



Universiteit  
Leiden  
The Netherlands

## **Active Ingredients of Interventions improving smoking cessation support by Dutch primary care providers: a systematic review**

Mergelsberg, E.L.P.; Ruijter, D. de; Crone, M.R.; Smit, E.S.; Hoving, C.

### **Citation**

Mergelsberg, E. L. P., Ruijter, D. de, Crone, M. R., Smit, E. S., & Hoving, C. (2022). Active Ingredients of Interventions improving smoking cessation support by Dutch primary care providers: a systematic review. *Evaluation And The Health Professions*, 46(1).  
doi:10.1177/01632787221099941

Version: Not Applicable (or Unknown)

License: [Leiden University Non-exclusive license](#)

Downloaded from: <https://hdl.handle.net/1887/3566446>

**Note:** To cite this publication please use the final published version (if applicable).

# Active Ingredients of Interventions Improving Smoking Cessation Support by Dutch Primary Care Providers: A Systematic Review

Evaluation & the Health Professions  
2023, Vol. 46(1) 3–22  
© The Author(s) 2022  
Article reuse guidelines:  
[sagepub.com/journals-permissions](https://sagepub.com/journals-permissions)  
DOI: 10.1177/01632787221099941  
[journals.sagepub.com/home/ehp](https://journals.sagepub.com/home/ehp)  


Enrique L. P. Mergelsberg, PhD<sup>1,2</sup> , Dennis de Ruijter, PhD<sup>1</sup> , Mathilde R. Crone, PhD<sup>3</sup> ,  
Eline S. Smit, PhD<sup>4</sup> , and Ciska Hoving, PhD<sup>1</sup> 

## Abstract

The objective was to assess active ingredients, change mechanisms, and fidelity in interventions aiming to increase the quality of smoking cessation care in the Dutch primary healthcare setting. We conducted a systematic review searching five scientific databases on August 2nd, 2019, updated on October 28th, 2021. We included effect data of behavioural interventions aiming at improving the provision of smoking cessation support by Dutch primary care providers to their patients. We excluded studies published before 2000 and those without a behavioural support intervention for primary care providers targeting smoking cessation in their patients. We found 1939 articles and included 15 distinct interventions in the review. We provided an overview of study characteristics, intervention effects, fidelity, active ingredients and change mechanisms using the Behaviour Change Techniques (BCT) Taxonomy and Mechanisms of Action (MoAs) protocols. Interventions seemed more effective when including a face-to-face component, using active learning strategies and providing a tool to help follow the guidelines in practice (e.g., physical cards with information). BCTs, MoAs, and fidelity were overall poorly reported on. To support the application of smoking cessation practices in Dutch primary care, we recommend implementation of face-to-face training programs incorporating active skill training elements combined with practical tools.

## Keywords

addictive behaviour, behavioural sciences, intervention, primary health care, smoking cessation, support, active ingredients, behaviour change

## Introduction

Primary care providers play an important role in supporting patients in their smoking cessation journey (Anderson & Jane-Llopis, 2004; McNeill & Bates, 2000). For example, international data shows that primary care providers are somewhat successful in their effort to support smoking cessation by giving a brief quit smoking advice (Stead et al., 2008) and, more so, when they offer more intensive forms of assistance (Aveyard et al., 2012). Moreover, primary care providers have a widespread reach into the population (Aveyard et al., 2012). In The Netherlands, people visit, for example, the general practitioner (GP) on average 4.5 times per year (Dutch Central Bureau for Statistics [CBS], 2022) and 78.8% of the Dutch population sees their dentist once a year (CBS, 2021). Different to many other countries (e.g., the United States), all Dutch citizens are covered by basic insurance, thus making primary healthcare very accessible. Moreover, in The Netherlands, people can only get access to secondary healthcare system (e.g., specialist care in hospitals) via a referral from a primary healthcare provider. This “gatekeeping” model of

providing care in The Netherlands puts the primary care providers in a unique position to address preventive health services including smoking cessation. As of 2019, the Dutch basic insurance covers smoking cessation support by the GP without out-of-pocket expenses (Ministry of Health Welfare and Sport, 2018), however, this is limited to once a year. People who need multiple quit smoking attempts need to pay this out of pocket or need additional private health insurance. Nevertheless, Dutch primary healthcare providers play a

<sup>1</sup>Department of Health Promotion, Maastricht University, Maastricht, Limburg, The Netherlands

<sup>2</sup>EnBerg Analytics, Perth, WA, Australia

<sup>3</sup>Public Health and Primary Care, Leiden University Medical Center, RC Leiden, Zuid-Holland, The Netherlands

<sup>4</sup>Department of Communication Science, University of Amsterdam, Amsterdam, Noord-Holland, The Netherlands

## Corresponding Author:

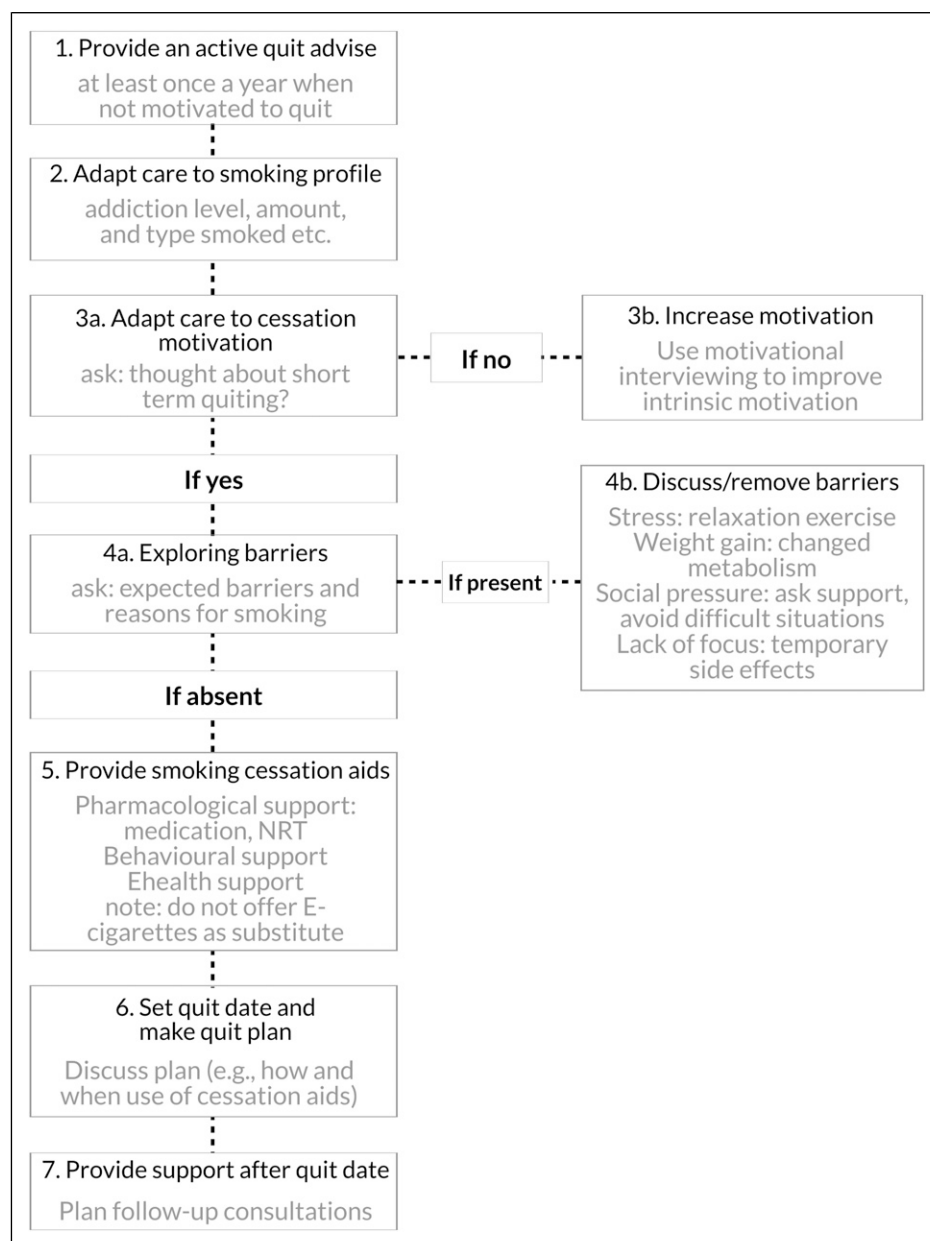
Enrique L. P. Mergelsberg, PhD, EnBerg Analytics, 140A Alice St, Doubleview, Perth, WA 6108, Australia.  
Email: [enrique@enberganalytics.com.au](mailto:enrique@enberganalytics.com.au)

crucial role in initiating and motivating successful quit attempts. This reduces the need to send people to the more expensive secondary healthcare system, lowering the overall healthcare costs.

National guidelines are implemented in The Netherlands that describe the multiple and individualised steps that need to be taken to ensure effective smoking cessation care in primary care (Chavannes et al., 2017; Verbiest et al., 2017), see Figure 1 for a schematic overview of these guidelines. The current Dutch guidelines are similar to the 5A or briefer 3A framework used in the UK (UK government, 2009) and US (Fiore et al., 2008), such that they both recommend to provide advice, assess motivation, assist stop attempts, and arrange follow-up. However,

the Dutch guidelines have more specific content, for example, it includes practical counselling techniques and types of behavioural support (see for full comparison: Verbiest et al., 2017). The Dutch guidelines stem from the Minimal Intervention Strategy (MIS) developed between 1993 and 1994 in collaboration with key stakeholders (GP organisations, two Dutch universities and the Dutch government). The implementation of the MIS occurred in 1996 through refresher courses for existing GPs and through integration into the curriculum for GPs in training (Pieterse et al., 1997), the MIS was first evaluated in 2001 (Pieterse et al., 2001).

Although the use of the current guidelines has shown to be effective in reducing smoking rates (Chavannes et al., 2017;



**Figure 1.** Flow chart Dutch smoking cessation guidelines. Note. NTR = Nicotine Replacement Therapy.

Verbiest et al., 2017), the implementation of smoking cessation care by Dutch primary care providers is not yet optimal. The Dutch primary care providers have reported time constraints, patients' unwillingness to quit, low self-efficacy, and the perceived low quality of smoking cessation counselling training classes as barriers to adhere to guidelines (De Ruijter et al., 2017; Oude Wesselink et al., 2017; van Rossem et al., 2015; Verbiest et al., 2017). This may be problematic because UK data shows that the implementation quality of smoking cessation support provided by primary care providers is directly related to the quit rate of their patients (Grimshaw & Russell, 1993; Miller & Kearney, 2004). To improve guideline adherence and, subsequently overall quality of support, interventions have been developed to provide Dutch primary care providers a grounding in providing the needed support to patients.

