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## Management of fear of falling after hip fracture

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# Chapter 3

## Effects of the FIT-HIP Intervention for Fear of Falling After Hip Fracture: A Cluster-Randomized Controlled Trial in Geriatric Rehabilitation

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## ABSTRACT

### Objectives

Fear of falling (FoF) is common after hip fracture and can impede functional recovery due to activity restriction. The FIT-HIP intervention was designed to target FoF and consequently to improve mobility. The aim of this study was to evaluate the effect of the FIT-HIP intervention in patients with FoF in geriatric rehabilitation (GR) after hip fracture.

### Design, setting and participants

This cluster randomized controlled trial was performed in 11 post-acute GR units in the Netherlands (2016-2017). Six clusters were assigned to the intervention group, five to the usual care group. We included 78 patients with hip fracture and FoF (aged  $\geq 65$  years; 39 per group).

### Intervention(s)

The FIT-HIP intervention is a multi-component cognitive behavioral intervention conducted by physiotherapists, embedded in usual care in GR. The FIT-HIP intervention was compared to usual care in GR.

### Measurements

FoF was assessed with the Falls Efficacy Scale International (FES-I); mobility with the Performance Oriented Mobility Assessment (POMA). Data were collected at baseline, discharge and 3 and 6 months post-discharge from GR. Primary endpoints were change scores at discharge. Linear mixed models were used to evaluate treatment effect.

### Results

No significant between groups differences were observed for primary outcome measures. With the usual care group as reference, the FES-I estimated difference between mean change scores was 3.3 (95% CI -1.0; 7.5,  $p=0.13$ ) at discharge from GR; -4.1 (95% CI -11.8; 3.6,  $p=0.29$ ) after 3 months and -2.8 (95% CI -10.0; 4.4,  $p=0.44$ ) after 6 months. POMA estimated difference was -0.3 (95% CI -6.5; 5.8,  $p=0.90$ ).

### Conclusion and implications

The FIT-HIP intervention was not effective in reducing FoF. Possibly FoF (shortly) after hip fracture can to some extent be appropriate. Consequently, this implies the study was not able to accurately identify and accordingly treat FoF that is maladaptive (reflective of disproportionate anxiety).



## BACKGROUND

Despite advances made in both the acute care for hip fracture patients and post-acute rehabilitation services provided,<sup>1-6</sup> long-term functional recovery after hip fracture still remains limited.<sup>7,8</sup> Although many factors can impede recovery after hip fracture, only a few are potentially modifiable.<sup>9-12</sup> In this regard fear of falling (FoF), defined as *'a lasting concern about falling that leads to an individual avoiding activities that he/she remains capable of performing'*, may be an important risk factor.<sup>13</sup> More than 50% of patients who have sustained a hip fracture express FoF.<sup>14-16</sup> Fear of falling can hamper progress in functional performance as a result of avoidance of activities.<sup>14</sup> Moreover, it is associated with an increased risk of falling, decreased mobility, loss of independence, institutionalization, and lower quality of life and social participation.<sup>14,17,18</sup> Therefore, treatment of FoF after hip fracture may be a key element in approaches to improve functional recovery after fracture.

In the past decades several interventions for community-dwelling older adults with FoF have been developed and evaluated.<sup>19,20</sup> These programs often use a cognitive behavioral approach, including cognitive restructuring, personal action plans to encourage engagement and physical activity, exposure in vivo (e.g. practicing activities in fear-related real-life situations), information on fall prevention, and motivational interviewing. One of these programs is the widely used intervention program *'A Matter of Balance'*, which has proven to be (cost)effective to reduce FoF in community-dwelling older adults in the US and the Netherlands.<sup>21-26</sup> However, none of the programs available focus on patients with hip fracture.

In the Netherlands, specialized inpatient multidisciplinary rehabilitation services for frail older patients are organized as *'geriatric rehabilitation'* (GR) within post-acute GR units.<sup>27</sup> The rehabilitation program includes physical and occupational therapy, and treatment of comorbidities. Approximately half of all older adults with hip fracture in the Netherlands are referred to GR following surgical repair of the fracture. In order to adequately address FoF in this specific population, it is essential that intervention strategies are designed to fit the rehabilitation setting. For this purpose, the cognitive behavioral approach used in the Dutch version of *'A Matter of Balance'* was adapted to an individualized tailor-made intervention: the Fear of falling InTervention in HIP fracture geriatric rehabilitation (i.e. the FIT-HIP intervention).<sup>28</sup> The FIT-HIP intervention is incorporated in the multidisciplinary GR treatment program.

This study aims to evaluate whether the FIT-HIP intervention is effective in reducing FoF and, consequently, improving mobility when compared to care as usual in GR.



## METHODS

This cluster randomized controlled trial (RCT) compared usual care in inpatient multidisciplinary GR in the Netherlands to usual care *combined* with the FIT-HIP intervention.<sup>28</sup> Eleven GR units (clusters) were recruited to participate. The Ethics Committee of the Leiden University Medical Center (LUMC) approved the study protocol (PI5.212; 09-09-2015), which is registered in the Netherlands Trial Register (No. NTR 5695).

### Recruitment of participants

All patients admitted to the participating GR units due to recent hip fracture were screened for eligibility in the first week of admission. Inclusion criteria were age  $\geq 65$  years and at least sometimes being concerned to fall, based on a 1-item question, i.e. '*Are you concerned to fall?*' (answer options: *never – almost never – sometimes – often – very often*).

Exclusion criteria were: 1) the presence of a condition interfering with learnability (formal diagnosis of dementia; major psychiatric disease or a score  $> 1$  on the Hetero-anamnesis List Cognition (HAC)<sup>29</sup> which is suggestive for premorbid cognitive disabilities); 2) pre-fracture Barthel index score  $< 15$ ; 3) pathological hip fracture; 4) life expectancy  $< 3$  months; and 5) insufficient mastery of the Dutch language.

All participants provided written informed consent to participate prior to baseline assessment.

### Randomization and blinding

Following recruitment of all participating GR units, five were randomly assigned to the usual care group and six to the intervention group. Computer-generated randomization was performed by an independent researcher of the LUMC using the random generator of SPSS (version 23.0).

Outcome measures were assessed by independent research assistants blinded to group allocation. Healthcare professionals were instructed not to inform the participants about allocation status. In a further attempt to conceal treatment allocation for participants, at enrollment, all participants received a four-page information brochure on FoF.<sup>28</sup> As healthcare strategies directed at reducing risk of falling in older adults based on educational interventions alone have not proven effective,<sup>30</sup> we expected this to serve as a suitable dummy intervention.

