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# Sizes of Lensed Lower-luminosity $z=4-8$ Galaxies from the Hubble Frontier Field Program

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## Abstract

We constrain the rest-UV size–luminosity relation for star-forming galaxies at  $z \sim 4$  and  $z \sim 6, 7$ , and 8 identified behind clusters from the Hubble Frontier Fields (HFF) program. The size–luminosity relation is key to deriving accurate luminosity functions (LF) for faint galaxies. Making use of the latest lensing models and full data set for these clusters, lensing-corrected sizes and luminosities are derived for 68  $z \sim 4$ , 184  $z \sim 6$ , 93  $z \sim 7$ , and 53  $z \sim 8$  galaxies. We show that size measurements can be reliably measured up to linear magnifications of  $\sim 30\times$ , where the lensing models are well calibrated. The sizes we measure span a  $>1$  dex range, from  $<50$  pc to  $\gtrsim 500$  pc. Uncertainties are based on both the formal fit errors and systematic differences between the public lensing models. These uncertainties range from  $\sim 10$  pc for the smallest sources to 100 pc for the largest. Using a forward-modeling procedure to model the impact of incompleteness and magnification uncertainties, we characterize the size–luminosity relation at both  $z \sim 4$  and  $z \sim 6-8$ . We find that the source sizes of star-forming galaxies at  $z \sim 4$  and  $z \sim 6-8$  scale with luminosity  $L$  as  $L^{0.54 \pm 0.08}$  and  $L^{0.40 \pm 0.04}$ , respectively, such that lower-luminosity ( $\gtrsim -18$  mag) galaxies are smaller than expected from extrapolating the size–luminosity relation at high luminosities ( $\lesssim -18$  mag). The new evidence for a steeper size–luminosity relation ( $3\sigma$ ) adds to earlier evidence for small sizes based on the prevalence of highly magnified galaxies in high-shear regions, theoretical arguments against upturns in the LFs, and other independent determinations of the size–luminosity relation from the HFF clusters.

*Unified Astronomy Thesaurus concepts:* [Gravitational lensing \(670\)](#); [Dwarf galaxies \(416\)](#)

*Supporting material:* machine-readable table

## 1. Introduction

The sizes and structures of galaxies contain a significant amount of information on how they formed. In particular, the sizes of galaxies are thought to show a significant proportionality to the size and structure of the dark matter halos in which these galaxies form. This can result in the systematic growth of galaxies with cosmic time (e.g., Ferguson et al. 2004), mirroring the evolution in their dark matter halos, and showing a strong correlation with galaxy mass (e.g., van der Wel et al. 2014). Already, there is significant observational work, reporting a systematic increase in the sizes of galaxies with cosmic time (Bouwens et al. 2004; Ferguson et al. 2004; Oesch et al. 2010; Ono et al. 2013; van der Wel et al. 2014; Holwerda et al. 2015; Shibuya et al. 2015; Suess et al. 2019; Whitney et al. 2019; Mowla et al. 2019a) and a systematic correlation of size with mass (de Jong & Lacey 2000; Mosleh et al. 2012; Huang et al. 2013; van der Wel et al. 2014; Shibuya et al. 2015; Mowla et al. 2019a, 2019b). Similarly, significant work has been done on the structure of galaxies and the structural evolution, with gas-rich star-forming galaxies showing exponential profiles and increasingly evolved or dead galaxies showing a de Vaucouleurs profile (e.g., Wuyts et al. 2011). Despite the strong trends found in the largely parametric analyses listed above of galaxy sizes and structure, the

recovered trends in several other noteworthy nonparametric analyses (i.e., Curtis-Lake et al. 2016; Ribeiro et al. 2017) are considerably less strong, pointing to potential model dependencies in the interpretation of galaxy structural evolution.

Very-low-luminosity galaxies lie at one extreme in studies of galaxy size and structure. In the Local Group, most very-low-luminosity galaxies ( $-10 > M_V > -15$ ) are found to have half-light radii ranging from 100 pc to 1 kpc (e.g., McConnachie 2012), with galaxy size showing a weak correlation with optical luminosity. At such low luminosities, the surface brightness of galaxies becomes very low, ranging from  $\sim 24$  to  $\sim 26-27$  mag arcsec $^{-2}$  at  $-13$  to  $-10$  mag, respectively. Similar properties are found for dwarf galaxies in the Fornax Cluster (Venhola et al. 2017, 2018). Despite these general trends, when viewed in the rest-UV, dwarf galaxies typically break up into a few distinct star-forming regions (Overzier et al. 2008), which appear as star cluster complexes.

In this context, it is interesting to study galaxy size and structure of very-low-luminosity galaxies in the  $z \gtrsim 3$  universe when galaxies were first forming. One promising way forward is to make use of the magnifying effect of gravitational lensing and to combine this with sensitive, high-resolution views of the distant universe provided by the Hubble Space Telescope (HST). Exactly such a view into the distant universe was made possible with the Hubble Frontier Fields (HFF) program (Coe et al. 2015; Lotz et al. 2017). Sources can be stretched by large factors along one of their axes. As we show in this paper, this stretching can now reliably be measured to linear magnifications of  $\sim 30\times$ , allowing the lensed structure in systems to be



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studied at very high spatial resolution (see, e.g., Bouwens et al. 2017a for an earlier discussion regarding the magnification limits from the then-current models). One significant earlier example of what can be done was the highly magnified  $z=4.92$  galaxy behind MS 1358+62 (Franx et al. 1997; Swinbank et al. 2009; Zitrin et al. 2011), where star-forming clumps just 200 pc in size could be partially resolved.

Already, there have been several uses of the HFF observations to examine the size distribution of extremely faint galaxies. In an early study leveraging HFF observations over the first HFF cluster A2744, Kawamata et al. (2015) made use of the data to map out the distribution of galaxy sizes versus luminosities, while Laporte et al. (2016) looked further into the sizes of fainter galaxies using the HFF data over the second and third HFF clusters. Interestingly, Kawamata et al. (2015) identified a few  $\sim -17$  mag sources<sup>6</sup> with nominal physical sizes less than 40 pc using their own lensing model (Ishigaki et al. 2015).

In Bouwens et al. (2017a), we pursued constraints on the physical sizes of fainter  $> -16.5$  mag  $z=2-8$  galaxies in the HFF observations, looking at both (1) the prevalence of sources as a function of lensing shear and (2) detailed size constraints on sources in particularly high magnification areas. These analyses provided evidence that very low luminosity ( $> -16.5$  mag) galaxies might have especially small sizes, i.e., in the range of tens of parsecs to  $\sim 100$  pc. Intriguingly, these sizes are not especially different from those seen in molecular clouds and star cluster complexes in the nearby universe (e.g., Kennicutt et al. 2003), an idea we develop further in a companion paper (Bouwens et al. 2021; see also Renzini 2017; Vanzella et al. 2017a, 2019; Pozzetti et al. 2019).

Kawamata et al. (2018) made use of the observations from all six HFF clusters to measure the size of galaxies behind those clusters, while calculating the selection efficiency for sources behind the HFF clusters as a function of size and luminosity. Combining their size measurements with the selection efficiencies they computed, they derived a size–luminosity relationship for lower-luminosity galaxies at  $z=6-9$ . They found a steeper size–luminosity relation than what has been found at higher luminosities (Huang et al. 2013; van der Wel et al. 2014; Shibuya et al. 2015; Mowla et al. 2019a). A total of 42 of the sources from their  $z=6-9$  samples have estimated sizes  $\leq 50$  pc, similar to what they had found for some sources in their earlier study (Kawamata et al. 2015) and as had been found by Bouwens et al. (2017a), Vanzella et al. (2017a, 2017b, 2019, 2020), and Johnson et al. (2017).

The purpose of the present work is to make use of the observations from the HFF program to provide an independent measurement of the size distribution of extremely low luminosity star-forming sources in the  $z=4$  and  $z=6-8$  universe, to quantify how the size of these sources varies with luminosity down to very low luminosities, and finally to examine the interplay between source sizes and the form of the derived UV LF. In doing so, we make use of the clusters from the HFF program, selecting  $z=4$  and  $z=6, 7,$  and  $8$  galaxies behind two and six of them, respectively, and then measure sizes for individual lensed galaxies. We discuss the impact of lensing model uncertainties and incompleteness on the size distributions we derive and discuss the importance of galaxy sizes on the faint-end shape of the UV LFs. One caveat in this

study is our measurement of source sizes using rest-UV rather than rest-optical data, and we will discuss this caveat both in this paper and in the companion paper to this study. Throughout, we assume a standard “concordance” cosmology with  $H_0 = 70 \text{ km s}^{-1} \text{ Mpc}^{-1}$ ,  $\Omega_m = 0.3$ , and  $\Omega_\Lambda = 0.7$ , which is in good agreement with recent cosmological constraints (Planck Collaboration et al. 2016). Magnitudes are in the AB system (Oke & Gunn 1983).

## 2. Data Sets and Samples

In our analysis, we make use of the v1.0 reductions of the HST observations over all six clusters that make up the HFF program (Coe et al. 2015; Lotz et al. 2017). These reductions include all 140 orbits of HST imaging observations obtained over each cluster (70 optical/ACS, 70 near-IR/WFC3/IR) plus all additional archival observations taken over each cluster as a result of other programs, e.g., CLASH (Postman et al. 2012) or GLASS (Schmidt et al. 2014). All six clusters now have version 3 (v3) and version 4 (v4) public magnification models available, including multiple image systems identified using the full HFF data set and substantial spectroscopic redshift constraints on multiple image systems (Owers et al. 2011; Schmidt et al. 2014; Limousin et al. 2016; Vanzella et al. 2014; Jauzac et al. 2016; Caminha et al. 2017; Mahler et al. 2018).

Before constructing catalogs of sources behind these clusters, it is helpful to attempt to remove both the intracluster light and light from the brightest galaxies that cover considerable ( $\sim 40\%-80\%$ ) surface area near the center of clusters and make it more difficult to identify  $z \sim 6-8$  sources. The modeling of light from the brightest foreground galaxies was performed using GALFIT (Peng et al. 2002), while the intracluster light was modeled using a median-smoothing algorithm. While this will be described in detail in R. J. Bouwens et al. (2022, in preparation), the algorithm is similar to that employed by SExtractor (Bertin & Arnouts 1996) to compute the background image, i.e., to break the image into  $2'' \times 2''$  cells and to compute the median in each. Then, a median is taken of the medians in a cell and its eight nearest neighbors to define the background level at the center of each cell. Finally, a spline interpolation of the median background level at the center of each cell is performed to estimate the background level across the image. In Appendix A of Bouwens et al. (2017b), this procedure is compared with similar procedures developed by Merlin et al. (2016) and Livermore et al. (2017; see also Shipley et al. 2018), finding that all of these approaches perform similarly well.

After modeling and subtracting light from the foreground cluster and galaxies from the images, we move onto the selection of faint high-redshift sources. Here we restrict our focus primarily to the selection of sources at  $z \sim 6, z \sim 7,$  and  $z \sim 8$  because of the large number of sources in those samples compared to even higher-redshift selections. We also make use of results from a faint selection of  $z \sim 4$  galaxies to take advantage of the smaller impact of incompleteness on those selections than at  $z \sim 6-8$ . Contamination can, however, be a greater concern for deriving the size–luminosity relation at  $z \sim 4$  since evolved galaxies that make up  $z \sim 0.3-0.6$  clusters have similar colors to  $z \sim 5$  galaxies owing to their redshifted Balmer/4000 Å breaks. The selection of  $z \sim 4$  galaxies can nevertheless be reliably performed if we restrict ourselves to those behind the two highest-redshift clusters from the HFF programs MACS0717 and MACS1149, where the spectral

<sup>6</sup> Specifically HFF1C-i10 and HFF1C-i13 from Kawamata et al. (2015).

energy distribution (SED) shape of the  $z \sim 4$  galaxies is sufficiently distinct from galaxies in the clusters.

The selection of sources in our  $z \sim 6$ ,  $z \sim 7$ , and  $z \sim 8$  samples relies on the following two-color criteria and optical nondetection criteria and is similar to our treatment in Bouwens et al. (2015) and Bouwens et al. (2017b). For our  $z \sim 6$ –7 samples we use the criteria

$$\begin{aligned} & (I_{814} - Y_{105} > 0.6) \wedge (Y_{105} - H_{160} < 0.45) \wedge \\ & (I_{814} - Y_{105} > 0.6(Y_{105} - H_{160})) \wedge \\ & (Y_{105} - H_{160} < 0.52 + 0.75(J_{125} - H_{160})) \wedge \\ & \text{SN}(B_{435} < 2) \wedge \\ & ((\chi_{\text{opt}}^2(B_{435}, V_{606}) < 2) \vee (V_{606} - Y_{105} > 2.5)) \wedge \\ & [\text{notin } z \sim 8 \text{ samples}], \end{aligned}$$

while for our  $z \sim 8$  sample we use the criteria

$$\begin{aligned} & (Y_{105} - J_{125} > 0.45) \wedge \\ & (Y_{105} - J_{125} > 0.525 + 0.75(J_{125} - H_{160})) \wedge \\ & (J_{125} - H_{160} < 0.5) \wedge (\chi_{\text{opt},0.75}^2 < 4) \wedge \\ & (\chi_{\text{opt,Kron}}^2 < 4) \wedge (\chi_{\text{opt},0.75}^2 < 4), \end{aligned}$$

where  $\wedge$ ,  $\vee$ , and S/N represent the logical AND operation, the logical OR operation, and signal-to-noise ratio, respectively. The  $\chi_{\text{opt}}^2$  quantity shown above is defined based on the fluxes in bands blueward of the Lyman break as  $\sum_i \text{SGN}(f_i)(f_i/\sigma_i)^2$ , where  $f_i$  is the flux in band  $i$  in a consistent aperture,  $\sigma_i$  is the uncertainty in this flux, and  $\text{SGN}(f_i)$  is equal to 1 if  $f_i > 0$  and  $-1$  if  $f_i < 0$  (see Bouwens et al. 2011). Three different apertures are considered for the  $\chi_{\text{opt}}^2$  parameter, i.e., a  $0''.35$ -diameter aperture, a small scalable Kron aperture, and a small  $0''.2$ -diameter aperture.

Our joint  $z \sim 6$ –7 sample is split into separate  $z \sim 6$  and  $z \sim 7$  galaxy samples based on whether the best-fit photometric redshift  $z_{\text{phot}} < 6.3$  or  $z_{\text{phot}} > 6.3$ , using a similar procedure to that described in Bouwens et al. (2017a) or Bouwens et al. (2017b). Due to the small size of the Lyman break for  $z \sim 6$  galaxies, we require that  $z \sim 6$  sources cannot have an integrated likelihood of being at  $z < 4.3$  greater than 35%.

For our  $z \sim 4$  sample, we use the following two-color selection criteria:

$$\begin{aligned} & (B_{435} - V_{606} > 1) \wedge (I_{814} - J_{125} < 1) \wedge \\ & (B_{435} - V_{606} > 1.6(i_{775} - J_{125}) + 1) \wedge \\ & (V_{606} - I_{814} < 0.5). \end{aligned}$$

Similar to the two-color criteria used in Bouwens et al. (2021) for selections of  $z \sim 4$  galaxies in the HFF parallel fields, we require that the  $V_{606} - I_{814}$  color be bluer than 0.5 mag to avoid contamination from cluster galaxies. Sources in our  $z \sim 4$  sample are only included brightward of an  $H_{160,AB}$  magnitude of 27.3 to ensure that contamination from cluster galaxies is kept to a minimum. This is chosen to ensure sufficient S/N in the flux measurements to allow for a largely clean selection of  $z \sim 4$  galaxies. We motivate this limit in detail in R. Bouwens et al. (2022, in preparation).

For both our  $z \sim 6$ –8 and  $z \sim 4$  selections, all bright ( $H_{160,AB} < 27$ ) sources with SExtractor stellarity parameters in excess of 0.9 (where 0 and 1 correspond to extended and point sources, respectively) are removed. We also remove

sources in cases where the stellarity parameter is in excess of 0.6 and the HST photometry is much better with SEDs of low-mass stars ( $\Delta\chi^2 > 2$ ) from the SpeX library (Burgasser et al. 2004) than with a linear combination of galaxy templates from EAZY (Brammer et al. 2008). Additionally, we have aimed to be conservative with our selection in that any sources that lie in particularly noisy regions of the images (e.g., where a bright foreground source is subtracted) or overlap with diffraction spikes are excluded owing to the challenges in ascertaining their reality or accurately characterizing their sizes.

Our  $z \sim 6$ ,  $z \sim 7$ , and  $z \sim 8$  samples from six HFF clusters contain 184, 93, and 53 sources, respectively, for a total of 330 sources. Meanwhile, our  $z \sim 4$  sample identified behind the MACS0717 and MACS1149 clusters contains 68 galaxies. In Section 4.3, we present the coordinates and other characteristics of sources in these samples.

