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Citation









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Gap Opening and Inner Disk Structure in the Strongly Accreting Transition Disk of DM Tau

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Abstract

Large inner dust gaps in transition disks are frequently posited as evidence of giant planets sculpting gas and dust in the disk, or the opening of a gap by photoevaporative winds. Although the former hypothesis is strongly supported by the observations of planets and deep depletions in gas within the gap in some disks, many T Tauri stars hosting transition disks accrete at rates typical for an undepleted disk, raising the question of how gap opening occurs in these objects. We thus present an analysis of the structure of the transition disk around the T Tauri star DM Tau, which is strongly accreting ($\sim 10^{-8.3} M_{\odot} \text{ yr}^{-1}$) and turbulent ($\alpha = 0.078 \pm 0.02$). Using the Dust And Lines thermochemical code, we fit disk models to simultaneously reproduce the accretion rate, high level of turbulence, the gas traced by ALMA Band 6 observations of ^{12}CO , ^{13}CO , and $\text{C}^{18}\text{O } J=2-1$ lines, and the observed dust emission from the millimeter continuum and spectral energy distribution. We find a shallow depletion in gas surface density of ~ 10 relative to the outer disk and a gas-rich inner disk that is consistent with the observations. The planet mass of $< 1 M_{\text{Jup}}$ implied by the gap depth is in tension with predictions for dust trapping in a highly viscous disk, which requires a more massive planet of $\sim 10 M_{\text{Jup}}$. Photoevaporative models including a dead zone can qualitatively reproduce some features of the DM Tau disk, but still struggle to explain the high accretion rates and the observed millimeter-continuum flux.

Unified Astronomy Thesaurus concepts: [Protoplanetary disks \(1300\)](#); [Planetary system formation \(1257\)](#); [Stellar accretion disks \(1579\)](#); [Star formation \(1569\)](#); [Planet formation \(1241\)](#); [Astrochemistry \(75\)](#); [Planetary-disk interactions \(2204\)](#); [Millimeter astronomy \(1061\)](#)

1. Introduction

Protoplanetary disks with a cavity in their dust distributions—transition disks—are a key topic of research, as their observed morphology has been variously interpreted as evidence of ongoing planet formation, disk dispersal by photoevaporation, and dead zones or grain growth in the inner disk. Historically, the dust rings have been identified from their spectral energy distribution (SED) by a deficit of infrared emission relative to that expected for a smooth disk, implying the absence or depletion of warmer dust close to the star (Espaillat et al. 2014). With the advent of the Atacama Large Millimeter/Submillimeter Array (ALMA), dust rings at a few tens of astronomical units (au) are now routinely detected and resolved at millimeter/submillimeter wavelengths (e.g., Francis & van der Marel 2020). Similarly, high-resolution ALMA

observations have confirmed the presence of inner dust disks at a few au scales in many transition disk cavities (Pinilla et al. 2019, 2021; Francis & van der Marel 2020) that were previously identified in SED studies through the presence of excess near-infrared (NIR) emission, which implied a hot inner dust disk existed close to the star (Espaillat et al. 2007), although the dust mass inferred from mm-wavelength emission and NIR excess is not found to be correlated (Francis & van der Marel 2020).

A large number of transition disks are also actively accreting, implying the presence of gas within the dust cavity and/or a gas-rich inner disk. Demographic studies of transition disk hosting stars in several star-forming regions (Taurus, Ophiuchus, and Lupus; Najita et al. 2007, 2015; Manara et al. 2016) indicate that their median accretion rates are lower (by, e.g., typically ~ 1 dex in Taurus) than nontransition T Tauris with the same disk mass. In a survey of transition disks with large dust gaps ($\gtrsim 20$ au) and high accretion rates ($\dot{M} \gtrsim 10^{-9} M_{\odot} \text{ yr}^{-1}$), Manara et al. (2014) found that $\sim 80\%$ of the sample had accretion rates comparable to classical T Tauri stars, independent of the size of their dust cavities, suggesting that however the dust cavity in these disks is

created must also allow for significant levels of accretion onto the star to continue. Whether the strongly accreting stars hosting transition disks are thus a distinct class of object and what mechanism(s) may be responsible for opening their dust gaps remains unclear.

A long-standing alternative to the *planet hypothesis* for the origin of transition disk gaps is inside-out dispersal of a protoplanetary disk by photoevaporation during the final stages of its evolution (Shu et al. 1993). However, these models have struggled to explain transition disks with high accretion rates and overpredict the number of nonaccreting “relic” disks (Owen et al. 2010, 2011; Picogna et al. 2019) whereas planet-disk interaction models allow for both gap opening and a high stellar accretion rate (Lubow & D’Angelo 2006). A dead zone in the inner disk can also produce a dust-trapping pressure bump at the transition to the ionized outer disk where the magnetorotational instability is active (Regály et al. 2012; Pinilla et al. 2016), but these models have not shown what this implies for the stellar accretion rate. Recent modeling work by Gárate et al. (2021) combines X-ray photoevaporation and a dead zone in the inner disk with a lower viscous α parameter, and shows that a gas-depleted gap can be quickly opened (<1 Myr) in the disk, producing a dispersing outer disk and a separated gas-rich inner disk that supplies accretion onto the star and is long lived due to the longer viscous timescale. Including dust evolution in their model results in a large (10–20 au) outer dust ring, and a compact inner dust disk. This model is thus able to realistically explain a much larger proportion of the observed transition disk population, though the exact population produced is sensitive to the treatment of the α -viscosity parameterization of the dead zone.

Given the distinct predictions made for each of the observable components (i.e., the SED, millimeter dust, gas, and accretion rate) of a transition disk by different gap-opening models, a holistic modeling approach considering all components for a given disk is a powerful means of distinguishing between them. The gas structure of the disk within the dust cavity is particularly constraining, as deeper gaps are generally expected from photoevaporation than gap opening by planets. In this work, we thus present a case study of DM Tau, a strongly accreting T Tauri star hosting a transition disk. We use the Dust And Lines (DALI) thermochemical code (Bruderer 2013) to interpret high-resolution ALMA observations of DM Tau in the millimeter dust continuum and multiple CO lines, in combination with the disk SED and accretion-rate measurements, with the goal of constraining the gas and dust structure within the cavity and evaluating the likelihood of different gap-opening mechanisms.

The remainder of this paper is organized as follows. In Section 2, we summarize the structure of the DM Tau transition disk known from previous studies, while in Section 3 we describe the observations of DM Tau used in this paper. In Section 4 we describe the DALI code, the parameters of the model used for DM Tau, and our model fitting approach. In Section 5, we outline the properties of the fiducial DALI model for DM Tau, discuss how well constrained key parameters are by the observations, and outline the effects of changing these parameters on the DALI model. In Section 6, we discuss our results in the context of different models for gap opening, and we conclude with a summary of our findings in Section 7.

2. The DM Tau Transition Disk

DM Tau is a well-studied $0.39 M_{\odot}$ and $0.24 L_{\odot}$ T Tauri star of spectral type M1 ($T_{\text{eff}} \sim 3580$ K) with a low level of extinction ($A_V = 0.5$; Francis & van der Marel 2020) located 144 pc away from the Sun (Gaia Collaboration et al. 2021) in the Taurus molecular cloud. Early Spitzer SED studies identified a transition disk around DM Tau with a dust cavity radius of ~ 3 au (Calvet et al. 2005), whereas Submillimeter Array (SMA) observations of the disk showed a larger cavity at ~ 20 au (Andrews et al. 2011). Follow-up, high-resolution dust continuum observations with ALMA showed that there is in fact both an outer ring at $\sim 21.00 \pm 0.02$ au and an inner ring at $3.16^{+0.22}_{-0.23}$ au (Kudo et al. 2018). Asymmetries in the dust continuum emission of the 21 au ring with moderate contrast $\leq 20\%$ have also been identified, which could be dust-trapping anticyclonic vortices triggered by planets in the gap (Hashimoto et al. 2021).

Previous searches for planets toward DM Tau have not resulted in a robust detection. A Keck/NIRC2 sparse aperture masking (SAM) search identified a K -band point source at 6 au at 11.0 ± 0.3 mag with 4.27σ significance (Willson et al. 2016); however, emission from the inner disk can be spuriously identified as planets in SAM observations (Currie et al. 2019; Blakely et al. 2022). Uyama et al. (2017) observed DM Tau in the Strategic Exploration of Exoplanets and Disks with Subaru (SEEDS) survey with Subaru, and did not detect any companions down to an H -band 5σ contrast limit at $0''.25$ of 14.76 mag. We discuss potential upper limits on the masses of planets around DM Tau from these observations in Section 6.3.

DM Tau is particularly notable for its active accretion at a level of $\sim 10^{-8.3} M_{\odot} \text{ yr}^{-1}$, which is comparable to other T Tauri stars hosting nontransition protoplanetary disks (Manara et al. 2014). Furthermore, DM Tau is one of only a handful of disks where turbulence has been detected through broadening of the ^{12}CO line (Flaherty et al. 2020) indirect evidence of high turbulence has also been found through SED studies of DM Tau, which suggest the vertical distribution of dust grains is less settled toward the midplane than for other disks (Qi et al. 2019). The level of turbulence in protoplanetary disks is generally described by the α parameter, which relates an assumed turbulent viscosity ν to the local disk scale height H and sound speed c_s by $\nu = \alpha H c_s$ (Shakura & Sunyaev 1973; Pringle 1981). Typical values of α inferred from observations are $\alpha = 10^{-4}$ – 10^{-3} , indicating a very low level of turbulence; indeed, in many disks values of α from turbulent broadening of emission lines are only an upper limit (Flaherty et al. 2015, 2018, 2020; Dullemond et al. 2018). The broadening of the ^{12}CO line of DM Tau observed by Flaherty et al. (2020) can be translated to a much higher α value of $\alpha = 0.078 \pm 0.02$. As the disk accretion rate is proportional to the local viscosity and gas surface density, the high α value of DM Tau may thus be linked to strong accretion toward the star. Furthermore, when combined with an estimate of the accretion rate, the measurement of α allows an independent estimate of the gas surface density near the star.

3. Observations

The observations of DM Tau used in this work consist of long-baseline Band 6 ALMA observations covering the thermal dust continuum and the ^{12}CO (230.538 GHz), ^{13}CO (220.39868420 GHz), and C^{18}O (219.56035410 GHz) $J = 2-1$

Table 1
ALMA Project Details

Parameter	2013.1.00498.S	2017.1.01460.S
CASA pipeline version	4.4.0 ^a	5.1.1–5 r40896
Number of antennas	44	49
Integration time (s)	846	3919
Baseline range (m)	15–1574	135–14851
Cont. window 1 ^b	128 × 15265 @ 216995.9192	128 × 15265 @ 232606.1459
Cont. window 2	128 × 15265 @ 232370.9192	128 × 15265 @ 217023.1459
¹² CO window	1920 × 244.141 @ 230736.2530	1920 × 61.035 @ 230537.5987
¹³ CO window	960 × 488.281 @ 220204.2095	960 × 122.070 @ 219559.9088
C ¹⁸ O window	960 × 488.281 @ 219735.4595	960 × 122.070 @ 220398.1657

Notes.

^a Manual calibration was performed by ALMA staff.

^b The spectral window setup for each project is specified in the format number of channels × channel width (kHz) @ window center frequency (MHz).

Table 2
Image Properties

Line	Beam FWHM ^a (")	Approx. Beam FWHM (au)	rms	Briggs Robust Weighting	Multiscale (pix) ^a	$u-v$ taper (")
Cont.	0.045 × 0.032	7	0.018 mJy beam ⁻¹	0.5	0, 6, 20, 40	...
¹² CO	0.312 × 0.296	62	2.9 mJy beam ⁻¹ · km s ⁻¹	2.0	...	0.3
¹³ CO	0.301 × 0.286	60	2.7 mJy beam ⁻¹ · km s ⁻¹	2.0	...	0.3
C ¹⁸ O	0.892 × 0.840	178	6.2 mJy beam ⁻¹ · km s ⁻¹	2.0	...	0.3

Notes.

^a The size given is the FWHM of the major and minor axes of the clean beam ellipse.

^b The pixel size is 5 mas for all images.

lines, in combination with the SED, stellar properties, and the accretion rate derived from Very Large Telescope (VLT)/X-Shooter observations. In this section, we provide the details of the ALMA data reduction and imaging, and outline the collection of the other observational data from the literature.

3.1. ALMA Observations

Data from two ALMA projects, 2013.1.00498.S and 2017.1.01460.S, were collected from the ALMA archive; details on the observing setup and spectral window configuration for each project are provided in Table 1. Observations of DM Tau have been previously published in Kudo et al. (2018). The data were calibrated manually by ALMA staff for 2013.1.00498.S and with the ALMA pipeline for 2017.1.01460.S. Imaging of the calibrated data was performed in CASA version 5.6.1-8 with the `tclean` task using a pixel scale of 5 mas, Briggs robust weighting of the data, and multiscale cleaning for the continuum image. Self-calibration was not applied as the signal-to-noise ratio (S/N) on the longest baselines tracing disk scales of interest is too low to obtain an impactful improvement in image quality. The `tclean` parameters used are summarized in Table 2 and the imaging procedure was as follows: a continuum image was created using the broadband spectral windows to provide a total continuum bandwidth of 4 GHz at a reference frequency of 224.805 GHz, while a robust value of 0.5 was employed for a balanced trade-off between resolution and S/N, and multiscale cleaning (Cornwell 2008) was used to better capture the extended outer disk emission. To create image cubes for each CO line, the continuum was first subtracted in the $u-v$ plane using the `CASA uvcontsub` task before imaging with `tclean` using a channel width of 1 km s⁻¹. For optimum sensitivity to extended line emission, moderate $u-v$ tapering and a robust value of 2.0 was used during imaging. Multiscale cleaning was not employed for

the DM Tau line emission because the tapered beam size is relatively large compared to the scale of the CO emission. Moment 0 maps were produced from the line cubes by collapsing the line cubes using the quadratic centroiding method of the `bettermoments` software (Teague & Foreman-Mackey 2018). The final continuum and moment 0 maps and the line profiles integrated over the disk are displayed in Figure 1.

Both the previously known inner and outer rings of DM Tau (Kudo et al. 2018; Francis & van der Marel 2020) are detected, and bright but poorly resolved emission from the ¹²CO and ¹³CO isotopologues is detected within the 21 au dust cavity of DM Tau. The C¹⁸O emission is only marginally detected after significant $u-v$ tapering of the data and is thus totally unresolved at the scale of the outer dust ring.

To further quantify the radial structure of the DM Tau disk, we deproject the continuum image and moment maps and compute the azimuthally averaged intensity in annular bins. The disk center used for the deprojection is 04:33:48.749, +18:10:09.640 (ICRS J2000) while the inclination and position angle are $i = 35.2 \pm 0.7^\circ$ and $\theta = 157.8 \pm 1.0^\circ$, respectively, which were determined from fitting of the continuum visibilities by Kudo et al. (2018). The deprojection is performed by rotating the disk images clockwise by $90^\circ - \theta$, then interpolating the image to stretch the decl. axis by a factor of $1/\cos(i)$. This process does not conserve flux, as would be required for accurate deprojection of optically thin emission; however, the difference in flux is small ($\sim 20\%$), and it is sufficient for our purposes of examining the radial structure and comparison with the DALI models, which are deprojected by the same procedure (Section 4.6). The resulting deprojected and azimuthally averaged intensity profiles are shown in Figure 2. The radial peak of the dust continuum emission from the outer disk is at ~ 26 au while the inner dust disk has a radius

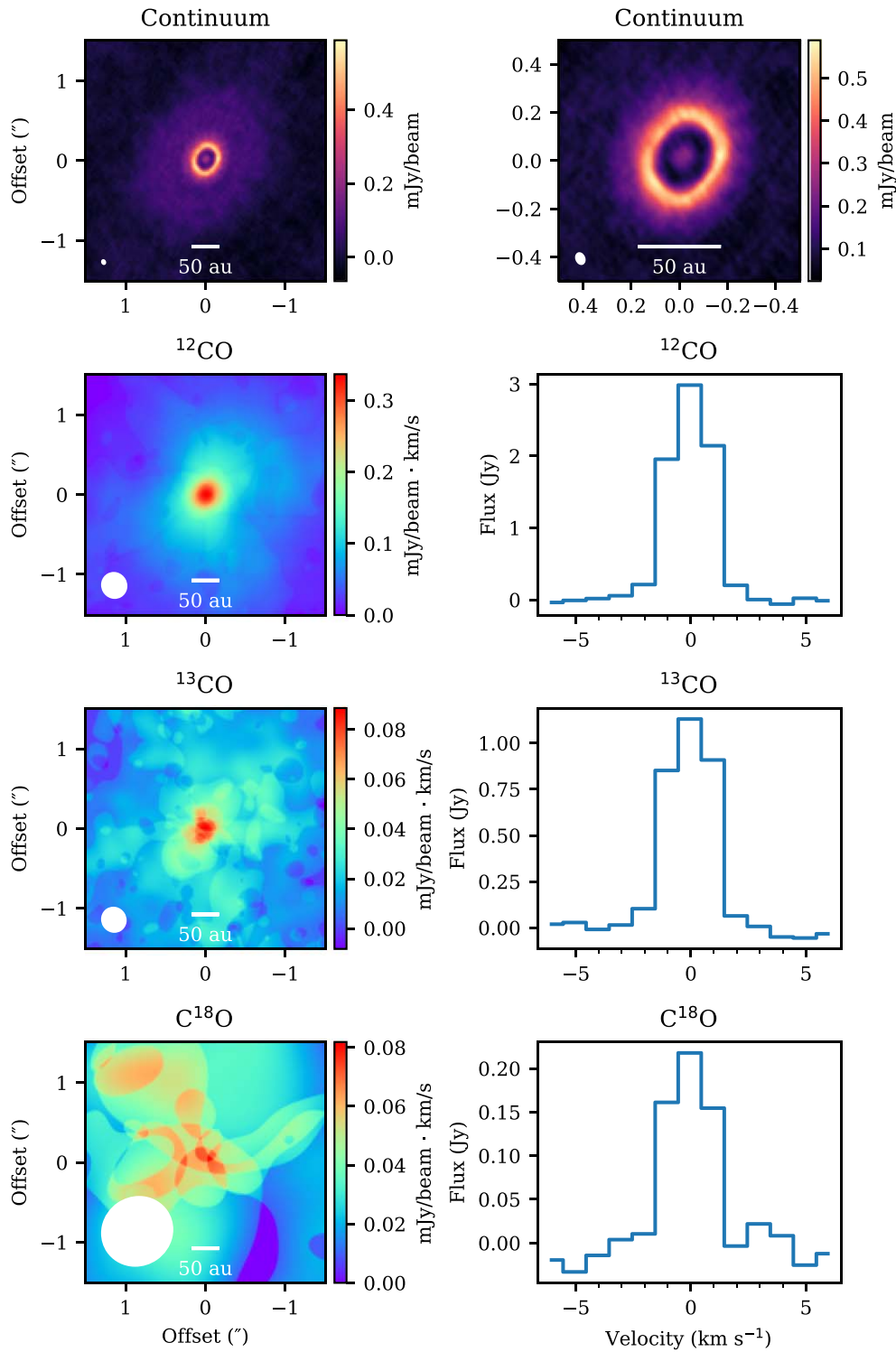


Figure 1. Top panels: 1.3 mm dust continuum images of DM Tau and zoom in on the 21 au dust cavity. Lower-left panels: moment 0 maps of each observed CO line. Lower-right panels: flux of each CO line integrated over the disk vs. the velocity. The beams for the continuum and moment 0 images are shown in the bottom left of each panel as white ellipses.

of 7.5 ± 0.3 au as determined by the FWHM of a Gaussian fit (Francis & van der Marel 2020). In contrast to other transition disks observed in CO (van der Marel et al. 2016, 2021), no gap is visible in the CO emission from all isotopologues. However, we note that the 21 au dust cavity is not well resolved (^{12}CO , ^{13}CO) or completely unresolved (C^{18}O) by the larger beam in the CO observations.