## Interventions

### *FIT-HIP intervention*

Details of the intervention have been published previously;<sup>28</sup> a summary is presented in Table I. The FIT-HIP intervention consists of various cognitive behavioral elements aimed at reducing the FoF, including psycho-education, guided exposure to feared activities, cognitive restructur-

ing, and relapse prevention. The intervention is integrated in the physical therapy sessions and combined with the regular exercise training in GR.

From each GR unit allocated to the intervention group, two physiotherapists were trained to conduct the intervention. All intervention units additionally provided a psychologist to counsel the physiotherapists as needed, specifically with regard to the ‘cognitive restructuring’. During monthly meetings organized by each GR team individually, the physiotherapists and psychologists discussed the participants’ progress and the challenges in the treatment. Physiotherapists were also encouraged to directly consult their ‘buddy’ psychologist if they encountered difficulties during treatment. Furthermore, the nursing staff was briefed on the background and rationale of guided exposure, to help them incorporate these principles in their work, and to adhere to the ‘FIT-HIP fear ladders’ (Table I).



Table I. Overview of the FIT-HIP intervention	
Element	Description
Guided exposure – rationale	Guided exposure is the graded and repeated exposure to situations that give rise to fear (of falling). As recurrent exposure to the feared situation or activity is performed under supervision and in a manner that is predictable and controllable, this leads to the positive experience that the fear gradually fades out as the activity is practiced more often. After the fear for this specific situation has subsided, the exposure can be extended to the ‘next level’, practicing the activity in a manner that leads to a greater level of fear (fear hierarchy for graded exposure). For fear of falling (FoF), the feared activities will be situations concerning physical activity. In the rehabilitation after hip fracture, this will predominantly be basic activities in daily living, such as transferring, standing and walking.
Implementation in the FIT-HIP intervention	In the FIT-HIP intervention the physiotherapist helps the participant assess situations that give rise to FoF (within the first week of admission to geriatric rehabilitation (GR)). For each ‘feared’ activity the physiotherapist and participant draft a fear hierarchy, designed as a ‘fear ladder’ (template example published in protocol). <sup>28</sup> The FIT-HIP fear ladder consists of six ‘steps’, each step representing a functional goal. The functional goal describes in which manner the activity is practiced/performed. The goals are ranked with an increasing level of FoF as the activity gets more complex (or has to be performed with less assistance). The FIT-HIP fear ladders are the guiding principle for the multidisciplinary approach to apply guided exposure for all aspects of mobilization. The physiotherapist evaluates the fear ladders with the participant weekly and the fear ladders are revised on the basis of progress (reduction of FoF).
Intervention provider(s)*	Physiotherapists during physical therapy sessions. As applicable, by nursing staff when assisting patients in basic activities of daily living that give rise to FoF. Nursing staff assisting participants in practicing ‘fearful’ activities as ‘homework assignments’ after physical therapy.
Schedule	Incorporated in all physical therapy sessions (and nursing care activities) for the duration of inpatient multidisciplinary GR as long as FoF persists.
Cognitive restructuring - rationale	Thoughts (and associated beliefs) influence how a person feels and accordingly how a person appraises and responds to a situation. Excessive concern to fall (fear of falling) can be based on unrealistic thoughts and beliefs with regard to (risk of) falling. This excessive FoF may lead to avoidance of (physical) activity and consequently fortify the FoF. Cognitive restructuring is a technique used to explore thoughts and beliefs and therefore to identify, challenge and modify unrealistic thoughts. In the FIT-HIP intervention participants are coached to explore their thoughts concerning physical activity and fall risk. In doing so they are encouraged to identify maladaptive and unrealistic thoughts and in turn formulate and apply more realistic thoughts. The principle of (un)realistic thoughts is also incorporated into the relapse prevention plan (see below).

**Table 1.** Overview of the FIT-HIP intervention

<i>Implementation in the FIT-HIP intervention</i>	Physiotherapists are trained to guide the participant in exploring their thoughts concerning physical activity and (risk of) falling. A worksheet is used to structure the process of cognitive restructuring and to provide the participant insight in this process (analyzing the situation and the associated thoughts, feelings, behavior and consequences and subsequently formulating more realistic thoughts).
<i>Intervention provider(s)*</i>	Physiotherapists. A psychologist is trained as a 'buddy' to coach the physiotherapists in these principles as when additional help is needed.
<i>Schedule</i>	During at least one physical therapy session the cognitive restructuring is applied and practiced with the participant. Subsequently, the participant is encouraged to fill in the worksheet as a 'homework assignment'. This is reviewed and discussed during the next therapy session. These 'key' thoughts can briefly be recapitulated in situations when the FoF is noticeable in the physical therapy sessions. The process of cognitive restructuring can be repeated as needed (when the FoF persists).
<i>Psycho-education - rationale and implementation in the FIT-HIP intervention</i>	The psycho-education is used to reinforce the various elements of the FIT-HIP intervention. In the initial phase of GR the participant receives information on anxiety, (consequences and treatment of) FoF and the rationale and background of guided exposure and cognitive restructuring. In the final phase of GR, when discharge home is being planned, the psycho-education focusses on home safety. The information on home safety is also processed in the relapse prevention plan (see below).
<i>Intervention provider(s)*</i>	Physiotherapists discuss the information with the participant.
<i>Schedule</i>	During at least two physical therapy sessions (one in the initial phase of rehabilitation; the other preceding the discharge home). As applicable, the psycho-education can additionally be incorporated in the therapy sessions, related to situations occurring during therapy (for example fall prevention).
<i>Relapse prevention - rationale</i>	The relapse prevention is aimed at helping the participant to anticipate and cope with relapse to FoF.
<i>Implementation in the FIT-HIP intervention</i>	In the FIT-HIP intervention the relapse prevention is designed to optimize the transition to predominantly independent living circumstances after discharge home. For this purpose, a 'relapse prevention plan' is composed together with the participant. This ' <i>Staying Active Plan</i> ' aims at preparing the participant for challenging situations in which there is a risk for relapse to FoF and activity restriction. The ' <i>Staying Active Plan</i> ' consists of (information on) 1. General home safety and fall prevention; 2. Individualized advice for safe ambulation and how to stay active; 3. Preventing, recognizing and dealing with a relapse (including notice of (mal)adaptive thoughts). The information is discussed together with the participant and presented in writing as a reference book.  In addition, a telephonic booster is conducted six weeks after discharge from GR. The telephonic booster is aimed at evaluating the FoF (and activity restriction). If necessary advice is given how to deal with FoF, in addition to the prior advice formulated in the ' <i>Staying Active Plan</i> '.
<i>Intervention provider(s)*</i>	Both the ' <i>Staying Active Plan</i> ' and telephonic booster are conducted by physiotherapists.
<i>Schedule</i>	During at least one physical therapy session during GR (' <i>Staying Active Plan</i> ') and one telephonic booster session after discharge home.
<i>Motivational interviewing</i>	Physiotherapists are trained* in motivational interviewing techniques to assist the participant in the process of behavior change. These techniques help the physiotherapist gain insight into the participant's extrinsic and intrinsic motivation and explore which rehabilitation goals are important for the participant, in order to personalize treatment goals in the FIT-HIP intervention.