Quality of support in Dutch primary care providers can be improved in various ways. Some interventions support the uptake of the entire guidelines (de Ruijter et al., 2018), others only support implementation of a specific part – or parts – of the guideline (e.g., adopting motivational interviewing techniques to increase motivation to quit (Noordman et al., 2014) – 3b in flowchart). For instance, Carson et al. (2012) found that Dutch primary care providers who had received training were more likely than untrained colleagues to perform relevant smoking cessation tasks outlined in the guideline, such as asking patients to set a quit date, making follow-up appointments, counselling smokers, and providing self-help materials. Other examples of interventions that aim to improve quality of support in Dutch primary care providers are government supervision programs (Oude Wesselink et al., 2015) or providing accreditation when implementing the national guidelines (van Doorn-Klomberg et al., 2014). On an individual level, some of these interventions have shown to be successful in increasing quality of care (e.g., Cramm & Nieboer, 2015), whereas others have not (e.g., van Lieshout et al., 2015). It is unclear what intervention components change the behaviour of Dutch primary care providers in effective support interventions that are missing in ineffective support interventions. Mapping the active ingredients in interventions aiming to improve the quality of smoking cessation care given by Dutch primary care providers may be a powerful method for providing a comprehensive evaluation of effectiveness of certain intervention components. In turn, understanding the effective components of interventions will ensure improved intervention design in the future.

Protocols have been established to systematically map active ingredients of interventions (Centre for Healthy Living, 2019; Michie et al., 2017). The active ingredient protocol (Centre for Healthy Living, 2019) indicates the existence of three types of active ingredients in an intervention: general, content-related and specific. General active ingredients are the intervention elements that apply to all behaviour change interventions (e.g., co-creation of the intervention, incentives provided for participation in a training). Content-related active ingredients are overarching characteristics that either relate to the intervention

goal (e.g., targeting co-morbidity), target group (e.g., tailoring of intervention content to healthcare providers) or methodology (e.g., stepwise intervention-diffusion). Specific active ingredients are intervention elements targeting behaviour change (e.g., techniques improving quality of smoking cessation care in primary care providers). To systematically map specific active ingredients of behaviour change interventions, the behaviour change taxonomy by Michie and colleagues (Michie et al., 2013) is frequently used. This taxonomy contains 93 hierarchically clustered behaviour change techniques (BCTs). A BCT is expected to be an active ingredient, which can effectively instruct people to do something with the aim to change the targeted behaviour (Carey et al., 2019; Michie et al., 2013). Additionally, the mechanism of action (MoA) protocol represents the process through which a BCT affects behaviour (Carey et al., 2019; Connell et al., 2019). MoAs mediate the relationship between a BCT and the specific behaviour the BCT targets. Extracting all active ingredients from existing interventions can respectively provide a deeper insight into *what* techniques are effective in changing behaviour and *how* these techniques attain behaviour change.

The BCT taxonomy has been used to successfully identify specific active ingredients in smoking cessation interventions (De Ruijter et al., 2021; Michie, Churchill, & West, 2011; Michie, Hyder, et al., 2011) and to identify active ingredients in interventions targeting general behaviour change in healthcare providers (Colquhoun et al., 2017). However, the BCT taxonomy has not yet been used as a method to identify active intervention components targeting primary care providers to implement effective smoking cessation care in their practice. Moreover, current reviews and studies do not adequately account for the quality of the interventions nor their implementation fidelity (Hagger et al., 2020; Walton et al., 2017). This is problematic, because when interventions are not implemented as planned and the intended active ingredients of an intervention were not or poorly used, it is difficult to accurately validate intervention effectiveness. Hence, it is crucial to take fidelity into account when establishing active ingredients that influence intervention effectiveness.

This study's objective was to provide a systematic review on all three types of active ingredients, MoAs, and implementation quality in existing interventions aiming to increase the quality of smoking cessation care in Dutch primary healthcare (e.g., uptake of [part of] the Dutch smoking cessation guidelines). The specific questions addressed in this systematic review are as follows: (a) What study characteristics (i.e., type of intervention, theory-base, sample characteristics, intervention focus) can explain the effectiveness of an intervention?; (b) Which active ingredients or combination of active ingredients is used more often in effective versus ineffective interventions?; (c) What are the mechanisms of change that underlie intervention efficacy?; and (d) Does the quality of implementation fidelity affect intervention effectiveness? Answers to these questions can inform intervention policies in The Netherlands and development of support

interventions for primary care providers worldwide, facilitating a smoke-free generation in the future.

## Materials and Methods

### Study Design

Following the PRISMA reporting guidelines, a systematic review was conducted to explore the use of various intervention components targeting Dutch primary care providers offering behavioural support for implementing high quality smoking cessation aid.

### Search Strategies

Three different sources (scientific and intervention databases and smoking cessation experts) were searched to ensure a comprehensive and inclusive search strategy. Five scientific databases were systematically searched (Pubmed, Web of Science, Cochrane, Medline, and the Education Resources Information Center) and online intervention databases included websites of several Dutch funding organisations, like the Dutch Organisation for Scientific Research.

Initial searches were conducted on August 2<sup>nd</sup>, 2019, an update of the search was conducted on October 28<sup>th</sup>, 2021. The following search terms and their Dutch equivalents were entered in the intervention databases: smoking, smoker, smoke, tobacco, addiction, and cigarette. For scientific databases, the search terms were more comprehensive and combined keywords for concepts related to smoking, primary care, the study design, and the geographical region of interest. Search terms were restricted to appear in the title and/or abstract of manuscripts published after the year 2000. The

full search string can be found on <https://osf.io/pdnm8/>. The input of smoking cessation experts (defined as somebody that works in the smoking cessation industry or research area), and input obtained via their professional networks, made up the final source. Experts were contacted via email on August 14, 2019 and were provided with information about the inclusion criteria and an overview of relevant interventions that were already identified via the online databases described above. Based on this information, they were asked whether they knew of additional interventions that fit the inclusion criteria that were missed by our search. They were given a response period of 5 weeks.

### Screening Process

All search hits were imported in the Endnote reference manager. After removal of duplicates, the title and abstract of remaining records were screened for relevance according to the inclusion and exclusion criteria (see Table 1).

Next, the full texts were read to determine eligibility for final inclusion. In case insufficient information about an intervention was available during this selection process, study authors or intervention owners were contacted via email and/or phone to retrieve additional information. Furthermore, articles describing potential supplemental material in addition to effect data of an intervention (e.g., study protocol, guideline, cost-effectiveness evaluation) were saved separately. The entire selection process was conducted by two researchers. During this process, they verified each other's work by checking whether articles were rightfully excluded. In case of discrepancies ambiguities were discussed with a third researcher, after which agreement was reached in all cases.

**Table 1.** Inclusion and Exclusion Criteria.

|                                      | Inclusion Criteria                                                                                                                                                                                                            | Exclusion Criteria                                                                                                                                                              |
|--------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Article type</b>                  | Effect, pilot and intervention evaluation studies, proposal/ design papers, guidelines, meta-analyses and reviews                                                                                                             | Conference abstracts or trial registrations                                                                                                                                     |
| <b>Intervention related criteria</b> | The aim of the intervention is to increase or improve behavioural support to aid smoking cessation or motivation to quit smoking in patients (e.g., the adherence to and uptake of smoking cessation counselling guidelines). | The article does not describe an intervention or the intervention was reported on before the year 2000. The overall aim of the intervention does not include smoking cessation. |
| <b>Target group related criteria</b> | The intervention should target Dutch primary healthcare professionals for achieving the intervention goal.                                                                                                                    | Interventions offered to non-healthcare professionals (i.e., a lifestyle coach), the intervention is set outside the Netherlands or in non-primary care settings.               |
| <b>Data related criteria</b>         | The available data enables to quantify the effect of the intervention: a comparison of the intervention group to at least one other (intervention or control) group, or between pre- and post-intervention results.           | No effect data of the intervention is available or not enough data is available (e.g., only baseline data or only post-intervention data are available).                        |

*Notes.* Meta-analyses and reviews were initially included to check whether they described papers that were not a hit in the search phase. Protocol papers and guideline manuals that matched an included intervention were kept to identify essential information related to the intervention characteristics, active ingredients, and mechanism of actions.



## Data Abstraction

Excel was used to organise data abstraction for each intervention, including information about study characteristics, active ingredients, and implementation characteristics. An overview of all abstracted information is provided in [Table A1](#) of the Appendix. Prior to abstraction, coding rules were created. For instance, following the BCT protocol ([Michie et al., 2013](#)), the main coding rule for coding a BCT was that the description needed to contain a verb that refers to an action taken by the person delivering the technique. Following the MoA protocol ([Carey et al., 2019](#)), MoAs were identified when a description was provided of how behaviour change was expected to occur when implementing the intervention. To pre-test inter-rater agreement of these coding rules, the researchers abstracted data from the same intervention, compared the individually abstracted data, and discussed any coding inconsistencies (inter-rater agreement: 88%). Accordingly, small changes were made in interpretations to the coding rules, after which the first coder started abstracting data from the remaining interventions. During abstraction, regular consensus meetings were held with the second coder to ensure consistent application of the coding rules. Upon completion, two checks were performed to ensure high quality data abstraction. First, the second coder randomly checked 5% of the included interventions, verifying the coded BCTs and MoAs, as coding these was deemed most sensitive for bias and inconsistencies ([Wood et al., 2014](#)). This check resulted in high agreement between researchers, as only a single BCT was added. Second, following protocol ([Michie et al., 2013](#)), the first coder coded the confidence levels during abstraction (1 = not sure, 2 = highly confident) and was not sure about 11% of the total 130 BCTs coded. Upon discussion with the second coder, 7% of these BCTs codes were changed.

After data abstraction, the active ingredients of each intervention were summarised and reported back via email to the study authors or intervention owners. This way, they could verify the coding work and feedback any additional active ingredients that might have been missed based on what was reported in the records. Eight out of the 14 study authors reported back (60%) and verified the work. At this time, three additional documents were obtained, which provided additional information for three interventions.

