

Advancing the evaluation of graduate education: towards a multidimensional model in Brazil

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Citation

Brasil Varandas Pinto, A. L. (2023, October 24). Advancing the evaluation of graduate education: towards a multidimensional model in Brazil. Retrieved from https://hdl.handle.net/1887/3645840

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Towards a multidimensional model in Brazil

Advancing the evaluation of graduate education: Towards a multidimensional model in Brazil André Brasil



Advancing the evaluation of graduate education: Towards a multidimensional model in Brazil

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Keywords: National Evaluation Systems; Research Evaluation; Graduate Education; Science Policy; Scientometrics; Scholarly Communication; Open Science

Cover design and layout: André Brasil Cover photo by: iStock.com/hept27 Printed by: ProefschriftMaken ISBN: 978-94-6469-599-1

This work was carried out at the Centre for Science and Technology Studies (CWTS), Faculty of Social and Behavioural Sciences at Leiden University, The Netherlands. The research was supported by funding from the Brazilian Agency for Support and Evaluation of Graduate Education (CAPES Grant No. 88887.300590/2018-00) and the Leiden University Fund (LUF #4509).

Advancing the evaluation of graduate education:

Towards a multidimensional model in Brazil

PROEFSCHRIFT

ter verkrijging van
de graad van doctor aan de Universiteit Leiden,
op gezag van rector magnificus prof.dr.ir. H. Bijl,
volgens besluit van het College voor Promoties
te verdedigen op dinsdag 24 oktober 2023
klokke 10.00 uur

door

André Luiz Brasil Varandas Pinto

geboren te Belo Horizonte, Brazilië in 1976

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Prof.dr. R.E. Verhine (Federal University of Bahia, Brazil)

Dr. R. Woolley (INGENIO (CSIC-UPV), Spain)

Acknowledgements

Pursuing a doctorate has been a long-standing personal ambition, which I often feared was delayed too long. However, the experience I have gained over the years, particularly during my decade as a policy officer at the Brazilian Agency for Support and Evaluation of Graduate Education (CAPES), has undoubtedly enriched this academic pursuit. At CAPES, I assumed numerous leadership roles within the country's evaluation system and contributed to significant discussions about the trajectories of research and graduate education in Brazil. Although those experiences alone merit immense gratitude, it is worth noting that without the financial support and leave granted by CAPES, this PhD journey would not have been possible.

The initial foundation for my PhD was laid as I represented CAPES in the 21st International Conference on Science, Technology, and Innovation Indicators (STI 2016). My mission was twofold: to foster connections with the authors of the Leiden Manifesto, a document deeply resonant within Brazilian science policy, and to engage the broader research evaluation community. Of these interactions, my dialogue with Ed Noijons stands out. His deep understanding of the Brazilian research landscape and his enthusiasm for deeper exploration made me realise that he would be an ideal guide for my doctoral journey.

And so it was. Upon completing my ongoing master's degree, I approached Ed with a PhD proposal aimed at enhancing the evaluation of research and graduate education in Brazil. Ed opened the doors of CWTS to me. With his mentorship, unwavering support, and trust in the unique perspective my policy experience offered, I found the motivation to continue moving forward.

Sarah de Rijcke's inclusion in my supervisory team brought a new dimension to my research. As my promotor, she played a pivotal role in broadening my horizons, guiding me into the realm of qualitative research techniques, and thus diversifying my foundational background in practise, policy, and econometrics. This deepened understanding, further cultivated during my studies at

the Netherlands Graduate Research School of Science, Technology, and Modern Culture (WTMC), elevated my research to a depth and breadth previously unimagined.

Next, I express my deep appreciation to Ludo Waltman. While he did not serve in an official supervisory role, his consistent guidance was invaluable throughout my doctoral journey. His sharp insight helped me navigate numerous challenges, allowing me to refine my methods and more effectively convey the intended messages. Collaborating with him on various projects has been a highlight of my time at CWTS.

Indeed, while the doctoral journey was intrinsically rewarding, my time at CWTS has been enriched by my involvement in various projects. One pivotal experience was collaborating with Rodrigo Costas, Tjitske Holtrop, and Leonie van Drooge in the working group that assisted our board of directors in formulating the centre's self-assessment. The insights and knowledge derived from this venture significantly shaped multiple chapters of my dissertation.

