 34) had placed great weight on the a of a form erevandi, but noted that, in an updated version of his dictionary, Soulxan-Saba had replaced it with erevindi. The form with a was indeed likely a mistake (cf. Kroonen fthc.).

<sup>112</sup> Forms like Old Spanish arvanço, ervanço could be a borrowing from otherwise unattested Go. \*arwaits romanized as \*arwatius, with forms like Sp. garbanzo etc. having been contaminated by garroba 'carob'. But they have also been argued to represent independent comparanda < PRom. \*ervantios (Alessio 1944b: 410, Hubschmid 1955: 238-45, Corominas & Pascual 1984-91 III: 85).

related form, this is likely a coincidental look-alike that actually belongs to OIr. arbor 'grain' and W erwain 'meadowsweet'. 113 Puhvel (V: 134) suggests that hapax Hitt. arwana- might mean 'pea' and be related, but its context ("pours wine...into the pit and throws arwana-") is too vague to confirm this interpretation.

The irregular but certain correspondences between Latin, Greek, Germanic, and Armenian along with the widespread non-IE suffix make a strong case for a substrate borrowing (WH I: 419-20; EM 202; Schrijver 1991: 36, 423; DV 195).

#### faba 'bean'

Pre-form: \* $b^ha/Hb$ - | PItal.  $fab\bar{a}$ 

\*b(h)a/obh- | PSlav. \*bobb | Ru. bob 'bean', etc. Comp.:

\*b(h)a/obh- | PBalt. \*babō- | OPr. babo 'bean'

\*bhh2eu-n- | PGm. \*baunō- | ON baun, OE bēan, OHG bōna 'bean', etc.

Proto-Berber  $*\bar{a}$ - $\beta\bar{a}w \sim *\bar{a}$ - $b\bar{a}w$  'bean'

■ Irreg. correspondences ■ Remarkable phonotactics

Semantics: plant, domestic

Pokorny (106), WH (I: 436), EM (208), DV (197)

Alessio (1955: 368), Furnée (1972: 175), André (1978: 50), Schrijver (1991: 488), Kuiper (1995: 65), Biville (I: 187), Demiraj (1997: 94), Orel (1998: 94), Boutkan & Kossmann (1999: 88), EDG (1547, 1556), Kroonen (2013: 55)

Faliscan haba beside Lat. faba, if it is genuine, 114 requires the reconstruction of initial \*bh but medial \*b. 115 Given the Balto-Slavic accentuation, 116 Latino-Faliscan must reconstruct to original a-vocalism (cf. Kuiper 1995: 65). Already requiring the reconstruction of two very rare phonemes, this word does not look inherited. The Germanic bean words reconstruct to  $*b^hau-n-$ , whose nasal element does not appear in any of the other comparanda and might be an example of the non-IE *n*-suffix. It cannot

<sup>113</sup> This pair, as well as the Old Irish paradigm itself, demonstrate that from the PIE root \*h2erh3- 'to plow', Proto-Celtic continued a heteroclitic noun  $*ar(a)war \sim *ar(a)wen$ , an archaic and thus likely inherited formation (Matasović 2009: 40). While the b of OIr. arbor should have disappeared intervocalically, this is a case of paradigmatic leveling due to the heteroclitic stem. \*h<sub>2</sub>erh<sub>3</sub>-ur > \*arur, \*h<sub>2</sub>erh<sub>3</sub>-uen > \*arawen with leveling to \*arwur ~ \*arwen. In Old Irish, this was continued as an irregular paradigm neut. nom. sg. arbor, nom. pl. arbanna (EDIL s.v. 1 arbor). The nom. pl. arbanna (treated as an n-stem in the oblique cases) seems to have been reinterpreted as a more regular neut. o-stem plural (cf. Thurneysen 1946: 175) implying a nom. sg. \*arbann. After the neuter gender was lost in Middle Irish, the form would have become a masc, o-stem, the nom, pl. of which is the form we have attested: orbaind (the nd for nn and o for a in these positions do not make a phonemic difference).

<sup>114</sup> It occurs in two glosses (Velius Longus, CGlLat. VII 69.6-10; Terentius Scaurus, CGlLat. VII 13.8-9), the latter of which explicitly ascribes it to Faliscan. But Bakkum (2009 I: 82-3, 210) is cautious.

<sup>&</sup>lt;sup>115</sup> Thus André's (1978: 50) assessment of a reduplicative origin expressing shape/form is untenable.

<sup>&</sup>lt;sup>116</sup> Any sort of full-grade ~ zero-grade alternation involving a laryngeal such as  $*b^hh_3b - *b^hh_3eb$ - is not allowed by the Baltic accentuation.

be reconciled with the Italic and Balto-Slavic forms in any regular way (cf. EM 208), suggesting that all are independent loans from a non-IE language (cf. Schrijver 1991: 488, DV 197). In fact, a further irregularity is required by the Slavic evidence, which must descend from  $*b(^h)ab^h$ , as  $*b(^h)ab$ - would trigger Winter's Law and give PSlav.  $**b\acute{a}b\dot{b}$ . Thus between the Italic, Balto-Slavic, and Germanic forms, the second consonant shows a non-IE  $b \sim b^h \sim w$  alternation, pointing to loans from an unknown language.

Proto-Berber \*b is quite rare (Boutkan & Kossmann 1999: 88). Thus Proto-Berber \* $\bar{a}$ - $\beta\bar{a}w \sim *\bar{a}$ - $b\bar{a}w$  'bean' is likely to have been borrowed at a post-Proto-Berber date and Maarten Kossmann (p.c.) suspects from something like Italic. The final \*w does not easily correspond to the \*b of PItal. \* $fab\bar{a}$  however, which to me suggests it could still be an independent loan from a third source.

Gk. φακός 'lentil' (EDG 1547) and Alb. *báthë* 'broad bean' (Orel 1998: 94) are compared, but attest to \*k where Italic, Balto-Slavic, and Germanic have a labial. Their appurtenance is thus very uncertain. A more likely, albeit indirect, Latin comparandum for these forms is *phaselus* 'bean' (Demiraj 1997: 94), but this is a borrowing from Gk. φάσηλος 'edible bean; small boat' (cf. Biville I: 187).

far, -rris 'husked wheat, emmer, grain, flour'

Pre-form:  $*b^ha/Hrs-|PItal.*fars-$ 

Comp.:  $*b^ha/o/Hr(V)s- \mid PGm. *bariz- \mid ON barr 'grain, barley', Go. barizeins 'of barley'$ 

\* $b(h)a/HrV- | PCelt. *baragi(-n\bar{a}) | OIr. bairgen 'bread, food'$ 

\*b(h)a/ors-ino- | PSlav. \*börš-ьпо | OCS brašьпо 'food', SCr. bräšno 'flour, food'

 $<sup>^{117}</sup>$  To Gk. φακός 'lentil', EDG (1547) wonders if ἀφάκη, ἄφακος 'vetch' should be connected, which could make it Pre-Greek.

<sup>&</sup>lt;sup>118</sup> WH (I: 436) saw in Lat. *faba* a reduplicated *Lallwort* for something swollen. This would help adduce the Greek and Albanian comparanda, whereby a pre-form \* $b^ha\dot{k}$ - (Demiraj 1997: 94, Orel 1998: 94) could represent \* $b^ha$ - with a non-IE suffix \* $\dot{k}$ 0- and PGm. \* $baun\bar{o}$ - would attest to the  $a \sim au$  vocalic alternation found in  $caup\bar{o}\sim\kappa\dot{\alpha}\pi\eta\lambda$ 0ς. But as Italic reconstructs to \* $b^ha$ -ba-, it is not truly reduplicated after all.

<sup>119</sup> Some interpretations in the 1930s had it go the other way. Pisani (1930: 184) took Roman *faceòlo* as evidence of a \**faceolus* besides Tuscan *fagiòlo* < \**faseolus* suggesting to him Umbrian origin, with the word brought to Greece through Magna Graecia. Kretschmer (1933b: 181-2) rather saw the word as a *satam*-treatment of \**b*<sup>h</sup>a/k- like the Albanian word, and theorizes that the word entered Latin through Illyrian before being brought to Greece. WH (I: 436) reject the connection entirely, perhaps too hastily, but (pg. 299) assert correctly that the direction is from Greek to Latin. Its further origin in Greek (loan from a *satam*-treatment of \**b*<sup>h</sup>a-k-?) can only be speculated on. Any consideration of Lat. *basēlus* as representing a Lat. *b* for Gk. φ alternation (cf. Alessio 1955: 368 [who admits that the comparison is uncertain], Furnée 1972: 175, EDG 1556) cannot be upheld. The form *basēlus* is only found in Isidore, and clearly represents a Late Latin development (Biville I: 187-8).

■ Irreg. correspondences

■ Remarkable phonotactics

Semantics: plant, domestic

Pokorny (111), WH (I: 455-6), EM (216), DV (201)

Meiser (1986: 172, 174), Schrijver (1991: 113-4), Untermann (2000: 265-6), Derksen (2007: 57), Matasović (2009: 56), Kroonen (2013: 52), Kroonen et al. (2022: 5)

Lat. far 'emmer, flour' can have arisen via syncope from an s-stem like  $*b^h_P H$ -os > \*faros (cf. vir < \*wiros), but U farsio (= Lat. farreum) cannot be the result of syncope; intervocalically \*s > z and then, post-syncope, the resulting cluster \*rz would have given U \*\*farfio (Meiser 1986: 172, 174; Schrijver 1991: 113). Nor is  $*b^h_P H$ -s- possible as it would give Lat.  $**fr\bar{a}s$ - (Schrijver 1991: 113, Untermann 2000: 265-6 with lit., Kroonen et al. 2022: 5). Slavic also points to a root  $*b^hars$ - (or  $*b^hors$ -, which is equally unlikely in an inherited s-stem) in PSlav.  $*b\ddot{o}r\ddot{s}bno$ - (Derksen 2007: 57), with a nasal suffix. With the traditional explanation of an inherited s-stem (cf. Pokorny 111) effectively ruled out, PGm. \*bariz- has either reanalyzed  $*b^hars$  as an s-stem to which it introduced ablaut (DV 201) or it borrowed the lexeme as  $*b^hare/is$ - (Kroonen et al. 2022: 5). PCelt.  $*baragi(-n\bar{a})$  reconstructs to  $*b^harV$ -, conspicuously lacking the \*s (if the segmentation is correct). This lexeme is quite likely a loan from an unknown source (Schrijver 1991: 113-114, DV 201, Matasović 2009: 56, Kroonen et al. 2022: 5; less explicitly EM 216, Untermann 2000: 265).

fascinus, -um 'evil spirit; charm, spell; apotropaic phallus'

Pre-form:  $*b^ha/Hsk-Vno- | PItal. *faskVno-$ 

Comp. \*ba/h<sub>2</sub>sk-ano- | PGk. \*baskano- | Gk. βάσκανος 'who bewitches; sorcerer, slanderer'

■ Irreg. correspondences

■ Remarkable phonotactics

Semantics: magico-religious

Pokorny (91-2, 105-6), WH (I: 459), EM (218), DV (203)

Wharton (1890: 34), Kretschmer (1896: 248-9 fn. 4), Frisk (1960-72 I: 223-4), Leumann (1977: 167), Schrijver (1991: 102), EDG (191, 203), Magni (2017), Weiss (2020: 308 fn. 121)

The only comparandum of Lat. *fascinum* is Gk. βάσκανος (Schrijver 1991: 102) and despite their close similarity,  $^{120}$  the irregular correspondence of Lat. f to Gk. β shows that neither is derived from the other.  $^{121}$ 

 $^{120}$  The suffix -ino- is generally borrowed from Greek to make material adjectives, with the native Latin suffix being  $-\bar{i}no$ - (Magni 2017, Weiss 2020: 308, fn. 121). Here it is clearly something else, and is the product of vowel weakening, perhaps from an a like in the Greek form.

<sup>&</sup>lt;sup>121</sup> Theoretically, the alternation could also be between  $*g^{wh}$  and  $*g^{w}$ . Because the difference is still one of aspiration, it is not of great typological consequence. However, in §4.3.2.1 it will be suggested that the  $f \sim$ 

Wharton (1890: 34) suggested that this was due to the Thracian reflex of \* $b^h$ , and Kretschmer (1896: 248-9, fn. 4) agrees that it originated in the North in his section on Illyrian. Several have followed (e.g. WH I: 459, Pokorny 105-6, Leumann 1977: 167, EM 218) because it is attractive to see this as cognate with Gk. φημi 'to speak' and φάσκω 'to declare, think'. While Latin attests denominal fascinare and Greek βασκαίνω 'to bewitch', the latter has further related forms. While βάζω 'to speak, say (often of nonsense) and βάξις 'word, rumor' are sometimes considered onomatopoetic (cf. WH I: 459), βάσκειν λέγειν, κακολογεῖν (Hsch.) cannot be done away with. Frisk (1960-72 I: 223-4) followed by EDG (203) suggests that in the sense of κακολογεῖν, βάσκειν might have been influenced by βάσκανος. I wonder if they are simply of the same origin and βάσκειν has been influenced by φάσκω in the senses of (κακο)λογεῖν.

Thraco-Illyrian origin might explain the Greek forms, but this assumption is based solely on the purported etymological link with φάσκω. Moreover, it does not explain the Latin. Unlike in  $ballaena \sim \phi άλλαινα$  (s.v.), Latin shows the expected reflex of \* $b^h$ . If, as EM (218) assert, βάσκανος is derived from βάσκειν, and βάσκειν is from the Thraco-Illyrian version of φάσκω, then Latin would have to have produced fascinum independently. While it is possible that the verb for 'to speak' could be used to mean 'to cast a spell', the Latin and Greek forms are probably too similar in shape and derived semantics to be coincidence. This leads Schrijver (1991: 102), DV (203), and EDG (203) to propose that they are common borrowings from a substrate. However this pair came about, it was not due to internal developments within Greek or Latin, nor was it borrowed from any attested source.

b alternation is not as useful for stratification because both words could be from a source form with  $\sqrt{v}$  or  $\sqrt{\beta}$ . If however the reconstruction with \* $g^{oh}$  for *fascinus* is correct, then this word too belongs to the group of the oldest lexemes borrowed on the Italian peninsula.

WH (I: 485-6), EM (229), DV (214)

Hommel (1881: 3386), Vaniček (1881: 109), Muller (1918: 148), Krogman (1937: 268-9). Alessio (1941a: 552). Pokorny (109-10), de Simone (1968-70 II: 179). Furnée (1972: 232 fn. 13), Breyer (1993: 444), Pleiner (1996: 287), Watmough (1997: 99), Valério & Yakubovitch (2010), Garnier (2017a: 252), Thorsø & Wigman et al. (2023: 111-12)

Attempts to derive Lat. ferrum from PIE have treated it as isolated. Early on, Vaniček (1881: 109) derived it from a root \*b'ers- 'to fixate, solidify', but the root (Pokorny 109-10) rather means 'point, stubble, bristle' (cf. Lat. fastīgium 'sharp point, tip', OIr. barr 'tip, top', OHG burst, borst 'bristle', etc.). Recently, Garnier (2017a: 252) derived it from \*d\*er- 'to hold, support' through a backformation of \*con-ferer-atus > \*conferrātus 'resoldered' from an s-stem \* dher-elos-.

It is not isolated, however, and the external comparanda make it clear that it is a Wanderwort. Within Indo-European, ferrum cannot be separated from PGm. \*brasa- 'brass'. Krogman (1937: 268-9) linked the two under an ablauting s-stem \* $b^her$ -s-, \* $b^hr$ -os- to a root \* $b^her$ - 'to shine; bright, brown' but these are now seen as different roots; nor is it clear what pattern of ablaut this would reflect. Adducing Svan berež 'iron' (Furnée 1972: 232 fn. 13) and Ingush/Chechen borza 'bronze' (Thorsø & Wigman et al. 2023: 111-12) suggests that the sigmatic element is a part of the root. The sigmatic element is further present in a group of related Semitic words including Ugr. brdl, Hebr. barzel, Phoen. brzl, Aram. przl, Cl. Arab. firzil, etc. (Muller 1918:148, Alessio 1941: 552, WH I: 485-6, DV 214, hesitantly EM 229). The Semitic forms are all borrowed from Akk. parzillu- 'iron' (known since Hommel 1881: 3386), which Valério and Yakubovich (2010) have suggested is from a Luwian word meaning 'iron ore'. The lexeme \*parza- occurs in parzassa- 'made of parza-' and parzagulliya- 'having loops made of parza-'. Thorsø & Wigman et al. (2023: 111-12) argue that \*parza- meant 'iron' rather than 'iron ore' and that the l-suffix of the Semitic forms could have been added via a Hurrian intermediary.

Despite identifying its ultimate source, the immediate source of Lat. ferrum remains unknown. Thorsø & Wigman et al. (2023: 111-12) show that there is no understood mechanism to explain how initial Phoenician b might be borrowed as Latin f. Latino-Punic underwent fricativization of p to f, but Plautus' Poenulus uses <b> to spell b. Several have instead suggested Etruscan mediation for the word (Alessio 1941a: 552, WH I: 485-6, Furnée 1972: 232, Breyer 1993: 444), which is archaeologically attractive seeing as the earliest iron production on the Italian peninsula is from Etruria (Pleiner 1996: 287). However, Thorsø & Wigman et al. (2023 fn. 34) show that, although Etruscan would likely have de-voiced initial b > p, a change within Etruscan of p > f is not frequent or regular enough to count on. Sporadic p > f changes within Etruscan are likely late and regional. Direct contact with r, l, n, m, or s has been interpreted as leading to a change p > f (de Simone 1968-70 II: 179), but Watmough (1997: 99) shows via a chronological ordering of attestations that the change was actually from a fricative to a

stop. Thus the mediating language from which Latin borrowed *ferrum* remains unknown.

ficus 'fig'

Pre-form: \*d\(\bar{\pi}k\)-o- | PItal. \*\(\bar{\pi}\)ko-

Comp.:  $*d^h/t_i/u\bar{u}k$ -o- | PGk.  $*t(h)y/w\bar{u}ko$ - | Gk. Boeot. τῦκον, Att-Ion. σῦκον 'fig'

\* $tu/\bar{u}\acute{g}^{h}$ - | PArm. \*tuz- | Arm.  $t^{c}uz$  'fig'

Hebr. šiqmā 'the sycamore fig', Aram. šiqmīn [pl.] 'mulberry trees'

>> Gk. συκάμινον 'mulberry tree'

>> Gk. συκόμορον 'mulberry' (influenced by μόρον 'mulberry')

■ Irreg. correspondences ■ Remarkable phonotactics

Semantics: plant, tree; fruit

WH (I: 492), EM (232), DV (218)

Lewy (1895: 23), Berger (1956: 21-2), Battisti (1960: 359, 381), Turner (1966-9 I: 509), Hoffner (1967: 43, fn. 58), Friedrich (1970: 150), Furnée (1972: 262), Puhvel (III: 232), Martirosyan (2009: 295), EDG (1421), Simon (fthc.)

Latin *ficus* 'fig' can be reconstructed with initial \* $b^h$ , \* $d^h$ , or \* $g^{hh}$ , but in light of the comparanda, \* $d^h$  is the obvious choice. 122 In any case, Latin f is the reflex of a voiced aspirate, which forms an invalid \* $D^heT$  root structure. Arm.  $t^euz$  'fig' reconstructs to a different invalid root \* $tul\bar{u}g^{h}$ -, though Martirosyan (2009: 295) suggests it might represent an underlying \* $tul\bar{u}k^-$  influenced by the suffix jlz found in plant and animal names. The palatalization is automatic after u, and need not be original. This pre-form is very similar to that behind the Greek forms Boeot.  $\tau \tilde{u}$  for and Att.-Ion.  $\sigma \tilde{u}$  for with a glide initiating the change to  $\sigma$ . In fact, both Armenian and Greek pre-forms can be reconstructed with \* $t^h$ . In Greek this is the reflex of a PIE \* $d^h$ , but in Armenian it is only the result of \*t(H).

This group of words is widely accepted to be independent loans from a non-IE language, perhaps from a word with the shape of \* $t^h\bar{t}k$ -, \* $t^h\bar{u}k$ -, or \* $t^hw\bar{t}k$ - (Furnée 1972: 262, WH I: 492, EM 232, DV 218, etc.). An initial \* $t^h$  can be reconstructed for Italic, Greek, and Armenian, though it is neutralized in the latter two. A non-IE origin is additionally supported by the existence of Hebr.  $\dot{s}iqm\dot{a}$  'the sycamore fig' and Aram.  $\dot{s}iqm\bar{n}n$  [pl.] 'mulberry trees', the isolation of which suggests they are not native to Semitic (Battisti 1960: 359). The latter was borrowed back into Greek as  $\sigma u \kappa \dot{a}\mu\bar{u}vov$  'mulberry tree' and less directly as  $\sigma u \kappa \dot{a}\mu\bar{u}vov$  'mulberry', which seems to have been affected through folk etymology by both  $\sigma u \kappa \dot{a}\nu \dot{a}vov$  'mulberry' (Lewy 1895: 23 with lit.).

Sometimes compared (Berger 1956: 21-2, Battisti 1960: 381, Friedrich 1970: 150) is

<sup>&</sup>lt;sup>122</sup> This rules out a connection with Semitic forms like Phoen. pg 'ripe fig' and Hebr.  $pagg\bar{a}$  'unripe fig' that are clearly only superficially similar to the most recent Latin form.

Burushaski phaák 'fig', reconstructed by Berger (not without reason) as \*twoq. Nevertheless, it seems much more likely that an Iranian word from \* $ph\bar{a}lgu$  'fig' (Turner 1966-9 I: 509 gives e.g. Shina  $ph\bar{a}g$ ) is the source of the Burushaski word. Hoffner (1967: 43 fn. 58, see further Simon fthc.) had suggested that the -sik(k)a element of Hittite plant names like has(s)ik(k)a- 'a tree and its fruit' and marsikka- 'id.' might be comparable to Gk.  $\sigma \tilde{\nu} \kappa \sigma v$ . As Puhvel (III: 232) notes however, this relies on has(s)ik(k)a-being translated as 'fig tree', whereas in several lists, it is mentioned alongside GISMA 'fig', suggesting it means something else. Finally worth mentioning is Udi has(s) whose shape is potentially quite close to the other forms and whose source in the Caucasus is not far removed from Armenia.

filix, felix 'fern, bracken'

Pre-form:  $b^he/il-ik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.*fe/ilik-|PItal.$ 

Comp.:  $*bl\bar{e}g^h-n/r-|PGk.*bl\bar{e}k^hn/r-|Gk.βλῆχνον,βλῆχρον 'male fern'$ 

\*bhreg-n- | PGm. \*brekna(n)- | Dan. bregne, Sw. bräken, etc. 'fern, bracken'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, wild

WH (I: 497), EM (234), DV (220)

Petr (1896: 209), Pokorny (120), Falk & Torp (1960: 100), Furnée (1972: 132, fn. 64, 65), Leumann (1977: 101), Schrijver (1999: 37-8), EDG (221), Beekes (2014: 37)

Lat. felix probably shows the original vocalism, with filix being the result of assimilation from the i of the next syllable (cf. Leumann 1977: 101). Lat. filix/felix has been compared since Petr (1896: 209) to reflexes of PIE  $*b^hel$ - 'henbane' (Pokorny 120) in Germanic (Ger. Bilsenkraut, etc.) and Slavic (Ru.  $belen\acute{a}$ , etc.), but this has been given up for semantic reasons (cf. WH I: 497 and EM 234). DV (220) however, following Schrijver (1999: 37-8), revives the link: "The stems of henbane show a superficial resemblance to the feathered leaves of fern, and both plants have well-known medicinal properties. This often suffices to create formal similarities in languages." Battisti (1960: 352) saw it as either a reflex of  $*b^hel$ - having undergone Mediterranean changes or a complete Mediterraneanism, though his only evidence of this is that it is isolated. Instead, it seems most likely that filix/felix is neither inherited nor isolated. It is best compared to words with the same meaning ('fern/bracken') in Greek and Germanic.

Latin f goes back to a voiced aspirate, and cannot regularly correspond to Gk. βλῆχνον 'fern' (Grassmann's Law should result in  $\pi$ ). EDG (221) also shows that the  $r \sim n$  suffix alternation in Greek is not the result of an inherited heteroclitic stem, but must be something peculiar. PGm. \*brekna(n)- (cf. Falk & Torp 1960: 100) 'bracken' shows an r

<sup>123</sup> He more specifically mentions Myc. su-za, which EDG (1421) reads as /συκία/.

~ l alternation with the two other comparanda. Its velar reflects PIE \*g as opposed to \*kfor the Latin. It seems unlikely that this is the result of leveling after devoicing in the nominative, since this change is not normally leveled (cf. rex, regis 'king'). The Greek velar reconstructs to  $*g^h$ , which could be the result of the additional suffix (cf. Furnée 1972: 132, fn. 64, 65; Beekes 2014: 37) shared by Germanic (which may have resulted in the alternation in vocalism in the first syllable). This indicates that the -ix/-ex suffix of the Latin forms is not of IE origin. Alternatively, if the whole lexeme was \*BleG-n-, then Latin, which attests to a form without the suffix, has interpreted the foreign root-final velar (particularly after the addition of a nominative -s) as a native suffix.

fracēs 'lees, oil dregs'

\*dhrak- | PItal. \*brak-Pre-form:

Comp.: \*dhra/ogh- | PGm. \*dragjo- | ON dregg 'dregs, lees, yeast', etc.

> \*d(h)ra/ogh- | PBalt. \*dragia?- | OPr. dragios 'yeast', Lith. drãgės 'dregs', etc.

\*d(h)ra/osgh- | PSlav. \*drozgija- | OCS droždыje 'dregs', etc.

\*d(h)ra/o/Hs- | PAlb. \*dras- | Alb. dra 'dregs, sediment'

■ Irreg. correspondences ■ Remarkable phonotactics

Semantics: viticulture / oil

WH (I: 538-9), EM (251), DV (238)

Fraenkel (1962-5: 103), Kortlandt (1987), Orel (1987: 140), Schrijver (1991: 486), Demiraj (1997: 141), Orel (1998: 141), Derksen (2007: 121), EDG (553), Kroonen (2013: 99), Schumacher & Matzinger (2013: 262-3), Derksen (2014 s.v. derkti), de Vaan (2018: 1746), Weiss (2018: 444)

Germanic \*dragjo- (Kroonen 2013: 99) and PBalt. \*dragia?- (cf. Derksen 2007: 121) can be reconstructed to the same root shape. Lat. fracēs is a good semantic match, but the quality of its velar does not match theirs. 124 Taken at face value, fracēs reconstructs to an invalid \* $D^heT$  root structure. WH (I: 528-9) and DV (238) suggest that voiceless k could have arisen in the nominative singular, devoiced before the ending -s. The singular frax is attested rarely, once in the Philoxenus Glossary. 125 This does not seem enough to affect a word used mainly in the plural, and would be regular in any case (\*g is preserved in e.g. rēx, rēgis). 126 However, an additional indication of non-IE origin is the sigmatic

<sup>124</sup> Kroonen (2013: 99) separates it by deriving it from frangō 'to break', but all other derivatives of this verb maintain the g.

<sup>&</sup>lt;sup>125</sup> And once in another gloss according to the TLL. <sup>126</sup> If the k were originally g from a root \* $d^h rag^h$ . Weiss (2018: 444) writes that this is exactly the root shape in which Limited Latin Grassmann's Law should operate. The potential blocking of the phenomenon (the expected result would be  $*drag^h > *drages > **trag\bar{e}s$ ) is a parallel to the same blocking in the nominative of Gk. θρίξ, τριχός.

element that appears in PSlav. drazgija-.

Alb. dra 'sediment, dregs; smudged butter; sweepings, dirt' is derived from \*drag < \* $d^hra/og^h$ - by Demiraj (1997: 141) and Orel (1998: 141). The former supports this with a proposal that it is the source of the verb ndrag 'to make/get dirty'. But it is not fully clear if \* $g^h$  should disappear, <sup>127</sup> and de Vaan (238) considers a pre-from \*drab-. Less problematic might be a reconstruction \*d(h)ras-, with the sibilant of the Slavic forms yet lacking the velar. <sup>128</sup> Perhaps this makes it possible to further compare (cf. DV 238, Schrijver 1991: 486, WH I: 538-9) forms with no velar but a long vowel + sn (PGm. \* $dr\bar{o}sna$ -: OE  $dr\bar{o}sne$ , OHG truosana, MoDu. droesem 'dreg', perhaps also OE  $dr\bar{o}me$  [Schrijver 1991: 486]). <sup>129,130</sup>

Latin requires a vocalism, as a laryngeal would produce a \*CRHC sequence yielding \*\* $fr\bar{a}c\bar{e}s$ . The Baltic accentuation (EDG 553) and vocalism also prohibit a laryngeal in the root. Thus Derksen (2007: 121) favors reconstructing a-vocalism for the Balto-Slavic formations despite o being a possibility. This, in addition to the irregular velar correspondence, the vacillating appearance of the sigmatic element, and the invalid root structure make this family look very much non-IE.

## frīgō 'to roast'

Pre-form:  $*b^h reig - / *b^h riHg - | PItal. *frig -$ 

Comp.:  $*b^h r u H g - | PGk. *p^h r u g - | Gk. φρ υ γω 'to roast, dry, fry'$ 

?\*b<sup>h</sup>reģ- / \*b<sup>h</sup>erġ- | PIIr. \*b<sup>h</sup>ra(i)j- | Skt. bhrajj- 'to fry, roast', MP bryz- 'to roast, bake', etc.

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: action; culinary

Pokorny (137), WH (I: 548), EM (259), DV (254)

Thurneysen (1890: 353), Biville (I: 194, II: 290-3), EDG (1593), Giacomelli (1994: 36), Cheung (2007 s.v. *bra(i)j*)

Lat.  $fr\bar{\imath}g\bar{o}$  'to roast' is attested since Plautus, but its perfect  $fr\bar{\imath}x\bar{\imath}$  is not attested in Classical Latin. Giacomelli (1994: 36) takes it as a loan from or influenced by Greek  $\varphi\rho\bar{\nu}\gamma\omega$  'to roast, dry, fry'. But this is difficult to defend. There are examples of Lat. i in

<sup>127</sup> Orel (1987: 140) suggests it had to do with original accentuation (e.g. *shteg* 'path'  $< *staiga < *stóig^hos$  vs. ve 'widow'  $< *wiðew\bar{a} < *uid^héueh_2$ ).

<sup>&</sup>lt;sup>128</sup> According to de Vaan (2018: 1746) building on Kortlandt (1987), intervocalic \*s does not disappear but rather yields Alb. sh. De Vaan notes that it is still debated, and Schumacher & Matzinger (2013: 262-3) propose that intervocalic \*s only yields Alb. sh before a front vowel, whereas it disappears before a back vowel.

<sup>&</sup>lt;sup>129</sup> WH (I: 538-9) further mention similar forms with an *st* suffix: OE *dærst(e)*, *dræst* 'yeast, dregs', OHG pl. *trestir* 'marc (remains of crushed grapes)'.

<sup>&</sup>lt;sup>130</sup> EM (251) compare *marcēre* 'to be withered, wrinkled, weak' along with e.g. OIr. *mraich* 'malt', but Schrijver (1991: 458) notes that \**mr* yields Lat. *br*, not *f*.

loans from Gk.  $\upsilon$  since the archaic period, but these can often be explained phonologically or by alternations already circulating in Greek (Biville II: 290-3). Nor does Lat. f borrowed from Gk.  $\varphi$  occur before the 1<sup>st</sup> c. BCE (Biville I: 194). EDG (1593) and DV (243) support both forms being borrowed from a third language or a Latin borrowing from Greek via an intermediary language (cf. the same vocalic alternation in  $f\bar{t}cus \sim \sigma\bar{\upsilon}\kappa$ ov 'fig'). Cheung (2007 s.v.  $bra(i)\bar{\jmath}$ ) compares them to a widespread root amongst the Iranian languages (with i introduced into the full-grade from the zero-grade), also occurring in Skt. bhrajj- 'to fry, roast'  $<*b^h\!re\acute{g}-$  /\* $b^h\!re\acute{g}-$  'to roast' (cf. also WH I: 548; EM 254 as evidence of an expressive word), but neither the Latin nor the Greek can be a regular reflex of this root. <sup>131</sup>

fulica, fulix 'water bird, probably coot'

Pre-form: \*b\ull-Vk- | PItal. \*fulVk\bar{a}-

Comp.: \*bha/ol-ig- | PGm. \*balikōn- | OHG belihha 'coot'

\*b(h)o/ul-a/oK- | PCelt. \*bo/ul-a/okkagno- | SGael. bolachdan 'coot'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: animal, bird; aquatic

Pokorny (118-20), WH (I: 559-60), EM (259), DV (248)

Niedermann (1905-6: 78), Persson (1909: 60-2), Furnée (1972: 192), Sommer & Pfister (1977: 60), Fruyt (1986: 229-30), EWA (I: 530), EDG (1550), van Sluis (fthc.)

Lat. fulica is traditionally linked to  $*b^hel$ - 'shining, white' (cf. Pokorny 118-20), allowing a connection with Gk. φαληρίς 'coot', Hsch. φαλός· λευκός (EDG 1550). This is not without issue, however. One must assume dialectal u for u f

<sup>&</sup>lt;sup>131</sup> Thurneysen (1890: 353) earlier tried to derive them via a "vocalic z" from  $*b^h r_{\bar{z}} g \bar{o}$ -.

<sup>&</sup>lt;sup>132</sup> Further also Skt. balákā- 'white heron, egret', but this requires the assumption that it has been contaminated by baka- 'heron' (Niedermann 1905-6: 78, followed in e.g. KEWA II: 418, Fruyt 1986: 230, EWA I: 530).

 $<sup>^{133}</sup>$  The regular development of \*o > u /\_(l)i proposed by Persson (1909: 60-2), followed by Fruyt (1986: 229-30) is unlikely given e.g. *folium*.

<sup>&</sup>lt;sup>134</sup> The form *fulica* might help show that the unvoiced velar is original, rather than the result of devoicing in the nominative singular. But if it is secondary to *fulix*, then it cannot be ruled out that the change was leveled to the oblique forms of *fulix* before the formation of *fulica*.

Furnée (1972: 192) and EDG (1550) note that, amongst the Greek attestations of words related to  $\varphi\alpha\lambda\delta\varsigma$ , there is consonantal alternation that makes it not look particularly native. Thus, even if the Greek form does share a root with the Italic, Germanic, and Celtic words, it does not prove that it is inherited. Furthermore, DV (248) notes that it is uncertain whether *fulica* even refers to the coot. Its etymologization under a lexeme meaning 'white' may in fact be a learned folk etymology. The irregular correspondences we must reconstruct for this Latin, Germanic, and Celtic bird lexeme point to non-IE origin.

funda 'leather strap, sling'

Pre-form:  $*b^h/g^{wh}und(h)$ - | PItal.  $*f/\chi^wund/b\bar{a}$ 

Comp.:  $*sb^h/g^{wh}end- | PGk. *sp^hendon\bar{a} | Gk. σφενδόνη 'sling'$ 

■ Irreg. correspondences 

□ Remarkable phonotactics

Semantics: tool

WH (I: 562), EM (260), DV (249)

Cuny (1910: 158), Meillet (1922a: 73), Leumann (1977: 162), Biville (I: 197-8), EDG

(1430)

Lat. *funda* could semantically be from  $*b^h end^h$  'to bind', but morphologically its u precludes derivation from this root. A connection with *fundere* 'to pour' in the sense that slinging is like pouring (cf. Walde 1921: 83) is gratuitous. Instead, the best comparandum for *funda* is Gk. σφενδόνη 'sling' of similar form and meaning. A direct borrowing from Greek should have resulted in Lat. \*\*spend- (WH I: 562, Biville I: 197). Thus like the pair  $\sim \sigma \phi \acute{o} \gamma \gamma o \varsigma$ , the Latin and Greek forms are independent relatives. Biville (I: 197-8) suggests that through s mobile and IE ablaut, both can go back to an inherited formation: \* $b^h ond$ - (Latin)  $\sim *sp^h end$ - (Greek). But this again does not explain the u of *funda*. The pair thus most likely represents loans from third source (Cuny 1910: 158, Meillet 1922a: 73, EM 260, DV 249, EDG 1430).

fungus 'fungus, mushroom, sponge'

Pre-form:  $*b^h/g^{wh}ong$ - | PItal. \*fongo-

Comp.: \* $sp/b^hong-/*sk^w/g^{wh}ong-|PGk.*spongo-,*sp^hongo-|Gk. σπ/φόγγος|$ 

'sponge, spongy object; gland'

\*suombh-/\*suongwh-? | PGm. \*swamb/ppan- | Go. swamms 'sponge',

<sup>135</sup> Vergil *Georgics* 1.363: 'when the marine *fulicae* play on dry land'; Ovid *Metamorphoses* 8.625: 'now the waves are frequented by diving birds and swampy *fulicīs*'. Perhaps the closest, (but yet why does he not mention their color?) is Pliny *Naturalis historia* 11.44(37).122: '(nature) has given to the *fulicarum* kind (a crest) residing from the beak through the middle of the head'. Additionally, the Romance descendants do indeed mean 'coot'.

<sup>&</sup>lt;sup>136</sup> The root \* $b^h$ end- is attested in Skt. bhandate 'feels happy' (LIV2 s.v. ?\* $b^h$ end-), but semantically this cannot be the same root.

ON svoppr 'mushroom', etc.

Avar *sa:k*' 'tinder', Tsez *zik'u* 'mushroom', Udi *ša'mk:al* 'mushrooms' >>? Georg., Megrel., Laz *sok'o*, Svan *sok'(w)*<sup>137</sup> 'mushroom' ?\**psong-/\*kong(w)-|* Arm. *sunkn*, *sungn*, *sunk*, *sung* 'tree-mushroom' ?\**g(w)(h)u/omb(h)-|* PSlav. \**gòba* '(tree-)fungus' | OCS *goba* 'sponge',

■ Irreg. correspondences □ Remarkable phonotactics

Sln. goba 'mushroom, tree-fungus', etc.

Semantics: fungus / tool

WH (I: 566-7), EM (262), DV (250)

Pedersen (1904), Cuny (1910: 158), Otrębski (1939: 184), Bartholomae (1961: col. 925), Machek (1971: 179), Furnée (1972: 164, 232, 360 etc.), ESSJa (VII: 78-80), Rédei (1986: 75), Rédei (1988: 355), EWAia (II: 240-1), Biville (I: 198-9), Derksen (2007: 182), EDG (1385), Martirosyan (2009: 586), Kroonen (2013: 495), Kurdadze et al. (2015: 193), Holopainen (2019: 186-8), eDIL (s.v. spongc, sponc)

WH (I: 566-7) take Lat. *fungus* 'mushroom; sponge' as a borrowing from Gk.  $\sigma\pi/\phi \acute{\rho} \gamma \gamma \sigma \varsigma$  'sponge', assuming that the meaning 'mushroom' must have existed but is unattested in Greek literature. They propose that the borrowing of f from  $\sigma\pi/\phi$  happened under the influence of *fungor* 'to perform, administer'. This seems untenable, as it ignores the initial  $\sigma$  and appeals to an analogy that is semantically indefensible. Biville (I: 198-9) suggests that the  $\sigma \varphi$  cluster in  $\sigma \varphi \acute{\rho} \gamma \gamma \sigma \varsigma$  would have to have been pronounced sf, and then heard and rendered in Latin as *fungus*. But when Latin certainly has borrowed Gk.  $\sigma \pi \sigma \gamma \gamma \acute{\omega}$ , it is as *spongia* (and in Isidore as *spungia/sfungia*). The shape of Lat. *fungus* instead suggests an independent relative of the Greek forms rather than a borrowing from them (cf. *funda* ~  $\sigma \varphi \epsilon v \delta \acute{\sigma} v \gamma$ ). Given the  $\pi$  ~  $\varphi$  alternation within Greek (Furnée 1972: 164, 232, 360 etc., EDG 1385) and irregular comparanda elsewhere, it is widely suspected that the words are loaned from an unknown third source (Cuny 1910: 158, EM 262, DV 250, EDG 1385, Martirosyan 2009: 586 with lit.), and could well represent a Wanderwort.

The lexeme occurs as Arm. *sunkn*, *sungn*, *sunk*, and *sung* 'tree-mushroom, cork tree', where Martirosyan (2009: 586) reconstructs \**psongo*-. Such a reconstruction makes it look intermediate to Greek \**sp*<sup>(h)</sup>- and words that are similarly lacking the plosive in Kartvelian and Nakh-Dagestanian. The Kartvelian forms are taken by Martirosyan as independent comparanda due to their widespread distribution, but they cannot be ruled out as borrowings from Nakh-Dagestanian (Peter Schrijver p.c.). The Nakh-Dagestanian forms are complex and difficult to reconstruct, but Udi *ša*<sup>c</sup>*mk:al* crucially attests to a nasal otherwise lacking at the surface of the Dido and Avar-Andic forms. Furthermore,

<sup>&</sup>lt;sup>137</sup> Martirosyan (2009: 586) gives this Svan form, though Kurdadze et al. (2015: 193) give only *t'q'ubul* (which seems to exist in Georgian as well, e.g. *t'q'ubla-sok'o 'Armillaria tabescens'*).

the West Dido forms (Tsez zik'u, Hinuq zek'u 'tree fungus, mushroom, tinder') hint at an original paradigm in which the oblique was \*sink'(w)u- (Peter Schrijver, p.c.).

The Slavic material<sup>138</sup> looks like it rules out a reconstruction with  $*b^h$  and instead favors something like  $*g^{wh}$  as the original first plosive. But as Derksen (2007: 182) notes, a connection between PSlav.  $*g\dot{\rho}ba$  '(tree-)fungus' and Gk.  $\sigma\pi/\phi\delta\gamma\gamma\sigma\zeta$  is difficult formally because of the final \*b(h) that must be reconciled with the velar of all other proposed comparanda so far. Interpretations have thus varied. Pedersen (1904) suggested that the Slavic and potential Germanic comparanda represented  $*sg^{wh}omb^ho$ - or  $*sguomb^ho$ -, metathesized variants of the root behind the Greek forms (cf. further Otrębski 1939: 184, Machek 1971: 179, without mention of Germanic). Smoczyński (2018: 404-5) instead takes the Slavic forms and several Baltic words for swellings on plants and persons (cf. Lith. gumbas 'bump, gall, ulcer, etc.) from PBSI. \*gumb-, a neo-root reanalyzed from the nasal infix present of the root  $*g(*)ub^h$ - 'to bend, curve.'

On the Germanic forms, Kroonen (2013: 495) reconstructs PGm. \*swamb/ppan-, an n-stem as if from \*syomb $^h$ -. It indeed shares the problem of a final labial in place of a velar with Slavic. Given the likelihood of this being a non-IE lexeme, perhaps the Germanic and Slavic forms were borrowed without the velar element and the b is secondary from the nasal. Within Germanic, Kroonen (2013: 598) has, on the basis of \*wulfa- for expected \*wulhwa- < \*ulk $^w$ -, suggested that \* $k^w$ > \*p after resonants in words with an initial labial (cf. also \*fimfe < \*penk $^w$ e). If an anlauting sibilant would not block this, perhaps \*swamp- is from something like \*swank $^w$ -. Though if this works more generally on labiovelars, then \*swang $^w$ - may yield \*swamb $^h$ -. And if this occurred before Kluge's Law, then \*-mb $^h$ -> \*-mpp-> would explain the \*b ~ pp alternation in Germanic. The appurtenance of the Slavic forms is still difficult, since this explanation does not apply there.

It is difficult to decide how to reconstruct the first plosive. The only reconstruction with a velar allowed by the Armenian forms is \*kong(w)- (p.c. Rasmus Thorsø). It looks suspicious because we must assume that the s of the Armenian form, present in most of the other comparanda, is not from a pre-form with \*s but rather happens to have developed coincidentally due to a palatovelar that is not required by any of the other reconstructions. On the other hand, the Armenian forms also look like they could plausibly post-satemization loans from a form with a sibilant, like those in Kartvelian and Nakh-Dagestanian (now that a nasal can be reconstructed for the latter). I am not convinced that we can fully rely on the Armenian forms as independent evidence.

Without the secure (independent) appurtenance of the Slavic or Armenian forms, either a labial or a velar could have been original. The \*w of the Germanic forms could attest to a  $b \sim w$  alternation like that of PGm.  $baun\bar{o}$ - against Lat. faba (s.v.). Alternatively, a non-IE labialized velar could have been borrowed into Latin and Greek as an aspirated

<sup>&</sup>lt;sup>138</sup> The Slavic forms also mean 'lip', which is likely a secondary semantic development (ESSJa VII: 79, Derksen 2007: 182).

labiovelar while in Germanic the velar element was overtaken by the labial nature of the foreign phoneme. A similar situation might underlie Gk. δάφνη vs. Gk. δαύχνα 'laurel' (s.v. *laurus*). Potential evidence in favor of the labial comes in the form of further comparisons with Uralic, but these are not at all straightforward.<sup>139</sup>

What we have here in any case is a widespread substrate word or Wanderwort. If one wonders what would give a word for mushroom such a broad distribution, it should be noted that certain fungi are indispensable fire-starting tools. The Ice Man of the Öztal Alps was found with a pouch containing a fire-starting kit, composed of iron pyrites, flints, and shelf fungus (cf. Dickson, Oeggl & Handley 2003: 76). Thus this family of forms might be an ancient cultural word.<sup>140</sup>

gubernō, -āre 'to plot/steer a ship; to govern, manage'

Pre-form: \*gub(h)- | PItal. \*gub/f-

Comp.: \*kub- | PGk. \*kub- | Gk. κυβερνάω 'to steer, head for; to govern'

\*kum- | PGk. \*kum- | Gk. (Cypriot) ku-me-re-na-i 'they steer'

??Lith. kumbryti 'to steer a ship'

??PSlav. \*krъmiti | OCS krъmiti 'to steer'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: action; maritime

WH (I: 625), EM (284)

Cuny (1910: 156), Boisacq (1916: 527-8), Fohalle (1925: 164-5), Otrębski (1939: 153), Ernout (1954: 24 fn. 4), Machek (1955: 61-4), Fraenkel (1962-5: 308-9), Lejeune (1972: 152), Neumann (1987: 64-9), Biville (I: 242-3), EWAia (I: 385-6), Beekes (1992: 188), Neumann (1992: 188), EDG (793), Egetmeyer (2010: 110, 202, 159) Smoczyński (2018: 629)

<sup>139</sup> For the comparisons, cf. Rédei (1986: 75, 1988: 355) and Martirosyan (2009: 586). Holopainen (2019:

Mansi (East)  $p\bar{e}\eta k$ , (West)  $p\bar{e}\eta k$ , (North)  $p\bar{a}\eta x$  'fly agaric; intoxication', East Khanty  $pa\eta kal$ - 'to sing after having consumed fly agaric'), the Uralic forms stand a chance of being loans from the same source as the

<sup>186-8)</sup> reconstructs for the group \*p̄j̄ŋka 'pyschedelic mushroom'. He concludes that \*p̄j̄ŋka could be a borrowing from an Indo-Iranian form of the shape \*bʰaŋga- in the meaning 'narcotic' vel sim., if it existed. This itself is a complicated question. Traditionally, YAv. baŋha/bangha (cf. Bartholomae 1961: col. 925) is interpreted as the name of a narcotic plant, but EWAia (II: 340-1) disagrees, instead following Henning (1951: 33-4). There is Skt. bhaṅgá- 'hemp', which seems to have given MoP bang 'hemp'. MP bang, mang 'henbane' is unrelated. But the idea that YAv. baŋha/bangha refers to a narcotic plant seems to have resulted interpolation between these meanings. The word occurs in one context describing god as abaŋha, 'without baŋha', and thus 'without narcotics' makes little sense. Instead, Henning relates baŋha/bangha to Skt. dvaṃsa- 'perishing, destruction'. Holopainen's interpretation relies heavily on the psychedelic semantics of PU \*p̄j̄ŋka being original rather than the fungal semantics. This is indeed perhaps supported by Nganasan h'ankud'a 'to be drunk'. If, however, this is a secondary development (cf.

Indo-European forms.

<sup>140</sup> Note OIr. *spongc*, *sponc*, which, though borrowed through Lat. *spongia* from Gk. σπογγιά, means both 'sponge' but also 'touchwood, tinder' (EDIL s.v. spongc, sponc), suggesting a long-maintained but unattested meaning of the Latin word.

Lat.  $gubern\bar{a}re$  'to steer a ship' is borrowed from Gk. κυβερνάω of the same meaning, but its g for Gk.  $\kappa$  is irregular.

Greek attests to two variants: one with β (κυβερνάω) and one with μ (ku-me-re-na-i, probably /kumernāhi/ < \*kumernansi, cf. Egetmeyer 2010: 110, 202). Lejeuene (1972: 152) prefers the explanation that κυβερνάω is a dissimilation from \*κυμερνάω (cf. Homeric μαρνάμενος vs. inscriptional βαρναμενος) to do away with what otherwise looks like a  $b \sim m$  alternation. Neumann (1987: 64-9) explains it as metathesized from \*κυρβ- and therefore related to κύρβις 'triangular tablets forming a three-sided pyramid, turning on a pivot, upon which the early laws were inscribed at Athens' (later 'pillars or tablets with inscriptions'). He reconstructs it to the root \*kwerb- 'to turn'. But Beekes (1992: 188) notes the root is otherwise always \*kwerp- (including, supposedly, Gk. καρπός 'wrist') and takes issue with the number of assumptions required to get from \*kurb-nā- to \*κυβερν $\bar{\alpha}$  (upon which the verb was built). Neumann (1992: 188) responded in defense, saying in fact it only requires the assumption of metathesis and anaptyxis. These are indeed two extra assumptions that are used to reconstruct the word back to an otherwise unattested root. Thus I follow EDG (793) who still disagrees with Neumann and takes the irregular  $b \sim m$  alternation at face value (cf. also Egetmeyer 2010: 159).

Fohalle (1925) discussed the possibility that the "faiblesse articulatoire" of voiceless Greek plosives was perceived by Latin speakers as voicedness, but found no evidence of this. Thus he concluded, especially in cases where the word in question does not have an IE etymology, a voicing discrepancy between Latin and Greek points to a pre-Greek origin. Ernout (1954: 24 fn. 4) and EM (284) follow, and write that it is therefore not necessary to suppose that the word came to Latin from Greek via an intermediary; both forms could have been borrowed from the same Aegean substrate source. But Biville (I: 242-3) rightly notes that they are so close that one cannot help but suspect borrowing. Rather than independent loans, this seems like another case of a Greek word loaned into Latin via an intermediary (cf. already Cuny 1910: 156), like *ballaena* (s.v.). That it does not have an etymology within Greek does not change this.

Boisacq (1916: 527-8) accepted a connection with Skt. kúbara- 'transom of a wagon' and Lith. kumbryti 'to steer a ship', but wrote that their connection was unclear as they required the form with \*kub- in Greek to be older; difficult, as he too accepted the explanation that κυβερνάω is a dissimilation from \*κυμερνάω. Machek (1955: 61-4, following Otrębski 1939: 153) adds OCS krъmiti 'to steer', still supporting an IE etymology.

The connection with the Sanskrit material can be rejected on semantic grounds (EWAia I: 385-6). EDG (793) follows Fraenkel (1962-5: 308-9) in rejecting the comparisons to Baltic. The latter writes that in order to be related, we would need to assume that Cypriot ku-me-re-na-i is earlier than any of the other Greek dialectal forms. (Note that this is the opposite of the problem as formulated by Boisacq.) However, if there is a  $b \sim m$ 

alternation within Greek, then neither form has to be earlier than the other, and <code>kumbryti</code> might instead represent an additional alternation, namely <code>mb</code>. The stronger argument is semantic. Lith. <code>kumbrys</code> (vars. <code>kumburas</code>, <code>kumburys</code>, <code>kumbras</code>) refers to the bent wooden portion of a yoke or rudder, as well as a hill or peak. Smoczyński (2018: 629) does not even mention the nautical semantics, taking <code>kumbrys</code> as a voiced variant of <code>\*kumprys</code>, a derivation from <code>kumpti</code> 'to bend, stoop'. This suggests, as does the limitation of <code>kumbryti</code> to the area of the Curonian Lagoon (Fraenkel 1962-5: 308), that the meaning 'rudder' and the derived verb 'to steer' was a secondary, dialectal development. To connect OCS <code>krъmia</code> 'back end of a ship', <code>krъmiti</code> 'to steer' requires, as Fraenkel points out, the assumption of <code>r</code> metathesis. Thus the Baltic and Slavic words stand a good chance of being only coincidentally similar.

hasta 'spear, staff'

Pre-form:  $*g^ha/Hst-/*g^ha/Hzd^h-?$  | PItal.  $*\chi ast\bar{a}$ 

Comp.:  $*g^ha/Hzd(h)-|$  PCelt. \*gazdo- 'withe' | MIr. gat 'osier, withe'

\*gha/Hst- | PCelt. \*gasto- | OIr. gass 'twig, branch'

\*gha/o/Hzdh- | PGm. \*gazda- | Go. gazds 'sting', OHG gart, ON gaddr 'goad'

■ Irreg. correspondences

■ Remarkable phonotactics

Semantics: tool

Pokorny (412-3), WH (I: 636), EM (290), DV (278)

Schrijver (1991: 134-5), Untermann (2000: 336-7), Lubotsky (2004: 329-30), Matasović (2009: 155), Meiser (2010: 270-1), Kroonen (2013: 172)

Latin *hasta* reconstructs to an invalid \* $D^heT$  root structure. If the Latin form is not isolated, which despite its more specific semantics, does not need to be the case, we are likely looking at a non-IE word. The other comparanda show that the dental element of *hasta* is part of the root and not, for example, a feminine -to suffix. Lubotsky (2004: 330) takes the differing vocalism in Oscan **hostatu** as an additional peculiarity pointing to non-IE origin. However most others are more cautious (Untermann 2000: 336-7, DV 278, etc.): since the meaning of **hostatu** is unknown, we can only speculate on its connection with *hasta* and the significance of its aberrant vocalism. <sup>141</sup> There are other features within the more securely related forms that hint at non-IE origin.

Szemerényi (1952) and Meiser (2010: 119) assume that \*- $zd^h$ - yields -st- in Latin, such that *hasta* could be from a root \* $g^hazd^h$ - of permissible structure (thus Schrijver 1991: 134-5). However Lubotsky (2004: 329-30) argues that \* $zd^h$  yields Latin d with

 $^{141}$  As it appears in the phrase **hostatu anhostatu**, the o for expected a could for instance be the result of weakening in its non-initial position in **anhostatu** whereupon it was leveled to its initial position in **hostatu** (Untermann 2000: 337, Meiser 1986: 270-1).

compensatory lengthening rather than -st-. <sup>142</sup> Thus we can reconstruct \*-st- for the Latin form, which creates an irregular correspondence with the Germanic forms. Even without the Latin material, this alternation also occurs within the Celtic comparanda (WH I: 636, EM 290, Matasović 2009: 155), indicating that we are dealing with a non-IE loanword.

## hedera 'ivy'

Pre-form:  $*g^hed(h)-a/es/r- | PItal. *\gamma eda/es/r\bar{a}$ 

Comp.:  $*k/g^hid^h-ar- | PGk. *kit^hara- | Gk. κιθάρα 'ivy'$ 

\* kl gʰitl dʰ-i̯o- | PGk. \* kit(ʰ)yo- | Gk. κισσός 'ivy'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, wild

Pokorny (437-8), WH (I: 638), EM (291), DV (281)

Furnée (1972: 256-7), Hamp (1974), Leumann (1977: 315), Meiser (2010: 83)

The traditional explanation of Lat. hedera follows Festus in connecting it to -hendō 'to grab'  $< *g^hed$ -, quod edera vincit ad quodcumque se applicat 'because ivy overcomes anything it attaches itself to' (Pokorny 437-8, Leumann 1977: 315, Meiser 2010: 83). The explanation smacks of a folk etymology, and is not fully accepted by WH (I: 638), EM (291), or DV (281). Instead, a connection to Gk. κισσός 'ivy' is attractive. Appearing as κίσσαρος in a gloss, and with the alternate form κιθάρα, <sup>143</sup> the Greek words are not inherited (Furnée 1972: 256-7, EDG 704). Given Grassmann's Law in Greek and vowel weakening in Latin, all forms can reconstruct to an original \*ghedh-ar- \*ghidh-ar- with irregular  $e \sim i$  vocalic alternation pointing to a loan. The  $\theta \sim \sigma\sigma$  alternation in the Greek forms (cf. other pairs like carpasum ~ carpathum 'poisonous plant' and ἄν(ν)ησ(σ)ον 'anise' ~ ἄν(ν)ηθον 'dill') points to vacillating palatalization (cf. EDG 704).

Hamp (1974), on the assumption that the initial h in Latin is not etymological (given the occasional spelling edera) proposes an explanation in which Lat.  $hedera < *h_led-is-a$  with comparative morphology and OIr. edenn 'ivy'  $< *h_led-ies-no-$ , W eiddew and Bret. ilyau 'ivy'  $< *h_led-ies-uo-$  with comparative morphology would be extremely archaic active intensive agentive formations with the meaning 'voracious' to the root  $*h_led-$  'to eat'. This is unlikely. The Celtic forms may still be related if they attest to an alternation  $*g^hed^h- \sim *ed^h$ , but this remains very uncertain.  $^{144}$ 

hirundō, -inis 'swallow, martin, and similar birds'

There are only four potential examples of Lat. -st-  $<*-zd^h$ -, one of which is this very word.

<sup>&</sup>lt;sup>143</sup> Alb. *qisár* 'ivy' is probably a loan from unattested fem. \*κισσάρα.

<sup>144</sup> Furnée (1972) gives examples of this sort of alternation occurring within Greek: κάρυον ~ ἄρυα 'nut', γίννος ~ ἰννός 'hinny' (pg. 391); κίχλη 'thrush, wrasse (fish)' ~ ἴχλα 'a sea fish', κάδδιχος 'urn, pitcher' ~ ἄδδιξ 'a measure of volume', καλινδέομαι 'to roll around' ~ ἀλινδέω 'id.', κανθήλιον 'packsaddle' ~ ἀνθήλιον 'id.', etc. (pg. 300 fn. 59). Possibly καρβάτιναι 'rawhide shoes' ~ ἀρπίς 'high boot'?

Pre-form:  $*g^hir-o/und(h)-\bar{o}n-|PItal.*\chi iro/und\bar{o}n-$ 

Comp.:  $*g^hel-iHd-\bar{o}n-|$  PGk.  $*k^hel\bar{\iota}d\bar{o}n-|$  Gk. χελ $\bar{\iota}$ δών 'swallow'

\* $g^ho(u)l(H)-(o)nt/d(h)-|$  PAlb. \*da(u)lant/d(h)-| Alb.  $dall\ddot{e}ndyshe$  'swallow'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: animal, bird

WH (I: 652), EM (296), DV (286)

André (1967: 92-4), Furnée (1972: 272), Çabej (1976 I: 105-6), CAD (S: 295), Orel (1998: 55), Lockwood (2001: 217-18), Newmark (2005 s.v. *dallëndyshe*), EDG (706, 1622), Weiss (2020: 153), Kroonen (fthc.)

Lat.  $hirund\bar{o}$  'swallow, martin' is traditionally taken as a derivation of  $hirri\bar{o}$  'to snarl' (WH I: 652), a verb attested late and reserved for describing dogs (André 1967: 93). Italian dialectal forms like rindina and Sicilian rinnina suggest that a byform \*hirindo was also in circulation. It is of course possible that the call of such birds was thought to sound like barking or snarling, but a more robust explanation is at hand in light of the Gk.  $\chi \epsilon \lambda \bar{\iota} \delta \dot{\omega} v$  'swallow'.

The Corinthian female name Χελιδγον leads André (1967: 93) to conclude that it is the original form, and that this lexeme is not simply  $*g^hel$ - 'to call' plus the small animal suffix -δων. EDG (1622), due to the rarity of the suffix -γον in post-consonantal position, instead proposes that this is a false archaism. They nonetheless find the derivation from  $*g^hel$ - unconvincing,  $^{145}$  and recognize a Pre-Greek suffix - $\bar{\imath}\delta$ - in the word.

The similarity of the Latin and Greek forms, both in form and meaning, is remarkable. They both begin with  $*g^h$  and end with  $*-d\bar{o}n$ . There is a mismatch in vocalism in the primary syllable, and in fact one might expect the i of  $hirund\bar{o}$  to be lowered to e in the open syllable as in  $ser\bar{o} < *sis\bar{o}$  (cf. Weiss 2020: 153, though s.v. pirum for reasons to doubt this). There is an  $l \sim r$  alternation at the end of the first syllable and a nasal in the Latin form that is not present in the Greek. These are alternations that are not uncommon amongst other substrate lexemes. André (1967: 94) proposes that, if we assume some initial vocalic variation, both forms could be the result of different dissimilation.  $*k^henindwon > *k^helindwon > *kelindwon > 6k$ .  $\chi\epsilon\lambda\bar{\iota}\delta\omega$ ; \*hinundo > \*hirundo. This of course does not explain the source of the initial variation. Furnée (1972: 272) cites Akk. hinundo 'swallow', but this must be a misreading for sinunto (CAD S: 295). André

 $<sup>^{145}</sup>$  Though κίχλη 'thrush' is usually explained as a reduplicated formation to \* $g^hel$ -, EDG (706) is skeptical but separate it from χελιδών for other reasons.

<sup>&</sup>lt;sup>146</sup> Cf. the opposite expectation in Lockwood (2001: 217-18) where the swallow is named after its forked tail, and thus derives from  $harund\bar{o}$  'reed', which somehow becomes \* $herund\bar{o}$ , upon which we would get "popular i" for e. It should be noted however that at a later date,  $hirund\bar{o}$  and  $harund\bar{o}$  were being confused with each other. The Appendix Probi has hirundo non harundo and Fr. has aronde 'swallow' <  $harund\bar{o}$  (André 1967: 92).

(1967: 94) wants to see *sinuntu* as related, perhaps the source, but finds the difficulties insurmountable. Thus we are left with an irregular match between Latin and Greek.

Anthony Jakob (p.c.) has noticed that Alb. *dallëndyshe* 'swallow' can be reconstructed to a similar but likewise aberrant pre-form. Kroonen (fthc.) reconstructs  $*\dot{g}^ho(u)l(H)$ - $(o)nt/d(^h)$ -. Çabej (1976 I: 106) had previously interpreted the word as containing the diminutive suffix *-ushe*. 147

Thus the range of this non-IE lexeme includes Latin, Greek, and Albanian. The original quality of the final dental in Alb. *dallënd*- is neutralized by its position (Kroonen fthc.) but on comparison with the *-und*- of Lat. *hirundō* it recalls the Gk.  $v\theta$ -suffixes. Interestingly here however, the Greek form does not have the suffix with a nasal.

lacerna 'a cloak fastened at the neck'

Pre-form: \*la/Hk- | PItal. \*lakernā

Comp.: \*la/h<sub>2</sub>K- | PGk. \*lakko- | Gk. λάκκος 'a garment'

\*lo/h<sub>3</sub>K- | PGk. \*lokka- | Hsch. λόκκη 'a type of cloak'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: textiles

Pokorny (674), WH (I: 743), EM (336) Furnée (1972: 344), EDG (826, 871)

Pokorny (674) derives *lacerna* 'cloak' from *lacer* 'torn, mutilated' as originally a torn piece of cloth used as an overcoat. *Lacinia* 'edge of the fabric' might connect them. WH (I: 743) follows, noting rightly that the suffix *-erna*, whether of Etruscan origin or not, is found attached to clearly Latin bases (s.v. *trabs* for more examples). EM (336) say this is nothing more than a folk etymology, though they indeed take *lacinia* from *lacer*. Greek has  $\lambda \alpha \kappa i \zeta$ ,  $-i \delta o \zeta$  'rag, tatters of clothes' from the same root as Lat. *lacer* (EDG 826). Its meaning seems to strengthen the connection between *lacer* 'torn' and *lacinia* 'edge of the fabric'.

Crucially, there is another Greek word with semantics more similar to *lacerna*. Furnée (1972: 344) followed by EDG (871) compares Gk. λάκκος 'a garment', which seems to be the same lexeme given by Hesychius as λόκκη χλαμός, ἐφαπτίς 'a type of cloak'. The  $a \sim o$  alternation is indicative of non-IE origin, and the geminate κκ means it cannot be related to  $\lambda$ ακίς (at least not in an inherited way). The etymology of *lacerna* seems thus far to have been contaminated by coincidence. PIE \* $lh_2(n)k$ - 'to tear' produced

<sup>&</sup>lt;sup>147</sup> Orel (1998: 55) had analyzed it as a compound of \*dalluan dysh 'appearing to be double' in reference to the bird's forked tail. This recalls Lockwood's (2001: 217-18) comparison, for the same reason, of hirundō to harundō '(forked) reed' and draws upon further meanings of dallëndyshe in Albanian: 'forked part of a loom framework, frog of a horse's hoof, etc. (Newmark 2005 s.v. dallëndyshe). The Latin comparison creates more problems than it solves however, and the extended meanings in Albanian can have originated from the avian meaning.

derivatives referring to an often torn material: cloth. Another lexeme \*la/ok(k)- with non-IE alternations looks nearly identical but refers to untorn cloth. *Lacinia* could derive from either, as the edge resulting from a tear or the finished selvedge.

laena 'a garment of long-haired wool worn over the pallium or toga'

Pre-form:  $*(g^h)leh_2i-neh_2- | PItal. *(\chi)lain\bar{a}$ 

Comp.:  $*g^h la/h_2 m/n_- \dot{l}h_2 - | PGk. *k^h laina - | Gk. \chi \lambda \alpha iva 'upper garment, mantle'$ 

\* $g^hla/h_2n$ -id- | PGk. \* $k^hlanid$ - | Gk. χλανίς 'a light upper garment' \* $g^hla/h_2m$ -ud- | PGk. \* $k^hlamud$ - | Gk. χλαμύς 'cloak, robe, mantle'

Hebr. *glōm* 'wrap, mantle, cloak', Late Babylonian *gulēnu* 'upper garment'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: textiles

WH (I: 749-50), EM (337)

Fraenkel (1910-12 II: 178 fn. 2), de Simone (1968-70 II: 283), Furnée (1972: 388), Szemerényi (1974: 148), Breyer (1993: 169), EDG (1635), Rosoł (2013: 107-9), Gernier (2017), Garnier & Sagot (2020: 187), Weiss (2020: 177 fn. 26)

Lat. laena is a fleece garment originally used in the religious sphere, as reported by Servius (WH I: 749). It is clearly identical to Gk.  $\chi\lambda\alpha$ īva 'upper garment, mantle', and is generally assumed to have been borrowed from it. Because Latin should have borrowed  $\chi\lambda\alpha$ īva as \*\*claena, Etruscan intermediation is often proposed but always admitted to be problematic. Festus hints at Etruscan origin: laena vestimenti genus habitu duplicis. quidam appellatam existimant tusce, quidam graece; quam  $\chi\lambda\alpha$ víða dicunt. But EM (337) note it is difficult to determine if this means it came from Etruscan. A form like Gk.  $\chi\lambda\alpha$ īva ought to give Etr. \*\* $\chi laina$ , then Lat. \*\*c/glaena, and a change like \*khl > \*hl > l is not attested anywhere else within Etruscan (de Simone 1968-70 II: 283, Breyer 1993: 169). An alternative is that Latin simply represents the reflex of the same pre-form with initial \* $g^hl$ - as Greek. There are no solid examples of this, but it might well have worked the same way as \* $g^hr$ ->\*hr->\*r- (cf. Weiss 2020: 177 fn. 26).

This would not be the full explanation, however. Greek also attests to  $\chi\lambda\alpha\nu$ (ς 'a light upper garment'. The discrepancy in vocalism can be explained if  $\chi\lambda\alpha$ ivα represents earlier \* $g^hlh_2n_-jh_2$ - (Fraenkel 1910-12 II: 178 fn. 2). To these forms can be added Gk.  $\chi\lambda\alpha\mu$ oς 'cloak, robe, mantle'. Fraenkel (1910-12 II: 178 fn. 2) tried to take them all from a root  $\chi\lambda\alpha\mu$ -, as did Szemerényi (1974: 148). The latter started with \*klam-ja- \* $k^hlan$ -ja- > \* $k^hlan$ -ja-

 $<sup>^{148}</sup>$  "The laena is a type of garment, bipartite in appearance. Some think it named in the Tuscan language, some in Greek; they call it χλανίδα."

alternation. Given all the variation in Greek for this lexeme, and that fact that  $\chi\lambda\alpha\tilde{v}\alpha$  can be explained as a \*-*ia* derivation of the root \* $\chi\lambda\alpha v/\mu$ -, it is attractive to see Greek as the source form indirectly mediated into Latin *laena*. The suffixes of the Greek words, esp. -υδ- are considered by EDG (1635) to be Pre-Greek.

The source of the Greek words (and thus ultimately Lat. *laena*) seems to be Semitic, cf. Hebr.  $gl\bar{o}m$  'wrap, mantle, cloak', Aram.  $gl\bar{i}m\bar{a}$ , etc. Late Babylonian has borrowed this lexeme as  $gul\bar{e}nu$  'upper garment', already producing an  $m \sim n$  alternation in Semitic (Szemerényi 1974: 148). Thus Rosoł (2013: 107-9) sees χλαῖνα ~ χλανίς entering Greek from a Semitic source with n and χλαμός entering separately from a source with m. 149

lapis, -idis 'stone, pebble'

Pre-form: \*la/Hp-id- | PItal. \*lapVd-

Comp.: \*le/h<sub>1</sub>p-ad- | PGk. \*lepad- | Gk. λέπας 'bare rock, mountain' 150

\*la/Hpp- | PRom. \*lappa | Pt., Sp., lapa 'stone slab'

?\* $leh_1u/p$ -, \* $l\bar{e}/\bar{t}u/p$ - | PCelt. \* $l\bar{e}/\bar{t}\varphi/wank$ - | OIr.  $l\acute{e}$ ,  $l\ddot{i}a$  'stone, pillar'

■ Irreg. correspondences ■ Remarkable phonotactics

Semantics: geography

Pokorny (678), WH (I: 761-2), EM (340-1), DV (326, 344)

Wood (1910: 82), Hubschmid (1943), Hubschmid (1953: 62-3), Battisti (1959: 147, 332), Hubschmid (1960a: 49), Furnée (1972: 239, 346), Orel (1998: 219), Corominas & Pascual (1984-91 III: 580-581), Untermann (2000: 823-4, 838), Newmark (2005 s.v. *lerë*), EDG (848), Weiss (2010a: 172-5), Kroonen (2013: 328), FEW (V: 173-5), eDiAna (s.v. Lycian B *lacra-*), OED (s.v. *lap*, v.2), van Sluis, Jørgensen & Kroonen (2023: 239)

Lat. *lapis* 'stone' has cognates in Italic. U **vapeře** [loc.sg.] (and several other case forms) likely meaning 'stone seat' is probably from \**laped*- and U **vapeřia** 'of stone' from \**laped*- $j\bar{a}$  (Untermann 2000: 823-4). The most promising comparandum is Gk.  $\lambda \dot{\epsilon} \pi \alpha \varsigma$  'bare rock, mountain'. In previous scholarship, Gk.  $\lambda \dot{\epsilon} \pi \alpha \varsigma$  has been compared to a larger family including Gk.  $\lambda \dot{\epsilon} \pi \omega$  'to peel (off)'. Despite the formal difficulties, the link

 $<sup>^{149}</sup>$  By rejecting the relationship between  $\chi \lambda \alpha \tilde{i} v \omega / \chi \lambda \alpha v i \zeta$  and  $\chi \lambda \alpha \mu i \zeta$ , Garnier (2017c) proposes that the former are loans from a Lycian reflex of the inherited Anatolian wool word (Garnier & Sagot 2020: 187 propose Lydian). But the semantic difference between the Greek forms does not seem large enough to separate them.

<sup>150</sup> Cf. also Hsch. λεπάς· τὸ τῆ πέτρα προσσχόμενον κογχύλιον 'limpet' and λεπάδες· τὰ πρὸς ταῖς πέτραις κεκολλημένα κογχύλια ὀστρέων ἐλάττω 'mollusks which stick to rocks', which are likely derived from the basal meaning 'rock'.

<sup>&</sup>lt;sup>151</sup> South Picene **vepeten** [loc.sg.] (and related forms) seems to mean 'monument' and might also be related, though its vocalism is divergent (Untermann 2000: 838).

<sup>&</sup>lt;sup>152</sup> WH (I: 761-2) make a chain of analogies to this effect: lapis 'stone': λέπω 'to peel (off)':: saxum 'stone':  $sec\bar{a}re$  'to cut'::  $r\bar{u}p\bar{e}s$  'rock, cliff': rumpere 'to break, rupture' etc. DV (541) disagrees with the link between saxum and  $sec\bar{a}re$  due to the unexplained a-vocalism. He maintains the link between  $r\bar{u}p\bar{e}s$ 

between λέπας and *lapis* is semantically much more defensible.

This geographically isolated irregular match has led Battisti (1959: 147, 332) and Hubschmid (1960a: 49) to call it a Mediterranean substrate word. To Lat. *lapis* FEW (V: 171) adduces pre-Romance \**lappa*,<sup>153</sup> \**lībba*,<sup>154</sup> and \**lawara*.<sup>155</sup> Hubschmid (1943, 1953: 63) adds Swiss German *lore* 'heap of collected stones', Alb. *lerë* 'heap of stones, pebble bank', and Gk. λαύρα 'narrow passage, alley'.<sup>156</sup> Furnée (1972: 239) adds Gk. λᾶας 'stone' to this list, noting that the form λαιάι 'loomweights' seems to show a non-IE  $a \sim ai$  alternation.

Corominas and Pascual (1984-91 III: 580-581) are not so sure that \*lappa is of pre-Romance origin, suggesting other possibilities including cognancy with Engl. (over)lap (through Gothic). But the English verb is not attested before Middle English (OED s.v. lap, v.2) and thus seems to be an inner-English development. There seems to be no other reason to separate \*lappa from the lapis beyond its aberrant formation. PRom. \*lībba is more aberrant, and \*law(a)ra is both more aberrant and quite isolated. Their appurtenance is therefore more uncertain.

EDG (817) notes in relation to  $λ\tilde{\alpha}\alpha\zeta$  that neither Mycenean ra-e-ja /lāhejā/ nor Cypriot la-o-se show any trace of a digamma, so there was never a labial element in  $λ\tilde{\alpha}\alpha\zeta$ . They therefore reconstruct  $*l\tilde{a}h\text{-}$ , which would have to be an unrelated root. Alb.  $ler\tilde{e}$  'heap of

and rumpere however (DV 529). If words for 'rock' are indeed often derived from verbs for 'to separate', then the meaning 'to peel' probably counts. An alternative for the analogy  $lapis: \lambda \acute{\epsilon}\pi\omega$  would be lapis: lapit 'cuts, injures' (cf. Weiss 2010a: 172-5), and in fact the latter has itself has been compared to  $\lambda \acute{\epsilon}\pi\omega$  (Wood 1910: 82). EDG (848) instead considers  $\lambda \acute{\epsilon}\pi\omega$  to be non-Indo-European. DV (335) disagrees with the non-IE interpretation of  $\lambda \acute{\epsilon}\pi\omega$ , connecting it to  $lep\~{\delta}s$  'charm, grace' and lepidus 'charming' as well as  $\lambda \epsilon \pi \acute{\epsilon} (\zeta, \lambda \omega \pi \acute{\epsilon} (\zeta, \lambda \omega$ 

153 FEW (V: 173-5): In France: Landese *lapa* 'type of ferruginous rock' Aurillac *soulapo*, Ytrac *sulápo* 'cavern on the edge of a river' (with prefix *sub*). Western Spain: Santander *lapes* 'stone slabs for covering the roof', Salamanca *lapa* 'overhanging cliff that forms a cave', Montañese *treslape* 'part of the upper stones that on roofs covers the lower ones'. Pt. *lapa* 'stone slab', *solapa* 'hidden cave' (with prefix *sub*).
 154 FEW (V: 294): Middle Fr., MoFr. *libe* 'stone block, stone used in small rubble masonry', *libbe*, saintongeais *libe* 'slab', 'large flat stone raised in the quarry', Minot *lipe* 'slab of stone cut flat to cover a wall', Beaunotte *līp* 'beautiful and large stone of masonry that contributes to the solidity of a wall', dauphinois *lepo* 'large pebble, cobblestone', Aveyronnais *libo* 'slice of turf removed for écobuage'
 155 Hubschmid (1953: 62-3): Campanian *lāvera* 'slab of rock', Friulian *làvare* 'large stone slab'

156 EDG (819) mentions that  $\lambda$ αβόρινθος 'labyrinth' and  $\lambda$ άβρυς 'double-headed axe' might be related to  $\lambda$ αύρα, and the resulting  $b \sim w$  alternation is present between other comparanda. Their relationship to each other is doubtful however, nor are their semantics close enough or well understood enough to adduce here. Güntert (1933: 7) too hopefully added what he understood as Lycian  $la\beta ra$  'stone slab?' and Lydian laprisa 'wall?'. These are misreadings for Lycian lacra of unknown meaning and Lyd. laqrisa 'woodwork' (eDiAna s.v. Lycian B lacra-). Hubschmid (1953: 63, fn. 2) rejects the connection to the forms with b because he finds no other examples of a  $b \sim w$  alternation in the Mediterranean.

stones, pebble' is reconstructed to \*laurā by Orel (1998: 219) but Demiraj (1997: 237-8) proposes a heteroclitic \*leh<sub>I</sub>-ur or \*leu-r. On the other hand, Newmark (2005 s.v. lerë) gives the definitions 'thin mud; mudhole', 'grime, dirt', 'quicksand', and 'scree; stretch of sand with an accumulation of rocks; creek bed full of rocks from the mountains' with the adverb meaning 'completely filthy'. This makes an alternative reconstruction of \*h<sub>2</sub>loi-ro- (cf. Lat. linō, Hsch. ἀλίνειν ἀλείφειν 'to smear', W. llynu 'to besmear', Hitt. halīna 'clay?', cf. DV 344 for Latin and the cognates) possible. Gk. λαύρα 'narrow passage, valley' is semantically quite different. Thus the links with forms containing \*w are too uncertain to propose a \*p/b ~ \*w alternation.

On a related note, OIr. *líe*, *lia* 'stone, pillar' is reconstructed to \* $l\bar{t}$ wank- by Matasović (2009: 242) but the intervocalic consonant could also be \* $\varphi$  and e-vocalism would result in OIr. i in hiatus position (van Sluis, Jørgensen & Kroonen 2023: 239). A reconstruction \* $le\varphi$ ank- from a root \*lep- matches very well with \*lep- in Gk.  $\lambda \acute{e}\pi \alpha \varsigma$ . Thus, this lexeme likely has attestation in Celtic as well. The -ank suffix remains obscure.

Thus Lat. *lapis* attests to a non-IE  $a \sim e$  alternation and gemination in Romance. This must represent a family of non-IE words with the meaning 'stone' of the shape \**lVP*-.

laurus 'laurel/bay tree'

Pre-form:  $*lH(e)u-r-/*lH(e)ug^{wh}-r-|$  PItal. \*lauro-

Comp.:  $*da/h_2b^h/g^{wh}-n-|PGk.*dap^hn\bar{a}-|Gk.\delta\alpha\phi\nu\eta$  'laurel/bay tree'

\*da/h2ug(w)h-n- | PGk. \*daukhna- | Gk. Thess. δαύχνα 'laurel/bay tree'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, tree

WH (I: 775-6), EM (346)

Cuny (1910: 159 fn. 1), Niedermann (1909: 43-44), Güntert (1932: 21 fn. 1), Lafon (1934: 32-3), Furnée (1972: 132), Furnée (1979: 22), Beekes (2014: 67), Weiss (2020: 176), Kroonen (fthc.)

The connection between *laurus* and the δάφνη family is widely accepted and widely ascribed a substrate origin (Cuny 1910: 159 fn. 1, WH I: 775-6, Furnée 1979: 22, EM 346). The variation within Greek alone is remarkable. Niedermann (1909: 43-44) attributed it to contamination between different substrate forms as they were borrowed into the Greek dialects. Güntert (1932: 21 fn. 1) attributed it to an  $l \sim d$  alternation in Asia Minor. Perhaps this is supported by the Hesychius gloss  $\lambda$ άφνη· δάφνη. Περγαῖοι, which in any case shows that the alternation existed within the Greek-speaking world. This must be different from the  $l \sim d$  phenomenon termed the "Sabine l", which occurs in

 $<sup>^{157}</sup>$  Often compared are also  $\lambda$ αβύρινθος 'labyrinth' vs. the Carian deity  $\Delta$ αβραυνδος, but Güntert here mentions the Greek name Lygdamis vs. Akkadian  $Dugdamm\bar{e}$ , the name of a Cimmerian king who settled in Cilicia.

inherited words (cf. lacruma 'tear' vs. Gk. δάκρῦμα 'tear').

Furnée (1972: 132) links Gk. δάφνη through its variants with a velar like Thess. δαύγνα to δαῦκος 'several types of umbelliferous plant', noting the -n- suffix in δάφνη and considering the  $\varphi \sim \gamma/\kappa$  a substrate alternation. EDG (306) agrees with the connection, reconstructing a \*dakw-(n)- for the Greek forms. Semantically the pairing of 'laurel tree' with 'umbelliferous herb' seems difficult to defend. The analysis as descendants of a non-IE phoneme similar to  $*k^w$  still holds however. Even excluding δαῦκος, the alternation within Greek is between -αφ- and -αυγ- (i.e. never \*\*-αυφ-). This suggests one of two things. 1) The velar aspect of the non-IE labio-velar was interpreted variously behind  $(*k^w > p)$  or in front (\*wk > uk) of the velar, and Lat. *laurus* shows that the labial aspect overtook the velar aspect of the articulation. 2) Alternatively,  $a \sim au$  vocalic alternation before a  $*g^{wh}$  would in the latter case have triggered the boukolos rule. The result of \*laugh-ro- (with boukolos \* $ug^{wh} > ug^{h}$ ) within Latin would be \* $lauhro- > ug^{h}$ \*lauro- (Weiss 2020: 176 gives examples of this development of  $*g^hr$  word initially 158). If the first situation occurred, Beekes (2014: 67) suggests that the *n*-suffix of the Greek forms might have something to do with the aspiration. If the second occurred, the velar must have been borrowed with aspiration.

Latin has an *r*-suffix in *laurus* while the Greek forms have an *n*-suffix. The same  $r \sim n$  suffixal alternation, this time within Greek, occurs after an aspirated velar in Gk. βλῆχρον 'fern' (s.v. *filix*). Perhaps this points to an origin in the same substrate, but while the fern word also occurs in Germanic \**brekna*(*n*)-, the laurel word is limited to Latin and Greek and refers to a Mediterranean plant. If potentially also appears in Georg. *rapindi* 'laurel tree' (Lafon 1934: 32-3, Furnée 1979: 22), which attests to something like the Gk.  $v\theta$ -suffix otherwise unattested in the Greek laurel words. However, if the lexeme originally contained something akin to \* $g^{wh}$  as the Latin and Greek comparanda seem to suggest, then the Georgian word must be a loan from Greek; it is due to Greek sound laws that the *p* arose (cf. Kroonen fthc. See s.v. *ervum* for a similar suggestion that Georg. *erevandi* is likewise a Greek loan). The Georgian form further shows that its Greek source form started with *r*, which would have to be factored into the  $d \sim l$  alternation between the attested Latin and Greek forms.

# lēns, -tis 'lentil'

Pre-form: \*l(e)nt-| PItal. \*lenti-

Comp.: \*lndh-ur- | PGk. \*lathuro- | λάθυρος 'pulse, chickling'

?PBerb. \*līntī- 'lentil' | Sous Berber tilintit ~ tiniltit 'lentil'

■ Irreg. correspondences □ Remarkable phonotactics

<sup>&</sup>lt;sup>158</sup> Word-internally, e.g. Meillet and Vendreyes (1979: 73) argue that  $*(\acute{g})^h > g /_u$  because of cases like  $fig\bar{u}ra$  'form', figulus 'potter', and  $ligurri\bar{o}$  'to lick'. But Weiss (2020: 87 fn. 61) suggests these forms may be analogical to  $fing\bar{o}$  and  $ling\bar{o}$  (where  $*(\acute{g})^h > g /_n$  is regular).

<sup>159</sup> Perhaps this does not exclude an origin in the same substrate, see §4.2.2.5.

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Semantics: plant, domestic

WH (I: 783), EM (351), DV (334)

Fraenkel (1962-5: 359), Puhvel (III: 19-20), Boutkan & Kossmann (1999: 95), EDG (882), Beekes (2014: 41), Weiss (2020: 255), Simon (fthc.)

The similarity yet irregularity between *lēns* and its comparanda has been widely noted and suggested to be indicative of a non-IE origin (WH I: 783, EM 351, DV 334). At the most straightforward level, PItal. \**lenti*- and PGk. \**lathuro*- are the most certain independent forms. EDG (882) remarks that the Greek word only barely resembles the rest, and it is indeed the most semantically remote. However, the vocalism between it and the Italic form can be explained if both were borrowed with a syllabic nasal, with the only remaining alternation being the final dental. Given that these loans are assumed to have occurred after the disintegration of PIE, there is no reason to believe the Greek form actually descends from PIE \**dh*\* and we can rather take the unvoiced aspirate at face value: Pre-PItal. \**lnt-i*-, Pre-PGk. \**lnth-ur*-. While Latin seems to have borrowed the lexeme as (or produced) an *i*-stem, there is always a chance that it was originally a root noun: consonant stems of Latin are a result of the merger of PIE consonant and *i*-stem classes (cf. Weiss 2020: 255). This means that, between the two forms, perhaps only Greek has added a suffix, -*ur*-, which Beekes (2014: 41) argues is Pre-Greek.

The independence of other comparanda is difficult to determine. If the i-suffix of Latin *lēns* is not original, then Baltic and Slavic are difficult to explain unless loans from Latin. PSlav. \*letja- (cf. OCS lešta, Ru. ljač 'lentil') might attest to a syllabic nasal like Latin and Greek, but PBalt. \*leši- cannot, suggesting either vocalic alternation resembling IE ablaut or a borrowing from a language whose reflex of the syllabic nasal is e (Italic or Slavic). Such borrowing scenarios are not straightforward however. The š of Lithuanian lesis 'lentil', despite the other indicators of a loan from another form mentioned above, does not seem to be obviously sourced from Latin or a Slavic language<sup>160</sup> (cf. Fraenkel 1962-5: 359), though perhaps Germanic is the source. If taken at face value, the š would reconstruct to PIE \*k, but it is clear that, if not borrowed from another IE language, the Lithuanian attests to a sibilant in its source form. OHG linsa has been suspected to be a loan from Latin (WH I: 783, EM 351), but Kluge and Seebold (1989: 444) note that in such a case we should expect the oblique stem \*lent- to be borrowed. We find attested the reflex of a sibilant, but the nasal could perhaps be neutralizing the dental element of an affricate here (cf. MHG banse 'lean-to shelter'  $< *b^hond^h$ , Kroonen 2013: 52). Its isolation within German and the fact that all comparanda attesting to e vocalism rather than a syllabic nasal are insecure make it difficult to accept as an independent comparandum. Thus Latin and Greek attest to a \*t ~ \*th (as if PIE \*t ~ \*dh) alternation, with the possibility that this further alternates with some sort of sibilant.

Sous Berber tilintit ~ tiniltit 'lentil' (with feminine t-...-t circumfix) can be reconstructed

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 $<sup>^{160}</sup>$  Latv.  $l\bar{e}ca$  with its c is a loan from Slavic.

to Proto-Berber \*līntī-. The other Berber languages have Arabic loans for the lentil word, which means that the Sous form could be the original Berber lexeme, but it could also be a later loan from Romance (Boutkan & Kossmann 1999: 95). Pisani (1967: 403)<sup>161</sup> compared Hitt. *halenzu*-, which at the time was glossed as *Wasserlinse*. Puhvel (III: 19-20) however shows that *halenzu*- actually means 'overgrowth', and that the association between *lēns* and *halenzu*- would never have come about if the gloss were English 'duckweed' instead of German *Wasserlinse*. 162

*līlium* 'lily'

Pre-form: \*(H)leili-, \*(H)iHli- | PItal. \*leilio-, \*līlio-

Comp.: \*lei(h<sub>1</sub>)ri- | PGk. \*leirio- | Gk. λείριον 'lily'

Coptic vars. hrēri, hlēli, hrēre < Egypt. hrr.t 'flower'

?\*Hol- | PAnat. \*?ol- | Hitt. alel-, alil- 'flower, bloom'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, flower

WH (I: 801), EM (358), DV (341)

Meillet (1908: 163), Schuchardt (1918: 26-7), Cohen (1931: 37-8), Benveniste (1954: 43), Hubschmid (1960a: 38), Hemmerdinger (1968: 240), Holton Pierce (1971: 105), Furnée (1972: 369-70), Vycichl (1983: 310), Puhvel (I: 32-3), Vycichl (1990: 94), Biville (I: 365, II: 23), Orel (1998: 234), Trask (2008: 29), EDG (845), Schrijver (2018: 362), Weiss (2020: 507), Simon (fthc.)

The  $l \sim r$  alternation between Lat.  $l\bar{l}lium$  and Gk.  $\lambda\epsilon$ íptov was early on remarked upon as an indicator of Mediterranean substrate origin (Meillet 1908: 163) and this interpretation continues (WH I: 801, EM 358, Weiss 2020: 507). Neither form is likely borrowed from the other (EM 358, Biville I: 365, II: 23). Further relationships outside of Latin and Greek are complicated, but there is frequent consensus that Egypt. hrr.t 'flower' via its Coptic descendants  $hr\bar{e}ri$ ,  $hl\bar{e}li$ ,  $hr\bar{e}re$  are related (EM 358, DV 341), perhaps as the source (WH I: 801; uncertainly Hemmerdinger 1968: 240, Simon fthc.). Hubschmid (1960a: 38) reports that the Egyptian word is only attested from the  $18^{th}$  dynasty onwards, and its status as an inherited Afroasiatic word does not seem to be guaranteed. On the other hand, Cohen (1931: 37-8) notes Berber alili, ilili, etc. 'oleander', ilillii 'flower' in Oromo,  $^{163}$  and ' $el\bar{e}d\bar{a}$  'flower' in Harari.  $^{164}$  With attestation in Berber,

<sup>&</sup>lt;sup>161</sup> In Paideia: rivista letteraria di informazione bibliografica 22 (non vidi, apud Puhvel III: 20).

 $<sup>^{162}</sup>$  Simon (fthc.) argues for a Hattic origin of the Hittite word. He defends the connection with  $l\bar{e}ns$ , adding Hung.  $b\acute{e}kalencse$  'duckweed (literally 'frog-lentil')' as another example of a comparison between lentils and duckweed. However, if the Hittite word refers to other types of 'surface growth on stationary water' like algae, leaves, etc., then duckweed cannot be ruled out as a secondary semantic development. The formal resemblance to  $l\bar{e}ns$  would be coincidental.

<sup>&</sup>lt;sup>163</sup> Updated from his spelling *ilili* and referring to the language as Galla.

<sup>&</sup>lt;sup>164</sup> Updated from his spelling *elad*.

Egyptian, Cushitic, and Semitic, the word is present several Afroasiatic families. Inherited status in Egyptian would make it more likely that an Egyptian source is the ultimate origin of the Latin and Greek words. The lexeme is, however, quite isolated within each of the families. Schuchardt (1918: 26-7) even interprets the Berber words are loans from Latin. Thus its native status within Afroasiatic remains unclear.

Hemmerdinger (1960: 38) had suggested Hitt. *alel-*, *alil-* 'flower, bloom' could be a more proximal source of the Greek word. There is wide consent that the Hittite word is related to the Egyptian word (Benveniste 1954: 43, Furnée 1972: 269-70, Puhvel I: 32-3) as a Mediterranean Wanderwort. Simon (fthc.) rejects a comparison between the Egyptian and Hittite forms because of the initial vowel of Hittite against Egypt. *hrr.t.* However, Egyptian is transcribed without vowels, and the initial *hr-* does represent a consonant cluster. Instead, Vycichl (1990: 94) reconstructs \*/harīra.t/. It is thus easier to get the Hittite word from Egyptian than to do so with the Latin and Greek forms (cf. the reservations on the relationship between the Egyptian forms and Latin/Greek forms in Holton Pierce 1971: 105, Vycichl 1983: 310, *pace* Simon fthc.). Schrijver (2018: 362) suggests the initial *a-* of Hittite in comparison to the Latin and Greek forms might be an example of the substrate *a-*prefix.

The semantic difference between Latin and Greek 'lily' on the one hand and 'flower' elsewhere does not seem problematic to interpret as a semantic narrowing. Perhaps it occurred in the donor language. There exist widespread lookalikes with the meaning 'flower' from Estonian *lill* to Basque *lili* and Alb. *lúle*. <sup>165</sup> Orel (1998: 234) is probably correct in doubting that Alb. *lúle* 'flower' is loan from Latin, as it would require the assumption of  $i > u / l_-$ . Hubschmid (1960a: 37) notes the difficulty in assuming that Basque *lili* is a loan from Latin or Romance. Vasconic \*l was rhotacized intervocalically in the early medieval period (cf. Trask 2008: 29 for the date), so it would have to be a late loan. But then one expects the meaning 'lily'. Instead it suggests a pre-form with fortis \*L. On the other hand, Basque *lora* 'flower' alongside Bearnaise *lole* and Tarbes *lolo* 'flower' suggest that there was also a Vasconic \*lola 'flower' with lenis \*l (Hubschmid 1960a: 38). Whether these further forms are to be counted as independent comparanda seems unclear.

What is clear is that Lat.  $l\bar{\imath}lium$  and Gk.  $\lambda\epsilon$ (prov are independent loans from a third source. If Egyptian hrr.t is the ultimate source (and not itself a loan), it is unlikely to be the most proximal source (i.e. there was an intermediary). Hitt. alel-, alil- is a relative, but may be a borrowing from Egyptian.

### malva 'mallow'

Pre-form:  $*ma/Hl-Vu/g^{w(h)}-|PItal.*malVw\bar{a}-$ 

Comp.:  $*ma/o/Hl-a/og^h- | PGk. *mVlVk^h\bar{a}- | Gk. μαλάχη, μολόχη 'mallow'$ 

<sup>&</sup>lt;sup>165</sup> There is even Turk. *lale* 'tulip', though this and several other forms in surrounding languages are presumably from MoP *lâle* 'tulip' (Hubschmid 1960a: 39).

\*ma/h<sub>2</sub>l-b/g<sup>w</sup>a-k- | PGk. \*malbak- | Gk. μάλβακα [acc.] 'mallow' Hebr. mallūah 'Atriplex' < \*mallūh

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, wild

WH (II: 17-18), EM (380), DV (361)

Cuny (1910: 162). Lafon (1934: 40), Leumann (1977: 214), Klein (1987: 349), Nussbaum (1997: 190), Rata (2008), EDG (896), Rosol (2013: 104-5, 109-11), Beekes (2014: 69), Fenwick (2016: 453), Weiss (2020: 175)

The most secure comparandum for Lat. malva is the group of Greek words including  $\mu\alpha\lambda\dot{\alpha}\chi\eta$  'mallow', often suggested to be independent borrowings from a Mediterranean substrate since a common pre-form cannot be reconstructed (Cuny 1910: 162, WH II: 17-18, EM 380, DV 361, EDG 896). The Greek variants have differing vocalism and aspiration amongst themselves. If the variant  $\mu\dot{\alpha}\lambda\beta\alpha\kappa\alpha$  has its  $\beta$  from  $*g^w$ , the situation would be somewhat reminiscent of the  $\delta\dot{\alpha}\phi\nu\eta/\delta\alpha\dot{\nu}\chi\alpha$  (\*kw/\*wk) pair (s.v. laurus). No labial element appears in  $\mu\alpha\lambda\dot{\alpha}\chi\eta$  but the vocalism of  $\mu\alpha\dot{\nu}\chi\alpha$  could suggest a rounded vowel was original. The Latin form does not attest to a final velar, for which there are several possible explanations. If it represents \*malawa-, then it fits into the  $\delta\dot{\alpha}\phi\nu\eta-\delta\alpha\dot{\nu}\chi\alpha$ -laurus pattern. (Cf. also the  $*g^w(h) \sim *u$  alternation in some of the comparanda of fungus.)

There are several other possible explanations, all necessarily  $ad\ hoc$ :  $*g^h$  or non-IE  $*k^h$  could have weakened to an h and then have been lost in word-final position or have been obscured by the development  $*malVuak^h/g^ha > *malVuaha > *malVw\bar{a}$ . Or  $*\chi$  attracted the \*w to yield  $*malwa\chi a > *mala\chi^w a > *malava > malva$ . For the latter, compare the reconstruction of PGk.  $*mal^wak$ -, with Pre-Greek labialized l, proposed by EDG (896) and Beekes (2014: 69). All Proto-Italic reconstructions require a vowel between \*l and  $*\mu$ ; otherwise, it must have entered Latin recently enough that the  $*l\mu$  was not assimilated into a form like \*\*malla.  $^{167,168}$ 

All sources also mention a connection with Hebr. *mallūaḥ* 'saltbush/orach (genus *Atriplex*)' or 'a lettuce-like vegetable'. Several species of both orach and mallow are consumed as leaf vegetables and the leaves of both plants share some general similarities, so the comparison is not without reason. The Hebrew word is a hapax,

<sup>166</sup> An Armenian form balbak 'a plant, watercress, dill, or mallow' looks close to the Greek variant μάλβακα, but its -ak could have been added within Armenian. Thus it could be a loan from Georg. balba 'mallow', which Lafon (1934: 40) asserts is itself a loan from Latin. The initial b for m in the Georgian form needs further investigation.

 $<sup>^{167}</sup>$  On the change  $^*lw > ll$ , cf. Leumann (1977: 214), Nussbaum (1997: 190), Weiss (2020: 175). Fenwick (2016: 453) reconstructs  $^*mh_2l$ - $^*u$ -eh $_2$ - to a root  $^*meh_2l$ - $^*$ type of cultivated plant or herb' that would also underlie Gk. μᾶλον 'apple' and μῶλυ 'magical herb'. But this should also have given Lat.  $^*malla$ .

<sup>&</sup>lt;sup>168</sup> Hubschmid (1960a: 60) proposed a Mediterranean -ua suffix, which would have to have developed from earlier \*-Vua.

occurring in Job 30:4, which is known for its poeticized language (cf. Rata 2008). The word ends in a patah gnuva and goes back to \*mallūh. 169 Klein (1987: 349) derives Hebr. mallūah from melah 'salt'. There is an adjective Hebr. mallūah meaning 'salty, saline', cognate with Arab. melih 'salty', from milh 'salt' (cf. an alternative name for orach in English, namely 'saltbush'). If the Latin and Greek forms are indeed related to the Hebrew, such a Semitic etymology would suggest that they derive ultimately from a Semitic source. There remains the possibility, since the Hebrew adjective has a good Semitic etymology but the noun is a hapax describing a plant in a text that is already known for using unusual words, that the two otherwise homonymous words do not actually originate from the same source and that the link with the salt family is folk etymological. 170 If Latin and Greek have the word from a Semitic source, they both underwent the same semantic shift (orach > mallow). Otherwise, all three are from another source.

#### menta 'mint'

Pre-form: \*m(e)nt- | PItal.  $*ment\bar{a}$ -

Comp.: \*mind<sup>h</sup>- | PGk. \*mint<sup>h</sup>- | Gk. μίνθη 'mint', vars. μίνθα, μίνθος

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, wild WH (II: 72), EM (398)

Meillet (1908: 162), Hester (1964: 360), Ačaryan (1979 IV: 623), Biville (I: 145), EDG

(995), Weiss (2020: 148), Kroonen (fthc.)

Lat. *menta* is often considered borrowed along with Gk.  $\mu$ iv $\theta\eta$  from a third source. Despite the substitution of aspirates being normal in early loans from Greek, the correspondence of Lat.  $e \sim \text{Gr.} \iota$  is irregular (Meillet 1908: 162, Hester 1964: 360, EM 398, EDG 955, Biville I: 145), *pace* WH's (II: 72) *ad hoc* suggestion of a "replacement" of *-int-* through *-ent-*. While Lat. e raises to i in the sequence  $m \ nV$  (cf. *minor*), it is blocked in the sequence  $m \ nC$  (cf. *mentis*) as maintained by Weiss (2020: 148). But the inverse situation, with i being lowered to e in a sequence  $m \ nC$  does not occur (cf.  $ming\bar{o}$ 

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<sup>&</sup>lt;sup>169</sup> Greek does borrow Semitic h and h as  $\chi$  (cf. Rosol [2013: 104-5, 109-11] Gr.  $\chi\alpha\lambda\beta\alpha\nu\eta$  'galbanum' < Hebr.  $helbin\bar{a}$  'id.'; Gr.  $\chi\rho\nu\sigma\delta\varsigma$  'gold' < Phoen.  $h[u]r[\bar{o}/\bar{u}]_{\bar{s}}$  'id.'). That the Greek forms are so diverse ( $\mu\alpha\lambda\dot{\alpha}\chi\eta$ ,  $\mu\alpha\lambda\dot{\alpha}\chi\eta$ ,  $\mu\alpha\lambda\dot{\alpha}\chi\eta$ , and  $\mu\dot{\alpha}\lambda\beta\alpha\kappa\alpha$ ) means that the easy explanation from something like \* $mall\bar{u}ah\bar{a}$  is no longer as elegant.

<sup>170</sup> More evidence for this is that the meaning 'a plant name: sea orach(?)' occurs otherwise only in Aramaic *mallūḥ/mallūḥā*. *Mallūaḥ* was mistranslated as 'mallows' in the King James Bible, and a close link between the words is indeed suggested by other factors. In the Septuagint, μολόχη occurs but it does not translate *mallūaḥ*. Instead, in Job 30:4, ἄλιμα (clearly related to salt) translates *mallūaḥ* and μολόχη occurs in what seems like an extra clause inserted in the Greek for Job 24:24: ἐμαράνθη δὲ ὅσπερ μολόχη ἐν καύματι 'they are withered like mallows in burning heat'. This clause does not occur in the Hebrew. Could it be that the Greek word had been loaned from Hebrew and was therefore associated with the verse, but it was no longer clear to which Hebrew word it referred because its meaning had changed?

and  $mintri\bar{o}$ ). A possible explanation is a loan from Greek through Oscan.<sup>171</sup> EDG (955) considers the Greek forms to be of Pre-Greek origin because of the attestation of the alternate ending in - $\bar{\alpha}$ . The words look like an example of the Pre-Greek v $\theta$ -suffix. This would leave little more than m (or \*sm) as the root, but we cannot exclude the possibility of such a phonologically simple root in a substrate language.

Outside of Latin and Greek there are no comparanda. The comparison mentioned by WH (II: 72) with Georg. *p'it'na* 'mint' is phonologically too far removed and is better explained with a view toward unrelated MoP *pūdina* (also the source of Arab. *fūḍanaj* > Arm. *fōtanj*, cf. Ačaryan [1979 IV: 623] on the Persian origin of these forms) and a large number of Indic and other Iranian forms.

### merula 'blackbird'

Pre-form:  $*(H)mes-(a/e/o/u)l- | PItal. *mesa/e/o/ul\bar{a}-$ 

Comp.: \*(H)mes(a)l- | PCelt. \*mesal-(s)ka- | W mwyalch, Bret. moualc'h 'blackbird'

\*h<sub>2/3</sub>ems-lo- | PGm. \*amslōn- | OE ōsle, OHG amsala 'blackbird'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: animal, bird

Pokorny (35-6), WH (II: 77-8), EM (400), DV (375)

Demiraj (1997: 264-5), Schrijver (1997: 307-11), Kloekhorst (2008: 292), Matasović (2009: 268), Kroonen (2013: 25), Neri (2017: 565-568), Thorsø & Wigman et al. (2023: 109)

Latin *merula* 'blackbird' is convincingly linked with Celtic and Germanic words for 'blackbird' that all attest to a multi-syllabic "root" with a non-IE ablaut pattern \**aCC*- ~ \**CVC*-, a classic indicator of a non-IE word (Schrijver 1997: 307-311, DV 375, Matasović 2009: 268, Kroonen 2013: 25).

Most recently, Neri (2017: 565-568) defends an IE etymology for this family of words, reconstructing Hitt. *hanazana*- of disputed meaning (but seeming at least once to mean

substrate" in Latin because they are not attested in Oscan and are unlikely to be inherited. But theoretically, nothing is stopping Oscan from being a mediator of substrate vocabulary into Latin.

<sup>171</sup> Alessio (1944a: 139-41) gives a similar case that does not have attestation in Latin but rather in several Italian dialects. He compares PRom. \*plenta 'clod of earth' to Gk. πλίνθος 'brick'. He argues that this is an additional case of a Mediterranean substrate word shared between Greece and the Italian peninsula. Interestingly, he writes "[le forme] possono risalire ad una base \*PLENTA, che riterremo di origine prelatina, e non potendo attribuirla al sostrato osco, dovremo di necessità assegnarla a quello preindoeuropeo mediterraneo." It must be traced back to the Mediterranean substrate because it is not part of the Oscan substrate. But Cid Swanenvleugel (p.c.) has suggested to me that, since the reflex PItal. \*i is lowered in Oscan and Umbrian until it becomes similar to the reflex of PItal. \*i, the i of Lat. menta and PRom. \*plenta- might be the result of a borrowing from Oscan, which would have changed the i of an early loaned Gk. μίνθη and πλίνθος to i. Alessio presumably rejects that these could be from the "Oscan

'black, dark') and Skt.  $\acute{a}sita$ - 'dark-colored, black' as  $*h_{2/3}ms$ -i-to- 'having a dark color'  $< *h_{2/3}ms$ -i- 'dark coloration' to a root  $*h_{2/3}ems$ - 'dark'. According to him, Germanic  $*amsl\bar{o}n$ - reconstructs to  $*h_{2/3}\acute{e}ms$ - $lah_2$ - 'the black one', a vrddhied and feminized derivation from an Eigenschaftsadjektiv  $*h_{2/3}ms$ - $l\acute{o}$ - 'dark, black'. The Italic and Celtic reflexes would start from  $*h_{2/3}mes$ -elo- as either a substantive use of an adjective of the shape Gk.  $\mu$ Eγάλη, PGm. \*mekila- or as a vrddhied form of (potentially diminutive)  $*h_{2/3}ms$ -elo-. This seems to be the best treatment of this group as potentially inherited, but it requires a Schwebeablaut-like difference between  $*h_{2/3}\acute{e}ms$ - $leh_2$ - and  $*h_{2/3}mes$ -elo-.

#### mūlus 'mule'

Pre-form: \*mu(g(h)/k)s-lo-/\*mus(g/k/?gh)-lo-| PItal. \*mus(k)lo-/\*mu(k)slo

Comp.: \*musku- | PSlav. \*mъskъ | ORu. mъskъ, RuCS mesk 'mule'

\*muk-lo- | PGk. \*muklo- | Gk. μύκλος 'lascivious, lewd'

\*mug(ħ)/k(s)-lo- | PGk. \*mukħlo- | Phocean (Hsch.) μυχλός· σκολιός. ὀχευτής, λάγνης, μοιχός, ἀκρατης. Φωκεῖς δὲ καὶ ὄνους τοὺς ἐπὶ ὀχείαν πεμπομένους 'crooked, lewd, lecherous, uncontrolled' and 'stud donkey'

\*musk-lo- | PGk. \*musklo- | Hsch. μύσκλοι· σκολιοί 'crooked, unrighteous'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: animal, domestic

WH (II: 125-6), EM (420), DV (394)

Frisk (1960-72 II: 267-8), Orel (1998: 279), EDG (978), Furnée (1972: 133, 299)

The diminutive Lat. *muscellus* is often taken as metathesized from \**muxellus*, suggesting a preform in \*-*ks*-, though Gk. μύσκλοι and the Slavic forms show that a variant with \*-*sk*- was in circulation. In any case it proves the erstwhile existence of a velar and sibilant (WH II: 125-6). Alb. has *mushk* 'mule', which Orel (1998: 279) calls an areal Balkan word along with the Slavic forms. It seems likely that the Albanian is a loan from Slavic.

<sup>&</sup>lt;sup>172</sup> Demiraj (1997: 264-5) suggests that, if Alb.  $m\ddot{e}ll\acute{e}nj\ddot{e}$  'blackbird' has the suffix  $-(\acute{V})nj\ddot{e}$ , its base mull- could through PAlb. \* $m\ddot{e}/\ddot{a}lV$ - be from a similar pre-form to Latin merula. But several alternative etymologies also exist.

The Greek forms seem semantically remote, but  $\mu$ ύκλος 'lascivious, lewd' is at least once used as an epithet of a pack-mule. Taken along with the Phocaean meaning furnished by Hesychius, it seems that Greek words do indeed have (literally) asinine semantics, and are generally taken as comparanda (DV 394, EDG 978, Furnée 1972: 133, 299). The  $\chi$  might suggest the reconstruction of \* $g^h$ , however in light of the other comparanda (including Gk.  $\mu$ ύσκλοι) with a non-aspirated velar sibilant, the aspiration could be the result of a following sibilant. Thus the  $\chi \sim \kappa$  alternation need not, as EDG (978) concludes, be a Pre-Greek feature no matter how frequent that alternation appears in other Pre-Greek words. Instead, it is likely a Wanderwort (Frisk 1960-72 II: 267-8, WH 125-6, EM 420, DV 394), like *asinus* (s.v.), from the homeland of donkeys in North Africa or the Levant, arriving in the forms \*musk-(lo-), \*muks-(lo-), and \*muk-(lo-).

This \*sk ~ \*ks alternation occurs also in the comparanda of *viscum* 'mistletoe', where the metathesis is likewise unexpected. Šorgo (2020: 459) notes this as a feature of the Germanic substrate, identifying at least one further example outside of Latin (PGm. \*pahsu- 'badger' vs. PCelt. \*tazgo-, \*tasko-, \*taks- 'badger'). It seems like a non-IE feature rather than *ad hoc* metathesis.

nux 'nut'

Pre-form: \*(k)nu-k-| PItal. \*(k)nuk-

Comp.: \*knu(H)- | PCelt.  $*kn\bar{u}$ - | OIr.  $cn\acute{u}$  'nut'

\*kn(e/o)u(H)- | PCelt. \*knows- | MW cneu, MBret. cnou 'nuts', etc.

\*knu-d- | PGm. \*hnut- | ON hnot, OE hnutu, OGH nuz, etc. 'nut'

■ Irreg. correspondences ■ Remarkable phonotactics

Semantics: plant; nut

Pokorny (558-9), WH (II: 191-2), EM (453), DV (418, 420)

Pedersen (1893: 251), Hirt (1907: 173), Otrębski (1939: 173), Thurneysen (1946: 31), Martinet (1955), WH (II: 185-6), Georgiev (1971: 273), Strunk (1993: 425-9), Schrijver (1995: 326-33), Kroonen (2012a: 248), Kroonen (2013: 237), Matasović (2009: 212, 250), van Sluis (fthc.)

The likelihood that this family of comparanda is of non-IE origin comes from the peculiarity of the different suffixal extensions (for this morphological analysis, cf. WH II: 191-2 with lit.), different in each branch (\* $^{*}H$  in Celtic, \* $^{*}k$  in Italic, and \* $^{*}d$  in Germanic).

DV (420) argues that the root shape \*knu- with no full grade looks non-IE of itself.

 $^{173}$  Previously frequently mentioned was that nux is metathesized from the \*dnuk- in Germanic (Pedersen 1893: 251, Hirt 1907: 172, Georgiev 1971: 273; the latter further compares Gk. ἀγνός '(nut-shaped?) weaving stones'). Otrębski (1939: 173) compared Lat. nux and Gk. κάρυον 'nut' via an  $r \sim n$  alternation and metathesis.

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Schrijver (1995: 330) indeed reconstructs MW cneu et al. to Late Proto-Brythonic \*know- < \*knuu-. However, he (pp. 326-33) also provides evidence to show that \*eu (and \*euH), \*ou (and \*euH), and \*uu (including when from \*uHV) all became Late Proto-Brythonic \*ow. Thus the Brythonic forms obscure the difference between a full-grade and zero-grade, even in a laryngeal-final root, and so they could indeed derive from a full grade \*kneu(H)- / \*knou(H)-. But a laryngeal is not actually required in the Celtic forms. OIr. cnú does not require the reconstruction \*knu-H-, because final vowels in open monosyllables are regularly lengthened in Old Irish (Thurneysen 1946: 31). Thus, within Celtic, we find what could be construed as an IE ablaut pattern to an IE root \*kneu-

It is the the Latin and Germanic forms which make the family look non-IE. Latin nux derives from a zero-grade of the root in question with a \*-k suffixal extension.<sup>174</sup> DV (418, 420) further adduces nūgae 'worthless things, nonsense' from a form \*knūg-. If indeed related to nux, the differing vowel length and voiced as opposed to unvoiced velar would yield a non-IE pattern within Latin. However, besides the argument that words for 'trifle' are sometimes formed from lexemes for nuts or seeds (cf. English *peanuts*), the semantics are not close enough to connect these two words within Latin.<sup>175</sup> Germanic \*hnut- derives from a zero-grade of the root with a \*-d suffixal extension (Kroonen 2009: 221-2, 2013: 237). Kroonen (2013: 237) notes that it inflects as a root noun, which is an archaic, non-productive noun category within Germanic and might point to non-IE origin (cf. Kroonen 2012a: 248).

As to the discrepancy between the suffixes, Kroonen (2012a: 248) suggests it might be the reflex of something like a glottal stop. The option of reconstructing \*-H for Celtic would fit into this scenario, but interestingly, in the two other cases of this phenomenon that van Sluis (fthc.) identifies (PGm. \*bīōn 'bee' and the caput family), its reconstruction is not required either. In any case, the mismatching suffixes<sup>176</sup> within Italic and Germanic, otherwise without explanation, and the fact that the Germanic noun

<sup>&</sup>lt;sup>174</sup> One might suggest that a pre-from \*knuH-s might yield nux, related to the way that e.g. -trīx might have arisen from \*tr-iH-s (proposed by Martinet 1955). But Schrijver (1991: 148-54) summarizes arguemnts as to why \*-ks is not likely to have developed from \*-Hs, and we have seen that the presence of a laryngeal is not actually required by the Celtic forms.

<sup>&</sup>lt;sup>175</sup> Instead, nūgae is very similar in meaning to naucum 'trifle, worthless thing'. WH (II: 185) finds it difficult to connect them, and indeed it would require accepting an  $*\bar{u} \sim *au$  or perhaps  $*eu \sim *au$ alternation as well as a voicing alternation. Thus this might be an unrelated substrate root. Strunk (1993: 431) argues that there is evidence that *naucum* referred to the inedible parts of nuts, that Latin speakers considered naucum and nux related, and that the whole family is inherited. He additionally argues for IE \*au/u ablaut, with support from pau-cus 'small', pau-per 'poor', vs. pu-sillus 'tiny', pu-er 'boy'. But 1) \*au/u ablaut is not the only explanation for such a distribution; a full-grade/zero-grade ablaut (\*-Hu-/ \*-eHu-) also works (cf. DV 450, 496). 2) Even this latter explanation cannot account for the long  $\bar{u}$  of  $n\bar{u}gae$ , ruling out the possibility that all three words are inherited cognates. 3) In any case, the semantics of nugae and naucum are closer to each other than either is to nux and are best kept separate from it.

<sup>&</sup>lt;sup>176</sup> Note that the designation of these elements as suffixes is itself biased toward an Indo-European interpretation. In part to explain how the consonants are different, proposing a suffix also keeps PItal. \*knuk- from going back to an illegal \*CieCi- root structure. But the element could simply be part of a non-IE root \*knu2-.

inflects as a root noun suggest that this lexeme is of non-IE origin.

orca 'large-bellied vessel, butt, tun, esp. for storing fish'

Pre-form: \*H(o)rk- | PItal.  $*ork\bar{a}$ -

Comp.: \*H(o/u)rk- | PItal. \*urkejo- | Lat. urceus 'pitcher, water-pot, ewer'

\*H(o/u)rk-n- | PItal. \*urknā- | Lat. urna 'vessel for drawing water, urn'

\* $Hurg^{h_{-}}$  | PGk. \* $urk^{h}\bar{a}$ - | Gk. ὕρχη 'earthen vessel used for salting fish, etc.'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: vessel

WH (II: 220, 838-9, 841), EM (467, 754, 755)

Curtius (1858 I: 315), LS (s.v. *orca*), Cuny (1910: 160), Ribezzo (1934b: 124), Bertoldi (1939a: 290), Ernout (1946: 49), Chantraine (1968-80: 821), de Simone (1968-70 I: 138, II: 271-5, 287), Furnée (1972: 137, 361), Breyer (1993: 219), Biville (I: 231-2), EDG (1537), Beekes (2014: 67), Weiss (2020: 153, 195)

LS (s.v. *orca*) take Lat. *orca* 'large-bellied vessel' to be a transferred meaning of *orca* 'whale', but they are certainly separate words (WH II: 220, EM 467).

*Orca* in the cetaceous sense has been suggested to be a loan from Gk. ὅρυξ (acc. ὅρυγα) 'pickaxe, type of whale (probably narwhal)' (WH II: 220, EM 467) but Biville (I: 231-2) notes problems with this explanation. The borrowing of Gk.  $\gamma$  as Lat. c is not usual, and Etruscan intermediation must be proposed to explain it (Ernout 1946: 49, Breyer 1993: 219). There is however no attested Etruscan form to prove this. Biville further notes upon a close semantic inspection of the source material that, while Gk. ὅρυξ likely means 'narwhal' in Strabo, the descriptions of Lat. orca in Pliny and Paul the Deacon do not mention its long, single tusk but rather its many sharp teeth and voracious appetite. Thus Lat. orca almost certainly refers to a predatory whale like an orca (killer whale) and may be related to or even borrowed from Gk. ὅρκῦς 'tuna' (itself suspected of being a substrate word, cf. Chantraine 1968-80: 821, EDG 1104). The Atlantic bluefin tuna can exceed three meters in length (National Research Council 1994: 1).

On the other hand, orca in the meaning of vessel is difficult to separate from Gk. 5pm 'earthen vessel used for salting fish'. WH (II: 220), EM (467), and EDG (1537) all suggest that orca 'large-bellied vessel' can have been borrowed from Greek, but this is not regular. Lat. u > o before r followed by a vowel, not by a consonant (cf. Weiss 2020: 153), and the Greek form attests to no vowel that could have disappeared by Latin syncope. It perhaps hints at Etruscan mediation (cf. Breyer 1993: 219-20 and de Simone 1968-70 I: 138, II: 271-5, 287, with the idea that the quality of Etruscan u was between Latin o and u), but again there is no Etruscan form attested. The same sources alternatively suggest that both the Latin and Greek are independently borrowed from a

Mediterranean language (cf. also Ribezzo 1934b: 124, Bertoldi 1939a: 290). Furnée (1972: 137, 361) adduces it as an example of irregular  $\chi \sim k$  and  $\upsilon \sim o$  correspondences.

Lat. orca cannot be separated from two other vessel names urceus and urna, for which the precise relationship with Gk. ὕρχη is unclear (Cuny 1910: 160, WH II: 838-9, 941; EM 754, 755). In light of the other forms, urna is plausibly derived from \*urk-na. Given the endings -eus and -na for these words respectively, they are not borrowed from Greek ὕρχη. Their u-vocalism means they could be borrowed from unattested Greek forms, but they could also be loans from the same non-Greek, non-Latin source as ὕρχη and orca.

# pirum 'pear'

Pre-form: \*(H)pir/s- | PItal. \*pir/so-

Comp.:  $h_2pis-o-$ ,  $h_2pi-uo-$  | PGk. apis/wo- | Gk.  $a\pi vov$  'pear'

?Shina pisō 'small pear', Burushaski pheso 'pear'

?Khinalug bzi 'pear'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, tree; fruit

WH (II: 309-10), EM (510), DV (467)

Tomaschek (1880: 791), Bailey (1924), Kretschmer (1933a), Hubschmid (1960: 59), Berger (1965), Berger (1966), Leumann (1977: 51), Parker (1988), Steinbauer (1989: 69), Kibrik & Kodzasov (1990: 216), Ganieva (2002: 68), EDG (116), Weiss (2020: 153)

The strange correspondence between Lat. *pirum* 'pear' and Gk.  $\alpha$ tov 'pear' is widely considered to be evidence of a Mediterranean non-IE origin (WH II: 309-10, EM 510, DV 467, EDG 116). WH (II: 310) follow Kretschmer (1933a: 89) in assuming that the Gk.  $\alpha$  is a prefix from the non-IE donor language, similar to the *Amsel-merula* phenomenon but without the concomitant root vowel gradation we would expect. Steinbauer (1989: 69) suggests a derivation from \*h\_pis-o-, but DV (467) notes that this is an unusual root shape, as PIE roots usually show decreasing sonority to the right and left borders, listing LIV's \*h\_teu'g'- 'to spread terror' as the only exception so far. <sup>179</sup>

A major peculiarity of *pirum* regardless of its source is the fact that it is not \*\*perum. In this environment, it is widely agreed that we expect i > e / rV, with the Paradebeispiel

<sup>&</sup>lt;sup>177</sup> Earlier attempts linked *urna* with *ūrere* 'to burn' because ceramics are made of baked (fired) clay (e.g. Curtius 1858 I: 315), but this must be folk-etymological.

 $<sup>^{178}</sup>$  For the loss of \*k in this position, cf. also *quernus* < \*k\*erknos 'oaken' as opposed to *quercus* where the velar remains (Weiss 2020: 195). Technically, it could be from PItal. \*urχna as if from \*g\*, which would better match Gk. ὕρχη, but this cannot explain the c of orca and urceus.

<sup>&</sup>lt;sup>179</sup> LIV2 also gives  $*h_2(g)e^{r}$  'to gather', attested only in Greek, and  $*h_3peus$ - 'to increase, abound in', attested only in Indo-Iranian and perhaps Greek; both roots preceded with a question mark.

being  $ser\bar{o}$  'to sow' < \* $sis\bar{o}$  (Leumann 1977: 51, EM 510, Parker 1988, Weiss 2020: 153). As Weiss (2020: 153 fn. 39) notes, if \*pisom entered Latin early enough to be rhotacized, then it was present early enough to undergo the expected change i > e. This remains unexplained, unless perhaps the other possible exception, namely  $vire\bar{o}$  'to flourish' and its relatives, shows that a labial blocks the change (Michael Weiss, p.c.). 180

Berger (1956: 15) suggests that Burushaski *pheşo* 'pear' is related, which EDG (116) finds improbable. While Berger argues that the Burushaski form is the source in such cases where it exists, it is often much more likely that it attests to loans from Indo-Iranian languages. In this case, the situation is complicated. An Indo-Aryan reflex of this word exists in Shina, a language of the Gilgit valley of Pakistan from which Burushaski seems to have borrowed extensively (Berger 1966: 79). The Shina word is *pisō* 'small pear' (Bailey 1924: 158), which shows a startling similarity to the form \**pisom* reconstructed for Lat. *pirum*. But when Burushaski borrows from Shina, it seems to faithfully reflect the quality of the sibilant (Berger 1966: 83). Thus the relationship of the Burushaski and Shina forms is irregular. Perhaps this shows that Burushaski borrowed the Shina word at an earlier stage, Shina borrowed it from Burushaski, <sup>182</sup> or both are independent loans from a third source. The similarity in form and meaning puts this case outside the realm of coincidence, at least for the Burushaski and Shina forms.

Khinalug, a Nakh-Dagestanian language, has bzi 'pear' (Kibrik & Kodzasov 1990: 216), sometimes rendered with a schwa (cf. 6ызы in Ganieva 2002: 68). This is otherwise isolated amongst the Caucasian pear words. If this is the same lexeme as that which occurs in Burushaski, and if that is in turn the same as the one that occurs in Greek and Latin, then it looks like the remnant distribution of a once more widespread word with its origins in the East. If it is only Latin and Greek that are related, then we have a non-IE word with what looks like a Mediterranean distribution. See §3.3.2 for a discussion of the distribution of the a-prefix.

### plumbum 'lead'

Pre-form: \*plo/uNdhu- | PItal. \*plumbo-

Comp.: \*ple/oud(h)- | PCelt. \*( $\phi$ )loudio- | MIr. lúaide 'lead'

\*moliwdo- | PGk. \*moliwdo- | mo-ri-wo-do /moliwdos/ (Myc.), μόλιβος, μόλυβδος (Homeric) 'lead', vars. μόλιβδος, μόλυβος, βόλυβδος,

 $^{180}$  Alternatively,  $ser\bar{o}$  may never have had i-reduplication to begin with (cf. its reconstruction in the LIV2 as  $*s\acute{e}-s(o)h_i$ -). No other reduplicated presents show e-reduplication, but given that PIE had both e- and i-reduplication, it is not easy to rule out that  $ser\bar{o}$  represents an archaism. With the Paradebeispiel gone, perhaps the lowering rule does not exist, and nothing is preventing the shape of pirum after all.

<sup>&</sup>lt;sup>181</sup> Cf. Burushaski sújo 'pure, sacred, holy' < Shina sujo < Skt. sujāta- 'well-born', Burushaski başá 'turban' < Shina paşò < Skt. praśna- 'wickerwork, basket; turban', Burushaski śań 'awake, aware' < Shina śoń etc. < Skt. śankā 'apprehension, care, fear' (Berger 1966: 81-3).</p>

<sup>&</sup>lt;sup>182</sup> Berger (and Hubschmid 1960a: 59) thought that Burushaski was the source of the Shina words, but for the wrong reason. They seem to have been influenced by Tomaschek (1880: 791) giving the Shina words as *phēšo* and *phīšo*, but these are the Burushaski forms.

βόλιμος, βόλιβος

\*mlīwo- | PGm. \*blīwa- | ON blý, OS blī, OHG blīo 'lead'

?PVasc. \*bl(e)un(P)-? | Basque berun 'lead'

?PBerb. \*βaldūn / βāldūn / būldūn / βaldūm | Kabyle aldun, Mzab buldun, etc. 'lead'

??Georg. brpeni, prpeni 'lead, tin'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: metallurgy

WH (II: 325-6), EM (516), DV (474)

Meillet (1908), Lafon (1934: 43), Bertoldi (1939b: 94-7), Furnée (1972: 272), Beekes (1999), Boutkan & Kossmann (1999: 92-3), Melchert (2008), EDG (964), Huld (2012: 336), Matasović (2009: 135), Kroonen (2013: 69), Šorgo (2020: 460), Weiss (2020: 507), Thorsø & Wigman et al. (2023: 116-17)

The closest match for Lat. *plumbum* 'lead' is MIr. *lúaide* 'lead' via PCelt. \* $\phi$ loudio- (Matasović 2009: 135). Huld (2012: 336) connected them via a reconstruction \*plou- $d^h(H)om$  'solder' from a root \*pleu- 'to flow, float', a logical Bennenungsmotiv for a metal with such a low melting point. But there is no regular way to conjure the nasal in Latin from a form like this. Strictly speaking, the Celtic pre-form does not require a final aspirate, but to produce Latin b corresponding to Celtic d, there must have been a sequence \* $-Nd^h(u)$ - as the nasal would have blocked the RUbL Rule being activated from the left. This produces a reconstruction \*ple/oudh- behind the Celtic form and \*plo/uN $d^hu$ - for the Italic (Thors $\phi$  & Wigman et al. 2023: 116-17).

To this pair have been adduced a series of Proto-Berber reconstructions, including  $*\beta ald\bar{u}n$ ,  $*\beta\bar{a}ld\bar{u}n$ ,  $*b\bar{u}ld\bar{u}n$ , and  $*\beta ald\bar{u}m$  'lead', between which the large amount of variation means that this family is not native to Berber (Boutkan & Kossmann 1999: 92-3). Some compare Basque *berun* 'lead' (Lafon 1934: 43, Bertoldi 1939b: 94-7, WH II: 326, Boutkan & Kossmann 1999: 92). This could be from a pre-form like \*bl(e)un(P)- (Thorsø & Wigman et al. 2023: 116-17), but could also potentially be borrowed from a Romance source. Both of these groups contain a nasal like Latin, but the Berber forms have it in a much different place. If the Basque form is a borrowing from Romance, then it is not clear how heavily the nasal should feature in a reconstruction of the source form.

Georg. *brpeni*, *prpeni* 'lead, tin' has also been compared (Meillet 1908, Bertoldi 1939b: 94-7, WH II: 326, neutrally Weiss 2020: 507). If all these forms are related, it is clear that we are dealing with a Wanderwort. The variation seems extreme at first, but possible Greek and Germanic comparanda might fill the gap.