### 3. Size–Luminosity Relation for Star-forming Galaxies at $z \sim 6$ –8 from Blank-field Studies

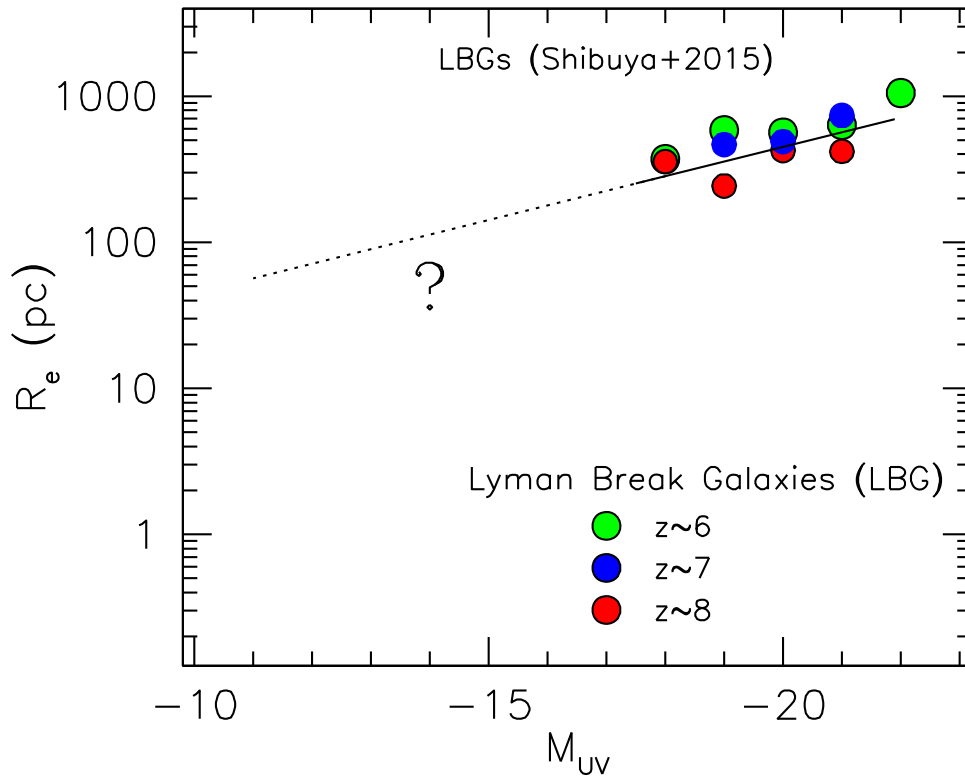
To provide context for the measurements we obtain of the size and luminosities of faint  $z = 6$ –8 galaxies in the HFF observations (Section 4), it is useful for us to frame the constraints we obtain here for lensed sources in our fields relative to the sizes of galaxies identified in an extensive set of blank-field studies (e.g., Bouwens et al. 2004; Ferguson et al. 2004; Oesch et al. 2010; Grazian et al. 2012; Huang et al. 2013; Ono et al. 2013; Holwerda et al. 2015; Shibuya et al. 2015).

The most recent and comprehensive of these determinations is by Shibuya et al. (2015), who conduct size measurements on  $\sim 190,000$   $z = 0$ –10 galaxies identified over the XDF/HUDF, the HUDF parallel fields, the five CANDELS fields, and two of the HFF parallel fields. The median half-light radius of sources that Shibuya et al. (2015) measure for their  $z \sim 6$ ,  $z \sim 7$ , and  $z \sim 8$  samples is presented in Figure 1 with green, blue, and red circles, respectively, and we find that it is well represented by the following relationship:

$$\log_{10}(r_e/\text{pc}) = -0.1(M_{\text{UV}} + 21) + 2.74, \quad (1)$$

where  $r_e$  is the half-light rest-UV radius in pc and  $M_{\text{UV}}$  is the UV luminosity at  $\sim 1600 \text{ \AA}$ . The above size–luminosity relation is schematic in form, with the intention to present the median relation for  $z \sim 6$ , 7, and 8. This relation is included in Figure 1 as a solid black line over the range where current observations provide a direct constraint on the relationship and extrapolated to lower luminosities assuming the same slope (dotted line). The slope is consistent with the approximate median slope of the  $z \sim 6$ ,  $z \sim 7$ , and  $z \sim 8$  size–luminosity relations presented in Figure 10 of Shibuya et al. (2015).

The Shibuya et al. (2015) size–luminosity relation is fairly typical of that seen in other studies (Mosleh et al. 2012; Huang et al. 2013; van der Wel et al. 2014) for luminous galaxies across a range of redshifts, from  $z \sim 2$  to  $z \sim 6$ . However, it is valuable to recognize that current blank-field HFF observations only probe the high end of the luminosity range examined in this study. The HFF studies provide a unique opportunity to extend the analysis to far fainter luminosities.



**Figure 1.** Median size vs. luminosity relation of galaxies identified in blank-field studies, i.e., the XDF/HUDF and CANDELS. The canonical size–luminosity relation is presented using both the Shibuya et al. (2015) fit results (black line) and median sizes at  $z \sim 6$  (green circles),  $z \sim 7$  (blue circles), and  $z \sim 8$  (red circles). The black dotted line shows an extrapolation of the best-fit Shibuya et al. (2015) trend to lower luminosities.

#### 4. Sizes of $z \geq 4$ HFF Sources

##### 4.1. Measurement Procedure

In fitting the two-dimensional spatial profile of galaxies behind the HFF clusters to measure sizes, we must account for the substantial impact that gravitational lensing from the foreground cluster has on the spatial profiles of galaxies.

The relevant quantities in computing the size of a lensed source are the total magnification factor  $\mu$  and the source shear. In Bouwens et al. (2017a), we introduced a quantity that we called the shear factor  $S$ , which we defined as follows:

$$S = \begin{cases} \frac{1 - \kappa - \gamma}{1 - \kappa + \gamma}, & \text{for } \frac{1 - \kappa - \gamma}{1 - \kappa + \gamma} \geq 1 \\ \frac{1 - \kappa + \gamma}{1 - \kappa - \gamma}, & \text{for } \frac{1 - \kappa - \gamma}{1 - \kappa + \gamma} < 1 \end{cases}, \quad (2)$$

where  $\kappa$  is the convergence and  $\gamma$  is the shear. The shear factor  $S$  gives the axis ratio a circular galaxy would have owing to the impact of gravitational lensing.

The source magnification  $\mu$  can be computed from the convergence  $\kappa$  and shear  $\gamma$ :

$$\mu = \frac{1}{(1 - \kappa)^2 - \gamma^2}.$$

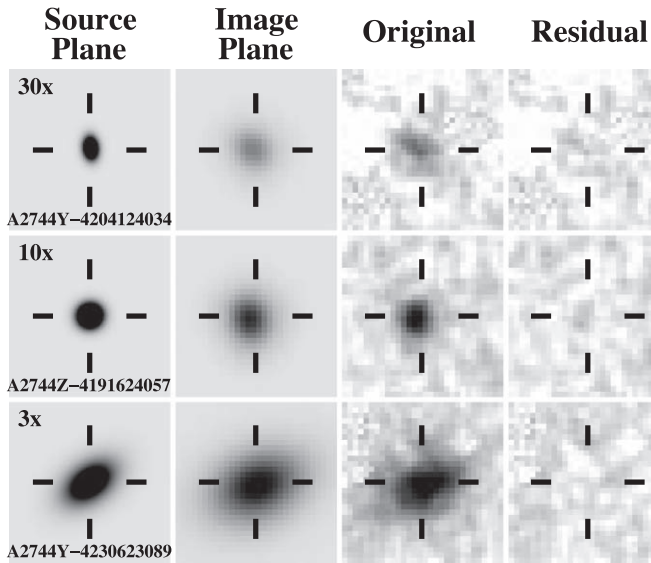
The impact of the gravitational lensing on background galaxies is to stretch sources by a factor  $\mu^{1/2}S^{1/2}$  along the major shear axis and by a factor  $\mu^{1/2}S^{-1/2}$  perpendicular to the major shear axis.

We measure half-light radii of sources via a Markov Chain Monte Carlo (MCMC) algorithm, where we compare the observed two-dimensional profile with a lensed model profile of

a model source with a Sérsic radial profile with its semimajor and semiminor axes oriented at some position angle on the sky. In fitting to the two-dimensional profile, we co-add the  $Y_{105}$ ,  $J_{125}$ ,  $JH_{140}$ , and  $H_{160}$  images together after scaling the fluxes in the images to a fixed  $f_\nu$  frequency and weighting the images by the inverse variance. No point-spread function (PSF) matching of the images is performed prior to co-addition. To ensure that the fitting is done with a similar composite PSF, the  $Y_{105}$ ,  $J_{125}$ ,  $JH_{140}$ , and  $H_{160}$  PSFs are similarly co-added to derive the PSF for the fit procedure. Thanks to the similar FWHM of  $Y_{105}$ ,  $J_{125}$ ,  $JH_{140}$ , and  $H_{160}$ -band PSFs (differing by only 5% in FWHM), differences in the rest-UV colors of star-forming sources at  $z \sim 6$ – $8$  would only have a small impact on our size fits. To illustrate, the effective FWHM for the PSF of sources with slightly bluer or redder UV colors ( $\Delta\beta \sim 0.4$ ) would only differ by 1%.

We fix the Sérsic parameter to 1, motivated by results of Wuyts et al. (2011), who find a predominantly  $n = 1$  Sérsic parameter for fainter star-forming sources, for self-consistency and to simplify the intercomparison of sources within our samples. For other Sérsic parameters ( $n = 2, 3$ ), we would infer larger sizes for sources (by  $\gtrsim 1.5\times$ ). Included among the fitting parameters are the source center, source brightness, source radius, source positional angle, source axial ratio, and sky background. The sky background is refit at each step in the MCMC chain. This is done by taking the background that minimizes the square of the residuals. Lensing is modeled as magnifying the source by the factor  $\mu^{1/2}S^{1/2}$  along the major shear axis and by the factor  $\mu^{1/2}S^{-1/2}$  along the minor shear axis.

Figure 2 illustrates our two-dimensional profile fits for three sources in our catalogs, showing the zoomed-in model images in the source plane (leftmost column), the PSF-convolved model images in the image plane after applying the shear



**Figure 2.** Illustration of the typical profile fits used here (Section 4.1) in deriving half-light radii for sources lensed by the HFF clusters. The source-plane models in the leftmost column (shown at the various magnification factors indicated in the leftmost postage stamp) are transformed into the image plane and convolved with the PSF to produce the model profiles in the image plane (shown in the second column from the left) for comparison with the observed two-dimensional images (second column from the right) for each source. The residuals of our profile fits are shown in the rightmost column. Both the observed and model images are inverse variance-weighted co-additions of the  $Y_{105}$ ,  $J_{125}$ ,  $JH_{140}$ , and  $H_{160}$  images.

(second column from the left), the original images (second column from the right), and finally the residual image (rightmost column).

We now describe the magnification factors  $\mu$  and shear factors  $S$  that we utilize in our analysis. For the sake of robustness, we do not rely on the results from a single lensing model, but instead make use of the median magnification from all available parametric models, as we and others have done in the past (Livermore et al. 2017; Bouwens et al. 2017b). We have adopted this approach to mitigate the impact of the individual lensing models losing predictive power in different ways when the magnification factors from the models become particularly high, as we illustrated for the linear magnification factor  $\mu^{1/2}S^{1/2}$  in Section 4.2 and previously demonstrated in Bouwens et al. (2017b) for the magnification factor.

The parametric lensing models we utilize for constructing the median magnification and shear maps include CATS (Jullo & Kneib 2009; Richard et al. 2014; Jauzac et al. 2015a, 2015b; Limousin et al. 2016; Lagattuta et al. 2017; Mahler et al. 2018), Sharon/Johnson (Johnson et al. 2014), GLAFIC (Oguri 2010; Ishigaki et al. 2015; Kawamata et al. 2016), Zitrin-NFW (Zitrin et al. 2013, 2015), Keeton (Keeton 2010), and Caminha et al. (2016, 2017). Each of the six HFF clusters now have highly refined models available for most, but typically not all, varieties of models. Our A2744 median model makes use of five of the models (v4.1 of CATS, v4 of Sharon/Johnson, v3 of GLAFIC, v3 of Zitrin-NFW, v4 of Keeton), our MACS0416 median model makes use of six of the models (v4.1 of CATS, v4 of Sharon/Johnson, v3 of GLAFIC, v3 of Zitrin-NFW, v4 of Keeton, v4 of Caminha), our AS1063 median model makes use of five of the models (v4.1 of CATS, v4 of Sharon/Johnson, v3 of GLAFIC, v4 of Keeton, v4 of Caminha), while our MACS0717, MACS1149, and A370 median models make

**Table 1**  
Parametric Lensing Models Utilized (See Also Section 4.1)

Cluster	Model	Version
A2744	CATS	v4.1
	Sharon/Johnson	v4
	Keeton	v4
	GLAFIC	v4
	Zitrin/NFW	v3
MACS0416	CATS	v4.1
	Sharon/Johnson	v4
	Keeton	v4
	GLAFIC	v4
	Zitrin/NFW	v3
	Caminha	v4
MACS0717	CATS	v4.1
	Sharon/Johnson	v4
	Keeton	v4
	GLAFIC	v3
MACS1149	CATS	v4.1
	Sharon/Johnson	v4
	Keeton	v4
	GLAFIC	v3
A370	CATS	v4
	Sharon/Johnson	v4
	Keeton	v4
	GLAFIC	v4
AS1063	CATS	v4.1
	Sharon/Johnson	v4
	Keeton	v4
	GLAFIC	v4
	Caminha	v4

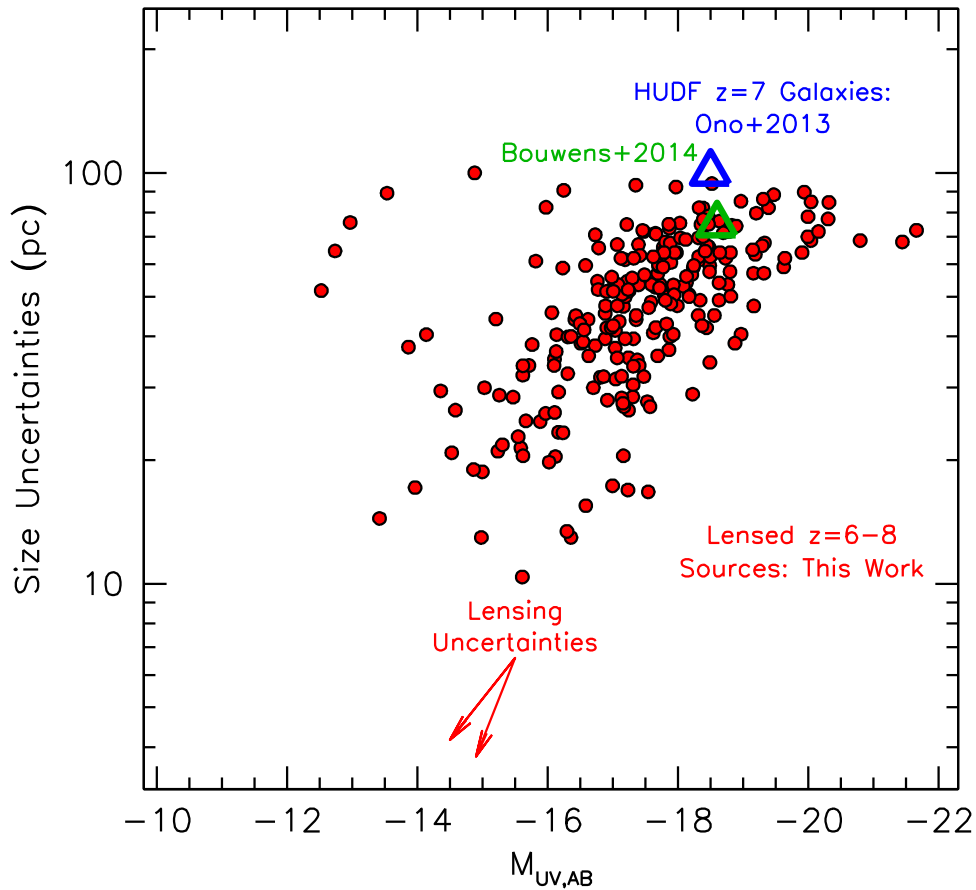
**Note.** See text for a discussion of the models used.

use of four of the models (v4.1 of CATS, v4 of Sharon/Johnson, v3 of GLAFIC, v4 of Keeton). Table 1 provides a convenient summary of the models we use.