3.2. Spectral Energy Distributions

The SED of DM Tau was constructed using the data from Francis & van der Marel (2020), which consists of optical to mid-infrared photometry from *BVRI* surveys, the Two Micron All Sky Survey (*JHK*), the Wide-field Infrared Survey Explorer, and Spitzer/IRAC. Additionally, near-to-mid infrared spectra were retrieved from the Cornell Atlas of Spitzer/

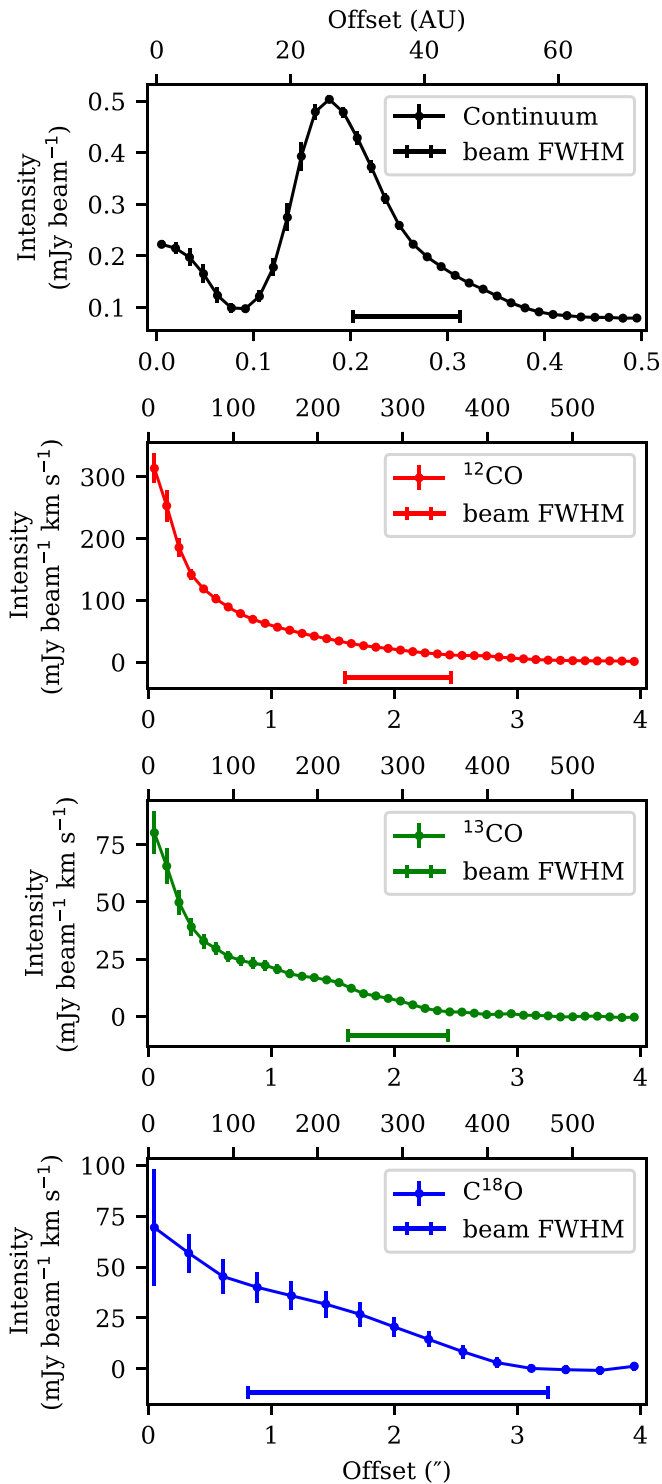


Figure 2. Deprojected and azimuthally averaged intensity profiles of the 1.3 mm dust continuum and moment 0 maps for each observed CO line. The FWHM of the beam is shown by the scalebar.

IRS Sources (CASSIS; Leboutellier et al. 2011). The resulting SED is shown in Figure 3 with no dereddening correction applied. A prominent dip in the overall NIR emission is present, indicating a large dust cavity, but some emission around the $10\ \mu\text{m}$ silicon feature is also detected, implying that hot dust exists close to the star.

3.3. Stellar Properties

The stellar properties of DM Tau (see Section 2) were derived from fits of stellar models to the SED as described in Section 2.3 of Francis & van der Marel (2020), except for the accretion rate, which was measured using UV to optical spectra from VLT/X-SHOOTER observations by Manara et al. (2014). While the stellar properties determined by Francis & van der Marel (2020) have been corrected for Gaia distance measurements (144 pc), the accretion rates were derived with pre-Gaia distance measurements of 140 pc and the accretion-rate measurement can not simply be rescaled; however, the typical uncertainty in the accretion rate of ~ 0.5 dex is a much larger source of uncertainty than the distance measurement, which does not differ significantly from the updated Gaia value.

4. Modeling

Resolved measurements of CO emission in disks can also be used as a tracer of the gas surface density. However, translating the CO emission to a gas surface density map is not simple: the CO abundance varies throughout the disk due to photodissociation in the upper layers and freeze out toward the cold midplane. The DALI thermochemical modeling code (Bruderer et al. 2012) addresses these issues by solving for the gas temperature and CO abundance in a model disk, provided an input gas and dust structure and model for the stellar radiation field. DALI has previously been used for determining the gas surface density within transition disk gaps from ALMA CO observations (Bruderer 2013; van der Marel et al. 2015, 2016), and, moreover, also performs the dust radiative transfer necessary for comparison with the millimeter dust continuum and SED.

The steps involved in running a DALI disk model are the following: starting from an input density structure and stellar SED, DALI solves the continuum radiative transfer equations to obtain the dust temperature and radiation field throughout the disk, then self-consistently solves for the gas temperature and chemical abundances. Once a solution is found, ray-tracing simulations are performed to produce model continuum images, line cubes, and SEDs, which can be compared with the observations. The DALI density structure is two-dimensional (2D) in radius, r , and height above the disk midplane, z , with azimuthal symmetry of the disk assumed, which is appropriate for DM Tau given the low contrast of the known asymmetries in the 21 au dust ring (Hashimoto et al. 2021).

In the following sections, we describe the detailed structure of the DALI models used to interpret the DM Tau observations and determine the gas and dust structure within the 21 au cavity.

4.1. Chemical Network and Gas Composition

The underlying chemical network is the same as in previous DALI studies, and is based upon the reactions in the UMIST2006 database (Woodall et al. 2007) with some extensions for non gas-phase reactions described by Bruderer et al. (2009) and Bruderer et al. (2012). Molecular data for collisions and radiative rates are taken from the LAMDA database (Schöier et al. 2005); the calculation of the reaction rate coefficients for CO in particular are described by Yang et al. (2010) and Jankowski & Szalewicz (2005). The elemental composition of the disk gas used in the DALI chemical

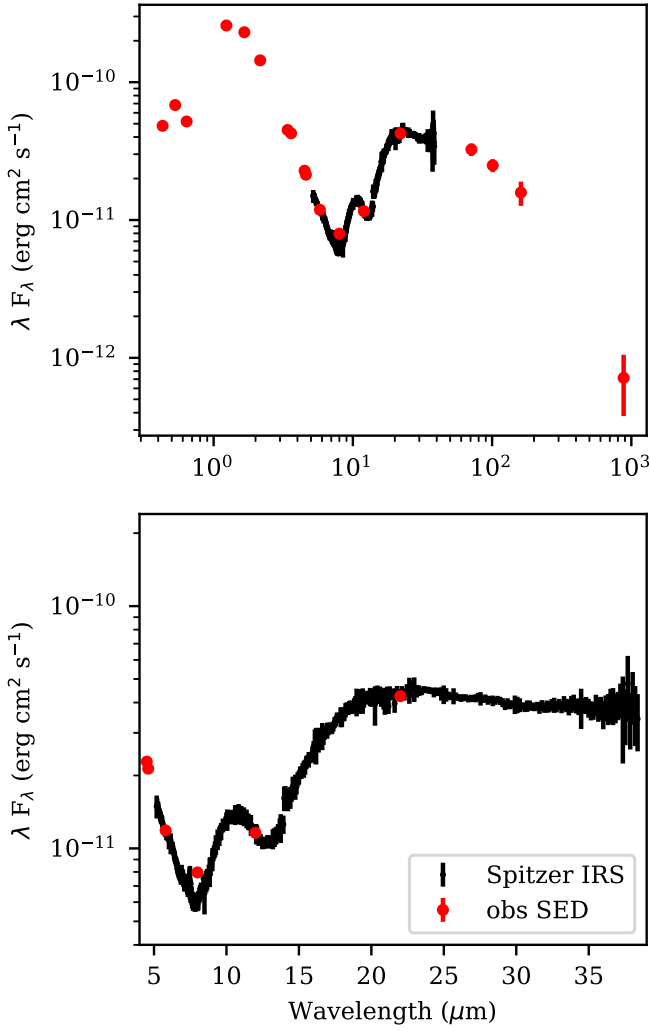


Figure 3. Spectral energy distribution for DM Tau; red circles are photometric measurements, black dots are Spitzer IRS spectra. Top panel: overall SED. Bottom panel: zoom in on the near-to-mid infrared portion.

network is assumed to be that of the diffuse interstellar medium (ISM; Bruderer et al. 2012), and the gas-phase abundances of each element relative to hydrogen used are listed in Table 3. Although we assume ISM abundances for carbon and oxygen, gas mass measurements of DM Tau from hydrogen deuteride (HD) imply that the CO abundance may be lower than the ISM value, a point which we return to in our discussion of the modeling caveats in Section 6.2.

4.2. Surface Density Structure

The radial surface density structure for DM Tau is shown in Figure 4, and closely follows the transition disk structure previously implemented in DALI by Bruderer (2013), with some modifications to account for the inner dust ring. The surface density profile for gas in the outer disk is a radial power law that transitions to an exponential cutoff at a critical radius r_c , as motivated by analytical models of a self-similar viscously evolving accretion disk with a time-independent radial distribution of viscosity $\nu \propto r^\gamma$: (Lynden-Bell & Pringle 1974;

Table 3
DM Tau Parameter Space

Parameter	Value
DM Tau	
$\Sigma_{\text{gas,c}}$	0.65 g cm ⁻²
$\Delta_{\text{gas/dust}}$	10
r_{subl}	0.0343 au
r_{inner}	2.5, 3.5 , 4.5 au
r_{cav}	21 au
r_c	124.1 au
h_c	0.03, 0.06 , 0.09 rad
ψ	0.2
δ_{gas1}	0.015, 0.15 , 1.0
δ_{gas2}	0.15 , 1.0, 10.0
δ_{dust1}	1.0(-50), 2.7(-2)
δ_{dust2}	1.0(-50), 6.0(-10), 6.0(-9) , 6.0(-8), 6.0(-7), 6.0(-6)
χ	0.2
f_{is} (outer disk)	0.85
L_\odot	0.24
T_*	3580 K
$\log(\dot{M})$	-9.3, -8.3 , -7.3 [$\log(M_\odot \text{ yr}^{-1})$]
L_X	$10^{30} \text{ erg s}^{-1}$
T_X	10^7 K
ζ_{cr}	$5 \times 10^{17} \text{ s}^{-1}$
f_{PAH}	1.0(-50) , 1.0(-5), 1.0(-3)
Gas Abundance	
H	1
He	7.59(-2)
C	1.35(-4)
N	2.14(-5)
O	2.88(-4)
Mg	4.17(-6)
Si	7.94(-6)
S	1.91(-6)
Fe	4.27(-6)

Note. Numbers written as $a(b)$ indicate $a \times 10^b$. Where a range of values is indicated, parameters denoted in bold are the fiducial model parameters. Parameters in red are the nonfiducial values for the case of no inner disk.

Hartmann et al. 1998):

$$\Sigma(r) = \Sigma_c \left(\frac{r}{r_c} \right)^{-\gamma} \exp \left[- \left(\frac{r}{r_c} \right)^{2-\gamma} \right]. \quad (1)$$

We assume $\gamma = 1$, which corresponds to a vertically isothermal disk with an alpha viscosity prescription $\nu = \alpha c_s H$ (Pringle 1981) and radial temperature profile $T \propto r^{-1/2}$ (Hartmann et al. 1998). The surface density for the dust in the outer disk follows the same relation, but with a constant scaling set by the gas-to-dust ratio $\Delta_{\text{gas/dust}}$. To reproduce the observed dust outer and inner dust rings of DM Tau (Section 2), we introduce two depletion factors in surface density relative to the outer disk, δ_{dust1} and δ_{dust2} , which begin at radii $r_{\text{cav}} = 21$ and $r_{\text{inner}} = 3.5$ au, respectively. We find that the power-law rise in dust surface density of our model between 21 and 3.5 au can provide a good match to the surface brightness of the inner dust ring without the need to introduce additional model parameters for its outer edge and dust surface density, allowing for a simpler model.

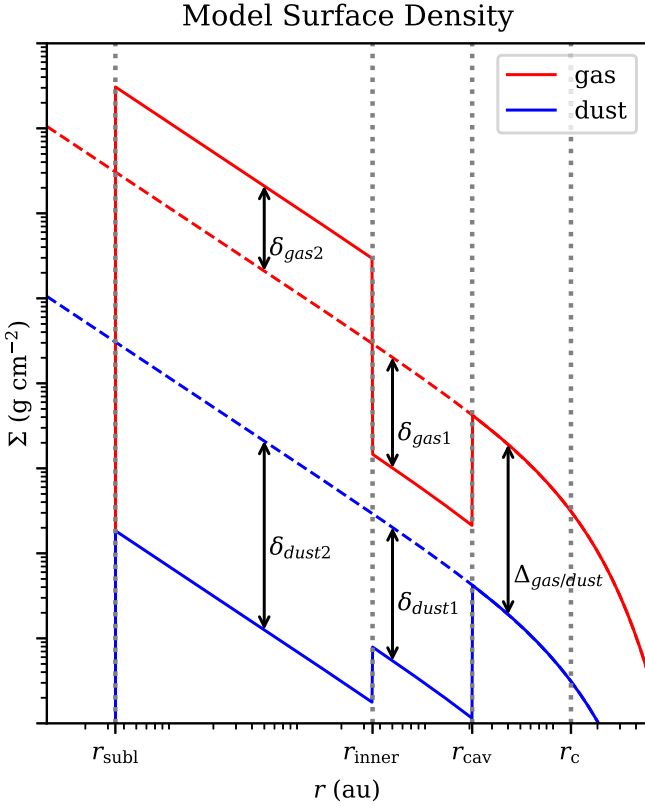


Figure 4. Surface density structure of the gas and dust model in DALI used to simulate the DM Tau transition disk. The dashed lines show the truncated power-law profile for the gas and dust with no depletion.

For the gas surface density, corresponding depletion factors of $\delta_{\text{gas}1}$ and $\delta_{\text{gas}2}$ interior to the same radii as those for the dust are included; however, for some models we also allow $\delta_{\text{gas}2}$ to be a relative *enhancement*, in order to explore the effects of variations in the gas content of the inner disk. Defining the inner edge of the model, both the gas and dust surface density are set to zero at the dust sublimation radius of 0.0343 au according to the relation $R_{\text{subl}} \sim 0.07(L_*/L_\odot)^{1/2}$ au (Dullemond et al. 2001).

4.3. Vertical Structure and Dust Populations

The vertical density structure of the gas disk is a Gaussian with scale height angle $h(r) = h_c(r/r_c)^\psi$, where ψ is a radial power-law index which allows for flaring of the disk and the physical scale height is $H \sim rh$. To approximate vertical dust settling, two populations of small (0.005–1 μm) and large (0.005–1000) μm dust grains are included; the small dust grains follow the gas with scale height angle h , while the large dust grains are more concentrated toward the midplane and have a reduced scale height angle of χh , with χ fixed to 0.2. The dust surface density is partitioned between the two populations by a large grain fraction f_{ls} and $(1 - f_{\text{ls}})$ for the small grains. The large grain fraction is by default set to $f_{\text{ls}} = 0.85$ throughout the disk. The dust composition and opacities used for the radiative transfer are a standard ISM composition (Weingartner & Draine 2001), and are described in further detail by Bruderer (2013). Polycyclic aromatic hydrocarbons (PAHs) are also included in the model, which are largely important as a source of optical/UV opacity for heating the gas and an additional pathway for H_2 formation

(Bruderer 2013). The PAHs are assumed to be entirely in the form of neutral $\text{C}_{100}\text{H}_{25}$, and their opacities are calculated from the works of Li & Draine (2001) and Draine et al. (2007) using the methods of Visser et al. (2007). The PAHs are assumed to be destroyed in regions of the disk where the local UV flux is stronger than 10^6 times the interstellar radiation field. The abundance of PAHs throughout the disk is set by the parameter f_{PAH} , which controls the fraction of PAHs in the disk with respect to an ISM PAH fraction of 5% of the dust mass (Draine & Li 2007).

4.4. Stellar Model and Radiation Field

The central star is approximated as a blackbody with temperature 3580 K and luminosity $0.24 L_\odot$ based on the stellar properties of DM Tau (Section 2). UV heating from accretion is included by adding the contribution of an additional blackbody with $T_{\text{acc}} = 10^4$ K and accretion luminosity

$$L_{\text{acc}} = \pi \frac{GM_* \dot{M}}{R_*}, \quad (2)$$

where G is the gravitational constant, M_* and R_* the mass and radius of the star, and \dot{M} the stellar accretion rate. Modeling the UV heating is particularly important for the disk chemistry, but is subject to some uncertainty due to the paucity of high-quality UV observations, and different approaches using detailed stellar models have been used in other astrochemical codes (Woitke et al. 2016). For the observed accretion rate of DM Tau of $\sim 10^{-8.3} M_\odot \text{yr}^{-1}$, the accretion luminosity as a fraction of that from the star is $L_{\text{acc}}/L_* = 0.24$. This relationship assumes that all of the gravitational energy liberated by accretion is radiated away, which is a reasonable approximation for magnetospheric accretion onto pre-main-sequence stars (Hartmann et al. 2016).

Similarly, heating of the disk from stellar X-rays is taken into account by adding an X-ray luminosity of $L_X = 10^{30} \text{erg s}^{-1}$ with a thermal spectrum at $T_X = 10^7$ K, which is reasonably consistent with the observed X-ray luminosity of $L_X = 1 - 3 \times 10^{30} \text{erg s}^{-1}$ (Dionatos et al. 2019) given the intrinsic variability of X-ray emission. Finally, a background cosmic ray ionization rate ζ_{cr} of $5 \times 10^{17} \text{s}^{-1}$ is assumed to be present throughout the disk.

4.5. Model Grid

The grid used for the calculation consists of 200 cells in the radial direction and 80 cells in the vertical direction. To resolve the parts of the disk with sharp transitions in gas and dust surface density (i.e., r_{subl} , r_{inner} , and r_{cav}) the cell size grows exponentially starting from these radii, i.e., $\Delta_{\text{cell}} = \Delta_{\text{min}} 10^{i\delta}$, where $\Delta_{\text{min}} = 0.01$ au is the minimum radial cell width, i is the radial cell index, and δ determines the cell growth rate. The 200 radial cells are distributed in this way to provide 50 cells from 0.01 to 3 au, 50 from 3.1 to 21 au, and 100 from 21 au to the edge of the calculation grid at 500 au. Vertically, 80 cells are arranged to follow the scale height of the gas disk.

4.6. Ray-tracing and Model Images

Using the converged output of the thermochemical modeling, the DALI code performs ray-tracing simulations to produce model images and line cubes. To match the ALMA observations, the ray-tracing is performed with the model disk

at an inclination of 35° and distance of 144 pc (see Sections 2 and 3) with a pixel size of 5 mas. The model SED was created by ray-tracing the disk from 0.09 to 3000 μm and calculating λF_λ over the entire ray-traced image. Sampling of the SED was performed at wavelengths approximately corresponding to the photometric data, except for the 5–20 μm range, where steps of 0.33 μm were used for comparison with the Spitzer/IRS spectrum. Model continuum images were created by ray-tracing at a wavelength of 1.3 mm, followed by convolution with the observed continuum beam and deprojection by the same procedure as described for the observations in Section 3. Similarly, model line cubes were created assuming a Keplerian velocity structure of the disk and ray-tracing in 0.5 km s^{-1} steps (twice the observed line cube resolution of 1 km s^{-1}), and centered on the rest frequency of each CO line, then convolving with the observed beam. The model line cubes were also converted to moment 0 maps with `bettermoments` (Teague & Foreman-Mackey 2018) and deprojected in the same manner as the continuum. Model spectra for each CO line were created from the inclined line cubes by subtracting the continuum measured in line-free channels and calculating the total flux over the entire disk. We note that our treatment of the deprojection for both the models and observations does not *conserve* flux, however the deprojection is performed identically for both, allowing a consistent comparison.

4.7. Model Fitting Approach

Due to the large computational cost of the DALI modeling, a formal model fitting approach (e.g., χ^2 gradient descent) is impractical. Our modeling strategy is instead to obtain a *fiducial* model which approximately reproduces the features of the observations: the millimeter-wavelength dust continuum, the ^{12}CO , ^{13}CO , and C^{18}O moment 0 maps, and the SED, with a particular focus on the structures within the 21 au dust cavity. Additionally, we wish to investigate if the gas surface density of the fiducial model within the cavity of the disk is commensurate with the stellar accretion rate, and to explore variations in the fiducial model parameters to understand the effect of the inner disk and cavity structure on the CO emission.

To compare the DALI models for the millimeter continuum and CO lines to the observations, we took the output deprojected images and moment 0 maps and produced azimuthally averaged radial intensity profiles in the same manner as the observations in Figure 2. We also compare the model SED produced by the ray-tracer with the observed SED over the total wavelength range traced by the photometry and the Spitzer/IRS spectrum in the near-to-mid infrared.