\*Physiotherapists received two training sessions (four hours each); psychologists one 4-h session (together with physiotherapists).



## Usual care

In the Netherlands, inpatient geriatric rehabilitation is multidisciplinary care led by an elderly care physician.<sup>31</sup> General aspects of physiotherapy treatment include training of mobility, balance and gait, and exercise to improve muscle strength. Nursing staff and an occupational therapist are involved to help improve self-care, by coaching patients to perform basic activities of daily living (ADL), such as transferring and bathing.<sup>15,27</sup> In general, a patient receives 5-6 physiotherapy sessions per week, although therapy intensity may vary due to variations in patients' physical endurance and the formalized agreements on therapy intensity employed by the GR units.

## Outcome measures

Baseline (T0) and discharge (T1) assessments were performed in the first and last week of the GR trajectory, by means of structured face-to-face interviews and task-oriented physical tests. Follow-up assessments at 3 and 6 months after discharge (T2/T3) were performed by postal questionnaires. Missing data at follow-up and the Functional Ambulation Categories (see below) were acquired by telephonic interviews.

The purpose of the FIT-HIP intervention is to reduce FoF in order to improve physical functioning. Therefore, the FIT-HIP trial had two primary outcome measures: i) the post-intervention change in FoF measured with the Falls Efficacy Scale International (FES-I),<sup>32</sup> and ii) change in mobility function assessed with the Performance Oriented Mobility Assessment (POMA).<sup>33</sup>

The FES-I is a 16-item instrument, scored on a 4-point Likert scale, assessing FoF (defined as concerns about falling) related to basic and more demanding physical and social activities. The total score on the FES-I ranges from 16-64, with higher scores indicating a higher level of FoF. The FES-I has good reliability and validity, and its use has been validated in Dutch patients with hip fracture in GR.<sup>32</sup>

The POMA is a reliable and valid clinical examination tool that assesses gait and balance ability, as a measure for mobility in older adults.<sup>33</sup> It consists of a 9-item balance scale and a 7-item gait scale. The total score ranges from 0-28, with lower scores indicating a greater risk of falling. In the event the participant was unable to perform the test due to physical impairment, we set the score to 0. The POMA was assessed at baseline and at discharge, whereas the FES-I was assessed at all four measurement points.

Secondary outcome measures were self-reported activity restriction due to FoF and (in)dependence in walking ability measured by the Functional Ambulation Categories (FAC). Activity restriction due to FoF was assessed with a 1-item question 'Do you avoid activities due to fear of falling'. Response categories were *never* (0) – *almost never* (1) – *sometimes* (2) – *often* (3) – *very often* (4). The FAC evaluates ambulation ability on a 6-point ordinal scale, describing the degree



of support needed when walking; this scale ranges from non-functional walking (score 0) to independent walking on all surfaces (score 5).

### **Additional variables**

At baseline, we collected sociodemographic data and information on various aspects of physical and mental health for descriptive purposes (Table 2). To compare the therapy intensity in both groups, we collected data at participant level on frequency and duration of all therapy provided in GR (information obtained from routine data registration used for reimbursement purposes). Adverse events such as fall incidents, hospital readmissions and death were registered by attending elderly care physicians during GR. During follow-up, this information was assessed with the questionnaires sent to participants.

### **Sample size**

As the ultimate goal to treat FoF is to improve functional recovery after hip fracture, we chose to use POMA to calculate sample size. To have 80% power to detect a statistically significant and minimal clinically relevant difference of 3.8 in the POMA score between groups at discharge from GR, we needed 40 participants per group (corresponding means 17.0 and 20.8, respectively; standard deviation per group 6.0); this was based on an alpha of 5% (two-sided). The intraclass correlation was set to 0.05 to account for the effect of cluster randomization. To additionally account for a minimum of 10% loss to follow-up at discharge, we planned to recruit 15 participants per cluster.

### **Statistical analysis**

All analyses were performed on an intention-to-treat basis using IBM SPSS Statistics for Windows version 23.0. Statistical significance was set at  $P < 0.05$  (two sided). The mean change score in outcome measure from baseline (score Tx – score T0) was used for all effectiveness analyses. These ‘within-group differences’ were compared between the treatment groups using a linear mixed model to account for the clustering of participants within the GR units. In this model we also corrected for the imbalance found between treatment groups with regard to the baseline FAC score and Functional Comorbidity Index (FCI). Both delayed postoperative ambulation and comorbidity are established risk factors for negative outcomes after hip fracture.<sup>9,10,34</sup> The treatment effect is presented as an estimated difference between the mean change score per group [with corresponding 95% confidence interval (CI)], with the usual care group as reference.

In addition to this primary, most extensive linear mixed model, we evaluated treatment effects solely adjusted for i) baseline value of outcome measure; ii) baseline value of outcome measure + baseline FAC score; and iii) baseline value of outcome measure and baseline FCI score. Detailed information on the linear mixed models, is presented in Appendix I.

