

What predicts health-related quality of life for patients with displaced femoral neck fractures managed with arthroplasty? A secondary analysis of the HEALTH trial

Axelrod, D.; Comeau-Gauthier, M.; Bzovsky, S.; Schemitsch, E.H.; Poolman, R.W.; Frihagen, F.; ...; HEALTH Investigators

Citation

Axelrod, D., Comeau-Gauthier, M., Bzovsky, S., Schemitsch, E. H., Poolman, R. W., Frihagen, F., ... Sprague, S. (2020). What predicts health-related quality of life for patients with displaced femoral neck fractures managed with arthroplasty?: A secondary analysis of the HEALTH trial. *Journal Of Orthopaedic Trauma*, *34*, S29-S36. doi:10.1097/BOT.000000000001933

Version:Publisher's VersionLicense:Creative Commons CC BY-NC-ND 4.0 licenseDownloaded from:https://hdl.handle.net/1887/3184777

Note: To cite this publication please use the final published version (if applicable).

What Predicts Health-Related Quality of Life for Patients With Displaced Femoral Neck Fractures Managed With Arthroplasty? A Secondary Analysis of the HEALTH Trial

Daniel Axelrod, MD, MSc (Cand),^a Marianne Comeau-Gauthier, MD, MSc,^a Sofia Bzovsky, MSc,^a Emil H. Schemitsch, MD, FRCSC,^b Rudolf W. Poolman, MD, PhD,^c Frede Frihagen, MD, PhD,^d Ernesto Guerra-Farfán, MD,^e Diane Heels-Ansdell, MSc,^a Mohit Bhandari, MD, PhD, FRCSC,^{a,f} and Sheila Sprague, PhD^{a,f} on behalf of the HEALTH Investigators

Background: Total hip arthroplasty (THA) has been argued to improve health-related quality of life (HRQoL) and function in femoral neck fracture patients compared with hemiarthroplasty (HA). The HEALTH trial showed no clinically important functional advantages of THA over HA. The current analysis explores factors associated with HRQoL and function in this population.

Methods: Using repeated measures regression, we estimated the association between HRQoL and function [Short Form-12 (SF-12) physical component score (PCS) and Western Ontario and McMaster Universities Osteoarthritis Index (WOMAC) function score] and 23 variables.

Results: THA as compared to monopolar HA, but not bipolar HA, was more likely to improve PCS scores (adjusted mean difference [AMD] 1.88 points, P = 0.02), whereas higher American Society of Anesthesiologists score (AMD -2.64, P < 0.01), preoperative use of an aid (AMD -2.66, P < 0.01), and partial weight-bearing status postoperatively (AMD -1.38, P = 0.04) demonstrated less improve-

ment of PCS scores over time. THA improved WOMAC function scores over time compared with monopolar HA (but not bipolar HA) (AMD -2.40, P < 0.01), whereas higher American Society of Anesthesiologists classification (AMD 1.99, P = 0.01) and preoperative use of an aid (AMD 5.39, P < 0.01) were associated with lower WOMAC function scores. Preoperative treatment for depression was associated with lower functional scores (AMD 7.73, P < 0.01).

Conclusion: Patients receiving THA are likely to receive small and clinically unimportant improvements in health utility and function compared with those receiving monopolar HA and little improvement compared with those receiving bipolar HA. Patient-specific characteristics seem to play a larger role in predicting functional improvement among femoral neck fracture patients.

Key Words: health-related quality of life, function, femoral neck fractures

Level of Evidence: Prognostic Level II.

(J Orthop Trauma 2020;34:S29-S36)

Accepted for publication August 11, 2020.

Copyright © 2020 Wolters Kluwer Health, Inc. All rights reserved. DOI: 10.1097/BOT.00000000001933

J Orthop Trauma • Volume 34, Number 11 Supplement, November 2020

From the ^aDivision of Orthopaedic Surgery, Department of Surgery, McMaster University, Hamilton, ON, Canada; ^bDepartment of Surgery, University of Western Ontario, London, ON, Canada; ^cDepartment of Orthopedic and Trauma Surgery, OLVG, Amsterdam and Leiden University Medical Center, Leiden, the Netherlands; ^dDivision of Orthopaedic Surgery, Oslo University Hospital, Oslo, Norway; ^cDepartment of Traumatology, Orthopaedic Surgery and Emergency, Hospital Vall D'Hebrón, Barcelona, Spain; and ^cDepartment of Health Research Methods, Evidence, and Impact, McMaster University, Hamilton, ON, Canada

The HEALTH trial was supported by research grants from the Canadian Institutes of Health Research (CIHR) (MCT-90168), National Institutes of Health (NIH) (1UM1AR063386-01), ZorgOnderzoek Nederland-medische wetensehappen (ZonMw) (17088.2503), Sophies Minde Foundation for Orthopaedic Research, McMaster Surgical Associates, and Stryker Orthopaedics. The funding sources had no role in design or conduct of the study; the collection, management, analysis, or interpretation of the data; or the preparation, review, or approval of the manuscript.

