

**Chinese assertiveness and the rise of Xi Jinping: ideational mobilization, elite contestation, and the struggle over regional order** Stevens, F.M.S.

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Chinese Assertiveness and the Rise of Xi Jinping: Ideational Mobilization, Elite Contestation, and the Struggle over Regional Order

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# Chinese Assertiveness and the Rise of Xi Jinping

Ideational Mobilization, Elite Contestation, and the Struggle over Regional Order

Doctoral Dissertation

Friso M.S. Stevens

This is a thesis submitted to the Faculty of Governance and Global Affairs of Leiden University for the degree of Doctor of Philosophy (Ph.D.).

Keywords that characterize this study are international security studies; elite politics; Chinese foreign policy and popular mobilization; Sino-American security relations; the regional order of East Asia.

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For my mother, Gerda, to whom I owe all

In warm appreciation of my mentors

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My friend of two decades, Paul Petrutoni, designed the cover as well as formatted the entire manuscript to make it print-ready.

## ABBREVIATIONS

ASEAN	—	Association of Southeast Asian Nations
Aukus		Australia, UK, US security pact
BRI		Belt and Road Initiative
BRICs		Brazil, Russia, India, and China
CASS		Chinese Academy of Social Sciences
CCP, Party		Chinese Communist Party
CCDI		Central Commission for Discipline Inspection
CC		Central Committee
CFALSG		Central Foreign Affairs Leading Small Group
CLSG		Central Leading Small Group
CMC		Central Military Commission
CPS		Central Party School
CPPCC		Chinese People's Political Consultative Conference
EEZ		Exclusive Economic Zone
GDP		Gross Domestic Product
MFA		Ministry of Foreign Affairs
NPC		National People's Congress
OECD		Organisation for Economic Co-operation and Development
PBSC		Politburo Standing Committee
PLA		People's Liberation Army
PRC		People's Republic of China
S&ED		Strategic and Economic Dialogue
SED		Strategic Economic Dialogue
SOE		State-owned Enterprise
UN		United Nations
WTO		World Trade Organization

## KEY TERMS AND SLOGANS

#### Pinyin

baquan

#### Meaning

bainian guochi bangpai chang zheng chaogong tizhi cong qunzhong zhong lai, dao qunzhong zhong qu da vitong daguo juegi dang shi lingdao yiqie de dangjian dangjin shijie zheng chuyu dangnei minzhu bainian wei you zhi da bianju dangxing dangzheng fenkai duinei xuanchuan duiwai xuanchuan duojihua duobian waijiao fang/shou fazhanzhong guojia fuqiang fuxing gaige kaifang gongtong fuyu guanxi guoji dingwei guoji geju guoji xingshi guoji zeren heping fazhan heping jueqi heping yanbian *hezuo* gongying huayu quan jiben luxian jingji danwei jingwai didui shili jiti lingdao *junmin jiehe ping zhan jiehe* 

Hegemony, from ba, a hegemon or wicked king that rules via force and coercion Century of National Humiliation (1839-1949) Faction Long March (period of strategic retreat; centerpiece in the CCP's origin story of eventual triumph) Tributary system (ritual, hierarchy based) From the masses, to the masses (Party mobilization doctrine called the "mass line," or qunzhong luxian) The great unity (of the nation) Rise of great powers Party leads over everything Party building The world today is undergoing major changes Intra-party democracy unseen in a century Party spirit Separation between Party and government Internal propaganda External propaganda Multipolarity, multipolarization (of the international system) Multilateralism, or multilateral diplomacy Relaxing/tightening (cycle in CCP politics) Developing country Wealth and power (of the nation) Rejuvenation (of the nation) Reform and Opening Up (Deng Xiaoping Theory) Common prosperity (Xi era slogan) Culturally-rooted association of mutual obligation International positioning (of the country) International power configuration (balance of power) International situation International responsibilities Peaceful Development (policy under Hu Jintao) Peaceful Rise (term replaced by Peaceful Development) Peaceful evolution (by American/Western liberal actors) Win-win cooperation Discourse power Basic line (to achieve the final stage of socialism) Centralized economic unit (of Wang Jingwei) Hostile foreign forces Collective leadership (decision-making) Combine the military and the civil, combine

junpin youxian yi min yang jun

jingshen wuran kexue fazhan guan

kou liang hui liangge quantou daren

liangge yibai nian liangge zhenying lingdao guanxi

lishi chaoliu

lishi jueyi

luxian maofen meiyou gongchandang jiu meiyou xin zhongguo minzhu minzu mulin youhao nei luan wai huan