**Table 2.** Baseline characteristics of the FIT-HIP participants and characteristics of Geriatric Rehabilitation outcomes

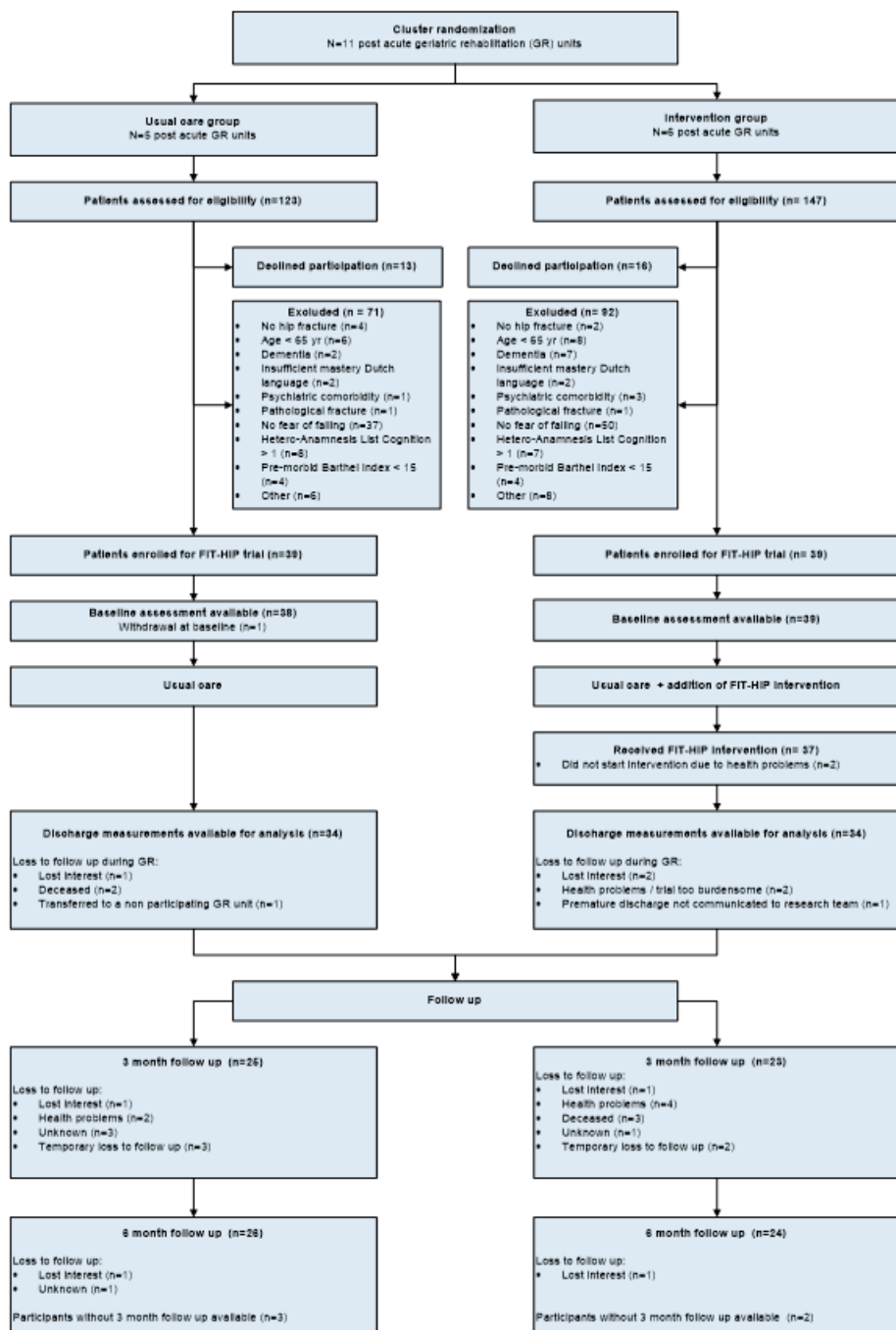
	All participants (n=77)	Usual care group (n=38)	Intervention group (n=39)	P-value*
<b>Demographic data</b>				
Age (years); mean (SD)	82.5 (7.6)	81.3 (7.9)	83.7 (7.3)	0.18
Female gender; n (%)	61 (79.2)	27 (71.1)	34 (87.2)	0.98
Living alone; n (%)	51 (66.2)	24 (63.2)	27 (69.2)	0.64
<b>Comorbidity, functional status and physical functioning</b>				
Functional comorbidity index (0-18) ‡; median (IQR) §	3.0 (1.0-5.0)	2.5 (1.0-4.0)	3.5 (1.8-6.0)	0.05
10MWT in m/s; median (IQR) §	0.0 (0.0-0.3)	0.0 (0.0-0.5)	0.0 (0.0-0.3)	0.27
Pre-fracture activity restriction due to fear of falling; n (%)				0.72
- Never / almost never / sometimes	70 (90.9)	36 (94.7)	34 (87.2)	
- Often/ very often	7 (9.1)	2 (5.3)	5 (12.8)	
<b>(Neuro)psychological factors; median (IQR) §</b>				
GDS-8 total score (0-8) ‡	0.0 (0.0-2.0)	0.0 (0.0-2.0)	1.0 (0.0-2.0)	0.93
HADS-A total score (0-21) ‡	3.0 (1.0-6.8)	3.0 (1.0-5.3)	4.0 (1.0-8.3)	0.36
MMSE (0-30) †	27.0 (25.0-29.0)	27.0 (25.0-29.0)	27.0 (24.0-29.0)	0.74
<b>Primary outcomes</b>				
<i>Falls Efficacy Scale International (FES-I) (0-64) ‡; mean (SD) §</i>				
FES-I baseline / T0	34.2 (10.6)	34.4 (11.4)	33.9 (9.9)	0.84
FES-I discharge / T1	29.9 (10.0)	27.0 (8.2)	32.8 (11.0)	
FES-I 3-month follow-up / T2	35.9 (13.0)	36.6 (12.4)	35.1 (13.9)	
FES-I 6-month follow-up / T3	36.5 (11.9)	36.5 (11.9)	36.5 (12.1)	
<i>Performance Oriented Mobility Assessment (0-28) †; median (IQR)</i>				
POMA baseline/ T0	0.0 (0.0-8.0)	0.0 (0.0-10.5)	0.0 (0.0-4.0)	0.13
POMA discharge/ T1 §	17.0 (13.0-20.0)	18.0 (13.8-21.0)	17.0 (12.5-20.0)	
<b>Secondary outcomes</b>				
<i>Activity restriction due to fear of falling (0-4) ‡; median (IQR)</i>				
Baseline/ T0	0.0 (0.0-2.0)	0.0 (0.0-1.3)	0.0 (0.0-2.0)	0.43
Discharge/ T1	0.0 (0.0-1.0)	0.0 (0.0-0.3)	0.0 (0.0-1.0)	
3-month follow-up / T2	2.0 (1.0-3.0)	2.0 (1.0-2.5)	2.0 (1.0-3.0)	
6-month follow-up / T3	2.0 (1.0-2.3)	2.0 (1.0-3.0)	2.0 (0.3-2.0)	
<i>Functional Ambulation Categories (FAC)(0-5) †; median (IQR)</i>				
FAC baseline/ T0	2.0 (1.0-3.0)	3.0 (2.0-4.0)	2.0 (0.0-3.0)	0.002
FAC discharge/ T1	4.0 (4.0-5.0)	4.0 (4.0-5.0)	4.0 (4.0-4.3)	
FAC 3-month follow-up / T2	5.0 (4.0-5.0)	5.0 (4.0-5.0)	4.5 (4.0-5.0)	
FAC 6-month follow-up / T3	5.0 (4.0-5.0)	5.0 (4.0-5.0)	5.0 (4.0-5.0)	
<b>Geriatric Rehabilitation outcome characteristics</b>				
Discharge home after GR; n (%) §	63 (94.0)	32 (97.0)	31 (91.2)	0.61
Duration of admission to GR (days); median (IQR) §	38.0 (29.0-64.0)	37.0 (21.0-63.0)	42.5 (33.8-64.5)	0.15