E. H. Schemitsch reports personal fees from Acumed, LLC, personal fees from Amgen Co, research support from Biocomposites, board or committee member for the Canadian Orthopaedic Association, personal fees from DePuy, board or committee member for the Hip Society, board or committee member for the International Society for Fracture Repair, personal fees from ITS, editorial or governing board for the *Journal of Orthopaedic Trauma*, board or committee member for the Orthopaedic Trauma Association, editorial or governing board for the Orthopaedic Trauma Association, board or committee member for the Osteosynthesis and Trauma Care Foundation, personal fees from Pentopharm, personal fees from Sanofi-Aventis, personal fees from Saunders/Mosby-Elsevier, personal fees from Smith & Nephew, personal fees from Springer, personal fees from Stryker, personal fees from Swemac, and personal fees from Zimmer, outside the submitted work. R. W. Poolman reports board or committee work. F. Frihagen reports personal fees from Amgen Co, personal fees from Smith & Nephew, personal fees from Zimmer, outside the submitted work. M. Bhandari reports research support from Lina, and research support from Link orthopaedics, outside the submitted work. F. Frihagen reports personal fees from Amgen Co, personal fees from Acumed, LLC, research support from Aphria, research support from Ferring Pharmaceuticals, research support and personal fees from Pendopharma, and research support and personal fees from Sanofi-Aventis, outside the submitted work. S. Sprague reports editorial or governing board for BMS Women's Health, employment from Global Research Solutions Inc, and employment from McMaster University, outside the submitted work. The remaining authors report no conflict of interest.

Reprints: Daniel Axelrod, MD, MSc (Cand), Division of Orthopaedic Surgery, Department of Surgery, McMaster University, 293 Wellington St. N, Suite 110, Hamilton, ON L8L 8E7 (e-mail: Daniel.axelrod@medportal.ca).

INTRODUCTION

Arthroplasty is the gold standard for displaced femoral neck fractures in the elderly.^{1–4} It is justified by predictable good outcomes, satisfactory joint function, early full weightbearing, and rapid recovery,^{5,6} which are believed to be the foundations for successful rehabilitation in the elderly. Although a partial joint replacement [hemiarthroplasty (HA)] has historically been the treatment of choice, there has been an increase in use of total joint replacements [total hip arthroplasty (THA)] for treatment of femoral neck fractures.⁷ Nevertheless, there is a lack of consensus among orthopaedic surgeons in regards to technical considerations and whether a partial joint replacement or a total joint replacement is the most appropriate treatment for patients with displaced femoral neck fractures.^{8–13}

The most recent evidence suggests shorter operation time^{10–12} and lower dislocation rate in favor of HA for the first 4 postoperative years,^{8,10–13} at the expense of late ace-tabular erosion.^{10,12} Both implants demonstrate similar length of hospitalization,^{9,10,12} infection rate,^{8,10,11} patient survivor-ship,^{8,10–12} and overall complication rate.^{8–11} HA is associated with a lower revision rate in the 5 years after surgery,¹² but is expected to surpass the revision rate of THA beyond 5 years.^{10,12} Although it is unclear whether THA brings any additional functional benefits,^{8–13} some argue that the lower reoperation rates with THA in patients expected to live more than 5 years will lead to meaningful improvements in the patient's health-related quality of life (HRQoL) and function.

Using data prospectively collected as part of the Hip Fracture Evaluation with Alternatives of Total Hip Arthroplasty versus Hemiarthroplasty (HEALTH) trial data (ClinicalTrials.gov NCT00556842),^{14,15} we aimed to investigate the effect of THA, monopolar HA, and bipolar HA, along with other demographic and perioperative factors, on the patients' HRQoL and functional outcomes. Although significant research has been performed to investigate the difference between HA and THA, we believe that factors other than implant choice play a larger role in predicting HRQoL and physical function in patients aged 50 years and older presenting with a low-energy, isolated, displaced, femoral neck fracture.

METHODS

Health-Related Quality of Life

The HEALTH trial prospectively collected HRQoL and hip function assessment as secondary outcomes for participants. HRQoL was measured using the Short Form-12 Health Survey, from which the physical component summary scores (SF-12 PCS) were obtained. The SF-12 measures selfreported HRQoL through an eight-domain profile of functional health and well-being and physical and mental health summary measures.¹⁶ Each domain was scored separately from 0 (lowest level of health) to 100 (highest level of health) using standardized scoring methods to calculate a norm-based physical component score (PCS). The Western Ontario and McMaster Universities Osteoarthritis Index (WOMAC) questionnaire is a 24-item instrument used to assess pain, stiffness, and physical function in patients with hip or knee osteoarthritis and has been validated in patients who sustained a femoral neck fracture.¹⁷ Hip function was assessed using the physical function subcomponent score from the WOMAC questionnaire, which ranges from 0 to 68 with higher scores indicating more functional limitations. The minimally important difference (MID) of 4 for the SF-12 and 7 for the WOMAC was determined a priori in the HEALTH trial.^{14,15} All questionnaires were administered by research personnel at baseline (recollection of prefracture status), 1 week, 10 weeks, and 6, 9, 12, 18, and 24 months after surgery.

Selection of Baseline Factors

We selected baseline factors a priori based on biologic rationale and previous reports in the literature. For each potential factor, we proposed a priori a hypothesized effect for each dependent variable (ie, SF-12 PCS and WOMAC function score). To avoid an overfitted or unstable model, we used a rule of thumb that there should be at least 10 times the number of observations as there are factors in a regression model. Given that there were more than 900 participants with multiple observations included in each model, we were not at high risk of overfitting. We classified all baseline factors into 1 of 3 groups (participant characteristics, perioperative characteristics, and postoperative characteristics). Participant characteristics included age, sex, body mass index (BMI), American Society of Anesthesiologists (ASA) classification, prefracture living setting, prefracture functional status, and the following comorbidities requiring treatment: diabetes, respiratory disease, rheumatoid arthritis, cardiac disease, depression, renal disease, hematologic disease (incl. anemia), and cancer. Perioperative characteristics included type of anesthetic, surgical approach, implant type, time from injury to surgery, and use of cement in either acetabulum or femur. Postoperative characteristics initially included postoperative weight-bearing status, postoperative living status, and postoperative ambulatory status.