Despite WH (II: 326), Furnée (1972: 272, etc.), and EM (516), after Beekes (1999) it is

currently in vogue to reject a connection between Lat. *plumbum* and Gk. μόλιβος/μόλυβδος. Beekes cannot accept a connection with the West because the use of lead in Greece is very old. Supporting this is Melchert (2008), who proposes that the Greek is borrowed from Lyd. *mariwda-* '\*dark', attested as a theonym (cf. *plumbum nigrum*). DV (474) and Matasović (2009: 135) follow, but Kroonen (2013: 69) who connects PGm. \*blīwa- 'lead' through a pre-form \*mlīuo-, does not.

If we suggest that this family represents a non-IE word that achieved a widespread European distribution, then the divergence in the attested forms is not so unexpected. Beekes (1999) suggests that Myc. *mo-ri-wo-do* as a spelling for /moliwdos/ could be behind both of the oldest Homeric forms, with different treatments of the non-IE sequence \*-*iwd*- surfacing as -*ib*- in μόλιβος and -*udb*- in μόλυβδος. On the other hand, Pre-Proto-Germanic \* $ml\bar{\nu}$ wo- matches μόλιβος/βόλιβος well, <sup>183</sup> but cannot have originally had a dental. Thus the dental element in some of the Greek forms, present also in Celtic (and perhaps Berber, with metathesis) might represent an alternate suffixed form. If this is so, then the *b* of Lat. *plumbum* could be original. <sup>184</sup> All together, the comparanda support a grossly simplified pre-form like \*M(V)lVw(n)(-d-), perhaps \* $M(V)l\tilde{V}w(-d-)$ , with \*M representing a bilabial.

racēmus 'bunch, cluster esp. of grapes'

Pre-form: \*u/Hrak-/\*(H)rHk-| PItal. \*rak-

Comp.:  $*s/\mu reh_2g_-, *s/\mu roHg_- \mid PGk. *r\bar{a}g_-, *r\bar{o}g_- \mid \dot{p}\bar{\alpha}\xi, \dot{p}\bar{\alpha}\gamma\dot{o}\varsigma; \dot{p}\dot{\omega}\xi, \dot{p}\dot{\omega}\gamma\dot{o}\varsigma$  'grape'

?\*Hreg<sup>(h)</sup>- | PIr. \*raza- | MoP raz 'vine, grapes, vineyard, garden', etc.

??\*u/Hrus-, \*u/Hro/Hg-? | PAlb. \*rus-, raguša-? | Alb. rrush 'grape'

■ Irreg. correspondences ■ Remarkable phonotactics

Semantics: viticulture

WH (II: 414), EM (562), DV (511)

Meyer (1883: 295), Tedesco (1943), Furnée (1972: 126), Çabej (1976 II: 102-3), Katičić (1976: 109), Abaev (II 1979: 398-99), Schrijver (1991: 305-9, 314), EWAia (II: 441-2), Sihler (1995: 207), Demiraj (1997: 144), Orel (1998: 391), Hamp (2000), EDG (1274), Schumacher & Matzinger (2013: 213), Weiss (2020: 382)

Lat. *racēmus* 'bunch (of grapes)' is widely suspected of being a word from a Mediterranean substrate (WH II: 414, EM 562, Furnée 1972: 126, Schrijver 1991: 306, DV 511, EDG 1974) based on its viticultural semantics and geographic restriction but

 $^{183}$  The sequence \*ml- would have become \*bl- in Proto-Germanic, but the variation within Greek shows that a form with \*b was also in circulation.

<sup>&</sup>lt;sup>184</sup> Sorgo (2020: 460) interprets the Greek variant βόλιμος as also having a nasal in this position. Alternatively, the nasal in Latin is the result of an m/b alternation \*-iw-d- / \*-ub-.

also on its irregular correspondences. The Greek forms reconstruct to a voiced velar. And while Lat.  $rac\bar{e}mus$  could theoretically represent original \*\*rax, ragis > \*\*rax, racis with leveling of the unvoiced velar from the nominative (cf. a similar case for  $frac\bar{e}s$ , s.v.), this is not regular. At face value, the Latin and Greek forms attest to a \* $k \sim *g$  alternation.

Latin a against Greek  $\bar{a}$  and  $\bar{o}$  is difficult to account for in an inherited way in this root. <sup>185</sup> Greek could theoretically preserve the full-grade and full o-grade of a root with  $*h_2$  starting with \*s or \*y. But In Latin, \*sr yields fr and a root shape like \*yrHk- would yield  $**r\bar{a}k$ -. Schrijver (1991: 305-9, 314) finds evidence that \*HRHC yields Lat. raC-, but this initial laryngeal would vocalize in Greek and thus cannot be reconstructed for  $rac\bar{e}mus$ . Thus we must either reconstruct original a-vocalism or a root-initial \*r, neither of which forms a good PIE root.

Alb. rrush 'grape' is often reconstructed to PAlb. \*rāgušā- based on toponymic evidence: the Dalmatian city Ragusium is given in an Albanian source as Rushë (Cabej 1976 II: 102-3, Orel 1998: 391, Hamp 2000: 9). Hamp suggests a pre-form \*rag-ùs-V-. Between this and his reconstruction of \*Fρωγούμι for the Salentine Greek to rukúmi ~ ragúmi, the -ēmus of Lat. racēmus might reconstruct to \*-esmo- (in an inherited example, cf. its development in the superlative ending like in \*eksterisomo > \*ekstresmo-> extrēmus, Weiss 2020: 382). Katičić (1976: 109) compares Hsch. ῥάματα· βοστρύχια, σταφυλίς. Μακεδόνες 'bunch of grapes, Macedonian', which EDG (1274) proposes is from \*ῥάγμ- and clearly related to ῥάξ, ῥαγός. The sequence \*rag-s-mo- should produce PGk. \*rakhmo- (cf. λελέχθαι < \*lelek-sthai < λέχω). But Sihler (1995: 207) mentions forms like δράγμα 'handful' for δράχμα, where γ occurs for expected γ due perhaps to dialect mixture. If ῥάματα can really be from \*ῥάγματα, then it could potentially attest to a base \*rag-s-mo- against \*rag-es-mo- behind Lat. racemus. The forms with the labial suffix have the collective meaning 'bunch of grapes' beside the basal meaning 'grape' of the forms without the suffix (PGk. \*rālōq-s- and PAlb. \* rãq-us-).

But while PIE \* $b(^h)$  seems to disappear intervocalically in Albanian, and intervocalic d seems to disappear in loans post-dating the change \* $-Vd(^h)V > *-V\partial V - (Demiraj 1997: 62)$ , there is little indication that such was true for \* $g(^h)$ . A more straight-forward reconstruction for Alb. rrush is \*rus-, 186 which is no longer easy to compare to the Latin and Greek forms.

185 Hamp (2000: 7) reconstructs in essence a paradigm nom. \* $ur\delta$ Hg-s-, acc. \* $ur\delta$ Hg-m-, obl. \*urHg-. By ignoring the Latin form, he can reconstruct an initial \*u based on Salentine Greek  $vr\alpha$ ,  $vr\alpha$ ,  $gr\alpha$  < \* $p\alpha$  perhaps < \* $p\alpha$  and But his source (Gerhard Rohlfs, 1962, *Neue Beiträge zur Kenntnis der unteritalischen Gräzität* [non vidi]) also compares Salentine Greek to rukúmi ~ ragúmi. Hamp uses this to reconstruct \* $p\alpha$ poyoúµt, which seems to make a connection with Lat.  $rac\bar{e}mus$  even more inevitable.

<sup>&</sup>lt;sup>186</sup> Schumacher & Matzinger (2013: 213) consider *rrush* a borrowing from Gk.  $\dot{\rho}\dot{\omega}\xi$  (PAlb. \**ruśśa-*) at a time after Gk.  $\bar{o}$  and o had fallen together. The only other example they give however is Alb. *i kuq* 'red' < Lat. \**cocceus*.

Meyer (1883: 295) suggested a connection between Alb. *rrush* and Persian *raz* 'vine, grapes, vineyard, garden'. <sup>187</sup> Abaev (II 1979: 398-99) takes *raz* from PIr. \**raza*- along with Oss. *ræzæ* 'fruit, fruits, vegetables', Tajik *raz* 'vine, vineyard', Kurdish *räz*, *rez* 'garden', Zazaki *räz* 'vineyard', etc. Tedesco (1943) tried to connect MoP *raz* with Slavic \**lozà* (OCS *loza* 'vine, Ru. *lozá* 'vine, rod', etc.) through a pre-form \**loģá*-, but this would yield PIr. \*\**rāza*- with Brugmann's Law. Only \**laģā*- with a PIE \**a* could yield the correspondence. Otherwise PIr. \**raza*- presupposes a reconstruction PIIr. \**raj*'<sup>(h)</sup>- as if from \*(*H*)*reģ*<sup>(h)</sup>- or \**leģ*<sup>(h)</sup>-. If it is non-IE, \**raģ*<sup>(h)</sup>- is a possibility, and it fits into the \**rak*'- ~ \**rā*'g'- ~ \**rō*'g'- alternation established for Latin, Greek (and perhaps Albanian) both formally and semantically. Given alternative reconstructions, its appurtenance is not completely certain, but it does not contraindicate a non-IE word for grape of the shape \**rVG*.

Connections between Gk.  $\dot{\rho}\bar{\alpha}\xi$  and Lat.  $fr\bar{a}ga$  'strawberry' via \* $sr\bar{a}g$ - (cf. DV 239) are not as attractive as deriving  $fr\bar{a}ga$  along with Alb.  $dredh\ddot{e}$  'strawberry' from a pre-form \* $d^nrH\dot{g}(h)$ - (s.v.  $fr\bar{a}ga$ ).

## *rāpum* 'turnip'

Pre-form: \*Hlureh<sub>2</sub>p- | PItal. \*rāpo-

Comp.: \*s/μ(τ)al Hp-, \*s/μ(τ)al Hb<sup>h</sup>- | PGk. \*rap-, \*rap<sup>h</sup>- | Gk. ῥάφυς, ῥάπυς 'turnip', ῥάφανος 'cabbage, radish'

\**Hreh*<sub>2</sub>/<sub>3</sub>*b*<sup>h</sup><sub>-</sub> / \**Hreh*<sub>2</sub>/<sub>3</sub>*p*<sup>-</sup> | PGm. \**rōbjōn*- | MDu. *rove*, OHG *ruoba*, *ruoppa* 'turnip', etc.

\**Hreh*<sub>i</sub>*p- | \*Hroip-* | PSlav. \**rēp- | \*roip-* | RuCS *rěpa*, Ru. *répa*, SCr. *rèpa* 'turnip', etc.

\**Hreh₂p-* | PBalt. \**rāp*- | Lith. *rópė* 'turnip'

\* $h_1erb(i)$ - | PCelt. \* $arb\bar{\iota}no$ - | OBret. erbin, W erfin (pl.) 'turnip'

■ Irreg. correspondences

■ Remarkable phonotactics

Semantics: plant, domestic

Pokorny (852), WH (II: 418), EM (564), DV (514)

Schrijver (1991: 310), Demiraj (1997: 349-50), EDG (1277, 1283), Zohary, Hopf & Weiss (2012: 159), Kroonen (2013: 415)

From Pokorny (852) onwards (WH II: 418, Schrijver 1991: 310, EM 564, DV 514, EDG 1277, Kroonen 2013: 415), Lat. *rāpum* 'turnip' and its comparanda<sup>188</sup> have been viewed

<sup>187</sup> He also suggests a link with Skt. *rasā* 'raisin', but EWAia (II: 441-2) translates this much differently, as 'plant juice, juice, liquid, viscous fluid, essence, pulp'.

<sup>&</sup>lt;sup>188</sup> Alb. *rrépë* 'beet, radish' < PAlb. \**rap*- cannot be a loan from Latin. But its status as an independent comparandum is difficult to verify; it could potentially be a Greek loan (Demiraj 1997: 349-50).

as likely Wanderwörter because of the irregularly corresponding vocalism (even if PIE  $*a/\bar{a}$  existed, the comparanda require the reconstruction of non-IE  $*\bar{a}/\bar{e}$  ablaut<sup>189</sup>) and lack of a prothetic vowel in Greek (initial \*s or \* $\mu$  could be reconstructed for Greek to avoid an invalid r-initial root structure, but neither option works for Germanic).

EDG (1277) considers the  $\pi \sim \varphi$  alternation within Greek to be a Pre-Greek feature, but attestations of this word are far too widespread to have their origins in Beekesian Pre-Greek. (Note also that the Germanic forms attest to what in native words would be reconstructed as \* $b^h$  just like Greek  $\varphi$ , suggesting that the Pre-Greek-like variation in the donor forms was not limited to the East.) The Celtic forms attest to an a-prefix with zero-grade root (Kroonen 2013: 415). Metathesis from \* $rab\bar{n}no$ - would be unconditioned.

Comparanda possibly extend beyond Europe. Furnée (1972: 313 fn 35) compares the Semitic family \*lapt- 'turnip', finding it even in the Hsch. λάψα· γογγυλίς. Περγαῖοι. Cross-linguistically,  $l \sim r$  alternation is not rare, and other substrate examples include  $l\bar{l}lium \sim \lambda \epsilon$ ίριου. Further similar is Sumerian \*lub 'turnip.' The Semitic and Sumerian words cannot be adduced with nearly as much certainty, but would suggest that this family of words was widely distributed amongst the agricultural populations of Europe and Western Asia.

raudus 'lump of copper used as currency', vars. rōdus, rūdus

Pre-form:  $*H/\underline{\mu}reh_2ud(^h)-|$  PItal. \*raudo-

Comp.: \*h2erud- | PGm. \*arut- 'ore' | ODu. arut, OHG aruz, ariz 'ore', etc.

?\*Hrut- | PCelt. \*rutu- | W rhwd 'rust'

OSum. aruda 'copper' > Sum. uruda, urudu 'copper'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: metallurgy

Pokorny (872-3), WH (II: 420-1), EM (565), DV (515)

Schrader (1883: 62), Schrijver (1991: 265), Schrijver (1997: 308), Stifter (1998: 214), Hill (2003: 196-202), Jagersma (2010: 60-1), Kroonen (2013: 37), Schrijver (2018: 361-3), Koch (2020: 110), Thorsø & Wigman et al. (2023: 109)

Lat. raudus 'lump of copper used as currency' is traditionally compared to PIIr. \* $Hraud^ha$ - (cf. Skt.  $loh\acute{a}$ - 'reddish metal, copper-colored, reddish, made of iron', MP/MoP  $r\bar{o}y$  'copper, brass', etc.), ON  $rau\eth{i}$  'bog iron ore', and OCS ruda 'ore metal' from PIE \* $h_1re\mu d^h$ - 'red'. The problem is well known and it is widely admitted that the combination \* $-ud^h$ - should yield Lat. -ub- as it does in ruber 'red' < \* $h_1rud^h$ -ro-. The solution has been to assume that the Latin word is borrowed from another IE language

<sup>&</sup>lt;sup>189</sup> This alternation is especially remarked on by WH (II: 418) who compare it to that between Lat. *nāpus* and Arm. *niw* (s.v. *nāpus*).

(WH II: 420-1, DV 515, Schrijver 1991: 265).

WH (II: 420-1) reject a comparison to PGm. \*arut- 'ore' because of the initial a. It is clear that \*arut- cannot be reconstructed back to \*h<sub>i</sub>reud<sup>h</sup>-, and if Lat. raudus is indeed adduced, it produces a perfect example of the substrate a-prefix phenomenon, creating the alternation \*arud- ~ \*raud- (Schrijver 1997: 308, Kroonen 2013: 37). Furthermore, explaining raudus from the perspective of a known phenomenon seems preferable to the ad hoc solution of a borrowing from another IE language. Thorsø and Wigman et al. (2023: 109) consider PIIr. \*Hraudha-, ON rauði 'bog iron ore', and OCS ruda 'ore, metal' < IE \*h<sub>i</sub>roudha-o- (to the root \*h<sub>i</sub>reudha-) as an unrelated group coincidentally similar to Lat. raudus and PGm. \*arut- < non-IE \*arud- ~ \*raud- 'ore' (even though EM 565 suspects that the 'red' derivatives might actually have been remodeled based on folk etymology). PCelt. \*rutu- (cf. W rhwd 'rust') might also belong to this group. Despite the reddish color of rust, \*rutu- cannot derive simply from \*h<sub>i</sub>reudha- 'red' (Koch 2020: 110). But as alternative etymologies exist (PCelt. \*ruddo- < \*h<sub>i</sub>reudha- 'red' + \*dheh<sub>i</sub>- 'to put' [Stifter 1998: 214] or \*sed- 'to sit' by [Hill 2003: 196-202]), its connection is much less certain.

Establishing the existence of a non-IE word \*arud- ~ \*raud- 'ore' allows it to be linked to Sumerian uruda, urudu 'copper' from Old Sumerian aruda (Schrader 1883: 62, 118; WH II: 421; Schrijver 2018: 363; Thorsø & Wigman et al. 2023: 109; Jagersma 2010: 60-1 on the Old Sumerian form). Schrijver (2018: 361-3) takes this as evidence of a Hatto-Sumerian agricultural substrate, but the word need not be native to Sumerian.

rosa 'rose'

Pre-form: \*uroS- | PItal. \*rosā-

Comp.: \*μr(o)d- | PGk. \*wrod- | Gk. ῥόδον, Aeol. βρόδον 'rose'

\*\u03cm\u03c

Arab. ward 'rose, flower, blossom', etc.

■ Irreg. correspondences ■ Remarkable phonotactics

Semantics: plant, flower WH (II: 443), EM (577)

Buck (1904:48, 83), Kretschmer (1923a: 115), Schwyzer (1939-50 I: 344 fn. 2), Alessio (1946b: 26), Mayrhofer (1950: 74), Mayrhofer (1961: 185), de Simone (1968-70 II: 165-6), Sihler (1995: 172), Untermann (2000: 464), EDG (1289), Sims-Williams (2016: 206), Weiss (2020: 162 fn. 12), van Beek (2022: 319-21)

The intervocalic *s* of Lat. *rosa* is problematic. If it is the result of a post-rhotacism borrowing, it must have been borrowed after the middle of the fourth century BCE. Weiss (2020: 162 fn. 12) notes that this would be unexpected if it is a loan from a

substrate language and therefore proposes the interference of the initial r (though he notes the counterexample  $r\bar{o}s$ ,  $r\bar{o}ris$  'dew'), an effect which otherwise occurs only when an r occurs in the *next* syllable (Sihler 1995: 172 e.g. *caesariēs* 'bushy-haired', *miser* 'wretched', *aser* 'blood', etc. but  $aurora < *aus\bar{o}s\bar{a}$ -).

Evidence points to *rosa* being a Wanderwort with comparanda stretching far to the East. It is undoubtedly related to Gk. ῥόδον 'rose', whose Aeolic variant βρόδον (and appearance as Myc. *wo-do-we* 'rose-scented') shows it originally began with \*w. Thus it, like Arm. *vard* 'rose', is quite likely a loan from an Iranian source (WH II: 443, Mayrhofer 1950: 74, EM 577, EDG 1289 Sims-Williams 2016: 206). A form like PIr. \**yrda*-<sup>190</sup> would yield e.g. Sogd. *wrd* and MoP *gul* 'rose'. If Gk. ῥόδον represents an artificial epic reflex of \**yrdo*- (explaining the otherwise irregular reflex of the syllabic resonant, van Beek 2022: 319-21), then the Greek proto-form is very similar to that of the Iranian forms.

It is clear that there are Semitic comparanda (Mayrhofer 1961: 185, EM 577, EDG 1289), but Mayrhofer (1950: 74-7) makes a case that there are so many Semitic forms that it does not look to be a loanword in Semitic: Arab. ward 'rose, flower, blossom', Aram. wardā 'rose, rose-colored; lobe of the lung', Akk. murdēnu, murdennu, amurdenu, amurdenu (for wurdēnu) 'a flower with thorns'). Arabic has further warada, warrada 'to bloom', waruda 'to be red', warrada 'to color red', tawarrada 'to blush or flush', word 'malaria', and warīd 'jugular vein'. Given that the floral meaning is old, well-integrated, and not semantically streamlined in the Semitic languages that attest it, it does not seem obvious as a loanword. An ultimate Semitic origin seems more likely than the link with a PIE root only attested in Iranian. In any case, the rose word in Latin is a Wanderwort from the East.

If the word entered Latin through Greek (WH II: 443, EDG 1289), there must have been an intermediary, as there is no foolproof way from Gk.  $\delta > \text{Lat. }s$ . EM (577), followed by Alessio (1946b: 26), suggest Etruscan. Etruscan seems to have borrowed Gk. -δι- as z (/ts/) (cf. in two names:  $Ar\chi aze$  and vars. < Άρκαδία and Zimaite and vars. < Διομήδης, de Simone 1968-70 II: 165-6). So a form like \*ρόδια could theoretically have been the source. But this Greek form is unattested (and in Modern Greek ροδιά is the pomegranate tree), and the lookalikes Etr. ruze, rusi are of unknown meaning. Kretschmer (1923a: 115) suggests that Lat. rosa might be from Gk. ρόδεα 'rosebush' through a form \*rodia that passed through "sabinisch" (given the name of Sabine statesman Appius Claudius, said by Livy to have been called Att(i)us Clausus before he moved to Rome). Alessio (1946b: 26) mentions the possibility too, with the understanding that Latin medius corresponds to Oscan \*meso-. But this in incorrect; the Sabellic cognate of Lat. medius is Osc. and SPic. mefi- (Untermann 2000: 464). Buck

<sup>&</sup>lt;sup>190</sup> WH (II: 443) further reconstruct for the Iranian form an IE  $*u_T d^h o$ -, but this is otherwise unattested. They connect what they give as OE *word* 'thornbush'. But the form is actually *worð* 'enclosure (created with thorny shrubs)' < PGm. \*wurba- with PIE \*t.

(1904: 66) notes that a change  $*d\underline{i} > z$  and  $*t\underline{i} > s$  is restricted to Bantia. The closest to a workable solution is the Umbrian change of intervocalic  $*d > \check{\mathbf{r}}$ , rs. Buck (1904:48, 83) notes that the r of both inherited and d-derived rs was weakly pronounced and is sometimes not written in the Umbrian inscriptions in the Latin alphabet. But there are problems with this solution too. Beyond there being no other cases of  $*d > \check{\mathbf{r}}$  in a Greek loan to compare (and no attestation of the rosa word in Sabellic to confirm), an r elsewhere in the word seems to block the change  $*VdV > V\check{\mathbf{r}}V$  (Buck 1904: 82, Untermann 2000: 816 with lit.). Cf. U *Coredier* 'Coredii' (with the same rVdiV sequence as Kretschmer's proposed pre-from \*rodia) and U utur 'water' ( $*ud\bar{o}r$ ). The change in gender (Greek neuter to Latin feminine) is also without a good explanation. Thus, if Lat. rosa was indeed mediated from Gk. (β)ρόδον, the mediating language is still unknown.

#### sabulum 'sand'

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Pre-form: *sa/Hb(h)/dh-lo-|PItal.*sab/f/blo-
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\*(p)sa/h<sub>2</sub>m/b<sup>h</sup>-mo- | PGk. \*(p)sam/p<sup>h</sup>-mo- | Gk. ψάμμος, ἄμμος 'sand' \*(p)sa/h<sub>2</sub>m-nd<sup>h</sup>-o- | PGk. \*(p)samat<sup>h</sup>o- | Gk. ψάμαθος, ἄμαθος 'sand'

\*sa/o/HM-(a)d<sup>h</sup>- | PGm. \*sammada- 'sand' | ON sandr, OE sand, MHG sampt 'sand', etc.

\* $sap/b^h$ - $ag^h(/d^h?)$ -o- | Arm. awaz 'sand, dust'

?Abkhaz saba 'dust'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: geography

Pokorny (146), WH (II: 458), EM (585), DV (531)

MacBain (1911: 321, 323), Güntert (1914: 119-20), Boisacq (1916: 48, 1074), Schwyzer (1939-50 I: 328-9), Alessio (1944a: 144-6), Deroy (1956a: 183-4), Kuiper (1956: 218), Frisk (1960-72 I: 84, II: 1129-30), Ačaryan (1971-79 I: 351), Furnée (1972: 209), Schrijver (1991: 103), Kuiper (1995: 67), EWAia (II: 198), Garnier (2006), Martirosyan (2009: 149, 247), EDG (1660), Kroonen (2013: 425), Kroonen (fthc.), Thorsø (fthc.)

A connection between Lat. *sabulum* 'sand' and a slew of Greek forms of similar semantics is widely accepted (WH II: 485, EM 585, DV 531, Kroonen 2013: 425, EDG 1660, etc.), but the details of their relationship are complicated. Boisacq (1916: 48, 1074), followed in part by Frisk (1960-72 I: 84, II: 1130), concluded that ψάμμος and ἄμαθος were two originally unrelated forms, with ἄμμος and ψάμαθος originating as crosses. He takes ψάμμος < \* $psap^h$ -mo- as related to Lat. sabulum while ἄμαθος would be related to MHG sampt and several Sanskrit forms. (Güntert 1914: 119-20 likewise

<sup>&</sup>lt;sup>191</sup> It seems to have introduced compensatory lengthening in the preceding vowel when the r was lost.

<sup>192</sup> Unless Gk. ῥοδέα 'rosebush' served as the ultimate source.

separated the Greek words due to the inexplicability of double Anlaut reflex.) Schwyzer (1939-50 I: 328-9) supports a connection between Greek and Skt.  $ps\acute{a}ti$  'consumes' to the root bhas- 'to crunch, chew', but the development of Gk.  $\check{\alpha}\mu\alpha\theta\circ\varsigma$  would require the change of the cluster  $*b^hs$ - > \*s without becoming \*ps-, which seems strange. <sup>193</sup> WH (II: 458), who do not separate the Greek forms, explain the change of  $*b^hs$ - > \*s as vorgriechisch (cf. also Boisacq 1916: 1074, who calls it  $pr\acute{e}hellenique$ ), presumably in the Pelasgian sense.

EWAia (II: 198) questions the relationship of the Sanskrit forms (< PIE \* $b^hes$ -, \* $b^hs$ -eH-) to the Greek forms on semantic grounds. With the tenuous link to the Sanskrit forms gone, we can consider alternative explanations for the Greek forms. Deroy (1956a: 183, and 183-4 fn. 3) explained the Greek variation in Anlaut as due to a borrowing from a non-IE language beginning with a sibilant that was variously interpreted as \*s (and thus later lost) or as a stronger sibilant that was reflected as \*ps.\(^{194}\) This allows for the comparative analyses that followed (cf. Furnée 1972: 209, Schrijver 1991: 103, DV 531; EDG 78, 89, 1660).

The *b* in Lat. *sabulum* can go back to \**b*, \**b*<sup>h</sup>, or \**d*<sup>h</sup>. Without the nasal element however, a reconstruction \**sad*<sup>h</sup>-(*u*)*lo*- looks quite aberrant. Instead, reconstructing \**sab*(\*)- and establishing a \**b*(\*) ~ \**m* alternation with the Greek and Germanic forms finds probable support in Armenian. The labial of Arm. *awaz* 'sand, dust' reconstructs to \**p* or \**b*<sup>h</sup>, allowing the reconstruction \**sab*<sup>h</sup>*ad*<sup>h</sup>*o*- (Ačaryan 1971-79 I: 351) or \**sab*<sup>h</sup>*ad*<sup>h</sup>-*s* (Thorsø fthc. fn.), remarkably similar to the Greek and Germanic forms. Thus we have

<sup>194</sup> Alternatively, a borrowing into Greek both before and after the loss of initial s in Greek (cf. Kroonen 2013: 425). But Guus Kroonen (p.c.) notes that the abundance of unetymologized Greek words with  $s_2$  makes this unlikely.

<sup>&</sup>lt;sup>193</sup> Garnier (2006) starts from a formation \* $b^hos$ - $m\acute{o}$ - 'the action of rubbing', postulating a collective \* $b^hs$ -m- $eh_2$  'powder, grating, sweepings' that was complemented with \* $d^heh_1$ - to produce \* $b^hs$ -m- $h_2$ - $d^hh_1$ - ultimately behind Gk. ψάμαθος. His explanation requires several assumptions and complexities. To explain PGm. \*samda- he must propose descent from the same zero-grade pre-form with analogical full-grade \*samda- arising from analogy to \*mulma- ~ \*malma- 'friable'. Lat. \*sabulum would be from a univerbation with a different light verb \* $b^hs$ -é $h_2$  \* $b^huH$ -. He does not have an explanation for why \* $b^hs$ - yields ψ in ψάμαθος but ἄ in ἄμμος.

<sup>&</sup>lt;sup>195</sup> Gk. ψῆφος 'pebble' and ψαφαρός 'loose, rotten, crumbled' attest to \* $b^h$ , but are semantically more remote. If the μμ of ψάμμος/ἄμμος is from \*φμ like in Gk. γράμμα 'letter, writing' < γράφ-μα, it too could attest to \* $b^h$ 

<sup>&</sup>lt;sup>196</sup> Martirosyan (2009: 149) prefers a loan from Iranian (cf. MoP  $\bar{a}w\bar{a}ze$  'swamp') requiring the semantic shift 'swamp' > 'silt' > 'sand', which seems dubious in light of the sandy semantics of the other comparanda. Old Armenian awazan 'pool, bath, basin' could have been borrowed from Iranian, but then it is then a separate lexeme.

evidence of a non-native alternation  $*samad^h$  ~  $*sab(^h)ad^h$ , whose foreign \*s left a double reflex in Greek and whose dental ending is not reflected in Latin.

A further indication of the non-native origin of Latin *sabulum* is the word *saburra* 'ballast sand, grit' whose suffix is distinctly non- or pre-Latin, perhaps Etruscoid (WH II: 458, followed by Deroy 1956a: 184, Furnée 1972: 209) but whose root seems to be the same as *sabulum* (cf. additionally Schrijver 1991: 103, DV 531, *pace* EM 585). <sup>197</sup> Abkhaz *saba* 'dust' might be related, but this is difficult to confirm.

simila 'fine flour'

Pre-form: \*semil- | PItal. \*semil-

Comp.: \*Semidāl- | PGk. \*Semidāl- | Gk. σεμίδαλις 'fine flour'

Aram. samīdā, Akk. samīdu 'a kind of groats' < Akk. samādu 'to grind into groats, to be ground into groats'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: culinary

WH (II: 538), EM (626)

Lewy (1930: 28-9), Güntert (1932: 21 fn. 1), CAD (S 1984: 107, 115-6), EDG (1320)

The Semitic semolina words convincingly have their origin in the Akk. verb  $sam\bar{a}du$  'to grind/be ground into groats' (Lewy 1930: 28, cf. CAD S: 107, 115-6). Gk. σεμίδαλις 'fine flour' has been borrowed directly from a Semitic language (EDG 1320), presumably after the loss of \*s (thus the reconstruction into Proto-Greek or PIE is for the sake of consistency). It attests an additional l-suffix. <sup>198</sup> Lat. simila 'fine flour' is no such direct borrowing, as it has l for d. <sup>199</sup> The derived form  $simil\bar{a}go$  is already found in Cato the Elder (contrary to EM 626 asserting it was borrowed during the Empire). Lewy (1930: 28-9) ascribes the change to the phenomenon often called the "Sabine l", with examples in internal position including  $ole\bar{o}$  'I smell' vs. odor 'smell' and solium 'seat' vs.  $sede\bar{o}$  'I sit'. Solid examples of this poorly understood phenomenon are few, and all of them are in inherited material. It has been proposed that there is a separate  $d \sim l$  alternation in non-IE words (s.v. laurus), which Güntert (1932: 21 fn. 1) thought had

<sup>&</sup>lt;sup>197</sup> In the same vein, Alessio (1944a: 144-6) proposed that Etr.  $zama\theta i$  'gold',  $zam\theta i$  'golden' is related, with the understanding that its semantics would have changed from 'sand' > 'gold' in the context of mining placer deposits, either as a loan from Gk.  $\psi \dot{\alpha} \mu \alpha \theta o \varsigma$  or from the same substrate source. This should be kept in mind for considerations of Etruscan's role in the Italic substrate.

<sup>&</sup>lt;sup>198</sup> Its origin and purpose is unclear. EDG (315) notes the similarity of δενδαλίς 'barley-cake' but - αλις and -αλον otherwise frequently occur in animal names (ὀρταλίς 'hen', συκαλίς 'fig-pecker', πάρδαλις 'panther, leopard', δάμαλις 'young cow' (certainly inherited), κνώδαλον 'wild or harmful animal', ἔταλον 'yearling' (certainly inherited), ἵξαλος '(castrated) he-goat'.

<sup>&</sup>lt;sup>199</sup> This is really an alternation and not somehow a borrowing from Greek. Syncope of a form like \*semidala > \*semidla would be unusual. But even if the word arrived from Greek via some intermediary, \*semidla would yield \*\*semilla. From there, there is no regular way to simplify the geminate.

something to do with Asia Minor. In the case of Lat. *simila*, an *l* replaces a *d* in a word of Semitic origin. Since the change has not affected the Greek borrowing from the same source, it is likely that word was mediated to Latin via another language.

sirpe 'silphium or the juice thereof'

Pre-form: \*sirp- | PItal. \*sirp-

Comp.:  $*Silb^h$ -, \*Selp- | PGk.  $*Silp^h$ -, \*Selp- | Gk. σίλφιον, Hsch. σέλπον σίλφιον

'silphium'

?Berber azlaf, azelaf, aselbu, etc. 'the sea rush Juncus maritimus' 200

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, wild WH (II: 547), EM (629)

Schuchardt (1918: 16), Nehring (1927: 274), Bertoldi (1937a: 144), Alessio (1944a: 124), Ernout (1946: 49), de Simone (1968-70 I: 140), Furnée (1972: 163), CAD (Š Part 1: 247), Breyer (1993: 225), Parejko (2003), EDG (1332)

Silphium was an economically important crop grown in Cyrenaica, famous for being impossible to cultivate, that was highly prized for its flavorful sap (*laser* or *lasserpīcium*<sup>201</sup>). Popularly believed to have been exploited to extinction, descriptions in texts and on coins suggest that it was a species of giant fennel (cf. Parejko 2003).

Given that the origins of the plant are in North Africa, it is not surprising that it is without a doubt a word of non-IE origin. Crucially, Lat. *sirpe* against Gk. σίλφιον, σέλπον points to the word entering Greek with s after the loss of inherited s and attests to an  $l \sim r$  alternation (as well as an alternation in aspiration) that we find in other words of non-IE origin. The source of these words remains unidentified. EM (629) highly suspect Etruscan, and Ernout (1946: 49) elaborated that the Latin is a borrowing of the Greek via Etruscan mediation due to the nominative in s De Simone (I: 140) finds no evidence of this and Breyer (1993: 225) notes that none of the changes that are purported to have occurred have any parallels in other examples of Etruscan-mediated Latin borrowings from Greek. WH (II: 547) correctly reject Schuchardt's (1918: 16) suggestion that the Latin and Greek forms were borrowed from Berber, but Furnée (1972: 163) and EDG (1332) still consider it possible that the Berber forms are an

<sup>&</sup>lt;sup>200</sup> All forms are found in Central Morocco (Ba<sub>6</sub>, Ba<sub>14</sub>, and Ba<sub>15</sub> respectively, as per Schuchardt's 1918: 16 notation). *Azlaf* is also found in Tunisia (Hu<sub>2</sub>), and *aselbu* in North Algeria (De).

<sup>&</sup>lt;sup>201</sup> WH (II: 547) and EM (342, 629) both take *lasserpīcium* from a collocation of *lac + serpicium*, but this smacks of a folk etymology; especially because of Gk. λάσαρον of the same meaning. EDG (835) says it is of unknown etymology. Perhaps it is a borrowing of Lat. *laser*, shortened from *lasserpīcium*, but the vowels do not match. The CAD does not list Assyrian *lasirbitu*, which e.g. Nehring (1927: 274) claims is the source of the Latin but of which WH (II: 547) is doubtful that the reading is correct. CAD (Š Part 1: 247) does however list *šallapānu* 'a plant', which Lévy (1900: 339) used to suggest a Semitic origin for the *sirpe* family. Its meaning is too poorly known to be able to adduce it with any certainty.

independent borrowing from the same source (cf. also Bertoldi 1937a: 144, Alessio 1944a: 124). It should be noted that the Berber forms denote a different plant. If related, because silphium was a North African plant with a comparandum in Berber (a North African language), the language(s) responsible for the  $l \sim r$  alternations we find might have something to do with North Africa. Bertoldi (1937a: 144) purported to notice a similar alternation between Basque *zaldi* 'horse' and Berber *a-serdun* 'mule', where the Berber form is preceded by an a and there is an  $l \sim r$  alternation. However, the inclusion of Basque does not help to more precisely locate the source of alternation; it at least still limits it to the Mediterranean.

sōrex 'shrew'

Pre-form:  $*s(\underline{u})\bar{o}r$ -Vk- | PItal.  $*s\bar{o}rVk$ -

Comp.: \*suo/ur-ak- | PGk. \*surak- | Gk. ὕραξ 'shrew'

\*sur-(V)g- | PGm. \*s(w)ur(V)ka- | OSw. surk 'mole, vole, shrew'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: animal, wild

Pokorny (1049-50), WH (II: 563), EM (647), DV (576)

Kock (1909: 84), Hellquist (1922: 827), Chantraine (1933: 376-83), Ernout (1946), Vine (1999a: 572-3), EDG (1563), Beekes (2014: 32), Kölligan (2017: 369-70), Wigman (fthc.)

The traditional explanation is to connect Lat.  $s\bar{o}rex$  and Gk.  $\tilde{v}\rho\alpha\xi$  'shrew' to a PIE root \* $s\mu er$ - 'to resound', cf. also susurrus 'whisper' and surdus 'deaf, silent' (WH II: 563, Pokorny 1049-50). As to the irregular vocalic correspondence between Latin and Greek, Vine (1999a: 572-3) treats the Greek form as an example of Cowgill's Law in the environment of \*(-) $T\mu oR$ -> \*(-) $T\mu uR$ -. Thus he begins with an original root noun to the root \* $s\mu er$ - with \* $\bar{o}/o$  ablaut rather than unmotivated \* $\bar{o}/\phi$  ablaut (cf. ardea, s.v.). The semantic argument smacks of a folk etymology, but Latin literature contains references to 'singing' shrews (in Pliny's Nat.Hist. 8.82: 223, they interrupt the auspices). Shrews in reality are quite vocal, with evidence that they use their voice for echolocation.

Some are unconvinced (EM 647) and prefer a substrate origin (DV 576, EDG 1536). The Greek  $-\alpha\xi$  indeed occurs frequently on words of obscure etymology, many of which are likely not native to Greek (EDG 1536 and Beekes 2014: 32 consider it a Pre-Greek suffix). But it also appears on inherited bases (cf. Chantraine 1933: 376-83<sup>202</sup>). Thus, not every word with an -ak suffix must be Pre-Greek. (Cf. the proposed pathway in Kölligan [2017: 369-70] whereby  $-\alpha\xi$  can be inherited, when secondary to  $-\bar{\alpha}\xi < *eh_2-k-s$ .) A similar situation occurs for the Latin suffix -ex (Wigman fthc. with lit.).

 $<sup>^{202}</sup>$  Cf. κόραξ 'raven' and δέλφαξ 'sow' where it was added to an IE root perhaps due to its frequency in animal names.

The Germanic comparanda (OSw. masc. *surker*, neut. *surk*, Sw. *sork* 'mole, vole, shrew, ODan. *syrcha mych* 'rat excrement?') suggest that this family is not inherited. Assuming a loan from  $s\bar{o}rex$  has phonological problems (Kock 1909: 84, Hellquist 1922: 827). As independent comparanda, they would have to stem from the zero-grade of \**syer*-, requiring the suspicious \* $\bar{o} \sim \phi$  ablaut mentioned above. Furthermore however, in stemming from a PGm. \**s*(*w*)*ur*(*V*)*ka*- (p.c. Guus Kroonen) they attest to the same \**k* ~ \**g* alternation of the velar suffix as seen in *filix* and *fulica* (s.v.). The *u* vocalism of the Greek form is thus likely original and in irregular alternation with  $\bar{o}$  of the Latin.

taeda 'pine; pine branch; torch'

Pre-form: \*th2eid- | PItal. \*taidā-

Comp.: \*deh<sub>2</sub>u- | PGk. \*daiwid- | Gk. δαΐς, -ίδος 'torch'

?Berber tayda 'Aleppo pine'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, tree / tool

WH (II: 642), EM (673)

Wood (1910: 307), Charpentier (1917: 46), REW (no. 8520), Pfiffig (1969: 37), Biville (I: 221), Breyer 1993: 229-30, Rix (2008: 145-6), EDG (298), El Arifi (2014: 468)

WH (II : 642) and EM (673) take Lat. *taeda* as a borrowing from the accusative of Gk.  $\delta\alpha i \varsigma$  'torch', having gone through Etruscan to account for the initial devoicing. The Greek word potentially has a good etymology, derived from the verb  $\delta\alpha i \omega$  'to kindle' < \* $deh_2u$ - 'to burn' (EDG 298).

Given that the primary meaning of *taeda* seems to have been a resinous species of pine (cf. EM 673), some have preferred a derivation from \* $teih_{I^-}$  'to become warm' (\* $t\bar{a}i$ - in Wood 1910: 307, Charpentier 1917: 46), especially in comparison to OE  $p\bar{n}nan$  'to become moist', having undergone a semantic development 'to become warm' > 'to melt/thaw' > 'to become moist'. This would be a parallel for the running, flammable pitch from the tree. However, EDG (298) lists several Greek forms that also refer to pine and its resin: cf. δάδινος 'pertaining to the torch, made of pine-wood' and δαδώδης 'resinous'; thus the Latin and Greek words could still be related, albeit irregularly.

De Simone (1970 II: 102 fn. 49) rules out Etruscan intermediation, presumably for the same reason that Biville (I: 221) questions it: the voiced word-internal d of the Latin form. Etruscan is often touted to have had no voiced consonants. However, there are cases where Etruscan consonants in names were perceived by Latin speakers as voiced

<sup>&</sup>lt;sup>203</sup> Lat. *daeda* is attested in a late gloss. Biville (I: 221) interprets it simply as a late transcription of the Greek word. But this seems difficult to reconcile with the fact that it is this form that made it into some Romance forms like Rom. *zadă* and Sicilian *deda* (cf. REW no. 8520). The form behind the Romance languages might be a re-borrowing directly from Greek, or attest to a  $t \sim d$  alternation within Latin.

(cf. Pfiffig 1969: 37,<sup>204</sup> Rix 2008: 145-6), so the shape *taeda* is not so problematic after all. More problematic is the lack of any attested Etruscan forms that resemble this that could be the source form (cf. Breyer 1993: 229-30). In any case, the Latin is not a regular borrowing from Greek and, if borrowed, has undergone mediation; whether this was by Etruscan simply cannot be confirmed.

Berber forms of the shape tayda meaning 'Aleppo pine' (cf. e.g. El Arifi 2014: 468) are identical to Latin in form and (presumed original) meaning. Within Berber, the lexeme seems to comprise the feminine ta-prefix, but this could be a reanalysis. Neither a borrowing from Latin nor a borrowing from the same substrate source as Latin can be ruled out. Theoretically, the Greek words, like the Latin, only secondarily came to mean torch from an original sense of resinous pine tree, making them only coincidentally similar to the verb  $\delta\alpha$ i $\omega$  'to kindle'. In sum, there is a chance that the Latin, Greek, and Berber words are from a substrate source. If not, then Lat. taeda at least has been indirectly transmitted from Greek through an unknown language.

turdus 'thrush vel sim.'

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Pre-form: *t(o/u)r(s)d(ħ²)- | PItal. *to/ur(z)do-
*trosd(ħ)- | PCelt. *trozdi- | MIr. truit, truid 'starling', etc.
*drosd(ħ)- | PSlav. *drozdъ | Ru. drozd 'thrush'

*trosd- | PGm. *prastu- | ON prǫstr 'thrush'
*tr(u)st/d(ħ)-(s)k- | PGm. *prusk(j)ōn- | OHG thrōsca, drōsca 'trush',
OE prysce 'thrush'

*strosd(ħ)- | PBalt. *strozdo- | Lith. strāzdas, Latv. strazds 'thrush,
blackbird'

*stroudħ- | PGk. *stroutħo- | Gk. στροῦθος, στρουθός 'sparrow vel
sim.'

*droud- | PArm. *artout- | Arm. artoyt 'lark'

■ Irreg. correspondences
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Semantics: animal, bird

Pokorny (1096), WH (II: 718), EM (708), DV (634)

Hamp (1981: 81), Matasović (2009: 392), Meiser (2010: 63), Kroonen (2013: 545), Derksen (2014 s.v. *strazdas*), Zair (2017: 263, 266, 285), Matasović (2020: 335), Weiss (2020: 104), Stifter (fthc.), Thorsø (fthc.)

<sup>&</sup>lt;sup>204</sup> CIE 832 AR·PABASSA / ARNTHAL·FRAVNAL spells Etr. *ar*(*nθ*).*papasa* / *arnθal fraunal*. CIE 959 THANNIA TREBO spells Etr. *θania trepu*. While the Pyrgi bilingual spells Etr. *θefarie*[i] *velianas* with Phoen. TBRY? WLNŠ, the Etruscan name itself is from Lat. *Tiberius*. Thus it cannot be ruled out that the Phoenician version reflects the more common form of the name.

The first vowel of Lat. turdus can reflect \*u as well as \*o, or \*r via the relatively irregular change  $*o > u/_rC$  (cf.  $furnus \sim fornus$  'oven'). Given that several comparanda reconstruct to \*-ro-, DV (634) prefers \*r.  $^{205}$  It could then be interpreted as the zero-grade of a root  $*(s)terd^h$ - found elsewhere in the o-grade. But West Germanic forms may also attest to u vocalism (Thorsø fthc., cf. Kroonen 2013: 545) and other attestations of this root offer problems.

Italic, Celtic, and Germanic attest to initial \*t, but the Slavic form starts with \*d. Lithuanian and Latvian attest to initial \*s, as if with s mobile, but OPr. has tresde 'thrush' (cf. Derksen 2014 s.v. strazdas). Likewise beginning with \*s are Gk. στροῦθος, στρουθός 'sparrow vel sim.' (cf. Hamp 1981: 81, EDG 1415). While Hamp links the problem with the vocalism to the shift in meaning, this is not an explanation. Nor is it simply a matter of vocalism. The Greek forms lack the internal sibilant, <sup>206</sup> a situation reminiscent of  $frac\bar{e}s$  (s.v.). The Greek forms also attest to \*dħ. While the Celtic, Slavic, and Baltic forms can reconstruct to \*dħ or \*d, <sup>207</sup> ON *prostr* requires \*d.

Hamp (1981: 81) and Kroonen (2013: 545) compare Arm. tordik 'thrush'  $<*dorzd^h$ , but this form is suspicious. Since the form occurs only in one dictionary compiled in Italy, it might be a loan from a Romance form like Italian tordo (Thorsø fthc. fn. 7). More likely to be an independent comparandum is Arm. artoyt 'lark' <\*droud- (Thorsø fthc.). Like Italic, Celtic, Germanic, and Slavic, it lacks the initial sibilant and like Greek, it also lacks the internal sibilant. The quality of the dentals also matches various other branches. The irregularities between attestations of this lexeme makes it likely to be of non-inherited origin (cf. Matasović 2020: 335, Stifter fthc.).

# 2.2.2.2 Non-inherited Origin is Possible

adeps, -ipis 'fat, lard'

Pre-form:  $*h_2edH/ep$ - | PItal. \*ada/ep-

Comp.: \*h2elH/ep- | PRom. \*ala/ep- | Middle French auve 'lard', etc.

\* $h_2 le/oib^h$ - | PGk. \* $ale/oip^h$ - | Gk. ἄλειφα(ρ) 'unguent, oil', etc.

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: culinary

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<sup>&</sup>lt;sup>205</sup> Another pathway to *u*-vocalism from \*r would be an irregular development of \*r to ur that is proposed to underlie e.g.  $curr\bar{o} < *\dot{k}_{rs}$ - and  $curtus < *k_{rtos}$ - (Meiser 2010: 63, Weiss 2020: 104). Zair (2017: 263, 266, 285), who also prefers \*r, groups turdus with the words that show \*r > ur due to borrowing from Umbrian.

<sup>&</sup>lt;sup>206</sup> Within Celtic, the Brythonic forms (W *trydw*, OCo. *troet*, etc. 'starling') cannot reconstruct to a proto-form with an internal sibilant either. They could reflect PCelt. \**troddi*-, with a strange geminate, or be loans from Irish (Stifter fthc.).

<sup>&</sup>lt;sup>207</sup> It is unclear if Lat. *turdus* can reflect \*- $rzd^h$ -. The chronology of the changes \*rzd > rd and \* $rd^h$  > rb is unknown because both are pre-literary, so it is difficult to rule out the possibility that \* $t(o/u)rzd^h$ - should have yielded \* $to/urd^h$ -> \*\*to/urb-.

WH (I: 12), EM (9), DV (24)

Buck (1904: 69), Sperber (1917: 541), Brüch (1919b: 196-7), REW (no. 161), Bottiglioni (1943: 321), FEW (XXIV: 138), Meiser (1986: 216-18), Giacomelli (1994: 31-2), Untermann (2000: 360), Weiss (2010a: 284-94), EDG (64)

Lat. *adeps* is often compared to U **ařepes** [dat.abl.pl.], whether borrowed from it or cognate with it.<sup>208</sup> But a close reading by Weiss (2010a: 284-94) of the passages in which **ařepes** appears shows that there is no reason to assume it means fat at all, and it more likely means something like 'prayers'. Thus, it probably has nothing to do with Lat. *adeps* (cf. also DV 24).

Otherwise adeps is suspected of being a loan from Gk. ἄλειφα(ρ) 'unguent, oil', ἀλοιφή 'anointing, ointment, grease' (Sperber 1917: 541, Brüch 1919b: 196-7, REW no. 161, Bottiglioni 1943: 321, WH I: 12 with lit., FEW XXIV: 138, Giacomelli 1994: 31-2, EDG 64), but it cannot have been direct given the Latin d and short monophthong. Latin variants with l are found in the Appendix Probi and several Romance descendants (e.g. Old French awe, Middle French auve, Logudorese abile [metathesized] 'lard', etc.). This has either been interpreted as remnants of the original Greek form in the face of a change to d in adeps (Sperber 1917: 541, Brüch 1919b : 196-7, REW no. 161) or simply late/vulgar (WH I: 13). The poorly understood Latin \*d > l change labeled the "Sabine l" cannot be responsible in either case (the change goes in the opposite direction of the former and occurred too early for the latter). If the Romance forms are taken at face value, they indicate an original  $l \sim d$  alternation within Italic. If the Greek forms are inherited, this represents an example of a Greek word that was mediated to Latin indirectly via a third language. But as the Greek words do not have a bulletproof etymology (EDG 64 considers, but is not fully convinced of substrate origin), both the Italic and the Greek forms could be independent loans. Notably, this is the opposite of the correspondence in Lat. *laurus* ~ Gk. δάφνη.

alaternus 'buckthorn (Rhamnus alaternus)'

Pre-form: \*h<sub>2</sub>elH/V-ter-(i)no- | PItal. \*alater(i)no-Comp.: ?MoGk. (Cretan) ἐλαίτρινος 'buckthorn'

■ Irreg. correspondences 
□ Remarkable phonotactics

Semantics: plant, wild

WH (I: 26), EM (19)

Billerbeck (1824: 53), REW (no. 312), Niedermann (1916: 152), Bertoldi (1928: 233 fn.

<sup>&</sup>lt;sup>208</sup> Meiser (1986: 216-18) proposed \*ad-lipa- < \*leip- 'to stick' underwent Sabellic developments and was borrowed into Latin (cf. also EM 9). Given a few cases of Umbrian ř for inherited \*l (kařetu 'to call' < \*kalē-tōd, fameřias for familiae, cf. Buck 1904: 69, Untermann 2000: 360), WH (I: 12) consider transmission of Gk. ἄλειφα (perhaps via Etruscan) through Umbrian to Latin. This would also account for the monophthongization of Gk. ει.

3), Battisti (1931: 648 fn. 4), Alessio (1941b: 183), Ernout (1946: 30), Holmes (1947), Carnoy (1959: 114-15), Battisti (1960: 370, 373-4), Wagner (1960-4 I: 67), Paulis (1992: 417), Breyer (1993: 404-5), Weiss (2020: 128-9)

Lat. *alaternus* is often considered a classic example of a potentially Etruscan borrowing through a combination of its religious semantics, <sup>209</sup> its *-rn-* suffix, and its lack of a good IE root etymology (cf. Niedermann 1916: 152, Ernout 1946: 30). <sup>210</sup> There are however reasons to doubt that *-rn-* is Etruscan everywhere that it appears (cf. Holmes 1947). Nor is any similar word attested in Etruscan. <sup>211</sup>

Alessio (1941b: 183) suggests a pre-form \*alater because, while many Romance languages reflect the form with -rn- (Perugian laterno, Prov. aladern, Sp. aladierno etc., REW no. 312), It. ilátro 'Rhamnus alaternus' attests to \*alater. This is reminiscent of the situation in Lat. calpar ~ PCelt. \*kelφurno- (s.v.). Beyond Latin and Romance, comparanda are difficult to confirm. Bertoldi (1928: 233 fn. 3) notes several plant names with obscure morphemes beginning with \*al-,²12 including Sicilian alastra and Mortala Ligurian la lastra < \*alastra 'broom' (note the -str- element). To this, Wagner (1960-4 I: 67) adds Barbagian aláse 'holly', and Urzulei alaθùli, recorded as meaning 'laurel', but which he argues also likely means 'butcher's broom'. For the same reason (several dialects that call broom and holly 'spiny laurel'), Paulis (1992: 417) suggests it means 'holly'. They all have in common the thorny excrescences on their leaves "questo è tutto ciò che si può dire per il momento". Battisti (1960: 373-4) compares the alastra group to alaternus directly, then (1960: 370) suggests a case could be made for a Mediterranean word if it is linked with Gk. ἀτάλομνος 'plum tree'. This latter point would however require metathesis.

Instead, the best comparison is Alessio's (1941b: 185) Cretan Greek ἐλαίτρινος 'Rhamnus alaternus' (cf. Billerbeck 1824: 53). Between it and alaternus, the semantics are identical but neither can easily be a borrowing from the other. The vocalic alternation suggests independent loans from a third source. The Greek word might show that alaternus does not have the Etruscoid -erna suffix at all (or an n-suffix like PCelt. \*kelqurno-), but rather a sequence syncopated from \*-erino suffix (cf. inherited hībernus < \*ģ'eimr-ino-). If both words are independently borrowed and yet both have the suffix, it was either present in the donor language or both coincidentally added the same

<sup>&</sup>lt;sup>209</sup> Tarquitius Priscus apud Macrobius (Saturnalia 3.20.2-3): arbores, quae inferum deorum avertentiumque in tutela sunt, eas infelices nominant: al(a)ternum, sanguinem filicem, ficum atram, quaeque bacam nigram nigrosque fructus ferunt, itemque acrifolium, pirum silvaticum, pruscum rubum sentesque quibus portenta prodigiaque mala comburi iubere oportet. 'Trees that are under the protection of the gods of the underworld and apotropaic ones that they call 'unlucky': buckthorn, blood-red(?) fern, and those that bear a black berry or black fruits, also holly, wild pear, broom (if \*ruscum for pruscum), briar, and the brambles with which one should order that bad portents and prodigies be burnt.'

<sup>&</sup>lt;sup>210</sup> Breyer (1993: 404-5) also suggests that the lack of weaking of a > e is irregular and might be a form of vowel harmony, but this could simply be due to the *alacer* rule (cf. Weiss 2020: 128-9).

<sup>&</sup>lt;sup>211</sup>The form  $al\theta ia$  given by Battisti (1931: 648 fn. 4) is a ghostword (Breyer 1993: 404).

<sup>&</sup>lt;sup>212</sup> Carnoy (1959: 114-15) interprets this as a lexeme meaning 'red' as found in many tree names (*alnus*, *ulmus*, etc.), but this is impossible.

inherited suffix.

ālium 'garlic'

Pre-form:  $*aG^hl$ -jo- | PItal.  $*a\chi oljo$ -

>? PBerb. \*agVlum | Awjila agílum, Ghadames ağelum 'garlic'

Comp.: \* $gegl-iHd(h)-|PGk.*gegl\bar{\iota}d/th-|Gk.*\gamma\acute{\epsilon}\gamma\lambda\bar{\iota}\varsigma > \gamma\acute{\epsilon}\lambda\gamma\bar{\iota}\varsigma$  'garlic'

\*a-Gl-iHd<sup>h</sup>- | PGk. \*aglīt<sup>h</sup>- | Gk. ἄγλῖς 'garlic'

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, domestic

Pokorny (33), WH (I: 30), EM (21), DV (33)

Frisk (1960-72 I: 295), Chantraine (1968-80: 214-15), Furnée (1972: 194, 390), Weiss (2010b), EDG (13, 265), Kroonen (2012b), Schrijver (2018: 362), Weiss (2020: 169, 142-3, 176-7), Kroonen (fthc.)

Lat.  $\bar{a}lium$  has resisted analysis do to the lack of well understood comparanda. Pokorny (33) linked it and  $\bar{a}lum$  'comfrey' to Skt.  $\bar{a}lu$ - 'the edible root of Amorphophallus paeoniifolius' (EWAia III: 25 is skeptical) through \* $\bar{a}lu$ -, \* $\bar{a}lo$ - 'bitter plant'. This connection is given as the most probable so far by WH (I: 30), although EM (21) are suspicious and suggest that a word of this sort might not be inherited. DV (33) proposes a derivation within Italic from  $\bar{a}la$  'wing'. Kroonen (2012b) instead suggests a connection with two Greek words for garlic:  $\check{\alpha}\gamma\lambda\bar{\imath}\varsigma$  and  $\gamma\acute{\epsilon}\lambda\gamma\bar{\imath}\varsigma$ .

The two Greek words are likely from the same root, with  $\gamma \hat{\epsilon} \lambda \gamma \bar{\iota} \varsigma < *\gamma \hat{\epsilon} \gamma \lambda \bar{\iota} \varsigma$  via metathesis. It could have been formed via reduplication (Frisk 1960-72 I: 295, Chantraine 1968-80: 214-15, EDG 13, 265), but Kroonen (2012b) proposes a borrowing from Akk. *gidlu* 'braided string, string of garlic' with \*-δλ- > -γλ- like in γλυκύς 'sweet'. Gk. ἄγλ $\bar{\iota}$ ς would be an *a*-prefixed form (\**a*-*gdl*- or \**a*-*ggl*-, cf. also Schrijver 2018: 362), suggesting that *gidlu* reached Greek through a substrate language. That Semitic is the source rather than an independent borrowing from a third source is indicated by Akk. *gidlu* being a specific semantic derivation of the Semitic root *gdl* 'to braid.' The oblique forms of the two Greek words could be variants of the Pre-Greek vθ-suffix, and the alternation between - $\bar{\iota}$ θ- and - $\bar{\iota}$ θ- in the oblique of  $\gamma \hat{\epsilon} \lambda \gamma \bar{\iota}_{\varsigma}$  led Furnée (1972: 194, 390) and EDG (13, 265) to propose a Pre-Greek origin for the word (further adducing  $\sigma \kappa \epsilon \lambda \lambda \hat{\iota}_{\varsigma}$ , - $\hat{\iota}$ θος 'garlic' and therefore a \**g* ~ \**k* alternation). But Kroonen (fthc.) notes that some of the cases of - $\bar{\iota}$ ς, - $\bar{\iota}$ θος nouns, which look like non-nasal variants of the vθ-suffix, are secondary, triggered by analogy after the Attic-Ionic merger of - $\bar{\iota}$ νθ- and - $\bar{\iota}$ - stems (e.g.  $\check{\sigma}$ ρν $\bar{\iota}$ ς, - $\bar{\iota}$ θος 'bird'). Thus they may not attest to original Pre-Greek morphology after all.

While Gk.  $\gamma \hat{\epsilon} \lambda \gamma \bar{\iota} \zeta < *\gamma \hat{\epsilon} \gamma \lambda \bar{\iota} \zeta$  could derive from a root shape \*GeDL and  $\check{\alpha} \gamma \lambda \bar{\iota} \zeta$  could be from \*aGDL (if we assume \*gdl > Gk.  $\gamma \lambda$ ), it is difficult to get Lat.  $\bar{a}lium$  from \*GDL. There are no otherwise known examples of the reflex \*gdl in Italic, but if we assume

\*gdl > \*dl, then \*agdlio could yield attested Lat. allium (cf. sella < \*sed-la-). Weiss (2010b) finds no certain cases of the *littera* rule occurring with  $\bar{a}$  followed by l and suggests the spelling ālium might actually represent \*alljum. Thus the explanation of *allium* < \**adlio*- < \**agdlio*- could be sufficient.

But Berber forms point to the persistence of a velar rather than a dental. Marijn van Putten (apud Kroonen fthc.) reconstructs \*agVlum 'garlic.' In loans from Latin, an -m is usually never preserved, probably because they were borrowed at time when it was no longer pronounced in Latin. Unless from a different source entirely, this requires a very old loan into Berber (Maarten Kossmann, p.c.)—perhaps old enough to preserve a trace of the Italic velar.<sup>213</sup>

Thus an alternative focuses on an explanation of ālium. The spelling allium occurs in inscriptions from the 1st c. CE onwards, whereas ālium seems to be the more correct, older spelling (cf. TLL s.v. ālium). Given that the Greek forms can also have developed from \*GeGL and \*aGGL, perhaps there was no dental involved.<sup>214</sup> In that case, Gk. ἄγλῖς is from \*aggl- with geminate simplification. The same formation can yield Lat. ālium if it entered Proto-Italic with a voiced aspirate. From there it can have undergone the development  $*ag^h(g^h)l > *a\gamma(\gamma)ol > *aol > \bar{a}l$  (for the vowel contraction cf.  $M\bar{a}vors$  $> M\bar{a}rs$ ).

We can cautiously propose that Gk.  $\gamma$ έλ $\gamma$ īς ~ Gk. ἄγλῖς, Lat.  $\bar{a}$ lium constitute an example of the a-prefix and attest to a \* $g \sim *g^h$  alternation.

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aper 'boar'
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Pre-form:
              *h2ep-ro- | PItal. *apro-
              *h<sub>2</sub>ep-r-ōn- | PItal. *aprōn- | U abrunu [acc.sg.], etc. 'boar'
              *h<sub>1</sub>ep-r- | PGm. *ebura- | OE eofor, OHG ebur 'boar', etc.
Comp.:
              *h<sub>1</sub>ep-er- | PGk. *epero- | Aeol. ἔπερος 'ram'
              *uep-r- | PBS1. *weprios- | Latv. vepris 'castrated boar', OCS veprb
                     'boar', etc.
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■ Irreg. correspondences □ Remarkable phonotactics

Semantics: animal, wild

Pokorny (323), WH (I: 56), EM (38), DV(46)

Skutsch (1901-3: 67), Meillet (1925: 9), Chantraine (1933: 221), Chantraine (1968-80: 324-5), Frisk (1960-72 I: 468), Schrijver (1991: 29-30), Untermann (2000: 44-6),

<sup>&</sup>lt;sup>213</sup> Loans of this age from Latin into Berber are otherwise unknown, as are any non-Latin Italic loans into Berber (Maarten Kossmann, p.c.).

<sup>&</sup>lt;sup>214</sup> If indeed ultimately from Akkadian, perhaps the sequence GDL had been simplified to GGL in the substrate donor language (which, given the a-prefix, was the more proximal source of the words in Latin and Greek). Note that in γλυκύς, the development of  $*\delta\lambda > \gamma\lambda$  is considered ad hoc.

Derksen (2007: 515), Kroonen (2013: 114, 457, 589), EDG (438), Barrios-Garcia & Ballari (2012: 2284), Šorgo (2020: 461)

Italic \*apro- is explained to be from \*epro- with contamination from caper 'goat' (Skutsch 1901-3: 67, followed in e.g. WH I: 56, Schrijver 1991: 30, DV 46). Given the Umbrian derived forms (cf. Untermann 2000: 44-6), the contamination would have to have occurred in Proto-Italic. This is reminiscent of the suggestion that OIr. gabor has its g from \*g\*haid\*h- (s.v. caper), but at least in this case, the proposed form is actually attested. Kroonen (2013: 114) suggests taking the vocalic alternation at face value, and in light of the irregularity of some of the other comparanda, I agree this is the best way forward. Balto-Slavic attests to boar words of a very similar shape except that they have an otherwise unexplained initial v (Derksen 2007: 515).

Aeol. Gk. ἔπερος 'ram' looks like a reflex of this boar word, and is adduced into the family by Meillet (1925: 9). EDG (438) however follows Chantraine (1968-80: 324-5) and Frisk (1960-72 I: 468) in strictly rejecting it, connecting it rather to εἷρος 'wool' via a compound with ἐπι, thus 'who carries wool'. Given the attestation of Hsch. ἔβρος τράγος βατής 'a he-goat that mounts', often suspected of being related to the boar word family (Pokorny 323, Schrijver 1991: 29, DV 46), it seems quite likely that ἔπερος 'ram' and κάπρος 'boar' are simply relatives of Lat. aper and caper that have switched meanings.

If all of these words indeed belong together, they attest to an  $a \sim e$  vocalic alternation. The v-element in Balto-Slavic is strange, but has been compared to an element \*wi- analyzed as a prefix in PGm. \*wisund- 'wisent' and Gaulish uisumarus 'clover' (Kroonen 2013: 457, 589; Šorgo 2020: 461). Its rarity and lack of a clear distribution make this difficult to confirm. It is interesting that a word for boar should have been borrowed from a non-IE language, as the range of the wild boar extends across Europe into the steppe (cf. Barrios-Garcia & Ballari 2012: 2284).

## arāneus 'spider'

Pre-form:  $*h_2erh_2(k-)s-n-$  | PItal. \*ara(k)snejo-

Comp.: \*h<sub>2</sub>erh<sub>2</sub>k-s-n- | PGk. \*arak<sup>h</sup>nā- | Gk. ἀράχνη etc. 'spider'

□ Irreg. correspondences ■ Remarkable phonotactics

Semantics: animal, wild; insect<sup>216</sup>

Pokorny (55-61), WH (I: 61-2), EM (42-3), DV (49)

Curtius (1894: 398), Lewy (1895: 121-2), Lidén (1905: 507-8), Walde (1910: 54-5), Ogle (1945: 132), Gil Fernández (1959: 24-6), Beekes (1969: 34), Biville (I: 813),

<sup>&</sup>lt;sup>215</sup> This in part led Meillet (1925: 9) followed by EM (38) to propose that a root 'goat/boar' \*aper sometimes received a k-prefix (Lat. caper, Gk. κάπρος 'boar', etc. cf. also Chantraine 1933: 221) and in Balto-Slavic a  $\nu$ -prefix.

<sup>&</sup>lt;sup>216</sup> In modern biological taxonomy, not an insect but rather an arachnid.

Martirosyan (2009: 270), EDG (123), Rosoł (2013: 18, 162), Cunningham (2018-20 I: 317), Weiss (2020: 183), Höfler & Nielsen (2022)

Lat.  $ar\bar{a}neus$  (also occurring as fem.  $ar\bar{a}nea$ ) is sometimes suspected of being a loanword from Gk. ἀράχνη (cf. EM 42-3), but this cannot be the case. Early loans from Greek substitute c for  $\chi$  (Biville I: 183), and before n this should probably have given gn (cf. dignus < \*deknos, Weiss 2020: 183), which would not disappear. Additionally, Gk.  $\chi\mu$  was borrowed into Lat. as -cum- (cf.  $dracuma < \delta \rho \alpha \chi \mu \dot{\eta}$ , DV 49) and in later loans, even Gk.  $\chi v$  was borrowed with an anaptyctic vowel (cf.  $\tau \dot{\epsilon} \chi v \dot{\alpha}$  'trick' > Lat. techina, Weiss 2020: 183). Thus we expect some remnant of borrowed  $\chi$  regardless of the age of the loan. Instead, the most likely scenario is that Latin and Greek go back to the same pre-form like \* $araksn\bar{a}$ - (WH I: 61-2, Gil Fernández 1959: 25, EDG 123), cf. environment in \*I(elo)uk-sn- > Gk.  $\lambda \dot{\nu} \chi vo\varsigma$  'lamp', Lat.  $I\bar{u}na$  'moon' (Biville I: 183).

The pre-form \*araksnā- is difficult to reconstruct to PIE, however. An inherited root shape \*HerHk- does not seem possible, so Gil Fernandez (1959: 24-6) suggests the velar element is a \*k-enlargement.² But on an otherwise unattested root, this is suspicious as well. The difficulties suggest an originally non-IE disyllabic root.

Höfler and Nielsen (2022) have most recently argued for a root  $*h_2reh_2g$ - 'to weave' behind the Latin and Greek forms. Gk.  $\dot{p}\dot{\omega}\xi$  in the meaning 'venomous spider' could be an agentive root noun  $*h_2roh_2g$ -s- 'weaver' with initial laryngeal loss due to the de Saussure Effect. They propose that the original s-stem of which  $*araksn\bar{a}$ - is a double-zero-grade derivative is still present in Gk.  $\dot{p}\ddot{\eta}\gamma\sigma\zeta$  'rug, blanket'  $<*(h_2)reh_2g$ -os. The pre-form  $*araksn\bar{a}$ - would have arisen in both Latin and Greek via the palma rule form  $*h_2\dot{r}h_2g$ -s- $neh_2$ -. However, as seen from  $\dot{p}\ddot{\eta}\gamma\sigma\zeta$ , to accept this etymology, we must also accept (1) a rule for Greek where  $*#h_2RVh_2C$ -  $>*#RVh_2C$ - and (2) that a root reconstructible for Latin and Greek alone can be projected back to PIE. Thus I remain not fully convinced that an Indo-European etymology has been found.

Interpretations of Lat./Gk. \*araksnā- as a loan from Hebr. arāg 'to weave' (hesitantly Lewy 1895: 122) are rejected by Rosoł (2013: 162) on semantic grounds. On the theme of spinning/weaving, Curtius (1894: 398) compared Gk. ἄρκυς 'net' and Hsch. ἀρκάνη τὸ ῥάμμα, ῷ τὸν στήμονα ἐγκαταπλέκουσι διαζόμεναι 'thread with which the warp is intertwined when they are setting it up in the loom.' Lidén (1905: 507-8) rejected the link with \*araksnā- in favor of a connection to Gk. ἄρκευθος 'juniper' and Balto-Slavic words for willow. EDG (132-3) prefers keeping all forms separate for semantic and morphological reasons. Walde (1910: 54-5) mentioned a possible relationship with OE renge, rynge 'spider, spider's web' (cf. Beekes 1969: 34, whose reconstruction does not work due to Kluge's Law). Proto-Germanic \*rengjo- could reconstruct to \*Hrŋgh-jeh₂- alongside Gk. ἀράχνη < \*h₂rŋgh-neh₂-, but the Latin form cannot

 $<sup>^{217}</sup>$  He notes Hsch. ἄρασιν ἀράχνην in Latte's edition of Hesychius, taking it at face value against ἄραριν elsewhere to suggest it represents a *si*-suffixation of the root *ara*-. As Cunningham (2018-20 I: 317) notes however, the actual codex unicus of the manuscript has ἄραριν.

accommodate this pre-form. The Germanic form, if we assume metathesis, would look similar to Martirosyan's (2009: 270) explanation for Arm. \*ernjak 'spider' as a form with regular prothetic e before original initial r in \*ra(K)nj- < \*raKn- $jeh_2$ -. But as Rasmus Thorsø (p.c.) has pointed out, \*ernjak, corrected from attested  $\bar{e}rnjak$ , occurs only in the Erzurum dialects, and is almost certainly a loan from Turkish  $\ddot{o}r\ddot{u}mcek$ , erimcak 'spider' (from  $\ddot{o}rmek$  'knit, weave'). <sup>218</sup> In the end, OE renge, rynge is likely simply borrowed from Old French. Once attested is reingne, which seems to be a variant dialectal form for araigne, iraigne, and yrainne etc. attested elsewhere (Ogle 1945: 132).

The Latin and Greek forms remain isolated. If the full root is indeed \*arak-, a reconstruction of \* $h_2erh_2k/g$ - or perhaps \* $h_2g'h_2k/g$ - does not look to be a valid PIE root structure. Instead, they are likely loans.

#### ardea 'heron'

Pre-form: \*H(e)rd- | PItal. \*ardeja-

Comp.:  $*h_1r\bar{o}d$ -,  $*h_1roHd$ -,  $*h_1reh_3d$ - | PGk.  $*er\bar{o}d$ - | Gk. ἐρφδιός 'heron'

?\*  $h_{2/3}erd$ -, \*(H)ord- | PGm. \* $art\bar{o}(n)$ - 'teal/garganey/wagtail'

?\*Hrod<sup>h</sup>- | PSlav. \*rodà- | SCr. róda 'stork'

 $?*h_1reh_2d- | Arm. arat 'stork'$ 

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: animal, bird; aquatic

Pokorny (68), WH (I: 64), EM (45), DV (52)

Cuny (1910: 160), Frisk (1960-72 I: 572), Ciorănescu (1958-66 s.v. *ráță*), André (1967: 33), Chantraine (1968-80: 337), FEW (X: 420-1), Puhvel (I: 176), Schrijver (1991: 65, 314), Schrijver (1997), Orel (1998: 374), Tótfalusi (2001 s.v. *réce*), Derksen (2007: 437), Martirosyan (2009: 126), EDG (464, 468), Matasović (2020: 339)

Greek ἐρφδιός 'heron' is the most secure comparandum for Lat. *ardea* 'heron', both semantically and formally.<sup>219</sup> In light of the well-attested variant ἐρωδιός, the *iota subscriptum* is likely secondarily built on other endings in -ίδιος (WH I: 64, EDG 464, etc.). EDG takes the Greek variants ἀρωδιός and ῥωδιός at face value, as did Cuny (1910: 160), consequently proposing Pre-Greek origin. The former variant is late (from

<sup>218</sup> The first to notice this seems to have been Vahagn Petrosyan on Wiktionary (wiktionary.org/wiki/էրնցակ, accessed Feb. 7, 2022).

<sup>&</sup>lt;sup>219</sup> Vennemann (2003: 325-6) instead proposes a connection with Sp. and Pt. *garza* 'heron', taking both as borrowings from Basque. PVasc. \**gardea* by regular sound change would have lost its initial \**g*, resulting in Lat. *ardea*. The Basque word is attested as *koartza* with its initial velar intact. While initial velar loss is sporadic (Trask 2008: 27), it seems difficult to reject the conclusion of Corominas & Pascual (1984-91 III: 116) that the borrowing went the other way, from Spanish into Basque. Instead of comparing Gk. ερφδιός, Vennemann adduces Gk. χαραδριός 'name of a bird, perhaps plover' making his argument doubly dubious.

the Septuagint, cf. Schrijver 1991: 65), making it suspicious. But that the latter represents a secondary loss of ε (Chantraine 1968-80: 337) seems *ad hoc*.

The only root shape that can be reconstructed to produce the Latin and Greek forms is  $*h_1red$ - with unusual but not unattested  $*\bar{o} \sim *\phi$  ablaut: Gk.  $\dot{\epsilon}\rho\omega\delta\iota\acute{o}\varsigma < *h_1r\bar{o}d$ - (although accepting the validity of  $\dot{\alpha}\rho\omega\delta\iota\acute{o}\varsigma$  would contradictorily require an initial  $*h_2$ ) and Lat. ardea < \*Hrd- (DV 52). Since the Greek form shows that the root vocalism is in the second syllable, the first vowel must be from a laryngeal. Thus, we cannot have root vocalism before the resonant in Latin without proposing unconditioned Schwebeablaut, and a pre-form like  $*h_2erh_3d$ - for Latin is not possible. Nor can Latin represent a zero-grade of a Greek pre-form  $*h_1roHd$ -. In a form like \*HrHd-, if we assume that vocalization took place from the right, the assignment would yield \*HrHd-. Thus the initial laryngeal would be lost before the sequence CV yielding \*\*rad- (cf. also Schrijver 1991: 314).

The appurtenance of Germanic \*artō(n)- (reconstructed based on several daughter forms: ON arta 'teal, garganey' and dim. ertla 'wagtail, Icel. urt, ört 'teal', Sw. årta 'garganey', etc. [Kroonen 2013: 36]) is questioned by some (cf. Frisk 1960-72 I: 572, Schrijver 1991: 65, EDG 468, Matasović 2020: 339), while others (cf. WH I: 64, André 1967: 33, EM 45, Kroonen 2013: 36) adduce it nonetheless. If related, a pre-form \*ard-, \*ord- < \*hord- for Germanic (Schrijver 1991: 65), would create the exact problem that we needed to avoid for Latin: root vocalism in front of the resonant, creating unconditioned Schwebeablaut variation.

SCr. *róda* 'stork' is frequently adduced as a comparandum to Lat. *ardea*, with more certainty that the Germanic even (e.g. WH I: 64, Chantraine 1968-80: 377, Frisk 1960-72 I: 572, André 1967: 33, EDG 468). Though it is semantically closer to the Latin and the Greek, its attestation (almost) exclusively in the Štokavian dialects (Matasović 2020: 339) is highly suspicious. If related, its dental must reconstruct to a voiced aspirate \*dh (cf. Kroonen 2013: 36), as \*d would yield the Winter's Law outcome \*\*råda (Schrijver 1991: 65). Due to its isolation a loan from Greek or (unattested) Romance has been suspected (cf. Schrijver 1991: 65, Matasović 2020: 339).

Arm. arat 'stork' is a hapax, occurring as gen.sg. aratay in Vardan Areveltsi's commentary on Psalms. The interpretation is complicated by the extreme rarity of this genitive formation and its appearance next to a word that seems to be an Armenian transcription of the Greek word for stork. If arat itself indeed means stork, it is attractive to adduce it as a comparandum, but requires the reconstruction  $*h_1reh_2d$ - in Indo-European (Martirosyan 2009: 126), which, as demonstrated above, cannot be reconciled with the Latin form.

If the Germanic group (the only group outside of Latin and Greek with secure enough attestation to be reconstructible to a proto-form) is related to the Greek and Latin forms, then the resulting fluctuating ablaut creates a problem for the reconstruction of a common proto-form. We end up with lengthened o-grade  $*h_1r\bar{o}d$ - against zero-grade

\* $h_1rd$ - against unconditioned Schwebeablaut full o-grade \* $h_1ord$ - (if we at least wish to be able to reconstruct all with the same quality laryngeal). Given the difficulties provided by the reconstruction of the initial syllable, Kroonen (2013: 36) suggests PGm. \* $art\bar{o}(n)$ - might be a case of a-prefixation (cf. Schrijver 1997). SCr.  $r\dot{o}da$  would seemingly fit into this pattern if it belongs here. The Greek forms disrupt the classic distribution in that the prefixed forms (prefixed with both a- and e-) maintain full root vocalism. Arm. arat, if it belongs here, also requires full root vocalism in a prefixed form. Lat. ardea and its comparanda thus do not represent a Paradebeispiel of the a-prefix, but the discrepancy between the Latin, Greek, and Germanic places it amongst the lexemes of likely non-IE origin. e-

Puhvel (I: 176) links Hitt. *arta-* 'a bird-name,' but without any further indication of the type of bird this represents, it must be left out.

bāca 'berry, fruit, nut'

Pre-form: \*beh2k- | PItal. \*bākā-

Comp.: \*ba/h<sub>2</sub>k- | PCelt. \*bak- | W bagad, bagwy 'cluster, bunch, troop, flock',

OBret. bacat 'berry', LCo. bagaz 'bush'

?PBerb. \*bqā 'blackberry, mulberry'

■ Irreg. correspondences ■ Remarkable phonotactics

Semantics: plant, berry

WH (I: 91), EM (63), DV (67)

Havet (1911: 219), Juret (1918: 195 fn. 1), FEW (I: 196), Wilamowitz-Moellendorff (1931-2 II: 63), REW (no. 859), Battisti & Alessio (1950-57 I: 392), Deroy (1956a: 188-9), Frisk (1960-72 I: 212), Chantraine (1968-80: 159), Boutkan & Kossmann (1999: 89), Weiss (2020: 82), van Sluis (fthc.)

While the variant bacca is poorly attested<sup>221</sup> and most Romance languages continue

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<sup>&</sup>lt;sup>220</sup> Very likely unrelated but worth mentioning due to the semantic change assumed to have occurred within Germanic is a family of words for 'duck'. These include Alb. *rosë*, Rom. *rață*, SCr., Slov. *raca*, Serb. (dial.) *race*, and Bulg. *rjaca*. Orel (1998: 374) assumes that PAlb. \*\*anātjā-, the expected reflex of the inherited duck word, was contaminated to \*arātjā- and that Rom. *rață* was borrowed from Proto-Albanian. Ciorănescu (1958-66 s.v. *ráță*) however considers a borrowing from a Slavic source to be more obvious, ruling out a connection to "Dacian" which seems to be often proposed as a source. Tótfalusi (2001 s.v. *réce*) compares Hungarian *réce* 'duck' as an independently developed onomatopoeia animal call word. FEW (X: 420-1) says the same about Occitan *rit* 'duck'. Further similar duck words include Friulian *raze* and German *Rätsche*. I am suspicious of proposals of widespread onomatopoeias and especially of etymologies that conclude words began as calls for animals. However, given this widespread duck word of the shape \**rVts/f*-, it does not seem necessary to follow Orel (1998: 374) in deriving Alb. *rosë* from a contamination of the inherited duck word.

 $<sup>^{221}</sup>$  In manuscripts, it seems to occur only in Priscian. The earliest, like those of Vergil, all have *baca*. Thus it has been suggested to be scribal error (Havet 1911: 219, Juret 1918: 195 fn. 1), due to assimilation to *vacca* 'cow' in a tradition where the difference between *b* and *v* was neutralized.

\*bāca/bācus (FEW I: 196, REW no. 859), Italian attests bacca 'juniper berry, fruit without seeds' (Battisti & Alessio 1950-57 I: 392). To have entered Italian means it was in actual use. If the *littera* rule only applies to high vowels (Weiss 2010b), then bacca represents a true alternation with a geminate. Battisti and Alessio (1950-57 I: 391, 392) consider it a loan from a substrate.

Another potential indication of a non-native origin of this word is its relationship to PCelt. \*bak-. Most share a dental suffix that dates to Proto-Brythonic, but the suffix of W bagwy is obscure (van Sluis fthc.). This could be interpreted beside PItal. \* $b\bar{a}k$ - as an IE alternation between a zero-grade  $*bh_2k$ - and a full-grade  $*beh_2k$ -, but \*b is extremely rare in IE roots.<sup>222</sup> It seems unnecessary to reconstruct a root with \*b to PIE on the basis of Italic and Celtic alone. If the geminate in Italian is original, it strengthens the case for a substrate loan.

Proto-Berber  $*bq\bar{a}$  'blackberry, mulberry' is unlikely to be borrowed from Latin due to the absence of the first long vowel (Boutkan & Kossmann 1999: 89). If it is related, it is an independent comparandum. Further connections to bāca are difficult to substantiate. WH (II: 91, cf. also Deroy 1956a: 188-9, EM 63) consider it a Mediterranean loan with original viticultural semantics, 223 and Varro says that wine in Spain is called bacca. The Latin word and the word from Iberia could well be related, but whether bacca 'wine' is a semantic development from bāca 'berry' (i.e. bacca is Iberian Latin) or whether they both continue a non-IE lexeme (i.e. bacca is non-IE Iberian) is difficult to say without further comparanda.

badius 'brown, chestnut-colored (of horses)

Pre-form: \*ba/Hdh-io-, \*bh2edh-io- | PItal. \*babjo-

\* $b(h)h_3ed(h)-io-$ , \* $b(h)(h_2)od(h)-io-$  | PCelt. \*bodyo- | OIr. buideComp.:

'vellow'224

■ Irreg. correspondences ■ Remarkable phonotactics

Semantics: color, equestrian

Pokorny (92), WH (I: 92), EM (64), DV (67)

<sup>222</sup> There is perhaps only one other PIE root that begins with \*b, \*bel- 'strong/strength' (Skt. bala 'power, strength', Gk. βελτίων 'better', dē-bil-is 'weak', Rus. bol'šój 'big' [cf. Weiss 2020: 82]), and even here its reconstruction is debated. Alexander Lubotsky (p.c.) adduces PSlav. \*debelb- 'fat, strong'. The lack of Winter's Law shows that it is from  $*d(^h)eb^h-el$ . The other forms would be from an old comparative of this root  $*db^hel$ -ios->\*bel-ios-.

<sup>&</sup>lt;sup>223</sup> But they certainly go too far when they connect it to Βάκγος 'Bacchus'. In any case, the origin of the Greek theonym is unclear. A Lydian-Greek bilingual inscription where Lyd. Bakivalis translates Gk. Διονυσικλέους leads DV (67) to follow interpretations like those of Wilamowitz-Moellendorff (1931-2 II: 63) in suggesting that the Greek word is borrowed from a Lydian source. Chantraine (1968-80: 159) finds a borrowing from Greek into Lydian possible here, and Frisk (1960-72 I: 212) finds it more likely even.

<sup>&</sup>lt;sup>224</sup> The only non-onomastic representative. Otherwise placenames like *Baiocasses/Bodiocasses* (Bayeux of tapestry fame) might comprise this lexeme (Delamarre 2003: 63).

Meyer-Lübke (1903: 92), Thurneysen (1946: 50), Wagner (1953: 388), Schmidt (1966: 160-1), Corominas and Pascual (1984-91 I: 550), Schrijver (1991: 454-65), Delamarre (2003: 63), Matasović (2009: 70)

The Latin and Irish words reconstruct to proto-forms with differing vocalism: a for Latin and \*o for Irish. Beginning from  $*bHd^h\_io->*badio-$ , OIr. buide could be the result of \*a raised between a labial and a palatal consonant (Thurneysen 1946: 50).  $^{225}$  But in cases like this, both alternates are usually preserved in Irish (e.g. moirb/mairb, muig/maig), and there is no such by-form of buide (DV 67).  $^{226}$  Laryngeals and ablaut could produce the alternation ( $*bHd^h\_$   $\sim *bHod^h\_$ ,  $*bh_3d^h\_$   $\sim *bh_3ed^h\_$ , or  $*bh_2ed^h\_$   $\sim *bh_2od^h\_$ ), but Lat. badius requires the reconstruction of \*b. As with  $b\bar{a}ca$  (s.v.), it seems unreasonable to reconstruct an additional PIE root beginning with \*b based on comparanda attested exclusively in Italo-Celtic.  $^{227}$  The pair is likely not inherited (cf. Pokorny 92, DV 67, Matasović 2009: 70), and the  $a \sim o$  alternation is original. If indeed with a suffix \*io,  $^{228}$  Latin badius seems to show the reflex of  $*d^h$  (as an original \*di would have yielded ii (cf. peiior, DV 67), but this change occurred before the development of  $*d^h>d$  (Weiss 2020: 172). Thus, if loaned into Proto-Italic, the shape was  $*bad^hio-$ ; if later, \*badyo- is possible.

#### barba 'beard'

Pre-form:  $*ba/Hr(s?)d^{h}$ - | PItal.  $*bar(z?)b\bar{a}$ 

Comp.:  $*b(\hbar)a/ord(\hbar)-|$  PBSl. \*bordá?| OCS brada, Ru. borodá, OPr. bordus,

etc. 'beard'

\*b(h)a/orsd(h)- | Lith. barzda, Latv. barzda 'beard'

\*bha/or(s)dh- | PGm. \*bar(z)da- | ON barð 'brim, prow; beard', OE beard 'beard', etc.

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: body part

Pokorny (110), WH (I: 96), EM (66), DV (69)

<sup>225</sup> Thus Schmidt (1966: 160-1) considers the possibility that Latin *badius* is a loan from Gaulish, given that several other Latin borrowings from Celtic are in the equestrian sphere. He still considers the Celtic word to be of non-IE origin.

<sup>&</sup>lt;sup>226</sup> Schrijver (1991: 454-65) details the unrounding of \*o to Latin a after labial consonants, but there are no examples of this occurring after \*b or, more fatally, \*p. Thus it seems unlikely that a pre-form \* $bod^h$ -jo- could produce badius (pace DV 67).

<sup>&</sup>lt;sup>227</sup> WH (I: 98-9) take Sp. *bazo* 'brown, almost yellow' as an independent comparandum to Lat. *badius*. Corominas and Pascual (I 1984: 550) instead suggest that *basus* and *bazo* are reflexes of *badius* (cf. its potential attestation in a Latin gloss as *basus*: *rufus*, *niger*, Meyer-Lübke 1903: 92). This is difficult to believe, given that Sp. *bayo* 'bay (of a horse)' exists and is the regular reflex of Lat. *badius*. Thus Sp. *bazo* 'brown' is probably the same as *bazo* 'spleen' (cf. an explanation by Wagner 1953: 388) and therefore unrelated.

<sup>&</sup>lt;sup>228</sup> Rather than \*iyo (\*iHo).

Pedersen (1895: 72-3), Schrijver (1991: 488), Kuiper (1995: 66), Derksen (2007: 55), Kroonen (2011: 150-1), Pronk-Tiethoff (2012: 242-4), Kroonen (2013: 54), Derksen (2014 s.v. *barzdà*), Weiss (2018: 439-40), van Beek (2022: 365-6)

If taken at face value, the initial *b* of Lat. *barba* can only reflect PIE \**b*. It is clearly related to Baltic, Slavic, and Germanic words for beard, but the details of the relationship are complex. Baltic forms like Lith. *barzdà* and Latv. *bârzda* 'beard' have a sigmatic element that does not appear in OPr. *bordus* or the Slavic forms. Derksen (2007: 55, 2014 s.v. *barzdà*) reconstructs PBSI. \**bordá?*, since -*z*- would not be lost in Slavic (Pedersen 1895: 72-3), but this means that the East Baltic forms require a different pre-form.

Kroonen (2011: 150-1, 2013: 54) reconstructs the Germanic beard words as an o-grade of the root \* $b^h resd^h$ - that in the e-grade and zero-grade elsewhere produces words for 'board,' 'edge,' and 'tip.' ON  $bar\delta < *barzda$ - means both 'edge, prow' and 'beard'. Evidence that this root is inherited is van Beek's (2022: 365-6) proposal that it is present in Gk. (epic and poetic)  $\pi \acute{e} \rho \theta o$  'to raze, pillage', with some attestations pointing to an original meaning 'to cut off, shave'. Kroonen (2011: 150) interprets the position of the Germanic vocalism as a secondary development on the result of the zero-grade reflex in PGm. \*burzda-. This allows him to propose that the Baltic forms like Lith.  $barzd\grave{a}$  owe their vocalism and signatic element to a Germanic borrowing. The Balto-Slavic forms without a sibilant could be borrowed from West Germanic, though they have mobile accentuation, which does not seem to occur in loans from Germanic (Pronk-Tiethoff 2012: 242-4). A Germanic borrowing into Latin would explain the a-vocalism there, but it requires a borrowing into Proto-Italic, which seems remarkably early. An alternative reconstruction for the Germanic (cf. Kuiper 1995: 66) keeps it separate from \* $b^h ersd^h$ - and thus does not include the \*s.

If not very early borrowings from Germanic, then Lat. barba is a representative of a substrate lexeme for which the only vocalic reconstruction that fits all comparanda is \*a (cf. Schrijver 1991: 488, Kuiper 1995: 66, Derksen 2007: 55, DV 69). Within Balto-Slavic there is the alternating presence of a sigmatic element (cf. the same in  $frac\bar{e}s$  and turdus). Whether an \*s would have blocked the change PItal. \*rp > rb is unclear (cf. fn. 207). All forms except for the Latin can be reconstructed to initial  $*b^h$ . The \*b required by the Latin has been interpreted as an assimilation of \*farba > barba (WH I: 96, EM 66, recently Weiss 2018: 439). Given the other irregularities in this word, this need not be the case; it could instead be the result the borrowing process.

bolunda 'wild, immature fig'

Pre-form: \*bol-und(h)- | PItal. \*bolundā

Comp.: \*( $\underline{u}$ )ol-und $^h$ - | PGk. \*(w)olunt $^h$ o- | Gk. ὅλονθος, ὅλυνθος 'wild, unripe fig'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, fruit

Loewe (1884: xiv), Rönsch (1886: 317-18), Alessio (1944a: 138-9), André (1956: 55), Furnée (1972: 198), Biville (I: 89-90), EDG (1074), Kroonen (fthc.)

Lat. bolunda is found three times in glosses, twice for Gk. ὅλυνθος (CGlLat. II 382.40<sup>229</sup>; 517.40<sup>230</sup>) and once itself explained with grossi primari fuci (read: fici)(CGlLat. II 570.16<sup>231</sup>). The more widely attested Greek word clearly has the Pre-Greek  $v\theta$ -suffix.

There have been several explanations proposed for the correspondence between the Latin and Greek words. Rönsch (1886: 317-18) considered the Latin word borrowed from the Greek with folk etymological changes (comparison to words from the root *bol*- 'to throw, fall' and interpretation as a future participle in *-unda*). Several suggest *bolunda* is a borrowing from a Doric Greek dialect with an original digamma (Alessio 1944a: 138-9, André 1956: 55, Biville I: 90, EDG 1074). While Alessio proposes that the gloss be corrected to \**volunda*, Biville thinks that the late attestation might allow for pronounced as  $\beta$  or  $\gamma$  to have been taken into Latin at a time when *b* was on the way to changing into  $\beta$  then  $\gamma$ . There are extremely few parallels for this. And while Alessio and Biville note that the voicing of *nt* to *nd* is common in southern Italy, this is in the modern Italian dialects. Thus we would have to assume that Gk.  $\gamma$ 0 was borrowed as Lat. *nt* (the expected result) and that this was voiced to *nd* dialectally before being recorded in the glosses. For this reason Furnée (1972: 198) instead takes Greek  $\gamma$ 0- against Lat. *-nd*- as a substrate alternation, with both words independently borrowed from a third source (cf. also Kroonen fthc.).

Given that *bolunda* is attested in the  $8^{th}$  c. Cyrillus Glossary, and assuming that no part of it is the result of scribal corruption, <sup>233</sup> it is not certain that it was acquired late enough to show the changes from Greek postulated by Alessio and Biville. Thus Furnée's analysis cannot be ruled out, and *bolunda* might show that the Gk. - $v\theta$ o $\varsigma$  suffix occurs in the substrate of Latin as -unda (s.v.  $harund\bar{o}$  and  $hirund\bar{o}$ ).

calx. -cis 'limestone, chalk'

Pre-form: \*ka/Hlk- | PItal. \*kalk-

Comp.:  $*g^ha/h_2l-ik-|PGk.*k^halik-|Gk.$  γάλιξ 'small stone, gravel, rubble'

 $^{232}$  Cf. discussion in Biville (I: 78, 88): In Laconia,  $\beta$  was used to write digamma from the end of the 5th c. BCE. And Latin grammarians seem to sometimes have called it *bau* instead of *uau* (like Marius Victorinus, Keil *GL* VI 15.4-5). That *belena* in Quintilian (*Instituto Oratoria* 1.4.15) spells Έλένη 'Helen' (which can be presumed to have originally had a digamma based on e.g. the spelling *Velena* in Sergius' commentary on Donatus [Keil *GL* IV 476.16-17]) does not seem certain; based on the context, it may be a spelling of *ballaena* 'whale'.

<sup>&</sup>lt;sup>229</sup> Cyrillus Glossary (8th c.); the Stephanus manuscript has bolundum.

<sup>&</sup>lt;sup>230</sup> Glossae Servii Grammatici.

<sup>&</sup>lt;sup>231</sup> Glossae Nominum.

<sup>&</sup>lt;sup>233</sup> Loewe (1884: xiv) notes for the *Glossae Nominum* that corrupt lemmata are not rare, noting importantly on line 258 *bafer* for *afer*. The *b* seems to have appeared *ex nihilo*, which would solve the lesser of two problems for *bolunda*.

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: geography

WH (I: 145), EM (89), DV (86)

Cuny (1910: 160), Alessio (1941b: 219), CAD (K: 62-4), Furnée (1972: 137, 384),

Biville (II: 144-5), EDG (660, 1610), Rosoł (2013: 212)

Lat. calx 'limestone, chalk' is certainly related to Gk.  $\chi\dot{\alpha}\lambda\iota\xi$  'small stone, gravel', and so close to it that it is often considered a loan from it (WH I: 145).<sup>234</sup> The reflection of Gk.  $\chi$  with Lat. c is expected, but the syncope is not (pace Cuny 1910: 160 who writes the opposite). This is further complicated by the presence of the vowel i in the verb  $calic\bar{a}re$  'to whitewash (paint with lime)', leading some to suggest that both represent independent forms and, with no good IE etymology (cf. EDG 1610), a non-IE Mediterranean origin (Biville II: 144-5, EM 89, DV 86).

The *i* did not syncopate in e.g. *calix* 'vessel for food or drink' (s.v.), nor does *calicāre* require an anaptyctic vowel in light of *calcāre* 'to trample'. On the other hand, the verb *calicare* is rare, attested in an inscription and otherwise only in lexicographical texts that gloss it with the more usual *albāre*. Biville (II: 144-5) argues convincingly that it is harder to explain the syncope in *calx* than it is to assume independent (yet related) origins of *calx* and  $\chi \acute{\alpha} \lambda \iota \xi$ , with a later derivation of the verb *calicāre* based on Greek.<sup>235</sup>

If the Latin and Greek represent independent forms, then we have a non-IE  $k \sim k^h$  alternation like in  $orca \sim 50 pm$  (s.v)(Alessio 1941b: 219, Furnée 1972: 137, 384). Despite Furnée's (1972: 137, 384) comparisons of the family to Sum. kalga and Akk. kalakku, both purportedly meaning 'limestone', this is a mistake. Rosoł (2013: 212) shows that Akk. kalakku instead means 'excavation; silo' (cf. CAD K: 62-4 'excavation, truncated pyramid (as a geometrical term); storehouse, storeroom, silo; a container, a box, a vessel; a specific kind of chair; raft').  $^{236}$ 

## caput 'head'

Pre-form: \*ka/Hp-ut- | PItal. \*kaput-

Comp.: \*ka/Hp-ut- | PGm. \*habuda- | ON hofuð, OE hafud 'head'

\*ka/oup-ut- | PGm. \*haubuda- | ON haufuð, OE hēafod 'head' \*ka/oup-et- | PGm. \*haubeda- | Go. haubiþ, OHG houbit 'head' \*ka/o/Hp-u(t)-lon- | PGm. \*hafulan- | OE hafola, -ala, -ela 'head'

<sup>&</sup>lt;sup>234</sup> Lat. *calculus* 'pebble' is either a diminutive of *calx* or an independent reduplicated formation \*kal-kal-o-. The idea that *calculus* is reduplicated rather than simply a diminutive stems from comparison with Gk. κάχληξ 'small stones, river gravel', which EM (89) support, WH (I: 145) reject, and EDG (660) does not even mention.

<sup>&</sup>lt;sup>235</sup> An alternative explanation of *calicāre* is a dissimilation from \**calcicāre* (Michael Weiss, p.c.).

<sup>&</sup>lt;sup>236</sup> WH (I: 145) had already supported rejecting their comparison on historical grounds: apparently limestone was not used in Greece until after Themistocles, and the technology of lime burning spread to Greece from Carthage where it originated.

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*ka/Hp-uk- / PCelt. *kaφuko- / Ir. cuäch, W cawg 'cup, dish'
*ka/Hp-ut- | PCelt. *kaφuto- | Ir. cuäd 'cup, mug'
?*kap-o/ā/ēlo- | PIIr. *kapālo- | Skt. kapā́la- 'cup, jar, dish; skull'
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■ Irreg. correspondences □ Remarkable phonotactics

Semantics: body part

Pokorny (529-30), WH (I: 163-4), EM (98-9), DV (91)

Nussbaum (1986: 214), Schrijver (1991: 100-1), EWAia (I: 300), Boutkan (1995: 2-3), Beekes (1996: 218-20), Schrijver (1997: 295), Boutkan (1998: 111), EDG (658), Kroonen (2013: 215), van Sluis (fthc.)

Lat. *caput* is related to several Germanic words for head, between which Beekes (1996: 218-20) demonstrated irregularities including an  $a \sim au$  vocalic alternation like in  $caup\bar{o} \sim \kappa \acute{\alpha}\pi \eta \lambda o_{\zeta}$  (s.v.). The form with the diphthong has been explained through the presence of u in the following syllable (Boutkan 1998: 111, DV 91) and via metathesis in the oblique cases from an original proterodynamic \* $kh_2p$ -ut, \* $kh_2p$ -uet-os > \*hafup, \*habwepaz (Kroonen 2013: 215).<sup>237</sup> The former explanation is  $ad\ hoc$ , and as to the latter, Boutkan (1995: 2-3) has argued that suffixal ablaut in t-stems had been leveled, such that no trace should have remained.

An additional difficulty for reconstruction is the suffix of the attested forms. Several of the Germanic forms as well as Lat. *caput* seem to show a suffix \*-ut whereas other Germanic forms show \*-et and \*-ut-. PGm. \*hafulan- attests \*-ulo- or perhaps \*-utlo-. While Boutkan (1998: 111) remained uncertain, van Sluis (fthc.) adduces the Celtic forms that show \*-ut and \*-uk suffixes to this root.<sup>238</sup> Schrijver (1997: 295) proposes that, instead of a series of suffixes \*-ut-, \*-uk-, \*-ul-, this represents a lexeme \*kapu- with suffixes \*-t-, \*-k-, \*-l-. PGm. \*haubeda- with the suffix \*-et- then looks particularly irregular in an already non-IE paradigm. DV (91) offers a slightly different interpretation (Italic, Germanic: \*kap-ut-; Celtic: \*kapu-k-, Germanic \*kapu-l-) to the same root in capiō 'to seize', interpreting it as a substrate root (s.v. capiō). In any case, the dental suffix is difficult to analyze as the inherited particle \*-ut- (Beekes 1996: 219) or \*-to- (van Sluis fthc.). Instead, the alternation between \*t and \*k (and the lack of either in \*hafulan- if not from \*-ut-lo-) is similar to that in Lat. nux ~ PGm. \*knud (s.v.) and European bee words (van Sluis fthc.) that are demonstrably of non-inherited origin. Given the irregularities, a substrate origin is likely for the caput family as well.

Finally, Skt. *kapála*- 'cup, jar, dish; skull' may be related. Its vowel can only be \**a*. EWAia (I: 300) favors a connection with Lat. *capiō* over *caput* and Schrijver (1991: 100-1) argues against a connection with *caput* given the implied direction of semantic

<sup>&</sup>lt;sup>237</sup> See also Nussbaum (1986: 214), who proposes assimilation to the vocalism of the 'ear' word.

<sup>&</sup>lt;sup>238</sup> Schrijver (1997: 295), followed noncommittally by EDG (658), suggests that Lat. *caucum* and Gk. καῦκος 'cup', attested quite late, are borrowings from Celtic.

shift. But this assumes that the meaning 'cup' is primary for kapāla-, which need not be the case. Adducing the Sanskrit word has implications for the time of borrowing, probably requiring an early date.

catulus 'young of an animal'

Pre-form: \*ka/Ht-e/o/ul-o- | PItal. \*kate/o/ulo-

Comp.: \*ka/o/Hdh-el-, \*kHt-él- | PGm. \*hada/e/ulō- | MHG hatele 'goat'

\*ka/o/Hdh-n-, \*kHt-n'- | PGm. \*hadnō- | ON haðna 'young goat'

\*ka/Hd(h)-Vl- | PCelt. \*kadVlot- | MIr. cadla 'goat'

?Proto-Berber \*āqād '(she-)goat', \*qayd- 'billy-goat'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: animal

Pokorny (534), WH (I: 183), EM (106), DV (89)

Schrijver (1991: 102, 105), Boutkan and Kossmann (1991: 89), Untermann (2000: 376), Weiss (2010a)

Lat. catulus 'young of an animal' is related to U katel (WH I: 183, EM 106, Untermann 2000: 376, Weiss 2010a) 'puppy' or at least 'a sacrificial animal'. If it is Indo-European, then it must go back to a root \*kHt-, but its potential relationship to a group of words meaning 'goat' puts its IE origin in doubt.<sup>239</sup>

MHG hatele 'goat' and ON hadna 'young goat' reconstruct to PGm. \*had- (Kroonen 2013: 214), which is either the Grimm's Law reflex of \*kadh- or Verner variant of \*kat- (favored by Schrijver 1991: 102 and not an invalid root structure). MIr. cadla 'goat', if old, reconstructs to \*kadVlot-. The voicing alternation behind the Latin reflex of \*t, the reflex of \* $d^{(h)}$  in Middle Irish, and the potential \* $d^{(h)}$  behind Germanic cannot be accounted for from an IE perspective. It seems simple to interpret Lat. catulus both formally and semantically as a diminutive, but in light of a similar suffix on MHG hatele and MIr. cadla < \*kadVl-, the full root of the substrate word might include this "suffix". Perhaps this encouraged a semantic shift within Italic from 'goat' > 'young animal'.

Boutkan and Kossmann (1991: 89) link this to Lat. haedus < \*ghaid- (s.v.). The voicing/aspiration discrepancies in the reconstructions for catulus < \*kat- and haedus < \*ghaid- would mirror that of another goat word: \*kap-ro- (Lat. caper 'he-goat', s.v.) ~ \*ghabh-ro-240 (OIr. gabor 'goat'). While an interesting idea, it means accepting that Italic and Germanic attest to doublets of this lexeme, perhaps due to contact with etymologically related substrate dialects at different points in time. This is too

<sup>&</sup>lt;sup>239</sup> If related to Slavic forms like SCr. kôt 'birthing, litter, breed', Pol. kót (dial.) 'place where forest animals young', etc., the a-vocalism would not be due to a laryngeal (Schrijver 1991: 102, DV 89), but the forms are too semantically divergent to adduce with certainty.

Though as mentioned, other reconstructions are possible: \*g(h)ab(h)/p-.

speculative to confirm, and thus it is best to keep the two groups separate. Boutkan and Kossmann (1991: 89) further adduce Proto-Berber  $*\bar{a}q\bar{a}d$  '(she-)goat' and \*qayd- 'billy-goat', two forms which cannot be regularly linked in Berber. Perhaps they were borrowed from the same source as the forms cited.

*cēpa* 'onion', var. *caepa*, *cēpe* (neut. indecl.)

Pre-form: \*keh<sub>1</sub>p- | PItal. \*kēpā

Comp.: ?\*ka/h²p- | PGk. \*kapia- | Hsch. κάπια· τὰ σκόροδα. Κερυνῆται 'onions

amongst the Κηρυνῆται'

☐ Irreg. correspondences ■ Remarkable phonotactics

Semantics: plant, domestic

WH (I: 201-2), EM (114), DV (108)

Meister (1889: 203), Ernout (1965: 130), Furnée (1972: 337), Biville (II: 325)

Biville (II: 325) shows that the Romance forms descend from  $*\bar{e}$ , demonstrating that the Latin form with a diphthong is a hypercorrect spelling. While Biville (II: 325) asserts that neut. indecl.  $c\bar{e}pe$  is the oldest (as does Ernout 1965: 130), fem.  $c\bar{e}pa$  is attested since Naevius (DV 108).

The only comparandum for  $c\bar{e}pa$  is Hsch. κάπια, 'onion' amongst the Κηρυνῆται (WH I: 201-2, EM 113, DV 108). Meister (1889: 203) takes this to refer to Kyrenia in Cyprus, but Biville (II: 325) takes it to mean Achaean Ceryneia. In the case of the former, its identification as Greek seems uncertain. Even in the latter case, a borrowing from Greek (WH I: 201, Furnée 1972: 337, Biville II: 325) requires an the Hesychian hapax to represent unattested (Achaean) Doric neut.pl. \*καπια for Att-Ion. \*κηπια. A second assumption is that this \*κηπια entered Latin as \* $c\bar{e}pia$  and was reanalyzed as a plural before being back-formed into singular  $c\bar{e}pe$  (cf. also WH I: 201, Furnée 1972: 337), relying on  $c\bar{e}pe$  being the earliest form. EM (114) and DV (108) take it as an independent loan from the same unknown source as the Greek, which seems more likely. In that case, the variation in endings between -a and -e might represent the nativization of a foreign phoneme.

corbis 'basket'

Pre-form:  $*k(o)rb(h)/d^hi$ - | PItal. \*korb/f/hi-

Comp.:  $?*gr\acute{e}b^h-\bar{o}n-|PGm.*kreb\bar{o}-|OHG~korb$  'basket', etc.

?\*kreb- | PGm. \*hrep- | ON hrip, OHG href 'basket carried on the back'

?\*ka/Hrb(h)- | PCelt. \*karbanto- | OIr. carpat '(war) chariot'

■ Irreg. correspondences ■ Remarkable phonotactics

Semantics: tool

Pokorny (948-9), WH (I: 272-3), EM (142), DV (135)

Kluge (1885: 443), Kuhn (1959: 39), de Vries (1962: 256-7), EIEC (52-3), Derksen (2007: 234), Matasović (2009: 190), Kroonen (2011: 179-82), Loma (2012: 155-8), Zair (2012: 37-8), Kroonen (2013: 303), Derksen (2014 s.v. *karbas*)

Lat. *corbis* 'basket' reconstructs most straightforwardly to a pre-form containing rare \*b or an invalid \* $TeD^h$  root structure. A root shape \* $skrb^h$ - would potentially be allowed, but neither Latin nor any of its potential comparanda provide a trace of an initial \*s.

Secure comparanda of Lat. corbis 'basket' are difficult to verify. Several Baltic and Slavic forms that can be reconstructed to \*korb<sup>h</sup>- (Lith. karbas 'basket', Ru. kórob 'box, basket', Cz. krabuše 'wicker basket', Sln. kraba 'box', etc., cf. DV 135, Derksen 2014 s.v. karbas) could be loans from Germanic (EIEC 52, Derksen 2007: 234).<sup>241</sup> But that the Germanic forms are loans from Latin (EIEC 52, Derksen 2007: 234) is made highly implausible by the variation amongst the Germanic forms (OHG korb, MHG krebe, kreppe, korb(e) 'basket', EFris. krääf, krääwe 'trough, crib', etc.), which points to an ablauting *n*-stem \*krebō, \*kurpaz < \*gréb<sup>h</sup>-ōn-, \*grb<sup>h</sup>-n-ós, ruling out a loan after Proto-Germanic (Kroonen 2011: 179-82, 2013: 303, but cf. already Kluge 1885: 443).<sup>242</sup> A few Germanic forms reconstruct to a root PGm. \*hrep- < \*kreb- (ON hrip 'pannier', OHG href 'basket for carrying on the back'; de Vries 1962: 256-7, EIEC 52). Kuhn (1959: 39) took the alternation as pointing to a late entrance into Germanic (as though peri-Grimm's law). Kroonen (2011: 181-2) notes that the alternation would point specifically to non-IE origin, but that the meaning 'basket' (and thus the semantic connection to corbis) for the \*krebo- words can be argued to be secondary. ON kerf, kjarf means 'bundle' for instance. The primary meaning of the \*hrep- root is likewise difficult to establish. Further connections with Greek forms have been proposed (Gk. γρῖπος 'fishing basket, creel' and γρῖφος 'riddle, (as adj.) obscure', cf. Pokorny 385-90; κάρφος 'small dry stick', cf. EIEC 52-3), but are semantically and/or formally more aberrant (DV 135, EDG 286, Kroonen 2011: 181).

OIr. *carpat* '(war) chariot' < PCelt. \**karbanto*- is formally the most similar to Lat. *corbis*. Matasović (2009: 190) considers it likely to be of non-IE origin due to the a-vocalism of the root<sup>243</sup> and the same problematic \* $TeD^h$  root structure. <sup>244</sup> If Celtic

<sup>241</sup> Smoczyński (2018: 408) considers Lith. *gurbas* 'basket woven of wicker or straw' and other Baltic forms of this shape "a var. of *kurbas* with voicing of the initial consonant", providing other cases where this has occurred in loans from Polish. It is unclear whether this strengthens the case for the Baltic words being loans from Germanic or weakens it, but it certainly does not strengthen the case for a native IE origin. Loma (2012: 155-8) notes that foreign (*T)orT* in early loans does not seem to undergo liquid metathesis should not have undergone the liquid metathesis in e.g. Sln. *kraba*. But his reconstruction of a PIE compound \*(*s)kor-b<sup>h</sup>H*- 'removed bark' is semantically unconvincing and relies on the exclusion of any comparison with Germanic forms.

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<sup>&</sup>lt;sup>242</sup> In fact, he considers Lat. *corbis* more likely a loan from Germanic. This would remove the need to reconstruct *corbis* to an illegal root structure, and would make it a pre-literary loan from Germanic. But there seem to be so few of these (cf. Green 1998: 182-200) that it is difficult to accept.

<sup>&</sup>lt;sup>243</sup> It seems technically possible to reconstruct \*karb- to \*kHrb(h)- on the same evidence that we can potentially do so for Latin (see fn. 86), as aRC- seems to be the normal reflex of initial \*HRC- in Celtic as

\**karbanto*- (and thus Lat. *corbis*) are non-IE, then they could attest to an \* $a \sim o$  vocalic alternation. But the two words are semantically quite distant from one another.<sup>245</sup>

In the end, it is quite likely that Lat. *corbis*, in part due to its irregular root structure, is a loan. Several possible comparanda exist, each with their own irregularities (potential consonant alternations within Germanic, non-IE phonotactics in Celtic), but it is unclear if all or any of these are related. <sup>246</sup> It seems most likely that the Germanic basket words are related, establishing  $*k \sim *g^h$  and  $*b(^h) \sim *p$  alternations as well as aberrant vocalism. But then we face a similar problem to *catulus* (s.v.) in which we must assume that Germanic for some reason attests to a doublet of this non-IE lexeme.

# cucurbita '(bottle)gourd'

Pre-form:  $*ku-ko/urb(h)/dh-Vt- | PItal. *kuko/urb/f/bVt\bar{a}-$ 

Comp.: \*kwerkwet- | PGm. \*hwerhwetjō- | OE hwerhwette 'cucumber', ME

hwerwette, werwette 'cucumber, gourd'

?Skt. cirbhaṭī, carbhaṭa 'cucumber', cirbhiṭa 'gourd'

☐ Irreg. correspondences ■ Remarkable phonotactics

Semantics: plant, domestic

WH (I: 300), EM (154), DV (149)

Kuiper (1948: 143-4), KEWA (I: 378), André (1978: 49-50), EWAia (III: 182), Sebastian, Schaefer, Telford & Renner (2010), Kroonen (2013: 266), Šorgo (2020: 442)

Lat. *cucurbita* 'gourd' is compared to Sanskrit forms like *cirbhaṭī*, *carbhaṭa* 'cucumber', *cirbhiṭa* 'gourd' (cf. WH I: 300), which Kuiper (1948: 143-4) proposes are from a Munda language. Skt. *bhaṭā*- 'bitter cucumber' seems to show that the *ci/ar*- element is a prefix (cf. also EWAia III: 182). Thus the Latin and Sanskrit words are not cognate, but the originally Munda lexeme may have reached Latin as a Wanderwort (KEWA I: 378). Latin may have introduced reduplication,<sup>247</sup> or it may have entered Latin already reduplicated.<sup>248</sup> The similarity to the Sanskrit words could also be a case of chance of resemblance (André 1978: 50, EM 154).

well (Zair 2012: 37-8). But in light of the comparanda, there is no actual reason to do so, and the best explanation is original a-vocalism.

<sup>&</sup>lt;sup>244</sup> He himself does not connect Lat. *corbis* because neither  $*korb(^h)$  nor  $*kpb(^h)$ - can yield the Celtic vocalism. But if they are independent borrowings from a third source, this is exactly what we would expect.

<sup>&</sup>lt;sup>245</sup> Van Sluis (fthc.) further compares OE *hearpe*, OHG *harfa*, etc. 'harp' < PGm. \*harpōn- < \*ka/orb-.

 $<sup>^{246}</sup>$  EM (142) assume that Lat. *corbis* belongs to a group of words for woven objects that must be from a Mediterranean substrate. But the comparanda extend beyond the Mediterranean.

<sup>&</sup>lt;sup>247</sup> WH (I: 300) suggest the influence of *cucumis*. DV (149) notes the words' similar onset and semantics. <sup>248</sup> André (1978: 50) gives parallels of African languages (as the gourd may have come to Italy from Africa) that reduplicate in lexemes for voluminous things.

A geographically closer comparandum is PGm. \*hwerhwetjō-, albeit only with reflexes in English. It cannot be cognate with *cucurbita* and instead represents an independent borrowing of the same source lexeme (Kroonen 2013: 266, Šorgo 2020: 442). If we assume \*hwerhwet- is from earlier \*\*hwehwert-, its \*t would show that the b of *curcurbita* is a reflex of \* $d^h$  rather than \*b(h). Alternatively, \*hwerhwet- might correspond to the -*curbit*- element. Deciding on which interpretation is correct has implications for the irregular alternations to which the pair attests.

excetra 'sea serpent/monster; Lernean Hydra'

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Pre-form: *h<sub>1</sub>eksketr- | PItal. *eksketrā-
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Comp.:  $*h_{I}e(k)s(k)etr$ - | PSlav. \*esetr- | ORu. jesetr-, OPol. jesiotr, etc. 'sturgeon'

\*h<sub>1</sub>eksketr- | PBalt. \*ešketra- | OPru. esketres 'sturgeon', Lith. erškētas 'whale'

?\*(k)stur- | PGm. \*stura/ōn- | ON styrja, OE styria, styriga, etc. 'sturgeon'

■ Irreg. correspondences

■ Remarkable phonotactics

Semantics: animal, wild; aquatic

WH (I: 425-6), EM (205)

Weise (1881: 234), Devoto (1928: 338-41), de Simone (1968-70 II: 189, 276, 287), Breyer (1993: 200-1), Derksen (2007: 145), Kroonen (2013: 488), Šorgo (2020: 459)

Lat. *excetra* sometimes refers specifically to the Lernean Hydra, but in other cases to a (sea) serpent. The murkiness surrounding the term's semantics beyond mythology poses difficulties for an etymology. Devoto (1928: 338-41) proposed that it represents Etruscan mediation of Gk. ἔχιδνα 'viper', an explanation that has been relatively well received (WH I: 425-6, EM 205, de Simone 1968-70 II: 189, 276, Breyer 1993: 200-1). Etruscan sometimes changed Gk.  $\mu\nu$  to mr (*Memrun* < Μέμνων, *Axmemrun* < Άγαμέμνων, de Simone 1968-70 II: 287). But evidence for  $\gamma\nu > cr$  is weak, <sup>249</sup> and for  $\delta\nu > tr$  practically non-existent. <sup>250</sup> This leaves the Etruscan explanation with problems.

WH (II: 425-6 with lit.) reject several etymological attempts to achieve *excetra* via contaminations and folk etymology, but also Weise's (1881: 234) connection to Balto-Slavic words. However, I think this stands the best chance of being accurate. A relatively robust pre-form for Latin and Baltic would be \*eksketr-. The exact developments that lead to Baltic šk are disputed, but a \*k at least is involved (Derksen

<sup>&</sup>lt;sup>249</sup> Perhaps Lat. *grōma/grūma/croma* 'surveying instrument' < Etruscan < Gk. \*γνώμη 'perception, sign', (de Simone 1968-70 II: 189). But it kept *cn* in *Cnaive* and *Cnare* < Lat. \**Gnaivos* and *Gnarus*.

<sup>&</sup>lt;sup>250</sup> Hinted at by the pair of Etruscan names *Tretra* vs. *Tretna* (de Simone 1968-70 II: 189).

<sup>&</sup>lt;sup>251</sup> Baltic forms with *r* like Lith. *erškėtas* were likely influenced by *erškėtis* 'thorn' or represent

2007: 145). Thus, despite the Slavic being reconstructible to \*esetrb- < \* $h_2e\dot{k}$ - 'sharp' (Derksen 2007: 145), such a reconstruction would make them unrelated. Alternatively, Slavic \*esetrb- could be from \* $h_1e\dot{k}$ setr- < \* $h_1e\dot{k}$ setr-.  $^{252}$ 

Kroonen (2013: 488) instead connects the Baltic and Slavic forms with PGm. \*sturja/ōn- 'sturgeon'. He takes the Slavic forms with initial o- (cf. Ru. osëtr) at face value (those that reflect e-vocalism can be due to Rozwadowski's change) to reconstruct PBSI. \*asetra-. Along with Germanic, these would represent an a-prefix alternation \*astr-~\*setr- with the vocalism "reshuffled". Given that there are potential examples of the a-prefix phenomenon occurring with vowels other than a (s.v. ulmus), original e-vocalism for Balto-Slavic is not problematic and allows the connection of Lat. excetra. \*253 If PGm. \*stur- is from \*kstr-, the Latin, Balto-Slavic, and Germanic words probably attest to a substrate word with a complex initial cluster.

faber 'craftsman, smith'

Pre-form:  $*b^h/d^h/g^{wh}a/Hb/b^h/d^h$ -ro- | PItal.  $*f/p/\chi^w ab/f/p$ ro-

Comp.:  $*d^ha/Hb^h-r- | PArm. *dabr-(s)na^{-254} | Arm. darbin 'smith'$ 

Hurrian tabiri 'metal caster'

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: metallurgy

Pokorny (233-4), WH (I: 436-7), EM (208), DV (197)

Meillet (1894: 165), Kuryłowicz (1956: 194), Mann (1963: 58), Schrijver (1991: 102), Clackson (1994: 36-41), Beekes (1996: 230), Derksen (2007: 109, 110), Martirosyan (2009: 235), Kroonen (2013: 86), Derksen (2014 s.v. *dárbas*), PSD (s.v. *tibira*), Thorsø & Wigman et al. (2023: 120)

Lat. *faber* is traditionally (since Meillet 1894: 165) compared to Arm. *darbin* 'smith', PGm. \**daban*- 'to fit' and PBSI. \**doba?* 'time, manner' (to which belongs PSlav. \**dobrъ* 'good', cf. Derksen 2007: 110). LIV (s.v. \**d¹veHb¹v*-) reconstructs \**d¹veHb¹v*-, where zerograde \**d¹vHb¹v*-> \**d¹vab¹v*- would yield all forms. The Balto-Slavic accentuation rules out the presence of a laryngeal however, leading Derksen (2007: 109) to reconstruct \**d¹vab¹v*- with original *a*-vocalism to account for Lat. *faber* (also Kuryłowicz 1956: 194, who considers it a loanword). Kroonen (2013: 86) instead reconstructs \**d¹vab¹v*- to fit' for Germano-Balto-Slavic, removing the Latin and Armenian forms from consideration. The semantic connection between Germano-Balto-Slavic 'to fit',

<sup>&</sup>lt;sup>252</sup> The cluster reductions produce forms that smack of the metathesis attested in the comparanda of *ascia*, *mūlus*, and *viscum* (cf. also in the substrate of Germanic, Šorgo 2020: 459).

<sup>&</sup>lt;sup>253</sup> Theoretically an original Lat. \*\*axcetra could have been reshaped on analogy with the numerous words beginning with ex.

<sup>&</sup>lt;sup>254</sup> This reconstruction rather than in \*-*īno*- is argued for by Martirosyan (2009: 235).

<sup>&</sup>lt;sup>255</sup> Beekes (1996: 230) argues that the lack of an attested *e*-grade for this root, even in the verbal

'fitting ∴ good, timely' and Latin 'craftsman', Armenian 'smith' was not particularly strong to begin with (cf. EM 208, Schrijver 1991: 102). Keeping them separate thus solves the vocalism of the Germano-Balto-Slavic forms.

Lat. *faber* and Arm. *darbin* still require explanation. Mann (1963: 58) suggested excluding Lat. *faber* and instead connecting Arm. *darbin* with Skt. *dṛbhāmi* 'to weave', Av. *darəv-* 'to join', Lith. *dirbti* 'to work', Lith. *dárbas* 'work', and Latv. *darbs* 'work, deed' < \**dherbh-*. Derksen (2014 s.v. *dárbas*) shows that the Baltic forms are from a root with a laryngeal \**dhphb-*, which should yield Skt. \*\**dūrbh-*. Thus the formation only works for Armenian<sup>256</sup> and Baltic; a connection with Indo-Iranian would make a more compelling case for an inherited root. But connecting Lat. *faber* and Arm. *darbin* is semantically more attractive than separating them and attaching them to other roots. While they could represent an isolated reflex of a root \**dhHbh-*, they can be further connected with Hurrian *tab/w-* 'cast metal', *taballi* 'smith', *ta/ibira/i* 'copper-worker'<sup>257</sup> (Martirosyan 2009: 235, Yakubovich *apud* Blažek 2010: 23, Thorsø & Wigman et al. 2023: 120) as a Wanderwort.

# grāmiae 'eye rheum'

Pre-form:  $*g(w)r(e)H-m- \mid PItal. *gram-$ 

Comp.: \*gl-m- | PGk. \*glamo- | Gk. γλάμων 'blear-eyed'

?\*g(w)rH-m- | PSlav. \*grъměždžь | RuCS grь/e/oměždь 'pus in the eye'

■ Irreg. correspondences 
□ Remarkable phonotactics

Semantics: body part

Pokorny (405), WH (I: 617), EM (280), DV (270)

Buecheler (1927: 369-70), Lehmann (1986: 279), Schrijver (1991: 487-8), Demiraj (1997: 306), Derksen (2007: 194), Kroonen (2013: 291, 300), EDG (274), Smoczyński (2018: 357), TLL (s.v. *grāma*)

The length of the a of Lat.  $gr\tilde{a}miae$  'eye rheum' is not recorded in any diagnostic context. A line in Plautus' Curculio is potentially crucial: although the manuscripts have  $os\ amarum$ , this has been amended to gramarum (Buecheler 1927: 369-70, TLL s.v.  $gr\bar{a}ma$ ). Found at the beginning of a line of trochaic septenarius, it can only be scanned as  $gr\bar{a}m\bar{a}rum$ , with a long  $\bar{a}$ . The form  $gramm\bar{o}(n)sus$  is also found, often interpreted to be an example of 'expressive gemination' (WH I: 617, EM 280).

formation preserved in Germanic, makes a-vocalism more likely.

<sup>&</sup>lt;sup>256</sup> And even then, only depending on one's view of the reflex of \**C<sub>r</sub>HC* in Armenian (cf. Clackson 1994: 36-41).

<sup>&</sup>lt;sup>257</sup> It was likely borrowed into Sumerian as *tibira* 'Metalgießer' according to Martirosyan (2009: 235) but 'sculptor' according to the PSD (s.v. *tibira*).

Comparison to Germanic forms like Go. *qrammipa* 'moisture'<sup>258</sup> (WH I: 617, EM 280, Schrijver 1991: 487-8, DV 194) is semantically difficult to defend. They probably belong to Balto-Slavic words (Lith. *grim̃zti*, Ru. *grjáznut'* 'to sink into something sticky, boggy', etc.) not as cognates of *grãmiae* (cf. WH I: 617) but as an unrelated lexeme (cf. Kroonen 2013: 300).

DV (270) connects Gk. γλάμων, -ωνος 'blear-eyed'. When it is clearly borrowed from Greek into Latin as *glamae*, it means the same as *grāmiae* (cf. WH I: 617 with lit.). Thus the semantic match seems quite good, but since neither *grāmiae* nor γλάμων can be borrowed from the other, they point to an  $l \sim r$  alternation. Further relatives of Gk. γλάμων are complex and doubtful (EDG 274). Thus both it and the *grāmiae* may both be loans.

Several Slavic forms have a shape and meaning similar to  $gr\tilde{a}miae$ . Derksen (2007: 194) reconstructs PSlav. \* $gr = m\tilde{e}\tilde{z}d\tilde{b}$ , but the attestations within RuCS alone ( $gr = m\tilde{e}\tilde{z}d\tilde{b}$ ,  $gr = m\tilde{e}\tilde{z}d\tilde{b}$ ,  $gr = m\tilde{e}\tilde{z}d\tilde{b}$ ,  $gr = m\tilde{e}\tilde{z}d\tilde{b}$ , 'pus in the eye') alongside several other attestations (SCr.  $krm\tilde{e}lj$ ,  $krm\tilde{e}lj$ , Sln.  $krm\tilde{e}lj$ ,  $krm\tilde{e}lj$ , etc. 'fester in the corners of the eyes') makes the reconstruction of a single proto-form difficult. One could propose taboo deformation or changes due to child language, but this is of course  $ad\ hoc$ . On the other hand, the  $g \sim k$  alternation suggests repeated borrowing into dialectal Slavic, which could not have occurred until around the second half of the first millennium CE. The exact relationship of the Slavic words to the Latin and Greek forms is difficult to determine.

grūmus 'heap of earth, hillock'

Pre-form: \*gruH(-)m- | PItal. \*grūmo-

Comp.: \*kroH(-)m- | PGk. \*krōmak- | Hsch. κρῶμαξ· σωρὸς λίθων, Gk.