10MWT=10-meter walk test; GDS-8=8-item Geriatric Depression Scale; HADS-A=Hospital Anxiety and Depression Scale-subscale Anxiety; MMSE=Mini Mental State Examination; Self-reported activity restriction due to fear of falling, scores indicate never (0), almost never (1), sometimes (2), often (3) and very often (4)

\* Continuous variables tested with one-way analysis of variance (normal distribution) and Mann-Whitney u-test (skewed distribution); Dichotomous variables tested with  $\chi^2$  test. † Higher scores indicate better status. ‡ Lower scores indicate better status. § Numbers do not add up to final numbers due to missing data. SD=standard deviation; IQR=interquartile range



Figure 1. Flow diagram of the FIT-HIP study





## RESULTS

Figure 1 presents the participant flow chart of the FIT-HIP trial. Participants were recruited between March 2016 and January 2017. Due to a limited inclusion rate (despite extending the recruitment period by two months), only 78 participants were included (39 in each group). Both groups had a similar drop-out rate during the study; frequently related to health problems. No GR units withdrew participation during the trial.

Table 2 presents the baseline characteristics of the study population; mean age was 83 (SD=7.6) years, the majority was female (79%), and lived alone prior to fracture (66%). The treatment groups differed in baseline ambulation function and comorbidity count (median FAC score usual care group: 3; respectively 2 in the intervention group ( $p=0.002$ ); median FCI score usual care group: 2.5; respectively 3.5 in the intervention group ( $p=0.05$ )). Both groups had a similar length of stay in GR and similar rates of discharge home. No significant differences were identified with regard to therapy intensity (total amount of treatment in GR; physiotherapy and treatment by psychologist; data not shown).

Table 3 presents the results of the primary models, adjusted for baseline score of outcome measure, baseline FAC and FCI. Data from the less extensive models is presented in Appendix 2, and only differed from the primary model with regard to the FES-I score at T1.

### Primary outcome measures: fear of falling and mobility

At discharge from GR, the usual care group showed a decrease in FoF compared to the intervention group. However, this difference was not significant (estimated difference between the mean change scores for FES-I score of 3.3 (95% CI -4.1; 3.6) ( $p=0.13$ )), and did not persist after discharge. In the usual care group, the FES-I score increased to a greater extent, leading to comparable levels of FoF in both groups during follow-up (Table 3; Figure 2). At discharge, no differences between groups were found for the POMA change score (estimated difference between mean change scores -0.3; 95% CI -6.5; 5.8) ( $p=0.90$ ).

### Secondary outcomes

Both groups had a slight decrease in activity restriction due to FoF at discharge, and a subsequent increase during follow-up. The outcome scores were comparable. The two groups did not differ with regard to ambulation function (Table 3).

### Harms /adverse events

Comparable rates of mortality and hospital readmissions were found in both groups (Appendix 3). However, more fall events and participants encountering > 1 fall event were identified in the usual care group.



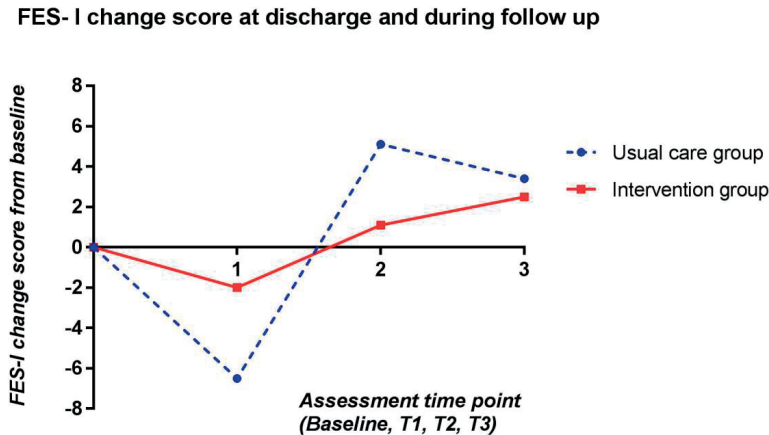
**Table 3.** Effects of the FIT-HIP intervention on primary and secondary outcomes: within and between-group differences between baseline and discharge, and at 3 and 6-month follow-up