Definition of Baseline Factors

Participant Characteristics

We analyzed age and BMI as continuous variables. We analyzed all other baseline participant characteristic variables categorically, ie, sex (male vs. female), ASA classification (ie, class I/II vs. class III/IV/V), prefracture living setting (ie, institutionalized vs. not institutionalized), prefracture functional status (using ambulatory aid vs. independent ambulator), and all medical comorbidities as either requiring treatment or not (those without disease also were counted as not requiring treatment).

Perioperative Characteristics

We analyzed most perioperative characteristic variables categorically [eg, type of anesthetic (ie, general anesthetic vs. spinal/other), surgical approach, treatment group, and use of cement]. Surgical approach was analyzed as direct anterior approach (DAA), anterolateral/lateral, or posterolateral/ posterior. Treatment group was monopolar HA, bipolar HA,

S30 | www.jorthotrauma.com

or THA. Time from injury to surgery was analyzed as a continuous variable.

Postoperative Characteristics

We analyzed all postoperative characteristics as categorical variables (eg, postoperative weight-bearing status). Postoperative weight-bearing status was analyzed as full weight-bearing, partial weight-bearing, or non weightbearing.

Statistical Analysis

Our statistical analysis plan was determined a priori. We included HEALTH trial participants with complete data for all factors and respective baseline HRQoL and hip function measures in each model. We used descriptive statistics to summarize all factors (frequencies and percentages for categorical variables and mean values, medians, and ranges for continuous variables). Before performing the multivariable analysis, we evaluated each pairwise association or correlation between the independent variables. We decided a priori that if any variables were highly correlated (ie, 0.7 or higher), only 1 variable would be included in the model.

We conducted 2 repeated measures models with participant variable as the random effect. Analyses were conducted to account for clustering within participants across multiple time points. We used SF-12 PCS and WOMAC function scores as the dependent variables (1 for each model). We included all factors specified above as independent variables in fixed effects, as well as time of HRQoL or function assessment (6, 12, and 24 months after surgery). Moreover, we used an autoregressive correlation structure to inform the model that each patient observation was expected to be correlated with their previous observation.

We decided a priori that the SF-12 PCS and WOMAC function would be parallel primary outcomes. We anticipated that the results would be similar across the SF-12 PCS and WOMAC analyses as they measure similar attributes. Overall, we considered factors that were associated with outcome in both models as being more plausible (and those which were inconsistently associated to be less plausible). Results were presented as adjusted mean differences (AMDs), 95% confidence intervals (CIs), and P values. All tests were 2-tailed with alpha = 0.05. A P value less than 0.05 was considered statistically significant. Generalized linear models were created with Gaussian distributions. In addition, a generalized estimated equation model was undertaken as a sensitivity analysis. Goodness of fit of the model was evaluated through assessment of deviance. We used R (v3.6.1 open access online) for statistical analyses.

RESULTS

Baseline Characteristics

From the 1441 patients randomized to THA (n = 718) or HA (n = 723) included in the final analysis as part of the HEALTH trial, 927 patients met the inclusion criteria for at least 1 model in the current analysis from which 471 were

Copyright © 2020 Wolters Kluwer Health, Inc. All rights reserved.

treated with an HA and 453 with a THA. As shown in Table 1, the mean age of included participants was 78.2 years (SD = 10.14) with the majority being women (73.5%, n = 681). Most patients were independently mobile (78.5%, n =727) and living independently (97.4%, n = 903/927). An approximately equivalent number of relatively healthy patients (ASA classes I and II) and patients with 1 or more moderate to severe diseases (ASA classes III, IV, and V) were included in the analysis. Instructions of partial weight-bearing or non-weight-bearing were given to 43.5% of the participants (n = 403). The median time from injury to surgery was 32.2 hours (range: 4.4-1268 hours). The incidence of depression before injury in this population was 9.9% (n = 89). The majority of orthopaedic surgeons used the anterolateral/ lateral approach (60%, n = 555), followed by posterior/ posterolateral approach (37.5%, n = 347) and finally the DAA (2.5%, n = 23). In this secondary analysis, 73 patients (8%) underwent a second operation and 281 (30.4%) suffered serious adverse events.

Factors Associated with Postfracture SF-12 PCS

A total of 756 participants met the inclusion criteria for the SF-12 PCS model. After adjusting for baseline SF-12 PCS scores, the use of THA was associated with increased selfperceived global physical health as measured by the SF-12 PCS (AMD 1.88 compared with those who received monopolar HA, 95% CI: 0.25–3.51, P = 0.024) (Table 2). There was no measured difference in SF-12 PCS scores between those who received THA or bipolar HA (P = 0.07). The following factors were associated with significantly lower mean postfracture SF-12 PCS (indicating worse HRQoL): ASA class III/IV/V (AMD -2.64 compared with class I/II, 95% CI: -3.89 to -1.38, P < 0.001), preoperative use of an aid (AMD - 2.66 compared with those who did not use an aid,95% CI: -4.26 to -1.06, P < 0.001), and restricted weightbearing status postoperatively (AMD -1.38 compared with those who were fully weight-bearing, 95% CI: -2.71 to -0.04, P = 0.04). None of the statistically significant AMDs reached the MID for the SF-12 PCS, and no other factors were found to be significantly associated with postfracture SF-12 PCS (age, sex, BMI, living status preoperatively, diabetic disease, respiratory disease, rheumatoid disease, cardiac disease, renal disease, hematologic disease, depression, and cancer). Consequently, although a statistically significant difference was observed among some of the hypothesized predictors of HRQoL, none could be considered to produce clinically important differences to patient care.