qiangguo qiushi renmin lingxiu riqu qiangying

san re'ai san zhan sange daibiao sange shijie de lilun sanmin zhuyi sege xiandaihua shaji jinghou sixiang jiben yuanze sixiang gongzuo

shehui guanli shehui zhili shehui zhuyi xiandaihua guojia shi

shishi qiushi shuangying tao guang yang hui peacetime with wartime, prioritize military products, and let the civilian sector support the military sector (quote by Deng Xiaoping) Spiritual pollution (by liberal ideas from the West) Scientific Development Concept (governing ideology of Hu Jintao) Gateway (substantive area in the Party-state apparatus) Two Sessions (relating to government work) Fighting with two fists (against both the Soviet Union and the US) Two Centennial Goals (toward national rejuvenation) Two Camps (Soviet Union alignment against the US) Leadership relations (hierarchical authority in the bureaucracy) Historical trend (of the inevitability of socialism; Marxist idea about the world's trajectory) Historical resolution (rare political judgment on the Party's past and present) Party line Mao fan Without the Party, no New China (People's) democracy Nation (motherland) or ethnic group or national identity Good neighborliness When there is internal instability, external forces intervene (exploit the weakness, opportunity) Strong (great) power Seeking Truth (theory journal of the Party) People's Leader Increasingly tough (imperfect translation of assertiveness) Three Passions (for homeland, socialism, and Party) Three Warfares (propaganda doctrine) Three Represents (governing ideology of Jiang Zemin) Three Worlds Theory (of Mao Zedong Thought) Three People's Principles (Sun Yat-sen's doctrine) Four Modernizations (of Zhou Enlai) Killing a chicken to frighten the monkeys (tactic) Four Cardinal Principles (of Deng Xiaoping Theory) Thought or ideological work (aimed at controlling public communications) Social management Social governance Modern socialist country (second centennial goal) Assessment of the balance of power and trend of the time Seeking truth from facts (Deng era slogan) Mutually beneficial Keeping a low profile (of Deng Xiaoping Theory)

#### tianxia

tiao/kuai tong san tong

weiwen wenhua zhuquan wumao dang

xian annei hou rangwai

xiao fenhong xiaokang xiaokang shehui xin zhongguo xin zuopai xinxing daguo guanxi xitong yiren de dao jiquan shengtian

you suo zuowei you zhongguo tese de shehui zhuyi yulun douzheng zao yulun zhanlue jiyuqi

zhengce zhengzhi guashuai zhiqing (zhishi qingnian) zhongguo zhongguo meng zhongguo moshi zhongguo waijiao zhonghua minzu zhonghua minzu weida fuxing zhonghua wenhua jingshen zhongnanhai zhongxin renwu zhongyang zhongyang lingdao xiaozu zhuyao maodun

zili gengsheng zou chuqu ziqiang yundong zonghe guoli

All under Heaven (characterization of regional order during imperial times) Branch and lump (nature of the CCP political system) Unifying the three traditions: Reform and Opening Up, the legacy of Mao, and traditional Chinese culture Maintaining social stability Cultural sovereignty 50 Cent Army (auxiliary netizen forces of the Partystate) First pacify the country, then resist foreign enemies (Kuomintang/Chiang policy) Little Pink (label for online jingoistic nationalists) Comparatively well-off (of Wang Hui) Moderately prosperous society (first centennial goal) New China (post-Qing/Republican state of the nation) New Left New type of major power relations Hierarchical line of authority in the bureaucracy If a man succeeds, his chickens and dogs (will also) ascent to Heaven (promotion through guanxi patronclient relations) Achieving something Socialism with Chinese Characteristics Public opinion struggle Forging public opinion Period of strategic opportunity (assessment of the international system) Policy Politics in command (Mao era slogan) Sent-down youth (during the Cultural Revolution) Middle or Central Kingdom, country (China) Chinese Dream China Model Chinese diplomacy Chinese Nation (Han race) Great Rejuvenation of the Chinese Nation The Chinese cultural spirit Party and government headquarters Central task (for the government) Center (political) Central Leading Small Group Principle contradiction (to reach the final stage of socialism) Self-reliance (in developing the country) Go out (foreign economic slogan under Hu Jintao) Self-strengthening Movement (during the Qing) Comprehensive national power