Furthermore, being a part of the CWTS Open Science Research Hub allowed me to actively contribute to the centre's Open Science policies and engage in various activities alongside many esteemed colleagues. In particular, I thank the hub's leader, Thed van Leeuwen, for the multifaceted experiences we have shared in Open Science, our coauthored works, seminars presented, and above all, the unwavering friendship and support he has provided throughout.

I extend heartfelt gratitude to Ingeborg Meijer for her invaluable friendship and leadership in some of the most rewarding research projects I have participated in, particularly New HoRRIzon and SUPER MoRRI. Collaborating with her and colleagues like Inge, Anestis, Ralf, and Richard has solidified my sense of belonging in the academic community. Our shared aspiration to ensure that research has a tangible impact on society has been particularly meaningful to me.

I also wish to express my gratitude to my colleagues at CWTS-BV, the commercial branch of the centre. It has been my conviction that applying academic insights to real-world challenges not only manifests research in practise, but also informs and inspires future academic endeavours. A special note of thanks goes to Mark for adeptly steering the BV activities and to my colleagues for their continual camaraderie. Collaborations on different projects with peers

like Clara, Vincent, Nees, and Wolfgang have been among some of the best moments of the journey.

Although I would love to continue acknowledging all the people who contributed to the success of this academic endeavour, it is time to dive into the heart of this dissertation. But before we move on, I must extend heartfelt thanks to Esther Feer. With her amazing patience and warmth, she helped my family and me learn not only a bit of the Dutch language but also much of the country's culture. More than that, she has become one of our most treasured friends in our new homeland. Thank you, Esther, for your kindness and for helping us maintain our sanity through challenging times.

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List of Abbreviations

ABC Brazilian Academy of Sciences

ABE Brazilian Education Association

ANPEd National Association of Research and Graduate Studies On Education

APCs Article Processing Charges

API Application Programming Interface

BNDE National Bank for Economic Development

CAPES Brazilian Agency for Support and Evaluation of Graduate Education

CDI Commission for Industrial Development

CFE Federal Education Council

CNE National Education Council

CNPG National Council of Graduate Education

CNPq Brazilian National Council for Scientific and Technological Develop-

ment

CONFAP National Council of State Funding Agencies

CRAN Comprehensive R Archive Network

CRIS Current Research Information System

CSV Comma-separated Values

CTC Technical & Scientific Council

CWTS Centre for Science and Technology Studies

DOAJ Directory of Open Access Journals

DOI Digital Object Identifier

ESPM Higher School of Advertising and Marketing

FINEP Funding Authority for Studies and Projects

Fiocruz Oswaldo Cruz Foundation

FOPROP National Forum of Pro-Rectors for Research and Graduate Education

GDPR General Data Protection Regulation

GTC Technical Advisory Group

HBO Applied Sciences Universities (hoger beroepsonderwijs)

HEI Higher Education Institution

IBGE Brazilian Institute of Geography and Statistics

INEP National Institute of Educational Studies and Research

ISCED International Standard Classification of Education

KNAW Royal Netherlands Academy of Arts and Sciences

Latindex Regional Cooperative Online Information System for Scholarly Jour-

nals From Latin America, the Caribbean, Spain and Portugal

LGPD General Data Protection Law

MEC Ministry of Education and Culture

MNCS Mean Normalised Citation Score

NSF National Science Foundation

NWO Netherlands Organisation for Scientific Research

OECD Organisation for Economic Co-operation and Development

PDI Institutional Development Plan

PNPG National Plan for Graduate Education

PPG Graduate Program

PPP Purchasing Power Parity

RedALyC Network of Scientific Journals of Latin America and the Caribbean

RoR Research On Research

SBPC Brazilian Society for the Advancement of Science

SciELO Scientific Electronic Library Online

SEP Strategy Evaluation Protocol

SNPG Brazilian National System of Graduate Education

SoSP Science of Science Policy

UMR U-Multirank

UnB University of Brasília

UNESP São Paulo State University

UNL Universities of the Netherlands

USP University of São Paulo

VSNU Association of Universities in the Netherlands

WO Research Universities (wetenschappelijk onderwijs)

WoS Web of Science