κρωμακωτός 'heap of stones'

\*kloH(-)m- | PGk. \*klōmak- | Gk. κλῶμαξ 'heap of stones, rock'

Pokorny (385-90), WH (I: 623), EM (283), DV (273) Alessio (1944a: 124-5), Belardi (1950: 210), EDG (720)

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: geography

Traditional etymologies for Lat. *grūmus* 'heap of earth, hillock' include comparison with Gk. γρῦμέα 'bag or chest for old clothes' and OE *cruma* 'crumb' (WH I: 623 with lit.) or

<sup>258</sup> Though suspected of being a misspelling for \*krammipa because of the rarity of the onset \*g"r-(Lehmann 1986: 279), this need not be the case.

<sup>&</sup>lt;sup>259</sup> Lith. *glēmės* 'phlegm, slime' is probably a neo-full-grade to *glim-/gleim*- (Smoczyński 2018: 357); cherry-picked Albanian dialectal forms (cf. *ngjomë* 'humid, fresh') have been compared without consideration of the full variation of the evidence (Demiraj 1997: 306). WH (I: 617) adduce Engl. *clammy* 'sticky', but this is probably derived from PGm. \**klaima*- 'to smear, stick' < PIE \**glei*- (on the root, cf. Kroonen 2013: 291).

a relationship with *gremium* 'lap, bosom' (DV 273, since OCS *gramada* < \* $gr\bar{o}m$ - means 'heap, pile', but it requires a change the raising of \* $\bar{o} > \bar{u} / \_mV_{\text{[back]}}$ ). None of the proposals is particularly compelling.

A better semantic match is between  $gr\bar{u}mus$  and Gk.  $κλ\tilde{\omega}\mu\alpha\xi$  'heap of stones, rock' and its variants that attest to a non-inherited  $I \sim r$  alternation (Alessio 1944a: 124-5, Belardi 1950: 201, EDG 720). It is not the only example of a Lat. g- for a Gk.  $\kappa$ - (cf. e.g.  $gubern\bar{a}re$ , s.v.), and an  $o \sim u$  alternation occurs between Lat.  $cot\bar{o}neum$  and Gk.  $\kappao\delta\dot{\omega}$ -. Given that the  $I \sim r$  alternation within Greek suggests that the lexeme there is already of non-IE origin, and given that the alternations required for the connection of the Latin and Greek words are paralleled in comparanda of non-IE origin elsewhere, it seems better to compare  $gr\bar{u}mus$  with  $\kappa\lambda/\rho\tilde{\omega}\mu\alpha\xi$  than with other words of greater semantic distance.

# nāpus 'turnip'

Pre-form:  $*(s)neh_2p-/*snHp-|PItal.*(s)n\bar{a}po-$ 

Comp.:  $*(Si)neh_2p- | PGk. *(Si)n\bar{a}pV- | Gk. νᾶπυ, σίν\bar{α}πι 'mustard'$ 

\*(s(i/u))nipV- | PArm. \* $(s)n\bar{e}pV$ - | Arm. niw 'leaf vegetable'

■ Irreg. correspondences ■ Remarkable phonotactics

Semantics: plant, domestic WH (II: 142-143), EM (429)

Bedrossian (1875-9: 530), Ališan (1895: 101), Hehn & Schrader (1911: 211), Erichsen (1954: 43), André (1956: 297), Mayrhofer (1961: 185-6), Chantraine (1968-80: 735), Łazaryan (1981: 55), (Biville II: 316), EDG (1333)

It is widely agreed that Lat. masc.  $n\bar{a}pus$  'turnip' is a loan from Gk. neut.  $v\bar{\alpha}\pi\nu$  'mustard' (WH II: 142-143, Chantraine 1968-80: 735, EDG 1333, Biville II: 316), but this requires both a change in meaning and in gender. André (1956: 297) suggests that the difference in meaning is due to the original use of both cruciferous plants for their greens, which is plausible. The change in gender is less easy to explain. Even its similarity to synonymous  $r\bar{a}pum$  'turnip' (alongside of which it is often mentioned)<sup>261</sup> has not resulted

it surfacing as a neuter. Thus EM (429) consider nāpus an independent Mediterranean

loan.

A further indication that the lexeme is not of IE origin is the alternation between Gk. νᾶπυ and σίνᾶπι. It has been attributed to an Egyptian source based two pairs: σίλι ~ σέσελι 'hartwort' (said by Pseudo-Dioscorides to be the Egyptian word for καυκαλίς 'hartwort') and σάρι 'an Egyptian water plant' ~ σίσαρον 'parsnip' (Hehn & Schrader 1911: 211, André 1956: 296, WH II: 143). Mayrhofer (1961: 185-6) disagrees, based on

<sup>&</sup>lt;sup>260</sup> Biville (II: 316) has misunderstood Chantraine (1968-80: 735); there is no masculine doublet  $ν\tilde{\alpha}\pi\nu\varsigma$  attested. Instead there is a late-attested masculine variant of  $σίν\bar{\alpha}\pi\iota$ , namely  $σίνηπν\varsigma$  (cf. also EDG 1333). <sup>261</sup> Columella (*de Re Rustica* 2.10.23) even mentions that either plant could turn into the other.

the understanding that there is no Egyptian source form. However Erichsen (1954: 43) indeed lists one Demotic attestation of snwp.t 'name of a plant', linking it to  $\sigma i v \bar{\alpha} \pi i$ . The final -t is likely a feminine suffix, but as we cannot determine the meaning further, the link remains speculative. EDG (1333) rather puts forward a Pre-Greek argument, reconstructing  $s^* v n \bar{\alpha} p V$ - to explain the disappearing si- syllable. However, as the attestations of  $v i v \bar{\alpha} \pi i$  are later than those of  $v i v i \tau i$  need not have entered Greek at the same time.

Beyond Greek is the potential comparandum Arm. niw. Its modern dialectal meaning is 'corn salad/mâche ( $Valerianella\ locusta$ )' (Łazaryan 1981: 55), a small leaf vegetable. In Classical Armenian, it is a hapax. Estimates of its semantics vary, with Bedrossian (1875-9: 530) giving 'wild turnip' and Ališan (1895: 101) 'tarragon'. The context in which it occurs describes monks on Mount Tabor in Israel acidifying it with salt to mix with hyssop and drink on a hot day. Again, the semantics may have shifted but remain within the realm of a leafy green vegetable. If indeed related, the Armenian form can reconstruct to \*(s)nip- or \*(s)nip-, which WH (II: 143) note produces a non-IE  $\bar{a} \sim \bar{e}$  alternation akin to that in  $r\bar{a}pum$  etc.

paelex 'mistress'

Pre-form: \*ph2eil-a/ek- / \*peh2il-a/ek- | PItal. \*pailek-

Comp.: \*pa/er-ik- | PCelt. \*φa/erikā- | OIr. airech 'concubine'

\*pa/HL-ak- | PGk. \*pallakā | Gk. παλλακή 'concubine', πάλλαξ 'young woman'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: economic

WH (II: 233-4), EM (474), DV (439)

Walde (1921: 85-8), Thurneysen (1924: 146-7), Thurneysen (1946: 53-4), Leumann (1977: 69), Levin (1983: 191-7), Matasović (2009: 127), EDG (1147)

DV (439) proposes a derivation of *paelex* as \*paed-Vk-s from the root of paedor 'dirt', which is difficult formally. Walde (1921: 85-8), followed by Leumann (1977: 69) proposes that Lat. paelex was borrowed from an otherwise unattested Gk. \* $\pi\alpha$ iλαξ, from an earlier \* $\pi\alpha$ λιαξ that would also have produced  $\pi$ άλλαξ. Thurneysen (1924: 146-7) adduces MIr. airech 'concubine', though Matasović (2009: 127) speculates that it is from \*peri- 'around', thus \* $perikeh_2$  is 'a female servant, one that is around'. The connection with Av. pairikā- 'witch, demoness' proposed by Walde (1921: 87-8) seems too semantically far to justify the long-distance link. EDG (1147) dismisses all connections beyond that of paelex and  $\pi\alpha$ λλακή, favoring a connection with Hebr.

<sup>&</sup>lt;sup>262</sup> With \*e > a before a palatal consonant, a phenomenon that is not entirely consistent (Thurneysen 1946: 53-4).

*pilegeš*, Aram. *palqatā* 'concubine', as loans from a Mediterranean language. The Hebrew word has alternatively been considered a loan from Greek (cf. WH II: 234).<sup>263</sup>

It is plausible that the Latin, Greek, and Celtic words represent a Mediterranean loan (a Wanderwort according to WH II: 233). MIr. *airech*, with its r, is formally the most aberrant, but  $r \sim l$  alternations are not unattested in the Mediterranean (cf.  $l\bar{\imath}lium$ , s.v.). The forms further point to an  $a \sim ai$  vocalic alternation and all contain a velar suffix. The independence of the Semitic words remains uncertain.

pannus 'piece of cloth, rag'

Pre-form: \*pa/H-N- | PItal. \*panno-

Comp.: \*pa/o/h<sub>2</sub>-no- / \*peh<sub>2</sub>-no- | PGm. \*fanan- 'cloth' | Go. fana 'cloth', OE fana, OHG 'flag, banner', etc.

?\*peh2-no- | PGk. \*pāno- | Gk. πήνη 'the thread of the woof, wound around the bobbin; woof', Hsch. πῆνος· ὕφασμα 'woven robe, web'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: textiles

Pokorny (788), WH (II: 247-8), EM (479), DV (443, 444)

Schrijver (1991: 218-20), LIV2 (s.v. \*(s)penh<sub>1</sub>-, \*(s)pend-), Weiss (2010b), EDG (1186), Wroopen (2013: 127), Höfler (2017)

Kroonen (2013: 127), Höfler (2017)

Lat. pannus 'piece of cloth, rag' is close in form and meaning to reflexes of the Proto-Germanic n-stem \*fanan-: cf. Go. fana 'cloth', OE fana, OHG fano 'flag, banner' (WH I: 247-8 with lit., DV 443, Kroonen 2013: 127). Potentially related is Gk. πήνη 'the thread of the woof, wound around the bobbin; woof' if one trusts the Hesychian gloss πῆνος 'ὕφασμα 'woven robe, web' (EDG 1186 are doubtful). Doric forms have  $\bar{\alpha}$ , so the Greek forms reconstruct to \*peh₂-n-. There are formal problems with this set of comparanda. The two nasal consonants in each the Germanic and Latin would have separate explanations. Within Germanic, a paradigm \*péh₂-ōn-, \*ph₂-n-ós seems to have levelled the position of the n in the oblique to create a remodeled \*ph₂-no-n- or \*peh₂-nó-n- (with Dybo's Law, Kroonen 2013: 127). The Latin has a short vowel and geminate consonant, which smacks of the littera rule (cf. Kroonen 2013: 127). But this would be one of the only occurrences of this rule involving a nasal (Weiss 2010b). Thus we cannot maintain that pannus is a littera variant of \*pānus like in Gk. πῆνος and their correspondence of  $a/\bar{a}$  and n/nn is instead irregular, pointing to a loan (DV 443). Additionally, if Gk. πάτος 'garment of Hera' is from \*pņ-to-, then the vocalism of πῆνος

<sup>263</sup> Levin (1983: 191-7) formulates a narrative in which Hebrew preserves an IE  $*(h_1)p_1-leg^{h_2}-es$ , which entered Hebrew along with the institution from the Philistines. This would make (at least) Lat. *paelex* a loan from Semitic.

<sup>&</sup>lt;sup>264</sup> Lat. *pānus* 'spool with thread; abscess; panicle' is probably a direct loan from Greek (cf. DV 444).

is not the result of a full-grade root containing a laryngeal.

Lat. *pannus* has also been compared to OCS *ponjava* 'cloak, dress' and *opona* 'curtain' (WH II: 247-8 with lit., EM 479). These are from a root \*(*s*)*penh*<sub>1</sub>- 'to stretch, weave, spin' (LIV2 s.v., Kroonen 2013: 127),<sup>265</sup> from which PGm. \**fanan*- could also descend. But it cannot explain the vocalism of the Greek or Latin forms.<sup>266</sup>

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rādīx, -īcis 'root'
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Pre-form: *μr(e)h2d(ħ)- | PItal. *wrādīk-, *wrādmo- (Lat. rāmus 'branch, twig')

Comp.: *μr(e)h2d- | PGk. *wrādīk- | Gk. ῥάδῖξ, -ῖκος 'branch, twig'

*μrh2d(V)-nieh2- | PAlb. *wradn(i)ā- | Alb. rrënjë, etc. 'root; oak'

*μre/oh2d- | PGm. *wrōt- | ON rót 'root', etc.

*μrh2d-i- / *μrd-i- | PGm. *wurti- | Go. waurts 'root', ON urt, OE wyrt 'plant, herb', etc.

*μrad- | PCelt. *wradi- | MW gwreidd 'root', OCo. gwreiten 'gl. radix'

*μr(i)d- | PCelt. *wridā- | MBret. gruizyenn 'root', etc.

*μrid-ih2 | PGk. *wridia | Gk. ῥίζα, Aeol. βρίζα, βρίσδα, Myc. wi-ri-za 'root'
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■ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant

Pokorny (1167), WH (II: 415, 416), EM (562-3, 564), DV (512) Schwyzer (1939-50 I: 344 fn. 2), Schrijver (1991: 182-3), Schrijver (1995: 174), Demiraj (1997: 350-1), Vine (1999b), Matasović (2009: 430) EDG (1108, 1258, 1270, 1271). Kroonen (2013: 597, 601), Weiss (2020: 181), Stifter (fthc.)

Other forms of similar shape and identical meaning complicate the picture. Schrijver

<sup>&</sup>lt;sup>265</sup> There is a very similar root \*(s)pend- 'to stretch' behind Lat. pende $\bar{o}$  'to hang, weigh, pay' (LIV2 s.v., cf. EM 479). LIV2 calls it a Parallelwurzel. The interchange of \*d and \*h<sub>1</sub> is reminiscent of the expected results of the glottalic theory.

<sup>&</sup>lt;sup>266266</sup> The reflex of an *n*-stem like \* $pnh_l$ -Vn- should probably have given \*\*pennus (cf. Schrijver 1991: 218-20). A palma rule development like \*pnH-no- is uncertain. The only good examples occur with r and l (cf. Höfler 2017). An example with n could be antae 'pilaster' if from \* $anta\bar{a}$ -<\* $h_2hHt$ -, but \* $h_2nHt$ - (cf. Schrijver 1991: 314) > \*ant- seems reasonably possible and does not require the rule.

(1991: 182-8, 1995: 174) separates OIr. frén 'root' < \*μrid-no- and W gwrysg 'branch' < \*μrid-sko- from the rādīx forms < \*μreh₂d-. MW gwreidd 'root' could reconstruct to \*μrh₂d-jo- (if \*CRHT > CRĂT, Schrijver 1991: 182-3, DV 512) and thus be related to the rādīx forms. Vine (1999b) unites the Celtic forms with the explanation that the \*μrid- forms are actually neo-anit formations²67 from the \*μrh₂d- forms, while Matasović (2009: 430) unites them in separating them from the root \*μreh₂d- entirely. He reconstructs \*wrid- (OIr. frén, W gwrysg, MBret. gruizyenn) and a secondary full-grade \*wrad- (MW gwreidd). In this vein, Kroonen (2013: 610) supports a reconstruction of PGm. \*wurti- not as a zero-grade of \*μreh₂d- but as laryngeal-less \*μrd-. Matasović's solution seems the most compelling, especially given the potentially non-IE suffixes attested on PCelt. \*wridsko- (cf. Stifter fthc.) and \*wridnā- (see §3.3.4). Gk. ῥίζα 'root' at face value reconstructs to \*wrid- as well, though Vine (1999b) alternatively suggests this is a morphological zero-grade with schwa secundum triggered by the fact that both full- and zero-grades of \*μreh₂d- would have yielded PGk. \*wrād-.

The most straight-forward reconstructions from an IE perspective are a group of words to a root \**µreh*<sub>2</sub>*d*- and a group to a root \**µrid*- (and probably \**µrad*-). <sup>268</sup> But the reflexes of both roots mean 'root/branch' and they are formally identical but for their vocalism. It is highly likely that they represent the same lexeme, and the incompatibility of vocalism points to a non-IE origin. It remains peculiar that there are several different reflexes per branch.

raia 'marine fish, ray'

Pre-form:  $*H/ura/Hg/i-ieh_2-$  | PItal.  $*ragi\bar{a}-$  /  $*raij\bar{a}-$ 

Comp.: \*HruG<sup>h</sup>- | PGm. \*rugg- | MDu. rogghe, rochghe, Du. rog, MLG rugge 'ray'

\*HreK- | PGm. \*rehhōn- | OE (h)reohhe, ME rezge, reyhhe 'ray'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: animal, wild; aquatic

WH (II: 415), EM (563), DV (512)

Kluge & Seebold (1989: 603), Schrijver (1991: 314), Kroonen (2009: 154)

WH (II: 415) reject a connection between Lat. *raia* 'ray' and the Germanic words because they can only envision a pre-form like \**rgiā*- (an outdated reconstruction that would not yield *raia* anyways). Kluge and Seebold (1989: 603) suggest that the Germanic fish words are related to Ger. *rauh* 'rough, raw' because of the texture of the

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<sup>&</sup>lt;sup>267</sup> Cf. -sreth (PPP of sernaid 'to arrange') as if from \*str-to- beside srath 'valley' < \*străto- < \*strħ3-to-.

<sup>268</sup> If the initial omicron of Aeol. ὀρόδαμνος means that the word originally started with F (EDG 1108, 1270), then Gk. ῥάδαμνος 'branch, twig, shoot' probably belongs here too. Though interestingly, the ῥά/ρό alternation points to a zero-grade (since in Aeolic this is the regular outcome, cf. Schwyzer 1939-50 I: 344 fn. 2). Vine (1999b) suggested this might also be the result of a schwa secundum.

fish, but tentatively relate it to Lat. raia with both originating in an unknown language. DV (512) champions the connection between Latin and Germanic. The mismatched forms within Germanic attest to an  $e \sim u$  vocalic alternation along with peculiar gemination from different velars. Kroonen (2009: 154) notes that the attested material makes it difficult to explain this as the result of an ablauting n-stem. The a-vocalism of Latin could theoretically arise from \*HrHg- $ieh_2$ -, as Schrijver (1991: 314) shows that \*HRHC seems to yield raC-. But the Germanic forms seem to contradict a reconstruction with a laryngeal. Together, the forms points to a non-IE root \*ra/e/uK- 'ray'.

sappīnus 'fir tree'

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Pre-form: *sa/HP- | PItal. *sappīno-
*sa/HP- | PRom. *sappo- | OFr. sap 'fir'
*sa/Hk*- | PCelt. *sapo-uidu- | OCo. sibuit 'fir'
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?\*sa/Hb(^h)- | PItal. \*sab/fīnā | Lat. sabīna 'Juniperus sabina'

■ Irreg. correspondences

■ Remarkable phonotactics

Semantics: plant, tree

WH (II: 478), EM (585, 594)

Walde (1910: 675), Bertoni (1925: 422-23), REW (no. 7592), Alessio (1948-9: 147), Hubschmid (1953: 98-9), Campanile (1974: 95), Delamarre (2003: 267-8), Trask (2008: 258), DV (596), Matasović (2009: 420), Smoczyński (2018: 1124), GPC (s.v. sybwydd)

OFr. sap 'fir' is interpreted by WH (II: 478) as from Gaulish \*sapos < \*sak\*-, the root behind Lat. sūcus 'juice', Lith. sakaī 'resin, pitch', and OCS sok\$ 'juice'. But it must instead be from \*sappos with a geminate like the Latin. REW (no. 7592) and EM (594) recognized this, and favor the idea that Lat. sappīnus might be the result of a compound word Gaulish \*sappo- 'fir' + Lat. pīnus 'pine'. But this idea seems ad hoc and the solution irregular. Its explanatory power might be slightly greater if it accounted for the geminate pp, but it does not; the geminate is already there in the pre-form of French. Sappīnus could simply be a substantivized -īno adjective from \*sappus. OFr. sap may be a backformation from sappīnus (REW no. 7592, Alessio 1948-9: 147), but given that manuscripts of Pliny have sappium, the unsuffixed form may have actually been in circulation.

A form with a single p does exist in OCo. sibuit glossed as  $abi\bar{e}s$  'fir' (potentially a hapax in all of Celtic). <sup>269</sup> Taken at face value, it reconstructs to \*sapo-widu- (Delamarre 2003: 267-8), the second element of which seems to be PCelt. \*widu- 'wood' (cf. Matasović 2009: 420). Thus the first element would be \*sapo-, the form that WH (II: 478) took to be from \*sak\*-. If it is, it is not related to Lat.  $s\bar{u}cus$  'juice' < \*se/ouk- (DV

<sup>&</sup>lt;sup>269</sup> GPC (s.v.) considers W *sybwydd* a borrowing from Cornish, but Campanile (1974: 95) instead suggests that it is the Cornish form that is borrowed.

596) nor to Lith.  $saka\tilde{\imath}$  'resin, pitch' and OCS  $sok\mathfrak{v}$  'juice' < PBS1. \*syak-a- < \*syak\*-a- (Smoczyński 2018: 1124). Given its poor attestation in Old Cornish, it could presumably be a loan from Latin. EM (594) indicate that spellings with a singleton were in existence, and if legitimate, one of them could have served as the source of the Celtic; perhaps a form related to Plinian sappium. If the Celtic form is independent, it attests to a  $p \sim pp$  alternation between Latin and Celtic. If it is not, then it helps illustrate a  $p \sim pp$  alternation within Latin. In either case, it cannot be accounted for in inherited terms.

A further alternation might be attested within Latin in the form of  $sab\bar{n}a$  'savin juniper (*Juniperus Sabina*)' (Alessio 1948-9: 147). WH (II: 457) and EM (585) suspect that this word is related to  $sa(m)b\bar{u}cus$  'elder tree' after the suggestion by Walde (1910: 675, cf.  $samb\bar{u}cus$ , s.v.), despite not overwhelmingly agreeing with his arguments. And in fact, the evergreen, coniferous juniper is much more similar to the fir than to the deciduous flower- and berry-producing elder tree. This would establish a  $p \sim pp \sim b$  alternation similar to that seen in lepus 'rabbit' (s.v.).

Bertoni (1925: 422-23), then later Hubschmid (1953: 98-9) further compared Basque and Berber oak words. These are namely Basque *sapar* 'thicket, scrub' and *txapar* 'kermes oak' and Berb. *tasaft* 'Quercus ballota' (found in Chaouia, Tashelhit, etc.). While Trask (2008: 258) analyzes *txapar* as a diminutive of *lahar* 'bramble' from which all forms with *p* (like *sapar*) could be back-formed, 'oak' and 'bramble' are quite different. *Txapar* formally does look like a diminutive, potentially from a pre-form \**tzapar*-. In the end however, since the Basque and Berber words mean 'oak', it is difficult to link them semantically with the Latin words meaning 'fir/pine'.

sulpur 'sulfur', vars. sulphur, sulfur

Pre-form: \*su(e/o)lp-(o)r-| PItal. \*so/ulpur-

Comp.: \*su(o)lF-(o)r-|PRom.\*su(l)fur-|Catal.sofre, etc. 'sulfur'

\*sue(l)bh-lo- / \*sue(l)p-ló- | PGm. \*swe(l)bla- | Go. swwibls, OE swefl, OHG swebal, etc. 'sulfur'

□ Irreg. correspondences

■ Remarkable phonotactics

Semantics: geography

Pokorny (1046), WH (II: 628), EM (664-5), DV (598)

Much (1898: 165-6), Brüch (1933), Corominas & Pascual (1984-91 I: 438-9), Breyer (1993: 453), Szemerényi (1995: 410), Kroonen (2013: 497), Šorgo (2020: 450-1)

Since Much (1898: 165-6), Lat. *sulpur* has been compared to MHG *Schwefel* 'sulfur', though the details have varied. The earliest attempts (cf. also Brüch 1933) took the proto-form as \**syelk*<sup>w\_270</sup> due to Germanic dialectal words seeming to derive from both

<sup>&</sup>lt;sup>270</sup> The status of the Upper Palatinate form *Schwelfel* is debated. Much (1898: 165) and Kroonen (2013: 497, cited as Bavarian) take it as an archaic, undissimilated form from \*syelplo- (or \*syelk\*lo-) whereas

\*swebla- (Go. swibls etc.) and \*swegla- (OE swegel etc.). This assumes dissimilations within Germanic and a Latin borrowing from a Sabellic language. WH (II: 628) instead take the Germanic forms in -g- as recent dissimilations rather than evidence of \* $g^w$  (but do not support uniting the Latin and Germanic forms). The most straightforward account is given by Kroonen (2013: 497), who unites the forms under \*sue(l)plo-, as the Verner variant would yield PGm. \*swebla-, accounting for all the Germanic daughter forms (assuming unproblematically that the first l was lost in most languages through dissimilation and that the  $b \sim f$  alternation is due to von Bahder's Law<sup>271</sup>).

Thus we can reconstruct a root \*suelp-: full e-grade \*suelp- for Germanic and zero-grade,  $^{272}$  e-grade, or o-grade for Latin. The problem remaining is the  $p \sim ph \sim f$  alternations in (at least) spelling in Latin. WH (II: 628) explain the ph as a learned Hellenized spelling, with f being a 'bad' spelling. But the Romance languages reflect f in some forms (Sp. azufre, Port. enxofre,  $^{273}$  Catal. sofre), showing that it was more than a spelling variant (Corominas & Pascual 1984-91 I: 438-9). WH (II: 628 with lit.) suspect a Mediterranean word while EM (665) suspect Etruscan (cf. also Breyer 1993: 453). But as for ferrum (s.v.), there is very weak evidence for Etruscan being responsible for  $p \sim f$  alternations. Thus the  $p \sim f$  discrepancy of the Italic forms is a true alternation. Non-initial f for Latin cannot be reconstructed, and it generally points to loans from the Sabellic treatment of the voiced aspirates. Thus sulfur could represent a Sabellicism  $< *su(e/o)lb^h$ -(o)r. Then a reconstruction of  $*sue(l)b^h$ -lo- for the Germanic forms (i.e. not a Verner variant of the shape underlying sulpur) is also not out of the question.

Far-reaching comparanda from languages to the east (cf. Kroonen 2013: 497) are likely unrelated (cf. Šorgo 2020: 450-1), and potential IE cognates that mean 'fat, oil' (Szemerényi 1995: 410, DV 598) are semantically unattractive in light of the close semantic match with Germanic. Thus only the Italic and Germanic material can be compared with certainty. Within Italic, the Romance forms and some Latin attestations create a  $p \sim f$  alternation that is difficult to explain from an inherited perspective.

#### tamarix 'tamarisk'

Pre-form: \*ta/Hm-ar-ik- | PItal. \*tamarik-

Comp.: \*mur-ik- | PGk. \*murikā- | Gk. μυρίκη 'tamarisk'

Brüch (1933: 73) is suspicious that the original form would be maintained in only one dialect and suspects that the form in question is the result of contamination between *Schwefel* and Upper Palatinate *Schwell* 'rheinisches Gold'. The exact details do not seem to matter, as Brüch still assumes that the proto-form was \*syelk\*lós.

<sup>&</sup>lt;sup>271</sup> Described in von Bahder (1903), cf. also de Vaan (2014).

<sup>&</sup>lt;sup>272</sup> Even following the argument that \*sulp- would have been realized as \*sylp-, the result \*syolp- is the same as the o-grade.

<sup>&</sup>lt;sup>273</sup> Corominas & Pascual (1984-91 I: 439) write that the initial vowel of some of the forms does *not* require transmission via Arabic. The Arabic word in use for sulfur was *kibrīt* (cf. Catal. *alcrebite*).

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, tree

WH (II: 646), EM (676)

Lewy (1895: 44), Solmsen (1901: 14-15), Schuchardt (1918: 16), REW (no. 5360, 8548), Bertoldi (1937: 145), Alessio (1941b: 207), Hubschmid (1953: 81), EDG (981), Weiss (2020: 128-9)

Lat. tamarix looks at first to have avoided undergoing vowel weakening, but the second a could have been preserved via the alacer rule (cf. Weiss 2020: 128-9). The Greek word for tamarisk is μυρίκη, 274 such that Lat. tamarix looks like the same root with a ta- prefix. Lewy (1895: 44) suggested this phenomenon had its source in Semitic, with μυρίκη from e.g. Hebr. mārar 'to be bitter' and tamarix being from a form like Hebr. tamrūrīm 'bitternesses' referencing the bitterness of tamarisk bark used in medicinal preparations. EDG (981) follows his comparison between Hsch. μυρίκη· δυσώδης 'stinking' and Aram. mōrīqā 'crocus', but crocuses are not particularly bad-smelling. Schuchardt (1918: 16) instead interprets ta- as the Berber feminine prefix, but there is no Berber comparandum for this word.<sup>275</sup> WH (II: 646) note that the variant Lat. tamarīcē looks like it is taken from a Greek pre-form, but no such form is attested.

Romance descendants provide more information. Hubschmid (1953: 81) takes Apulian támaro 'bushy shrub' to represent a variant of the lexeme without the velar suffix (see §3.3.3). Most important is the variant tamariscus. WH (II: 646) and EM (676) consider it dubious in Classical Latin, but REW (no. 8548) notes that it underlies Romance forms like It. tamarisco and Prov. tamarisc. It would be a later variant, but seems to attest to a cs/sc metathesis or at least the appearance of a sigmatic element before the final consonant of \*tamarik-. Alessio (1941b: 207) identifies this with the \*mariscus element in several Romance forms for a type of rush that descend from \*mariscus juncus (Piedmontese, Lombardy maresk, marask 'swampy land', Lombard brisk 'rushes', Berrichon mare 'rushes for thatching the roof', cf. REW no. 5360). The comparison would be better if the semantics were closer.

In the end, it seems difficult to separate Gk. μυρίκη from Lat. tamarix (with potentially original variant tamariscus showing SK metathesis, cf. §3.2.1.2.8.3) due to their identical meaning. The initial syllable of the Latin word is from an unidentified source.

tilia 'linden tree'

<sup>&</sup>lt;sup>274</sup> The oldest attestations of Gk. μυρίκη (Homeric) attest to both ī and ĭ, suggesting that metrical lengthening has changed an original -ikē ending (Solmsen 1901: 14-15).

<sup>&</sup>lt;sup>275</sup> This recalls the case of Lat. buda 'cattail (Typha spp.)', which seems to have originated in African Latin and spread throughout Romance, and which is difficult to separate from Berber forms like Kabyle tabuda 'Typha angustifolia' with the feminine article (Schuchardt 1918: 16, Bertoldi 1937: 145, Hubschmid 1953: 26-7). Portuguese is alone amongst the Romance languages in having taboa, the form with the article attached.

Pre-form: \*(p)te/il- | PItal. \*te/ilia-

Comp.:  $*(p)tel- | PArm. *(p)tel- | Arm. t^celi 'elm'$ 

\*ptel- / tpel- | Gk. \*ptel- | Myc. pte-re-wa, Gk. πτελέα 'elm tree' ?\*h2pel- | PGk. \*apel- | Hsch. ἀπελλόν· αἴγειρος, ὅ ἐστιν εἶδος δένδρου 'black poplar'

?\*p(t)el- / (t)pel- | PGm. \*felwō- | OHG felwa, felawa 'willow'

\*h<sub>2</sub>eptlV- | PCelt. \*axtl/nV- | MBret. ezlen 'aspen', W aethnen 'aspen, poplar', OCo. aidlen 'abiēs'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, tree

Pokorny (847), WH (II: 340), EM (522), DV (480, 620)

Ernault (1895), Henry (1900), Bathe (1955), Hamp (1984), EWA (III: 132), Kluge and Seebold (1989: 525), Blažek (2003: 6), Deshayes (2003: 223), Gliwa (2008), Martirosyan (2009: 284), EDG (115, 1247), Meiser (2010: 81), Kroonen (2013: 140, 136), Schrijver (2015), GPC (s.v. *aethnen*), Šorgo (2020: 456-457), Matasović (fthc.)

The best comparandum for Lat. tilia 'linden tree' is Gk. πτελέα 'elm tree'. It could in fact be a borrowing from Greek (cf. DV 620) via the process that raises \*e to i before i in the following syllable (cf. Meiser 2010: 81) after vowel weakening. The disparate semantics suggest that they are independent of one another. A variant  $\pi \epsilon \lambda \epsilon \alpha$  of Gk. πτελέα 'elm tree' suggests a pattern similar to πτόλεμος~πόλεμος and πτόλις~πόλις. As PIE \*tpersneh2- yields Gk. πτέρνη 'heel', both \*ptel-/\*tpel- are possible reconstructions for πτελέα. But as \*tpersneh<sub>2</sub>- yields Lat. perna 'heel' (cf. DV 460), only \*ptel- could yield tilia. This could be further evidence for Latin having borrowed from Greek (cf. tisana 'pearl barley'  $\leq$  Gk. πτισάνη 'id.'). The status of Arm.  $t^celi$  'elm'—that is whether it represents a loan from Greek or an independent attestation—is disputed (cf. Martirosyan 2009: 284), but if it is indeed independent, it shows  $*t^cel - < *ptel -$  (cf.  $t^c ak \dot{c}^c im$  'hide': Gk. πτήσσω 'to cower'). Kroonen (2013: 136) adduces PGm. \*felwo- 'willow', which could be from \*tpel- (cf. \*fersno- 'heel' < \*tpers-neh2, cf. Kroonen 2013: 137) or \*ptel- (cf. \*farna- 'fern' < \*ptorH-no-, cf. Kroonen 2013: 129), though alternative etymologies exist (cf. EWA III: 132). There is a further possibility that Celtic comparanda exist. W aethnen, MBret. ezlen 'poplar', and OCo. aidnen gl. abiēs (cf. GPC s.v. aethnen) together allow for a reconstruction to PCelt. \*axtl/nV- followed by a feminine singulative suffix. 276 An a-prefixed form \*a-ptlV- would regularly yield PCelt. \*axtlV-, which in turn regularly yields MBret. ezlen, suggesting that the forms with n, i.e. W aethnen, Corn. aidnen, are innovations.

<sup>276</sup> MoBret. *evl* 'poplars' (singulative *evlenn*) is the continuation of Old Southwest British *hob-aebl* borrowed from \**eblum* < Lat. *ebulus* '?elderberry', with semantics contaminated by the *ezlen* word (Deshayes 2003: 223, Schrijver 2015) and therefore does not represent original variation.

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The semantics of this group are relatively disparate, but remain within the realm of trees.

WH (II: 340) and EM (522) further compare  $p\bar{o}pulus$  'poplar'.<sup>277</sup> A closer semantic connection is surely Hsch. ἀπελλόν 'black poplar' (EM 522, EDG 115). Since the Latin word looks reduplicated, one could reconstruct \* $h_2pel$ - for Greek against \* $po-h_2pel$ - for Latin (EDG 115 hesitantly),<sup>278</sup> but this looks similar to the \* $h_2pis$ - rejected as the preform of ἄπον 'pear' (cf. pirum, s.v.). Matasović (fthc.) compares  $p\bar{o}pulus$  to PSlav. \* $t\bar{o}polb$  'poplar' < \*ta/op-ol-. Lith.  $t\bar{u}opa$  'poplar' suggests a root \*toHp- or \* $t\bar{o}p$ -, the vocalism of which can also be reconstructed for Latin  $p\bar{o}pulus$ .<sup>279</sup> This leads him to further suggest that  $p\bar{o}pulus$  derived via assimilation from \* $t\bar{o}p$ -. WH (II: 340) had proposed the opposite development, but Matasović notes this would require independent dissimilation in both Slavic and Baltic against one assimilation in Latin. The latter is thus more likely.

The most conservative account would be to consider two separate lexemes of non-IE origin: 1) Lat. tilia 'linden tree' and Arm.  $t^celi$  'elm' as loans or independent comparanda of Gk.  $\pi\tau\epsilon\lambda\dot{\epsilon}\alpha$  'elm tree' and PCelt. \*axtl/nV-<\*aptl/nV- and 2) Lat.  $p\bar{o}pulus$  'poplar' alongside PSlav. \*ta/op-ol- and Baltic \* $t\bar{o}p-$ . But comparanda from both groups could potentially be united under a substrate root PTL/TPL,  $^{280}$  with shifting vocalism perhaps due to the accentually conditioned pattern identified by Šorgo (2020: 456-7) for the Germanic substrate: CVCVC (PSlav. \*ta/opol-, Pre-PItal. \* $t\bar{o}pol-$ ) ~ aCCC (Pre-PCelt. \*aptl-) ~ aCCVC (Hsch. ἀ $\pi\epsilon\lambda\lambda$ óv if < \*ἀ $\pi\tau\epsilon\lambda\lambda$ óv) ~ CCVC (Pre-PGk., Pre-PGm. \*ptel-/\*tpel-, PItal. \*ptel-, PArm. \*ptel-).

trabs 'treetrunk, beam'

Pre-form: \*trab- | PItal. \*trab-

Comp.: \*trēb- | PItal. trēb- | Osc. trííbúm [acc.sg.] 'house', trííbarakavúm

[inf.] 'to build', etc.

\*treb- | PItal. \*treb-ī/ē/ie- | U trebeit [3.sg.pr.] 'lives, dwells', etc.

\*treb- | PCelt. \*trebā- | OIr. treb 'settlement', MW tref 'town', etc.

\*trb-o- | PGm. \*purpa- | Go. paurp 'farmland', ON porp 'isolated settlement', OE porp 'crowd', Ger. Dorf 'village'

\*trob- | PBalt. \*trōb- | Lith. trobà 'cottage, farmhouse', Latv. trāba

<sup>277</sup> Ger. dial. *Vielbaum* might be a remnant of the lexeme in Germanic before *Pappel* was borrowed from Latin (Bathe 1955, Kluge & Seebold 1989: 525), but it is unclear if it really belongs here.

<sup>&</sup>lt;sup>278</sup> Blažek (2003: 6) interprets  $p\bar{o}pulus$  (as a backformation from adjectival  $p\bar{o}pulnus$ ?) as a reduplicated formation to a stem \*-plno- found in Gk. πάλλω 'to swing'. The stem would also occur, prefixed with \*sm- in ἀπελλόν < \*sm-pelno-. But the reduplicated syllable  $p\bar{o}$ - is not explained by this.

 $<sup>^{279}</sup>$  Gliwa (2008) concludes that Lith.  $t\acute{u}opa$  cannot be an inherited word due to the discrepancies with the Slavic evidence. But rather than this meaning he thinks it is a substrate word, he thinks it is not a genuinely Lithuanian word. He finds its limited attestation, the absence of most poplar species from Lithuania, and the existence of other words for aspen that derive from 'to tremble' to be suspicious.

<sup>&</sup>lt;sup>280</sup> In this case, the PGm. \*felwo- 'willow' could belong to this root, from \*tpel- (Kroonen 2013: 136).

'hut, hovel

□ Irreg. correspondences

■ Remarkable phonotactics

Semantics: plant / architecture

Pokorny (1090), WH (II: 696-7), EM (698), DV (626) von Planta (1892-7 II: 1 fn. 2), Ernout (1946: 29), Schrijver (1991: 481-2), Weiss (1993: 75-89), Untermann (2000: 765-6), Matasović (2009: 388), EDG (1467), Kroonen (2013: 553), Derksen (2014 s.v. *troba*), Weiss (2020: 168, 322)

Sabellic attests to a verbal root \*treb- 'to dwell', with a root noun \*trēb- 'house' with cognates in PCelt. \*trebā- 'settlement' (e-grade), PGm. \*purpa- 'crowd, settlement' (zero-grade), and PBalt. \*trōb- (o-grade lengthened by Winter's Law). It is furthermore generally well agreed that Latin trabs 'beam, tree trunk' belongs here (WH II: 696-7, EM 698, Schrijver 1991: 481-2, Derksen 2014 s.v. troba). However von Planta (1892-7 II: 1 fn. 2) already doubted the connection. It is conspicuously absent from Matasović (2009: 388) and Kroonen (2013: 553).

The problem is both formal and semantic. While the rest of the comparanda show IE ablaut, Lat. *trabs* requires *a*-vocalism, a neo-zero-grade (Weiss 1993: 77), or an explanation by which original \**trēb*-, \**trb*-/\**trob*- ablaut was replaced with *ē/a* "ablaut" found in verbal paradigms (Schrijver 1991: 482). Additionally, while all the other comparanda refer to dwellings, settlements, and communities, Lat. *trabs* sometimes refers to part of a building: the beam. EM (698) notes that *taberna*, which is generally derived via dissimilation from \**traberna* (cf. Weiss 1993: 75-6 fn. 3, 2020: 168),<sup>281</sup> since it means 'tavern, hut', would suggest that *trabs* was indeed part of the dwelling word family. The ending *-erna* is often taken to be a hallmark of Etruscan origin (Ernout 1946: 29) but there are clearly cases in which it has been added to an Italic (or at least inherited) root (Weiss 2020: 322 lists e.g. *caverna* 'a hollow' to *cavus* 'hollow' and *lucerna* 'oil lamp' to \**leuk*- 'to shine') making it non-diagnostic in this case.

DV (626) followed by Derksen (2014 s.v. *troba*) suggests that the difficulty in reconstructing a single pre-form, the \*b, and the European distribution indicates that this might not be an inherited root. Another option is a connection—originally made by e.g. WH (II: 696-7) from an inherited perspective and rejected by Schrijver (1991: 482) because it cannot be explained from an inherited perspective—with Gk. τέραμνα/τέρεμνα [nom.pl.] 'house, residence' (cf. also Weiss 1993: 83-5). EDG (1467) reconstructs a pre-form \* $terh_2b$ -no- and agree with Furnée (1972: 40, 351) in comparing θεράπνη 'servant, maid; house, residence' as evidence of a non-native origin of these words. Untermann (2000: 766) reconstructs \* $terh_2$ -mno- which would technically work as well. He writes that Lat. trabs is impossible to connect to the Sabellic forms phonetically and is too distant semantically; thus it is best connected with the Greek

<sup>&</sup>lt;sup>281</sup> Although the same dissimilation does not occur in e.g. fraternus.

words.  $^{282}$  The Latin form is indeed the most aberrant of the non-Greek comparanda, but seeing that all the comparanda in question *including* the Greek forms can be reconstructed with  $^*b$ , a chance remains that they are all remnants of a non-IE lexeme.

ulmus 'elm tree'

■ Irreg. correspondences ■ Remarkable phonotactics

Semantics: plant, tree

Pokorny (302-4), WH (II: 811-12), EM (744), DV (637) Schrijver (1991: 66), Schrijver (1997: 311), Derksen (2007: 211), Matasović (2009: 237)

The Germanic forms \*elma- and \*alma- 'elm' show an initial full vowel, so the root, if Indo-European, must be reconstructed with an initial laryngeal to avoid an invalid vowel-initial root structure. Lat. ulmus can be reconstructed as \*He/o/ulmo-, but a zero-grade from a laryngeal-initial root would probably have given \*\*almus (Schrijver 1991: 66). Matasović (2009: 237) argues that the Italic and Germanic forms can be syncopated from  $*h_1e/olimo$ -, which would match the Celtic preform from a root  $*h_1lim$ -, but this creates a disyllabic root. DV (637) skeptically derives Celtic and Slavic (cf. for the latter also Derksen 2007: 211) from a zero-grade \*h<sub>1</sub>lmo- which, along with PGm. \*elmo- and \*almo- would require the reconstruction of three different ablaut grades, full e-grade, full o-grade, and zero-grade of the root. This does not fit into any known accentual paradigm. It also requires the Brythonic form to have secondarily developed \*leimo-. Schrijver (1997: 311) experiments with reconstructing \*lemo- for the pre-form of MIr. lem, which would require unconditioned Schwebeablaut to arrive at PCelt. \*Hlem- vs. PItal./PGm. \*Helm- or an interpretation of the final \*-m- as an ablauting suffix producing \*He/ol-m- vs. \*Hl-em-. Neither of these explanations can accommodate the Brythonic form however. In the end, Schrijver (1997: 311) proposes that this is a case of a-prefixation, albeit with a vowel other than a, in which Latin and Germanic attest to \*o/e-lm- and Celtic to \*lVm-. Slavic might show \*i-lm- (cf. DV 637). The difficulty in reconstructing a pre-form that follows PIE rules without requiring extra assumptions

<sup>&</sup>lt;sup>282</sup> The formatting makes it look like he attributes this to von Planta (1892-7 II: 1 fn. 2), but von Planta simply writes that the connection of Lat. *trabs* to the Sabellic forms "scheint nicht ganz zweifellos," so I assume the vehement rejection is Untermann's own opinion.

favors considering this family of words to be of non-IE origin.

vaccīnium 'hyacinth, whortleberry'

Pre-form: \*ua/HK- | PItal. \*wakkīnio-

Comp.: \*μα/h<sub>2</sub>k- | PGk. \*wakintho- | Gk. ὑάκινθος 'hyacinth'

■ Irreg. correspondences ■ Remarkable phonotactics

Semantics: plant, berry

WH (II: 722), EM (710)

Meillet (1908: 162), FEW (14: 106), REW (no. 9111), Deroy (1956a: 188), Furnée (1972: 242, 377), Sommer & Pfister (1977: 91), Vander Kloet (1992), EDG (1523), Matasović (2009: 27), Magni (2017), Weiss (2020: 308), Kroonen (fthc.)

Vergil uses Lat.  $vacc\bar{m}ium$  to translate Gk. ὑάκινθος 'blue/purple flower, probably the hyacinth' used by Theocritus (EM: 710), but in its other attestations (Vander Kloet 1992) and the Romance languages (cf. FEW 14:  $106)^{283}$  it refers to the whortleberry/bilberry. The Latin and Greek words were proposed to be independent loans from a Mediterranean language by Meillet (1908: 162). The Greek form has the textbook pre-Greek suffix -νθ- (EDG 1523) and its ὑά- (along with perhaps Cretan inscriptional Βάκινθος 'name of a month' and ϝάκινθος [inscription from Argos] 'name of a Laconic festival') lead Furnée (1972: 242) to suggest a pre-form \*u-wa-. EDG (1523) rejects the proposal of a prothetic u-, and thus must be suggesting that ὑά- was a spelling for the continued pronunciation of wa- after the loss of digamma. This does not seem like the only option.

The semantic match between Latin and Greek is not perfect, though both refer to blue clusters, the Latin of berries and the Greek of flowers. Alternatives have included a borrowing from Greek with the geminate introduced due to contamination from *vacca* 'cow' and *vaccīnus* 'bovine' (WH II: 722, Sommer & Pfister 1977: 91)<sup>284</sup> and a derivation from *bacca* 'berry' with a substitution of v for b due to their converging pronunciation (Vander Kloet 1992). The former idea seems to have little to recommend it; Latin usually preserves the - $v\theta o c$  suffix in words it borrows from Greek (*absinthium*, *acanthus*, *calaminthe*, *plinthus*, *terebinthus*) and it is difficult to see what whortleberries have to do with cows. The latter idea seems better, but it cannot be ruled out that *vaccīnium*'s relationship to *bacca* (actually *bāca*, s.v.), itself probably non-IE, is deeper (cf. Deroy 1956a: 188). Thus *vaccīnium*, *bāca*, and Gk. ὑάκτνθος could be borrowings from the same non-IE source.<sup>285</sup>

<sup>283</sup> REW (no. 9111) gives only Sursilvan muschin, but cf. MFr. vassine, baciet, vaciet, etc.

<sup>&</sup>lt;sup>284</sup> Kroonen (fthc. with lit.) considers *vaccīnium* to derive wholesale from *vacca* 'cow', but the semantic motivation does not seem strong enough.

<sup>&</sup>lt;sup>285</sup> Jahowkyan (1987: 310) compares Arm. vaz 'vine branch' to Lat. bāca 'berry', suggesting a reconstruction \*u/ibagh. (Rasmus Thorsø, p.c.). A form \*ubagh. with its prothetic \*u-+ labial is reminiscent of the \*u-uak- Furnée (1972: 242) proposes as the pre-form of Gk. ὑάκινθος. It is also

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As to the suffix of *vacīnium*, it looks on the surface like a combination of adjectival *-īno-* and *-jo-*. But this may be coincidental. In the strictest interpretation, *-īno-* forms a genitival relationship with the base (Magni 2017, Weiss 2020: 308). Thus \**vaccīno-* (if the base is that of *bāca* 'berry') would not mean 'like a grape/berry in shape or color' but rather 'of the grape/berry'. Instead, one might compare the whole suffix \**-īnyo* to that of PCelt. \**agrīnyo-* 'sloe, fruit of the blackthorn' (cf. Matasović 2009: 27, Kroonen fthc.).

viscum 'mistletoe; birdlime'

Pre-form: \*uisk-o- | PItal. \*wisko-

Comp.: \*μiks-o- | PGk. \*wikso- | Gk. ἰξός 'mistletoe; birdlime, sticky

substance'

\*ueiks- | PGm. \*wīhsilō- | OHG wīhsela 'sour cherry'

\*µei(k)s-i- | PSlav. \*višь- | Ru. víšnja 'cherry'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, wild

Pokorny (1134), WH (II: 802-3), EM (741), DV (683) Cuny (1910: 160), Chantraine (1968-80: 465), EDG (593)

Lat. *viscum* and Gk. iξός both refer to mistletoe and the sticky birdlime that is produced from its berries. Because birdlime is also made from the sap of the cherry tree, OHG *wīhsela* 'sour cherry' and Russ. *víšnja* 'cherry' are often compared to the pair (WH II: 802-3, EDG 593, DV 683; not Chantraine 1968-80: 465, EM 741). Then one wonders what the original lexeme would have referred to: the plant or the sticky product. Otherwise, given the toxicity of the European mistletoe (*Viscum album*), the *Benennungsmotiv* of potent berries could have been extended to the sour cherry. WH (II: 803) argue that the later meanings of *viscidus* 'bitter, pungent; powerful, concentrated' demonstrate a link with *vīrus* 'slimy liquid; venom, poison' (so too does Pokorny 1134), but this is formally impossible.

All forms would reconstruct to an ablauting root \*µeiks- but for the unexpected metathesis in Latin and the fact that it does not otherwise belong to any known IE root. In fact, just such a metathesis occurs in non-IE ascia 'axe' (s.v., cf. already Cuny 1910: 160). What looks like metathesis might be an original non-IE root shape \*wiKsk- (DV 683). EDG (593) also questions IE origin. Given the parallel to another word of non-IE origin, it is more attractive to consider viscum of similarly non-native origin rather than inventing a PIE root \*µeiks- 'plant with potent berries or sticky sap'.

distantly reminiscent of the shape of Lat.  $\bar{u}va$  'grape', whose appurtenance to e- and zero-grade formations of a root \*HeiH-u- 'yew', an infamously toxic plant, is not bulletproof.

### 2.2.3 Comparanda only in Latin and Romance

arbutus 'strawberry tree'

Pre-form: \*H(e)rb(h)/dh-u-to- | PItal. \*arbuto-

Comp.: \*h<sub>2</sub>erm-ōn- | PRom. \*armōn- | Genovese armön, armún 'strawberry

tree'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, tree; fruit

WH (I: 62), EM (43)

REW (no. 610), Alessio (1941b: 188-90), Bertoldi (1942: 174), Battisti & Alessio (1950-57 I: 108, 294), FEW (XXV: 91)

Lat. *arbutus* has no good comparanda outside of Italic (WH I: 62, EM 43) but its reflexes in the Romance languages are irregular. While generally restricted to Tuscany, Corsica, and the Iberian peninsula, an adjectival derivation *arbuteus* is also found in France (Battisti & Alessio 1950-57 I: 108, FEW XXV: 91). Tuscan *àlbatro* and *àrabatro* are from *arbutus*, <sup>286</sup> but the Ligurian dialects attest to a  $b \sim m$  alternation. Lunigianese *armótoli* (and *ramótoli*, *marmótoli*) are from \**armutulus* and Genovese *armön*, *armún* are from \**armō*, *-ōnis* (Alessio 1941b: 189, Battisti & Alessio 1950-57 I: 294). <sup>287</sup> The alternation within the Romance forms cannot be accounted for from an inherited perspective. Along with the lexeme's restricted distribution, it suggests Mediterranean substrate origin (Alessio 1941b: 188-90, Bertoldi 1942: 174, EM 43).

cerrus 'turkey oak'

Pre-form: \*kerr/so- | PItal. \*ker/so-

Comp.: \*ga/HR- | PRom. \*garr- | Prov. garric 'oak'

\*ka/HR- | PRom. \*karr- | Catal. carrasca 'holm oak' \*ka/Hr- | PRom. \*kar- | It. dial. cariglio 'turkey oak'

■ Irreg. correspondences 

□ Remarkable phonotactics

Semantics: plant, tree WH (I: 207), EM (116)

<sup>286</sup> Further from *arbutus* are Sp. *álborto* and Pt. *érvodo*. It. *arbitro*, Asturian *albédro*, Galician *érbedo*, and (derived) Corsican *arbitronu* are from \**arbitus* (REW no. 610).

<sup>&</sup>lt;sup>287</sup> Alessio (1941b: 188-90) notes that the lexemes also attest an alternation between a \*-to ending and a \*-no ending, proposing that in this case the \*-to ending forms a type of collective (cf. arbos 'tree': arbustum 'orchard', filex 'fern': filictum 'fernbrake', laurus 'laurel': laurētum 'laurel grove', pomum 'fruit tree': pomētum 'place planted with fruit trees', etc.) which might mean it is not the inherited adjectival suffix. Weiss (2020: 313-14, including fn. 48) offers an alternative, native explanation, taking the full suffix -ētum as the original participle of statives.

Schuchardt (1918: 18-19), FEW (II: 408-12), Bertoldi (1933a: 287), Alessio (1935), Alessio (1936), Alessio (1941: 179), Hubschmid (1953: 93-7), Hubschmid (1960: 37, 41)

Lat. cerrus 'turkey oak' has been compared to several Berber words like akarruš that mean '(evergreen) oak' and Arabic forms like qerrūš along with a plethora of Romance forms of the shape karr/garr, especially from Iberia and southern France (Schuchardt 1918: 18-19, WH I: 207, Hubschmid 1953: 93-7, 1960: 41). Hubschmid notes that the -š rules out a Berber loan from Latin and proposes a Eurafrican substrate with  $a \sim e$  vocalic alternation. But the Berber forms need not be independent loans from a substrate; instead they can be loans from Arabic < Romance (Maarten Kossmann, p.c.).

The Romance forms on their own indeed attest to  $g \sim k$  and  $r \sim rr$  alternations: cf. e.g. Prov. garric 'oak', Catal. carrasca 'holm oak', Port. carrasca 'species of olive, heater, holm oak', <sup>288</sup> It. dial. *cariglio* 'turkey oak', Calabrian *carrigliu* 'turkey oak', etc. Basque arta-karro 'type of oak' is a compound of arta 'oak' and apparently this word (Hubschmid 1953: 93-7). It seems that only Lat. cerrus shows e-vocalism against a-vocalism everywhere else. The alternations are still not able to be accounted for in any regular way.289

genesta, var. genista 'broom (plant)'

Pre-form: \*gen-es-to-, \*gen-is-to- | PItal. \*genestā, \*genistā

Comp.:

□ Irreg. correspondences ■ Remarkable phonotactics

Semantics: plant, wild

WH (I: 591), EM (270)

Sommer (1900: 336), Lehmann (1907: 391), Herbig (1917), Bertoldi (1937b: 167), Bertoldi (1942: 196), Alessio (1937: 258), Alessio (1944a: 102), Alessio (1948-9: 116), Hubschmid (1953: 29), Hubschmid (1958: 214), Battisti (1959: 327-31), Hubschmid (1960b: 145), Breyer (1993: 100-2), DV (23), van Sluis (fthc.)

Lat. genesta occurs alongside genista, and the  $e \sim i$  alternation between them is without explanation. They are otherwise isolated to Italic.<sup>290</sup> Romance descendants attest to further vocalic irregularity (cf. It. ginestra, Calabrian yinsotra, REW no. 3773, WH I: 591, EM 270). Sommer (1900: 336) explained the differing vocalism as contamination from arista 'awn, head of grain', but since this also occurs as aresta, it only moves the

<sup>&</sup>lt;sup>288</sup> Note the wide range of meanings that includes oak. In general, amongst the dozens of forms Hubschmid cites, there is a relatively wide range of arboreal semantics, often verging on scrubland plants. <sup>289</sup> While the FEW (II: 408-12) includes these words under an entry on \*carra 'stone' (with the same non-IE pre-forms \*gar(r)a-/\*kar(r)a-) based on the idea that Basque haritz 'oak' might be a derivation of harri 'stone' and due to the German parallel Steineiche (Bertoldi 1933a: 287, Alessio 1935, 1936, 1941: 179), Hubschmid (1953: 97, 1960: 37) wisely keeps them separate.

<sup>&</sup>lt;sup>290</sup> And not a derivation from genū 'knee' (pace Lehmann 1907: 391).

problem to a different lexeme.291

Herbig (1917) argued that the word is Etruscan, on Isidore's information that *lanista* (cf. var. *lanistra* and derived forms) 'trainer of gladiators' is Etruscan. <sup>292</sup> He further proposed Etruscan origin or mediation for e.g. *lepista* (vars. *lepesta*, *lepistra*) 'goblet', *arista/aresta*, and *fenestra* 'window'. But Breyer (1993: 100-2) argues that such suffixes, where they occur in Etruscan, are coincidental conglomerations of other morphemes. <sup>293</sup> *Lanista*, if indeed of Etruscan origin, has perhaps received the Greek ending -ίστης (Breyer 1993: 240, cf. *lanius* 'butcher' without the suffix). Thus Etruscan is not the source of these suffixes in Latin. Instead, it is often considered Mediterranean (Bertoldi 1942: 196, Alessio 1944a: 102; 1948-9: 116; <sup>294</sup> Battisti 1959: 196). The best example in non-onomastic material is probably Sard. *golostru* etc. 'holly', widely attested (though not in Latin<sup>295</sup>) and of demonstrable non-IE origin (cf. Bertoldi 1937b: 167; Hubschmid 1953: 29, 1958: 214, 1960b: 145; recently van Sluis fthc.).

Despite being isolated, the inner-Latin  $e \sim i$  alternation (along with the suffix) make *genesta* quite likely to be of non-inherited origin.

*lābrusca* 'the wild grapevine'

Pre-form: \*la/(e)Hb(h)/dh/s-r- | PItal. \*lab/f/prusca

Comp.: \*la/(e)Hmb(h)/dh/s-r- | PItal. \*lamb/f/brusca | It. lambrusca 'wild grape'

etc.

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: viticulture

WH (I: 740-1), EM (334-5)

REW (no. 4814, 8281), Schwyzer (1934: 242), Alessio (1941b: 215-18), Bertoldi (1942: 171), Battisti (1960: 367, 371), FEW (V: 108-9), Furnée (1972: 272), Breyer (1993: 405)

The vowel length of the first a in Lat.  $l\overline{abruscum}$  'wild grape' is uncertain, but the u is given as short by REW (no. 4814) and EM (334). The ancient grammarians thought it was related to labrum 'lip, edge' in the sense that it grew at the edges of the fields. This smacks of a folk etymology, but the base could be a Latin word given the same suffix in

<sup>&</sup>lt;sup>291</sup> The variant *aresta* is found only in a few glosses but is widespread in Romance descendants (REW no. 648). Given its poorer attestation in Latin, I do not treat it separately. See further WH (I: 67), EM (46). As to its comparanda, some compare its "root" to *arinca* 'a kind of grain' with a Ligurian or Mediterranean suffix (Alessio 1944a: 104-5, 1948-9: 113; Battisti 1960: 353-4) but Hubschmid (1960b: 175) prefers a connection to Basque (*h*)*ari* 'thread, spun plant fiber'. Neither seems convincing.

<sup>&</sup>lt;sup>292</sup> Isid. 10.159: lanista gladiator i.e. carnifex Tusca lingua.

<sup>&</sup>lt;sup>293</sup> Further, the -*sta/-stra* alternation of some forms might have its roots in Vulgar Latin developments (Breyer 1993: 100-2 with lit.).

<sup>&</sup>lt;sup>294</sup> A development from Alessio (1937: 258), where he too considered it Etruscan.

<sup>&</sup>lt;sup>295</sup> In fact, Latin has *aquifolium* from earlier *ācrifolium* 'sharp leaf' (DV 23), which is a conspicuous neologism in the face of the widespread opaque word.

asinusca 'a grape of little value' < asinus (EM 334); cf. further the ampelonym atrusca 'a kind of grape', presumably a derivation of ater 'black'. Numerous Romance forms attest to \*lambrusca- with an additional nasal (with \*\bar{u}: MFr. lambrusce, Marche lambrusca, Piacenza lambrüska, etc.; with \*ŭ: Lyon lambrochi, Piedmontese lambrosca, etc., REW no. 4814, FEW V: 108-9). Since the alternation with m is not attested in Latin, it is not certain that it is original. WH (I: 740) and FEW (V: 108-9) consider it secondary, with the latter noting that the same phenomenon occurred with Lat. strabus 'squinting, crooked (of eyes)' < Gk. στραβός. Lat. strambus 'bow-legged' occurs in glosses and is the only form continued by the Romance languages (It. strambo 'strange, contorted', Sp. zambo 'bow-legged', Romanian strâmb 'crooked', etc., cf. REW no. 8281). Schwyzer (1934: 242), after discussing cases where late Gk. μβ has developed from original \*ββ, suggests that strambus might reflect \*στραββός with expressive geminate alternation. No such explanation can be given for \*lambrusca, as it is does not have a Greek pre-form. Thus it may represent the  $b \sim mb$  alternation of sambūcus  $\sim$  sabūcus (Alessio 1941b: 215-18; Bertoldi 1942: 171; Battisti 1960: 367, 371; Furnée 1972: 272, EM 334<sup>296</sup>). Alessio (1941b: 215-16) sees behind \*labr- the Mediterranean substrate word \*lapa/\*laba and compares it to Lat. lapis 'stone' (s.v.). 297 His justification, that labrusca is the 'vite della rupi' is too imaginative to be secure. La(m)brusca's deeper etymological origins remain opaque.

While often compared to laburnum 'broad-leaved beantrefoil' with varying degrees of certainty (cf. WH I: 740-1, EM 334-5) due to the similarity of the element lab-, there is no compelling semantic reason to link them.<sup>298</sup>

#### lepus, -oris 'hare'

Pre-form: \*lep-os- | PItal. \*lepos-,

\*la/Hpp-Vr- | PRom. \*lapparo- | Fr. lepereau 'bunny' Comp.:

□ Remarkable phonotactics ■ Irreg. correspondences

Semantics: animal, wild

WH (I: 775, 783), EM (346, 351), DV (335)

Körting (1908: 231), Brüch (1914: 351-70), Schrader & Nehring (1917-23: 442), Bertoldi (1937a: 146), Hubschmid (1943), Alessio (1944a: 101), FEW (V: 175-7), Carnoy (1955b: 597-600), Wagner (1960-4 II: 22-3), Furnée (1972: 231), Trask (2008: 173). Weiss (2020: 163)

The inherited reflex of Lat. lepus in the Romance languages is e.g. Fr. lievre, It. lepre,

<sup>&</sup>lt;sup>296</sup> Some of these scholars also place emphasis on the fact that this is a viticultural word, but we do not need the semantics to suspect a non-IE word here.

<sup>&</sup>lt;sup>297</sup> Alessio (1944a: 104) seems to suggest that the suffix *-usco* here, like *-asco*, is Ligurian. But I am skeptical of morphological claims like these that are often based on toponyms.

298 Even if related, that they are of Etruscan origin due to the ending of *laburnum* is without good

evidence (cf. Breyer 1993: 405).

Sp. *liebre* all meaning 'hare'. The source of Fr. *lapin* 'rabbit', *lapereau* 'bunny' and Pt. *laparo* 'rabbit' is a different version with *a*-vocalism and gemination, which is likely a sister rather than a daughter of Lat. *lepus* (cf. Hubschmid 1943, FEW V: 175-7). Sardinian dialects (*lèppore*, *lèppere*, *léppuri*, *lèppuri*, *lèppiri*) attest three preforms \**leppore*, \**leppere*, and \**leppure* (cf. Wagner 1960-4 II: 22-3) whose gemination shows they are also not inherited from Latin. The Romance and Sardinian evidence corroborate forms in the writings of classical authors, which otherwise might not have much credence. Strabo writes  $\lambda \epsilon \beta \eta \rho i \zeta$  'rabbit', later labeled as Massiliot by Erotianus. Pliny writes gives *lauricēs* (sg. presumably \**laurex*) 'rabbit fetuses' as Balearic (WH I: 775, EM 346; cf. further Bertoldi 1937a: 146, Alessio 1944a: 101).<sup>299</sup> Beyond gemination, these forms attest to a labial alternation  $p \sim b \sim w$  (Furnée 1972: 231).

Benveniste rejects that *lepus* is an old s-stem. <sup>300</sup> In fact, outside of Latin, the lexeme always has an r. Non-neuter polysyllabic s-stems generally undergo levelling to r in the nominative (Weiss 2020: 163), which *lepus* [masc.] has not done. On the other hand, seeing as the rest of the comparanda do not have an s, this is not a retention but rather an analogical production. (Strangely then, the analogy is with the neuter s-stems that do not undergo the levelling).

Semantic explanations have been plentiful and imaginative. At least thrice, the family of words has been explained as meaning "the one with hanging ears" (Körting 1908: 231 from Germanic *lapp*-; Brüch 1914: 351-70 as original IE Ligurian words via the roots \**leg*\*- and \**lep*- + \**ausro*-; Carnoy 1955b: 597-600 from an Indo-European substrate). FEW (V: 175-7) suggested a derivation from \**lappa*- 'stone slab' (cf. *lapis*, s.v.). It has been called Iberian (WH I: 783), Lybico-Iberian (Bertoldi 1937a: 146), Mediterranean (EM 351), and Ligurian (Brüch 1914: 351-70).

In the end, we can conclude that all of the independent forms taken together seem to show that the whole root was disyllabic, non-IE \**lVBVr*-. Strangely enough, the European hare (*Lepus europaeus*) is widespread in Europe and its native range includes the Pontic steppe. It is the European rabbit (*Oryctolagus cuniculus*), Lat. *cunīculus* (s.v.), that was foreign to Europe outside of Iberia (EIEC 258). It is thus curious why a foreign word was applied to the native species.<sup>301</sup>

sambūcus 'elder(berry/flower)'

Pre-form:  $*sa/Hmb(^h)-|$  PItal.  $*samb/f\bar{u}ko-|$  Lat.  $samb\bar{u}cus$   $*sa/Hb(^h)-|$  PItal.  $*sab/f\bar{u}ko-|$  Lat.  $sab\bar{u}cus$ 

<sup>&</sup>lt;sup>299</sup> Varro writes that Siculi, Acolis, and Graeci called *lepus*  $\lambda$ έποριν, but some have taken the apparent rhotacism to mean that this is a loan from Latin (EM 352, WH I: 786). The r may be original rather than the result of rhotacism, but this word is still so close to the Latin oblique form that I am wary of using it as independent evidence.

<sup>&</sup>lt;sup>300</sup> Apud WH (I: 786) cited as BSL 33: 53f., but I can find no such article in that volume.

<sup>&</sup>lt;sup>301</sup> The forms outside Latin suggest that this word also originally meant 'rabbit'. Perhaps it displaced *cānus* < \*kHs-no- (only 'white, hoary, gray' in Latin but with the additional meaning 'hare' in Celtic, Germanic, Baltic, and Indo-Iranian) via some sort of taboo (cf. Schrader & Nehring 1917-23: 442).

Comp.: ?

☐ Irreg. correspondences ■ Remarkable phonotactics

Semantics: plant, tree; fruit

WH (II: 473), EM (592)

Cuny (1910: 158), Walde (1910: 675), Peterson (1914: 142-3), Brüch (1922: 232-41), Schwyzer (1934: 242-3), Knobloch (1955), Haas (1959: 35), Hester (1965: 364), Ahd. Wb. (I 1968: 1478), Furnée (1972: 272, 347), Puhvel (X: 106-7), EWA (II: 417), EDG (563), Simon (fthc.)

Walde (1910: 675) tried to connect  $sab\bar{u}cus$  to  $faex\ sab\bar{u}na$  'strong-smelling oil' and  $sab\bar{u}na$  'type of juniper' via \*sab-, a root variant of \*sap- (cf.  $sapi\bar{o}$  'to taste, perceive'), attributing the nasal of  $samb\bar{u}cus$  to analogy with the Greek loan  $samb\bar{u}ca$  'stringed instrument.' Brüch (1922: 232-41) instead began with Dioscorides' account that for elder, the Romans say  $\sigma\alpha\mu\beta$ ούκουμ, the Gauls  $\sigma\kappa$ οβιὴν, and the Dacians  $\sigma$ έβα. He proposed that a PIE \*(s)keb- entered Latin as loan via a sat2m Daco-Thracian reflex \*sab3- ~ \*sam4- (with the understanding that Daco-Thracian had an internal  $sat}5$  ~  $sat}5$ 0 alternation) or directly via a zero-grade \* $skb\bar{u}$ 6- followed by loss of the  $sat}5$ 1 (like in the \*skt6 of sat3 and anaptyxis. These analyses rely on heavy speculation about developments within poorly understood Dacian. Furnée (1972: 347) takes the Lat.  $sat}5$ 2 vs. Dacian  $sat}6$ 3 at face value.

Walde (1910: 675) had alternatively suggested a borrowing from Gk. σάμψ(ο)νχον 'marjoram' with dissimilatory loss of the second sibilant. Brüch (1922: 237) found this unlikely because it does not explain the forms without the nasal, but thought that contamination with it (or its borrowed Latin form  $samps\bar{u}chum$ ) could have led to the introduction of the m into original  $sab\bar{u}cus$ . WH (II: 473) are unwilling to believe that contamination would occur from a word with such a different meaning. Cuny (1910: 158) instead suggested they are independent reflexes of a third source form. Haas (1959: 35) proposed a PIE  $*som-b^h(o)u\acute{g}$ - (cf. Ru. buzina 'elder' etc.  $^{302}$ ) entered IE Pre-Greek with 'lautverschobenem' \*k, whence it was borrowed into Latin before undergoing the Pre-Greek change  $*b^hu$ -  $> \psi v$ -. Hester (1965: 364) notes that the normal spelling in Greek is with -ovχ-, outside the environment of the proposed change (though Haas considers that the change also occurred before diphthongs with u). The connection with the Greek word is semantically very weak. The connection with PSlav. \*bvzv- 'elderberry' is stronger, but requires proposing a  $*k \sim *\acute{g}^h$  alternation and an analysis of the Latin word as  $sam-b\bar{u}cus$ . A similar analysis that takes  $-b\bar{u}cus$  to be a

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<sup>302</sup> Peterson (1914: 142-3) had earlier rejected a connection between PSlav. \*bьzь- and Lat. sa(m)būcus due to phonological difficulties. Pogodin (apud Peterson) had suggested that Slavic forms with additional initial cha- and che- elements would correspond to Lat. sabūcus and sambūcus, each with irregularities in the system (PSlav. \*a vs. \*b, the m in Latin). Peterson (1914: 143) instead proposes that this initial element is from another lexeme (PSlav. \*xъbъtъ 'dwarf elder') and the irregularity is due to folk etymological contamination.

separate element, is to compare it to OHG *buggila* (cf. EM 592) 'mugwort (artemisia),' but this is riddled with semantic problems<sup>303</sup> and still does not explain the *sam*- element.

Secure comparanda for the Latin forms are therefore unknown, but the vacillating nasal element between  $samb\bar{u}cus$  and  $sab\bar{u}cus$  are irregular within Latin itself. WH (II: 473) note that the  $m \sim mb$  alternation is similar to cases like Gk.  $\theta \circ \beta \rho \iota \varsigma / \theta \circ \mu \beta \rho \iota \varsigma$  'savory (plant)', which also looks non-IE (cf. also EDG 563). The likely explanation is that these alternating forms within Latin point to a non-native origin for this lexeme as well. The additional variants sabuncus and  $sabb\bar{u}cus^{304}$  appear in glosses and, if trustworthy, suggest that this is one of the later loans into Latin.

Sometimes Hitt. *sampukki*- 'a pot-dish (ingredient)' as a "typical culinary culture word" is adduced (Knobloch 1955: 5-10, Puhvel X: 106-7, Simon fthc.), but its meaning is far too poorly understood to connect it with certainty.<sup>305</sup>

talpa 'mole'

Pre-form:  $*ta/Hlp- | PItal. *talp\bar{a}$ 

Comp.: \*da/Hrb(h)-|PRom.\*darbo(n)-|OProv. darbon, etc. 'mole'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: animal, wild

WH (I: 324, II: 644), EM (164, 675), DV (605)

REW (no. 2473), Bertoldi (1931: 149-52), Alessio (1939: 328), FEW (III: 13-14),

Hubschmid (1963-5 I: 14)

Lat. talpa 'mole' has resisted etymological analysis (WH II: 644, EM 675, DV 605), but Bertoldi (1931: 149-52, later Alessio 1939: 328, Hubschmid 1963-5 I: 14) convincingly compares it to Romance forms that attest to a voiced version of the stops and an r for the l, alternations that occur in other substrate lexemes. The word already occurs in Polemius Silvius as darpus 'a four-footed animal', which WH (I: 324) explain as underlyingly \*darbus with a p for b on the influence of talpa. Inherited talpa underlies e.g. Fr. taupe. The alternate \*darbo- (cf. REW no. 2473) underlies several forms including OProv. darbon, dauphinois darbon, drabon,  $zarb\tilde{o}$ ,  $\delta arb\tilde{o}$ ,  $zarb\tilde{o}$ , etc. 'mole' but also Draguignan darbou 'rat', Tarn darboun 'shrew', etc. It is restricted to Frainc-Comtou,

<sup>303</sup> OHG buggila refers to species of Artemisia. Ahd. Wb. (I 1968: 1478) suggests that OHG buchil(e), puchil is the same lexeme, but EWA (II: 417) is cautious because these refer to 'water hemlock', an entirely different plant. None of the words has a secure etymology. The potential attraction of puchil is that it is once given as a gloss of sambuca. But the Prudentius passage cited in the gloss (et varios iubet obmutescere cantus, organa, sambucas, citharas calamosque tubasque) is clearly about musical instruments. Thus Ahd. Wb. (I 1968: 1478) has to assume that sambūca (the musical instrument) was somehow mistakenly given for sambūcus (elder) in the gloss.

<sup>&</sup>lt;sup>304</sup> Schwyzer (1934: 242-3) proposes explaining the variation in forms via metathesis or substitution of borrwed (expressive) gemination.

<sup>&</sup>lt;sup>305</sup> It could be taken into consideration, however, that elderberries are poisonous unless cooked.

Franco-Provençal, and eastern Provençal until just across the Rhone, leading FEW (III: 13-14) to propose that it is of Ligurian or Gaulish origin; in any case a pre-Latin language.

# 2.3 Origin Unclear

### 2.3.1 No Comparanda

acinus 'berry, esp. grape'
 Pre-form: \*h₂ek-ino- | PItal. akino Comp.: ?
 □ Irreg. correspondences □ Remarkable phonotactics
 Semantics: viticulture

WH (I: 8-9), EM (6-7), DV (23)

LS (s.v. acinus)

WH (I: 8-9 with lit.) and EM (6-7) reject proposed cognates and suspect that Lat. *acinus* is from a Mediterranean language based on its viticultural semantics. But on the grounds of an additional meaning 'grape seed,' DV (23) proposes a derivation from  $*h_2e\acute{k}$ - 'sharp' due to the bitter taste of grape seeds. Without comparanda, there is no way to support the claim of substrate origin. But the inherited explanation does not seem fully satisfactory. (LS s.v. *acinus* cite Cicero *de Senectute* 15.52 as an example of the words use in the meaning 'grape seed', but it occurs in the collocation *ex acini vinaceo* in which it is *vinaceo* that means 'grape seed'.)

ās, assis 'copper coin < '\*bronze plaque of one pound in weight'

Pre-form: \*h2ed-ti- | PItal. \*assi-

Comp.: ?

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: metallurgy / economic

WH (I: 71), EM (50), DV (57)

von Planta (1892-7 I: 295), Ernout (1954: 106), Breyer (1993: 123), Vine (2016: 324)

Lat.  $\bar{a}s$  is without comparanda. There is no evidence that it is of Etruscan origin (*pace* Ernout 1954: 106, EM 50). <sup>306</sup> Perhaps the most promising etymology is by von Planta

<sup>&</sup>lt;sup>306</sup> No similar word is attested in Etruscan, the assumption that semantically similar *lībra* is also Etruscan is wrong (it is probably inherited, s.v. *lībra*), and Etruscan numerals attest to a decimal system (Breyer 1993: 123), not a duodecimal system.

(1892-7 I: 295), followed in part by WH (I: 71) from something like \*ad-ti-,  $^{307}$  given as meaning 'solidified' as though to the PIE root \* $h_2ed$ - 'to dry out'. Semantically, this is difficult to verify.

autumnus 'autumn'

Pre-form: \*h<sub>2</sub>eut- | PItal. \*auto/umno-

Comp.: ?

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: cosmology

WH (I: 87-8), EM (61), DV (19, 64)

Ernout (1946: 34), Breyer (1993: 411-14 with lit.), Rix (1997 with lit.), Schaffner (2014)

WH (I: 87-8) reject etymological proposals like a derivation from the root of Lat.  $auge\bar{o}$  'to grow' or OIr.  $\acute{o}cht$  'cold' because they rely on the likely folk etymological manuscript spellings with auct-. Etruscan origin is often claimed or considered (Ernout 1946: 34, WH I: 88, EM 61, DV 64), but Breyer (1993: 411-14 with lit.) shows that the arguments are problematic. Rix (1997 with lit.) likewise rejects all previous proposals and proposes a preform \*au-tom-tom-tom \*tot \*tot difficult to believe however (cf. DV 19) and requires the preservation of otherwise unattested very archaic semantics and morphemes. Schaffner (2014) revives Schrader-Nehring's connection with PGm. \*tot \*tot and \*tot \*

balteus 'belt'

Pre-form: \*ba/Hlt- | PItal. \*baltejo-

Comp.: ?

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: textiles WH (I: 95), EM (65)

<sup>307</sup> The nominative is usually given as  $\bar{a}s$ , proposed to be from \*ass (DV 57, Vine 2016: 324), but the lengthening of the vowel seems difficult to explain. Lachmann's Law should produce from \* $h_2ed$ -ti- $*\bar{a}ss > \bar{a}s$ , but then the oblique should be \*\* $\bar{a}sis$ .

 $<sup>^{308}</sup>$  That -mno- is a suffix of Etruscan origin is difficult to confirm. Many Etruscan forms in -mna and -mne can however be interpreted as derivations in -na to a stem ending in m (Breyer 1993: 68). No similar word is attested in Etruscan besides perhaps avil 'year', but this leaves the rest of the form unexplained.

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Pfiffig (1969: 37), Bonfante (1985: 203), Breyer (1993: 428-9), Rix (2009: 145-6)

Charisius in his Ars Grammatica (I 77.9) says that Varro gives balteus as a Tuscum vocabulum, and so it is generally accepted as borrowed from Etruscan (WH I: 95. EM 65, Breyer 1993: 428-9). Bonfante (1985: 203) specifically removes it from consideration due to the b, as he considers Etruscan to have had strictly no voiced plosives. Indeed, where Latin speakers reflected Etruscan names with voiced consonants, they are word internal (see fn. 204). The assumption that balteus is of Etruscan origin without any attested Etruscan forms of the word is already problematic on its own. Lat. balteus remains without good comparanda.

cicōnia 'stork'

Pre-form: \*(ki-)kōn- | PItal. \*kikōniā-

Comp.:

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: animal, bird

Pokorny (525-6), WH (I: 212), EM (119), DV (113)

Walde (1910: 123), Niedermann (1919: 80-1, fn. 1), Alessio (1943: 234), André (1978: 30), Breyer (1993: 244-5), TLL (s.v. cicōnia)

Lat. ciconia also occurs as Praenestine conea in Plautus. Niedermann (1919: 80) derives the latter via haplology, while DV (113) proposes onomatopoetic reduplication. A connection with cano 'to sing' is semantically questionable (storks do not sing, André 1978: 30) and relies on comparison with OHG huon 'hen' (Walde 1910: 123) < \*koh2n- (DV 113), but the latter may instead be a secondary vrddhi-derivative of PGm. \*hanan- < \*kh2n-on- (Kroonen 2013: 207, 240). Thurneysen (in the TLL, s.v. cicōnia) noted a similarity between ciconia and Etruscan words like cicu and cicunia. Further similar forms attested in Etruscan include cicui and cicusa (Breyer 1993: 245), but none of them is of known meaning. A Hesychius gloss gives γνίς as the "Tyrrhenian" word for 'stork', but this undoubtedly simply means Italic (cf. fn. 339), and at best can be taken as another example of the un-reduplicated lemma. While Etr. cicunia looks like an exact match for cicōnia, Breyer (1993: 245) notes that, within Etruscan, this would be a feminine formation to masculine cicu. Thus, either Latin borrowed a less frequent, derived word for 'female stork' from Etruscan or, more likely, the Etruscan word is a borrowing from Latin. Claims of Mediterranean origin based on reduplication and similarity to cicāda (Niedermann 1919: 80-1, fn. 1, Alessio 1943: 234, WH I: 123, EM 119) are without comparanda (either for *cicōnia* or *cicāda*) to verify them.

ferula 'giant fennel'

\* $b^h/d^h/g^{wh}es-$  | PItal. \* $fese/o/ul\bar{a}$ Pre-form:

Comp.:

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: plant, wild / tool

WH (I: 487, 489), EM (230, 231), DV (214, 216) Alessio (1941b: 197-203), Battisti (1959: 154, 156-7)

Lat. ferula 'giant fennel' has no convincing external comparanda. Based on the idea that the giant fennel was named in part after its hollow stalks, it is widely accepted that ferula from a root \*fes- is related to  $fest\bar{u}ca$  'stalk, straw; ram, pile-driver' (WH I: 487, 489; EM 230, 231; DV 214, 216). But this gets us no closer to an internal etymology. Alessio (1941b: 197-203) took the root as \*fis- due to the change that may have made \*si-so into  $ser\bar{o}$ , adducing several other words: fistula 'reed, tube',  $fist\bar{u}ca$  'pile-driver' (perhaps a variant of  $fest\bar{u}ca$ ), and fiscus 'woven basket'. But the i > e change is probably not regular (cf. pirum, s.v. for discussion). It would point instead to an irregular  $e \sim i$  alternation, but it is not clear that the words belong together semantically.

It is even unclear if *ferula* and *festūca* belong together. The latter has the suffix -ūca found in e.g. μούτουκα 'thyme, Cistus', <sup>309</sup> *sambūcus* 'elderberry', *lactūca* 'lettuce', etc., suggesting that the stem is \**fest*- as opposed to the \**fes*- of *ferula* (DV 216). <sup>310</sup> Alessio (1941b: 197-203) interprets the -*st*- as an Etruscan suffix further found in *are/ista* 'awn, ear of grain' and *gene/ista* 'broom (plant)'. But this would further separate \**fes*- from \**fe-st*-. The internal analysis of *ferula* and its potential relatives does not satisfactorily demonstrate non-IE origin, and without comparanda, little more can be said.

fovea 'pit, trap, cave'

Pre-form:  $*b^h/d^h/g^{wh}e/ou$ - | PItal.  $*f/b/\gamma^wowejo$ -

Comparanda: ?

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: magico-religious / geography

Pokorny (451), WH (I: 467-8, 538), EM (221, 250), DV (237)

Solmsen (1904: 4), Ernout (1946: 35-6), Schrijver (1991: 443-4, 448), Breyer (1993:

256-9), EDG (1618)

Lat. fovea 'pit' is without comparanda. A connection with Gk. χειά, Hsch. χειά· ἡ κατάδυσις τῶν ὅφεων καὶ δρακόντων 'serpent's den' (WH I: 538 with lit.), whose further relations inside Greek are unclear (EDG 1619), fails on inherited grounds in that  $*g^h$  does not yield Lat. f- (Schrijver 1991: 448, DV 237; ). Both may be loans from a common source, but the semantic link is not very strong (EM 250).

309 Called Etruscan by Pseudo-Dioscorides and appearing in Calabrian mútaka 'Cistus monspeliensis'.
 310 Alessio argues that this is a Mediterranean suffix, but it occurs in native formations as well (cf. cadūcus 'fallen': cadō 'to fall', fīdūcia 'trust': fīdō 'to trust').

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The appurtenance of *favis*(*s*)*ae* 'cisterns?' is considered doubtless by WH (I: 467-8). But it is doubted by EM (221) and DV (237) and all but rejected by Schrijver (1991: 443-4) on semantic grounds.<sup>311</sup> The *-issae* ending is widely considered to be of Etruscan origin,<sup>312</sup> but this gets us no closer to an etymology. Either *fovea* is also Etruscan or *favis*(*s*)*ae* is a Latino-Etruscan hybrid formation (cf. Breyer 1993: 256-9 with lit.) via Thurneysen-Havet's Law (\**fou.íssae* > *favis*(*s*)*ae*, Solmsen 1904: 4). Schrijver (1991: 444) is hesitant to explain one etymologically obscure word as a regular development from another etymologically obscure word, and his hesitation is well advised. Without further comparanda, little more can be said about *fovea*.

hircus 'he-goat'

Pre-form:  $*g^her-k-|PItal.*\chi i/erko-$ 

Comp.: ?

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: animal, domestic

Pokorny (445-6), WH (I: 649-51), EM (296), DV (286)

Fruyt (1986: 242), Blažek (2005: 6-7), Kloekhorst (2008 s.v. *ualkuua*-), Garnier (2017b)

Given that the other Latin goat words are potentially non-IE, some suggest hircus is too (EM 296, DV 286), but there are potential explanations for its invalid  $*D^heT(")$  root structure (with labiovelar reconstructed to account for Sabine hirpus 'wolf', WH I: 649, Fruyt 1986: 242, EM 296). WH (I: 649-50) compare it to hirtus 'rough-haired'. Perhaps  $hircus < *\chi erk-o-$  and  $hirtus < *\chi erk-to-$  (with dialectal raising of \*e before rC) to  $*g^her-$ , an s-less variant of  $*g^hers-$  (cf.  $horre\bar{o}$  'to stand erect')(DV 286). The velar element could be a k-suffix. Without secure comparanda, we cannot see if it is part of the root. Garnier (2017b) alternatively proposes a sound law whereby \*-t-y- > \*-k-y- such that some case forms of a formation \*hirtuus would yield \*hirquus > Lat. hircus. (Presumably, if early enough, this would allow for the development of Sabine hirpus as well, but the semantics are obviously problematic [cf. DV 286].) Blažek (2005: 6-7) compares HLuw. irwa- 'gazelle', which would as good as guarantee an IE origin, but Kloekhorst (2008 s.v. yalkuya-) shows that a labiovelar is not lost in this environment in Luwian (cf. CLuw. papparkuya 'to cleanse'  $< *prk^*-$ ).

Lār, Laris 'tutelary deity'

Pre-form: \*leHs- | PItal. \*lās-

Comp.: ?

<sup>&</sup>lt;sup>311</sup> Its meaning is not entirely clear: either cells and cisterns under the Capitoline temple in which sacred objects are placed (Varro *apud* Gellius) or areas of enclosed water around temples (Festus).

<sup>&</sup>lt;sup>312</sup> Cf. Ernout (1946: 35-6), on e.g. the evidence of *mantissa* 'addition, makeweight', *lingua Tusca* according to Festus.

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: magico-religious

Pokorny (654), WH (I: 762-3, 766), EM (341-2), DV (327, 328, 380)

Schrijver (1991: 167-8), Breyer (1993: 42-3)

The Carmen Arvale has Lases, strongly suggesting that the root of  $L\bar{a}r$  is not \*lar- but rather \*las- (though the form could be purposefully archaizing). DV (327) argues that the ablaut  $l\bar{a}r$ - :  $l\bar{a}r$ - is not a productive pattern and therefore is a secondary phonetic development or the result of a loanword. It occurs in  $s\bar{a}l$ ,  $s\bar{a}lis$  'salt' and  $m\bar{a}s$ ,  $m\bar{a}ris$  'male, masculine', but they are archaic (Schrijver 1991: 167-8). On the other hand, WH (I: 762-3) argue that the length of  $L\bar{a}rs$  is not secure; it is not metrically secured, only explicitly called for in Priscian. Comparanda are only convincing within Latin:  $L\bar{a}rua$  'evil spirit, demon, mask' < \* $l\bar{a}s$ - $Vw\bar{a}$ - is formally and semantically a good match for  $L\bar{a}r$  (WH I: 766, EM 342, DV 328). A further connection to  $lasc\bar{v}us$  'frisky, lustful' in which the root \*lasc- meant 'eager' or 'voracious' (cf. Pokorny 654) is difficult to prove.

EM (341-2) suspect Etruscan origin exclusively on semantic grounds and due to the similar formation in *Minerva*. The latter is however conventionally explained as inherited \*menes-wo- < \*men-os- 'thought' (cf. DV 380), regardless of the existence of a morpheme -ua in Etruscan (Breyer 1993: 42-3). Lār remains without secure external comparanda to help determine its origin.

mēlēs 'badger, marten'

Pre-form:  $*meH-l-/*m\bar{e}l-|$  PItal.  $*m\bar{e}l-$ 

Comp.: ?

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: animal, wild

Pokorny (118-20), WH (I: 474), EM (394)

Alessio (1944a: 138), REW (no. 5474), Schrijver (1991: 375)

The meaning of Lat.  $m\bar{e}l\bar{e}s$  is not entirely clear. In Pliny (Nat. Hist. 8.138), it is an animal that inflates its skin to repel the blows of men and the bites of dogs. Perhaps this might be the sturdy badger. But it is followed by a description of the behavior of squirrels. If this is any indication that Pliny thought they looked similar, then perhaps it is a marten or polecat. In Varro (de Re Rustica 3.12.3) both  $f\bar{e}l\bar{e}s$  and  $m\bar{e}l\bar{e}s$  are animals that can be kept out of a rabbit warren by plastering the gaps in the surrounding fence. That he uses both words suggests there is a distinction between them, but that he mentions them in rapid succession might mean that they are synonyms for weasel-like pests. In any case, the Romance descendants of  $m\bar{e}l\bar{e}s$  (like Tarentine  $milo\tilde{n}a$ , Calabrian  $mulo\tilde{n}a$ , REW no. 5474) mean 'badger'. Alessio (1944a: 138) notes Spanish melandro 'badger', emphasizing that the suffix is found in some substrate words; but the rest of the root

shows no irregular alternation.

Thus, despite  $m\bar{e}l\bar{e}s$  often being considered borrowed with  $f\bar{e}l\bar{e}s$  (s.v.), from an Alpine language and presumably attesting to a \* $b^h \sim *m$  alternation (Pokorny 118-20, WH I: 474, EM 394), Schrijver (1991: 375) keeps them separate, only comparing them in that the  $-\bar{e}s$  declension may have been generalized to both from other animal words like  $volp\bar{e}s$  'fox'. I feel it is best to keep them separate as well, which leaves  $m\bar{e}l\bar{e}s$  without comparanda. No more can be said of its origins.

nītēla 'kind of rodent'

Pre-form: \*(k)neit- | PItal.  $*n\bar{t}\bar{e}l\bar{a}$ -

Comp.: ?

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: animal, wild

WH (II: 170), EM (442), DV (410)

WH (II: 170) suggest that  $n\bar{\imath}t\bar{e}la$ , better attested as  $n\bar{\imath}t\bar{e}dula$  'hazel dormouse' is from  $n\bar{\imath}tor$  'to climb'. But as DV (410) notes,  $n\bar{\imath}tor$  does not mean 'to climb'; it means 'to lean, exert'. He suggests a connection with  $n\bar{\imath}dor$  'strong smell, fumes' (its other cognates mean 'to scratch'), which would produce a non-IE  $d \sim t$  alternation. But no comparanda are certain enough to confirm an origin.

puteus 'well, pit'

Pre-form: \*put- | PItal. \*putejo-

Comp.: ?

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: geography

Pokorny (827), WH (II: 393), EM (547-8), DV (502)

Breyer (1993: 378-9)

Pokorny (827) connects *puteus* 'well, pit' to *pavīre* 'to thump, pound, strike', but this is formally difficult (DV 502 derives *pavīre* from \**ph₂u-ie/o-*, thus the short *u* of *puteus* rules out a direct connection). A derivation from *putāre* 'to prune' (from the same root) would work (WH II: 393), but is semantically arbitrary, especially given the *-eus* ending of *puteus*, which looks like the material suffix (DV 502). DV thus considers the possibility of a loanword. EM (547-8) compare the *-eus* ending to that in *balteus* (s.v.), said to be an Etruscan word, and thus suggest Etruscan origin. Breyer (1993: 378-9) rejects *-eus* as an ending indicative of Etruscan origin. The suffixes may be the same, but *balteus* has no comparanda either.

rumex 'sorrel, dock'

Pre-form: \*H/uru-m- | PItal. \*rumek-

Comp.: ?

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: plant, wild WH (II: 450), EM (581)

Osthoff (1890: 76-8), Krogmann (1938: 133), EDG (1295), Kroonen (2013: 493), Weiss

(2020: 181-2)

Lat. rumex 'sorrel, dock' has no secure comparanda. Osthoff (1890: 76-8) suggested a connection with the words for 'sour', but his suggestion relied on rejecting fr as the regular Latin outcome of \*sr as well as connecting \*suH-ro 'sour' (cf. Kroonen 2013: 493 on the reconstruction) to OIr. serb, MW chwerw 'bitter', which is untenable (cf. Krogmann 1938: 133). WH (II: 450) present his argument as though he argued for Latin attesting to an s-less variant of the 'sour' lexeme (likewise impossible, as the rhotic element is not part of the root but is rather the \*ro suffix), along with Gk.  $\dot{p}\bar{v}\tau\dot{\eta}$  'rue'. Though the latter is likewise without etymology, rumex and  $\dot{p}\bar{v}\tau\dot{\eta}$  share too little semantically to suggest that they both contain a root \*ru- with non-IE length alternation. Krogmann (1938: 133) took rumex from \*rugmex (for \*rug-, cf. Lith.  $r\dot{u}gti$  'to ferment, grow sour') with dissimilatory loss of g, but this is  $ad\ hoc$ . Thus the origin of rumex remains unclear.

sagitta 'arrow, bolt, shaft'

Pre-form:  $*sa/Hg-|PItal.*sagit(t)\bar{a}-$ 

Comp.:

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: tool

WH (II: 464), EM (588), DV (534)

Defrémery (1862: 89), Alessio (1944a: 142-3), Ernout (1946: 39), Prasse (II 2003: 882),

Ritter (I 2009: 796), Weiss (2010b)

Lat. *sagitta* is without etymology (cf. DV 534) and because of this, it is often considered to have been borrowed from a non-IE Mediterranean language (Ernout 1946: 39, WH II: 464, EM 534). Plautus' use of the word scans as *sagĭta* (EM 534), with a non-geminate *t*. Alessio (1944a: 142-3) sees the ending *-itta* as Etruscoid, but finds it otherwise only in

<sup>&</sup>lt;sup>313</sup> Krogmann (1938: 133) suggested both the Latin and Greek rue words were from a Mediterranean substrate, but there is no evidence to rule out a loan from Greek (cf. EDG 1295).

<sup>&</sup>lt;sup>314</sup> The expected outcome of \*gm is probably mm, but is at least gm (cf. Weiss 2020: 181-2).

salapitta 'a box on the ear' and personal names like Gallitta and Pollitta.

Alessio further connects it with a widespread (modern) European Wanderwort (It. zagaglia, Sp. azagaya, Engl. assegai, etc. 'iron-tipped spear, especially those of the Bantu peoples of southern Africa'). The European words are from Arab.  $az-zag\bar{a}ya$  'bayonet'. While Alessio argues that the origin of the Arabic word is Berb.  $zay\bar{a}ya$ , this lemma seems only to occur in the Tuareg dialects of Mali and Niger<sup>316</sup> and its morphophonology betrays that it is certainly a loan from Arabic rather than *vice versa* (Maarten Kossmann, p.c.). The word's absence from Berber until the arrival of Arabic suggests its origins are not in North Africa. At best, Lat. sagitta shares a source with the Arabic word, but this is unlikely given the dates involved. Alessio's (1944a: 142-3) further comparison with Gk. σαγήνη 'large drag-net' is semantically distant. Sagitta remains without etymology or comparanda.

scurra 'urban dandy; joker'

Pre-form: \*sk(u)r-s- | PItal.  $*scurr\bar{a}$ -

Comp.: ?

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: characteristic

Pokorny (933-5), WH (II: 502), EM (606), DV (548)

Breyer (1993: 275-6, 279-81), Meiser (2010: 63-4), Willi (2012: 267-9), Zair (2017: 262,

266), Weiss (2020: 104, 320)

If inherited, Lat. scurra 'dandy, joker' could represent an example of the irregular reflex ur < \*r (cf. further  $*k_r se/o - > curr\bar{o}$ ,  $*k_r tos > curtus$  'short'), which might be a dialectal treatment (Meiser 2010: 63-4, Weiss 2020: 104). But attempts at an inherited etymology have not been entirely successful (Zair 2017: 262, 266). Pokorny (933-35) and Meiser (2010: 63) take it from \*skers- 'to jump, jump around, move oneself in a turning fashion, swing'. But as DV (548) notes, this requires more imagination than evidence. Furthermore, to the root \*(s)(k)er-, LIV2 links only Gk. σκαίρω 'to jump, hop, dance' and W cerddaf 'to walk, journey', both with uncertainty. Willi (2012: 267-9) connects scurra to the root of  $sc\bar{r}re$  'to know' via the pius and littera rules, but the environment is not correct for the latter (Zair 2017: 262).

The other popular explanation for *scurra* is a borrowing from Etruscan (WH II: 502, EM 606). This relies on Etruscan forms of unknown meaning, perhaps representing a root *scur*- (Etr. *scurines*) extended with the suffix *-na* (*scurnal*, *scurnas*, etc.), as well as the

<sup>315</sup> Defrémery (1862: 89) wrote that in Algeria, it had the more specific meaning 'iron hook at the end of a stick for hunting hedgehogs and porcupines'.

<sup>&</sup>lt;sup>316</sup> Tazyăyt 'steel of high quality, sword blade of high quality, sword of high quality (imported from Libya or Egypt)', (Prasse II 2003: 882) or 'blade generally of European origin' (Ritter I 2009: 796). The ta-...-t is a feminine circumfix.

fact that *scurra* is a masculine in -*a* (Breyer 1993: 275-6 with lit.). While such formations often refer to "low-down types" (Weiss 2020: 320) like *lixa* 'camp-follower' and *verna* 'slave born into his master's house', <sup>317</sup> they are not actually good indicators of Etruscan origin. *Scrība* 'scribe' shows that inherited roots can build this formation, nor is it unknown to other IE languages, most notably Greek. Without a better etymology or secure comparanda, the origin of *scurra* remains unknown.

sīl 'ochre'

Pre-form: \*siHl-/\*seil-|PItal.\*sīl-/\*seil-

Comp.:

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: geography

Pokorny (923-7), WH (II: 535-6), EM (625), DV (564) LS (s.v. *sil*), LEIA (S-38), EDG (1321), Derksen (2014 s.v. *skalà*)

WH (II: 535) enigmatically state that Lat.  $s\bar{\imath}l$ , -is 'ochre'<sup>318</sup> is identical to Lat. sil/sil(l)i 'seselis', but this is unlikely for semantic reasons. The latter, also attested as *seselis*, is clearly a Greek loan, corresponding to Gk. σέσελι(ς) and σίλι (WH II: 535) 'hartwort' (EDG 1321). Pseudo-Dioscorides ascribes it Egyptian origin (cf.  $n\bar{a}pus$ , s.v.).

Given the geological connotation of otherwise isolated Lat.  $s\bar{\imath}l$  'ochre', I suggest a connection with Lat. silex 'hard rock, flint, lava'. Otherwise Pokorny (923-7) and WH (II: 536) explain silex as dissimilated from \*skelik- to \*(s)kel- 'to cut', yielding Lat. calx 'limestone, chalk', Lat. siliqua 'legume pod', MIr. sceillec 'rock, stone, crag', and OCS skolbka 'shell, mussel'. The dissimilation is not regular however (EM 625, DV 564), making the connection of silex with anything but siliqua unlikely (LEIA S-38 on the Irish form, DV 564 [cf. Derksen 2014 s.v. skala] on the Slavic form). But siliqua is too semantically disparate to be a match. 319

Only sīl and silex may potentially belong together, but they remain without further comparanda.

# 2.3.2 Uncertain Comparanda

abiēs, -ētis 'fir tree'

Pre-form:  $*h_2eb(h)i-et-|$  PItal. \*ab/fiets-

<sup>&</sup>lt;sup>317</sup> Verna is likewise of unclear etymology and has itself been attributed to Etruscan, though with equally limited evidence (cf. Breyer 1993: 279-81).

<sup>&</sup>lt;sup>318</sup> WH (I: 535) and EM (625) give the earliest attestation as Pliny, but LS (s.v. *sil*) cite at least one occurrence in Vitruvius.

<sup>&</sup>lt;sup>319</sup> Instead, for it Bertoldi (1937: 141) mentions Basque *sigil* 'vetch'. But in searching for it in Trask (2008: 367) one finds instead *zalke* 'Vicia sativa'. Remarkably similar to Lat. *siliqua*, Trask also cannot explain the alternation between -lk- and -lg- in some dialects.

\*h<sub>2</sub>ebi- | PGk. \*abi- | Hsch. ἄβιν· ἐλάτην. οἱ δὲ πεύκη [acc.sg.] 'fir tree' Comp.: ☐ Irreg. correspondences □ Remarkable phonotactics Semantics: plant, tree WH (I: 4), EM (3), DV (20) Smith (1854: 3), André (1956: 13), EDG (5)

Lat. abiēs 'fir' is compared to Hsch. ἄβιν of the same meaning (WH I: 4, André 1956: 13, EM 3, DV 20, EDG 5). Further comparanda are extremely speculative (a tribe called the Abii, the region of Hylaea (Ύλαία 'woody') also being called Ἀβική, Smith 1854: 3).<sup>320</sup> DV (20) suggests a non-IE origin given the lexeme's limitation to the Mediterranean, the \*b, and the fact that there is no indication that the word glossed by Hesychius is actually Greek. André (1956: 13) considers the word pre-IE but not Mediterranean, given the growth zones of the fir. The Hesychian comparandum is not strong enough to help determine the origin of abiēs.

aesculus 'type of oak'

Pre-form: \* $h_2ei(g/k)s-$  | PItal. \*ai(k/g)sk/t(V)lo-

Comp.: ?\*h2eig- | PGm. \*aik- | ON eik, OE āc, OHG eih, etc. 'oak'

?\*h<sub>2</sub>eig- | PGk. \*aig- | Gr. αἰγίλωψ 'type of oak tree, haver-grass'

☐ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, tree

Pokorny (13), WH (I: 20), EM (13), DV (28)

Niedermann (1909: 49), Kretschmer (1912: 335), Strömberg (1940: 137), Hubschmid (1953: 82-4), Schrijver (1991: 39), Schrijver (1997: 306), Orel (1998: 88), Derksen (2007: 388), EDG (32), Kroonen (2013: 9), Šorgo (2020: 460)

Because of the shape of the Latin word, any plosives between the vowel and sibilant that may have existed are obscured. The second element of the Latin word is not likely to be a diminutive for semantic reasons (cf. WH I: 20 \*aig-s-clo- / \*aig-s-colo-), and Schrijver's (1991:39) alternative  $h_2$ ei $\acute{g}$ -s-tlo- is also possible. Based on the semantics, it could be related to PGm. \*aik- 'oak' and perhaps to Gk. αἰγίλωψ 'type of oak tree; haver-grass'.321

Pokorny (13), EM (13), and DV (28) hesitantly suggest a Mediterranean origin for the lexeme. Hubschmid (1953: 82-4) and Schrijver (1997: 306) adduce Hsch. ἄσκρα: δρῦς

320 There is no compelling evidence that the Abii actually existed and were not just a play on words by

<sup>321</sup> The meaning 'haver-grass' is probably due to confusion with αἴγιλος 'haver-grass' (Strömberg 1940: 137, EDG 32). Kretschmer (1912: 335) uses a description by Pliny of the aegilops to suggest that αἰγίλωψ is the cork oak, thus \*aig- 'oak' +  $l\bar{o}ps$  '\*cork' (cf.  $\lambda \omega \pi \eta$  'mantle, cloth', and the way that Pliny describes the tree as "bearing strips of dry cloth").

ἄκαρπος 'a tree without fruits' and Basque  $aska\acute{r}$  'type of oak', <sup>322</sup> which would attest to a non-IE  $a \sim ai$  vocalic alternation. Since Basque ezkur 'acorn' has an older meaning 'tree' preserved in a proverb (Trask 2008: 188), it may belong to the comparanda. EM (13) mentions a connection with Berber  $i\check{s}kir$  'wild oak'. It and a likely related Tuareg form  $a\check{s}a\acute{s}k$  'tree, plant, shrub' are indeed difficult to reconstruct due to the presence of  $\check{s}$ , which is generally not reconstructible to earlier stages of Berber. Nor do they look like loans from Latin.

Comparison with Baltic forms (Niedermann 1909: 49, Kroonen 2013: 9) including Lith. *ážuolas*, *áižuolas*, *áužuolas*, Latv. *uôzuõls*, and OPru. *ansonis* 'oak' is difficult. Derksen (2007: 388) reconstructs these (along with their Slavic cognates Ru. *úzel* etc. all meaning 'knot') to PBSI. \*on?ź-(ō)l-, a form with a nasal. Šorgo (2020: 460) takes this as evidence of non-IE pre-nasalization, but this means accepting several irregular alternations. Alb. *enjë* 'English yew; stinking juniper' can reconstruct to PAlb. \*ai(g?)njā, so Orel (1998: 88) adduces it as a comparandum despite its aberrant semantics. A more straightforward reconstruction of \*e/ēnjā is also possible, which looks more similar to Sard. *éni* and PGm. \*(*j)ainja*- 'juniper' (cf. Lat. *iūniperus*, s.v.).

It is unclear if Lat. *aesculus* 'type of oak' is related to any of the forms beyond PGm. \*aik- 'oak' and perhaps Gk. αἰγίλωψ 'type of oak tree', none of which has any blatantly non-IE features. But its lack of attested velar before the sibilant means that even a connection with these words is difficult to verify.

alga 'algae, seaweed'

Pre-form:  $*Hlg-/*h_2elg-|$  PItal.  $*alg\bar{a}$ 

Comp.:

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, wild; aquatic

Pokorny (305), WH (I: 28-9, II: 813), EM (20, 744), DV (33) Lidén (1897: 29-31), Schrijver (1991: 70), EWAia (I: 252), Martirosyan (2009: 32, 39),

Kroonen (2013: 598)

If Lat. *alga* is connected to Lat. *ulva* 'aquatic plants' < \**Hol-Vua*- or \**Holg*<sup>wh</sup>- (cf. Pokorny 305, WH I: 28-9), the consonant alternation points to a foreign origin (DV 33). But other comparisons exist. Most frequent is a comparison to several words for repulsive, slimy things, interpreted as a root \**Vl*- with numerous extensions (Nw. dial. *ulka* 'mold, slime; to feel sick, vomit', ON *uldna* 'to mold', Lith. *elmes* 'exudate of a corpse', Arm. *alt*, *alb* 'dirt', even Skt. *rjīṣá*- 'an epithet of Indra', etc.; cf. Liden 1897: 29-31, WH I: 28-9 with lit.). A stricter comparison with only the forms that reconstruct to \**Hlģ*- would remove the irregular alternations (cf. Schrijver 1991: 70). But the semantic connection is tenuous (EM 20, DV 33) and alternative etymologies for several

<sup>&</sup>lt;sup>322</sup> But Basque *askai* and Hubschmid's further comparison of Basque *gastigai*, Languedocien and Prov. *agast*, etc. are best kept separate because they mean 'maple'.

of the proposed comparanda also exist (cf. Kroonen 2013: 598 for the Germanic, Martirosyan 2009: 32, 39 for the Armenian). It is not immediately clear which of the proposed solutions is best.

apis 'bee'

\**h*<sub>2</sub>*ep*- | PItal. \**ap*-Pre-form:

Comp.:

□ Remarkable phonotactics □ Irreg. correspondences

Semantics: animal, wild; insect; apiculture

WH (I: 57), EM (39), DV (47)

Ernout (1925: 115), Alessio (1944a: 130), Erman & Grapow (1971: 182), Schrijver (1991: 374), Gamkrelidze & Ivanov (1995: 516), Vennemann (1998: 485-6), van Sluis (2022: 9-10)

WH (I: 57 with lit.) reject most etymologies for Lat. apis 'bee'. It may be irregularly related to the  $*b^he^i$ - word found elsewhere (EM 39, Gamkrelidze & Ivanov 1995: 516). The latter lexeme exhibits consonant alternations that make it likely to be of non-IE origin, and apis could represent an a-prefixed variant (van Sluis 2022: 9-10). Vennemann (1998: 485-6) proposes a loan from Egypt. 'fj 'bee' at a time early enough that Italic had not yet developed /f/ and would have substituted /p/. A problem for both suggestions is that both apium and apum are attested genitive plurals, suggesting that apis is only secondarily an i-stem (Ernout 1925: 115, Schrijver 1991: 374). Alessio's (1944a: 130) comparison to Basque abia 'gadfly' on comparison with the French collocation mouche à miel for 'bee' is semantically dubious.

aulla 'cooking pot'

\* $h_2eug(w)(h)/k(w)$ -slo- | PItal. \* $auksl\bar{a}$ Pre-form:

Comp.:

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: vessel

Pokorny (88), WH (I: 88), EM (59), DV (62)

Lehmann (1986: 49), Schrijver (1991: 47), EWAia (I: 210), Demiraj (1997: 76-7), Vine (1999: 20-24), Kloekhorst (2008: 348), Kroonen (2013: 3, 557), EDG (596), Weiss (2020:193)

The diminutive auxilla 'small jar' shows that aulla is from \*aukslā- (Schrijver 1991: 47, Weiss 2020: 193), which can be reconstructed to any PIE velar (DV 62). The complete

<sup>&</sup>lt;sup>323</sup> Cf. Egypt. 'fi-n-bj.t 'honey bee' > Copt. Δ9κεΒιω /afnebiō/ 'bee' (Erman & Grapow 1971 I: 182).

set of comparanda is difficult to verify. Skt. ukhá-, ukhá- 'boiler, pan' looks closest (EWAia I: 210, DV 62). Its voiceless aspirate, if the words are inherited, must be from a following laryngeal. Others are more ambiguous. Germanic seems to show two differently shaped roots, \*uhna-/\*ugna- and \*ufna- (Lehmann 1986: 49 with lit.). The former could be Verner variants of  $*h_2uk$ - (DV 62) while the latter reconstructs to \* $h_2up$ -. But Kroonen (2013: 557) argues that all Germanic forms are reconstructible to \*ufna- < \*upno-, a Wanderwort along with Gk. ἰπνός 'furnace; kitchen; lantern', <sup>324</sup> OPr. wumpnis 'oven', and Hitt. happen-, hapn- 'baking kiln, fire-pit, broiler (oven)'. Whether Lat. aulla is related (cf. DV 62 hesitantly) is difficult to confirm. The semantics are adjacent, but are they good enough to accept a \* $p \sim *k(*)$  alternation? (Lat. aqua 'water' (s.v.), PGm \*ahwo- 'river'  $< *h_2ek^w$ - against  $*h_2ep$ - elsewhere, and whether this can be regular or not.) Alternative etymologies for the forms involved also exist. (Greek from \*sep- 'to boil, bake' with schwa secundum, Vine 1999: 5-30, EDG 596; Hittite to Gk. όπτός 'baked'  $< *h_3ep$ - [Kloekhorst 2008: 348 with lit.] or to PGm. \*afla- 'hearth' [Kroonen 2013: 3].) Alb. ani, anë etc. 'vessel, kitchen appliance' could be from \*aukn-< \*h<sub>2</sub>euk(")-sno-, but alternative connections exist (e.g. Gk. ἔντεα 'equipment', Demiraj 1997: 76-7).

bucca 'puffed out cheek; mouth'

Pre-form: \*buK- | PItal. \*buccā

Comp.: ?

□ Irreg. correspondences ■ Remarkable phonotactics

Semantics: body part

Pokorny (98-103), WH (I: 120), EM (77), DV (76)

Sihler (1995: 224), Matasović (2009: 60), Kroonen (2013: 400)

The gemination in Lat. *bucca* has been proposed to be expressive, perhaps hypocoristic or belong to abusive words like *gibber* 'hunch-backed' (Sihler 1995: 225, DV 76). Celtic origin has been suspected (EM 77) on the testimony of Suetonius (*de Vita Caesarum*, section on Vitellius). Names like *Buccus*, *Buccō*, and *Bucciō* are of Celtic origin, but even if Lat. *bucca* is from one of them, their relation to PCelt. \*bekko-325 with \*e is not clear. Otherwise, Pokorny (98-103) compared PGm. \*puh/kkan- 'bag', whose geminate is the result of Kluge's Law from \*buk-n- (Kroonen 2013: 400). It begins with rare \*b, as does Latin *bucca* at face value. Nw. *poka*- 'pigskin, sward' might point to the original lexeme having meant 'animal skin,' semantically remote from Lat. *bucca*. But if Pol. *buczyć się* 'to puff oneself up' < \*bouk-eie- is related, the 'inflated' semantics match the 'puffed out cheek' meaning that DV (76) considers primary for *bucca*. It is unclear which explanation to accept.

<sup>&</sup>lt;sup>324</sup> WH (I: 88) compare Gk. ἰπνός to Lat. aulla directly, but Myc. i-po-no rules out PGk. \*k<sup>w</sup>.

<sup>&</sup>lt;sup>325</sup> Matasović (2009: 60) likewise analyzes the geminate in Celtic as expressive.

carbō, -ōnis 'piece of charcoal'

Pre-form: \* $k_rH$ -(V) $d^h/b(^h$ )-? | PItal. \*kar(a)b/fo-

Comp.:

□ Remarkable phonotactics ☐ Irreg. correspondences

Semantics: tool

Pokorny (571-2), WH (I: 165-6), EM (99), DV (91)

Schrijver (1991: 194-5, 207-8), EDG (651), Kroonen (2013: 258), Derksen (2014 s.v.

kurti)

Non-native origin of Lat. carbō has been suspected because of its technical (EM 99) or "specific" (Schrijver 1991: 208, DV 91) meaning. But a stronger argument is formal: at face value, it reconstructs to an invalid \* $TeD^h$  root structure. The details of its reconstruction however rely on its cognates/comparanda, which are difficult to verify. Pokorny (571-2) connected it to Lith. kùrti 'to kindle'. DV (91) rejects the connection, taking kùrti simply from \*k"er- to make. But Derksen (2014 s.v. kùrti) notes that this does not explain the acute accent, instead proposing \*krH-, 326 which could be the source of  $carb\bar{o}$  via a suffixed \*krH-eb(h)- > \*kareb/f- with subsequent syncope (Schrijver 1991: 207). Via a palma rule formation (\* $k\dot{r}H$ - $b^h$ -) the suffix need not be in the e-grade. PGm. \*hurja- (cf. Go. hauri 'coal, burning charcoal, ember', ON hyrr 'fire'), if related (Kroonen 2013: 258 is hesitant), indicates that it really is a suffix, removing the need to reconstruct an invalid root structure for *carbo*.

Alternative connections are also possible. A connection with cremō 'to burn' (WH I: 166, Schrijver 1991: 208, DV 142) requires a root without a laryngeal. DV (142) operates with a root \*krb- (\*krbn- > \*karbn- for  $carb\bar{o}$  and \*krebm- > krem- for  $crem\bar{o}$ ), but it is unclear why a-vocalism should develop. Schrijver (1991: 208) analyzes cremō as \*kr-em- (implying  $carb\bar{o} < *ker-b(h)/dh$  with \*e > a after a plain velar), but it is unclear what the suffix of the formation \*kr-em- is. Lat. carbo can reconstruct to the same pre-form as Gk. κάρφω 'to dry up, wither', κάρφος 'arid stalk, twig, hay', but the semantics are not a good enough match.

carīna 'ship's hull or keel; walnut shell'

Pre-form: \*ka/Hr- | PItal. \*kar-

Comp.:

□ Irreg. correspondences □ Remarkable phonotactics

<sup>326</sup> Kroonen (2013: 258) advocates for \* $h_3$  to explain \*r > BSI. \*ur instead of \*ir.

<sup>&</sup>lt;sup>327</sup> Schrijver (1991: 194-5, 2007-8) considers a reconstruction with \*-ed<sup>h</sup>. But given that the change \*d<sup>h</sup>> b seems to be part of the Proto-Italic treatment of the voiced aspirates, it is difficult to imagine that it could have operated after syncope in Latin. Thus, a reconstruction with  $*d^h$  likely only works in a pre-form \* $kerHd^{h_-}$ , of illegal root structure, and assuming Schrijver's change \*e > a after a plain velar.

Semantics: maritime

Pokorny (531-2), WH (I: 168), EM (100), DV (93)

Furnée (1972: 391), Schrijver (1991: 208), Biville (II: 32), Kroonen (2013: 211), EDG (645, 651, 772), van Sluis (fthc.)

The earliest attestations of  $car\bar{n}a$  refer to ships and only from Pliny onwards does the nutshell meaning occur (DV 93), but EM (100) consider that this could be an artifact of preservation. The Greek, κάρυον 'nut' never has the maritime semantics. Perhaps καρύϊνος 'of nuts, nut-brown' through 'like a nut shell' meant 'ship's hull' in a dialect of Magna Graecia/Sicily or Koine, whence it entered Latin as a loan (WH I: 168, Biville II: 32, EDG 651). Otherwise, Schrijver (1991: 208) compares  $car\bar{n}a$  and Gk. κάρυον to W ceri 'stone of a fruit' (< \* $ka/e/or\bar{i}$ ), perhaps to the alleged root \*ker- 'hard', <sup>329</sup> itself perhaps of non-IE origin due to the  $\kappa \sim \chi$  alternation attested between Hsch. κάρκαρου τραχείς, καὶ δεσμοί 'coarse, rough' and Gk. κέρχνος 'raw voice; hoarseness' (EDG 645). Whether or not the connection to the 'hard' root is valid, further evidence of a non-native origin of Gk. κάρυον is the potential  $k \sim$  zero alternation it shows with Hsch. ἄρυα· τὰ 'Ηρακλεωτικὰ κάρυα (Furnée 1972: 391, EDG 651).

W *ceri* more frequently appears with the meaning 'service tree, rowan'. If they are the same lexeme, they do not belong here (van Sluis fthc. argues that the 'rowan' word is a substrate word restricted to Celtic). The precise relationship of Lat. *carīna* to Gk. κάρυον remains unclear.

cāseus '(a unit of) cheese', also cāseum 'cheese'

Pre-form: \*keh<sub>2</sub>t-s- | Pltal. \*kāssejo-

Comp.: ?

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: culinary; dairy

Pokorny (627), WH (I: 176), EM (103), DV (96)

Schrijver (1991: 251-2), Christol (1996), Kroonen (2013: 264)

Etymologies for Lat.  $c\bar{a}seus$  are problematic (WH I: 176 with lit., EM 103). Connection with a root \* $k\underline{\psi}eth_2$ - 'to boil, bubble' (cf. Go.  $huap\bar{o}$  'foam' < \* $k\underline{\psi}otH$ - $eh_2$ -, Skt. kvathant- 'fuming' < \* $k\underline{\psi}etH$ -e-, Kroonen 2013: 264) requires the unexplained loss of \* $\underline{\psi}$ . Otherwise compared are Latv.  $k\bar{u}sat$  'to boil over' < \*kHus- and Slavic words for sour (OCS kysnqti 'to turn sour' < \*kuHs- itself < \*kHus-; OCS kvasb, Russ. kvas 'leaven' < secondary full-grade \*kuaHs-). The development in Latin would then be \*kHu- $\bar{o}s$ -

<sup>328</sup> Biville (II: 32) notes *carīnum* and *carīnarii*, hapaxes in Plautus that refer to women's clothing, and which might be referring to their nut-brown color.

<sup>&</sup>lt;sup>329</sup> Cf. further Pokorny (531-2): PGm. \*hardu- 'hard, severe' and Gk. κρατός 'strong' but these can be reconstructed to a root \*kert- that includes the final dental (cf. Kroonen 2013: 211, EDG 772).

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\* $kaw\bar{o}s- > ka\bar{o}s- > c\bar{a}s-$ , serving as the basis of a material adjective \* $k\bar{a}s$ - $ejo- > c\bar{a}seus$  (Schrijver 1991: 251-2). This assumes the lack of rhotacism in 'rural' words, which Christol (1996) supports where it is attested after long vowels, proposing unrhotacized z < s was mapped on to ss when borrowed into Latin (cf. unrhotacized  $n\bar{a}sus$  'nose'). Thus it could apply to  $c\bar{a}seus$ . DV (96) remains unconvinced of the contraction of \* $kau\bar{o}s- > c\bar{a}s-$  and I am unconvinced of the semantic link.

cicāda 'cicada, cricket'

Pre-form: \*ki-keh2d- | Pltal. \*kikādā-

Comp.: \*(d)ieigara- | PGk. \*zeigara- | Hsch. ζειγάρη· ὁ τέττιξ παρὰ Σιδήταις

'cicada amongst the

Sidetians'

□ Irreg. correspondences ■ Remarkable phonotactics

Semantics: animal, wild; insect WH (I: 211), EM (119), DV (112)

REW (no. 1897), FEW (II: 663), Alessio (1943: 234), André (1978: 29-30)

Lat.  $cic\bar{\alpha}da$  is quite plausibly onomatopoetic, which would account for the reduplication (André 1978: 29-30, DV 112). It is unclear if this could be responsible for the  $d \sim I \sim r$  alternation (characteristic of other suspicious lemmata, cf. laurus, s.v.) that occurs in the final syllable of glosses and Romance forms like It. cigala and Sp. cigarra (REW no. 1897, FEW II: 663, Alessio 1943: 234, André 1978: 29-30). The similarity of the Hsch.  $\zeta$ ειγάρη is curious, but it is difficult to verify that it is Greek. Other potential Hesychian comparanda include σιγαλ(φ)οι 'voiceless; wild cicadas' and  $\zeta$ εγερίαι 'a kind of mouse', but their forms and semantics do not allow much more than speculation. WH (I: 211) suggest  $cic\bar{a}da$  might be from a Mediterranean substrate while EM (119) see it as an expressive word (like Gr.  $\tau$ έττιξ), which they consider a sort of Mediterranean regional feature. Given its potential onomatopoetic origin, its possible substrate origin remains uncertain without more secure comparanda.

#### cicūta 'hemlock'

Pre-form: \*ki-kuH-t- | PItal. \*kikūtā

Comp.:<sup>331</sup> \*ko-kuH-t- | PCelt. \*kokūtā- > \*kokūtā- | OBret. cocitou, Bret. kegid, W

cegid, Co. ceges332 'hemlock'

<sup>330</sup> Schrijver otherwise uses this to explain *asinus* 'donkey' and *casa* 'hut', where it is unlikely.

<sup>&</sup>lt;sup>331</sup> Albanian has *kakuda*, *kukutë*, and *kukuta* 'poison hemlock', all seemingly from Romance. It also has *kakutë* 'black henbane [*Hyoscyamus niger*], corn stubble left in a field' (Newmark 2005 *s.v.*) however. Its phonology seems too similar to the other more clearly borrowed forms for its deviant semantics to suggest an independent comparandum.

<sup>&</sup>lt;sup>332</sup> From this may have been borrowed Engl. kex 'hollow stalk' > W cecys 'kex, reeds, hemlock'.

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, wild WH (I: 213), EM (119)

Prellwitz (1905: 171), Pedersen (1909-13 I: 209), Walde (1910: 159), Meyer-Lübke (1920), FEW (II: 668), Alessio (1943: 233), Furnée (1972: 121, 371), André (1978: 19-20), Newmark (2005), DV (139), EDG (53, 815)

Lat.  $cic\bar{u}ta$  looks like it is reduplicated,<sup>333</sup> but the base is unclear. Walde (1910: 159) suggested a reduplication of  $caut\bar{e}s$  'rough, pointed rock', a hyperurbanized old plural of  $c\bar{o}s$  'whetstone'  $< *k'eh_3-$  'to sharpen'. But since  $caut\bar{e}s$  appears as a hypercorrect spelling of  $c\bar{o}t\bar{e}s$  only after Vergil (DV 139), we would instead have to assume a reduplication of  $c\bar{o}tes$  with dialectal  $\bar{u}$  for  $\bar{o}$ . This is also semantically unlikely. WH (I: 213) suspect foreign origin for  $cic\bar{u}ta$ . EM (119) seem to follow because of the reduplication.

The Romance descendants attest to three source forms. \*Cicūta (OFr. cëue) is expected. \*Cucūta (MFr. cocue) has been explained as due to assimilation (FEW II: 668, Alessio 1943: 233). As it is present in Romanian cucută, the assimilation is quite old or it occurred twice. A third form, \*ciccūta (OFr. cegue) reconstructs to a geminate. FEW (II: 668) suggests that the geminate already existed in Latin or that this represents a case of the initial c blocking the lenition of the second, intervocalic c.

On the understanding that all forms descended from  ${}^*\dot{k}eh_{3^-}$ , Prellwitz (1905: 171) compared Gk.  $\kappa$ évɛtov 'hemlock' and  $\kappa$ õvoç 'pinecone'. EDG (815) considers the Greek forms loans, following Furnée (1972: 121, 371) only as far as he also compares ἀκόν $\bar{\iota}$ τον 'wolf's bane', another poisonous plant. But whether the Greek words are inherited or not, their nasal makes them difficult to connect to Lat.  $cic\bar{\iota}$  ta. Much easier to connect are the descendants of a Proto-Brythonic  ${}^*kok\bar{\iota}$  tā- (cf. Pedersen 1909-13 I: 209) as if from PCelt.  ${}^*kok\bar{\iota}$  tā-. On the other hand, Proto-Brythonic  ${}^*kok\bar{\iota}$  depending on the chronology of the sound changes, could be a borrowing from the Proto-Romance variant  ${}^*cuc\bar{\iota}$  ta, after  $\check{\iota}$  > o. The appearance of Proto-Brythonic  ${}^*\bar{\iota}$  for Latin  $\bar{\iota}$  usually points to a very early loan, but later examples are not without parallel (see fn. 432).

Each of the irregularities has a potential explanation. But if the Brythonic forms are independent, and if the vocalic alternation and geminate in the Romance forms are genuine, *cicūta* could be a substrate word.

cirrus 'a curl, a lock of hair, the fringe of clothing'

Pre-form: \*kelir-s- | Pltal. \*kirso-

Comp.: ?

□ Irreg. correspondences □ Remarkable phonotactics

<sup>&</sup>lt;sup>333</sup> André (1978: 19-20) also favored a sound-symbolic formation because of the plant's use in flutes.

Semantics: body part / textiles

WH (I: 221-2), EM (123)

Niedermann (1927: 109-10), Alessio (1943: 232), Furnée (1972: 278), EDG (695),

Kroonen (2013: 220), Derksen (2014 s.v. keras), Weiss (2020: 149)

The vocalism of *cirrus* can be original or the result of dialectal  $e > i / _rC$  (cf. Weiss 2020: 149). Its geminate rr is likely the result of \*rs. WH (I: 221-2 with lit.) mention several Baltic words meaning 'tree stump/bad hair' (e.g. Latv. cera 'messy hair, cerba 'lock', cecers 'tree with torn-out roots; fuzzy-wuzzy'). Derksen (2014 s.v keras), without mention of cirrus, adduces to the Baltic material PSlav. \*černb/b 'stem, stub' as well as OIr. cern 'angle, corner' and W cern 'cheekbone, side of the head', making it very unclear what the original meaning of this root would have been. Otherwise Niedermann (1927: 109-10) suggested an independent borrowing from a Mediterranean language of Lat. cirrus < \*cicirrus and Gk. κίκιννος 'curly hair, lock of hair'. Existing Lat. cincinnus 'curled lock of hair' is interpreted as a borrowing of Greek κίκιννος with anticipation of the nasal (WH I: 216, Alessio 1943: 232, EM 123). But EDG (695) follows Furnée (1972: 279) in postulating \*κιγκιννος, a Pre-Greek pre-nasalized variant of κίκιννος. It seems possible that *cirrus* has something to do with this word based on the semantics, but its exact relationship is unclear.

crux 'wooden frame, cross'

Pre-form: \*kru-k- | Pltal. \*kruk-

Comp.:

□ Irreg. correspondences ■ Remarkable phonotactics

Semantics: tool

Pokorny (935-8), WH (I: 296), EM (153), DV (147)

Derksen (2007: 254), Matasović (2009: 226), Kroonen (2011: 268-70; 2013: 250), van

Sluis, Jørgensen & Kroonen (2023: 216)

EM (153) take crux as a Mediterranean loanword, perhaps Punic, based on cultural and historical arguments. WH (I: 296) would rather see it as inherited. A root \*kruk- would be of an invalid \* $C_i \in C_i$  root structure, thus the final velar would have to be a suffix (DV 147 is skeptical). Comparanda are uncertain but have included Skt. kruñcati 'to make or become crooked', PGm. \*hrauka- 'pile, rick', 334 and PGm. \*hrugja- 'ridge, back'. None is semantically convincing.

cunīculus 'rabbit: rabbit burrow > underground tunnel, mine'

Pre-form: \* kun-īk- VI- | \* kun-isk- VI- | PIta. \* kuni(s) klo-

<sup>&</sup>lt;sup>334</sup> PCelt. \*krowko- 'heap, hill' is borrowed from Germanic (Kroonen 2011: 268-70, 2013: 250; van Sluis, Jørgensen & Kroonen 2023: 216).