## Analyses Plan and Data Preparation

Four steps were taken to prepare the abstracted data for analyses. First, data abstracted from different papers describing the same intervention (e.g., protocol and effect paper) were merged. Second, the intervention effect on smoking cessation support was quantified based on reported results for the target behaviour. Target behaviour was operationalised as a behaviour targeted by the intervention to increase quality of smoking cessation support given by primary care providers (e.g., guideline adherence). Given that most interventions did not report effect sizes, nor

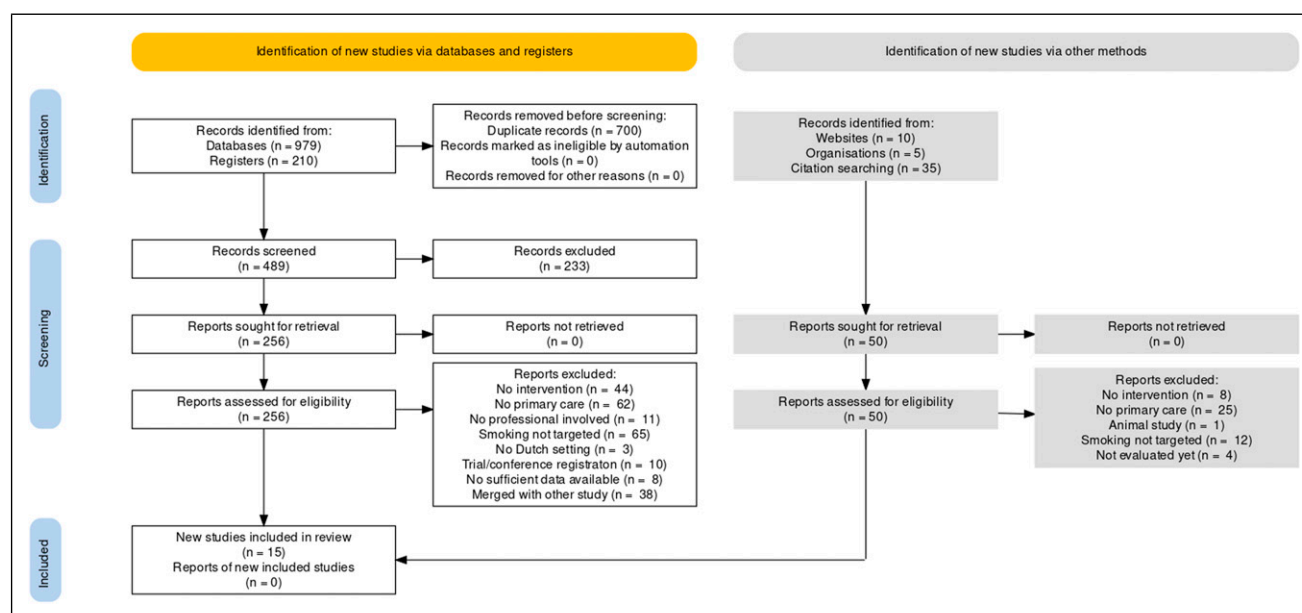
reported all information needed to calculate effect sizes, it was decided to categorise the interventions into three rudimentary categories: 'effective', 'mixed results' or 'ineffective'. An intervention was categorised as 'effective' when the target behaviour was statistically significantly improved compared to the control group or baseline measures. Interventions were categorised as having 'mixed results' when primary care providers showed improvements on part of the target behaviour (e.g., guideline adherence on two out of five steps). Interventions were categorised as 'ineffective' when the target behaviour did not statistically significantly improve compared to the control group or baseline measure.

In the third step, a quality assessment was conducted on the implementation data abstracted to assess fidelity. Fidelity was operationalised through four constructs ([Dusenbury et al., 2003](#)): adherence (were all intended components delivered?), dose (was the amount of all intended components delivered?), quality of delivery (how well were the components delivered?), and participant responsiveness (how did the participant appreciate the intervention?). A score between 0 and 3 was given to each of these four constructs, with 0 indicating a missing value (not reported); 1 (reported, but low quality of implementation); 2 (reported with an acceptable quality of implementation); and 3 (reported with a good quality of implementation). The overall quality assessment of implementation (QAI) score per intervention was the sum score of all four constructs (range: 0–12). Two researchers scored all interventions, the inter-rater reliability was high (85%). In the final step, tables were created to systematically organise all the abstracted data per effectiveness category and descriptive analyses were conducted.

## Results

An overview of the search and selection process, including reasons for exclusion for all sources are illustrated in the PRISMA flowchart (see [Figure 2](#)).

In total, 15 unique interventions targeting behaviour change in smoking cessation support by Dutch primary care providers were included. [Table A2](#) in the Appendix provides an overview of the study characteristics and the effects of included interventions. The table shows that seven interventions were categorised as 'effective', five as 'mixed results' and three as 'ineffective' in changing the target behaviour. Seven interventions were primarily focused on improving smoking cessation care, while the others were focussed on improving care for several health behaviours, which included smoking cessation. Behaviours targeted included adherence to smoking cessation guidelines ( $n = 7$ ), provision of quality of care ( $n = 4$ ), integrating care ( $n = 2$ ), provision of stop smoking advice ( $n = 2$ ), referring to a smoking cessation program ( $n = 1$ ), and improving communication and clinical skills ( $n = 1$ ). One study ([de Ruijter et al., 2018](#)) reported a cost-effectiveness analysis and found the intervention to be very cost-effective in increasing the application of the guideline for practice nurses (incremental cost-utility ratio of €18,431.00/QALY).



**Figure 2.** PRISMA flowchart of study selection.

The length of the interventions varied from 1-hour to 3 years ( $M = 47.6$  weeks,  $SD = 42.5$  weeks) and 43% of the interventions included long-term follow-up measurements ( $M = 75.6$  weeks post-training,  $SD = 41.6$  weeks). Furthermore, about half of the interventions were based on theory (46.7%). The samples consisted of GPs ( $n = 5$ ), practice nurses ( $n = 3$ ), midwives ( $n = 1$ ), dentists ( $n = 1$ ), respiratory nurses ( $n = 1$ ), or multidisciplinary teams ( $n = 4$ ).

### Intervention Characteristics per Level of Effectiveness

Four types of interventions were included (see Table A4 in Appendix for intervention descriptions): educational training programs where participants received information (i.e., passive learning;  $n = 8$ ), education training programs where participants actively engaged (i.e., active learning;  $n = 4$ ), programs exerting top-down control (i.e., audit;  $n = 2$ , once in combination with active learning), and programs where participants were provided with a tool that supports achieving the target behaviour in practice ( $n = 4$ , once in combination with passive learning and once in combination with active learning). Collating the data per effectiveness category, the 'ineffective' interventions were all passive learning training programs without any provisions of tools, whereas more than half of the 'effective' interventions (57.1%) consisted of an active training program with three (42.9%) providing a tool which continued to support the primary care providers to achieve the target behaviour in practice. Examples of tools include simplified versions of the official guidelines, physical cards or a web portal with information. Primary care providers could access these tools at any time and were encouraged to use them during consultations with patients for whom it was in their best interest to stop smoking, facilitating the consultations.

Furthermore, it appeared that 'ineffective' interventions all included online components (i.e., were blended or fully online) or were fully delivered over the telephone. None of the face-to-face interventions were 'ineffective'. Most 'effective' interventions were not based on theory (80% vs. 60% in the 'ineffective' and 'mixed results' categories). The data shows that the 'ineffective' interventions all targeted practice nurses, whereas the interventions in the 'mixed results' and 'effective' categories targeted mostly GPs or a mix of professionals.

### General, Content Related and Specific Active Ingredients

Table A3 in the Appendix provides an overview of different categories of active ingredients in all included interventions. *General active ingredients* were not often reported. None of the studies reported whether they used a protocol for intervention development. Moreover, none reported on how they made their intervention compatible with their population, however, five interventions (33.3%;  $n = 3$  'effective' and  $n = 2$  'ineffective') reported to use co-design. Three studies (20%;  $n = 1$  in each effectiveness category) offered their participants (the primary care providers) incentives and one trained the trainer (6.6%; 'mixed results').

None of the interventions used the BCT taxonomy to label *specific active ingredients*. The BCT-category coded most was 'Shaping knowledge', coded 27 times: six times in 'effective' interventions, seven times in interventions with 'mixed results' and 14 times in the 'ineffective' interventions. Techniques from the category 'Feedback and monitoring' were coded 22 times in total: five times in 'effective' interventions, nine times in interventions with 'mixed results', and eight times in the 'ineffective' interventions. When observing individual techniques

within the BCT-categories, the two most coded BCTs in the included interventions were ‘Instruction on how to perform the behaviour’ and ‘Feedback on behaviour’, these were coded 21 times in nine interventions and 18 times in nine interventions, respectively. On average nine BCTs ( $SD = 8.98$ ) were coded per interventions (range 0–27). In four interventions a high number of BCTs were coded (range: 15–27), in six interventions an average number of BCTs were coded (range: 4–7), whilst in the five remaining interventions a low number of BCTs were coded (range: 0–4). On average fewer BCTs were coded in the ‘effective’ interventions ( $M = 8.7$ ) compared to the number of BCTs coded in interventions with ‘mixed results’ ( $M = 14.4$ ) or ‘ineffective’ interventions ( $M = 38.3$ ).

Five interventions described *content related active ingredients*, three of which targeted the intervention goal, one the target group and three the methodology. Of these five, two interventions were from the ‘ineffective’ and ‘effective’ categories, and one from the ‘mixed result’ category.

### Mechanisms of Action Underlying Behaviour Change

Table 2 shows the MoAs and their corresponding BCTs. Mostly, the MoAs were not specified to one active ingredient or BCT, but rather described in terms of expected changes of the overall intervention. Four interventions reported MoAs and the most often reported MoA was ‘knowledge’.

### Quality Assessment of Implementation

Implementation constructs were not often reported (see Table A4 in Appendix). Out of the 15 interventions, four reported adherence (26.7%, with one reporting high quality of adherence), six reported dose (40.0%, with none reporting high quality dose), nine reported acceptance level of the intervention (60.0%, with three reporting high quality acceptance),

and none of the interventions reported quality of delivery. The overall mean QAI was 2.41 ( $SD = 1.93$ ,  $n = 15$ ), 2.33 ( $SD = 2.00$ ,  $n = 3$ ) for ‘ineffective’, 2.60 ( $SD = 2.50$ ,  $n = 5$ ) for ‘mixed results’ and 2.29 ( $SD = 1.28$ ,  $n = 7$ ) for ‘effective’ interventions. When only including those who reported on fidelity (excluding the zeros), we found a mean QAI of 3.40 ( $SD = 1.48$ ,  $n = 11$ ), 3.50 ( $SD = 1.50$ ,  $n = 2$ ) for ‘ineffective’, 4.33 ( $SD = 1.70$ ,  $n = 3$ ) for ‘mixed results’ and 2.67 ( $SD = 0.94$ ,  $n = 6$ ) for ‘effective’ interventions. ‘Effective’ interventions reported more often fidelity characteristics (85.7%) compared to interventions with ‘mixed results’ (60.0%) or ‘ineffective’ interventions (66.7%).

## Discussion and Conclusion

### Discussion

We systematically reviewed behavioural interventions from 2000 onwards that had the objective to improve the quality of smoking cessation practices in Dutch primary care providers by improving their behaviour. We identified 15 interventions: seven ‘effective’, five with ‘mixed results’, and three ‘ineffective’ in changing behaviours of Dutch primary care providers. These findings are in line with the previous review conducted in this area in 2012 (Carson et al., 2012), who concluded that primary care providers who had received training were more likely to perform tasks related to smoking cessation than untrained controls. Our findings add to this previous knowledge that the type of training appears to be important: the ‘ineffective’ studies all consisted of a passive training program aimed to increase knowledge by providing information, while most studies in the ‘effective’ category reported on training programs where participants actively engaged with the information received and often received a tool that supported them to achieve the target behaviour while in practice.