We also evaluated the performance of the nonparametric models (GRALE, Liesenborgs et al. 2006; Sebesta et al. 2016; Bradač, Bradač et al. 2009; Hoag et al. 2017; Zitrin-LTM, Zitrin et al. 2012, 2015; Lam et al. 2014; Diego et al. 2015a, 2015b, 2016a, 2016b, 2018). We found that the parametric lensing models, particularly version 4, perform better in terms of their predictive power in our tests (see the discussion in Section 4.2 and Figure 4) than the nonparametric models (see also Meneghetti et al. 2017), and we preferentially use the parametric models for source size determinations.

In computing the magnification and shear factors for the individual models (to produce the median), we multiply the relevant  $\kappa$  and  $\gamma$  values from the aforementioned public models by the ratio of the distance moduli  $D_{ls}/D_s$ , where  $D_{ls}$  is the angular diameter distance between the lensing cluster and source and  $D_s$  the angular diameter distance to the source, using the best-fit photometric redshift for the source to compute the distance.

In this way, we compute the median linear magnification factor  $\mu^{1/2}S^{1/2}$  and  $\mu^{1/2}S^{-1/2}$  along the major and minor shear axes, respectively. It is worth remarking that these linear magnification factors appear to be reliable to values as high as



**Figure 3.** Nominal  $1\sigma$  accuracy with which source sizes can be measured for individually lensed  $z = 6-8$  sources identified behind various HFF clusters vs. the inferred UV luminosity (red circles). The accuracy of size measurements is computed by adding in quadrature the size uncertainty based on the MCMC fit results and the size uncertainty resulting from the unknown lensing magnification (based on the dispersion in the lensing models). For comparison, we also show the  $1\sigma$  uncertainties reported on the size measurements of individual  $z = 7-8$  sources from the HUDF data (Ono et al. 2013; open blue triangle) and for a stack of  $z \sim 7$  sources from the XDF/HUDF (Bouwens et al. 2014; open green triangle). The red arrows show the range of directions sources scatter in UV luminosity and size—or size uncertainties—due to errors in the magnification models (ranging between an  $r \propto L$  and  $r \propto L^{1/2}$  scaling depending on whether magnification is primarily along one or two axes).

30, taking the results of Section 4.2 as indicative. The direction of the major shear axis is derived using the version 4.1 CATS magnification model, but it is fairly similar for the other parametric lensing models.

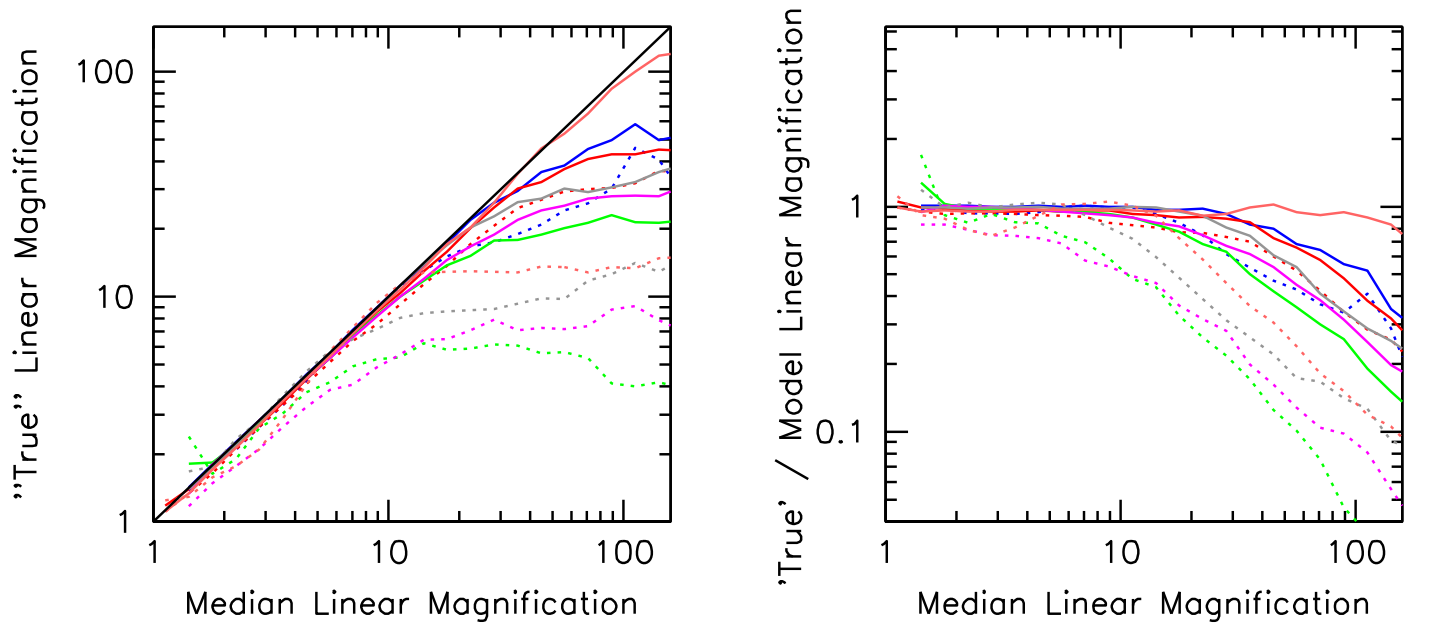
Next, we ask how well we can use the HFF lensing clusters to determine the scale length of faint galaxies to very small sizes. We can look to some recent work from HST imaging observations over the eXtreme Deep Field (XDF)/Hubble Ultra Deep Field (HUDF; Beckwith et al. 2006; Bouwens et al. 2011; Ellis et al. 2013; Illingworth et al. 2013) to provide some indication. Ono et al. (2013) measure source sizes for  $z \sim 7-8$  galaxies at  $\sim -19$  mag to a  $1\sigma$  uncertainty of  $\sim 100$  pc and at  $\sim -18$  mag to a  $1\sigma$  uncertainty of  $\sim 150$  pc, corresponding to  $\sim 0.1$  native pixel. In Bouwens et al. (2014), the sizes of a stack of  $z \sim 7$  galaxies are measured to an estimated  $1\sigma$  accuracy of 75 pc at  $\sim -18.5$ . If we assume that the median linear magnification factors are accurate to factors of  $\sim 30$ , this means we can measure source sizes to  $30\times$  higher spatial resolution over the HFF clusters than we can over the XDF/HUDF. This means we can potentially measure the linear sizes of sources to a  $1\sigma$  accuracy of 3–5 pc, but this is not the case for the typical source.

In Figure 3, we provide the estimated accuracies with which we can measure sizes for our lensed  $z = 6-8$  samples versus UV luminosity. The accuracy of size measurements is

computed by adding in quadrature the size uncertainty based on the MCMC fit results and the size uncertainty resulting from the unknown lensing magnification (based on the dispersion in the lensing models). This suggests a typical half-light radius measurement accuracy of between 100 and  $\sim 10$  pc for sources at  $-18$  and  $-15$  mag, respectively (as is also evident from Figure 3).

#### 4.2. Maximum Linear Magnification Factors to Which the Lensing Models Appear to Be Reliable

While magnification models appear to perform quite well in estimating the true magnification factors behind lensing clusters (Meneghetti et al. 2017) in the median, these models perform the least well in predicting the magnification factors very close to the critical curves. In these high-magnification regions, the total magnification factors from the models tend to overpredict the actual total magnification factors quite significantly (see, e.g., Figure 3 from Bouwens et al. 2017b), e.g., at  $\mu \gtrsim 30$ . However, in the new version 4 models, the predictive power appears to be notably improved from the results shown in Bouwens et al. (2017b), with predictive power to total magnification factors of  $\gtrsim 50$  for most of the HFF clusters. This represents a significant gain in the utility of the models.



**Figure 4.** An illustration of how well the median linear magnification factor likely predicts the actual linear magnification factor. Left: the plotted solid lines show the linear magnification factor of individual parametric models (Table 1) for A2744 (red), MACS0416 (blue), MACS0717 (green), MACS1149 (magenta), A370 (gray), and AS1063 (orange) as a function of the median magnification factors from the other parametric models. In deriving the plotted relation, the function is derived for each parametric model individually, and then a median of the functions is taken. The dotted lines show the relationship, if the median linear magnification factor from the parametric models is compared against the median magnification factor from the nonparametric models, i.e., GRALE, Bradac, and Zitrin-LTM (Liesenborgs et al. 2006; Sebesta et al. 2016; Bradac et al. 2009; Zitrin et al. 2012, 2015). The solid black line is shown for comparison to indicate the relationship that would be present for perfect predictive power for the lensing models. Right: similar to the left panel, but dividing by the quantity plotted along the horizontal axis, i.e., the model linear magnification factor. The linear magnification factors appear to have predictive power to factors of  $\sim 30$  if we assume that the parametric lensing models are taken to represent a plausible representation of the actual lensing model and  $\sim 10$  if we assume that the nonparametric models are. This figure is similar in form to Figure 3 from Bouwens et al. (2017b), though that figure is for the total magnification factor. It is clear that considerable caution is required in using results with linear magnification factors  $\gtrsim 30$  ( $\gtrsim 10$  for nonparametric lensing models).

For the present analysis of sizes, the principal quantity of interest is not the overall magnification factor, but rather the magnification along a single spatial dimension. While the linear magnification factor was not explicitly considered in the previous analyses of Meneghetti et al. (2017) and Bouwens et al. (2017b), it should broadly correlate with the predictive power of the model magnification factors.

We can quantify the linear magnification factors to which our size measurements are reliable in the same way we previously determined the total magnification factors to which our lensing maps were sufficiently predictive of the total magnification factors (Bouwens et al. 2017b). As in that work, we alternatively treat one of the models as if it represented reality and investigate to what extent the median linear magnification factors from the other models reproduced the linear magnification factors from the outstanding model.

We present the results in Figure 4 assuming that either parametric models or nonparametric models provided us with the true magnification and shear maps. The results in that figure show that the gravitational lensing models seem capable of predicting the linear magnification factors  $\mu^{1/2}S^{1/2}$  to values of  $\sim 30$  and  $10$  assuming that parametric and nonparametric models, respectively, represent the truth. Above these values, the median linear magnification factor shows a poorer correlation with the linear magnification factors in individual models. We note some variation in predictive power of the lensing models across the six HFF clusters, with the models for some clusters (e.g., AS1063) appearing to be more reliable than for others (e.g., MACS0717).

If we assume that the parametric lensing models are plausible representations of the actual lensing model (as the

tests of Meneghetti et al. 2017 also suggest), this suggests that we can rely on the linear magnification factors to values of  $\sim 30$ . For values above  $30$ , our results suggest adopting a linear magnification of  $30$  to be conservative.

#### 4.3. Distribution of UV Luminosities and Sizes for $z \geq 4$ Samples

In Table 2, we provide the UV luminosities and half-light radius measurements we derive for the 330  $z = 6-8$  sources and 68  $z = 4$  sources utilized in this study, as well as spatial coordinates, total magnification factors, and linear magnification factors along the major shear axis. No sources are excluded as a result of their S/N falling below some nominal threshold to minimize the impact of selection effects on the size–luminosity relation we derive. The half-light radii we quote are so-called “circularized” half-light radii where the radii are equal to the half-light radius measurement along the semimajor axis multiplied by the square root of the axial ratios  $q$ , i.e.,  $r_e = r_{\text{major},e}q^{1/2}$ , where  $q = b/a$ ,  $b$  is the scale along the semiminor axis, and  $a$  is the scale length along the semimajor axis.

UV luminosities are derived for sources based on their apparent magnitudes in the  $H_{160}$  band and then corrected for the distance modulus and the total magnification factor. The distance modulus we adopt is appropriate for the  $z \sim 6$ ,  $z \sim 7$ , and  $z \sim 8$  samples with median redshift  $z \sim 5.9$ ,  $z \sim 6.8$ , and  $z \sim 7.9$ . Apparent magnitudes are derived using the same approach as described in Bouwens et al. (2015, 2017b) and rely on scaled Kron (1980) apertures, which are then corrected to total using point-source encircled energy distributions appropriate for WFC3/IR (Dressel 2022).



**Table 2**  
Properties of the Present Compilation  $z = 6-8$  and  $z \sim 4$  Sources over the HFF Clusters

ID	R.A.	Decl.	$M_{UV}$	$\mu^a$	$\mu_{1D}^b$	$r_e$ (pc)
A2744I-4242524441	00:14:24.25	-30:24:44.1	$-16.7^{+1.1}_{-0.2}$	$6.0^{+11.3}_{-1.0}$	$7.1^{+14.7}_{-1.3}$	$175^{+42}_{-119}$
A2744I-4231724324	00:14:23.17	-30:24:32.4	$-17.5^{+0.1}_{-0.3}$	$6.3^{+0.7}_{-1.5}$	$5.4^{+0.2}_{-0.9}$	$143^{+35}_{-22}$
A2744I-4252524255	00:14:25.25	-30:24:25.6	$-16.9^{+0.0}_{-0.1}$	$2.7^{+0.1}_{-0.1}$	$2.6^{+0.2}_{-0.0}$	$203^{+38}_{-41}$
A2744I-4226324225	00:14:22.63	-30:24:22.5	$-17.7^{+0.7}_{-0.1}$	$2.4^{+2.1}_{-0.2}$	$2.0^{+1.0}_{-0.2}$	$257^{+53}_{-96}$
A2744I- 4223024479	00:14:22.30	-30:24:48.0	$-17.2^{+0.5}_{-0.2}$	$6.7^{+3.9}_{-1.0}$	$6.3^{+2.7}_{-1.9}$	$122^{+56}_{-40}$
A2744I-4219124454	00:14:21.91	-30:24:45.5	$-15.2^{+0.3}_{-0.1}$	$7.9^{+2.6}_{-0.9}$	$6.9^{+2.6}_{-0.8}$	$79^{+17}_{-26}$
A2744I-4197324257	00:14:19.73	-30:24:25.7	$-16.3^{+0.1}_{-0.1}$	$4.7^{+0.5}_{-0.5}$	$2.8^{+0.6}_{-0.2}$	$78^{+31}_{-34}$
A2744I-4212524179	00:14:21.25	-30:24:17.9	$-16.1^{+0.1}_{-0.0}$	$8.2^{+0.8}_{-0.3}$	$3.7^{+1.2}_{-0.4}$	$121^{+31}_{-40}$
A2744I-4169524527	00:14:16.95	-30:24:52.8	$-20.0^{+0.0}_{-0.1}$	$1.7^{+0.0}_{-0.2}$	$1.5^{+0.2}_{-0.1}$	$338^{+65}_{-72}$
A2744I-4169624404	00:14:16.96	-30:24:40.4	$-18.0^{+0.1}_{-0.1}$	$1.8^{+0.2}_{-0.2}$	$1.6^{+0.2}_{-0.1}$	$304^{+62}_{-66}$

**Notes.** Table 2 is published in its entirety in the electronic edition of the Astrophysical Journal. A portion is shown here for guidance regarding its form and content. In cases where the median total magnification and linear magnification exceed 50 and 30, respectively, we quote alternative estimates for the absolute magnitude  $M_{UV}$  and physical size  $r_e$  with the total and linear magnification fixed to 50 and 30, respectively. These alternate estimates of  $M_{UV}$  and  $r_e$  are provided for sources given the challenges in relying on magnification factors in excess of these values (Section 4.2 and Bouwens et al. 2017b).

<sup>a</sup> Median magnification factors (and  $1\sigma$  uncertainties) derived weighting equally the latest public version 3/4 parametric models from each lensing methodology (Section 4.1).

<sup>b</sup>  $\mu_{1D}$  are the median one-dimensional magnification factors (and  $1\sigma$  uncertainties) along the major shear axis  $\mu^{1/2}S^{1/2}$  weighting equally the parametric models from each lensing methodology. This is the same quantity as  $\mu_{\text{tang}}$  reported by Vanzella et al. (2017a).

(This table is available in its entirety in machine-readable form.)

The computed uncertainties on the derived sizes include both the formal uncertainties on the size fits and the  $1\sigma$  error computed based on the range in linear magnifications predicted by the parametric lensing models.

In providing estimates for the size and luminosity of lensed HFF sources in Table 2, we rely on very high magnification factors for some sources. Fortunately, only a few sources fall in this regime. For seven sources from our 330-galaxy  $z = 6-8$  sample, the total magnification estimates exceed 50, and for five sources from this same sample, the linear magnification estimates exceed 30. For our  $z \sim 4$  selection, the total magnification estimates exceed 50 for only four sources, and the linear magnification estimates exceed 30 for only one source. As we have noted, estimates of the magnification become unreliable at very high values, e.g., when the total magnification factor exceeds  $\sim 50$  (Bouwens et al. 2017b) and when the linear magnification factor exceeds  $\sim 30$  (see Section 4.5 and Figure 4).