Factors Associated With Postfracture WOMAC Functional Scores

A total of 707 participants met the inclusion criteria for the WOMAC function model. After adjusting for baseline WOMAC physical function scores, the use of THA reduced postoperative WOMAC function scores (representing better function), but not to a clinically important difference (AMD -2.40 compared with those who received monopolar HA,

TABLE 1.	Characteristics of all Patients Included in the HRQoL
Analysis (ı	n = 927)

	Incidence of Factors
Participant Baseline Characteristics	
Mean age, yrs (SD)	78.25 (0.14)
Sex, n (%)	
Male	246 (26.5)
Female	681 (73.5)
Mean BMI, kg/m ² (SD)	25.31 (4.7)
ASA classification, n (%)	
Class I/II	461 (49.8)
Class III/IV/V	466 (50.2)
Prefracture functional status, n (%)	
Any ambulatory aid	200 (21.5)
Independent ambulation	727 (78.5)
Prefracture living, n (%)	
Not institutionalized	903 (97.4)
Institutionalized	24 (2.6)
Comorbidities, diabetes, n (%)	
Yes	129 (14.8)
No	740 (85.2)
Respiratory disease	
Yes	94 (10.7)
No	778 (89.3)
Rheumatoid arthritis	
Yes	20 (2.1)
No	902 (97.9)
Cardiac disease	
Yes	207 (25.0)
No	621 (75.0)
Kidney disease	
Yes	33 (3.8)
No	845 (96.2)
Hematologic disease	
Yes	33 (3.7)
No	861 (96.3)
Depression	
Yes	89 (9.9)
No	815 (90.1)
Perioperative characteristics	
Type of anesthetic, n (%)	
GA	367 (40.0)
Spinal/other	555 (60.0)
Surgical approach, n (%)	
DAA	23 (2.5)
Anterolateral/lateral	555 (60.0)
Posterior/posterolateral	347 (37.5)
Treatment group, n (%)	
Monopolar HA	211 (22.8)
Bipolar HA	260 (28.1)
THA	453 (49.1)
Time from injury to surgery, hours	57.4 (4.35 to 1268)
(range)	
Cement for the acetabulum, n (%)	
Yes	120 (26.5)
No	333 (73.5)

TABLE 1. (*Continued*) Characteristics of all Patients Included in the HRQoL Analysis (n = 927)

	Incidence of Factors
Cement for the femur, n (%)	
Yes	576 (62.2)
No	350 (37.8)
Postop weight-bearing status, n (%)	
Full weight-bearing	524 (56.5)
Partial weight-bearing/non- weight-bearing	403 (43.5)
Postoperative characteristics	
Reoperation, n (%)	
No	854 (92.0)
Yes	73 (8.0)
Serious adverse events, n (%)	
No	646 (69.6)
Yes	281 (30.4)
Readmission (any cause), n (%)	
No	240 (88.6)
Yes	31 (11.4)

Missing data were found in all categories except sex, ASA classification, prefracture functional status, prefracture living situation, postop weight-bearing status, reoperation, serious adverse event, and readmission (any cause).

ASA, American Society of Anesthesiologists; BMI, body mass index; DAA, direct anterior approach; GA, general anesthesia; HA, hemiarthroplasty; SD, standard deviation; THA, total hip arthroplasty.

95% CI: -4.49 to -0.31, P = 0.024) (Table 3). By contrast, use of THA did not improve WOMAC functional scores over bipolar HA (P = 0.273). The following factors were associated with significantly higher mean postfracture WOMAC functional scores (indicating worse function): ASA class III/ IV/V (AMD 1.99 compared with class I/II, 95% CI: 0.41-3.58, P = 0.014), preoperative use of an aid (AMD 5.39) compared with those who did not use an aid, 95% CI: 3.29–7.49, P < 0.001), and receiving treatment for depression (AMD 7.73 compared with those not diagnosed or not receiving treatment, 95% CI: 2.12–13.34, P = 0.007). No other factors were found to be significantly associated with postfracture WOMAC function scores (age, sex, BMI, living status preoperatively, diabetic disease, respiratory disease, rheumatoid disease, cardiac disease, renal disease, hematologic disease, and cancer). Of the statistically significant AMDs, only patients receiving treatment for depression reached the MID for the WOMAC function scores.

DISCUSSION

The HEALTH trial is one of the largest multicenter randomized controlled trials comparing the use of THA versus HA in the treatment of isolated, displaced femoral neck fractures in patients aged 50 years and older.^{14,15} This secondary analysis reports prospectively collected data investigating predictors of HRQoL and functional outcomes in this population when treated with arthroplasty. Prognostic factors of functional independence have been only scarcely

S32 | www.jorthotrauma.com

determined after arthroplasty treatment of femoral neck fractures. The use of THA compared with monopolar HA, but not bipolar HA, was associated with a statistically significant improvement over time in SF-12 PCS and WOMAC physical function component scores. Functional outcomes were negatively influenced by severe systemic disease (ASA classification III, IV, and V), preoperative use of walking aids, depression, and the surgeons' postoperative partial weightbearing orders. Importantly, none of these associations exceeded the MID, except for presence of depression preoperatively. In other words, only the presence of depression had enough influence to lead to an observable and important deterioration in function that would be considered clinically meaningful from the patient's perspective.