In order to obtain a fiducial model, we first ran DALI simulations using only the dust radiative transfer and ray-tracing of the continuum to compare with the millimeter images and SED. Inspection of the millimeter images was first used to estimate initial values of model radii r_{inner} , r_{cav} , and r_{c} , after which we varied the outer disk dust surface density via $\Sigma_{\text{gas,c}}$, $\Delta_{\text{gas/dust}}$, and the dust depletion factors δ_{dust1} and δ_{dust2} until the millimeter continuum and SED was approximately reproduced. The NIR spectrum of DM Tau displays no obvious PAH features, suggesting a low abundance of PAHs, so we varied the global PAH fraction f_{PAH} to reproduce the lack of PAH emission, ultimately removing them from the disk entirely. We note that PAH emission features are generally excited at high temperatures in the inner disk, and thus some PAHs may still be present in the outer disk where they are

shielded from destruction; however, detailed modeling of the disk PAH distribution is beyond the scope of this work. After a reasonable model for the dust was obtained, we ran full DALI simulations including the gas temperature and chemistry solver and ray-tracing of the CO lines. To fit the gas emission in the outer disk, we varied the outer disk gas surface density $\Sigma_{\text{gas,c}}$ while proportionally changing $\Delta_{\text{gas/dust}}$ to keep the dust model unchanged and compared the resulting DALI models with the ^{12}CO , ^{13}CO , and C^{18}O moment 0 maps and integrated line profiles. Finally, we varied the gas-depletion factors in the inner disk δ_{dust1} and δ_{dust2} to fit the (partially resolved) CO emission within the 21 au cavity.

5. Results

In this section, we describe the fiducial DALI model for DM Tau, explore how well the inner disk and cavity structure are constrained by observations, and consider the general effects of variations in the model parameters on the physical conditions of the disk.

5.1. Fiducial Model

The parameters of the fiducial DALI model fit are provided in Table 3. To show the accuracy with which the fiducial model reproduces the observations, the output of the DALI ray-tracer is compared with the deprojected azimuthally averaged continuum, CO isotopologues, SED, and CO line fluxes integrated over the disk in Figure 5. A direct comparison of the output images and moment maps from the ray-tracer is provided in Figure 19 of Appendix A.

The radial profile of the dust emission is reasonably well described by the model; however, the model underestimates the emission in the outer disk beyond ~ 60 au. The ALMA observations of Hashimoto et al. (2021) have shown several low-contrast dust rings are present at these points in the outer disk, which we do not attempt to reproduce as our focus is on the dust cavity and inner disk. The model SED also agrees well with the observations, although the exact shape of the NIR silicate feature is not reproduced; specifically, the flux at ~ 7 μm is overestimated, suggesting some details of the inner disk structure are missing. Hashimoto et al. (2021) have performed radiative transfer modeling of the same SED and show that the dip at ~ 7 μm is sensitive to the dust size distribution between 1 and 3 au, and can be reproduced by a model with a depletion in the surface density of micron-sized dust grains of 10^{-4} (their Figure 5). We have also experimented with varying the amount of small dust grains from the sublimation radius to 3.5 au and similarly find there is a small effect on the near-to-mid infrared shape, however we were unable to reproduce the exact observed SED shape, which may require more careful modeling of the inner ring geometry that is beyond the scope of this paper.

The radial profiles of the CO isotopologue moment 0 maps in Figure 5 are a good match to the observed ^{13}CO and C^{18}O emission, but the ^{12}CO emission is overproduced in the outer disk. As the ^{12}CO line is optically thick throughout the entire disk, this indicates the temperature in the outer disk of the DALI model is overestimated in the warm upper layers of the disk. The integrated line profiles in Figure 5 show that the ^{12}CO flux is also overproduced by a factor of ~ 2 , while the ^{13}CO and C^{18}O flux is a reasonable match to the observations, being underestimated by $\sim 10\%$ – 20% .

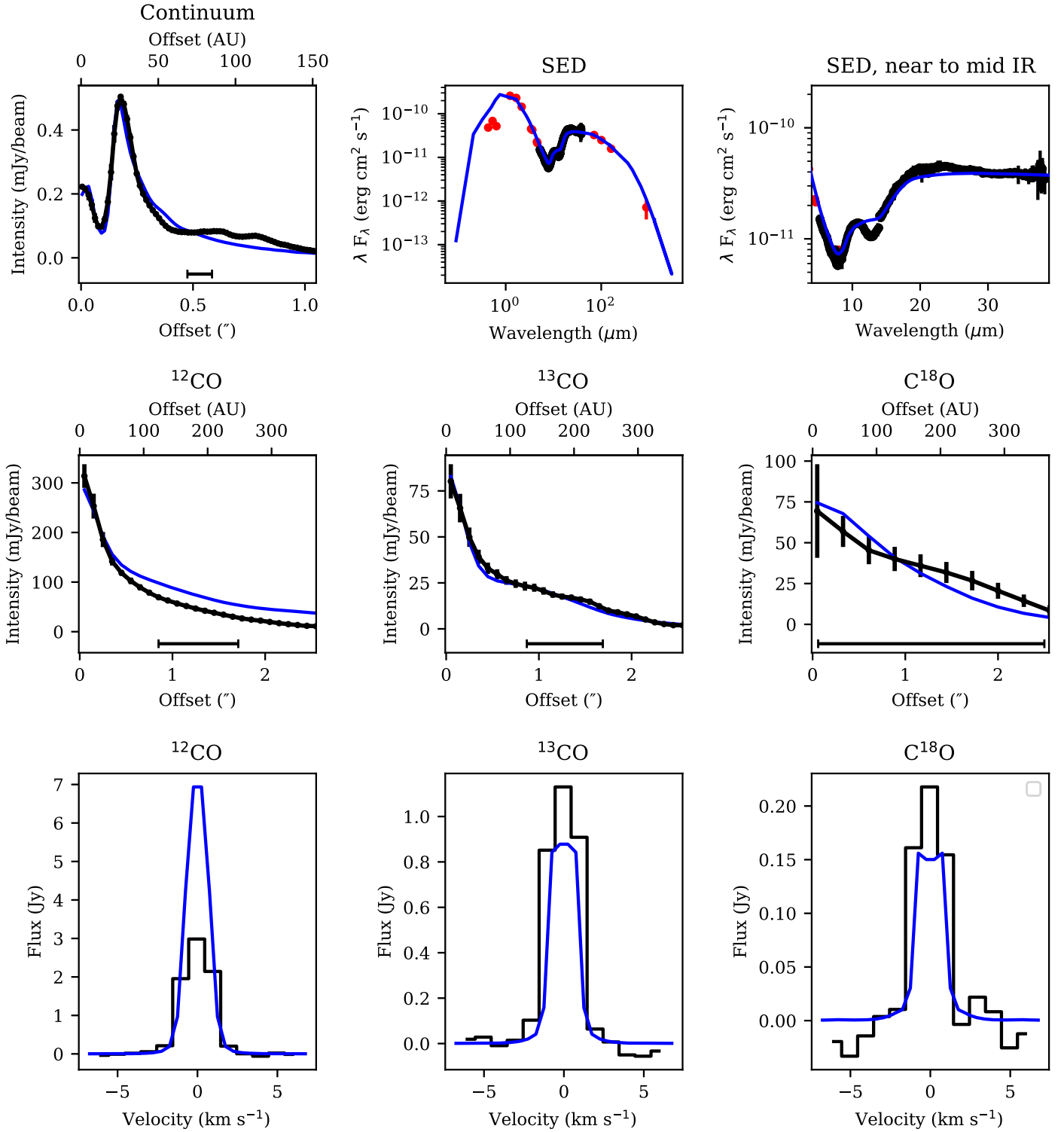


Figure 5. Comparison of the DM Tau observations (black lines and red circles for SED photometry) and fiducial model (blue lines). Top row: deprojected and azimuthally averaged millimeter-continuum intensity, overall SED, and SED zoomed in on the near-to-mid infrared region. Middle row: deprojected and azimuthally averaged intensity for the moment 0 maps of the ¹²CO, ¹³CO, and C¹⁸O lines. Bottom row: flux integrated over the disk vs. velocity for the ¹²CO, ¹³CO, and C¹⁸O line cubes. The spectral resolution of the observed line cubes is 1 km s⁻¹, while the models are sampled in 0.5 km s⁻¹ steps.

We now discuss the physical structure of the fiducial DALI model, and the effect of the drops in the gas and dust density at 3.5 au and 21 au on the disk temperature structure and CO abundance. In Figure 6, the surface density structure of the fiducial model and the relevant physical quantities of the DALI model (gas and dust density and temperature, CO gas

abundance, etc.) are shown as a function of radius and height above the midplane within 30 au. Overplotted on each panel are the $\tau = 1$ surfaces for ¹²CO, ¹³CO, and C¹⁸O emission. The same panels are shown with a zoom in on the inner 5 au of the disk in Figure 7, while a figure showing the full disk is provided in Appendix B (Figure 20).

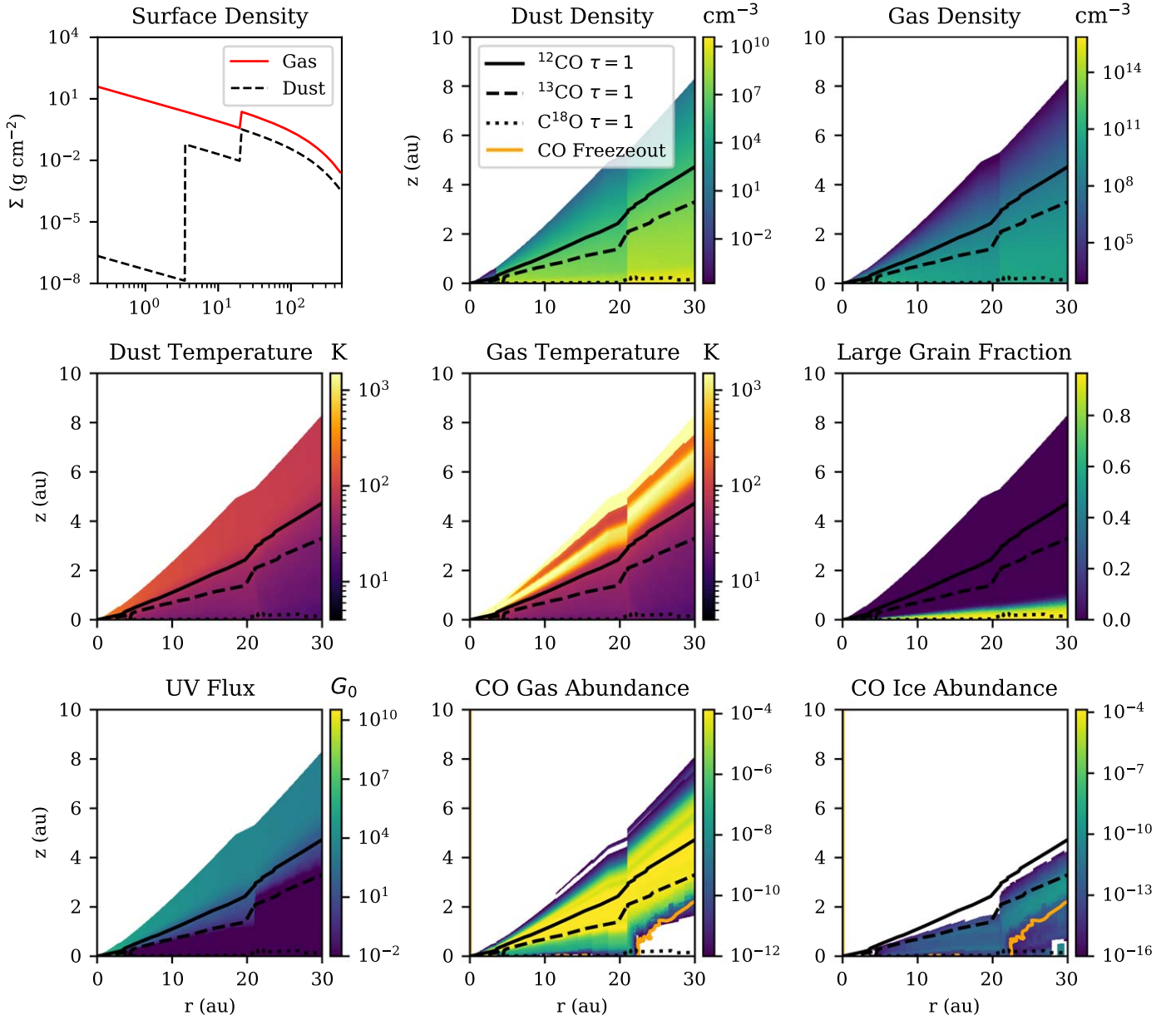


Figure 6. Surface density structure and selected 2D physical quantities from the fiducial DM Tau model plotted from 0 to 30 au. The $\tau = 1$ surfaces for the ^{12}CO , ^{13}CO , and C^{18}O lines are overlaid on each 2D DALI panel as the solid, dashed, and dotted lines, respectively, while the approximate freeze-out temperature of CO (25.5 K) is overlaid as the orange contour on the CO abundance panels.

The gas and dust temperature change significantly with height above the disk midplane due to the attenuation of the UV and X-ray flux toward deeper layers in the disk and changes in which heating mechanisms and molecular coolants are at play. Most of the gas-phase CO is present in a layer of warm (few 100 K) gas where it can survive either photodissociation in the disk atmosphere or freeze out toward the disk midplane. Moving inward radially, at the main cavity edge at 21 au the decrease in the gas and dust density has several effects. The lower dust density causes the UV flux to penetrate deeper into the disk, especially at intermediate ($z \sim 1$) layers in the disk, resulting in an increase in the gas and dust temperature. The warmer and CO-enriched upper layers of the disk shift downward with the decreased gas density, as do the $\tau = 1$ surfaces of the CO lines. At the lower layers of the outer disk, all the CO in the gas is frozen out, but within the dust

cavity the height of the CO-depleted gas drops and the CO ice abundance decreases. In Figure 8, we show the gas temperature at each of the CO line $\tau = 1$ surfaces out to 30 au, with the key radii of 3.5 and 21 au marked in dashed lines and the CO freeze-out temperature indicated by the shaded blue region. While the gas and dust temperature generally increase at a given height moving into the cavity, the temperature at the $\tau = 1$ surface actually drops, as the sharp drop in gas density also causes each line to become optically thick at lower and cooler regions of the disk. Within the 21 au dust cavity, both the ^{12}CO and ^{13}CO lines are formed in regions of the disk well above the CO freeze-out temperature; on the other hand, C^{18}O traces cold regions of the disk midplane below the freeze-out temperature until inward of ~ 7 au.

Similar rapid changes also occur at the other sharp transition in the model at 3.5 au (Figure 7), where the dust surface density

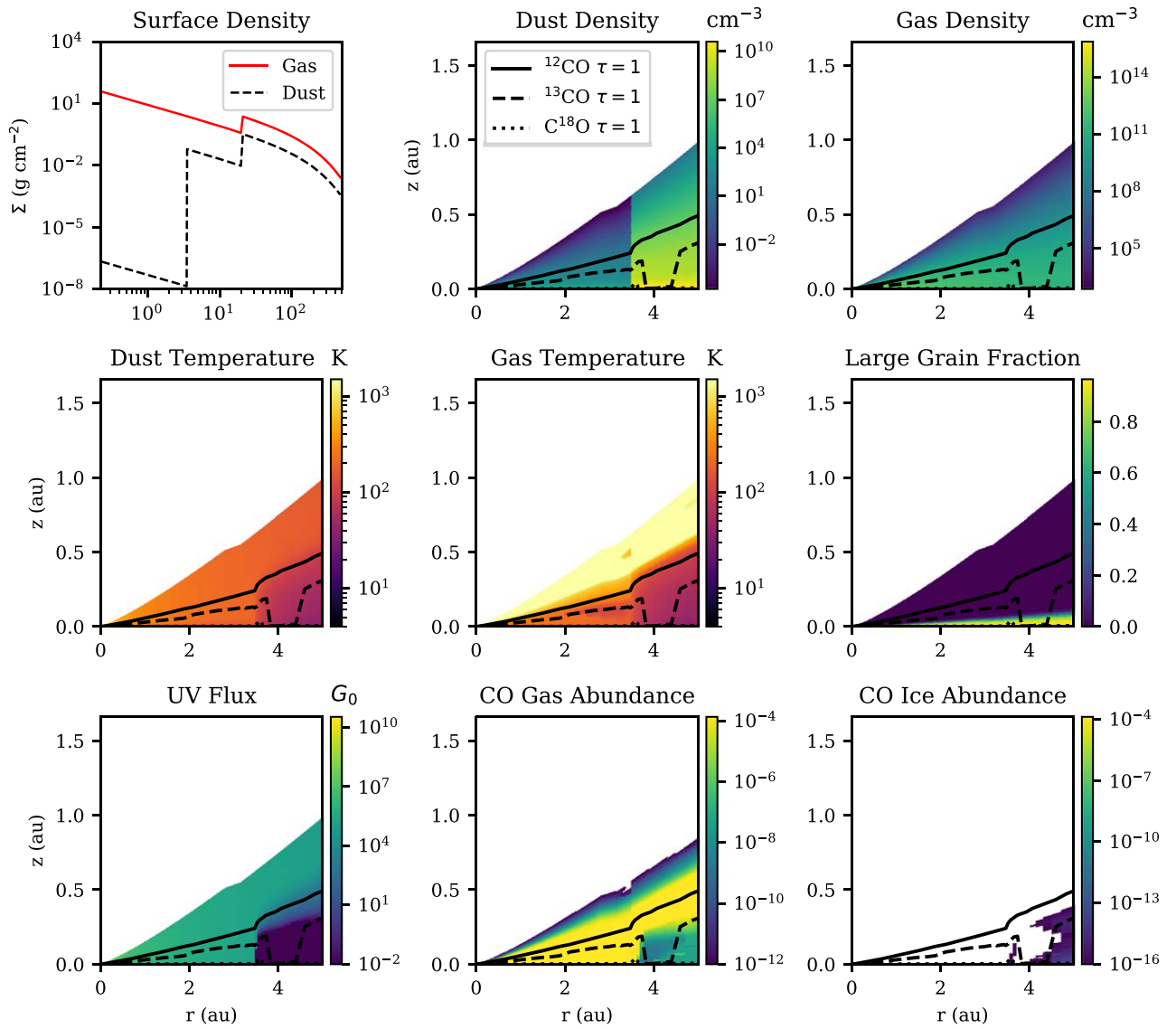


Figure 7. The same fiducial DALI model as Figure 6, but zoomed in on the inner disk from 0 to 5 au.

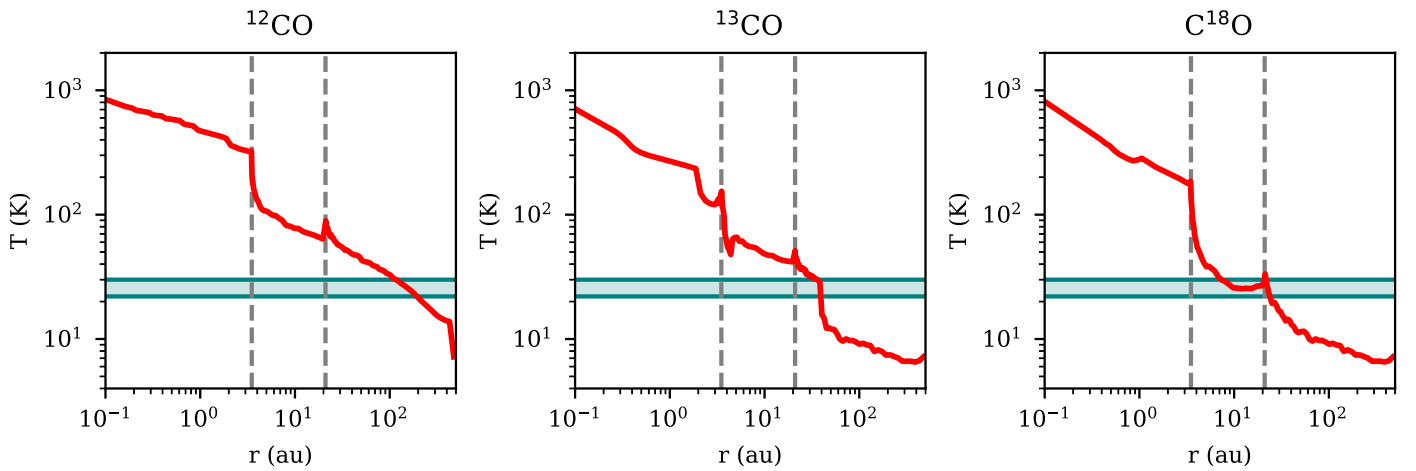


Figure 8. Gas temperature in the fiducial DALI DM Tau model at the $\tau = 1$ surface for the ^{12}CO , ^{13}CO , and C^{18}O emission (red lines). The dashed vertical gray lines are at the inner cavity at 3.5 au and main dust cavity at 21 au, while the shaded blue region shows the CO freeze-out temperature range.