Given the lower reliability of magnification factors that exceed these values, we provide alternative estimates of the sizes and luminosities for sources in these cases. For these alternate estimates, we take the total magnification factor to be 50 for all sources where the formal estimates exceed 50 (based on a median of the models). Similarly, we take the linear magnification factor to be 30 for all sources where the formal estimates exceed 30. For sources where one of the two magnification estimates does not exceed these thresholds, we simply adopt the median magnification, total or linear, from the models.

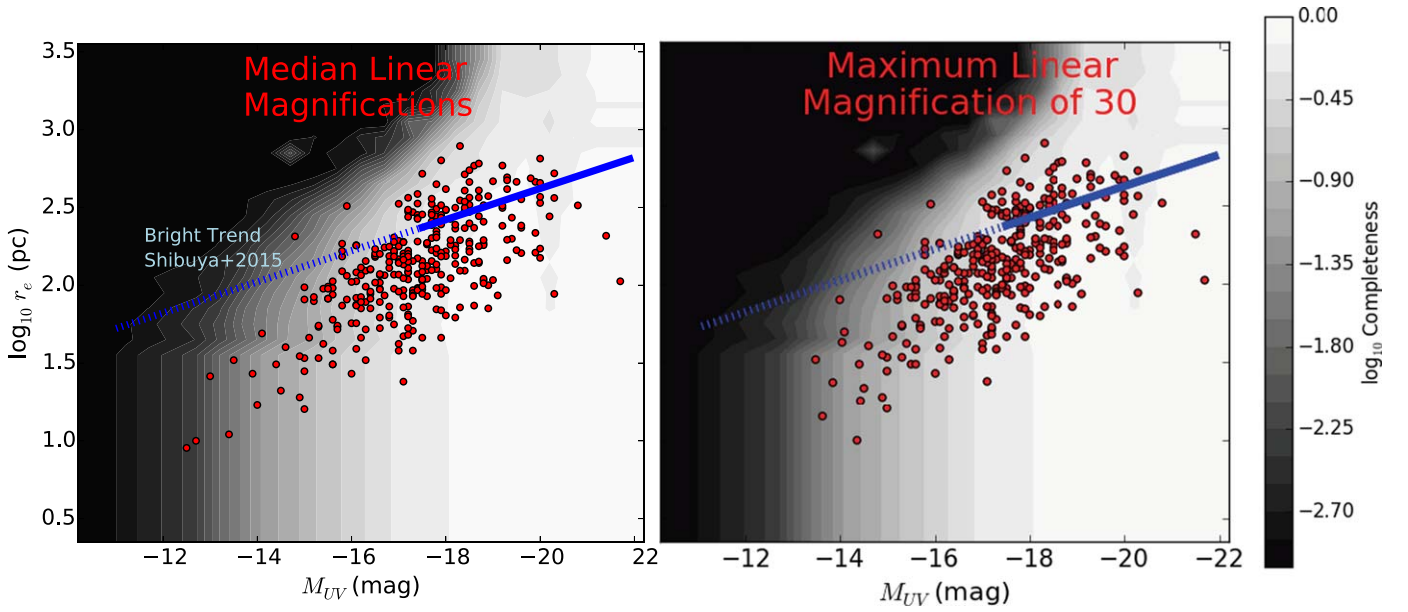
To interpret the observed size–luminosity distribution, it is essential that we account for the impact of surface brightness selection effects on the composition of our sample. Surface brightness selection effects are important when the surface brightnesses of sources lie close to the selection limit of our samples (e.g., Bouwens et al. 2004; Oesch et al. 2015; Taghizadeh-Popp et al. 2015; Kawamata et al. 2018). In the extreme case that the selection effect becomes dominant, it can cause selected sources to show a relatively fixed range of

surface brightnesses ( $\propto L/r^2$ ) and source size  $r$  to depend on radius as  $L^{1/2}$  (e.g., Bouwens et al. 2017a; Ma et al. 2018).

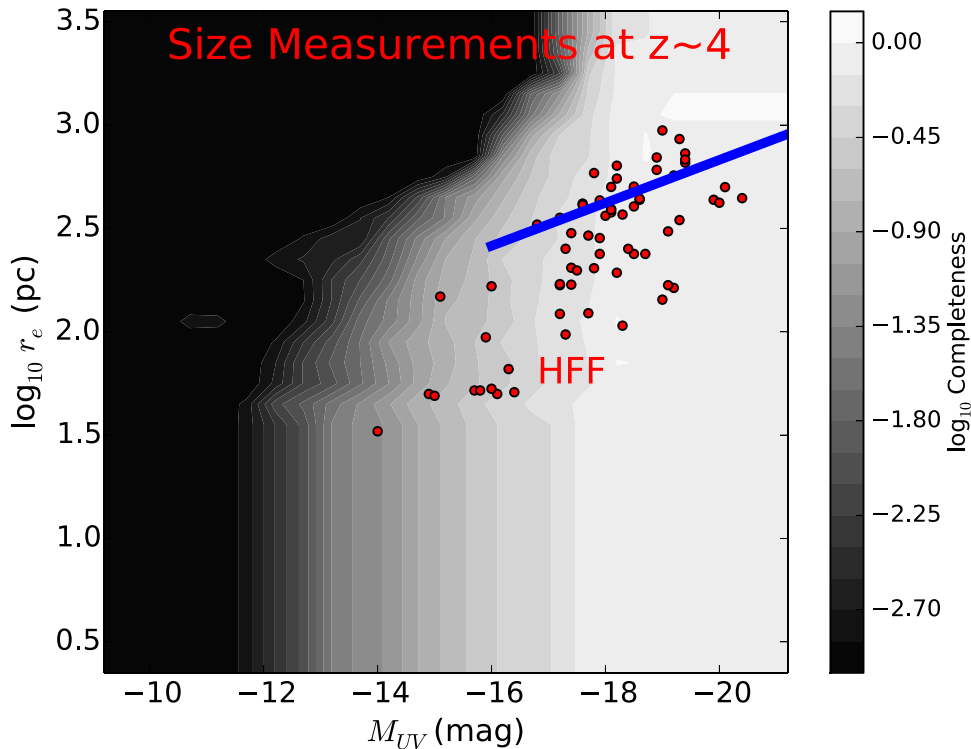
To compute the completeness distribution, we have run a substantial set of Monte Carlo simulations, where we have injected artificial sources into our simulated images, incorporating the impact of gravitational lensing from one of the latest HFF public models (using the CATS deflection maps) and then rerunning our procedures for source selection. Intrinsic sizes (from 7.5 to 600 mas in half-light radii) and luminosities (from  $-21$  to  $-12$  mag) were considered for the injected sources in the simulations. Exponential profiles were assumed for sources in the simulations to match with that generally found for the spatial profiles of faint star-forming galaxies in various extragalactic fields (Hathi et al. 2009; Wuyts et al. 2011).

In the left panel of Figure 5, we show the measured sizes and estimated luminosities of lensed sources in our  $z = 6-8$  samples in relation to the derived and extrapolated size–luminosity relation from blank-field studies. We also include in this panel the estimated completeness we estimate from our source recovery experiments for sources with a given size and luminosity. The plotted completeness, shown in gray scale, marginalizes over the full area of the HFF clusters and includes the full range of magnification factors shown by the clusters. Figure 6 shows the distribution of our  $z \sim 4$  galaxy sample in size and luminosity, while showing in gray scale the estimated completeness. To provide a separate illustration of where sources fall in size and luminosity relative to the estimated completeness (but this time shown using a linear scaling), we have included Figures 17 and 18 in the Appendix.

Of particular relevance in establishing the form of the size–luminosity relation at lower luminosities is how incomplete source selections become, especially adopting a simple extrapolation to the Shibuya et al. (2015) relation. Galaxies following the Shibuya et al. (2015) size–luminosity relation and having absolute magnitudes faintward of  $-15.5$  mag and especially  $-14.5$  mag would suffer from a significant level of incompleteness in our selections. It is therefore quite clear that we need to account for selection completeness in attempting to



**Figure 5.** Comparison of the distribution of sizes (pc) and luminosities for  $z = 6-8$  galaxies in the HFF with a canonical size–luminosity relationship from blank-field studies (blue solid and dotted line in all panels). The absolute size in pc is used for the left vertical axis here. The canonical size–luminosity relation for galaxies is as in Figure 1. For the sizes and luminosities of individual lensed  $z = 6-8$  galaxies, the results (red circles and  $1\sigma$  limits) are based on the median magnification factors from the parametric models (left and right panels). Also shown, using the gray-scale shading in each panel, is the relative completeness we estimate for source selection, based on extensive source injection and recovery experiments. The relative completeness presented here marginalizes over the full area of the HFF clusters and includes the full range of magnification factors shown by the clusters (Section 4.3). Completeness does impact the distribution of galaxies in the size–luminosity plane, but the overall impact is limited. For a presentation of the completeness using a linear scaling, see Figure 17 in the Appendix. The right panel is similar to the left panel but shows the size/luminosity distribution after we set those sources with total magnification factors  $>50$  to 50 and sources with a linear magnification factor of  $>30$  to 30. These revised magnifications change the  $M_{UV}$  and  $r_e$  values for the nine sources where the total magnification exceeds 50 and where the linear magnification factor exceeds 30.



**Figure 6.** Similar to Figure 5, but for sources in our  $z \sim 4$  selection behind the two HFF clusters MACS0717 and MACS1149. For a presentation of the completeness using a linear scaling, see Figure 18 in the Appendix.

derive the size–luminosity relation. In the limiting case that a selection is dominated by surface brightness selection effects, the recovered source size distribution would tend to scale as  $L^{0.5}$  (e.g., Bouwens et al. 2017a; Ma et al. 2018).

As many as seven sources from our  $z = 6-8$  sample and four sources from our  $z \sim 4$  sample exceed total magnification factors of 50, where the magnification models become less reliable. To gain insight into how high-magnification sources

impact the distributions of galaxies in the size–luminosity plane, we present in the right panel of Figure 5 the source sizes and luminosities for our  $z=6-8$  sample, if we set the maximum linear magnification factor and magnification factor to be 30 and 50, respectively, as we do for the alternate estimates for the sizes and luminosities in Table 2. As expected, the distribution shown in the right panel extends less toward very small sizes and low luminosities. Reassuringly, the distribution does not differ greatly from what is shown for the full 330-galaxy sample in the left panel.

Our size measurements shown in the panels of Figures 5 and 6 clearly suggest a steep size–luminosity relation. The distribution appears to be steeper than what is found for bright field galaxies represented by the measured solid and dotted extrapolated blue line, as per Figure 1. Reassuringly, at the bright end ( $<-17$  mag), lensed sources in our samples scatter around the expected measured sizes from the blank-field studies shown in Figure 1, where the half-light radius scales with luminosity as  $L^{0.26\pm 0.03}$ .

#### 4.4. Using Forward Modeling to Characterize the Size–Luminosity Relation at $z \geq 4$

The purpose of this section is to describe our procedure for forward-modeling the apparent distribution of galaxies in the size–luminosity plane. Such a modeling is required to incorporate the multiple effects that can have a significant impact on the recovered distribution of galaxies in the distant universe for comparisons with the observed distributions. Important effects to consider as part of this modeling include surface brightness selection effects and uncertainties in the lensing models.

In modeling the size–luminosity distribution, we adopt a UV LF with a characteristic luminosity  $M_{UV}^*$  of  $-21$  mag and a faint-end slope of  $-2.05$  and  $-1.7$  in modeling our selections of galaxies at  $z \sim 6, 7,$  and  $8$  and  $z \sim 4$ , respectively, consistent with the Schechter parameters derived at these redshifts (e.g., Bouwens et al. 2021). We furthermore adopt a size–luminosity relation  $r_e = r_0(L_{UV}/L_0)^\alpha$ , where  $\alpha$  corresponds to the slope of the size–luminosity relation,  $\sigma$  is the scatter, and  $r_0$  corresponds to the offset. We take the UV luminosity  $L_0$  to be  $5.3 \times 10^{10} L_\odot$  (corresponding to  $-21$  mag, assuming a rest-frame wavelength of  $1600 \text{ \AA}$  for UV luminosity measurements).

Assuming the aforementioned LFs and size–luminosity relations, we create a Monte Carlo catalog of sources. We then apply the selection functions we computed in Section 4.3 and as shown in Figure 1. Sources are retained in our Monte Carlo catalog if a random number uniformly distributed between 0 and 1 exceeds the completeness of a source at a given absolute magnitude, physical size, and magnification factor.

We then perturbed the inferred size and luminosity of sources in our catalog to account for both measurement uncertainties and uncertainties in the total and linear magnification factors of sources. We take the measurement uncertainties to be identical to that found in the observations for sources of a given apparent magnitude and size. To derive perturbed total magnitudes for sources, we assumed that the CATS v4.1 lensing models represent the truth, computed apparent magnitudes for sources, and then derived absolute magnitudes for sources using a median of the other parametric lensing models. The choice of the CATS models for this purpose rather

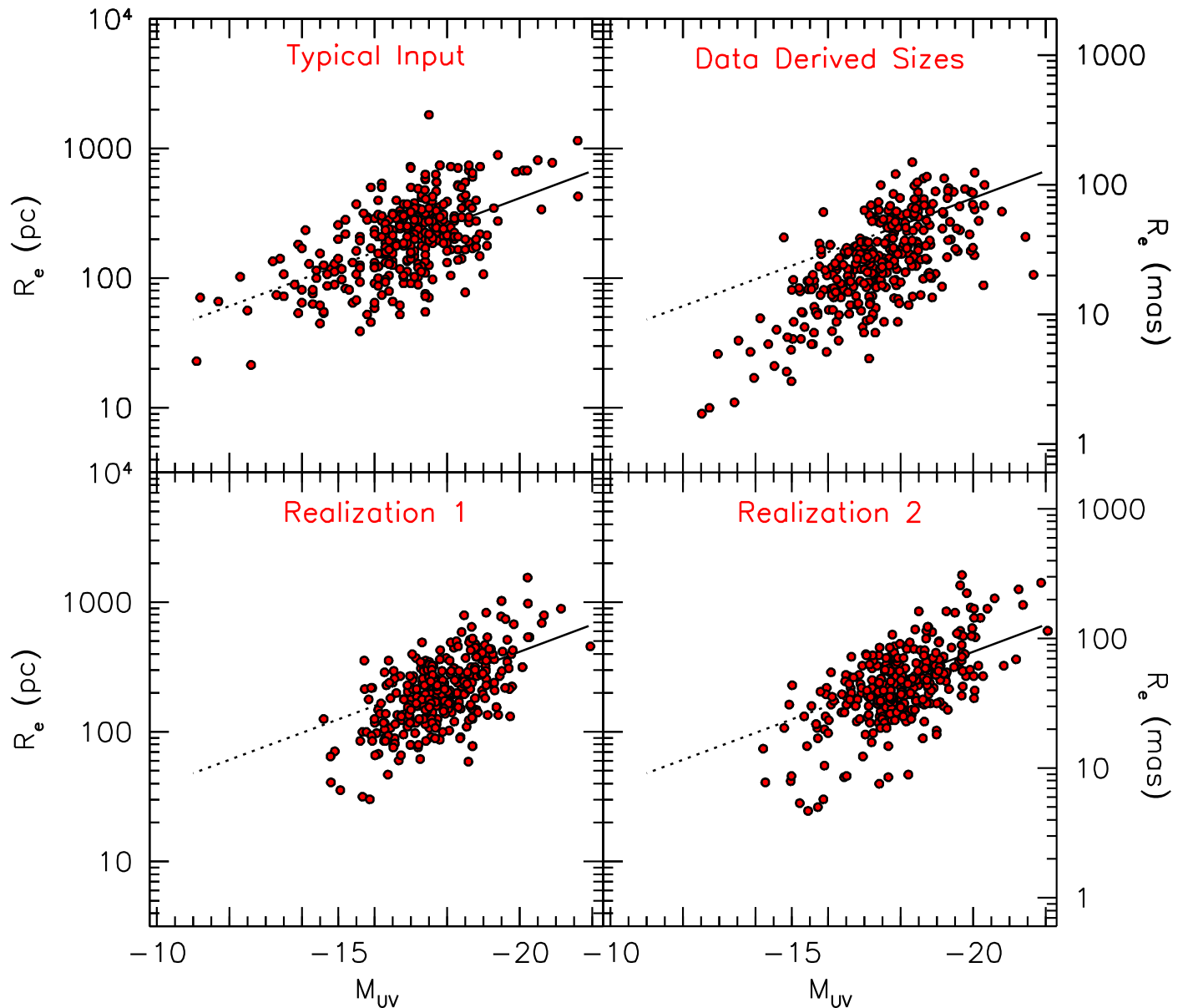
than one of the other parametric lensing models (Sharon/Johnson, Keeton, or GLAFIC) was arbitrarily made.