Presence of depressive symptoms preoperatively had a strong influence on the WOMAC physical function outcome score, although it did not influence the SF-12 PCS. The WOMAC physical function score does not specifically assess mental health; yet, it is inherently influenced by the patient's psychological status,^{16–18} whereas the SF-12 PCS correlates poorly with mental health status.^{16,19} The WOMAC also has greater power than the short-form questionnaire to detect minimal changes in the context of hip or knee joint pathologies.²⁰ Depression strongly affects one's perception of functional status,²¹ and patients with depressive symptoms often describe themselves as more impaired than what is reflected from objective performance measures.²² However, objectively, they are less likely to engage in rehabilitation,^{23,24} have poorer functional independence, and demonstrate slower recovery.²⁵⁻²⁸ Furthermore, the incidence of depression in this study was found to be twice the prevalence found in the general population.²⁹ These patients may benefit from early psychological intervention to achieve potential functional gains. Some interventions have been proven to be effective such as comprehensive and interdisciplinary care efforts for hip fracture patients which have been associated with a lesser risk of depression, 30-33 while 2 randomized controlled trials have shown that simple psychological counseling significantly improved recovery and physical function at the 1-month and 6-month follow-ups.34,35

The presence of each individually considered comorbidity did not influence functional outcomes. However, patients with ASA classification III to V, which refers to severity rather than presence or absence of comorbidities, were more likely to report worsening physical function and global physical health over time after a hip fracture. Reuling et al³⁶ found that the presence of comorbidities negatively affected functional outcomes, but their results did not show an association with ASA classification. Previous reports have identified high severity ASA to be an independent risk of mortality,^{37,38} although its effect on HRQoL and function after arthroplasty has not been consistent.^{36,39–41} It is likely a complex interplay between disease severity and the additive effect of multimorbidity that adversely influences functional outcomes.

Preinjury functional level,⁴¹ cognitive function,^{40–42} and preinjury ambulatory status^{43,44} are the most established predictors of functional recovery. In this study, participants with prefracture mobility impairment were more likely to

report a decline in function and global physical health compared with preinjury independent ambulators. As defined by the MID, the deterioration in function over the postoperative period of 24 months was not sufficient to affect patients in a clinically meaningful way. Undeniably, patients with limited mobility before injury are likely to experience less functional improvement from their baseline limitation over the course of rehabilitation as compared to fully ambulatory patients.

Age may give a general indication of expected recovery after a hip fracture,^{36,41,43,45} but only to a certain extent.^{39,40} Similarly, BMI,^{43,46} sex,^{39,40,45} and time from injury to surgery^{36,43} have limited predictive value in regard to mediumand long-term functional outcomes. Our study did not reveal an association with functional outcomes and any of these characteristics after treatment with arthroplasty. We believe this population of older patients with hip fractures to be highly complex. Functional outcomes are most likely the results of a combination of the individual's characteristics, but also of other social health determinants, such as environment, education, community and more, which are not considered here.

Here, we report a statistically significant, but clinically nonmeaningful, increase in global physical health and physical function after THA for a displaced femoral neck fracture, as compared to monopolar HA, but not bipolar HA, at least for the first 2 years postoperatively. In general, THA may be considered to be equivalent to HA from the patient's perspective in this patient population, but this is a highly controversial topic, and several RCTs in the past 20 years have been conducted to determine the influence of implant choice on functional outcomes.^{15,37,38,47-60} The functional improvement seen with THA in these studies is debatable, and careful examination reveals a difference in mean function of less than 5 points between HA and THA at 2-3 years postoperatively. Ultimately, our results align with previous research and suggest that THA will offer a small yet unimportant benefit to patients receiving treatment for displaced femoral neck fractures, when compared with either bipolar or monopolar HA.

Other surgical parameters included the surgical approach and the use of cement. Both factors were not associated with any effect on functional outcomes in our study. Similar to the literature for primary THA, benefits observed with some surgical approaches, if any, disappear by 6 months postoperatively.⁶¹ Barenius et al⁵⁷ reported improved functional outcomes with cemented femoral components, regardless of the type of arthroplasty. This study randomized THA and HA as the surgical treatment for femoral neck fracture, regardless of use of cement, which may explain the absence of any observed effect on HRQoL measurements. Surgeons were free to decide which patients would require additional fixation with cement, and they likely chose whom would benefit most from it.

Early, unrestricted weight-bearing is the gold standard after joint replacement for a hip fracture.⁶² It is simple and safe,⁶³ promotes better and earlier recovery,^{42,43,64} and seems to be one of the only factors under the control of the orthopaedic surgeon. Delayed weight-bearing leads to a higher incidence of any complications,⁶⁵ is associated with poor

TABLE 2. Determinants of Global Physical Health UsingRepeated Measures Multilevel Model Regression With the SF-12 PCS as the Dependent Variable for 756 Patients, Adjustedfor Baseline SF-12 PCS