**Table 2.** Overview of Reported BCT-MoA’s Links.

| ID              | Number of MoAs Reported | Reported MoA’s                 | Linked with BCTs                                   | Results of Empirical Test                            |
|-----------------|-------------------------|--------------------------------|----------------------------------------------------|------------------------------------------------------|
| <b>01 (–)</b>   | 4                       | Knowledge                      | Instruction on how to perform the behaviour        | Not tested                                           |
|                 |                         | Knowledge                      | Social support (practical)                         | Not tested                                           |
|                 |                         | Knowledge                      | Social support (unspecified)                       | Not tested                                           |
|                 |                         | Social influences              | Restructuring the social environment               | Not tested                                           |
| <b>03 (–)</b>   | 6                       | Intention                      | Action planning                                    | No significant effect on intention                   |
|                 |                         | Intention                      | Problem solving                                    | Not tested                                           |
|                 |                         | Attitude towards the behaviour | Pros and cons                                      | Not tested                                           |
|                 |                         | Attitude towards the behaviour | Information about antecedents                      | Not tested                                           |
|                 |                         | Attitude towards the behaviour | Framing-reframing                                  | Not tested                                           |
|                 |                         | Knowledge                      | Feedback on behaviour                              | No significant effect on knowledge                   |
| <b>06 (+/–)</b> | 1                       | Knowledge                      | Behaviour cost                                     | Not tested                                           |
| <b>11 (+)</b>   | 1                       | Behavioural cueing             | Monitoring of behaviour by others without feedback | Filling in the questionnaire led to behaviour change |

Notes. Interventions categorised as ‘ineffective’ are indicated by (–), interventions categorised as having ‘mixed results’ are indicated by (+/–), and interventions categorised as ‘effective’ are indicated by (+). Following protocol, only BCT’s specifically linked with one MoA in the text (one BCT with one MOA) are reported.



Often, this tool consisted of simplified versions of the official guidelines, physical cards with information or a web portal with helpful and useful information, which primary care providers could access at any time. This suggests that simpler and briefer guidelines (i.e., the 5 A's or even the briefer 3 A's as used in the UK (UK government, 2009) or US (Fiore et al., 2008)) may be effective practical tools that can be used when counselling, especially when time poor. Such substitutions of the more detailed guidelines may ensure primary healthcare providers integrate evidence-based smoking cessation practices at an increased rate as they can optimise their time available to address smoking cessation. Previous studies showed that when guidelines are used by primary care providers to structure consultations with smoking patients, and full adherence to these evidence-based guidelines is achieved, the communication was more effective, subsequently positively contributing to quality of smoking cessation care (Grimshaw & Russell, 1993; Richmond et al., 2017). Providing primary care providers with tools on how to better follow the guidelines, including brief versions of the guidelines (e.g., 3 A's (UK government, 2009)) may thus be helpful to achieve behaviour change.

The 'ineffective' studies all had programs with online components, were fully online or delivered over the phone, while half of the face-to-face interventions were 'effective' (and the other half had 'mixed results'). This suggests that (partly) online interventions may be less effective in changing behaviour of primary care providers. A possible explanation may be low digital competences and/or low willingness to use online methods among primary care providers in the included samples (Ingebrigtsen et al., 2014; Konttila et al., 2019). Hence, targeting digital competences and improving the attitude of primary care providers towards digital methods before delivering an online intervention may increase its effectiveness. This is especially important in current times, where face-to-face interventions are restricted because of the COVID-19 pandemic. Digital competencies, attitudes and willingness to use digital tools are likely to increase when primary care providers have positive experiences with technology, when there is a positive team climate with support for innovation, including time allocated, co-operation, practical support and resources for the implementation, and when they feel safe participating with the technology (Konttila et al., 2019). Alternatively, we recommend to provide hybrid variants, where learning is blended to improve compliance of primary care providers. Subsequently, this allows building positive experiences with technology, which in turn may enhance attitudes and willingness to use technology on a more regular basis.

Based on the results found, we were unable to further differentiate the active components in interventions reporting successful changes in target behaviours from those that did not for several reasons. Firstly, the general active ingredients category was very poorly reported on; information about the compatibility with the target population, training of intermediaries, and whether a protocol was used for intervention development was absent. Secondly, a visual inspection of the distribution of BCTs across 'effective', 'mixed results' and 'ineffective' studies suggested no

clear pattern of association between the presence of individual BCTs and reported intervention effectiveness. Third, not one intervention reported quality of delivery and approximately 70% of interventions did not report adherence or dose, which is problematic since it is well-established that fidelity of implementation is important in achieving intervention outcomes (Durlak & DuPre, 2008). These findings are similar to studies that previously attempted to systematically map active ingredients in interventions in another clinical setting (McParland et al., 2018). An explanation for why various active ingredients were not able to differentiate effectiveness may be due to other factors that were not considered, such as characteristics of the patients (Walsh & McPhee, 1992) and healthcare context or organisational structure (Hoffmann et al., 2014). It could also be due to the quality of the reported components; regrettably, intervention descriptions provided limited information on techniques and strategies used to change behaviour, nor was the quality of implementation well reported. Hence, we could not investigate the effects of these intervention components on intervention effectiveness in detail as planned. Consistent use of intervention reporting standards, also in scientific publications in general (e.g., Hoffmann et al., 2014), might aid future systematic reviews in establishing components that are imperative for effectiveness.

The reporting of working mechanisms linked to the intervention techniques was concerning: most interventions lacked any hypotheses regarding how their interventions would change behaviour. Those mentioning the MoAs typically only defined the overall expected mechanism of change of the intervention as a whole, not per active ingredient, and lacked any testing of the assumed mechanism(s). The most described MoA was knowledge; however, this MoA was not reported in the 'effective' category of interventions. It seems that current 'ineffective' and 'mixed results' interventions mainly hypothesize that when knowledge increases, subsequently smoking cessation care will improve. Yet, this hypothesis was never directly tested. Some included interventions tested whether knowledge increased as a result of their intervention and found no effect. Multiple other studies have shown that changing knowledge is not enough to change behaviour (e.g., Olsen et al., 2015; Shuval et al., 2007). Current behaviour change theories acknowledge that other determinants are more important than increasing knowledge (Davis et al., 2015). Although these theories were mentioned in some of the included studies, the effects of these other determinants were never systematically tested. This finding exemplifies the need for researchers to specify the theory-based mechanisms through which they expect their behaviour change interventions to work. This need has also been put forward by another recent review (Hagger et al., 2020).

### Study Limitations

The main limitation of this review was the coding subjectivity. Yet, subjectivity was limited by the strict and

systematic use of the BCT taxonomy and protocol and through multiple checks with the second coder. All intervention developers were contacted to verify the active ingredients of their intervention and most authors confirmed our findings. Other limitations may be the rudimentary effectiveness categorisation and the subjectivity of the self-designed QAI score. Yet, there was no existing and more objective known method that could be used instead. Determining effectiveness has proven difficult, as no homogeneous target behaviour was discussed, nor were effect scores or statistical values systematically reported, which are needed to calculate effect scores. The subjectivity of the QAI scale was reduced as it was double scored and based on the well-established and most often reported operationalisation of fidelity characteristics (Proctor et al., 2011) identified by Dusenbury et al. (2003). Nevertheless, we acknowledge that this scoring system needs to be validated to ascertain reliability before assessing implementation fidelity widely.

## Conclusion

This review on smoking cessation training programs summarised research findings from the Dutch primary healthcare system and can be used as a template to review active ingredients of interventions in other healthcare systems. Results show that current interventions mainly provide primary care providers with instructions on how to perform smoking cessation counselling and feedback on their counselling behaviour in a passive learning environment. Subsequently, the increase of knowledge of primary care providers was the key hypothesised mechanism to improve their smoking cessation care. This hypothesis was, however, not empirically tested and such interventions were found to be less effective in improving quality of smoking cessation care than interventions that were not focussed on knowledge (only). Next, we found that active training programs, which also implemented a tool supporting primary care providers in practice seemed more likely to be effective in changing behaviour of primary care providers. Additionally, the effect of online interventions showed to be inferior to face-to-face interventions, indicating that more work needs to be done to successfully translate effective face-to-face interventions to an online setting or to explore blended forms of learning. Lastly, we

found that rigorous documentation of intervention content, implementation, and proposed mechanisms of actions is very limited. Improved reporting is required to further enhance our understanding of interventions that aim to improve quality of smoking cessation care carried out by primary care providers in The Netherlands.

## Appendix

**Table A1.** Type of Data that was Abstracted for Each Intervention.

| Type of Information                | Abstracted Data per Intervention                                                                                                                                                                                                                                               |
|------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Study characteristics              | First author and year of publication<br>Article type<br>Target behaviour<br>Theoretical grounding<br>Planning model used<br>Intervention name<br>Intervention components<br>Sample description<br>Incentive used<br>Relevant outcomes measured<br>Effects per outcome measured |
| General active ingredients         | Incentive for participation<br>Co-creation with target population<br>Compatibility with target population<br>Training of intermediaries<br>Protocol used for intervention development                                                                                          |
| Specific active ingredients        | BCTs: Number, label, confidence, operationalization<br>BCT target behaviour                                                                                                                                                                                                    |
| Mechanisms of action (MoA)         | MoAs: Number, label, operationalization<br>MoAs: Single or multiple links with BCTs<br>BCT-MoA link: explicitness of link, empirical test of link                                                                                                                              |
| Content related active ingredients | Active ingredients concerning the target population (other than BCTs)<br>Active ingredients concerning the intervention goal (other than BCTs)<br>Active ingredients concerning the methods used (other than BCTs)                                                             |
| Implementation characteristics     | Adherence<br>Dose<br>Quality of delivery<br>Participant responsiveness/appreciation                                                                                                                                                                                            |

Notes. BCT = Behaviour Change Technique; MoA = Mechanism of Action; Data were only abstracted when information was available.