Baseline value				Within-group differences				Between-group differences: adjusted mean difference (95% CI) using primary linear mixed model. Intervention vs usual care group*					
T0		Δ T1-0		Δ T2-T0		Δ T3-T0		T1		T2		T3	
n	Mean (SD)	n	Mean (SD)	n	Mean (SD)	n	Mean (SD)	Adj mean diff	P	Adj mean diff	P	Adj mean diff	P
Primary outcomes													
FES-I (16-64)													
Usual care	37	34.4 (11.4)	33	-6.5 (8.9)	23	5.1 (16.5)	24	3.4 (18.4)					
Intervention	37	33.9 (9.9)	33	-2.0 (10.2)	23	1.1 (13.0)	23	2.5 (10.9)	3.3 (-1.0;7.5)	0.13	-4.1 (-11.8;3.6)	0.29	-2.8(-10.0;4.4)
POMA (0-28)													
Usual care	38	5.3 (7.0)	34	10.8 (8.2)		†		†					
Intervention	39	3.5 (6.8)	33	11.5 (7.9)		†		†	-0.3 (-6.5;5.8)	0.90	†		†
Secondary outcomes													
Activity restriction due to fear of falling (0-4)													
Usual care	38	0.7 (1.0)	34	-0.3 (1.2)	25	1.3 (1.1)	26	1.2 (1.6)					
Intervention	39	0.9 (1.1)	34	-0.2 (1.1)	23	1.2 (1.4)	24	0.7 (1.4)	0.1 (-0.5;0.7)	0.77	-0.1 (0.8;0.7)	0.82	-0.5 (-1.2;0.3)
FAC (0-5)													
Usual care	38	2.7 (1.4)	34	1.4 (1.4)	21	1.7 (1.4)	25	1.8 (1.4)					
Intervention	39	1.7 (1.4)	34	2.2 (1.3)	22	2.4 (1.3)	21	2.6 (1.4)	0.1 (-0.3;0.5)	0.62	0.0 (-0.5;0.6)	0.90	0.1 (-0.6;0.8)
													0.75

Adj mean diff = adjusted mean difference. FES-I = Falls Efficacy scale International (higher score indicating a higher level of fear of falling); POMA = Performance Oriented Mobility Assessment (higher score indicates better balance and gait function). Self-reported activity restriction due to fear of falling, scores indicate never (0), almost never (1), sometimes (2), often (3) and very often (4); FAC = Functional Ambulation Categories (higher score indicates a higher level of dependence in ambulation).

Δ = change score reflecting difference between the score at time point (Tx) and baseline score (T0) \*Primary linear mixed model analysis adjusting for cluster randomization (random intercept at cluster level) ; with baseline value of outcome measure, baseline value of FAC and baseline value of Functional Comorbidity Index (FCI) as fixed effects. Between-group difference describes adjusted difference in Δ between the usual care and intervention group, with usual care group as reference category. † Not applicable, POMA was only assessed at discharge (T1), not at follow-up.

Figure 2. FES-I change score at discharge and during follow-up.



FES-I= Falls Efficacy Scale International. Range 16-64; higher score indicating a higher level of fear of falling. Baseline assessment score as reference for change score.

## DISCUSSION

This cluster RCT among patients with hip fracture found no positive effects of the FIT-HIP intervention on the primary outcome measures for mobility (POMA) and fear of falling (FES-I), when compared to usual care. At discharge from GR, the usual care group had a greater reduction in FES-I score compared with the intervention group. However, this difference did not persist over time and, due to a trend toward a greater increase of FoF in the usual care group, this resulted in comparable levels for both groups at 3 and 6 months after discharge. Additionally, no differences were observed between the groups with regard to ambulation (FAC) and self-reported activity restriction due to FoF.

Inappropriate timing of screening and treatment of FoF is perhaps the most important explanation for the finding that the FIT-HIP intervention did not prove to be beneficial in reducing FoF. Although the negative effect of FoF on physical and functional outcome after hip fracture is well established,<sup>14,35-37</sup> a recent study provided insight into the course of FoF after hip fracture in relation to long-term physical functioning.<sup>16</sup> These latter results confirm findings from Oude Voshaar and colleagues and illustrate that FoF that presents shortly after the fracture (2-4 weeks) is not predictive for poorer long-term physical performance (6-12 months), in contrast to FoF that is present 6-12 weeks after the fracture.<sup>13,16</sup> This suggests a 'time-mediated effect' for FoF after fracture. Accordingly, this may indicate that FoF that occurs in the initial course of rehabilitation after hip fracture can be transient and may even represent an adaptive and normal response to the sudden impairment in physical condition (including reduced balance



function), as opposed to FoF that persists or arises at a later stage. In the present trial, we assessed FoF in the first week of GR. Generally, this represents the second week after fracture (due to an average hospital admission of one week). Treatment started directly after enrollment and was administered only during inpatient GR (with the exception of the telephonic booster post-discharge).<sup>28</sup> An inpatient GR program for hip fracture patients usually lasts 6-7 weeks,<sup>15,27</sup> as was also the case in our study. This implies that the FIT-HIP intervention mainly targets the initial phase of recovery after fracture in which FoF does not seem to be associated with negative long-term effects on functional outcome.

If we then postulate that FoF does not by definition solely have negative effects, and may under certain circumstances be an adaptive response, it may be of interest to (re)consider the role of anxiety in the context of fall related concerns. Anxiety has been associated with (higher levels of) FoF, both in community dwelling older adults,<sup>38</sup> and in patients with hip fracture.<sup>15</sup> In recent literature, FoF has been approached and reconceptualized from perspectives from post-traumatic stress disorder (PTSD).<sup>39,40</sup> In this context, Adamczewska and colleague argue that the presence of anxiety is the key to whether FoF becomes maladaptive. In our study population, low scores were reported for symptoms of anxiety (median HADS-A score at baseline 3.0 in the usual care group respectively 4.0 in the intervention group; score > 7 indicating possible anxiety disorder). If anxiety has a critical role in the development of maladaptive or excessive forms FoF, this would suggest an inappropriate selection of the target group in our study, and may present a second reason for the absence of effect of the FIT-HIP intervention.

A possible explanation for the unexpected post-intervention effect at discharge (i.e. the reduction in FES-I score in the usual care group in contrast to relatively consistent levels in the intervention group), is that treatment of FoF leads to increased awareness of fall risk and fall-related concerns. This was also found by Faes and colleagues in the evaluation of a multifactorial falls prevention program aimed at reducing FoF of falling in frail community-dwelling older adults.<sup>41</sup> However, an important outcome in our study is that the differences in level of FoF were not accompanied by differences in reported activity restriction due to FoF and physical performance (POMA/FAC). Furthermore, the effect identified in the usual care group was not sustained.