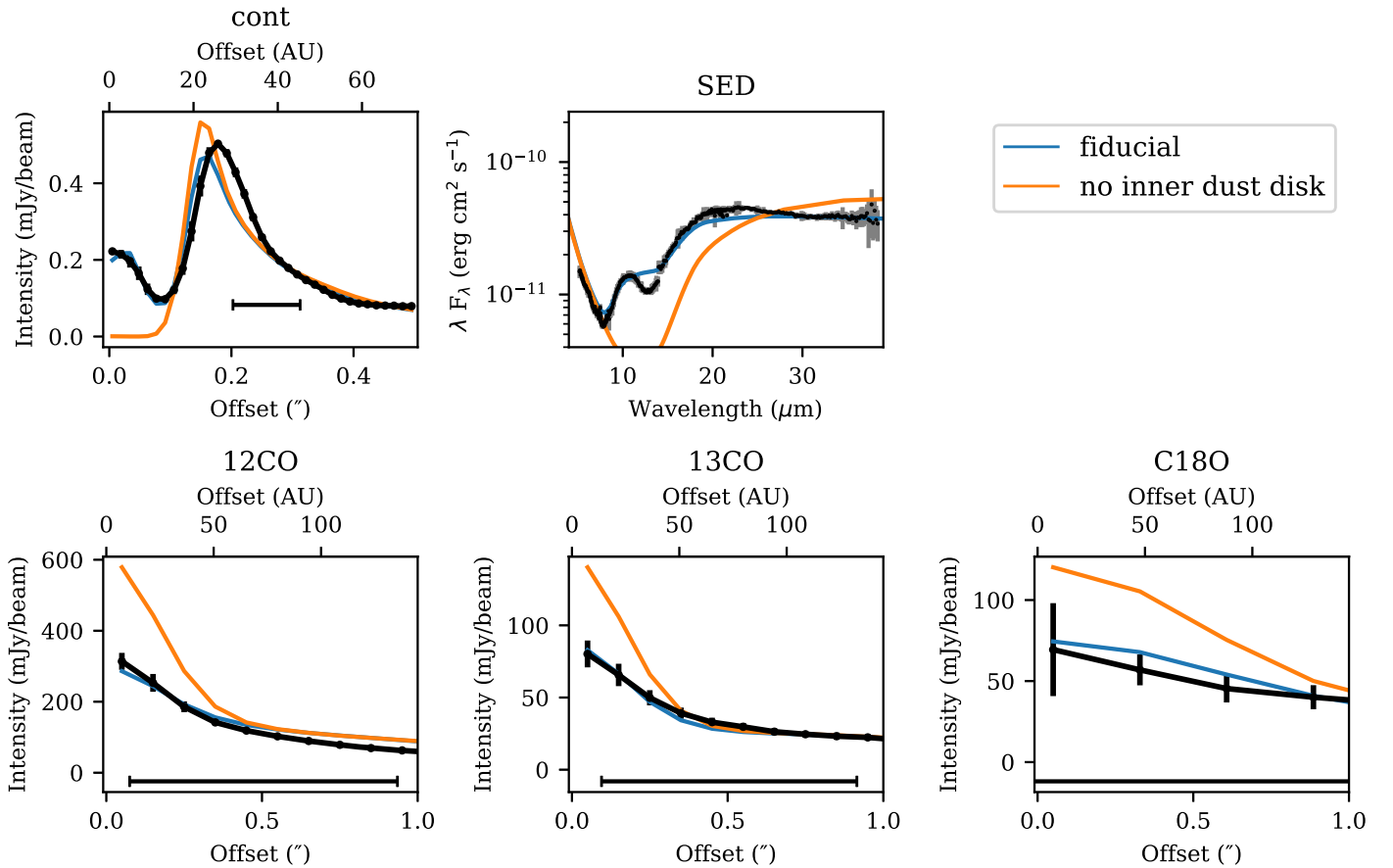


Figure 9. Comparison of the fiducial DM Tau DALI model and a model with no inner dust disk ($\delta_{\text{dust}1} = \delta_{\text{dust}2} = 10^{-50}$) with the DM Tau observations, as described in Section 5.2.1. Top row: deprojected and azimuthally averaged millimeter-continuum intensity, and SED zoomed in on the near-to-mid infrared region. Middle row: deprojected and azimuthally averaged intensity for the moment 0 maps of the ^{12}CO , ^{13}CO , and C^{18}O lines.

drops by 7 orders of magnitude while the gas surface density is unchanged. The gas temperature at the $\tau = 1$ surface for each line increases rapidly, approaching 3.5 au as the shielding from the stellar radiation provided by the dust drops. At ~ 4 au, the $\tau = 1$ surface of the ^{13}CO line briefly drops to the midplane and both the CO gas and ice abundance are reduced. Here an inspection of the abundances of the other volatile species in the DALI model reveals that most of the NH_3 ice sublimates at this location, and much of the carbon has become incorporated in HCN instead of CO. Within 3.5 au, the UV flux toward the midplane ($z \lesssim 0.2$) and the dust and gas temperature increase, while the CO ice abundance drops to zero and the CO-enriched gas layers extend all the way to the midplane. The complex interplay between the disk dust structure, gas and dust temperature, and CO abundance underscores the valuable role of thermochemical modeling for interpreting line emission from disks.

5.2. Parameter Space

We further explore the effects of varying various key parameters for the fiducial model to demonstrate how well constrained by the observations the fiducial model is and investigate the effect on the radiation field, gas and dust temperature, and CO abundance within the cavity.

5.2.1. Effect of Inner Dust Ring

Many transition disks are observed to have an inner disk or ring within the central cavity (Francis & van der Marel 2020), which is expected to affect the survival of CO in the cavity by shielding the gas in the cavity from stellar radiation (Bruderer 2013). Here, we explore the effect of the inner dust ring at 3.5 au in DM Tau by removing it entirely from our fiducial model, i.e., setting both $\delta_{\text{dust}1}$ and $\delta_{\text{dust}2}$ to effectively zero values of 10^{-50} . The effect on the observations is shown in Figure 9. The peak of the dust continuum emission at 21 au is $\sim 50\%$ brighter while the emission in the outer disk beyond ~ 40 au is unchanged; the SED is entirely missing emission from silicates at $10 \mu\text{m}$ and now peaks in the mid-to-far infrared rather than at $\sim 20 \mu\text{m}$. The lack of an inner disk causes these effects by allowing more stellar radiation to reach the cavity edge at 21 au and removing the hot dust component in the cavity, as shown in Figure 21. The CO emission is brighter in the cavity, particularly for the more optically thick ^{12}CO and ^{13}CO isotopologues, which reach 2–3 times higher temperatures at their $\tau = 1$ surfaces; in the outer disk the CO emission is largely unchanged. Without an inner disk to shield the disk midplane from radiation, the CO freeze-out zone is entirely absent in the cavity, and its onset begins a few au further out in the disk from the 21 au cavity edge than in the fiducial model. In contrast to the results of DALI modeling by Bruderer (2013),

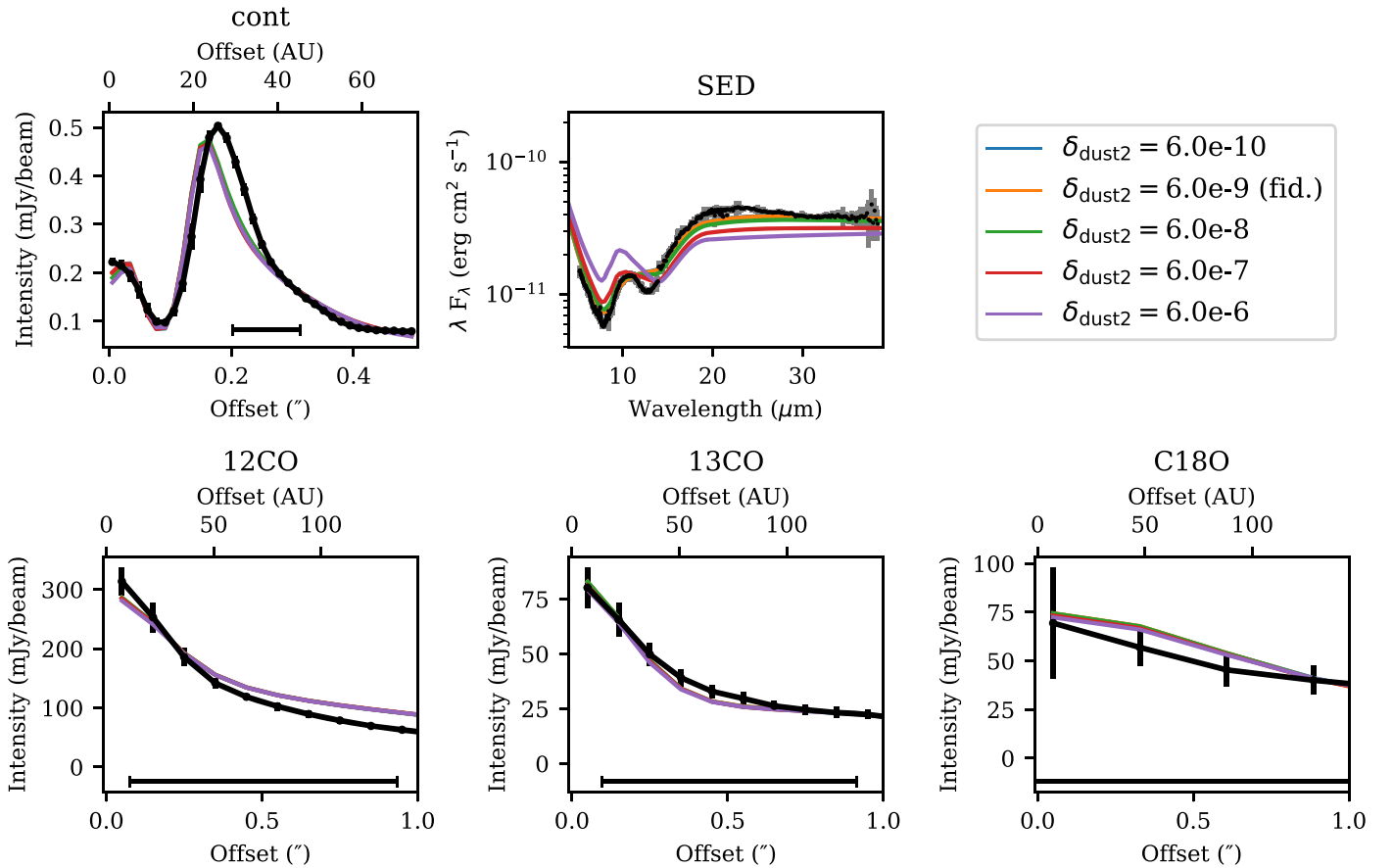


Figure 10. As Figure 9, but for the effects of changing the dust depletion within 3.5 au in the DALI model described in Section 5.2.2.

we find CO is not photodissociated within the dust cavity without an inner disk, which we discuss further in Section 6.

5.2.2. Dust Surface Density

The dust structure within the cavity of a transition disk can play an important role in regulating the radiation field throughout the disk and is typically constrained by the millimeter-continuum emission and SED. We therefore consider the effect of varying parameters related to the dust structure within the cavity, including r_{inner} , δ_{dust1} , and δ_{dust2} .

In Figure 10, we show the effect on the observables of varying the dust surface density from the sublimation radius to 3.5 au by changing δ_{dust2} . Only the SED is significantly affected, demonstrating its utility in constraining the dust distribution at distances from the host star that can not be resolved by ALMA. Reducing δ_{dust2} has no significant effect on the observables, indicating that the influence of the dust within 3.5 au in the fiducial model is negligible; the larger effect on the radiation field throughout the disk comes from the optically thick dust in the inner ring at ≥ 3.5 au. The near-to-mid infrared emission is only visibly changed when δ_{dust2} is increased by 2 to 3 orders of magnitude above the fiducial, which produces too much emission at wavelengths of $\sim 10 \mu\text{m}$, and too little in the mid-infrared. This is the result of creating a hot dust component within 3.5 au, which emits in the NIR and shields the dust behind it, reducing the mid-infrared emission from cooler dust, as shown by the plots of the dust temperature and UV flux in the inner disk in Figure 22.

In Figure 11, we show the effect of varying the radius of the inner edge of the interior dust ring (r_{inner}) while keeping the dust surface density scaling and depletion factors fixed. The ALMA observations are sufficiently high resolution for the millimeter continuum to be very sensitive to this radius, with values both 1 au larger and smaller than the fiducial 3.5 au ruled out. The observed NIR excess of the SED is slightly higher for the 2.5 au case but underproduced in the 4.5 au case, suggesting that r_{inner} is well constrained overall. A larger value of r_{inner} causes an increased gas temperature at radii $< r_{\text{inner}}$ (Figure 23), resulting in a slight change in the model CO emission within the 21 au cavity, however, this is poorly constrained by the CO observations due to the lack of resolution.

5.2.3. Gas Surface Density

The structure of the gas surface density within the 21 au cavity of DM Tau can provide important input for models of planet–disk interaction and accretion flow in the cavity. Here, we vary δ_{gas1} and δ_{gas2} within our fiducial DALI model to assess how well the radial CO emission profiles can constrain the gas surface density, and compare the results with the gas surface density implied by the accretion rate for an α -disk model.

We first vary δ_{gas1} and δ_{gas2} together to test the effect of a $10\times$ deeper gas cavity than the fiducial model and a full disk with no gas gap. The comparison with the observables is shown in Figure 12. There is no significant effect on the dust continuum emission or SED as the gas opacity is effectively

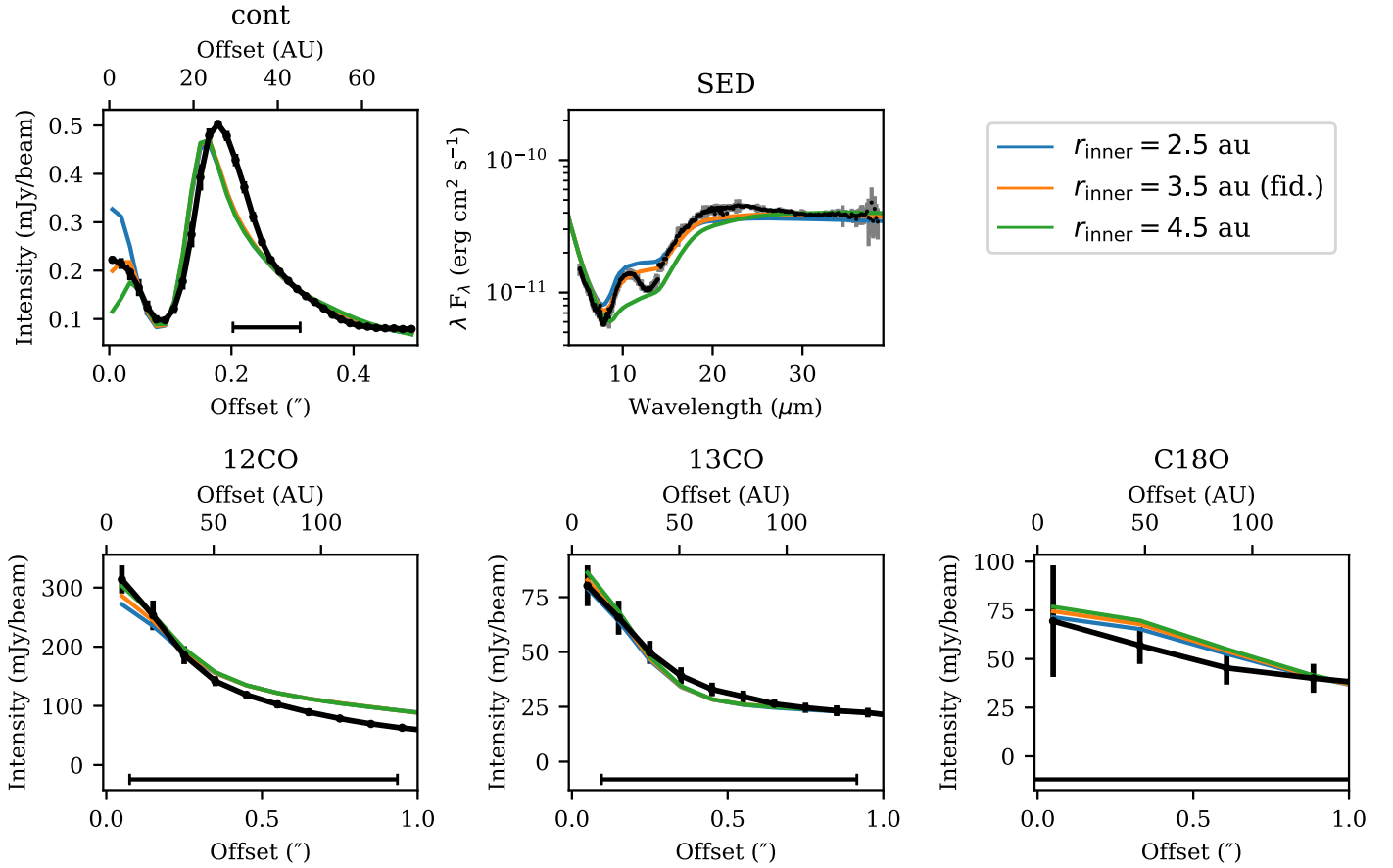


Figure 11. Same as Figure 9, but for the effects of changing the radius of the inner edge of the interior dust ring (r_{inner}) in the DALI model described in Section 5.2.2.

zero with the PAHs removed from our model. Both the case of a full disk and a $10\times$ depleted gap are ruled out by the CO emission, particularly by ^{13}CO . In principle, the less-abundant ^{13}CO and C^{18}O isotopologues should be more optically thin than ^{12}CO and therefore more sensitive to gas surface density changes in the 21 au cavity; however, the optical depth of each line is dependent on the gas density, temperature, and CO abundance over the disk. In Figure 24 we show the gas temperature and CO abundance for the 21 au cavity and zoomed in on the inner disk with the $\tau = 1$ surface of each CO line overlaid. ^{12}CO is optically thick well above the midplane at all locations in the disk regardless of the gap depth. Nonetheless, ^{12}CO emission is still sensitive to the gas surface density change in the gap because of the changing height of the $\tau = 1$ surface and vertical temperature gradient in the disk. This is also the case for the ^{13}CO emission for the fiducial and full disk models, which becomes optically thick above the midplane throughout the 21 au cavity. The C^{18}O emission is optically thin for the fiducial model and the $10\times$ depleted gap, however for a full disk it too becomes optically thick within the 21 au cavity.

We now increase $\delta_{\text{gas}2}$ by factors of 10 and 100 while fixing $\delta_{\text{gas}1}$ to the fiducial value to examine how sensitive the observations are to changes in the amount of gas in the innermost disk, which feeds accretion onto the host star. The results of this are shown in Figure 13. Besides a small change in the model C^{18}O intensity—which is not distinguishable with the present sensitivity and resolution of the observations—no detectable change in the observables is seen. With deeper ALMA line observations resolving the inner disk, C^{18}O may be

suitable as a tracer of the gas density; however, we note that its emission becomes optically thick above the disk midplane when there is an enhancement in the gas surface density (Figure 25), indicating that careful modeling of the inner disk gas temperature would be required, as well.