Independent Variable	AMD (99% CI)*	Р
Baseline characteristics		
Age (10-y increments)	-0.62 (-1.43 to 0.18)	0.128
Female	-1.33 (-2.70 to 0.03)	0.56
BMI (5-point increments)	-0.14 (-0.76 to 0.47)	0.643
ASA classification III–V (ref. class I and II)	-2.64 (-3.89 to -1.38)	<0.001
Dependent ambulator	-2.66 (-4.26 to -1.06)	< 0.001
Institutionalized	-2.16 (-6.22 to 1.91)	0.299
Comorbidities		
Diabetic	1.98 (-2.14 to 6.10)	0.346
Respiratory disease	0.52 (-3.48 to 4.53)	0.797
Rheumatoid disease	-2.37 (-13.90 to 9.07)	0.685
Cardiac disease	-3.49 (-8.19 to 1.20)	0.144
Renal disease	-2.22 (-5.18 to 0.73)	0.140
Hematologic disease	-0.59 (-4.30 to 3.12)	0.755
Depression	-2.38 (-6.56 to 1.79)	0.262
Cancer	0.97 (-1.56 to 3.50)	0.452
Perioperative characteristics		
Surgical approach (reference: DAA)		
Anterolateral/lateral	-3.17 (-7.37 to 1.02)	0.138
Posterior	-2.17 (-6.38 to 2.03)	0.311
Implant (reference: THA)		
Bipolar HA	-1.25 (-2.6 to 0.11)	0.07
Monopolar HA	-1.88 (0.25 to 3.51)	0.024
Time from injury to surgery (hours)	-0.00 (-0.01 to 0.00)	0.204
Cemented femoral component	-0.43 (-1.74 to 0.87)	0.518
Postoperative characteristics		
Partial weight-bearing (ref. weight-bearing as tolerated)	-1.38 (-2.71 to -0.04)	0.043
*MID was set at 4 points for the 12-it	tem Short-Form Health Survey P	CS (SF-12

PCS).

Significance = p values < 0.05.

AMD, adjusted mean difference; ASA, American Society of Anesthesiologists; BMI, body mass index; CI, confidence interval; DAA, direct anterior approach; HA, hemiarthroplasty; SD, standard deviation; THA, total hip arthroplasty.

compliance,^{66,67} and was associated with statistically significant worse global physical health in our study, although it did not reach the MID. Although it is unknown why close to 45% of participants in this study were given instructions for partial weight-bearing or non–weight-bearing, we recommend reconsideration of any instructions around restricted weightbearing in this patient population.

The influence of complications, readmissions, reoperations, or dislocations on functional outcomes could not be assessed. It is likely that any reoperation or complication will have an impact on functional outcomes. In the HEALTH trial, the rate of overall reoperations was 7.9% in 718 THA patients and 8.3% in 723 HA patients and was not significantly different. Consequently, although the rate of reoperation may negatively influence functional outcomes, the effect on each group may be similar. From the results of this study, we are **TABLE 3.** Determinants of Physical Function Using Repeated Measures Multilevel Model Regression With the WOMAC Physical Function as the Dependent Variable for 707 Patients, Adjusted for Baseline WOMAC Physical Function Score

Independent Variable	AMD (99% CI)*	Р
Baseline characteristics		
Age (10-y increments)	-0.41 (-1.44 to 0.62)	0.432
Female	0.65 (-1.11 to 2.42)	0.467
BMI (5-point increments)	0.19 (-0.60 to 0.98)	0.644
ASA class III–V (ref. class I and II)	1.99 (0.41 to 3.58)	0.014
Dependent ambulator	5.39 (3.29 to 7.49)	< 0.001
Institutionalized	0.54 (-6.05 to 4.97)	0.848
Comorbidities		
Diabetic	1.02 (-4.19 to 6.23)	0.701
Respiratory disease	-0.25 (-5.48 to 4.98)	0.924
Rheumatoid disease	5.63 (-8.56 to 19.81)	0.436
Cardiac disease	4.74 (-1.38 to 10.87)	0.129
Renal disease	3.39 (-0.41 to 7.19)	0.08
Hematologic disease	3.88 (-1.06 to 8.81)	0.123
Depression	7.73 (2.12 to 13.34)	0.007
Cancer	-2.01 (-5.32 to 1.29)	0.232
Perioperative characteristics		
Surgical approach (reference: DAA)		
Anterolateral/lateral	3.26 (1.82 to 8.34)	0.208
Posterior	4.16 (-0.93 to 9.26)	0.109
Implant (reference: THA)		
Bipolar HA	1.12 (-0.63 to 2.87)	0.209
Monopolar HA	2.40 (-4.49 to -0.31)	0.024
Time from injury to surgery (hours)	0.00 (-0.01 to 0.01)	0.673
Cemented femoral component	-0.04 (-1.71 to 1.64)	0.966
Postoperative characteristics		
Full weight-bearing post- operatively	-0.37 (-2.08 to 1.34)	0.672

*MID was set at 7 points for the Western Ontario and McMaster Universities Osteoarthritis Index (WOMAC).

Significance = p values < 0.05.

AMD, adjusted mean difference; ASA, American Society of Anesthesiologists; BMI, body mass index; CI, confidence interval; DAA, direct anterior approach; HA, hemiarthroplasty; SD, standard deviation; THA, total hip arthroplasty.

unable to predict outcomes beyond 2 years and are, therefore, unable to determine whether the rate of acetabular erosion or conversion of HA into THA is significant. Six RCTs reported long-term follow-up and found no difference in functional outcomes between HA and THA at 3 years,^{53,55} 4 years,⁵⁰ 5 years,⁴⁹ 8 years,⁶⁸ and 12 years.⁵⁹ Two reported worse functional outcomes with HA at 3 years⁶⁹ or 5 years,⁵⁸ but again, they reported a difference in mean score of less than 5 points between the 2 groups. Furthermore, despite best possible practices, missed follow-ups may have weakened the strength of correlation between time points and may explain some of the nonstatistically significant findings.