Considering that a different (i.e. more advanced) timing may be more appropriate to target FoF, we can subsequently question whether the FIT-HIP intervention has the potential to effectively reduce FoF. To our knowledge there are no comparable studies reporting on treatment of FoF in patients with hip fracture (although a protocol has been published),<sup>42</sup> or in other target groups in (geriatric) rehabilitation that are known to frequently report FoF.<sup>43</sup> The cognitive behavioral approach used in the FIT-HIP intervention is based on intervention programs proven effective in reducing FoF in community-dwelling older adults.<sup>21-26</sup> Various reviews have



evaluated interventions for FoF in community-dwelling older people and found that efficacious interventions were typically multi-component programs, combining exercise and cognitive behavioral therapy.<sup>19,20,44,45</sup> Similarly, this was effective in reducing FoF in nursing home residents.<sup>46</sup> In particular cognitive restructuring, personal goal setting, promotion of physical activities, graded tasks and behavioral practice are mentioned as core elements to reduce concerns about falls.<sup>44,47</sup> The key element of the FIT-HIP intervention is guided exposure to the feared activities and this is embedded in physical therapy sessions, therefore representing the combination of a cognitive behavioral approach and exercise. Promotion of physical exercise is also an important part of our relapse prevention. Furthermore, cognitive restructuring is represented in the FIT-HIP intervention, although the intensity and duration may differ from other programs. Therefore, based on current knowledge and practice, the FIT-HIP intervention has (in theory) effective components to reduce FoF. The planned process evaluation of this trial will assess to what extent the intervention was performed according to the protocol.

The results of this study demonstrate that management of FoF after recent hip fracture remains a challenge. In our opinion, several aspects of FoF after hip fracture need to be unraveled before proceeding to evaluate an intervention in a later phase of the rehabilitation process. We recommend that further research first focuses on exploring the ‘time-mediated effect’ of FoF after hip fracture, thereby gaining insight into how the direct physical consequences of hip fracture (e.g. diminished muscle strength and balance, and dependence in ADL), influence and relate to FoF. Subsequently, it is important to evaluate how to distinguish the normal adaptive form of FoF from the dysfunctional and perhaps disproportionate form that requires treatment. A better understanding of the concept of FoF after fracture (including the possible mediating role of anxiety), can help in adequately assessing when, and to what extent, treatment for FoF is required.

To our knowledge, the FIT-HIP study is the first to evaluate and report on treatment for FoF after hip fracture. A major strength of the study is the cluster RCT design with a 6-month follow-up period. We could therefore limit contamination with regard to the complex intervention.<sup>48</sup> As the transition to the home setting is probably a significant trigger for FoF,<sup>43</sup> it is important to evaluate long-term effects following discharge home, as we have done. A limitation of cluster randomization compared to individual randomization is the increased risk of imbalance in (observed and also unknown) baseline characteristics, as was seen in our data (FAC and FCI). However, sophisticated statistical techniques such as linear mixed models are able to take into account the clustering effects and adjust for the imbalance. Correcting for comorbidity did lead to a different outcome compared to less extensive models, which underpins the need to adjust for this established factor influencing outcome after hip fracture.<sup>9,10,34</sup> As the study was performed within inpatient multidisciplinary GR, the participants represent a particularly vulnerable group, and the results are not generalizable to all hip fracture patients. However, a



general feature of the GR population (reflecting eligibility criteria for GR), is that, based on the functional prognosis, there is reasonable probability that the patient will recover sufficiently to return home. This generally implies a reasonable pre-fracture functional ability and sufficient learning ability (no major cognitive deficits). This is in line with our inclusion criteria. However, there may be a underrepresentation of psychiatric symptoms in our study population (hence a relatively better functional prognosis). This may contribute to the ceiling effect seen for the FAC.

## CONCLUSION / RELEVANCE

This cluster RCT demonstrates that the FIT-HIP intervention was not effective in reducing FoF and improving mobility in geriatric rehabilitation after recent hip fracture. In order to adequately identify whether treatment of FoF is required, further research should explore the concept of FoF after fracture and differentiate between: i) FoF that can be considered a normal and adaptive response and ii) conditions when it is dysfunctional and disproportional.

For current clinical practice, we suggest to primarily focus on the FoF that hampers progress in functional recovery. We recommend routine screening of FoF at onset and evaluation of the rehabilitation treatment, in order to observe the course of FoF and timely identify when FoF becomes maladaptive. Screening for co-morbid anxiety may also be useful in this context. The existing treatment programs (e.g. '*A Matter of Balance*'<sup>22-26</sup>) can be considered for treatment of maladaptive FoF in later stages of rehabilitation. When excessive or dysfunctional FoF is present in the initial phase of rehabilitation, we expect that a cognitive behavioral approach (such as guided exposure and cognitive restructuring) can be effective.

For an overview of the main insights and recommendations - see Appendix 4.

## DECLARATIONS

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### **Conflicts of interest**

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# APPENDIX

Table A1. Linear mixed model structure used in the FIT-HIP study	
Primary model	
Fixed effects (all outcome measures)	Treatment group* Baseline value outcome measure Baseline value FAC Baseline value FCI
Additional fixed effects (for outcome measures with assessments at T2 and T3) †	Time (T1-T3) Interaction term 'treatment group x time'
Random effects	Intercept ‡
Covariance structure §	Factor analytic first order

**Primary model:** linear mixed model analysis adjusting for cluster randomization, baseline value of outcome measure, and, to take into account the imbalance found (in possible confounders) between groups at baseline, the baseline value of Functional Ambulation Categories (FAC) and Functional Comorbidity Index (FCI). Based on change score in outcome measure from baseline (score Tx – score T0). T1=discharge from Geriatric Rehabilitation (GR); T2= 3 month follow up after discharge from GR. T3= 6 month follow up after discharge from GR.

**Other models:** compared to the primary model, the three less extensive linear mixed model analyses contain following fixed effects (in addition to treatment group): i) baseline value outcome measure; ii) baseline value outcome measure + FAC score iii) baseline value outcome measure + baseline FCI score.

\*Treatment group: [usual care group] respectively [intervention group]. † Outcome measures with assessments at T2 and T3: FES-I= Falls Efficacy scale International; FAC = Functional Ambulation Categories; and self-reported activity restriction due to fear of falling. ‡ Random intercept accounting for dependence within a cluster. § Based on Akaike's information criterion. Factor analytic first order is a covariance structure that allows temporal correlation (i.e. accounts for dependence between multiple measurements of the same patient).