The accretion rate onto DM Tau of $\sim 10^{-8.3} M_{\odot} \text{ yr}^{-1}$ (Manara et al. 2014) can be translated into a gas surface density if we assume that the disk is well described by an α -viscosity model. In this case, the surface density is related to the accretion rate by (Manara et al. 2014)

$$\Sigma_g(r) = \frac{\dot{M} 2m_p}{3\pi\alpha k_B T(r)} \sqrt{\frac{GM_*}{r^3}}. \quad (3)$$

This relationship implicitly assumes that the disk is vertically isothermal, whereas our DALI modeling provides a vertical temperature structure throughout the disk. To obtain a single representative temperature at each radius, we calculate the vertically averaged gas temperature weighted by the gas density for the fiducial model. The value of α is poorly constrained by observations for most disks, but is typically assumed to be low ($\lesssim 10^{-3}$). DM Tau is one of few disks where turbulence broadening has been detected through high-velocity resolution observations of the ^{12}CO line (Flaherty et al. 2020), yielding a turbulent broadening of 0.25–0.33 c_s , or, equivalently, $\alpha \sim (\delta v_{\text{turb}}/c_s)^2 = 0.078 \pm 0.02$. However, the ^{12}CO emission arises from well above the midplane of DM Tau, and their observations do not resolve the inner disk, so the turbulence observed here may not be representative of the bulk of the accreting gas near the star. We thus plot the surface

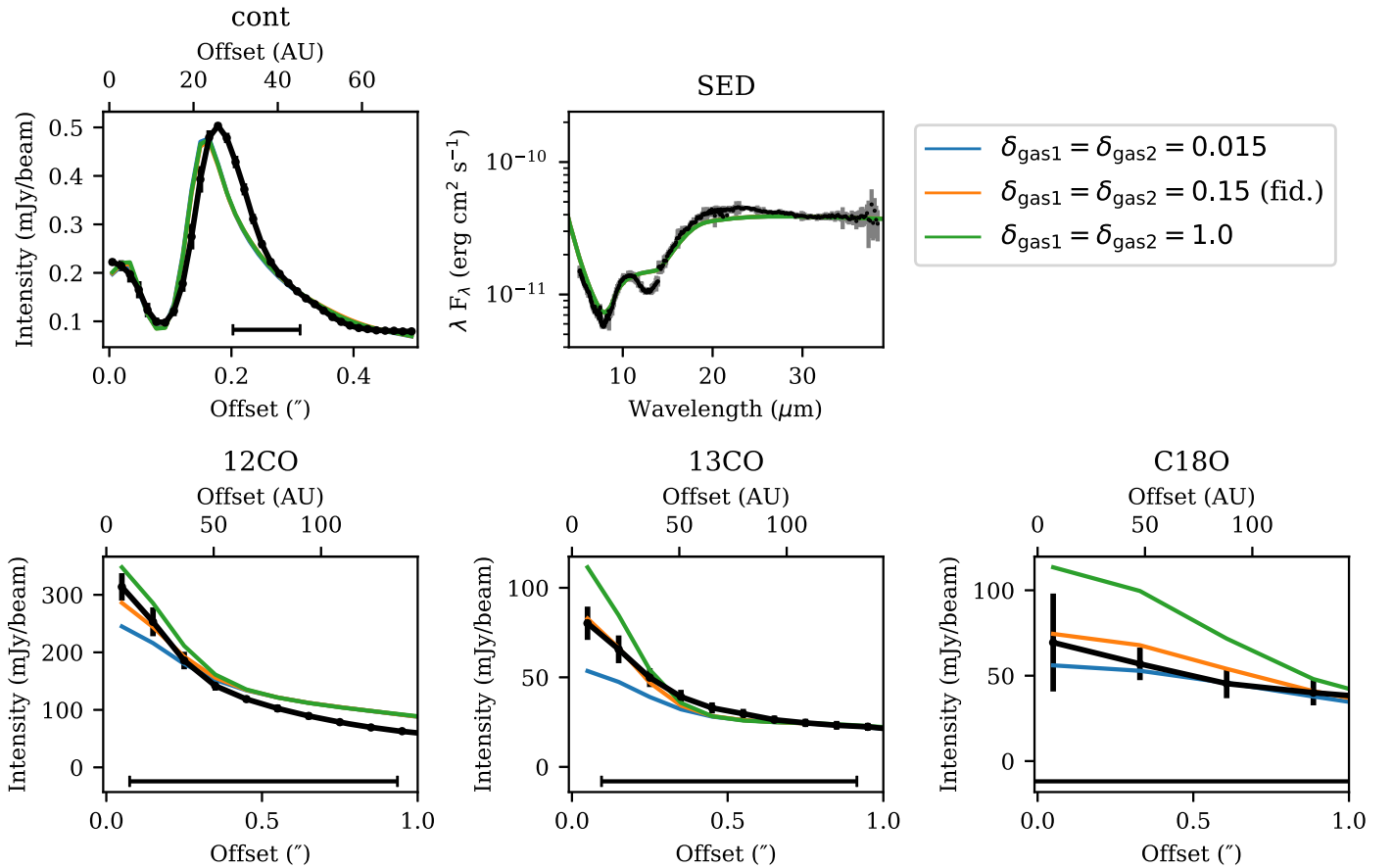


Figure 12. Same as Figure 9, but for the effects of changing the gas surface density depletion within 21 au in the DALI model described in Section 5.2.3.

density of the gas derived from Equation 3 and the fiducial model gas temperature assuming $\alpha = 0.078$, 10^{-2} , 10^{-3} , and 10^{-4} , and compare it to the gas surface density structure for the fiducial model and those with an enhanced $\delta_{\text{gas}2}$ in Figure 14. The gas surface density in the inner disk for the fiducial model is consistent with $\alpha = 0.078$ and the measured stellar accretion rate, however a gas-enriched inner disk is not ruled out by the CO observations, and is plausible if the α value of the accreting gas is $\lesssim 10^{-3}$.

5.2.4. Disk Scale Height

The scale height of the gas disk depends on the hydrostatic equilibrium balance between the gas pressure and gravity, and will affect the amount of stellar radiation absorbed by the disk surface as a function of radius. In our DALI model setup, the scale height of the small dust is the same as the gas, while that of the large dust grains is fixed to a fraction $\chi = 0.2h$ (Section 4.3). We thus test variations in the scale height of the disk by running DALI models with a flatter ($h_c = 0.03$) and steeper ($h_c = 0.09$) disk than the fiducial value ($h_c = 0.06$), which affects both the dust and gas components of the disk. The effect on the model observations is shown in Figure 15. With a larger scale height, the dust continuum is brighter in the outer disk and the peak of the emission at ~ 21 au is broader, the SED retains the same shape but is brighter at all NIR and longer wavelengths, and the emission for all CO isotopologues is brighter throughout the disk; this applies conversely for a smaller scale height. Corresponding plots of the DALI model gas temperature, CO abundance, and optical depth of the $\tau = 1$

line are shown in Figure 22. The location where the $\tau = 1$ surface of the ^{13}CO line reaches the freeze-out temperature and drops to the disk midplane varies with the scale height, from radii of ~ 25 , 36, and 41 au for h_c of 0.03, 0.06, and 0.09, respectively.

5.2.5. Accretion Rate

While the accretion rate of DM Tau is reasonably well constrained by observations to within a factor of 3 (Manara et al. 2014), it is desirable to qualitatively examine how changes in the accretion rate affect the disk. In particular, the accretion luminosity is an additional source of radiation that can affect the thermal balance of the disk, and therefore the emission from the CO isotopologues, which we use to estimate the gas surface density. Here, we thus vary the accretion rate by a factor of 10, producing a proportional change in the accretion luminosity (Equation (2)), with the majority of the change in the optical to UV part of the stellar model. The effect on the observations is shown in Figure 16. A 10 times larger accretion rate would brighten the dust continuum at all radii, with the the cavity edge at 21 au and the outer disk particularly affected. The SED would become brighter at all infrared and longer wavelengths, with a proportionally larger NIR excess, and the CO emission would be almost uniformly brighter throughout the disk. The opposite effect is seen for a 10 times lower accretion rate; however, the difference with the fiducial is much smaller, particularly for the dust continuum. This effect is the result of contribution to the stellar model SED from the accretion luminosity in the optical–UV being a relatively small

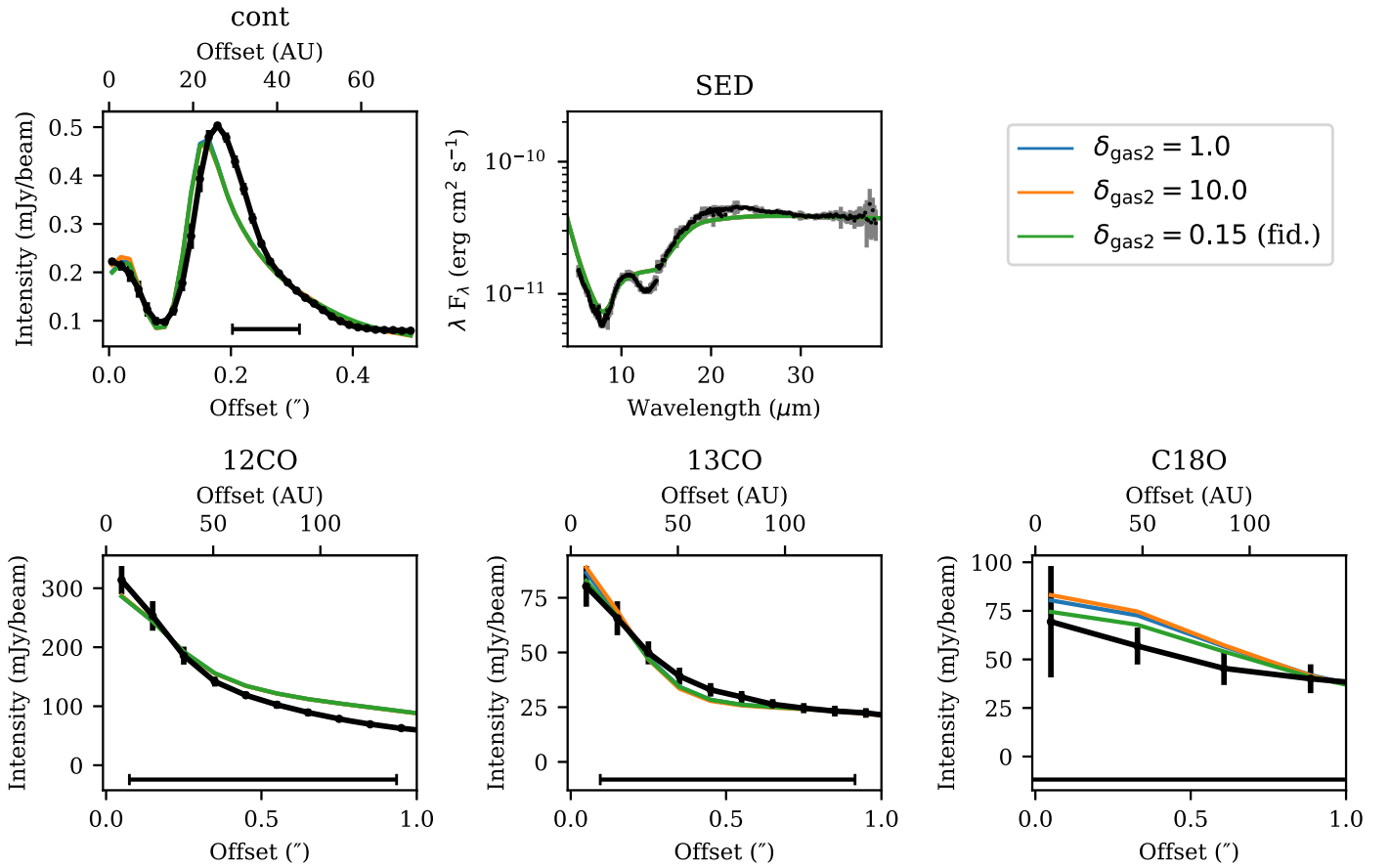


Figure 13. Same as Figure 9, but for the effects of changing the gas surface density enhancement in the inner disk in the DALI model described in Section 5.2.3.

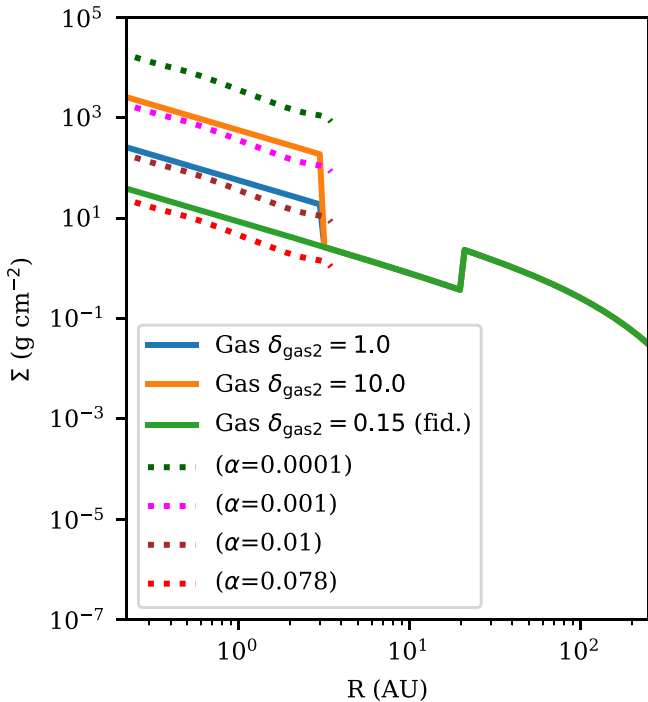


Figure 14. Comparison of the gas surface density for the input DALI models with varying enhancements in the inner disk with the gas surface density estimated from accretion rate with different α values.

fraction of the emission from the star itself. In the DALI model itself (Figure 27), with a higher accretion rate the UV flux is seen to penetrate deeper into the dusty layers of the disk, reducing the size of the midplane CO freeze-out zone and increasing the size of the hot gas layer in the disk atmosphere. Overall, the observed changes in the CO emission with varying accretion rates highlight the importance of including accretion in the stellar model if accurate estimates of the gas structure are to be obtained.

5.2.6. Polycyclic Aromatic Hydrocarbons

PAHs are a potential source of optical–UV opacity, gas heating, and H_2 formation (Bruderer 2013), and would thus be expected to affect the gas temperature and CO abundance toward protoplanetary disks. PAH emission features are rarely detected (a lower limit of $\sim 8\%$) toward T Tauri stars; in systems with a detection, a PAH abundance of 10–100 \times less than the ISM abundance is found. However, PAH emission was predicted to be undetectable in disks with host star $T_{\text{eff}} < 4000$ K even for an ISM PAH abundance (Geers et al. 2006). In contrast, in our fitting of the fiducial DM Tau model, we found an extremely low PAH abundance fraction was required to avoid producing NIR PAH emission features, despite the relatively low T_{eff} of DM Tau of 3580 K. Here, we show the effect of increasing the f_{PAH} with respect to the ISM from the fiducial 10^{-50} up to 10^{-5} and 10^{-3} on the

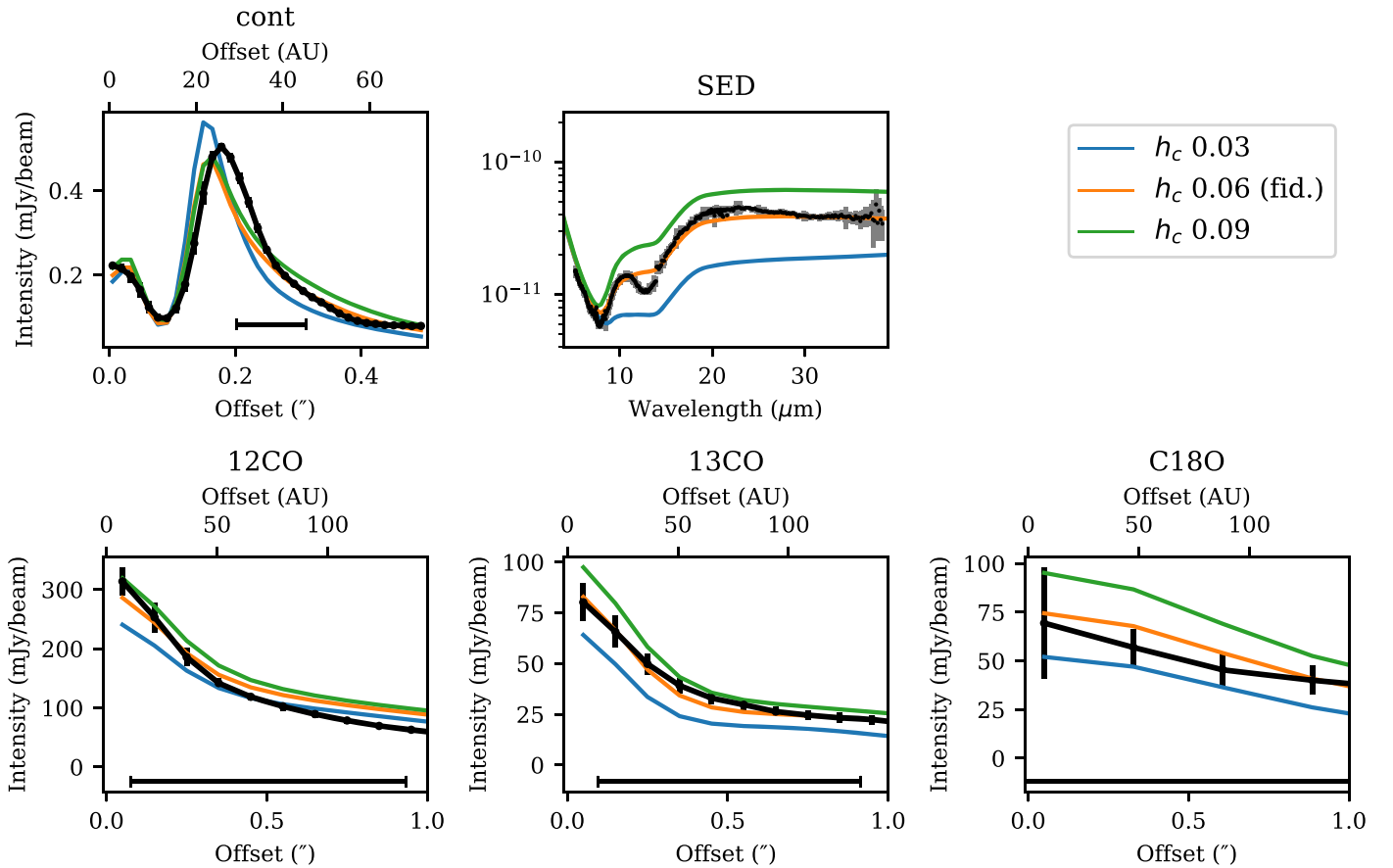


Figure 15. Same as Figure 9, but for the effects of changing the disk scale height angle at the critical radius h_c in the DALI model described in Section 5.2.4.

observations in Figure 17 and the inner disk of the DALI model in Figure 28. The dust continuum is relatively unaffected by the changing PAH fraction, but for a f_{PAH} of 10^{-3} or higher, NIR emission features which are not seen in the Spitzer IRS spectra appear in the model SED. With a higher PAH fraction, more of the UV emission is absorbed and more of the CO is frozen out toward the midplane. The more optically thin ^{13}CO and C^{18}O emission is consequently fainter throughout the disk but not at a level we can distinguish within the sensitivity limits of the observations. The model PAH fraction is thus constrained to be 10^{-5} or lower, however we note that the opacity used for the PAHs in DALI assumes all PAHs are in the form of neutral $\text{C}_{100}\text{H}_{25}$; larger and/or charged PAH molecules may have a lower optical to UV opacity, thus allowing a higher PAH abundance, but a detailed exploration of the PAH composition is beyond the scope of this work.

The modeling of Geers et al. (2006) has suggested that protoplanetary disks around T Tauri stars could have ISM levels of PAHs without producing NIR emission features. However, their models did not include the additional UV flux from accretion, which is particularly significant for the relatively high accretion rate onto DM Tau.

6. Discussion

6.1. Summary of Modeling Results:

The main findings from our DALI modeling of DM Tau are as follows:

1. Section 5.2.1: the inner disk of DM Tau absorbs a large amount of the radiation from the central star, resulting in a 2–3 times lower gas temperature at the $\tau=1$ of the optically thick CO lines surfaces within 21 au than would be seen without an inner disk. Furthermore, CO is able to survive in the cavity of DM Tau even without an inner disk providing shielding from photodissociation, in contrast to the DALI modeling results of Bruderer (2013).
2. Section 5.2.2: the mass of dust within 3.5 au quantified by the $\delta_{\text{dust}2}$ parameter is negligible; however, we do not perfectly reproduce the NIR SED shape, which is sensitive to the detailed structure of the inner ring. The value of r_{inner} is well constrained to within an au of the fiducial value of 3.5 au by the combination of the millimeter continuum and SED fitting. The observed CO emission is not particularly sensitive to changes in either $\delta_{\text{dust}2}$ or r_{inner} .
3. Section 5.2.3: the gas surface density within the 21 au dust cavity is depleted by a factor of ~ 10 . Depletion by a factor of ~ 100 or an undepleted disk are both ruled out by our CO observations and modeling; however, a $\sim 10\times$ depletion in combination with an enhancement in gas in the inner disk by a factor of 10–100 could be consistent with the observed CO emission. A depletion factor of ~ 10 provides enough gas to sustain the observed accretion assuming an α -disk model using the value measured from ^{12}CO line turbulence of 0.078 ± 0.02 .
4. Section 5.2.4: changes in the assumed scale height of the disk strongly affect the observed SED, and alter the dust

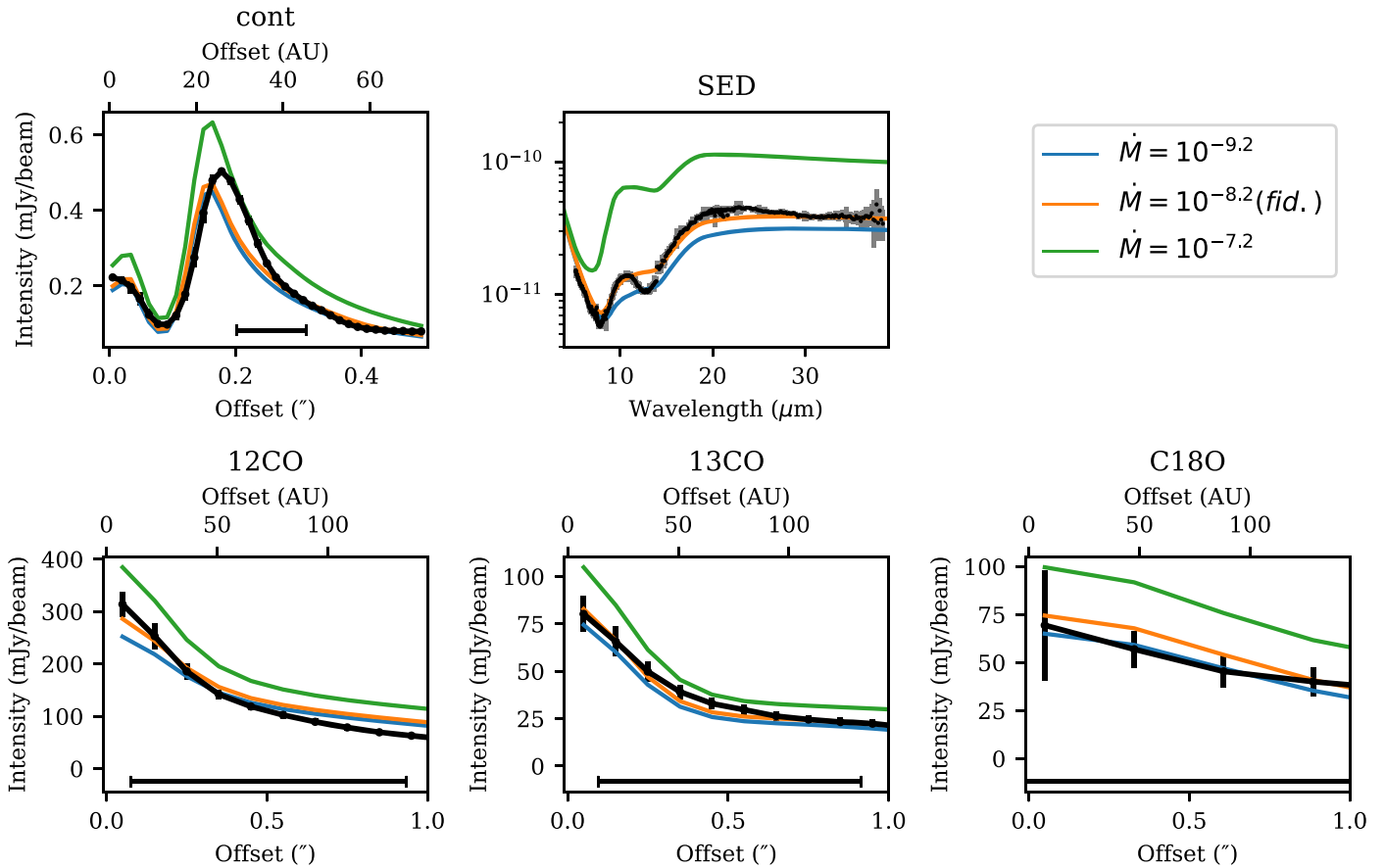


Figure 16. Same as Figure 9, but for the effects of changing the stellar accretion rate in the DALI model described in Section 5.2.5.

continuum and CO emission in the outer disk by increasing or decreasing the amount of stellar radiation absorbed. The fiducial scale height angle of 0.06 is constrained by the SED within ~ 0.01 .