Comp.: ?Basque *untxi* 'rabbit'

?Mozarabic conchair 'hunting dog'

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: animal, wild WH (I: 308-9), EM (157)

Simonet (1888: 128-9), Bertoldi (1937a: 146), Hubschmid (1943: 267-9), Corominas &

Pascual (1984-91 III: 173), EIEC (258), Trask (2008: 27, 388)

Lat. *cunīculus* looks like diminutive, but without knowing the root, this may not actually be the case (EM 157). WH (I: 308-9) show that it cannot be connected with *canis* 'dog', *cavus* 'hollow', or *canālis* 'canal'. Aelian and Pliny write that *cunīculus*, like *laurex* 'unborn rabbits cut from the womb' are Iberian words. And the European rabbit (*Oryctolagus cuniculus*) was indeed foreign to Europe outside of Iberia (EIEC 258)(cf. *lepus*, s.v.).

The best comparison is to Basque *untxi* 'rabbit' (WH I: 308, EM 157). Hubschmid (1943: 267-9) suggests that both Latin and Basque are borrowed from unattested Gaulish \*\*kunīko- 'little dog', but this seems unlikely. Trask (2008: 388) considers *untxi* as possibly part of the earliest stratum of the Basque lexicon, reconstructing it as \*untzi or \*untsi with expressive palatalization (the normal Basque way of forming diminutives). This all would indicate that the word indeed entered Latin from the West, as is argued for *lepus*. While the Basque form is not particularly similar to the Latin, Corominas and Pascual (1984-91 III: 173) reconstruct \*kun-txi.335 Simonet (1888: 128-9) gives Basque *uncharia* 'podenco', 336 whose pre-form may well be the source of Mozarabic *conchair* 'podenco, hunting dog' and 'dog' in general, attesting to the initial velar. If Basque *untxi* is indeed from earlier \*kun-txi, then it could be the ultimate source of Lat. *cunīculus*. But given the uncertainty in reconstruction, it is difficult to confirm.

fibra 'fiber, lobe'

Pre-form:  $*b^h i - b(h) r - o - | PItal. *fiblfro -$ 

Comp.:  $?*b^himb(h)r-|PItal.*fimblfro-|Lat.frimbriae+fringe+ on a garment,$ 

fringe of curly hair'

?\**b*<sup>h</sup>*e-b*<sup>h</sup>*r-* | PGm. \**bebura-* | ON *bjórr* 'piece of skin', Far. *bjóri* 'patch, strip', etc.

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: textiles

335 See Trask (2008: 27) on "initial velar loss or gain". Cf. already Bertoldi (1937a: 146) on the preform.

<sup>&</sup>lt;sup>336</sup> Perhaps this would represent \*kuntxi-ārius 'the rabbiter'.

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Pokorny (268-271), WH (I: 491-2), EM (232), DV (217, 220)
LS (s.v. fibra), de Vries (1962: 40), Magnússon (1989: 60), Kroonen (2013: 57)
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LS (s.v. *fibra*) define *fibra* as 'fiber, filament, entrails'. A more careful definition seems to be 'the root fiber of a plant' as well as 'plant fiber, vein' in general and in augural terms it refers to the lobes of organs like the liver and lungs (WH I: 491-2, EM 232, DV 217). The best explanation from an inherited perspective is a link with *filum* 'thread' via  $^*g^{\nu h}is$ -lo- (WH I: 491-2, EM 232), but DV (217, 220) shows that the root behind this is  $^*g^{\nu h}iH$ -, with any sibilant element (whose presence or absence cannot be seen in Latin after the lengthening by the laryngeal) belonging to the suffix (ruling out  $^*g^{\nu h}is$ -ro-). The only other attractive option was proposed in antiquity by Festus: a connection with *fimbriae* 'fringe', which DV (217) sees as a specialized meaning of *fibra*. The unexplained appearance of a nasal element would point to a non-IE word, but the semantic match is not as strong as between e.g.  $sab\bar{u}cus$  and  $samb\bar{u}cus$  (s.v.).

ON *bjórr* 'triangular cut off piece of skin; land; party wall' can reconstruct to \*beura- (cf. ON bjórr 'beer'), but \*bebura- is also possible (cf. ON bjórr 'beaver'). The comparison to Lat. fibra has led to a preference for the latter (cf. de Vries 1962: 40, Magnússon 1989: 60). Kroonen (2013: 57) provides Germanic-internal evidence for this reconstruction in the form of Far. bjarva 'to mend, patch; wrap' < ON \*bjafra (with regular metathesis). If the Germanic connection is upheld, then we have what looks remarkably like a duplicate of the beaver word, down to the aberrant *i*-vocalism in Latin (cf. fiber 'beaver') but without the widespread cognates or well-established derivation from another root to back up its inherited origin. Nevertheless, the  $e \sim i$  alternation in the inherited word alongside the inexact semantic match with Germanic (itself reconstructible in different ways) makes the comparison uncertain. Lat. fibra may be without comparanda, and thus several other reconstructions are possible (e.g. \* $b^hid^h-ro-l$  \* $b^hi-d^hro-l$ ).

frons, -dis 'foliage, leaves'

Pre-form:  $*s/b^h/d^h/q^{wh}ron(-)d(h)-|PItal.*s/f|b/\chi^wrondi-$ 

Comp.: ?

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant

Pokorny (142), WH (I: 550-1), EM (255), DV (244)

Solmsen (1898: 474-6), Furnée (1972: 189), EDG (557), Kroonen (2013: 81), van Beek

(2022: 84-8)

Lat. *frōns* 'foliage' has been linked with several groups of comparanda, but it is unclear where it actually belongs. Solmsen (1898: 474-6) connected it with Ru. *dërn* 'lawn' etc.

and Gk. θρόνα 'herbs, flowers'<sup>337</sup> < \* $d^hr(o)n$ -, but this ignores the Hesychius variant τρόνα: ἀγάλματα. ἢ ῥάμματα ἄνθινα 'ornament, colorful stitchings', which makes the Greek word look non-IE (Furnée 1972: 189, EDG 557). In fact, van Beek (2022: 84-8) argues that the meaning preserved in Hesychius is the original one. By reinterpreting the Homeric hapax θρόνα as 'dyed threads' and Myc. *to-ro-no-wo-ko* as 'dyers or dyemakers', van Beek suggests that the lexeme in question, glossed in antiquity as φάρμακα in its technical sense 'dye', was misinterpreted to mean φάρμακα in its other sense 'medicinal herbs'. Thus Gk. θρόνα, originally 'dyed threads', is semantically a poor match for  $fr\bar{o}ns$ . WH (I: 550) compare ON *brum* 'leaf bud' (as if < \* $b^hrm$ -) and DV (244) suggests a derivation from \* $b^hrm$ - 'to bear'. All connections require Latin to have the \*- $d^h$ - suffix of  $gl\bar{a}ns$  'acorn'. Kroonen (2013: 81) thus compares MHG *brozzen* 'bud' < \* $b^hrm$ - which would yield Lat. *frond*-. It is unclear which if any of these suggestions is correct.

frāmen 'larynx, throat'

Pre-form: \*s/bh/dh/qwhrug-(s)men- | PItal. \*frugsmen-

Comp.: ?

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: body part

Pokorny (145), WH (I: 551-2), EM (256)

Martirosyan (2009: 258), Derksen (2007: 65), EDG (1556), Kroonen (2013: 53, 76)

Lat. frūmen 'larynx, throat' is only found in glosses. EM (245) explains it as preserving the original meaning of fruor 'to enjoy', namely 'to nourish', as also found in derivatives referring to nutriment like frūmentum 'grains' and frūctus 'fruit, produce'. WH (I: 551-2 with lit.) and Pokorny (145) take it as an inherited word for gullet, comparing Gk.  $\varphi$ άρυξ 'throat, larynx' (later  $\varphi$ άρυγξ with contamination from  $\lambda$ άρυγξ 'larynx'), Arm. erbuc 'breast of animals' < \*b'rug- and ON barki 'throat, larynx' < \*b'rog-. But Kroonen (2013: 53) doubts the appurtenance of the Germanic form and EDG (1556) disagrees with the patterning of  $\varphi$ άρυγξ on  $\lambda$ άρυγξ, taking it instead to contain a pre-nasalized suffix of non-IE (Pre-Greek) origin. Martirosyan (2009: 258) upholds the connection between Latin, Greek, and Armenian, suggesting that, if  $\varphi$ άρυγξ is a substrate word, then all three might be. But if  $-\upsilon$ γξ is a suffix in  $\varphi$ άρυγξ, then the word is not so similar to frūmen or erbuc after all. Nor can the shape of frūmen guarantee a relationship with erbuc. Its origin remains uncertain.

gigarus ' Arum italicum or Dracunculus vulgaris'

Pre-form: \*gi-gal Hr- | PItal. \*gigaro-

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<sup>&</sup>lt;sup>337</sup> As described by EDG (557), this refers to flowers as a woven decoration in fabrics, as a medicine and charm, and potentially more generally for colorful clothing.

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Comp.: ?

□ Irreg. correspondences ■ Remarkable phonotactics

Semantics: plant, wild WH (I: 597), EM (275)

Bertoldi (1936: 298-9), Alessio (1937), Alessio (1943: 229, 233), Alessio (1944: 112),

André (1956: 148), EDG (131, 136, 147)

Lat. *gigarus* is given as a Gaulish word by Marcellus Empiricus (WH I: 597, EM 275) but as Etruscan by Pseudo-Dioscorides (cf. André 1956: 148<sup>338</sup>). Bertoldi (1936: 298-9) suggests it would be easy to trust Marcellus, as he himself was from Bordeaux, and the suffix *-aro-* appears in other Celtic plant names. But only modern Tuscan dialects of Italian preserve the word. Thus Alessio (1937, 1943: 229, 1944: 112) considers it is more likely to be of Etruscan origin after all. He proposes a relationship to Gk. ἄρον and ἴαρον *'Arum italicum*, cuckoopint' (Alessio 1937) and Gk. ἀρίσαρον *'Arisarum vulgare*, friar's cowl' (Alessio 1943: 229), especially based on the testimony of Pseudo-Dioscorides. He considers the reduplication to be a Mediterranean feature (Alessio 1943: 229, 233). While Lat. *gigarus* and several Greek forms do refer to similar plants, the phonological relationship between them is difficult to confirm. EDG (131, 136, 147) compares within Greek ἀρίς *'Arisarum vulgare'*, ἀρίσαρον, ἄρον, and perhaps ἄσαρον *'Asarum europaeum*, hazelwort' without mention of the Latin forms.

*Gigarus* has not undergone the expected weaking of medial a > e before r, so it is indeed probably a loan. There is no indication beyond the testimony of Pseudo-Dioscorides<sup>339</sup> that it is Etruscan. And if it is a loan from Celtic, its bearing on the substrate lexicon of Latin is greatly diminished. In any case, its origin remains unclear.

#### quttur 'throat'

Pre-form: \*qelou-tr- | PItal. \*qūtor-

Comp.: ?

☐ Irreg. correspondences ☐ Remarkable phonotactics

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Semantics: body part

Pokorny (393-4), WH (I: 629), EM (286), DV (276)

Puhvel (IV: 315)

DV (276) finds it unlikely that the -ur of guttur preserves a heteroclitic ending because

 $^{338}$  André (1956: 148) suggests that he trusts neither source, pointing to poorly attested *giger* 'wild parsnip' and its similarities to Arabic words for carrot.

<sup>&</sup>lt;sup>339</sup> Claims like this by Greek authors this should always been taken with a grain of salt. Hesychius calls *capra*, *dea*, and *nepos* Tyrrhenian whereas Dioscorides ascribes *apium*, *spīna*, and *sūcinum*, words of clear Italic origin, to the *Thoũskoi* (*Tuscī*) (cf. Breyer 1993: 133). It is thus clear that in some cases, they simply meant that these words were used on the Italian peninsula, not specifically by the Etruscans.

we do not know the root lexeme and hints at non-IE origin by comparing other etymologically obscure throat words (*gula*, *glut*- and *gurguliō*). Any link with Hitt. (UZU) *kuttar*- 'strength, force, power; back of the neck, top of the shoulders' (cf. Pokorny 393-4) can indeed be rejected on formal and semantic grounds (Puhvel IV: 315). But if *guttur* is a *littera* variant of \**gūtur* (rather than expressive gemination, cf. EM 286) < \**gelou-ti*-, it could preserve a neuter instrument noun found also in MLG *koder*, Ger. dial. *Köderl* 'throat, gullet' (cf. Pokorny 393-4) < PGm. \**kuþra*- < \**gu-tro*-. Though peculiar, it cannot be ruled out that this is a chance preservation of an archaic formation. On the other hand, DV (276) notes that the Low German attestation means the root in Germanic could instead derive from \**gudi*-.<sup>340</sup> Thus the relationship of the Germanic and Italic forms remains unclear.

hāmus 'hook, fish-hook'

Pre-form: \* $g^heh_2m$ - | Pltal. \* $\chi\bar{a}mo$ -

Comp.: ?

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: tool

WH (I: 633), EM (289), DV (279)

EDG (1605, 1613)

Lat.  $h\bar{a}mus$  has been compared to Gk.  $\chi\alpha\mu\delta\varsigma$  and  $\chi\alpha\beta\delta\varsigma$  'curved' (WH I: 633 with lit.) <  $^*g'h_2m/b_-$ , where the difference in vowel length rules out a direct loan and the m/b alternation within Greek points to a non-IE origin there (DV 279, EDG 1605, 1613). But the semantic match between 'curved' and 'hook' is too weak to confirm the connection with any certainty.

*harundo*, -*inis* 'reed'

Pre-form:  $*q^halHr-olund(h)-|*\gamma arund\bar{o}n-$ 

Comp.: ?

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, wild; aquatic

Pokorny (68), WH (I: 634-5), EM (289), DV (279)

Čop (1969: 187), FEW (IV: 72), Puhvel (III: 143), EDG (131, 136), Adams (2013: 153),

Kroonen (fthc.)

Lat.  $harund\bar{o}$  has been compared to Greek words for plants in the family Araceae including  $\check{\alpha}pov$  'Arum italicum' and  $\dot{\alpha}p(\sigma\alpha pov)$  'Arisarum vulgare'. Along with arista

<sup>&</sup>lt;sup>340</sup> OE *cēod* and OHG *kiot* 'bag' < PGm. \**keuda*- 'bag' might be a separate lexeme.

'awn', Pokorny (68) suggests that the family are Mediterranean loans. WH (I: 634 with lit.) note that the connection only works if the h of  $harund\bar{o}$  is unetymological. They find the link with arista unlikely and EDG (131, 136) considers the connection between Latin and Greek unlikely; the plants involved are indeed quite different.<sup>341</sup> Otherwise DV (279) briefly mentions an (irregular) connection to PGm. \*hreuda- 'reed' and Toch. B karwa, Toch. A kru- 'reeds' but the latter has a good alternative etymology (Adams 2013: 153) and the former requires setting up a series of irregular alternations. Driessen (apud DV 279) suggests a connection to Gaulish \*garunda- 'shallow water-course, river, river bank' (cf. Prov. garouno 'drainage canal' and river names like the Garonne<sup>342</sup>), but this requires some semantic leaps. The suffix, found also in bolunda and  $hirund\bar{o}$  (s.v.), looks like a potential Italic reflex of the Pre-Greek  $v\theta$ -suffix (cf. Kroonen fthc.), but there is no corresponding Greek form. Certain comparanda and thus the origin of  $harund\bar{o}$  remain elusive.

### hirū dō, -inis 'leech'

Pre-form:  $*g^hir$ - | PItal.  $*\chi ir\bar{u}d\bar{o}n$  |  $*\chi iruzd\bar{o}n$ 

Comp.: \*g(h)elir- | PCelt. \*gelirīddo- | OIr. giritán 'edible periwinkle'

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: animal, wild; aquatic

WH (I: 652), EM (296), DV (280, 286)

Deroy (1956b), Breyer (1993: 351-4), Stifter (fthc.)

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<sup>&</sup>lt;sup>341</sup> Based on this connection, Čop (1969: 187) connected Hitt. *ḫariuzzi*- 'Tisch aus Rohrgeflecht (?)'. Puhvel (III: 143), translating *ḫariuzzi*- as 'wickerwork table' seems to reject the connection of the Hittite because he disagrees with the comparison of the Latin and Greek material. I cannot tell for certain, but he seems to be making a pun when he calls the pair "one of the weakest reeds in Pokorny's compendium". <sup>342</sup> FEW (IV: 72) alternatively derives these from the Celtic word for 'crane' cf. W, Co., Bret. *garan*.

 $<sup>^{343}</sup>$  WH (I: 652) and EM (295) compare the formation of  $test\bar{u}d\bar{o}$  'tortoise' < testu- 'pot', suggesting that  $hir\bar{u}d\bar{o}$  is from another otherwise unclear \*hiru-.

'intestine', DV 280), but Italo-Celtic \*hir- cannot, given its i-vocalism. Nor can Lat.  $h\bar{\imath}ra$ , another word for intestines of similar shape. It seems attractive to connect these three formations, setting up an irregular vocalic alternation, but it is not certain that they belong together.

*īlex, -icis* 'holm oak, ilex'

Pre-form: \*(H)īl-elak- / \*(H)eil-alk- | PItal. \*īlelak- / \*eilelak-

Comp.: ?(H/s/μ)/i-ak- | PGk. \*i/ak- | Hsch. ἵλαξ· ἡ πρίνος, ὡς Ῥωμαῖοι καὶ Μακεδόνες, 'holm oak amongst the Romans and Macedonians'

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, tree

WH (I: 678), EM (308), DV (298)

Cuny (1909: 21-6), REW (no. 4259), FEW (IV: 545), Wagner (1960-4 I: 487-8), EDG (32)

Cuny (1909: 21-6) interpreted the Romance descendants of Lat.  $\bar{\imath}lex$  (e.g. It. elce, Prov. euze > Fr. yeuse) as attesting to \* $\bar{\imath}lex$ , and proposed that it is related to the second element in Gk.  $\alpha i \gamma i \lambda \omega \psi$  'kind of oak', demonstrating that it is a Mediterranean word. Alternative etymologies of the Greek word exist (EDG 32). WH (I: 678) and EM (308) generally agree with Cuny (1909: 24) in connecting Hesychius's 'Roman and Macedonian'  $\bar{\imath}\lambda \alpha \xi$ . Lat. -ex could be from \*-aks with vowel weakening, but we know too little about Macedonian to use it to inform us about vowel correspondences. DV (298) does not even mention the form.

While both  $*\bar{e}$  and  $*\bar{i}$  are possible for West Romance, Logudorese *élige* can only be from  $*\bar{e}lex$  (cf. Wagner 1960-4 I: 487-8). Thus it is likely that all the Romance forms go back to  $*\bar{e}lex$  rather than  $*\bar{i}lex$ . Thus it is likely that all the Romance forms go back to  $*\bar{e}lex$  rather than  $*\bar{i}lex$ . Thus it is likely that all the Romance forms go back to  $*\bar{e}lex$  rather than  $*\bar{i}lex$ . Thus is explain PRom.  $*\bar{e}lex$  as the Umbrian reflex<sup>345</sup> of PItal. \*eileks, which in Latin would have monophthongized to attested  $\bar{i}lex$ . This is more plausible than a contamination with  $\bar{e}lig\bar{o}$  'to choose' (pace WH I: 678). Without stronger evidence of a bona fide vocalic alternation, the origin of Lat.  $\bar{i}lex$  remains unclear.

lanx, -cis 'metal dish, tray'

Pre-form: \*l(a)nk-| PItal. \*lank-

Comp.: ?\*lek- | PGk. \*lek- | Gk. λέκος, λέκις, λεκάνη 'dish, pot, pan'

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: vessel

344 Except for Campidanese *íliži*, which continues Lat. *īlex*.

<sup>&</sup>lt;sup>345</sup> EM (308) label \**ēlex* simply "d'origine dialectale".

Pokorny (307-9), WH (I: 761), EM (340), DV (326) Schroeder (1930-31: 111), von Soden (1965-81 I: 527), Schrijver (1991: 488-96), Mastrelli (2002), EDG (847, 853)

The vocalism of *lanx* can perhaps have arisen from the complex cluster \**lnks* (as per Schrijver 1991: 488-96 on \**CaCCC*). Pokorny (307-9) and WH (I: 761) compare it to Gk.  $\lambda$ οξός 'slanting',  $\lambda$ έχριος 'slanting, crosswise', but only the vessel names like  $\lambda$ έκος,  $\lambda$ έκις, and  $\lambda$ εκάνη (var.  $\lambda$ ακάνη) are plausible. EDG (847) takes the alternation between  $\lambda$ εκάνη and  $\lambda$ ακάνη as indicative of a non-IE origin, despite it elsewhere being taken as a late assimilation (cf. Furnée 1972: 352). DV (326) follows EM (340) in conceiving of the Latin and Greek forms as loans from a Mediterranean language. <sup>346</sup> In the end, the nasal of *lanx* is in the wrong place to secure the comparison with the Greek forms beyond a doubt.

larix, -icis 'larch tree'

Pre-form: \* Ial Hr- | PItal. \* Iarik-

Comp.: ?

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, tree

Pokorny (214-17), WH (I: 765), EM (342), DV (328)

Stokes (1885: 88), Terracini (1921: 409-10), Brüch (1923), Alessio (1941b: 221-3), Bottiglioni (1943: 319-20), LEIA (D-12), Trask (2008: 265), Matasović (2016: 704-5), Weiss (2020: 504, fn. 63)

Stokes (1885: 88) suggested that Lat. *larix* 'larch' was borrowed from Celtic oak words < PIE \**doru*-, specifically OIr. gen. *darach* < \**darix*-.347 The change \**d* to /as part of the "Sabine I" phenomenon was ruled out because the larch does not grown in historically Sabine areas; Brüch (1923) proposed that the / arose via contamination with *lacrima* 'pitch/resin'. Others have suspected the mediation of a substrate language (Terracini 1921: 409-10, Bottiglioni 1943: 319-20). But the semantic match is not perfect to begin with (DV 328). Matasović (2016: 704-5) proposes a connection with \* $\acute{g}^helh_3$ - 'yellow, green', which requires transmission through Sabellic and is semantically not compelling. Alessio (1941b: 221-3) proposes an  $e \sim a$  alternation on comparison with Basque *ler* and *leher* 'pine', which Trask (2008: 265) suggests is the original Vasconic word for 'pine' (elsewhere replaced by loans from Lat.  $p\bar{\imath}nus$ ). But *leher* is likely the original form and its medial consonant (which could be from an original \*n, Trask 2008: 25) already makes

 $<sup>^{346}</sup>$  For a review of the link to Gk.  $\lambda \dot{\alpha} \gamma \bar{\nu} \nu \sigma_{S}$ ,  $\lambda \dot{\alpha} \gamma \eta \nu \sigma_{S}$  'flask, pitcher', Hitt. lahan(n)i 'vessel' and their potential Semitic and Sumerian sources (cf. Schroeder 1930-31: 111, von Soden 1965-81 I: 527), see Mastrelli (2002 with lit.); even the link between the  $\lambda \dot{\alpha} \gamma \bar{\nu} \nu \sigma_{S}$  and  $\lambda \alpha \dot{\alpha} \dot{\alpha} \nu \eta$  remains uncertain.

<sup>&</sup>lt;sup>347</sup> Its existence as a guttural stem is an innovation within Celtic (LEIA D-12).

<sup>&</sup>lt;sup>348</sup> But note that "Sabine" is a misnomer (Weiss 2020: 504 fn. 63).

it look guite different from *larix*. Thus *larix* remains without certain comparanda.

legūmen 'pulse, legume, bean'

Pre-form: \*leg(w)- | PItal. \*legūmen

Comp.: ?

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, domestic

Pokorny (658), WH (I: 781), EM (350), DV (332)

Vaniček (1881: 230), Schwyzer (1913: 196-7), Reichelt (1914: 348-9), Frisk (1960-72 II: 94), Leumann (1977: 103, 370), Puhvel (V: 37-8), EDG (839, 847-8, 871)

The etymology given by Varro, that Lat. *legūmen* is from *legō* 'to gather, collect', has been partially accepted since Vaniček (1881: 230, still in DV 332). WH (I: 781) and EM (350) are rightly skeptical of what looks like a folk etymology.

EM (350) suspect a non-native connection to Gk. λέβινθοι 'ἐρέβινθοι' and λεβηρίς 'snakeskin, bean shell'. Even from a substrate perspective, it is difficult to connect the Latin and Greek forms with certainty though. The β of Gk. λέβινθοι could reflect \* $g^v$ , but that of λεβηρίς cannot (unless secondary; \* $g^v$  before e yields δ). In  $leg\bar{u}men$  a \* $g^v$  would delabialize before u. But the form legarica mentioned in Varro (de Re Rustica 1.32.2) must have \*g (unless secondary; a pre-form with \* $g^v$  would give \*\*levarica). Thus the Greek forms reconstruct to \*g0 (Reichelt 1914: 348-9, WH I: 781)350 and the Latin forms reconstruct to \*g0.

In meaning,  $leg\bar{u}men$  is closer to Gk. λέκιθος 'gruel of pulse or cereals'. Puhvel (V: 37-8) suggests deriving both (along with Gk. λέκος and λεκάνη 'dish, pan') and Hitt. lak(k)arwant- 'podded leguminous vegetable, legume' from a PIE root \*lek-. The Hittite word would be an o-grade \*lókr- while  $leg\bar{u}men$  would be from \*lekmn-, yielding \*legumen, then  $leg\bar{u}men$  via tribrach elimination (i.e. metrical lengthening) or analogy to  $fr\bar{u}men$ . But the assumed development of \*lekmn > \*legumen is based on one, very irregular example (legimen/legumen for legmen, cf. Leumann 1977: 103, 370). Gk. λέκος and λεκάνη at best belong to Lat. lanx (s.v.), and EDG (847) suggests that the suffix of λέκιθος makes it Pre-Greek. More likely, if related, Lat.  $leg\bar{u}men$  and Gk. λέκιθος represent a  $g \sim k$  alternation. The appurtenance of the Hittite word, whose meaning I am not convinced can be specified further than 'edible vegetable', remains uncertain.

lemures 'evil spirits of the dead'

Pre-form: \* lem-(u)r- | PItal. \* lemol ur-

<sup>&</sup>lt;sup>349</sup> Even if it is potentially Celtic (Varro writes that *alii*, *ut Gallicani quidam* use this word), g reflects  $*g(^h)$ .

<sup>\*</sup>g(\*). <sup>350</sup> They are probably further related to λοβός 'lobe, pod' (Schwyzer 1913: 196-7, Frisk 1960-72 II: 93-4, EDG 867).

Comp.: ?

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: magico-religious

Pokorny (675), WH (I: 781-2), EM (351), DV (333)

Fraenkel (1962-5: 354), Furnée (1972: 216), Schrijver (1991: 218), Breyer (1993: 212-3), EDG (830)

Lat.  $lemur\bar{e}s$  is often compared to a family of Greek words including  $\lambda\alpha\mu\nu\rho\dot{\rho}\varsigma$  'voracious, eager' and  $\lambda\dot{\alpha}\mu\alpha$  'chasm, a man-eating monster' (WH I: 781-2, EM 251). The vocalism can be explained as an Italic full-grade against a Greek zero-grade (DV 333, skeptically) or as a non-IE  $a\sim e$  alternation (Furnée 1972: 216). The latter interpretation has led some to suggest a loan from Anatolia or Etruscan (Furnée 1972: 216, DV 333), but this seems unlikely. EDG (830) instead doubts the connection, and it is indeed semantically difficult to justify. Further comparanda (Lith.  $lem\acute{o}ti$  'to long for' or Latv.  $lam\^at$  'to badmouth, scold', W llef, Bret.  $le\~nv$  'voice', cf. Pokorny 675, Schrijver 1991: 218 through a sense like 'bigmouth') are semantically equally dubious (DV 333). In the end, Lat.  $lemur\~es$  may well be isolated.

mantum 'short coat', var. mantus

Pre-form: \*ma/Hnt- | PItal. \*manto-

Comp.: \*ma/Hnd- | PGk. \*mandua- | Gk. μανδύα 'a woolen garment'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: textiles

WH (II: 32-3), EM (385)

Alessio (1950: 45-6), Alessio (1955: 331-2, 560), Furnée (1972: 186), EDG (900)

Furnée (1972: 186) compares Lat. *mantum* 'short coat' and Gk. μανδύα 'woolen garment', proposing that they demonstrate a non-IE  $d \sim t$  alternation.

EDG (900) calls Gk. μανδύα an unexplained foreign word, with indications by ancient authors that it is from Persian (or Liburnian). Brust (2008: 424) suggests that the word might simply have been known to be foreign, despite the source no longer being known. But there do exist potential Iranian donor forms (like Saka mandūla- 'coat'). Lat. mantum 'short coat' along with mantellum 'shroud, blanket' and mantica 'a sack that hangs down on both sides' on the other hand, are said to be Spanish by Isidore (not rejected by EM 385). Alessio (1950: 45-6) gives as support for an Iberian origin Sp., Pt., Cat. manto along with Basque mantar 'shirt', 'deck of a boat' (cf. further Alessio 1955:

 $<sup>^{351}</sup>$  An  $a \sim e$  alternation in Etruscan seems to be the result of umlaut (Breyer 1993: 212-13), so we might expect a variant with a in to appear in Latin. Nor does it occur in Greek loans transmitted through Etruscan to Latin. Furthermore, no potential Etruscan source form is attested.

331-2). Trask (2008: 282) however considers Basque *matar* ('gaiter, legging, many other meanings') a loan from Spanish. The other forms are simply reflexes of inherited *mantum*. WH (I: 33) suspects a Celtic origin for the Latin forms.

If Gk.  $\mu\alpha\nu\delta\delta\alpha$  is actually a Greek word, or at most Liburnian, it can be (irregularly) connected to the Latin words. If it is Persian, it seems less like a substrate alternation and more like chance resemblance.

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mergae 'pitchfork'
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Pre-form: *h₂merg- | PItal. *merg-
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Comp.: ?\* h<sub>2</sub>merg- | PGk. \*amerg- | Gk. ἀμέργω 'to pluck (as of flowers)'

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: tool

Pokorny (738), WH (II: 76), EM (399), DV (375)

EDG (86)

It is possible that Lat. mergae 'pitchfork' (and derived merges 'sheaf of grain') is related to Gk. ἀμέργω 'to pluck (as of flowers)' given their reconstructability to a unified pre-form (WH II: 76, DV 375). Further connections with Skt. marj-, Av. marz- 'to wipe' are considered possible yet difficult by EDG (86) and more uncertain by WH (II: 76) and DV (375). The problem is that the Greek reflex ὁμόργνυμι 'to wipe' requires reconstruction of the root with  $*h_3$  as opposed to the  $*h_2$  required by ἀμέργω. The semantics of 'to wipe' and 'to pluck' seem quite distant from each other, and separating them yields two more or less 'tight' proto-forms:  $*h_3merg$ - 'to wipe' and  $*h_2merg$ - 'to pluck'. It thus seems best to keep mergae and ἀμέργω separate from the other forms. EM (399) consider the comparison between mergae and ἀμέργω possible at best, noting the technical semantics of the Latin word and the fact that the pair is otherwise without an IE etymology. DV (375) mentions the possibility of a loan from non-IE for this reason as well. If ἀμέργω is related, there is nothing non-IE about a root  $*h_2merg$ - beyond its restriction to Italic and Greek.

mūtulus 'corbel, rafter head'

Pre-form: \*muHt- | PItal. \*mūtel ol ulo-

Comp.: ?

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: architecture

WH (II: 138, 139), EM (426, 427), DV (398)

Bertoldi (1936: 309-16), Bertoldi (1942: 156), Alessio (1948-9: 132), Hubschmid (1953:

80), Alessio (1955: 583), Furnée (1972: 218-19), Kortlandt (1981), EDG (987)

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Lat.  $m\bar{u}tulus$  is an architectural term, referring to decorative features that jut out from ceilings and walls. Its technical semantics leads EM (427) to suggest it must be loaned from Etruscan. Bertoldi (1936: 309-16) builds a case that it continues an Etruscan root \*mut- 'jutting out'. This involves connecting Lat.  $m\bar{u}t\bar{o}$  'penis', the priapic deity names  $M\bar{u}t\bar{t}nus/M\bar{u}t\bar{u}nus$ ,  $\mu o \acute{v} to v \kappa \alpha$  'thyme, Cistus' (called Etruscan by Pseudo-Discorides, attested as Calabrian  $m\acute{u}taka$  'Cistus monspeliensis', further forms in Alessio 1948-9: 132), several Etruscan words of the shape  $mut/mu\theta$  without known meaning, and several toponyms and personal names. Furnée (1972: 218-19) further adduces to this family Hsch.  $\mu v t t \acute{o} \gamma v v \alpha \iota \kappa \acute{o} v \acute{o} v \iota \acute{o} \iota \acute{o} v \iota \acute{o} \iota \acute$ 

palātum 'roof of the mouth; dome, vault'

Pre-form: \*plh<sub>2</sub>- V- | PItal. \*palāto-

Comp.: ?

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: body part / architecture WH (II: 237), EM (475-6), DV (440) Pfiffig (1969: 38, 42), Breyer (1993: 292-5)

EM (475-6) and WH (II: 237) mention that *palātum* is etymologically obscure and settle on suggesting Etruscan origin based on Festus' account: *falae dictae ab altitudine, a falado, quod apud Etruscos significat caelum*.<sup>353</sup> This may well explain Lat. *fala* 'siege

falado, quod apud Etruscos significat caelum. This may well explain Lat. fala 'siege tower' and its derivatives (cf. Breyer 1993: 292-5 with lit.). But  $p \sim f$  alternations are not

<sup>352</sup> This pair could be due to pre-tonic shortening (Dybo's Phenomenon, cf. Kortlandt 1981) with \*múH-to- behind Italic and \*muH-tό- behind Celtic, or "pretonic absence of lengthening" (DV 398, cf. Schrijver 1991: 248-9) with mHú-to- behind Italic and \*mHu-tό- behind Celtic.

<sup>353 &#</sup>x27;Siege towers are named from their height, from falado, which amongst the Etruscans means 'sky'."

easy to explain even with Etruscan (cf. *ferrum*, s.v.) and the semantic link is tenuous. While Ennius uses *caelī palātum* to mean 'the vault of the sky', it is *caeli* that means sky, not *palātum*.<sup>354</sup> While parallels exist (cf. Du. *gehemelte* 'palate', collective formation to *hemel* 'sky, heaven'), it simply seems too imaginative to suggest that Ennius, rather than simply wanting to express the concept of the sky as a vault, chose *palātum* because he knew it also meant 'sky'.

DV (440) proposes an elegant solution: Lat.  $pal\bar{a}tum$  'roof of the mouth, dome, vault' and perhaps  $Pal\bar{a}tium$  'the Palatine Hill' are from IE \* $plh_2$ - 'flat'. This of course requires the assumption of a semantic change 'flat' > 'vaulted', which is not obvious, but it seems like a better option than Etruscan origin.

palla 'long outer garment, particularly for women; curtain'

Pre-form:  $*pa/Hl-d/n/s/\mu-|$  PItal.  $*pald/n/s/w\bar{a}$ 

Comp.: ?

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: textiles

Pokorny (803-4), WH (II: 238-9), EM (476), DV (440)

Matasović (2009: 240), Höfler (2017)

Lat. *palla* is sometimes suspected of being a (Mediterranean) loanword (EM 476, DV 440). Comparanda are difficult to ascertain. The most straightforward reconstruction \**pHl-d/n/s/y*- (DV 440) is not otherwise attested. It can semantically be linked to several known IE roots, but formal problems remain.

A connection with Lat. *pellis* 'skin, hide' < \**pelni*- (WH II: 238-9 with lit.) is semantically attractive (cf. further Lith. *plėnė̃* 'membrane', OCS *pelena* 'band for swathing children, PGm. \**fella*- 'membrane, skin, hide'). But the *a* vocalism is difficult to motivate. DV (440) suggests a secondary full-grade in *a*. Höfler (2017) proposes a derivation from a *set*-root \**pelH*- 'to cover' in Gk.  $\pi \acute{\epsilon} \pi \lambda o_{\varsigma}$  'women's garment' < \* $p\acute{e}$ - $pl(h_2)$ -o-. If an *s*-stem is preserved in U *pelsa*- 'to bury', then a derived formation like \* $p\iph_2-s-eh_2>*palasā>*palsā$  could be behind Lat. *palla*. This relies on the *palma* rule, which is not universally accepted.

**pērō**, **-ōnis** 'military and work boots made of rawhide'

Pre-form: \*pēr-ōn- | PItal. \*pērōn-

354 WH (II: 237) say it is in imitation of Gk. οὐρανός in its meaning 'the vault of heaven', suggesting that Ennius wanted to express more than just 'sky' and so added the extra word that meant vault. On a related note, Battisti (1960: 34) and Breyer (1993: 294) mention Hsch. βαλόν· τὸν οὐρανόν. Furnée (1972: 231) considers it Pre-Greek because of the attestation of φάλος 'part of a helmet, perhaps a protrusion', but it is not at all clear that these belong together. The connection of the Etruscan word with βαλόν is likewise

unclear. None of this bears on the origin of Lat. palātum however.

208

Comp.: ?

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: textiles (leather)
WH (II: 290), EM (499)

Furnée (1972: 151-2), Leumann (1977: 363), EDG (1187)

Comparanda for Lat.  $p\bar{e}r\bar{o}$  'rawhide boot' are unclear. WH (I: 290) and EM (499) suspect it must be connected with Lat.  $p\bar{e}ra$  'sack, bag', convincingly from Gk.  $\pi \dot{\eta} \rho \alpha$  'leather bag, knapsack'. Then  $p\bar{e}r\bar{o}$  would attest to an unattested Gk. \* $\pi \dot{\eta} \rho \omega \nu$  with the unattested meaning 'boot' (Leumann 1977: 363). Other possible alternations within Greek suggest it is not native there: Hsch. βηρίδες ὑποδήματα, ἃ ἡμεῖς ἐμβάδας λέγομεν 'sandals, which we call ἐμβάδες', Hsch.  $\pi \epsilon \rho \iota$ -βαρίδες ὑποδημάτων εἶδος γυναικεῖον 'women's shoes' (Furnée 1972: 151-2 followed by EDG 1187). Thus it is unclear if Greek must be the direct source. PRom. \*barr- 'small vessel' (Furnée 1972: 152) is probably unrelated.

*pū lē ium* 'pennyroyal'

Pre-form: \*puHI- | PItal. \*pūlējo-

Comp.: ?

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, wild WH (II: 384-5), EM (544)

REW (no. 6815), Furnée (1972: 152)

Lat.  $p\bar{u}l\bar{e}ium$  appears in some manuscripts as pulegium and puledium, but WH (II: 384-5) suspect that these are secondary. They further doubt any direct connection with  $p\bar{u}lex$  'flea' (cf. also EM 544) as it leaves the suffix unexplained. Furthermore, the length of the  $\bar{u}$  is only confirmed metrically in Martial (Epigrams 12.32.19). There it may have been folk etymologically influenced by  $p\bar{u}lex$ , given that the Romance languages continue \* $\check{u}$  (REW no. 6815). Further contamination with  $p\bar{u}lex$  may have given rise to forms like  $p\bar{u}lic\bar{a}ria$  'fleabane Plantao indica', 355 which should presumably look more like Gk.  $\psi\acute{o}\lambda\lambda\iota\sigma$ , the word it is translating (WH II: 384-5). Beyond this, Furnée (1972: 152) compares it to  $\pi\acute{o}\lambda\iota\sigma$  'felty germander (Teucrium polium)', which, given the variant  $β\acute{o}\lambda\iota\sigma$  in Pseudo-Dioscorides, is unlikely to be related to  $\pio\lambda\iota\acute{o}$  'gray'. This could represent a substrate lexeme; pennyroyal and germander are vaguely similar and are both used medicinally.

rēte 'net', var. rētis

Pre-form: \*H|ureHt- | PItal. \*rēti-

355 Given as *Plantago psyllium* in Liddell and Scott.

Comp.: ?

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: tool

Pokorny (332-3), WH (II: 431), EM (572), DV (521)

Schrijver (1991: 17-8, 314), Rosén (1995), Derksen (2007: 434)

WH (II: 431) and Pokorny (332-3) link rete to rarus 'with wide interstices, far apart, rare', and further to Gk. ἐρῆμος 'lonely' and Baltic forms (Lith. retis 'sieve', etc.). Most of the connections fail however. Gk. ἐρῆμος is formally incompatible with *rārus*<sup>356</sup> as is rārus with rēte, and their semantics are not close enough to justify proposing an irregular alternation. The connection between *rēte* and ἐρῆμος is semantically gratuitous (Schrijver 1991: 17-18). DV (521) notes that the Baltic forms (Lith. retas 'rare, thin, slow', retis 'sieve', resti 'to become rare') < BSI, \*reto- and \*ret- are semantically similar to Slavic forms < BSI. \*re2d- (cf. OCS rědbkb 'rare', Derksen 2007: 434), which perhaps attests to an irregular alternation. But given the semantic difference, Lat. rēte is likely unrelated to these either. Given its isolation Rosén (1995) suggested rete could be borrowed from Canaanite \*re\thetat- 'net' (cf. Biblical Hebrew re\text{set}). Epenthesis did not occur in roots where the last two consonants were similar or identical. Thus he proposes that \* $re\theta t$ - (cf. Ugaritic  $r\theta t$ ) could have entered Latin as such whereupon the \* $\theta$  was despirantized (or perhaps the despirantization happened in an intermediary language) yielding \*rett. The latter situation, in which  $r\bar{e}te$  is a borrowing from a Mediterranean language that in turn had borrowed the Semitic form seems more plausible, but, without further forms borrowed this way, remains uncertain.

saepēs 'hedge, fence'

Pre-form:  $*sh_2eip-/*seh_2ip-|$  PItal. \*saipi-

Comp.: ?\*sh₂eim- | PGk. \*haim- | Gk. αίμασιά 'wall around a terrain',

Hsch. αἰμοί· δρυμοί 'copses, thickets'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: tool

Pokorny (878), WH (II: 461-2), EM (588), DV (533)

Furnée (1972: 223), EDG (39)

DV (533) would see Lat.  $saep\bar{e}s$  as deriving from  $*sh_2ei-$  'to bind' except that no \*p- suffix is know that can produce the derivation. Thus we are left to assume that the full root was  $*sh_2eip-$  or  $*seh_2ip-$ . To get Lat.  $saep\bar{e}s$  to match Gk. αἰμαστά, Pokorny (878) tentatively reconstructs  $*saip-mnti\bar{a}-$  (and \*saip-mo- for αἰμοί) with which WH (II:

<sup>&</sup>lt;sup>356</sup> Gk. ἐρῆμος requires \*h<sub>ir</sub>eh<sub>i</sub>-mo- (cf. Myc. *e-re-mo*, EDG 456), whose ablaut grade would yield Lat. \*\*rērus. Zero-grade \*h<sub>ir</sub>h<sub>i</sub>-ro- should have given \*\*rārus (Schrijver 1991: 17).

461-2) agree, ignoring the fact that the regular outcome of \*-pm- is -mm- in Greek. Thus EDG (39) follows Furnée (1972: 223) in taking the  $m \sim p$  alternation at face value and evidence of non-IE origin. The semantic match between the Latin and Greek forms is not perfect however, and given the additional formal problems it is not clear that they are actually related.

## *sēcale* 'rye'

Pre-form: \*seHkAl- | PItal. \*sēkal-

Comp.: ?

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, domestic WH (II: 504), EM (607) Huld (1990: 405)

Reconstructing a PIE pre-form is artificial for this word. The length of the vowels is known from Romance descendants. WH (II: 504) and EM (607) are both convinced it is a loan. Its source is unknown, but it looks suspiciously similar to several Caucasian words including Rutul *siķil*, Tsakhur *siķil* 'rye' and Khinalug *silgli* 'oats' (Huld 1990: 405).

sorbus 'service tree'

Pre-form:  $*s(o)rb(^h)/d^h$ - | PItal. \*sorbo-

Comp.: ?

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, tree; fruit

Pokorny (910-11), WH (II: 562), EM (636), DV (567)

Furnée (1972: 230), EDG (1373)

Lat. *sorbus* is often connected to Lith. *sartas* 'red, brown (of horses)', Latv. *sarts* 'red, pink' to a root \**ser*- 'red, reddish' (WH II: 562 with lit., Pokorny 910-11, EM 636), but the semantics of the root are questionable. Several other Balto-Slavic forms could be related: Ru. *sorobalina* 'rose hip, blackberry', Lith. *serbentà*, *serbentas* 'redcurrant, blackcurrant', *sirbti* 'to ripen'. DV (567) suggests that if they are related, they point to a non-IE \**sVrb*- 'berry', but all forms can be reconstructed to IE ablaut grades of an (otherwise unknown) root \**serbi*-. The semantic difference between the Balto-Slavic forms and Lat. *sorbus* makes the link difficult to confirm in any case. *Sorbus* may be without comparanda.

Furnée (1972: 230) followed by EDG (1373) proposes that Hsch. σορόα: παλι[ν]ούρου εἶδος 'a kind of Christ's thorn (*Paliurus spina-christi*)' is meant as a spelling of \*σοργα

producing a  $w \sim b$  alternation with *sorbus*. But these trees have very little in common.

spiōnia 'a sort of grapevine', var. spīnea

Pre-form: \*spiH- $(i)\bar{o}n$ - $/*sp\bar{i}$ - $(i)\bar{o}n$ -| PItal.  $*spi/\bar{i}(i)\bar{o}ni\bar{a}$ 

Comp.: ?

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: viticulture WH (II: 575), EM (642) Pedersen (1909-13 I: 68)

WH (II: 575) mention that, like *acinus*, *spiōnia* could descend from a Mediterranean-Aegean language, but this is solely due to its viticultural semantics and lack of a better etymology. Pedersen (1909-13 I: 68) compares Celtic forms like MIr. *sían* and W *ffion* 'purple foxglove'. They reconstruct to PCelt. \* $s\phi\bar{\iota}(i)on$ -, practically identical to the pre-form of Latin. But the semantic distance is large, and it remains unclear if *spiōnia* has any comparanda.

sūber 'cork oak'

Pre-form: \*suHb(h)- | PItal.  $*s\bar{u}b/fer$ -

Comp.:

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: plant, tree

WH (II: 617), EM (661), DV (595) Cuny (1910: 158), EDG (1425)

Lat.  $s\bar{u}ber$  'cork oak' is compared to Gk.  $\sigma\tilde{v}\phi\alpha\rho$  'wrinkled skin; old person; milkskin'. A borrowing from Greek into Latin should have yielded \*\* $s\bar{u}par$ , and the initial s of Greek rules out a reconstruction to a common root \* $suHb^h$ . If they are related, they are not of IE origin (Cuny 1910: 158, WH II: 617, EM 661DV 595, EDG 1425). But the semantic difference is too great to secure the comparison and assume an irregular alternation.

tamīnia 'a common plant amongst hedges with red berries, black bryony (Dioscorea communis)' or 'a type of wild grape'

Pre-form: \*ta/Hm- | PItal. \*tam-

Comp.: ?

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: plant, wild / viticulture

Pokorny (1063), WH (II: 646-7, 657), EM (676, 679-80) Bertoldi (1942: 165, 169-70), Alessio (1944b: 414), Ernout (1946: 35), Alessio (1948-9: 135), Battisti (1960: 373), Hubschmid (1960a: 63), Furnée (1972: 200), Breyer (1993: 390-2), EDG (533)

Lat. *tamīnia* 'black bryony' or 'a type of wild grape' is generally taken as related to *tamnus* 'the vine of the *taminia*' or 'black bryony' or 'wine made from *taminia*' (WH II: 646-7, uncertainly EM 676, etc.). But the exact definitions differ amongst scholars.

Bertoldi (1942: 165) and Alessio (1944b: 414) further connect these forms with Lat.  $t\bar{e}m\bar{e}tum$ , which they define as 'a kind of rustic wine made of wild grapes', thus showing that the stem alternates between \*tam- and \*tem- with a Mediterranean  $a \sim e$  alternation.  $T\bar{e}m\bar{e}tum$  is more traditionally defined as 'intoxicating liquor' (cf. Lat. abstēmius 'abstaining from wine'), and seems to have an IE etymology (\*tēmH- cf. Arm. fmrim 'to become stunned', Ger. dämisch, dämlich 'stupid'; Skt. támyati 'to be dazed' has secondary \*ā, Pokorny 1063, WH II: 657, DV 609; EM 679-80 finds the connection arbitrary).

Ernout (1946: 35) proposes that the pair taminia, tamnus are of Etruscan origin due to the suffixes -mno- and -mnia-/-mina-. Bertoldi (1942: 169) follows because of some toponyms and the attested Etruscan forms tamnia and taminai. Breyer (1993: 392) discusses different concatenations of Etruscan morphology that could result in the Latin forms, but she continues to work with the assumption that tēmētum is related. As the Etruscan look-alike forms are of unknown meaning, there is no solid evidence of an Etruscan origin for the Latin words. Tuscan tamaro, tamarro 'Dioscorea communis', etc. seem to attest to a root form with an r instead of n, which Alessio (1948-9: 135) proposes is either due to dissimilation or Etruscan r for n replacement like in Memrun for Μέμνων and (proposed) \*cruma<sup>357</sup> for γνώμ $\bar{\alpha}$  'mark' — further evidence that the lexeme is Etruscan (cf. also Alessio 1944b: 414, Battisti 1960: 373). The Etruscan proposal would seem to indicate however that the word is not Etruscan. All examples show a change from n > Etr. r, not the other way around. Etruscan origin would not explain *taminia*. Hubschmid (1960a: 63) takes the form with r as a Mediterranean substrate variant and suggests connecting Lat. tamarīx 'tamarisk' and its Romance descendants. This is a different plant however, and so the connection is not secure.

Hubschmid (1960a: 63) does mention several irregular looking descendants of the Latin words. These include Bergamo tam < \*tamus, lacking the n as well as Istrian  $d\acute{a}mi$  with initial d instead of t. This situation is reminiscent of Lat. talpa 'mole' versus PRom. \*darbo- (s.v. talpa), but the irregular forms are not nearly as widespread as with that case. To tamnus Furnée (1972: 200), following Alessio (1944b: 414), further compares  $θ\acute{a}μνος$  'bush, shrub'. He is dissatisfied with the IE etymologies proposed for the word and argues that it belongs with forms like θαμά 'often' as a Pre-Greek lexeme. If the

<sup>&</sup>lt;sup>357</sup> Argued to be the source of Lat. *grōma*, *grūma*, *crōma* 'field surveying instrument'.

form θάμνη<sup>358</sup> really does mean 'wine from pressed grapes', it would be closer in meaning to *tamnus*; but still not quite the same. EDG (533) rejects the connection with *tamnus*, but it is not clear exactly why. "With its ending in  $-\alpha\mu\nu(o\varsigma)$ , the word seems Pre-Greek; its meaning makes this quite possible." It seems they reject it in part because, as Pre-Greek was spoken in Greece only, it should not have comparanda outside of Greece. The second part of the rejection stands, however. "Bush" and the grape or bryony vine are not similar enough meanings to compare. Lat. *tamīnia* remains without certain comparanda to elucidate its etymology.

unēdo 'strawberry tree and its fruit (Arbutus unedo)'

Pre-form: \*un-eh<sub>1</sub>d-ōn- | PItal. \*unēdōn-

Comp.: \*(H)oHl-id-ōn- | PRom. \*ōlidōn- | Sard. (o)liðòne, etc. 'A. unedo'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: tree, wild; fruit

WH (II: 818), EM (747)

REW (no. 9068), Wagner (1960-4 II: 185)

Pliny famously folk etymologized the word: pomum inhonorum, ut cui nomen ex argumento sit unum tantum edendi (Nat.Hist. 15: 98).  $^{359}$  But the fruit of A. unedo is not particularly awful in odor or taste, and the u of  $un\bar{e}d\bar{o}$  is short as opposed to  $\bar{u}num$ . The Romance forms attest to a very different form (Meyer-Lübke 1911 no. 9068): Piedmontese (l)urion <  $*\bar{u}$ lidone, Saintongeais olon < \*ol(id)one, Gascon (Landes) auledun < \*olidone, Guyenne leduno < \*(o)lidone. Sardinian has (o)lidone <  $*\bar{o}$ lidone (Wagner 1960-4 II: 185). Between the Romance forms and Latin, there seem to be several vocalic and consonant alternations. However, given the aberrance of Latin alone and the folk etymology given by Pliny, it cannot be ruled out that the Latin word has been deformed somehow.

# 2.3.3 Conflicting Possibilities

## 2.3.3.1 Non-inherited vs. Inherited

acer 'maple tree'

Pre-form:  $*h_2ek$ -r-i/o- | PItal. \*akri/o-

Comp.: \*h<sub>2</sub>ek-r-no- | PGm. \*ahurna- | OHG ahurn, ahorn, acharn 'maple tree'

<sup>&</sup>lt;sup>358</sup> It is attested once, in the *Geoponica* 6.13.2, where it is recorded that, after draining the must from the winepress, the remains are put into casks and used to make inferior wine "which provincially they call *thamna*" (translation from Owen 1805: 209). The passage is attributed to Anatolius, who might be the same as the 4<sup>th</sup> century author Vindonius/Vindanionius Anatolius of Beirut. But this is uncertain. Otherwise, the *Geoponica* was compiled in the 10<sup>th</sup> century.

<sup>&</sup>lt;sup>359</sup> '[A] dishonorable apple, such that its name is from the evidence of only eating one'.

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*h_2ek-r- | PGm. *ah(i)ra- | ODan. \alpha r, Upper German Acher 'maple tree' 

\Box Irreg. correspondences \Box Remarkable phonotactics

Semantics: plant, tree
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Pokorny (18-22), WH (I: 6-7), EM (6), DV (21)

Hubschmid (1953: 80-2), Furnée (1972: 343, 371), Puhvel (III: 304-5), Schrijver (1991: 37-8), Trask (2008: 115), EDG (50), Kroonen (2013: 7)

Lat. *acer* and the Germanic forms can be reconstructed to the same root  $*h_2ek_-$ , and it cannot be excluded that this is simply  $*h_2ek_-$  'sharp' (Pokorny 18-22, WH I: 7, Schrijver 1991: 37-8) named after the shape of the leaves. Some Germanic forms show an additional *n*-suffix, which might be the one that occurs in substrate words (see §3.3.4).

Further comparanda that could support a substrate origin are uncertain. Hsch. ἄκαρνα: δάφνη 'laurel-tree' is a formal match for the Germanic even down to the n-suffix (Schrijver 1991: 37), but is semantically aberrant leading EDG (49) to consider it isolated within Greek. Hsch. ἄκαστος: ἡ σφένδαμνος 'maple' is often compared under the assumption that it lost its \*r from \*ἄκαρ-στος (WH I: 7, DV 21). Perhaps the r was never there however: cf. Gk. κάστον 'wood', Basque gastigar 'maple' Furnée (1972: 343, 371), Nuorese kóstike, Logudorese kóstige, Languedocien and Prov. agast, etc. 'maple' (Hubschmid 1953: 80-2, though he connects them to Lat. aesculus). The Greek and Basque forms without r might represent a separate lexeme. Puhvel (III: 304-5) adduces Hitt. hiqqar- 'name of a tree, perhaps maple', but that it might mean 'maple' is based in part on the fact that it is attested as being used to make tables. Nor do the formal details work very well.

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    apex 'top, point; (part of) a priest's hat'
    Pre-form: *h₂ep-ek- | PItal. *apek-
    Comp.: ?
    □ Irreg. correspondences □ Remarkable phonotactics
    Semantics: architecture / textiles
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Pokorny (50-1), WH (I: 57), EM (38), DV (46)

Breyer (1993: 333-4)

Because of its technical use in architecture as well as its referring to an article of priestly attire, Lat. *apex* has been suggested to be of Etruscan origin (e.g. EM 38, cf. Breyer 1993: 333-4 with lit.). But there exists no non-onomastic Etruscan word of known meaning to compare it to. The word can theoretically be PIE, from \* $h_2ep$ - 'away', cf. Gk. ἄπιος 'far off', Skt. *ápara*- 'next, further, more to the back' (DV 46) or related to *apiō* 

<sup>&</sup>lt;sup>360</sup> The Basque Linguistic Atlas (EHHA, map 468) lists several variants of a word for *Acer campestre*: askar, astiar, astiger, etc.

'to tie, bind' (Pokorny 50-1, WH I: 57), but neither seems like a perfect fit. The -ex suffix is often found on words of murky etymology (DV 46), but it occurs on inherited bases too (cf. vertex 'whirl, eddy'). Lat. apex is either of IE origin or it is isolated.

cancer, -ī 'crab; cancer'

Pre-form: \*kan-kṛ- / ?\*kar-kṛ- | PItal. kankro-

Comp.: ?\*kṛ-kṛ-ino- | PGk. ?\*karkrino- | Gk. καρκίνος 'crab; ulcer'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: animal, wild; aquatic

Pokorny (531-2), WH (I: 151), EM (91-2), DV (86)

KEWA (I: 169), Furnée (1972: 129-30), Vycichl (1983: 246-7), Schrijver (1991: 428),

EWAia (III: 64), EDG (646), Meiser (2010: 127)

The etymological explanations for Lat. *cancer* 'crab' cannot be accepted as certain. Most frequently, it is assumed that PItal. \**kankro*- was dissimilated from \**karkro*- (WH I: 151, EM 91, DV 87). However this would be the only example of such dissimilation in Latin. <sup>361</sup> WH and EM take this as a dissimilation that would already have occurred at an Indo-European date, to a reduplication of the root \**ker*- 'hard' (cf. also Pokorny 531-2). <sup>362</sup> It cannot have occurred in PIE if \**kr*-*kr*- is also the root behind Gk. καρκίνος. There the dissimilation has either occurred differently or, if it is from \**karkrino*-, not at all (cf. Schrijver 1991: 428, EDG 646). The root \**ker*- in question has poor evidence to support it (s.v. *carīna*).

Further evidence of an inherited word is often given as Skt. karkata- 'crab', if a Middle Indic development of original \*karkrta- (cf. Schrijver 1991: 428), but EWAia (III: 64) argues that it is unlikely to be inherited (cf. further KEWA I: 169). Vycichl (1983: 246-7) mentions Egyptian Arabic karkand 'crayfish' as a potential comparandum for the Sanskrit if it is not inherited. EDG (646) still connects the Sanskrit word as a comparandum for Gk.  $\kappa\alpha\rho\kappa(vo\varsigma)$  because Furnée (1972: 129-30) demonstrated a  $k \sim kh$  alternation through Hsch.  $\kappa\alpha\rho\kappa(vo\varsigma)$  καρκ(voι,  $\kappa\alpha$ )  $<\kappa>$ όχλοι. Σικελοί 'crabs and snails amongst the Sicels', making it non-inherited. If the best comparandum for the Latin word itself might be of non-IE origin, then the Latin would be as well. The details are not sufficiently clear and the number of assumptions too high to accept either an inherited or a substrate origin.

<sup>&</sup>lt;sup>361</sup> The opposite development, by which \*n has become r, is found in carmen < \*kan-men- (cf. Meiser 2010: 127).

<sup>&</sup>lt;sup>362</sup> DV (86) favors dissimilation from \*karkros 'enclosure' (cf. carcer) and reconstructs \*kr-kr-o- 'circular' because of the ring formed by the pincers.

 $<sup>^{363}</sup>$  Furnée (1972: 129-30) also happens to doubt the appurtenance of Lat. *cancer* here and instead considers it to be from another non-inherited lexeme in alternation with γάγγραινα 'gangrene, flesh-eating illness'. There is no reason to separate *cancer* from the other words meaning 'crab' if only to attach it to another word for which an origin meaning 'crab' must be theorized.

### capiō 'to take'

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Pre-form: *ka/h_2p-i-| PItal. *kapi-
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Comp.:  $*ka/o/h_2p-|$  PGm.  $*hab\bar{e}n-|$  Go. haban 'to have', etc.

\*ka/o/h2p-i- | PGm. \*haf/bjan- | Go. hafjan 'to heave, lift', etc.

\*ka/h2p-i- | PGk. \*kapy- | Gk. κάπτω 'to gulp down'

\*koh2p- | PGk. \*kōp- | Gk. κωπή 'grip'

\*ka/o/h2p- | Alb. kap 'to grab, seize, reach'

\* $g^ha/Hb(h)$ - | PItal. \*hab/f- | Lat.  $habe\bar{o}$  'to have, possess'

\* $g^ha/Hb^h-i-$  | PCelt. \*gab-yo- | OIr. gaibid 'to take, hold'

\*g(h)ā/ōb(h)- | PSlav. \*gabati- | Ukr. hábaty 'to seize', Sln. gábati 'to be in need, starve, be lost, die', etc.

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: action

Pokorny (407-9, 527-8), WH (I: 159-60, 630-1), EM (95-7, 287-8), DV (89, 277) Collitz (1912: 86-8), Lehmann (1986: 167), Gysseling (1987: 60), Schrijver (1991: 92-3), EWAia (I: 463-4), Kortlandt (1992: 104), Demiraj (1997: 212-3), Boutkan & Kossmann (1999: 89, fn. 3), Untermann (2000: 311-16), Schrijver (2003: 67), Boutkan & Siebinga (2005: 155), Derksen (2007: 159), Matasović (2009: 148), EDG (640, 815), Kroonen (2013: 173, 210), Derksen (2014 s.v. *gebéti*)

If kept separate, \**kh*<sub>2</sub>*p*- and \**g*<sup>h</sup>*Hb*<sup>h</sup>- are two independent roots whose IE origin is difficult to rule out. But an idea is in circulation that they represent variants of a substrate lexeme, with an alternation similar to that between Lat. *caper* and OIr. *gabor* (Gysseling 1987: 60, Boutkan and Kossmann 1999: 89, fn. 3, Boutkan & Siebinga 2005: 155, DV 89, 277). Since Collitz (1912: 86-8), an alternative idea, that the two originally separate roots have contaminated each other, has been in circulation (supported e.g. recently in Lehmann 1986: 167, Untermann 2000: 313).

There are some problems with the reconstruction, especially of the  $habe\bar{o}$  comparanda (Osc. hafiest [3sg.fut.] points to  $*g^hHb^h$ - but U **habian** [3sg.pres.subj.] to  $*g^hHb$ -, Untermann 2000: 313-16; Balto-Slavic forms do not support a reconstruction with a laryngeal, Derksen 2007: 159, Derksen 2014 s.v.  $geb\acute{e}ti$ ), but factors that speak in favor of an inherited origin include: 1) Italic, Germanic, and Greek forms all reconstruct to the same i-stem present \*kHp-i- (Schrijver 2003: 67, Kroonen 2013: 198). 2) Italic and Germanic would attest to doublets of this root, which is difficult to explain in a borrowing scenario. 3) The root might also be present in PIIr.  $*gab^ha$ - ( $*g^hab^ha$ - (cf. Skt. \*gabhasti- 'hand, forearm', though it suggests original \*a-vocalism unless from  $*g^hHeb^h$ -) giving it a very broad, IE-looking distribution. Thus, while an interesting idea,

the evidence does not seem strong enough to securely assign these roots a non-IE origin.

cicer, -eris 'chickpea'

Pre-form: \*ki-ker- | PItal. \*kiker-

Comp.: \*ke/oi-ker-n- | PArm. \*sēsern- | Arm. sisern 'chickpea'

\* $(\acute{k}i$ -) $\acute{k}er$ - | PAlb. \* $\theta ier$ - | Alb. thjer (vars. thierr, thjérr, etc.) 'lentil'

☐ Irreg. correspondences ■ Remarkable phonotactics

Semantics: plant, domestic

Pokorny (598), WH (I: 212), EM (119), DV (113)

Strömberg (1937: 50), Alessio (1943: 233), Hubschmid (1953: 114-15), Berger (1956: 4-8), Battisti (1960: 380), Chantraine (1968-80: 585), Ačaryan (1971-79 IV: 218), Schmalstieg (1976: 264), André (1978: 80), Greppin (1981: 6), Tischler (1983: 570), Jahowkyan (1987: 49, 601, 612), Demiraj (1997: 398-9), Orel (1998: 479), Beekes (2000: 29) Martirosyan (2009: 576), EDG (781, 1684), Mikić and Vishnyakova (2012: 220), Zohary, Hopf & Weiss (2012: 87-8), EWA (V: 510), Cunningham (2018-20 II: 604)

Lat. cicer is a neuter r-stem closest in form and meaning to Arm. siser 'chickpea'. The unsyncopated i in Armenian should technically go back to  $*\bar{e} < *ei/oi$ , producing disyllabic  $*\acute{k}e/oi\acute{k}er$ -n- as a pre-form (Ačaryan 1971-79 IV: 218, DV 113). OPr. keckers 'chickpea' points to a root without palatovelars (EM 119, Beekes 2000: 29) and Alb. thjer looks like it lacks the reduplicated syllable (Orel 1998: 479). Thus Jahowkyan (1987: 49, followed by Martirosyan 2009: 576) takes it as non-IE, with Clackson (1994: 143) even calling it Mediterranean. Alessio (1943: 233) includes it as an example of a word showing Mediterranean substrate reduplication.

But several of the irregularities can be explained. Arm. *sisern* seems to follow a normal pattern of reduplication in Armenian (though generally in the semantic category of animals and expressive words, not in plant names), where the *i* of the reduplicated syllable was immune to syncope (p.c. Rasmus Thorsø). Greppin (1981: 6) for instance reconstructs *i*-vocalism for the first syllable. OPr. *keckers* is a borrowing from German (Schmalstieg 1976: 264 with lit.); cf. OLG *kekera*, a loan from Latin (EWA V: 510). Demiraj (1997: 398-9) supports a pre-form \**ki-ker*- for the Albanian, perhaps through dissimilation (cf. the variant *thírqe*).

Other comparanda are uncertain. The codex unicus of Hesychius gives as Macedonian κίβερροι ἀχροὶ, the latter word meaning 'pale'. But with two emendations of the text (followed by Cunningham 2018-20 II: 604, EDG 1684), we get κίκερροι ἆχροι with the latter word meaning 'Cyprus vetch (*Lathyrus ochrus*)'. Beekes (2000: 29) reconstructs the amended κίκερροι to \*ki-kerjo-. Gk. κρῖός 'ram' but also 'chickpea' is sometimes reconstructed to \*(ki)krio- (Pokorny 598, WH I: 212, Beekes 2000: 29), but the iota is

long. Chantraine (1968-80: 585) and EDG (781) therefore follow Strömberg (1937: 50) in assuming that 'ram' is the original meaning, with 'chickpea' being metaphorical after the curved shape of the pods. He meaning (apud Tischler 1983: 570) notes the similar shape of hapax Hitt. kikri-, but all that is known of its meaning is that it occurs as a modifier of BA.BA.ZA 'porridge'. Thus the connection is too uncertain. Most uncertain are several North (-west and -east) Caucasian forms cited by Jahowkyan (1987: 601, 612). Several of the Dagestanian forms especially look similar to the (unreduplicated) base of cicer (cf. Akusha, Chiragh, Dargi qara, Aghul xur, etc. 'peas', updated via Mikić and Vishnyakova 2012: 220). This is similar to what Hubschmid (1953: 114-15) and Battisti (1960: 380) purported to find (a root \*gar- / \*ger(g)-) behind Romance forms, Hsch. γάλινθοι and γέλινθοι 'ἐρέβινθοι', Georg. gorwela 'type of pea', and Burushaski gərk 'peas'. Hsch. 'γάλινθοι and γέλινθοι 'ἐρέβινθοι', Georg. gorwela 'type of pea', and Burushaski gərk 'peas'.

André (1978: 80) is unsure whether to consider *cicer* reduplicated. But the most securely related forms can be reconstructed to \*ki-ker-, avoiding an invalid \* $C_ieC_i$ - root structure or a disyllabic root. The root itself has been proposed to be \* $kerh_3$ - 'to feed' (WH I: 212, DV 113), though this need not be the case. Methodologically, the ability to reconstruct a common pre-form generally means an inherited origin cannot be ruled out. But a reduplicated noun formation like this should be archaic, and there is unlikely to have been an Indo-European word for the chickpea, a crop domesticated in Anatolia (Zohary, Hopf & Weiss 2012: 87-8). It remains unclear whether the geographically and formally more disparate comparanda, whose exact relationship to the *cicer* group is unknown, provide positive evidence in favor of a non-IE origin.

cubō, -āre; -cubō, -ere 'to lay down, recline'

Pre-form:  $*kub(h)(H)-|PItal.*kub\bar{a}je/o-|cub\bar{o}, -\bar{a}re$ 

 $*kub(h)-n-h_{2-} / *ku-m-b(h)- | PItal. *kumbe/o- | -cumb\bar{o}, -ere$ 

\* $kub(h_2)$ - | PItal. \*kuba- | SPic. qupat, Fal. cupat [3sg.pres.] 'lies', etc.

Comp.:  $*kub(h) - | PCelt. *ku\phi-ske/o- | MW kyscu, MCo. koska, MBret. cousquet$ 

'to sleep'

☐ Irreg. correspondences

■ Remarkable phonotactics

Semantics: action

Pokorny (588-92), WH (I: 298), EM (153-4), DV (152)

Schumacher (2004: 424), Bakkum (2009 1: 78), Matasović (2009: 228), LIV (s.v.  $?*(k)eubh_2$ -)

The root of *cubo* can be reconstructed as *set*, <sup>366</sup> but need not be (LIV s.v. ?\*(k)eubh<sub>2</sub>- with

<sup>&</sup>lt;sup>364</sup> Chantraine notes Lat. *cicer arietinum*, a type of legume, which is an apt parallel.

<sup>&</sup>lt;sup>365</sup> Cf. Berger (1956: 4-8) for its reconstruction to \*kiker.

<sup>&</sup>lt;sup>366</sup> The LIV suggests \*\(\psi\)eubh<sub>2</sub>- only works for Italic, but Schumacher (2004: 424) asserts that the loss of the laryngeal in this sequence is expected in pre-Proto-Celtic.

lit.). Instead, it is the nasal infix present of  $-cumb\bar{o}$  that makes the verb look to be of IE pedigree. A non-IE  $b \sim mb$  alternation is present in e.g. Lat.  $sab\bar{u}cus \sim samb\bar{u}cus$ , but the preservation of both variants makes it look like it entered Latin quite late. Thus such an explanation does not seem to be able to supplant the assumption of native nasal infixation for  $-cumb\bar{o}$ . Still, the Faliscan and Sabellic forms require the reconstruction of \*b (WH I: 298), a rare phoneme in PIE. 367 Additionally, the root is restricted to Italo-Celtic. Thus DV (152) is not certain if it should be considered of PIE origin (cf. badius and  $b\bar{a}ca$ , s.v.).

#### dulcis 'sweet'

Pre-form: \*dlk-/\*dulk-| PItal. \*dolki-/\*dulki-

Comp.: \*dluku- | PGk. \*dluku- | Gk. γλυκύς 'sweet'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: culinary

Pokorny (222), WH (I: 379-80), EM (186-7), DV (182)

Stanley (1982: 578), Sihler (1995: 96), EDG (277), Weiss (2020: 335 fn. 66)

Reconstructing a common pre-form for the Latin and the Greek forms is impossible. Lat. dulcis can reconstruct to \*dlk-, but this would not give Gk. γλυκ-. Myc. de-re-u-ko (probably corresponding to later γλεῦκος 'grape must/sweet wine', cf. Stanley 1982: 578), if indeed a related lexeme, shows that the γλ of γλυκός is from earlier  $*\delta\lambda$  (cf. EDG 277). But this sound change is otherwise unparalleled in Greek.  $^{369}$  WH (I: 379-80 with lit.) suggest it was triggered by assimilation to the  $\kappa$ , but this and their proposal that  $*l > \lambda\nu$  because of  $\nu$  in the next syllable are  $ad\ hoc$  (EM 187, DV 182). From the other side, the Greek reconstructs to a u-stem \*dluku-. But as Latin turned u-stems into l-stems, it should have given \*\*dulquis (with the assumption of metathesis; \*dluku- should actually have given \*\*luquis, Weiss 2020: 335 fn. 66). Thus perhaps it does not descend from the u-stem, or perhaps one of these irregular developments indeed occurred. Otherwise, given that a root \*dlk-l-\*dluk- is isolated to Latin and Greek, DV (182) proposes that both words were borrowed from a third, unknown source.

#### falx, -cis 'sickle'

Pre-form: \*dhal Hlk- | PItal. balk-

Comp.: ?

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<sup>&</sup>lt;sup>367</sup> Faliscan did not have an orthographic b and represented it with  $\langle p \rangle$  (Bakkum 2009 I: 78).

<sup>&</sup>lt;sup>368</sup> There is no reason to reconstruct \*skeub<sup>h</sup>- to make up for an illegal root structure and link it with PGm. \*skeubanan- 'to throw' as suggested by Matasović (2009: 228), despite the interesting parallel between *iacere* 'to throw' and *iacēre* 'to lie'.

<sup>&</sup>lt;sup>369</sup> Sometimes proposed in the pre-form of Lat. *lac*, Gk. γάλα 'milk' (cf. Sihler 1995: 96); otherwise in γέλγις 'garlic' if ultimately from Akk. *gidlu* (Kroonen 2012b).

■ Irreg. correspondences

Remarkable phonotactics

Semantics: tool

Pokorny (247), WH (I: 449-50), EM (214), DV (200)

Mikkola (1899: 74), Niedermann (1918: 17-36), Gamillscheg (1920: 517-18), Brüch (1921: 583-4), Gamillscheg (1922: 86-9), REW (no. 2458, 2458), FEW (III: 2-3), Alessio (1946a: 165), Fraenkel (1962-5: 81), Matasović (2009: 94), Derksen (2014 s.v. dilgė, dilgti), Smoczyński (2018: 193, 229), Weiss (2020: 178)

Lat. *falx* 'scythe, sickle' reconstructs to an invalid *D*<sup>t</sup>*eT* root structure, but is similar in form and meaning to two different groups of inherited lexemes. Its *a*-vocalism is difficult to account for.

On the one hand, it is formally most similar to reflexes of a root \*d^helg- (PCelt. \*delgo- 'pin, needle', ON dálkr 'pin, dagger', Lith. dîlgti 'to sting', cf. Matasović 2009: 94, Derksen 2014 s.v. dilgti). On the other hand, it semantically more similar to Lith. dalgis 'scythe' (Mikkola 1899: 74, Alessio 1946a: 165), generally reconstructed to \*dolgh- to a root \*delgh- 'to hew, split' (cf. ON telgja 'to hew, cut short' < \*dolgh-eie-, OIr. dluige 'splitting', cf. Derksen 2014 s.v. dalgis, Smoczyński 2018: 193). WH (I: 450) are thus suspicious, since this seems to represent a Baltic semantic development of a root with an originally broader meaning. However, Lith. dalgis has been reconstructed to the other root, \*dhelg- (cf. Fraenkel 1962-5: 81) perhaps via métatonie douce.<sup>370</sup>

In any case, even a derivation from a root \* $d^helg$ - cannot explain the a-vocalism or \*k of PItal. \*palk-. Thus Niedermann (1918: 17-36) made use of purportedly Sicilian ζάγκλη 'sickle' 371 and Hsch. δάγκλον δρέπανον 'sickle' to suggest that some pre-Italic but still IE language provided a form \* $\delta alkla$ - (< \* $\delta al$ -tla-) that entered Latin as \*\*falcula. This would have been interpreted as a diminutive whence falx was back-formed. Thus the velar element of falx would be part of the suffix, removing it from comparison with any of the inherited forms mentioned. DV (200) instead supports that falx is indeed a reflex of \* $d^helg$ -, but transmitted through "a non-Latin Indo-European language of Italy". (Cf. a potentially similar scenario for the Greek and Armenian comparanda of Lat. hordeum 'barley'.) Given the semantics, this is an attractive hypothesis, but non-IE origin also cannot be ruled out (cf. EM 214).

Several Romance forms (OProv. *dalh*, MFr. *dail*, etc. 'sickle') reflect \**dacula*. REW (no. 2456, 2458) considers it the diminutive of \**daca* 'dagger, Dacian knife'. Gamillscheg (1920: 517-18) instead proposed that the forms represent the Gaulish reflex of PCelt. \**delgo*-, with *l* palatalized through its position in front of *g*, <sup>372</sup> a development that Brüch

 $<sup>^{370}</sup>$  Smoczyński (2018: 229) argues the opposite, that Lith. dilgti 'to sting' is actually from  $*delg^{h_-}$  with secondary acute accent.

<sup>&</sup>lt;sup>371</sup> Thucydides 6.4 says the Sicilian town of Zancle was named after the shape of its harbor (EDG 495).

 $<sup>^{372}</sup>$  He further considered this to be the source of forms with an r in more southerly dialects, but Brüch (1921: 583-4) and FEW (III: 2-3) show that the forms reach as far North as Picard *dard* and also mean 'spear', so that the cases where these forms mean 'sickle' is due to contamination.

(1921: 583-4) argued is unparalleled. Gamillscheg (1922: 86-9) then proposed that the hypothetical \* $\delta alkla$ - behind \*\*falcula could have given \*daklo- via dissimilation and then produced dail regularly. Matasović (2009: 94) follows, proposing that PCelt. \*delgo- could represent a different metathesis. This would seem to favor the existence of \*\*falcula from which falx was back-formed, but it is not the only option. PRom. \* $d\tilde{a}cula$ - can alternatively represent \* $d(e)h_2$ - $tleh_2$ - (\* $deh_2$ - 'to cut off'; cf. Skt.  $d\tilde{a}tra$ - 'sickle' (Guus Kroonen, p.c.), thus an unrelated lexeme.

fax, -cis 'torch, a light'

Pre-form:  $*\acute{g}^h uok(``)$ - | PItal.  $*\chi wok$ -

Comp.:  $?*\acute{g}^h uok(w) - | PBalt. *\acute{z}vakij\bar{a} - | Lith. \check{z}v\tilde{a}k\dot{e}$  'candle, icicle'

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: tool

Pokorny (495), WH (I: 438), EM (209), DV (207)

Budenz (1859: 289), Grassmann (1863: 88), Schwyzer (1939-50I: 302), Schrijver (1991: 464-5), EDG (1143, 1551, 1603) Derksen (2014 s.v. *žvakė*), Kroonen (2017: 106), Smoczyński (2018: 1762-3)

Lat. fax 'torch' is difficult to analyze. An early idea was a connection to  $fove\bar{o}$  'to make/keep warm' (cf. Budenz 1859: 289) via \* $d^hog^{wh}$ -s- (cf. nix, nivis 'snow'), but this cannot account for the Latin a-vocalism. Instead, a compelling comparison is with (isolated) Lith.  $\check{z}v\bar{a}k\dot{e}$  (WH I: 438, Pokorny 495, DV 207). Both can be reconstructed to the same pre-form \* $g^h\psi_0 k(w)$ - (DV 207, Derksen 2014 s.v.  $\check{z}vak\dot{e}$ ), with \*o unrounding to a in Latin in an open syllable after \* $\psi$  (Schrijver 1991: 464-5). Original a-vocalism is also a possibility (Smoczyński 2018: 1763, Weiss 2020: 280). Hsch.  $\phi\dot{\omega}\psi$   $\phi\dot{\alpha}\dot{\omega}\varsigma$  'light' as if < \* $g^h\psi_0\dot{\delta}k^ws$ - along with Hsch.  $\delta\iota\alpha\phi\dot{\alpha}\sigma\sigma\varepsilon\iota\nu$ -  $\delta\iota\alpha\phi\dot{\alpha}\upsilon\varepsilon\iota\nu$  'to show through' have been compared (Schwyzer 1939-50 I: 302 gives them as an example of Gk.  $\phi$  < \* $g^h\psi_0$ -), but  $\phi\dot{\omega}\psi$  might represent a remodeling of  $\phi\ddot{\omega}\varsigma$  'light' (on  $\check{\omega}\psi$  'eye', EDG 1603) < \* $b^heh_2$ - 'to shine' (EDG 1551). Whether the Greek is related or not, the root \* $g^h\psi_0k$ - or \* $g^h\psi_0k^w$ - is of an invalid \* $D^heT$  structure, leading DV (207) to suggest it is a loanword.

Kroonen (2017: 106) alternatively suggests that Lat. fax might be a back-formation from attested facula 'torch', perhaps an old instrument noun to the root  $*b^ih_1$ -tleh\_2 to the root  $*b^ieh_1$ - 'to make warm' (cf. already Grassmann 1863: 88 on the root etymology) akin to PGm.  $*b\bar{e}la$ - (<  $*b^ieh_1$ -tló-, cf. ON bál 'campfire'). This solves the problem of the invalid root structure for Latin, but requires Lith.  $\check{z}v\bar{a}k\dot{e}$  to be unrelated. It is unclear which solution to choose.

glārea 'pebble, gravel'

Pre-form:  $*g(h?)lH-ro-|PItal.*gl\bar{a}rejo-$ 

Comp.: \*ghlar- | PGk. \*khlaro- | Hsch. γλαρόν κόγλαξ 'pebble'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: geography

Pokorny (390-1), WH (I: 605), EM (276), DV (264)

Alessio (1944: 132), EDG (1636), Zair (2013), Weiss (2020: 177, fn. 26), Kroonen et al. (2022: 8)

The traditional etymology of Lat. <code>glārea</code> 'gravel' relates it to <code>grānum</code> 'grain' <code><\*śrH-no-.</code> It would develop from <code>\*śrH-ro-</code> <code>> \*grāros</code> <code>>> \*grārejos</code> with dissimilation to <code>\*glārejo-</code> (WH I: 605, Pokorny 390-1). DV (264) writes that this etymology relies on the original meaning of <code>grānum</code> being 'small piece' rather than 'ripened, aged', and Kroonen et al. (2022: 8) indeed argue in favor of this while EM (276) are uncertain. Zair (2013) remains open to the root etymology but adduces PCelt. \*<code>grāwā-</code> (cf. MW <code>gro</code> 'gravel, shingle', OCo. <code>grou</code> 'sand, gravel') along with Friulian <code>grava</code> 'gravel' as cognate from \*<code>grā-uā-</code> beside Lat. <code>glārea</code> <code><< \*grā-ro-</code> (with the same metathesis).

Alessio (1944: 132) instead compares  $gl\bar{a}rea$  to Hsch. χλαρόν· κόχληξ (= κάχληξ) 'pebble', followed by EDG (1636), and further assigns it Mediterranean substrate status. It is uncertain if \* $g^hl$ - should yield \*gl- or \*l- in Latin (the same question posed by Zair 2013; cf. Weiss 2020: 177, fn. 26), but see the entry cf. laena (s.v.). The  $\bar{a}$  of Latin would be irregular against a short a in Greek, but can we be certain that the a is short if it appears only in Hesychius? If the word is \* $\chi$ λ $\bar{\alpha}$ ρόν, both comparanda can be regular reflexes of \* $g^hlh_2$ -ro-. The traditional etymology relies on dissimilation, which is inherently  $ad\ hoc$ . But it seems drastic to reject this in favor of a Hesychius gloss.

haedus 'young goat, (goat) kid'

Pre-form:  $*g^hh_2eid-/*g^heh_2id-|$  PItal.  $*\gamma aido-$ 

Comp.: \*ghh2eid-/\*gheh2id-| PGm. \*gait-| Go. gaits, ON geit, OHG geiz 'goat'

?PSem. \*gadi- | Akk. gadû, Arab. jady, Hebr. gdi '(goat) kid'

?PBerb. \*āqād- '(she-) goat'; \*qayd 'billy-goat'

□ Irreg. correspondences ■ Remarkable phonotactics

Semantics: animal, domestic

Pokorny (409-10), WH (I: 632), EM (288), DV (278)

Möller (1911: 128), CAD (G: 9), Schrijver (1991: 269), Demiraj (1997: 341), Boutkan & Kossmann (1999: 89), Kroonen (2012a: 242, 245-7), Blažek (2013: 46), Kroonen (2013: 163)

An Italo-Germanic  $*g^haid$ - does not look non-IE except for its a, leading Schrijver (1991: 269) to reconstruct  $*g^heh_2id$ - or  $*g^hh_2eid$ -. Kroonen (2012a: 245) notes that both root structures are unusual and further that the Germanic forms inflect as a root noun, a feature of old borrowings. Semitic comparanda, first adduced but incorrectly used by

Möller (1911: 128, cf. later CAD [G: 9], Kroonen [2012a: 246 with lit.]), and Berber (cf. Boutkan & Kossmann 1999: 89), if they belong, potentially hint at a non-IE origin. It is conceivable that  $*g^haid$ - entered Latin and Germanic from an agricultural substrate (cf. DV 278, Kroonen 2013: 163), though it is difficult to prove.<sup>373</sup>

īnsula 'island'

Pre-form: \*in-sVl- | PItal. \*insVlā-

Comp.: \*e/ine/istī- | PCelt. \*e/ine/i-stī- / \*ine/issī- | OIr. inis, W ynys 'island'

\*(s)neh<sub>2</sub>t/k-io- | PGk. \*nās(s)o- | Gk. νῆσος vars. Doric νᾶσος, Rhodes νᾶσσος 'island'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: geography

Pokorny (878-9), WH (I: 707), EM (319), DV (306)

Prellwitz (1897: 123), Rozwadowski (1907: 348-0), Cuny (1910: 157), Derksen (2007: 379), Matasović (2009: 116), EDG (1019), Derksen (2014 s.v. *salà*)

The ancient etymology for Latin  $\bar{i}nsula$  'island' derives it from in + sal, 'in the salt (water)' (WH I: 707, EM 319, DV 306) but this is quite possibly a folk etymology. Islands are not in salt, but rather in (salt)water. Gk.  $\bar{\epsilon}v\alpha\lambda\alpha$  is formed similarly ( $\hat{\epsilon}v$  'in' +  $\ddot{\epsilon}\lambda\zeta$  'salt') but means 'maritime'. EM (319) note that the Slavic (cf. OCS ostrovo 'island' < \*ob' 'around' + \*strovo < \*strujà' 'to stream', Derksen 2007: 379) and Indo-Iranian words for island suggest river islands rather than oceanic ones.

Early on, linguists like Prellwitz (1897: 123) compared Lith. *salà* 'island'. Rozwadowski (1907: 348-9) was skeptical of the connection, as Lat. *īnsula* would then have to mean 'in the island'. Derksen (2014 s.v. *salà*) suggests that Lith. *salà* 'island' maybe have developed from \**ap(i)salā* with the second element being *sálti* 'to trickle, flow' (a formation parallel to OCS *ostrovъ* 'island'). Otherwise, it reconstructs to \**sol-eh*<sub>2</sub>-, where the root \**sol-* is similar to ON *sol* 'sea' (< PIE \**sH/ol-u-*, Guus Kroonen, p.c.). If this represents an inherited word for 'sea', then Lat. *insula*, instead of being derived from \**in-sal-o-* 'in the salt' could instead be derived from \**in-sol-o-* 'in the sea'. On the other hand, de Vries (1962: 578) derives *sol* from the salt lexeme.

An alternative explanation is to consider potential Celtic and Greek comparanda. For the Celtic island words such as OIr. *inis*, Matasović (2009: 116) prefers the reconstruction of PCelt. \**enistī* because it allows for a PIE interpretation \**eni-sth2-ih2* 'that which stands in (the water)'. The Bennenungsmotiv is similar to the aforementioned Latin explanations,

<sup>&</sup>lt;sup>373</sup> Further similar words for goat cannot be adduced with any certainty. PGm. \*kidja- (ON kið > Engl. kid '(goat) kid') as if from \*gidħ- would introduce further alternations to Germanic (cf. Blažek 2013: 46). Pokorny (409-10) suspects it is derived from a call for goats. He likewise explains Alb. qith 'young goat' this way. Demiraj (1997: 341) takes it as a dialectal variant of kedh '(goat) kid', itself perhaps a contamination of Turk. keçi and Alb. edh 'billy-goat'.

but is morphologically and semantically quite different. The Greek forms, \*nāso- and \*nāsso- with their geminate alternation (Furnée 1972: 387), cannot be explained in this way (nor by derivation from \*snehi- 'to swim', cf. EDG 1018). But the similarity of their consonantism makes linking them to the Italic and Celtic potentially attractive. This family could represent non-IE loans (EM 319, DV 306, EDG 1018) of the Amsel-merula pattern of a-prefixation, either suggesting that other vowels could fulfill this role (cf. ulmus, s.v., where Schrijver [1997: 311] proposes a non-a vowel in the phenomenon on comparison with PGm. \*elma- 'elm') or that the Latin and Celtic forms were subjected to change due to old folk etymology. The Italic forms would represent \*i-ns(-elo)- against Greek \*nās- (cf. Cuny 1910: 157), but the Celtic would require \*i-nVs- without the zero-grade we expect in the prefixed forms. The PCelt. reconstruction with geminate s suggested by DV however also parallels the Greek variations with a geminate s quite well.

## iūniperus 'juniper'

Pre-form:  $*(H)\underline{ioin}$ -i- $/*(H)\underline{iuH}$ -n-i-\*-pVr/s-| PItal. \*yoinipVr/so- $/*y\bar{u}nipVr/so$ -

Comp.: ?\*(H)(i)oin-i- | PGm. \*(j)ainja- | ON einir, Dan. ene-bær, etc. 'juniper'

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: plant, wild

Pokorny (513), WH (I: 729-31), EM (328), DV (313)

Brüch (1922: 224-232), Pisani (1935: 37-8), FEW (V: 75), Falk & Torp (1960: 194),

Kroonen (2013: 12)

Lat.  $i\bar{u}niperus$  'juniper' is often assumed to be related to iuncus 'reed, rush' (s.v.), but the comparison is based on faulty semantics and does not explain the *-perus* element of  $i\bar{u}niperus$ . If it is to be reconstructed as \*yoini-, it is not the same as the \*yoini- of iuncus. Another option would be \*yūni- < \*(H)iuH-n-.

PGm. \*ainja- 'juniper' is an attractive comparandum. Most Germanic forms are North Germanic (ON einir, Norw. eine, Dan. ene-bær, etc. 'juniper'). Kroonen (2013: 12) rules out a reconstruction with PGm. \*j because of the West Germanic forms (Low German ēn(e)ke and Ger. dial. Einbeerbaum). But there is a chance that these West Germanic forms are loaned from North Germanic and/or have undergone folk etymological contamination with the numeral 'one' (cf. Brüch 1922: 226, Falk & Torp 1960: 194). In this case, the Latin and Germanic words could reconstruct to a common pre-form, at least in the iūni- element.

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<sup>&</sup>lt;sup>374</sup> Older attempts at an explanation included: \*ioini-d\*ro- (purportedly with the suffix of combrētum 'rush') > Lat. \*iūnibro-, which was interpreted as Sabellic and hyper-Latinized to iūniperus (Brüch 1922: 227-30); an original s-stem composed of \*ioin-ik-yos-, which yielded Sabellic \*iūnipes-, borrowed into Latin as \*iūnipeso- with rhotacism to iūniperus (Pisani 1935: 37-8). It is also difficult to image any relation to pirum 'pear' or pariō 'to give birth, beget'.

If related to *iuncus* 'reed', one might expect the element *-perus* to provide the meaning 'juniper', but it is of obscure etymology. The comparison with Germanic on the other hand, if valid, suggests that the *iuni*- element means juniper, leaving *-perus* with unknown meaning and function. EM (328) mention the form *iupicellos* given as Gaulish by Pseudo-Dioscorides. The Romance languages descend from \**ieniperus*, but this is probably regular (Brüch 1922: 230-2, FEW V: 75). The origin of *iūniperus* and its relationship to the Germanic forms remains obscure.

## labium 'lip'

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Pre-form: *la/o/Hb-io- | PItal. *labijo-
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Comp.: \*leb-io- | PGm. \*lepjan- | OE, OFri. lippa, MDu. lippe, etc. 'lip'

☐ Irreg. correspondences ■ Remarkable phonotactics

Semantics: body part

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Pokorny (655-7), WH (I: 738), EM (333-4), DV (319)
Schrijver (1991: 479), Sihler (1995: 146), EDG (867), Kroonen (2013: 331)
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The only secure comparanda for Lat. *labium* and *labrum* 'lip' are in Germanic: \*lepjan-<\*leb-ion- and an s-stem \*lepaz-. This points to \*b rather than \* $b^h$  for *labium*. DV (319) and Kroonen (2013: 331) further compare Gk.  $\lambda \circ \beta \circ \zeta$  'lobe, lap, slip' < \*lob-, though the semantics are not as close and EDG (867) thus compares it elsewhere.

The \*b is suspicious. As Sihler (1995: 146) notes, *labium* follows the classic pattern of a root with \*b in that it is 1) nominal, not verbal and 2) restricted to two or three (usually) adjacent languages. But he is too quick in noting that Lat. -a- cannot match e.g. OE -i-. The Germanic forms are from an e-grade. Schrijver (1991: cf. 479) proposes that the consonant cluster in \*lpio- could yield \*labio-. Kroonen (2013: 331) takes the Latin from an o-grade, delabialized to a after \*l like in lacus < \*lok-u-. The Latin could also be from \*lHb- if the Germanic is from full-grade \*lhveb-. In any case, the vocalism does not preclude IE origin: both forms can reconstruct to more or less the same pre-form. It is the \*b and the geographic restriction (unless Greek is related) that might.

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līnum 'linen, flax'
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Pre-form: *liHno- / *leino- | PItal. *līno- / *leino-
Comp.: *lino- | PGk. *lino- | Gk. λίνον 'linen'

*lino- | BSl. *línum- | OPr. lynno 'flax', Lith. lìnas 'flax (plant)', Latv.
lini 'flax', CS lьnъ, Ru. lën 'flax'

?*liHno- | PCelt. *līno- | OIr. lín 'flax', etc.

?*liHno- | PGm. *līna- | Go. lein 'canvas', etc.
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■ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, domestic / textiles

Pokorny (691), WH (I: 810-11), EM (361-2), DV (344) Biville (II: 23), Derksen (2007: 298), EDG (863)

Whether irregular vocalic alternations exist in this lexeme depends on the independence of the Celtic and Germanic forms. If they are borrowings from Latin (WH I: 810, EDG 863), then Lat.  $l\bar{\imath}num$  presents a full-grade \*lein-o- against a zero-grade \*lin-o- elsewhere. Derksen (2007: 298) takes the forms as independent, creating a non-IE alternation \* $i \sim \bar{\imath}$ . It does not seem possible to decide.

DV (344) gives the Latin forms in \*lint- (e.g. linteum 'piece of linen cloth, towel, sail', linteolum 'piece of linen', and linte $\bar{o}$  'weaver of linen') as additional evidence of a non-IE source of the lexeme. But this does not seem necessary. Its short i is the expected result of Osthoff's Law. WH (I: 811) and EM  $(361)^{376}$  explain the suffix as a secondary innovation, potentially via contamination with reanalyzed forms like *spart-eus* > *spar-teus* 'made of broom'. However, an *-eus* derivation of a *-tus* derivation seems like a more simple solution (cf.  $r\bar{o}bur$  'oak' >  $r\bar{o}bustus$  'oaken, hard' >  $r\bar{o}busteus$  'oaken').

lorum 'leather strap, thong'

Pre-form:  $*(H/s/u)loH-ro- | PItal. *l\bar{o}ro-$ 

Comp.: \*h<sub>1</sub>uleh<sub>1</sub>-ro- / \*h<sub>2</sub>e-h<sub>2</sub>ul-eh<sub>1</sub>-ro- | PGk. \*eulēro- / \*āulēro- | Gk. εὕληρα, Dor. αὕληρα 'reins'

\*(h<sub>1/2</sub>)ulh<sub>1</sub>-ro- | PArm. \*ularo- | Arm. lar 'rope, cord, rein'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: tool

Pokorny (1140-4), WH (I: 822), EM (366-7), DV (349)

Lidén (1906: 100-1). Cuny (1910: 158), Beekes (1988: 71), Schrijver (1991: 74-5, 122-3), Clackson (1994: 39), Olsen (1999: 30), Martirosyan (2009: 305), EDG (480, 569)

Since at least Lidén (1906: 100-1) Lat. *lōrum* 'leather strap' has been compared to Gk. εὕληρα 'reins' and Arm. *lar* 'rope, cord, rein'. A pre-form is difficult to reconstruct that works for both the Latin/Armenian and the Greek. The Greek forms require an initial laryngeal. Beekes (1988: 71) favors εὕληρα and reconstructs \**h₁ulēr*- while Olsen (1999:30) takes εὕληρα as assimilation from αὕληρα and reconstructs \**h₂uleh₁r*-. Arm.

<sup>375</sup> Biville (II: 23) even suggests that, since this length alternation occurs in the initial syllable as it does for example with *mōrum* vs. μόρον 'mulberry, blackberry', there is a chance that the length was induced by the addition of primary stress after borrowing from Greek. This is uncertain.

<sup>&</sup>lt;sup>376</sup> EM (261) further propose a *-teo* material suffix, otherwise attested only in *robusteus* 'oaken' (but this is easy to explain as doubly derived) or Etruscan factors based on the shape of *balteus* 'belt, girdle', often thought to be Etruscan.

lar could be from \*h<sub>1</sub>ulh<sub>1</sub>-ro- (Martirosyan 2009: 305) or \*h<sub>2</sub>ulh<sub>1</sub>-ro- (Olsen 1999: 30). However it is unlikely that a matching reconstruction of \*h<sub>1</sub>ul $\bar{o}r$ - for Lat.  $l\bar{o}rum$  (Schrijver 1991: 74-5, 122-3; Olsen 1999: 30) would yield anything other than \*\*ul $\bar{o}r$ ; \*H or \*μ are possible, but not both. Clackson (1994: 39) reconstructs \*ulh<sub>1</sub>r- for the Armenian and \*uloh<sub>1</sub>r- for the Latin, but \*uleh<sub>1</sub>r- does not yield the proper Greek forms.<sup>377</sup> Given the formal difficulties, Martirosyan (2009: 305) proposes a Mediterranean substrate word. EDG (480) too takes the two Greek forms (along with Hsch. ἄβληρα· ἡνία 'rein') to attest to a non-IE  $a \sim e$  alternation. DV (349) prefers an IE explanation due to the the  $\bar{e} \sim \bar{o}$  (or perhaps  $e \sim o \sim \bar{o}$ ) alternation of the suffixes, which looks like IE ablaut. He provides an alternative reconstruction for the Greek (and suggests that εὕληρα is metri causa, in fact Osthoff's shortening, for \*ηυληρα < \*āμlēra < \*h<sub>2</sub>e-h<sub>2</sub>ul-ēr), proposing a loan from an extinct IE language. This is of course difficult to prove.

Since Varro (de Lingua Latina 5.116), Lat.  $l\bar{o}r\bar{c}ca$  'cuirass' has been taken as a derivation from  $l\bar{o}rum$  (cf. WH I: 822), denoting that cuirasses were made of leather. However  $l\bar{o}r\bar{c}ca$  is also compared to Gk.  $\theta\omega\rho\alpha\xi$  'cuirass; torso, chest', in which the  $\bar{\alpha}\kappa$ -suffix is indicative of non-IE origin (e.g. Cuny 1910: 158, EDG 569). Any relationship between them would have to be entirely irregular, and the connection between  $l\bar{o}rum$  and  $l\bar{o}r\bar{c}ca$  would have to be folk etymological.

palumbēs 'wood pigeon', var. palumbus

Pre-form: \*pa/Hl-e/o/umb(h)-/\*plH-e/o/umb(h)-| PItal. \*pale/o/umb/f-

Comp.: ?\*pel-ei- | PGk. \*peleja- | Gk. Gk. πέλεια, πελειάς 'wild pigeon'

?\*poh<sub>2</sub>l- | PBalt. \*pōli- | OPr. poalis 'pigeon'

?\*plh2-bh-on- | PArm. \*alawun- | Arm. alawni 'dove'

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: animal, bird

Pokorny (804-5), WH (II: 242), EM (478), DV (126, 442)

Bugge (1893: 1), Prellwitz (1897: 102), Ernout (1965: 15-16, 23), Greppin (1978: 131-2), Klingenschmidt (1982: 165), Lockwood (1990: 262-3), Schrijver (1991: 375), Olsen (1999: 508), Witczak (1999: 177-8), Martirosyan (2009: 29, 565), EDG (1166), Jakob (fthc.)

Lat. *palumbēs* occurs contemporaneously with *palumbus* (the former in Plautus, the latter in Cato). The suffix *-umb-* occurs nowhere else in Latin except for the other dove word *columba* (s.v.). Interpretations of its origins vary, and none is entirely without problems.

Given Gk. πέλεια, which lacks the suffix but which can formally quite easily derive from

<sup>&</sup>lt;sup>377</sup> EM (367) simply call the vowel 'prothetic'.

PIE \*pel- 'gray, pale', it is often proposed that palumbēs contains the root of palleō 'to be pale' with the suffix of columba (Prellwitz 1897: 102, Pokorny 804-5, Ernout 1965: 23, WH II: 242, Lockwood 1990: 262-3 [from a pre-form \*\*palēs], EM 478, EDG 1166, ambivalently DV 442). However the wholesale transfer of such a rare suffix seems difficult to motivate. Additionally, it is columba, not columbus that is the earliest and most securely attested form (Ernout 1965: 15-16, Schrijver 1991: 375). 378

Klingenschmitt (1982: 165) proposed a connection with Arm. *alawni* 'dove'<sup>379</sup> via a pre-form \* $p_lh_2$ - $b^h$ - $nih_2$ -. Martirosyan (2009: 29) instead proposed an original \* $p_lh_2$ - $b^h$ - $\bar{o}n$ , - $b^h$ -n-os, since dialectal variation points to *alawni* being a secondary formation from an original \*alawun. But \* $p_lh_2$ - $b^h$ -n-os should give Lat. \*\* $p_l\bar{a}mnus$ ; palumb- requires something like \* $p_lh_2$ -Vn- $b^h$ -. He alternatively proposes a Mediterranean origin for the Armenian and Latin forms, noting the similar pair Lat. *columba* 'dove' ~ Arm. *salamb* 'francolin'. But the order of the nasal and labial in the suffix is not the same between *salamb* and *alawni*. Could there have been a metathesis? Additionally this would probably rule out the appurtenance of the Greek and Old Prussian forms.

pīnus 'pine tree'

Pre-form: \*pi(C)s-no- | PItal. \*pi(C)sno-

Comp.: \*pit- | PGk. \*pitu- | Gk. πίτυς 'pine, fir, spruce'

\*pi(t?)s- | PAlb. \*pishā- | Alb. pishë 'fir, pine'

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: plant, tree

Pokorny (793-4), WH (II: 308), EM (509), DV (467, 469)

Furnée (1972: 260), Schrijver (1991: 231-2), EWAia (II: 137-8), Demiraj (1997: 321-2), Derksen (2007: 426), EDG (1198), Derksen (2014 s.v. *pikis*), Smoczyński (2018: 959)

Because of Latin sound developments, Lat.  $p\bar{n}us$  can be reconstructed with any (or no) stop before a sibilant + n, making it difficult to confirm which words are comparanda and therefore whether or not they are irregular.

The strictest semantic approach, comparing  $p\bar{\imath}nus$  only to other words for pine, gives a non-IE impression. Gk.  $\pi \acute{\iota} \tau \nu \varsigma$  'pine, fir, spruce' < \*pit-u- can match with  $p\bar{\imath}nus$  if the latter is from \*pit-sno-.<sup>380</sup> Explanations for Alb.  $pish\ddot{e}$  'fir, pine' vary greatly. The sh

 $^{378}$  The *a*-vocalism of *palleō* means it probably continues a different root than πέλεια and OPr. *poalis* (DV 440 suspects it itself might be a loanword). This would mean that the Latin is a separate formation from the Greek and Prussian.

<sup>&</sup>lt;sup>379</sup> Previously linked to the root \*h<sub>2</sub>elbo- 'white' by Bugge (1893: 1). Greppin (1978: 131-2) doubts the connection because white doves do not seem to appear until the 5<sup>th</sup> c. BCE and there are some formal difficulties (cf. Olsen 1999: 508). Witczak (1999: 177-8) tried to connect *alawni* with Lith. *balañdis* and Ossetic *balon* 'pigeon, dove', but it requires strange metathesis.

<sup>&</sup>lt;sup>380</sup> Furnée (1972: 260) claims an  $s \sim t$  alternation behind Gk. πίτυς 'pine, fir, spruce', but only has

could be due to simple intervocalic \*s in \*pis-ā. Clusters in \*Cs do not seem to be well understood, and Demiraj (1997: 321-2) lists without rejection several other proposals: \*peukā, \*pit-s-ia, \*peit-s-eh2, and \*pikso- before settling on perhaps a root \*pī- with a collective ending \*-sio. The forms with \*t would make this group look regular, but \*pisā would produce a sibilant alternation with Gk. πίτυς.

Schrijver (1991: 231-2) mentions the possibility that  $p\bar{u}nus$  is simply from \*piH-no-, or at least has a long vowel per se against the short vowel of Gk.  $\pi$ ( $tv\varsigma$ ). This is in comparison with Skt.  $p\bar{t}tud\bar{u}ru$ -,  $p\bar{u}tudru$ -, etc. 'a resinous tree'. Assuming that the forms starting with  $p\bar{u}tu$ - are secondary, EWAia (II: 137-8) writes that the similarity to  $\pi$ ( $tv\varsigma$ ) and  $p\bar{t}nus$  can hardly be coincidental. Such a length alternation is difficult to explain from an inherited perspective.

DV (467) notes that a reconstruction \*pik-sno- would link it to pix 'pitch', which is semantically not unimaginable. The comparanda of pix do not require any irregularities in reconstruction such that there is no reason to assume non-IE origin, but are of limited distribution (Gk.  $\pi$ i $\sigma$ o $\sigma$  'pitch' < \*pit/k-ia, <sup>381</sup> OCS pbcblb 'pitch' < \*pik-i/ul, <sup>382</sup> cf. DV 469).

### porrum 'leek'

Pre-form: \*prso- | PItal. \*porso-

Comp.: \*prso-? | PGk. \*praso- | Gk. πράσον 'leek'

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: plant, domestic

Pokorny (846), WH (II: 343), EM (523), DV (481)

Cuny (1910: 157), Schulze (1933: 116-7), CAD (G: 142), Vycichl (1963), CAD (K: 212-4, 567), Puhvel (IV: 274), EWAia (II: 101), Orel (1998: 344), Wachter (2006: 139-44), EDG (1179, 1229), Rosół (2013: 16, 202), Garnier & Sagot (2017: 34, 47-8), van Beek (2022: 386-8, 394-5)

EM (523) asserts that Lat. *porrum* and Gk. πράσον are independent borrowings from a third source, and DV (481) generally agrees. Even Pokorny (846) suggests it is a Mediterranean loanword. Their arguments are mainly semantic, but there are potential formal inconsistencies as well (cf. already Cuny 1910: 157). EDG (1229) notes the disputedness of the retention of Gk -s- after a syllabic resonant. Schulze (1933: 116-7) only gives three examples, one of which is this very word. The best is Gk.  $\delta\alpha\sigma\dot{\omega}\zeta$  'hairy',

toponyms as evidence (EDG 1198).

<sup>381</sup> As EDG (1197) notes, πίσσα (Attic πίττα) could also instead be linked to πίτυς 'pine'.

<sup>&</sup>lt;sup>382</sup> East Baltic forms are loans from Low German (Smoczyński 2018: 959, *pace* DV 469), and Old Prussian *pyculs* 'hell' might be from Polish (Derksen 2014 s.v. *pikis*). The Slavic forms seem to be independent (Derksen 2007: 426).

which if related to Lat.  $d\bar{e}nsus$  'thick', is from \* $d\eta s$ -u-. The semantics are not as good as they are for the best counter-example: Gk.  $\gamma\rho\dot{\alpha}\omega$  'to gnaw, eat', Skt.  $gr\dot{\alpha}sate$  'devours'. Here, the Greek vocalism must reflect \* $g_f s$ - or \* $g_f \eta s$ -, 383 but in neither case is the \*s preserved by the resonant. It is thus not entirely clear if the Latin and Greek forms can reconstruct to the same pre-form \* $p_f so$ - (cf. also van Beek 2022: 394-5).

Wachter proposes that the first element in the early variant περσόφαττα of the name Persephone/Proserpina is \*perso- 'ear of grain' or 'sheath'. As a PIE root, this would find support only in Indo-Iranian, where Skt. parṣá- is a hapax occurring at RV 10.48.7 and must mean 'sheaf or bundle of grain'. Otherwise it occurs in YAv. parša- 'ear of grain' (EWAia II: 101). Weiss apud Wachter suggests a connection with porrum/πράσον, but DV (481) questions the semantics of the comparison. The connection would require that both Latin and Greek innovated the meaning of leek from what is otherwise a very poorly preserved grain root.<sup>384</sup>

Vycichl (1963) argues that \* $p_p$ rso- is a loan from Sumerian via Semitic. But while he gives Sum. guras 'leek' and Akk.  $kur\bar{a}$ su,  $kar\bar{a}$ su 'leek', an updated spelling of these is garas and karasu. The other examples that Vycichl gives of Sem. k to Gk. p are actually of Sem. gu- to Gk. bu-: βύρσα 'skin, hide' from (the same source as) Akk.  $kursinnu/gus\bar{a}nu$  'leather sack' (CAD K: 567, G: 142) and Hitt. kursa- 'skin, hide, fleece' (cf. also Puhvel IV: 274); Βύβλος 'the city of Byblos', cf. Akk. Gubla, Hebr. Gebal. It therefore seems like Semitic gu- was interpreted in Greek as \* $g^wu$ -, presumably after \*u stopped delabializing \* $g^w$  (cf. EDG 246<sup>385</sup>). Rosół (2013: 16, 202) indeed rejects the connection between the Sumero-Akkadian material and Gk.  $\pi$ pάσον due to the unparalleled phonological matches in comparison to the rest of his data.

sapa 'grape juice or new wine boiled down to a syrup'

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Pre-form: *sa/Hp- | PItal. *sapā-
*sa/o/Hp-on- | PGm. *saf/ban- | ON safi, OHG saf 'sap, juice', etc.
?*sa/Hb(ħ)-? *sa/Hp-? | PArm. *sab-mo-? *sap-mo-? | Arm. ham 'juice, taste'

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: culinary; viticulture

Pokorny (880), WH (II: 476-7), EM (594), DV (538)
Jahowkyan (1987: 189), Schrijver (1991: 104), Olsen (1999: 27), EWAia (II: 701),
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<sup>&</sup>lt;sup>383</sup> The latter is more likely (van Beek 2022: 386-8, cf. grāmen, s.v.).

<sup>&</sup>lt;sup>384</sup> Garnier and Sagot (2017: 34, 47-8) propose an alternate etymology by suggesting the existence of an IE substrate that underwent changes such as  $*b^h > p$ , making it possible to connect  $*p_l so$ - to the root  $*b^h ers$ - 'to point, burst, bud'.

 $<sup>^{385}</sup>$  He alternatively suggests (for βύβλος) that an assimilation g-b > b-b may have taken place. But this solution is more  $ad\ hoc$ .

Kroonen (2013: 420)

Lat. *sapa* has a specific and technical meaning, referring usually to unfermented grape juice ('must') boiled into a syrup.<sup>386</sup> Thus *sapa* was a sort of artificial honey, used in part as a preservative.

Some Germanic forms seem to reconstruct to PGm. \*sap- < \*sab-, which DV (538) takes at face value to identify an irregular  $b \sim p$  alternation. However both Italic and Germanic reconstruct to \*sHp- if the root in Germanic formed an n-stem (Kroonen 2013: 420). Additionally some of the forms seem to have been borrowed from Latin (EM 594, DV 538, Schrijver 1991: 104, though WH II: 476 reject this on semantic grounds).

Arm. ham 'juice, taste' can be related (EM 594, DV 538) and is sometimes derived from \*sHp-mo- (Pokorny 880, Olsen 1999: 27, Kroonen 2013: 420). Jahowkyan (1987: 189) suggests reconstructing \*sab-mo-. If \*-pn- yields Arm. wn, would we not expect \*-pm- to yield Arm. wm and therefore \*\*hawm? There are unfortunately no clear examples of the outcome \*-pm- or \*-bm- to confirm.

The only remaining evidence for a  $p \sim b$  alternation in the root comes from Indo-Iranian, but the relation of these forms to the rest is questionable. Pokorny (880) and WH (II: 467) connect Av.  $v\bar{\imath}\check{s}apa$ - 'whose juices are poison' from \* $vi\check{s}$ - $s\bar{a}pa$ -, but Schrijver (1991: 104) is right to consider it too uncertain. Given we must assume that the s of \*\* $s\bar{a}pa$ - is hidden by the sibilant of  $v\bar{\imath}\check{s}$ -, the second element may just as well be ap- 'water'. Also compared are OAv.  $h\bar{a}buuant$ - meaning something like 'juicy' < \*sab-uant and the first element in Skt. sabarduh-, an epithet especially of a dairy cow (WH II: 477, EWAia II: 701, DV 538). Given that the evidence for a root \*sab- in Europe is already unclear, the Indo-Iranian forms are best left out. There is a chance that Latin, Germanic, and Armenian all regularly attest to a root \*sap-.

simpuvium 'earthenware ladle used in religious ceremonies'

Pre-form: \*simp- | PItal. \*simpu-

Comp.: ?

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: tool; magico-religious

WH (II: 540-1), EM (627), DV (554, 565)

Masson (1967: 44-5), Furnée (1972: 272, 286), Leumann (1977: 136), Untermann (2000:

<sup>&</sup>lt;sup>386</sup> So too was *dēfrutum* but one was boiled down to half of its original volume and the other further to one third. (Which one was which depends on the source: the proportions are given in various orders in *e.g.* Pliny *Nat.Hist.* 14.80, Columella *de Re Rustica* 12.19, and Varro apud Nonius *Comp. Doc.* 18.551M.)

<sup>387</sup> WH II: 476 reconstruct \*sap-no-, but \*pn produces Arm. wn, cf. tawn 'feast' < \*dh<sub>2</sub>p-ni(h<sub>2</sub>)- (Martirosyan 609). EM (594) use the meaning 'flavor' for Arm. ham to propose that Lat. sapa is related to Lat. sapiō, -ere 'to taste, to know'. Pokorny (880) agrees, but DV (538) thinks the semantic range including 'juice' and 'sap' of the comparanda would make equating these roots strange.

668), Rix (2005: 569), EDG (1335), Meiser (2010: 81), Rosoł (2013: 205)

Lat. simpuvium also occurs as simpulum. Meiser (2010: 81) derives the lexeme from \*semH- 'to scoop', which would seem to require the p to have arisen via epenthesis. But simpulum may be a remodeling of simpuvium (Leumann 1977: 136, DV 565) or its l may be a misreading of i (Untermann 2000: 668). This removes the environment for epenthesis, and the root is probably \*simp-, with a suffix found in another ritual word atannuium 'an earthenware bowl used in offering sacrifices' (Leumann 1977: 136).

The Latin forms are compared to Gk.  $\sigma$ ιπύη,  $\sigma$ ιπύα 'box for keeping flour and bread' (WH II: 540, EM 627, DV 565). The Hesychian variant iπύα is strange. If it is meant to be \*iπύα < \*sip-, it suggests a loanword that entered Greek before and again after the loss of \*s. A direct loan into Latin is difficult given the difference in meaning and the additional nasal element. Furnée (1972: 272, 286) suggests independent loans from a third source, further comparing Gk.  $\sigma$ (μβλος 'beehive, larder'. <sup>390</sup> The nasal of the Latin form is present in  $\sigma$ (μβλος, but its semantics, as well as those of all the Greek forms, are so distant that its appurtenance is difficult to verify.

Alternatively, Rix (2005: 569) takes *simpuvium* as a loan from the Sabellic reflex of \* $seik^w$ - 'to pour' (cf. Skt.  $si\bar{n}c\acute{a}ti$  'pours', Gk.  $i\kappa$ - $\mu\acute{a}\varsigma$  'wetness'). It is unclear which of these solutions, if any, correctly explains the origins of Lat. simpuvium.

termes, -itis 'branch of a tree, especially one cut off'

Pre-form: \*ter(H)(b)-m-|PItal.\*ter(V)met-

Comp.: \*terh<sub>2</sub>/b-mn- | PGk. \*teramno- | Gk. τέραμνα [pl.] 'house'

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant

Pokorny (1070-1), WH (II: 670), EM (686), DV (615)

Bertoldi (1939b: 92), Bertoldi (1942: 180-1), Alessio (1944a: 109), Frisk (1960-72 II: 877), Furnée (1972: 219), Weiss (1993: 84), Untermann (2000: 766), EDG (1467, 1469)

Because it sometimes specifically refers to an olive branch, Bertoldi (1939b: 92, 1942: 180-1) suspected pre-Latin origin and compared it to Gk. τέρμινθος, τερέβινθος, τρέμιθος 'the turpentine tree'. Its -es ending led EM (686) to hesitatingly follow, finding this morphology in other words of obscure (or purportedly Etruscan) origin like *cocles* 'one-eyed', *mīles* 'soldier', *satelles* 'attendant, bodyguard', etc. The Greek words seem to show a  $b \sim m$  alternation (Furnée 1972: 219), but EDG (1469) follows the

 $<sup>^{388}</sup>$  WH (II: 540) suggest that the existence of the form with l is supported by U *seples* of the same meaning. EM (627) reject this, and Untermann (2000: 668) shows that *seples* meant 'nail', not 'ceremonial ladle'.

<sup>&</sup>lt;sup>389</sup> Interestingly, it also has the variant *atanulum*.

<sup>&</sup>lt;sup>390</sup> The Greek words have been suspected to be loans from Semitic (Masson 1967: 44-5, DV 565, EDG 1335) but Rosoł (2013: 205) rejects this.

interpretation that, if not m...n dissimilation, they were remodeled on analogy with ἐρέβινθος. In any case, the semantic match between the Latin and these Greek forms is not good enough to warrant a comparison (cf. WH II: 670; Alessio 1944a: 109, fn. 110, who still entertains the possibility of a borrowed origin due to the ending).

Connections within Latin such as with *terminus* 'end, limit' are theoretically possible. But a quite compelling connection is in fact with Gk. τέραμνα 'house'. Gk. τέραμνα is often compared to Lat. *trabs* 'beam' (s.v. *trabs* for discussion), so the semantics of the comparison are basically the same. But in this case, the formal comparison works much better: Gk. < \*terh2-mn- (Untermann 2000: 766) and Lat. < \*terh2-m-. In fact, while Gk. τέραμνα is widely translated as 'house', Euripides (*Hippolytus* 418) writes τέραμνα οἴκων, where it must mean something like 'beams of the house' (Weiss 1993: 84). If the aberrant vowel of variant τέρεμνα can be explained Greek-internally (Frisk 1960-72 II: 877) and the connection to θεράπων 'servant, maid' (EDG 1467) rejected, then Lat. *termes* can be inherited. If not, then the Greek forms attest to non-IE  $t\sim t^h$ ,  $a\sim e$ , and  $b\sim p$  alternations and Gk. τέραμνα, Lat. *termes* reconstruct to a \*tera/eb- of foreign origin, extended with inherited suffixes.

tībia 'reed pipe (flute); shinbone'

Pre-form: \*teib(h)-/\*tiHb(h)-| PItal.  $*teib/fi\bar{a}/*t\bar{t}b/fi\bar{a}$ 

Comp.: ?

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: tool

Pokorny (1102), WH (II: 680, 712), EM (691, 705), DV (88, 619, 632)

Froehde (1889: 108), Solmsen (1898: 477), Boisacq (1916: 867), Schwyzer (1931: 205), REW (no. 8964), EWAia (II: 759-60), Derksen (2007: 472), EDG (1338), Garniet & Sagot (2017: 48-9), Weiss (2020: 174)

WH (II: 680 with lit.) compare Lat. *tībia* 'flute; shinbone' to reflexes of BSI. \**stib*- 'stem, stalk, trunk' (Ru. dial. *stebló* 'stem, stalk', SCr. *stáblo* 'tree, tree trunk', Lith. *stíebas* 'stem, stalk, mast', etc.) including importantly Lith. *stibýna* 'shin, calf' (Derksen 2007: 472 on the forms and reconstruction). This would be a Latino-Balto-Slavic isogloss in which Latin has preserved the full-grade \**teib*(\*)- and Balto-Slavic the zero-grade with *s* mobile \**stib*(\*)- of a root meaning '(hollow) stem'. Semantically, it is an excellent match, but relying on the poorly understood *s* mobile is not ideal. <sup>391</sup>

An alternative semantically sound comparison is with Gk.  $\sigma \tilde{t} \phi \omega v$  'tube, siphon'.<sup>392</sup> It was

 $<sup>^{391}</sup>$  WH (II: 680) come to the same conclusion, but only upon comparing Lat.  $st\bar{t}p\bar{o}$  'to compress, surround' and Skt. stibhi- 'clump, tuft', noting that the \*s is always present. These forms however are likely unrelated (DV 88 on the Latin, EWAia II: 759-60 on the Sanskrit).

<sup>&</sup>lt;sup>392</sup> EDG (1338) considers the word onomatopoeic.

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early on thought to be regular, with both from \* $t \mu \bar{\iota} b^{i_2}$  (Froehde 1889: 108, Boisacq 1916: 867, WH II: 680). But just as early on, it was doubted (Solmsen 1898: 477, Schwyzer 1931: 205). Indeed, there is no evidence for \* $t \mu$  > Lat.  $t^{.393}$  The pair could thus actually attest to an irregular alternation, and DV (619, 632) takes it as indicative of substrate origin. This explanation is potentially bolstered by the further comparison of Lat. t u b a 'trumpet' and t u b u s 'tube'. Romance reflexes with f (REW no. 8964) can be explained as the Sabellic reflex of \* $b^i$ , but the  $i \sim u$  alternation points to a loanword (DV 632). $^{394,395}$  A similar alternation appears with s u p p a r u s (s.v.). It remains difficult to decide to which of the two groups of potential comparanda t b i a belongs.

trahō, -ere 'to pull, drag, haul'

Pre-form: \*tragh- | PItal. \*traxelo-

Comp.: ?

□ Irreg. correspondences ■ Remarkable phonotactics

Semantics: action

Pokorny (257), WH (II: 698-9), EM (698-9), DV (626)

Walde (1906: 106), Sommer (1914: 50-64), Hamp (1978: 186), Schrijver (1991: 188-9), Nielsen (2004: 194), Schumacher (2004: 635-6), Derksen (2007: 122-3), Matasović (2009: 387), EDG (352, 1506), Kroonen (2013: 99, 510, 522, 544), Derksen (2014 s.v. *dirginti, drugỹs*), Stifter (2017: 1190), Weiss (2018)

It is difficult to determine with certainty what the best comparanda for Lat. *trahō* 'to pull, drag, haul' are due to its neutralizing phonetic environment. Its *a*-vocalism is difficult to account for in any reconstruction; it almost certainly cannot be due to a laryngeal.<sup>396</sup>

The most straightforward reconstruction is to \*treg\*-, a root with an invalid structure that nonetheless may underlie several Celtic forms (cf. DV 626). OIr. tráigid 'ebbs, recedes' is probably denominal from \*trāgi- 'beach, low tide' (Matasović 2009: 387, cf. Schumacher 2004: 635), while OIr. pret. tethraig 'ran away, receded' might be the original \*treg-i-ti (Weiss 2018: 440-1); together they can represent IE  $el\bar{o}$  ablaut. Further reconstructed to this root is Gk.  $\tau p\acute{e}\chi\omega$  'to run, hurry'. While the Celtic could reconstruct to \*treg- and the Greek to \*d\*reg\*- (cf. EDG 1506), PGm. \*pragjan- 'to run' (cf. Go. pragjan 'to run') semantically unites the verbs and confirms the invalid root shape

<sup>&</sup>lt;sup>393</sup> In fact, there is only evidence for  $*t\underline{u} > p$ , cf. Lat.  $pari\bar{e}s$  'wall', Lith.  $tv\acute{e}rti$  'to seize, enclose' < \*tuerH- (Weiss 2020: 174).

<sup>&</sup>lt;sup>394</sup> WH (II: 712)'s proposal of  $*i > *\ddot{u} > u$  before a labial + non-high vowel is ad hoc.

<sup>&</sup>lt;sup>395</sup> Garnier and Sagot (2017: 48-9) suggest that *tubus* is from the root  $*d^heub^h$  via an IE substrate language whose reflex of  $*d^hub^h$ -6- was \*tuβo-.

<sup>&</sup>lt;sup>396</sup> Schrijver (1991: 188-9) reconstructs the root with a laryngeal on the evidence of  $tr\tilde{a}gula$  and  $tr\tilde{a}gum$  'net'; thus  $trah\bar{o}$  could be evidence of the sporadic outcome  $CR\tilde{a}C < *CRHC$  that otherwise only occurs in the environment \*CRHTC. But actually, only short a is securely attested. Nielsen (2004: 194) shows that the vowel length of  $tr\tilde{a}gula$  'dragnet, sledge' and  $tr\tilde{a}gum$  'net', despite almost always being given as long, is actually indeterminate.

\* treg<sup>h</sup>- (cf. Kroonen 2013: 544, Weiss 2018: 441).

However, Weiss (2018) argues that a connection with PGm. \*dragan- 'to draw, pull, carry' (ON draga, OE dragan, OHG tragan, etc. 'to draw, pull carry') < \*d\(^{h}r\'oq'\)-e- is semantically better. Kroonen (2013: 99) considers this root isolated to Germanic and DV (626) notes it is formally impossible unless the result of a loan (cf. also Kroonen 2013: 99). Weiss (2018) accounts for it via "Limited Latin Grassmann's Law" that occurs in root shapes \* $D^h reD^h$  due to an aspirated quality of the r. Weiss finds only two secure examples of this limited Grassmann's Law: trahō and glaber, though it is a very elegant explanation for the latter form.

A third possibility is a connection to a root \*dreq\*- or (invalid) \*dreq-.398 Either shape could underly Gk. δράσσομαι 'to grasp, take handfuls' (cf. EDG 352) and PGm. \*trekan-, \*trekkan- 'to pull' (if the \*k is secondary from \*gh, Kroonen 2013: 522). Balto-Slavic comparanda seem to favor the reconstruction with \*g. Derksen (2014 s.v. dirginti) takes Lith. dirginti 'to pull a trigger', Ru. dërgat' 'pull, tug' < \*drHgh-, but Kroonen (2013: 522) argues instead for a Winter's Law affected \*drg-. BSl. \*drug- 'to tremble, shake' (cf. Ru. drógat' 'to shake', drožát' 'to tremble, shiver' cf. Derksen 2007: 122-3) would imply \*dorgh (Kroonen 2013: 522), but on semantic grounds this could be a different lexeme. For both this etymology and the one involving post-Grassman's Law \* $drag^h$ -, a further change \*dr-> tr- must also have occurred. 399

In the end, the etymology of Lat. trahō and the identity of its comparanda are difficult to secure. One gets the impression that *trahō* and similar forms might even be iconic/sound symbolic (cf. Engl. jerk 'to yank').

tūber, -eris 'swelling, tumor'

Pre-form: \*te/ou(H)b(h)-es- | PItal. \*te/oub/fes-

Comp.:

☐ Irreg. correspondences □ Remarkable phonotactics

Semantics: body part

Pokorny (1080-5), WH (II: 712-13), EM (705), DV (632, 633) Johansson (1890b: 444), Reichelt (1906: 74), EDG (521)

Lat. tūber is not well understood. It has been compared to OIc. búfa 'knoll, hillock' < \* $b\bar{u}baz$ - < \* $t\bar{u}b^h$ - / \* $t\bar{u}p$ - and Gk. τύφη (vowel length unknown) 'a plant used for filling

<sup>&</sup>lt;sup>397</sup> Walde (1906: 106) earlier called this Aspiratendissimilation, followed by an initially positive but skeptical discussion by Sommer (1914: 50-64). Weiss' treatment shows much more restraint.

<sup>&</sup>lt;sup>398</sup> Stifter (2017: 1190) in fact derives the Celtic forms mentioned above from \*dreg<sup>h</sup>/g<sup>h</sup>- with the sporadic devoicing seen also in \*tang "āt- 'tongue' < \*dng "ueh2 and \*keng- 'to go, step' < \*ghengh-.