To derive the perturbed sizes, we first calculated the apparent angular size of sources based on the linear magnification factors computed from the CATS v4.1 lensing model and then computed the physical sizes of sources according to the median linear magnification factors from the other parametric models. To ensure that we were accurately accounting for the impact of the linear magnification factors on the inferred sizes, we remeasured the sizes of  $z \sim 4 + z \sim 6-8$  galaxies in our catalogs using various linear magnification factors and determined the impact of the magnification factors on the derived sizes.

As an illustration of the size–luminosity distribution we derive based on the above forward-modeling procedure and how it compares to both the input and observed distribution at  $z \sim 6, 7,$  and  $8$ , we provide two examples in the bottom panels of Figure 7. For these two examples, we use an extrapolated version of the size–luminosity distribution from Shibuya et al. (2015) to lower luminosity and a faint-end slope  $\alpha$  of  $-2.05$ . Note how different the forward-modeled size–luminosity distribution is from the input distribution and thus the significant impact that errors in the lensing model and incompleteness have on this distribution.

In light of the dramatic differences between the forward-modeled and input size–luminosity distributions that we see in Figure 7, it is interesting to look at the impact that uncertainties in the lensing magnification factors alone have on the result. We illustrate this in Figure 8. The top left panel in this figure shows the input distribution of sizes and luminosities for an LF model with a faint-end slope of  $-2.05$ , while the top right panel shows the recovered distribution after using a median model to interpret the mock data set. The bottom left and right panels show the recovered distribution for an LF model with a faint-end slope of  $-2.05$ , but a faint-end turnover at  $-14.5$  mag following the functional form for a turnover given in Bouwens et al. (2017b). The recovery is performed using the median magnification and shear maps in the bottom left panel and using the Keeton magnification and shear maps in the bottom right panel. The Keeton magnification maps are utilized for this exercise because they are available for all six HFF clusters and make use of a different lens modeling software than the CATS maps. The large filled squares show the median half-light radius recovered per 1 mag bin of UV luminosity (shown in red, red, blue, and green in the top left, top right, bottom left, and bottom right panels, respectively, and reproduced in the other panels to facilitate intercomparisons). These median sizes are derived based on  $100\times$  more sources than shown in the figure, to more clearly indicate the impact of the lensing uncertainties. To focus on the impact of lensing errors alone, no selection effects are incorporated in the top right or bottom panels.

Comparisons of the top left panel from Figure 8 with the other panels in the same figure show the impact that uncertainties in the lensing model have on the recovered sizes and luminosities for specific sources. While uncertainties in the lensing model have an impact on the inferred luminosity and size of individual sources, these uncertainties only seem to have an impact on the median inferred sizes faintward of where there is a turnover in the input LF. In the case where no turnover exists, the most salient impact of the uncertainties is to increase the apparent width of the size distribution at a given



**Figure 7.** Comparison of the observed distribution (top right) of lensed  $z = 6-8$  sources we derive in size and luminosity (red circles) from our forward-modeling procedure relative to the distribution extrapolating the Shibuya et al. (2015) relation (solid and dotted lines) to lower luminosities (top left), and two realizations of the size–luminosity distribution incorporating the impact of both lensing uncertainties and surface brightness selection effects (bottom left and right). The points are plotted here using their absolute sizes in pc; the mas scale on the right vertical axis is indicative of the angular sizes at  $z \sim 7$ . Through comparisons of the bottom two panels to the top left panel, it should be clear what a dramatic impact both lensing uncertainties and selection effects have on the recovered size–luminosity distribution. While our simulations predict that some sources will appear to have very small sizes as a result of these effects, we find an even larger number of sources in the real observations, suggesting that a fraction of the sources do indeed have such small sizes.

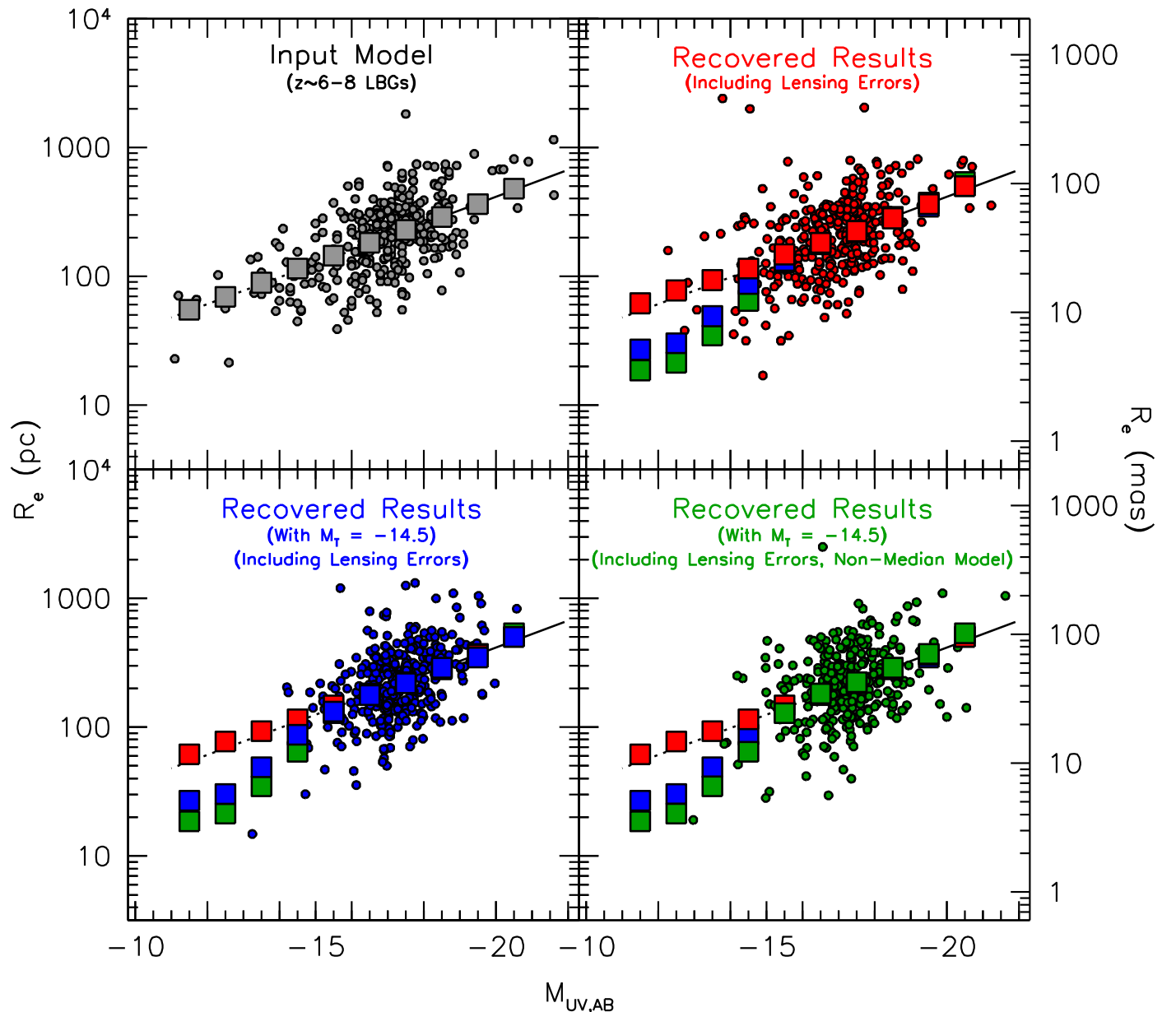
UV luminosity. We can thus conclude that there are two effects that impact the size–luminosity realizations shown in the two bottom panels of Figure 7, a surface brightness selection effect and a broadening in the size–luminosity distribution due to uncertainties in the lensing models.

#### 4.5. Size–Luminosity Relation

Having set up a forward-modeling procedure to simulate a mock size–luminosity distribution that includes both the necessary selection effects and magnification uncertainties, we can now compare the derived size–luminosity distribution with the forward-modeled results to derive a maximum likelihood size–luminosity relation.

In ascertaining the maximum likelihood size–luminosity relation, we systematically compared the forward-modeled size–luminosity distribution with the observed distribution to assess goodness of fit. For this, we computed both the mean size and scatter in the 1 mag intervals from  $-13.5$  to  $-18.5$  mag, compared it with the observed quantities, and computed a total  $\chi^2$ .

Then, for the second step, we compared the number of forward-modeled sources in the three faintest magnitude intervals that made up each selection with the observed number of sources in each magnitude interval. We focused on the three faintest magnitude bins—since this is where the size distribution is expected to have a particularly significant impact on the number of sources selected (e.g., Bouwens et al. 2017a).



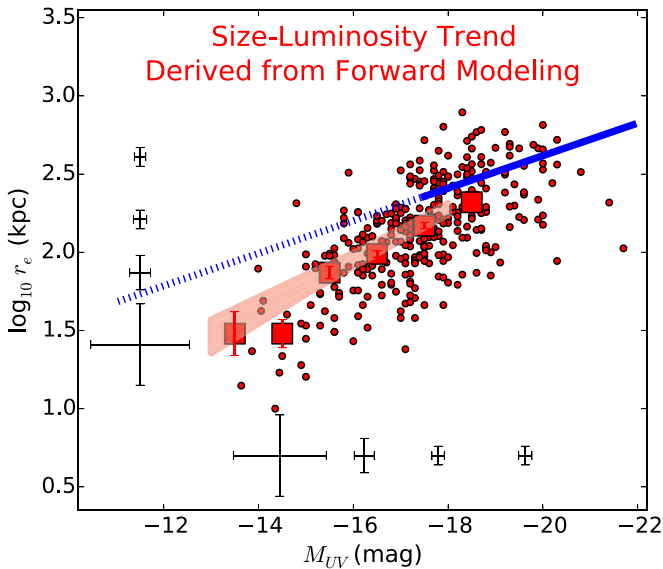
**Figure 8.** Illustration of how errors in the lensing models are expected to impact the size–luminosity distribution using Monte Carlo simulations. The points are plotted here again using their absolute sizes in pc; the mas scale on the right vertical axis provides the corresponding angular sizes for sources at  $z \sim 7$ . The top left panel shows an input distribution of sizes and luminosities for a  $\sim 330$ -source sample (shown with the gray solid circles) using the size–luminosity relation from Shibuya et al. (2015) and including the 0.24 dex intrinsic scatter found by Shibuya et al. (2015). The top right and bottom left panels show the distribution of sizes and luminosities treating the CATS models as the truth and recovering the results using the median magnification and shear maps from the parametric lensing models. The bottom right panel shows the distribution treating the CATS models as the truth but recovering the results using the Keeton magnification and shear maps. In the top two panels, the input LF adopted for the simulation has a faint-end slope of  $-2.05$  and no turnover. In the bottom two panels, the input LF is taken to have a faint-end slope of  $-2.05$  and turnover at  $-14.5$  mag. The large gray, red, blue, and green squares show the median size at a given UV luminosity before and after applying the median magnification and shear maps in the top left, top right, bottom left, and bottom right panels, respectively. *The median sizes are derived based on  $100\times$  more sources than are shown in the figure.* No selection effects are included in these idealized results. The median results shown with the large red, green, and blue squares in the top right, bottom left, and bottom right panels are replicated in the other panels to facilitate intercomparisons. Errors in the lensing models alone can introduce significant scatter in the recovered sizes and UV luminosities but do not appreciably impact the recovered median size measurements brightward of  $-15$  mag, and only minorly in the case of the LF with no turnover (Section 4.4). Nevertheless, in the case of an LF with a turnover, errors in the magnification model drive the results toward artificially small sizes.

For our  $z \sim 6-8$  selections, the three faintest magnitude intervals were  $-15.5$ ,  $-14.5$ , and  $-13.5$  mag, respectively, while for our  $z \sim 4$  selections the three faintest magnitude intervals were  $-14.5$ ,  $-15.5$ , and  $-16.5$  mag.

For each of these magnitude intervals, we computed a total  $\chi^2$  based on the differences in the total number of sources and added that to the  $\chi^2$  we derived comparing the mean size and

scatter. We then computed a relative likelihood for model size–luminosity relation as  $e^{-\chi^2/2}$ .

We then adopted an MCMC procedure to derive the maximum likelihood values for the slope  $\alpha$ , scatter  $\sigma$ , and offset  $r_0$  to the size–luminosity relation  $r_e = r_0(L_{UV}/L_0)^\alpha$  for both our  $z \sim 6-8$  sample and our  $z \sim 4$  sample. The best-fit size–luminosity relation we derive for our  $z \sim 6-8$  sample is  $\log_{10}(r_0/\text{pc}) = 2.76 \pm 0.07$ ,



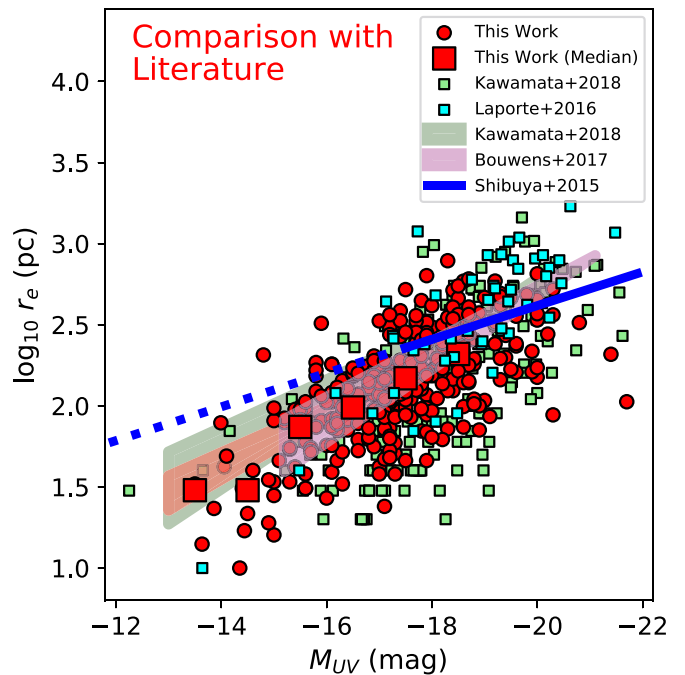
**Figure 9.** Best-fit size–luminosity relation (shaded red region) derived for galaxies in our  $z = 6–8$  selection (Section 4.5) relative to the Shibuya et al. (2015) size–luminosity relation relevant for the most luminous  $z = 6–8$  galaxies. The large red squares show the median measured half-light radius per 1 mag UV luminosity bin. The error bars on the red squares are  $1\sigma$ . The error bars in the left and lower region of this figure show the typical  $1\sigma$  errors on the inferred sizes and UV luminosities versus half-light radii and UV luminosity, respectively. The size–luminosity relation we infer for lower-luminosity  $z = 6–8$  galaxies is steeper than for higher-luminosity galaxies. The steepness of the size–luminosity relation derived from our measurements contrasts strikingly with the shallower slope of the Shibuya et al. (2015) relation at higher luminosities and its extrapolation to lower luminosities.

$\alpha = 0.40 \pm 0.04$ , and  $\sigma = 0.21 \pm 0.03$  dex. This relation is shown in Figure 9 as the shaded red region, and it is clearly steeper ( $3\sigma$  significance) than found by Shibuya et al. (2015) at brighter magnitudes, where the effective slope to the relation is  $0.26 \pm 0.03$ .

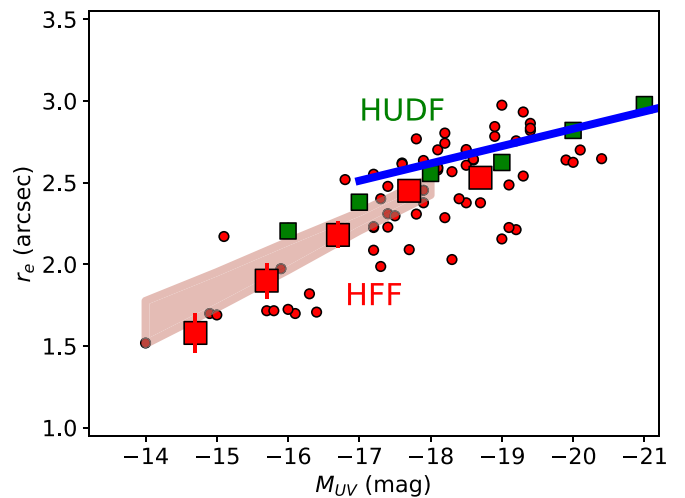
The previous findings for the size–luminosity relationship for lower-luminosity galaxies found by Bouwens et al. (2017) and Kawamata et al. (2018) are consistent with our new and arguably more robust result. These two previous studies found the median sizes of galaxies to depend on the UV luminosity as  $L^{0.50 \pm 0.07}$  and  $L^{0.46^{+0.08}_{-0.09}}$ , respectively. In both cases, the relations are consistent with what we find here, albeit with a slightly steeper slope.