5. Section 5.2.5: an accretion rate $10\times$ larger than the fiducial value produces a significant increase brightness of the dust continuum, CO emission, and SED at all infrared wavelengths. An accretion rate $10\times$ smaller than the fiducial only has a large observable effect through the overall decreased emission in the model SED.
6. Section 5.2.6: DM Tau is extremely depleted in PAHs relative to the ISM value, the largest PAH fraction f_{PAH} not ruled out by the lack of features in the NIR portion of the SED is 10^{-5} that of the ISM, assuming all PAHs are in the form of neutral $\text{C}_{100}\text{H}_{25}$ and that the distribution of PAHs through the disk is uniform.

6.2. Modeling Caveats and Limitations of Observations

The gas temperature and chemical abundances solved for by DALI can have a high systematic uncertainty, as these results are tied to the details of the thermochemical modeling (e.g., the chemical reaction network and radiative transfer methods). A comparison of different thermochemical modeling codes suggests the gas temperature in the disk atmosphere may be uncertain up to a factor of 3 (Röllig et al. 2007), while typical low- J CO line fluxes are estimated to be accurate to about a factor of 2 (Bruderer 2013). The chemical abundances in DALI are solved for steady-state conditions, and do not include the effects of vertical or radial mixing by accretion or turbulence.

Previous observations of ^{13}CO in the outer disk have indicated a gas temperature below the freeze-out temperature of CO, which has been argued as evidence of vertical mixing of gas from the warm disk midplane to the freeze-out layer by turbulence (Aikawa & Nomura 2006; Aikawa 2007). The assumed dust grain size distribution and the dust-settling model used in DALI is parametric and not well constrained by observations. DALI does not include the effects of viscous heating from accretion, which may increase the gas and dust temperature in the inner disk.

Self-shielding from photodissociation is less effective for the rarer CO isotopologues (i.e., ^{13}CO and C^{18}O), resulting in their destruction deeper into the disk than the most abundant ^{12}CO isotopologue and reduced line emission, though the magnitude of the effect depends on the stellar spectrum and dust structure (see Miotello et al. 2014). An optional DALI mode to include the effect of isotope-selective photodissociation is available (Miotello et al. 2014), but we have not used it in our modeling due to the greatly increased computational cost. For the fiducial model, we have run one test case and found that the ^{13}CO emission is unaffected but the C^{18}O line flux integrated over the disk is reduced by a factor of 3. While this implies that our gas surface density could be overestimated, this effect is largely important for colder regions of the outer disk where CO is frozen out. In our fiducial model, the gas temperature at the $\tau = 1$ line does not drop below the range where CO freezes out until ~ 45 au for ^{13}CO and ~ 21 au for C^{18}O (see Figure 8). Therefore, our gas surface density estimates within the cavity should be unaffected by not including isotope-selective photodissociation.

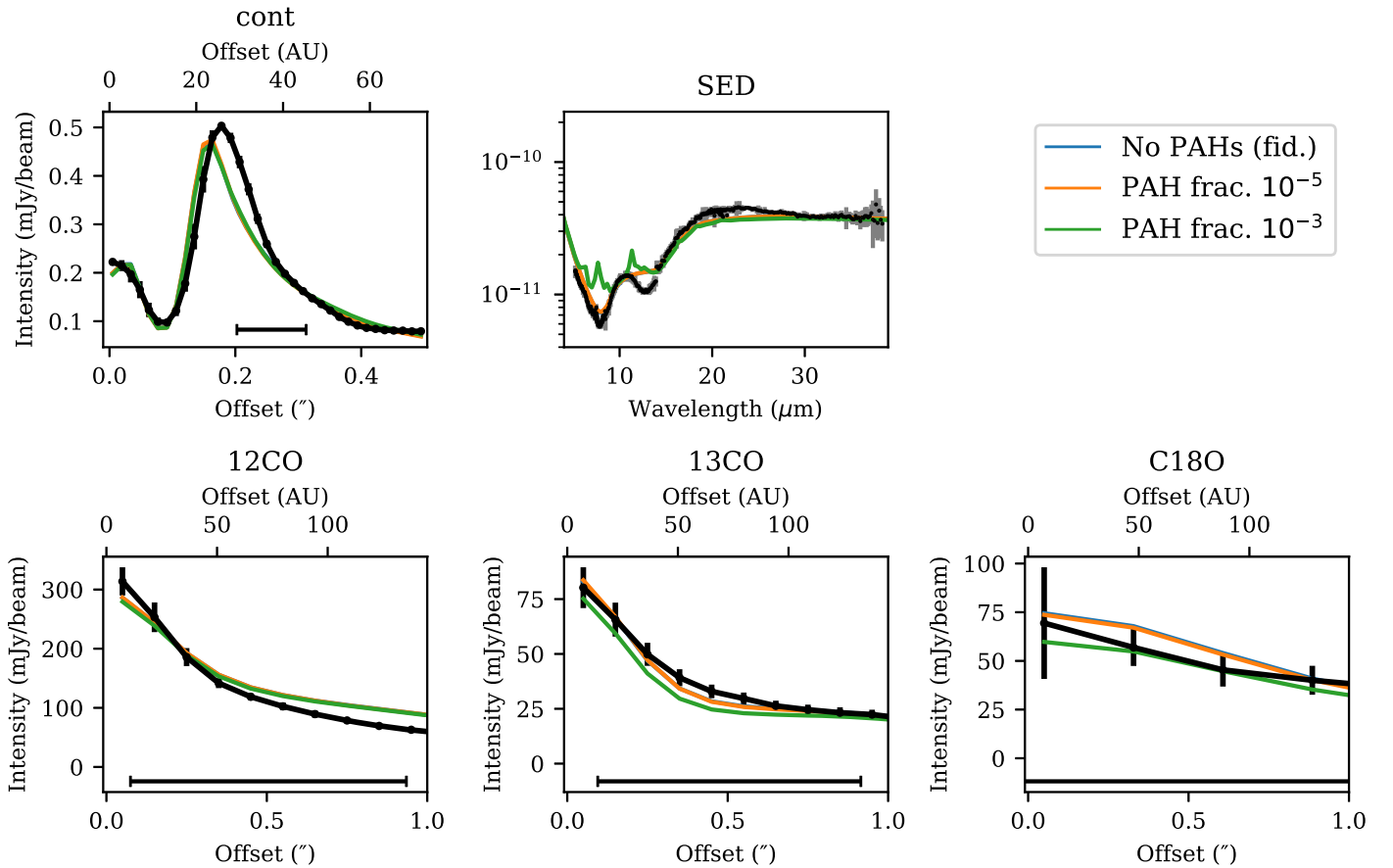


Figure 17. Same as Figure 9, but for the effects of changing the global PAH abundance with respect to the ISM in the DALI model described in Section 5.2.6.

The CO-to-H₂ abundance ratio is a major source of uncertainty when estimating gas mass from CO observations, as CO can be destroyed by UV photons in the upper layers of the disk or frozen out onto dust grains in the midplane, reducing the column density. These processes are taken into account in our DALI modeling, however gas masses measured from HD, which should have a constant abundance relative to H₂ and thus be an excellent tracer, are a factor of 5–100 times higher than the CO-derived gas masses (McClure et al. 2016). DM Tau happens to be one of only a few T Tauri disks with an HD detection from Herschel, which yields a mass range of 1.0×10^{-2} to $4.7 \times 10^{-2} M_{\odot}$. The integrated gas mass of our DALI model is $6.0 \times 10^{-3} M_{\odot}$, which is lower by a factor of 1.6 to 7.8 compared to the HD measurement, suggesting there may indeed still be additional CO depletion processes at work in DM Tau, though this is difficult to constrain with the inherent uncertainties in the chemical network, and some of the disagreement may be reconciled if the outer disk gas mass is underestimated by a factor of a few, as suggested by our model C¹⁸O flux when isotope-selective photodissociation is included.

Our biggest observational limitation is the lack of resolution in the cavity for the ALMA CO observations. This is due to the low signal on the longest baselines, which results in significant tapering being required to obtain a detection of the more optically thin ¹³CO and C¹⁸O. Follow-up observations that can resolve the ¹³CO and C¹⁸O emission thus require a deeper integration time rather than a more extended ALMA configuration. The lack of resolution in our ALMA observations makes measurement of the gas surface density at scales of the

inner disk of DM Tau poorly constrained, as shown in Section 5.2.3.

6.3. Implications for Dust Gap Opening and Presence of Planets

Whatever mechanism is responsible for the observed dust gaps of DM Tau must remain consistent with the shallow gas depletion and high accretion rate of $\sim 10^{-8.3} M_{\odot} \text{ yr}^{-1}$. Inside-out dispersal of transition disks has long been proposed as the origin of their dust gap, yet conventional photoevaporation models (e.g., Owen et al. 2010, 2011) are immediately ruled out for DM Tau, as they predict little to no stellar accretion. In contrast, the population synthesis models of Gárate et al. (2021) find that gap opening by photoevaporation combined with a dead zone in the inner disk can produce a large fraction (63%) of transition disks which are still accreting at rates $> 10^{-11} M_{\odot} \text{ yr}^{-1}$ after the gap is opened. The distribution of the accretion rates in their population synthesis models is sensitive to the dead-zone radius and the decrease in α -viscosity relative to the outer disk. In general, a greater fraction of disks with high accretion rates ($> 10^{-9} M_{\odot} \text{ yr}^{-1}$) is produced with a lower alpha viscosity in the dead zone and larger dead-zone radius, as this results in a more extended inner disk which evolves on a longer viscous timescale and thus supplies accretion onto the star for a longer time. However, even in their most favorable case for producing disks with high accretion rates with $\alpha = 10^{-4}$ and a dead-zone radius of 5 au (see Figure 5 of Gárate et al. 2021), very few disks ($\lesssim 0.1\%$) are produced with accretion rates as high as DM Tau. DM Tau is thus either

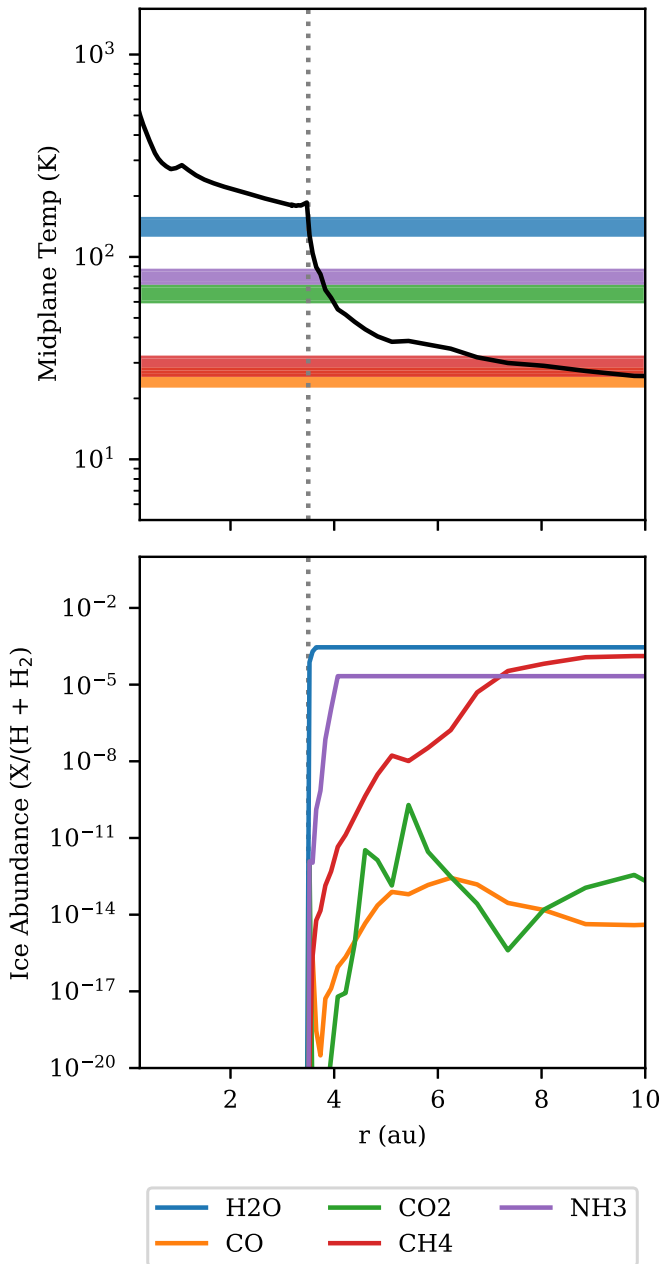


Figure 18. Midplane ($z = 0$) temperature (top panel) and abundance of various volatile species locked into ices (bottom panel) in the fiducial DM Tau model within 10 au. $X/H+H_2$ denotes the ratio of the number densities of ice X and the total gas density. Note: the gas and dust temperature at the midplane are essentially identical in this region of the disk.

a true outlier, and/or was possibly detected very recently after gap opening while the accretion rate is still high. While the low $\alpha = 10^{-4}$ needed for a higher observed accretion rate in the dead-zone models appears to be in disagreement with the $\alpha = 0.078$ from turbulence measurements found by Flaherty et al. (2020), their models for turbulent line broadening do not consider variations in α over the disk, nor does the ^{12}CO line emission resolve the inner disk of DM Tau. The shallow gas depletion by a factor of ~ 10 in DM Tau is also difficult to reconcile with the Gárate et al. (2021) models, which predict an extremely deep depletion ($> 10^{-3}$) only few million years after gap opening. Furthermore, while the simulations of Gárate et al. (2021), which include dust evolution and ray-tracing, can

produce a bright outer dust ring and an inner disk that is a factor of a few times fainter at millimeter wavelengths, the overall millimeter flux in their models is typically around 10 mJy, whereas the integrated flux in our ALMA Band 6 images of DM Tau is much higher, ~ 142 mJy. Gárate et al. (2021) note that for large and millimeter-bright transition disks, planets may thus still be required to produce pressure bumps and trap millimeter-sized dust grains even if photoevaporation is simultaneously opening a gap. Overall, the observed properties of DM Tau are thus difficult to explain using the photoevaporative models.

Large dust rings in transition disks have also been hypothesized to be the result of clearing *or* trapping in a pressure bump by giant planets. While observations of DM Tau intended to find planets in the gap (see Section 2) have not provided a clear detection, translating observed fluxes or upper limits to a companion mass is difficult owing to our poor understanding of the properties of young giant exoplanets. In particular, the predicted planet mass for a given brightness varies considerably depending on whether the gravitational potential energy lost during planet formation is radiated away by accretion (“cold-start” models; e.g., Marley et al. 2007; Fortney et al. 2008) or partially retained as heat (“hot-start”; e.g., Baraffe et al. 2003). Possible episodic accretion bursts (Lubow & Martin 2012; Brittain et al. 2020) and the likely presence of infrared-bright circumplanetary disks (Zhu 2015) further complicate the interpretation. Assuming a hot-start model of planet formation, the NIR Subaru observations of Uyama et al. (2017) place a typical upper limit on planet mass of $\sim 10 M_J$ at 70 au; however, their contrast limits are unlikely to be able to detect planets within the 21 au dust ring of DM Tau at $\leq 0''.15$ due to the significantly poorer contrast at short separations. The tentative detection of a companion at ~ 6 au using SAM observations of Willson et al. (2016) was interpreted using the accreting circumplanetary disk models of Zhu (2015) as a planet and disk with $M_p \dot{M} = 10^{-5} M_J^2 \text{yr}^{-1}$, which for an average circumplanetary disk accretion rate sufficient to form a $1 M_J$ planet in 1 Myr of $10^{-9} M_\odot \text{yr}^{-1}$ is equivalent to a planet mass of $10 M_J$. However, the detected emission may also be from the bright inner disk of DM Tau, as SAM can result in extended emission being confused for point sources (Currie et al. 2019; Blakely et al. 2022). Overall, the presence of giant planets within the 21 au dust gap of DM Tau is not ruled out at the sensitivity limits of observations to date.

One of the hallmarks of gap opening by a giant planet is its effect on the gas-gap structure. The width of the gas gap is predicted to be smaller than the dust gap (Pinilla et al. 2012), which has been observed in other transition disk gaps by van der Marel et al. (2016). However, the effective resolution of our ALMA observations is too low to identify this; the beam size of the ^{12}CO and ^{13}CO observations is ~ 42 au, and the dust cavity with radius 21 au is thus poorly resolved. The depth of the gas gap is sensitive to the planet mass, as shown by 2D hydrodynamical simulations of α -disks (Fung et al. 2014). An empirical formula derived from these simulations relates the gas depth to the planet–star mass ratio q , the viscosity parameter α , and disk scale height h :

$$\delta_{\text{gas}} = 4.7 \times 10^{-3} \left(\frac{q}{5 \times 10^{-3}} \right)^{-1} \left(\frac{\alpha}{10^{-2}} \right)^{1.26} \left(\frac{h}{0.05} \right)^{6.12}. \quad (4)$$

This relation is only valid for $q = 10^{-4} - 10^{-2}$: higher planet–star mass ratios result in a gravitationally unstable disk in the

simulations of Fung et al. (2014). Using the $\alpha = 0.078$ value derived from the turbulence measurements of Flaherty et al. (2020) and the fiducial model gas-gap depth and scale height at 21 au of 0.15 and $h = h_c(r/r_c)^\psi = 0.042$, respectively, yields $q = 7.2 \times 10^{-4}$, which for the stellar mass of DM Tau of $0.39 M_\odot$ is equivalent to $M_p = 0.29 M_J$. However, this planet mass is highly uncertain, as Equation (4) is extremely sensitive to the disk scale height, and was derived assuming a vertically isothermal disk. If we instead assume h values at 21 au of 0.035 ($h_c = 0.05$) or 0.049 ($h_c = 0.07$), which are reasonably consistent with the fiducial SED, we arrive at planet masses of $M_p = 0.097 M_J$ and $M_p = 0.76 M_J$, respectively.

The sub-Jovian planet mass estimated above is at odds with the dust structure of DM Tau and predictions from hydrodynamical simulations of dust evolution in a disk with a giant planet in the gap. Pinilla et al. (2012) find that a $1 M_J$ planet with $\alpha = 10^{-2}$ opens a shallow gas gap with only a depletion in gas surface density of a factor of ~ 10 (their Figure 1, top-left panel) relative to the outer disk; however, the pressure gradient is not strong enough to prevent the rapid radial drift of millimeter-size and smaller dust grains, resulting in a removal of most of the disk dust within a few million years. Effective trapping of dust grains is possible with a $1 M_J$ planet if α is $\leq 10^{-3}$; for a much more massive $10 M_J$ planet the efficiency of trapping is not as dependent on α and a dust ring is formed even for $\alpha = 10^{-2}$; but, in either case, the gas gap is expected to be depleted by $> 10^2$. A narrower but deeper gas gap could be consistent with the ALMA CO observations, which poorly resolve the 21 au dust cavity and would also result in a proportionally higher planet mass from Equation (4).

The bright inner dust ring of DM Tau could indicate that the dust trapping by a planet producing the 21 au outer dust ring is not completely efficient. Further hydrodynamical simulations with dust evolution and a giant planet have shown that for an $\alpha = 10^{-3}$ disk and planet mass $> 5 M_J$, dust trapping is extremely efficient and an inner disk producing a NIR excess can only be maintained for 1 Myr (Pinilla et al. 2016). This implies that some combination of a lower planet mass, stronger disk turbulence, or a recently opened gap are needed to have an observable inner disk; however, exploring this would require detailed simulations that are beyond the scope of this work.