**Table A2.** Overview of the Included Interventions and its Study Characteristics, Effects and Cost-Effectiveness.

| ID              | First Author<br>Effect Paper,<br>year of<br>Publication | Type of Intervention | Theory based (Yes/No) | Sample Descriptives                                                                                                                         | Intervention Focus                                                 | Design, Measurements,<br>and Methods                                                                                            | Effects                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | QAI |
|-----------------|---------------------------------------------------------|----------------------|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|
| <b>01 (–)</b>   | Van Lieshout,<br>2015                                   | Blended              | No                    | 39 PNs: <i>M</i> age=42.5; <i>M</i> hours MI training=14.8; 1877 patients with CVD (11% smokers)                                            | Quality of professional care, advice on diet and physical activity | Only post with CG (after 6 months): Self-report binominal measure (yes/no)                                                      | 11.4% of patients in the IG versus 10.3% patients in the CG received adequate professional care, <i>ns</i> .<br>No impact on smoking                                                                                                                                                                                                                                                                                                                                                                                                                                 | 5   |
| <b>02 (–)</b>   | Noordman,<br>2014                                       | Blended              | Yes, TTM              | 17 PNs: <i>M</i> age=43, <i>SD</i> =5.6; experience in MI=100% in IG and 43% in CG; 163 patients ( <i>n</i> smokers not specified)          | Communication and clinical skills, MI                              | Pre-post (baseline and after 1 à 2 months): Self-report binominal measure (yes/no)                                              | No significant improvement on most aspects related to communication skills (10/13) or related to MI (3/4)                                                                                                                                                                                                                                                                                                                                                                                                                                                            | 0   |
| <b>03 (–)</b>   | De Ruijter,<br>2018                                     | Online               | Yes, ICM and SDT      | 269 PNs: <i>M</i> age=47.3, <i>SD</i> =9.5; <i>M</i> counselling experience=5.6, <i>SD</i> =3.7; 279 patients (100% smokers)                | Smoking cessation guideline adherence                              | Pre-post with CG and a follow-up (baseline and after 6 and 12 months): Self-report scale measure                                | No significant effect on behaviour. Significant interaction group*experience PN (beta=.589)                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | 2   |
| <b>04 (+/–)</b> | Maassen, 2008                                           | Face-to-face         | Yes, TTM              | 38 dentists, oral hygienist, and prevention assistance in the dentist clinic: Age and experience not specified, 197 patients (100% smokers) | Smoking cessation guideline adherence                              | Pre-post (baseline and after 3 months): Self-report ordinal measure (not/mostly/always)                                         | Significant increase in 5/12 guideline parts, all when patient wants to stop (preparing quit attempt, setting a quit date, providing nicotine replacements, providing information, and quit smoking support)                                                                                                                                                                                                                                                                                                                                                         | 5   |
| <b>05 (+/–)</b> | Verbiest, 2014                                          | Face-to-face         | No                    | 49 GPs: <i>M</i> age=50, <i>SD</i> =8; 39% received previous smoking cessation training; 2068 patients (43% smokers)                        | Smoking cessation guideline adherence                              | Pre-post with CG (after every 2 workdays over 3wk, training was in the first 2wk): Self-report and patient report scale measure | GPs in the IG provided significantly more often a quit advice; other guideline steps, <i>ns</i> .<br>Patients: GPs in the IG significantly more often asked about smoking cessation; other guideline steps, <i>ns</i>                                                                                                                                                                                                                                                                                                                                                | 2   |
| <b>06 (+/–)</b> | Oude Vesselink,<br>2015                                 | Blended              | Yes, TTM              | 113 midwives: Age, experience and number of patients not specified (IQR smokers per practice ~ 1–17%)                                       | Smoking cessation guideline adherence                              | Pre-post with 2 follow-ups (baseline and after 12, 14 and 20 months): Self-report binominal measure (yes/no)                    | <b>Intervention A:</b> V-MIS use increased from 28% to 80%, <i>p</i> <.05; smoking policy, <i>p</i> <.05; registration smoke behaviour, <i>p</i> <.05; counselling quit attempt, <i>ns</i> ; aftercare quit attempt, <i>p</i> <.05; motivation and barriers, <i>ns</i><br><b>Intervention B &amp; C:</b> V-MIS use 80% in IG versus 71% in CG, <i>ns</i> ; smoking policy, <i>p</i> <.05; registration smoking behaviour, <i>p</i> <.05; counselling quit attempt, <i>ns</i> ; aftercare quit attempt, <i>ns</i> ; <i>p</i> <.05; motivation and barriers, <i>ns</i> | 0   |
| <b>07 (+/–)</b> | Hilberink, 2005                                         | Face-to-face         | Yes, TTM and ASE      | 55 GPs: Age and experience not specified; 392 patients (100% smokers)                                                                       | Smoking cessation guideline adherence                              | Only post after 6 months: Self-report binominal measure (yes/no)                                                                | Self-reported following of the protocol was good: 70%–83% followed 6/9 aspects, <1/3 advised patients to use pharmacological products and 50% of GPs restarted the protocol after relapse.                                                                                                                                                                                                                                                                                                                                                                           | 6   |

(continued)

Table A2. (continued)

| ID       | First Author<br>Effect Paper,<br>year of<br>Publication | Type of Intervention                             | Theory based (Yes/No)     | Sample Descriptives                                                                                                                                                                                                                                                                                                            | Intervention Focus                                                               | Design, Measurements,<br>and Methods                                                                        | Effects                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | QAI |
|----------|---------------------------------------------------------|--------------------------------------------------|---------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|
| 08 (+/-) | Van Doorn-<br>Klomborg,<br>2014                         | Face-to-face                                     | No                        | 69 GP practices: Age and<br>experience not specified;<br>smokers per COPD-practice in<br>2006–2008: $M=36.6$ , $SD=22.9$ ;<br>2009–2011, cohort 1: $M=31.8$ ,<br>$SD=16.1$ ; cohort 2: $M=32.2$ ,<br>$SD=20.7$ ; smokers per CVD<br>practice in 2009–2011, cohort<br>1: $M=12.6$ , $SD=8.5$ ; cohort 2:<br>$M=10.5$ , $SD=7.8$ | Quality of diabetes,<br>COPD and CDV<br>care                                     | Only post after 3 years:<br>Binomial self-report on<br>24 indicators of quality<br>of care (yes/no)         | Improvements in cholesterol<br>measures; foot examination,<br>prescribing fat reducing medication<br>(diabetes), spirometry testing and<br>proving quit smoking advice<br>(COPD). No improvements in 21<br>other indicators.                                                                                                                                                                                                                                                                                                                                       | 0   |
| 09 (+)   | Kotz, 2008                                              | Smoking cessation<br>guideline<br>implementation | No                        | 254 Respiratory nurses: $M$<br>age=44.3, $SD=8.1$ ; experience<br>and number of patients who<br>smoke not specified                                                                                                                                                                                                            | Smoking cessation<br>guideline<br>adherence                                      | Descriptive and compared<br>with 6 year old data                                                            | <b>Descriptive:</b> 37% measures<br>motivation, 60% the tobacco- and<br>15% nicotine addiction, 37%<br>symptoms mental health (anxiety<br>and depression). 92% used the<br>Fletcher-curve and 70%<br>confronted the patient with their<br>spirometry results.<br>versus <b>old data:</b> counselling intensive<br>session 1: 36min versus 19min;<br>session 2: 23min versus 17min and<br>session 3: 18min versus 14min. All<br>techniques used: 93% versus 72%.<br>Biggest difference in arranging: 83%<br>versus 38% and in making a quit<br>goal: 79% versus 32% | 1   |
| 10 (+)   | Frijling, 2003                                          | Face-to-face +<br>telephone                      | No                        | 617 GPs: 47% over 45-year-old,<br>78% male; 5530 patients<br>(percentage smokers not<br>specified)                                                                                                                                                                                                                             | Smoking cessation<br>guideline<br>adherence and<br>CVD risk factors<br>advice    | Pre-post with CG<br>(baseline and after 3<br>years): Self-report<br>binominal measure<br>(yes/no)           | IG: 9.7% increase in smoking<br>cessation guideline adherence,<br>$p<.05$<br>CG: 5.1% increase in smoking<br>cessation guideline adherence, <i>ns</i>                                                                                                                                                                                                                                                                                                                                                                                                              | 3   |
| 11 (+)   | Atiqi, 2011                                             | Questionnaire (modus<br>not specified)           | No                        | 281 GPs: Age, experience and<br>number of smoking patients<br>not specified                                                                                                                                                                                                                                                    | Providing quit<br>smoking, blood<br>pressure and<br>lifestyle related<br>advices | Pre-post (baseline and<br>after 12 months): Self-<br>report binominal<br>measure (yes/no)                   | Quit smoking advice increased<br>significantly: 76%–82%, $p<.02$                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 4   |
| 12 (+)   | Kruis, 2014                                             | Face-to-face                                     | No                        | GPs, PNs, physiotherapists from<br>40 practices: Number of<br>professionals, age and<br>experience not specified; 1086<br>patients (35% smokers)                                                                                                                                                                               | Integrated care<br>quality                                                       | Pre-post with follow-up<br>and CG (baseline and<br>after 12 and 24<br>months): Self-report<br>scale measure | IG: Significant higher PACIC score<br>after 12 and 24 months (difference:<br>0.15)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 0   |
| 13 (+)   | Tsiachristas,<br>2014                                   | Face-to-face                                     | Yes,<br>Wagner's<br>model | Multidisciplinary in the GP<br>Cooperation: Number of<br>professionals, smoking<br>patients, age, and experience<br>not specified                                                                                                                                                                                              | Quality of diabetes<br>care and<br>integrated care                               | Pre-post with follow-up<br>(baseline and after 1 and<br>2 years): Self-report<br>scale measure              | Quality scores indicated significant<br>improvements in all domains of the<br>chronic care models in all DMP's<br>( $p<.05$ )                                                                                                                                                                                                                                                                                                                                                                                                                                      | 3   |

(continued)

Table A2. (continued)

| ID     | First Author<br>Effect Paper,<br>year of<br>Publication | Type of Intervention | Theory based (Yes/No) | Sample Descriptives                                                                                                                                                                                                                       | Intervention Focus                                               | Design, Measurements,<br>and Methods                                                                                                                          | Effects                                                                                                                                                                                                                                                                                                                                                                                  | QAI |
|--------|---------------------------------------------------------|----------------------|-----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|
| 14 (+) | Tsiachristas,<br>2014                                   | Face-to-face         | Yes, Wagner's model   | Multidisciplinary in the CVD<br>Cooperation: Number of<br>professionals, smoking<br>patients, age, and experience<br>not specified                                                                                                        | Quality of CVD care<br>and integrated<br>care                    | Same as B13*                                                                                                                                                  | Same as B13*                                                                                                                                                                                                                                                                                                                                                                             | 2   |
| 15 (+) | Scheffers-van<br>Schayck,<br>2021                       | Telephone            | No                    | 68 medical child healthcare<br>professionals and specialized<br>child healthcare professionals<br>(92.3% female); Profession:<br>Paediatrician (15.4%), Nurse<br>(63.1%), Specialised healthcare<br>professional (1.5%), other<br>(10.7%) | Referrals to smoking<br>cessation<br>intervention for<br>parents | Post survey (~1.5 to 2y<br>after receiving the tool)<br>and qualitative<br>interviews at M=4.5<br>months (range: 2.5–6<br>months) after receiving<br>the tool | Healthcare professionals reported<br>that the tool was convenient to use<br>and accessible. Only a few of the<br>healthcare professionals reported<br>that the referral tool was too<br>difficult to use (10.7%, n = 3); The<br>SPF referral tool made it easier to<br>discuss smoking cessation (67.9%, n<br>= 19) and to help parents with<br>quitting tobacco use (60.7%, n =<br>17). | 3   |

Notes. Interventions categorised as 'ineffective' are indicated by (–), interventions categorised as having 'mixed effects' are indicated by (+/–), and interventions categorised as 'effective' are indicated by (+). M = Mean, SD = Standard Deviation; IQR = Inter Quartile Range; wk = week; GP = General Practitioner; PN = practice nurse; PACIC = Patient Assessment Chronic Illness Care; IG = Intervention Group; CG = Control Group; MI = Motivational Interviewing; CVD = Cardio-vascular disease; ICM = I-Change Model; SDT = Self-Determination Theory; TTM = Trans-Theoretical Model; ASE = Social-Psychological (Attitude–Social Influence–Self-Efficacy) model; QAI = quality assessment score ranging from 0 (not reported) to 3 (high quality of construct). \* = Outcome measure and effects in Tsiachristas et al., 2015 were based on all 16 disease management programs (DMPs), however, only two DMPs met our inclusion criteria. Given all DMPs had significant results, it was indicated that the two included DMPs were also significant.