We show both our own determinations and constraints on the size–luminosity relation from Bouwens et al. (2017) and Kawamata et al. (2018) as the red, violet, and green shaded regions in Figure 10. Also included in this figure are individual size measurements from Kawamata et al. (2018; open green circles), Laporte et al. (2016; open blue circles), and this work (small filled red circles). Comparing our new, more robust constraints on the size–luminosity relationship at  $z \sim 6–8$  with previous determinations, we can see that there is a broad consistency between the results.

For our  $z \sim 4$  sample, the best-fit size–luminosity relation we derive is  $\log_{10}(r_0/\text{pc}) = 3.13 \pm 0.10$ ,  $\alpha = 0.54 \pm 0.08$ , and  $\sigma = 0.14 \pm 0.03$  dex. This relation is shown in Figure 11 as the shaded red region. While not as well determined given the smaller number of sources, it is clearly steeper than the  $z \sim 4$  relation found at higher luminosities by Shibuya et al. (2015) or as found from the median sizes of  $z \sim 4$  galaxies from the HUDF. In deriving the median sizes from the HUDF, we made



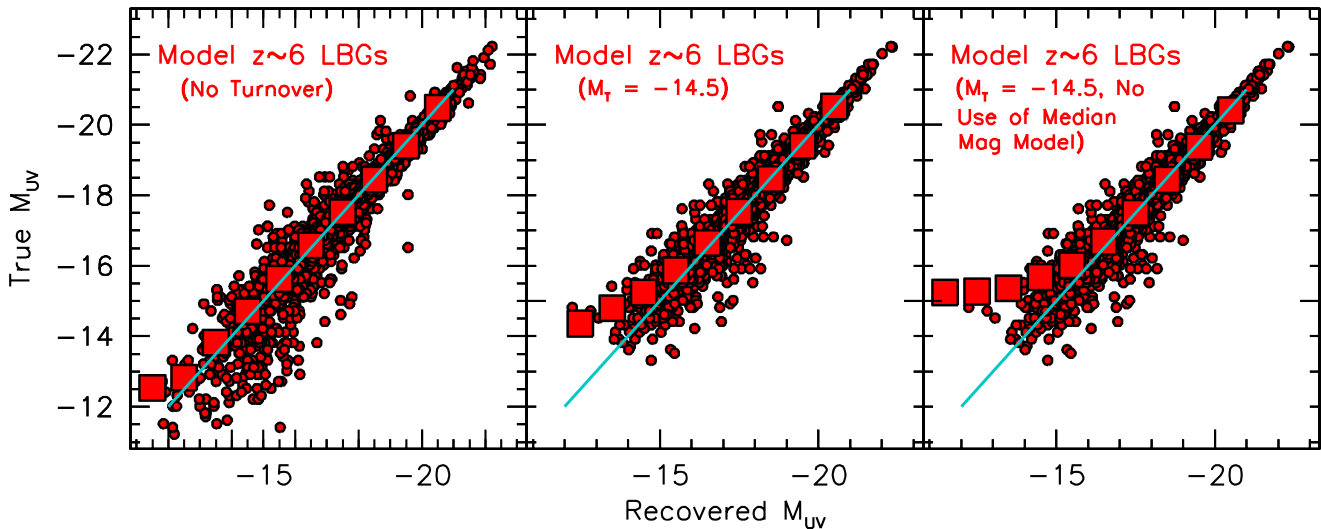
**Figure 10.** Comparison of our size vs. luminosity measurements (red circles) with earlier measurements by Laporte et al. (2016; light-blue open circles) and Kawamata et al. (2018; light-green open circles). Also shown are the median sizes derived in this analysis (red squares) as a function of UV luminosity  $M_{UV}$ , as well as the size–luminosity relations derived by Bouwens et al. (2017; violet line) and Kawamata et al. (2015) for their  $z \sim 6–8$  selection. The shaded orange, violet, and green regions indicate the constraints on the median sizes vs. UV luminosity derived in this study, Bouwens et al. (2017a), and Kawamata et al. (2018), respectively. The steepness of the size–luminosity relation derived from our measurements contrasts strikingly with the shallower slope of the Shibuya et al. (2015) relation at higher luminosities and its extrapolation to lower luminosities.



**Figure 11.** Similar to Figure 9, but for sources in our  $z \sim 4$  selection. The green squares show the median sizes we measure with GALFIT for galaxies at  $z \sim 4$  as a function of UV luminosity. Similar to the situation at  $z \sim 6–8$ , the size–luminosity relation for lower-luminosity galaxies appears to be steeper than at higher luminosities.

use of our own size measurements using GALFIT (Peng et al. 2002).

The scatter  $\sigma$  we find in the size–luminosity relation at both  $z \sim 4$  and  $z \sim 6–8$ , i.e.,  $\sigma = 0.18 \pm 0.04$  and  $0.21 \pm 0.03$ , is also consistent with the  $\sim 0.24$  dex intrinsic scatter that



**Figure 12.** “True” UV luminosity vs. the UV luminosity estimated from the median parametric model for sources in a large forward-modeling simulation. The red circles show the original model UV luminosities plotted against the recovered UV luminosities from the median magnification maps in the left and middle panels and the Keeton magnification map in the right panel. The large red squares show the median “true” UV luminosity per magnitude bin of recovered UV luminosity. The input LF in the left panel has a faint-end slope of  $-2.05$  with no turnover, while in the middle and right panels the input LF has a turnover at  $-14.5$  mag. For an input LF with no turnover, the “true” UV luminosities for sources in the faintest luminosity bins are similar to or only slightly brighter than the nominal luminosities inferred from the lensing models. Meanwhile, for input LFs with a turnover at  $-14.5$  mag, the “true” luminosities for sources in the faintest luminosity bins (i.e.,  $>-15$  mag) are found to be typically  $\sim 1\text{--}3$  mag brighter (in the median) than the nominal luminosities inferred from the lensing models. The luminosities recovered using the median magnification model are more in line with the “true” luminosities than using magnification factors from a single model (as shown in the right panel for the Keeton models).

Shibuya et al. (2015) find for more luminous star-forming galaxies at  $z \sim 4\text{--}10$ .

As part of our forward-modeling procedure, a natural output is a prediction of the number of sources with very small sizes, and the result is very instructive. For our fiducial size–luminosity relation at  $z \sim 4$  and  $z \sim 6\text{--}8$ , we predict  $1 \pm 1$  and  $11^{+9}_-6$  sources, respectively, with sizes  $<40$  pc, versus 3 and 23 sources, respectively, that we find in the observations to have such small sizes. If, instead, we take the slope of the size–luminosity relation to be 0.26, as per Shibuya et al. (2015), the predicted number of such small sources is  $0.01 \pm 0.01$  and  $1^{+2}_-1$ , respectively. The observed number of very small sources clearly supports the existence of a steeper size–luminosity relation. We discuss the physical interpretation of the very small sources we find as part of this study in a companion paper (Bouwens et al. 2021).

#### 4.6. Impact of Lensing Uncertainties on the UV Luminosities Recovered at $-15$ mag

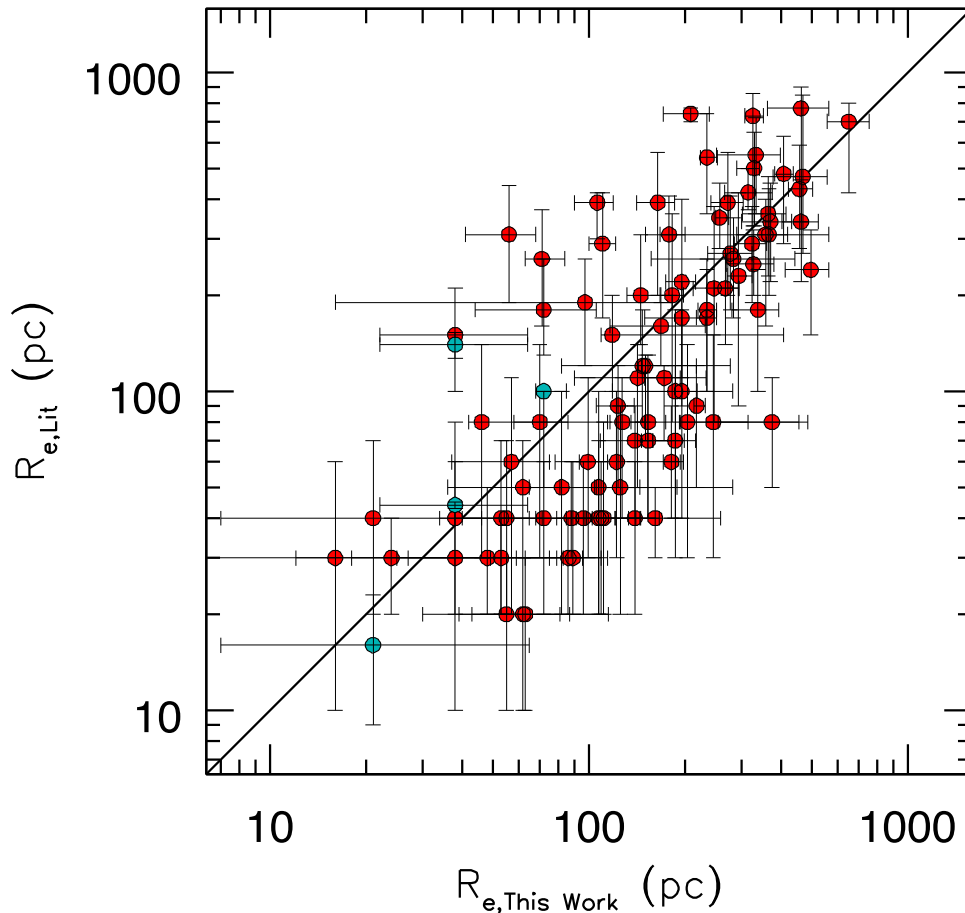
Here we ask how well the inferred luminosities of sources behind the HFF clusters actually track their true luminosities. Addressing this question is not simple and requires significant testing through simulation and recovery experiments. Previous work included both model-to-model comparisons (Priewe et al. 2017; Bouwens et al. 2017b) and end-to-end tests (Meneghetti et al. 2017). These studies have demonstrated that lensing models appear to be reasonably predictive to magnification factors of 30 in the median, but with  $0.4\text{--}0.5$  dex scatter (see, e.g., Figure 3 from Bouwens et al. 2017b). *Despite their utility, none of these earlier tests were framed in terms of the UV luminosity in particular.*

The purpose of this section is to look at the extent to which sources identified as having a given UV luminosity actually have that UV luminosity in the median. Framing the tests in terms of luminosity (instead of magnification) is valuable since

sources from many different magnification and apparent magnitude bins contribute to a given bin in UV luminosity and the total volume within various bins of UV luminosity varies quite dramatically.

To determine how well the inferred UV luminosities actually track the true UV luminosities, we use the forward-modeling methodology described in Bouwens et al. (2017b). We use the v4.1 CATS magnification models to create mock catalogs over the five HFF clusters where these models are available. For one cluster, we use the v4 CATS magnification model. The input LF used in constructing mock catalogs in the simulation has a faint-end slope of  $-2.05$  and either no turnover or a turnover at  $-14.5$  mag. The adopted functional form of the UV LF around the turnover is as presented in Bouwens et al. (2017b). Each source is assigned coordinates and an apparent magnitude. Absolute magnitudes are derived for sources in these catalogs based on either the median magnification from the latest parametric lensing models or the magnification from the Keeton models.

Both the true UV luminosities and the luminosities recovered from the median, median, and Keeton models are presented in the left, middle, and right panels of Figure 12. Results in the left panel are based on an input LF with no turnover, while those in the middle and right panels are based on an input LF with a turnover at  $-14.5$  mag. Also shown with the red squares are how well the luminosities of sources derived from the median magnification map predict the “true” model luminosities. Interestingly, the luminosities of sources inferred from the median magnification map track the actual model luminosities reasonably well in the case of an LF with no turnover but show offsets relative to the true values faintward of  $-15$  mag for an LF with a turnover at  $-14.5$  mag. Given uncertainty regarding whether a turnover in the LF exists faintward of  $-15$  mag (e.g., Atek et al. 2018), care may need to be exercised to quantify



**Figure 13.** Comparison of the lensing-corrected size measurements derived here (horizontal axis) with those derived in previous studies (vertical axis). The red circles represent comparisons with the Kawamata et al. (2018) measurements, and the cyan circles represent comparisons with Vanzella et al. (2017a) and Vanzella et al. (2019). For individual sources, we find a typical 0.3 dex difference in the measured sizes, but for the median source, our size measurements are in excellent agreement with previous work.

both the characteristics and luminosity function (LF) of sources fainter than  $-15$  mag using current data sets.

## 5. Discussion

### 5.1. Comparison with Size Measurements in Previous Work

Before discussing the form of the size–luminosity relation at high redshift, we compare our results against size measurements made by other teams on the same sources that make up our samples.

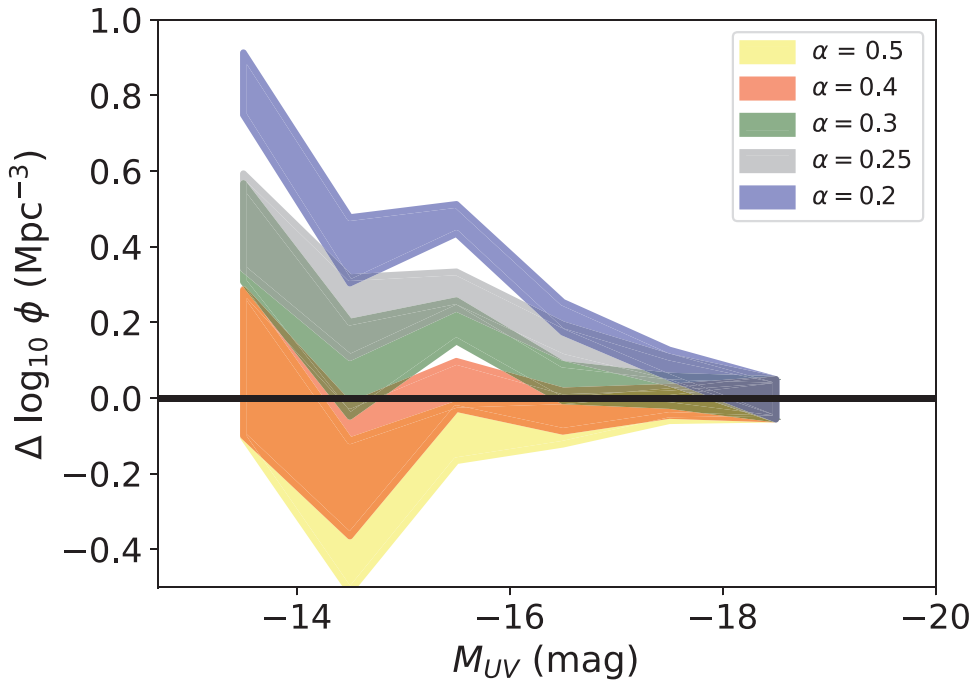
Of particular interest are new results recently obtained by Kawamata et al. (2018), who have updated the results from Kawamata et al. (2015) to include sources from all six HFF clusters and parallel fields. Cross-matching our source catalogs with sources in the Ishigaki et al. (2017) and Kawamata et al. (2018) catalogs, we find 92 sources in common. Our size measurements agree fairly well with those from Kawamata et al. (2018) in terms of the median sizes, with our measurements being  $25\% \pm 7\%$  larger, but with a  $1\sigma$  scatter of 0.30 dex in the measured sizes of individual sources (Figure 13). The differences likely reflect source-to-source differences in the magnification factors Kawamata et al. (2018) infer from the GLAFIC models they utilize and the median models we utilize. The significant source-to-source scatter in

the measured sizes suggests there are large model-dependent uncertainties in establishing the sizes of individual galaxies.

We can also see how well our respective size measurements agree for sources with particularly small sizes. For sources where we estimate sizes less than 50 pc, the median size measurement in their catalog is 35 pc. Similarly, when Kawamata et al. (2018) estimate sizes less than 50 pc, the median size measurement in our catalog is 63 pc. As such, there is reasonable agreement (at least in the median) between our estimated sizes and those of Kawamata et al. (2018) and also between our selected samples of sources with small sizes and those of Kawamata et al. (2018).