Elderly patients who suffer a displaced femoral neck fracture can be successfully treated with either HA or THA. Although we agree that the surgeon may use his clinical judgment in the choice of implant, patients can expect

S34 | www.jorthotrauma.com

similar functional outcomes at 2 years with either treatment strategy. A hip fracture is a life-changing event, and the absence of definitive and strong predictors affecting functional outcomes in this study indicates that health determinants other than surgical parameters are of greater consequence. The critical event here is the hip fracture itself and all the circumstances leading to the injury, not the surgery. Further refinement of surgical techniques may not lead to improvement in patients' outcomes without addressing the highly complex issue of hip fractures with interdisciplinary and comprehensive care teams focusing on recovery and global care.

ACKNOWLEDGMENTS

The authors thank the HEALTH Investigators (http://links.lww.com/JOT/B248).

REFERENCES

- Richards JT, Overmann AL, O'Hara NN, et al. Internal fixation versus arthroplasty for the treatment of nondisplaced femoral neck fractures in the elderly: a systematic review and meta-analysis. *J Orthop Trauma*. 2020;34:42–48.
- Parker MJ, Gurusamy K. Internal fixation versus arthroplasty for intracapsular proximal femoral fractures in adults. *Cochrane Database Syst Rev.* 2006:Cd001708.
- Frihagen F, Nordsletten L, Madsen JE. Hemiarthroplasty or internal fixation for intracapsular displaced femoral neck fractures: randomised controlled trial. *BMJ*. 2007;335:1251–1254.
- Rogmark C, Carlsson A, Johnell O, et al. A prospective randomised trial of internal fixation versus arthroplasty for displaced fractures of the neck of the femur. Functional outcome for 450 patients at two years. *J Bone Joint Surg Br.* 2002;84:183–188.
- Lu Q, Tang G, Zhao X, et al. Hemiarthroplasty versus internal fixation in super-aged patients with undisplaced femoral neck fractures: a 5-year follow-up of randomized controlled trial. *Arch Orthop Trauma Surg.* 2017;137:27–35.
- Dolatowski FC, Frihagen F, Bartels S, et al. Screw fixation versus hemiarthroplasty for nondisplaced femoral neck fractures in elderly patients: a multicenter randomized controlled trial. *J Bone Joint Surg Am.* 2019;101: 136–144.
- Boniello AJ, Lieber AM, Denehy K, et al. National trends in total hip arthroplasty for traumatic hip fractures: an analysis of a nationwide allpayer database. *World J Orthop.* 2020;11:18–26.
- Lewis DP, Wæver D, Thorninger R, et al. Hemiarthroplasty vs total hip arthroplasty for the management of displaced neck of femur fractures: a systematic review and meta-analysis. *J Arthroplasty*. 2019;34:1837– 1843.
- Metcalfe D, Judge A, Perry DC, et al. Total hip arthroplasty versus hemiarthroplasty for independently mobile older adults with intracapsular hip fractures. *BMC Musculoskelet Disord*. 2019;20:226.
- Wang F, Zhang H, Zhang Z, et al. Comparison of bipolar hemiarthroplasty and total hip arthroplasty for displaced femoral neck fractures in the healthy elderly: a meta-analysis. *BMC Musculoskelet Disord*. 2015; 16:229.
- Xu D, Li X, Bi F, et al. Hemiarthroplasty compared with total hip arthroplasty for displaced fractures of femoral neck in the elderly: a systematic review and meta-analysis of fourteen randomized clinical trials. *Int J Clin Exp Med.* 2018;11:5430–5443.
- Migliorini F, Trivellas A, Driessen A, et al. Hemiarthroplasty versus total arthroplasty for displaced femoral neck fractures in the elderly: metaanalysis of randomized clinical trials. *Archives Orthop Trauma Surg.* 2020:1–10.
- Yoo JI, Cha YH, Kim JT, et al. Clinical outcomes of bipolar hemiarthroplasty versus total hip arthroplasty: assessing the potential impact of cement use and pre-injury activity levels in elderly patients with femoral neck fractures. *Hip Pelvis.* 2019;31:63–74.