<sup>&</sup>lt;sup>399</sup> It is difficult to find proof of this sound law in initial position. Hamp (1978: 186) suggests that words like Lat. truncus '(tree)trunk, thorax, torso' and trudis 'pole, pike' might represent reflexes of \*doru- 'tree' in Italic, but other examples are less convincing.

pillows and beds'  $< *tu(H)b^heh_2$  (cf. WH II: 712-3, EM 705, DV 632). A root  $*t\bar{u}b^h$  is of an invalid root structure; thus all forms could have as their root \*teuH- 'to swell' (Pokorny 1080-5, DV 632, EDG 521), 400 but the explanation of one root with several root extensions is old-fashioned. WH (II: 712 with lit.) write of a "Parallelwurzel" \* $t\bar{u}b^h$  to \*tu-m- in  $tume\bar{o}$ , but this is not a real explanation. There is some evidence for the root being \*tu- with suffixation \*-h<sub>2</sub>- and \*-m- as in \* $q^w m$ - and \* $q^w h_2$ - 'to come' (cf. DV 633; cf. tumulus s.v.), and some therefore reconstruct \*tūm-r- for tūber with regular \*-mr- > -br- (cf. Johansson 1890b: 444, 1906: 74). But the shape  $t\bar{u}ber$ , -eris and its neuter gender make it look very much like the reflex of a neuter s-stem. Thus it is difficult to understand how the proposed reconstructed \*m and \*r would ever have formed a cluster (cf. WH II: 713).401

tumulus 'knoll, burial mound'

\*tum-e/olo- | PItal. \*tume/olo-Pre-form:

\*tum(-)b(h)- | PCelt. \*tumbo- | MIr. tomm 'bush, bunch, hillock', MW Comp.:

tom 'dung, heap of dung, mound'

\* $tum(-)b^h-$  | Arm.  $t^cumb$  'embankment, earthen wall'

\*tum(-)b- | PGk. \*tumbo- | Gk. τύμβος 'mound, burial mound, grave' \*tuHm- | PGk. \*tūmo- | Gk. (Corcyra) τῦμος 'mound, burial mound, grave'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: geography

Pokorny (1080-85), WH (II: 716), EM (707), DV (633)

Georgiev (1941: 70), Hester (1965: 379), Ačaryan (1971-79 II: 206), Matasović (2009: 392, 394), EDG (1517), Garnier & Sagot (2017: 49)

Lat. tumulus 'knoll, burial mound' is often derived from tumeō 'to swell, be swollen' (WH II: 716, EM 707, DV 633). Pokorny (1080-85) gave the root as \*tēu-, təu-, teu-,  $tu\bar{o}$ -,  $t\tilde{u}$ - with extensions in: bh, g, k, l, m, n, r, s, and t. On the parallel of two variants of the verb 'to come' (\* $g^wm$ - and \* $g^wh_2$ -), DV (633) proposes two suffixations of a root \*tu-: \*tu-m- (e.g. Lat. tumeō) and \*tu-h2- (e.g. PSlav. \*tŷti- 'to become fat'). The form with m is well attested as a stative \*tum-eh<sub>1</sub>- (Lat. tume $\bar{o}$ , PCelt. \*tum $\bar{i}$ -, Lith. tume $\dot{e}ti$  'to become thick', Matasović 2009: 394), proving that it is old. 402

The gist of Pokorny's analysis, a root with a suffix chain  $-m-b^h$ , is followed by Ačaryan

<sup>400</sup> Cf. recently Imberciadori (2022) who adduces Toch. A tpär, B tapre 'high' to tūber as ér-locative derivatives of a(n extended) root \*teuHbh-, thus \*tuHbh-ér 'swelling, highness'.

<sup>&</sup>lt;sup>401</sup> This also rules out interpretations of an original nomen abstractum \*touH-dhro-.

The attestations also rule out any reconstructions that take the m form as a suffix to the form with a long vowel (like \*tuh2-m-): Dybo's Law does not operate on Lithuanian and the de Saussure effect on an o-grade \*touH-m- does not operate in Celtic or Lithuanian.

(1971-79 II: 206) for Arm.  $t^c$ umb and Matasović (2009: 392) for PCelt. \*tumbo-. Gk. τύμβος would fit into this system,  $t^{403}$  but a suffix comprised of the rare phoneme \*b would be unparalleled. Indeed, the proposal of multiple "root extensions" is today lacking in explanatory power. Furthermore, the Corcyrian form  $t^{-}$  with the same meaning as  $t^{-}$ 0 points to an  $t^{-}$ 0 mb alternation (EDG 1517). It is therefore possible to link the forms meaning 'hillock' together as reflexes of a substrate lexeme. But this requires separating Lat.  $t^{-}$ 1 tumulus from inherited  $t^{-}$ 1 tume $t^{-}$ 2.

### verbascum 'mullein'

Pre-form: \*uerb(h)/dh- | PItal. \*werblfl basko-

Comp.: ?

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, wild WH (II: 756), EM (722)

Alessio (1939), Alessio (1944a: 103), André (1956: 326-7), DV (664), EDG (1269), Falk & Torp (1960 I: 802), Frisk (1960-72 II: 636-7), Derksen (2014 s.v. *virbas*), Smoczyński (2018: 1672-3)

The interpretation of Lat. verbascum 'mullein' is complex. Alessio (1939: 326) sets up a  $b \sim p$  alternation by connecting Lat. verpa 'penis', but this feels gratuitous. More complex are a series of spellings from glosses. André (1956: 326-7) gives berbascum, barbascum, and vervasca. Alessio (1939: 327-8) notes several others that may be variations of the same word, but the analysis is not as clear-cut. Several glosses list belbe as meaning fellenis or fellonis, themselves of unclear meaning. But one gloss says that fellenis means fellenis means fellenis means fellenis are in fact glosses that give the meaning of fellenis (namely as fellenis and fellenis are in fact glosses that give the meaning of fellenis (namely as fellenis and fellenis fellenis are fellenis and fellenis felleni

Alessio (1939, 1944a: 103) interprets the *-asco-* of *verbascum* as a Ligurian suffix based largely on placenames. If it is a suffix, and if we consider the variants in the glosses as

<sup>&</sup>lt;sup>403</sup> Gk. τύμβος, as it has the same meaning as Gk. τάφος 'grave' ( $< *d^h mb^h$  on comparison with Arm. *damban* 'tomb') was used by Pelasgianists (cf. Georgiev 1941: 70) to demonstrate that Pelasgian, after aspiration dissimilation, exhibits um < PIE \*m and  $b < PIE *b^h$ . But Hester (1965: 379) notes that this cannot explain the Corcyrian form.

<sup>&</sup>lt;sup>404</sup> Garnier and Sagot (2017: 49) propose different Greek reflexes of an IE substrate \*tim $\beta o$ -, in turn from \*d<sup>h</sup>ubh-nó- 'deep'.

uncertain, then *verbascum* can perhaps be derived from a root \**uerb(i)*- 'stick'. It is elsewhere found in Lat. *verbera* 'twigs for flogging', *verbēna* 'leafy branch or twig' (cf. DV 664), Lith. *virbas* 'stick, twig; type of willow', Ru. *vérba* 'willow twig' (cf. Derksen 2014 s.v. *virbas*), and PGm. \**wurba*- 'scythe handle' (cf. Falk & Torp 1960 I: 802).<sup>405</sup> While all comparanda reconstruct to a root \**uerbi*-, DV (664) notes that the Slavic forms point to \**b*. Thus the inherited status of this root is not guaranteed, but nor is the relationship of *verbascum* to it.

### 2.3.3.2 Non-inherited vs. Loan from a Known Language

ātriplex 'orach, saltbush (Atriplex spp.)'

Pre-form: PItal. \*ātriplek-

Comp.: PRom. \*atra/ipek- | OFr. arrace, It. atrepice, etc. 'orach'

PGk. \*at/draphak- | Gk. ἀτράφαξυ/ις, ἀδράφαξυς, ἀνδράφαξυς 'orach'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, wild or cultivated

WH (I: 76), EM (54)

Keller (1891: 61), Nidermann (1905/6: 74-5), REW (no. 759), Alessio (1955: 706), André (1956: 46), Chantraine (1968-80: 135), Frisk (1960-72 I: 181), Furnée (1972: 179), EDG (164), FEW (XXV: 684)

Gk. ἀτράφαξυς 'orach' occurs with several variations, the hallmark of a non-inherited word (Alessio 1955: 706), which cannot be easily explained by folk-etymological contamination with other words (Furnée 1972: 179, EDG 164). Lat. ātriplex 'orach' is widely accepted as a loan from Greek (WH I: 76, Alessio 1955: 706, Frisk 1960-72 I: 181, EDG 164, EM 54). This partially builds on the assumption that the Romance descendants represent a more original situation than the Latin, since they look closer to the Greek (\*atrapex, \*atrapica, \*atrapicu, etc., REW no. 759, FEW XXV: 684).

Keller (1891: 61) suggests folk etymological reanalysis after borrowing from Greek with *āter* 'black' and *-plex*. Niedermann (1905/6: 74-5) prefers a series of developments: Lat. *ātriplex* would have developed from \*atrapex (an analogically produced nominative from the oblique \*\*atrapacis<sup>406</sup>) > \*atripex >> \*atriprex >> atriplex through dissimilation. One wonders why, if the Romance languages preserved a form more similar to the original Greek into the present day, Classical Latin would have undergone so many changes. André (1956: 46), followed by Chantraine (1968-80: 135), mentions the possibility that both Latin and Greek are independent loans from a non-IE source. This seems quite likely, but it is difficult to rule out the effects of dissimilation as the

 $<sup>^{405}</sup>$  Frisk (II 1960-72 II: 636-7), Alessio (1939), and Smoczyński (2018: 1673) compare Gk. ράβδος 'rod, stick, wand', but EDG (1269) removes it from comparison due to its suffix -δ-.

 $<sup>^{406}</sup>$  There is no reason for Gk.  $\xi$  to be borrowed as /k/.

cause of the aberrant Latin formation.

bardus 'stupid'

Pre-form:  $*b/g^wa/Hrd$ - | PItal. \*bardo-

Comp.: ?

□ Irreg. correspondences ■ Remarkable phonotactics

Semantics: pejorative

WH (I: 96-7), EM (66-7), DV (69)

Nehring (1928: 117-27), Ernout (1946: 27), Breyer (1993: 241-4), Meiser (1998: 63), Untermann (2000: 530), Zair (2018: 311-18)

Lat. bardus has several hallmarks of being non-IE: an invalid \*DeD root structure, \*b, and presumably \*a. Nehring (1928: 117-27), followed mostly by WH (I: 96-7) and EM (66-7), argued that it is Etruscan (cf. DV 69). He adduced several other words of similar form and meaning (barginna, bargena 'barbarian', bargus/barcus 'without intellect', barō 'dumb idiot', cf. further Ernout 1946: 27, Breyer 1993: 241-4). But as for balteus (s.v.), initial voiced stops in an Etruscan borrowing are suspicious.

Zair (2018: 311-18) is correct in his criticism of an Etruscan origin theory. He instead takes *bardus* as either a loan from Gk. βραδύς 'slow'  $< *g^w rd^-u^-$  with unparalleled metathesis or, more likely in his eyes, a loan from the Sabellic reflex of  $*g^w rd^-u^-$ . Lat. *gurdus* 'stupid' would be the native reflex of this root found further in Balto-Slavic (e.g. Lith. *gurdùs* 'slow', OCS grbdb 'proud, haughty')(DV 275, Zair 2018: 315-16). The phonological details are not fully clear however. Zair (2018: 316) prefers  $*g^w ord -o->gurdus$ , but  $*g^w orh_3-$  gave  $vor\bar{a}re$  'to devour' (DV 690, unless from an e-grade). After a labiovelar, \*r perhaps gives Latin ur instead of or (Meiser 1998: 63, DV 275), so perhaps  $*g^w rd -o->gurdus$ . But then we have to assume that \*u delabialized  $*g^w$  (whereas it seems to have led to the loss of  $*k^w$  in ubi, Zair 2018: 215-16, Weiss 2020: 86).

The Sabellic hypothesis is an attractive way to link *bardus* and *gurdus*. In both cases, we must accept a semantic shift 'slow/heavy' > 'stupid', which is not without parallel. But in combination with Quintilian's report (*Inst.Orat.* 1.5.57) that *gurdus* is from Spain along with the fact that both words reconstruct to an invalid \*DeD root structure (\* $g^w r d^h - o$ - would give Lat. \*\*gurbus), the inherited status of these words remains unclear.

burgus 'fort, castle, watchtower'

Pre-form: \*burg- | PItal. \*burgo-

 $<sup>^{407}</sup>$  This requires that the Oscan reflex of  $^*r$  can sometimes be -ar- (Untermann 2000: 530, Zair 2018: 313)

Comp.: ?

□ Irreg. correspondences ■ Remarkable phonotactics

Semantics: architecture; military

Pokorny (140-1), WH (I: 124), EM (78)

Kretschmer (1934: 100-3), Georgiev (1941: 60, 69, et alib.), van Windekens (1952: 7-8 et alib.), Biville (I: 235-7), EDG (1262), Kroonen (2013: 85), Garnier & Sagot (2020: 184)

Lat. burgus appears late, but a derivative burgarii 'soldiers guarding a burgus' is found in inscriptions from ca. 140 CE. On its own, burgus reconstructs to an invalid \*DeD root structure and is thus plausibly a loan. The question is from what language. It is similar formally and semantically to Gk. πύργος 'tower, wall-tower' and to PGm. \*burg- (cf. Go. baurgs 'fortified town', etc.). The Germanic forms are inherited from a root \*bherg- 'to guard' or \*bhergh- 'high' (cf. Kroonen 2013: 85). The idea that πύργος reconstructs to the same root played an important role in Pelasgian hypotheses (cf. e.g. Georgiev 1941, van Windekens 1952). But they are probably only coincidentally similar. Greek by-forms with consonant alternations (Hsch. φύρκος· τεῖχος 'wall', φ<ο>ύρκορ· ὀχύρωμα 'stronghold') suggest it is an early (since πύργος is in Homer) loan in Greek (EDG 1262).

The second century attestation of Lat. *burgus* is very early for a military loan from Germanic (cf. Biville I: 235-7), but the borrowing would be formally regular. If it is a loan from Greek, Lat. *b* for Gk. *p* implies mediated borrowing via an unknown language.

carpisculum 'type of shoe'

Pre-form: \*ka/Hrp- | PItal. \*karp-

Comp.: ?

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: textiles

Pokorny (581), WH (I: 172), EM (101-2)

Furnée (1972: 146), Beekes (2000: 28), Matasović (2009: 189), EDG (643, 778), Zair

(2012: 83), Kroonen (2013: 244), Derksen (2014 s.v. kurpė)

Lat. *carpisculum* appears in the 4<sup>th</sup> century, and its late attestation has led to it being accepted as a loan (WH I: 172, EM 101). Whether it is from an unknown language or more directly from Greek is difficult to verify.

Gk. καρβάτινος 'made of skins' attests to a  $p \sim b$  alternation with Hsch. καρπάτινον·

 $<sup>^{408}</sup>$  Kretschmer (1934: 100-3) suggested a Balkan-mediated loan from Germanic, but this seems extremely unlikely. Garnier and Sagot (2020: 184) propose it is a loan from the Lydian reflex of  $^*b^b$ er $g^b$ . 'high'.

ἀγρο<ι>κικὸν ὑπόδημα μονόδερμον 'one-layer farmer's sandal' (Furnée 1972: 146, EDG 643). 409 Other comparanda include OIr. *cairem* 'shoemaker' < \**karafyo-mon*-, Lith. *kùrpè* 'loafer, wooden shoe' < PBSI. \**kúrʔp*(*i*)*aʔ*, and ON *hriflingr* 'type of shoe' < \**hreflinga*-. Along with Gk. κρηπίς, -ῖδος 'man's high boot, half-boot', these forms seem to reconstruct to a PIE root \*krh₂p-i- 'shoe' (Matasović 2009: 189, EDG 778 hesitantly, Kroonen 2013: 244; but see Zair 2012: 83). Beekes (2000:28, followed by Derksen 2014 s.v. *kurpè*) instead keeps Gk. κρηπίς separate due to its slightly different semantics and connects all the others to the root with non-IE alternations. It seems strange that Greek would have a καρβ/π- of non-IE origin beside a κρηπ- of inherited origin, both coming to mean 'shoe'. More likely, all the shoe words are from the same non-IE source. 410

Furnée (1972: 146 fn. 20) proposes a Latin-internal derivation of a loaned (otherwise unattested) Gk. \* $\kappa\alpha\rho\pi$ i $\zeta$ , - $i\delta\iota\zeta$ . It would have the same suffix as *acisculus* 'stone mason's hammer' and *portisculus* 'baton or hammer of the master of rowers'. Given the late attestation of Lat. *carpisculum*, which suggests a late borrowing, perhaps this is more likely than the suffix being added to an independent borrowing of the root \*karp/b-.

cibus 'food'

Pre-form: \*kib(h)-o- | PItal. \*kib/fo-

Comp.: ?

□ Irreg. correspondences ■ Remarkable phonotactics

Semantics: culinary

WH (I: 210-11), EM (118), DV (112) Thurneysen (1907: 797), EDG (693)

DV (112) notes that a root \* $kib^h$ - violates PIE root structure constraints, but \*b is a rare phoneme. Thus in and of itself, Lat. cibus looks non-IE. Otherwise, it may be a borrowing from a dialect of Greek (Thurneysen 1907: 797, WH I: 210). Paulus ex Festo wrote cibus appellatur ex graeco, quod illi peram in qua cibum recondunt, cibis < im > appellant, suggesting that this was already suspected by the grammarians. Greek forms include  $\kappa \bar{\imath} \beta \omega \tau \delta \zeta$  'wooden chest, box, cupboard',  $\kappa i \beta \omega \zeta \zeta$  'sack', and  $\kappa i \beta \omega \zeta \zeta$  (or  $\kappa i \beta \omega \zeta \zeta$ ), the further etymology of which is disputed (EDG 693). The semantic match is not perfect however, so it may be an ancient folk etymology.

conger 'conger eel'

Pre-form: \*kong-er- | PItal. \*konger-

Comp.: \*gong-er- | PGk. \*gongro- | Gk. γόγγρος 'conger eel; tubercular disease

in olive trees'

<sup>&</sup>lt;sup>409</sup> Thus Lat. carpatinus 'of raw leather' could be a direct borrowing from the variant with  $\pi$ .

<sup>&</sup>lt;sup>410</sup> Cf. also Gk. ἀρπίς, ῥαπίς 'a kind of shoe', though it may be a different lexeme.

■ Irreg. correspondences

□ Remarkable phonotactics

Semantics: animal, wild; aquatic

Pokorny (379-80), WH (I: 260), EM (137)

Schwyzer (1930: 261), REW (no. 2144), Biville (I: 232), EDG (281), Weiss (2020: 133)

Variants in Latin as well as the Romance descendants attest to *gonger* (Pliny) and *gongrus* (It. *gongro*, *grongo* < \**grongus*, REW no. 2144) alongside better-attested *conger*. If Lat. *conger* 'conger eel' is borrowed from Gk. γόγγρος 'conger eel' (WH I: 260, EDG 281), we need to explain the devoicing. Thus EM (I: 260) suggest it might be independently borrowed from the same Mediterranean source as γόγγρος.

There are several ways to explain the devoicing, but they are *ad hoc. Conger* may have been remodeled on the numerous other words beginning with *con*-. Or perhaps there was a dissimilation; the opposite occurs in *clucidatus* < *glucidatus* 'sweetened' (Biville I: 232).<sup>412</sup> In light of these possibilities, Lat. *conger* cannot be ruled out as a loan from Greek as easily as other cases like *ballaena* and *cupressus*.

ibiscum 'marsh mallow', vars. hibiscum, hibiscus, ebiscum, ebiscus

Pre-form:  $*g^hib(h)-|PItal.*(h)ib/fisco-$ 

Comp.: Gk. ἰβίσκος, var. ἐβίσκος 'a kind of mallow'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, wild

WH (I: 670), EM (293)

Furnée (1972: 355), EDG (575)

Lat. (h)ibiscum 'marsh mallow' is clearly related to Gk. ἰβίσκος, var. ἐβίσκος 'a kind of mallow'. Because the Latin forms are attested earlier, the Greek may be a borrowing from Latin, whose suffix has been suspected to be of Celtic origin (WH I: 670, EM 293, EDG 575). On the other hand, Furnée (1972: 355) takes the Greek variants in ½ to indicate a non-IE alternation and asserts that the Latin forms are borrowed from Greek. Since both variants appear in both languages, it is difficult to determine in which direction the borrowing went.

*īdus* 'the middle day of the month (13<sup>th</sup> or 15<sup>th</sup>)'

Pre-form: \*Heid(h)- | PItal. \*eidu-

 $<sup>^{411}</sup>$  That it has -er for \*-ros makes it quite early, though not pre-literary (cf. inscriptional SAKROS from before the change, Weiss 2020: 133).

<sup>&</sup>lt;sup>412</sup> The dissimilation required here of g-g>c-g is very rare in Latin. It is much more often kept, especially in foreign words. In fact, there is a Late Latin tendency to go in the opposite direction c-g>g-g (Schwyzer 1930: 261, esp. fn. 1 and 2).

Comp.: ?

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: magico-religious

WH (I: 672), EM (306-7), DV (295)

Fay (1917: 213), Breyer (1993: 297), Untermann (2000: 203-4, 563-5), EDG (1053),

Martzloff (2019: 305 fn. 42)

Varro (de Lingua Latina 6.28) and Macrobius (Saturnalia 1.15.14) report that Latin  $\bar{\imath}d\bar{u}s$  is of Etruscan origin, (claiming the Etruscan word is itis). Varro further tells us that  $\bar{\imath}d\bar{u}s$  in its current form is from Sabine. It is difficult to ignore such a straightforward statement from (in the case of Varro) an author who would have had access to Etruscan (WH I: 672-3, EM 306-7, DV 295). Breyer (1993: 297) mentions Etruscan eitva 'perpetual, continual'. Its meaning seems to be known and the semantics do not make it impossible that it is at least a derivation of the donor form. Osc. eídúís [abl.pl] is likely the same word as  $\bar{\imath}d\bar{\imath}us$ , differing only in stem class (a fem. o-stem, Untermann 2000: 203-4). This could indirectly attest to the Sabine form that Varro mentions. Without the Etruscan word itself, which one might expect to be attested given the semantic category of the surviving Etruscan sources, this cannot be confirmed.

Attempts at an IE etymology rely on what seems to be the Umbrian word for the Ides, **plenasier**, which clearly derives from \* $pl\bar{e}no$ - 'full', presumably referring to the stage of the moon (cf. Untermann 2000: 563-5). This would indicate a similar semantic source for  $\bar{t}dus/eid\hat{u}is$ . Fay (1917: 213) compared it to Gk. oi $\delta\dot{e}\omega$  'to swell', from the same root (\* $h_2eid$ -) as Lat. *aemidus* 'swollen'. Martzloff (2019: 305 fn. 42) has recently proposed a pathway by which \* $h_2eid$ - could yield  $\bar{t}dus$ . From a lengthened grade \* $h_2\bar{e}id$ -o-, Eichner's Law would prevent a-coloring of \* $\bar{e}$ . The resulting \* $\bar{e}id$ -o- is shortened by Osthoff's Law where it yields \*eid-o-. Osc.  $eid\hat{u}is$  substantivized this directly whereas Latin substantivized it via conversion to a u-stem. The lengthened grade starting point is not attested elsewhere, so solid evidence for this pathway is lacking.

supparus 'women's garment of linen', later var. supparum

Pre-form: \*suP-Ar- | PItal. \*suppAro-

Comp.:  $*S(e)ib^h$ -r- | PGk.  $*S(e)ip^h$ aro- | Gk. σίφαρος, σείφαρος

'topsail, topgallant sail'

■ Irreg. correspondences ■ Remarkable phonotactics

Semantics: textiles

WH (II: 633), EM (668-9)

von Planta (1892-7 I: 236, 542, 544), Conway (1897: 220), Walde (1910: 756), Alessio (1955: 537-40), Frisk (1960-72 II: 712), Furnée (1972: 163), Biville (I: 165-7), EDG (1337), Zair (2016: 301-3), Flemestad & Olsen (2017: 214), Weiss (2020: 153)

Despite semantic differences, Furnée (1972: 163) and Biville (I: 165-7) defend the comparison of Lat. supparus to Gk. σίφαρος 'topsail' (later borrowed as sīpharus 'sail'). Festus and Nonius tell us that the supparus was made of linen, and a few other lexemes attest to the same double meaning (Gk. φώσσων 'thick linen garment' and 'sailcloth'; Gk. κάρπασος 'fine linen, cotton', and Lat. carbasus [s.v.] 'fine linen' and 'sail'). Lat. supparus must be a loan, as it has not undergone expected vowel weakening to \*\*supperus, but it cannot be a direct loan from Greek. It has thus long been suspected that Oscan was the intermediate source (WH II: 633, EM 668, Flemested & Olsen 2017: 214). The Oscan word is not attested, but its existence is hinted at in an etymology by Varro (de Lingua Latina 5.131) when he writes nisi id quod item dicunt Osce 'unless [supparus is called that] because they say the same in Oscan.'

Conway (1897: 220) claims that the gemination of p before r is a typical Oscan feature. But he cites von Planta (1892-7 I: 542), who shows that the gemination before r occurs almost exclusively with t, and never when a vowel separates the cluster. 413 There is only one example of an unetymological geminate pp in a loanword in Oscan: Appelluneis (von Planta 1892-7 I: 544). Flemested and Olsen (2017: 214) propose that the u for Gk. I is due to the following labial, but (beyond in clitics) this only occurs in non-initial syllables as part of Oscan vowel weakening (e.g. Zair 2016: 301-3). Instead, an  $i \sim u$ vocalic alternation appears in other Mediterranean lexemes (cf. Alessio 1955: 537-40)<sup>414</sup> such as  $fr\bar{i}g\bar{o} \sim \varphi \rho \bar{\psi} \gamma \omega$  'to fry', and  $f\bar{i}cus \sim \sigma \bar{\psi} \kappa \omega \sim Arm$ .  $f^cuz$  'fig'. 415 Thus the only real argument in favor of Oscan transmission is the lack of vowel weakening. And the Oscan word, if indeed it existed, does not certainly seem to be a regular loan from Greek either. In that case, it cannot be ruled out that both Latin and Oscan received their word from Greek (cf. Walde 1910: 756) via a Mediterranean intermediary at a relatively recent date (i.e. after Latin vowel weakening). If the Greek word is not native (cf. EDG 1337, who points to vocalic alternation between σίφαρος 'topsail' in Arrian and Hesychius vs. σείφαρος 'theater curtain' in an inscription from Ephesus<sup>416</sup> alongside the similarity in shape to Akk. *šuparraru* 'to spread out' [cf. also Frisk 1960-72 II: 712]), then the comparanda attest to a Mediterranean lexeme with \* $pp \sim *b^h$  and  $i \sim u$  alternations.

prūnus 'plum tree', -um 'plum'

Pre-form: \*pru(C)s-no- | PItal. \*pru(C)sno-

Gk. προύμνη 'plum tree' Comp.:

<sup>413</sup> Von Planta (1892-7 I: 236) is himself skeptical of Oscan origin.

 $<sup>^{414}</sup>$  Though he claims it arose from a substrate vowel  $\ddot{u}$ . Some of his examples are now understood to be regular, like Celtic i for Lat.  $\bar{u}$  in loanwords and the change Lat. \* $u > \bar{\iota}$  between l and a labial. The latter occurs in e.g. clupeus/clipeus 'shield' of unclear etymology, but also in inherited libet against early inscriptional LVBENS (cf. Weiss 2020: 153).

<sup>&</sup>lt;sup>415</sup> Biville (I: 166) notes that C. Brandis, in his 1881 dissertation (p. 24, non vidi), mentions similar  $\bar{u}:\bar{t}$ pairs but she seems to suggest he explained them all via Oscan. She reports Lat. plūrima: Osc. plīsima, but the "Oscan" form is an archaic Latin form reported by Festus. As for sūbulonem: sībilum, neither form is attested in Oscan either; both again are Latin.

<sup>&</sup>lt;sup>416</sup> Biville I: 165 vehemently rejects the existence of the variant σίπαρος.

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, tree; fruit

WH (II: 379), EM (541)

Schmidt (1895: 131), Furnée (1972: 243, 247), Sommer & Pfister (1977: 175), EDG (1241)

EM (541) consider Lat.  $pr\bar{u}nus$  and Gk. προύμνη parallel borrowings, while WH (II: 379) follow Sommer (Sommer & Pfister 1977: 175) in considering Lat.  $pr\bar{u}nus$  to be directly borrowed from Gk. προύμνη via dissimilation of the m, though this would be irregular. EDG (1241) instead follows Furnée (1972: 243, 247) in proposing that  $pr\bar{u}num$  is a borrowing from unattested \*πρου(F)νον. The Greek word may well be of a non-IE origin based on historical arguments, but it seems unclear whether Latin is borrowed directly from the Greek or not.

#### taurus 'bull'

Pre-form: \*th2eur-o- | PItal. \*tauro-

Comp.: \*th2eur-o- | PCelt. \*tarwo- | OIr. tarb, MW tarw 'bull', etc.

\*th2eur-o- | PGk. \*tauro- | Gk. ταῦρος 'bull'

\*th<sub>2</sub>eur-o- | PBSI. \*taurós- | Lith. taũras, Latv. tàurs 'aurochs', OCS turъ 'bull', Ru. tur, SCr. tûr 'aurochs', etc.

\* $t(h_1)eur$ -o- | PGm. \*beura- | ON  $bj\acute{o}rr$ , etc. 'castrated bull'

Etr.  $\theta evru$ - 'bull?' (In  $\Theta evrumines = Ταῦρος Μινώιος)$ 

PSem. \*tawr- | Akk. šūru, Arab. tawr, Hebr. šōr 'steer', etc.

■ Irreg. correspondences

■ Remarkable phonotactics

Semantics: animal, domestic

Pokorny (1080-5), WH (II: 650-2), EM (677), DV (607)

Lewy (1895: 4), Cuny (1910: 162), Goldmann (1938: 411), Bartholomae (1961: 1590-1), de Simone (1968-70 II: 25-6, 34-9, 95-6), Demiraj (1997: 46, 384), Orel (1998: 452), Untermann (2000: 777-8), Militarev & Kogan (2005: 309-310), Anthony (2007: 147), Derksen (2007: 500), Matasović (2009: 371), EDG (1455), Kroonen (2013: 478, 540), Weiss (2020: 170)

Lat. taurus 'bull' has not undergone the usual metathesis \*auRV > aRuV (\*pauros >

<sup>417</sup> The cluster mn remains in e.g.  $(con)temn\bar{o}$  and alumnus. After a long vowel, the n seems to be lost, e.g.  $subl\bar{t}mis < l\bar{t}men$  (Schmidt 1895: 131).

<sup>&</sup>lt;sup>418</sup> Furnée's argument is that the word is Pre-Greek, and that Pre-Greek attests to a change m > w. However, if Lat.  $pr\bar{u}nus$  really attests to \*πρου(p)vov, then alongside προύμνη it might rather be an example of a Pre-Greek  $b \sim w$  alternation (since \*bn > Gk. mn).

parvus 'small' but paucī 'few', nervus < \*nēuros, alvus < \*aulos Weiss 2020: 170), which points to a loanword (DV 607, Weiss 2020: 170). Given that Lat.  $b\bar{o}s$  'cow' (instead of what should be inherited \*\* $\bar{u}slv\bar{o}s$ ) is most likely borrowed from Sabellic (e.g. DV 74), I wonder if the irregularly unmetathesized taurus could also have come from Sabellic. U **turuf**, toru [acc.pl.], Osc.  $\tau avpo\mu$  [acc. sg.] (of not completely certain meaning seem to attest to an unmetathesized Proto-Sabellic \*tauro-, but no other Sabellic forms with this phonetic environment exist to allow us to determine if this is regular. The Sabellic forms could just as well be loans from Latin.

The Balto-Slavic forms can be reconstructed to the same proto-form as all the rest of the comparanda so far (cf. Derksen 2007: 500). It is the Germanic evidence that shows the first problem in terms of a PIE reconstruction. It shows a diphthong \*eu rather than \*au, which cannot be accounted for in PIE terms. This mirrors Etr. θevru-, attested twice, in Θevrumines (4th c.) and θevruclnas (5th c.)(de Simone II 1968-70: 95-6). The former is very clearly a representation of Minotaur, but the elements are switched. This is not lacking in attestation. Kretschmer (1931: 216) names a Greek vase from Etruria with the Greek inscription Ταῦρος Μινώιος, showing the same order of the elements. However, Etr. θevru- is unexpected if borrowed from Gk. ταῦρος. Etruscan also has Taure, a name probably borrowed from Gk. Ταῦρος (albeit very late, between the 3<sup>rd</sup> and 1<sup>st</sup> centuries) as well as Clauce < Γλαῦκος and Autu perhaps < Αὕτων (de Simone 1970 II: 25). <sup>421</sup> De Simone (1968-70 II: 26) remarks that this is otherwise only similar to the Germanic form, and Kroonen (2013: 540) agrees, taking the Germanic and Etruscan as independent witnesses to a \*beur- alternant of the \*taur- root.

Alb. *ter* 'bull' has been explained as a singularized plural of \**tar* < PAlb. \**taura*- (Orel 1998: 452) or the result of umlaut from the plural (Demiraj 1997: 384), which would make it either an additional independent reflex of this word or a borrowing from Latin or Greek. Interestingly enough however, on the same page where he gives the explanation that Alb. *ter* is the result of umlaut, Demiraj (1997: 46) shows that \**eu* yields Alb. *e*. Thus *ter* might attest to another language with \**teur*- rather than \**taur*-.

Germanic further complicates the picture by attesting to a form \*steura- 'bull' (Go. stiur, OHG stior, OE stēor, Engl. steer, etc.). It has the same eu diphthong as \*peura- but begins with a sibilant. Explanations include s mobile or the result of a non-IE phoneme

<sup>419</sup> The reflexes of Celtic \*tawro- have indeed undergone this metathesis, but there are actually no other attested forms with this phonetic environment in Celtic. Thus it may well be regular (Matasović 2009: 371). Alternatively, the Celtic reflexes have been remade on analogy to \*karwo- 'deer'.

<sup>&</sup>lt;sup>420</sup> The context only allows us to conclude it refers to a type of sacrificial animal or the quality of a sacrificial animal (Untermann 2000: 777-8). It seems to be used as an attribute of **vitlu** 'calf', leading e.g. Goldmann (1938: 411) to suggest U **turuf** could mean 'plump, fattened' < \*teuh<sub>2</sub>- 'to swell'.

<sup>&</sup>lt;sup>421</sup> While the Greek diphthong  $\alpha$  occurs in Etruscan loans as both ai ( $\lambda$ (κ)ταίων > Etr. Ataiun) and ei ( $\dot{\epsilon}\lambda\alpha$ ( $\dot{\epsilon}\bar{\alpha}$ ) > Etr. eleivana), this might represent a process of monophthongization  $ai > \bar{e}$  that was complete by the second half of the 5th century (de Simone II 1968-70: 45-6). We have no examples of a parallel change from au > eu (de Simone 1968-70 II: 26). There seems to otherwise be a strong preference to maintain the quality of a: there are examples of Etruscan reflecting Gk.  $\alpha$ ,  $\bar{\alpha}$ , and even  $\epsilon$  as a ( $\dot{\alpha}$ ) Etr. a a a0 × a1 × a2 × a3 × a4 × a3 × a4 × a4 × a5 × a5 × a5 × a5 × a6 × a6 × a7 × a8 × a8 × a8 × a8 × a8 × a9 × a

like \*p (cf. Kroonen 2013: 478). It is difficult to take this as an independent form (and thus evidence that Germanic borrowed a non-native phoneme as \* $p\sim*st$ ) because of the existence of an almost certainly inherited \* $st\bar{o}ra\sim*stura$ - 'big' < PIE \* $st\acute{e}h_2uro\sim*sth_2ur\acute{o}$ - (ON  $st\acute{o}rr$ , OSw.  $st\bar{o}r$ , stur 'big', OE  $st\bar{o}r$  'giant (adj.)', Du. stoer 'tough', etc., Kroonen 2013: 482). Cognates \* $^{422}$  include Skt.  $sth\acute{a}vira$ - 'broad, thick' (\* $st\acute{e}uh_2r-o$ - with laryngeal metathesis, with the indication that the r is part of the root provided by the root accentuation, unusual for a ro-derivation adjective, cf. Kroonen 2013: 482) as well as Skt.  $sth\ddot{u}r\acute{a}$ - 'big, strong, thick, massive' and Av.  $st\ddot{u}ra$ - 'strong' (zero-grade \* $stuh_2r-\acute{o}$ -). Av. staora means most properly 'heavy livestock (camel, horse, cow, donkey)' (Bartholomae 1961: 1590-1). Thus the bovine semantics are a coincidental secondary semantic development of this unrelated root. It is possible that PGm. \*steura- is the result of contamination between \*stora-.

An additional detail concerning this family of words is the question of its precise relationship to Semitic. While Cuny (1910: 162) considered both groups borrowed from a third source, comparanda of PSem. \* tawr- 'steer' are widespread and the lexeme may even reconstruct to Proto-Afro-Asiatic (Militarev & Kogan 2005: 307-10). Some have therefore proposed that the IE family is borrowed from Semitic, given the variation in IE reflexes (Lewy 1895: 4) and the origin of domestic livestock (Anthony 2007: 147).

This lexeme is similar to *caper* and *porca* (s.v.) in that most attestations allow for the reconstruction of a common pre-form. But there seems to be just enough variation that, in combination with the possibility of ultimate Afroasiatic origin, here there is a stronger indication of a Wanderwort. The widespread attestation of the lexeme with minor variations suggests that it may have entered the Indo-European languages at an early date, 424 and it entered Proto-Celtic (where both Goidelic and Brittonic attest to the metathesis of \*-wr-). But regardless of its antiquity elsewhere, the fact that it has resisted metathesis in Latin suggests that it entered later, at a post-Proto-Italic date. 425 DV (607) finds it unlikely on the grounds of the semantic field, but it cannot be completely ruled out that the Latin and Sabellic forms are loaned from Greek or another IE language.

## 2.3.4 Core-Periphery Cases

caper 'goat'

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 $<sup>^{422}</sup>$  The root may also be behind Lat. ( $\bar{m}$ )staur $\bar{o}$  'to repeat, restore' (DV 305), but then we again wonder why it did not undergo the usual metathesis.

<sup>&</sup>lt;sup>423</sup> EWAia (II: 768) prefers to consider this formation a u-extension of \* $steh_2$ -, and is skeptical of  $sth\acute{a}vira$ - being considered a primary formation.

<sup>&</sup>lt;sup>424</sup> Rasmus Bjørn (p.c.) takes examples like this with extensive Afro-Asiatic matches and minor differences between the daughter languages as evidence of very old loans, borrowed just after the initial splitting of PIE.

splitting of PIE.

425 Given the possibility that the metathesis is regular in Celtic (see fn. 419 above), and since it can neither be confirmed nor rejected for Sabellic, it is theoretically possible that the metathesis is of Italo-Celtic date. If so, then the lexeme can have entered Italic (presumably replacing the existing word) earlier, between Italo-Celtic and Proto-Italic.

Pre-form: \*ka/Hp-ro- | PItal. \*kapro-

Comp.: \*ka/Hp-ro- | PGm. \*hafra- | ON hafr, OE hæfer 'goat'

\*ka/h2p-ro- | PGk. \*kapro- | Gk. κάπρος 'wild boar'

?\*ka/Hp-ero- | PCelt. \*ka\phiero- | W caer-iwrch 'roebuck', OIr. cauru,

cáera 'sheep'

\*g(h)a/Hb(h)/p-ro- | PCelt. \*gabro- | W gafr, OIr. gabor, etc. 'goat'

■ Irreg. correspondences ■ Remarkable phonotactics

Semantics: animal, domestic

Pokorny (529), WH (I: 157), EM (94-5), DV (89)

Foy (1896: 297), Johansson (1902: 312), Pedersen (1909-13 I: 92), Thurneysen (1921: 107), Meillet (1925: 9), Chantraine (1933: 221), Wagner (1957: 72 fn. 2), Frisk (1960-72 I: 783), Campanile (1974: 48), Schrijver (1991: 99), EWAia (I: 302), Gamkrelidze & Ivanov (1995 I: 435), Untermann (2000: 368), Matasović (2009: 148), EDG (438, 639), Kroonen (2013: 198), Stifter (2020: 31-4)

Latin *caper* and Umbrian forms (**kaprum**, **kabru** etc. cf. Untermann [2000: 368]) along with PGm. \*hafra- (cf. Kroonen 2013: 198) and Gk. κάπρος 'wild boar' can all be reconstructed to \*káp-ro-. We must assume that Greek has undergone a semantic change. <sup>426</sup> In light of a lack of Balto-Slavic accentological evidence, \* $h_2$  cannot be rejected as the source of the a-vocalism (Schrijver 1991: 99, EDG 639).

PCelt. \*gabro- 'goat' looks related, but while PCelt. \*-br- is the regular outcome of PIE \*-pr-, the initial voiced guttural is unexpected. It could irregularly have assimilated the voicing of -b- or, even less likely, have been reshaped on analogy with the root \*ghaid- (cf. Lat. haedus) otherwise unattested in Celtic (Matasović 2009: 148). This irregular reflex is in contrast to a potentially regular one, still within Celtic. While Thurneysen (1921: 107, followed by WH I: 157) connected W caer-iwrch 'roebuck' to OW caru 'stag' < \*kr-uo (cf. Lat. cervus 'deer' from the full-grade) and OIr. cáera 'sheep' to OIr. cáer 'clump, grapes' "nach seinen Exkrementen benannt", 427 further Brythonic words for 'roe deer' (OW iurgchell, Corn. yorch, and OBret. yorch) show that it is the \*jork- element that means 'deer' (cf. Pedersen 1909-13 I: 92, recently Stifter 2020: 32). On comparison with Lat. capreolus 'roe deer', also a derivative of caper, it is plausible that the caer in caeriwrch is from \*kapero- (p.c. Michael Weiss). Schrijver

<sup>&</sup>lt;sup>426</sup> Meillet (1925: 9) followed by Chantraine (1933: 221) Wagner (1957: 72) hypothesized, in light of Aeolic ἔπερος 'ram', that the goat words were the result of a \*k- prefixation of the boar word found in Lat. *aper* and OHG *ebur* etc. Gamkrelidze and Ivanov (1995 I: 435) suggest regular loss of \* $q^h$ - in some branches from an inherited \* $q^h$ weph-. It seems more likely that τράγος simply displaced κάπρος as the word for goat (Frisk 1960-72 I: 783; EDG 438, 639). Other evidence suggests that the boar word is a separate non-IE lexeme (s.v. *aper*).

<sup>&</sup>lt;sup>427</sup> Wagner (1957: 71 fn. 2) is not the only one who finds this to be a stretch.

(1991: 96) considered \*kap-ero- in Celtic against \*kap-ro- in Italic, Germanic, and Greek to point to archaic r-stem ablaut. While DV (89) suggests this is more likely to be analogical, Stifter (2020: 31-4) provides additional evidence that the formation is old within Celtic. The oldest Old Irish attestations have nom. cauru, which, against e.g. gen.  $c\acute{a}erach$ , indicates an original PCelt. nom. \*ka $\phi er\ddot{u}\chi s$ , obl. \*ka $\phi er\ddot{a}k$ - with vocalic alternation explained by a reconstruction to PIE \*kapero- $h_3k^w$ - 'having the appearance of a goat', therefore 'sheep'. The order of the sound changes involved is unparalleled but not impossible. Foy (1896: 297) used a proposed connection with poorly attested Skt.  $k\acute{a}prth$ -,  $kaprth\acute{a}$ - 'penis' to support an inherited origin (followed or mentioned by Pedersen 1909-13 I: 92, Pokorny 529, EWAia I: 302, Gamkrelidze & Ivanov 1995 I: 435, Matasović 2009: 148), but this seems unconvincing and several have rejected the link (Johansson 1902: 312, WH I: 157, Frisk 1960-72 I: 783). 428

This provides a dilemma: why would Celtic have both a regular and an irregular reflex of a word for goat if the root itself is inherited? The semantic distance of the \*kapero- forms and the fact that they could phonologically go back to \*kasero- as well is potentially suspicious. The voicing/aspiration discrepancies, if taken at face value, are reminiscent of those found in other lexemes of non-IE origin, and a European substrate origin is suspected by several (Campanile 1974: 48, DV 89, EDG 639, Kroonen 2013: 198). But then why would all branches but the Celtic reconstruct to the same proto-form if the word were of non-IE origin (in light of the numerous cases where each branch attests to an irregularity)?

Briand (1997: 91-115) proposed deriving the forms from an old adjective to the root \*kap- 'to take' that would have been used to describe a snatching way of eating, then coming to denote several different animals. Wagner (1957: 73-4) noted the similarity of \*kap- (Lat. capere 'to take, seize', PGm. \*hab- 'to have') to \*ghabh- (Lat. habēre 'to have', OIr. gaibid 'to take, seize'), which in the end (and in light of similar lexemes outside of the Indo-European languages) might hint at ultimately onomatopoetic or sound symbolic origin. But such deep-time semantic derivations cannot be proven. In the end, the existence of PCelt. \*gabro- beside \*kapro- elsewhere (and the limited confirmed extent of this and other terms for goat) might point to a different type of contact scenario than those that led to the more irregular loanwords.

<sup>&</sup>lt;sup>428</sup> EWAia (I: 302) also notes MoP *kahra* 'kid'. The root is also suggested to go back as far as Old Persian, but these suggestions are made based on two personal names, attested in the Elamite Persepolis archives, which are suggested to represent Old Persian: *qa-pu-ra* /Kapura/ = OP \**kafra*- and *qa-ap-ri-ya* /Kapriya/ = OP \**kafrya*-. Gershevitch (1969: 199) writes that, if the interpretations as Old Persian are correct, then both 'may belong to [MoP] *kahra* 'kid', which Henning used to relate to Lat. *caper*." Hinz (1973: 114), though he agrees that *qa-ap-ri-ya* transcribes OP \**kafrya*-, finds it difficult to believe that the name means 'young goat' and rather interprets it as \**ka-frya*- "wie lieb!". Hinz and Koch (1987 I: 413) take *qa-pu-ra* (given under *qa-bu-ra*, as Elamite does not consistently differentiate voicing) as representing Aram. *kabbūra* "the stout one." Thus the root's antiquity in Iranian is uncertain. Besides MoP *kahra* 'kid' exists at least Zazaki *kavir* 'sheep', but the forms are seemingly restricted to the Western Iranian languages. Thus a later loan within Iranian is theoretically possible, and these forms are best not considered independent evidence.

### hordeum 'barley'

Pre-form:  $*g^h(o)r(s)d(h)-|$  PItal.  $*\chi or(s)d-ejo-$ 

Comp.: \*ghersd- | PGm. \*gerstō- | OS, OHG gersta 'barley'

\* $g^h ers(d(h))$ - | Hitt.  $kara\check{s}$ - 'wheat, emmer wheat'

\* $\acute{g}^h rsd(^h)$ - / \* $\acute{g}^h rid(^h)$ - | PAlb. \* $drisd\bar{a}$ - | Alb.  $drith\ddot{e}$  'cereal, grain'

?\* $g^h riHd^h$ - | PGk. \* $k^h r \bar{\iota} t^h$ - | Gk. κριθή, epic nom. sg. κρῖ 'barley'

?\*ghrio-/\*gheritV-| Arm. gari 'wheat'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, domestic

Pokorny (446), WH (I: 656-7), EM (299), DV (289)

Huld (1983/4: 149), Demiraj (1997: 145-6), Olsen (1999: 439), Rieken (1999: 63-5), Kloekhorst (2008: 444), Martirosyan (2009: 199), EDG (779), Kroonen (2013: 175), Schumacher & Matzinger (2013: 261), Thorsø (2020), Kroonen et al. (2022: 7)

Latin *hordeum* and PGm. \*gerstō- reconstruct to different ablaut grades of a root \*g^hersd- (cf. Kroonen 2013: 175, pace EM 299 who gives \*t as an option for Germanic), with Latin in the zero or o-grade and Germanic in the e-grade. A final \*d^h is also possible for the Latin pre-form. Hitt.  $kara\check{s}$ - 'wheat, emmer wheat' requires a derivation from a root shape \*g^hersT- to undergo the lowering of \*e to a, which occurs before \*RCC, after which a word-final dental would regularly be lost (Kloekhorst 2008: 444). Thus Kloekhorst reconstructs \* $\acute{g}^hersd^h$ - for  $kara\check{s}$ -, though DV (289) gives \* $\acute{g}^hersd$ -. The latter would be a perfect match for the Italic and Germanic forms.

These forms are traditionally explained as dental enlargements to the PIE root \* $g^hers$ - 'to bristle up, stiffen' (LIV2 s.v.) e.g. in Lat.  $horre\bar{o}$  'to stand on end, tremble' (for Hittite, Rieken 1999: 63-5; for Latin, WH I: 656-7, EM 299). The Bennenugsmotiv would be barley's long, bristly awns. As to Alb.  $drith\bar{e}$  'cereal, grain', if th is specifically from PIE \*sd (as opposed to  $dh < *sd^h$ ), we should reconstruct PAlb. \* $drisd\bar{a}$  from something like \* $g^hrsd$ - $eh_2$ - (Huld 1984: 149). Otherwise, if \*sd and \* $sd^h$  both became dh, which was devoiced in word-final position (Schumacher 2013: 261), th could have been leveled from a paradigm like \*dridh, pl. \* $dridh\bar{a}$  (Thorsø 2020: 257)  $< *g^hrsd$ - $eh_2$ - or \* $g^hrsd^h$ - $eh_2$ -. The latter matches the Anatolian, Italic, and Germanic pre-forms. Further connections however have led many to suspect that this lexeme is a loanword from a non-IE language (e.g. Demiraj 1997: 146, DV 289, Martirosyan 2009: 1999, Kroonen 2013: 175).

The Albanian form can alternatively be reconstructed as  $*\acute{g}^h r i d(h)$ - (cf. Demiraj 1997:

 $<sup>^{429}</sup>$  Kroonen (2013: 175) takes the lack of dental at face value and instead connects *karaš* to PGm. \**hersja(n)*- 'millet'.

146), making it look strikingly similar to Gk. κριθή 'barley'. The Greek form lacks any trace of an internal sibilant, and therefore fits better with Arm. gari 'wheat', especially given its epic by-form κρῖ. But both Greek forms can reconstruct to PGk. \* $krīt^h$ - (EDG 779) < \* $g^hrīd^h$ -. While Arm. gari could reconstruct to a Lindemann variant of \* $g^hriom$  (Olsen 1999: 439), a reconstruction with \*t is also possible (Thorsø 2020: 256-8).

Kroonen et al. (2022: 7) keep the Greek and Armenian forms separate from the Anatolian, Italic, Germanic, and Albanian ones due to their formal aberration. The presence of a formally matching Hittite cognate indeed makes the latter group look inherited. Accepting the aberrant Greek and Armenian forms as part of a non-IE lexeme does not require accepting irregular correspondences that are without parallel (cf. the aspiration alternation of  $l\bar{e}ns \sim \lambda \dot{a}\theta \nu \rho \sigma \sigma$  and the vacillating presence of a sibilant in the  $frac\bar{e}s$  group), but it does make the unity of the rest of the forms difficult to explain. Instead, if related, they may be seen as peripheral forms. Their reflex of the inherited formation was mediated to them by another language (whether IE or not), implying they had lost the inherited formation or had it replaced.

porca 'ridge of soil between furrows'

Pre-form: \*p(o)rk- | PItal.  $*pork\bar{a}$ -

Comp.: \*pṛk- | PCelt. \* $\varphi$ rikā- | W rhych 'furrow', etc.

\*prk- | PGm. \*furh- | OHG furuh, OE furh 'furrow', etc.

\*b(h)rgh | Lith.  $bir\tilde{z}e$  'row, furrow; timber tract; border mark', Latv. birze 'furrow, row'

\*b(h)o/ark/gh-d(h)-|PSlav.\*borzda|OCS brazda 'furrow', Ru. borozda' 'furrow, harrow, canal', etc.

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: geography; agriculture

Pokorny (821), WH (II: 340), EM (522), DV (481)

ESSJa (II: 220), Lühr (1988: 318-19), Holzer (1989: 51-4), Olsen (1999: 953), LIV2 (s.v. \*perk-), EWAia (II: 100), Derksen (2007: 59), Kroonen (2011: 137), Kroonen (2013: 160), Matasović (2013: 79)

The Latin, Germanic, and Celtic words are usually derived from a root \*perk- (LIV2: 475; DV 481, Kroonen 2013: 160). The only potential evidence for this group descending from a verbal root is semantically remote Lith. peršėti 'to itch', which leads LIV2 (s.v. \*perk-) to reconstruct a meaning 'graben, aufreißen', but this feels like too great a compromise—especially in light of the fact that the only comparandum outside this group is Rigvedic párśāna-, perhaps 'a low sunken place', but whose meaning is not entirely known (EWAia II: 100). On the other hand, Nw. dial. fere 'ridge between two furrows' < \*ferhan- is important because it 1) looks like an n-stem formation almost

identical to the Rigvedic form and 2) suggests an *ablauting* paradigm that would make it inherited (Kroonen 2013: 160), whether or not the *n*-stem itself has been inherited as ablauting (cf. Lühr 1988: 318-19, Kroonen 2011: 137).

Lith.  $bi\tilde{r}z\dot{e}$  as if  $<*b_{l}r\dot{g}^{h}$ - is so similar in form and meaning to the Italo-Celto-Germanic  $*p_{l}rk$ -, differing only in voicing/aspiration, that Holzer (1989: 51-4) argues that they are connected via his IE Temematic language. But this alternation in voicing and aspiration occurs in several other lexemes of non-IE origin. Further Slavic comparanda point to non-IE origin. A connection between PSlav. \*borzda, and e.g. Skt.  $bh_{l}r\dot{s}t\dot{l}$ - 'point, top, spike, tooth' (ESSJa II: 220) requires an element with  $*-d(^h)$ - (a Temematic reflex of \*-t-, Holzer 1989: 51-4;  $*-d^heh_2$ -, Matasović 2013: 79) not reflected in the Baltic forms (Derksen 2007: 59, Matasović 2013: 79). Perhaps, rather than Lith.  $bir\dot{z}\dot{e}$  representing a quasi-PIE  $*\dot{g}^h$  that has undergone satemization, it corresponds to a signatic element that alternates with \*zd in Slavic. A potentially similar situation occurs between  $frac\bar{e}s$  and its comparanda.

The appurtenance of the aberrant Balto-Slavic forms need not necessarily prove that the quite Indo-European-looking Italic, Celtic, and Germanic forms are not inherited (although it remains a possibility). Instead, the inherited lexeme could have been mediated to Balto-Slavic via indirect means, IE (like Holzer's Temematic) or not.

Arm. herk 'fallow land just broken up' < \*perg- is similar on semantic and formal grounds. While the Balto-Slavic forms hint at satemization, the Armenian form cannot reconstruct to a palatovelar. Similar to the Balto-Slavic words, several interpretations are possible. Olsen (1999: 953) suggests it could be related to the \* $p_I k$ - forms but from a centum substrate within Armenian. Otherwise it shows the alternations we expect to see in non-inherited words. However, it would also be the most semantically distant comparandum, given that all the other comparanda attest a specific meaning 'furrow' or 'ridge between furrows'. Thus I leave it out for now.

# 2.3.5 Methodologically Difficult to Delimit Comparanda

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campus 'flat land, field'
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Pre-form: *ka/Hmp-o-, *kh<sub>2</sub>e-n-p- | PItal. *kampo-
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Comp.: \*ka/h<sub>2</sub>mp-, \*kh<sub>2</sub>e-n-p- | PGk. \*kamp- | Gk. κάμπτω 'to bend, curve', καμπή 'curve, curvature'

\*ka/omp-, \*kh2e-n-p- | PBalt. \*kamp- | Lith. kampas 'corner, angle, bend', etc.

\*ka/omp-t- | PSlav. \*kotъ- | OCS kotъ 'corner'

\*kump- | PBalt. \*kump- | Lith. kumpas 'crooked, bent, hooked', etc.

?\*ka/e/omp-, \*kh2e-n-p- | PIr. \*kamp- | Sogd. nk'np 'to bend; subdue',

etc.

■ Irreg. correspondences ■ Remarkable phonotactics

Semantics: geography

Pokorny (525), WH (I: 148-9), EM (90-1), DV (86)

Schrijver (1991: 424-35), Cheung (2007 s.v. *kamp*), Derksen (2007: 244), Matasović (2009: 186), EDG (632, 1341), Kroonen (2013: 207), Derksen (2014 s.v. *kampas*, *kumpas*), Smoczyński (2018: 630), Pronk (2019)

Lat. *campus* 'field' has been connected to Gk. καμπή 'curve, curvature' through the assumption that it originally referred to a depression or curvature of the earth (Pokorny 525, WH I: 148). With this being the case, it is difficult to know where to draw the line for including forms as comparanda.

The most likely to belong are several Balto-Slavic forms. PBalt. \*kamp- can be from \*kamp- or \*komp- which either confirms the a-vocalism of the Latin and Greek forms or establishes an irregular  $a \sim o$  alternation with them. Alternatively, all the forms so far could be from a root which LIV2 reconstructs as \*kamp-. A reconstruction not requiring PIE \*a would be \*kh2emp-, though EDG (632) is suspicious of this root structure. Perhaps this would be solved by a root \*kh2ep- with a nasal infix \*kh2e-n-p- > \*kh2emp-. Derksen (2014 s.v. kampas) notes that it is difficult to separate the inherited forms from Germanic borrowings. But PSlav. \*kqtb- 'corner', if from \*ka/omp-to-, seems to attest to a Balto-Slavic root. On the other hand, the Slavic form cannot contain the onset \*kh2-, as that would yield PSlav. \*x- (Pronk 2019: 149). Between the Baltic and Slavic forms, the meanings are similar enough to consider them related, but this either removes them from the Latin and Greek forms or rules out \*kh2emp- as a pre-form.

As to Lith.  $ku\tilde{m}pas$  'crooked, bent, hooked', which reconstructs at face value to PBalt. \*kump-, Derksen (s.v.  $ku\tilde{m}pas$ ) argues that it is secondary, its u-vocalism coming from semantically similar words like  $kupr\grave{a}$  'hump, hunch, back'. Kroonen (2013: 207) followed by Smoczyński (2018: 630) however takes this from zero-grade \*kmp-. Additionally compared is PGm. \*hamfa- 'maimed' (Go. hamfs, OS  $h\bar{a}f$ , OHG hamf), reconstructed to \* $k\acute{o}mp$ -o- by Kroonen (2013: 207). Followed partially by Pronk (2019: 149), he considers the possibility that all forms can be from a root \*kemp-. Lith.  $ka\tilde{m}pas$  < \*komp-o-, Lith.  $ku\tilde{m}pas$  < \*kmp-o-, and Gk.  $\kappa\acute{a}\mu\pi\tau\omega$  < \*kmp-ie- with secondary nasal infix (cf.  $\chi\alpha\nu\delta\acute{a}\nu\omega$  'to hold' < \* $g^h\eta d$ - with nasal reintroduced from full-grade \* $g^hend$ -). This rules out the Latin unless it the result of e > a after a plain velar in \*kemp- (Schrijver 1991: 424-35),  $^{430}$  an uncertain development. Pronk (2019: 149) defends the omission of campus from this group on semantic grounds and further considers the appurtenance of the Germanic forms questionable.

Given the difficulty in reconstructing the vocalism of this root in an Indo-European way

<sup>&</sup>lt;sup>430</sup> He does not use *campus* as an example due to its murky etymology.

while maintaining a connection with all the comparanda, it is possible that we are dealing with a non-IE lexeme (DV 86, EDG 632). This conclusion is surprising in light of PIr. \*kamp- 'to bend' (Parth. nkmb-, BSogd. nk'np- 'to bend', etc.). 431 Cheung (2007 s.v. kamp) does not seem to reject a connection between the Iranian and European forms, but does seem to suggest their connection is not strong enough to invoke IE origin given the irregularities at hand. The alternative is to isolate Lat. *campus* from the rest of the forms that can derive from \*kemp- or to remove the Balto-Slavic forms from a group that can reconstruct to \*kh2e-n-p-.

cūpa 'cask, tub, barrel'

\*kuHp- / \*ke/oup- / \*koip- | PItal. \*kūpā- / \*koupā- / \*koipā-

Comp.:

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: vessel

Pokorny (588-92), WH (I: 310-11), EM (158), DV (155)

Furnée (1972: 176-7, 284), EWA (V: 872-4), EWAia (I: 370, 385), Schrijver (1991: 245-6), Beekes (1996: 223-7), Lubotsky (1998: 76), Deshayes (2003: 390), EDG (29, 801, 804), Kroonen (2013: 308), (2020: 444), Weiss (2020: 155), van Sluis (fthc.)

Latin cūpa 'cask, tub, barrel' is the same as cuppa 'cup', the latter being a littera rule variant (Weiss 2020: 155).<sup>432</sup> There are several options for reconstruction, but selecting one depends on the comparanda that are accepted. The decision is difficult to make.

A comparison with semantically more distant Skt. kūpa- 'well, pit, hole' (widely compared, though hesitantly by EWAia I: 385) and PGm. \*hūfa- 'hull, hive' would require \*kuHp- (cf. Weiss 2020: 155). Comparison with Hsch. κύπη τρώγλη 'gap, hole: type of ship, hut'433 and semantically closer Gk. κύπελλον 'bulbous drinking vessel, goblet' would rule out a laryngeal (cf. Schrijver 1991: 245-6). Some (DV 155; EDG 801, 804) compare both groups, which requires the reconstruction of a non-IE \* $u \sim \bar{u}$ alternation.

<sup>431</sup> The Indo-Aryan root \*kamp- means 'to shake, tremble', and Cheung (2007 s.v. kamp) is unsure if it belongs together with Iranian \*kamp-.

<sup>&</sup>lt;sup>432</sup> Celtic forms including W cib 'vessel, coffer', Bret. kib 'drink, cup', W cibell 'skin, hide, shell', and Bret, kibell 'tub, container' are often taken as loans from Lat,  $c\bar{u}pa$  (cf. Deshaves 2003; 390), but Latin  $\bar{u}$ is not usually borrowed into Brythonic as \*ī, suggesting that something more indirect occurred. The expected reflex of Lat.  $\bar{u}$  is Brythonic \* $\bar{u}$  (cf. Lat. mūtus 'mute', Bret., W mud 'dumb, mute'). Other potential examples of the unexpected outcome are not straightforward. Lat. (ferrum) dūrum vields Bret. dir 'steel' but W dur 'steel'. Lat. scrūpulus 'sharp stone; 1/24 of an ounce' yields W (y)sgrubl and (y)sgribl 'work animal, livestock; unit of currency' along with MIr. screpul(l), but within Latin there is also scrīpulum 'small unit of measure'. Thus the exact correspondences and their chronology require

<sup>&</sup>lt;sup>433</sup> I cannot locate the source of the meanings 'type of ship, hut'. Hesychius only seems to give τρώγλη (Cunningham 2018-20 II: 696).

Greek, Indo-Iranian, and Germanic attest to further similar forms. Greek has forms with  $\beta$  (Hsch. κύβος· τὸ τρύβλιον 'bowl') and a nasal (κύμβη, κύμβος 'cup') as well as an aspirated variant of κύπελλον, namely κύφελλα 'hollows of the ears' (EDG 801, 804). There is even a κύμβη (appearing once as κύβη) 'head' (EDG 802) that seems to have undergone the same semantic shift as Ger. *Kopf* 'head' < \*'vessel'. Skt. *kumbhá*- 'jar, pitcher' and YAv. *xumba*, MoP *xumb* 'jar', must also be related to these Greek forms (EWAia I: 370, Lubotsky 1998: 76), though from a from \* $k^humb^h$ - with aspirates. <sup>434</sup> PGm. \*kumb/pan- 'basin, bowl' belongs at least with the Indo-Iranian forms and Gk. κύμβη (EWA V: 872-4). In various combinations, they are often considered to be non-IE 'culture words' (Furnée 1972: 176-7, EWAia I: 370, Beekes 1996: 223-7, Kroonen 2013: 310, Šorgo 2020: 444). <sup>435</sup>

WH (I: 310-11) and Beekes (1996: 223-6) make much wider comparisons, including Gk.  $\gamma \bar{\nu} \pi \eta$  'cavity in the earth, den, corner' and PGm. \*kuban- 'shed' < \*gub^h-on-. While Kroonen (2013: 308) sees the Germanic material as unrelated to the Greek due to the  $u \sim \bar{u}$  discrepancy, EDG (292) takes this as evidence of a substrate lexeme. Beekes (1996: 227) saw it as a widespread Wanderwort, perhaps even sound symbolic. WH (I: 310-11) go even further in comparing Germanic words for hill all the way to Lat. campus, seeing behind all of the material a primordial meaning 'concave depression, convexity, bend.' At this point, we would require vocalic alternations even beyond  $u \sim \bar{u}$ . Intellectually, it is interesting to speculate on the existence of a timelessly ancient substrate lexeme \*KV(m)B- behind all of this material, but it is beyond any empirical ability to demonstrate.

From the very beginning, it was difficult to draw the line in terms of where Lat.  $c\bar{u}pa$  fit in relation to the several groups of lookalike forms, many with the basic or derived meaning 'vessel' and together or separately themselves considered of non-IE origin. It is likely that  $c\bar{u}pa$  fits somewhere in this spectrum. But because the most accurate comparison remains elusive, the exact irregular alternations and the geographic distribution of the substrate lexeme cannot be accurately determined. Without those, the lexeme loses its methodological value.

glēba, var. glaeba 'lump of earth, clod'

Pre-form:  $*g(^h)leHb^{h}-|PItal.*gl\bar{e}f\bar{a}$ 

Comp.: ?

■ Irreg. correspondences

■ Remarkable phonotactics

Semantics: geography

<sup>&</sup>lt;sup>434</sup> Given that PIE did not have  $*k^h$ , one could reconstruct  $*kHumb^h$  to yield the IIr. base, but it could instead represent a non-IE phoneme.

<sup>&</sup>lt;sup>435</sup> MIr. *comm* 'vessel', W *cwm* 'deep narrow valley', Bret. *komm* 'trough', Gaul. *cumba* 'bottom of a ship' look at face value to continue PCelt. \**kumbā*- (cf. Beekes 1996: 224), but could be loans from Gk. κύμβη or from Lat. *cymba* 'bark, small vessel', itself from Greek.

Pokorny (356-64), WH (I: 606-9), EM (277-8), DV (264-5)

Vaniček (1881: 83), Rohlfs (1972: 19), Stang (1972: 22), EWA (V: 556-8), Matasović (2009: 161), Kroonen (2013: 293-4), Derksen (2014 s.v. klébti, glaűbti, glébti), Weiss (2020: 181)

It seems reasonable that Lat.  $gl\bar{e}ba$  'lump of earth, clod' is related to Lat. globus 'round, compact mass.' But frequently, Lat. glomus, -eris 'ball-shaped mass' is connected as well. Vaniček (1881: 83) derived glomus from \*glob-mo-, but this is uncertain. Presumably it should give \*glommo-, but examples (cf.  $gl\bar{u}ma < *gloub^h-m\bar{a}$ -, Weiss 2020: 181) admittedly involve a long vowel and are assumed to have undergone subsequent degemination. WH (I: 606-9) take them as different extensions of a root \*gel- 'to ball up' (cf. Pokorny 356-64):  $*gle-b^h$ - beside \*gle-m-, but additional evidence for such a root is lacking. If indeed connected, the Latin material suggests a non-inherited  $b^h \sim m$  alternation (cf. DV 264-5). The connection is semantically attractive but difficult to confirm. Also difficult is where to draw the line in terms of comparanda.

DV (264-5) lists several Baltic, Germanic, and Celtic potential matches for the Latin family. The closest matches are the Baltic, which suggest that the original meaning of the root referred to squeezing together. Matasović (2009: 161) is suspicious of the connection of OIr. *glomar* 'bridle-bit, muzzle' and OHG *klamma* 'trap, gorge', OE *clam(m)* 'tie, fetters'. He writes that the semantics for the Celtic form would need to go from 'ball-shaped mass' > 'gag' > 'bridle', although it seems possible that an original 'squeeze together' > 'tie' (yielding the Germanic forms) > 'muzzling a horse' is possible. It is indeed speculative.

As to the Baltic forms, Lithuanian shows a voicing alternation in verbs for 'to embrace': standard  $gl\acute{e}bti$ , Žemaitian  $kl\acute{e}bti$ , which would require the reconstruction of a  $*g(^h) \sim *k$  alternation if it is not secondary. Lith.  $gla\tilde{u}bti$  'to clasp one's bosom'  $< *g(^h)loub^h$ - requires a form with a \*u whose absence elsewhere cannot be explained from an inherited perspective (Derksen 2014 s.v.  $gla\tilde{u}bti$  compares it to the  $*a \sim *ai$  alternation found in substrate words). $^{438}$ 

Within the semantic sphere of *umarmen* and likely similarly requiring the reconstruction of a  $*g \sim *k^{439}$  alternation are OHG  $kl\bar{a}ftra$  and MHG  $l\bar{a}ftra$  'fathom, length of the outstretched arms'. The latter is poorly attested, but would through PGm.  $*hl\bar{e}ftr\bar{o}$ - reconstruct to  $*kl\bar{e}p/b/b^h$  - if it is not somehow secondary. While DV (264) does

<sup>&</sup>lt;sup>436</sup> In part due to Romance forms continuing \*glem-, glomus is often considered to be from an earlier \*glemos- ('sogenannte o-Umlaut' [WH I: 609], rounding from velar l [Schrijver 1991: 468] and non-front vowel in the next syllable [DV 265]).

 $<sup>^{437}</sup>$  The reconstruction of  $^*b^h$  seems to be required by e.g. Calabrian *gliefa* and Salentine *ghiefa*, *gnifa*, plausibly reflexes of an Oscan reflex of  $^*gleb^h$  (Rohlfs 1972: 19).

<sup>&</sup>lt;sup>438</sup> For the semantics, cf. further Lith. *glėbys* 'embrace, armful'.

<sup>&</sup>lt;sup>439</sup> Stang (1972: 22) notes this remarkable circumstance of both variants in both Baltic and Germanic, suggesting a "Parallelwurzel".

not find *klāftra* semantically close enough, EWA (V: 558) defends the connection through a comparison with Gk. ὄργοια 'fathom' < ὀρέγω 'to stretch'. EWA (V: 556-7) takes *klāftra*- as an instrumental construction \**glēbh-treh₂*- with a lengthened grade paralleled only by Lat. *glēba* and with possible *o*-grade \**klaban*-: ON *klafi* 'yoke, packsaddle', etc. Kroonen (2013: 293-4) reconstructs \**glémbh-(n-)* with Kluge's Law effects for OE *climban/climman*, MDu. *climmen/clemmen* 'to climb', MHG *klimmen/klimpfen* 'to squeeze, to climb', arguing that the climb meaning must be secondary to the clasp/clamp meaning based on other formations (crucially zero-grade \**klumpan*- 'lump'). While he takes these as isolated to Germanic, they look like they could morphologically and semantically belong to the root of \**k/glēbh-treh₂*-.

But it is clear that this is a slippery slope. EWA (V: 557) further adduces OHG *kolbo* 'cob, cudgel', which Kroonen (2013: 309) takes from a separate root PGm. \*kulba(n)- 'round object' < \* $gleh_1b^h_-$ . It is to this latter group that he compares Lat. globus (with pretonic shortening in \* $gloh_1b^h_-$ . $\delta$ -),  $gl\bar{e}ba$ , and the Baltic forms. The semantics of the group are vague enough to allow either of these interpretations. But other Germanic words (Du. klont 'lump' and Engl. clod itself) from a root like \* $glud^h_-$  fit semantically and differ phonologically only slightly. Perhaps, like for some of the forms under  $c\bar{u}pa$ , there is an element of sound symbolism to formations of this type. In the end, a conservative approach is to keep Lat. globus and  $gl\bar{e}ba$  separate from glomus, and to consider the  $k \sim g$  alternations in both Baltic and Germanic as secondary. If this is too conservative, it is methodologically difficult to decide how many additional forms to compare given the vague semantics of the words involved.

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morum 'mulberry'
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Pre-from: *moHr- / *mōr- | PItal. *mōro-
Comp.: *mor- | PGk. *moro- | Gk. μόρον 'black mulberry, blackberry'
?*mor- | PArm. *mor- | Arm. mor 'blackberry'
?*mor- | PCelt. *mor- | W mer-wydden 'blackberry'
?PU *mura- | Finn. muurain, Tundra Nenets məraŋka 'cloudberry', etc.
?PCelt. *smi(y)ar- | W mwyar, OCo. moyr, MBret. mouar 'blackberry'
?PCelt. *smeyir- | OIr. smér 'blackberry'
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■ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant; fruit

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Pokorny (749), WH (II: 114), EM (415)
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Berger (1956: 22-6), Ciorănescu (1958-66 s.v. *zméură*), Vasmer (1959-61 s.v. cmópoд), Battisti (1960: 380), Frisk (1960-72 II: 256), Turner (1966-9 I: 562), Hamp (1973: 167), Rédei (1988: 287), Sammallahti (1988: 538), Campbell (1990: 165-6), Schrijver (1991: 123-4), Biville (II: 23), Orel (1998: 245), Trask (2008: 285), Martirosyan (2009: 474),

Matasović (2009: 347), EDG (968), Topalli (2017: 939), Cunningham (2018-20 II: 866), GPC (s.v. *morwydd*)

Lat.  $m\bar{o}rum$  'mulberry' differs in vowel length from but is indeed often suspected of being a loan from Gk. μόρον 'black mulberry, blackberry' (WH II: 114, Frisk 1960-72 II: 256, EM 415, EDG 968). This is in part due to Hsch. μῶρα· συκάμινα, corrected to μόρα already by Marcus Musurus but maintained by Cunningham (2018-20 II: 866). Biville (II: 23) suggests that the vowel was lengthened upon borrowing into Latin due to primary syllable accentuation, but the only other potential cases of this ( $r\bar{a}pum$  and  $l\bar{n}num$ ) are not certainly loans from Greek. As to whether Arm. mor is borrowed, Martirosyan (2009: 474) notes that it would have to have been prehistoric, since the word is widespread in the dialects. W merwydden < \*mor- cannot be from Latin due to the vowel length (Hamp 1973: 167)<sup>440</sup> and thus would have to be a loan from Greek. If the  $\bar{o} \sim o$  alternation between Latin and the other forms is taken at face value, it is reminiscent of PIE ablaut. If Hsch.  $\mu\bar{\omega}$ ρα is legitimate, it remains possible that all attested forms are loans from Greek.

Another Celtic blackberry word of similar shape shows discrepancies between Brythonic and Goidelic: OIr.  $sm\acute{e}r$  'blackberry' ~ W mwyar, OCo. moyr, MBret. mouar 'blackberry'. Matasović (2009: 347) reconstructs PCelt. \* $sm\~{e}ro$ -, with the Brythonic forms potentially being collectives with an \*-aro- suffix to account for their hiatus. But PCelt. \* $\~{e}$  should yield OIr.  $\'{a}$  unless this is prevented by a high vowel in the next syllable. Hamp (1973: 168-9) instead reconstructs \*smi(i)ar-, but OIr.  $sm\acute{e}r$  requires a pre-form like \*smeiir-. It is semantically plausible that these discrepant Celtic forms represent the same lexeme as  $m\~{o}rum$  (Hamp 1973 168-9, Schrijver 1991: 123-4). Formally, they verge on being too dissimilar.  $^{441}$ 

Alb. *mare* 'strawberry tree' is of similar shape to the *mōrum* group. Alb. *a* can be from \**o*, but the date at which this change would need to have occurred rules out a borrowing from Gk. μόρον. <sup>442</sup> Topalli (2017: 939) regards it as a borrowing from MoGk. κουκουμάρα 'strawberry tree' (< Gk. κόμαρος, Hsch. κύμαρος κόμαρος), but it seems unlikely that the initial syllables would simply be deleted. North Caucasian forms of similar shape to κόμαρος but with the same meaning as μόρον (cf. Chechen *komar* 'mulberry', Ingush *komar* 'raspberry, mulberry', and Batsbi *kumel* 'raspberry') could again point to a substrate lexeme with the vacillating presence of a prefix.

<sup>440</sup> W mor- and môr-wŷdd can be late loans from Lat. mōrum, though interestingly they are attested around a century earlier than merwydd (GPC s.v. morwydd).

<sup>&</sup>lt;sup>441</sup> Hamp further adduced Rom. *zmeură*, MoGk. σμέουρο 'raspberry', but these forms are complicated. Ciorănescu (1958-66 s.v. *zméură*) asserts that the Greek is borrowed from Romanian. The Romanian itself may represent original neuter \*smeu with the *r* introduced from the plural *smeuri*. He alternatively proposes a connection with Slavic words for 'currant' (cf. Ru. *smoródina*), but these are more plausibly derived from PSlav. \*smordъ- 'stench' (cf. Vasmer 1959-61 s.v. смород).

<sup>442</sup> Orel's (1998: 245) analysis as a borrowing from Lat. *marum* 'cat-thyme' (in the germander family) can be rejected as it is an entirely different kind of plant.

Martirosyan (2009: 474 with lit.) collects several other potential comparanda including Gk. μυρίκη 'tamarisk', Lezgic *mer* 'raspberry, blackberry', Kartv. \*marc'q'w- 'strawberry' (Georg. marc'q'wi, Svan bäsq'i), Lak mamari 'blackberry', Darwa \*mVmVrV 'raspberry', Chechen mürg 'guelder rose', PU \*mora 'raspberry, cloudberry' (more accurately \*mura-, Redei 1988: 287, Sammallahti 1988: 538),<sup>443</sup> even Hitt. mu-uri-uš 'grape'. In the end he finds a widespread non-IE word \*mor-/mōr-/mur- 'mulberry; blackberry; tamarisk' > 'raspberry, strawberry; grapes'.<sup>444</sup>

In the end, it highly unlikely that all the comparanda mentioned are actually related. But it is difficult to draw the line in terms of appurtenance. We may be dealing with a widespread lexeme of the shape \*(s)mVr- and the general meaning 'berry'. But the most conservative scenario, in which Lat.  $m\bar{o}rum$  'mulberry' is borrowed from a poorly attested Greek word, cannot fully be ruled out.

# 2.4 Non-IE Origin in Latin Rejected

## 2.4.1 No Positive Evidence of Borrowing

aqua 'water'

Pre-form:  $*h_2ek^w$ - | PItal.  $*akw\bar{a}$ 

Comp.:  $h_2ek^w$ - | PGm. \*ahwō- | Go. ahva 'body of water, river', OHG aha

'river', etc.

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: geography

Pokorny (23), WH (I: 60), EM (41), DV (48)

Krahe (1962: 294), Beekes (1998: 459-61), Kroonen (2013: 7)

Lat. *aqua* and its comparanda in Germanic are considered potentially substrate due to their limited distribution and opposition to the other, similar yet widespread inherited water word  $*h_2ep$ - (Beekes 1998: 459-61, 445 DV 48). If only these two comparanda are considered, then there is an exact formal match restricted to Italic and Germanic, with no morphophonological features pointing to a non-IE origin (cf. Kroonen 2013: 7).

arcus, -ūs 'bow; arch'

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<sup>&</sup>lt;sup>443</sup> See further Campbell (1990: 165-6). He however would further link these words to the apple word (*mālum*) which is certainly going too far.

<sup>&</sup>lt;sup>444</sup> Berger (1956: 22-6) followed by Battisti (1960: 380) adduces Burushaski *biranč* 'mulberry', but the reconstruction to \*moron-š is forced. It is a loan from a Pamir language like Khowar *mrač* or Shina *marōč*, which continue \*madhuravṛkṣa 'a tree with sweet fruit' (cf. Turner 1966-9 I: 562). Nor is it clear that the numerous, formally aberrant Basque forms which they both adduce (cf. *masusta*, *marzuza*, etc.) are related (cf. Trask 2008: 285 on the forms).

<sup>&</sup>lt;sup>445</sup> In part due to the numerous European hydronyms of the shape \*aC(a)- noted by Krahe (1962: 294).

Pre-form:  $h_2erk^w-o-/h_2erk-uo-|$  PItal. arkwo-

Comp.:  $*h_2erk^w-\bar{o}-/*h_2erk-u\bar{o}-/*h_2erk-uh_2-|$  PGm.  $*arhw\bar{o}-|$  ON  $\varrho r,$  OE earh,

etc. 'arrow'

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: weapon

Pokorny (67-8), WH (I: 64), EM (44), DV (52)

Derksen (2007: 375), EDG (132), Kroonen (2013: 34)

EM (44) suspect that the Latin and Germanic bow/arrow words are not of IE origin, especially given their semantic field. But they can be reconstructed to a common pre-form  $*h_2erk^w-/*h_2erk-u-$ , where Germanic has either formed a possessive derivative 'belonging to the bow' > 'arrow' (DV 52) or forms an ablauting  $*uh_2$ -stem (nom.  $*h_2\acute{e}rk-uh_2 > *arh\bar{u} > \text{ON } qr$ , gen.  $*h_2erk-u\acute{e}h_2-s > *arw\bar{o}z > \text{ON } qrvar$ , Kroonen 2013: 34). 446 Connection with Greek and Balto-Slavic juniper/willow words (Gk. ἄρκευθος, Hsch. ἄργετος· ἡ ἄρκευθος. Κρῆτες 'juniper', PSlav. \*ork)\*ta 'brittle willow', Latv.  $\~ercis$  'juniper') that would point to a substrate origin (Derksen 2007: 375, DV 52, EDG 132) is semantically unnecessary (WH I: 64).

### caelum 'sky'

Pre-form: \*kh2ei-lo- / \*keh2i-lo- | PItal. \*kailo-

Comp.: ?\*kh2ei-lo- / \*keh2i-lo- | PCelt. \*kaylo- | OW coil(i)ou 'omens,

auguries', OBret. coel 'haruspicem'

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: geography; magico-religious?

Pokorny (916-17), WH (I: 130-1), EM (83-4), DV (80)

Solmsen (1894: 184), Gray (1902: 300-1), Schrader & Nehring (1917-23: 500), Schrijver (1991: 267-8), Untermann (2000: 363), Derksen (2007: 75), Matasović (2009: 197), Weiss (2016)

The comparanda of Lat. *caelum* 'sky' are not certain. It has been connected via \*kaid/t-(s)lo- to e.g. OE  $h\bar{a}dor$  'clear sky' (Solmsen 1894: 184, Schrader & Nehring 1917-23: 500), Lith. *skáistas* 'clear', and Skt. *citrá-*, Av.  $\check{c}i\theta ra-$  'clear, conspicuous', etc. (WH I: 130-1, Pokorny 916-17). The dental of the Germanic and Baltic forms is not the same, so these would have to be suffixes. Furthermore the Indo-Iranian vowel length rules out a laryngeal (Schrijver 1991: 267-8) making the *a*-vocalism of *caelum* difficult to account for this way.

A better alternative might be that proposed by Gray (1902: 300-1), based Osc. kaíla,

<sup>&</sup>lt;sup>446</sup> Go. arhuazna 'arrow' has a different suffix (cf. Kroonen 2013: 34) and so is derivationally secondary.

which probably means 'temple' (cf. Untermann 2000: 363). Proposed independently by Schrijver (1991: 268), Lat. *caelum* can reconstruct to the same pre-form, \*keh2i-lo- or \*kh2ei-lo- as PCelt. \*kaylo- 'omen', with the semantic link perhaps lying in the field of augury. Further connection with PGm. \*haila- 'whole' and PBSl. \*kailo- 'whole, healthy' (DV 80, Matasović 2009: 197), perhaps in the sense that the sky was the 'whole' as opposed to *templum* 'the part', is unlikely. Semantically the Germanic and Balto-Slavic forms are much closer to each other (Derksen2007: 75, Kroonen 2013: 200) and the Baltic accentual paradigm probably rules out the laryngeal required for the Italo-Celtic *a*-vocalism. WH (I: 131) called the Italo-Celtic proposal phonologically flawless but semantically difficult. DV (80) briefly mentions the possibility that Italo-Celtic \*kailo- could be a non-IE loan. If the Italic and Celtic forms are in fact related, their common pre-form that is reconstructible to a valid IE root structure provides no positive evidence of a non-native origin.

A final possibility is that mentioned by EM (83-4), revived by Weiss (2016). From \*kaid-(s)lo- to the root in  $caed\bar{o}$  'to cut' would be derived caelum 'sky' and its synonym caelum 'chisel', the latter an instrument noun and the former a result noun. Weiss (2016) argues that, since the plural  $cael\bar{\iota}$  is masculine (peculiar for neuter noun like caelum), it was originally a dual referring to the twain  $*keh_2id$ - $(s)loih_1$  'divided parts', earth and sky.

catenus 'deep vessel, bowl, dish; cavity in rocks'

Pre-form: \*kh<sub>3</sub>-t- | PItal. \*katīno-

Comp.: \*kh<sub>3</sub>-t- | PGk. \*kotulo- | Gk. κοτύλη, κότυλος 'bowl, dish'

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: vessel

Pokorny (587), WH (I: 182), EM (105), DV (98)

Furnée (1972: 205 fn. 14), Giacomelli (1994: 40), EDG (763)

WH (I: 182) rightfully doubt the connections that Pokorny (587) suggests (e.g. CS kotbcb 'cell, nest' and Go.  $h\bar{e}pj\bar{o}$  'chamber') on semantic grounds. The only potential comparandum for Lat.  $cat\bar{n}nus$ , despite the reservations of EM (105),<sup>447</sup> is Gk. κοτύλη (also κότυλος) 'bowl, dish'. DV (98) and EDG (763) argue that the deviation in vocalism and the different suffix, along with the semantic category of vessel names, suggests that the two words might be independent loans from a third language.<sup>448</sup> But the correspondence of the vowels can be explained via a common pre-form \* $kh_3$ -t-. While Gk. -t0λη is often found attached to Pre-Greek lexemes (Furnée 1972: 205 fn. 14, EDG

<sup>447</sup> They also compare OE *heden* which, although it does seem to reconstruct to a similar \**kHt-en-*, does not mean 'cooking dish' but rather 'cloak, mantle' (cf. Kroonen 2013: 214).

<sup>&</sup>lt;sup>448</sup> Giacomelli (1994: 40) proposes considering the vocalic alternation the result of lower register variation in a population with widespread Greek-Latin diglossia, but this idea has been criticized by e.g. Ruijgh (1986).

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783), it does not necessarily grant Pre-Greek status to the root. Lat. *-īnus* is productive. Thus there is no positive evidence of a substrate origin for *catīnus*.

colus 'distaff'

Pre-form: \*ke/olh<sub>3</sub>- | PItal. \*kolo-

Comp.: \*klh<sub>3</sub>- | PGk. \*klō- | Gk. κλώθω 'to spin'

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: textiles

Pokorny (639-40), WH (I: 250), EM (134-5), DV (127)

Osthoff (1892: 302), Frisk (1960-72 I: 879), Chantraine (1968-80: 545), Schrijver (1991: 469), EWAia (I: 316), EDG (720)

Lat. colus 'distaff' is usually taken from the root \* $k^wel$ - 'to turn, spin' (Pokorny 639-40, Schrijver 1991: 469, EM 134-5, DV 127). WH (I: 250) crucially note that the distaff does not spin however. It is a staff on which the unspun fibers are kept, and drawn off while being twisted into thread by use of a drop spindle. (Note that the modern polysemy of to spin is secondary.) A better semantic match is Gk. κλώθω 'to spin' and derivatives (already Osthoff 1892: 302). 449 While EDG (720) suggests the Greek verb is Pre-Greek, he does not provide any arguments. The pair can be reconstructed to a root \* $kelh_3$ - (Lat. colus < \* $ke/olh_3$ -o-, Gk. κλώθω < \* $klh_3$ -C-), although it is unclear where else this root is attested. 450

cornus 'cornelian cherry tree'

Pre-form: \*kr-no- | PItal. \*korno-

Comp.: \*kṛ-no- | PGk. \*krano- | Gk. κράνεια, κράνον, etc. 'cornelian cherry

tree'

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: plant, tree; fruit

Pokorny (572-3), WH (I: 276-7), EM (143-4), DV (137)

Boisacq (1911-12: 57-9), CAD (K: 122), Furnée (1972: 346), Demiraj (1997: 393), Orel (1998: 472), EDG (677, 770), Rosoł (2013:), Beekes (2014: 32), Blažek (2014: 44), van Beek (2022: 285-6), van Sluis (fthc.)

Lat. *cornus* and Gk. κράνον can go back to the same pre-from  $*k_r$ -no-, though van Beek (2022: 285-6) notes that the Attic-Ionic outcome of \*r should in fact be αρ; a borrowing

<sup>449</sup> Often considered related to κάλαθος 'basket' (Frisk 1960-72 I: 879, WH I: 250), not all agree (Chantraine 1968-80: 545, EDG 720). It is semantically distant enough to keep separate.

<sup>&</sup>lt;sup>450</sup> Osthoff (1892: 302) had considered Skt. *kṛṇátti* 'to spin, draw fibers', but EWAia (I: 316) disagrees; the \**t* is part of the root.

from Epic Greek could account for the  $\rho\alpha$ , but is not very likely. From a similar formation can also be derived Lith. *Kìrnis* 'the divine protector of the cherry' (< \*kṛn-io-), but as onomastic evidence it is much less certain (DV 137, EDG 770). That the root is the same as in *cornū* 'horn' (cf. WH I: 276-7, EM 143-4) is unlikely, since the formation \*kṛ-n- already meant 'horn' in PIE (cf. Skt. śṛṅga- 'horn', DV 136). Boisacq (1911-12: 57-9) adduces κέρασος 'sweet/bird-cherry', suggesting that the intervocalic s is a borrowing from an Anatolian language or Thraco-Phrygian. EDG (677) agrees that it must be Anatolian or Pre-Greek (cf. Beekes 2014: 32 on the Pre-Greek nature of the suffix -ασο-), adding that the improved cherry seems to have originated in the area of the Pontos. We should remain cautious of assigning non-IE origin to a root based on the origin of a suffix; κέρ- could be the *e*-grade of the root behind *cornus* and κράνον. Alternatively, it represents a different lexeme entirely.

Furnée (1972: 346) compares Assyrian *karšu* 'sweet cherry', but the word does not exist (Rosoł 2013: 179). Blažek's (2014: 44) proposal of an intermediated loan from Akk. *kami/e/aššaru* 'pear tree, pear' (cf. CAD K: 121) requires formal changes a and semantic shift. In the end, the most secure comparanda, *cornus* and κράνον, might reconstruct to the same pre-form with little formal indication of a foreign origin.

#### corulus 'hazel tree'

Pre-form: \*kos-e/o/ul-o- | PItal. \*kose/o/ulo-

Comp.: \*kos-(V)l-o- | PCelt. \*koslo- | OIr., OW coll 'hazel'

\*kos-l-o- | PGm. \*hasla- | ON hasl, OHG hasal, etc. 'hazel'

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: plant, tree

Pokorny (616), WH (I: 280), EM (145), DV (138)

Schrijver (1995: 433), EIEC (260), Matasović (2009: 218), Kroonen (2013: 213),

Smoczyński (2018: 504)

Lat. corulus 'hazel' reconstructs to \*kosVlo-, as \*koslo- would yield \*\*cōlus. For Celtic, Schrijver (1995: 433) reconstructs \*koslo- to PIE \*kos-lo-, though Matasović (2009: 218) asserts that the Celtic forms could derived by syncope from \*kos-Vlo-. It does not seem likely that the Germanic forms can be from anything other than \*kos-lo- (Kroonen 2013: 213). Even if this is so, the pattern that emerges is an inherited ablauting *l*-stem.

Lith. *kasùlas* 'hunter's spear' has been compared (WH I: 280, Pokorny 616) with EIEC (260) noting the historic use of hazel for spears, spits, and poles. Because the Lithuanian form reconstructs to \**kosulo*- with suffix vocalism that is aberrant from the perspective of IE ablaut, non-IE origin has been suspected (EM 145, DV 138). But Smoczyński

<sup>&</sup>lt;sup>451</sup> Alb. *thánë* 'cornelian cherry' has been compared but it is difficult to make it work formally and several alternative etymologies exist (cf. Demiraj 1997: 393 with lit., Orel 1998: 472 with lit.).

(2018: 504) shows that the Lithuanian word is a deverbal derivative of *kàsti* 'to hew wood with an axe', with a suffix *-ul-* like that of *krātulas* 'sieve' < *kratýti* 'to shake, make litter'. Thus it is unrelated, and all comparanda of *corulus* can be reconstructed to a root with vocalic alternation within the realm of IE ablaut.

crātis 'construction of wickerwork, hurdle'

Pre-form: \*kr(e)h(2)-ti-| PItal.  $*kr\bar{a}ti-$ 

Comp.: \*kr(H)-ti- | PGm. \*hurdi- | Go. haurds '(lattice) door', ON hurð 'door', OHG hurt, hurd 'hurdle, grate, railing', etc.

?\*korH-to- | OPr. corto 'heyn'

?\*korh<sub>1</sub>-et- | PCelt. \*koret- | MIr. cora, 'palisade, wall', MW cored 'weir, dam', etc.

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: tool

Pokorny (584-5), WH (I: 285-6), EM (147-8), DV (141)

Frankel (1962 I: 178), Puhvel (IV: 277-9), Schrijver (1991: 176, 191), EWAia (I: 290),

Matasović (2009: 216, 225, 228), EDG (808), Kroonen (2013: 258)

WH (I: 285) place *crātis* 'wickerwork, hurdle' under *crassus* 'thick, fat', but DV (141) dismisses the connection on semantic grounds.<sup>452</sup> Much better is the connection with semantically close PGm. \*hurdi- 'wickerwork door'. The Germanic forms do not require the presence of a laryngeal (cf. Schrijver 1991: 176), so they could derive from \*krt- to \*kert- 'to turn, twist' (cf. Skt. *crtáti* 'to bind, attach', *kṛṇátti* 'to twist').<sup>453</sup> But the semantic relationship between PGm. \*hurdi- and Lat. *crātis* is good enough that it warrants disconnecting the Germanic root from \*kert- and instead reconstructing for it and Lat. *crātis* a pre-form \*krH-ti- (DV 141, Kroonen 2013: 258). That the vocalism can be explained with laryngeals makes a non-IE origin unlikely.

Amongst the numerous comparanda proposed (cf. WH I: 285-6 with lit.), OPr. *corto* 'heyn' could work formally (cf. also EM 148) < \*korH-to-. Matasović (2009: 216) compares PCelt. \*koret- 'palisade, wall', for which \*korh<sub>1</sub>-et- seems possible. Neither need be related, given the semantic differences. In the end, Italic and Germanic have the

<sup>&</sup>lt;sup>452</sup> There are formal difficulties as well. *Crātis* points to \**krHt-i-* while *crassus* points to \**krHt-to-*. The latter form might be expected to yield \*\**crāsus*, though Schrijver (1991: 191) has proposed \**CRHTC* > *CRaTC*.

<sup>&</sup>lt;sup>453</sup> From this root has also been derived Skt. *káṭa*- 'woven mat', though EWAia (I: 290) notes it requires Middle Indic developments to be from \*kṛta-. Puhvel (IV: 277-9) connects Hitt. kurtal(l)(i)- 'crate, hamper, basket', assuming it meant originally 'wicker crate'. He also mentions Gk. κυρτία 'wicker shield'. But as EDG (808) notes, there are semantic problems with the connections and there is no way to connect all of these forms in an inherited way, as Puhvel does in hopes of seeing an inherited PIE word for wickerwork.

closest semantic match. Despite some suspicions of non-IE origin (DV 141, Matasović 2009: 216), there are no indications of a substrate origin for Italo-Germanic \*krH-ti- beyond its limited distribution.

crēta 'fine white clay'

Pre-form:  $*k^w reh_1 - ie/ot - | PItal. *kr\bar{e}t - / *kr\bar{e}jVt - | PItal. *kret - / *kret - /$ 

Comp.: \*k\*reh1-ie/ot- | PCelt. \*k\*rīyet- | OIr. cré, W pridd, etc. 'mud, clay'

?\*kwreh<sub>1</sub>- | Toch. B \*kw(ä)riye, ?Toch. A tukri 'clay'

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: geography; ceramics

WH (I: 290-1), EM (150), DV (144)

Pedersen (1909-13 I: 68), Pinault (2000: 105-8), Mallory and Adams (2006: 121), Matasović (2009: 182), Adams (2013: s.v. kwraiññe)

WH (I: 290-1) give two possibilities for the etymology of Lat. *crēta* 'fine white clay'. Firstly, it could be from the PPP of *cernō* in the sense *terra crēta* 'sifted earth'. DV (144) calls this semantically uncompelling, as clay is not sifted; but in fact it often is to ensure finer particle sizes. In any case, it is more attractive to follow Pedersen (1909-13 I: 68) in comparing several Celtic words for mud/clay. The Latin and Celtic forms can be reconstructed to the same pre-form \*k\*rehi-i-e/ot-, albeit with unclear morphology (DV 144). This common pre-from, despite its limitation to Italo-Celtic and its technical semantics (DV 144, Matasović 2009: 182), does not provide any phonological indications of a non-IE origin.

Positive evidence for inheritance may come in the form of Toch. B  $*kw(\ddot{a})riye$  (based on the adj.  $kwrai\tilde{n}\tilde{n}e$  'pertaining to clay'). Mallory and Adams (2006: 121, also Adams 2013 s.v.  $kwrai\tilde{n}\tilde{n}e$ ) reconstruct  $*tk^wreh_iyot$ - for the Latin and Celtic forms (assuming the 'thorn cluster' would resolve to k in a triconsonantal cluster) as well as Toch. B  $*kw(\ddot{a})riye$  and Toch. A tukri 'clay'. Pinault (2000: 106) shows that there is no trace of the dental in the Tocharian, and that its \*i element can be secondary. While Matasović (2009: 182) suggests that Italic, Celtic, and Tocharian might at least share the same root  $*k^wreh_i$ -, Pinault (2000: 107) prefers comparing the Tocharian to a different group of words (OIr. coire, OHG \*(h)wer, Skt. carii- 'cauldron). The appurtenance of the Tocharian forms thus remains questionable.

dolium 'large earthenware vessel'

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Pre-form: *deh<sub>3</sub>l- / *doHl- / *dōl- | PItal. *dōlijo-
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Comp.: ?\* dl- / \*dol- | PItal. \*dol- | Lat. dolāre 'to hew wood, shape/fashion'

\* del- | PCelt. \* delwā- | OIr. delb, OW delu etc. 'form, appearance, image'

\*d[- | PSlav. \*dь/y- | MBulg., RuCS dьly '(clay) cask', etc. □ Irreg. correspondences □ Remarkable phonotactics Semantics: vessel

Pokorny (194-6), WH (I: 364), EM (181), DV (176)

Schrijver (191: 123), Derksen (2007: 134), Matasović (2009: 95), LIV (s.v. \*delh<sub>i</sub>-)

The comparanda of Lat. do lium are not entirely secure. WH (I: 364 with lit.) derive Lat. dōlium from a root \*del-, also behind Lat. dolāre 'to hew wood, shape/fashion', PCelt \*delwa- 'form; appearance, image', and PSlav. \*dely 'cask'. EM (181) and Schrijver (1991: 123) are suspicious of the link with *dolāre* on semantic grounds seeing as it refers to woodwork, not ceramics. The semantic change is not so problematic if the meaning 'to shape/fashion' is original. But it may be unrelated, instead belonging to \*delh<sub>1</sub>- (cf. LIV2 s.v. \* delh<sub>1</sub>-). Matasović (2009: 95) connects the Celtic, Slavic, and Lat. dolāre without dō lium, and despite Derksen (2007: 134) considering the semantics of the Celtic material to be too far from the Slavic, EM (181) note that several Slavic forms (RuCS delva, del<sub>b</sub>v<sub>b</sub> 'cask', Bulg. délva 'big jug with handles') have a \*w element reminiscent of that in PCelt. \* delwā-.

The semantic field of ceramics has led to the suspicion of a non-IE loanword (EM 181, Schrijver 1991: 123, DV 176). But regardless of which comparanda belong to dollum, none of the forms requires vocalism outside of unusual but not unattested  $*\bar{o} \sim * \emptyset$  ablaut.

feles 'small carnivore, perhaps marten'

Pre-form: \**bheH-I-* / \**bhēI-* | PItal. \**fēI-*

\*b\hl-I- | \*b\el-e | PCelt. \*bal- | W bele 'wolf; marten, weasel; predatory Comp.:

beast'

□ Remarkable phonotactics □ Irreg. correspondences

Semantics: animal, wild

Pokorny (118-20), WH (I: 474), EM (223-4), DV (209)

Johansson (1890a: 351), Pedersen (1909-13 I: 98), Kluge & Seebold (1989: 84), Schrijver (1991: 375), Schrijver (1995: 123), Matasović (2009: 187), GPC (s.v. belau. bele, bela, bala, bali)

Earlier editions of Kluge's etymological dictionary compared OHG bilih 'doormouse' and W bele 'wolf; marten' (cf. Johansson 1890a: 351), though the Germanic word was later asserted to be a borrowing from Slavic (see now Kluge & Seebold 1989: 84). 454 The semantics were never a good match to begin with, and Johansson (1890a: 351) instead

<sup>454</sup> Originally, PSlav. \*pъlxъ- 'mouse' was thought to have been borrowed from Germanic. But because they seem to relate to Baltic mouse words like Lith, pele from \*pelH- 'gray' (cf. Smoczyński 2018: 937), the relationship is now understood to have gone in the other direction.

connected Lat. fēlēs to W bele, a comparison still generally supported (WH I: 474, DV 209). The old connection with OHG bilih however shaped Pedersen's (1909-13 I: 98) reconstruction of W bele as \*b(h)eleg(h)-, which remains in circulation (WH I: 474, Schrijver 1991: 375, DV 209). But the GPC (s.v. belau, bele, bela, bala, bali) suggests its original inflectional pattern was sg. belau, pl. balawon (Paulus van Sluis, p.c.), making it similar to cenau, canawon 'whelp' and thus continuing an original u-stem of PCelt. \*bal- (cf. Schrijver 1995: 123). Assuming that the a-vocalism in Celtic is original, the Latin and Celtic pre-forms could precariously be linked via a root with a laryngeal; though a root shape \*bheHl- is suspicious. Thus the I might be a suffix, though this makes the further derivation within Celtic difficult. Otherwise, PCelt. \*balawon- can be the result of Joseph's Rule < \*bel- (cf. Matasović 2009: 187) such that both Latin and Celtic attest to a root \*bhel-, with Latin preserving a lengthened grade (cf. Johansson 1890a: 351).

The traditional link between reconstructed \* $b^iel$ - and the root \* $b^iel$ - 'to shine' is semantically tenuous and was already doubted by Pokorny (118-20). However, his own suggestion that  $f\bar{e}l\bar{e}s$  and  $m\bar{e}les$  'badger' were related and borrowed from an Alpine substrate language (followed by WH I: 474,<sup>455</sup> EM 224) is not convincing either. Despite the occurrence of such \* $b^i$  ~ \*m alternations in other loans, there is no semantic reason to assume one here.

follis 'bag, sack; ball, testicles'

Pre-form:  $*b^h(o)I-n-|$  PItal. \*folli-

Comp.:  $*b^hl-n- \mid PGk. *p^hallo- \mid Gk. \varphi\alpha\lambda\lambda\delta\varsigma 'penis'$ 

??\**bl-n-* | PGk. \**balla-* | Gk. βαλλάντιον 'purse', var. βαλάντιον

\* bhol-n- | PGm. \* ballan- | ON bollr, OHG ballo, bal, etc. 'ball'

\*b(h)[-n- | PCelt. \*ballo- | Olr. ball 'penis', W balleg 'sack'

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: body part

Pokorny (120-2), WH (I: 524), EM (244), DV (230)

Matasović (2009: 53), EDG (196, 197, 1550), Kroonen (2013: 50), van Beek (fthc.)

While the semantic match between 'sack' and 'penis' is not perfect, all comparanda can remarkably be reconstructed to an n-stem of a root \* $b^hel$ -, otherwise unattested<sup>456</sup> but perhaps with the meaning 'to swell' (WH I: 524 with lit., EM 244 [who consider the geminate expressive], Matasović 2009: 53, Kroonen 2013: 50). The only aberrant forms

<sup>&</sup>lt;sup>455</sup> WH (I: 474) suggest that the source language had a nasalized labial spirant, showing that they think this was a non-IE language (though they alternatively point to a discussion on a Ligurian  $m \sim v$  alternation in Kretschmer [1905: 114]).

<sup>&</sup>lt;sup>456</sup> WH (I: 524 with lit.) and EM (244) suggest \* $b^h el \acute{g}^h$ - (LIV2 s.v.) is an extension with \* $\acute{g}^h$ .

are Greek. EDG (196) compares *follis* to Gk. βαλλάντιον 'purse' with the variant βαλάντιον suggesting a Pre-Greek origin. The semantic match is admittedly better. But since Gk.  $\varphi \alpha \lambda \lambda \delta \zeta$  also attests to variants with single  $\lambda$  and initial  $\beta$  (EDG 197, 1550), in light of the agreement of all other forms, we could be dealing with taboo deformation or reflexes of another language in Greek (cf. EDG 197 on the latter).

An even more promising comparison is by van Beek (fthc.),<sup>457</sup> who compares Lat. *follis* to several Germanic forms  $< *b^hol\acute{g}^h-i$ - (Go. *balgs* 'skin bag', ON *belgr* 'skin; bellows', etc.) and Celtic forms  $< *b^hol\acute{g}^h-o$ - (OIr. *bolg*, *o*-stem, 'bag; belly; bellows', etc.) and  $*b^hol\acute{g}^h-\acute{e}h_2$ - (OIr. *bolg*,  $\bar{a}$ -stem, 'blister; ball; pouch'). It relies on the argument that  $*h_7 > ll$  in Latin, such that Lat. *follis* would reconstruct to  $*b^hol\acute{g}^h-i$ - like the Germanic forms.

In any case, Lat. follis can be furnished with a compelling IE etymology.

### frāga 'strawberries'

Pre-form: \*d\(^rHg\)-o- | PItal. \*\(pr\bar{a}go\)-

Comp.: \*d'rHģ-o- | PAbl. \*drað- | Alb. dredhë 'strawberry'

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, fruit

WH (I: 540), EM (251), DV (239)

Schrijver (1991: 177), Demiraj (1997: 144)

EM (251) link Lat.  $fr\bar{a}ga$  through \* $sr\bar{a}g$ - to Gk.  $\dot{\rho}\alpha\xi/\rho\dot{\omega}\xi$  'grape' as a word from a Mediterranean language, followed in large part by Schrijver (1991: 177). Instead,  $fr\bar{a}ga$  goes back to the same pre-form \* $dr_TH\dot{g}$ -o- as Alb.  $dredh\ddot{e}$  'strawberry' (Demiraj 1997: 144 with lit.). There is nothing non-IE about them except for their restricted distribution.

frutex 'shrub, bush; shoot'

Pre-form:  $*b^h ru$ -t- | PItal.  $*flpl\chi^w utek$ -

Comp.: ?\* b'ru-t- | PCelt. \*bruto- | MIr. broth 'awn, ear'

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, wild or domesticated

Pokorny (169), WH (I: 554), EM (257), DV (245)

LEIA (B-98), Kroonen (2013: 76)

WH (I: 554 with lit.) support deriving Lat. frutex via \*b'ru-to- from a root \*b'reu-, to

<sup>457</sup> "Latin follis, vello and ille as evidence for a sound change \*ly, \*lh > ll' to appear in Glotta.

<sup>&</sup>lt;sup>458</sup> East Gheg has *drathe*, which leads Demiraj to suggest that \**draδ*- has undergone umlaut from the plural \**draδi*.

which other branches would attest a suffix \*-d-: PGm. \*breutan- 'to break open, bud' and MIr. broth 'awn, ear'). But Kroonen (2013: 76) suggests \*breutan- might be backformed to an iterative \*brut(t)ōn- 'to bud' < \*b'\textit{r}d-n\u00e9h\_2- (cf. Lat. fr\u00f6ns. s.v.). Thus the \*d is part of the root.\u00e459 MIr. broth can reconstruct to \*b'\textit{ru-to-} (LEIA B-98, DV 245), thus Lat. frutex could go back to an Italo-Celtic \*b'\textit{ru-to-} to which Latin later added the suffix -ex. (This prevents having to reconstruct frutex to an invalid \*D'\u00e4T root structure.) While DV (245) finds PIE origin of frutex uncertain, there does not seem to be morphophonological evidence to reject it.

iuncus 'reed, rush'

Pre-form: \*(H)jojn-i-ko- | PItal. \*yoiniko-

Comp.: \*(H)ioin-i- | PCelt. \*yoini- | OIr. ain 'reed, rushes'

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: plant, wild

Pokorny (513), WH (I: 729-31), EM (328), DV (313)

Brüch (1922: 224-232), Wagner (1960-4 I: 489), Matasović (2009: 437), Kroonen (2013: 12)

Lat. *iuncus* 'reed, rush' is close in form and meaning to OIr. ain 'reed, rush'. Both can be reconstructed to \*Hioini-, with the expected \*\* $\bar{u}$  in Latin (resulting from the monophthongization of \*oi in an initial syllable) being shortened by Osthoff's law. Latin has added a \*-ko- suffix (Pokorny 513, WH I: 729-30, DV 313, Matasović 2009: 437).

Lat. *iuncus* is often presumed to be related to the etymologically obscure *iūniperus* 'juniper' (WH 730-1), leading to comparisons with the Germanic juniper word \*ainja-. There is chance that the Germanic material reconstructs to a pre-form like \*Hjojn-i-. Semantically however, the comparison between the reed and juniper words is problematic, relying on the idea that both reeds and juniper branches are used for weaving (cf. WH I: 731). Thus, it seems best to keep the Latin words separate from the comparanda beyond Celtic. Despite the restricted distribution (DV 313, Matasović 2009: 437), there are no formal indications of a non-IE origin.

*lībra* 'scale, pound'

Pre-form:  $*liH-d^hro-|PItal.*l\bar{l}br\bar{a}$ 

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: economic; metallurgy WH (I: 795-6), EM (356), DV (339)

<sup>&</sup>lt;sup>459</sup> LIV2 however hesitantly reconstructs the formation at \*b<sup>b</sup>reuH-de-. A connection between this root and *frutex* is still ruled out unless the latter has been shortened from \*frūto-, which is unlikely (DV 245).

Schulze (1895: 223), Walde (1910: 428), Niedermann (1918: 32), Güntert (1933: 20), Ribezzo (1934a: 91), Furnée (1972: 182), Szemerényi (1991 II: 655-672), Lejeune (1993: 2), Willi (2008: 22), EDG (867), Weiss (2021)

Lat.  $l\bar{t}bra$  is widely compared to Gk.  $λ\hat{t}\tau ρ\alpha$  'Sicilian coin'. They are often proposed to reflect a pre-form \*lipra- of Mediterranean substrate origin, either as independent loans (Niedermann 1918: 32 fn. from previous page, Güntert 1933:  $20)^{460}$  or with the Greek having been borrowed from an Italic pre-form (Schulze 1895: 223, Walde 1910: 428, DV 339). In a similar vein, the pair might be the result of a Sicel-Ausonian substrate (Ribezzo 1934a: 91, Szemerényi 1991 II: 655-672).

The solution is probably quite simple. The shape of the Latin word strongly suggests a PIE instrument noun suffix \*- $d^hro$ - (cf. DV 339, Weiss 2021). Given that the Greek word refers to a Sicilian coin, it is probably a loan from the Sicel cognate of Lat.  $l\bar{t}bra < liH-d^hreh_2$  (Weiss 2021, cf. Lejeune 1993: 2), since \* $d^h > d$  with devoicing before sonorant consonants is probably regular in Sicel (Willi 2008: 22). The PIE root from which this Italic formation derives is not clear (cf. WH I: 796 with lit.; most recently Weiss 2021 argues for a root \*lejH- 'to pour'), but nothing so far requires the rejection of inherited origin.

mālus 'pole, mast'

Pre-form:  $*mh_2sd-o-? / *mh_2sd-lo-? | PItal. *mas(d)lo-$ 

Comp.: \*mh<sub>2</sub>sd-io- | PCelt. \*mazdyo- | MIr. maide 'post, stick, bundle, wood'

?\*mh2sd-lo- | PCelt. \*mazdlo- | MW meithlyon 'masts?'

\*mh2sd-o- | PGm. \*masta- | OE mæst 'mast', OHG mast 'stick, pole, mast'

■ Irreg. correspondences □ Remarkable phonotactics

Semantics: tool; maritime

Pokorny (701-2), WH (II: 19), EM (381), DV (361)

Bottiglioni (1943: 318), Adams (1985), Schrijver (1991: 167), Matasović (2009: 260),

Kroonen (2013: 357), Prospér (2019), Koch (2020: 88)

DV (361) and Matasović (2009: 260) suggest on the basis of geographic restriction and technical semantics that Lat. *mālus* 'pole, mast' and its comparanda may be non-IE loans, but otherwise there are several paths to reconstructing a common pre-form.

Lat.  $m\bar{a}lus$  is easily comparable to Germanic and Celtic<sup>461</sup> forms but for the  $d \sim l$  alternation this produces. We do not fully understand the "Sabine l" phenomenon by

 $<sup>^{460}</sup>$  EDG (867) follows Furnée (1972: 182) in further adducing Hsch. λιδρίον τρύβλιον 'cup'. But the semantic difference makes this unnecessary.

<sup>&</sup>lt;sup>461</sup> Kroonen (2013: 357) opposes adducing Lat. *mālus* to what he considers a Celto-Germanicism.

which inherited \*d becomes Lat.  $t^{162}$  so it is potentially risky to apply it as an explanation. Alternatively, Koch (2020: 88) reconstructs \*mazdlo- on the evidence that MW meithlyon 'masts?' similarly preserves a \*lo-derivation. The meaning of the Welsh word is not certain, nor do we have comparanda for the outcome of \*-zdl- in Italic, but it does not seem impossible. Otherwise, contamination with  $p\bar{a}lus$  'pole' has been suggested (Bottiglioni 1943: 318, EM 381).

Schrijver (1991: 167) favors a connection with  $m\bar{a}s$ , maris 'man' from an inherited root \* $meh_2$ -(o)s, \* $mh_2$ -(e)s-. As Adams (1985) argues, mas- in Latin masturbari might mean 'penis'. Thus  $m\bar{a}s$  'man' would be a metaphorical extension of the meaning 'penis', as would  $m\bar{a}lus$ . With or without the root etymology, a common pre-form is probably reconstructible for Italic, Celtic, and Germanic.

## milium 'millet'

Pre-form: \*mel(H)- | PItal. \*me/ilio-

Comp.: \*mel(H)- | PGk. \*melinā- | Gk. μελίνη 'millet, esp. foxtail millet'

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: plant, domestic

Pokorny (716-19), WH (II: 87-8), EM (403), DV (379)

Nieminen (1956: 167-8), Furnée (1972: 246), Leumann (1977: 101), Witczak (2003: 78), EDG (926), Meiser (2010: 81), Kroonen et al. (2022: 24)

Lat. milium can derive from earlier \*melium via i-mutation (Leumann 1977: 101, Meiser 2010: 81), so it matches the root of Gk.  $\mu\epsilon\lambda i\nu\eta$  'millet'. Lith. málnos 'floating sweetgrass' has been compared (Pokorny 716-19, WH II: 87-8, EM 403, DV 379), but is rather a loan from Polish manna 'floating sweetgrass' with a dissimilation of the geminate (Nieminen 1956: 167-8). Kroonen et al. (2022: 24) point out that the Latin and Greek, despite the same meaning, do not reconstruct to the same inherited formation, and are thus at best independent formations to the same root. That root might be \* $melh_2$ - 'to grind', though millet certainly does not seem to be the grinding grain par excellence. Alternatively, it could be related to Gk.  $\mu\epsilon\lambda\alpha\varsigma$  'black' (cf. Skt.  $\dot{s}y\bar{a}m\dot{a}ka$ - 'type of millet' to  $\dot{s}y\bar{a}m\dot{a}$ - 'black', Witczak 2003: 78).

Furnée (1972: 246) suggested that ἔλυμος 'millet' and Hsch. ἐλίμαρ· κέγχρῷ ὅμοιον [ἐλινή] ἢ μελίνη ὑπὸ Λακώνων 'proso millet or foxtail millet among the Laconians' continue \*Fελ- and thus attest to an  $m \sim w$  alternation, but EDG (926) rightly calls this too far-fetched. In the end, even if a fitting IE root cannot be identified as the source, there are no irregular alternations between the Latin and Greek forms that point to a non-IE root.

 $<sup>^{462}</sup>$  Prospér (2019) proposes that it at least occurred with initial \*da-, and \*masdo- does not fit this phonetic environment.

#### olor 'swan'

Pre-form: \*h<sub>l</sub>el- | PItal. \*elõr-

Comp.: \*h<sub>1</sub>el- | PCelt. \*e/V- | OIr. e/u, MW alarch, OCo. elerhc, etc. 'swan'

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: animal, wild; aquatic

Pokorny (302-4), WH (II: 207), EM (461), DV (427)

Schrijver (1991: 37), Derksen (2000: 84), Derksen (2007: 365), Matasović (2009: 114),

EDG (404), Kroonen (2013: 20), Jakob (fthc.)

Latin and Celtic words for 'swan' can reconstruct to derivations from the same e-grade<sup>463</sup> root \* $h_lel$ - (Schrijver 1991: 37, DV 427, Matasović 2009: 114), restricted to Italo-Celtic.<sup>464</sup> Nothing else about the pair prohibits inherited origin.

Semantically attractive are comparisons to Slavic and Germanic words for 'swan', but they are actually formally difficult. Traditionally reconstructed as \*h<sub>2</sub>elb<sup>h</sup>- 'white' with a suffix, this is precluded by the Slavic accentuation (Derksen 2007: 365, DV 32, Kroonen 2013: 20). In fact, the Slavic forms more accurately reconstruct to \*lebed<sub>b</sub> and \*albod<sub>b</sub> (Jakob fthc. with lit.) and Germanic to \*albut- (Kroonen 2013: 20), similar to the second of the two Slavic forms but without the nasal element. Derksen (2000: 84) proposes it is an example of a-prefixation. In the end, this leaves little similarity between them and the Italo-Celtic swan words; thus they are best kept separate (cf. Kroonen 2013: 20).

#### ornus 'ash tree'

Pre-form: \*Hh<sub>3</sub>-es- | PItal. \*osVno-

\* Hh<sub>3</sub>-es- | PCelt. \*osno- | OIr. uinnius, MW onn, etc. 'ash-tree' Comp.:

\* Hh<sub>3</sub>-es-k- | Arm. hac<sup>c</sup>i 'ash-tree'

\* Hh3-es-ko- | PGm. \*aska- | ON askr, OE æsc, etc. 'ash-tree'

\*Heh<sub>3</sub>-s-| PBalt. \*o?s-io-| OPr. woasis, Lith. úosis, etc. 'ash-tree'

\*Heh<sub>3</sub>-s-| PSlav. \*o?s-en-| Ru. jásen', Cz. jasan, etc. 'ash-tree'

?\* Hh3-es-k- | PAIb. \* osk- | Alb. ah 'beech'

?\* Hh3-es-k- | PGk. \* oks- | Gk. ὀξύα 'beech'

☐ Irreg. correspondences □ Remarkable phonotactics

<sup>&</sup>lt;sup>463</sup> Though Lat. *olor* can theoretically represent an *o*-grade (DV 427).

<sup>&</sup>lt;sup>464</sup> The appurtenance of Gk. ἐλέα 'singing bird, perhaps reed warbler' is doubtful, in part because of its semantic remoteness and in part because of variants like ἔλεια and ἔλαιος that make a reconstruction difficult within Greek (EDG 404).

Semantics: plant, tree

Pokorny (782), WH (II: 223), EM (469), DV (435)

Schrijver (1991: 77-8), Derksen (2007: 29), Martirosyan (2009: 399, 641), Matasović

(2009: 300), EDG (1088), Kroonen (2013: 38)

There are indications that ornus and its comparanda are not inherited, for example the *n*-suffix of the Celtic forms (see §3.3.4). But beyond the suffixes, though not all agree (cf. Matasović 2009: 300, EDG 1088), the reconstructible alternation \*Heh<sub>3</sub>-s-, \* Hh<sub>3</sub>-es- looks remarkably like an inherited s-stem (Schrijver 1991: 77-8, Derksen 2007: 29, DV 435, Martirosyan 2009: 399, Kroonen 2013: 38). If it is of non-IE origin, there are no irregular alternations that show it.

For Gk. ὀξύα, we might have to assume metathesis, which in ascia and viscum was a sign of non-IE origin. However there are potential explanations for this. Despite EDG's (1088) disagreement, that ὀξύα can also mean 'spear' makes it possible that this lexeme was contaminated by ὀξύς 'sharp'. On the other hand, its different meaning might show that it is unrelated (cf. Martirosyan 2009: 641).

#### salix 'willow'

Pre-form: \*sIH-ik- | \*sh<sub>2</sub>el-ik- | PItal. \*salik-

\*sIH-ik- | \*sh<sub>2</sub>el-ik- | PCelt. \*salik- | OIr. sail, MW helyg, etc. 'willow' Comp.:

> \*solH-ik- / \*sh<sub>2</sub>el-ik- | PGm. \*salihōn- | ON selja, OHG salaha, etc. 'willow'

> \* selH-ik- | PGk. \* helikā- | Myc. e-ri-ka, 465 Arcad. hελικης 'willow'

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, tree

Pokorny (782), WH (II: 223), EM (469), DV (435)

Frisk (1960-72 I: 494), Chantraine (1968-80: 338), Friedrich (1970: 53-7), Schrijver (1991: 77-8), Derksen (2007: 29), Martirosyan (2009: 399, 641), Matasović (2009: 300), EDG (1088), Kroonen (2013: 38)

Methodologically, there is little to reject an Indo-European origin for this word beyond its distribution and its arboreal semantics. Italic and Celtic reconstruct to the same proto-form \*salik-. If from a zero-grade formation \*siH-ik-, PGm. \*salihōn- could be from a full o-grade \*solH-ik- (cf. EM 591). Though it is often suggested that the Germanic forms do not all attest to an ivowel in the suffix (WH II: 469, Schrijver 1991: 103, EM 591), with DV (536) pointing to the \*-ik ~ \*-k alternation as a non-IE feature,

<sup>&</sup>lt;sup>465</sup> We should perhaps be cautious of this form however. Myc. e-ri-ka is a descriptor of wheels (Chadwick & Baumbach 1963: 190), so the assumption would be that they are wheels of willow wood.

Kroonen (2013: 424) reconstructs \*-ik- for all Germanic forms. 466

While Kroonen (2013: 424) suggests that the Mycenaean form < PGk. \*helik- attests to the irregular vocalic alternation \*selik- ~ \*salik-, the Greek could simply be from the full e-grade \*selH-ik- of the root in question (Matasović 2009: 319). The Greek evidence is complicated due to the appearance of Boeot. Fελικών (in Korinna), a hill otherwise called Έλικών and understood as 'willow-mountain' akin to the Viminal Hill in Rome. DV (536) notes that it is a toponym, and thus does not certainly contain the same word. If they do represent the same word, then EDG (410) removes them from comparison. 467

Despite some suspicion of non-IE origin (cf. DV 536, Matasović 2009: 319, Kroonen 2013: 424), an inherited origin cannot be rejected for this lexeme. This has important implications for the analysis of the -*ik* suffix (see §3.3.3).

scutra 'shallow dish, pan'

Pre-form: \*sku-treh<sub>2</sub>- | \*skutrā

Comp.: \*skeu- | \*skeuso-? | Gk. σκεῦος 'vessel, implement'

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: vessel

WH (II: 503), EM (606), DV (548)

Frisk (1960-72 II: 727), Matasović (2009: 342), EDG (1348)

WH (II: 503) compare Lat. *scutra* 'shallow dish, pan' and its diminutive *scutella* 'small shallow dish' (EM 606) to *scūtum* 'shield' based on the idea that they could both have been made of leather. But the length of the vowel is problematic, especially if *scūtum* is from \**skoj-to-* (cf. OIr. *sciath*, OCS *štitъ* 'shield', Lith. *skiētas* 'reed', etc.: WH II: 503, EM 607, Matasović 2009: 342, DV 548). DV (548) is further suspicious of the suffix *-ra* to derive *scutra* from *scūtum* and suggests a loanword. But it is formally and semantically attractive to compare Gk. σκεῦος 'vessel, implement', often held to be without good cognates (EDG 1348). The preservation of its diphthong is strange but might suggest original \*σκεῦσος (Frisk 1960-72 II: 727). Lat. *scutra* could be an instrument noun \**sku-treh*² from the same root \**skeu-*.

viola 'violet, stock (Matthiola spp.)'

Pre-form:  ${}^*u(H)i(i/H)-o|e|$ - | PItal.  ${}^*wiol\bar{a}$ -

<sup>&</sup>lt;sup>466</sup> Matasović (2009: 319) suggests that the Germanic word could be a prehistoric borrowing from Celtic, but there does not seem to be any compelling reason to assume this.

<sup>&</sup>lt;sup>467</sup> Boeot. Fελικών is suspiciously similar to \*wel-ik- (cf. Frisk 1960-72 I: 494), a willow word otherwise restricted to West Germanic (e.g. OE welig, Engl. willow, OS wilgia, etc.). Some (cf. Chantraine 1968-80: 338, Friedrich 1970: 53-7) have tried to connect both to the salix family by proposing an inherited \*swel-/\*sel-, but this is untenable (cf EDG 410). It does not solve the problem of the appurtenance of Germanic, as it would yield PGm. \*\*swel-ig-.

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Comp.:
              *ui-o- | PGk. *wio- | Gk. ĭov 'violet', Hsch. \gammai\alpha· ἄνθη (= *\Gammai\alpha) 'flowers'
□ Irreg. correspondences
                                         □ Remarkable phonotactics
Semantics: plant, wild; flower
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WH (II: 795), EM (738)

Vaniček (1881: 256), Meillet (1908: 162), Cuny (1910: 157), Walde (1910: 840), Schrijver (1991: 245), DV (677, 680), EDG (594, 605), Weiss (2020: 300)

Older etymological explanations relied on e.g. Pliny's description (Nat. Hist. 21.14) that violets were the premier flowers used in wreaths to derive their name as a diminutive of vieō 'to plait, weave' (Vaniček 1881: 256) < PIE \*ueih<sub>i</sub>-, \*ueh<sub>i</sub>-i- (LIV2 s.v. \*uieh<sub>i</sub>-, DV 677).<sup>468</sup> But this root, even in the zero-grade, does not seem to be able to produce the Gk. ĭov (\* $uih_i$ -o- > \*\* $\bar{i}on$ , \* $uh_ii$ -o- > \*\*eion). Nor would such a derived meaning from such an underived formation be likely. It seems that both Latin and Greek simply reconstruct to a root \*ui-, but since the root is otherwise unknown, the pair is widely considered to represent independent borrowings from a Mediterranean language (Meillet 1908: 162, WH II: 795, Biville I: 246, EM 738, EDG 594). While Walde (1910: 840) took the Latin as a diminutivized borrowing from Greek, Cuny (1910: 157) saw in viola the same suffix as in *īnsula* against Gk. (Doric) νᾶσος.

While a non-IE origin seems guite likely, there are no formal indications that the root \*ui- is non-IE. Nor does Latin need to have borrowed from Greek; if viola is a diminutive formation, it could have been produced within Latin.

# 2.4.2 Best Explained as Inherited

(Comparanda are listed in the header only when they too have been proposed to be of non-IE origin).

agna 'ear of grain'

\* $h_2e\dot{k}$ -(o)n- | PItal. \* $ak(o)n\bar{a}$ Pre-form:

\* $h_2e\dot{k}$ -on- | PGm. \* $ahan\bar{o}$ - ~ \* $agan\bar{o}$ - | Go. ahana, ON ggn 'chaff', etc. Comp.:

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: plant, domestic

Pokorny (18-22), WH (I: 22-3), EM (15), DV (29)

Thurneysen (1882), Furnée (1972: 362), Kroonen (2013: 5), EDG (184), Kroonen et al. (2022:23)

That Lat. agna 'ear of grain' did not undergo nasal metathesis (cf. Thurneysen 1882)<sup>469</sup>

<sup>468</sup> Schrijver (1991: 245) shows that Russ. vilá with final accentuation has not undergone Hirt's Law and thus suggests that the vowel preceded the laryngeal in a formation \*uHi-leh2-.