We also compare our size measurements to those made by Vanzella et al. (2017a, 2019) on a few particularly compact sources. Vanzella et al. (2017a, 2019) examined these sources owing to their particularly small sizes and proximity to the star cluster regime. For Vanzella et al. (2017a) sources GC1, D1, and D2, we measure half-light radii of  $21^{+44}_{-14}$  pc,  $38^{+26}_{-16}$  pc, and  $72^{+13}_{-4}$  pc versus measurements of  $16 \pm 7$  pc,  $140 \pm 13$  pc, and  $<100$  pc, respectively, from Vanzella et al. (2017a). In a follow-up study, Vanzella et al. (2019) reanalyzed the size and structure of their D1 source, finding a size of  $<13$  pc for the core region and a size of 44 pc for the galaxy as a whole. For GC1 and D2 our measurements agree with the Vanzella et al. (2017a) measurements within the  $1\sigma$  uncertainties, while for





**Figure 14.** The impact of the completeness at the faint end of  $z \sim 6-8$  selections on the inferred UV LF. Shown with the shaded yellow, red, green, gray, and blue regions is the excess in the inferred UV LF assuming a true size–luminosity scaling of  $r \propto L^{0.4}$ , but recovering the results assuming a size–luminosity scaling of  $L^{0.5}$ ,  $L^{0.4}$ ,  $L^{0.3}$ ,  $L^{0.26}$ , and  $L^{0.2}$ , respectively. Results for the Shibuya et al. (2015) size–luminosity relation are shown with the gray region. The plotted regions enclose the  $1\sigma$  Poissonian errors on the UV LF results based on the number of sources in each absolute magnitude interval of our  $z \sim 6-8$  selections. The assumption of shallow size–luminosity relation can substantially steepen the inferred faint-end slope  $\alpha$  for the UV LF and also cause the UV LF to show a potential upturn (Figure 15).

D1 our measurements agree with the later Vanzella et al. (2019) measurements.

In summary, we find that there is reasonable agreement in the median between our size measurements and that obtained in earlier work (Vanzella et al. 2017a, 2019; Kawamata et al. 2018). This is encouraging, given the expected scatter in the measured sizes for individual sources resulting from our reliance on different lensing models (i.e., a median model) than Kawamata et al. (2018) and Vanzella et al. (2017a, 2019) utilize.

### 5.2. Implications for Sizes from the Faint-end Form of the $z \sim 6$ LF Derived from the HFF

As discussed in much previous work (e.g., Grazian et al. 2011; Bouwens et al. 2017a, 2017b; Atek et al. 2018; Kawamata et al. 2018), there is a direct connection between (1) the distribution of sizes and surface brightnesses assumed for the lowest-luminosity galaxies and (2) the faint-end form inferred for the UV LFs at  $z \sim 2-6$ . The purpose of this section is to spell out this important connection and the impact one has on the other.

#### 5.2.1. Implications of Standard Shallow Size–Luminosity Relations for the Faint-end Form of the UV LFs

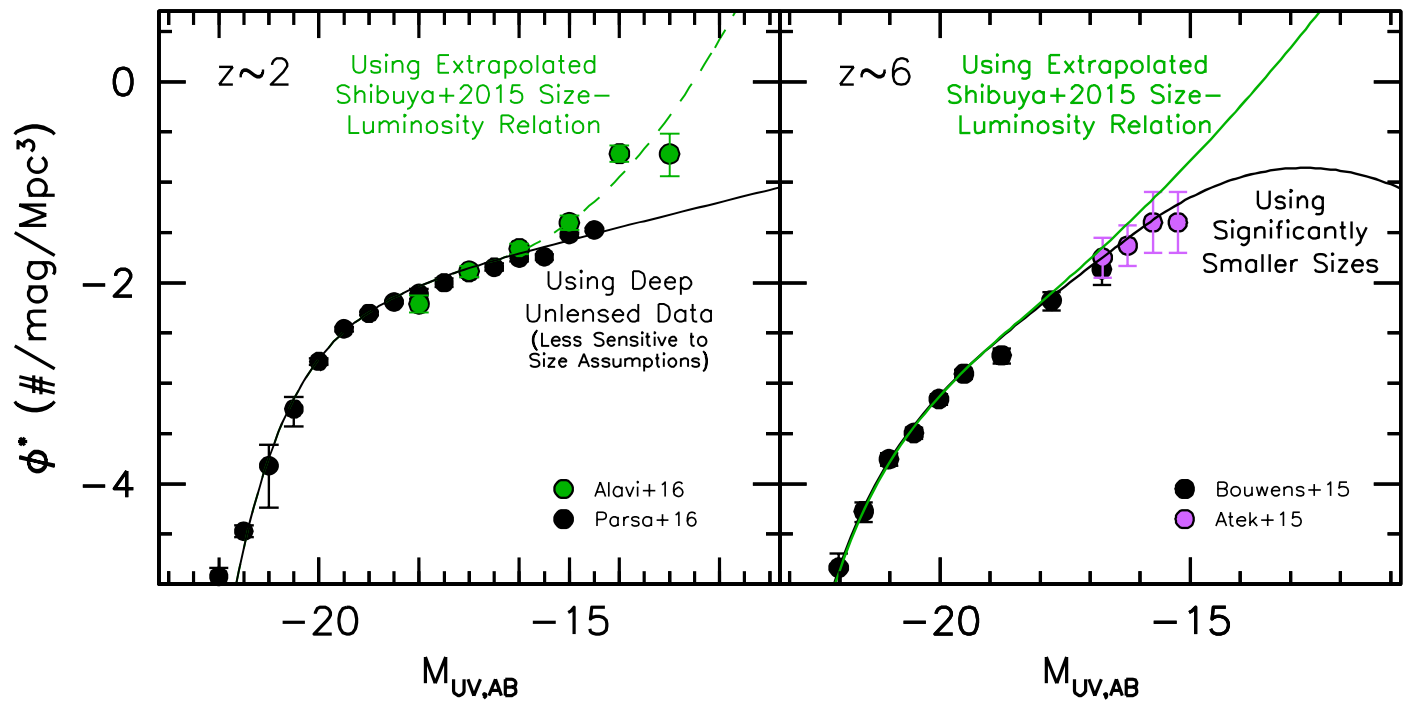
As we previously discussed in Section 3, blank-field studies have found that the median half-light radius of brighter galaxies depends on the luminosity  $L$  of galaxies as  $R \propto L^{0.26}$  (Shibuya et al. 2015), across a wide range of redshifts. Huang et al. (2013) find a similar scaling at  $z \sim 4$  and  $z \sim 5$ , and we might expect similar scalings to apply to higher-redshift galaxies if

we extrapolate the size–mass relations obtained by van der Wel et al. (2014) and Mowla et al. (2019a).

If these scalings apply to extremely low luminosity  $z \sim 6-8$  galaxies, the surface brightness should vary as  $L/R^2 \propto L^{0.5}$ . With such a scaling,  $0.001L^*$  ( $-13.5$  mag) galaxies would have surface brightnesses  $30\times$  fainter than  $L$  galaxies have. At such low surface brightnesses, we would expect searches for faint  $z = 2-8$  galaxies to be highly incomplete.

If we apply corrections for the expected high incompleteness in high-magnification regions (from the extrapolated Shibuya et al. 2015 relation) to the present  $z \sim 6-8$  search results and Ishigaki et al. (2018, their Figure 1)—who find virtually the same surface density of  $z \sim 6$  candidate galaxies in both high and low magnification regions—we would infer very high volume densities for the ultra–low-luminosity sources at  $z \sim 6$ . The gray shaded region in Figure 14 shows the excess in the volume density of lower-luminosity galaxies we would derive if the extrapolated Shibuya et al. (2015) relation for estimating the incompleteness is relative to the fiducial  $r \propto L^{0.4}$  relation derived here. The blue, green, red, and yellow shaded regions in Figure 14 show the excess that would be inferred for other size–luminosity scalings, assuming  $r \propto L^{0.2}$ ,  $r \propto L^{0.3}$ ,  $r \propto L^{0.4}$ , and  $r \propto L^{0.5}$ , respectively.

While one impact of the shallower size–luminosity relations is to steepen the faint-end slope of the UV LF—with an impact of  $\Delta\alpha = -0.05 \pm 0.04$ ,  $0.20 \pm 0.04$ ,  $0.28 \pm 0.03$ , and  $0.42 \pm 0.03$  on the faint-end slope  $\alpha$  for  $r \propto L^{0.5}$ ,  $r \propto L^{0.3}$ ,  $r \propto L^{0.26}$ , and  $r \propto L^{0.2}$ , respectively—changes to the size–luminosity relations also cause the UV LF to increasingly take on a concave-upward form. The approximate impact of adopting the Shibuya et al. (2015) size–luminosity relation is



**Figure 15.** An illustration of the significant impact that high levels of incompleteness can have on the faint-end ( $>-15$  mag) form of  $z = 2-8$  LFs. Given that the incompleteness is directly calculable from the assumed size–luminosity relation, the faint-end form of the LF has a direct impact on the inferred size distribution of faint galaxies. If faint  $z \sim 2$  and  $z \sim 6$  galaxies have sizes that are a simple extrapolation of the Shibuya et al. (2015) size–luminosity relation, the recovered UV LFs at  $z \sim 2$  and  $z \sim 6$  combining blank-field and lensing cluster observations are as indicated by the green lines and points (Alavi et al. 2016; Section 5.4 of Bouwens et al. 2017b). Meanwhile, the recovered UV LFs show much lower volume densities if faint galaxies have significantly smaller sizes than inferred from an extrapolation of the Shibuya et al. (2015) size–luminosity relation, as we have discussed previously (so leading to a break in this relation—as demonstrated nicely in Figure 9). The black line in the right panel is the Bouwens et al. (2017b) LF result and relies on the higher detectability of faint sources expected from the size–luminosity relationship derived here (where sources are smaller than extrapolating the Shibuya et al. 2015 relation). The right panel also shows the blank-field  $z \sim 6$  LF results from Bouwens et al. (2015; black solid circles) along with the results of Atek et al. (2015; magenta solid circles). The black line in the left panel is from Parsa et al. (2016) and derived from the sensitive blank-field observations over the XDF/HUDF (black line and black circles). In this case, size assumptions are not especially important at the faint end since sources are smaller than the PSF. If we suppose—following most theoretical models—that the UV LF at  $z \sim 2$  and  $z \sim 6$  extends toward fainter luminosities with a fixed (or progressively flatter) faint-end slope, then the size–luminosity relation cannot extend to the lowest-luminosity galaxies following the Shibuya et al. (2015) scaling, but must show a break at some luminosity toward a steeper scaling. While circumstantial, this strongly argues for fainter galaxies having small sizes and the size–luminosity relation showing a break at  $\sim -17$  mag.

illustrated with the dashed green line in the right panel of Figure 15. Given the  $1\sigma$  uncertainties on the faint-end slope at  $z \sim 6$  of 0.08, a concave-upward form could be readily seen (at  $2\sigma$  significance) in size–luminosity relations as shallow as  $L^{0.3}$  (where the impact on the effective  $\alpha$  at the faint end of the LF would be  $0.20 \pm 0.04$ ).

Earlier, applying an extrapolation of the size–luminosity relation obtained by Shibuya et al. (2015) for  $z \sim 2$  galaxies—with a similar size–luminosity dependence to their  $z \sim 6$  results—Alavi et al. (2016) had derived a UV LF at  $z \sim 2$  showing exactly such a concave-upward form.<sup>7</sup> This is indicated with the green solid line in the left panel of Figure 15.

For the situation where no luminosity dependence is assumed to the size distribution, an even steeper concave-upward form would be expected than the already dramatic upturns shown with the green lines in Figure 15. This is true even assuming sizes as small as 0.4 kpc (as is observed at  $-18$  mag; e.g., Ono et al. 2013; Shibuya et al. 2015) or even assuming a broad range in widths to the size distribution. For

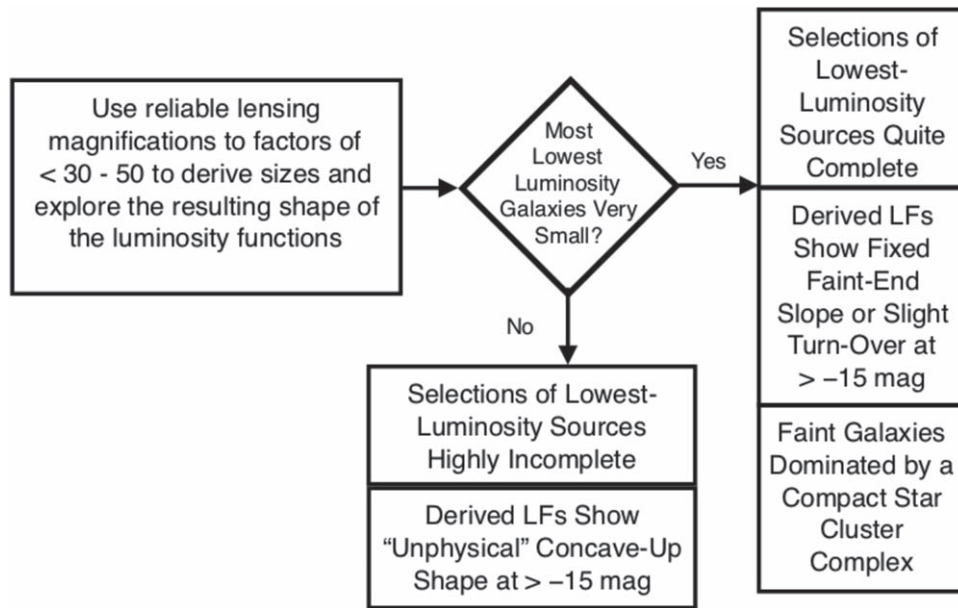
such size distributions, the recovered UV LFs we find based on our own simulations (see also Kawamata et al. 2018) would be much higher in terms of their volume densities than what has generally been reported in the literature at  $>-15$  mag (e.g., Livermore et al. 2017; Bouwens et al. 2017b; Atek et al. 2018; Ishigaki et al. 2018; Yue et al. 2018).

Similar to the analysis provided by Bouwens et al. (2017b) in their Section 5.4, Atek et al. (2015) and Castellano et al. (2016) made use of standard shallow size–luminosity relations in deriving the LF at  $z \sim 6-7$ . These studies found that the only way they could obtain plausible LF results was through the restriction of their determinations to sources brightward of  $-15$  mag. H. Atek (2022, private communication) indicated to us that they did not extend their LF results faintward of  $-15$  mag, due to uncertainties in extrapolating the size–luminosity relation into this regime and the very high volume densities implied at such faint magnitudes by the uncertain incompleteness corrections.

### 5.2.2. Possibility of a Steep Size–Luminosity Relation?

While one would expect to derive a “concave-upward” LF (see, e.g., Figure 15) for galaxies at  $z \sim 6$  making use of the standard shallow size–luminosity relation for completeness measures, there are observational and theoretical reasons for disfavoring such a “concave-upward” LF. The lack of an

<sup>7</sup> Alavi et al. (2016) explicitly looked at the dependence of the volume density of faint sources on the assumed source size. While they find a dependence on size, they argue against the extreme faint end of the LFs having an upturn. Instead, they argue for a consistent faint-end slope of their LF over the full luminosity range they consider, from  $-19$  to  $-15$  and also faintward of  $-15$  mag.



**Figure 16.** A simple flowchart summarizing the connection between the form of the UV LF at high redshift and the implied size distribution for lower-luminosity galaxies (see Section 5.3). An essential starting point for probing LFs and sizes to very low luminosities is the assumption that the HFF lensing models are predictive to magnification factors of  $>10$  (e.g., as suggested by the results of Meneghetti et al. 2017; Priewe et al. 2017; Bouwens et al. 2017b; and as we also discuss in Section 4.2) to make any inferences. If we assume that the lower-luminosity galaxies have sizes that simply follow an extrapolation of the Shibuya et al. (2015) size–luminosity relation (where  $r \propto L^{0.26}$ ), this implies a UV LF with concave-upward form at  $>-15$  mag (see Figure 15), which would be inconsistent with all theoretical models. On the other hand, if one supposes that one should recover a standard faint-end form for the UV LF, one must assume a steep size–luminosity relation, e.g.,  $r \propto L^{0.5}$ . The observations do not allow for the assumption of both (1) a conventional size–luminosity relation (with  $r \propto L^{0.26}$ ) and (2) a conventional faint-end form for the UV LF at  $>-15$  mag.

apparent upturn in the observed faint LF at low redshift already sets constraints on the existence of such an upturn. Additionally, as demonstrated by Weisz et al. (2014) and Boylan-Kolchin et al. (2014, 2015), abundance matching of nearby dwarf galaxies sets an upper limit on the volume density of lower-luminosity galaxies in the high-redshift universe.<sup>8</sup>

From a theoretical perspective, one would expect the faint end of the LF to continue to largely trace the halo mass function, but at the extreme faint end, the UV LF is expected to flatten or even turn over, as a result of increasingly inefficient gas cooling and radiative heating. A typical turnover luminosity is  $\sim -12$  mag (Dayal et al. 2014; Finlator et al. 2015; O’Shea et al. 2015; Gnedin 2016; Ocvirk et al. 2016; Yue et al. 2016; Liu et al. 2017). Theoretical LFs are thus not expected to become steeper toward the extreme low-luminosity end.