**Table A2.** Treatment effects of FIT-HIP intervention - All linear mixed models

	Between-group differences: Intervention vs usual care group*					
	T1		T2		T3	
	Adj mean diff (95% CI)	P-value	Adj mean diff (95% CI)	P-value	Adj mean diff (95% CI)	P-value
<b>FES-I (16-64)</b>						
Adjusted for: baseline FES-I	4.9 (1.1; 8.7)	0.013	-2.6 (-10.1; 4.9)	0.50	-0.7 (-7.6; 6.3)	0.85
Adjusted for: baseline FES-I + FAC	4.4 (0.4; 8.5)	0.033	-3.0 (-10.5; 4.5)	0.43	-1.1 (-8.1; 5.9)	0.75
Adjusted for: baseline FES-I + FCI	3.5 (-0.5; 7.5)	0.09	-3.9 (-11.6; 3.7)	0.31	-2.6 (-9.7; 4.5)	0.47
Adjusted for: baseline FES-I + FAC + FCI	3.3 (-1.0; 7.5)	0.13	-4.1 (-11.8; 3.6)	0.29	-2.8 (-10.0; 4.4)	0.44
<b>POMA (0-28)</b>						
Adjusted for: baseline POMA	-0.7 (-3.8; 2.4)	0.64	†		†	
Adjusted for: baseline POMA + FAC	-0.2 (-3.5; 3.2)	0.92	†		†	
Adjusted for: baseline POMA + FCI	-0.3 (-6.4; 5.7)	0.89	†		†	
Adjusted for: baseline POMA + FAC + FCI	-0.3 (-6.5; 5.8)	0.90	†		†	
<b>Activity restriction due to fear of falling (AR) (0-4)</b>						
Adjusted for: baseline AR	0.2 (-0.3; 0.8)	0.41	0.0 (-0.7; 0.7)	0.96	-0.4 (-1.1; 0.4)	0.33
Adjusted for: baseline AR + FAC	0.2 (-0.4; 0.7)	0.50	0.0 (-0.7; 0.7)	0.90	-0.4 (-1.1; 0.4)	0.30
Adjusted for: baseline AR + FCI	0.1 (-0.5; 0.7)	0.68	-0.1 (-0.8; 0.7)	0.87	-0.4 (-1.2; 0.3)	0.24
Adjusted for: baseline AR + FAC + FCI	0.1 (-0.5; 0.7)	0.77	-0.1 (-0.8; 0.7)	0.82	-0.5 (-1.2; 0.3)	0.23
<b>FAC (0-5)</b>						
Adjusted for: baseline FAC	0.0 (-0.4; 0.4)	0.92	-0.1 (-0.6; 0.5)	0.85	0.0 (-0.6; 0.6)	1.00
Adjusted for: baseline FAC + FCI	0.1 (-0.3; 0.5)	0.62	0.0 (-0.5; 0.6)	0.90	0.1 (-0.6; 0.8)	0.75

Adj mean diff = adjusted mean difference. T1=discharge from Geriatric Rehabilitation (GR); T2= 3 month follow up after discharge from GR. T3= 6 month follow up after discharge from GR. FES-I= Falls Efficacy scale International (higher score indicating a higher level of fear of falling); POMA= Performance Oriented Mobility Assessment (higher score indicates better balance and gait function). Self-reported activity restriction due to fear of falling, scores indicate never (0), almost never (1), sometimes (2), often (3) and very often (4); FAC = Functional Ambulation Categories (higher score indicates a higher level of dependence in ambulation). † Not applicable, POMA was only assessed at discharge (T1), not at follow-up.

\*Linear mixed model analysis adjusting for cluster randomization (random intercept at cluster level) and one or more of the following fixed effects: baseline value of outcome measure; baseline value of FAC; baseline value of Functional Comorbidity Index (FCI). Between-group difference describes adjusted difference in  $\Delta$  (=change score Tx - T0), between the usual care and intervention group, with usual care group as reference category.

**Table A3.** Adverse events reported during the FIT-HIP study

	Usual care group		Intervention group	
	During GR	Follow-up	During GR	Follow-up
Death (n)	2	0	0	3
Hospital readmissions (n)				
Total amount of readmissions	3	5	3	2
Participants with readmission(s)	3	4	2	2
Fall events (n)				
Total amount of fall events	12	19	4	5
Participants with fall event(s)	4	10	4	4
Fracture(s) due to fall event*	0	1	0	2

GR = inpatient multidisciplinary Geriatric Rehabilitation. Follow up = assessments at 3 and 6 months after discharge from Geriatric Rehabilitation.

\*Usual care group: fracture of the thumb; intervention group: ankle fracture and second hip fracture.

**Table A4.** Main Insights From the FIT-HIP Study

#### What is already known on this topic

- Fear of falling (FoF) is highly prevalent (in rehabilitation) after hip fracture. For hip fracture patients, there is currently no treatment program available.
- FoF can lead to avoidance of activities which consequently can hamper progress in rehabilitation. In hip fracture patients, FoF is associated with diminished long term functional outcome. Recent literature however suggests that this effect does not apply directly after hip fracture, but from 6 weeks post-fracture.
- This may imply that FoF can under certain conditions be adaptive. Recent approaches to FoF propose that anxiety determines whether FoF develops to a maladaptive form.

#### Key findings and insights from the FIT-HIP trial

- The FIT-HIP intervention, a multi-component cognitive behavioral approach integrated in usual care in geriatric rehabilitation after hip fracture, was not effective in reducing FoF or improving functional outcome in early rehabilitation after hip fracture.
- Timing of treatment of FoF after hip fracture may largely contribute to the absence of effect, as treatment was administered shortly after fracture.
- In view of the assumption that FoF is not by definition always dysfunctional and maladaptive, appropriate screening to identify maladaptive forms of FoF (that require treatment) remains a challenge. Anxiety may have a crucial role in this context.

#### Implications for clinical practice

- Not all patients with FoF after hip fracture require treatment in the initial stage of rehabilitation. However, it is important to identify patients with maladaptive or disproportionate FoF that impedes physical activity and progress in functional recovery.
- We recommend screening for FoF at onset of the rehabilitation and routinely when the rehabilitation treatment is evaluated, in order to observe the course of FoF and timely identify when FoF becomes maladaptive. Additionally, screening for anxiety may be supportive to identify individuals at risk for dysfunctional FoF.
- When dysfunctional FoF is present in later stages of rehabilitation (with independent ambulation), it can be addressed by existing treatment programs for community dwelling older adults, such as programs based on 'A Matter of Balance'. Cognitive behavioral approaches such as guided exposure can be considered for treatment in initial stages of rehabilitation.

#### Recommendations for further research

- Further research should focus on appropriately identifying maladaptive forms of FoF. In this regard, both the aspect of timing after hip fracture and the (possible) mediating role of anxiety on FoF is of interest.