<sup>&</sup>lt;sup>469</sup> It only seems to occur sporadically with yelars however (cf. de Vaan 1999: 22). Lat. pangō 'to fix' in

suggests that it is from PItal. \* $akVn\bar{a}$ - (cf. Kroonen 2013: 5) from the same PIE formation (\* $h_2ek$ -on- $eh_2$  < \* $h_2ek$ - 'sharp') as the PGm. Verner variants \* $ahan\bar{o}$ - / \* $agan\bar{o}$ - 'chaff' (cf. most recently Kroonen et al. 2022: 23). 470 Gk. ἄχνη 'foam, froth; chaff' has similar semantics to the Germanic forms and could be from \* $h_2ek$ -s- $neh_2$ - (WH I: 22-3, DV 29). But ἄχνη is certainly related to Gk. ἄχυρον 'chaff' (Furnée 1972: 362, EDG 184), which reflects \* $g^h$ . Despite the semantic match between Greek and Germanic, the formal match between Italic and Germanic is so close that it cannot be ruled out that the Greek forms are unrelated and that agna represents an Italo-Germanic retention of an inherited or dialectal PIE formation.

## anguīlla 'eel'

Pre-form:  $*h_2eng^{wh}-\bar{i}n-leh_2 \mid PItal. *angu\bar{i}nl\bar{a}$ 

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: animal, wild; aquatic

Pokorny (43-5), WH (I: 48), EM (33), DV (42)

Hirt (1907/8: 65-8), REW (no. 461), Strodach (1933: 38), Corominas and Pascual (1984-91 I: 271-3), Katz (1998: 321-9), Driessen (2005: 42-3), Derksen (2007: 386), EDG (372)

EDG (372) notes that Lat.  $angu\bar{\imath}lla$ , Gk. ἔγχελυς, and Lith.  $ungur\tilde{\jmath}s$  'eel' do not reconstruct to a common PIE pre-form, pointing to non-IE origin. But since Lith.  $ungur\tilde{\jmath}s$  can be a reflex of inherited \* $h_2eng^{wh}$  'snake' with East Lithuanian \*an-en- (Derksen 2007: 286), it is attractive to derive  $angu\bar{\imath}lla$  from anguis 'snake' as well.

Its geminate ll means that it is not simply a diminutive. Katz (1998: 321-9) thus follows Hirt (1907/8: 65-8) in analyzing  $angu\bar{\imath}lla$  as a compound of anguis + the hapax  $\bar{\imath}lla$  'worm'  $<*\bar{\imath}elu-\bar{\imath}a$  (purportedly in PGm.  $*\bar{\imath}ela-$  'eel' as if from a root \*(H)elo-), mirrored in Gk.  $\check{\imath}\gamma\chi-\epsilon\lambda\nu\varsigma$ . Driessen (2005: 42-3) supports the analysis of a compound, but instead takes the Plautine variant  $angu\bar{\imath}la$  as primary, '12 interpreting  $\bar{\imath}lla$  as a diminutive of  $*\bar{\imath}l\bar{\imath}a < *h_1i-h_1l-eh_1$  (with PGm.  $*\bar{\imath}ela- < *h_1e-h_1l-o-$ ). Neither of these proposals is certain. Instead,  $angu\bar{\imath}lla$  could reflect  $*angu\bar{\imath}n-l\bar{\imath}a$  to  $angu\bar{\imath}nus$  'pertaining to a snake' (Strodach 1933: 38, DV 42). No element of  $angu\bar{\imath}lla$  need be of non-IE origin. Gk.  $\check{\imath}\gamma\gamma\varepsilon\lambda\nu\varsigma$  would

light of the stem type of Gk. πήγνυμι 'to fasten' could reflect metathesis from original \*g-n-. But it has not occurred in e.g. Lat. dignus 'worthy' < \*de $\acute{k}$ -no-.

<sup>&</sup>lt;sup>470</sup> OPr. ackons 'awn' is startlingly similar (cf. Kroonen 2013: 5, Smoczyński 2018: 15). There are further Baltic relatives with different suffixes (cf. Lith. akúotas 'awn, fishbone, bristle, etc.). It is difficult but potentially not impossible for these to derive from  $*h_2ek$ .

<sup>&</sup>lt;sup>471</sup> His further connection of (in reversed order) Hitt. *Illuyankaš* 'mythical snake' is too far-fetched.

<sup>&</sup>lt;sup>472</sup> Sp. *anguila* and OPt. *anguia* 'eel' seem to descend from *anguīla* (REW no. 461), but could be borrowed from Catalan where \*-*īll-* > -*il-* (Sp. *anguila* replaced *anguilla* in the 17<sup>th</sup> c. but the Portuguese loan would have to be earlier, Corominas & Pascual 1984-91 I: 271-3). Katz (1988: 321-9) takes the Plautine *anguīla* as secondary, from the sporadic avoidance of an extra-heavy syllable.

thus be unrelated.

cōnīveō, -ēre 'to be tightly closed, to close (the eye)'

Pre-form: \*kom-sne/oig\*\*- | PItal. \*komsne/oix\*\*\bar{e}-

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: action

Pokorny (608), WH (I: 261), EM (137-8), DV (130)

Corssen (1863: 21), Sommer & Pfister (1977: 194, 196), Leumann (1977: 218), Meiser (1988: 70-1), Untermann (2000: 417), Kroonen (2013: 236), Weiss (2020: 130 fn 16), Kroonen, Wigman & Thorsø (2021)

The traditional explanation of Lat.  $c\bar{o}n\bar{v}e\bar{o}$  takes it from PIt. \* $kneig^{wh}$ - $\bar{e}$ - 'to blink, to draw together' along with U **kunikaz**, conegos [nom.sg.masc. PPP] 'kneeling?' and Germanic \* $hn\bar{v}an$ - ~ \* $hn\bar{v}gan$ - 'to bow (down)' (Corssen 1863: 21, Sommer & Pfister 1977: 194, 196, WH I: 261, Pokorny 608, Leumann 1977: 218, EM 137-8, DV 130, Kroonen 2013: 236). It is also however widely acknowledged that this would require the reconstruction of an invalid \* $TeD^h$  root structure, which DV (130) takes to suggest a loanword.

The Latin form can instead be derived from \*sneig\*\*\*. (Kroonen, Wigman & Thorsø 2021). If the original meaning of \*sneig\*\*\*. was 'to sink/fall down', then PIt. \*kom- in the sense 'together' added to a causative \*snoig\*\*\*.eie- 'to make fall' closely matches the meaning 'to close the eye' attested for  $c\bar{o}n\bar{\imath}\nu e\bar{o}$ . The outcome of \*oi in medial syllables is not fully resolved (cf. Weiss 2020: 130 fn 16), but  $p\bar{o}m\bar{e}rium < *post-moir-io-$  (since  $m\bar{u}rus$  is from \*moi-ro-) seems to show that non-initial \*oi >  $\bar{\imath}$  (lowered to  $\bar{e}$  before r) (Meiser 1988: 70-1). This proposal eliminates the potential Sabellic match. Untermann (2000: 417) shows that the exact meaning of the Umbrian words is unknown, the context being a ritual behavior performed by the sacrificing priest upon bringing the sacrificial cakes in the **skalçe**-vessel. Given that we do not know its meaning, it is a small cost to pay.

culleus 'leather sack'

Pre-form: \*kol-u-eio- | PItal. \*kolweyo-

Comp.: \*kol-eu- | PGk. \*kolewo- | Gk. κολεόν 'sheath of a sword'

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: textiles (leather)

Pokorny (553-4), WH (I: 303), EM (155), DV (250)

Meyer (1887: 163), Muller (1926: 108), Ernout (1946: 44), EDG (735)

The link between Lat. culleus and Gr. κολεόν/ς 'sheath of a sword' is widely agreed

upon, as is the idea that they are independent loans from a substrate language (non-IE according to DV: 150; Etruscan according to Ernout 1946: 44; Mediterranean according to WH I: 303, EM: 155, EDG: 735). WH (I: 303) would prefer a loan from Greek, but the geminate l in Latin seems to preclude it. They also rule out inherited cognancy between the forms. However, the two forms seem easy to link to PIE \*kel- 'cover' (cf. Muller 1926: 108). Greek κολεόν < \*κολεγόν < \*kol-e $\mu$ - can be a suffixal full-grade to \*kel- $\mu$ -, whence Italic could have produced a derivative \*kol- $\mu$ - $\mu$ - $\nu$ - colleus (cf. already Meyer 1887: 163). It requires us to make the small assumption that *culleus* is a non-urban form of \*colleus rather than the very large assumption that these forms must be borrowed from a non-IE language.

fūnis 'rope, cable'

Pre-from:  $*g^{wh}oiH-ni- | PItal. *\gamma^{w}oini-$ 

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: tool

Pokorny (272), WH (I: 567-8), EM (262), DV (220, 222, 250)

Bezzenberger and Fick (1881: 239), Thurneysen (1888: 351), Osthoff (1892: 303), Niedermann (1930: 7), Alessio (1944a: 108), Bertoldi (1948), Furnée (1972: 391), Bammesberger (1990), EDG (1438), Smoczyński (2018: 109)

Lat.  $f\bar{u}nis$  'rope' and  $f\bar{u}nis$  'boundary' have been linked as substrate words with an  $i \sim u$  alternation in comparison with Gk.  $\sigma\chi\tilde{o}ivo\zeta$  'rush, reed, rope of plaited rush; a land measure' (cf. Alessio 1944a: 108, Bertoldi 1948). The latter can be reconstructed to  ${}^*sg^hoiH$ - $no^{-473}$  whereas both Latin forms require  ${}^*g^{wh}$ . Rather than an irregular alternation however, the Greek word is probably unrelated. The semantically closer of the two Latin words, namely  $f\bar{u}nis$  'rope' (already rather distant if the primary Greek meaning is 'rush, reed'), has a convincing IE etymology.

Since the alternation between Lat.  $\bar{\imath}$  and  $\bar{u}$  can be reconstructed to two ablaut grades of a root containing a diphthong: \*ei and \*oi, some connect fīnis and fūnis as inherited forms (cf. Niedermann 1930: 7). But fūnis 'rope' on semantic grounds is more likely the o-grade to fīlum 'thread, line' < \*gwhiH- (cf. MW gieu 'sinew, nerves', Lith. gýsla 'vein, sinew', etc., EM 262, DV 22, 250 pace WH I: 498). 474 The etymology of Lat. fīnis 'boundary, limit, territory' is not completely clear, 475 but as no inscriptional forms attest

 $^{473}$  With the assumption of the de Saussure effect. It is considered Pre-Greek by Furnée (1972: 391) and EDG (1438) due to the Hesychian form κοίνα 'fence'.

 $<sup>^{474}</sup>$  Some alternatively compare it to Gk. θῶμιγξ 'cord, string; bowstring' (Pokorny 272, WH I: 567-8), potentially not of IE origin (EDG 569), but this does not work as well.

<sup>&</sup>lt;sup>475</sup> Proposals include \*fīg-snis to fīgō 'to drive in, implant' (WH I: 503 with lit., EM 237); derivation from \*b<sup>h</sup>iH- 'to hit' (Thurneysen 1888: 351, Osthoff 1892: 303, DV 222); from \*b<sup>h</sup>iH-n- otherwise attested in PGm. \*baina- 'bone, leg' (Bammesberger 1990); relationship to Lith. baígti 'to finish' (Bezzenberger & Fick 239), though the semantic development from 'to break' > 'to finish' is isolated to Baltic and potentially late (cf. Smoczyński 2018: 109).

to a diphthong, its  $\bar{i}$  is probably original (WH I: 503, Bammesberger 1990: 264). In any case, it is semantically distant enough to be unrelated to Gk.  $\sigma \chi \tilde{o} \tilde{i} v \sigma \chi \tilde{o} r \sigma \tilde{i} v \sigma \tilde{i} r \sigma \tilde{i} r$ 

grāmen, -inis 'grass'

Pre-form:  $*g^h r h_1$ -(s-)mn-| PItal.  $*gr\bar{a}smen$ - $/*gr\bar{a}men$ -| Irreg. correspondences  $\Box$  Remarkable phonotactics

Semantics: plant, wild

Pokorny (404), WH (I: 616-7), EM (280), DV (269)

Schrijver (1991: 487), Kroonen (2013: 187), van Beek (2022: 386-8)

A connection with Skt. *grásate* 'devours' and Gk. γράω 'to gnaw, eat' (Pokorny 404, EM 280; WH I: 616 are skeptical) is unlikely. The Sanskrit and Greek forms can reconstruct to \**grņs*- (van Beek 2022: 386-8), which would probably give PItal. \**grens*(-*men*-) > Lat. \*\**grēmen*.  $^{476}$  It is also semantically very weak.

Otherwise the closest match for  $gr\bar{a}men$  is PGm. \*grasa- 'grass', though the Germanic cannot reconstruct to \* $g^hrh_1s$ - like Latin as this would yield \*\*gurs- (pace Schrijver 1991: 487). DV (269) therefore suspects a substrate origin. Kroonen (2013: 187) explains the Germanic form as a secondary s-stem to the verb \* $gr\bar{o}an$ - 'to grow' < \* $g^hr\bar{o}h_1$ -e- in the way that the s-stem \*glasa- ~ \*glasa- 'glass' was formed from \* $gl\bar{o}an$ - 'to glow'. Instead of demonstrating an irregular correspondence, its seems Lat.  $gr\bar{a}men$  and PGm. \*grasa- are two independent treatments of the same IE root.

*mūrex* 'the purple-fish (a mollusk used to make purple dye)'

Pre-form: \*muh<sub>2</sub>s-| PItal. \*mūsVk-

Comp.: \*muh<sub>2</sub>s- | PGk. \*mūsak- | Gk. μύαξ 'sea mussel'

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: animal, wild; aquatic; economic

Pokorny (752-3), WH (II: 129), EM (422-3), DV (395) EDG (973)

EM (422-3) and DV (395) consider the correspondence between Lat.  $m\bar{u}rex$  and Gk.  $μ\dot{v}αξ$  to point to a substrate word. However, WH (II: 129 with lit.) and EDG (973) take both words as built on PIE \* $muh_2s$ - 'mouse'. Despite the -αξ suffix being often found in non-native words (EDG 973), Lat. musculus 'little mouse', 'muscle', and 'mussel' and

 $<sup>^{476}</sup>$  Even if there is a change \*CCCC > \*CaCCC in Latin, this does not occur in forms containing a syllabic nasal (Schrijver 1991: 496).

 $<sup>^{477}</sup>$  It is tempting to adduce *herba* as a full-grade form of the  $*g^h_r h_{l^-}$  root behind  $gr\bar{a}men$ , thereby bolstering the vegetable semantics of the root, but Germanic seems to show that the root is  $*g^h_r eh_{l^-}$ , not  $*g^h_r eh_{l^-}$ .

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*mūs marīnus* 'salt-water fish, shellfish' show that there was a preexisting semantic connection between mice and shellfish (WH II: 129).

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pollen, -inis 'flour, powder'
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Pre-form: \*polH-uen- | PItal. \*polwen-

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: culinary

Pokorny (802), WH (II: 331-2), EM (519), DV (474, 498)

Schrijver (1991: 25-7), Nussbaum (1997: 197), Derksen (2007: 395), EDG (1220), Pronk

(2011:187)

DV (498) proposes a connection between Lat. pollen 'flour, powder' and Lat. puls, -tis 'porridge' via a Mediterranean loan or an otherwise unknown PIE root. But both of these can be derived from PIE \*pelH- 'to swing', despite some semantic concerns (Nussbaum 1997: 197, DV 474), which I think are not impossible to overcome in the context of agricultural processing. That the root ends in a laryngeal is guaranteed by the Balto-Slavic chaff words: Lith. pēlūs [nom.pl.], Ru. polóva, etc. < PBSl. \*pel?us, \*pel?ua? < \*pelH-u- (Derksen 2007: 395). Skt. palava- 'chaff' seems to represent an ablaut grade of this u-stem as \*pelH-óu-. From an o-grade of this u-stem was formed a heteroclitic \*ur/uen stem of which \*polH-uen-, having lost its laryngeal to the de Saussure effect, is preserved in Lat. pollen (cf. Nussbaum 1997: 197). Without the effect, the laryngeal could have been lost in the full-grade of an n-stem paradigm \*polH-en-~ \*polH-n-, producing \*polen ~ \*poln-. The latter would become pollis (an attested by-form), from which the geminate ll was generalized to the nominative, after which \*pollen, pollis > pollen, pollinis (Schrijver 1991: 25-7, DV 474, Pronk 2011: 187). Puls < \*polti-, if not a loan from Greek πόλτος, can be from \*polH-ti- (cf. WH II: 387-8 with lit., DV 498, EDG 1220).

#### $sarp(i)\bar{o}$ , -ere 'to prune'

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Pre-form: *sHrp- | PItal. *sarp-(j)e-

Comp.: *srp-eh₂ | PGk. *sarpā- | Gk. ἄρπη 'sickle'

*srp- | BSl. *sьrpь- | Latv. sirpis, OCS srъръ, Ru. serpъ 'sickle'

*sorp-nό- | PGm. *sarpa- | OHG sarf, etc. 'sharp, severe'

?*s(o)rp-o- | PAnat. *sarpa- | Hitt. sarpa- 'harrow'

□ Irreg. correspondences □ Remarkable phonotactics
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Semantics: action

Pokorny (911-12), WH (II: 480), EM (595), DV (540)

Puhvel (X: 149, 195), Schrijver (1991: 493), Karulis (1992 II: 187-8), Kloekhorst (2007

s.v. *šārr-*<sup>i</sup>), Matasović (2009: 330), EDG (138), van Beek (2022: 425-6), Kroonen et al. (2022: 17-18)

Gk. ἄρπη 'sickle' matches well formally and semantically with the reflexes of Balto-Slavic \* $s_brp_b$ - (Karulis 1992 II: 187-9), both of which can represent the zero-grade of a root \* $s_erp$ - (cf. van Beek 2022: 425-6). PGm. \* $s_arpa$ - 'severe, sharp' can be from an o-grade derivation \* $s_orp$ - $n\acute{o}$ - via Kluge's Law (Kroonen et al. 2022: 17-18). The a-vocalism of Lat.  $s_arp(i)\bar{o}$  is difficult to analyze. Schrijver (1991: 493) suggests it may have taken its a from  $s_arr\bar{i}re$  'to hoe, weed' or that the PPP \* $s_pr_{p}$ - $t_{p}$ - yielded a-vocalism in a cluster \* $c_pc_pc_p$ CCC. EDG (138) is suspicious and suspects a substrate word.

Puhvel (X: 149) compares Hitt. *sarr-*, *sar(r)a-*, *sarriya-* 'separate, sever, etc.', but Kloekhorst (2007: s.v. *šārr-*<sup>i</sup>) reconstructs an otherwise isolated *set-*root \**serh<sub>1</sub>-*. Puhvel (X: 195) further compares Hitt. *sarpa-* 'harrow' to the sickle words. The semantic distance is not small, but the comparison can be preserved if Hitt. *sarpa-* represents an independent derivation from the root behind Latin, Greek, Germanic, and Baltic \**serp-*, perhaps with the original meaning 'sharp' having been preserved only in Germanic. On the other hand, Germanic reconstructs with a derivational \**-no-* suffix, suggesting that 'sharp' is the derived rather than basal meaning.

If the problems with the Latin vocalism are solved in one of the aforementioned ways, then perhaps the European IE languages are descended from an inherited root \*serp- whose meaning shifted after the split of Anatolian. Its link to a verb \*ser- 'to cut' and thus relationship to the verb sarrīre is attractive given Skt. sṛṇt- 'sickle' (WH II: 480 with lit., EM 595, Schrijver 1991: 493) but remains uncertain.

testa 'earthenware vessel, tile, sherd, shell'

Pre-form: \*te(k)-s-teh<sub>2</sub>- | PItal. \*te(k)stā

Comp.: \*te-tk-to- / \*tek-s-to- | PIIr. \*taštā- | Av. tašta- 'bowl, cup'

☐ Irreg. correspondences ☐ Remarkable phonotactics

Semantics: vessel

Pokorny (1058-9), WH (II: 675-6), EM (688-9), DV (617, 619) LIV2 (s.v. \*tek-, \*tetk-), Meiser (2010: 96-7), Weiss (2020: 197)

Lat. *testa* 'earthenware vessel' may be built on the same root as *texō*, *-ere* 'to weave, construct' (WH II: 675-6, Pokorny 1058-9), via PItal. \**tekstā* (cf. \**Sekst-ijos* > *Sēstius* with secondary lengthening, Weiss 2020: 197).<sup>479</sup> DV (617) doubts the connection on

<sup>&</sup>lt;sup>478</sup> The independence of OIr. *serr*, OW *serr* 'sickle' < PCelt. \**serrā*- is unclear. Matasović (2009: 330) takes it from the full-grade \**serp*- but a borrowing from Latin cannot be ruled out (cf. recently Kroonen et al. 2022: 17-18).

<sup>&</sup>lt;sup>479</sup> DV (619) argues that the root of texō is \*tek- 'to build' via \*tek-s-. Others (Mesier 2010: 96-7, LIV2

semantic grounds, instead suspecting a loanword given the semantic field of vessels. But it does not seem inconceivable that a word for pottery could develop from a verb for 'to build/construct'. *Testa* is further compared to Av. *tašta-* 'bowl, cup'. While the latter can derive from \**tetk-to-*, it cannot be ruled out that both Lat. *testa* and Av. *tašta-* reflect an original \**tek-s-to-* to the root \**tek-'* 'to build'.

vīnum 'wine'

Pre-form: \*uih<sub>1</sub>-no- | PItal. \*wīno-

□ Irreg. correspondences □ Remarkable phonotactics

Semantics: viticulture

Pokorny (1120-22), WH (II: 792-3), EM (737-4), DV (680)

Meillet (1908), Bertoldi (1939b: 86), Bertoldi (1942: 162), Alessio (1944a: 108), Battisti (1960: 351, 367), CAD (I/J: 152), Gamkrelidze & Ivanov (1995 I: 557-61), Agostiniani (1998), Greppin (1998), Klimov (1998: 227), Fähnrich (2007: 486, 501), Martirosyan (2009: 214), Zohary, Hopf & Weiss (2012: 121-6), Gorton (2017), Lipp (2020)

Because wine is understood to have been developed in the Pontic regions, and because it was a crucial Mediterranean trade item, nearly all early scholarship assumed that Lat.  $v\bar{\imath}num$  and its many relatives were loans from a Mediterranean language (Meillet 1908, Bertoldi 1942: 162, Alessio 1944a: 108, Pokorny 1120-22, WH II: 792-3, Battisti 1960: 367, EM 737-4, etc.). So convinced were they, that e.g. Bertoldi (1939b: 86) and Battisti (1960: 351) even show how  $v\bar{\imath}tis$  'vine' is inherited but still remain convinced that the pair  $v\bar{\imath}num \sim o\bar{\imath}vo\varsigma$  are from the Mediterranean substrate. The word's widespread presence in Semitic as well as the fact that the Sabellic attestations ruled out the  $\bar{\imath}$  of Latin originating from a diphthong seemed to prolong the confusion.

But Lat. *vīnum*, U **vinu**, Gk. (ϝ)οῖνος, Hitt. *wiyan*-, Arm. *gini*, Alb. *verë/venë* are all inherited. The Celtic, Germanic, and Balto-Slavic forms were likely loaned from Latin. While Agostiniani (1998) argues that the Italic family has \**wīno*- from Etruscan *vinun*, *vinum* (in turn from Greek), Lipp (2020: 208-11) shows that the opposite direction is just as likely. Gorton (2017) and Lipp (2020: 205-11) show that the IE forms can be derived from \**yehi*- 'turn, twist' (the same source as *vītis* 'vine') through different ablaut grades of an *n*-stem formation with the meaning 'grapevine' (cf. also Gamkrelidze & Ivanov 1995 I: 557-61). Given that the meaning 'wine' exists for the *n*-stem in Hittite, it seems that the lexeme had this meaning before the split. Additionally, since the *n*-stem is athematic in Anatolian but is thematic everywhere else, the meaning 'wine' could have

s.v. \*tek-, \*tetk-) reconstruct it to a separate \*tek- 'to weave, braid' on the strength of Arm. t-ek-em 'twist, warp' < \*tek- and given that most continuants of \*tek- actually reflect reduplicated \*te-tk- (which probably would not yield  $tex\bar{o}$ , DV 619).

<sup>&</sup>lt;sup>480</sup> Vitis vinifera, the grape vine, grows not only in the Mediterranean, but also across southern Europe, SW Asia, and across the southern Caspian belt (Zohary, Hopf & Weiss 2012: 121-6).

been solidified in Core PIE with the thematicization being a genitival derivation; thus  $*\underline{u}(o)\underline{i}h_1$ -n-o- 'of the vine' > 'wine'. This means that PKartv.  $*\gamma vin(i)$  'wine' is a loan (Klimov 1998: 227) from a pre-stage of Armenian (Greppin 1998, Martirosyan 2009: 214). The Semitic forms, absent from East Semitic (Akk.  $\overline{i}nu$  is a borrowing from a non-East Semitic dialect, CAD I/J: 152, p.c. Benjamin Suchard) were borrowed from Indo-European languages.

# 2.4.3 Loan from a Known Language

## alcēdō 'kingfisher'

Lat.  $alc\bar{e}d\bar{o}$  and Gk. ἀλκυών have long been considered a Tyrrhenian-Aegean pair borrowed from the Mediterranean substrate (e.g. Alessio 1941c: 149). EM (20) mention the possibility that both are borrowed from a Mediterranean language, but otherwise follow Pokorny (302-4), WH (I: 27-8), and EDG (71) in suggesting a loan from Greek. The Greek word has no etymology, but the variant ἀλκυδών attested in Herodianus could easily have served as the base of the Latin form, with the suffix nativized to  $-\bar{e}d\bar{o}$  (cf. EDG 71).

## alica 'emmer groats'

Lat. alica was likely borrowed from the oblique of Gk. ἄλιξ 'wheat groats', perhaps in the context of Greek medicine (EM 21). WH (I: 29) takes Gk. ἄλιξ from ἀλέω 'to grind' on analogy with ptisana 'barley groats' < Gk. πτισάνη 'peeled barley, barley groats' < πτίσσω 'to shell/grind grains by stamping'. EDG (69) is not convinced, and considers the source of the Greek word unknown. Thus DV (33) suggests the Latin could still be an independent loan from a substrate language. A relationship with Hitt. halki- 'grain, barley' is sometimes proposed (cf. Polomé 1952: 451, Puhvel III: 39), nor is the inherited status of the Hittite word guaranteed (cf. Kloekhorst 2007 s.v. halki-). The deeper origin of the Greek word, whether it is connected to Hittite in some way, does not actually bear on the possibility that Latin alica was borrowed from it however.

## anīsum 'anise', anēthum 'dill'

Though EM (32) call this a Mediterranean word, it cannot be ruled out that Lat.  $an\overline{s}sum$ ,  $an\overline{e}thum$ , and variants are borrowed directly from Gk.  $\check{\alpha}v(v)\eta\sigma(\sigma)ov$  'anise' (with variant  $\check{\alpha}v(v)\iota\sigma ov$ ) and  $\check{\alpha}v(v)\eta\theta ov$  'dill' (WH I: 846).

brīsa 'skins of pressed grapes'

<sup>&</sup>lt;sup>481</sup> If, as e.g. Fähnrich (2007: 486) argues, the Kartvelian material can be derived within Kartvelian from a verbal root \*γun- 'to bend, wind' then we are faced with an interesting predicament. The Indo-European material is also internally derived from a root 'to turn, twist'. The ablaut gradation within the IE languages and the fact that Lat. vītis 'vine' and English withe are further derived from the verb seems to tip the balance in favor of a PIE origin. In Kartvelian the root \*γun- seems to have as its primary meaning 'to bend' (cf. Svan u-γwn-a 'elbow', Old Georgian romel vals iγunal 'who goes around bent over', etc. in Fähnrich 2007: 501).

The intervocalic s of Lat. brīsa points to a recent loan. But it is difficult to decide how it entered Latin.

It is widely connected with forms attested in Greek: Gk. βρῦτος, Hsch. βροῦτος ἐκ κριθῶν πόμα, Hsch. βρύττιον: πόμα ἐκ κριθῆς 'barley beer'; Gk. βρύτεα 'refuse of olives or grapes' (WH I: 116, EM 76). The variation between the Greek words shows that they are loans there, and the source is often assumed to be Thracian (Frisk 1960-72 I: 273, Chantraine 1968-80: 199, EDG 245), though the evidence is not overwhelming. 482 In any case, Lat. brīsa cannot be a direct loan from Greek (Biville I: 275). If the words are in fact related—it is strange that most of the Greek forms refer to barley beer, not grapeskins—Latin has *brīsa* from a different source.

Thus it has been proposed that brīsa entered Latin via Illyrian (Brüch 1922: 244-5, Krahe 1955: 117). Through a more modern lens, we can wonder if a form ancestral to Alb. bërsi 'remains of pressed grapes, plums, olives' is the more proximal source of Lat. brīsa. Demiraj (1997: 98 with lit.) notes that bërsí cannot be a direct borrowing from the Greek forms or their putative Thracian source (pace Orel 1998: 23). It represents a metathesis from PAlb. \* $br\tilde{\imath}s\bar{a}$ - < \* $b(h)r\tilde{\imath}ti\bar{a}$ -. Its pre-form has the  $\bar{\imath}$ -vocalism of Latin against the  $\tilde{u}$ -vocalism of Greek, an alternation that also occurs between ficus and  $\sigma\tilde{v}$  ov 'fig'. It seems like metathesis in Albanian can occur quite late, after contact with Latin (cf. Alb.  $t\ddot{e}rfil$  'clover' < Lat. trifolium 'clover'). Given that PAlb. \* $br\tilde{i}s\bar{a}$ - is all but identical to Lat. brīsa both formally and semantically, and since Albanian can have produced the sibilant from a cluster (\*ti) that includes the dental present in the Greek attestations, it is difficult to rule out that it is the most proximate source of Lat. brīsa. Cf. a similar situation for Lat. sīca (s.v.).

### cēra 'wax'

Baltic words for 'honeycomb' reconstruct to \*kār- (Lith. korŷs, Latv. kâre). This forms a non-IE  $\bar{a} \sim \bar{e}$  alternation with Gk. κηρός 'wax'. 483 The suffix of κήρινθος 'bee-bread' further points to a non-IE origin (Alessio 1944a: 130, Alessio 1946a: 161-2, EDG 689). Lat. cēra 'wax' is certainly related, but it cannot be ruled out that it is a loan from Greek (cf. WH I: 202 with lit.) The change in gender has been explained as due to influence from tabella 'tablet' (in the sense of wax writing tablets) or crēta 'clay' (from its use for

<sup>&</sup>lt;sup>482</sup> Chantraine (1968-80: 199) says the best evidence is from Archilochus. But the (rather lewd) passage reads: ἄσπερ αὐλῶι βρῦτον ἢ Θρέϊζ ἀνὴρ ἢ Φρὺζ ἔμυζε "like a Thracian or Phrygian man sucks βρῦτος through a straw". The peculiarly Thracian (or Phrygian) aspect may be the straw rather than the beer. Hellicanus (apud Athenaeus) writes πίνουσι δὲ βρῦτον ἔκ τινων ῥιζῶν, καθάπερ οἱ Θρᾶκες ἐκ τῶν κριθῶν "they drink βρῦτος made of certain roots, similar to the Thracians who make it of barley". Rather than βρῦτος being a Thracian drink, it seems like a drink that Thracians make a certain way. An origin in an IE language whose reflex of \* $b^h$  was b would allow this word to be connected to \* $b^h$ ru- (cf. Lat. defrūtum 'grape juice reduction'). But we know too little about Thracian phonology to confirm that it had this treatment.

 $<sup>^{483}</sup>$  The  $\bar{e}$  is genuine. Fick (1890-1909 I: 378) claimed the existence of a Doric καρός, but it does not exist (Osthoff 1901: 21-2, WH I: 202, Frisk 1960-72 I: 844, EDG 689). In fact, κήρινος 'of wax' is attested in Alcman, a Doric writer.

sealing). Van Sluis (2022: 18) proposes Etruscan mediation.

## gabata 'bowl, wooden vessel'

Lat. gabata 'bowl, wooden vessel' along with several Greek forms with consonant alternations (Gk.  $καβαθα^{484}$ , Hsch. γάβαθον τρυβλίον 'bowl', Hsch. ζάβατος· πίναξ iχθυηρος παρὰ Παφίας 'trencher for fish') and Romance forms that refer to geological features (PRom. \*gabatro-: OProv., Prov. gaudre 'ravine, torrent'; PRom. \*gabara/o-: Béarnaise gabe 'torrent', OProv. gaura 'canal') are often considered independent loans (either from a Mediterranean substrate [Hubschmid 1950a: 39, Furnée 1972: 116] or a Semitic source [WH I: 575, EDG 253]). But the appurtenance of the semantically dissimilar Romance forms is uncertain. In any case, given the Greek variants with initial γ and feminine gender, we cannot rule out that Lat. gabata is a loan from Greek, with regular t for θ.

# matula 'pot, vase, chamberpot'

The etymology of Lat. *matula* 'pot, vase, chamberpot' is uncertain (WH II: 53, EM 391), but Furnée (1972: 194, 212) convincingly compares it to Gk.  $\mu\alpha\theta\alpha\lambda i\varsigma$  'type of cup, measure of volume'. The Greek word itself shows evidence of not being inherited (cf. also EDG 891), but the correspondence of Lat. t to Gk.  $\theta$  in loans is not unexpected. Nor is the Latin form strange if we, like Biville (I: 153) follow André (1959: 87) in supposing it was borrowed from an unattested Gk. \* $\mu\alpha\theta\alpha\lambda\eta$ . Despite the form being unattested, other such - $i\varsigma$ , - $i\delta o\varsigma$  /  $i\eta$ , -ig pairs are known (cf. at *clapar*, s.v.:  $\kappa\alpha\lambda\pi\iota\varsigma$ , - $i\delta o\varsigma$  'ig jug, urn' vs.  $\kappa\alpha\lambda\pi\iota$  'pitcher').

## nepeta 'catnip'

Bertoldi (1936: 300-4) uses the Etruscan city names Νέπετα and Νέπιτα as well as the personal names *Nepius* and *Neponia* to propose that Lat. *nepeta* is from an Etruscan root \*nep- 'damp', as catnip thrives in damp places. The deity *Neptūnus* would then be an Etrusco-Latin name of a river god, whence Lat. *neptūnia* 'a kind of mint'. Alessio (1941b: 224) gives some Italian words from Marche that seem to continue the root \*nep- (nebbi 'Sambucus racemosa'). André (1956: 218) follows the Etruscan etymology while EM (437) are noncommittal and WH (II: 160) are suspicious, seeing as *Neptūnus* has a good IE etymology. Since both νέπετος and νέπιτα are attested in Greek, we cannot rule out that Latin borrowed a Gk. \*νέπετα (Furnée 1972: 257, EDG 1010), even if the  $e \sim i$  alternation within Greek points to a word of substrate origin.

olīva 'olive', oleum 'oil'

<sup>484</sup> Accent technically unknown, attested in papyri and the Edict of Diocletian.

<sup>&</sup>lt;sup>485</sup> His identification of Libyan *nepa* 'crab, scorpion' (reported by Festus) with 'crayfish' certainly goes too far.

<sup>&</sup>lt;sup>486</sup> That *nepeta* has not undergone vowel weakening to \*\*nepita could point to a recent loan or be due to the *alacer* rule (cf. Weiss 2020: 128-9 on the rule).

Despite interpretations of a loan from the same Mediterranean source as Gk. ἐλαία 'olive' < \*ἐλαίγα (e.g. Terracini 1929: 214, Bertoldi 1942: 162) or via Etruscan (e.g. Battisti 1959: 360), Lat.  $ol\bar{\nu}u$  'olive' and oleum 'oil' are perfectly regular early loans from Greek (WH II: 205-6, Biville I: 86-7, EM 460). From \*elaiwa: \*e >  $o/_{-}\mathbb{P}^{inguis}$ , internal \*ai weakened to \*ei and was fully monophthongized to  $\bar{\iota}$ . For neut. \*elaiwon: \*e >  $o/_{-}\mathbb{P}^{inguis}$ , internal \*ai weakened to \*ei and began to undergo monophthongization to  $\bar{\iota}$  through \* $\bar{e}$ . The w was lost before o, making the \* $\bar{e}$  antevocalic and triggering its loss of length before the completion of the change to  $\bar{\iota}$ , resulting in oleum (Biville I: 87). The origin of the Greek word is potentially obscure, but does not change the fact that the Latin words were borrowed from it.

## *sīca* 'dagger'

Despite how semantically attractive it is to connect  $s\bar{c}ca$  'dagger' to  $sec\bar{a}re$  'to cut', it is formally impossible (cf. DV 561); the solutions mentioned by WH (II: 505 with lit.) are outdated, and already Pokorny (895-6) questioned the appurtenance. A connection with Lith.  $s\bar{y}kis$  'time, occasion; blow' (cf. WH II: 505) is semantically unlikely (cf. the alternative etymology in Smoczyński 2018: 1163). Brugmann's (1894: 260-1) connection via a \*-k extension to the root in Skt. Skt.  $sen\bar{a}$ - 'missile; battle line', sayaka- 'missile, arrow', prasiti- 'line of fire' is unlikely since the root seems to have meant 'to throw' (cf. EWAia II: 186, 725, 746). Romance reflexes of sacilis 'spearhead', probably related to sacilis (WH II: 533, EM 623), have short vowels (\*sacilis, REW no. 7900). DV (561) and EM (623) both consider sacilis a potential loanword, the latter from Thrace.

The source is probably closer:  $s\bar{i}ca$  is very likely related to Alb.  $thik\ddot{e}$  'knife' (Orel 1998: 477-8 with lit.). A loan from Latin is ruled out, as Lat. s- is borrowed as Alb. sh-. On the other hand, (Pre-)PAlb. \* $ts\bar{i}k\bar{a}$  could plausibly have yielded  $s\bar{i}ca$ . The source of the Albanian form is unclear. Orel proposes a reconstruction to a root to sharpen (cf. Skt.  $s\bar{a}$ - 'to sharpen', Arm. sowr 'sharp', Lat.  $c\bar{o}s$  'whetstone'), but neither his reconstruction \* $k\bar{e}i$ - nor more commonly reconstructed \* $k\bar{e}h_i$ -) (cf. Mayrhofer II: 627, DV 139; though Schrijver 1991: 91 reconstructs \* $k\bar{e}h_i$ -) can explain the vocalism or the second velar. Thus it cannot be fully ruled out that both forms are borrowed from a third source. But a borrowing from a form ancestral to Albanian does not seem problematic. (Cf. a similar situation for  $br\bar{i}sa$ , s.v.)

turba 'commotion, upheaval'

Pokorny (1100-1) and Meiser (2010: 63) explain Lat. turba and Gk. τύρβη/σύρβη from

<sup>&</sup>lt;sup>487</sup> Also often linked with *secō* is poorly attested (though borrowed into Old Irish as *scian* 'knife') *s(a)cēna* 'sacrificial axe' (EM 585), often along with *saxum* 'stone' (WH II: 459). There are again formal difficulties (cf. DV 440, 541). Breyer (1993: 272-3) supports Etruscan origin because of the presence of a root *sac*- 'to consecrate, sanctify'. But this could easily be a borrowing from Latin (cf. *sacer* 'holy'). Rosén (1994) instead compares Hebr. *śakkīn*, Aram. *sakkīn* 'slaughtering knife', which she considers independent borrowings from a third source.

an inherited root \*tuer- (cf. Skt. tvarate 'to hurry'), but this requires the problematic assumption of a root extension \*b (DV 634). 488 Given the formal and semantic closeness of the Lat. turba to the Greek variant τύρβη, it is potentially a loan (Biville II: 271, EDG 1520). EDG favors this on the understanding that the variation within Greek points to Pre-Greek origin there. Otherwise, Vasmer (1959-61 s.v. τορτ) notes the similarity of the Greek to PSlav. \*tъrgъ 'merchandise, market'. If related, the velar is \*gν, from which Latin could not have produced b (i.e. its source must be Greek). DV (634) notes that turba has well-developed variations already by Plautus, suggesting that it has been in Italic for a long time and was thus borrowed independently from the same non-IE source as the Greek words. While turma 'small squadron, company' may point to a non-IE b ~ m alternation in this lexeme, its imperfect semantic match makes its appurtenance uncertain. In the end, beyond the potential difficulty of having to propose quite an early date for the borrowing, it does not seem possible to reject that Lat. turba is a loan from Gk. τύρβη.

 $<sup>^{488}</sup>$  He looks for a root of the shape  $^*(s)terb^h$  but finds only isolated Gk. στρέφω 'to turn'. While a zero-grade of this root could in fact yield the *u*-vocalism of *turba* (cf. *turdus* and *scurra*), the *s* mobile explanation and  $^*TeD^h$  root structure behind the Latin form are suspicious.

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# 3 Feature Analysis

# 3.1 Introduction to the Feature Analysis

As detailed in §1.4, it is the irregular alternations between comparanda that allow us to classify lexemes as originating from a source other than PIE. Karl Oštir was one of the first to list, in dense and copious detail, comparanda by irregular correspondence in order to give the evidence for a widespread Alarodian group of languages. In *Drei vorslavisch-etruskische Vogelnamen* (1930) for instance, he collected and classified alternations that he thought betrayed a *Alteuropäisch-Altkleinasiatisch* layer. These included (with his symbol  $\infty$ ) voiced  $\infty$  unvoiced, simplex  $\infty$  geminate, metathesis, unaspirated  $\infty$  aspirated,  $l/r \infty$  dental or sibilant,  $l/r \infty$  n,  $l/r \infty$  j, dental  $\infty$  sibilant, velar  $\infty$  sibilant, labial  $\infty$  nasal, as well as vocalic alternation, loss of plosives, liquids, sibilants, nasals, and the semi-vowels etc. His style was too dense (Meillet 1922b) and his analysis was critically lacking in rigor (Schuchardt 1922: 80). Nevertheless, many of the categories that he identified occur in the data presented here. They are not, however, indicative of origin in a single, common substrate.

A similar endeavor was made by the Pelasgianists and others looking for an Indo-European substrate amongst the Indo-European languages. Starting approximately with Georgiev, the Pelasgianists had identified alternations in aspiration (mediae aspiratae ~ mediae, tenues ~ tenues aspiratae) and voicedness (mediae ~ tenues) as well as labial quality (PIE  $*k^w$ ,  $g^w$ ,  $g^{wh}$  > Pelasgian  $k^h$ , k, g) and some vocalic alternation ( $a \sim$ o). Their explanation was that the irregularities are actual regular, borrowed from an IE language in which these are the regular reflexes of PIE material. Holzer's Temematic and Ribezzo and Szemerényi's Ausonian via the same explanation found different alternations in aspiration (mediae apsiratae ~ tenues), while the former found also voicing (mediae ~ tenues) alternations. Georgiev (1941: 111-44) identified some "angeblich unindogermanische Präfixe und Suffixe" including a-, le-, -ss-, -nth-, and -l-, which he explains as Pelasgian. Van Windekens (1952: 34-57) found for Pelasgian suffixes deriving from PIE \*-t-, \*- $k^{w}$ -, \*-g-, \*-p-, \*-l-, \*-n-, \*-n-t-, \*-mn-, \*- $nd^{h}$ -, \*-rn-, \*-s-, \*-ti-, etc. Of course, a major catalyst of the movement to study the substrates, IE or not, underlying the Indo-European languages, had been Kretschmer's (1896: 401-9) treatment of the Gk.  $-v\theta o \zeta$  suffix.

The Italian scholars including Bertoldi, Battisti, and Alessio also found recurring irregular alternations amongst the words they assigned to the Mediterranean substrate. In Battisti's (1959: 385) index for example, he lists a/e, b/p, d/l, d/t, e/i, f/v, i/u, k/g, l/ll, p/f, and r/rr. They too purported to locate morphological features, mainly in the form of suffixes that they ascribed to different strata and locations, often based on the evidence of placenames. As examples, Alessio (1939, 1944a: 103) interprets the *-asco* suffix as evidence of a Ligurian origin. Bertoldi (1942: 196), Alessio (1944a: 102), and Battisti (1959: 196) gave evidence of a Mediterranean *-st-* suffix.

Furnée (1972) had gone through the literature on Pre-Greek and was dissatisfied with the previous scholars having assigned material to the substrate without detailing the phonological aspects. He listed the alternations he found, but interestingly proposed that they were already present in Pre-Greek, created as expressive formations. This led Beekes in several publications (esp. his 2014 *Pre-Greek: Phonology, Morphology, Lexicon*) to detail the phonological alternations and pieces of morphology that he considered indicative of Pre-Greek origin.

Several scholars between and after those mentioned have also sought to study the substratal lexicon of the Indo-European languages in a similar way: through listing the phonological alternations and non-inherited morphology. In this chapter, I will do the same for the dataset comprised of lexemes in §2.2 (Non-inherited Origin in Latin Accepted). What follows is an analysis of all of the irregular phonological alternations between Latin words and their comparanda that I have been able to identify. Following that is a discussion of some of the morphological features of these words that I consider diagnostic. The list is not exhaustive. Instead, the consideration of the morphology is secondary, a result of identifying words of non-IE origin by means of their irregular phonological correspondences. Suffixes and morphological phenomena that recur in relation to lexemes that can be identified as loans for other reasons may then themselves originate in the substrate languages; especially those that themselves attest to irregular phonological alternations.

In the tables, the Latin lexemes and their comparanda are sorted by which reflex of a *quasi*- (= "as if") PIE phoneme they attest to (with non-IE languages in parentheses). When it cannot be determined due to sound laws which reflex is present, the word is listed in both places but inside of square brackets. The cells highlighted in gray show which reflex is attested in Latin. (Lighter gray marks the cases where Latin could reconstruct to either of two categories due to its medial treatment of the voiced aspirates). QPIE reconstructions follow those given in §0, and less certain comparanda (those marked with ? and ??) are left out.

# 3.2 Phonological Alternations

## 3.2.1 Consonants

#### 3.2.1.1 Alternations between PIE Rows

Proto-Indo-European is reconstructed as having three "rows" of plosives: the labials, dentals, and velars. Within each was a further phonological interplay between two features, reconstructed as either voicing and aspiration (traditionally) or glottalization and fortition/lenition (in the glottalic theory). The velars could show a further distinction between palatalization and labialization. The combinations of features produced a series of phonemes whose reflexes in the daughter languages are well understood. Several of the irregular consonant alternations that allow the identification of lexical material as

non-native in origin exist within these rows.

### 3.2.1.1.1 Non-Velars

In the labials and dentals, voicing and aspiration produces the traditional *mediae* aspriatae (\* $b^h$  and \* $d^h$ ), mediae (\*b and \*d), and tenues (\*p and \*t). In the glottalic theory, the contrasts are instead between fortition/lenition and glottalization (various presentations in Hopper 1973, Gamkrelidze & Ivanov 1973, Salmons 1993, Beekes 2011: 128-9, etc.). One need not decide in favor of one or the other, but the choice has implications for the sort of substrate phonemes or dialectal variation underlying the different reflexes in the IE daughter languages. Conclusions will be different depending on whether one understands the alternation to be between \* $b^h$  and \*p or between \*p: and \*p. This caveat is of course relevant for all the upcoming categories.

From a quasi-PIE perspective, there are for the non-velars only four possible combinations of irregular correspondence. Besides a alternation between all three types, the remaining three combinations have all been noticed; each has been explained in the context of the sound laws of a lost Indo-European language:  $D^h \sim D$  (cf. Pelasgian),  $D^h \sim T$  (cf. Temematic, Ausonian),  $D \sim T$  (cf. Pelasgian, Temematic). As will be seen, there is at least one Latin lexeme which, in comparison with its comparanda, fits into each of these alternations. The significance of this, and how it bodes for the stratificational power of these features will be discussed, as will the legitimacy of describing these alternations in terms of PIE phonology.

## 3.2.1.1.1.1 Labials

## 3.2.1.1.1.1.1 Voicing

QPIE *b	QPIE *p
QPIE *burso- : Lat. burrus	QPIE * <i>p</i> ( <i>h</i> <sub>2</sub> ) <i>ur-s</i> (- <i>u</i> ) <i>o-</i> : Gk. πυρρός
QPIE *bukso-: Lat. buxus	QPIE *pukso- : πύξος
QPIE *karb- : Lat. carbasus	QPIE *QPIE *karp- : Gk. κάρπασος
	QPIE *karp- : Skt. karpāsa-

Table 3.1 Alternations between \*b and \*p

Technically, the *b* of *carbasus* could reconstruct to  $*b^h$ , but it entered Latin after rhotacism, much too late to be affected by the development of the voiced aspirates.

3.2.1.1.1.1.2 Aspi	ration
--------------------	--------

QPIE *b <sup>h</sup>	QPIE *b
QPIE *b <sup>h</sup> a/h <sub>2</sub> L- : Gk. φάλλαινα	QPIE *ba/HL- : Lat. ballaena
QPIE * $b^ha/oer(s)d^h$ - : PGm. * $bar(z)da$ -	QPIE * $ba/Hr(s?)d^h$ - : Lat. $barba$
[QPIE $*b(h)a/ord(h)$ - : PBS1. $*bordá?$ ]	[QPIE *b(h)a/ord(h)-: PBS1. *bordá?]
[QPIE $*b(h)a/orsd(h)$ - : Lith. $barzda$ ]	[QPIE $*b(h)a/orsd(h)$ - : Lith. $barzda$ ]
QPIE *bha/Hsk-: Lat. fascinus	QPIE * <i>ba/h</i> <sub>2</sub> <i>sk</i> - : Gk. βάσκανος
QPIE *bhelik-: Lat. felix, filix	QPIE * $bl\bar{e}/eh_1g^h$ - : Gk. βλῆχνον
QPIE *b <sup>h</sup> reg-: PGm. *brekna(n)-	

Table 3.2 Alternations between  $b^h$  and  $b^h$ 

To this group seems also to belong Lat. *fascis*, especially on comparison with Gk. φάκελος, φάσκωλος ~ Hsch. βάσκιοι. But if Lat. *baiulus* is indeed also related, then there is a \* $b^{i}$  ~ \*b alternation attested within Latin as well.

3.2.1.1.1.3 Voicing and Aspiration

QPIE $*b^h$	QPIE *p
QPIE * <i>kub</i> <sup>h</sup> - : Gk. κυφαρίσσινος	QPIE *kup- : Gk. κυπάρισσος
	QPIE *kup- : Lat. cupressus
	(Hebr. gofer)
QPIE * <i>Silb</i> <sup>h</sup> - : Gk. σίλφιον	QPIE *sirp- : Lat. sirpe
(Berb. azlaf, aselbu, etc.)	QPIE *Selp-: Hsch. σέλπον
QPIE $?*su(o)lb^h$ : PRom. $*su(l)fur$	QPIE *su(e/o)lp-: Lat. sulpur
[QPIE * $sue(l)b^h$ - $lo$ - : Go. $swibls$ ]	[QPIE *sue(l)p-ló- : Go. swibls]
QPIE $*g(^h)ra/ob^h$ - : PSlav. $*grabr$ ъ-	QPIE *ka/Hrp-: Lat. carpinus
QPIE * <i>h</i> <sub>2</sub> <i>le</i> / <i>ob</i> <sup><i>h</i></sup> <sub>-</sub> : Gk. ἄλειφα(ρ)	QPIE *h₂edep- : Lat. adeps
	QPIE * <i>h₂elep-</i> : PRom. * <i>ala/ep-</i>

*Table 3.3* Alternations between  $b^h$  and p

For *cupressus* and *sirpe*, the  $*b^h \sim *p$  alternation exists within Greek. It is notable that in all cases, Latin attests to the unvoiced variant (but note Romance *sulfur*). In 2 cases, Italic treatment of the voiced aspirates obscures the original quality of the medial plosive, and it is unclear whether they represent  $*b \sim *p$  or  $*b^h \sim *p$  alternations:

QPIE $*b(h)$	QPIE *p
QPIE *da/Hrb(h)-: PRom. *darbo-	QPIE *ta/Hlp-: Lat. talpa
QPIE *sa/Hb(h)-: Lat. sabina	QPIE *sa/HP-: Lat. sappīnus
	QPIE *sa/HP- : OCo. sibuit

*Table 3.4* Alternations between  $b^h$  or  $b^h$  or  $b^h$  and  $b^h$ 

In at least one case, alternations between all three qualities are atte
---

QPIE *bh	QPIE *b	QPIE *p
QPIE *ka/ob <sup>h</sup> -: PSlav. *ka/ob-	QPIE * <i>ka/h₂b</i> - : Gk. καβάλλης	QPIE *ka/HP- : PCelt.
[QPIE *ka/Hb(h)-: Lat. caballus]	[QPIE *ka/Hb(h)-: Lat. caballus]	*kappe/ilo-
[QPIE *kab(h)/p-: MoP kawal]	[QPIE *kab(h)/p-: MoP kawal]	[QPIE $*kab(h)/p$ - : MoP $kawal$ ]

Table 3.5 Alternations between  $b^h$ ,  $b^h$ , and  $p^h$ 

Additionally, Lat.  $r\bar{a}pum$  beside Gk.  $\dot{\rho}\dot{\alpha}\phi\nu\varsigma$ ,  $\dot{\rho}\dot{\alpha}\pi\nu\varsigma$  securely attests to a \* $b^h$  ~ \*p alternation. Whether PCelt. \* $arb\bar{u}no$ - reconstructs to \* $b^h$  or \*b is obscured by Celtic sound laws.

## 3.2.1.1.1.2 Dentals

# 3.2.1.1.1.2.1 Voicing

QPIE *d	QPIE *t
QPIE *kudo-, *kodu- : Gk. κυδώνια, κοδύ-	QPIE *koto-: Lat. cotōneum
QPIE *da/Hrb(h)-: PRom. *darbo-	QPIE *ta/Hlp- : Lat. talpa
QPIE *deh₂u- : Gk. δαΐς, -ίδος	QPIE *th₂eid- : Lat. taeda
QPIE *drosd(h)-: PSlav. *drozdъ	QPIE * $t(o/u)r(s)d(h)$ - : Lat. <i>turdus</i>
QPIE *droud- : Arm. artoyt	QPIE *trosd(h)-: PCelt. *trozdi-
	QPIE *trosd- : PGm. prastu-
	QPIE *strosd(h)-: PBalt. *strozdo-
	QPIE *stroud <sup>h</sup> - : Gk. στροῦθος

Table 3.6 Alternations between \*d and \*t

A further example of this alternation may be Lat.  $citrus \sim Gk$ . κέδρος, but devoicing of -dr- to -tr- is possibly regular in Latin. It would fit the pattern in which Latin attests to the unvoiced variant (but note Romance \*darbo-).

## 3.2.1.1.1.2.2 Aspiration

QPIE *d <sup>h</sup>	QPIE *d
QPIE * $stroud^h$ - : Gk. στροῦθος	QPIE *trosd- : PGm. prastu-
[QPIE * $t(o/u)r(s)d(h)$ - : Lat. $turdus$ ]	QPIE *droud-: Arm. artoyt
[QPIE *trosd(h)-: PCelt. *trozdi-]	[QPIE $*t(o/u)r(s)d(h)$ - : Lat. turdus]
[QPIE *strosd(h)-: PBalt. *strozdo-]	[QPIE *trosd(h)-: PCelt. *trozdi-]
[QPIE *drosd( <sup>h</sup> )- : PSlav. *drozdъ]	[QPIE *strosd(h)-: PBalt. *strozdo-]
	[QPIE $*drosd(h)$ - : PSlav. $*drozd$ ъ]

Table 3.7 Alternations between  $*d^h$  and \*d

For this lexeme, the alternation between  $*d^h$  and \*d (at the end of the root) is secured by Gk.  $\sigma\tau\rhoo\tilde{\nu}\theta\circ\varsigma$  and PGm. prastu-, Arm. artoyt. Whether Lat. turdus reconstructs to  $*t(o/u)r(s)d^h$ - or \*t(o/u)r(s)d- is unclear. The former is only possible if an intervening sibilant blocks the change  $*rd^h > rb$ .

e i z i i i i z i z i z i i z i z i i i i z i z i z i i i i i i z i z i z i z i	
QPIE *dh	QPIE *t
QPIE *kh₂endʰ- : Gk. κανθήλια	QPIE *ka/Hnt-: Lat. cant(h)ērius
QPIE * <i>lnd</i> <sup>h</sup> - : Gk. λάθυρος	QPIE *l(e)nt-: Lat. lēns
OPIE *mindh- · Gk μίνθη	OPIE $*m(e)nt$ -· Lat menta

# 3.2.1.1.1.2.3 Voicing and Aspiration

Table 3.8 Alternations between  $*d^h$  and \*t

Like with the \* $b^h \sim *p$  alternations, Latin attests to the unvoiced, unaspirated variant. But unlike that category, where sometimes both variants were attested in Greek, the alternation here is more exclusive. Lat.  $hasta < QPIE *g^ha/Hst$ - beside PGm. \* $gazda - QPIE *g^ha/O/Hzd^h$ - belongs here as well. Celtic sound laws obscure the whether the dental of PCelt. \*gazdo- was borrowed as \* $d^h$  or \*d. Such is also the case for Lat. catulus < QPIE \*ka/Ht- against MIr.  $cadla < *ka/Hd(^h)$ - (where the Germanic forms could reflect \* $ka/O/Hd^h$ - or \*ka/O/Ht- with Verner's Law).

The nature of the dental alternation between Lat. *raudus* and its comparanda is unclear. Its dental can reconstruct to  $*d^{i}$  or \*d. If PCelt. \*rutu-, whose appurtenance is uncertain, is not compared, and if *raudus* was borrowed with \*d like PGm. \*arut-, then there is no alternation attested.

Theoretically, a \* $d^h \sim *t$  alternation could exist between the comparanda of Lat. *fīcus* with QPIE \* $d^h$ , where Gk. τῦκον, σῦκον could attest to \* $t\underline{i}/\underline{y}$ - or \* $d^h\underline{i}/\underline{y}$ - and Arm.  $t^tuz$  mechanically reconstructs to \*t. But it is more likely that these words were borrowed with \* $t^h$  or \* $\theta$ .

#### 3.2.1.1.1.3 Interim Conclusion on Labials and Dentals

So far, an interesting pattern emerges amongst the cases where the quality of the consonants can be verified (i.e. it has not been obscured due to sound laws). Firstly, alternations involving all possible combinations of quality are attested. While the category of  $*b^h \sim *b$  is mixed (twice Latin reflects  $*b^h$ , twice \*b), in each of the others, Latin patterns consistently. Between the categories, however, it is not consistent. For  $*b \sim *p$  alternations, Latin reflects \*b but for  $*d \sim *t$  alternations, it reflects \*t. The distributions of the attested comparanda show that these alternations are not the result of one monolithic contact situation; more on this follows in §4. However, even amongst words with a Mediterranean distribution, the pattern of Latin reflexes is difficult to reconcile with the two IE substrates proposed that might be expected to affect Latin (Ausonian and Pelasgian). If Pelasgian is responsible for \*D > \*T, then we must assume for Lat.  $buxus \sim Gk$ .  $\pi \dot{\nu} \xi o \zeta$ , the Greek has borrowed the Pelasgian reflex but for Lat.  $cot\bar{o}neum \sim Gk$ .  $\kappa \nu \delta \dot{\omega} v \alpha$ , Latin instead has the Pelasgian reflex. One wonders why it is always Latin that has the Pelasgian reflex when a dental is involved. Beyond this, very few of these cases can be etymologized to an IE root.

It is more likely that these alternations are not of Indo-European origin. While they may in part be due to dialectal differences within the substrate languages, the nativization of foreign phonemes must certain have played a large role in producing irregularity. Such is also the case for the velars (see below), where the additional parameter of labial-/palatalness creates even more possibilities for nativization.

#### 3.2.1.1.2 Velars

The velar consonants as reconstructed for PIE do not only differ in aspiration and voicedness, but have the added (mutually exclusive) aspects of labial- and palatalization. These are traditionally given as \*k, \*k', \*k'', \*g, \*g, \*g', \*g'', \*g'', \*g'', \*g''' and in the glottalic theory as e.g. \*k:, \*k':, \*k'':, \*k', \*k'''; \*k, \*k'', \*k'''; \*k, \*k'''' (from Beekes 2011: 128-9, other presentations elsewhere as cited above). By the time of the separate daughter branches, when loanwords would be entering, centumization and satemization would have been developing or would have already occurred. Thus from an inherited perspective, we should not expect to find a palatovelar reflex in a *satem* language corresponding to a labiovelar reflex in a *centum* language. But a phenomenon like this cannot be ruled out *a priori* for loans from a non-IE language. This adds a layer of difficulty to the analysis. As with the labials and dentals, below are the data that show the distributions. I only include alternation between labial-/palatalness when there is explicit reason to do so.

## 3.2.1.1.2.1 Voicing

QPIE *g	QPIE *k
QPIE *ga/HR- : Prov. garric	QPIE *kerr/so- : Lat. cerrus
	QPIE *ka/Hr-: Ital. dial. cariglio
	QPIE *ka/HR-: Catal. carrasca
QPIE *bha/ol-ig-: PGm. balikōn-	QPIE *bhul-Vk- : Lat. fulica
	QPIE *b(h)o/ul-a/oK-: SGael. bolachdan
QPIE * $sur$ -( $V$ ) $g$ - : PGm. * $s(w)ur(V)ka$ -	QPIE $*s(\underline{u})\bar{o}r$ -Vk-: Lat. $s\bar{o}rex$
	QPIE *suo/ur-ak- : Gk. ὕραξ
QPIE *gruHm-: Lat. grūmus	QPIE *kroHm- : Hsch. κρῶμαξ
	QPIE *kloHm- : Gk. κλῶμαξ

*Table 3.9* Alternations between \*g and \*k

Lat. *corbis* belongs here if it is indeed related to PGm. \* $kreb\bar{o}$ - < QPIE \* $gr\acute{e}b^h$ - $\bar{o}n$ -. For Lat.  $gr\~{a}miae$ , there exists a \* $k \sim *g$  alternation amongst the Slavic comparanda, but this must be the result of a post-Common Slavic (i.e. during the first millennium CE) borrowing into Slavic. Thus its bearing on earlier substrate features, at least in terms of this alternation, seems dubious.

## *3.2.1.1.2.2 Aspiration*

Interestingly, there is one uncertain case of an aspiration alternation involving velars. This is the case of Lat.  $\bar{a}lium \sim Gk$ .  $\check{a}\gamma\lambda\bar{\iota}\varsigma$ ,  $\gamma\acute{e}\lambda\gamma\bar{\iota}\varsigma$ . PBerb. \*agVlum- of similar shape to the Latin form suggests that it once had a velar like Gk.  $\check{a}\gamma\lambda\bar{\iota}\varsigma$ , and one explanation for its disappearance is that it was \* $g^h$ , undergoing development to \*h.

QPIE *g <sup>h</sup>	QPIE *k
QPIE * $tu/\bar{u}g^{h_{-}}$ : Arm. $t^{c}uz$	QPIE $*d^h\bar{\imath}k$ -: Lat. $f\bar{\imath}cus$
	QPIE $*d^h/ti/μ\bar{u}k$ - : Gk. τῦκον, σῦκον
	(Hebr. šiqmā)
QPIE * <i>Hurg</i> <sup>h</sup> - : Gk. ὕρχη	QPIE *H(o)rk-: Lat. orca
QPIE *g <sup>h</sup> a/h₂l-ik- : Gk. χάλιξ	QPIE *ka/Hlk- : Lat. calx

Table 3.10 Alternations between  $*g^h$  and \*k

In 1 case, it cannot be determined whether the alternation is  $*g \sim *k$  or  $*g^h \sim *k$ :

QPIE * $g(h)$	QPIE *k
QPIE $*g(^h)ra/ob^h$ - : PSlav. $*grabr$ -	QPIE *ka/Hrp-: Lat. carpinus

Table 3.11 Alternations between  $*g^h$  or \*g and \*k

In 2 cases, alternations between all three qualities are attested:

QPIE *g <sup>h</sup>	QPIE *g	QPIE *k
QPIE * $bl\bar{e}/eh_1g^h$ - : Gk. βλῆχνον	QPIE *b <sup>h</sup> reg-: PGm. *brekna(n)-	QPIE *bhelik-: Lat. felix, filix
QPIE *HruGh-: PGm. *rugg-	QPIE *H/ura/Hg-: Lat. raia	QPIE *HreK-: PGm. *rehhōn-

Table 3.12 Alternations between  $*g^h$ , \*g, and \*k

Lat. felix, filix against PGm. \*brakna(n)- shows the same alternation as between Lat.  $fulica \sim PGm$ .  $*balik\bar{o}n$ - and Lat.  $s\bar{o}rex \sim PGm$ . \*s(w)urka- above; but the Greek comparanda of felix show the reflex of a voiced aspirate. For Lat. raia, Germanic shows two variants, both geminates.

### 3.2.1.1.2.4 Palatalization

Given that palatovelars are a class reconstructed for PIE, it is valid to question whether non-IE languages of Europe would have had such a feature. One case seems to indeed suggest that something akin to palatovelars was indeed present. Lat. *cucumis* matches Hsch. κύκυον as if from \*ku-ku-. Arm. *sex* could reconstruct to \* $k\acute{e}k^{h}$ - with an unvoiced aspirate not reconstructible for PIE and in opposition to the plain unvoiced consonant of the Latin and Greek forms. That its s is from something akin to \* $k\acute{e}$  is supported by Gk. σικύα, Hsch. σεκούα < QPIE \* $k\acute{e}i$ /ek-. The Greek reflex of inherited \* $k\acute{e}$  is simply  $\kappa$ , thus this lemma was potentially borrowed with a palatal element that seems to have been interpreted in Armenian as a palatovelar. In one case, the *satəm* languages allow us to see that there was an alternation in palatalization. In the comparanda of *columba*, Arm. *salamb* attests to palatalized \* $k\acute{e}ol$ - while OCS golobb is from unpalatalized \*gol-.

Alb.  $dall\ddot{e}ndyshe$ , in light of the velar of Gk. χελῖδών (and Lat.  $hirund\bar{o} < {}^*g^{i}$ ),

<sup>&</sup>lt;sup>489</sup> Such an alternation potentially also exists in the suffix of the hawk word (cf. capys, whose circulation in Latin is suspect), where PSlav. \*kobuzъ attests to \*-ugh- against \*-ig- in Arm. k<sup>c</sup>owpič 'male hawk or falcon' (cf. Thorsø fthc.).

reconstructs to palatovelar  $*\acute{g}^h$ . Less straightforward are the cases of Lat. *excetra* and  $av\bar{e}na$ . The former, taken at face value, reconstructs to a cluster \*-ksk-. For the Baltic comparanda, an option exists to reconstruct this cluster with one palatovelar (\*-ksk-). For the latter, a reconstruction of \*aweCsnā is possible, but so is simply \*awesnā. Its Baltic and Slavic comparanda reconstruct to an alternation between the reflexes of \*k and \*gh, but all forms might have been borrowed with an affricate (Kroonen et al. 2022: 19-20) or (esp. on comparison with West Uralic \*wešnä and PGm. \*hab(a)zan-) a "spirant of indeterminate voicing" (Huld 1990: 404).

### 3.2.1.1.2.5 Labialization

Lat. laurus forms a Greco-Italic isogloss with Gk. δάφνη, δαύχνα. The most straightforward way of accounting for the  $\varphi \sim \chi$  alternation is via a reconstruction with  ${}^*g^{\nu h}$ , which also works for Latin. The vocalism of the Greek forms produces two further possibilities, neither of which allows the group to be of Indo-European origin. The first possibility is that there was an irregular  ${}^*a \sim {}^*au$  vocalic alternation that triggered the boukolos rule in Greek and Latin. QPIE  ${}^*dag^{\nu h}n\bar{a}$ - would yield Gk. δάφνη while  ${}^*daug^{\nu h}na$ - would yield Gk. δάφνηω. QPIE  ${}^*laug^{\nu h}ro$ - would yield PItal.  ${}^*lau\chi ro$ -  ${}^*lau g^{\nu h}na$ - would yield pital as strong labial component, the vocalic alternation could be seen as the result of different interpretations of the placement of the labial element:  ${}^*K^{\nu}$  vs.  ${}^*\nu K$ . In this way,  ${}^*dag^{\nu h}n\bar{a}$ - would yield Gk. δάφνη and  ${}^*da^{\nu g}{}^hna$ - Gk. δαύχνα. A pre-form  ${}^*la^{\nu g}{}^hro$ - would yield PItal.  ${}^*lau\chi ro$ -  ${}^*lau \chi r$ 

Go. aqizi requires a reconstruction with \*g<sup>w</sup>, which is not possible for Gk. ἀξΐνη or (if the metathesis is not secondary, which I argue it is not) Lat. ascia, producing an alternation in labialization. Similarly, PGm.  $*hwerhwetj\bar{o}$ - reconstructs to \*k<sup>w</sup>. In Lat. cucurbita, the internal velar could theoretically have been a labiovelar (unrounded before u), but an intial \*k<sup>w</sup>u- seems to have been deleted (cf. ubi < \*k<sup>w</sup>u-d<sup>h</sup>e-i). Thus this lexeme too points to an alternation in labialization.

<sup>&</sup>lt;sup>490</sup> The preform \* $mal\mu ak^h$ . at first glance looks like it could also yield Lat. malva, but in order to not produce \*\*malla, it would have to have been borrowed too late for \* $k^h$ > h. One solution to this is to have the form enter Latin as \* $malwa\chi a$ , and have the labialization be attracted to the \* $\chi$  producing \* $mala\chi^wa$ . This would then give \*malava and with later syncope the attested malva.

### 3.2.1.1.2.6 Interim Conclusion on Velars

For the labials and dentals, it was possible to see that all possible combinations of the 3 qualities are attested. This is much more difficult to say for the velars. Theoretically, given 9 reconstructible velars, there are a total of 502 possible combinations. This is an inflated estimate however. While a g in both a *centum* and *satəm* language could be hiding alternations between palatovelars and labiovelars (\* $\acute{g} \sim *g$ , \* $g \sim *g^w$ , \* $\acute{g} \sim *g^w$ ), we find no examples of the opposite phenomenon—a visible *satəm* palatovelar  $\sim$  *centum* labiovelar alternation. A more realistic number of possible combinations can be approximated from a set consisting of \*k, \*g, \* $g^h$ ; \* $\acute{k}^w$ , \* $\acute{g}^w$ , \* $\acute{g}^{wh}$  (in which labial-/palatalness is collapsed). And indeed, there are examples of alternations in palatalness and labialness in the languages that can preserve them. This is the most meaningful conclusion from the data: there is evidence for both palatalized and labialized phonemes in the substrate languages of Europe (cf. Beekes 2014: 4 on Pre-Greek).

## 3.2.1.1.3 Conclusions on the QPIE Plosive Rows

The stratificational power of alternations like this on their own is hampered by the fact that there is only a limited possibility for nativization of foreign sounds. That is, a phoneme that did not exist in Proto-Italic, upon being borrowed, would have to be mapped onto one of the reflexes of an existing PIE phoneme. Theoretically, two different foreign sounds could end up being mapped onto the same reflex, masking their originally separate origins. On the other hand, a singular sound in a foreign language can be borrowed with phonological variation even within the same borrowing language (cf. Meester fthc.).

As remarked on above, the sound laws proposed by IE Pelasgianists seem artificial, as when all the evidence of irregularities is taken together, there are more alternations than can be explained by discrete sets of chain shifts. While Latin exhibits tendencies (it reflects an unvoiced, unaspirated reflex in cases of \* $b^h \sim *p$ , \* $d^h \sim *t$ , \* $d \sim *t$ , \* $g^h \sim *k$ , and \* $g \sim *k$  alternation) they are not always fully consistent, they are not all due to the same contact situations, and the variation outside of Latin is generally without a pattern (on this latter aspect, cf. Beekes 2014: 4, Šorgo 2020: 459). Beekes (2014: 4-5) uses this to conclude that (for Pre-Greek in his context), voice and aspiration were not distinctive features (cf. also Palmer 1963: 39, Furnée 1972: 115-200). What is at least clear from the alternations is that such features must not have worked the same way as in Indo-European. Labialization and palatalization do indeed seem to be phonetic features of one or more of the substrate languages of Europe, at least to the point where they could be perceived by speakers of PIE (cf. cases like Gk. σικύα Lat. *laurus* ~ Gk. δάφνη, δαύχνα) or mapped onto the phonologized contrast between plain and palato-/labiovelars.

In general, describing alternations in terms of PIE phonology is also artificial, since the words in which they occur were borrowed at a post-PIE date and at various points in

time. A foreign /f/ borrowed into Proto-Italic at a time before the production of \*f from the IE voiced aspirates would almost certainly have been mapped differently than an /f/ that entered after the development of Italic \*f. The latter case, which presumably would have been preserved as Latin f, would nevertheless be mechanically reconstructed to PIE \* $b^h$ , obscuring the fact that it was actually borrowed as a fricative. QPIE reconstructions provide a useful shorthand for being able to show that lexemes are not inherited, but a more detailed distributional analysis (see §4) is needed to be able to identify distinct contact scenarios.

# 3.2.1.2 Alternations Beyond the Plosive Rows

A phonological justification must exist for considering that two different phonemes were diachronically underlyingly the same. Even with this caveat, alternations within the same place of articulation are not limited to the PIE plosive rows. In fact, there are even several cases of alternations between different places of articulation. Cases like the latter are not typologically unparalleled (cf. the allophonic variation of  $k \sim t$  in Hawai'ian).

### 3.2.1.2.1 Labial Plosive ~ Labial Nasal Alternation

The following alternations share a place of articulation (labial), differing in manner of articulation:

QPIE *b(h)	QPIE *m
QPIE $*h_2erb(h)/dh$ : Lat. arbutus	QPIE * <i>h₂erm</i> - : PRom. * <i>armōn</i> -

Table 3.13 Alternation between \*b(h) and \*m

This alternation occurs between Latin and Ligurian dialects, suggesting that the source of the alternation was close to the Italian peninsula. It is present within Greek, between Gk. κυβερνάω and Cypriot ku-me-re-na-i. Lat.  $gubern\bar{a}re$  seems to be an indirect borrowing of κυβερνάω, and thus is not independent evidence of a  $*b(^h) \sim *m$  alternation. (The potential Baltic forms that suggest a further alternation with  $*mb(^h)$  are likewise too insecure to include as independent evidence.)

QPIE *p	QPIE * $b$ (and * $b^h$ )	QPIE *m
QPIE *plo/uNdhu-: Lat. plumbum	QPIE *bolubdo- : Gk. βόλυβδος	QPIE *moliwdo- : Gk. μόλιβδος
QPIE *ple/oud(h)-:	[QPIE *bhliHwo- : PGm. *blīwa-]	[QPIE *mliHwo- : PGm. *blīwa-]
PCelt. *(φ)loudio-	(Basque berun)	
	(PBerb. *βaldūn etc.)	

Table 3.14 Alternation between p, b (/b), and m

Germanic \* $bl\bar{\imath}wa$ - reconstructs to QPIE \* $b^h$ , but it can also be the reflex of \*ml, like in many of the Greek forms. A QPIE \*b is attested by Greek variants with  $\beta$  (and is

<sup>&</sup>lt;sup>491</sup> Cf. the "alternanza mediterranea" of m and b listed by e.g. Alessio (1946a: 154). Bertoldi (1933b) finds a widely distributed (Sardinia, Iberia, Etruria, Gaul) lexeme "bush" (represented by Gk. βάτος and μαντία) with a  $b \sim m$  alternation.

probably the source of the Basque and Berber forms).

The \*b(h) of Lat. sabulum alternates with \*m in Gk. ψάμαθος and PGm. \*sammada-. Arm. awaz can be reconstructed to \*sabh- or \*sap-; thus it is uncertain if this represents a \*b(h) ~ \*m or a \*p ~ \*b(h) ~ \*m alternation.

QPIE * <i>b</i> (*)	QPIE *mb(h)
QPIE *la/Hb(h)/dh/sr-: Lat. labrusca	QPIE $*la/Hmb(h)/dh/sr-:$ It. $lambrusca$
QPIE *sa/Hb(h)-: Lat. sabūcus	QPIE *sa/Hmb(h)-: Lat. sambūcus
QPIE *gul-ub <sup>h</sup> - : PGm. *kulubrōn-	QPIE *ke/ol-o/umb(h)-: Lat. columba
	QPIE $*g(^h)ol-omb(^h)-:$ OCS $golobb$
	QPIE * $\acute{k}ol$ -( $o$ ) $mb^h$ - : Arm. $salamb$
	(Сорт. броомпє)

Table 3.15 Alternations between \*b(h) and \*mb(h)

The case of Lat. *columba*, in which the nasal is lacking in Germanic, <sup>492</sup> has widespread comparanda.

Otherwise the cases of  $*b(h) \sim *mb(h)$  alternation are restricted to Latin and Romance. The case of  $l\bar{a}brusca$  is more uncertain, since its b can be reconstructed in several ways. While there are numerous cases of etymological nasals being dropped before consonants due to their weak pronunciation (cf. Väänänen 1981: 63), nasal epenthesis is a more complex phenomenon in Romance. Cases often cited included sa(m)būcus, la(m)brusca, stra(m)bus 'squinting, crooked (of eyes)', and sambatum/sabbatum 'sabbath'. The latter are clear loans from Greek (with some evidence for the form with m existing already in Greek), while the former two have no good etymology. Nor do the former fit into many of the more easily explained cases of Romance nasal epenthesis (leveling of the nasal infix in verbs, anticipation of an upcoming nasal, blends like \*rendō < reddō modelled on prēndō, hypercorrection based on cases of restitution of lost nasals, cf. Malkiel 1984<sup>493</sup>). This seems to be indicative of non-native origin. In Greek, original voiced stops were fricativized, but new voiced stops appeared when this process was blocked by a preceding nasal or when a voiceless stop was voiced by a preceding nasal (Holton et al. 2020: 114). Thus voiced stops appeared only after nasals, and loans of voiced stops subsequently appear as  $\mu\pi$ ,  $\nu\delta$ , and  $\gamma\gamma$ . If the Latin voiced stops were fricatives (cf. Kortlandt 2007: 150-1), then perhaps the cases of the appearance of a nasal was due to the borrowing of a voiced stop. But the only concrete examples in the dataset involve  $b.^{494}$ 

<sup>&</sup>lt;sup>492</sup> The reconstruction \*kulumfrōn- seems to be ruled out. Given the restriction of epenthesis to Northumbrian, it is more likely that *culfre* is the result of syncope from *culufre*. Such syncope is not expected in a vowel that is the result of \*um (cf. Jakob fthc.).

<sup>&</sup>lt;sup>493</sup> Malkiel further includes "phonosymbolic" i.e. expressive cases (including *strambus* for *strabus*) and notes cases of mb for both original b and m, the latter mainly being a feature of Sardinian (see also Wagner 1941: 223-4).

Though cf. potentially Lat.  $l\bar{e}ns$  < QPIE \* $l\eta ti$ - ~ Gk.  $\lambda \dot{\alpha}\theta \nu \rho \sigma \varsigma$  < QPIE \* $l\eta d^h$ -. We might consider it

Lat. *plumbum* attests to an *mb*, where the nasal is difficult to account for in comparison to the Celtic, Germanic, and Greek comparanda. On the one hand, it could be the result of specifically Italic nasal insertion: since an argument can be made that the *b* is from  $*d^h$  and since a nasal would almost certainly have blocked the change  $*d^h > b$  unless we reconstruct an otherwise unparalleled  $*plo/uNd^h\_uo$ -, the nasal was introduced after the Italic development of the voiced aspirates. On the other hand, Greek variants like  $\mu$ όλυβος and βόλιβος attest to a *b* in the same position as in Lat. *plumbum*. If the *b* is original in Latin, then so too might be the *m*, cf. the pre-nasalization in the substrate vocabulary of Germanic (Kuiper 1995: 68-72, Šorgo 2020: 459-60) and Greek (Beekes 2014: 14-15).

# 3.2.1.2.2 Labial Plosive ~ Labial Approximant Alternation

Beekes' (2014: 15) discussion of nasalization in Pre-Greek included alternations between a labial stop and w. Furnée (1972: 230-1) noted examples beyond Greek, and Šorgo (2020: 460-1), who considers it a separate phenomenon from nasalization, suggests it represents an attempt to render a foreign phoneme like [ $\beta$ ] or [ $\nu$ ]. In cases involving Italic, Latin attests to both variants.

QPIE *b <sup>h</sup>	QPIE *b	QPIE *u
QPIE *b(h)a/obh-: PBS1. *ba/ob-	QPIE *bhab-: Fal. haba	QPIE *ba/ou-n-: PGm. *baunō-
		(PBerb. * $\bar{a}$ - $b/\beta\bar{a}w$ )

Table 3.16 Alternation between  $b^h$ , b, and u

In the case of Lat. faba, Fal. haba points to \*b while Balto-Slavic requires \* $b^h$ .

QPIE *b	QPIE *µ
QPIE * <i>h₁orh₃b</i> - : Gk. ὄροβος	QPIE $*h_1er(H/V)$ $\mu$ - : Lat. ervum
QPIE * <i>h₁erh₁b</i> - : Gk. ἐρέβινθος	QPIE *h₁oru̞- : PGm. *arwīt-
QPIE *h₁orVb- : Arm. arowoyt	

Table 3.17 Alternation between \*b and \*u

Beyond these two secure cases with a relatively wide distribution are other more complex examples. While Hubschmid (1953: 63, fn. 2) purported to find no cases of such an alternation with a Mediterranean distribution, Lat.  $bolunda \sim Gk$ . ὅλυνθος seems to be one such example (with the assumption of original Gk. \*Fολυνθος). If Lat.  $b\bar{a}ca$  is related to  $vacc\bar{c}nium$ , they too hint at a \* $b \sim *w$  alternation.

unlikely that the non-IE source language would have a phoneme akin to a PIE syllabic resonant, in which case the nasal of the Latin form might be explained as intrusive, albeit before unvoiced *t*.

<sup>&</sup>lt;sup>495</sup> There may be one case of an alternation like this within Italic. Lat.  $M\bar{a}rs$  is from a form with \*y, preserved in  $M\bar{a}vors$ . But the Sabellic languages and the *Lapis Satricanus* attest to a stem *mamart*-. Thus \* $m\bar{a}mart$ - has somehow changed to something like \* $m\bar{a}wart$ -, an otherwise unparalleled change that suggests it might not be native to Italic (cf. DV 366).

Another complex case occurs between PGm. \* $bl\bar{\imath}wa$ - and Greek variants μόλιβος, μόλυβος, βόλιβος. The variant with \*w seems also to appear in PCelt. \* $\phi$ loudio-. The analysis of Lat. plumbum is complicated. If its b is from \* $d^h$ , then perhaps its u participates in the \* $b \sim *w$  alternation. If however its b is original (and it lacks the dental suffix of e.g. μόλυβδος), then it patterns with the Greek forms With b.

#### 3.2.1.2.3 $L \sim R$ Alternations

Amongst the liquids, laterals and rhotics are articulated in much of the same space. The two classes, even within Italic and its descendants, are prone to metathesis (cf. Spanish *milagro* 'miracle' < Lat. *mīrāculum*, *palabra* 'word, speech' < Lat. *parabola*, *peligro* 'danger' < Lat. *perīculum*, cf. e.g. Straka 1979: 400-22, Schmid 2016: 481) and dissimilation (cf. *-ālis* > *-āris* when attached to a base containing *l* like *vulgāris*, Väänänen 1981: 70, Weiss 2020: 168). Their alternation can easily come about in contact situations: several languages have one underlyingly liquid phoneme that surfaces as rhotic or lateral depending on the environment or is used in free variation (Korean and Japanese for example, cf. Ladefoged and Maddieson 1996: 182, 243).

Several cases exist in which Latin words and their comparanda attest to  $l \sim r$  alternations. I present them in three groups. In Group A, the alternation exists between Latin and Greek. In Group B, Latin and Greek agree against an alternation in other comparanda. In Group C, there is no Greek comparandum.

QPIE *l	QPIE *r
QPIE *kh₂endħeHl- : Gk. κανθήλια	QPIE *ka/HnteHr-: Lat. canthērius
QPIE *kloHm- : Gk. κλῶμαξ	QPIE *gruHm- : Lat. grūmus
QPIE *kroHm-: Hsch. κρῶμαξ	
QPIE *g <sup>h</sup> eliHd- : Gk. χελīδών	QPIE * $g^hiro/und(h)$ - : Lat. $hirund\bar{o}$
QPIE * $\acute{g}^ho(u)l(H)$ - $(o)nt/d(^h)$ - : Alb. <i>dallëndyshe</i>	
QPIE *silb <sup>h</sup> - : Gk. σίλφιον	QPIE *sirp- : Lat. sirpe
QPIE *selp- : Hsch. σέλπον	
(Berber azlaf, aselbu, etc.)	
QPIE *glmo- : Gk. γλάμων	QPIE *greHm- : Lat. grāmiae
	QPIE *g/krHm- : PSlav. *k/grъm-
QPIE *leili- : Lat. līlium	QPIE *leiri- : Gk. λείριον
QPIE *Hol- : Hitt. alēl	(Copt. <i>hrēri</i> )
(Copt. hlēli)	

Table 3.18 Group A alternations between \*l and \*r

For Lat.  $gr\bar{u}mus$ , the \* $l \sim *r$  alternation exists within Greek, such that it could theoretically belong to Group B.

QPIE *l	QPIE *r
QPIE *bhelVk-: Lat. felix	QPIE *b <sup>h</sup> reg-n- : Dan. bregne
QPIE * $bleHg^h$ - : Gk. βλῆχνον, βλῆχρον	
QPIE *ph₂eil-a/ek- : Lat. paelex	QPIE *pa/erik- : OIr. airech
QPIE * <i>pa/HL-ak-</i> : Gk. παλλακή	

Table 3.19 Group B alternations between \*l and \*r

QPIE *l	QPIE *r
QPIE *ta/Hlp-: Lat. talpa	QPIE *da/Hrb(h)-: PRom. *darbo-

Table 3.20 Group C alternation between \*l and \*r

Given that the appurtenance of Gk. κόλυμβος to Lat. *columba* is uncertain for semantic reasons, the group, for which all forms beside Copt. σροομπε attest to the variant with \*l, most likely fits into Group C. For the stratificational power of this alternation, see §4.2.2.4.1.

#### 3.2.1.2.4 $N \sim M$ Alternation

There is one lexeme that seems to show a nasal alternation of  $n \sim m$ : Lat. laena vs. Gk.  $\chi\lambda\alpha$ īva,  $\chi\lambda\alpha$ víς,  $\chi\lambda\alpha$ μός. As explained by Rosoł (2013: 107-9), the alternation likely has its source in Semitic: Hebr.  $gl\bar{o}m$  against Late Babylonian  $gul\bar{e}nu$ . Thus the alternation is likely not a result of the borrowing process into Latin/Greek but instead attests to this word being borrowed more than once.

#### 3.2.1.2.5 $L \sim D$ Alternation

A lateral can develop into a dental or *vice versa* because of an overlap in the place of articulation (cf. Sardinian, Sicilian, Calabrian retroflex dd < ll, cf. NavigAIS).

There exists within Latin a phenomenon referred to as the "Sabine l", in which Latin attests to l as the reflex of inherited \*d in some words. The phenomenon was attributed to Sabine by Conway (1893) via dubious methodology. No ancient source attributes the phenomenon to Sabine, but the idea was followed by Petr (1899) who found 17 Sabinicisms and others like Schrijnen (1914) who argued on the basis of historico-political and -social evidence. Bottiglioni (1943: 316-17) shows that the words we have as purportedly Sabine prove that it was not responsible for this change. His best example is a passage of Varro, where the deities called *Novensiles* by Livy are said to be called *Novensides* by the Sabines. Weiss (2020: 504 fn. 63) adds fedus = haedus 'goat' and  $\bar{t}d\bar{u}s$ , both purported to be Sabine, to show that Sabine allowed -d-.

Nor is the phenomenon itself well understood. There is a short list of generally accepted cases, some problematic, and some other unclear cases. The best cases are *odor* 'smell' <  $*h_3ed-\sim olere$  'to smell', *sedere* 'to sit' < \*sed- and *solium* 'chair, throne; bathtub; sarcophagus'. Lat. *lacrima* 'tear' might be a loan from Gk. δάκρυμα 'tear' or might represent an inherited formation. Lat. *lēvir/laevir* 'husband's brother', attested in glosses,

could have *-vir* for *-ver* from contamination with *vir* 'man' and thus descend from \* $deh_2i$ -uer- (cf. Gk.  $\delta\bar{\alpha}\eta\rho$  'husband's brother', etc.; DV 336). Lat. lingua 'tongue' seems to be attested also as dingua; in any case it descends from \* $dn\dot{g}^h$ - $uh_2$  'tongue', but its l might be from contamination with lingere 'to lick' (DV 343, Weiss 2020: 504). Thus it cannot be adduced as an example of the phenomenon with certainty. Lat. lautia 'entertainment provided for guests' is generally taken as the development of dautia, a hapax in Paulus ex Festo (cf. DV 161). It would be from \*douH-o- 'giving, bestowing', with Thurneysen-Havet's Law (Vine 2006: 238). However, since lautia always occurs in the collocation locus lautiaque or loca lautia, it is sometimes suspected that the d > l is due to alliteration (cf. DV 161). Prósper (2019: 463) even proposes that dautia is an artificial archaism. In any case, the status of lautia as an example of the phenomenon is uncertain.

That the phenomenon of the "Sabine" l occurs only in inherited lexemes could theoretically be due to the fact that it is only visible in cases with secure comparanda. Lat. simila is, along with Gk. σεμίδαλις, most likely originally a loan from Semitic (cf. Aram. səmīda, Akk. samīdu). Its l for d could theoretically be due to the "Sabine" l affecting loanwords. Lat. laurus has been borrowed from the same source as Gk. δάφνη, (Thess.) δαόχνα. Its l for d may also be due to the phenomenon at hand, but Hsch. λάφνη, said to be in currency in Pergamon, suggests that the alternation here had a wider distribution. Lat. adeps occurred alongside PRom. \*ala/ep- but in this case its relationship to Gk. ἄλειφα(ρ), from which it cannot be a direct borrowing, rules out the "Sabine" l; the change is in the opposite direction. In this latter case, it should be mentioned that Lat.  $clue\bar{o}$  'to be known' with inherited \*l occurs in South Picene as  $kdu\acute{u}$  [1sg.pres.] with l0. Thus perhaps it could have been involved in some \*l1. l2 changes.

#### 3.2.1.2.6 $S \sim D$ Alternation

There is one instance of Lat. s for a d in all other comparanda, namely the case of Lat. rosa. As discussed (s.v. rosa), not even recourse to the Umbrian change of intervocalic  $*d > \check{\mathbf{r}}$ , rs provides a convincing explanation. Biville (I: 257-6) suggests that the same alternation between a dental and a sibilant might be found between Lat.  $r\bar{e}s\bar{t}na$  'resin' and Gk.  $\dot{\rho}\eta\tau\dot{t}v\eta$  'resin', but that it is more likely that it is borrowed from a Greek by-form  $*\dot{\rho}\eta\sigma\dot{v}va$  (cf. also EDG 1284 who only accepts this latter possibility). Biville also mentions Lat.  $asinus \sim$  Hebr. ' $\bar{a}t\bar{o}n$  'donkey'. It is possible that they are connected, and an alternation of this sort might be behind both lemmata. The route of transmission of the two words must have been different however; Gk.  $\check{o}vo\varsigma$ , if related to asinus, can only be explained via the erstwhile presence of a sibilant whereas in  $\dot{\rho}\dot{o}\delta$ ov it has the dental.

#### 3.2.1.2.7 $D \sim K \sim \emptyset$ Alternation

The comparanda of Lat. nux each end in a different consonant: Lat. nux < QPIE \*(k)nuk- ~ PGm. \*knut- < QPIE \*knud- ~ PCelt. \* $kn\bar{u}$ -, \*knowes- < QPIE \*knu(H)-, \*kn(e/o)u(H)-. Whether the Celtic comparanda attest to a laryngeal is obscured by

regular developments within Irish and British Celtic. The phenomenon of a dental-velar-zero alternation is found by van Sluis (fthc.) in two other lemmata, one of which has a secure Latin comparandum. But in both cases, the dental is QPIE \*t. These are the 'head' word Lat. caput < PQIE \*ka/Hput- ~ W cawg < QPIE \*ka/Hpuk- (~ OE hafola < QPIE \*ka/o/Hp-ulo- or \*ka/o/Hput-lo-) and the 'bee' word Lith. bìtė < QPIE \*bhit- ~ OIr. bech < QPIE \*bhek- ~ OE beó < QPIE \*bhi-on-. If these all represent the same phenomenon, then there is no need to reconstruct a laryngeal for the Celtic comparanda of Lat. nux. Kroonen (2012a: 248) suggested that the pattern is the result of the nativization of a substratal glottal stop, which could be the case whether or not a laryngeal is reconstructed. Otherwise, the alternation might be due to existing dialectal or paradigmatic differences in the source languages. In North Saami, for example, stem-final \*-g regularly (albeit rarely) becomes -t in absolute final position (Aikio and Ylikoski 2010 course handout, page 37<sup>496</sup>).

The  $*k \sim *t$  alternation within Lat. *caput* and its comparanda have often been explained as belonging to a suffixal element (cf. Boutkan 1998: 111, Schrijver 1997: 295). It cannot be ruled out that the vacillating stop of the 'bee' word and the 'nut' word are suffixes as well, but they certainly look like part of the root. If Skt. kapāla- 'cup, jar, dish; skull' is only coincidentally similar to the rest of the comparanda for the 'head' word, then all three lemmata share a relatively similar distribution. Beyond these three "canonical" examples are a few potential others. Anthony Jakob (fthc. thesis) notes the phenomenon potentially between ON síld and Lith. silkė (OPr. sylecke, > Fin. silakka) 'herring'. If a laryngeal truly is involved, perhaps the alternation is found between \*natr-ik- (Lat. natrix 'water-snake', OIr. nathir 'adder, snake') ~ \*natr-iH- (W neidr 'snake') ~ \*natr- (Co. nader, etc.; Go. nadre [gen.pl.], etc. 'adder', cf. with a long vowel OHG nātara, etc. 'adder'), despite usually being etymologized as a derivation from \*sneh<sub>2</sub>- 'to swim'. <sup>497</sup> Finally, Lat. pix 'pitch, tar' and picea 'pine/spruce' < \*pik- might show a  $k \sim t$  alternation with Gk.  $\pi i \tau v \varsigma$  'pine' < \*pit-. Lat.  $p \bar{i} n u s$  could be from either \*pik-s-no- or \*pit-s-no-. This would however introduce a Greek comparandum into the phenomenon, which so far has importantly excluded Greek. On the importance of the lack of any Greek comparanda to the stratification of this alternation, see §4.3.2.3.1.

#### 3.2.1.2.8 Further Irregular Involvement of a Sibilant

#### 3.2.1.2.8.1 S mobile

Some Indo-European roots appear with and without an initial *s*, even within the same branch (cf. Skt. *páśyati* 'sees', *spāśáyate* 'makes seen'), a phenomenon called *s* mobile (cf. Forston 2010: 76-7). It is poorly understood in inherited roots, but may have something to do with re-bracketing of endings. It is *a priori* strange to be able to find

<sup>&</sup>lt;sup>496</sup> Available online: https://www.academia.edu/36836577/The\_Structure\_of\_North\_Saami

<sup>&</sup>lt;sup>497</sup> Stifter (fth.) alternatively suggests that this could be an example of the non-native suffix spreading to an inherited base. At the same time, this smacks of the sort of laryngeal hardening proposed by Martinet (1955).

such a phenomenon occurring in non-inherited material unless it occurred independently in the daughter branches and could thus occur after loanwords were taken up. This could only be the case if it was a very trivial phenomenon, i.e. that re-bracketing was a frequent tendency for roots with certain onsets. This question requires further investigation, but there seems to be one good example of something akin to *s* mobile in a lexeme of non-IE origin.

The lexeme in question is Lat. *turdus* and its widespread comparanda. An initial \*s is not present in Italic, Celtic, Germanic, Slavic, or Armenian. But it does appear in Baltic \*storzdo- and Gk. στροῦθος.

For two other lexemes, it is questionable whether the forms with initial \*s really belong with those that lack it. To Lat.  $calix \sim Gk$ . κύλιξ might belong U **skalçeta** and Hsch. σκάλλιον, but these may be coincidentally similar. Lat. carpinus is semantically best matched by PSlav. \* $grabr_b$ . It has been compared to OPr. skerptus and Lith. skirpstas 'hornbeam, elm, alder buckthorn, honeysuckle, beech'. But given the broad semantics of the Baltic forms with initial \*s, they too may be only coincidentally similar.

#### 3.2.1.2.8.2 S Insertion

In several cases, comparanda attest to the vacillating presence of a sibilant before a consonant word-internally. In the two most secure cases, the phonetic environment is such that it cannot be determined if the Latin word was borrowed with or without the sibilant. Such is the case for Lat. turdus, which could continue PItal. to/urzdo- or to/urdo-. Pre-forms with an internal sibilant are reconstructible for Celtic, Sol Slavic, Germanic, and Baltic but not for Greek or Armenian. The second b of Lat. barba, in light of comparanda, reconstructs to  $d^h$ . It is not clear if the sequence row- $d^h$ - would also yield row-, but in any case a sibilant cluster is present in Lith. barzda, Latv. barzda

<sup>&</sup>lt;sup>498</sup> Cf. potentially OHG *scāla* 'drinking bowl' etc. (Untermann 2000: 684) < PGm. \**skēlō*- (Philippa et al. 2003-9 s.v. *schaal* 1). The Germanic verb is from \*(*s*)*kel*- 'to cut', but LIV2 also gives \**skelH*- 'to slit open, split'. U **skalçeta** < PItal. \**skalik*- could continue \**sk*|*H*-*ik*-.

 $<sup>^{499}</sup>$  A third case I have not mentioned is that of Lat.  $fid\bar{e}s$  'stringed instrument, gutstring' ~ Hsch. σφίδες χορδαὶ μαγειρικαί 'intestines for cooking', σφίδη' χορδή 'intestines'. While Gk. χορδή can also mean gutstring (thus matching Lat.  $fid\bar{e}s$ ), in light of the other gloss, it more likely means 'sausage'.  $^{500}$  Not the insecure Slavic comparanda of fungus.

<sup>&</sup>lt;sup>501</sup> The Brythonic forms cannot have an internal sibilant, but they may represent a loan from Goidelic.

'beard' whereas the sibilant is absent in PSlav. \*bordà.

The case of Lat.  $frac\bar{e}s$  is similar in many ways (German and Baltic reconstruct to  $*d^hra/og^h$ - but Slavic to  $*d^(h)rasg^h$ -), but Alb. dra attests to a slightly different pattern. The velar should probably not be lost in Albanian, but \*s can be lost, at least before a back vowel (cf. Schumacher & Matzinger 2013: 262-3); thus the best reconstruction for Alb. dra is  $*d^(h)ras$ -. It is unclear if the \*s is the same as the signatic element of the Slavic form (but lacking the velar), or if it should instead be interpreted as an irregular correspondent of the velar.

A similar alternation seems to occur between Lat.  $fascis \sim Gk$ . φάσκωλος  $\sim Hsch$ . βάσκιοι on the one hand and Gk. φάκελος on the other. Given this latter form without the sibilant, it is tempting to compare PGm. \*pakk-, \*bagg- (and thus Lat. baiulus). Alb.  $bashk\ddot{e}$  also has the variant with the sibilant while Celtic comparanda can be reconstructed with or without it. Finally, Šorgo (2020: 459) calls attention to the pair Lat.  $aesculus \sim PGm$ . \*aik-. I have included it under §2.3.2 Uncertain Comparanda, but if the two words are related, then they would indeed exhibit the same pattern shown here.

#### 3.2.1.2.8.3 *SK Metathesis*

There are several cases of irregular metathesis involving a sibilant and velar.

QPIE *sk	QPIE *ks
QPIE *h₂esk- : Lat. ascia	QPIE * <i>h₂eg(ʰ)/ks-</i> : Gk. ἀξτνη
	QPIE *h₂eg*es- : PGm. *akwesi-
QPIE * $mus(g(h)/k)lo-:$ Lat. $m\bar{u}lus, muscellus$	QPIE * <i>mug</i> ( <sup>h</sup> )/ <i>k</i> ( <i>s</i> )- <i>lo</i> - : Hsch. μυχλός
QPIE *musku- : PSlav. *mъskъ	
QPIE *musk-lo- : Hsch. μύσκλοι	
QPIE *wisko-: Lat. viscum	QPIE *wikso- : Gk. ἰξός
	QPIE * weiks- : PGm. * wīhsilō-
	QPIE * wei(k) si- : PSlav. *višъ

Table 3.21 Alternations in the order of sibilant and velar in clusters

Otrębski (1939: 133) lists 10 cases of (simple) s-metathesis,<sup>502</sup> 6 of which are still generally compared today. His list includes Lat. ascia and viscum. Additionally he gives 1) PGm. \*fahsa- 'hair of the head', Gk. πέκος 'fleece' ~ Gk. πέσκος 'skin, rind' (perhaps \*pok-so-, \*pek-es- ~ \*pek-sk-o-, though EDG 1180 is not fully certain), 2) PBSI. \*wośko- 'wax' ~ PGm. \*wahsa- 'wax' (perhaps through metathesis or dissimilations of clusters, cf. Kroonen 2013: 566, or a borrowing from a substrate language, cf. Philippa et al. 2003-9 s.v. was), 3) Lat. vespa 'wasp' ~ PCelt. \*woxs-V-, PBSI. \*wóps(w)a?, Germanic (cf. OHG wafsa, OE wæsp, etc.), perhaps PIr. \*wabža- 'wasp' (potentially from PIE \*(h1)uebh- 'to weave'), and 4) PGm. \*aspō-, \*apsō- 'aspen' ~ PBSI. \*aps- 'aspen' (also in Turkic languages and Finnic, considered non-IE by i.e. Kroonen 2013:

<sup>&</sup>lt;sup>502</sup> Note however that the goal of his 1939 *Indogermanische Forschungen* was to explain these as regular.

39).

A further example might include Lat. *tamarix*, some of whose Romance descendants attest to \**tamarisk*-. Šorgo (2020: 459) notes the Celto-Germanic isogloss (potentially a substrate borrowing, cf. Kroonen, van Sluis & Jörgensen 2023: 212) PGm. \**pahsu*- PCelt. \**tazgo*-, \**tasko*- 'badger'. The phenomenon thus seems to be quite limited, with the only case that demands an inherited origin being that of the wasp word given its widespread distribution in combination with a reasonable IE root etymology.

This phenomenon might represent different attempts at simplifying a foreign complex cluster. For example, beside Hsch. μύσκλοι and μυχλός, there is also Gk. μύκλος, lacking the sibilant entirely. A more exemplary case is that of Lat. *excetra*, with the cluster \*-*ksk*-. Its Baltic comparanda reconstruct to a cluster \*-*ksk*-, while the Slavic forms seem at most to reflect \*-*ks*-. Theoretically, \**ks*- could also be behind Germanic comparanda, in which the cluster has been simplified further to PGm. \**stur*-.

#### 3.2.1.2.9 *Gemination*

Indo-European roots are not reconstructed with geminate consonants (except for nursery words like \*atta-), and gemination that should have occurred via morphological processes was reduced or interrupted (cf. 2sg. \*h<sub>1</sub>esi 'you are' for expected \*\*h<sub>1</sub>es-si, nom/acc dual \*h<sub>2</sub>usíh<sub>1</sub> 'two ears' for expected \*\*h<sub>2</sub>uss-íh<sub>1</sub>, and the insertion of \*s in \*TT clusters; examples from Ringe 2006: 18). Various processes occurred in the individual daughter languages that produced gemination, often the assimilation of clusters produced by the addition of derivational morphology. In Latin, the most important source of gemination that is not the result of assimilation is the littera (or Iuppiter) rule, in which a long vowel followed by a voiceless stop resulted in either a long vowel plus single stop or a short vowel plus a geminate (thus lītera vs. littera and lūpiter vs. Iuppiter). Weiss (2010b, 2020: 155) suggests that the long vowel must be of diphthongal origin and the consonant involved must be a voiceless stop. A similar phenomenon occurs for r after a (the best example being narrō 'to say, tell'). There are thus some cases of Latin geminates for which the littera rule may not provide an adequate explanation and a non-native origin can be considered.

The opposite situation has occurred in the research on the substrate of Germanic. Kuiper's (1995: 68-72) A2 layer is labelled the "language of the geminates" by Schrijver (2001: 420-1) based on its most peculiar feature. It is credited as the source for much of the non-IE vocabulary in Germanic (Boutkan 1998, Boutkan & Siebinga 2005: xvi-xvii). Kroonen (2009: 60-2) however importantly noted that this feature was overused. Many of the geminate forms occur in the n-stems and iteratives, such that they can easily be explained by assimilation of \*n to the preceding consonant (Kluge's Law). Thus the importance of geminates in Germanic for the study of the pre-IE substrate had been overestimated

An explanation that has often been offered to explain the presence of geminates is that

they are expressive. In certain semantic categories, including onomatopoeias, this seems plausible. But as Kroonen (2009: 59) notes (in defense of Kluge's Law, but the argument transfers), "it is *a priori* implausible that a completely new range of phonemes (i.e. geminates) could be introduced into a linguistic system by extra-linguistic factors such as charged semantics," comparing this to the outdated idea of spontaneous generation in biology.

Taking these considerations into account, when the appearance of a geminate in a lexeme can be excluded as a regular development, it may be indicative of a non-native origin for the lexeme in which it occurs. We should keep in mind that this does not automatically presuppose that the source language had geminates however. Once geminates arose via sound changes in the daughter languages, a geminate consonant became a native phoneme onto which a foreign sound could be mapped. There are other phonological properties that the original sounds could have had that resulted in their appearance as geminates. Kroonen (2009: 62) even adds: "One could even speculate, for instance, Kluge's Law was triggered by the absorption of speakers of this substrate language [that had long stops] into the PIE dialect that ultimately became known as Germanic." But to assume that geminate stops appeared as features of the daughter languages because they borrowed words from a substrate language that contained them is perilously close to the ex nihilo argument that Kroonen warned against. In any case, the indication of non-native origin that non-lautgesetzlich geminates provide is strengthened, as with all the categories above, when the geminate is not consistently present (i.e. it alternates) between comparanda and especially when it occurs in a lexeme that attests to other irregular alternations.

Singleton	Geminate
QPIE *ba/Hk-: Lat. baculum	QPIE *ba/HK- : PRom. *bakkillo-
QPIE * <i>ba/h</i> <sub>2</sub> <i>k</i> - : Gk. βάκτρον	QPIE *ba/HK- : PCelt. *bakko-
QPIE * $ba/o/Hk^{-}/$ * $ba/o/Hg^{h}$ -:	
PGm. *pagjō-	
QPIE *beh₂k- : Lat. bāca	QPIE *beh₂K- : It. bacca
QPIE *bh <sub>2</sub> k- : PCelt. *bak-	
QPIE *ba/Hg-: Lat. baiulus	QPIE * <i>ba/o/HG</i> - (or * <i>ba/o/Hg-nó</i> -) :
	PGm. * <i>pakka-</i>
	QPIE * $b^ha/o/HG^h$ - : PGm. * $bagg$ -
QPIE *bhul-Vk- : Lat. fulica	QPIE *b(h)o/ul-a/oK-: SGael. bolachdan
QPIE *b <sup>h</sup> a/ol-ig- : OHG belihha	
QPIE *la/Hk- : Lat. lacerna	QPIE * <i>la/h₂K</i> - : Gk. λάκκος
	QPIE * <i>lh₃K</i> - : Hsch. λόκκη
QPIE *la/Hp- : Lat. lapis	QPIE *la/HP- : PRom. *lappa
QPIE * <i>le/h<sub>1</sub>p-</i> : Gk. λέπας	
QPIE $*le(h_l)p-/*l\vec{i}p-:$ OIr. $l\acute{i}e$	
QPIE *lep-os- : Lat. lepus	QPIE *la/HP-Vr- : PRom. *lapparo-

QPIE *ph₂eil-a/ek- : Lat. paelex	QPIE * <i>pa/HL-ak-</i> : Gk. παλλακή
QPIE *pa/er-ik- : OIr. airech	
QPIE *Hra/Hg-: Lat. raia	QPIE * <i>HruG</i> <sup>h</sup> - : PGm. * <i>rugg</i> -
	QPIE * <i>HreK</i> - : PGm. * <i>rehhōn-</i>
QPIE *sa/HP- : OCo. sibuit	QPIE *sa/HP-: Lat. sappīnus
QPIE *sa/Hb(h)-: Lat. sabīna	
QPIE *μα/h <sub>2</sub> k- : Gk. ὑάκινθος	QPIE *ua/HK- : Lat. vaccīnium

Table 3.22 Alternations in gemination

A remarkable pattern emerges in which attested Classical Latin almost always has the variant without the geminate. (In caballus, it indeed has a geminate l despite a singleton b however). The robustness of this pattern is questionable however, as in 4 of these cases, Romance forms continue a geminate. This perhaps explains the geminate of Lat.  $vacc\bar{l}nium$  (especially if related to  $b\bar{a}ca$ ) and the gemination alternation between Lat.  $sapp\bar{l}nus$  and Lat.  $sab\bar{l}na$ . Classical Latin mainly records forms with a singleton, but it seems to mask the variation that actually existed in Italic. Besides being a sociolinguistic phenomenon, this could also be a chronological issue. The forms with a singleton may have been borrowed before the phonemicization of gemination in Latin, with the geminate forms reborrowed upon the later expansion of Latin.

The geminate r of Lat. cerrus (and PRom \*karr-, \*garr-) could technically be the result of a suffix like \*-so- against It. dial. cariglio < \*kar-. But given the gemination alternations within Italic attested above, it may simply be original. The gemination of Lat. pannus is also likely to be genuine; it would be one of the only examples of the littera rule involving a nasal and, while it could be syncopated from \*pan-ino-, its meaning seems too basal for such a derived formation.

#### **3.2.2** Vowels

The accent-ablaut system of Proto-Indo-European is relatively well understood. Morphemes with ablaut can appear in the zero grade, the full e- or o-grade, and the lengthened  $\bar{e}$ - or  $\bar{o}$ -grade. The semivowels \*i and \*u did not participate in ablaut, but could form diphthongs with ablauting vowels (appearing as stand-alone  $sampras\bar{a}rana$  vowels in the zero-grade). The vowel \*a, if it is reconstructible for PIE outside of the influence of laryngeal coloring, was extremely rare. There are only a very limited number of cases that might suggest that it also participated in ablaut, and of them, only quantitative ablaut seems visible (cf. Forston 2010: 81, Melchert 2022). This thesis generally follows the idea that \*a is not reconstructible (cf. Lubotsky 1989, Pronk 2019) and if it is required, it is indicative of the non-native origin of the lexeme in which it occurs. Given this information, we can rule out certain vocalic alternations as inheritable from the outset. Cases of  $i \sim u$ ,  $e \sim u$ ,  $o \sim u$ ,  $e \sim i$ ,  $o \sim i$ ,  $u \sim \bar{u}$  and  $i \sim \bar{i}$  are not reconstructible and are indicative of non-native origin. So are, in this thesis, alternations involving a of non-laryngeal origin.

In the most general terms, the semantic value of the different ablaut grades is unknown (Fortson 2010: 80), though they are understood to occur in a number of relatively predictable ways in the form of, for the nouns, the various reconstructed accent-ablaut classes (cf. Fortson 2010: 119-22, Beekes 2011: 190-216, Weiss 2020: 276-81 for overviews). Thus in the root of nouns, allowable ablaut is between e, o, and zero, with complications introduced by the effects of laryngeals. Lengthened  $\bar{e}$ -grade of the root seems to be reconstructible at least for \*Hiekw-r- 'liver'. Lengthened grade roots may also have arisen in the form of Narten-type nominal roots (Schindler 1994) and through the process of creating vrddhi derivations (though that the latter dates to PIE is doubted by i.e. Beekes 2011: 181-2). Given these considerations, a question that arises is whether all cases that look like they could be ablaut are in fact of PIE origin. Without any other change in derivational morphology or semantics for example, vocalic alternation between comparanda that could be reconstructed as e.g. the zero-grade and lengthened  $\bar{o}$ -grade of a root does not fit into any of the understood accent-ablaut classes. Thus it looks inherited superficially, but the morphology does not behave in inherited ways, in turn suggesting that it may not be an inherited pattern after all. Both the clear-cut cases mentioned above and cases like this will be considered here.

# 3.2.2.1 Clearly non-IE Alternations

#### 3.2.2.1.1 $E \sim I$

Vocalic alternation between e and i has often been given as a Mediterranean, frequently specifically Etruscan alternation but also Anatolian (e.g. Bertoldi 1939b: 89, Battisti 1959: 154-7). Breyer (1993: 16) notes that, because Etruscan underwent a sound change from i > e, Latin words that show an alternation (like  $vespill\bar{o}/vispill\bar{o}$ ) might represent borrowings from Etruscan at different times or regions, or have to do with Etruscan vocalic phonology. When alternations occur with comparanda outside of Italic, it seems like Etruscan can have little to do with the alternation unless as a mediator of vocabulary to Latin—a difficult hypothesis since none of the forms is attested in Etruscan.

The alternation is indeed found with an almost exclusively Mediterranean distribution (see §4.2.2.4.2):

QPIE *e	QPIE *i
QPIE *kedro- : Gk. κέδρος	QPIE *kitro- : Lat. citrus
QPIE *g <sup>h</sup> el-iHd-ōn- : Gk. χελīδών	QPIE * $g^hir$ - $o/und(^h)$ - $\bar{o}n$ - : Lat. $hirund\bar{o}$
QPIE *gen-es-to- : Lat. genesta	QPIE *gen-is-to- : Lat. genista
QPIE *kup(V)r-et-to-: Lat. cupressus	QPIE *kupar-it-jo- : Gk. κυπάρισσος
QPIE *m(e)nt-: Lat. menta	QPIE *mind <sup>h</sup> - : Gk. μίνθη
QPIE $*g^hed(h)$ - $a/er/s$ -: Lat. hedera	QPIE *k/g <sup>h</sup> id <sup>h</sup> -ar- : Gk. κιθάρα
QPIE $*h_2eu-e(C)s-n-:$ Lat. $av\bar{e}na$	QPIE *h2eu-ik/s- : PSlav. *ovьsъ
	QPIE * $h_2eu$ - $ig^h/S$ - : PEBalt. * $(a)vi\check{z}a^2$ -

Table 3.23 Alternations between \*e and \*i

A less clear example is that of Gk. σικύα ~ Hsch. σεκούα, Arm. sex (where the Lat. comparandum is cucumis). For Lat. menta, a loan from Greek through Sabellic could theoretically explain Lat. e for i (see fn. 171), perhaps in the other cases with this distribution as well. Without attestations of the word in Sabellic, this explanation is no better than suspecting Etruscan as an intermediary. The pair Lat. hirundō ~ Gk. χελūδών has a further comparandum in Alb. dallëndyshe, whose root vocalism cannot reconstruct to \*e or \*i. The singular non-Mediterranean case is that of Lat. avēna against Baltic and Slavic forms with \*i.

#### 3.2.2.1.2 $I \sim U$

Vocalic alternation between i and u has also often been considered characteristic of the Mediterranean substrate (e.g. Hubschmid 1953: 28, Alessio 1955: 375, 537-40 suggesting a substrate vowel  $\ddot{u}$ ; Battisti 1959: 155), potentially in relation to Etruscan (cf. Bertoldi 1948: 70). Some cases of this alternation within Latin can be explained as regular however. For example,  $lubet \sim libet$  'it pleases' and  $clipeus \sim clupeus$  'shield' attest to the change \*u > i between l and a labial (cf. Weiss 2020: 153). While Bertoldi (1948) suggested that  $f\bar{u}nis$  and  $f\bar{l}nis$  were related via borrowing from a substrate, such inner-Latin relationships can be explained via IE ablaut, with e-grade  $*ei > \bar{\iota}$  and o-grade  $*oi > \bar{u}$  (though in this case, the words are not related). Additionally, Sabellic seems to have undergone a change  $\bar{u} > \bar{\iota}$  in monosyllables (Cf. Buck 1904: 41). There are several cases of  $i \sim u$  alternation that cannot be explained in these ways; all indeed show a Mediterranean distribution of attestation:

QPIE *i	QPIE *u
QPIE *g <sup>h</sup> el-iHd-ōn- : Gk. χελīδών	QPIE * $g^hir$ - $o/und(^h)$ - $\bar{o}n$ - : Lat. $hirund\bar{o}$

Table 3.24 Alternation between \*i and \*u

As for the  $*e \sim *i$  alternation in Lat.  $hirundo \sim Gk$ . χελίδών, Alb. dall"endyshe reconstructs to different vocalism. An additional case seems to include Lat. cucumis, Hsch. κύκυον in alternation with Gk. σικύα (though compare also Hsch. σεκούα, Arm. sex in the  $*e \sim *i$  alternation above). Finally, even if supparus is a loan from Oscan, its u-vocalism against Gk. σίφαρος remains irregular.

QPIE ∗ī	QPIE *ū
QPIE *d <sup>h</sup> īk- : Lat. fīcus	QPIE $*d^h/ti/\mu\bar{u}k$ - : Gk. τῦκον, σῦκον
(Hebr. <i>šiqmā</i> )	QPIE * $tu/\bar{u}g^h$ - : Arm. $t^cuz$
QPIE *b <sup>h</sup> rīg-: Lat. frīgō	QPIE * <i>bʰrūg</i> - : Gk. φρΰγω

Table 3.25 Alternations between  $*\bar{i}$  and  $*\bar{u}$ 

There is an additional  $\bar{\imath} \sim \bar{u}$  alternation present in Lat.  $br\bar{\imath}sa$ . Its most proximal source might be a pre-form of Albanian (or a relative thereof), but the vocalism of PAlb. \* $br\bar{\imath}s\bar{a}$ - is in irregular alternation with forms attested as Gk. βρῦτος, βρύτεα.

#### 3.2.2.1.3 $E \sim U$

QPIE *e	QPIE *u
QPIE * <i>sb</i> <sup>h</sup> / <i>g</i> <sup>w</sup> hend- : Gk. σφενδόνη	QPIE $*b^h/g^{wh}und(h)$ -: Lat. funda

Table 3.26 Alternation between \*e and \*u

There is no regular way to get a change from \*o > Lat. u in this position, thus the  $e \sim u$  alternation is original.

#### 3.2.2.1.4 $O \sim U$

QPIE *o	QPIE *u
QPIE *ke/ol-o/umb(h)-: Lat. columba	QPIE *gul-ub <sup>h</sup> - : PGm. *kulubrōn-
QPIE $*g(^h)ol-omb(^h)-:$ OCS $golobb$	
QPIE * $\acute{k}ol$ -( $o$ ) $mb^h$ - : Arm. $salamb$	
QPIE *kotōn-: Lat. cotōneum	QPIE *kudōn- : Gk. κυδώνιον
	QPIE *kodu- : Gk. κοδύμαλον
QPIE *H(o)rk-: Lat. orca	QPIE * <i>Hurg</i> <sup>h</sup> - : Gk. ὕρχη
[QPIE *H(o/u)rk-: Lat. urceus]	[QPIE $*H(o/u)rk$ - : Lat. $urceus$ ]
QPIE *bha/ol-ig- : OHG belihha	QPIE *bhul-Vk-: Lat. fulica
[QPIE *b(h)o/ul-a/oK-: SGael. bolachdan]	[QPIE *b(h)o/ul-a/oK-: SGael. bolachdan]

Table 3.27 Alternations between \*o and \*u

ō	ū
QPIE *kroHm- : Hsch. κρῶμαξ	QPIE *gruHm- : Lat. grūmus
QPIE *kloHm- : Gk. κλῶμαξ	

Table 3.28 Alternation between  $\bar{o}$  and  $\bar{u}$ 

ō	u
QPIE $*s(\underline{u})oHr-e/ak-$ : Lat. $s\bar{o}rex$	QPIE * $sur-Vg-:$ PGm. * $s(w)ur(V)ka-$
[QPIE *suo/ur-ak- : Gk. ὕραξ]	[QPIE *suo/ur-ak- : Gk. ὕραξ]

Table 3.29 Alternation between  $\bar{o}$  and u

Intermediation via Etruscan or Messapic seems particularly unlikely for columba<sup>503</sup> and fulica due to their distribution of attestation. While cotoneum has been suspected of being an Etruscan-mediated Greek loan, especially given its apparent devoicing (cf. de Simone I: 134, II: 271-2, 279), the preservation of  $\bar{o}$  is suspicious as Etruscan does not seem to have distinguished vowel length.

#### 3.2.2.2 *a*-Vocalism

#### 3.2.2.2.1 Reconstructed a-Vocalism

As mentioned above, there is reason to be suspicious of roots for which original a-vocalism must be reconstructed. There are number of ways that a-vocalism can arise in inherited roots beyond the effects of laryngeals. In Latin for instance, Schrijver (1991: 505) summarizes five sound laws that he finds can lead to Latin a: 1) \*e > a after a pure velar, 2) Thurneysen-Havet's Law of \*ou > au, 3) \*o > a/m, u = CV and /m = r + velar, 4) \*RDC > RaDC, and 5) epenthesis of a in sequences C CCC. Not all of these are universally accepted. There are nevertheless several lexemes for which the a-vocalism in Latin has no regular explanation, suggesting it was present at the time of borrowing.

Since \*CRHC yield Lat. CRāC, the short a of fracēs is straightforwardly reconstructed as having entered Italic as \*a.504 As to Lat. trabs, Schrijver (1991: 376, 482) suggests that the Latin form, on comparison with \* $tr\bar{e}b$ - in Sabellic, if it does not represent a regular development from \*trb-, could represent a morphological zero-grade \*trēbs, \*trăbes in which the expected \*torb- < \*trb- was replaced with a form with a-vocalism on comparison with the more frequently seen  $\bar{e}/\bar{a}$  pattern amongst verbs (like agere,  $\bar{e}g\bar{i}$  and frangere, frēgī). That trabs could have developed from \*trb- is not clear; though Schrijver (1991: 483-4) finds evidence that tautosyllabic \*RD (where \*D is an unaspirated voiced stop) yielded RaD, he prefers the idea that trab- developed in the oblique cases of \*trēb- and thus would not have been tautosyllabic. But the idea that the oblique stem of a nominal paradigm was reshaped on analogy with verbal ablaut seems very strange. Given the problems, I think the most straightforward reconstruction is with \*a. Such seems also to have been the case for (at least) the second a of Lat. caballus and tamarix. Potential Sanskrit comparanda for Lat. caput and calix must reconstruct to a-vocalism, since they have not palatalized the preceding k or undergone Brugmann's Law, but their appurtenance is uncertain.

For none of the words given here is the reconstruction of a-vocalism the only peculiarity; other alternations confirm a non-inherited origin. This seems like good evidence for the existence of this vowel in the contact languages.

<sup>&</sup>lt;sup>503</sup> The Coptic forms, lacking the first vowel, show *oo*, *o*, *a*, and *aa*, interestingly suggesting *a*-vocalism in the Egyptian parent form (Allen 2020).

<sup>&</sup>lt;sup>504</sup> This would be the case for *gramiae* as well, if its yowel (whose length is indeterminate) were short.

# 3.2.2.2.2 Alternations Involving A

In the cases above, attested *a*-vocalism has no internally reconstructible source and is likely to have been original. There are additionally several cases where *a*-vocalism can be reconstructed to valid IE pre-forms though only for individual daughter languages; that is, alternations between comparanda rule out the feasibility of these reconstructing representing anything besides original *a*-vocalism. In other cases, irregular alternations that indicate non-native origin make the reconstruction of specifically IE phonemes like laryngeals highly dubious.

### 3.2.2.2.2.1 $A \sim \bar{A}$

While Lat.  $rac\bar{e}mus$  can reflect \*HrHk- (since \*HRHC > Lat.  $R\check{a}C$ , Schrijver 1991: 314), Greek comparanda lack a prothetic vowel and thus rule out an initial laryngeal. While \* $\psi re/oHg$ - could be behind the Greek forms ( $\dot{\rho}\check{\alpha}\xi$ ,  $\dot{\rho}\dot{\omega}\xi$ ), \* $\psi rHg$ - in Latin should have given \*\* $r\bar{a}c$ -. Thus here too, the a-vocalism of Latin was likely present upon borrowing.

The short \*a of Gk. ῥάφος against the long \* $\bar{a}$  of Lat.  $r\bar{a}pum$  and Lith.  $r\acute{o}p\dot{e}$  could be seen as ablaut grades of a sequence \* $eh_2$ . The Germanic preforms can reconstruct to \* $\bar{a}$  (as if \* $eh_2$ ) or \* $\bar{o}$  (as if  $oh_2$ ). But the root must be reconstructed with invalid initial \*r- and Greek evidence provides variation in the quality of the plosive. The Slavic vocalism reconstructs to more aberrant \* $\bar{e}$  or \*oi (or \*ai), and the Celtic comparanda instead show something akin to the a-prefix phenomenon. Unlikely to be inherited, the attested a-vocalism is thus unlikely explainable via PIE laryngeals.

The long  $*\bar{a}$  of Lat.  $b\bar{a}ca$  stands in contrast to the short \*a of Celtic forms like W bagad. These could be reconstructed as ablaut grades of a sequence  $*eh_2$ , but the root begins with \*b, suspicious if inherited. It. bacca, if its geminate is original, further points to non-native origin and thus original a-vocalism. Similar is the case of Lat. pannus alongside PGm. \*fanan- against Gk.  $\pi \acute{\eta} v \eta < *\bar{a}$ . Its geminate n is unlikely to be due to the littera rule and points to non-native origin.

#### 3.2.2.2.2.2 $A \sim E$

Even amongst those who work with the existence of a quantitatively ablauting PIE \*a, there is uncertainty about its participation in qualitative ablaut (cf. Melchert 2022: 202). Šorgo (2020: 457-8) lists several cases of  $a \sim e$  alternations in what he identifies as the substrate lexicon of Germanic, proposing it resulted from treatments of a substrate vowel intermediate to \*a and \*e. An  $a \sim e$  alternation has also been proposed to be characteristic of the Mediterranean substrate (cf. Battisti 1943: 146; Alessio 1946a: 165; Hubschmid 1953: 48, Battisti 1959: 130, 147, 284).

An  $a \sim e$  alternation occurs in the initial vowels of Lat. alaternus against Cretan Greek ἐλαίτρινος. While \*\* $h_l$ C- could have yielded the forms, \* $h_l$ V- cannot. Romance comparanda for Lat. cerrus attest to a-vocalism. While this could be the result of ablaut within a root \* $kh_l$ er- (full e-grade for cerrus and zero-grade for the Romance forms),

further alternations in consonant voicing and gemination demonstrate non-native origin of this lexeme. These two cases are attested with a Mediterranean distribution.

The *a* of Lat. *lapis* against the *e* of Gk.  $\lambda \acute{\epsilon}\pi\alpha\varsigma$  could reconstruct to \* $h_l$ . But Romance forms attest to an irregular geminate pp (potentially even more aberrant PRom. \* $l\bar{\iota}bb$ -). OIr.  $l\acute{\iota}e$  (if it reconstructs to \*p rather than \* $\rlap/\mu$ ) can be reconstructed to either \* $\rlap/e$  like Greek or \* $\rlap/l$ like the most aberrant Romance form.

For the Slavic comparanda of Lat. *alnus*, an  $a \sim e$  alternation does not seem to be easily explained away. The same is probably true for the \*e of ON jolstr against \*a elsewhere. (It cannot be ruled out that the  $a \sim e$  alternation of the Baltic forms is due to Rozwadowski's change.) While the  $a \sim e$  alternation in Germanic, Baltic, and Slavic can be explained as  $*o \sim *e^{505}$  and thus QPIE  $*h_1e \sim *h_1o$ , Lat. *alnus* would require  $*h_2e$ . Thus a laryngeal cannot account for the alternation. Lat. *aper* and its Umbrian cognates have a-vocalism against e-vocalism in Germanic and Greek. Some have suspected contamination from the a of caper. But it can also be taken at face value as a substrate alternation. That Balto-Slavic has an additional element before the vowel is further evidence of this.

The long  $\bar{e}$  of Lat.  $c\bar{e}pa$  cannot be reconstructed to the same pre-form as Hsch.  $\kappa\acute{\alpha}\pi\iota\alpha$ . If the latter is truly Greek, then it attests to an irregular alternation. If it is not, then Hesychius has recorded a foreign word that appears in Latin with different vocalism. Finally, Lat.  $n\bar{a}pus$  corresponds to Gk.  $v\tilde{\alpha}\pi\upsilon$ , whence it may or may not be a borrowing. If independent, it stands in irregular alternation with Arm. niw, whose vocalism can be reconstructed to \*ior \* $\bar{e}$ . $^{506}$ 

#### 3.2.2.2.2.3 $A \sim Q$

The analysis of  $a \sim o$  alternation is made difficult by the fact that both phonemes merge in Albanian, Germanic, Baltic, Slavic, and Indo-Iranian. Thus the true extent of a-vocalism and  $a \sim o$  alternation is hidden in these branches. Sor Šorgo (2020: 458-9) even suggests that the substrate language(s) of Germanic may not have had a phonemically rounded low vowel, noting that in several cases of PGm. \*a against \*o in other branches, the phonetic environment between a labial and resonant may be responsible for conditioning the rounding.