Francis & van der Marel (2020) quantified the properties of inner dust disks in transition disk gaps and found that the inner dust disk of PDS 70—the only transition disk with confirmed planets in the gap—was an outlier for both its large size and much lower gas-to-dust ratio than other transition disks. This was hypothesized to be the combined result of planets only recently opening the gap and the inward radial drift of millimeter-sized grains: with a newly opened gap, the supply of drifting millimeter grains to the inner disk will have only recently been cut off, and the dust grains in the inner disk will not have had sufficient time to drift inwards, resulting in a large and relatively dust-rich inner disk. Furthermore, the young planets in the gap should be brighter and more easily detected, explaining why planets have not been identified toward other transition disks despite similar deep searches (e.g., Maire et al. 2017; Zurlo et al. 2020). A characteristic outer radius of the inner ring of DM Tau was measured by Francis & van der Marel (2020) using a Gaussian fit to be 7.5 ± 0.3 au. This radius is relatively large within the sample, but the gas-to-dust ratio inferred from the accretion rate and assuming an α -disk

model with $\alpha = 10^{-3}$ is $\sim 10^4$, which was similar to most other inner disks. Our fiducial DALI model has a gas-to-dust ratio in the inner disk of ~ 50 , which would suggest it is a possible outlier in the same sense as PDS 70; however, the gas content of the inner disk derived from the CO observations is uncertain by several orders of magnitude (see Section 5.2.3). ALMA observations resolving the inner disk are required to better constrain its gas content and deduce if it is the result of recent gap opening.

6.4. The Inner Disk of DM Tau

As we showed in Section 5.2.1, the inner disk plays an important role in reducing the radiation field and temperature within the 21 au cavity, and thus the brightness of the optically thick CO lines. The seminal DALI modeling of transition disks by Bruderer (2013) found that for a gas-depleted transition disk cavity ($\delta_{\text{gas}} \leq 10^{-4}$), an inner dust disk and PAHs within a transition disk cavity were essential to ensuring the survival of molecular H_2 and CO, as the dust and PAHs provide both shielding from photodissociation and a site for efficient formation of H_2 and other molecules. On the other hand, we find that CO is abundant within the 21 au cavity even without any inner disk. This apparent discrepancy is likely the result of the significantly lower levels of gas depletion required in our DM Tau model. Bruderer (2013) note that with higher gas densities, H_2 can alternatively form by the reaction $\text{H}^{-1} + \text{H} \rightarrow \text{H}_2 + e^-$, and provide a pathway to CO formation. This highlights the importance of considering the dust content of the inner disk and overall gas structure in accurately translating observations of CO to a gas surface density.

In our modeling of the SED and dust continuum, the radius for the inner dust cavity ($r_{\text{inner}} \sim 3.5$ au) in our fiducial model agrees with previous radiative transfer modeling efforts by Calvet et al. (2005; ~ 3 au) and Hashimoto et al. (2021; $3.22^{+0.31}_{-0.12}$). The origin of the inner dust cavity is unclear and difficult to constrain without higher resolution observations of the inner disk. Clearing of disk material and dust trapping by one or more planets within 3.5 au could plausibly explain an inner dust ring, but raises the question of how this would affect the accretion onto the host star. The collisional properties of dust grains such as the likelihood of fragmentation, sticking, and bouncing may change significantly depending on the amount and composition of ices on their mantles (Bauer et al. 2008; Birnstiel et al. 2010; Testi et al. 2014). The presence of snowlines at or near the 3.5 au radius could thus lead to the removal of millimeter-sized grains through destruction or agglomeration into larger bodies, producing an apparent inner ring. Modeling of these processes in DM Tau is beyond the scope of this paper, however our DALI model allows us to explore the locations where common ices may sublime in the inner disk. In Figure 18, the midplane temperature of the dust and gas, sublimation temperature ranges of Zhang et al. (2015), and abundance relative to hydrogen of various ices at the midplane is shown for the fiducial DM Tau model. Within most of the inner disk outside 3.5 au, the midplane is cold (~ 30 K) and most ices should be frozen out. As the inner cavity edge at 3.5 au is approached, the midplane temperature rises to ~ 200 K, sufficient to remove essentially all of the ices on the grains. Any planets formed within 3.5 au would thus be expected to incorporate solids and gas, which are respectively relatively depleted or enriched in volatiles.

7. Conclusions

In this paper, we have used thermochemical modeling with the DALI code in combination with ALMA images of the disk continuum emission, ^{12}CO , ^{13}CO , and C^{18}O lines, SED, and stellar accretion rate to constrain the properties of the gas cavity and inner disk of DM Tau. Our major conclusions are the following:

1. The gas surface density within the 21 au dust cavity appears to show only a shallow depletion by a factor of ~ 10 , which is consistent with estimates of the gas surface density in the inner disk from the stellar accretion rate and viscous α -disk modeling, however, the gas density of the inner disk itself is poorly constrained and could be enhanced by a factor of 10–100 relative to a shallow gap and still be consistent with our interpretation of the CO observations and the accretion rate.
2. The dead zone + photoevaporation models of Gárate et al. (2021) can produce transition disks with an inner dust disk, outer ring, and high accretion rate, but are still unlikely as an explanation for the gap in the DM Tau transition disk as they do not reproduce the high stellar accretion rate or bright millimeter emission in the dust disk. However, nonviscous angular momentum transport in the inner disk and uncertainties in the model dust opacities may contribute to the disagreement between their models and the observations.
3. The planet mass of $\lesssim 1 M_J$ implied by the shallow gas gap and $\alpha = 0.078 \pm 0.02$ from turbulence measurements (Flaherty et al. 2020) is inconsistent with the larger planet masses required for dust trapping in a highly viscous disk. A deeper but narrower gap unresolved by our ALMA observations could allow for a higher planet mass consistent with dust trapping by a giant planet.
4. The inner disk of DM Tau absorbs much of the stellar radiation, lowering the gas temperature in the cavity. In contrast to the DALI transition disk modeling of Bruderer (2013), CO is able to survive in the shallow gas cavity of DM Tau even without an inner dust disk providing shielding from photodissociation, which is likely the result of H_2 formation at higher gas densities by the $\text{H}^{-1} + \text{H} \rightarrow \text{H}_2 + \text{e}^{-}$ reaction, which provides an alternative pathway to CO formation.

5. Our modeling of the inner disk indicates a ~ 3.5 au cavity, consistent with previous studies by Hashimoto et al. (2021) and Calvet et al. (2005). Most volatiles species are likely in the gas phase within the 3.5 au cavity, and may thus be incorporated into planets forming within.
6. Our SED modeling indicates DM Tau is extremely depleted in PAHs relative to the ISM value, and has a PAH fraction of, at most, 10^{-5} that of the ISM, assuming that all PAHs are in the form of neutral $\text{C}_{100}\text{H}_{25}$ and that the PAH distribution is uniform throughout the disk. Unlike previous studies of PAH survival in transition disks (Geers et al. 2006, 2007), our modeling includes UV flux from accretion in assessing the PAH abundance, resulting in a lower inferred value.

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Facilities: ALMA, VLT (X-SHOOTER), Spitzer, GAIA.

Software: *astropy* (Astropy Collaboration et al. 2013, 2018), *CASA* (McMullin et al. 2007), *bettermoments* (Teague & Foreman-Mackey 2018).

Appendix A

Fiducial DALI Model Images and Residuals

In Figure 19, we show the deprojected ALMA dust continuum and moment 0 maps of the ^{12}CO , ^{13}CO , and C^{18}O $J=2-1$ lines, the corresponding fiducial DALI model images, and the residual images after subtracting the model from the observations.

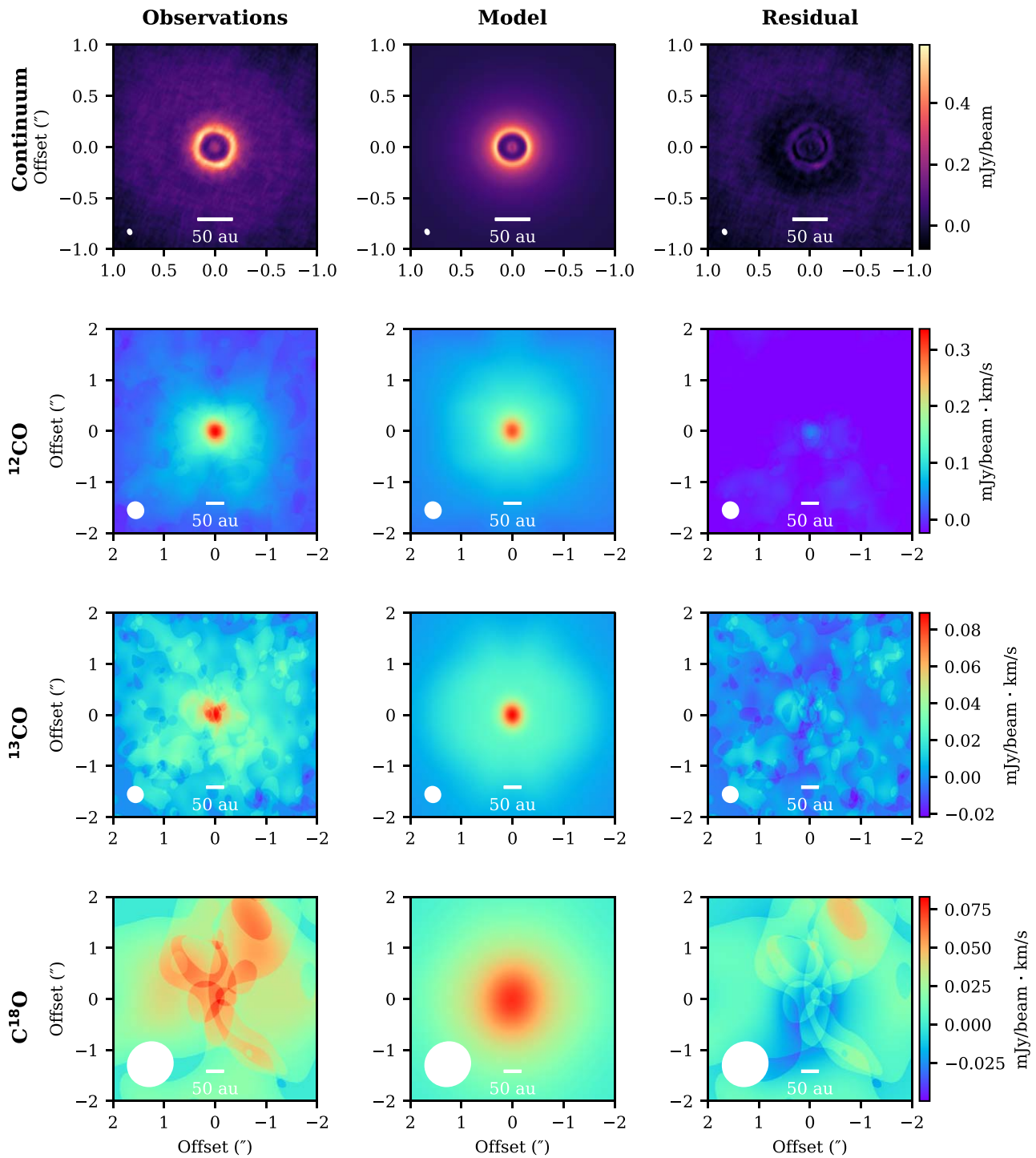


Figure 19. Observed (left column), fiducial DALI model (center column), and residual (right column) deprojected images for the 1.3 mm dust continuum (first row) and ¹²CO, ¹³CO, and C¹⁸O moment 0 maps (second to fourth rows). The beam for each image is shown in the bottom left of each panel as a white ellipse.

Appendix B DALI MODEL Full Disk

In Figure 20, the fiducial DALI model of DM Tau is shown over its full extent from 0 to 500 au for relevant DALI physical quantities (gas and dust density and temperature, CO abundances, etc.)

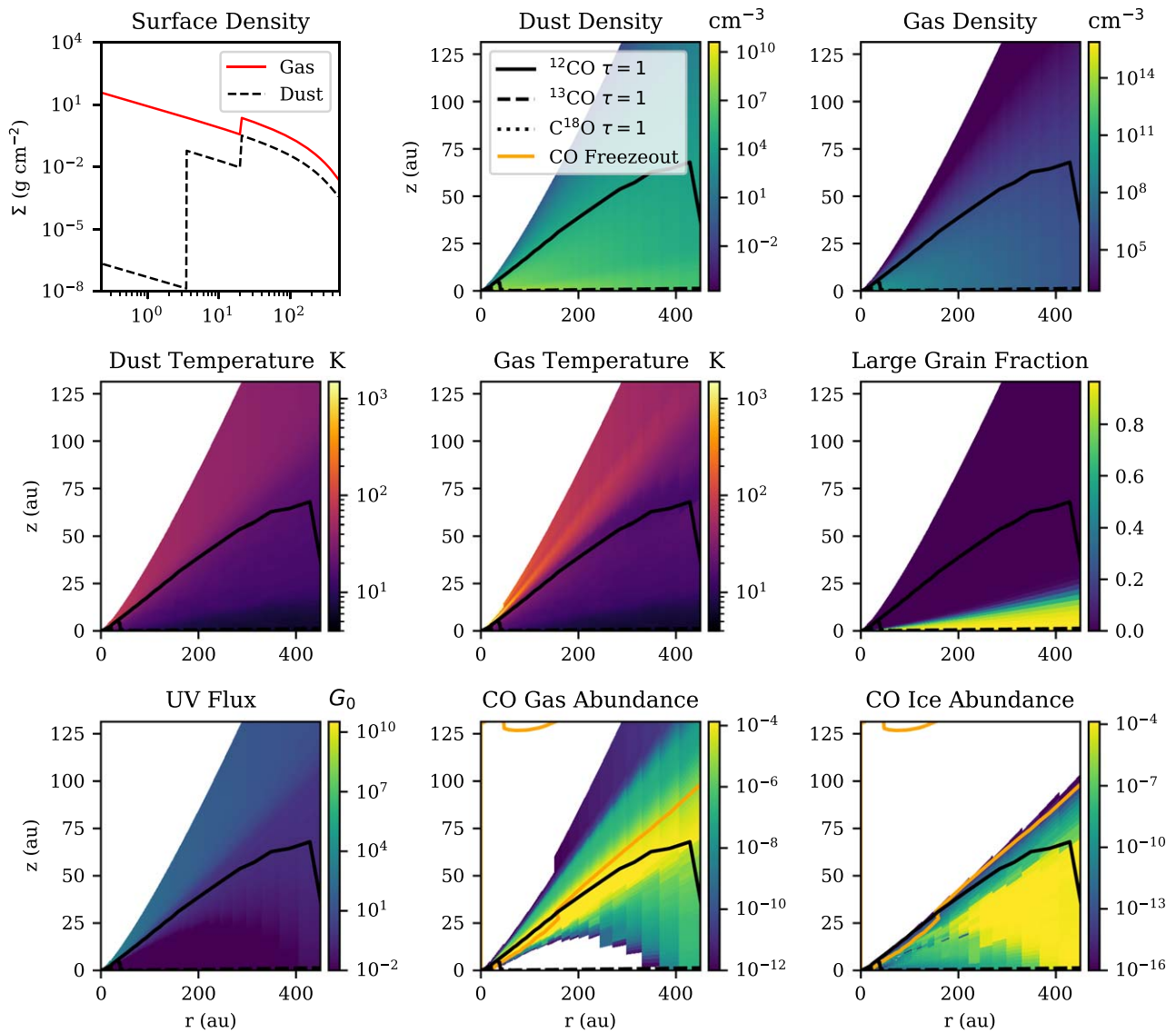


Figure 20. The same fiducial DALI model as Figure 6, but showing the full model grid from from 0 to 500 au.

Appendix C

DALI Models Parameter Space Exploration

In this section, Figures [21–28](#) show comparisons of physical quantities between sets of DALI models for the parameter space explored in Section [5.2](#).

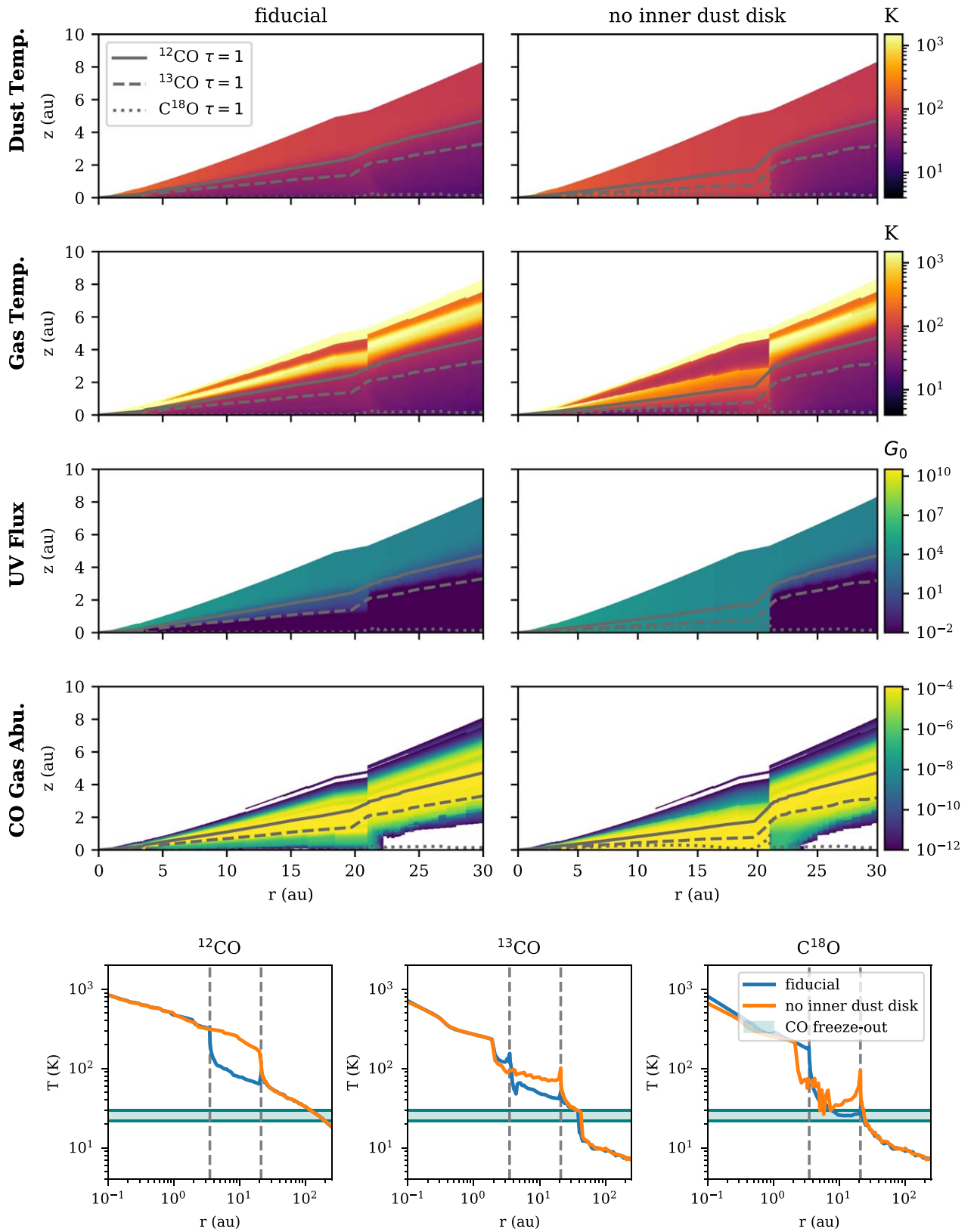


Figure 21. Comparison of selected 2D output variables for the DALI fiducial model (left column) and the same DALI model with no inner dust disk within 30 au (right column), as described in Section 5.2.1. First row: dust temperature; second row: gas temperature; third row CO gas abundance; fourth row: UV flux in ISM units. Bottom row: Comparison of the gas temperature at the $\tau = 1$ surface for the ^{12}CO , ^{13}CO , and C^{18}O lines; the blue shaded region indicates the CO freeze-out temperature range. The $\tau = 1$ surface for the ^{12}CO , ^{13}CO , and C^{18}O is overlaid as solid, dashed, and dotted lines, respectively.