If we discount such an upward change in the slope on the basis of these plausibility arguments, we must necessarily assume that the size–luminosity relation must show a break at  $\sim -16$  to  $\sim -17$  mag, such that lower-luminosity galaxies are all very small and have high surface brightnesses. This would translate to generally high levels of completeness in searches for lower-luminosity galaxies to high magnification factors, as we in fact make use of as part of the present analysis. Indeed, the most natural way to explain the constant surface density of  $z \sim 6$  candidate galaxies found by both Bouwens et al. (2017b) and Ishigaki et al. (2018) is to suppose that the completeness of  $z \sim 6$  selections remains high even to high magnification factors. Bouwens et al. (2017b) made use of such small size

assumptions in deriving constraints on the  $z \sim 6$  UV LF, finding a roughly fixed faint-end slope to very low luminosities  $>-14$  mag, with a possible turnover at the faint end. The best-fit  $z \sim 6$  LF results of Bouwens et al. (2017b) are shown in the right panel of Figure 15 with a black line.

In Bouwens et al. (2017a), we presented other independent evidence suggesting that lower-luminosity galaxies have very small sizes. In drawing these conclusions, Bouwens et al. (2017a) made use of the impact of lensing shear on the detectability of  $z \sim 6-8$  sources in high-magnification regions behind lensing clusters. Critically, Bouwens et al. (2017a) found approximately the surface density of galaxies in high-magnification regions at low, intermediate, and high shear factors. This is significant since fainter sources with larger sizes, i.e.,  $>160-240$  pc, would tend to become undetectable in regions with higher shear. The fact that  $z \sim 6-8$  sources are then found in higher-shear regions with consistent surface densities to lower-shear, high-magnification regions provides us with another argument that fainter ( $\gtrsim -16$  mag) galaxies are predominantly small.

As a caveat to this discussion, we should emphasize that the conclusions that we have drawn in this subsection are sensitive to the predictive power of the lensing models. If the lensing models lose their predictive power above magnification factors of  $\sim 10$ , the sources that make up our nominally lowest-luminosity samples (i.e.,  $M_{UV} > -15$  mag or  $M_{UV} > -14$  mag) would instead be prominently made up of sources at higher intrinsic luminosities, i.e.,  $M_{UV} \sim -15$  mag, scattering to lower luminosities owing to uncertainties in the lensing models. There is, however, evidence that lensing models (especially the median model) maintain their predictive power to magnification factors of 30–50, assuming that the tests run by Meneghetti

<sup>8</sup> One complication, of course, for such constraints is the likely incompleteness in low-redshift samples and large scatter in the stellar mass–halo mass relation.

et al. (2017), Prieue et al. (2017), and Bouwens et al. (2017b) are sufficiently robust to encompass the relevant issues (and as we also discuss in Section 4.2).

The arguments presented in this section regarding the form of the LF are involved, so we summarize them in Figure 16 for clarity. The use of sizes resulting from a simple extrapolation of the size–luminosity relation found for higher-luminosity galaxies would suggest very large completeness corrections and imply an unexpected and rather dramatic upturn. If the LF does not show an upturn at very low luminosities (i.e.,  $>-15$  mag), as predicted in most theoretical models (e.g., Dayal et al. 2014; Gnedin 2016; Liu et al. 2016), then faint sources must be small.

While indirect and relying on theoretical expectations for a “reasonable” faint-end form of the LF, the present argument is grounded in a robust physical framework. A substantial upturn at the faint end of the LF, with corresponding larger sizes for the detectable regions of galaxies at lower luminosities, would have major (and unexpected) theoretical implications, while also being in tension with stellar population analyses of the local dwarf galaxy population (Boylan-Kolchin et al. 2014, 2015; Weisz et al. 2014).

The above arguments only add further weight to the direct evidence presented in Section 4 for a steeper size–luminosity relation for galaxies fainter than  $M_{UV} \sim -17$  mag. Independent evidence for very small sizes for faint  $z \geq 4$  galaxies was presented in Bouwens et al. (2017a), who found little change in the prevalence of faint high-redshift galaxies as a function of shear in the lensing field. As Bouwens et al. (2017a) argue on the basis of extensive simulations, this can only be the case if faint galaxies are small.

We emphasize that these arguments only apply to the apparent sizes of sources in the rest-UV, which would reveal only the highest surface brightness star-forming regions (e.g., Overzier et al. 2008; Ma et al. 2018) and that the true physical sizes of faint  $z \sim 4-8$  galaxies may be much larger. We discuss this situation in more detail in Section 5.1 of a companion paper (Bouwens et al. 2021).

## 6. Summary

Here we make use of the unique depth and resolving power of the HFF cluster observations to examine the sizes and luminosities of 68  $z \sim 4$ , 184  $z \sim 6$ , 93  $z \sim 7$ , and 53  $z \sim 8$  sources identified in the early universe behind the six HFF clusters (68  $z = 4$  and 330  $z = 6-8$  galaxies in total).

The depth of the HFF observations and gravitational lensing from the massive foreground clusters make it possible for us to measure the sizes for  $\sim -18$  mag and  $\sim -15$  mag galaxies to a typical  $1\sigma$  accuracy of  $\sim 100$  and  $\sim 10$  pc, respectively. Achieving such high accuracy on size measurements is crucial for better ascertaining the physical characteristics of faint star-forming sources in the early universe.

To obtain the most robust measurements on the sizes and luminosities of sources, we make use of an MCMC procedure to fit the available imaging data for each source (Section 4.1). We also utilize the median magnification and shear factors derived from six different varieties of parametric lensing models, CATS, Sharon/Johnson, GLAFIC, Zitrin-NFW, Keeton, and Caminha. The model profile is lensed according to the median magnification and shear factor, convolved with the PSF, and then compared with a stack of the available WFC3/IR data on each source.

We show that the majority of our sizes and luminosities should be reliably measured. As in our previous work (Bouwens et al. 2017a) and in other work identifying especially compact sources in the distant universe (Johnson et al. 2017; Vanzella et al. 2017a, 2017b, 2019, 2020), the present conclusions do depend on the linear magnification factors from the lensing models being reliable to relatively high values of the magnification factor. In Section 4.2, we show that the parametric models should be predictive to linear magnification factors of  $\sim 30$ .

To derive the size–luminosity relation for star-forming galaxies at  $z \sim 4$  and  $z \sim 6-8$ , we need to cope with the impact of surface brightness selection effects (e.g., Bouwens et al. 2017a; Ma et al. 2018) and uncertainties in the magnification model. Both of these effects can cause the size–luminosity relation to show an  $r \propto L^{0.5}$  relationship (see, e.g., Figure 8) at the faint end, which might be steeper than in reality. We use a forward-modeling procedure to include these effects in comparing with the recovered size–luminosity distribution. In comparing the observed and forward-modeled distribution, we look at the mean size and scatter in 1 mag UV luminosity bins and also compare the observed and expected number of sources in each magnitude bin.

The measured sizes of lensed galaxies in our  $z \sim 4$  and  $z \sim 6-8$  samples trend with UV luminosity  $L$  approximately as  $L^{0.54 \pm 0.08}$  and  $L^{0.40 \pm 0.04}$  (Figure 5; see Section 4.5) at  $>-17$  mag. This is steeper at  $3\sigma$  than the trend found for unlensed luminous ( $<-18$  mag) sources in the field, i.e.,  $r \propto L^{0.26 \pm 0.03}$  from, e.g., Shibuya et al. (2015), suggesting a break in the relation at  $\sim -18$  mag. Thanks to our use of a forward-modeling approach, the trends we recover should be fairly robust and not the result of the observational effects discussed above that drive a  $L^{0.5}$  trend.

Included as part of our forward-modeling fitting results and supportive of a steeper size–luminosity relation is the surface density of galaxies in the highest magnification regions behind clusters and the faint-end form of the UV LF. To recover UV LF results where the LF continues with a steep form to faint magnitudes or only turns over slightly, similar to that found in theoretical models (e.g., Liu et al. 2016; Gnedin 2016), it is necessary to assume that the lowest-luminosity sources have small sizes (and hence minimal completeness corrections). However, under the assumption of a much shallower size–luminosity relation—as found by Shibuya et al. (2015) or Mowla et al. (2019a) for lower-redshift star-forming galaxies and the brightest high-redshift sources—high-redshift selections would become appreciably incomplete at  $>-15$  mag. Applying this incompleteness to the observed surface densities of faint star-forming galaxies at  $z \geq 2$  results in an unphysical, inferred LF with a concave-upward form at  $>-15$  mag (see Section 5.3). A number of theoretical and observational results argue against such a concave-upward form. This situation is summarized in Figures 15 and 16.

Further support for a steep size–luminosity relation for faint  $z \sim 6-8$  galaxies is provided by a similarly steep relationship for faint  $z \sim 4$  galaxies and the following indirect evidence: (1) no clear change in the prevalence of faint galaxies in high-magnification regions as a function of shear (Bouwens et al. 2017a), and (2) robust theoretical expectations for the flattening and turnover of the LF at very low luminosities (Figure 16, Section 5.3 of Bouwens et al. 2017b). There is thus significant evidence to support the size–luminosity relation having a

distinctly steeper form, i.e.,  $L^{0.5}$ , at lower luminosities, even if the direct measurements we have at present are not definitive.

In the future, we plan to extend the present analysis by looking at the sizes and luminosities of star-forming sources at  $z = 1-5$  behind the HFF clusters (B. Riberio et al. 2022, in preparation). Compact star-forming sources identified behind the HFF represent compelling targets for spectroscopy with both MUSE and JWST to gain more insight into the nature of these sources. Among other things, JWST will allow us to probe the present population to even lower luminosities and with high levels of completeness, allowing us to achieve an even more complete characterization of the population of extremely low luminosity galaxies.

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National Science Foundation through the SNSF Professorship grant 190079. The Cosmic Dawn Center (DAWN) is funded by the Danish National Research Foundation under grant No. 140.

## Appendix

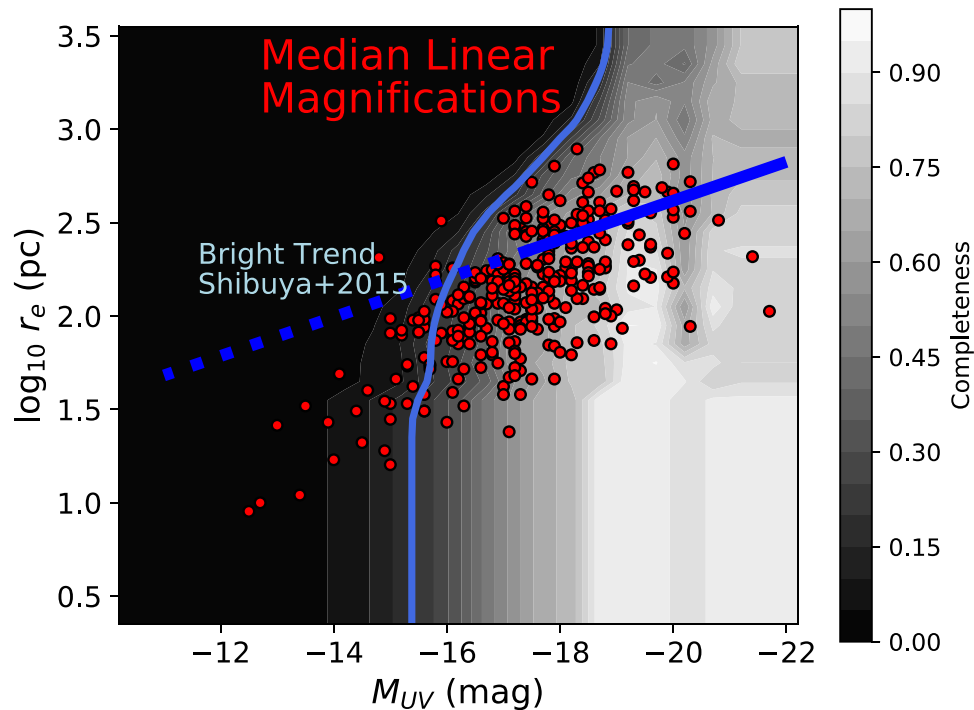
### The Completeness of Our HFF $z \geq 4$ Selections Shown Using Linear Scalings

An important aspect of quantifying the characteristics of any selection is the completeness of that selection, and such is also the case for the  $z \geq 4$  samples presented here over the HFF.

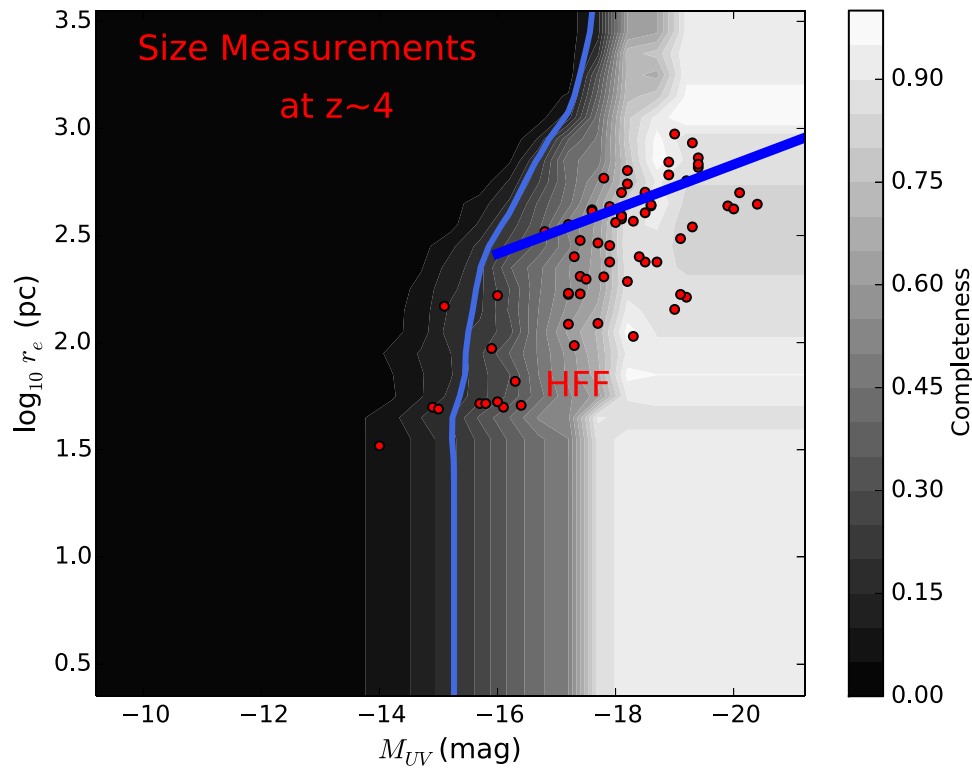
As shown in the text, the relative completeness of our  $z \sim 6-8$  and  $z \sim 4$  selections depends significantly on both the size and luminosity of sources. The relative completeness is shown in Figures 5 and 6 using a logarithmic scaling.

While a logarithmic scaling can be useful for characterizing the size–luminosity distribution as a whole relative to the particularly incomplete regions, i.e.,  $<1\%$ , Figures 5 and 6 are less useful for visualizing the completeness relative to more modest levels of completeness, i.e.,  $20\%$ .

To provide us with a better sense of how sources distribute themselves around higher completeness thresholds, i.e.,  $\geq 20\%$ , we have included alternative versions of the left panel to Figures 5 and 6 in Figures 17 and 18, respectively, using a linear scaling. The bulk ( $>90\%$ ) of the sources clearly lie in regions where the relative completeness is in excess of  $20\%$ .





**Figure 17.** Identical to the left panel of Figure 5, but using a linear scaling to show the relative completeness contours. This shows that  $\geq 90\%$  of our  $z \sim 6-8$  sample lies at a relative completeness  $\geq 20\%$  (shown with a light-blue line).



**Figure 18.** Identical to the left panel of Figure 6, but using a linear scaling to show the relative completeness contours. This shows that  $\geq 90\%$  of our  $z \sim 4$  sample lies at a relative completeness  $\geq 20\%$  (shown with a light-blue line).

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