**Table A3.** Overview of General, Specific (BCTs) and Content Related Active Ingredients.

| Effectiveness category                              | -  | -  | -  | +/- | +/- | +/- | +/- | +  | +  | +  | +  | +  | +  | +  | +  |
|-----------------------------------------------------|----|----|----|-----|-----|-----|-----|----|----|----|----|----|----|----|----|
| Study ID                                            | 01 | 02 | 03 | 04  | 05  | 06  | 07  | 08 | 09 | 10 | 11 | 12 | 13 | 14 | 15 |
| <b>GENERAL ACTIVE INGREDIENTS</b>                   |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Incentive for participation                         |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Co-creation with target population                  |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Compatibility with target population                |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Training of intermediaries                          |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Protocol used for intervention development          |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| <b>SPECIFIC ACTIVE INGREDIENTS</b>                  |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| <b>Goals and planning</b>                           |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Goal setting (behaviour)                            |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Problem solving                                     |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Action planning                                     |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Review behaviour goal(s)                            |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Discrepancy between current behaviour and goal      |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Review outcome goal(s)                              |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Behavioural contract                                |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Commitment                                          |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| <b>Feedback and monitoring</b>                      |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Monitoring of behaviour by others without feedback  |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Feedback on behaviour                               |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Self-monitoring of behaviour                        |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Self-monitoring of outcome(s) of behaviour          |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| <b>Social support</b>                               |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Social support (unspecified)                        |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Social support (practical)                          |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Social support (emotional)                          |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| <b>Shaping knowledge</b>                            |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Instruction on how to perform the behaviour         |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Information about antecedents                       |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| <b>Natural consequences</b>                         |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Information about health consequences               |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Information about social/environmental consequences |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| <b>Comparison of behaviour</b>                      |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Demonstration of the behaviour                      |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Social comparison                                   |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| <b>Associations</b>                                 |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Prompts/cues                                        |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| <b>Repetition and substitution</b>                  |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Behavioural practice/rehearsal                      |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Graded tasks                                        |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| <b>Comparison of outcomes</b>                       |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Pros and cons                                       |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| <b>Reward and threat</b>                            |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Material incentive (behaviour)                      |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Material reward (behaviour)                         |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Reward (outcome)                                    |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| <b>Regulation</b>                                   |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Pharmacological support                             |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| <b>Antecedents</b>                                  |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Restructuring the physical environment              |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Restructuring the social environment                |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Adding objects to the environment                   |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| <b>Identity</b>                                     |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Framing/reframing                                   |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| <b>Scheduled consequences</b>                       |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Behaviour cost                                      |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| <b>CONTENT RELATED ACTIVE INGREDIENTS</b>           |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Elements targeting intervention goal                |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Elements targeting target group                     |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Elements targeting methodology                      |    |    |    |     |     |     |     |    |    |    |    |    |    |    |    |
| Total number of active ingredients used             | 57 | 4  | 54 | 12  | 15  | 30  | 12  | 14 | 2  | 3  | 3  | 47 | 8  | 12 | 3  |

Notes. The darker the cell gradient the more often a BCT was coded in one study (white indicates not included).

| Gradient agenda specific active ingredients: |                    |
|----------------------------------------------|--------------------|
| Once included                                | 3 times included   |
| Twice included                               | ≥ 4 times included |

The total number of active ingredients used include the multiple times the BCT was coded. General and content related active ingredients were coded binominal (black indicates that the intervention included the active ingredients (+1 in total number of active ingredients used), white indicates not used).

**Table A4.** Implementation Assessment of Included Interventions.

| ID     | Intervention Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Adherence                                                                                          | Dose                                                                  | Acceptance                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Total Quality Assessment Implementation |
|--------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|
| 01 (—) | PNs receive training and feedback on MI techniques; PNs had access to an online intervention about CVD risk management; PNs were advised to screen for depressive symptoms in patients and to use certain E-Health options (i.e., certain websites and Twitter consultations) for patients who are depressed; PNs were advised refer patients with mild depression to a sports group and those with major depression to a psychologist before starting the CVD risk management ( <b>passive learning</b> ) | Phone contact in 3 of the 39 GPs and email correspondence with 3 other practices ( <b>QAI: 1</b> ) | Average time invested in intervention was 2.5 hours ( <b>QAI: 2</b> ) | <b>Positive:</b> Most GPs rated all elements positive; 14/15 practices viewed the materials and practice sessions positive; 13 GPs will recommend engagement with intervention; 5 practices, who received 1 meeting, found this sufficient support.<br><b>Negative:</b> 4 practices, who received 1 meeting, found this insufficient support; PNs reporting usefulness of MI training: 94.1%; CVD E-learning module: 64.7%; depression screening tool: 17.6%; E-health support: 11.8%; Intervention materials: 41.2%; Twitter consultation: 0% ( <b>QAI: 2</b> ) | <b>Score: 5</b>                         |
| 02 (—) | PN-patient consultations were video recorded during 2 moments with an interval of 3–6 months; PNs received 2 other videos to watch (1 good, 1 bad) and were asked to judge their own consultation; in an 1h face-to-face meeting PNs received feedback on their communication techniques (e.g., MI skills) and clinical competencies (e.g., manual adherence) ( <b>passive learning</b> )                                                                                                                  | Not reported ( <b>QAI: 0</b> )                                                                     | Not reported ( <b>QAI: 0</b> )                                        | Not reported ( <b>QAI: 0</b> )                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | <b>Score: 0</b>                         |

(continued)

Table A4. (continued)

| ID       | Intervention Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Adherence                                                                    | Dose                                                                                                                                                                                                                                                                                                                                                                          | Acceptance                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Total Quality Assessment Implementation |
|----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|
| 03 (–)   | A website provided the following info: (a) A letter (extensive and short version available) based on a survey that provided feedback related to smoking cessation guideline adherence and advice on what modules to follow; (b) The extensive version addressed 8 topics related to smoking cessation guideline adherence; (c) Tailored advice to achieve behaviour change; (d) Background info about smoking cessation, Dutch regulations, laws and health insurance topics as well as a scientific base for the use of smoking cessation guidelines; (e) General info, online forum, FAQ-section and a counselling checklist<br><b>(passive learning)</b> | Use of E-learning intervention was relatively low (38.8%)<br><b>(QAI: 1)</b> | E-learning Modules:<br>- Tailored advice: 1.8 finished parts ( $SD=2.7$ ), 78 PN-user (53.1%);<br>- Counselling info: 1.4 finished parts ( $SD=4.7$ ), 39 PN-users (26.5%);<br>- Online Forum: 4.7 read messages ( $SD=9.1$ ), 60 PN-users (40.8%); 0.1 shared messages: ( $SD=0.4$ ), 12 PN-users (8.2%); 0.3 responses ( $SD=0.8$ ), 19 PN-users (12.9%)<br><b>(QAI: 1)</b> | Not reported <b>(QAI: 0)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | <b>Score: 2</b>                         |
| 04 (+/–) | The combined implementation strategy consisted of:<br>- A central 4h training on smoking-dental health relation, addiction and behaviour change<br>- A minimal and optimal protocol version (with examples) to advise and provide support: Minimal version: Ask-Advise-assess and Refer<br>Optimal version: Ask-Advise-assess-Refer and assist<br>- Information materials for patients;<br>- Stimulating SCC <b>(passive learning)</b>                                                                                                                                                                                                                      | Not reported <b>(QAI: 0)</b>                                                 | 5 practices chose the optimal protocol ( $M$ 7min > normal consultation; 7 practices chose the minimal protocol ( $M$ 6.6min > normal consultation) <b>(QAI: 2)</b>                                                                                                                                                                                                           | <b>Positive patient report:</b> The central training was valued at a 7.6/10 ( $SD=0.6$ ); 77% and 22% valued the role playing as good and average, resp; flyers were valued at a 8.2/10 ( $SD=0.8$ ); 84% valued the choice of minimal versus optimal protocol; 57% reported to receive exemplar sentences from their caregiver; 58% smokers thought it was (very) helpful; 23% would appreciate one phone consultation of their dental clinicians;<br><b>Negative patient rapport:</b> The advice part took too much time in the optimal protocol; ~50% wanted a longer consultation; only 15% appreciated setting a quit date, while 3% very much disliked this.<br><b>Positive dentist rapport:</b> The protocols were valued at a 7.5/10 ( $SD=1.0$ ); 89% found it implementable; 84% found the info believable; 90% found the info (very) easy to read. The folder was valued at a 7.1/10 ( $SD=1.0$ ) <b>(QAI: 3)</b> | <b>Score: 5</b>                         |

(continued)

Table A4. (continued)

| ID          | Intervention Description                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Adherence                                                                                       | Dose                                                                           | Acceptance                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Total Quality Assessment Implementation |
|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|
| 05<br>(+/-) | A 1h training for GPs where current knowledge and skills related to SCC were assessed and instruction to increase these provided; info about SCC effectiveness was given; motivation to apply SCC was identified and where necessary increased. The training ended by providing a personalised implementation goal and action plan. GPs received a toolkit with a SCC-flowchart, a pharmacology support summary, and patient folders <b>(passive learning + provision of tool)</b> | Not reported <b>(QAI: 0)</b>                                                                    | Not reported <b>(QAI: 0)</b>                                                   | All PNs reported to be content with the received feedback <b>(QAI: 2)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | <b>Score: 2</b>                         |
| 06<br>(+/-) | A deadline was announced where all practices needed to meet the professional counselling norms (V-MIS); Practices were randomly selected to be assessed with a survey and received feedback. Practices were randomly visited to be inspected and received feedback; Practices that underperformed could get closed <b>(top-down control)</b>                                                                                                                                       | Not reported <b>(QAI: 0)</b>                                                                    | Not reported <b>(QAI: 0)</b>                                                   | Not reported <b>(QAI: 0)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | <b>Score: 0</b>                         |
| 07<br>(+/-) | Professionals of the GP practices received a 4h long groups training covering COPD and smoking cessation; the practice received three visits for extra support <b>(passive learning)</b>                                                                                                                                                                                                                                                                                           | 19/55 GPs (from 18 practices) finished the evaluation survey about the protocol <b>(QAI: 1)</b> | Compliance with most aspects of the protocol was good (70–80%) <b>(QAI: 3)</b> | <b>Positive:</b> Overall GPs evaluated the use of the intervention as positive; some GPs enjoyed participating in the study as this stimulated the use of the protocol.<br><b>Negative:</b> Negative influence on protocol adherence because of: (1) reluctance regarding working with new protocols; (2) a disbalance between effort and expected positive outcomes; (3) worries regarding counteraction of patients, which can negatively influence the doctor-patient relationship (huge barrier). Most GPs were dissatisfied with the effectiveness of the protocol <b>(QAI: 2)</b> | <b>Score: 6</b>                         |
| 08<br>(+/-) | A natural intervention in which a protocol for the treatment of nicotine and tobacco addiction (L-MIS) was implemented on national level in practices <b>(provision of tool)</b>                                                                                                                                                                                                                                                                                                   | Not reported <b>(QAI: 0)</b>                                                                    | Not reported <b>(QAI: 0)</b>                                                   | Not reported <b>(QAI: 0)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | <b>Score: 0</b>                         |
| 09 (+)      | Combination of conferences, handing out manuals and support of a trainer, who visited the practice to help follow the protocol <b>(passive learning)</b>                                                                                                                                                                                                                                                                                                                           | Not reported <b>(QAI: 0)</b>                                                                    | Not reported <b>(QAI: 0)</b>                                                   | <b>Negative:</b> 35% of GPs reported time constraints as a limitation; about 25% reported the lack of financial compensation as a limitation <b>(QAI: 1)</b>                                                                                                                                                                                                                                                                                                                                                                                                                            | <b>Score: 1</b>                         |

(continued)