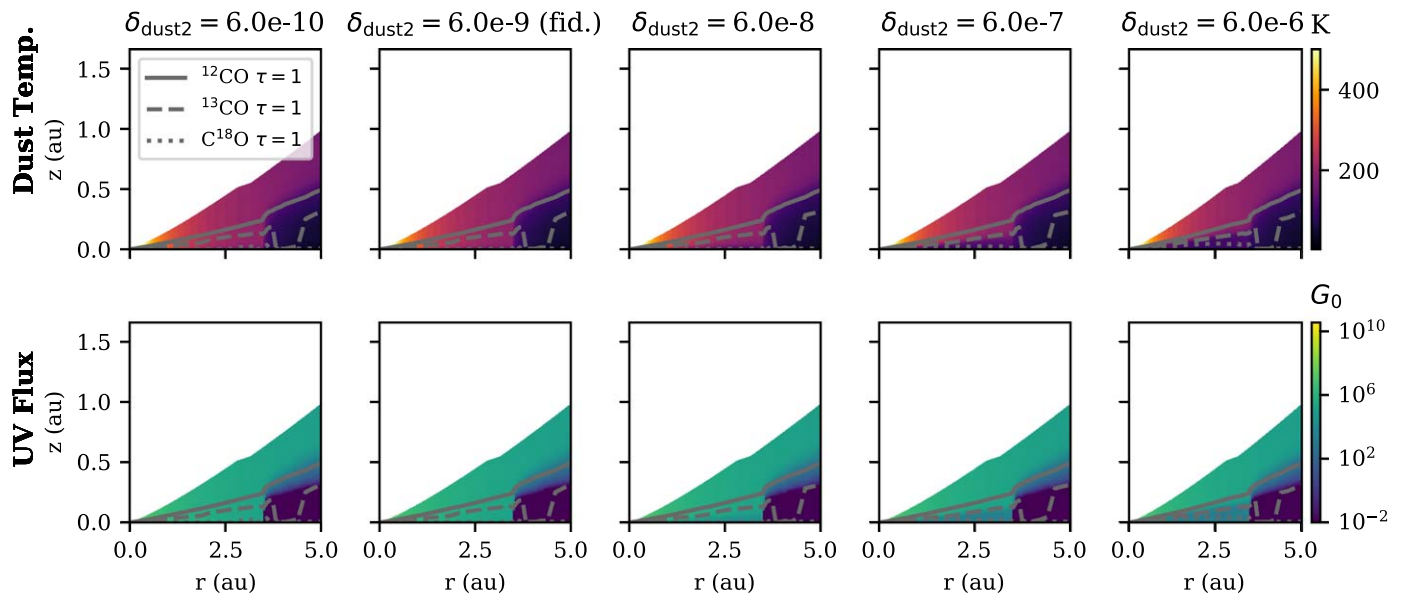


Figure 22. Same as Figure 21, but for the comparison of different values of $\delta_{\text{dust}2}$ within 5 au, as described in Section 5.2.2. First row: dust temperature; second row: UV flux in ISM units.

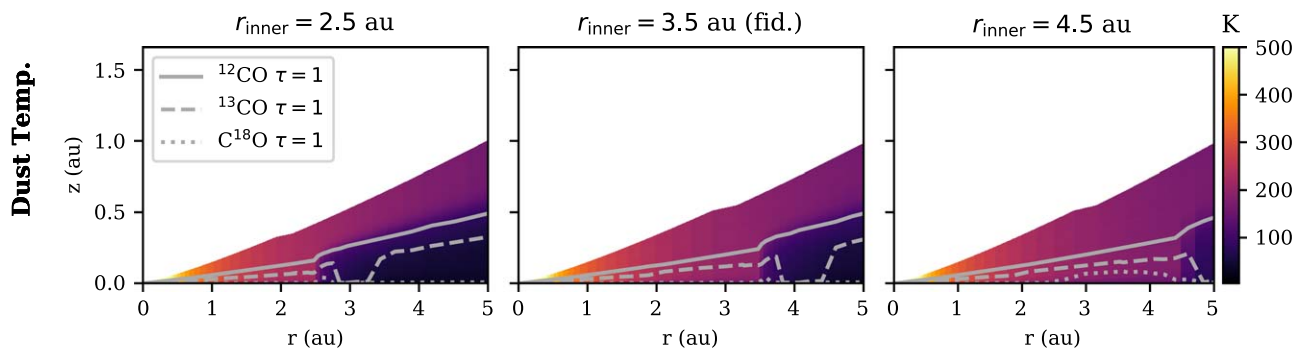


Figure 23. Same as Figure 21, but for comparison of the effect on the dust temperature for different values of r_{inner} within 5 au (right column), as described in Section 5.2.2.

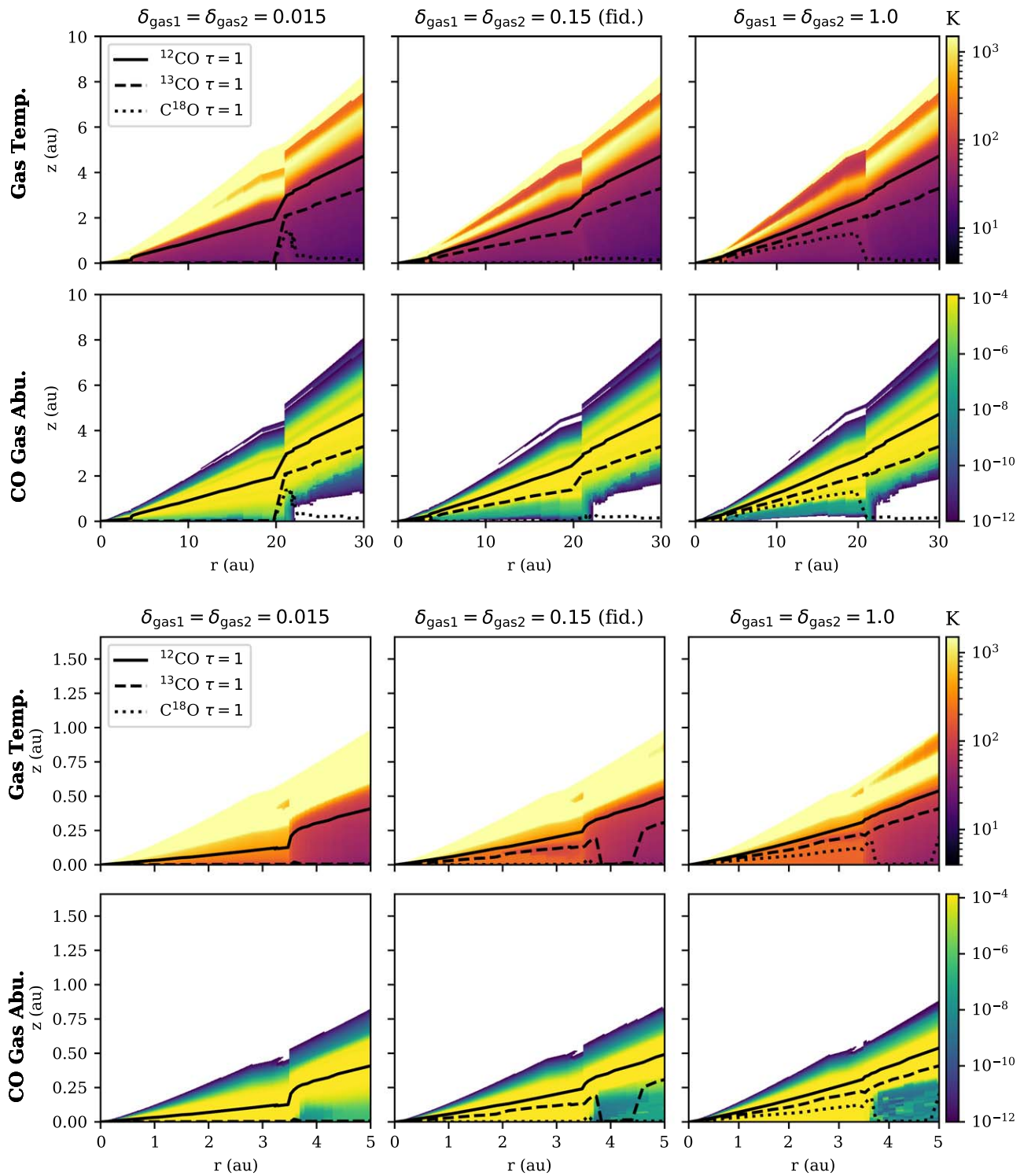


Figure 24. Same as Figure 21, but for the comparison of different values of δ_{gas1} and δ_{gas2} , as described in Section 5.2.3. First row: gas temperature within 30 au; second row: CO gas abundance within 30 au; third row: gas temperature within 5 au; fourth row: CO gas abundance within 5 au.

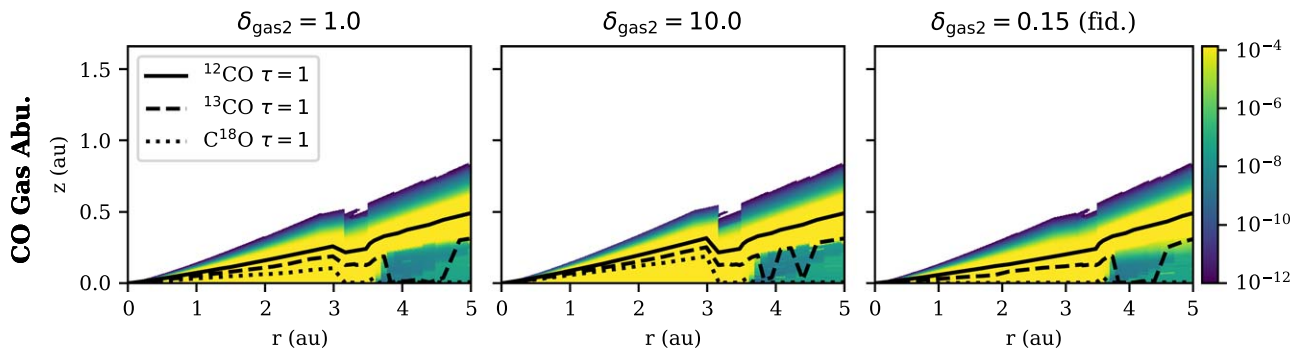


Figure 25. Same as Figure 21, but for the comparison of the effect on the CO gas abundance of different values of $\delta_{\text{gas}2}$ within 5 au, as described in Section 5.2.3.

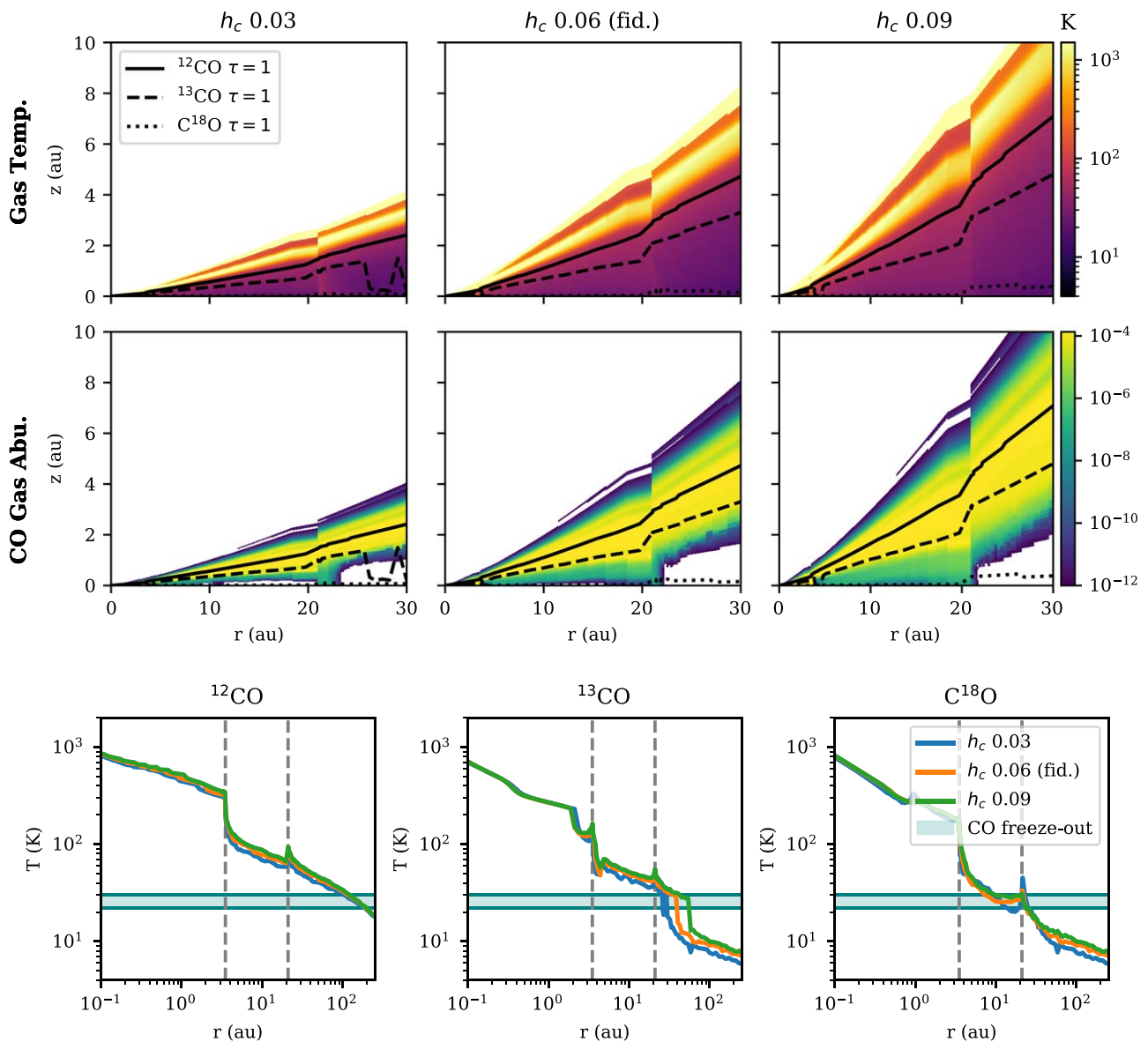


Figure 26. Same as Figure 21, but for the comparison of different values of h_c within 30 au, as described in Section 5.2.4. First row: gas temperature; second row: CO gas abundance; third row: comparison of the gas temperature at the $\tau = 1$ surface for the ^{12}CO , ^{13}CO , and C^{18}O lines.

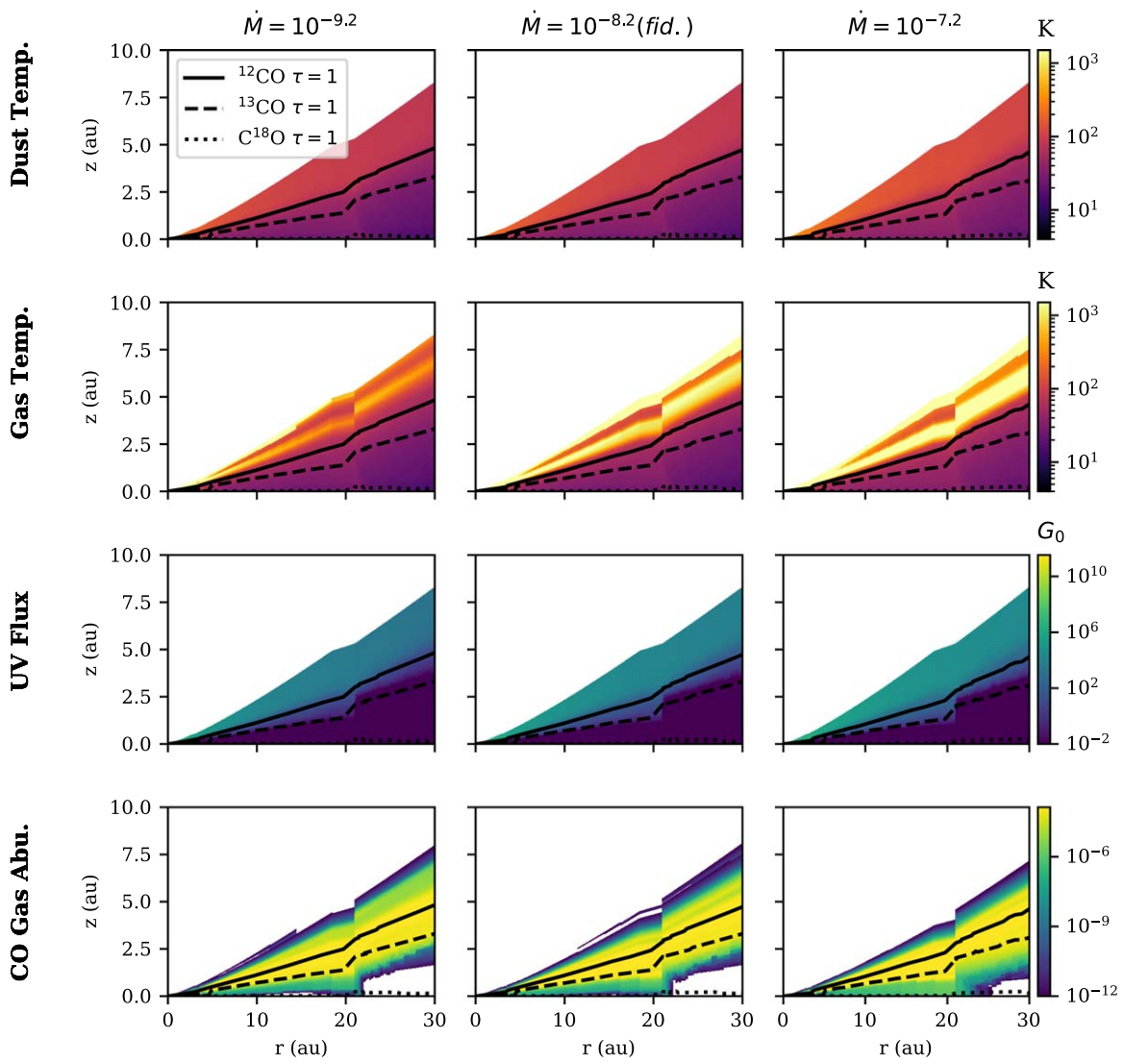


Figure 27. Same as Figure 21, but for the comparison of different values of the stellar accretion rate within 30 au, as described in Section 5.2.5. First row: dust temperature within; second row: gas temperature; third row: CO gas abundance; fourth row: UV flux in ISM units.

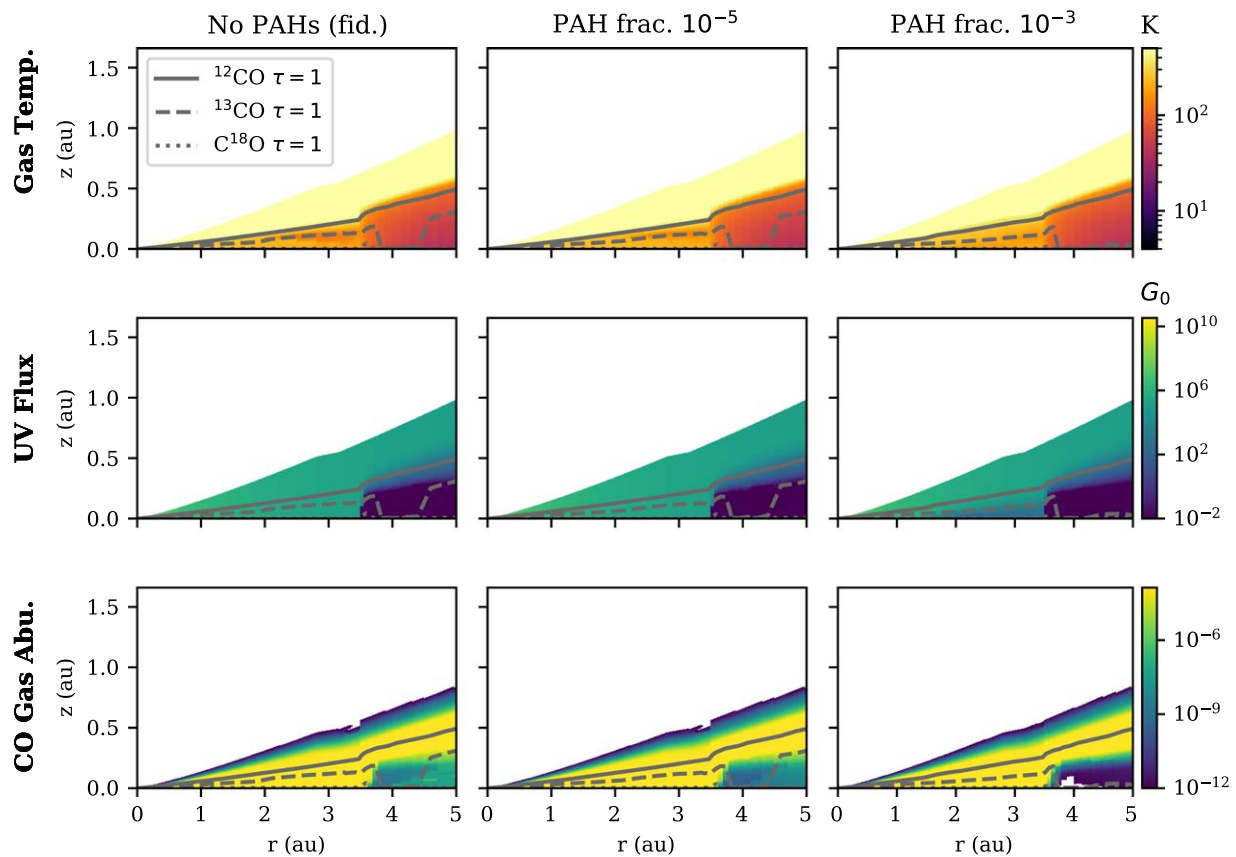


Figure 28. Same as Figure 21, but for the comparison of different values of the global PAH abundance within 5 au, as described in Section 5.2.6. First row: UV flux in ISM units; second row: gas temperature; third row: CO gas abundance.

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