Within Latin, an  $a \sim o$  alternation seems to exist between *caulae* and *cohum*. There are also a few examples between Latin and other branches that do not merge \*a and \*o. It likely appears between Lat. *corbis*  $\sim$  OIr. *carpat* (though the appurtenance of the latter is uncertain), unless this represents a  $\phi \sim a$  alternation  $(*krb(^h)$ - for Latin,  $*karb(^h)$ - for

<sup>505</sup> In light of this, we can reconstruct either  $*a \sim *e \sim *o$  alternation or simply  $*a \sim *e$  alternation. The latter seems preferable (cf. Šorgo 2020: 459 fn. 38).

<sup>&</sup>lt;sup>506</sup> Lat.  $c\bar{e}ra$  cannot be ruled out as a loan from Gk. κηρός. But the latter, whose vocalism is almost certainly to be reconstructed as  $*\bar{e}$ , stands in irregular alternation with PEBalt.  $*k\bar{a}r$ -, attesting to an  $*\bar{a} \sim *\bar{e}$  alternation.

<sup>&</sup>lt;sup>507</sup> And given that the languages where the merger does not occur are Celtic, Armenian, Greek, and Latin, there exists the risk of over-Mediterraneanizing the presence of *a*.

Celtic). While some reconstruct this alternation for PIE (cf. Melchert 2022: 198, Forston 2010: 81), an Indo-European origin for this lexeme is made unlikely by the further alternation introduced by PGm. \* $kreb\bar{o}$ - < QPIE \* $greb^h$ -. The vocalism of Lat. \*lacerna matches that of Gk. λάκκος, though the latter itself alternates with Gk. λόκκη. This is similar to the case of Lat. \* $rac\bar{e}mus$  above, which alternates with Gk.  $\dot{\rho}\bar{\alpha}\xi$  and  $\dot{\rho}\dot{\omega}\xi$ . The  $a\sim o$  alternation of Lat. \*badius and OIr. \*buide is less straightforward, as mechanisms have been proposed within each language that could result in the change: Celtic \*a raising between a labial and a palatal consonant (Thurneysen 1946: 50), Italic \*o unrounding after a labial consonant (Schrijver 1991: 454-65). In neither case is it fully certain that the mechanism can have occurred.

## 3.2.2.2.2.4 $A \sim AU$

There are two cases of an  $a \sim au$  alternation in the dataset. The first is the Mediterranean pair Lat.  $caup\bar{o} \sim Gk$ . κάπηλος. Even if the ultimate source is Hitt.  $h\bar{a}ppar$ - (cf. Puhvel III: 127), it has not entered into Latin directly. Second, PGm. \*haubuda- and \*haubeda- attest a diphthong \*au against \*a in PGm. \*habuda- and \*hafulan- as well as in all other comparanda for the word (including Lat. caput). This has been interpreted as u-infection (Boutkan 1998: 111, DV 91) or metathesis from oblique forms (Kroonen 2013: 215), but in light of the possibility of non-IE origin, this could represent a genuine alternation.

#### 3.2.2.2.2.5 $A \sim U$

#### 3.2.2.2.2.6 $A \sim AI$

Schrijver (1997: 306) notes a pattern in which British Celtic a corresponds to Germanic ai in eight lexemes, proposing that it is the result of the branches nativizing a foreign substrate phoneme like /aə/. In none of the lexemes does a comparandum exist in Latin. He does however mention a similar phenomenon in the Mediterranean, noting for example Gk.  $\chi\lambda\alpha\nui\zeta$  against Gk.  $\chi\lambda\alphai\nu\alpha$  (cf. also Lat. *laena*) as well as Lat. *aesculus*, for which he considers the comparison with Gk.  $\alpha\kappa\rho\alpha$  and Basque *azkai* and *askai* certain (and not mentioning the possibility of a relationship with PGm. \*aik-). Because

 $<sup>^{508}</sup>$  Cf. also Bertoldi (1942: 191 but only between Basque and Greek, the Basque forms somehow with e-), Alessio (1948-9: 148), Hubschmid (1953: 84, fn. 1).

of the differences in patterns of attestation, he is rightly hesitant to attribute both patterns to the same phenomenon. Sorgo (2020: 457-8) suggests expanding this alternation pattern to include the cases of  $a \sim e$  alternation within Germanic and between Germanic and other branches. He similarly proposes that this was the result of a foreign phoneme (transcribed as \*x), perhaps one that was perceived by PIE-speakers as similar to both \*x0 and \*x1 including this as part of the same phenomenon as the x2 is alternation involves the inclusion, beyond Germanic and Celtic, of Italic, Greek, Baltic, and Slavic.

I would rather follow Schrijver's more cautious approach in considering these different alternations as potentially separate phenomena, especially in light of the large number of more or less perfect Celto-Germanic correspondences he is able to locate. Thus I here list the  $a \sim ai$  alternation separate from the  $a \sim e$  alternation above. I find only two examples (excluding Lat. laena, as its diphthong seems to suggest an indirect borrowing from Greek, where the diphthong may be the result of Greek sound laws) of an  $a \sim ai$  alternation involving Latin. The first is Lat. alaternus, whose second a vowel stands against  $\alpha$  in Cretan Greek  $\grave{\epsilon}\lambda\alpha$ ( $\tau$ povo $\varsigma$ ). Second is Lat.  $paelex \sim Gk$ .  $\pi\alpha\lambda\lambda\alpha\kappa\dot{\eta}$ . At first glance, it seems to fit the secondary Mediterranean type that Schrijver mentions, but this is complicated by the additional comparison with OIr. airech. Its a most easily reconstructs to a-vocalism, though before a palatalized consonant, \*e is also a possibility. It feels like a stretch to link the Celto-Germanic  $a \sim ai$  alternation to the Mediterranean  $a \sim ai$  alternation on the basis of one form whose vocalism is not even guaranteed. Thus it really seems like these are two separate phenomena, with OIr. airech potentially representing the partial participation of Celtic in the Mediterranean substrate.

#### 3.2.2.3 Wider Variation

There are several cases for which the wider amount of variation in reconstructible vocalism makes it difficult to categorize alternations.

A root of the shape \* $ureh_2d$ - could yield PGm. \* $wr\bar{o}t$ - in the full-grade and PAlb. \* $wradn(i)\bar{a}$ - and PGm. \*wurti- in the zero-grade (along with Lat.  $r\bar{a}d\bar{i}x$  and Gk.  $\dot{\rho}\bar{\alpha}\delta\iota\xi$ ) in either. No ablaut grade of a root of this shape can give PCelt. \*wradi- however (as both e- and zero-grades would give \*\* $wr\bar{a}di$ -). Nor can a root with a laryngeal give PCelt. \* $wrid\bar{a}$ - or Gk.  $\dot{\rho}\iota\zeta\alpha$ . The original thus variation seems to be between \* $\bar{a}$ , \*a, \*r, and \*i. Given that the presence of an IE feature like a syllabic r in a non-IE language is dubious, perhaps the vowel of PGm. \*wurti-, PCelt. \* $wrid\bar{a}$ - and Gk.  $\dot{\rho}\iota\zeta\alpha$  reflects something akin to a schwa (cf. Šorgo 2020: 456 fn. 25).

The *a* of Lat. *trabs* alternates with  $*\bar{e}$  in Oscan and \*e in Umbrian and Celtic as well as \*o (with Winter's Law and metathesis) in Baltic and what reconstructs to a syllabic resonant in Germanic (perhaps original \*u or a schwa as above).

Lat. tilia can be reconstructed to original e-vocalism like PGm.  $*felw\bar{o}$ -, Arm. f'eli, and Gk.  $\pi \tau \epsilon \lambda \epsilon \alpha$ . If PCelt. \*axtl/nV- can be reconstructed as such, it points to the vocalic reduction triggered by an a-prefix (see more below). If Lat.  $p\bar{o}pulus$  is connected, then

this reducible e-vocalism additionally alternates with  $*\bar{o}$  and \*a/o.

The case of Lat. plumbum is complex, likely representing a Wanderwort. The whole family seems to reflect a non-IE diphthong. PGm. \*blīwa- against Gk. μόλιβδος, μόλυβος points to an \* $\tilde{\iota}$  ~ \*u alternation in a diphthong with \*b ~ \*w alternation. Lat. plumbum matches μόλυβος quite well, if perhaps the vowel of the diphthong was nasalized. PCelt. \*( $\phi$ )loudio- < QPIE \*ple/oud( $^h$ )- is difficult to analyze. If the dental element is a suffix like μόλυβδος, then the \*u of its diphthong might correspond to the \*u ~ \*u element. Its \*u0-vocalism would then be in alternation with the \*u0-vocalism would would

#### 3.2.2.4 Ablaut Phenomena

It is important to note, as has been mentioned above, that several lexemes attest to vocalic alternation that looks similar to acceptable Indo-European ablaut. In many cases, further irregular correspondences between the comparanda show that the words are not of IE origin, and therefore that the resemblance to IE ablaut is coincidental.<sup>509</sup> In other cases, the vocalic alternation is between vowels that participate in ablaut, but its appearance does not correspond to a known accent/ablaut pattern. In these cases, we must ask, as will be done in §3.3 about morphological features, whether Indo-European features behaving in non-Indo-European ways might not be Indo-European after all.

#### 3.2.2.4.1 Ablaut Unparalleled in IE

Lat. alnus and its comparanda can be interpreted as a root \*a/el- with a sigmatic suffix, but the alternations in vocalism of that suffix do not follow a known PIE pattern. Szemerényi (1960: 228) proposed \*-is- as the basis for all comparanda, but Latin alnus can only be reconstructed to \*-s-. The  $i \sim u$  alternation within the suffix in PGm. \*aluz- beside \*alis/zo- is the expected reflex of an inherited PIE s-stem (cf. Schrijver 1991: 41), but the i-vocalism is present in Slavic as well, where it cannot be explained in such a way (cf. Derksen 2007: 370). Thus its incorporation into the inherited s-stems seems to be a uniquely Germanic development. The absence of the i in Baltic may or may not be due to sporadic syncope. However, put all together, the evidence shows the alternating presence of a vowel in a sigmatic suffix \*-s- (guaranteed by Latin)  $\sim$  \*-is- (guaranteed by Slavic). This alternation cannot be understood in terms of inherited ablaut and, in the face of the  $a \sim e$  alternation also present in the comparanda, is a feature of the substrate language itself or the borrowing process from that language.

Inherited ablaut is likewise unable to account for, on the one hand, Lat. *ulmus* and the Germanic variants \**elma*- and \**alma*- and, on the other hand, PCelt. \**limo-*/\**lemo*- (behind MIr. *lem*) and \* $l\bar{e}m\bar{a}$ - (behind W *llwyf*) < QPIE \*( $h_1$ )*leim*-.

<sup>509</sup> Cf. Stifter's (fthc.) "linguistic pareidolia".

### 3.2.2.4.2 Ablaut Difficult to Motivate from an IE Perspective

The most secure comparanda for Lat. ardea is Gk. ἐρφδιός. Even if the variants ἀρωδιός and ῥωδιός are explained away, ardea can only be reconstructed to a zero-grade \*Hrd- to which ἐρφδιός represents the lengthened o-grade (as \*HrHd- ought to yield Lat. \*radea, cf. Schrijver 1991: 314). It is immediately suspicious that there is no recognized accent/ablaut pattern that results in \* $\bar{o} \sim *\phi$  ablaut. The appurtenance of PGm. \* $art\bar{o}(n)$ -, which requires a full vowel to the left of the resonant further points the conclusion that the unparalleled ablaut is not PIE at all.

The same pattern occurs for Lat.  $s\bar{o}rex$  beside Gk. ὕραξ and OSw. surk. Working from a root \*suer-, Vine (1999a: 572-3) explains the Greek vocalism as an original o-grade with Cowgill's Law. This cannot apply to Germanic however, which can only reconstruct to a zero-grade \*sur-. Again we are faced with unparalleled \* $\bar{o}$  ~ \* $\phi$  ablaut, this time in roots of identical structure (i.e. none of the forms can be argued to be derivational). The aberration in voicedness of the velar suffix between the forms allows us to conclude that the ablaut looks so strange because it is not PIE.

#### 3.2.2.4.3 Vocalic Alternations That Can Occur in Ablaut Paradigms

There are several cases where vocalic alternation can be reconstructed as relatively unproblematic IE ablaut, but for which other irregular correspondences provide sufficient evidence of a non-IE origin. In these cases, the ability to reconstruct inherited ablaut grades must be due to coincidence.

The following lexemes can be reconstructed to alternations in e- and o-vocalism. In inherited lexemes these could continue an old acrostatic paradigm, but here they are not inherited:

QPIE *e	QPIE *o	Irregularities
QPIE $*h_1er(H/V)\mu$ - : Lat. $ervum$	QPIE * <i>h10rh3-b</i> - : Gk. ὄροβος	<i>b</i> ~ <i>w</i>
QPIE *h <sub>1</sub> erh <sub>1</sub> b- : Gk. ἐρέβινθος	QPIE *h <sub>1</sub> oru- : ON ertr	Disyllabic root
	QPIE * $h_lorVb$ - : Arm. $a\dot{r}owoyt$	
[QPIE *su(e/o)lp-: Lat. sulpur]	[QPIE $*su(e/o)lp-:$ Lat. $sulpur$ ]	$*b^h \sim *p$
[QPIE $*su(e/o)lF$ -: PRom. $*su(l)fur$ -]	[QPIE $*su(e/o)lF$ -: PRom. $*su(l)fur$ -]	
QPIE * $sue(l)b^h/p$ - : PGm. * $swe(l)bla$ -		

Table 3.30 Alternations between \*e and \*o

Several cases can be reconstructed to alternations in e-grade and zero-grade vocalism, as if perhaps (leveled) continuants of a proterokinetic stem. Again, here they are not inherited:

QPIE *e	QPIE *ø	Irregularities
QPIE *bhers-: Lat. ferrum	QPIE *bhros-: PGm. *brasa-	Schwebeablaut
QPIE *(H)mes-Vl- : Lat. merula	QPIE *h <sub>2</sub> / <sub>3</sub> ems-lo- : PGm. *amslōn-	Schwebeablaut
QPIE *(H)mes-(a)l-: PCelt. *mesal-		
QPIE *ueiks- : PGm. *wīhsilō-	QPIE *uisk- : Lat. viscum	SK metathesis
QPIE *uei(k)s-: PSlav. *višь-	QPIE *μiks- : Gk. ἰξός	

Table 3.31 Alternations between \*e and \*ø

For Lat. ferrum, a derivation from  $*b^her$ -s- looks like a proterokinetic s-stem, but the  $*b^hr$ -os- with zero-grade of the root for PGm. \*brasa- is unexpected, even from a neuter s-stem. Thus it seems rather that the \*s was part of the root. Instead of an e-grade  $\sim$  zero-grade alternation, if the \*s is part of the root, we have e- and o-vocalism, but on opposite sides of the resonant. Its presence in Italic and Germanic alone in the face of a similar-looking Wanderwort of Luwian origin makes it very unlikely that the Italic and Germanic words are inherited. For Lat. merula, the forms without an initial vowel show a full-grade root whereas Germanic, with an initial vowel, shows a zero-grade root. This could be construed as Schwebeablaut in root  $*h_2ems$ -, but it fits much better into the pattern of a-prefixation that will be discussed below.

# 3.2.3 Phonological Conclusions

The overall trend that emerges is that phonological alternations on their own, while being the gold standard for identifying non-inherited lexemes, are not very useful for stratificational purposes. A combination of at least four factors has been interacting to produce the complicated picture we have received. 1) Latin has interacted with an unknown number of other languages. 2) Latin has interacted with these languages at different points in time and for different lengths of time; thus both it and the languages with which it was interacting were undergoing changes during the periods of contact. 3) Latin had a closed set of phonemes which it used to reflect all foreign sounds present in the words it borrowed. Another language's larger phoneme inventory would have been collapsed. But even if another language had a smaller phoneme inventory, if some of those phonemes were perceived as intermediate to native sounds, they could have been borrowing different ways (cf. the ideas proposed for the borrowing of Etruscan u). These effects of the borrowing process are likely behind some of the various alternations in plosive voicedness and aspiration. 4) The languages from which Latin borrowed could themselves have had dialectal variation or have been related more distantly at the family level to other languages, resulting perhaps in some of the same alternations as in (3) but also perhaps more drastic alternations (like some of those beyond the plosive rows). The effects of each of these factors has been collapsed down to one dimension: that of the attested Latin lexicon. And it is therefore perilous to conclude that every example of an irregular alternation is due to contact with the same language. In the other direction, it is difficult to know which alternations are related to one another. Are the cases of s-insertion related to the cases of SK metathesis for instance?

In fact, describing the alternations in terms of voicing and aspiration is almost certainly inaccurate. It is a useful shorthand to show that alternations exist by reconstructing proto-forms to PIE and pointing out their irreconcilability. But this obfuscates the original nature of the phonology of the contact languages. The traditional reconstruction of *tenues*, *mediae*, and *mediae aspiratae* is in competition with the various reconstructions under the glottalic theory. Thus a reconstructed  $*b^h \sim *p$  alternation could instead have been a  $*p: \sim *p, *p \sim *p^h$ , or  $*p \sim *b$  alternation. Which of these represents the truth, we may never know. (Though in some cases, like *fīcus*, our guesses can be refined.)

A clearer stratificational picture will be provided when the alternations are examined in combination with the distributions of the lexemes in which they are attested (see §4).

# 3.3 Morphological Alternations

An interesting contrast to the phonological alternations is provided by morphological alternations. As opposed to the large number of different factors that can all have the same phonological result, there is less of a chance that two different languages have identical morphemes (or morphological phenomena). It is by no means bulletproof however (sometimes unrelated languages *do* have otherwise identical morphemes or phonological consequences of the borrowing process might merge two originally different morphemes).

Much of the work that has been done on non-IE morphological features to date has been on affixes. For instance, Ernout (1946: 21-51, reprint of 1930) proposed several suffixes in Latin that he thought could represent borrowings from Etruscan. Bertoldi, Alessio, Battisti, and Hubschmid defined several different substrate suffixes that recurred in Latin and Romance languages. Beekes (esp. 2014) lists 149 suffixes that he attributes to his version of Pre-Greek. With the understanding that suffixes of any origin can be added to bases of any origin, I looked for morphological features secondarily. Some morphological patterns factor into the primary evidence, such as the *a*-prefix phenomenon and polysyllabic roots, because they cannot be regularly reconstructed to PIE. Otherwise, considered in this section are a few cases of recurring morphemes on lexemes whose non-native origin is indicated by other features, making them potentially also of non-native origin themselves.

## 3.3.1 Pre-Greek Suffixes

While the following sections will discuss morphological features that appear between comparanda of Latin words, there are several cases of Latin words that themselves contain suffixes otherwise suspected to be Pre-Greek (in the Beekesian sense of restricted to Greece).

#### 3.3.1.1 Latin *-essus*

On the basis of Greek placenames in  $-\sigma(\sigma)\circ\varsigma$  (and  $-\tau(\tau)\circ\varsigma$ ) that matched placenames in Asia Minor, Kretschmer (1896: 405-6, further e.g. 1923a: 69) proposed influence from a language of Asia Minor, with the caveat that such a sequence also appears in inherited formations. With the discovery of the Anatolian languages, the possibility that these suffixes represented vestiges of previous Anatolian-speaking (more specifically Luwian) inhabitants developed (cf. recently Finkelberg 2006: 52, West 2007: 8, who names it "Parnassian"). The variant  $-\tau(\tau)\circ\varsigma$  has led some to be suspicious of this explanation (cf. Morpurgo Davies 1986: 119-120). Beekes (2009: 192-3) explains it as the reflex of Pre-Greek palatalized velars (adducing as evidence the Hsch. var.  $\delta\alpha\lambda\alpha\gamma\gamma\alpha$  of Gk.  $\theta\dot{\alpha}\lambda\alpha\sigma\sigma\alpha$ , Attic  $\theta\dot{\alpha}\lambda\alpha\tau\tau\alpha$ ) and (in Beekes 2014: 39) considers it a non-IE Pre-Greek suffix.

Whether of ultimate IE origin or not, the suffix is at home in Greek. In Latin, the sequences -issa/us and -essa/us appear, when not inherited (e.g. compounds of missus and gressus etc.), in direct loans from Greek (e.g. narcissus < vάρκισσος). In one case, cupressus, the suffix appears on a Latin word that has not been directly borrowed from its Greek comparandum κυπάρισσος.

#### 3.3.1.2 Latin -*undo*

Likewise on the basis of placenames, matches between Gk.  $-v\theta o \zeta$  and -anda in Asia Minor led the Greek suffix to be early on considered a relic of a Pre-Greek substrate (cf. Kretschmer 1896: 402-5, but already Pott 1853: 451). Like  $-\sigma(\sigma)o \zeta$ , attempts have been made to give it an IE origin (cf. discussion in Kroonen fthc.; additionally, Finkelberg 2006: 52 and West 2007: 8 consider it Luwian). And like  $-\sigma(\sigma)o \zeta$ , it is still widely considered to be of non-IE Pre-Greek origin (cf. Beekes 2014: 37, Kroonen fthc.).

The representation of the Pre-Greek suffix in Latin is difficult to analyze. Firstly, there are several cases of Greek lexemes with the suffix  $-\iota\nu\theta$ - that have Latin comparanda in which the suffix is not present; even when something similar to it is present in other non-Greek branches. The best and most curious example is that of Gk. ὅροβος ~ ἑρέβινθος, beside which PGm. \*arwīt- < QPIE \*orw-īd-, Arm. arowoyt < QPIE \*HrVbħ-oud-, and maybe even Iranian forms < QPIE \*Hreb(ħ)-e/ont/d(ħ)- contain a suffix that looks comparable to  $-\iota\nu\theta$ -/ $-\nu\nu\theta$ -. Lat. ervum however lacks the suffix completely.

In contrast to this, Lat. *bolunda* seems to suggest that *-und-* is the Latin reflex of Pre-Greek  $-(\upsilon)\upsilon\theta$ -, as compared with Gk. ὅλυνθος whence it almost certainly cannot be a regular borrowing. There are two other cases of obscure Lat. *-und-*, but they remarkably do not correspond to Greek forms with  $-\upsilon\theta$ -. Lat. *harundō* has no secure relatives while Lat. *hirundō* corresponds to Alb. *dallëndyshe* (whose root ends in QPIE \*- $(o)nt/d({^h})$ -) but Gk.  $\chi \epsilon \lambda \bar{\iota} \delta \dot{\iota} \delta \dot{\iota} \dot{\iota}$  (with no trace of the nasal or aspirated consonant). Perhaps this suggests

 $<sup>^{510}</sup>$  Against a Luwian origin, Morpurgo Davies (1986: 120) rightly wonders why it surfaces as  $-v\theta$ - rather than  $-v\tau$ - or  $-v\delta$ -.

that the Latin words are borrowed independently of Greek (i.e. from a relative of Pre-Greek on the Italian peninsula), but they are so few that this is unlikely. Additionally, Kroonen (fthc.) notes the peculiarity that Lat.  $menta \sim Gk$ .  $\mu i \nu \theta \eta$  and PRom. (Southern Italian) \*plenta-  $\sim Gk$ .  $\pi \lambda i \nu \theta \sigma \varphi$  potentially present conflicting outcomes of the same suffix.

#### 3.3.1.3 Latin \*-ara

Beekes (2014: 32), following Furnée (1972: 256-7, esp. 257 fn. 36), gives evidence of -αρ- as a Pre-Greek suffix. Gk. κισσός ~ κίσσαρος, κιθάρα has a Latin comparandum in hedera. The latter reconstructs to a pre-form identical to that of κιθάρα but for an  $e \sim i$  alternation, interestingly the same as that between Lat. cupressus ~ Gk. κυπάρισσος, Lat. hirundō ~ Gk. χελίδών, Lat. menta ~ Gk. μίνθη and PRom. \*plenta- ~ Gk. πλίνθος above.

### 3.3.1.4 Conclusion on Pre-Greek Suffixes in Latin

The paucity of the reflexes of these suffixes in Latin lexemes in comparison with their frequent occurrence in Greek seems to point away from a Pre-Greek-speaking population in Italy. If this is the case however, then the words must have been transmitted from Greek to Latin via an intermediary language, perhaps via sea trade. This is plausible for cupressus, bolunda, and \*plenta-, which may be considered items of economic importance. It seems more difficult to understand this for harundo, hirundo, menta, and hedera. The first two, as mentioned, do not certainly contain a Pre-Greek element. For the Greek comparanda of menta (and \*plenta- for that matter), although they end in a sequence containing -ινθ-, it is difficult to confirm that they indeed attest to the -ινθος suffix (cf. Kroonen fthc.). The explanation of hedera remains elusive. It is a priori plausible that a language related to Pre-Greek would have been spoken on the Italian peninsula (i.e. that it was not exclusive and unique to Greece), but it seems dubious to confirm its presence on the basis of one word. In the end, what we find might be a combination of words from a Mediterranean substrate language borrowed independently by Latin and Greek and Pre-Greek words mediated into Latin by a (and in some cases surely the same) substrate language.

#### 3.3.2 The a-Prefix

Schrijver (1997: 296-7, 307-12) collected examples of a phenomenon in which some languages attest to a lexeme with an initial *a*- against others without the *a*-. In the best examples, the *a*-prefixed words shows a concomitant reduction in vocalism. The first three he accepted were \*amsl- (OHG amsla) ~ \*mVsl- (Lat. merula, W mwyalch) 'blackbird', \*alaud- (Gaulish alauda, documented in Latin) ~ \*laiwaz- (OE lāwerce) 'lark', and \*arud- (OHG aruz) ~ \*raud- (Lat. rauda) 'ore'. Further examples exhibit the vacillating presence of an initial *a*- but lack the vocalic reduction, perhaps representing leveling of the vocalism: W garr 'leg, shank' ~ Hsch. ἄκαρα 'leg', PCelt. \*strabi- (OIr. straiph, sraib 'sulphur', sraiftene 'lightning') ~ PGk. \*(a)st(e)rVp- (ἀστεροπή, στεροπή,

άστραπη, etc. 'lightning'). But Schrijver was unsure of the many examples given by Furnée (1972: 368-74) of vacillating prothetisches α belonged to the same phenomenon.<sup>511</sup> Iversen and Kroonen (2017: 518) added several further examples:

- \* $r^{(\bar{o})}d$  (Gk. ἡωδιός, Serb.  $r\acute{o}da$  'stork') ~ \*ard- (ON arta 'teal, garganey', Lat. ardea, Gk. ἐρωδιός, ἀρωδιός 'heron')
- \*re?k- (ON rækja 'shrimp') ~ \*ar?k- (Ru. rak 'crayfish', Lith. érkë 'tick, mite')
- \*gedl- (Gk. γέλγιζ 'garlic') ~ \*agdl- (ἄγλιζ, Lat. allium 'garlic'), cf. already Kroonen (2012b)
- \*rai?s- (Lith. ríešas 'nut') ~ \*ar?s- (Ru. oréx, Alb. arrë 'walnut')
- \*sak-/\*se-sk- (OE secge, OIr. seisc 'sedge') ~ \*as(a)k- (Ru. osóka 'sedge')
- \*setr- (OHG sturio 'sturgeon') ~ \*as(e)tr- (Ru. osëtr 'sturgeon'), cf. already Kroonen (2012a: 240, 256)
- \*rap- (Gk. ῥάφ/πυς, Lat. rāpum, OHG ruoba 'turnip') ~ \*arb- (W erfin 'turnips)
- \*sker- (OHG sker 'mole') ~ \*askr- (Gk. ἀσκαρίς 'worm', Ru. jáščer 'lizard')

Schrijver (2018: 362) adds \*aleil- (Hitt. alel) ~ \*leil- (Lat. līlium, Gk. λείριον) 'lily'.

Schrijver (2017: 362) suggested that the a-prefix and its effects on vocalism was similar to that of the Hattic nominal prefix ha-, 512 as part of the evidence that the language of the first European farmers may have been related to Hatto-Sumerian. Šorgo's (2020: 457) explanation on the other hand is that it is not actually an a-prefix at all. Instead it is a subset of a broader substrate feature of accentually conditioned alternation from an original (C)ÝCVC ~ (C)VCÝC. 513 As examples of other types of cases, he provides:

VCC- ~ VCVC- (PGm. \*arwīt-, Lat. ervum ~ Gk. ὄροβος, ἐρέβινθος)

CVCR- ~ CCVR- ~ CCR- (Gk. μόλυβδος etc. ~ PGm. \*blīwa- ~ Lat. plumbum)

CVCC ~ CCVC (PGm. \*maldjo- 'orach' ~ Gk. βλίτον, βλῆτον 'amaranth'), (PCelt. \*se/immr- 'clover' ~ PGm. \*smai/ēr- 'sourgrass'), (PGm. \*waldō- ~ Lat. lūtum < \**ulout-/*\**ulūt-* 'dyer's rocket')

CVCC ~ CVCV (PGm. \*samda- ~ Gk. ἄμαθος 'sand')

CVRCC ~ CRCVC (PGm. \*waizda- ~ Gk. ἰσάτις 'woad')

If Lat. ervum ~ Gk. ὄροβος is a part of this phenomenon, then the numerous cases of the

<sup>&</sup>lt;sup>511</sup>As a general substrate feature, cf. e.g. Alessio (1944a: 149, fn. 242), Hubschmid (1950b: 291). Furnée (1972: 368 with further lit.) finds this phenomenon distributed from Iran and Mesopotamia, through Asia Minor, Greece, and the Balkans, to the Western Mediterranean.

<sup>&</sup>lt;sup>512</sup> A similar source had been suspected of producing the prothetic a- in some toponyms in Asia Minor (e.g. Kretschmer 1933a: 86).

513 Recently, Schrijver (fthc.) along similar lines has instead proposed that the source of the *a*-prefix

alternation is an East Caucasian language.

a-prefix only coincidentally have a-vocalism. Potentially in favor of this is the case of the elm word (Lat. ulmus), which Schrijver (1997: 311) was uncertain about adding because Germanic has both e- and a-vocalism of the prefix (and Latin probably has e- or o-vocalism). Perhaps the Greek form ἐρφδιός with its initial e shows this pattern as well. If Kroonen's addition of PGm. \* $sturja/\bar{o}n$ - is correct, it should be noted that the Slavic forms all regularly reconstruct to e-vocalism. Thus this would be another example of an e-prefix. If the vowel does not have to be a, then Šorgo's proposal seems attractive. It is strange that there are so few cases with non-a vocalism, but perhaps this has something to do with the distribution of vowels in the substrate language(s).

Regardless of which explanation is correct, there are indeed several Latin lexemes that participate in the phenomenon of a-prefixation. From the dataset, ardea, merula,  $r\bar{a}pum$ , and raudus (as well as ervum and plumbum) have already been mentioned.  $\bar{A}lium$  fits, with some difficulties, into the pattern including Gk.  $\gamma \epsilon \lambda \gamma \bar{\iota} \zeta \sim \delta \gamma \lambda \bar{\iota} \zeta$ , as does excetra to the pattern including Baltic, Slavic and Germanic sturgeon words. I am not sure that the  $l\bar{\iota} lium$  lexeme belongs to this group, because it seems plausible that the initial h of the Egyptian comparandum, if it represents the source, could have affected the anlaut in the borrowings.

To the list can be added Lat. tilia, whose Celtic comparanda from PCelt. \*axtlV- can be reconstructed further to \*aptlV- (cf. the preserved initial cluster in Gk.  $\pi\tau\epsilon\lambda\dot{\epsilon}\alpha$ ). Lat.  $pirum \sim$  Gk.  $\ddot{\alpha}\pi\iota\upsilon\upsilon$  might represent an example where the vocalism of the root has been leveled. Restricted to Latin and Greek (and perhaps languages much further East), it distribution makes its relevance to this widespread European phenomenon uncertain. Finally, if the vowel of the a-prefix indeed does not have to be a, then an example from the uncertain cases might include Lat.  $\bar{t}nsula$  (s.v.) against Gk.  $v\tilde{\eta}\sigma\sigma\zeta$ . The other evidence of a non-a vowel involves e, but  $\bar{t}nsula$  (with regular lengthening from \*in-) has perhaps undergone the same change \*en- > in- that occurred in the preposition, preverb, and negative prefix.

#### 3.3.3 The Velar Suffix<sup>514</sup>

Numerous Latin words ending in -ax, -ex, -ix, and -ox lack a good IE etymology and fall into semantic categories including animals, biting insects, trees, plants, and body parts (cf. Ernout 1946: 133-63, Leumann 1977: 375-6, Weiss 2020: 326-7). While some lexical bases to which this suffix is attached can be argued to be inherited (Ettmayer 1926: 23, Ernout 1946, Specht 1947: 40-1, Martinet 1955, Olsen 2009, Matasović 2016, Weiss 2020: 326-7), many of the etymologically obscure forms have long been suspected of being non-IE in origin (Ettmayer 1926: 23; Terracini 1929: 212-14; Bertoldi 1937: 157; Gerola 1942: 364; Alessio 1944a: 104; Hubschmid 1953: 84, 1960: 97; Leumann 1977: 375; DV 299). Likewise, numerous Greek words in  $-\alpha\xi$ ,  $-\alpha\kappa\sigma$  belong to similar semantic categories and are also suspected of being loanwords (Nehring 1925,

<sup>&</sup>lt;sup>514</sup> This section follows and is developed out of Wigman (fthc.).

Chantraine 1933: 376-83, Beekes 2014: 32, 44).

A suffix \*-k- is reconstructible for PIE in many forms and functions (cf. Brugmann 1906: 472-506), but an interesting pattern emerges as concerns especially the -ixl-ex suffixes of Latin.<sup>515</sup> While thematic velar suffixes and athematic velar suffixes with a long vowel have good parallels in other branches and have relatively well-understood sources (cf. Wigman fthc.), the athematic, short-vowel -ixl-ex suffixes are often isolated to Latin. There is little lexical overlap with other branches. One case might be Lat. natrix 'sea serpent' against OIr. nathir < \*natrik- but this is complicated by the Brythonic forms reconstructing to \*natrī- and Germanic forms similarly lacking the velar element. In Greek, Kölligan (2017: 369-70) suggests that no cases of Greek formations in -αξ beside a velar element in another branch need be interpreted as anything but individual parallel developments. There are indeed remarkably few cases of Latin -ex corresponding to Gk.  $-\alpha\xi$  (suggesting that Lat. -ex is, at least in some cases, the result of weakening from \*-ax). This includes Lat. Lat.  $m\bar{u}rex \sim Gk$ .  $\mu \dot{v} \alpha \xi$  'murex' and, rather uncertainly, Lat.  $\bar{t}lex$ ~ Macedonian (Hsch.) ἴλαξ 'holm oak'. <sup>516</sup> Crucially, this same correspondence occurs in one lexeme that has a Germanic comparandum where the velar is voiced (1). This irregular alternation between Germanic \*k < QPIE \*g against Lat. \*k < QPIE \*k occurs in two further lexemes (2, 3):

- (1) Lat.  $s\bar{o}rex < QPIE *s(\underline{u})\bar{o}rVk- \sim Gk$ . ὕραξ  $< QPIE *s\underline{u}o/urak- \sim PGm$ . \*s(w)ur(V)ka-< QPIE \*sur(V)g-
- (2) Lat.  $fulica < *b^hulVk- \sim SGael.\ bolachdan < QPIE *b(h)o/ula/oK- \sim OHG\ belihha < QPIE *b^ha/olig-$
- (3) Lat. filix, felix < QPIE \* $b^h$ elik- ~ Gk. βλῆχνον, βλῆχρον < QPIE \* $bl\bar{e}g^h$ -n/r- ~ PGm. \*brekna(n)- < QPIE \* $b^h$ reg-n-

In (3), the Greek and Germanic forms have an additional suffix added, which may be responsible for the aspiration in Greek (see §3.3.4). This irregular alternation within the suffix itself indicates that some examples of Lat. -ixl-ex have been borrowed. On the other hand, this correspondence pattern is not the only source of Lat. -ixl-ex. Lat. salix beside PCelt. \*salik-, PGm. \*salihōn-, and potentially Gk. (Arcad.) hελικης can be reconstructed to ablaut grades of a root \*selH-ik-. All cases reconstruct to the same unvoiced velar in the suffix, and there is thus no positive evidence indicating that this lexeme is not inherited. That not all examples of Lat. -ixl-ex are from the same source makes it difficult to determine whether the sōrex-fulica-filix type truly represents a suffix or whether it is simply the final velar of a disyllabic substrate root. Some weak evidence against suffix status is that other suffixes have been added (e.g. the n-suffix of the Greek and Germanic comparanda of filix). I suggested a relationship between Lat. sīl 'ochre'

<sup>&</sup>lt;sup>515</sup> Due to the possibility of leveling of the vocalism from the oblique, some cases of -ix might represent originally the same suffix as -ex.

 $<sup>^{516}</sup>$  Lat. latex 'liquid, fluid'  $\sim$  Gk. λάταξ 'drop of wine' looks like an example, the Greek oblique forms have  $\gamma$  rather than  $\kappa$ .

and *silex* 'flint', but without a Germanic comparandum, it is difficult to know if this represents the same "suffix". Such is also the case for Lat. *cerrus* 'holm oak' against Romance forms like OProv. *garric* 'kermes oak'. <sup>517</sup>

Beyond these cases, there are few lexemes with a velar element in what looks like a suffix position that can be show to be of non-inherited origin due to irregular correspondences in the root material. Such is the case for Lat.  $calix \sim Gk$ . κύλιξ. The velar element is present in U **skalçeta** but is lacking in Hsch. σκάλλιον, though the appurtenance of the latter two is not secure. Nor is it for Skt. kaláśa-, which would indicate that the velar involved is a palatovelar, a feature that is not normally visible due to attestations in centum languages. For Lat.  $tamarix \sim Gk$ . μορίκη, the Greek form is thematic as it is in Lat.  $paelex \sim Gk$ . παλλακή (also attested is πάλλαξ, but EDG 1147 suggests it is a backformation from παλλακή)  $\sim$  PCelt. \* $φa/erik\bar{a}$ -. The latter Celtic form reconstructs to QPIE \*k, making this group more similar to the salix type of velar suffix than the  $s\bar{o}rex-fulica-filix$  type where the Celtic comparandum of fulica reconstructs to a geminate \*kk.

In Lat.  $calx \sim Gk$ .  $\chi \dot{\alpha} \lambda \iota \xi$ , the relationship to the velar suffix(es) is unclear. Given the productivity of -ix in Latin, it is highly unexpected that the vowel would be syncopated. But is it likely that the Greek form has added an anaptyctic vowel? Finally, the suffix  $-\bar{\iota}x$ , which can have a good native etymology (the inherited  $dev\bar{\iota}$ -suffix \*- $ih_2$ -, probably with the addition of \*-k- [Schrijver 1991: 148-54, Weiss 2020: 325], though arguments can be made that it is the result of laryngeal hardening [Olsen 2009]), seems to have been added to Lat.  $r\bar{\iota}d\bar{\iota}x$  and Gk.  $\dot{\iota}$   $\dot{\iota}$   $\dot{\iota}$  in light of the fact that no other comparanda have the suffix. It is curious that the exact same suffix should added, but the semantic difference between the Latin and Greek forms makes it unlikely that either is loaned from the other.

In the end, there is evidence for a Lat. -ixl-ex of non-inherited origin, as well as cases of Lat. -ixl-ex attached to lexemes of non-inherited origin. It is not possible in all cases to know if 1) the element is the same everywhere it appears (and in fact, there are at least two separate sources) and 2) if the element functioned as a suffix in the language where it originated. It seems easy enough for Latin to have analyzed it as a suffix upon borrowing on comparison with inherited suffixes of the shape \*-Vko- and \*-Vk- and nominal compounds in -fex < facere.

### 3.3.4 The n-Suffix

Kuiper (1995: 80) noted for PGm. \*baunō- that "suffixation of -no-/-nā-, whatever their origin may be, occurs in several loanwords." Unlike the above case of the velar suffixes, due to the nature of the dental nasal in the daughter languages, there is no possible phonological alternation that could suggest irregular correspondences; only the vacillation of its presence. Proto-Indo-European is reconstructed to have had a deverbal suffix of this shape (Fortson 2010: 131). Leumann (1977: 320) also notes that stems of

<sup>&</sup>lt;sup>517</sup> FEW (II: 411) considers this an example of the "wohl ebenso iberisches" suffix -ico-.

this shape can be the result of derivation of inherited n-stems. Given that there was an inherited n-suffix, its presence on a word cannot be any indication of non-native origin. But when it appears on words of non-native origin, we must wonder how it appeared there. Was its usage transferred from inherited cases as a strategy for nativizing foreign lexical material? Or was it borrowed in place because the substrate language(s) also had a nasal suffix?

There are a few indications that this is not an inherited *n*-suffix. It is a derivational morpheme, but between the comparanda that contain it and those that do not, there is little derivation in meaning. The vacillation of its presence itself is suspicious; if it is deverbal, then the forms without it should still be verbal. There is no way to know whether the borrowed lexeme had a verbal function (and therefore that the *n*-suffix could represent the addition of native morphology), but the semantic similarity of the forms without it to the forms with it suggest that they were borrowed in nominal function. Unless we propose that the substrate language(s) had an IE-esque *n*-stem construction, this cannot be the explanation either. This is a potential example of IE morphology behaving in non-IE ways turning out not to be IE after all. But one point merits caution: In Latin, an additional source of words with an *n*-suffix are the material adjectives in \*-(*i*)*no*- (either \*-*no*- or always-syncopated \*-*ino*-), a derivational shape that also occurs in Greek -ĭvoç. <sup>518</sup> In certain cases, a substantivized material adjective could come to be synonymous with the underived nominal base.

The words that can be determined to be of non-IE origin due to other factors and which contain an n-suffix are:

With <i>n</i> -suffix	Without <i>n</i> -suffix
Lat. alnus < PItal. *alsno-	PGm. *aluz, *alis/zo-, ?*elustrō-
PBalt. *(a/)el(i)snio-	PSlav. *o/elьxa-
Lat. avēna < PItal. *awe(C)snā	PSlav. *ovьsъ
?West Uralic *we/äšnä	PBalt. *(a)viža?-
	?PGm. *hab(a)zan-
Lat. $urna < PItal. *ur(k)n\bar{a}$	Lat. orca, urceus
	Gk. ὕρχη
PGm. *hadnō-	Lat. catulus < PItal. *kate/o/ulo-
	PGm. *hada/e/ulō-
	PCelt. *kadVlot-
PGm. *baunō-	Lat. $faba$ < PItal. $*b^hab\bar{a}$
	PSlav. *bòbъ-
	PBalt. *babō-
Gk. βλῆχνον, βλῆχρον	Lat. filix, felix < PItal. *felik-
PGm. *brekna(n)-	

<sup>&</sup>lt;sup>518</sup> Lat. -*īnus*, Gk. -*ī*voς, and PGm. -*īnaz* have a long vowel that would not syncopate in Latin.

Gk. δάφνη, δαύχνα

Lat. laurus < PItal. \*lauro-

Table 3.32 Alternating presence of an *n*-suffix

The suffix looks also to be present in Lat. *alaternus*, but Cretan  $\dot{\epsilon}\lambda\alpha$ itpivo $\varsigma$  suggests that the *-rno-* sequence is secondary from *-rino-*. One Celtic comparandum for Lat.  $r\bar{a}d\bar{\imath}x$  (OIr.  $fr\dot{\epsilon}n < *wridn\bar{a}$ ) has an n-suffix as opposed to several other forms, even within Celtic, that do not have it. Therefore I am uncertain if it represents the same phenomenon. But a very similar case, albeit without a Latin comparandum, is the holly word. It has an n-suffix in PCelt. \*kolinno- < QPIE \*kolis-no- that is lacking in PCelt. \*kelastr- < QPIE \*kela(s)-str- and in all the other comparanda (Germanic, Greek, Armenian, and Romance forms including Sardinian, cf. van Sluis fthc.).

Two other forms that have been classified as uncertain because they have no other features pointing to a non-native origin (or competing plausible etymologies) include Lat. *acer* against PGm. \**ahurna*- (with the suffix, and PGm. \**ah*(*i*)*ra*- without it) and Lat.  $p\bar{\imath}nus$  against either Lat. pix, picea or Gk.  $\pi i \tau v \zeta$  (or both, if this is a  $t \sim k$  alternation, see above).

Between nearly all sets of words, the meaning is identical. The explanation of a syncopated adjectival \*-ino- being substantivized does not work for the cases where it is not Latin that attests to the suffix (like PGm. \*baunō-). In the case of avēna, it is even present on the Uralic forms, suggesting that they borrowed the word with the suffix (and from the source, as both Baltic and Slavic lack the suffix showing they cannot have been the source of it in Uralic). This all seems to indicate that in these words, the suffix is of non-IE origin. And unlike with the velar suffix, there is enough vacillation amongst the comparanda to suggest that it functioned as a suffix (in that it could be added or removed) in the source language.

The Greek forms attest to an interesting pattern. In all cases where Greek attests to an n-suffix, when this suffix is attached to a stop, that stop is aspirated (κυλίχνη; βλῆχνον; δάφνη, δαύχνα; ἀράχνη). This pattern occurs elsewhere within Greek, for example between Gk. πέλιξ and πελλίχνη 'bowl'. This led Beekes (2014: 37) to follow Furnée (1972: 132, fn. 64, 65) in a suggesting that the n-suffix may have been responsible for aspirating a Greek κ. But Indo-European also had derivations in \*-sno-, potentially from diverse sources, which, after a stop in Greek, produced aspiration (cf. \*louk-sn-eh<sub>2</sub> > Lat.  $l\bar{u}na$  'moon', Av.  $raox\bar{s}na$  'lantern, bright light', \*luk-sn-o- > Gk. λύχνος 'lamp'). Mawet (2008: 43) notes that the resulting 'aspirated consonant + νη' spread as a derivational pattern within Greek. Thus Gk. κυλίχνη may represent a regular derivation of Gk. κύλιξ. In part for the same reasons as given above for the n-suffix in general, I do not think that all of these cases, especially when they occur on words that can be demonstrated to be of non-IE origin, can be explained as an inherited phenomenon.

In βλῆχνον/βλῆχρον, the aspiration occurs not only before the \*n but also the \*r in the alternate form. (Notably, the n- and r-suffix distribution is also found between Lat.

laurus ~ Gk. δάφνη, δαύχνα). In the Germanic comparandum \*brekna(n)-, no \*s occurs. And in fact, as opposed to Greek, which, as just noted, always aspirates the stop before this suffix, the three cases of this suffix in Germanic (\*hadnō-, \*baunō-, and \*brekna(n)-) where an \*s would be preserved never attest to an \*s. One might propose that this pattern of 'aspirated consonant + vn' became ubiquitous in Greek and that perhaps the r-suffix of  $\beta\lambda\tilde{\eta}\gamma\rho\sigma$  replaced the n-suffix that had already triggered the analogical change of \*-κν- > -γν-. But the pair Gk. ἀράχνη ~ Lat. arāneus shows that not all cases are analogical. Here the Latin long vowel shows that both forms go back to a true cluster \*-ksn-. The βλῆγνον/βλῆγρον case is further interesting because it looks like the n-(/r-)suffix has been added to a velar 'suffix', attested in its plain form in the Latin comparandum *filix/felix*. The Greek forms could hypothetically represent the *n*-suffix added to a nominative formation in -\xi. This certainly cannot be the case, but it raises the question of how to segment this cluster. Does βλῆχνον represent \*blēk-sno- or \*blēks-no-? Given that Germanic attests to the same suffix without the sibilant, perhaps the latter is more likely. In this case, some quality of the foreign velar was interpreted in Greek as an affricate.

Evidence that Latin has interpreted a foreign velar as an affricate is found in  $av\bar{e}na < *awe(C)sn\bar{a}$ -. Against the reflex of \*k in Slavic and  $*g^h$  in Baltic, all forms may simply have been borrowed as some sort of sibilant (cf. West Uralic  $*we/\ddot{a}sn\ddot{a}$  and, if indeed related, PGm. \*hab(a)zan-). But \*Ks is also possible for the Latin. Direct evidence of this is perhaps found in Lat. pix (whose status as a loanword I consider uncertain, s.v. pix). If it is from the same source as Georgian  $pi\check{c}'vi$  'pine' (borrowed into Armenian as  $p^ci\check{c}i$ , cf. Furnée 1979: 28 for details), the latter has an affricate. Lat.  $p\bar{i}nus$ , thought to represent either \*pik-sno- or \*pit-sno- might therefore represent \*piks-no-, the reflex of this foreign velar (which would then also have produced the sibilant of Alb.  $pish\ddot{e}$ ). Some of the -ix/-ex suffixes thus may not have just entered Latin as \*-Vk- but rather \*-Vks, which was nativized into the consonant stem declension.

In any case, at least one of the substrate languages of Europe seems to have had an n-suffix. The trio Lat.  $filix/felix \sim Gk$ .  $βλῆχνον/βλῆχρον \sim PGm$ . \*brekna(n)- shows that it and the confirmed irregular velar "suffix" (§3.3.3) occurred in the same language. It may well have occurred in more than one language, seeing as a few of the examples (Lat. laurus, urna) are words with a Mediterranean distribution.

A discussion by Kretschmer (1921: 277-8, fn. 1) surrounding the potentially non-IE origin of Greek ethnonyms in  $-\eta v \delta \zeta$  (cf. also Beekes 2003: 30) concluded, as Nehring (1925: 189) similarly would for the  $-\alpha \xi$  suffix of Greek, "Aus allem dem folgt, daß sowohl die indogermanischen wie die nichtindogermanischen Sprachen Kleinasiens mit n-Suffix gebildete Ethnika besaßen, ein Zusammentreffen, das nicht verwunderlich ist, wenn man sich erinnert, daß auch das Etruskische mit dem Lateinischen in mehreren Suffixen zusammentrifft." And as he would further note, Etruscan has a suffix -na. In fact, in Etruscan, the suffix -na is extremely frequent, perhaps one of the most productive suffixes of Etruscan (Steinbauer 1999: 121). If we are looking for a non-IE language

with a systematic n-suffix, and if Etruscan represents one of the substrate languages of Europe, then perhaps Etruscan might represent a relative/descendant. But within Etruscan, -na seems to produce derivational changes in meaning: the substantives on which it occurs are seemingly substantivized adjectives, cf.  $\theta i$  'water',  $\theta ina$  '(water) jug' < \*'pertaining to water' (Steinbauer 1999: 107, Wallace 2008: 53). And it was in part the lack of derivational changes in meaning that led me to propose that the n-suffix on several substrate words was not of IE origin. But this is a mystery we should expect to have to solve. If the non-IE n-suffix really was a suffix, which its vacillating presence amongst the comparanda seems to suggest, then it must have had some derivational function in the source language. Perhaps derivational differences in semantics were bleached by the borrowing process, but it is also possible that the suffix's function in the source language was more subtle than that of the Etruscan -na suffix.

# 3.3.5 Reduplication

Latin attests to several types of reduplication in nouns, many of which (like the archaic pattern of  $fiber < *b^he-b^hru-$ ) are inherited (cf. André 1978, Weiss 2020: 287). But Latin, as well as many other Indo-European languages, also has more isolated instances of reduplication. Alessio (1943) collected several Latin and Greek words with CV-reduplication (with e and i vocalism of the reduplicated syllable) in nouns, which he suspected to be of Mediterranean origin and used this to propose that the Mediterranean substrate therefore had this type of reduplication. <sup>519</sup>

As per his methodology, many of the cases he finds are isolated; as per my methodology, these get classed as uncertain. I therefore consider many of the words he proposes as evidence to be non-diagnostic (cicāda, cicōnia, cicūta, gigarus). The isolated words are suspicious because, as DV (500) notes, Latin frequently uses reduplication in affective words, explaining cicāda and cicōnia as likely onomatopoetic (DV 112, 113). I am generally suspicious of labelling words as 'affective' or 'onomatopoeic'. André (1978) was also dissatisfied with these labels and set out to better classify the cases, with comparative material from a much wider typological perspective. He classes Latin reduplicated formations, not all necessarily of IE origin, as impressifs of sound (like bambalō 'stutterer', cucurru 'the cry of the cock', and words in gurg- and garg- relating to swallowing and the throat), of movement (like words for back-and-forth in pal-, the repetitive circular motion of furfurāculum 'wood-boring tool, auger'), of form (like circus 'circle', several round fruits and vegetables including cucurbita), and of quantity

<sup>519</sup> André (1978: 12) calls into question what exactly it is about the phenomenon that makes it Mediterranean.

<sup>&</sup>lt;sup>520</sup> There are several as well that I simply did not treat. This includes (poorly attested) biblax 'rododaphne'; cicendula, cicindēla 'firefly' for which he doubts the connection with candēla 'candle'; cicinalindrum, cicilindrum 'a made-up spice name in a Plautus play', giger 'wild parsnip', siser 'skirret' potentially borrowed from Greek σίσαρον 'parsnip' (though André 1978: 49 agrees on a Mediterranean substrate origin); cicūlus for cucūlus 'cuckoo', onomatopoeic; sisarra 'sheep older than one year', cf. Calabrese sarra 'fat old woman', perhaps a non-IE word after all; vīverra 'ferret or weasel', which DV (685) treats as inherited.

(like *grex* 'herd, crowd', *populus* 'people', and *calculus* 'pebble'), as well as nursery words. From Alessio's list, he classifies *cicōnia* and *cicāda* as expressive of sound (along with *cicūta* for its use in making flutes), and *cucurbita* and *gigarus* as expressive of form (the latter apparently due to its root; though while this could apply to *giger* 'wild parsnip', it does not feel particularly fitting for *gigarus*). Given that he includes cases like *siser* 'skirret', which he believes to be of non-IE origin, under reduplicated formations due to impression of form, a word's appearance in his list does not necessarily mean that it is of inherited origin. If non-IE words show the same motivations for reduplication that inherited words do, one might wonder if the tendency within Latin for expressive (André's "impressif") formations was influenced by language contact. But given the frequency of such a phenomenon in the world's languages, an explanation like that does not seem necessary.

On the other hand, it is likely that substrate languages were a source of multi-syllabic roots. This is for instance probable in Lat.  $ar\bar{a}neus \sim Gk$ .  $\dot{a}p\dot{a}\chi\nu\eta$  and for the Greek comparanda of Lat. ervum ( $\dot{e}p\dot{e}\beta\iota\nu\theta\circ\varsigma$ ,  $\check{o}p\circ\beta\circ\varsigma$ ). Some of them may have resulted in what looks like reduplication. In fact, André (1978: 12) makes this explicit in his analysis: since the two consonants of an IE root must differ in nature, roots with shapes like \*bab- and \*pip- are automatically suspected of being reduplicated "except for substrates (of languages whose root may be of a different structure), like \*sisarra\*, loans (popina, lalisio), phonetic treatments (cocus, barba, quinque)."521 It also means that, without positive evidence one way or the other, an isolated word with a reduplicated shape could be classed as more or less permissible Latin reduplication or a non-IE root shape produced by a substrate disyllabic root.

Two words stand a chance of representing some sort of reduplication, but neither is simple. Lat. *cucumis* has Greek, Armenian, and probably Slavic comparanda. Arm. *sex* reconstructs to something like \* $kek^h$ - where the consonants are not the same. Thus, despite other forms like Hsch. κόκυον looking like reduplication, we cannot ascertain that it would have existed this way in the source language. Lat. *cucurbita* looks like a reduplicated form a group of lexemes attested in Sanskrit (*cirbhaṭī*, *carbhaṭa*, *cirbhiṭa*) but this might be due to chance. A geographically closer match is PGm. \*hwerhwetjō-, but its relationship to *cucurbita* is not straightforward. Is it a metathesis of \*\*hwehwert- (thus implying the reconstruction of \*kuko/urdh- for Latin with a \*dh ~ \*t alternation) or does it correspond to the base -*curbit*- (implying the reconstruction \*kuko/urb(h)-Vt- for Latin with an otherwise unattested labial ~ dental alternation)?

Lat. *cicer* looks like a reduplicated formation as do its Armenian and (possibly) Albanian comparanda. But because they can reconstruct to the same pre-form, it cannot be ruled out that the formation is old. Even if it is considered a substrate word, without a secure simplex form, it cannot be used to confirm that the source language had morphological

<sup>&</sup>lt;sup>521</sup> "Exception faite des substrats (de langues dont la racine peut être de structure différent), comme sisarra, des emprunts (popina, lalisio), des traitements phonétiques (cocus, barba, quinque)."

reduplication (cf. this as a requirement for confirming reduplication in André 1978: 13).

# 3.3.6 Morphological Conclusions

There are a very limited number of Latin lexemes of non-inherited origin that seem to contain suffixes otherwise widespread in the Greek substrate vocabulary. These likely reflect a combination of indirect loans from Greek (and its substrate languages) and Mediterranean substrate words borrowed independently into Latin and Greek. The phenomenon of *a*-prefixation (with concomitant vowel reduction) and the Lat. -ix/-ex suffixes that show irregular correspondences with comparanda are difficult to explain from an inherited perspective and meet the criteria of non-inherited features. The *n*-suffix seems likely to have its source in non-IE languages due to its vacillating presence on words with other irregular correspondences. These last three features appear with a quite widespread distribution. The implications of this will be explored in §4. Finally, though reduplication has been reported to be a feature of the substrate lexicon of Latin, it is difficult to confirm that disyllabic roots actually reflect reduplication in the source language.

# 4 Distribution Analysis

# 4.1 Introduction to Distribution Analysis

There is a strong pattern amongst the Latin words of non-inherited origin. Of the 109 words from §2.2, 93 have comparanda in Celtic, Germanic, Greek, or a combination of the three. Of the 16 words without comparanda in one or more of these three branches, there are:

- 15 words isolated to Italic:
  - o 3 words without certain comparanda (*asīlus*, *asinus*, *casa*) that represent recent loans due to their intervocalic *s*,
  - o 5 words without certain comparanda (*faex*, *farciō*, *focus*, *pampinus*, *tabānus*) that represent loans due to their invalid root structure,
  - 7 words (arbutus, cerrus, genesta, labrusca, lepus, sambūcus, talpa)
     with irregular alternations clearly attested within Latin and/or Romance, and
- 1 word (*faber*) with a comparandum only in Armenian, probably a Wanderwort from an ultimately Hurrian source.

There are additionally two additional words amongst the 93 whose comparanda in Celtic/Germanic/Greek are not fully secure. *Carpinus* may only have secure comparanda in Slavic and *avēna* in Baltic and Slavic.

The 93 words with Celtic/Germanic/Greek comparanda pattern as follows (Figure 4.1). Words in non-italics are from §2.2.2.1 and those in italics are from §2.2.2.2. Words in green have no comparanda outside of the constellation of Celtic/Germanic/Greek where they are listed. Four words are preceded by a question mark because some comparanda are uncertain;<sup>522</sup> not enough to greatly influence the results.

<sup>&</sup>lt;sup>522</sup> On *carpinus* and *avēna*, see above. The Celtic comparandum for *raudus* is not as secure as the Germanic one. *Tilia* has matches in Greek and Celtic, possibly Germanic. *Columba* is quite likely not actually found in Greek.

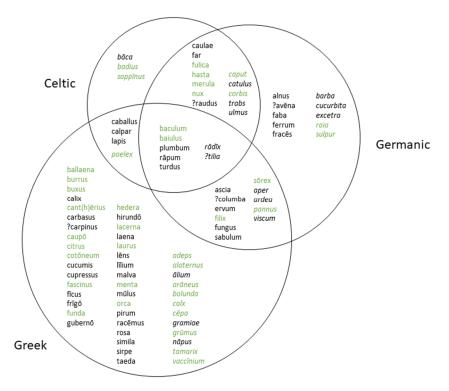


Figure 4.1 Latin words of loanword origin distributed by existence of comparanda in Celtic, Germanic, and Greek

The importance of Greek is immediately clear. 68 of 109 (62%) Latin words of non-inherited origin have Greek comparanda. For 46 of those 68 (68%), Celtic and Germanic do not attest comparanda. And 23 of those 46 (50%) are exclusive Latin-Greek isoglosses. Many of these must have been acquired in the Mediterranean. For example, 2 or 3 (*sirpe*, *taeda*, ?lēns) have independent comparanda in Berber; 6 (*cupressus*, *fīcus*, *laena*, *malva*, *rosa*, *simila*) in Semitic. The comparanda for *laena* and *simila* are well-attested in Semitic such that it might be the ultimate source of the Latin and Greek words. *Rosa* is also deeply entrenched in Semitic, but seems to have its ultimate source further East and cannot be the direct source of the Latin. *Cupressus*, *fīcus*, and *malva* have a much more limited distribution in Semitic. There is a good chance that they represent independent borrowings. Hebr. *gofer* is a hapax, but attests to the lexeme behind Gk. κυπάρισσος without the suffix. The Latin form has the suffix but cannot be a direct borrowing from Greek. This suggests a mode of transmission like in Figure 4.2.

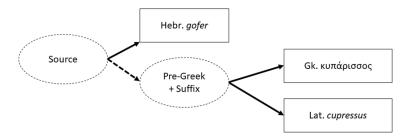


Figure 4.2 The transmission of Lat. cupressus and its comparanda

Hebr.  $\check{siqma}$  'sycamore fig' is not as isolated, but occurs only in Hebrew and Aramaic (the latter likely being the source of Arabic  $suq\bar{u}m$ , Klein 1987: 679). Lat.  $f\bar{t}cus$  mechanically reconstructs to PIE \* $d^h$ , but in light of Gk.  $t\bar{v}\kappa$ ov and Arm.  $t^cuz$ , there are two possibilities: 1) \* $t^h$  can be reconstructed for Italic, Greek, 523 and Armenian, suggesting that the word was borrowed with the same initial consonant or 2) the word entered Latin with \* $\theta$ , which is also one of the Proto-Semitic sources of Hebr.  $\check{s}$ . In either case, the form borrowed into Latin (whether \* $\theta$  of \* $t^h$ ) entered Latin's treatment chain of the voiced aspirates, showing it was borrowed still into Proto-Italic.

On the other side of the spectrum are the 25 words for which there are Celtic and Germanic comparanda to the exclusion of Greek. 6 of these 25 (24%) are Latin-Celtic-Germanic isoglosses. This likely represents words borrowed in a part of Europe whose substrate languages did not affect Greek. The possibility of an Italo-Celtic subnode has interesting implications for this group, especially given the small number of Latin-Celtic isoglosses (*badius* and *sappīnus*, though *bāca* has only Berber additionally).

The other significant portion is at the center—the 7 words that have comparanda in Celtic, Germanic, and Greek. 2 of these 7 (29%) are Latin-Celtic-Germanic-Greek isoglosses. Others like *plumbum*, *rāpum*, and *turdus* are quite widespread. Their distributions are likely the result of different types of contact situations, as will be discussed below.

The picture that emerges based on the distribution of loanwords within these four daughter branches must be an oversimplification in many respects. Thus the following sections treat the stratification using further evidence. But a clear distribution is already apparent: the most recent stratum of loanwords in Latin was acquired in the Mediterranean. It is quantitatively the largest, and contains several of the words that cannot be reconstructed beyond Latin even. In contrast, the number of words with a pan-European distribution is much smaller. This suggests that these are the oldest loans,

<sup>&</sup>lt;sup>523</sup> Recall that Att-Ion. σῦκον points to the presence of a glide that would neutralize the difference between PGk. \*t and \* $t^h$  (s.v.  $f\bar{t}cus$ ).

borrowed at a time when the daughter languages were closer to one another followed by lexical replacement.

# 4.2 Partial Stratification Based on Phonology: The **Most Recent Borrowings**

Certain: asinus, asīlus, casa, carbasus, ballaena

Possible: *caballus*, *rosa*, *tamarix*, (*cāseus*, *gabata*, *nepeta*, *supparus*)

We know that PItal. \*s was rhotacized in Latin in the historical period, ca. 350 BCE (cf. Weiss 2020: 208). Thus Latin words with unrhotacized s not following a long vowel/diphthong must have entered Latin after rhotacism took place. Schrijver (1991: 252) points to asinus and cāseum to suggest that rural dialects that did not undergo rhotacism might be the source of some of these unrhotacized forms. Christol (1996) proposes a stricter version of this, where non-rhotacizing dialects preserved intervocalic z, sometimes borrowed back into Latin as ss. Geminate s is also the result of borrowed Gk.  $\zeta$ , and it explains e.g. Plautine *nassus* otherwise *nāsus* 'nose' beside *nāris*. Asinus, asīlus, casa, and carbasus cannot be explained according to this stricter framework and would thus be post-rhotacism loans. Weiss (2020: 162) suggests that the s of rosa remained unrhotacized due to the dissimilatory effects of the r, like in miser 'wretched'. Cāseus, given its long vowel, might attest to a simplified geminate rather than a true unrhotacized s; its analysis is hampered by a lack of secure comparanda.

Vowel weakening began to occur sometime after 500 BCE. The earliest change was the weakening in non-initial syllables of a to e, and in open syllables further to i (cf. Weiss 2020: 130). Ballaena should have weakened to \*\*balleina and been monophthongized to \*\*ballīna, so it was borrowed after vowel weakening. The same could be the case for caballus and tamarix, but it cannot be ruled out that their medial vowels resisted weakening due to the alacer rule whereby a short vowel in a medial syllable is preserved if it contains the same vowel as that of the initial syllable and is separated by only one consonant (cf. Weiss 2020: 128-9). For the same reason, it is difficult to prove when gabata and nepeta, most likely loans from Greek, were borrowed. The unweakened a of supparus cannot be explained in this way, but there exists the possibility that it is a loan from Oscan.

Importantly, asinus, asīlus, and casa have no secure comparanda, but ballaena is a Latin-Greek isogloss. The Latin and Greek forms are very similar, but slight differences mean that the Latin word cannot be a direct borrowing from Greek. This suggests the existence of (an) unknown language(s) of the Mediterranean that served as an intermediary of Greek words into Latin or from which both Latin and Greek borrowed. Ballaena could be seen as preserving the αι diphthong of Gk. φάλλαινα, but it cannot be ruled out that the source word had this diphthong. In any case, this language must have been in existence at a very late date: after vowel weakening. Carbasus is somewhat more complex in light of its other comparanda, but it is a crucial case. Its unrhotacized s places it amongst the most recent Latin loanwords. It is a close match with Gk. κάρπασος, but the alternation in voicing shows it, like *ballaena*, is not a direct loan. If *carbasus* and *ballaena* belong to this most recent stratum of loanwords into Latin, then probably so too do other Latin-Greek isoglosses that show similar types of alternations.

# 4.2.1 Latin-Greek Isoglosses with Recurring Irregular Alternations

## 4.2.1.1 Voicing and Devoicing

Like Lat. *carbasus* ~ Gk. κάρπασος, there is a group of Latin-Greek isoglosses that differ in the voicedness of their consonants.

Lat. buxus is identical to Gk. πόξος in form and meaning but for the irregular  $b \sim p$  alternation. It occurs also between Lat.  $burrus \sim Gk$ . πορρός (as well as between the same forms in use as personal names). It may be present in Lat.  $burgus \sim Gk$ . πόργος, but there is a chance that the Latin form is a loan from Germanic (Biville I: 235-7).

A similar voicing alternation occurs between Lat.  $gubern\bar{o} \sim Gk$ . κυβερνάω. Since Greek has variants like Cypriot ku-me-re-na-i, the closeness of the Latin form to the Greek form might mean that, regardless of the source of the Greek form, it was the ultimate source of the Latin, mediated by an unknown language. Such is also the case for Lat.  $gr\bar{u}mus \sim Gk$ . κρῶμαξ, κλῶμαξ with its  $l \sim r$  alternation.  $^{524}$ 

The opposite voicing correspondence occurs in Lat.  $cot\bar{o}neum \sim Gk$ . κυδώνια and Lat.  $taeda \sim Gk$ . δαΐς. It may also occur in Lat.  $citrus \sim Gk$ . κέδρος, but here the devoicing may be regular.

# 4.2.1.2 Aspiration Alternations

Like Lat.  $ballaena \sim Gk$ . φάλλαινα, several Latin-Greek isoglosses attest to aspiration alternations. The inherited source of Gk. φ is PIE  $*b^h$ , so one might propose that the pair ballaena: φάλλαινα represents an older loan, closer to the time at which PGk.  $*p^h$  was developing from  $*b^h$ . But we have already seen that the lack of vowel weakening in ballaena requires it to be quite a recent loan in Latin.

Otherwise, Greek generally has a form that looks like the reflex of a voiced aspirate against a Latin *tenuis*. Because this is the normal outcome in Latin loanwords from Greek (cf. Biville I: 142), these cases critically show other irregularities that demonstrate that they are not direct loans. The alternations include  $*d^h \sim *t$ : Lat.  $menta \sim Gk$ .  $\mu i \nu d\eta$  (with additional  $e \sim i$  alternation), Lat.  $l\bar{e}ns \sim Gk$ .  $\lambda \acute{e}d\nu \rho o c$  (vocalism points to syllabic n, too early for borrowing), Lat.  $cant(h)\bar{e}rius \sim Gk$ .  $\kappa \alpha \nu \theta \dot{\eta} \lambda \iota o c$  (with  $l \sim r$  alternation in

 $<sup>^{524}</sup>$  Other cases of this correspondence are difficult to analyze due to the occurrence of unvoiced variants in Latin (see fn. 75). The opposite correspondence might occur between Lat. *conger* ~ Gk. γόγγρος, but the *c* might be the result of remodeling in Latin on other words beginning with *con*-.

what is otherwise looks to be the same suffix);  $*g^h \sim *k$ : Lat.  $orca \sim \text{Gk}$ . ὕρχη (with additional  $o \sim u$  alternation), Lat. calx: Gk. χάλιξ (with unexplained syncope);  $*b^h \sim *p$ : Lat.  $adeps \sim \text{Gk}$ . ἄλειφα(ρ) (with  $d \sim l$  alternation). Cf. also Lat.  $sirpe \sim \text{Gk}$ . σίλφιον (with additional  $l \sim r$  alternation), which has clear Berber comparanda. The Hesychian variant σέλπον attests to an aspiration alternation within Greek for this lexeme. This is also the case for Gk. κυπάρισσος, which has an aspirated variant preserved in κυφαρίσσινος.

# 4.2.2 Wider Implications: A Mediterranean Substrate

Thanks to the phonological features of *ballaena* and *carbasus* that require them to be recent loans in combination with the alternations they attest to with their Greek comparanda, we can conclude that a number of Latin-Greek irregular isoglosses can potentially be dated to quite recently. Nor is it surprising that Mediterranean contact situations should have been the most recent; both Latin and Greek ended up being spoken in the Mediterranean.

But while some loanwords seem to have entered Latin in the historical era, others must have entered at a Proto-Italic date. This includes  $f\bar{i}cus$ , as mentioned above, but also probably the Latin-Greek isogloss  $fascinus \sim \beta \acute{a}\sigma \kappa \alpha v \circ \zeta$ , which seems to have entered Italic either as \* $b^h$  or an intermediate stage of this phoneme's development to attested f (see further under §4.3.2.1). Given this time span, it is important to consider the question of how many different languages Italic was in contact with in the Mediterranean and whether any of these was actually exclusive to the Mediterranean region. In short, is there really a Mediterranean substrate in Latin?

#### 4.2.2.1 Other Words with a Mediterranean Distribution

A problem with the search for a Mediterranean substrate is (and has been) the difficulty in delineating which areas count as Mediterranean. Here I will examine the distribution of the words that have comparanda in Greek to the exclusion of Celtic and Germanic. This entails, including the cases discussed above:

Several Latin-Greek isoglosses: adeps, alaternus, arāneus, ballaena, bolunda, burrus, buxus, calx, cant(h)ērius, caupō, cēpa, citrus, cotōneum, fascinus, funda, grūmus, hedera, lacerna, laurus, menta, orca, tamarix, vaccīnium

Some Latin-Greek-Berber isoglosses: sirpe, ?ālium, ?lēns, ?taeda

Some Latin-Greek-Semitic isoglosses: cupressus, laena, malva, simila

A Latin-Greek-Armenian isogloss: nāpus

A Latin-Greek-Armenian-Semitic isogloss: fīcus

A Latin Greek-Albanian isogloss: hirundō

And word with comparanda in Latin, Greek, Egyptian, perhaps Anatolian:

līlium.

Without any assumptions about time-depth, these distributional clusters are all arguably geographically Mediterranean. The only exception is Armenian, but it attests to other loanwords with a Mediterranean distribution (e.g. Arm. xstor, Gk. σκόροδον, Alb. hurdhë 'garlic', Clackson 2017: 1123).

There are also words with distributions whose relationship to the Mediterranean is not immediately clear.

#### 4.2.2.2 Balkan Connections

The Latin-Greek-Albanian isogloss hirundō indicates a Mediterranean loanword with attestation in Albanian, which is not surprising given the geographic position of the Balkan Peninsula. There are however several words with an otherwise Mediterranean distribution that are also attested in Slavic. These are:

```
PSlav. *k/grъm- ~ Lat. grāmiae ~ Gk. γλάμων
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PSlav. \*tyky- ~ Lat. cucumis ~ Gk. σικύα, Hsch. κύκυον, σεκούα ~ Arm. sex

PSlav. \*mbskb- ~ Lat.  $m\bar{u}lus$  ~ Gk. μύκλος, Hsch. μυχλός, μύσκλοι ~ Alb. mushk

Since the migration of Slavic peoples into the Balkan Peninsula occurred in the sixth to seventh centuries CE (cf. Kobyliński 2005: 532-6), these words either entered Slavic after its speakers appeared in Balkans (and thus may still represent words from a Mediterranean language) or they attest to a different, earlier contact situation. The variation within Slavic of (roughly reconstructed) \*k/grъm- shows that it entered after the Common Slavic era and is thus an example of the former case. The  $l \sim r$  alternation between Lat. grāmiae ~ Gk. γλάμων is well-attested in other Mediterranean words (see §4.2.2.4.1). The same could be true for \*tyky-, whose comparanda are otherwise distributed similarly to  $n\bar{a}pus$  and  $f\bar{c}us$ . It is more difficult to decide for \*mbskb-. It seems to attest to the phenomenon of SK metathesis that otherwise sees a broader European distribution (cf. Lat.  $ascia \sim Gk$ .  $\alpha \xi \overline{i} v \eta \sim PGm$ . \* $akwes(\overline{i})$ -). Its status as a Balkan word might be artificially bolstered by the Albanian comparandum; it is likely a loan from Slavic. On the other hand, both variants are present in Greek, such that Slavic might have borrowed its word after arrival in the Balkans. Since donkeys were introduced to Italy and Greece from the East in the first millennium BCE (EIEC 33-4, Todd et al. 2022), it is quite unlikely that \*mъskъ- represents a loan alongside Italic and Greek from a time before the latter languages entered the Mediterranean region.

Attestation in Slavic therefore does not seem to rule out the possibility of a lexeme's origin in a substrate language of the Mediterranean when there are other indications that this is the case. Nor does it seem possible to extract from the Latin data a group of specifically Balkan origin.

Alessio (1946a) thought he found traces of a Mediterranean substrate in Baltic. Most of his evidence is almost exclusively toponymic, relying on the ability to see the same roots between e.g. hydronyms in the East and the West from which potential substrate lexemes can be distilled and assigned an aquatic/marine meaning. Amongst the lexical correspondences he does mention, three deserve mention:<sup>525</sup>

Lith.  $b\'{a}ltas$ , Latv.  $b\~{a}l\~{t}s$  'white'  $^{526} \sim PSlav$ . \* $b\`{o}lto$ -'swamp' ~ Alb.  $balt\~{e}$  'mud, swamp', MoGk.  $β\'{a}λτος$  'swamp' ~ Rom.  $balt\~{a}$  'puddle, pool; swamp', dial. It. e.g. Lucchese paltenna 'mud'

Lith. *korỹs*, Latv. *kâre* 'honeycomb' ~ Lat. *cēra* 'wax' ~ Gk. κηρός 'beeswax', κήρινθος 'beebread'

Lith. *bríedis* 'elk, deer', Latv. *briêdis* 'elk' ~ Alb. *bri* 'horn, rack' ~ Hsch. βρένδον 'deer', Gk. βρέντιον (given as Messapic) 'stag's head' ~ PGm. \**brinda*(n)- 'elk'

To these can be added:

PSlav. \*grabr ~ Lat. carpinus ~ ?Gk. γράβιον ~ ?PBalt. \*ske/irp-

Gk. κυβερνάω ~ Lat. gubernāre ~ ?Lith. kumbryti ~ ?OCS krъmiti

The group with Lith. *báltas* has been suggested to be an Illyrian substrate word (Brüch 1916, Derksen 2014 s.v. *baltas*). But given its late appearance outside Balto-Slavic (i.e. Romance and Modern Greek), the non-Balto-Slavic forms may all be early loans from Slavic (cf. Demiraj 1997: 87-8). As mentioned for Gk. κυβερνάω ~ Lat. *gubernāre*, the Balto-Slavic connections made by e.g. Boisacq (1916: 527-8) and Machek (1955: 61-4) may be the result of chance resemblance. The Baltic and even Greek comparanda for Lat. *carpinus* are not very good, and may simply be unrelated. Instead, it might attest to an Italo-Slavic isogloss and it is thus difficult to determine when this word was borrowed.

That leaves the groups of cervid and apicultural words. For the former group, Kroonen (2013: 77) separates the Germanic word as a coincidental lookalike with an internal Germanic etymology and Demiraj (1997: 110-11) notes formal difficulties with directly comparing the Albanian form. Derksen (2014 s.v. *briedis*) calls the relationship between the Baltic forms and the rest of the comparanda "obscure" but does not reject it. For the

<sup>525</sup> Some of his other examples include 1) Lat.  $l\bar{a}ma$  'marsh' ~ Lith.  $lom\dot{a}$  'hollow, valley, plot', Latv.  $l\bar{a}ma$  'hollow in a field or meadow, pool, pit' ~ PSlav. \*lamb 'hollow, bend' ~ Gk.  $\lambda\dot{a}\mu\mu a$  'chasms'. But the Latin form is probably not related after all (Schrijver 1991: 142, Derksen 2014 s.v. loma). Nor can the Greek word be compared with any semantic certainty, removing the Mediterranean comparanda. 2) Latv.  $\dot{e}rms$  'monkey' ~ Etr.  $\ddot{a}p\mu o$  'monkey'. The Etruscan word is given by Strabo. The island today called Ischia was called by Virgil lnarime and Arime and was called by the Greeks  $\Pi\iota\theta \eta \kappa o \ddot{\sigma} \alpha \iota$ , seemingly derived from  $\pi\iota\theta \eta \kappa o \ddot{\sigma} \alpha \iota$  'No monkeys have lived on the island in recorded history (suggesting some sort of folk etymological interference), and the Etruscan word is too poorly attested to use.

<sup>&</sup>lt;sup>526</sup> The semantic change that must be assumed to connect 'white' and 'swamp' is variously defended and viewed with suspicion.

latter group, Lat.  $c\bar{e}ra$  cannot be ruled out as loan from Gk. κηρός, but the suffix of κήρινθος proves its antiquity in Greece. It seems very unlikely that Baltic would ever have been in contact with the Mediterranean, at least in any direct linguistic way. Rather than a Mediterranean stratum in Baltic, an alternative explanation for these would be that they attest to a separate contact situation. There are several cases of non-IE words that are attested in Baltic and Greek alongside others: Gk.  $\lambda$ ύγξ 'lynx' ~ PGm. \*luhsa- 'lynx' ~ Arm. lusanun 'lynx; hyena; marten' ~ Lith. lūšis, dial. lunšis 'lynx'; Gk. θρύον 'reed, rush' ~ OCS trustu 'reed, cane' ~ Lith. triušis 'reed'; Gk. κρόμμουν 'onion' ~ PGm. \*hramusan- 'ramsons' ~ MIr. crem etc. 'wild garlic' ~ Ru. čeremšá, etc 'wild garlic' ~ Lith. kermušē, dial. kermušā 'tip or a drill or flail, wild garlic'. These distributions do not seem convincingly Mediterranean and Alessio's label seems to be the result of overstretching the term 'Mediterranean' as the only substrate language of Europe.

#### 4.2.2.3 Indo-Iranian Connections

Pisani (1938a) had been inspired by recent excavations of the Indus Valley Civilization to look for cultural connections stretching from the Mediterranean to India. He pointed to what he saw as cultural and religious similarities (like a Mother Goddess figure) in all of these areas in the Bronze Age. He only provided two lexical correspondences: Gk.  $\dot{\epsilon}$ ρ $\dot{\epsilon}$ βίνθος 'chickpea': Skt. aravinda- 'lotus' and Gk. κάρπασος 'fine flax': Skt.  $karp\bar{a}sa$ - 'cotton'.

Gk. ἐρέβινθος has many more irregular comparanda across Europe (Lat. *ervum*, PGm. \**arwīt*-, Arm. *arowoyt*). While the appurtenance of the Sanskrit form Pisani mentions is disputed, there do indeed seem to be comparanda in Iranian languages. This word for an agricultural founder crop attests to an older contact situation with early farmers rather than a Mediterranean substrate language stretching to India. On the opposite side of the spectrum, Gk. κάρπασος is at most a Wanderwort with its origins in the East, having entered Greek after the loss of inherited intervocalic \**s* and making its way to Latin (at an even later date) via some intermediary language of the Mediterranean. A similar situation, though it may well have occurred earlier, holds for Lat. *rosa* ~ Gk. ῥόδον.

There are a few other irregular Latin-Greek isoglosses (or near isoglosses) that have potential matches in Indo-Iranian however. These include:

```
Lat. calix ~ Gk. κύλιξ ~ ?Skt. kaláśa-

Lat. frīgō ~ Gk. φρῦγω ~ ?PIr. *bra(i)ˇj-, Skt. bhrajj-

Lat. pirum ~ Gk. ἄπιον ~ ?Shina pisō ~ ?Burushaski pheṣo ~ ?Khinalug bzɨ

Lat. racēmus ~ Gk. ῥάξ, ῥώξ ~ ??Alb. rrush ~ ?PIr. *raza-
```

In all cases, the appurtenance of the Indo-Iranian material is uncertain. Formally and

<sup>527</sup> Contact between populations involved in the trade of Baltic amber would be plausible.

 $<sup>^{528}</sup>$  He gives κάρβασος, but this form is not actually attested.

semantically, the case for Skt.  $kal\acute{a}\acute{s}a$ - is strongest, as it points to a reconstruction with a-vocalism like Latin. The Indo-Iranian comparanda of  $fr\bar{t}g\bar{o}$  and  $φρ\bar{υ}γω$  are semantically compelling, though not all connect them (cf. LIV2 s.v.  $*b^h re\acute{g}$ -; DV 212, 243). The appurtenance of all the pear words is difficult to evaluate. While PIr. \*raza- can reconstruct to  $*(H)re\acute{g}$ -, formally aberrant  $*le\acute{g}(^h)$ - is alternatively possible. In no case is an extended Mediterranean substrate the only conceivable explanation however. As for ervum, some distributions might attest to an older contact situation while, like carbasus, others may represent Wanderwörter. And others still may be due to chance resemblance.

## 4.2.2.4 Recurring Features

With some of the confounding variables removed from the dataset, we can search the list of words with a confirmed Mediterranean distribution for recurring irregular correspondences. In addition to the voicing and aspiration alternations mentioned under  $\S4.2.1$ , three others appear:  $l \sim r$ ,  $e \sim i$ , and  $i \sim u$ .

#### 4.2.2.4.1 $L \sim R$ Alternation

There are 10 instances of an  $l \sim r$  alternation. For 6 of these, the lexeme can be argued to have a Mediterranean distribution.<sup>529</sup> In all of these 6, the alternation exists between Latin and Greek and there is no secure Semitic comparandum. In the first 5 cases, Lat. r corresponds to Gk. l:

Lat. cant(h)ērius ~ Gk. κανθήλια

Lat.  $gr\bar{u}mus \sim Gk$ . κλῶμαξ, Hsch. κρῶμαξ (here the alternation also exists within Greek)

Lat. hirundō ~ Gk. χελīδών ~ Alb. dallëndyshe

Lat. sirpe ~ Gk. σίλφιον, Hsch. σέλπον ~ Berber azlaf, aselbu, etc.

Lat. *grāmiae* ~ Gk. γλάμων (as detailed above, Slavic comparanda were likely borrowed late)

In one case, the correlation of r to l is opposite:

Gk. λείριον ~ Lat. *līlium*, Hitt. *alēl*, Copt. *hlēli*, etc.

There is one further case where an  $l \sim r$  alternation exists between Latin and Romance descendants:

PRom. \*darbo- ~ Lat. talpa

Lat. paelex and Gk.  $\pi\alpha\lambda\lambda\alpha\kappa\dot{\eta}$  agree on l against OIr. airech. But this likely belongs to a different contact phenomenon given the pattern so far and since Celtic does not clearly belong to the Mediterranean region. The same is certainly true for Lat.  $filix \sim Gk$ .

 $<sup>^{529}</sup>$ For l(l)/r(r) as a Mediterranean alternation, cf. Bertoldi (1942: 183-4, fn. 1), Hubschmid (1953: 72), more generally as non-IE in Alessio (1944a: 124).

βλῆχνον, βλῆχρον ~ PGm. \*brekna(n)-. In the group of widely distributed pigeon words (including Lat. columba), a variant with r is present only in Egyptian. Theoretically this could be due to this word's transmission to Egyptian by a language of the Mediterranean, but this is difficult to prove. In any case, 6 or 7 out of 10 cases of an  $l \sim r$  alternation occur in lemmata with a Mediterranean distribution.

#### 4.2.2.4.2 $E \sim I$ Alternation

There are additionally several cases of an  $e \sim i$  alternation between comparanda in the dataset. In 6 cases, the alternation occurs between branches, with 5 of these cases being clearly restricted to the Mediterranean.<sup>530</sup> In 3 of these 5, Latin has e for Gk. i:

```
Lat. cupressus \sim Gk. κυπάρισσος (\sim Hebr. gofer)
Lat. menta \sim Gk. μίνθη^{531}
Lat. hedera \sim Gk. κιθάρα
```

In 2 cases, the distribution is reversed:

Lat.  $hirund\bar{o} \sim Gk$ . χελ $\bar{\iota}$ δών (~ Alb.  $dall\ddot{e}ndyshe$ )<sup>532</sup>

Lat. citrus ~ Gk. κέδρος

The non-Mediterranean case is Lat.  $av\bar{e}na \sim PSlav$ . \* $ovbsb \sim PBalt$ . \*(a)viža, whose additional Uralic and potential Germanic comparanda point to a different contact situation.

There is an additional case of an  $e \sim i$  alternation within Latin (genesta/genista) and two within Greek for lexemes that have a Latin relative:

```
Gk. σίλφιον, Hsch. σέλπον ~ Lat. sirpe ~ Berber azlaf, aselbu, etc.
```

Gk. σικύα, Hsch. σεκούα, κύκυον ~ Lat. cucumis ~ Arm. sex ~ PSlav. \*tyky-

If these inner-branch alternations are included in the count, then there are 9 instances of an  $e \sim i$  alternation in the data, 8 of which occur with a Mediterranean distribution.<sup>533</sup> Some of these cases also include an  $l \sim r$  alternation and the alternations in voicedness and aspiration detailed above.

#### 4.2.2.4.3 $I \sim U$ Alternation

The set including Gk. κύκυον above also attests to u-vocalism. Another case of  $i \sim u$ 

<sup>&</sup>lt;sup>530</sup> *e/i* as a Mediterranean feature with different explanations also in e.g. Bertoldi (1939b: 89), Battisti (1959: 154-7).

 $<sup>^{531}</sup>$  Romance languages also attest to another case like this: PRom. \*plenta- 'clod of earth' ~ Gk. πλίνθος 'brick' (cf. Alessio 1944a: 139-41).

<sup>532</sup> Its root vocalism, neither \*e nor \*i, perhaps suggests that Albanian was less directly involved.

<sup>&</sup>lt;sup>533</sup> It seems very unclear whether Lat.  $filix/felix < *b^hel-ik- \sim Gk$ . βλῆχνον, βλῆχνον  $< *b^hl-\bar{e}g^h-r/n- \sim PGm$ .  $*brekna(n)- < *b^hr-eg-n- is$  an example of this same type of alternation.

vocalic alternation is that of Lat.  $supparus \sim Gk$ . σίφαρος, σείφαρος. The Latin word may be a loan from Oscan, which makes it difficult to analyze the other alternations (geminate pp for Gk.  $\varphi$ , unweakened a) as original. But even if this is true, the  $i \sim u$  alternation lacks an explanation and makes it likely that the Oscan word was indirectly borrowed. This is reminiscent of two words with a clear  $\bar{\imath}/\bar{u}$  alternation: Lat.  $f\bar{\imath}cus \sim Gk$ .  $\tau\bar{\imath}\kappa cv$ ,  $\sigma\bar{\imath}\kappa cv$   $\sim Arm$ .  $f^{\iota}uz$  and Lat.  $fr\bar{\imath}g\bar{o}\sim Gk$ .  $\varphi\rho\bar{\nu}\gamma\omega$  (Indo-Iranian comparanda, as detailed above, may not have much bearing on the words' treatment in the Mediterranean). Such  $\bar{\imath}\sim \bar{u}$  alternations have been considered characteristic of the Mediterranean substrate (e.g. Bertoldi 1948: 70, Hubschmid 1953: 28, Alessio 1955: 375, 537-40, Battisti 1959: 155) and the examples are indeed restricted to the Mediterranean region. In fact, Lat.  $hirund\bar{o}\sim Gk$ .  $\chi\epsilon\lambda\bar{\imath}\delta\dot{\omega}v$  attests to a  $u\sim\bar{\imath}$  alternation in its second syllable alongside  $e\sim\bar{\imath}$  and  $l\sim r$  alternations.

## 4.2.2.5 A Definitively Mediterranean Substrate

A remarkable pattern emerges wherein the words with a Mediterranean distribution attest to a set of irregular alternations that are also by and large restricted to a Mediterranean distribution. The alternations overlap, such that a single lexeme can attest to more than one. Because they co-occur in the same word, it is highly likely that they are the result of the same language or at least group of closely related languages. The co-occurrence of the Mediterranean  $e \sim i$ ,  $i \sim u$ , and  $l \sim r$  alternations in the same words as alternations in voicing and aspiration means that this Mediterranean substrate language was also responsible for at least some cases of this alternation (Figure 4.3).

The feature of voicing and aspiration alternation is more widespread than the Mediterranean. But rather than concluding from this that the Mediterranean substrate language extended far into Europe, it seems most likely that such alternations can be the result of different contact situations.

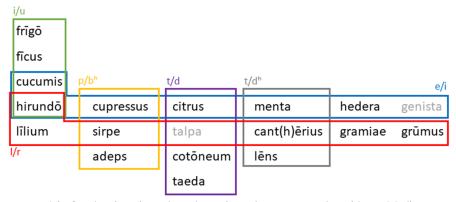


Figure 4.3 Overlapping irregular alternations between words with a Mediterranean distribution. (Words in gray are restricted to Italic)

It is interesting to note that the Mediterranean group contains *fīcus*, a word which must have entered at a Proto-Italic date. Thus it is likely that this language was present on the Italian peninsula and represents one of the earliest Mediterranean contact situations in the history of Latin. Though unclear in Figure 4.3, Lat. *talpa* alongside PRom. \**darbo*- also attests to a  $b \sim p$  alternation that is reminiscent of the one represented by Lat. *carbasus*  $\sim$  Gk. κάρπασος. It is therefore possible the language persisted in the Mediterranean to a recent date. However, it should not be ruled out that there were other languages in the Mediterranean as well. Especially in light of cases that exhibit other alternations than the ones highlighted here, the language responsible for the  $l \sim r$ ,  $e \sim i$ , and  $i \sim u$  alternations represents *at least* one distinct Mediterranean substrate language.

That some irregular alternations appearing in words restricted to a Mediterranean distribution are themselves more widespread brings up an important consideration. Claiming that words originated from (a) Mediterranean substrate language(s) based on their distribution alone is risky. What if the reason that the lexemes are restricted the Mediterranean is due to the fact that their *signifiés* are found only in the Mediterranean? It is plausible that, within one language family, languages in different ecological zones will have portions of mutually exclusive vocabulary based on the presence of something in one language's environment but its absence in that of the other. As concerns the Latin substrate vocabulary with a Mediterranean distribution of attestation, we see this for several plant names. The geographic range of the plants referred to by *alaternus*, *buxus*, *cupressus*, *ficus* (and possibly *tamarix*<sup>534</sup>) themselves have a Mediterranean distribution (Figures 4.4-7). The species to which *cucumis* refers are likely of Asian origin (Sebastian, Schaefer, Telford & Renner 2010), and early evidence of them in the West comes from Egypt, Mesopotamia, and Greece (Zohary, Hopf, and Weiss 2012: 154-5) meaning their distribution as concerns Europe is Mediterranean.

<sup>&</sup>lt;sup>534</sup> Heywood et al. (2007) give a distribution for the genus *Tamarix* that stretches into central Northern Europe, but most individual species do not seem to range beyond Southeast Europe.



Figure 4.4 Range of Rhamnus alaternus (Based on Bolòs & Vigo 1984-2001)



Figure 4.5 Range of Ficus carica (Based on Zohary, Hopf & Weiss 2012: 129)



Figure 4.6 Range of Buxus sempervirens (https://commons.wikimedia.org/wiki/File: Buxus\_sempervirens\_range.svg with refs.)

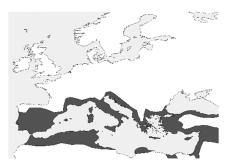


Figure 4.7 Range of Cupressus sempervirens (https://commons.wikimedia.org/wiki/File:Cupressus\_sempervirens\_range.svg with refs.)

However, several other plant words with a Mediterranean distribution, including some included in Figure 4.3, indeed refer to plants whose distribution is not limited to the Mediterranean. This includes *hedera*, *malva*, *menta*, and *vaccīnium*. In combination with the overlapping Mediterranean alternations (for *hedera* and *menta*), this suggests that something more than the geographic range of *signifiés* is producing the pattern. Most likely not in all cases, but at least in many, it instead points to a true linguistic boundary.

Given that *cupressus* and *hedera*, Latin words that seem to contain Pre-Greek suffixes, belong to the distinct Mediterranean substrate language isolated above, it seems to have been responsible for the indirect transmission of some Greek words into Latin.

# 4.2.2.6 Mediterranean Languages with a Wider Distribution

There is a small number of curious cases where non-Indo-European Mediterranean languages attest to comparanda that show a wider distribution. This is the case for Semitic and Sumerian. These must represent older contact situations, quite probably in

the way of Wanderwörter. This is relatively certain for Lat. ferrum and its Germanic, Kartvelian, and (probably) NE Caucasian comparanda. Along with several Semitic forms, these words have their ultimate source in an Anatolian language. It is in Anatolia that iron production technology developed, and it spread after the split of the Indo-European languages (cf. Thorsø & Wigman et al. 2023: 120). The group Lat. ascia ~ Gk. ἀξΐνη ~ PGm. \*akwes(ī)- has comparanda in Semitic and Sumerian, suggesting a Wanderwort from the East. The Germanic form almost certainly precludes a borrowing from a Mediterranean contact situation.<sup>535</sup> But alternations within Akkadian (whence the other Semitic forms seem to be loans) indicate that it is not native there. All forms could be Wanderwörter with an ultimate source in Sumerian, but it cannot necessarily be ruled out that the Sumerian word is also a borrowing. A similar case with strong implications is that of Lat. raudus ~ PGm. \*arut- (and possibly PCelt. \*rutu-). They represent a case of non-IE a-prefixation, strongly suggesting that they entered from a European substrate source, but their similarity to OSum. aruda raises questions that are difficult to answer. Does this hint at a relationship between the language of the a-prefixes and Sumerian (cf. Schrijver 2018: 361-3), or is *aruda* a Wanderwort in Sumerian?

The case of Arm.  $f^{\prime}uz$  with its Latin ( $f\bar{\iota}cus$ ), Greek, and Semitic comparanda indicate that Armenian was in contact with some of the same Mediterranean languages as Latin and Greek. But several other cases of more widespread lexemes with attestation in Armenian show that it participated in some older contact situations involving Latin as well. Such situations as these will now be examined, primarily via their distributions of attestation amongst the IE daughter languages.

# 4.3 Further Stratification Using Distribution

# 4.3.1 Potentially Recent Borrowings

#### 4.3.1.1 Words Exclusive to Latin and Romance

There are 5 words restricted to Latin (i.e. without secure comparanda) but which are nevertheless unlikely to be inherited due to their invalid root structure: *faex*, *farciō*, *focus*, *pampinus*, *tabānus*. The antiquity of these words in Latin is difficult to estimate given their lack of comparanda. They may represent words borrowed from a language spoken exclusively on the Italian peninsula, but it cannot be ruled out that their comparanda in other languages—and therefore true distribution—have been lost.

On the other hand, the 7 words restricted to Italic with irregularities recorded by Romance descendants (or alternations within Latin) most likely represent a recent

<sup>&</sup>lt;sup>535</sup> Alessio (1941b: 204, 1946a: 143) had additionally used Ger. *Mohn* 'poppy', Gk. μήκων 'poppy', etc. to suggest that Germanic indeed has words from a Mediterranean substrate. He entertains part of the proposal by Ribezzo (1934a) that his would have occurred via contacts in the Alps with Rhaetic. Kroonen (2013: 371) however shows that all attestations of this word (which includes Baltic, Slavic, and Albanian) can be reconstructed to \**méh-k-on-*, an IE-looking root albeit only attested in Europe. This seems to be another case of the over-Mediterraneanization of European linguistic features.

stratum of loans, borrowed at least after the dissolution of Proto-Italic, if not after the development of the Latin branch. These include *arbutus*, *cerrus*, *genesta*, *lābrusca*, *lepus*, *sambūcus*, and *talpa*. It is *genesta* and *sambūcus* which show alternations within Latin, making them likely the oldest borrowings of the group. *Genesta* (var. *genista*) attests to an  $e \sim i$  alternation, quite likely the same one identified for the Mediterranean substrate (§4.2.2.4.2). Similar is likely true for the  $l \sim r$  alternation between Lat. *talpa* and PRom. \**darbo*- (§4.2.2.4.1). They may thus represent examples where comparanda in other Mediterranean languages have been lost.

Sambūcus attests to a  $b \sim mb$  alternation within Latin. This otherwise appears in the Romance descendants of Lat.  $l\bar{a}brusca$ . It is unclear if this is related to the  $b \sim m$  alternation between the Latin and Romance forms of arbutus, but it is interesting that Lat. plumbum attests to a nasal that is rather difficult to account for. While lead is present in the Mediterranean from quite an early date, the wide variation in the comparanda of Lat. plumbum makes it likely to be a relatively late Wanderwort, perhaps introduced along with the introduction of lead-alloyed copper that reaches Southern Europe around 1000 BCE (Thorsø & Wigman et al. 2023: 119). The irregular appearance of the nasal before b in plumbum, sambūcus, and  $l\bar{a}brusca$  may thus be a phenomenon peculiar to the Italian peninsula, representing a feature of a language spoken there.

### 4.3.1.2 Gemination

Irregular Romance reflexes contain geminates not matched in Latin for  $b\bar{a}ca$ , baculum, lapis, and lepus. That a substrate language of the Italian peninsula might be responsible for the presence of geminates is suggested by the irregular alternation within Latin between  $sapp\bar{n}us$  and  $sab\bar{n}a$  (and perhaps the geminates present in Lat. cerrus and  $vacc\bar{n}ium$ ). The presence of both variants suggests, as above, a recent date of entry. However for  $b\bar{a}ca$ , baculum, and lapis, where Romance descendants attest to a geminate not recorded in Latin, comparanda exist outside of Italic (Greek, Germanic, and Celtic). Given that a similar pattern occurs between Lat.  $lepus \sim PRom$ . \*lapparo-, where non-Italic comparanda do not exist, it seems possible that the geminate forms may represent secondary reborrowing after the phonemicization of gemination in Latin and after the expansion of Latin back out of the peninsula (resulting in the Romance reflexes). This chronological differentiation may explain the pair  $sapp\bar{n}us \sim sab\bar{n}us$  (and perhaps  $b\bar{a}ca \sim vacc\bar{n}ium$ , if they are ultimately related).

#### 4.3.2 Earlier Strata

# 4.3.2.1 The Oldest Loans in Italy

As mentioned above in \$4.2.2, several lexemes from the corpus can be argued to have been borrowed at a time prior to or at the latest during the Proto-Italic developments regarding the voiced aspirates. The case is easiest to demonstrate when the Latin result differs in place of articulation from the reconstruction suggested by the comparanda. While it could be argued that a correspondence like Lat.  $f \sim PGm$ . \*b (in words with

other indications of being non-native) represents different nativizations of a foreign  $/\beta$ / or /v/, that Lat.  $f \sim Gk$ .  $\varphi$  could represent words that entered with /f/ (especially when they are likely to be recent borrowings), and that Lat.  $h \sim Gk$ .  $\chi$  could represent nativizations of a foreign /x/, similar explanations are more difficult when Lat. f points to the reconstruction of  $*d^h$  or  $*g^{wh}$ .

That loanwords entered such a stage in the history of Latin is not overly surprising for the words with a non-Mediterranean distribution. Such a case can be made for the following words:

#### In Initial Position

```
Likely frac\bar{e}s < *d^hrak\text{-: Guaranteed by PGm., PSlav. PBalt. onset }*dr-fungus < *g^{wh}ong\text{-: Suggested by Gk. }\sigma\phi/\pi \acute{o}\gamma\gamma o\varsigma, PGm. *swamb/ppan-Plausibly <math display="block">faba < *b^hab\text{-: Suggested by PGm. }baun\bar{o}\text{-, PBSl. }*ba/ob-ferrum < *b^herso\text{-: Suggested by PGm. }*brasa-filix/felix < *b^helik\text{-: Suggested by Gk. }\beta\lambda \tilde{\eta}\chi vov, PGm. *brekna(n)-fulica < *b^hulVk\text{-: Suggested by PGm. }*balik\bar{o}n-hasta < *g^hast\text{-: Suggested by PCelt. }*gasdo\text{-, }*gazdo\text{-, PGm. }*gazda-
```

#### In Medial Position

```
Likely barba < *bar(s?)d^h\text{-}: Suggested by *d in PGm., PSlav., PBalt. \\ caulae < *kag^h\text{-}: Guaranteed by Lat. cohum, *g in PCelt., PGm. \\ Plausibly \\ columba < *ke/ol-o/umb^h\text{-}: Suggested by PGm. *kulubron-
```

In several other cases, the analysis is more complicated. The b of Lat. plumbum can be reconstructed with a  $*d^h$  like for PCelt.  $*(\phi)loudio$ -, or it perhaps corresponds to the  $\beta$  of e.g. Gk. μόλιβδος. Sabulum could reconstruct to a  $*b^h$  if that is what caused the gemination of Gk. ψάμμος and underlies the w of Arm. awaz. The analysis of cucurbita depends on how the elements align with those of PGm.  $*hwehwetj\bar{o}$ -. Sulpur requires \*p but some Romance forms reconstruct to  $*b^h$ , making its interpretation less than straightforward. And badius points to a reconstruction with  $*d^h$  if its suffix is \*jo, rather than \*iHo (\*iyo).

corbis < \*korb<sup>h</sup>-: Suggested by PGm. \*krebo-

More surprising, or at least informative, is the fact that some Latin loanwords with Mediterranean comparanda likewise entered Italic before or during the Proto-Italic treatment of voiced aspirates. Critically, this means that Proto-Italic did not split up until after it entered the Mediterranean zone. The data is as follows:

#### **In Initial Position**

```
Likely

fīcus < *dħīk-: Guaranteed by PGk. *t(ħ)y/wūko-, Arm. fuz

faber < *dħab-: Guaranteed by Arm. darbin

hirundō < *għir-: Guaranteed by Alb. dallëndyshe

Plausibly

fascinus < *bħask-: Suggested by Gk. βάσκανος if not from /β/ or /v/δ36

frīgō < *bħrīg-: Suggested by Gk. φρύγω if not from /f/

funda < *bħend-: Suggested by Gk. κιθάρα if not from /r/

hedera < *għedħ-: Suggested by Gk. κιθάρα if not from /x/
```

#### In Medial Position

```
Likely
```

```
laurus < *lag^{wh}-ro-: Suggested by Gk. δάφνη and δαύχνα \bar{a}lium < e.g. aG^hl-io-: Suggested by Gk. ἄγλ\bar{\imath}ς, PBerb. *agVlum-Plausibly tab\bar{a}nus < *tab^h-: Suggested by It. tafano
```

As with the non-Mediterranean cases, there are a few forms that resist definitive analysis. Malva may have had  $*g^w$  depending on its exact relationship with e.g. Gk.  $\mu\alpha\lambda\alpha\eta$ . The d of bolunda may reconstruct to  $*d^h$  on comparison with Gk. ὅλυνθος depending on how old it is within Latin. Faex and  $farci\bar{o}$  have no certain comparanda and therefore do not give any indication of the shape of their source forms.

These words (especially *ālium*, *faber*, *fīcus*, *hirundō*, and *laurus*) would thus represent the earliest loanwords taken up into Italic in the Mediterranean contact zone.

# 4.3.2.2 Early Contact or Widespread Substrate?

As mentioned above, the large number of substrate lexemes attested with comparanda exclusively in geographically Mediterranean languages contrasts highly with a very

<sup>536</sup> Though see fn. 121.

small number of lexemes that show a more Europe-wide distribution. 7 cases in the dataset exhibit the most widespread distribution, with comparanda in (at least) Greek, Germanic, and Celtic. These include, with the additional language groups in which they are irregularly attested, the following:

Lat. baculum	Gk.	Gm.	Celt.	PRom.				
Lat. baiulus	?Gk.	Gm.	?Celt.					
Lat. <i>rādīx</i>	Gk.	Gm.	Celt.				Alb.	
Lat. <i>rāpum</i>	Gk.	Gm.	Celt.		Balt.	Slav.		
Lat. turdus	Gk.	Gm.	Celt.		Balt.	Slav.		Arm.
Lat. tilia	Gk.	?Gm.	Celt.		??Balt.	??Slav.		Arm.
Lat. plumbum	??Gk.	??Gm.	Celt.	(Also ?Basque, ?Berb., ??Kartvelian)				

A widespread distribution, especially amongst IE languages, can have two main explanations. The first possible scenario is that a word was borrowed early, shortly after the dissolution of PIE from a (potentially small) source near the PIE homeland into the newly differentiating IE daughter branches. The second possibility is that a word was borrowed late, upon the arrival of the individual PIE daughter languages in Europe (possibly upon reaching their places of eventually attestation, but it cannot be ruled out that words were borrowed somewhere along the way). This scenario has important implications, because it requires the word(s) to have already had a wide distribution in Europe, perhaps due to them belonging to a widespread language (or more probably language family) spoken by Early European Farmers. Genetic studies have demonstrated that agriculture spread through Europe in the Neolithic via demic diffusion, quite possibly from the same starting point in the Aegean (cf. Hofmanová et al. 2016, Shennan 2018: 107). On the other hand, the time between the arrival of agricultural populations in Europe and the arrival of the IE languages amounts to several millennia. Anthony (2007: 80) has postulated on theoretical factors that Neolithic Europe could have been home to up to twenty to forty distinct language families.

In the scenario of early loans from a source close to the PIE homeland, we should expect relatively little variation between languages, and especially not within them. The words would have been borrowed into the proto-daughter languages, perhaps even at intermediary subnodes, and should thus mostly follow known sound laws. This does in fact seem to be the case for the group of words represented by Lat. taurus, though curiously not for the Latin word itself (which seems to be a loan post-dating the metathesis of \*aurV > aruv). Nearly all branches (Celtic, Greek, and Balto-Slavic) attest to a pre-form \*tauro- except for Germanic, which preserves \*teuro-. (Albanian could reflect either.) Etruscan also attests e-vocalism in  $\theta evru$ -. This in combination with the lexeme's deep entrenchment in Semitic (potentially as deep as Afro-Asiatic) suggests a very early loan into just barely differentiated Indo-European.

On the other hand, in the second situation of later in situ borrowing, we should expect the full force of differentiation. The words would have been borrowed into the separate IE daughter languages from probably differentiated substrate daughter languages. And in fact, this what we seem to find with the list of widely distributed lexemes. Lat. plumbum and its comparanda are widely diverse and for archaeological reasons, as mentioned above, it may represent a relatively late Wanderwort. The comparanda of  $r\bar{a}d\bar{i}x$  attest to vocalic alternation between  $\bar{a} \sim a \sim i$  and what may be the reflex of a schwa. For  $r\bar{a}pum$ , the alternations are between  $p \sim b^h$  as well as  $\bar{a} \sim a \sim \bar{e}/oi$  and a-prefixation (in Celtic). The comparanda of tilia attest to a complex onset cluster (reflecting both \*pt and \*tp) and potentially complex reflexes of the a-prefix phenomenon. Turdus and its comparanda attest to several alternations, the most prominent of which include the vacillating presence of a sibilant both at the onset and in the interior of the word and  $t \sim t$ \* $d \sim *d^h$  alternations. Baculum and baiulus are Italic-Celtic-Greek-Germanic isoglosses whose interpretation is complicated; especially the latter, which seems to have been borrowed multiple times in several branches (Italic: fascis ~ baiulus, Germanic: \*pakk- ~ \*bagg-, Gk. φάσκωλος ~ φάκελος).

Another indication that Europe may have been home to a large group of related languages is the distribution of the alternating morphological features identified in §3.3. The a-prefix especially appears in two lexemes from the list of the most widely distributed ( $r\bar{a}pum$  and tilia). If the mechanism behind the a-prefix is truly as simple as an alternation between a root in the "full-grade" and an a-prefixed root in the "zero-grade", then theoretically all cases could have been borrowed at an early date into the just barely differentiated daughter branches; there would be two variants, as with \*tauro- and \*teuro-. However, there are indications that the mechanism was not this simple. The comparanda of tilia (especially if ultimately related to  $p\bar{o}pulus$ ) demonstrate this for instance. It seems more likely that the IE daughter languages borrowed the variants after their dispersion in Europe. Such is also the case for the widely distributed n-suffix, especially given that its vacillating presence is not the only irregular alternation occurring in the lexemes where it is found.  $^{537}$ 

Interestingly, there is a chance that both morphological features have a distribution that extends into the Mediterranean. Neither of these is represented in the distinct Mediterranean substrate language identified in §4.2.2.5. This may be due to the sample size, but it seems quite likely that this means there were at least two contact languages in the Mediterranean. For the *a*-prefix, Schrijver (1997: 310) cautiously suggests that cases of (mainly) Greek *prothetisches*  $\alpha$  (cf. Furnée 1972: 368-74 with lit.) involving the vacillating presence of *a*- without vocalic reduction of the root could be a related phenomenon. For the *n*-suffix, cases like Lat. *urna*, *orca* ~ Gk. ὕρχη and Lat. *laurus* ~ Gk. δάφνη, δαύχνα are attested with a Mediterranean distribution. For neither of these can it be confirmed that the Mediterranean cases represent the same phenomenon. But it

<sup>537</sup> The extent of the *filix-fulica-sōrex* type velar suffix is complicated by the inability to confirm its relationship with other cases of velar suffixes.

is difficult to rule out, especially for the *n*-suffix. This makes such cases difficult to stratify. If the *a*-prefix and *n*-suffix were found in a Europe-wide distribution including the Mediterranean, both Italic and Greek could theoretically have received their lexemes with these features upon arriving in the Mediterranean.

Thus an important further question remains whether there exist any indications of lexemes that were borrowed along the way to the areas in which the languages would come to be attested, i.e. traces of their prior homelands. Based on the distribution alone, there is indeed a group of words attested in Latin, Germanic, and Celtic to the exclusion of Greek as well as groups including Latin-Celtic and Latin-Germanic isoglosses. Are these due to coincidental lexical loss, or do they represent different contact situations, such as in Figure 4.8?

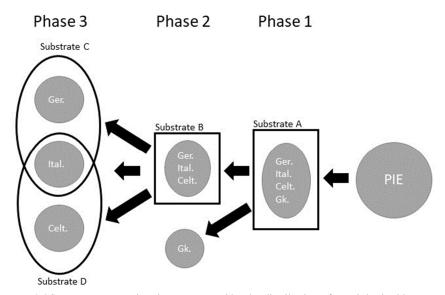


Figure 4.4 Separate contact situations suggested by the distribution of non-inherited lexemes

#### 4.3.2.3 Intermediate Contact Situations

### 4.3.2.3.1 Stratum Excluding Greek

There is a group of 12 words attested beside Latin in Celtic and Germanic to the exclusion of Greek (caput, catulus, caulae, corbis, far, fulica, hasta, merula, nux, raudus, trabs, ulmus). Of these, caput, corbis, fulica, hasta, merula, and nux are relatively securely Latin-Celtic-Germanic isoglosses. Merula attests to a-prefixation (for which there are examples of Greek participation as well) and the velar of fulica corresponds to Germanic in the same way as other lexemes (filix and sōrex) that have

Greek comparanda, suggesting that these words were borrowed from a language with which Greek was at one point in contact as well. The alternations attested for *corbis* and *hasta* are mainly of voicing and aspiration, types also attested in lexemes for which there are Greek comparanda. It is *caput* and *nux* that furnish the best evidence of a substrate language with which Greek was never in contact. Their comparanda show a dental  $\sim$  velar alternation that is further attested in at least Baltic (van Sluis fthc., see §3.2.1.2.7) but not Greek. The only case that might have a Greek comparandum is that of Lat.  $pix \sim$  Gk.  $\pi i \tau v \varsigma$  and their comparanda. Given the semantic relationship between pitch and pine trees, the connection is attractive. It would be more certain if both meanings were attested for both forms. As it stands, all forms meaning pitch can be reconstructed to \*k and for pine to \*t. Thus, the lexemes may truly be unrelated leaving Greek with no examples of a dental  $\sim$  velar alternation.

This suggests a situation in which Italic, Celtic, and Germanic (along with at least Baltic) were in contact with a substrate language of Europe with which Greek was not in contact. When exactly this would have occurred depends on how early or late the loanwords in §4.3.2.2 entered Greek. Considerations on Italo-Celtic make this a complicated question to answer.

#### 4.3.2.3.2 Italo-Celtic Isoglosses and the Italo-Celtic Subnode

There are three lexemes in the dataset that are attested in Italic and Celtic but not Germanic or Greek. These are badius, sappīnus, and bāca. The first two are Italo-Celtic isoglosses while the latter has only potential Berber comparanda (unless it is ultimately related to Lat. vaccīnium). This small number (3% of the data) is probably significant. It may in part be due to lexical loss in the Insular Celtic languages, our best source of Celtic lexical material. But it may well also have to do with the potentially reconstructible Proto-Italo-Celtic subnode. Considerations on the reconstruction of an Proto-Italo-Celtic subnode have important consequences for the stratification of the data. If Proto-Italo-Celtic persisted throughout the period in which loanwords were entering the Indo-European daughter languages, then forms with irregular alternations should be few and due to post-split borrowings. The cases of badius, sappīnus, and bāca are indeed few. The latter two are also plausibly late loans since they attest to irregular alternations even within Italic (though they may have been borrowed at different times, see §4.3.1.2). Some Italo-Celtic isoglosses that reconstruct to the same proto-form (and thus are not included under §2.2.2) may be the result of substrate words borrowed into still unified Italo-Celtic (cf. caelum, hirūdō; see Stifter fthc. on the latter).

The picture presented by the rest of the Celtic data however seems paradoxical. Of 26 words for which Celtic attests comparanda, only 5 cases (caput, caulae, fascis [as a

<sup>538</sup> This also relies on Gk. κεφαλή 'head' <  $*g^heb^hh_2$ -l- being only coincidentally similar to the *caput* group. The presence of a cognate in Tocharian (Toch. A *śpāl* 'head', demanding the reconstruction of a laryngeal that the Greek does not otherwise require) is indeed strongly indicative of an unrelated, inherited formation.

relative of *baiulus*], *hasta*, *merula*) can be reconstructed to the same pre-form in Proto-Celtic and Proto-Italic. Most of these are in the Italic-Celtic-Germanic layer excluding Greek which, as argued above, seems likely to be more recent than the layer including Greek. For *tilia* and *rāpum* for instance, Celtic attests to forms with an *a*-prefix against all others. This would imply a contact situation like in Figure 4.9, where Proto-Italo-Celtic and Proto-Germanic had migrated into contact with a language with which Greek did not have contact before reaching their eventual places of attestation and receiving loans from a pan-European substrate language *in situ*. As mentioned above, if the distribution of the *a*-prefix and *n*-suffix includes the Mediterranean, this situation is possible. The Mediterranean loans in Latin would thus have entered Italic in approximately the same region as the other loans, suggesting much linguistic diversity.

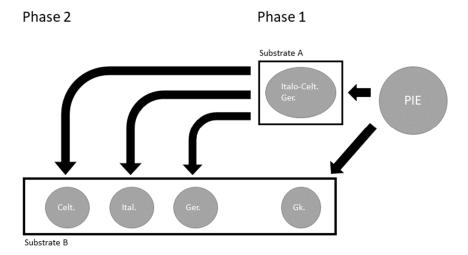


Figure 4.5 One interpretation of contact incorporating Italo-Celtic considerations

Some support of this alternative timeline is given by Stifter (fthc.), who notes that the loss of QPIE \*p in PCelt.  $*ka\phi uto$ - (belonging to the stratum excluding Greek) makes it look older than PCelt.  $*arb\bar{m}o$ - (with widespread comparanda including Greek) if the \*b of the latter indeed represents an attempt at rendering a /p/ after that sound was lost in Celtic. Given the difficulty in being able to confirm this (note the warning of Huld 1990: 394-5 mentioned by Stifter fthc. fn. 24), other potential scenarios are possible.

While an Italo-Celtic subnode is not fully accepted by all, Italic does indeed seem to share more innovative features with Celtic than with other branches (Weiss 2022a: 108). It is not certain how early or late Proto-Italo-Celtic would have split into Proto-Italic and Proto-Celtic. Figure 4.10 illustrates a possibility in which Proto-Italo-Celtic split up early

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on. The Italic-Celtic-Germanic lexemes would then have been borrowed in a contact situation that occurred *after* Greek had migrated to an area where this language was not present. Proto-Italic and Proto-Celtic speech communities would theoretically have been in close contact near the Alps at a recent date, and the small number of exclusively Italo-Celtic irregular isoglosses would be the result of 1) close proximity to the same

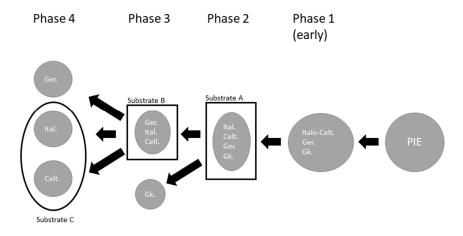


Figure 4.6 An alternative scenario with an early Italo-Celtic split and later close contact

substrate (resulting in many loans entering the languages the same way, mimicking Italo-Celtic unity), 2) lexical loss, and 3) this contact occurring in a part of Europe where there were few speakers of non-IE languages left (cf. Stifter fthc. on the latter point).<sup>539</sup> After subsequently entering the Mediterranean region, Italic re-entered a situation where it was in contact with some of the same languages as Greek.

It remains difficult to decide which scenario best represents the contact situations that resulted in the attested data. But the important results remain: very few cases of non-IE loanwords into Italic and Celtic can be reconstructed to the same proto-form and there are very few cases of exclusive Italo-Celtic irregular isoglosses.

#### 4.3.2.3.3 Italo-Germanic Group

The comparanda shared between Latin and Germanic to the exclusion of Celtic and Greek (alnus, perhaps avēna, barba, cucurbita, excetra, faba, ferrum, fracēs, raia,

<sup>&</sup>lt;sup>539</sup> Noting cases of alternation within other branches (like Lat. *caulae* ~ Lat. *cohum*; Lat. *trabs* ~ Osc. **tríibúm** ~ U *trebeit*; PGm. \*habuda- ~ PGm. \*habuda-) another alternative is that Proto-Italo-Celtic could have existed in theory up to a recent date, with irregular alternations between comparanda being the result of borrowings from highly regionally diversified dialects of the contact languages. This is the most problematic possibility, as alternations within branches are generally assumed to represent the latest borrowings.

sulpur) often have a strong connection to differentiated Baltic and Slavic (alnus, avēna, barba, excetra, faba, fracēs). That they demonstrate several types of substrate alternations that are found elsewhere (n-suffix: alnus, avēna, faba; s insertion: barba, fracēs; SK metathesis: excetra) makes their analysis difficult. How many such cases are due to lexical loss in other branches that could originally have attested them? How many are due to contact with separate languages that are nonetheless related to other substrate languages of Europe? Raia, sulpur, and possibly cucurbita are Italo-Germanic isoglosses.

#### 4.3.2.3.4 Germanic Loans in General

It is interesting to note that most of the Germanic comparanda, where they contain a diagnostic phoneme, can be shown to have entered Germanic before the operation of Grimm's and Verner's Laws. It is a pre-Proto-Germanic stage when their consonantism can be reconstructed to match that of the other comparanda most closely:<sup>540</sup>

Lat.	PItal.	QPIE for PGm.	PGm.	Other Comp.
aper	*a <b>p</b> ro-	*h1e <b>p</b> -ŕ-	*ebura-	PGk. *epero-
ardea	*ar <b>d</b> -	*h <sub>2/3</sub> er <b>d</b> -	*artō(n)-	PGk. *erōd-
baculum	* <b>b</b> a <b>k</b> -	* <i>bHk</i> -	*pagjō-	PGk. * <i>bak</i> -
caput	* <b>k</b> a <b>p</b> u <b>t</b> -	*kHput-	*habuda-	PCelt. *kaquto-
caulae	* <b>k</b> aχ-	*ko <b>g</b> h_	*haga(n)-	PCelt. *kagyo-
faba	* <b>b</b> hab-	* <b>b</b> hh2eu-n-	*baunō-	PSlav. * <b>b</b> òbъ
far	*fars-	$*b^hHr(V)s$ -	*bariz-	PCelt. *baragi-
ferrum	*fersom	* <b>b</b> hros-	*brasa-	
pannus	* <b>p</b> anno-	* <b>p</b> Hn-	*fanan-	PGk. * <b>p</b> āno-
rādīx	*wrā <b>d</b> -	*u̞reh₂ <b>d</b> -	*wrōt-	PCelt. *wrad-
raudus	*rau <b>d</b> o-	*h2eru <b>d-</b>	*arut-	
trabs	*tra <b>b</b> -	*trb-	*þurpa-	PCelt. *treb-
turdus	* <i>t</i> o/ <i>ur</i> ( <i>z</i> ) <i>d</i> o-	*trosd-	*þrastu-	PCelt. *trozdi-

Table 4.1 Consonantism correspondences between Germanic and other branches

Importantly for the nature of the irregular velar suffix (§3.3.3), the correspondence between Lat.  $fulica \sim \text{OHG } belihha$  and Lat. filix,  $felix \sim \text{PGm. } *brekna(n)$ - is best reconstructed to initial QPIE  $*b^h$ , requiring a borrowing before the operation of Grimm's Law. Thus the velar suffix that entered Latin and Greek with \*k entered Germanic as voiced \*g.

In a similar vein, PGm. \*hadelō- and \*hadnō- must have entered Germanic pre-Grimm

as Italic and Celtic both attest a reflex of PIE \*k. But as to their second consonant, do they go back to \* $kHd^h$ - to match PCelt. \*kadVlot- or to Verner variants of \*kHt- to match PItal. \*katVlo-? In light of PCelt. \*arbino- and Gk. ράφυς, PGm. \* $r\bar{o}bj\bar{o}n$ - may have entered with \* $b^h$  or with \*p (like all other comparanda).

PGm. \* $kulubr\bar{o}n$ - may attest to QPIE \*g like its Balto-Slavic comparanda, or it may represent a post-Grimm borrowing of \*k like Lat. columba. Two cases indeed suggest that a word entered Germanic both before and after the operation of Grimm's Law: PGm. \*pakka- (< QPIE \*bHG-) ~ PGm. \*bagg- and PGm. \*hrep- (< QPIE \*kreb-) ~ PGm. \* $kreb\bar{o}$ -. The alternation between PGm. \*rugg- and PGm. \* $rehh\bar{o}n$ - cannot be explained this way.

That no Germanic comparanda of Latin words securely and exclusively attests to a post-Grimm borrowing suggests that Italic ceased to have contact with the same substrate languages as Germanic before the operation of Grimm's Law. This comes as no surprise if Grimm's Law operated in the mid-first millennium BCE.

#### *4.3.2.3.5 Other Groups*

There are 4 words attested in Italic, Celtic, and Greek to the exclusion of Germanic (caballus, calpar, lapis, paelex). Caballus has widespread attestation that points to a more recent Wanderwort. Calpar has a Mediterranean distribution save for its Celtic attestation, and van Sluis (fthc.) proposes it might be a Wanderwort into Celtic transmitted by Etruscan. Paelex and lapis seem also to have been in currency in the Mediterranean (paelex perhaps more so given its potential borrowing into Semitic); their presence in Celtic has unclear implications. The Hallstatt and later La Tène cultures indeed maintained trading networks with Greeks and Etruscans (cf. Kristiansen 1998: 292-3), but this seems too late for the Proto-Celtic loss of \*p in all of these words. Otherwise, there seems to be little evidence of Celtic participation in the Mediterranean substrate(s).

Several other words are attested in Italic, Germanic, and Greek to the exclusion of Celtic (ascia, ?columba, ervum, filix, fungus, sabulum, sōrex, aper, ardea, pannus, viscum). It is unclear why Celtic does not have these. If the number of exclusive Italo-Celtic irregular isoglosses is so small due to lexical loss (i.e. replacement), then perhaps this is the case here too: the Celtic languages may well have replaced what would otherwise be loans from the same contact situation that produced widespread loans like rāpum and tilia. This is suggested by at least filix and sōrex (whose irregular correspondence between Latin and Germanic is shared by fulica, for which there is a Celtic comparandum) and ardea (with an a-prefix, a pattern with examples elsewhere in Celtic). The SK metathesis of ascia and viscum also occurs in mūlus and possibly in tamarix and excetra, also

<sup>&</sup>lt;sup>541</sup> For the latter form, evidence against a later borrowing is the great variation within the Proto-Germanic forms such that they point to an ablauting *n*-stem, making them archaic (Kroonen 2011: 179-82, 2013: 303).

without Celtic comparanda. But PGm. \*pahsu- ~ PCelt. \*tazgo-, \*tasko- 'badger' makes it difficult to propose that this is the result of a contact situation in which Celtic did not take part.

# 4.4 A More Inclusive Visualization

The importance of Greek, Celtic, and Germanic for the major distributional tendencies is clear, but this tripartite representation does not effectively represent the placement of all the other languages for which comparanda exist. This is most easily visualized with the assistance of multidimensional scaling.<sup>542</sup> Plotted in the following diagrams are the 61 words from §2.2.2.1 *Non-inherited Origin is Probable*.

The plots are Principal Component Analyses (PCAs) using the lexemes as data points (rows) and their languages of attestation as properties (columns). This takes the form of presence (1) and absence (0) data. However, a traditional PCA treats two identical values as the same; thus two zeros would be interpreted in the same way as two ones. This is clearly inaccurate. (The absence of one particular lexeme in two or more languages does not mean that they share an alternative lexeme in its place. In reality, each language that does not have the lexeme in question usually has a different alternative lexeme.) In this way, the dataset is similar to datasets on species abundance in the field of Ecology, where Legendre and Gallagher (2001) recommend several transformations of the data before it is put into a PCA. A Hellinger transformation is suited for data like this with low counts and many zeros. Thus the presence-absence tables were imported to R, Hellinger transformed ("vegan" package decostand("hellinger")), visualized ("ggplot" package autoplot), and re-labelled ("ggrepel" package geom\_text\_repel). Because the discussion of the lexemes involved uncertain comparanda, the analysis was performed twice: a loose plot (with the uncertain comparanda included) and a strict plot (with only secure comparanda included).

Figure 4.11 displays the loose analysis, in which Principal Components 1 and 2 account for 50.74% of the variation. The vectors (arrows in red) help visualize in space the importance of the major effect of Greek as opposed to Germanic and Celtic. However it also visualizes the effects of Baltic and Slavic.

<sup>&</sup>lt;sup>542</sup> The statistical portion of this section has been greatly informed by discussions with Paulus van Sluis.

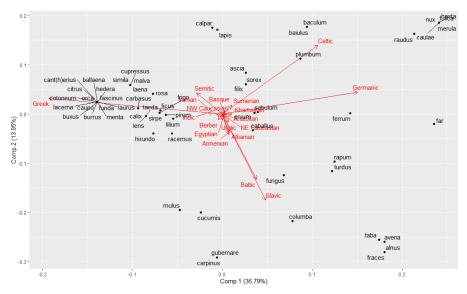


Figure 4.7 PCA of loose analysis

Figure 4.12 contains the strict analysis, in which Principal Components 1 and 2 account for an increased 60.79% of the data. The overall trend remains the same as in the loose plot, with a similar importance played by Greek, Celtic, Germanic, Baltic, and Slavic. Very visible is the Mediterranean cluster, with a major role in placement played by Greek, along with Semitic. The widespread nature of the rest of the data points matches many of the conclusions from above: that there are likely several other contact situations represented by the data. How many different situations are represented as opposed to how many different clusters actually attest to singular large contact situations with subsequent lexical replacement is difficult to confirm.

While the PCAs assist in the visualization of distributional trends, a major caveat is indicated by *plumbum*, *baculum*, and *baiulus* sharing the same position in Figure 4.12: Two lexemes in close proximity share similar distributions between languages, but this is not proof they entered Latin as the result of the same contact situation or that they arose in the same source language.

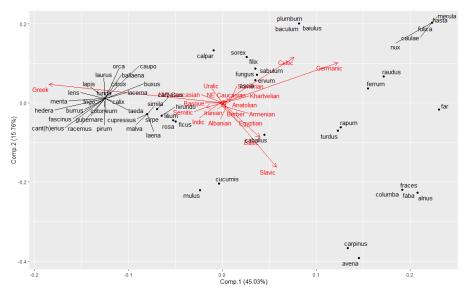


Figure 4.8 PCA of strict analysis

# 4.5 Summary of Stratigraphy

Good evidence of the very earliest loanwords is partially obscured by the methodology of this thesis, in that loanword status is based on irregular alternations between comparanda. The earliest loanwords would show little variation. *Taurus* is one example, but it happens to be a more recent loan into Latin.

After the split of the Indo-European daughter languages, the stratification of contact situations directly following is difficult and depends in part on whether Proto-Italo-Celtic split very early or late. If early, loanwords with a widespread distribution including Greek are likely some of the earliest. This is followed, as indicated by weak evidence (a velar ~ dental alternation), by a period where Italic, Celtic, and Germanic entered a contact situation with which Greek was not a part. If Proto-Italo-Celtic persisted to a more recent date, then the loanwords with a widespread distribution of attestation may in fact be due to late, *in situ* borrowings from a very widespread, entrenched network of languages. In any case, Italic was also in contact with the same substrate language(s) as pre-Grimm's Law Germanic and separate Baltic and Slavic.

There are very few demonstrable cases of loanwords shared exclusively with Celtic, a fact that must be considered along with the details of the dating of Proto-Italo-Celtic.

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Proto-Italic reached the Mediterranean region, at which time it began to borrow lexemes from the same languages as Greek as well as receive loanwords from Greek that were transmitted indirectly through other languages. There is evidence of at least one distinct substrate language of the Mediterranean, along with the possibility of others. Borrowings from these as yet identified sources into Italic languages in the Mediterranean region continued from the period of Proto-Italic until at least the third century BCE. At some point, it seems that some varieties of Latin reborrowed some of the same words at a later date, possibly resulting in Romance forms that attest to geminates.

# 5 Semantic Analysis

The relevance of semantic category to the study of substrate words has been mentioned several times (cf. Schrijver 1997: 295, who notes that words denoting economically and culturally unimportant animals and plants are possibly more likely to have a substrate origin). As argued in §1.4.2.1, semantic considerations are best kept for after non-inherited material has been identified rather than used to identify it. Now that the non-inherited material has been identified, it will be worthwhile to examine the semantic categories to which it belongs. While it is difficult to know exactly what semantic classifications to assign certain objects and technologies from an ancient culture, I have tried the following:

```
21
Animals
         Domestic
                                       4 (caballus, asinus, cant(h)erius, mūlus)
         Birds
                   Aquatic
                                        2 (ardea, fulica)
                  Other
                                        4 (columba, hirundō, merula, turdus)
         Other Wild
                   Aquatic
                                        3 (ballaena, excetra, raia)
                  Insect
                                        3 (arānea, asīlus, tabānus)
                  Other
                                        4 (aper, lepus, sōrex, talpa)
         Unspecified
                                       1 (catulus)
                                     44
Plants
         Domestic
                                       11 (ālium, avēna, cēpa, cucumis, cucurbita,
                                               ervum, faba, far, lēns, nāpus, rāpum)
                                       33
         Other
                   Tree
                                        17
                            Fruit
                                         6 (arbutus, citrus, cotoneum, fīcus, pirum,
                                               sambūcus)
                            Other
                                         11 (alnus, buxus, carpinus, cerrus, cupressus,
                                               laurus, sappīnus, taeda, tamarix, tilia,
                                               ulmus)
                   Wild
                                        8 (alaternus, filix, genista, hedera, malva,
                                               menta, sirpe, viscum)
                  Flower
                                        2 (līlium, rosa)
                   Berry
                                        2 (bāca, vaccīnium)
                   Plant part
                                        2 (rādīx, trabs)
                   Nut
                                        1 (nux)
                   Fruit
                                        1 (bolunda)
Metallurgy
                                     4 (faber, ferrum, plumbum, raudus)
Textiles
                                     4 (carbasus, lacerna, laena, pannus)
```

Tool 7 (ascia, baculum, baiulus, caulae, corbis, funda,

hasta)

Vessel 3 (calix, calpar, orca)

Viticulture 5 (fracēs, faex, lābrusca, racēmus, pampinus)

Geography 5 (calx, grūmus, lapis, sabulum, sulpur)

Culinary 3 (adeps, frīgō, simila)

Color 2 (badius, burrus)

Body Part 3 (barba, caput, grāmiae)

Other words are more difficult to classify: casa is architectural, focus domestic, and fascinus magico-religious. Fungus is a fungus and could serve as a tool.  $Caup\bar{o}$  is an economic profession (cf. baiulus classed under tool due to its relationship to fascis as well as faber classed under metallurgy) and paelex a person specified by their role in society.  $Farci\bar{o}$  and  $gubern\bar{o}$  are actions, the latter nautical.

It is clear that the non-inherited lexemes of Latin are indeed overwhelmingly plants (40%) and animals (19%), though they are certainly not all economically unimportant. Beyond being unable to say with certainty which animals and plants would have been economically unimportant to ancient peoples, several of the words refer to domesticated or otherwise edible species. There are also several words referring to items of material culture, including tools, vessels, and textiles.

One further piece of evidence that may allow us to identify to which sort of contact situations the different identified strata belong is the consideration of the semantic categories present in the different strata. This is partially difficult given the greatly unequal distribution of the lexemes in the different strata. Given that 62% of non-inherited Latin lexemes have Greek comparanda (and 42% of lexemes have Greek comparanda to the exclusion of Germanic and Celtic), it is statistically more likely that strata involving Greek will attest to more semantic categories. Even so, there are some potential patterns in the data.

Firstly, amongst the 15 non-inherited words limited to Latin (and the Romance languages) are found 3 of the 5 viticultural words (*faex*, *lābrusca*, *pampinus*). As to the other 2, *racēmus* is (probably) only shared with Greek and *fracēs*, with comparanda in Albanian, Germanic, Baltic, and Slavic, can also refer to other sorts of sediment in liquid. Thus it might not be a strictly viticultural term after all. Interestingly, 2 of the 3 insects (*asīlus*, *tabānus*) are also restricted to Italic.

Another pattern is found amongst the words attested in Celtic and Germanic to the exclusion of Greek. In §4.3.2.3.1, it was shown that many of the non-inherited words with this distribution likely belonged to languages at least related to those with which Greek had contact. But interestingly, in this group there are no words for domesticated

animals or ceramic vessels. Of 11 non-inherited words for domesticated plants, the only one in this group is *far*. It is unclear how much faith to place in this pattern. There are few confirmed loanwords for domesticated animals in Latin (an interesting fact on its own), thus it is perhaps not surprising that this stratum group does not attest to any. As to the lack of ceramic vessels, all 3 of those words are shared with Greek (*calix* and *orca* exclusively, *calpar* along with Celtic). This might suggest that any borrowed ceramic terms were replaced due to later heavy cultural participation in the Mediterranean sphere. All 3 culinary terms and 3 of 4 textile terms (*carbasus*, *lacerna*, *laena*) likewise show a Mediterranean distribution. The lack of words for domesticated plants seems more likely to be significant and to perhaps indicate the sort of contact situation in which these words were borrowed.

In fact, *far* has further comparanda in Slavic, indicating that the 12 words in the Italic-Celtic-Germanic (non-Greek) group do not all attest to the same contact situation. If only the isoglosses are considered (*fulica*, *merula*, *caput*, *nux*, *hasta*, *corbis*), the pattern of the absence of domesticated semantics is stronger.

The 11 non-inherited words for domesticated plants as a group are important, in that they seem to confirm that a portion of the non-inherited vocabulary in the Indo-European languages was indeed borrowed from a population practicing intensive agriculture (cf. Kallio 2003, Schrijver 2007: 21-2, Kroonen 2012a, Iversen & Kroonen: 516-18, Šorgo 2020: 461-8). As noted in §4.5, the stratification of contact phenomena represented in Latin loanwords prior to the arrival of Proto-Italic in the Mediterranean region is difficult. It is not made any clearer by the distribution of the lexemes for domesticated plants. Some of the words show a Mediterranean distribution (*cucumis*, *ālium*, *cēpa*, *nāpus*, and even the founder crop *lēns*). Others attest to a wider European distribution (always including Germanic, often Baltic and Slavic) more in line with what one might expect from loans originating in a Europe-wide agricultural substrate. That sometimes comparanda do not exist in Greek (*faba*, *cucurbita*) or Celtic (*faba*, *cucurbita*, *ervum*) suggests that these absences might be due to later lexical replacement.

*Unde vēnistī?* The Prehistory of Italic through its Loanword Lexicon

# **6** Population Genetics of Italy

# **6.1** Genetics Introduction

The genetic diversity of today's Italian population attests to a history characterized by human migrations. Each event contributed to the complex mosaic of alleles, beginning with the first anatomically modern humans to arrive on the Italian peninsula and continuing to this day. Some historical events are well documented, such as the migration during the Roman Empire and after its fall as well as the settlement of ethnic Albanians and Greeks (both ancient and medieval). Others have only been uncovered due to the work of archaeologists and geneticists.

The term Indo-European is first and foremost a linguistic one, and languages are spoken by people. But given the landmark publications that revolutionized the field of research beginning in 2015 (discussed in detail later), a connection between the Indo-European proto-language and the populations of the Pontic-Caspian steppe is becoming the dominant theory in the research on the Indo-European homeland. No discussion of Indo-European homelands and migrations can be complete without a discussion of these results.

This chapter is an excursion into the background and results of genetic research on Italian populations, serving as a segue between the linguistic patterns identified and the final conclusions. After setting the background for the population genetics of Europe, this chapter details the development of Italian population genetics research with the goal of ultimately identifying the genetic events that can be linked to the arrival of the Indo-European languages in Italy.

# **6.2** European Genetics from the Origins of Agriculture to the Homeland Debate

# 6.2.1 Understanding the Origin and Spread of Agriculture

Archaeological inquiry has been making headway into the origins of agriculture for over a century, with the term 'Neolithic Revolution' being coined in 1936 by V. Gordon Childe. We know agriculture developed in Southwest Asia (gradually and originally regionally, cf. Arranz-Otaegui et al. 2016), spreading first to Cyprus, Crete, and mainland Greece (cf. Hofmanová et al. 2016, Douka et al. 2017), then forking into two routes. Via the Cardial Ware Culture, agriculture reached the Mediterranean coast and via the Linearbandkeramik Culture (LBK), it spread through mainland Europe.

Understanding how agriculture spread is not as easy of a question to answer. Scholarly opinions differed as to whether farmers from the Near East themselves migrated into Europe (demic diffusion) or whether the technological aspects of farming were adopted

and passed on by the people already living in Europe (cultural diffusion). In 1971 Albert J. Ammerman and Luigi Luca Cavalli-Sforza put forward an argument in favor of the former. They plotted the radiocarbon dates that had been collected for the earliest appearance of agriculture at several archaeological sites across Europe against the distance of those sites from areas in the Near East. The results showed a slow but steady 1 km/year spread of the technology of farming from Southwest to Northeast across Europe. Based on anthropological and archaeological observations along with statistical models, this suggested a "wave of advance" model best explained by demic diffusion rather than cultural diffusion.

Cavalli-Sforza was an influential force in the field of population genetics. In 1964<sup>543</sup>, with Anthony Edwards, he used 18 alleles of classical markers (mainly blood groups and antigen systems) to examine how 15 widespread population groups in the world diverged from each other. They popularized the then only infrequently used principal components analysis (PCA) and worked on the best ways to generate trees (Edwards and Cavalli-Sforza 1965, 1967). In the 1971 paper that used archaeological material, he and Ammerman had already postulated how genetic information could be used to inform their work in the future. In 1975, in a report with Alberto Piazza, the results of a tree analysis using 58 alleles and a larger number of populations basically corroborated the results of the 1964 study. The distribution of classical markers amongst modern populations seemed to be powerful enough, when used in combination with each other, to perform large-scale studies of the history of human migrations. Interestingly, up until this point, the main focus of the articles was on the development and presentation of the statistical methods. The genetic analyses were used as examples to show how the methods worked.

In 1978, Menozzi, Piazza, and Cavalli-Sforza did what the 1971 article had proposed, applying genetic methodology to the question of the spread of agriculture. The statistical approach they employed had been used for clustering analysis in genetics before, but this time they would display the results in the form of a map. The distribution of individual classical markers across Europe was beginning to be understood by this time. The first principal component of 38 genetic alleles analyzed produced a pattern that matched the Southeast to Northwest cline of the spread of farming known from archaeological research. This first principal component explained a full third of the genetic variation. Assuming that the hunter-gatherers of Europe and the agriculturalists of the Near East had been in isolation from each other long enough to be genetically quite different, this cline across the geography most likely represented the result of one population beginning in the Near East, and spreading to the Northwest, intermarrying with local populations along the way such that it came to be genetically more and more similar to those populations. In other words, this is precisely what one would expect if farming had spread via demic rather than cultural diffusion.

543 Edwards and Cavalli-Sforza 1964; after two unpublished conference talks in 1963.

The second principal component revealed a general East to West cline and the third principal component revealed a Northeast (centering in Ukraine) to Southwest cline, with all three together explaining 50% of the variation. It was clear that more investigation was needed to fully understand what had occurred, but the stage had been set for the use of genetics to understand the population history of Europe. Ammerman and Cavalli-Sforza augmented their wave of advance model with statistics and examples from archaeological investigation in 1979 and combined this augmented approach with the genetic data and a detailed explanation of how it was analyzed in a capstone book in 1984.

# **6.2.2** The Indo-European Homeland Debate

Ever since the realization that the relatedness of the Indo-European languages meant that they had all descended from a parent language, hypotheses about the homeland of this language came into circulation. This homeland or *Urheimat* was the geographic location where the speakers of the reconstructed proto-language would have lived. In the century or so of research, several locations have been proposed as the potential homeland. The two that have widely been considered the most compelling are the "kurgan hypothesis" (particularly its later revision as the "steppe hypothesis") and the "Anatolian hypothesis".

The earliest concrete codification of the kurgan hypothesis came in the form of archaeologist Marija Gimbutas' 1956 book *The Prehistory of Eastern Europe, Part I.* In it and its further developments, she proposed the umbrella term 'Kurgan Culture' to denote several archaeological cultures in Eastern Europe in the 4<sup>th</sup> and 3<sup>rd</sup> millennia BCE. They all seemed to share features (including the construction of burial mounds called kurgans) and overlap in terms of movement and development through time. The changes in settlement patterns of the cultures of the kurgan horizon including, at some stages, drastic expansion, led Gimbutas to propose a series of waves of expansion, some of which were responsible for bringing the Indo-European languages along with them. Schrader (1883) had already argued on the basis of linguistic methodology, which would come to be called linguistic paleontology, for a similar Pontic-Caspian homeland for Indo-European.

In 1987, a strong contender to the kurgan hypothesis was put forward by archaeologist Colin Renfrew in his book *Archaeology and Language: the Puzzle of Indo-European Origins*. The book was very much in line with the genetic discoveries that had been made up until that point. If there had indeed been a large-scale migration of people from the Near East during the Neolithic, we can assume that they would have brought their language with them. They brought farming technology with them that resulted in geographically enormous cultural horizons that spread across Western Europe. If we are looking for a group of people spreading in unison with closely related languages across the European continent, we need look no further than this. In proposing that the Indo-European languages spread with Neolithic farmers from Anatolia, this position came to be called the Anatolian hypothesis.

Despite seeming like a smoking gun both archaeologically and genetically, there were major problems with the Anatolian hypothesis from the linguistic side. In a line of research that has culminated most recently in Kroonen et al. (2022), the society for which Proto-Indo-European is reconstructed could not have been fully agricultural.

The kurgan hypothesis as laid out by Marija Gimbutas, while generally in agreement with linguistic data, received criticism in the field. Older explanations for culture change that frequently involved the assumption of migration had given way to a swing of the pendulum in which such explanations were viewed with suspicion (cf. Anthony 2007: 214). But given the linguistic problems with the Anatolian hypothesis, the field of Indo-European studies never fully rejected Gimbutas' hypothesis. Jim Mallory's 1989 *In Search of the Indo-Europeans: Language, Archaeology and Myth* followed the explanation she presented, and David Anthony's *The Horse, the Wheel and Language* presents a revised steppe hypothesis.

# **6.2.3** Refining and Overturning the Understandings

While archaeologists and linguists were refining and expanding homeland theories, geneticists continued, with the aid of improving technology, to sample and sequence human genomes.

## 6.2.3.1 Building Genetic Databases

With the hypothesis that farmers had spread into Europe from the Near East via demic diffusion, i.e. in person, during the Neolithic, it was initially unclear how much of a genetic footprint they would have left on the population. Richards et al. (1996) examined a sequence of mitochondrial DNA in living individuals from Europe and the Middle East. The divergence times that they calculated for the lineages led them to conclude that most mitochondrial alleles spread to Europe during the Upper Paleolithic, with only around 10% of the alleles dating to the spread of farming. Perhaps farming had been more of a local development after all. Cavalli-Sforza and Minch (1997) disagreed with the methodology behind this conclusion. The segment of mitochondrial DNA used was subject to higher rates of mutation, and the mitochondrial patterns might actually be revealing a sex-bias in terms of who was migrating. Staying true to the earlier conclusions about demic diffusion, Cavalli-Sforza and Minch estimated the genetic influence of farmers at the more significant but still quite small amount of ca. 27%. Barbujani, Bertorelle, and Chikhi (1998) sided with the latter on this, emphasizing the archaeological data on the spread of farming and the arguments generated by linguists on the post-Neolithic age of many of the language families in question. Additionally, they argued that the age of a haplotype does not equate to the age of the population in which that haplotype is found, especially when the haplotype is not found exclusively within

<sup>&</sup>lt;sup>544</sup> There was less ideological criticism of her work too. Anthony (2007: 306-7) for example points out the problematic way that she grouped several different burial mound-building archaeological cultures into her one "Kurgan culture".

that population. This would become an important argument in understanding the data, repeated for instance by Chikhi et al. (1998) when they found using modern autosomal DNA that all population separation times were less than 10 kya and thus unlikely to be pre-Neolithic.

Torroni et al. (1998) examined modern European mitochondrial DNA and proposed that it demonstrated a large genetic component from a Mesolithic (post-glacial) Iberian population. Mitochondrial haplogroup V was found in its highest concentrations among Berbers, Basque, and Saami, and so was most likely to have spread from an Iberian refugium after the ice sheets melted. Its sister haplogroup H, though it originated in the Near East, seems to have spread to Europe during the Paleolithic, perhaps along with V from Iberia. This was countered by Simoni et al. (2000a; criticized by Torroni et al. 2000 and defended in Simoni et al. 2000b). They found that the Saami were mitochondrially quite unique, and their inclusion in analysis was skewing the data. When removed, European populations were mitochondrially extremely similar to one another, with little geographic structuring. However, when Southern Europe was examined separately, there was a clinal distribution. While the alleles and their frequencies did not differ between North and South, along the Mediterranean, they were distributed in a very structured way. In combination with the autosomal cline from the Near East to Northwest Europe, the results in theory only supported a large-scale directional expansion. If all human populations had been forced into glacial refugia and re-expanded during the Mesolithic, this would have erased the original Paleolithic distribution patterns. As the patterns persist to the present day, they could most likely only have resulted from a directional expansion during the Neolithic.

The debate continued. Richards et al. (2000) took back-migration into the Near East from Europe into account, suggesting that the Neolithic migration contributed at most 20% of the mitochondrial genepool of Europe—substantial but in the minority. Most of the mitochondrial haplogroups arrived in Europe during the Paleolithic and their distribution dates back to founder effects during the post-glacial re-expansion. Using Y-chromosome data, Semino et al. (2000) agreed. They found that ten lineages account for more than 95% of the Y-chromosomes in their study. Clustering analysis seemed to identify two Paleolithic post-glacial migration events and one Neolithic migration event, with most of the genepool nevertheless being of Paleolithic origin. Chikhi et al. (2002) concluded that it was instead the *Paleolithic* component of the genepool that was less than 30%. They used the Y-chromosome dataset from Semino et al. (2000) but instead of looking for clines, they directly calculated admixture with Near Eastern populations. With an average of 50% across samples, they found European populations closest to the Near East had a Neolithic component of between 85 and 100%, decreasing by France, Germany, and Catalonia to 15-30%.<sup>545</sup> They also identified differences between

<sup>545</sup> These results should however be taken with caution, as the descendant population used to represent the Paleolithic genome was the Basques, which was a big assumption. When Sardinia was used as the Paleolithic descendant population, the admixture with Neolithic genes increased to 65%.

Mediterranean and non-Mediterranean populations.

# A Paradigm Shift Waiting to Happen: the Sequencing of Ancient DNA

It is crucial to bear in mind that all results up until this point had been reached through analysis of modern populations. The problem with this is that the modern population is the result of every migration and all the gene flow that has occurred up until the present moment. The only way to actually determine which genes were Paleolithic, which were Neolithic, and what percent of each made up the European population through time was to actually test ancient samples. Developing genome sequencing technology eventually made this possible.<sup>546</sup> Initially, only ancient mitochondrial DNA could be sequenced, as each cell contains hundreds of copies of the mitochondrial genome as opposed to only one copy of the full nuclear genome.

The very first results were fascinating. Haak et al. (2005) sequenced the mitochondrial genomes of 24 early farmers associated with geographically widespread LBK sites. 18 of the 24 individuals belonged to mtDNA haplogroups that are common in modern Europe, the Near East, and Central Asia. The remaining 6 however belonged to haplogroup N1a, today found in only 0.2% of people in the same area as the individuals sampled. Given how geographically widespread the samples were, it was determined that this represented a 150-fold decrease in the frequency of this haplogroup between the Neolithic and the present day and that this could not have been due to genetic drift. Thus, either agriculture spread in way fitting with the cultural diffusion hypothesis, or European farmers were replaced again later. Given the archaeological evidence, the latter scenario seemed unlikely. Who could the farmers have been replaced with? Based on the arguments proposed thus far, Haak et al. postulated that modern Europeans might genetically be mainly of Paleolithic origin, with the incoming farmers having intermarried with the local hunter gatherer populations to the point of being genetically assimilated into them.

Then however, Malmström et al. (2009) found that, at least in Scandinavia, the hypothesis of genetic continuity between hunter gatherers (in this case the Pitted Ware Culture) and modern Swedes, Norwegians, and even Saami could not be upheld.<sup>547</sup> Thev were not able to collect enough data on farmers (in this region, the Funnel Beaker Culture), and thus concluded that a population replacement must have occurred during the Neolithic or post-Neolithic periods. The former seemed to be ruled out by the Haak et al. (2005) study, but could this have been due to the regional nature of Malmström's data? Bramanti et al. (2009) were able to confirm the genetic discontinuity between European hunter-gatherers and LBK farmers. The hunter-gatherers belonged mainly to mitochondrial haplotype U, especially type U5. LBK farmers brought haplotype N1a along with some others, but never types U4 or U5. They discovered that hunter-gatherers

<sup>&</sup>lt;sup>546</sup> For a thrilling overview of the developments in the field, in narrative form, see Pääbo 2014.

<sup>&</sup>lt;sup>547</sup> It could *not* however be rejected between hunter gatherers and modern Baltic populations, suggesting that the eastern Baltic area remained a genetic refugium for some hunter-gatherer populations.

and farmers, although living side-by-side, did not intermarry, at least for a few thousand years. More importantly, modern European mtDNA diversity cannot be explained by admixture between hunter-gatherers and farmers. There were indeed other, post-Neolithic population turnovers.

Haak et al. (2010) sequenced mitochondrial DNA from 43 LBK farmers, finding amongst them 25 haplotypes. Of those, 11 are still found in high frequency among present-day populations, 10 are found with limited distribution (purportedly near the core of the LBK as well as the Near East), and 4 no longer exist. When compared with some other datasets, previous patterns in haplogroup distribution were confirmed. N1a was present in some 14% of the LBK samples, but none of the hunter-gatherers. Conversely, hunter-gatherer mitochondrial DNA consisted of ca. 80% haplogroups U4 and U5, which were almost non-existent in the LBK individuals. Contrary to Torroni et al. (1998), haplogroups H and V were only common in LBK individuals. Haak et al. (2010) were also able to sequence three LBK Y-chromosomal genomes. Rather than the most common haplogroups today (R1a, R1b, I, and E1b1), they found the males belonged to haplogroups G2a and F, rare today but with slightly higher frequencies in the Near East. They concluded that the modern European population was shaped by both Mesolithic re-peopling and the LBK expansion, with the later having contributed much more. However, it was also shaped by post-Neolithic events, demonstrated by the 4 unique mitochondrial haplogroups and the 3 rare Y-chromosome haplogroups, perhaps having to do with the movement of Y-chromosome haplogroup R1a.

Haak et al. (2008) had identified Y-chromosome haplogroup R1a1 in Late Neolithic Corded Ware individuals along with diverse mitochondrial haplogroups (K1, U5, I, H, and X). Keyser et al. (2009) found R1a1 at very high frequencies in southern Siberia. Out of a group of 26 sequenceable genomes dating from the Middle Bronze Age Andronovo Culture through the Karasuk, Tagar, and Iron Age Tashtyk cultures, all males save for one (of haplogroup C) were of haplogroup R1a1. As with other analyses, the mitochondrial haplogroups were more diverse: the Bronze Age sites were dominated by western Eurasian haplogroups (HV, H, T, I, U, and K) while the eastern Eurasian haplogroups (Z, G2a, C, F1b, and N9a) increased in frequency in the Iron Age. Working with some data and interpretations by Semino et al. (2000), Keyser et al. (2009) posited that the distribution of Y-chromosomal haplogroup R1a1, with its peak in Poland and a decreasing cline to the Northwest and to the East towards Anatolia and the Caucasus, might represent the re-peopling of Europe from an Eastern European glacial refugium, magnified later by the spread of Kurgan people bearing Indo-European languages. Despite being more diverse, the distribution of mitochondrial DNA haplogroups could not rule out the idea that whole populations, rather than just men, had been migrating. Could this have been a part of the post-Neolithic population changes?

Skoglund et al. (2012) used partial whole genome analysis, and though they only included four individuals (three Pitted Ware hunter-gatherers and one Funnel Beaker farmer) the samples were all from the same geographic region and roughly

contemporaneous. They found that, for all European populations, allele-sharing with hunter-gatherers is negatively correlated with allele-sharing with Neolithic farmers. The trend is mainly along a North-South axis with hunter-gatherers sharing most alleles with northern Europeans and the farmers with southeastern Europeans. All in all, the fraction of farmer DNA decreases from a high of ca. 95% in Sardinians through ca. 52% in individuals of northwestern European descent to ca. 11% in Russians. The non-farmer percentage is not fully hunter-gather however. On the contrary, despite allele-sharing, Skoglund et al. (2012) found that the genetic profile of the Scandinavian hunter-gatherers they sequenced is not fully represented in any modern population. By this point, it had become clear that farming had spread, at least in some parts of Europe, via a largescale demic diffusion.

Gamba et al. (2014) provided further evidence for these results, sequencing autosomal genomes from a period of about 5,000 years on the Hungarian plain. This longitudinal analysis showed two clear shifts in genomic affinities on either side of a period of about 2,800 years with little change (during the Neolithic). The first change was due to the incoming farmers, but already the two earliest farmers tested showed intermarriage with hunter-gatherers. In fact, the combination of Mesolithic hunter-gatherer Y-chromosomal haplogroups I2 and C6 with Neolithic farmer mitochondrial haplogroup N1a suggested that hunter-gatherer males were being incorporated into farming societies in Central Europe. This stood in potential contrast to the Mediterranean route, where Lacan et al. (2011) had found Y-chromosomal haplogroups G2a and Elb1b1a1b in Spain. Once widespread, as attested by Haak et al. (2010) finding it in Germany, G2a is now very rare. Lacan et al. (2011) suggested that its high frequency at this southern farming site meant that men had played a greater role in the spread of farming along the Mediterranean route. Sampietro et al. (2007) had also noted a difference between the two farming routes, going as far as to suggest that, since Iberian Neolithic remains were still similar to modern Iberians, farming had perhaps spread via demic diffusion along the Mediterranean route but more via acculturation in Central Europe. In any case, Gamba et al. (2014) found that the Neolithic genomes cluster with affinity to southern Europeans, especially Sardinians. After the period of Neolithic genetic stasis, Bronze Age individuals cluster with modern Central Europeans. This suggested some genetic influence from the North. Finally, the Iron Age individuals clustered between modern Eastern Europeans and individuals from the Caucasus. Now that the archaeologically well-attested migration of Neolithic farmers throughout Europe was being genetically fine-tuned, more attention was being granted to these mysterious post-Neolithic population turn-overs.

Patterson et al. (2012) had found that a portion of European ancestry was shared with Amerindians. The source and time-depth of this added to the mystery. Lazaridis et al. (2014) were able to further contextualize those results. They added seven individuals to the ancient whole genomes that had been sequenced to date and then performed an admixture analysis on modern European and Near Eastern populations to understand

how the modern and ancient genomes were related. In a PCA plot, they found that modern European and Near Eastern populations formed two separate North-South clines, bridged by a few Mediterranean populations. The ancient genomes formed several clusters in relation to the modern genomes. Western European hunter-gatherers formed two clusters (Western and Scandinavian hunter-gatherers), beyond present-day Europeans in the direction of European differentiation from the Near East. Early European farmers, including the Tyrolean Ice Man, clustered together. Finally, at the far northern end of the Europeans on the plot clustered a group of Siberian Upper Paleolithic hunter-gatherers, including Mal'ta Boy. Lazaridis et al. (2014) called this cluster Ancient North Eurasian (ANE). Admixture analysis shows that this ANE component is a better representation of the mysterious component of European ancestry than Amerindian ancestry (of which it is also a part, leading to the similarity noticed). The admixture analysis furthermore revealed that nearly all Europeans were genetically a mix of three ancestral populations: 1) Western European hunter-gatherers (WHG) who contributed ancestry to Europeans but not Near Easterners, 2) early European farmers (EEF) mainly of Near Eastern descent but with small amounts of hunter-gatherer ancestry as well, and 3) this ANE Siberian hunter-gatherer component, which contributed to both Europeans and Near Easterners. Haak et al. (2010), mentioned above, had confirmed the role of both hunter-gatherer and farmer ancestry in making up the modern European genome, along with post-Neolithic factors. Now Lazaridis et al. (2014) showed how important these post-Neolithic factors really were. Early European farmer ancestry ranges from 30% in the Baltic region to 90% in the Mediterranean. But the ANE ancestry, absent from both hunter-gatherers and farmers and therefore post-Neolithic, is found in all Europeans at at least 20%. Nearly a quarter of the European genome comes from a third ancestral population. This conclusion, resulting from the ability to sequence ancient whole genomes, set the stage for a major paradigm shift. What role did ANE ancestry play in post-Neolithic Europe?

## 6.2.3.3 The 2015 Paradigm Shift

2015 was an enormously important year for the field of ancient genomics as well as for Indo-European studies. Two major papers, Haak et al. (2015) and Allentoft et al. (2015) appeared in the same issue of *Nature* and provided convincing answers to many of the questions that had surfaced up to that time.

Haak et al. (2015) sequenced whole genomes for 69 ancient individuals. This large sample allowed many trends to become visible. The earliest European farmers from across Europe cluster with present-day Sardinians, suggesting that both the farming expansion across the Mediterranean and the one into Central Europe had similar ancestral origins. Middle Neolithic Europeans are intermediate between the earlier farmers and Western hunter-gatherers, meaning that hunter-gatherer ancestry began to increase again after the farmers first arrived.

In European Russia, Y-chromosome haplogroups R1a and R1b are found in 100% of

samples from all periods. Before the Late Neolithic period, outside of Russia, these haplogroups were virtually absent. Then, in late Neolithic and Bronze Age sites, these haplogroups are found in 60% of the male populations, suggesting that they spread from the East in ca. 3000 BCE. Furthermore, Late Neolithic/Bronze Age Europeans plot between the Middle Neolithic farmers and the Yamnaya steppe herders from ca. 3000 BCE, indicating that they are the result of admixture between these two populations.<sup>548</sup> By 2500 BCE, genetic signatures consisting of up to 79% Yamnaya-like ancestry appear in the Corded Ware Culture. As individuals of the Corded Ware Culture are some of the earliest to differentiate from the Middle Neolithic population of Europe and because they also do so most strongly, it appears that Yamnaya people migrated into Europe quite suddenly. This represented, as they titled it, a "massive migration from the steppe". The once-common mitochondrial haplogroup N1a and Y-chromosomal haplogroup G2a virtually disappear at this time and are replaced by mitochondrial haplogroups I, T1, U2, U4, U5a, W, and subgroups of H as well as Y-chromosomal haplogroups R1a and R1b. In fact, the Yamnaya are likely the source of the ANE ancestry component found throughout all Europeans.<sup>549</sup>

Research had focused on the Neolithic expansion since it was archaeologically so clearly a major force of change across Europe. Now though, a second expansion, the full extent of which had been invisible, was brought into the light of day. Current European populations were all the result of a three-way admixture of Western hunter-gatherers, Early Neolithic farmers, and Yamnaya steppe populations. The proposals of Gimbutas, Mallory, Anthony and the linguists since Schrader as to the origin and spread of the Indo-European language family had to be reckoned with in a huge way. Further support continued to shift the paradigm.

Haak et al. (2015) further found that Bell Beaker and Únětice populations had reduced Yamnaya ancestry compared with earlier Corded Ware Culture individuals, suggesting that Early European farmer ancestry began to rise again after the initial incursion of steppe peoples (in the same way that hunter-gatherer ancestry rose again after the initial appearance of farmers). Allentoft et al. (2015) confirmed and expanded these. Individuals from the Afanasievo Culture are genetically indistinguishable from the Yamnaya and demonstrate that the expansion went East as well as West. The later Sintashta, although in geographic proximity to the Afanasievo, are not genetically descended from them. Instead, their mix of Yamnaya and Neolithic farmer ancestry matches the Corded Ware, and requires that a population from Western Europe moved

<sup>&</sup>lt;sup>548</sup> This makes Keyser et al. (2009) seem extremely prescient.

<sup>&</sup>lt;sup>549</sup> The Yamnaya ancestry signature is composed of admixture between ANE ancestry (from Eastern hunter-gatherers [EHG]) and what Haak et al. (2015) identified as Near Eastern ancestry (cf. Mathieson et al. [2015] who call it Armenian-like Near Eastern but without additional EEF). The latter has been demonstrated by Jones et al. (2015) to most likely be Caucasus hunter-gatherer (CHG) ancestry. Yamnaya, Afanasievo, and Poltavka individuals are homogenous in comprising 48-58% of this component (Mathieson et al. 2015). Lazaridis et al. (2016) find that this component is related to Chalcolithic farmers from Iran.

back across Europe toward the steppe and provided the ancestry of the Sintashta. The Andronovo Culture then represents a temporal and geographic expansion of the Sintashta gene pool. Bronze Age cultures that came after the Andronovo began to introduce East Asian ancestry. At the same time, Mathieson et al. (2015) found that male individuals of the Srubnaya Culture to the West of Andronovo were all of Y-chromosome haplogroup R1a, but that some were of haplotype R1a-Z93. This haplotype is not found among ancient central Europeans, but is found today in Central/South Asians. This suggested a potentially more eastern source for the Early European Farmer ancestry component on the steppe than the Corded Ware.

Further developments saw the refining of our understanding of past populations movements in Eurasia. Each newly sequenced ancient whole genome provided greater resolution. Fu et al. (2016) took a closer look at Paleolithic and Early Neolithic genomics and concluded that population change was a common occurrence in Eurasian history. Surprisingly, they found an R1b male from Villabruna in Italy 14,000 years ago, which, when taken with an R1b farmer from 7,000 years ago in Iberia (cf. Haak 2015), shows that the distribution of Y-haplogroup R1b, as is the case with R1a above, has yet to be fully understood. Their analysis supported the conclusions of Haak et al. (2015) and Allentoft et al. (2015) that ANE ancestry spread with steppe migrations.

Also around this time, the genetic results being published in journals like *Science* and *Nature* were causing concern in archaeological circles, where it was feared that the interpretations were too simplistic, too generalized, and ignored the understanding of human interactions that had been gathered from decades of archaeological inquiry. Vander Linden (2016) writes that "the narrative set forth in several high-profile publications seems as first sight to rise from the darkest depths of culture history." Other issues include the patchy sampling, particularly in the Mediterranean region and the supra-regional conclusions being made on this potentially unrepresentative data. Individuals are listed as belonging to the Corded Ware Culture or the Bell Beaker Culture, both of which are characterized by their variability over wide-spread geographic areas. He does not reject that the ancient DNA analyses offer a wealth of new information but instead argues for more nuance, more collaboration, and the recognition of the important role of regional differences.

As if in response to these critiques, some subsequent studies addressed regional phenomena and targeted regions with a lack of data. Lipson et al. (2017) sampled Neolithic and Chalcolithic individuals from Hungary, Germany, and Spain in order to better understand the interactions between hunter-gatherers and incoming farmers. They found that each region showed a distinct pattern of interaction between EEFs and hunter-gatherers. WHG ancestry increased over time in all regions, but more slowly in Hungary. The genetic distribution that resulted showed that the admixture occurred locally, rather than suggesting that farmers were quick to admix and then carry that admixture across Europe. After the migrating, settling, and admixing, populations did not

seem to be mobile enough to recreate the original homogeneity of the farmers. 550

Mathieson et al. (2018) helped fill in the picture for Southeastern Europe. The Balkan peninsula was an important genetic transition zone throughout prehistory. Hunter-gatherers from the Iron Gates region show ancestry from both WHG and EHG populations, but admixture analyses show that it is not a perfect fit, suggesting other influences. Amongst them are also some early individuals of entirely Northwestern Anatolian ancestry, which means that region was a zone of interaction. Individuals from the Peloponnese and some associated with the Minoans show more CHG-oriented than WHG-oriented ancestry. Once farming spread to the area, Neolithic populations in modern Bulgaria, Croatia, North Macedonia, Serbia, and Romania are of up to 98% Near Eastern ancestry. The Copper Age sees a rise in hunter-gatherer ancestry, as it does elsewhere in Europe. Mathieson et al. (2018) analyzed this resurgence and concluded that a bias toward male hunter-gatherer ancestry is strong in Iberia and Central Europe at this time, but weak in the Balkans. Some Balkan individuals, including one from a burial at the site of Varna, show steppe ancestry components two millennia before the advent of Corded Ware, but steppe ancestry otherwise remains rare until the Late Bronze Age. Although they suggested it might turn up later, Bronze Age Anatolians have the CHG component but not the EHG component of the steppe signature. This has implications for the time and manner of the spread of the Anatolian Indo-European languages.

Olalde et al. (2018) made an important contribution to the understanding of the Iberian peninsula, where it had been noted that populations did not show steppe ancestry at the time when the rest of Europe had begun to (Mathieson et al. 2015). Outside of Iberia, 84 of 90 Bell Beaker males analyzed belonged to Y-chromosome haplotype R1b-M269. Within Iberia, this haplotype was found in four males with genome-wide steppe ancestry. But otherwise there was a higher proportion of haplogroups like I and G2, known to have been common across Europe during the earlier Neolithic. Steppe ancestry was only present in 8 of the 32 Iberian Bell Beaker individuals sequenced, though it is with the Bell Beaker that steppe ancestry components first appear in Iberia. Across Europe, Bell Beaker-associated individuals are heterogenous, even sometimes within sites, across a cline with one extreme being Yamnaya individuals and the other extreme being Middle Neolithic and Copper Age Europeans. But no Iberia-related ancestry could be discerned in Beaker-complex-associated individuals outside of Iberia. Thus this early spread of the Bell Beaker complex seems to have involved cultural diffusion rather than migrations. This was not the case in the British Isles, where steppe ancestry arrives with the Bell Beaker complex from the mainland, with genetic affinities to Central European individuals. By ca. 2450 BCE, an approximately 90% population turnover had occurred in Britain in autosomal DNA, previously absent Y-chromosomal haplogroup R1b, and previously absent mitochondrial haplogroups I, R1a, and U4. By 2000 BCE, as happened

<sup>550</sup> Both the Danubian (LBK) and Mediterranean (Cardial Ware) agricultural waves seem to have originated from one single population of farmers in the Balkans (Haak et al. 2015, Mathieson et al. 2015, Mathieson et al. 2018).

elsewhere, Neolithic-related ancestry modestly increased again. Olalde et al. (2019) further demonstrated the uniqueness of the hunter-gatherer population of Iberia, with a substructuring between northwestern and southeastern hunter-gatherers having existed. It was ca. 2000 BCE that steppe ancestry became entrenched in Iberia through male-biased admixture. Steppe ancestry replaced 40% of the previous ancestry components but nearly 100% of the Y-chromosomes (with R1b-M269). By the Iron Age, steppe ancestry had even spread to the non-Indo-European-speaking Basque.

## 6.2.4 Summary

The results of ancient DNA analysis provide strong support for the steppe hypothesis of Indo-European origins. Many of the details will continue to be fine-tuned in the future, for example the precise relationship of the R1a and R1b Y-chromosome haplogroups. But it has justified a number of assumptions that relate to the substrate lexicon of Latin:

The Italic language family spread through Europe from the steppe. Its ancestors arrived in a Europe that had been settled for centuries by farmers from Southwest Asia. But they had also admixed to a significant extent with the original inhabitants of Europe: the hunter-gatherers. Thus there is the potential for great substrate language diversity but also the possibility that some aspects could be widely distributed.

## **6.3** The Italian Peninsula

Genetic results pertaining to the Italian peninsula have been sequestered to a second section of this chapter for two reasons. The first, most obvious reason, is that Italy is the subject of this thesis and it is therefore worth discussing them separately in detail. The second reason is that, in comparison to the rest of the Europe and as lamented by Vander Linden (2016), not nearly as much work has been done on ancient Mediterranean genomes until extremely recently. Population genetic studies with the aim of adding to medical understanding abound in the earliest literature, and a research tradition in line with that of Cavalli-Sforza evolved from that. It serves best to discuss the Italian data after the general stage has been set for Europe, so the particularities of what the data suggest can be most visible. Ancient DNA studies on remains found in Italy are very recent, having been performed even later than for other regions. But more are forthcoming.

# **6.3.1** Studies on Modern Populations

### 6.3.1.1 The Earliest Studies

As with population genetics in general, the earliest studies of this sort on the Italian peninsula had begun already in the 1970s, investigating "classical" genetic markers. The purpose was often principally medical and involved understanding the distributions of blood group and protein markers (cf. Bargagna, Domenici, and Morali 1975). Soon however, recurring patterns began to appear. Menozi, Piazza, and Cavalli-Sforza (1978)

had already noticed that the distribution of traits in Sardinian populations was closer to that of Middle Eastern populations than anywhere else in the Mediterranean. They attributed this to Phoenician and Punic immigration, assuming that the first farmers would have come from southern Italy. Southern Italy grouped together with southern France, Greece, and Turkey, which they took to be the influence of Greek colonizations.

Zappacosta et al. (1980), when they compared HLA frequencies in Bergamo and Campania, found that frequencies of certain antigens in Campania were similar to the Middle East whereas in Bergamo they parallel the European distribution. Giari, Domenici, and Bargagna (1986) found that the frequency of red cell esterase D (EsD\*5) in Tuscany is similar to that expected in Northern and Central Europe, while the frequency of EsD\*1 is lower in Italy as a whole than in Europe. Olivetti et al. (1986) found from HLA-A, -B, and -C allelic frequencies throughout Italy that "genetic distances of Sardinia from the rest of Italy are one order of magnitude higher than all the other distances between Italian regions" and that "the Southern regions (with the possible exception of the island of Sicily) are genetically more distant from the Northern and Central regions than from each other." Some alleles showed a North-South gradient.

Piazza et al. (1988) performed an analysis in which they also factored in linguistic and archaeological evidence. They produced a PCA from several blood group gene frequencies, and saw in the first principal component that Northern Italy clusters with Central and northern European countries, while Southern Italy clusters with Greece. The second principal component separated a Northern European cluster from a Mediterranean one (Italy, Greece, France, Spain, Portugal) plus Hungary and Czechoslovakia. As the other studies had done, this multiple allele analysis showed a North-South gradient. Piazza et al. interpreted the genetic similarity of southern Italy to Greece in the same way as Menozzi et al. (1978): possible genetic input from Magna Graecia. Proportional population estimates of the Greeks in Italy led them to believe they should almost certainly see a Greek genetic signature. The rest of their conclusions are based on contemporary understandings of the archaeological and linguistic landscape of Italy (cf. §7.2). They suggested that an area of genetic distinctness in the West could be the influence of Etruscans. Furthermore, they found the population of Liguria to be distinct, and found potential affinities between Oscans and Picenes. They concluded from all of this that the Italic languages were most likely brought by small groups from the area of the Danube, either over the Alps or from the Adriatic, in two waves of first cremating and then inhuming Italici. Citing the lack of any Proto-Messapic archaeological traces along the peninsula, they proposed that Messapic could have been brought to Apulia from Illyria across the Adriatic. This analysis was the first to incorporate genetic lines of evidence into the arguments that had been built using archaeology and linguistics.

### 6.3.1.2 More Modern Methods

Fascinatingly, the major trends that had been observed from classical/protein markers

were *not* overturned, but rather confirmed by DNA testing. Barbujani et al. (1995) found that around one quarter of the mitochondrial haplogroups they identified (12 of 42) across Italy and Sardinia showed substantial variation in frequency among populations. Some of these took the form of North-South gradients, with Sardinia being quite distinct. They took these patterns to be the result of a rapid demographic expansion, but they calculated a date of 8,200 - 20,525 years ago for the expansion. They could not decide if this was from the Neolithic spread of farming or from population growth after the Last Glacial Maximum. In any case however, Sardinia produced other results, suggesting slow if any growth and then isolation. Semino et al. (2000) found that Sardinian Y-chromosomes cluster with Greece and Albania, but not Basques. Chikhi et al. (2002) concluded that Sardinia is an outlier with a significantly higher proportion of Paleolithic genes, and that its pattern represented genetic drift from the Paleolithic rather than a Neolithic immigration. These conclusions fit into the debate at the time that was centered around the true contribution of the Neolithic migrants.

Di Giacomo et al. (2003) examined the distributions of 9 Y-chromosome haplogroups from 30 populations in Italy and Greece, finding major differences between them. In Italy, only 3 haplogroups have frequencies above 10% while in Greece, the three most common haplogroups account for only 55% of chromosomes. The only haplogroup to show a significant distribution was P\*(xR1a)<sup>551</sup> in Italy, decreasing from Northwest to Southeast. Because this haplogroup appeared in Basque and Celtic populations, they thought it was a Paleolithic group. But recall that Olalde et al. (2018) would show that this spread to Basque groups relatively late, by the time of the Iron Age. Capelli et al. (2006) sampled more markers with a larger sample size and found that in fact 70% of the Y-chromosome diversity of Italy is structured along a North-South axis. 80% of chromosomes were in haplogroups R1\*(xR1a1)(itself 40%), J2 (20%), G (11%), and E3b1 (10%). R1 frequencies decrease from North to South, while J2 and E3b1 frequencies increase in from North to South. Like Di Giacomo et al. (2003), Capelli et al. (2006) assumed Iberian populations were a good proxy for Paleolithic ancestry. Thus when they tested admixture using Iberians to represent Paleolithic Europeans and Anatolians to represent Neolithic farmers, they interpreted the 70-90% Anatolian contribution in the South and 50-70% Anatolian contribution in the North as well as the decreasing frequencies of R1 from North to South to represent Mesolithic-Neolithic contact effects. What they were truly seeing however was steppe influence descending in decreasing frequency from the North.

Di Gaetano et al. (2012) were able to replicate the patterns of 1) Northern and Central Italy clustering separately from Southern Italy, the former with the rest of Europe and the latter with the Middle East and 2) Sardinia clustering separately from all groups using genome-wide data. Keller et al. (2012) found that the Neolithic Ice Man of the Öztal Alps clusters with modern Sardinian populations, suggesting that Sardinia's signature

<sup>551</sup> Haplogroup R (to which R1a and R1b belong) is a subclade of P. The *x* indicates that, though the exact subclade was not determined, it is not R1a.

was not Paleolithic, but rather Neolithic. Boattini et al. (2013) looked again at uniparental markers, finding that 62% of modern Italian Y-chromosomes fit into 8 haplogroups, including 4 R1b lineages. Three R1b haplogroups and G-P15 (an EEF haplogroup) were mainly responsible for the Northwest-Southeast cline, with the Southeast showing more intra-population variation and the Northwest showing more inter-population variation. Sardinia differed in having the lowest Y-chromosome haplogroup diversity, and had I-M26, which was virtually absent from the peninsula. In contrast to the Y-chromosome picture and suggesting sex-biased population movements, mitochondrial DNA haplogroups are much more homogenously distributed. De Fanti et al. (2015a) determined that the Italian mitochondrial HV\* lineages split very early, and some are unique to Italy, suggesting an ancient local presence perhaps from the time of a glacial refugium in Southern Italy. Sarno et al. (2014) agreed with these results, emphasizing that NW Italy clusters Iberia and Central Europe while SE Italy (including Sicily) clusters with the Balkans and the Levant, and that males seem to have been the mobile ones. The NW to SE gradient was again replicated by De Fanti (2015b), this time with the genes for lactase persistence (North Italy was intermediate between high frequencies of lactose intolerance in Southern Italy to the highest level of lactase persistence in Finland, showing that European populations appear more homogenous than those of the Italian peninsula), and by Fiorito et al. (2016) again with whole genome analysis. Grugni et al. (2018) refined the Y-chromosome picture, finding that in Northern Italy, 69% of haplogroups were R1b (specifically R1b-U152) with no other haplogroup reaching 10%. In the South, only 27.5% were R1b, representing a demographic expansion from North-West and Central-North Europe that greatly affected Northern Italy. 552 This was the traces of steppe ancestry having entered Italy.

#### 6.3.2 Ancient DNA

Ancient DNA analyses with relevance to Italy took considerable time to appear, and there is still a great need for more results in order to understand how and when the patterns found in the modern population data came to be. Mathieson et al. (2018) confirmed the presence of Western hunter-gatherers in Sicily between 12000 and 6100 BCE. Emery (2017) and Emery et al. (2018) confirmed that mitochondrial genomes from Iron Age and Roman period South Italy are comparable to elsewhere in Europe.<sup>553</sup>

<sup>&</sup>lt;sup>552</sup> They also noted, following a paper by Günther et al. 2015, that Basques may not be the "living fossils" from the Paleolithic they have long been considered to be. Instead they might represent a long-lasting isolated population that originated from admixture of local HGs and early farmers. Olalde et al. (2018) would come out in that same year, showing the importance of ancient DNA in understanding population history. The presence of R1b in Basque populations does not date to the Neolithic.

<sup>&</sup>lt;sup>553</sup> In fact, in Emery (2017) when pre-Late Glacial Maximum and pre-Neolithic mitochondrial genomes were removed from comparison, the Iapygian samples shared similar haplogroup compositions with Armenian Iron Age populations. Emery concluded that, if the results are not due to Late Glacial Maximum gene flow, the Iron Age southern Italians descended from Neolithic farmers (from Anatolia and possibly as far East as the Caucasus) and from migrants arriving from eastern Europe in the Late Neolithic or Early Bronze Age (consistent with the ancestors of the Iapygians arriving in Southern Italy from the Balkans, or at least sharing a source population with them). Emery et al. (2018) show that

Serventi et al. (2018) got the same result for Novilara, the area where North Picene is found, showing that Iron Age Picene populations show similar mitochondrial profiles to modern populations from the same region. Antonio et al. (2019) contextualized the results by performing an analysis on whole genomes from Rome, spanning from the Mesolithic through the Iron Age. Mesolithic individuals from Sicily and the Italian mainland indeed clustered with Western hunger-gatherers. Between 7000 and 6000 BCE, agriculture arrived with the appearance of individuals clustering with Anatolian farmers. Interestingly, they carried a small amount of a component lacking in central European and Iberian individuals and found in high quantities in Iranian farmers and Caucasus hunter-gatherers. This suggests a different or at least additional source population from that involved central and western Europe was involved in Italy's Neolithic transition. Then, just like elsewhere in Europe, hunter-gatherer ancestry began to rise again starting in the Late Neolithic.

Allentoft et al. (2015) had determined the Copper Age Remedello Culture in Northern Italy (ca. 3400-2400 BCE, cf. De Marinis & Pedrotti 1997: 298) did not show steppe ancestry, suggesting a potential terminus post quem for the arrival of steppe-derived populations in Italy (though they also note that it may have in some way been unaffected by the Yamnaya expansion). Antonio et al. (2019) were able to push this date to ca. 2900 BCE. A gap in their samples due to cremation meant that they had no data between 2900 BCE and 900 BCE, at which point steppe ancestry is already present (with individuals exhibiting highly variable ancestries, suggesting several sources of migration). Olalde et al. (2018) had sampled two individuals in a Bell Beaker context in Northern Italy (Parma) that showed components of steppe ancestry, dating to between 2200 and 1930 BCE. Saupe et al. (2021) were able to fill in the gaps, with samples dating between 3200 and 1500 BCE. They found the first traces of steppe ancestry in individuals from North Italy around 2000 BCE (a Bell Beaker individual from 2195-1940 calBCE as opposed to two without steppe ancestry, an individual from Broion from 1952-1752 calBCE, and even one of the Remedello individuals described by Allentoft et al. [2015], from 2134-1773 calBCE), with the amount increasing with time. The first traces of steppe ancestry in Central Italy were found four centuries later.554

Sarno et al. (2017) tested modern Italian populations, but they viewed the results as a PCA overlain on other modern and ancient sequences, allowing them to provide one of the most-up-to-date interpretations of the trends that had been repeatedly found. They found that modern southern Italian and southern Balkan populations plotted in "an almost uninterrupted bridge between two parallel clines of distribution where most of the other modern populations are found, one stretching along the East-West axis of Europe

pre-Roman Iron Age individuals from Vagnari cluster slightly differently than Roman-period individuals from Vagnari, possibly representing the result of Roman subjugation.

<sup>&</sup>lt;sup>554</sup> Steppe ancestry appears in Sicily ca. 2200 BCE, but seems to arrive from Iberia (Fernandes et al. 2020).

and the other from the Near East to the Caucasus." Thus, Sicily, southern Italy, and Mediterranean regions stretching East to the Anatolian Greek islands formed a Mediterranean genetic continuum, with a Sardinian (Neolithic-like) ancestry component making up half of their ancestry. Near Eastern-like ancestry is more frequent in Sicily, southern Italy, and the Greek islands, whereas a European-like component appears in increasing frequencies in the populations of Albania, mainland Greece, and the rest of the Balkan peninsula. In contrast to continental Europe, Caucasus-related admixture via Yamnaya is present to a lower degree in the Mediterranean groups, detected mainly in Balkan-related groups. They concluded that "any significant Steppe/northern component may have arrived in the south Balkan mainland and southern Italy only later, by which time Indo-European languages of the Italic, Greek and various Balkan branches had already established themselves there." This suggests that the spread of Italic languages into Southern Italy would have involved a certain amount of indirect diffusion and a large amount of contact with pre-IE languages. 555

## 6.3.3 Other Questions

## 6.3.3.1 Greek Colonization

Sarno et al. (2015) had suggested that remnants of the Greek settlement of Southern Italy might be represented by Y-chromosome haplogroup E-V13. Tofanelli et al. (2016) noted that no Italian populations seem to show a closer affinity with Greek and Greek-related sources from a Y-chromosome perspective, but designed an approach that took mutational processes into account. In this way, they purported to find evidence of Greek colonization from Euboea in East Sicily in Y-chromosome and mitochondrial DNA from modern populations. They found a sex bias, and evidence for low numbers: probably thousands of men and a few hundred women. Interestingly, they found that E-V13 was actually a very poor indicator of Greek origin. Later, Sarno et al. (2017) found that the modern Greek-speaking communities of Apulia and Calabria show no clear signs of a recent continental Greek origin, instead clustering within the Mediterranean continuum they found. This suggests that either a large degree of admixture has occurred, that these Greek-speaking communities date back to antiquity (as opposed to the Middle Ages), or perhaps both. Grugni et al. (2018) also found potential genetic traces of the Greek presence in Italy in form of Y-chromosome haplogroup R1b-M412\*, which is found in Turkey, Iran, Cyprus, and Greece along with all of their Southern Italian samples but which is only sporadically found in North Italian samples. They suggested this might date to the colonization of Magna Graecia (especially from Greek islands) or perhaps to the Byzantine era. They also found R1a-M17, with the highest frequencies in Italy being in the South and otherwise found in significant amounts in mainland Greece and Thrace. This might represent traces of Balkan migrations into Southeast Italy.

<sup>&</sup>lt;sup>555</sup> Fernandes et al. (2020) found in Sicily Iranian-related ancestry consistent with a shift towards Minoans and Mycenaeans by the Middle Bronze Age. Raveane et al. (2019) had found this signature in modern southern Italian populations as well.

### 6.3.3.2 Etruscans

The question of Etruscan origins, whether they are from Anatolia or autochthonous to Italy, has also received attention from geneticists. At a remarkably early date, Vernesi et al. (2004) sequenced the mitochondrial genomes of 30 Etruscans, finding little heterogeneity between sites or time periods sampled, confirming that the Etruscans were a unitary population. But they were unsure how to further interpret the data, because most of the haplotypes they found no longer existed in modern populations. Some evidence pointed to their genomes being typical for Europe or West Asia, but they also found similarities with Turkish genepools. Achilli et al. (2007) found that the mitochondrial haplogroups of the modern population of Murlo, a town of Etruscan origin, are composed of 17.5% of Near Eastern haplogroups. No other Tuscan populations had this high of an amount, but overall 5% of mitochondrial haplotypes in Tuscany were found to be shared exclusively with Near Easterners, supporting a direct and recent input from the Near East.

Ghirotto et al. (2013) found from mitochondrial DNA that the Etruscan individuals they sequenced were the ancestors of modern populations in Casentino and Volterra (but, interestingly, not of the more general population of the formerly Etruscan territory) and that the genetic links between Tuscany and Anatolia dated back to at least 5,000 years ago. Thus the Etruscan civilization likely developed locally. Tassi et al. (2013) repeated the conclusion that the genetic links between Tuscany and Anatolia were too old to have brought the Etruscans, instead possibly dating to the spread of Neolithic farmers. But the analysis of results would continue to go back and forth.

Gómez-Carballa et al. (2015) concluded that the Near Eastern components in Tuscan mitochondrial genomes was 8% and in autosomal DNA was 21%. As the Near Eastern mitochondrial haplogroups do not show local or regional variation, it suggests a recent arrival from the Near East with no subsequent founder effects or genetic bottlenecks. Thus the Etruscans were probably from Anatolia. Fiorito et al. (2016) used an admixture analysis on whole genome data that suggested an event ca. 3000 years ago between populations from the Caucasus and Middle East and populations from Central Italy. Pardo-Seco et al. (2014) had calculated a similarly recent data for an admixture event. This might be the arrival of the Etruscans from Anatolia. Grugni et al. (2018) found that the Y-chromosome haplogroup J2a-M67\* had high microsatellite variation specifically in Volterra. Given that its origin might be close to the Middle East, they took this to support the Asia Minor origin of the Etruscans.

More recent ancient DNA studies call into question the possibility of this 3000-year-old admixture event. Antonio et al. (2019) in their small sample size found no significant difference between Latins and Etruscans in their allele-sharing with other populations. Most recently, Posth et al. (2021) sequenced individuals dating back to 800 BCE. They confirmed that the Etruscan genetic profile was the same as that of its Latin neighbors (including a large proportion steppe ancestry), without any recent population influx from

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the Near East. The Etruscan language seems to have been preserved despite large amounts of admixture, similar to the situation of Basque. It is unclear if an elite dominance situation like that responsible for the spread of Hungarian could instead be responsible.

## 6.4 Conclusions

There are several important conclusions that we can draw from the picture of Italian population genetics. Firstly, the genetic history of the Italian peninsula is one of a melting pot. Steppe-derived populations begin to appear in Northern Italy around 2000 BCE, their presence increasing gradually. Steppe ancestry reaches Central Italy by around 1600 BCE. Modern genetic profiles show that the spread of steppe-derived populations into the South of Italy involved large amounts of admixture with previous populations. Complete admixture seems to have occurred with the ancestors of the Etruscans as well. All signs point to heavy contact with speakers of pre-Indo-European languages. The possibility of non-IE language communities, especially in the South, existing up until a late date is certainly not ruled out.

Genetic studies on the population history of the Mediterranean continue to come out, and a refined understanding of population movements will certainly provide clues about the linguistic diversity of Italy. How different would the language of the Cardial Ware farmers of the Mediterranean coast be from that of those that established Linearbandkeramik in the North? And does the Mediterranean continuum help explain the existence of a Mediterranean substrate? What about the traces of Iranian-related ancestry (consistent with Minoans and Mycenaeans) in modern southern Italians? We may someday be able to postulate what other languages, perhaps attested ones, might have played a role in contact situations.

# 7 Archaeological Theories on the Italicization of Italy

The history of the field of prehistoric archaeology in Italy is a nuanced one, presented by Guidi (2010) as a discipline that competed with the much more well-funded historical (Classical) archaeological field and which was shaped by methodological rivalries and political ideologies. Discoveries beginning in the mid-1800s led to the recognition of many of the major prehistoric cultures of the Italian peninsula and its environs (though their contemporary recognition as prehistoric was not always immediate). One of the first to begin to using the archaeological evidence to theorize on the arrival of Italic-speaking populations in Italy was Luigi Pigorini.

Having had his start in the field of prehistoric archaeology under the mentorship of Pellegrino Strobel (the first to recognize Terramare sites prehistoric and with whom he published a seminal work on the Terramare: Strobel and Pigorini 1864), Pigorini became a juggernaut that dominated the field for decades. Throughout numerous articles in the Bullettino di Paletnologia Italiana (starting with e.g. Pigorini 1875), he developed what would come to be called by some his teoria pigoriniana. The narrative that he supported was that Neolithic Italy had been inhabited by a homogenous population of autochthonous Mediterranean origin that practiced inhumation burial. The Copper Age saw the arrival of peoples who built pile-dwellings over lakes (the palafitticoli of the Polada Culture) like those across the Alps in Austria and Switzerland. Then in the Bronze Age, the eastern part of these regions saw the arrival of a people who built their pile-dwellings over dry land and practiced cremation and intensive metallurgy. This was the Terramare Culture, responsible for disseminating bronze metallurgy throughout the Italian peninsula. They left their settlements in the Po Valley, either willingly or due to another wave of invaders, and marched/trekked southwards and over the Apennines whereupon they became the Iron Age Villanova and Latial cultures and eventually founded the city of Rome using the city plan of a Terramare settlement (summarized in e.g. Randall-MacIver 1939, Guidi 2010).

Though highly influential, the sacrosanctity of Pigorini's *teoria* began to come into question in the 1900s. The Terramare origin of Rome and the Roman military *castra* was revealed to have been based on precious little evidence (Säflund 1939, further e.g. Barocelli 1942). Several alternative accounts reduced the role of foreign invasions, with varying degrees of ideological bias. Patroni (e.g. 1939: 215), on the basis of racial phenotypical analysis, argued that Indo-European languages could only have come to Italy "by exchanges and imitations and by slow but very extended infiltrations of individuals." <sup>556</sup> Ugo Rellini's work on the Apennine Culture (originally called the *extraterramaricoli*), highlighted the continuity in many areas with the earlier Neolithic and Copper Age cultural materials and disproved the existence of Terramare sites outside of the Po Valley (cf. Rellini 1929, 1933). Thus several scholars gave up the idea that

<sup>556 &</sup>quot;Per scambi e imitazione e per lente ma lunghissime infiltrazioni di individui".

Terramare was "portato bell'e fatto" by a "popolo speciale" (Barocelli 1942: 138) and some suspected instead that it was but a local development instigated by geographical and environmental conditions (cf. Barocelli 1942: 136) of "una diretta emanazione" of the Apennine Culture (Laviosa-Zambotti 1937: 54): rather than Terramare being a great influencer, it would instead have been a local development in the North of a culture further South.

After the era of Fascism, archaeological research on the Italian peninsula continued to develop, gradually at first (especially with the work of Massimo Pallottino beginning in the 1940s, then Salvatore Puglisi and Renato Peroni beginning in the 1950s), with methodological and ideological innovations throughout the 1970s and into the 1980s when Processualist methodology began to be taken up (Guidi 2010: 17-18). Several additional hypotheses as to the dating of the arrival and archaeological cultural affiliation of the first Italic speakers in Italy have been proposed, summarized in archaeological chronological order.

# 7.1 Single Origin Theories

## 7.1.1 The Copper Age Cultures

The transition from the Neolithic to the Copper Age (Chalcolithic/Eneolithic) in Italy (ca. 3600 to 2200 calBCE) is marked by the intensification of the use of copper and the appearance of three archaeological cultures: Remedello (North), Rinaldone (central), and Gaudo (Southwest)(Baldi 2002: 98, Dolfini 2014: 477). Metalwork was theorized to have been brought to these areas by a nomadic warrior elite (e.g. Laviosa Zambotti 1939: 58, Puglisi 1959: 89-90, Trump 1966: 69), possibly Indo-European speaking due to the appearance of e.g. horses and battle axes at this time (e.g. Laviosa Zambotti 1949, discussion in Mallory 1989: 93). However, even if the technology of copper metallurgy may have been introduced from abroad (cf. Dolfini 2014), others are not convinced that there is strong enough evidence for its introduction being accompanied by a migration (Barfield 1971: 59, Barker 1981: 81-9, Mallory & Adams 1997: 318). More definitively as regards a possible Indo-European connection, genetic sequencing of individuals from Remedello (Allentoft et al. 2015, Mathieson et al. 2015) and Rinaldone/Gaudo (Antonio et al. 2019) contexts do not show steppe ancestry, indicating that they predate the arrival of Indo-European speakers.

### 7.1.2 Side Note: Bell Beaker and Polada Cultures

Bell Beaker cultural material (potsherds) appears in over 80 North Italian Copper Age sites (Trump 1966: 70, Nicolis 2001: 208, Dal Santo et al. 2014), and is found in more limited amounts at least as far South as Campania (Aurino & De Falco 2022).<sup>558</sup> Three

<sup>557</sup> Dolfini also includes a fourth culture, Laterza (Southeast).

<sup>&</sup>lt;sup>558</sup> It is also found in Sicily, but here its development is separate from the Italian mainland (Aurino & De Falco 2022: 211).

Bell Beaker burials have been found near to the cemetery at Remedello, culturally distinct from the cemetery burials (Barfield 1971: 62), along with perhaps a few other sites (Nicolis 2001: 209). As noted above (§6.3.2), it is in one of these three burials that some of the earliest steppe ancestry in Italy is found (Saupe et al. 2021). This nicely parallels the conclusion of e.g. Barfield (2001: 516), on the basis of a combination of new (Bell Beaker) and persistent (Copper Age) lithic forms, that the appearance of Bell Beaker material in Italy involved some population movement but also intensive interaction with already present populations. Thus the Bell Beaker culture seems to be the earliest possible candidate for a bearer of Proto-Italic into Italy. But compared to later possibilities, there seems to be simply too little Bell Beaker material to represent the arrival of a language that would dominate the peninsula. Thus, even if the bringers of Bell Beaker materials were Indo-European speaking (cf. Gallay 2001: 54-6, Waldman & Mason 2006: 453-4), and even if their languages persisted, it seems quite likely that "more evolved forms of Indo-European, including Italic, may have spread across Italy at a later stage" (Posth et al. 2021, cf. Stifter fthc. on a similar situation Celtic regions).

Nor has the Polada Culture (ca. 2200-1500 BCE) been proposed as a vector of the Italic languages, at least not in isolation. It does feature in some of the multiple-origin theories below (§7.2). The Polada sites are characterized by pile-dwellings (palafitte) at the edges of lakes and watercourses. The culture is often connected to pile-dwelling cultures in Alpine Switzerland and Austria/Southern Germany (though confirmation of the connection is still elusive, cf. Marzatico 2004), from which it would represent a "numerically weak infiltration" (wording from Pulgram 1958: 108-9, cf. also Watmough 1937: 210-11, Bietti Sestieri 2010: 21). On the basis of this trickle, like the case for Bell Beaker, some exclude that the Polada Culture individuals could have spoken Indo-European languages (Palmer 1954: 34, Kaschnitz-Weinberg 1954: 343-6, Devoto 1962: 382-5). A clear continuation with the previous Neolithic Lagozza culture of the area has been claimed (e.g. Barfield 1971: 68, 70), though it is no longer supported by e.g. Fasani (2002: 108), who emphasizes that the style of pile-dwelling and the material culture is not comparable to Neolithic geographic predecessors. Instead, the sudden appearance of the Polada over a relatively large area contemporaneously, interrupting the development of Bell Beaker sites where it appears (though Bell Beaker elements remain visible in the grave goods related to archery [Barfield 1971: 77] and ceramics [Gallay 2001: 47, Nicolis 2001: 212, 218]), suggests the arrival of a new people; whence they originated remains unknown (Fasani 2002: 108, Dal Santo et al. 2014: 225). Their technologically advanced bronze work attests to contact with cultures north of the Alps (Barfield 1971: 77 mentions specifically Únětice) and the Brotlaibidole/tavolette enigmatiche attest to contact with the Carpathian basin (Barfield 1971: 74-5, 77; Fasani 2002: 109, Cardarelli 2009: 458, Cavazzuti et al. 2022: 46).

#### 7.1.3 Terramare Culture

The Terramare Culture existed from ca. 1650-1150 BCE (Middle to Late Bronze Age) in

the Po Valley, principally in the modern provinces of Cremona, Mantua, and Verona as well as south of the Po River in the historical region of Emilia. Terramare settlements were quadrangular, surrounded by an embankment and ditch into which a natural watercourse was diverted. The houses were raised on piles, even when the settlement was on fully dry land, in a grid-like network of perpendicular streets. In the beginning of the Terramare period, the settlements rarely exceeded 2 hectares, but by the end of their existence a few reached sizes of up to 20 hectares. The name of the culture comes from the *terra marna*, thick deposits of fertile soil produced by piled up refuse (Cardarelli 2009: 449-51).

The idea that the Terramare Culture represents the first Italic speakers goes back at least to Gaetano Chierici<sup>559</sup> (Chierici 1871, 1881: 69), who conceptualized similarities between the wall and ditch of the Terramare sites and the ditch and agger in Roman city founding as well as between the grid structure of Terramare roads and the  $card\bar{o}$  and decumānus of Roman military camps. As mentioned above, Pigorini was inspired to develop the idea further (e.g. Pigorini 1903). Another early proponent of the idea was Wolfgang Helbig (e.g. Helbig 1879). Many would come to disagree with the idea, and a crucial part of the debate centered on whether a foreign origin could actually be established for the Terramare. Some claimed that similar settlement types and ceramics found in the Danube valley, especially Hungary, meant that this was where the Terramare Culture began (e.g. Peet 1909: 505-7, Wilke 1919: 177, Kaschnitz-Weinberg 1954: 344, Trump 1966: 128). Others disagreed that the Terramare materials were related or even similar to those discovered at the Hungarian site of Tószeg (Leopold 1929: 26-7, Rellini 1933: 93-4). V. Gordon Childe agreed that the identification of Tószeg as a terramare was baseless, but nevertheless argued that the Terramare Culture represented an invasion from across the Alps, descended from "an as yet undiscovered prototype developing perhaps in Carinthia or Western Hungary" (Childe 1925: 269), with it being "not therefore impossible that Tószeg, or some more westerly stations of the same type, may contain the germs from which the Terramar[e] culture of Italy sprang" (Childe 1929: 265). Modern research indeed points in this direction. Around 1500 BCE, the population of the Terramare region suddenly drastically increased (as did human activity like deforestation), too quickly to have been due to demographic growth alone and instead suggesting something akin to a colonization (Cardarelli 2009: 450, 458-9). This corresponds to the same time at which the Tell cultures of the Hungarian plain were collapsing. Noting a similarity in the organization of the Tell settlements and the Terramare, Kristiansen (2018: 118) suggests that inhabitants of the Tells migrated to the Po Valley and became incorporated into the Terramare Culture (cf. earlier Barfield 1971: 95).

Around 1150 BCE, the region of the Terramare settlements was abandoned, perhaps due to a combination of overpopulation, climatic stress, and the political turmoil that resulted

<sup>&</sup>lt;sup>559</sup> The archaeologist and priest who lived from 1819-1886, not the painter who lived from 1838-1920.

in the collapse of the Bronze Age elsewhere around the Mediterranean (Cardarelli 2009: 465-72).

## 7.1.4 Apennine Culture

The Apennine Culture is *sensu stricto* the technological complex, especially the ceramic assemblage, of Central and Southern Italy in the 15<sup>th</sup> and 14<sup>th</sup> centuries BCE. *Sensu lato* it is sometimes extended to include the prior 16<sup>th</sup> c. Proto-Apennine and Grotta Nuova as well as the subsequent 13<sup>th</sup> c. Sub-Apennine facies. Earlier studies of material and settlements concluded that the Apennine Culture represented a nomadic pastoralist economy, with seasonal grazing lands connected through a series of seasonal camps (Puglisi 1959, Trump 1966: 109-113, Barker 1975). The perceived unity and in part a nationalistic desire to reduce the importance of external influences led Rellini (1933: 94) to write, "I have come to believe that in the Apennine peoples, having reached the advanced stage of their civilization, we can recognize the *italici* or, if you will, the *proto-italici*." He was not the only one to consider that the pastoralist Apennine peoples represented the origins of the Italic *koine* (cf. Puglisi 1959: 96, Barker 1975: 157-8). But his position of an autochthonous Apennine Culture was difficult to reconcile with the fact of the Italic languages' Indo-European pedigree (cf. Pallottino 1975: 40).

More recent research has shown the Apennine Bronze Age to have been home to a mixed economy of nomadic pastoralism, stock-breeding, and agriculture (Östenberg 1967, Barker 1981: 90-5, Lewthwaite 1981, Albarella 1999: 326-7, Skeates et al. 2021). That some of the Apennine Bronze Age population may have been Indo-European speaking is in fact not impossible. Saupe et al. (2021) confirmed the presence of steppe ancestry in Central Italy by 1600 BCE, and at least one of the sites where it was present (Grotta Regina Margherita) has Middle Bronze Age potsherds assignable to Grotta Nuova and Proto-Apennine facies (Skeates et al. 2021). An additional point of interest is contact between Apennine sites and the Mycenaean civilization. Trade networks have long existed in the Mediterranean, and Mycenaeans came to play an important role in transmitting influences between East and West (Kristiansen 1998: 360), attested in part by the Mycenaean pottery in Apennine sites (e.g. Puglisi 1959: 92-3, Trump 1966: 124-7) and in the Po Valley in the 12<sup>th</sup> c. BCE (Smith 1996: 25).

## 7.1.5 Urnfield Horizon

The Urnfield Culture represents the adoption of a series of burial practices (deposition of cremated remains into an urn/container, placement of the urn into a pit, frequent exclusion or reduction of grave goods, esp. weapons) that first arose in central Hungary ca. 2000 BCE. From around 1300 BCE, the Urnfield burial package spread over wide swaths of Central Europe (Cavazzuti et al. 2021), where it gave rise to or at least strongly influenced, among others, the Hallstatt Culture of Western and Central Europe (ca. 1200

<sup>&</sup>lt;sup>560</sup> "Ho creduto che nelle genti apenniniche, pervenute alla fase progredita della loro civiltà, si possano riconoscere gli 'italici' o se si vuole i 'protoitalici'."

to 500 BCE), the Canegrate Culture of Northwest Italy (ca. 1300 to 1200 BCE), and the Proto-Villanova Culture in the whole rest of Italy (ca. 1200 to 1000 BCE). The Proto-Villanova culture underwent regionalization at the beginning of the Iron Age (e.g. Pallottino 1975: 45) into the Este Culture in Veneto (ca. 1000 BCE to 1st c. BCE, famous for its *situlae*), the Villanova Culture of (principally) Tuscany (ca. 900 to 700 BCE and regarded as the earliest phase of the Etruscans), searly Latial Culture in historic *Latium* (ca. 900 to 700 BCE, famous for its hut urns). Early Latial Culture necropoleis are very similar to those of late the Proto-Villanova in Etruria. While it would continue to be influenced by the Villanova Culture, it would also develop in its own independent ways (Poucet 1985: 21-2).

Because the Latial Culture extends into the historical period and the area of Rome, many have postulated that it was it was the (Proto-)Villanovans, offshoots of the Urnfield tradition, that represented the appearance of the Italic languages in Italy. Gimbutas (1965: 340) proposed that Proto-Italic was spoken in one of the populations of the Urnfield Culture. Von Mehrhart (1942: 65-66) considered it possible that all Italic groups had entered Italy as Proto-Villanovans, perhaps Indo-Europeanizing the Terramare Culture along the way (cf. similarly Freu 1989: 28, who proposed that the Terramare Culture may have spoken an older dialect of Indo-European). Kaschnitz-Weinberg (1954: 354-6) suggested that the regionalization of the Proto-Villanova Culture was due to the influence of a autochthonous Bronze Age Mediterranean substrate, perhaps in some places strong enough to outcompete non-Italic Indo-European dialects. That the Italic languages were brought into Italy with Proto-Villanova is further supported by e.g. Sergent (1995: 418), Gamkrelidze and Ivanov (1995 I: 845), and Anthony (2007: 367).

It was with the arrival of the Urnfield tradition (already in some Terramare sites) that an interesting pattern appeared on the peninsula, one which has led to a series of multiple origin theories for the Italic languages. Cremation burial as a rite was introduced to the Italian peninsula. But it was not ubiquitous. Instead, it was restricted to the North, Latium, Tuscany, and part of Umbria. In the rest of Italy southwards, inhumation was the exclusive funerary practice (Pallottino 1975: 45, cf. von Duhn 1924 on the verbrennenden and bestattenden Italiker). Several scholars have interpreted these as representing different migrations of peoples, leading to theories involving multiple origins for the Italic languages in Italy.

<sup>&</sup>lt;sup>561</sup> The latter term was coined by Patroni (1937).

<sup>&</sup>lt;sup>562</sup> This is of course potentially problematic for theories that have Italic languages arrive with Proto-Villanova: the descendant populations spoke both Indo-European and non-Indo-European languages (i.e. Etruscan, cf. Mallory 1989: 92-3, Mallory & Adams 1997: 318 on this being a reason to doubt a Villanova origin of Italic). Several possible explanations present themselves: 1) If the Proto-Villanova Culture arrived with a migration, it may have been a multi-ethnic confederation. 2) The Proto-Villanova Culture may have spread into an Italy where Etruscan was already spoken. 3) The Etruscan language may have arrived sometime after the spread of Proto-Villanova.

# 7.2 Multiple Origin Theories and the Question of Proto-Italic Unity

The geographical position of the Italian peninsula is such that it can be entered relatively directly from the North over the Alps by land or from the East over the Adriatic by sea. There are archaeological connections in both directions (cf. Mallory 1989: 91-2, Recchia 2020 on trans-Adriatic links). Thus Italic languages have been envisioned as arriving from across the Alps (Meyer 1893: 499; von Duhn 1924: 116-17, 439; Ebert 1927: Tafel 103; Watmough 1937: 118), across the Adriatic (Meyer 1909: 792, Patroni 1939: 214-15, Pallottino 1975: 56), or, especially given the possibility of a non-monolithic Italic, both (Palmer 1954: 36-9; Devoto 1962, 1974). 563

Early works on Indo-European (e.g. Aufrecht & Kirchhoff 1849: 11, Mommsen 1850: 101, Brugmann 1886: 8-9) took for granted that the Latin (or Latino-Faliscan) and Sabellic (or Osco-Umbrian) language families descended from a Proto-Italic node intermediate to Proto-Indo-European. Walde (1917) challenged this assumption by arguing that a more accurate grouping comprised Proto-Gaelo<sup>564</sup>-Latin and Proto-Sabellic (alongside Proto-Brittonic). A combination of archaeological (the two different burial practices) and linguistic arguments led the idea to develop, rather rapidly, that Latin and Sabellic were independent Indo-European daughter languages. Most proponents of this idea argued that they had converged after migrating into a geographic Italic *Sprachbund* (e.g. Kretschmer 1923a: 105; Devoto 1929: esp. 239-40; Devoto 1931: 51-2; Pisani 1932: 88;<sup>565</sup> Devoto 1940: 54, 59-69;<sup>566</sup> Kretschmer 1943: 136-7). Muller (1926: v) had suggested that, if there ever had been a Proto-Italic period, it had been well before the arrival of Latin and Sabellic in Italy. And Ribezzo (1932) proposed that Latin and Sabellic had earlier belonged to a dialect continuum, and that Sabellic diverged due to contact with other languages.

Despite this, the Italic branch continued to be accepted without any mention of question in several handbooks (e.g. Hirt 1927: 20, Buck 1928:2-3, Buck 1933: 23-5, Bloomfield 1933: 61<sup>567</sup>). A brief recognition of the controversy was made by Meillet (1948: 48,

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<sup>&</sup>lt;sup>563</sup> Similarly, there are those who are explicitly undecided and consider both options possible (Devoto 1940: 5, Pulgram 1958: 157 [though he prefers the Alpine direction on pg. 136], Mallory 1989: 91-2). <sup>564</sup> i.e. Goidelic.

<sup>&</sup>lt;sup>565</sup> "Besides the fact that I do not believe in a common Italic at all, it would be time to frankly declare that there has never existed an *Urgriechisch* from which the Greek dialects are derived, an *Urbaltisch-slavisch*, father of the various Baltic and Slavic languages, an *Urgermanisch*, *Urkeltisch*, *Urarisch* in similar relationship with the Germanic, Celtic, and Aryan languages. There have existed, and exist, and will always exist territorial zones..." ("Astraendo dal fatto, che io non credo affatto ad un italico commune, sarebbe ora di dichiarare francamente che non è mai esistito un Urgriechisch donde siano derivati i dialetti greci, un Urbaltisch-slavisch padre delle varie lingue baltiche e slave, un Urgermanisch, un Urkeltisch, un Urarisch in simile realzione colle lingue germaniche, celtiche, arie. Sono esistite, ed esistono, e sempre esisteranno zone territoriali...").

<sup>&</sup>lt;sup>566</sup> Often cited is his quip, "Le affinità fra latino e osco-umbro sono recenti, le diversità sono antiche" (pg. 67).

<sup>&</sup>lt;sup>567</sup> Pagination from the 1984 reprint, (perhaps) not the 1933 first edition.

"L'unité «italique»...est évidente, bien qu'elle ait été récemment contestée"), followed by a full defense of Proto-Italic (pp. 53-72). Jones (1950: 61-2) used Meillet's own logic against him: after showing in *Dialectes indo-européens* that Indic and Iranian were so similar that passages of Avestan could be transformed into valid Vedic through the application of sound laws, he (Meillet) nevertheless concluded that Indic and Iranian were separate families that fused due to contact. "It is then clear," Jones (1950: 62) wrote.

that the hypothesis of a common Italic language, parent of Latin and of Osco-Umbrian is not immediately proved by the existence of a few innovations common and peculiar to Latin and Osco-Umbrian, nor on the other hand is it wrecked if some isoglosses of Indo-European date be discovered separating them. An attempt must be made to weigh the *prima facie* evidence for and against unity and to strike a balance.

Touching on the infuriating difficulty of differentiating between archaism and common innovation, he further wrote, "With the exception of obvious borrowings of late date, almost any common feature peculiar to Latin and Osco-Umbrian may, taken in isolation, be regarded as evidence for their earlier unity or as a dialect phenomenon of Indo-European date or again as a product of the period of contact in Italy" (pg. 66). Like those before him and Beeler (1952) after him, Jones (1950) rejected Proto-Italic because he did not see the shared innovations of Latin and Sabellic as numerous, non-trivial, and exclusive enough to reject positions like that of Ribezzo: separate dialects in close proximity whose closeness to each other waxed and waned. Those who supported Proto-Italic (cf. at this time Martinet 1950: 188, Watmough 1951: 82<sup>568</sup>) on the other hand did.

The back-and-forth would continue for decades. Those whose rejected Italic unity factored this into their ideas on the Indo-Europeanization of Italy. Devoto (1940: 17) argued that Latin was more archaic than Sabellic and proposed that it had occupied a place on the Western margin of the expanding Indo-European languages. Thus it had arrived in the Italian peninsula first and was subsequently pushed aside and partially overlain by Sabellic (pp. 59-61). He considered an interim homeland to be in Central Germany, but was at first not sure of the details, writing "The two endpoints Thuringia and Rome were joined by a line, how twisted and in what ways we cannot say" (pg. 5). Pallottino (1940: 28-30) expanded on the idea by pointing out that the trench graves (Fossakultur, coined by Säflund 1938: 23, in Italian cultura della tombe a fossa) of Italy's South and Southwest potentially matched the exact area in which Proto-Latin would have been spoken.

569 "I due punti estremi Turingia e Roma sono stati congiunti da una linea, quanto e come totuosa non sappiamo dire."

<sup>&</sup>lt;sup>568</sup> His credibility on linguistic topics is perhaps bolstered by his willingness to admit a less than perfect competence in biology: "The whole discussion reminds me most vividly of a spider, spinning threads out of its belly—or wherever spiders spin threads from."

Devoto (1962: 383-4) accepted the suggestion and revised his hypothesis: Proto-Latin had spread from across the Adriatic to Apulia and fanned out westwards. Other inhuming cultures representing Sabellic, with links to the Danube, spread from the area around Novilara southwards, pushing Proto-Latin further into the West. A third wave of Indo-Europeanization entered Northern Italy as the Terramare Culture, continuing on through the Urnfield horizon, resulting in the languages Lepontic and Venetic and a third language, spreading with the Proto-Villanova culture, which left no trace. Devoto (1974: 51) refined the theory even further by conceiving of a Proto-Latin-Venetic language. It had split up North of the Alps, with Proto-Latin travelling through the Balkans to enter Italy from the Southeast. He again saw Sabellic (this time explicitly travelling across the Adriatic) pushing Proto-Latin to the West and Venetic coming in from the Northeast. His ideological bent culminated in his discussion of the mechanisms behind the language shifts. "The Mediterranean world, including the Italian one, was superior in civilization; an Indo-European cultural conquest is unthinkable," his wrote.

This force could only have been social. The nuclei of Indo-European linguistic tradition...maintained their compactness [and] constituted a force of attraction and comparison for the previous inhabitants: first a source of attraction and curiosity, then models of a psychologically urban life, then a solid, fixed point of reference in the changing of daily life, something comparable to a "market". Only in this way is it possible to effect such a powerful and lasting accomplishment, and at the same time an invisible one"<sup>571</sup> (Devoto 1974: 46).

Palmer (1954: 11) criticized Devoto's conceptualization of the convergence of Latin and Sabellic as "too remote from the realities of actual speech" but in general agreed with the rejection of Italic unity. He saw Latin and Sabellic as both ultimately originating in Central Europe, with Latin having entered Italy from the North as cremators and being cut off by Sabellic entering from across the Adriatic as inhumers. Venetic, as a separate branch originally closely related to Latin, entered into the North (Palmer 1954: 36-43). The same year, Pisani (1954: 56) quite patronizingly lamented that there were still "hervorragende Anhänger des alten Glaubens" who saw language as an organism that can only change via sound laws and that, "wenn sie sich daher vor zwei ähnlichen Sprachen befinden, so können diese Gelehrten nur an eine Muttersprache denken, davon sich jene unabhängig entwickelt haben." <sup>572</sup>

571 "Questa forza non poteva essere che sociale. I nuclei di tradizione linguistica indeuropea...mantenevano la loro compattezza [e] costituivano una forza di attrazione e confronto per gli indigeni: prima, fonte di attrazione e curiosità, poi modelli di vita psicologicamente urbana, poi solido, fisso punto di riferimento nel mutare della vita quotidiana, qualcosa di paragonabile a un "mercato". Solo in questo modo è possibile rendersi conto di una affermazione così potente e durevole, e nel tempo stesso invisibile."

<sup>&</sup>lt;sup>570</sup> "Il mondo mediterraneo, ivi compreso quello italiano, era superiore per civiltà; una conquista culturale indeuropea è impensabile."

<sup>&</sup>lt;sup>572</sup> Astoundingly, he continued, "Sie [diese Gelehrten] sind immer bereit zu schwören, dass das Englische eine germanische Sprache ist, ohne darum zu kümmern, wie viel französisches—und nicht nur

Pulgram (1958: 217-18) did not make his position on the existence of Proto-Italic entirely clear, but he envisioned the Indo-European languages of Italy trickling into the peninsula from a source in the Danube Valley as the Polada, Terramare, and Villanova Cultures (pp. 115-15, 120-21). He criticized the idea of the cremating vs. inhuming Italici, suggesting that only cremation was introduced by Indo-European speakers (pp. 220-21).<sup>573</sup>

Polomé (1972: 59-64) supported a separate Latin and Sabellic as well as a multi-wave migration to Italy based on shared innovations in the political vocabulary between Sabellic and Germanic (e.g. lack of the inherited lexeme  $r\bar{e}x$ ), suggesting that Latin left before Sabellic.

Pallottino (1975) marks a relatively drastic shift from the variations on a theme so far, undoubtedly because he was informed by a much more up-to-date understanding of the archaeological situation, specifically the fact that Proto-Villanova cultural materials had in fact spread over the whole of the Italian peninsula, with regional differences—including cremation vs. inhumation—developing in response to this (pg. 45). He maintained that the linguistic situation of ancient Italy "is a far cry from the over-simple 'Italic' unity conceived by scholars in the past" (pg. 53), and still supported the idea that Latin was pushed into the West by incoming Sabellic (pp. 54-5). But he now argued that 1) all Indo-European languages had entered Italy from across the Adriatic, given that the position of the non-IE languages of Italy cuts them off from the North and 2) that Indo-Europeanization had started well before cremation, already with the Neolithic Square-Mouthed Vases Culture (pp. 58-9). Venetic looks close to Latin (cf. Devoto's 1974: 51 Proto-Latin-Venetic) simply because it was in contact with the same non-IE languages as it (pg. 55). Nevertheless, Piazza et al. (1988: 210-11) still seemed to prefer a multi-Italic trickling of tribes into Italy as cremators and later inhumers.

Though Rix (1983: 95, 104) subtly yet negatively assessed the ability to reconstruct a Proto-Italic that was significantly different from Proto-Indo-European, he would change his mind, emphasizing e.g. that the existence of an Italic cultural *koiné* could explain *how* linguistic borrowings occurred, but it is not proof *that* they occurred; each potential case of borrowing must have its own valid, linguistic proof (Rix 1994). Szemerényi (1991:

französisches—darin zusammen geflossen ist..." For someone who is meant to be arguing that Latin and Sabellic were always two separate branches that influenced each other, one would think he might cherish the case of French influence on English as an apt parallel. Instead he uses it to reject the Neogrammarian model. No amount of French influence on English can erase its historically documented development from clearly Germanic Old English to heavily Romance-influenced Present Day English.

<sup>&</sup>lt;sup>573</sup> He further proposed that iron technology was introduced to Italy both from the North, with these cremating Indo-European speakers, as well as from the South, with non-Indo-European Mediterranean peoples who practiced inhumation like the already-present inhabitants of Italy (pp. 121-3).

<sup>&</sup>lt;sup>574</sup> He also suggested (pp. 59-60) that Indo-Europeanization may actually have spread from the culturally advanced centers in the South to the more backwater North, but "we have no reliable evidence, and speculation is therefore pointless."

682-5), based on an analysis of the treatment of the inherited voiced aspirates, had proposed that all the Italic languages had spent time in the region of the Danube, in contact with Greek. He then had a Sicel-Ausonian (cf. §1.2.1.2.3.1) group travel down the West of Italy to reach Sicily, with Proto-Latin moving in behind it. Sabellic would have been displaced and sent into Italy by the Illyrian migrations. Rix (1994: 24-5), though he admitted it was based on little more than linguistic possibility, proposed a similar idea (cf. also the much earlier position of Muller 1926). He saw Proto-Italic (freshly defended by him) as having been spoken in the Sava-Drava watersheds. Venetic left first, then Latin, and finally Sabellic.

Despite some assessments of the Proto-Italic school as outdated, despite e.g. Silvestri (1998) still rejecting Proto-Italic (using "Italic" to refer exclusively to Sabellic) and Clackson and Horrocks (2007: 65-74) considering the evidence cautiously "vague", the model of a reconstructible Proto-Italic is still held by the majority of scholars today (cf. Poccetti 2017, definitively Weiss 2022b: 116-22). The question is not if Latin and Sabellic share innovations, but rather if they share *enough* to warrant classification as a subgroup (cf. similarly for Italo-Celtic, §4.3.2.3.2). The debate is thus sustained by the fact that an answer to such a question is necessarily subjective. I side with those who consider the body of evidence to be large enough (the gerundive, the treatment of the voiced aspirates, the imperfect subjunctive in \*- $s\bar{e}$ -, the imperfect indicative in \*- $f\bar{a}$ -, <sup>575</sup> etc.). Furthermore, the archaeological details are even better understood today. Thus many of the archaeological scenarios proposed in the literature are impossible, and others can be reevaluated from the better-informed perspective of today's scholarship (see §8.2).

<sup>&</sup>lt;sup>575</sup> Following the Proto-Italic notation I have been using in the linguistic section.

# 8.1 Summary

On the basis of positive evidence—irregular phonological alternations and to a certain extent non-IE morphophonology—I have compiled a list of Latin lexemes that are likely not inherited but have instead originated from or have been mediated by non-IE languages with which Italic (and its changing neighbors) came into contact on its migrations from the steppe to the Italian peninsula.

The phonological alternations on their own, while indicators of non-native origin, are poorly diagnostic of stratigraphy. They become more informative when considered alongside distributional details. In cases of alternation between what can be artificially reconstructed to voicedness and/or aspiration of plosives, Latin tends to attest to the plain, unvoiced variant. Some of the substrate languages with which Italic was in contact left traces of palatalization and labialization in the IE branches that borrowed from them. Other alternations include those between labial plosives and labial nasals as well as between labial plosives and labial approximants. A case can be made for an intrusive nasal before labial plosives being particular to Latin reflexes of some loanwords. Another class of alternation involves the vacillating presence of a sibilant at the beginning of words, word-internally, and especially in interaction with \*k. Other alternations include those between l and r, a dental and a velar, and several vocalic alternations. Gemination sometimes appears in alternation between Classical Latin and Romance descendants, suggesting that it represents a recent stratum. Morphological features of substrate origin include the phenomenon of a-prefixation and concomitant root vocalic reduction and an n-suffix; both features are potentially attested across Europe and into the Mediterranean. In a small group of Latin words ending in -ix/-ex, the suffix can be shown to be of non-native origin. In other cases it is from different sources. some of which may be inherited. That any of the substrate languages with which Latin was in contact had reduplication as a feature is difficult to confirm.

Distributionally, the non-inherited lexicon of Latin attests to a large group of loanwords acquired in the Mediterranean region as well as a smaller group that must represent earlier contact phenomena. The latter are much more difficult to stratify. There is possible evidence of a velar ~ dental alternation in a contact situation in which Greek did not participate. Considerations on a stage of Italo-Celtic unity lead to different stratigraphic interpretations. Otherwise, comparanda for Latin lexemes of non-IE origin are found (in limited numbers) in languages as far away as the Caucasus, Uralic, Sumerian, and Indo-Iranian. The Uralic case (comparandum to  $av\bar{e}na$  'oats') may attest to a Wanderwort or an Eastern substrate language. Certain Indo-Iranian comparanda likely attest to Wanderwörter, although the Iranian comparanda of Lat. *ervum* 'bitter vetch' are relevant to the discussion surrounding the descent of individuals of the Sintashta Culture from Corded Ware (cf. Allentoft et al. 2015). As to Caucasian and

Sumerian, both the latter (Schrijver 2017: 362) and the former (Schrijver fthc.) have been suggested as possible points of origin for the *a*-prefix phenomenon.

In any case, I have found very few certain cases of Caucasian comparanda for Latin words of non-IE origin (*ferrum* 'iron', *fungus* 'mushroom, sponge', and *plumbum* 'lead' are probably in Kartvelian and NE Caucasian; *sabulum* 'sand' perhaps in NW Caucasian). The metallurgical words are Wanderwörter, though *fungus* may be the result of a very old, widespread word. Similarly, Basque plays a very small role in the data. Both aspects are surprising, given some proposals of a Euskaro-Caucasian substrate in Europe (cf. early on Trombetti 1927: 220, recently Bengtson & Leschber 2021; also Bossong 2017: 859 on Vasconic) for which I find no evidence as concerns what Latin preserves.

Of the non-inherited lexemes in Latin presented here, 40% refer to plants and 20% to animals. All 3 words for vessels, all 3 culinary terms, and 3 of 4 textile terms are shared with Greek and/or attest to a Mediterranean distribution. This is undoubtedly the result of large cultural changes that occurred upon and after settling in the Mediterranean region. On the other hand, the 6 Italo-Celto-Germanic isoglosses lack domesticated species and include *corbis* 'basket' and *hasta* 'spear', hinting at the much earlier cultural contexts in which they were borrowed. Several (11) non-native words for domesticated plants seem to suggest that at least a portion of the borrowed vocabulary originated in an agricultural substrate. The possibility of a single agricultural substrate language family distributed throughout Europe, in both Mediterranean and non-Mediterranean regions, provides a layer of difficulty in determining where and when agricultural terminology was acquired and therefore where the ancestors of the Italic languages were at various periods of prehistory.

Ancient DNA analyses provide strong support for the steppe hypothesis of Indo-European origins. Steppe ancestry first appears in Northern Italy ca. 2000 BCE, increasing gradually and spreading southwards. Large amounts of admixture with non-steppe-derived populations occurred, resulting in a genetic cline from North to South. The spread of Indo-European languages into Southern Italy seems to have involved much less population replacement than in the North. Archaeologically, there are several possibilities of identifying the arrival of the Italic language branch in the Italian peninsula. With the following combination of the most recent archaeological, genetic, and linguistic data, we can make the most accurate assessment so far.

# 8.2 Discussion: Triangulating Italic Prehistory

Given that some of the earliest human remains with steppe ancestry were found in Bell Beaker contexts dating to ca. 2000 BCE in Northern Italy (Saupe et al. 2021), the appearance of the Bell Beaker material in Italy marks the earliest point at which Italic languages could have entered Italy. The technology of halberds and their ritual elite use spread to El Argar in Spain ca. 2100-2075 BCE, in some cases in Bell Beaker contexts,

from where they seem to have directly influenced the development of halberd technology in western Central Italy by ca. 2050 BCE (Schuhmacher 2002: 282-4). Given that steppe ancestry appeared on the Iberian peninsula by 2500 BCE and had begun to spread by 2000 BCE (Olalde et al. 2019), the clear communication of these areas in association with their attestation of Bell Beaker materials could be further evidence of the Indo-Europeanization of Italy through the Bell Beaker Culture. However the influence seems to have come from Southeast Spain (Schuhmacher 2002: 282), and it is in the South that steppe ancestry had less of an impact (Olalde et al. 2019). Furthermore, the influence is on the Italian Rinaldone Culture which, despite a very small sample size having been sequenced, has not yet provided evidence of individuals with steppe ancestry (Antonio et al. 2019); in fact steppe ancestry does not seem to appear in Central Italy until ca. 1600 BCE (Saupe et al. 2021). Thus it seems clear that not all Bell Beaker material in Italy marks the arrival of individuals with steppe ancestry. While the Bell Beaker grave that does attest to an individual with steppe ancestry in Northern Italy is found close to a Remedello Culture cemetery (a Copper Age culture roughly contemporary with Rinaldone), it seems possible that any migrations of individuals associated with the diffusion of Bell Beaker Culture may have been too small to have introduced major language shift. Harrison and Heyd (2007: 206-7) note that only a few individuals are needed to "proselytize" the solar cult and specific outwards displays that the Bell Beaker package represented.

A more likely scenario for the Italicization of Italy hearkens in part back to Pigorini. Firstly, the analysis of the linguistic data has shown that loanwords with a Mediterranean distribution (plausibly *ālium*, *faber*, *fīcus*, *hirundō*, and *laurus*, cf. §4.3.2.1) entered still-intact Proto-Italic. This means, in other words, that Proto-Italic did not split up until after it entered the Mediterranean zone. On this alone, we cannot rule out a situation whereby, for instance, Proto-Italic was spoken across the Adriatic, still in Mediterranean territory, and multiple waves of migration brought the separate Italic daughter languages to the peninsula. But we also have the evidence of the North-to-South genetic cline as well as the position of the Italic languages themselves. Besides Latin and Sabellic, Venetic, which was spoken mainly in what is now Veneto and Fruili, is most likely to be classified as an Italic language (Weiss 2022b, or at least intermediate to Italic and Celtic, cf. Schrijver 2016). Ancient personal names attested in the area around the Slovenian town of Ig show some similarities with those of Venetic (cautiously, Stifter 2012: 255, 260). All of this points to a(n interim) Proto-Italic homeland in Northeast Italy, placing it within the realm of the Terramare Culture.

The Terramare civilization collapsed around 1150 BCE, perhaps over the course of a few decades, after which site numbers fell to less than a quarter North of the Po River and most settlements were abandoned completely South of it (Moloy, Bruyère, & Jovanović 2023: 148), leading to a diaspora of its inhabitants (Cardarelli 2009, Iacono et al. 2021: 384). The widespread presence of Terramare style pottery in northern Tuscany, Romagna, Umbria, and the Marches (as opposed to its infrequency in southern Etruria

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and Latium) and Terramare-style elements in the pottery of some Campanian settlements suggests that some of these areas received significant numbers of Terramare groups (Cardarelli 2009: 507). Additionally, cremation and subsequent interment in Urnfields had begun to be widely adopted at Terramare sites by ca. 1450 BCE (Iacono et al. 2021: 385-6; Moloy, Bruyère, & Jovanović 2023: 149). The diffusion of this rite throughout the Italian peninsula coincides with the diaspora (Cavazzuti et al. 2022: 74), ushering in the era of the Urnfield horizon in Italy. Cremation was not adopted with equal acceptance everywhere (Iacono et al. 2021: 386, Cavazzuti et al. 2022), implying an important ideological component to its spread (cf. Cavazzuti et al. 2021). Note that, instead of a subsequent invasion of 'inhuming Italici' (cf. §7.2), the inhuming areas were instead the inhabitants of the peninsula that did not adopt the new burial ritual.<sup>576</sup> In any case, the collapse of the Terramare settlement region seems to provide evidence of a sizable population movement that could certainly have had a linguistic impact on the Italian peninsula. But the story of course does not begin with Terramare, and events before its collapse provide further support of its role as a potential bearer of the Italic languages.

The first Urnfields actually appeared ca. 2000 BCE amongst the Tell cultures in Hungary. It is from the Pannonian/Hungarian Plain that they would spread into the Po Valley (Trump 1966: 137, Cavazzuti et al. 2022). Contact between these two regions from ca. 1600 BCE onwards was extensive. Both areas' metalwork evolved in tandem and there are similarities in their development timelines across all levels of society and, as mentioned, all aspects of their mortuary practice (Moloy, Bruyère, & Jovanović 2023: 150-60). It is to such an extent that Moloy, Bruyère, and Jovanović (2023: 158) suggest that "people moving between these regions was predictable and normal in this linked-up social world and it included people settling in communities that were distant from those into which they were born." Around 1550 BCE, Terramare sites began to see a substantial population increase, difficult to explain in terms of natural population growth, leading Cardarelli (2009: 450) to use the term "colonization" of the Po Plain. While it is likely that an amount of this increase was due to inward migration from surrounding regions (Cardarelli 2018: 362), it is precisely around this time (ca. 1600-1450 BCE) that the Koszider Period on the Pannonian Plain saw a drastic change in settlement patterns concomitant with contact with the Tumulus Culture from the West. While it was formerly thought that the flourishing Tell civilization in Hungary collapsed under an onslaught of pastoralist warriors, the absence of drastic depopulation suggests more modern interpretations involving demographic decline and the simplification of social structures (Fischl et al. 2013: 355, 360-4), perhaps the toppling of elite systems upon the

<sup>&</sup>lt;sup>576</sup> What had been seen as evidence of the trans-Adriatic movement of Sabellic is the Cetina phenomenon, in which ca. 2500 BCE Cetina pottery from Dalmatia appears in Italy in the Northern and Western Adriatic, and crossing the Apennines into Campania (at three sites). This seems to have been brought to Italy by the movement of small groups with an interest in occupying inland areas (Recchia 2020). I consider the possibility of these migrants having been speakers of an Italic language highly unlikely in light of the argumentation here for Proto-Italic from the North.

establishment of new trade connections (Moloy, Bruyère, & Jovanović 2023: 160). It seems likely that some amount of the population, perhaps those disenfranchised by the societal changes (Moloy, Bruyère, & Jovanović 2023: 153) migrated along the previously established corridors into "homophilous" communities, especially the Po Valley (Kristiansen 2018: 118). It is almost certain the that the ensuing period of similarity between the Hungarian Plain, especially the Tisza Site Group of the South and the Po Plain, especially the Terramare, between 1500 and 1200 BCE (Moloy, Bruyère, & Jovanović 2023: 157) established an amount of homophily that allowed Urnfield burial customs to spread so rapidly into Italy (Cavazzuti 2022: 74). Moloy, Bruyère, and Jovanović (2023: 161-2) further suggest, given that the megasites of the Tisza Site Group entered a period of crisis and depopulation ca. 1200 BCE, that a migration of inhabitants southwards could have stressed the already ecologically strained Terramare society (cf. Cardarelli 2009: 459, 468), precipitating its ca. 1150 BCE collapse.

The concept of homophily also seems applicable to the Terramare diaspora southwards into central Italian regions. Beside the cultural technology of the halberd, the production, use, and circulation of copper and bronze daggers became an important symbolic phenomenon (van Rossenberg 2013). Of the solid-hilted dagger (*Vollgriffdolch*) there were several regional types. The Italian peninsula was home to two significant categories. One, the "Baltisch-Padanisch" type, is interesting because it is found from the Po Valley over the Bohemian Basin (including in the Únětice Culture) up to the Baltic. But even more interesting are the "Italian types" from Central and Southern Italy (beginning ca. 2000 BCE), because these may in fact be the oldest in Europe, with their technology spreading North in part via their influence on the Baltisch-Padanisch type (Schwenzer 2004: 240-3).

Amongst these Italian types, van Rossenberg (2013) focuses on unique super-sized full-hilted daggers, the earliest of which are from central East Italy on the Adriatic. Amongst their circulation, he located two separate cross-Apennine trading networks with a cultural boundary between them: one to the South amongst the southern Proto-Apennine facies and one to the North amongst the Grotta Nuova facies (both precursors of the Apennine Culture, cf. §7.1.4). The sites on the northern margins of the Grotta Nuova group were integrated into the Terramare. From the other side of things, Cardarelli (2009: 487) notes that, beginning around 1350 BCE, during the formation of the Sub-Apennine facies in northern Central Italy, "a more than marginal role was played by the adoption and reworking of styles and tastes deriving from the Terramare." Thus, communication between the Terramare Culture and the parts of Italy into which its inhabitants would migrate was relatively long-standing. Perhaps the Italicization of those regions had begun even before the collapse of the Terramare.

Up to now, it seems that a case can be made that the Terramare Culture represents at least in part a Proto-Italic speaking population, and that the Proto-Italic element reached Northern Italy via intensive contact with and possible migrations from the area of the Hungarian Plain. A further consideration is the fact that the Urnfield burial rite spread

from Hungary not only to the Po Valley but from ca. the 14<sup>th</sup> c. BCE onwards also westward (e.g. Cavazzuti et al. 2022: 74), becoming the Hallstatt Culture in areas that would come to be Celtic-speaking. It seems quite possible then that the homeland of Proto-Italo-Celtic could have been in this region. How can this area be connected to the steppe however? Part of the Yamnaya expansion saw it reach up to the Carpathian Basin (cf. Harrison & Heyd 2007: 194). Anthony (2007: 305) suggests that this could have "incubated the ancestral dialects for several western Indo-European language branches, including Pre-Italic and Pre-Celtic."

Unless Proto-Germanic was also incubated in that wave of Yamnaya, then the wave of steppe migrants into the Carpathian Basin could not have been speakers of Proto-Italo-Celtic. As the linguistic data in this work shows, the non-native vocabulary of Latin requires it to have been in contact with a substrate language shared with Germanic. Interestingly, this has also been proposed from the perspective of inherited linguistic data (Polomé 1972, 1974, 1981; Bossong 2017: 859). Ideally, such an area would also exclude Greek (cf. already Devoto 1936: 535). Steppe ancestry entered North/Central Europe as the Corded Ware Culture (cf. Haak et al. 2015) beginning around 2900 BCE (Papac et al. 2021). On the other hand, it reached Northern Greece between 2600 and 2000 BCE (Clemente et al. 2021) appearing in reduced proportion in Mycenaean individuals (cf. also Lazaridis et al. 2022). It thus seems likely that the ancestors of Greek- and Armenian-speakers were not a part of the Corded Ware horizon. The ancestors of Italic-speakers may well have been.

The subsistence strategies of the Corded Ware Culture were diverse, differing between sites and sexes and correlating to mobility. A dietary shift from earlier Neolithic periods is visible in the isotopic data; while it can have several causes, one likely reason is an increased reliance on milk products, suggesting an increasing importance of pastoralism. At the same time, it is clear from especially non-local women that some groups were practicing intensive agriculture (Sjögren, Price & Kristiansen 2016). This has a good parallel in the genetic data, where it is clear that during the Corded Ware period, intrusive males with steppe ancestry were marrying non-local females without steppe ancestry, leading to a period of assimilation whereby Neolithic ancestry components increased into the Bell Beaker period (Papac et al. 2021). It seems plausible that, given such a mosaic of economies in this time and place, the non-domesticated vocabulary shared by Italic, Celtic, and Germanic not present in Greek (and words attesting to the dental ~ velar alternation lacking attestation in Greek) may have entered at this period alongside vocabulary from the more widespread agricultural substrate with which Greek did have contact. Being one of the oldest strata, it makes sense that so few lexemes can be traced back to it with certainty.

<sup>577</sup> Papac et al. 2021 note that the Y-chromosomal haplogroups of Yamnaya, Corded Ware, and Bell Beaker are different, suggesting that the Yamnaya were not the direct source of the steppe ancestry in Corded Ware or Bell Beaker. Larzaridis et al. 2022 do not agree. In any case, improved resolution of sequences from Italy might allow similar conclusions to be made.

If Italic speakers were indeed descended from Corded Ware individuals, we would need a way to link Corded Ware (ca. 3000-2350 BCE) to the second millennium Hungarian Plain, Gamkrelidze and Ivanov (1995 I: 845, cf. also Gimbutas 1963; 828) for instance suspected that the Únětice Culture (2300-1600 BCE) might represent a time and place in which at least Italic, Celtic, and Germanic would have (still) been in contact.<sup>578</sup> Furthermore, Únětice developed out of a region in the Bell Beaker horizon upon the addition of population influx from a more Northeastern source, while there is some evidence that the preceding Bell Beaker horizon may have developed locally with some genetic continuity from earlier Corded Ware (Papac et al. 2021). The Tumulus Culture (1600-1300 BCE) subsequently developed in Únětice areas. It spread into the Hungarian Plain after (perhaps helping to precipitate) the collapse of the Tell cultures, remaining during the period of extensive contact between the Hungarian and Po Plains (e.g. Fischl et al. 2013; Moloy, Bruyère, & Jovanović 2023). It was also present in some areas in Italy North of the Po as indicated by e.g. prestige goods in inhumation graves at Olmo di Nogara (Iacono et al. 2021: 385-6). Like for the spread of the Urnfield horizon into Italy (e.g. Cavazzuti 2022 et al.), an aspect of homophily may have been involved in the spread of the Urnfield horizon into areas previously home to the Tumulus Culture. A small amount of cremation had begun to be practiced in the late phases of the Tumulus Culture, gradually growing to put inhumation burials into the minority; and this already before the Urnfield style of cremation ritual rapidly spread westwards, superseding the previous style of cremation (Falkenstein 2012).

Additional support for such a scenario comes in the form of the word for iron. Lat. ferrum is plausibly a Wanderwort with its source in Luw. \*parza-. Compelling comparanda include PGm. \*brasa- 'brass', Svan berež 'iron', and Ingush/Chechen borza 'bronze' (cf. Thorsø et al. 2023: 111-12). Especially the Germanic form suggests that the f of ferrum could be the result of a borrowing into the chain of developments from PIE \*bh, i.e. into Proto-Italic. But despite the lexeme's non-Mediterranean distribution, it is unlikely to have entered Proto-Italic outside of the Mediterranean zone. The earliest certain evidence for iron smelting dates to ca. 1800 BCE in Central Anatolia (Thorsø et al. 2023: 120 with lit.), and iron objects begin to appear in mainland Italy during the Late and Final Bronze Age, including Proto-Villanova contexts (Giardino 2005). But the technology did not reach Western Europe until the Late Hallstatt and La Tène periods in the early first millennium BCE (Thorsø et al. 2023: 120 with lit.). Thus, in order for Italic to have acquired its word for iron from contact with the same substrate as Germanic, 579 it probably could not have done so before the first century BCE. And there is no plausible archaeological proxy for the arrival of Proto-Italic speakers in Italy at

<sup>578</sup> Additionally, the Únětice and Nordic Bronze Age cultures were also in extensive contact with the Carpathian Basin (Kristiansen and Larsson 2005: 128-86, Vandkilde 2014).

<sup>&</sup>lt;sup>579</sup> This also assumes that PGm. \*brasa- 'brass' is not the original meaning of the source lexeme from which Italic and Germanic could have borrowed. But this is a justifiable assumption if Luwian is the closest to the origin of the word. It is uneconomical to assume that Latin later, independently, changed the meaning "back" to iron.

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such a late date. Instead, *ferrum* must have reached the Italian peninsula independently of Germanic, as a Wanderwort. The possibility remains that it was borrowed into the chain of Proto-Italic developments from PIE  $*b^h$ , and it can thus be placed alongside e.g. *fīcus* as one of the earliest loanwords taken up on the Italian peninsula. This would further point to the presence of Italic in Italy at the boundary of the Bronze and Iron Ages.

Thus an argument can be made for the origin of Proto-Italic ultimately amongst the inhabitants of the Corded Ware Culture, through the Únětice and Tumulus Cultures, into the Hungarian Plain and its extensive population contact with, most proximally, the Terramare Culture. The actual spread of the Proto-Italic and Proto-Celtic languages seems like it can be associated with the dispersion of the Urnfield Horizon (due at least in part to human migrations, cf. Kristiansen 1998: 385-6). Given the possibility of the transmission of new regional developments back into homophilous adjacent areas, it is not easy to rule out that perhaps an earlier stage, like the Tumulus Culture itself, could have been home to Proto-Italo-Celtic. Perhaps this is more likely, given that the expansion of the Urnfield horizon was not limited to Italic and Celtic areas (continuing into Northern Europe and the Balkans). As the resolution of ancient genome analysis increases, an alternative scenario may present itself: The Tell Cultures of the Hungarian Plain seem to have developed from the contact of a Balkan and a Central/Northwestern European Bell Beaker network ca. 2500/2400-2000/1900 BCE (Fischl et al. 256). If future analyses exclude the descent of Proto-Italic speakers from individuals of the Corded Ware Culture, then perhaps the Hungarian Plain served as more ultimate rather than proximal intermediate homeland of Proto-Italic.

The Mediterranean stratum visible in the Latin words of non-inherited origin is almost certainly composed of material that was present on the peninsula before the arrival of (Proto-)Italic speakers. Apulia, the Eastern Adriatic, and Sicily had been pulled into the periphery of the Mesopotamian Uruk Civilization between ca. 2600-2200 BCE, which at that point already included Anatolia, the Levant, and the Aegean (Harrison & Heyd 2007: 193), probably spurring e.g. the development of the symbology and technology of the full-hilted dagger (Schwenzer 2004: 245). But there were plenty of interactions with Mediterranean societies after the arrival of Italic yet still before the dawn of the historic era. Mycenaeans would eventually play an important role in transmitting influences between East and West (Kristiansen 1998: 360). The Adriatic coast of Italy was importing Greek pottery by the Middle Bronze Age and imitating it locally by the Late Bronze Age (Iacono et al. 2021: 386-7). (Recall how in two cases of Mediterranean words, cupressus and hedera, it seems that the lexemes originated in Pre-Geek and were transmitted to Latin.) Later, contact with Phoenicians and Greeks starting around the 9th c. BCE seems to have had a crucial influence on the economic and political development of central and northern Italy (Kristiansen 1998: 136). In the middle of the first millennium BCE, emporia were established to assist the import of products from across the Mediterranean, from Greece to Egypt. Cultural hybridizations began to occur, visible in e.g. the Greek and local kitchenware of the emporium of Spina (Zamboni 2021: 394). This relatively late period still seems early enough for Mediterranean terminology to have been available to enter the Italic languages before the widespread occurrence of written texts.

The role of trade networks on the transmission of vocabulary is an important consideration in general. The sort of intensive and shifting trade relationships of the Bronze Age means in theory that words can have been exchanged over long distances without the borrowing languages having ever been spoken near each other. Both Únětice and Nordic Bronze Age Cultures were already in extensive contact with the Carpathian Basin, for example (Kristiansen and Larsson 2005: 128-86, Vandkilde 2014). While trade networks between Northern Europe and the Mediterranean may have led to the exchange of some words for cultural items (corbis, hasta), it is difficult to imagine that this could be the explanation for e.g. wild animals (fulica, merula).

In §1.2.2.3, I noted the difficulty of confirming the Etruscan origin of Latin lexemes. This is an unfortunate result of the paucity of well understood Etruscan lexical items. Roman sources attest to the political importance of Etruscan, and Etruscan prestige goods were traded deep into Central Europe (cf. Kristiansen 1998: 322 3). Etruscan even seems to have contributed personal names and morphological elements to (at least Cisalpine) Celtic (cf. McCone 2005: 396). Thus the lack of ample Etruscan influence on Latin is conspicuous. A new perspective on research of this sort, including other poorly understood Kleinkorpussprachen of Italy like Rhaetic and North Picene and perhaps with wider implications for languages of the Mediterranean (like Linear A), could include a search for the substrate features attested in the Latin and Greek lexicons (cf. the discussion under §3.3.4 on the potential relationship of the Etruscan and European substrate *n*-suffixes).

I have argued that the Italicization of Italy must have occurred from a single Proto-Italic kernel in Northeast Italy. But archaeological and especially genetic studies have made it very likely that the Indo-Europeanization of Italy may have occurred in several waves. Could there have been other IE languages spoken in Italy that disappeared? There is no reason why not (cf. Stifter's proposal of a "Bell Beakerish" on the British Isles before Celtic). But so far, it seems they have disappeared without a trace, at least given the current study's methodology. Forthcoming genetic studies on Mediterranean populations will surely help further contextualize the linguistic results of this dissertation (and vice versa). Thus we are growing nearer and nearer to an answer to the question: Latin, unde vēnistī?

*Unde vēnistī?* The Prehistory of Italic through its Loanword Lexicon

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**Note on the journal KZ:** This is the journal titled from 1852-1874 Zeitschrift für vergleichende Sprachforschung auf dem Gebiete des Deutschen, Griechischen und Lateinischen, from 1877-1967 as Zeitschrift für vergleichende Sprachforschung auf dem Gebiete der Indogermanischen Sprachen, from 1968-1987 as Zeitschrift für vergleichende Sprachforschung, and from 1988 until now as Historische Sprachforschung.

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## **Nederlandse Samenvatting**

Het Latijn behoort tot de Italische taalgroep, onderdeel de Indo-Europese taalfamilie. Alle Indo-Europese talen kunnen worden herleid tot een oertaal, het Proto-Indo-Europees. Deze oertaal werd vermoedelijk gesproken op de Pontisch-Kaspische steppe door een bevolking die zich rond 3000 v.Chr. hiervandaan begon te verspreiden. De voorouders van de sprekers van het Latijn verlieten de steppe dus aan het begin van het derde millennium v.Chr. en verspreidden zich door Europa, waarbij ze uiteindelijk het Apennijns schiereiland bereikten, lang voor de vroegste overlevering van het Latijn rond 700 v.Chr. In de lange periode tussen het verlaten van de steppe en de vroegste geschreven bronnen kwamen ze in contact met de bevolkingen die al in Europa woonden en talen spraken die sindsdien zijn verdwenen. Dit proefschrift onderzoekt het deel van de Latijnse woordenschat dat is ontleend aan zulke verdwenen talen en gebruikt de bevindingen om te bepalen hoe en wanneer de Italische talen Italië hebben bereikt.

Met behulp van de vergelijkende methode weten we hoe Indo-Europese talen onderling verwant zijn met regelmatige klankveranderingen, en daardoor zijn onregelmatige klankcorrespondenties en tot op zekere hoogte niet-Indo-Europese morfofonolgie de betrouwbaarste indicatoren van ontlening. Ik heb deze methodologie toegepast om een lijst samen te stellen van Latijnse lexemen die waarschijnlijk niet zijn overgeërfd, maar hun oorsprong vinden in, of ontleend zijn aan de verdwenen talen waarmee het Latijn en verwante Indo-Europese buurtalen in aanraking kwamen na het uiteenvallen van het Proto-Indo-Europees.

In de onregelmatige woordenschat van het Latijn komen verschillende soorten alternanties voor in de fonologie, fonotaxis, en morfologie. Een analyse van de geografische distributie van deze alternanties levert nieuwe inzichten op. De niet-overgeërfde woordenschat van het Latijn bevat 1) een grote groep woorden die zijn ontleend in het Middellandse Zeegebied, bijna geheel gedeeld met het Grieks, en daarnaast 2) een kleinere groep leenwoorden, deels zonder Grieks vergelijkingsmateriaal, die uit een eerdere contactsituatie is voortgekomen. Sommige leenwoorden hebben wijdverspreide parallellen en lijken terug te gaan op onderling verwante niet-Indo-Europese talen, mogelijk behorend tot een taalgroep die zich door Europa heeft verspreid met de komst van de landbouw. Andere woorden zijn zwerfwoorden van onduidelijke oorsprong.

De twee grootste semantische groepen zijn plantennamen (ongeveer veertig procent van de leenwoordenschat) en dierennamen (ongeveer twintig procent). Alle woorden voor vaatwerk en kookgerei hebben parallellen in het Grieks of vertonen een mediterrane distributie, net als de meeste woorden voor geweven stoffen. Dit weerspiegelt ongetwijfeld de grote culturele veranderingen die plaatsvonden tijdens en na de vestiging van de sprekers van de voorouder van het Italisch in het Middellandse Zeegebied. Tot

een groep woorden gedeeld met alleen het Keltisch en het Germaans behoren geen gedomesticeerde soorten, maar wel namen voor gebruiksvoorwerpen en wapens. Dit zou erop kunnen wijzen dat ze in een vroege culturele context zijn ontleend. Het feit dat meerdere Latijnse lexemen van niet-Indo-Europese oorsprong daarentegen naar gedomesticeerde planten verwijzen, duidt erop dat een deel van de geleende woordenschat zijn oorsprong had in een landbouwsubstraattaal. Echter, de mogelijkheid dat de taalfamilie waartoe deze taal behoorde in heel Europa aanwezig was, zowel in het Middellandse Zeegebied als daarbuiten, maakt het lastig om te bepalen waar en wanneer landbouwtermen zijn ontleend en zodoende ook waar de voorouder van de Italische talen zich op verschillende momenten in de prehistorie bevond.

Onderzoek naar prehistorisch DNA ondersteunt de steppehypothese over de oorsprong van de Indo-Europese talen. Steppe-DNA verschijnt rond 2000 v.Chr. in Noord-Italië, waarna het zich verspreidde in zuidelijke richting. Er vond een grote vermenging plaats met populaties die niet van de steppe kwamen, waardoor er een genetische gradiënt van noord naar zuid ontstond. Er zijn verschillende manieren om de aanwezigheid van de Italische taalgroep op het Apennijnse schiereiland af te leiden: 1) aan de hand van de historische verspreidingsgebieden van de verschillende Italische talen het Latijn, het Sabellisch en het Venetisch; 2) aan de hand van de verspreiding van steppe-DNA; en 3) aan de hand van de woordenschat die door het Proto-Italisch in het Middellandse Zeegebied is ontleend. Op basis hiervan valt af te leiden dat het Proto-Italisch waarschijnlijk vanuit het noordoosten het Apennijns schiereiland is binnengekomen. Een plausibel scenario is dat de voorloper van het Italisch met de touwbekercultuur geassocieerd was, welke vervolgens (door vermenging met de klokbekercultuur) overging in de Úněticecultuur en daarna in de grafheuvelcultuur, die zich verplaatste naar de Pannonische Vlakte. Deze regio stond vervolgens meerdere eeuwen in contact met de Terramarecultuur, waarvan tenminste een deel van de bevolking mogelijk al Italische talen sprak. De verdere verspreiding naar het zuiden kan wellicht verbonden worden met de verspreiding van de urnenveldencultuur in de vorm van de Proto-Villanovacultuur.

## Curriculum Vitae

Andrew Michael Wigman was born on 7 June 1991 in Voorhees, New Jersey, the United States of America. He finished his secondary education at Downingtown High School East Campus in 2009. Andrew subsequently attended the Pennsylvania State University, entering the Schrever Honors College, and in 2014 received a BSc in Archaeological Science, a BSc in World Languages Education, and a BA in Classics and Ancient Mediterranean Studies, with highest distinction. The programs included a study trip to Rome, an archaeological dig at Tel es-Safi in Israel, and a semester abroad in Vienna. He then moved to Munich on a DAAD Graduate Study Scholarship, earning his MA in Comparative Indo-European Linguistics in 2017 from the Ludwig Maximilian University. While a Master's student, Andrew spent a semester as a teaching assistant for introductory level Sanskrit as well as a year as a student assistant at the Informatics Department of the Technical University of Munich. After graduation, Andrew worked for a year at the daycare and after-school programming of the Jules Verne Campus in Munich before moving to Leiden in 2018 to start as a PhD candidate at the Leiden University Centre for Linguistics (LUCL). Under the supervision of Guus Kroonen and Kristian Kristiansen, he completed the research for the present dissertation on the European Research Council (ERC)-funded project "The Linguistic Roots of Europe's Agricultural Transition". At Leiden, Andrew was a member of the LUCL PhD council and served briefly as its chairperson. He spent a semester at Cornell University just as the world entered the Covid-19 lockdown.

Latin is one of the most important Indo-European languages in European history. Between the dissolution of Proto-Indo-European on the Pontic-Caspian steppe and the first attestation of written Latin on the Italian Peninsula, the ancestors of Latin-speakers had more than two millennia to migrate across Europe. The Europe that they entered was not empty however. It had been populated by farmers for three thousand years, and by hunter-gatherers for nearly ten thousand years before that. This dissertation investigates the lexemes in Latin that may have been borrowed from the languages that these populations spoke and combines the insights gained with lines of evidence from genetics and archaeology to hypothesize on the route that brought the ancestors of Latin-speakers into Italy.

A large portion of the prehistoric loanwords in Latin attests to its presence in the Mediterranean. But older strata from its ancestors' travels north of the Alps are also preserved, albeit in smaller quantities. They attest to contact with a language or group of languages with which the Germanic and Celtic language subgroups also had contact, suggesting they were taken up during the time of the early steppe migrations to the Northwest. Other loanwords that are present beside Latin in several other languages of Europe point to their having been borrowed from a widespread language family, perhaps that of the European farmers. Several lines of evidence point to the spread of the Italic language family into the Italian Peninsula from the Northeast, around the end of the transition of the Bronze Age into the Iron Age.