Table A4. (continued)

| ID     | Intervention Description                                                                                                                                                                                                                                                                                                                                                                | Adherence                                                                                        | Dose                                                                                                                                                                                                                                                                                          | Acceptance                                                                                                                                                                                                                                                                                                                                                                              | Total Quality Assessment Implementation |
|--------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|
| 10 (+) | A national survey questioning non-pharmacological treatment recommendations, factors related to blood pressure and healthy lifestyle. The survey is distributed twice, with 1 year in between measurement points ( <b>passive learning</b> )                                                                                                                                            | 60% of participants filled in the complete survey at time 1 and 100% at time 2 ( <b>QAI: 3</b> ) | Not reported ( <b>QAI: 0</b> )                                                                                                                                                                                                                                                                | Not reported ( <b>QAI: 0</b> )                                                                                                                                                                                                                                                                                                                                                          | <b>Score: 3</b>                         |
| 11 (+) | A 2-day multidisciplinary intervention training with follow-ups after 6 and 12 months. During training, the teams learnt about interventions, redevelop their caring process, make agreements about everyone's responsibilities, and receive training about how to deal with feedback, as well as how they can integrate this feedback in their care process ( <b>active learning</b> ) | Not reported ( <b>QAI: 0</b> )                                                                   | 8/19 interventions implemented; physiotherapist implemented most interventions (88%); exacerbation management was implemented 76% and recognising & monitoring of high-risk COPD-patients was implemented 71%; 18% of the teams implemented MI; No team used the ICT-system ( <b>QAI: 2</b> ) | <b>Positive:</b> Health caregivers valued the intervention as informative, catchy, and inspirational regarding COPD care ( <b>QAI: 2</b> )                                                                                                                                                                                                                                              | <b>Score: 4</b>                         |
| 12 (+) | Practice management and patient care data were entered in an online system; GP practices received a feedback rapport, which compares their performance with that of other practices; The GP writes an improvement plan using the 'plan-do-act' cycle; The first audit is conducted after confirming and approving the plan ( <b>active learning</b> )                                   | Not reported ( <b>QAI: 0</b> )                                                                   | Not reported ( <b>QAI: 0</b> )                                                                                                                                                                                                                                                                | Not reported ( <b>QAI: 0</b> )                                                                                                                                                                                                                                                                                                                                                          | <b>Score: 0</b>                         |
| 13 (+) | Professionals composed a care intervention, in which agreements are made regarding responsibilities, referrals, assessment of quality of care and adaptation to new scientific insights; A patient portal was developed; A workshop was offered wherein the self-management tool and MI techniques were explained ( <b>active learning + provision of tool</b> )                        | Not reported ( <b>QAI: 0</b> )                                                                   | The workshop was cancelled at the end of the project because of low attendance ( <b>QAI: 1</b> )                                                                                                                                                                                              | <b>Positive:</b> The professionals, project leaders and patients were enthusiastic about the workshop;<br><b>Negative:</b> Ambiguity among patients at the start of the workshop. Second session was cancelled. The workshop seemed to be more suitable for interested patients ( <b>QAI: 2</b> )                                                                                       | <b>Score: 3</b>                         |
| 14 (+) | Changes on organisational level for the professional consisted of offers to follow training and receive mentoring; Professionals could ask for feedback and suggestions regarding the care management intervention in 4 sessions in which consultations with patients were evaluated ( <b>active learning + top-down control</b> )                                                      | Not reported ( <b>QAI: 0</b> )                                                                   | Not reported ( <b>QAI: 0</b> )                                                                                                                                                                                                                                                                | <b>Positive:</b> The web portal was personalised to the needs of the patients;<br><b>Negative:</b> Respondents ascertain that the reorganisation takes time and effort as well as the need for support from and acceptance among care professionals. Barriers on organisational level obstructed progress. More professionals of different sectors should be included ( <b>QAI: 2</b> ) | <b>Score: 2</b>                         |

(continued)

Table A4. (continued)

| ID     | Intervention Description                                                                                                                                                                                                                                                                                                                                                                 | Adherence             | Dose                                                                                                                                                                                                      | Acceptance                                                                                                                                                                                                                                                                                                                                                                  | Total Quality Assessment Implementation |
|--------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|
| 15 (+) | The toolkit included: (1) a paper-based information card (size A5) for healthcare professionals that provided information about the referral tool; The toolkit included: (2) a small paper-based card (size A6) that healthcare professionals could give to parents to inform them about SFP and the risks of children's exposure to SHS; and (3) a poster of SFP<br>(provision of tool) | Not reported (QAI: 0) | The majority of the healthcare professionals mentioned that they regularly discussed smoking with parents, usage of tool was low as there were barriers from parents and professionals to use it (QAI: 1) | Healthcare professionals reported that the tool was convenient to use and accessible. Only a few of the healthcare professionals reported that the referral tool was too difficult to use (10.7%, $n = 3$ ); The SPF referral tool made it easier to discuss smoking cessation (67.9%, $n = 19$ ) and to help parents with quitting tobacco use (60.7%, $n = 17$ ) (QAI: 2) | Score: 3                                |

Notes. Interventions categorised as 'ineffective' are indicated by (–), interventions categorised as having 'mixed effects' are indicated by (+/–), and interventions categorised as 'effective' are indicated by (+). QAI = quality assessment score ranging from 0 (not reported) to 3 (high quality of construct); SD = standard deviation; PN = practice nurses; GP = general practitioner; MI = motivational interviewing; V-MIS = minimal intervention strategy for midwives (L-Mis for lung nurses); SCC = smoking cessation counselling; CVD = cardiovascular disease; COPD = chronic obstructive pulmonary disease.

### Declaration of Conflicting Interests

The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

### Funding

The author(s) disclosed receipt of the following financial support for the research, authorship, and/or publication of this article: This study was funded by ZonMW, project number: 555002004. We declare that the funders were not involved in the conceptualisation, conducting, analysing or interpreting of the data.

### Data Availability Statement

The data that support the findings of this study are openly available in the Open Science Framework (OSF) at <https://osf.io/3nrxx/>.

### ORCID iDs

Enrique L. P. Mergelsberg  <https://orcid.org/0000-0002-7048-830X>  
Ciska Hoving  <https://orcid.org/0000-0003-1357-863X>  
Dennis de Ruijter  <https://orcid.org/0000-0001-7210-371X>  
Mathilde R. Crone  <https://orcid.org/0000-0003-1243-858X>  
Eline S. Smith  <https://orcid.org/0000-0001-8588-6194>

### References

- Anderson, P., & Jane-Llopis, E. (2004). How can we increase the involvement of primary health care in the treatment of tobacco dependence? A meta-analysis. *Addiction*, 99(3), 299–312. <https://doi.org/10.1111/j.1360-0443.2003.00672.x>
- Aveyard, P., Begh, R., Parsons, A., & West, R. (2012). Brief opportunistic smoking cessation interventions: a systematic review and meta-analysis to compare advice to quit and offer of assistance. *Addiction*, 107(6), 1066–1073. <https://doi.org/10.1111/j.1360-0443.2011.03770.x>
- Carey, R. N., Connell, L. E., Johnston, M., Rothman, A. J., de Bruin, M., Kelly, M. P., & Michie, S. (2019). Behavior change techniques and their mechanisms of action: a synthesis of links described in published intervention literature. *Annals of Behavioral Medicine*, 53(8), 693–707. <https://doi.org/10.1093/abm/kay078>
- Carson, K. V., Verbiest, M. E. A., Crone, M. R., Brinn, M. P., Esterman, A. J., Assendelft, W. J. J., & Smith, B. J. (2012). Training health professionals in smoking cessation. *Cochrane Database of Systematic Reviews*(5). <https://doi.org/10.1002/14651858.CD000214.pub2>
- Centre for Healthy Living (2019). Active ingredients (Dutch: Werkzame elementen). Retrieved from <https://www.loketgezondleven.nl/leefstijlinterventies/werkzame-elementen>
- Chavannes, N. H., Meijer, E., Wind, L., van de Graaf, R. C., & Rietbergen, C. (2017). Herziene richtlijn 'Behandeling van tabaksverslaving en stoppen met roken ondersteuning' [Revised guideline 'Tobacco addiction treatment and smoking cessation support']. *Ned Tijdschr Geneesk*, 161. Retrieved from <https://www.ntvg.nl/artikelen/herziene-richtlijn-behandeling-van-tabaksverslaving-en-stoppen-met-roken-ondersteuning>
- Colquhoun, H. L., Squires, J. E., Kolehmainen, N., Fraser, C., & Grimshaw, J. M. (2017). Methods for designing interventions to change healthcare professionals' behaviour: a systematic review. *Implementation Science*, 12(1), 30. <https://doi.org/10.1186/s13012-017-0560-5>
- Connell, L. E., Carey, R. N., de Bruin, M., Rothman, A. J., Johnston, M., Kelly, M. P., & Michie, S. (2019). Links between behavior change techniques and mechanisms of action: an expert consensus study. *Annals of Behavioral Medicine*, 53(8), 708–720. <https://doi.org/10.1093/abm/kay082>



- Cramm, J. M., & Nieboer, A. P. (2015). Disease management: the need for a focus on broader self-management abilities and quality of life. *Population Health Management*, 18(4), 246–255. <https://doi.org/10.1089/pop.2014.0120>
- Davis, R., Campbell, R., Hildon, Z., Hobbs, L., & Michie, S. (2015). Theories of behaviour and behaviour change across the social and behavioural sciences: a scoping review. *Health Psychology Review*, 9(3), 323–344. <https://doi.org/10.1080/17437199.2014.941722>
- de Ruijter, D., Candel, M., Smit, E. S., de Vries, H., & Hoving, C. (2018). The effectiveness of a computer-tailored E-learning program for practice nurses to improve their adherence to smoking cessation counseling guidelines: Randomized controlled trial. *[Journal of Medical Internet Research Electronic Resource]*, 20(5), e193. <https://doi.org/10.2196/jmir.9276>
- De Ruijter, D., Mergelsberg, E. L. P., Crone, M. R., Smit, E., & Hoving, C. (2021). Identifying active ingredients, working mechanisms and fidelity characteristics reported in smoking cessation interventions in Dutch primary care: a systematic review. *Nicotine & Tobacco Research*. <https://doi.org/10.1093/ntab236>
- De Ruijter, D., Smit, E. S., de Vries, H., Goossens, L., & Hoving, C. (2017). Understanding Dutch practice nurses' adherence to evidence-based smoking cessation guidelines and their needs for web-based adherence support: results from semistructured interviews. *BMJ Open*, 7(3), e014154. <https://doi.org/10.1136/bmjopen-2016-014154>
- Durlak, J. A., & DuPre, E. P. (2008). Implementation matters: A review of research on the influence of implementation on program outcomes and the factors affecting implementation. *American Journal of Community Psychology*, 41(3–4), 327–350. <https://doi.org/10.1007/s10464-008-9165-0>
- Dusenbury, L., Brannigan, R., Falco, M., & Hansen, W. B. (2003). A review of research on fidelity of implementation: implications for drug abuse prevention in school settings. *Health Education Research*, 18(2), 237–256. <https://doi.org/10.1093/her/18.2.237>
- Dutch Central Bureau for Statistics [CBS] (2021). *Contact with dentist, 2020 [Dutch: Contact met tandarts, 2020]*. Retrieved from <https://www.cbs.nl/nl-nl/maatwerk/2021/16/contact-met-tandarts-2020>
- Dutch Central Bureau for Statistics [CBS] (2022). *Registered contacts by the general practitioner; age and gender, 2006-2018 [Dutch: Door de huisarts geregistreerde contacten; leeftijd en geslacht, 2006-2018]*. Retrieved from [https://www.cbs.nl/nl-nl/cijfers/detail/80191ned?q=huisarts#ConsultenOpDePraktijk\\_2](https://www.cbs.nl/nl-nl/cijfers/detail/80191ned?q=huisarts#ConsultenOpDePraktijk_2)
- Fiore, M. C., Jaén, C. R., Baker, T. B., Bailey, W. C., Benowitz, N. L., Curry, S. J., & Heaton, C. G. (2008). *Treating tobacco use and dependence: 2008 update*. Rockville, MD: US Department of Health and Human Services
- Grimshaw, J. M., & Russell, I. T. (1993). Effect of clinical guidelines on medical practice: a systematic review of rigorous evaluations. *Lancet-London*, 342(8883), 1317–1317. [https://doi.org/10.1016/0140-6736\(93\)92244-n](https://doi.org/10.1016/0140-6736(93)92244-n)
- Hagger, M. S., Moyers, S., McAnally, K., & McKinley, L. E. (2020). Known knowns and known unknowns on behavior change interventions and mechanisms of action. *Health Psychology Review*, 14(1), 199–212. <https://doi.org/10.1080/17437199.2020.1719184>
- Hoffmann, T. C., Glasziou, P. P., Boutron, I., Milne, R., Perera, R., Moher, D., Altman, D. G., Barbour, V., Macdonald, H., Lamb, S. E., Dixon-Woods, M., McCulloch, P., Wyatt, J. C., Chan, A. W., Michie, S., & Johnston, M. (2014). Better reporting of interventions: template for intervention description and replication (TIDieR) checklist and guide. *BMJ (Clinical Research ed.)*, 348(mar07 3), g1687. <https://doi.org/10.1136/bmj.g1687>
- Ingebrigtsen, T., Georgiou, A., Clay-Williams, R., Magrabi, F., Hordern, A., Prgomet, M., Li, J., Westbrook, J., & Braithwaite, J. (2014). The impact of clinical leadership on health information technology adoption: systematic review. *International Journal of Medical Informatics*, 83(6), 393–405. <https://doi.org/10.1016/j.ijmedinf.2014.02.005>
- Konttila, J., Siira, H., Kyngäs, H., Lahtinen, M., Elo, S., Kääriäinen, M., Kaakinen, P., Oikarinen, A., Yamakawa, M., Fukui, S., Utsumi, M., Higami, Y., Higuchi, A., & Mikkonen, K. (2019). Healthcare professionals' competence in digitalisation: A systematic review. *Journal of Clinical Nursing*, 28(5–6), 745–761. <https://doi.org/10.1111/jocn.14710>
- McNeill, A., & Bates, C. (2000). *Smoking cessation in primary care*. London: ASH
- McParland, J. L., Williams, L., Gozdzielewska, L., Young, M., Smith, F., MacDonald, J., Langdrige, D., Davis, M., Price, L., & Flowers, P. (2018). What are the 'active ingredients' of interventions targeting the public's engagement with antimicrobial resistance and how might they work? *British Journal of Health Psychology*, 23(4), 804–819. <https://doi.org/10.1111/bjhp.12317>
- Michie, S., Carey, R. N., Johnston, M., Rothman, A. J., de Bruin, M., Kelly, M. P., & Connell, L. E. (2017). From theory-inspired to theory-based interventions: a protocol for developing and testing a methodology for linking behaviour change techniques to theoretical mechanisms of action. *Annals of Behavioral Medicine*, 52(6), 501–512. <https://doi.org/10.1007/s12160-016-9816-6>
- Michie, S., Churchill, S., & West, R. (2011). Identifying evidence-based competences required to deliver behavioural support for smoking cessation. *Annals of Behavioral Medicine*, 41(1), 59–70. <https://doi.org/10.1007/s12160-010-9235-z>
- Michie, S., Hyder, N., Walia, A., & West, R. (2011). Development of a taxonomy of behaviour change techniques used in individual behavioural support for smoking cessation. *Addictive Behaviors*, 36(4), 315–319. <https://doi.org/10.1016/j.addbeh.2010.11.016>
- Michie, S., Richardson, M., Johnston, M., Abraham, C., Francis, J., Hardeman, W., Eccles, M. P., Cane, J., & Wood, C. E. (2013). The behavior change technique taxonomy (v1) of 93 hierarchically clustered techniques: building an international consensus for the reporting of behavior change interventions. *Annals of Behavioral Medicine*, 46(1), 81–95. <https://doi.org/10.1007/s12160-013-9486-6>
- Miller, M., & Kearney, N. (2004). Guidelines for clinical practice: development, dissemination and implementation. *International Journal of Nursing Studies*, 41(7), 813–821. <https://doi.org/10.1016/j.ijnurstu.2003.09.005>

- Ministry of Health Welfare and Sport (2018). *The national Prevention Agreement. A healthier Netherlands*. Retrieved from The Hague, the Netherlands [www.nationaalpreventieakkoord.nl](http://www.nationaalpreventieakkoord.nl)
- Noordman, J., van der Weijden, T., & van Dulmen, S. (2014). Effects of video-feedback on the communication, clinical competence and motivational interviewing skills of practice nurses: a pre-test posttest control group study. *Journal of Advanced Nursing*, 70(10), 2272–2283. <https://doi.org/10.1111/jan.12376>
- Olsen, N. R., Bradley, P., Espehaug, B., Nortvedt, M. W., Lygren, H., Frisk, B., & Bjordal, J. M. (2015). Impact of a multifaceted and clinically integrated training program in evidence-based practice on knowledge, skills, beliefs and behaviour among clinical instructors in physiotherapy: a non-randomized controlled study. *Plos One*, 10(4), e0124332. <https://doi.org/10.1371/journal.pone.0124332>
- Oude Wesselink, S. F., Lingsma, H. F., Reulings, P. G., Wentzel, H. R., Erasmus, V., Robben, P. B., & Mackenbach, J. P. (2015). Does government supervision improve stop-smoking counselling in midwifery practices? *Nicotine & Tobacco Research*, 17(5), 572–579. <https://doi.org/10.1093/ntr/ntu190>
- Oude Wesselink, S. F., Stoopendaal, A., Erasmus, V., Smits, D., Mackenbach, J. P., Lingsma, H. F., & Robben, P. B. (2017). Government supervision on quality of smoking-cessation counselling in midwifery practices: a qualitative exploration. *BMC Health Services Research*, 17(1), 270. <https://doi.org/10.1186/s12913-017-2198-z>
- Pieterse, M. E., Duistermaat, J. H., & Drenthen, A. J. M. (1997). De rol van de medische sector bij het terug dringen van roken. Minimale interventiestrategie in de huisartspraktijk. *Medisch contact*
- Pieterse, M. E., Seydel, E. R., DeVries, H., Mudde, A. N., & Kok, G. J. (2001). Effectiveness of a minimal contact smoking cessation program for Dutch general practitioners: a randomized controlled trial. *Preventive Medicine*, 32(2), 182–190. <https://doi.org/10.1006/pmed.2000.0791>
- Proctor, E., Silmere, H., Raghavan, R., Hovmand, P., Aarons, G., Bunger, A., Griffey, R., & Hensley, M. (2011). Outcomes for implementation research: conceptual distinctions, measurement challenges, and research agenda. *Administration and Policy in Mental Health*, 38(2), 65–76. <https://doi.org/10.1007/s10488-010-0319-7>
- Richmond, H., Copsey, B., Hall, A. M., Davies, D., & Lamb, S. E. (2017). A systematic review and meta-analysis of online versus alternative methods for training licensed health care professionals to deliver clinical interventions. *Bmc Medical Education*, 17(1), 14. <https://doi.org/10.1186/s12909-017-1047-4>
- Shuval, K., Berkovits, E., Netzer, D., Hekselman, I., Linn, S., Brezis, M., & Reis, S. (2007). Evaluating the impact of an evidence-based medicine educational intervention on primary care doctors' attitudes, knowledge and clinical behaviour: a controlled trial and before and after study. *Journal of Evaluation in Clinical Practice*, 13(4), 581–598. <https://doi.org/10.1111/j.1365-2753.2007.00859.x>
- Stead, L. F., Bergson, G., & Lancaster, T. (2008). Physician advice for smoking cessation. [The Cochrane Database of Systematic Reviews Electronic Resource], 2, Cd000165. <https://doi.org/10.1002/14651858.CD000165.pub3>
- UK government. (2009). *Brief interventions in primary care for smoking cessation*. London, UK: Retrieved from. <https://www.ncsct.co.uk/usr/pub/guidance-on-brief-interventions-and-referral-for-smoking.pdf>
- van Doorn-Klomberg, A. L., Braspenning, J. C. C., Wolters, R. J., Bouma, M., & Wensing, M. (2014). Effect of accreditation on the quality of chronic disease management: a comparative observational study. *Bmc Family Practice*, 15(1), 8. <https://doi.org/10.1186/s12875-014-0179-4>
- van Lieshout, J., Huntink, E., Koetsenruijter, J., & Wensing, M. (2015). Tailored implementation of cardiovascular risk management in general practice: a cluster randomized trial. *Implementation Science*, 11(1), 115. <https://doi.org/10.1186/s13012-016-0460-0>
- van Rossem, C., Spigt, M. G., Kleijisen, J. R., Hendrixx, M., van Schayck, C. P., & Kotz, D. (2015). Smoking cessation in primary care: exploration of barriers and solutions in current daily practice from the perspective of smokers and healthcare professionals. *European Journal of General Practice*, 21(2), 1–7. <https://doi.org/10.3109/13814788.2014.990881>
- Verbiest, M., Brakema, E., van der Kleij, R., Sheals, K., Allistone, G., Williams, S., McEwen, A., & Chavannes, N. (2017). National guidelines for smoking cessation in primary care: a literature review and evidence analysis. *NPJ Primary Care Respiratory Medicine*, 27(1), 2–2. <https://doi.org/10.1038/s41533-016-0004-8>
- Walsh, J. M., & McPhee, S. J. (1992). A systems model of clinical preventive care: an analysis of factors influencing patient and physician. *Health Education Quarterly*, 19(2), 157–175. <https://doi.org/10.1177/109019819201900202>
- Walton, H., Spector, A., Tombor, I., & Michie, S. (2017). Measures of fidelity of delivery of, and engagement with, complex, face-to-face health behaviour change interventions: A systematic review of measure quality. *British Journal of Health Psychology*, 22(4), 872–903. <https://doi.org/10.1111/bjhp.12260>
- Wood, C. E., Richardson, M., Johnston, M., Abraham, C., Francis, J., Hardeman, W., & Michie, S. (2014). Applying the behaviour change technique (BCT) taxonomy v1: a study of coder training. *Translational Behavioral Medicine*, 5(2), 134–148. <https://doi.org/10.1007/s13142-014-0290-z>