- 14. Bhandari M, Devereaux PJ, Einhorn TA, et al. Hip fracture evaluation with alternatives of total hip arthroplasty versus hemiarthroplasty (HEALTH): protocol for a multicentre randomised trial. *BMJ Open.* 2015;5:e006263.
- Bhandari M, Einhorn TA, Guyatt G, et al. Total hip arthroplasty or hemiarthroplasty for hip fracture. N Engl J Med. 2019;381:2199–2208.
- Ostendorf M, van Stel HF, Buskens E, et al. Patient-reported outcome in total hip replacement. A comparison of five instruments of health status. J Bone Joint Surg Br. 2004;86:801–808.
- Burgers PT, Poolman RW, Van Bakel TM, et al. Reliability, validity, and responsiveness of the Western Ontario and McMaster Universities Osteoarthritis Index for elderly patients with a femoral neck fracture. J Bone Joint Surg Am. 2015;97:751–757.
- Hirschmann MT, Testa E, Amsler F, et al. The unhappy total knee arthroplasty (TKA) patient: higher WOMAC and lower KSS in depressed patients prior and after TKA. *Knee Surg Sports Traumatol Arthrosc.* 2013;21:2405–2411.
- Clement ND, MacDonald D, Burnett R. Primary total knee replacement in patients with mental disability improves their mental health and knee function: a prospective study. *Bone Joint J.* 2013;95-b:360–366.
- Davies GM, Watson DJ, Bellamy N. Comparison of the responsiveness and relative effect size of the Western Ontario and McMaster Universities Osteoarthritis Index and the short-form Medical Outcomes Study Survey in a randomized, clinical trial of osteoarthritis patients. *Arthritis Care Res.* 1999;12:172–179.
- Grigsby J, Kaye K, Kowalsky J, et al. Relationship between functional status and the capacity to regulate behavior among elderly persons following hip fracture. *Rehabil Psychol.* 2002;47:291.
- 22. Kempen GIJM, Steverink N, Ormel J, et al. The assessment of ADL among frail elderly in an interview survey: self-report versus performance-based tests and determinants of discrepancies. J Gerontol B Psychol Sci Soc Sci. 1996;51:P254–P260.
- Lenze EJ, Munin MC, Dew MA, et al. Adverse effects of depression and cognitive impairment on rehabilitation participation and recovery from hip fracture. *Int J Geriatr Psychiatry*. 2004;19:472–478.
- Resnick B, Orwig D, Hawkes W, et al. The relationship between psychosocial state and exercise behavior of older women 2 months after hip fracture. *Rehabil Nurs*. 2007;32:139–149.
- Morghen S, Bellelli G, Manuele S, et al. Moderate to severe depressive symptoms and rehabilitation outcome in older adults with hip fracture. *Int J Geriatr Psychiatry*. 2011;26:1136–1143.
- Buecking B, Struewer J, Waldermann A, et al. What determines healthrelated quality of life in hip fracture patients at the end of acute care?—a prospective observational study. *Osteoporos Int.* 2014;25:475–484.
- Feng L, Scherer SC, Tan BY, et al. Comorbid cognitive impairment and depression is a significant predictor of poor outcomes in hip fracture rehabilitation. *Int Psychogeriatr.* 2010;22:246–253.
- Shyu YI, Chen MC, Cheng HS, et al. Severity of depression risk predicts health outcomes and recovery following surgery for hip-fractured elders. *Osteoporos Int.* 2008;19:1541–1547.
- Salk RH, Hyde JS, Abramson LY. Gender differences in depression in representative national samples: meta-analyses of diagnoses and symptoms. *Psychol Bull.* 2017;143:783–822.
- 30. Tseng MY, Shyu YL, Liang J, et al. Interdisciplinary intervention reduced the risk of being persistently depressive among older patients with hip fracture. *Geriatr Gerontol Int.* 2016;16:1145–1152.
- Zhang M Effect of HBM rehabilitation exercises on depression, anxiety and health belief in elderly patients with osteoporotic fracture. *Psychiatr Danub.* 2017;29:466–472.
- Wang S, Qiu Y. Application of predictive nursing care in elderly patients with fractures that underwent total hip arthroplasty procedures. *Int J Clin Exp Med.* 2019;12:5888–5894.
- 33. Shyu YIL, Liang J, Tseng MY, et al. Comprehensive care improves health outcomes among elderly Taiwanese patients with hip fracture. J Gerontol A Biol Sci Med Sci. 2013;68:188–197.
- 34. Gambatesa M, D'Ambrosio A, D'Antini D, et al. Counseling, quality of life, and acute postoperative pain in elderly patients with hip fracture. J Multidiscip Healthc. 2013;6:335–346.
- Allegrante JP, Peterson MGE, Cornell CN, et al. Methodological challenges of multiple-component intervention: lessons learned from a randomized controlled trial of functional recovery after hip fracture. *HSS J.* 2007;3:63–70.

Copyright © 2020 Wolters Kluwer Health, Inc. All rights reserved.

www.jorthotrauma.com | S35

- Reuling EM, Sierevelt IN, van den Bekerom MP, et al. Predictors of functional outcome following femoral neck fractures treated with an arthroplasty: limitations of the Harris hip score. *Arch Orthop Trauma Surg.* 2012;132:249–256.
- 37. Macaulay W, Nellans KW, Garvin KL, et al. Prospective randomized clinical trial comparing hemiarthroplasty to total hip arthroplasty in the treatment of displaced femoral neck fractures: winner of the Dorr Award. *J Arthroplasty*. 2008;23:2–8.
- Barishan FC, Akesen B, Atici T, et al. Comparison of hemiarthroplasty and total hip arthroplasty in elderly patients with displaced femoral neck fractures. J Int Med Res. 2018;46:2717–2730.
- Enocson A, Pettersson H, Ponzer S, et al. Quality of life after dislocation of hip arthroplasty: a prospective cohort study on 319 patients with femoral neck fractures with a one-year follow-up. *Qual Life Res.* 2009; 18:1177–1184.
- Mukka S, Knutsson B, Krupic F, et al. The influence of cognitive status on outcome and walking ability after hemiarthroplasty for femoral neck fracture: a prospective cohort study. *Eur J Orthop Surg Traumatol.* 2017; 27:653–658.
- Sanz-Reig J, Lizaur-Utrilla A, Serna-Berna R. Outcomes in nonagenarians after hemiarthroplasty for femoral neck fracture. A prospective matched cohort study. *Hip Int.* 2012;22:113–118.
- Siebens HC, Sharkey P, Aronow HU, et al. Outcomes and weightbearing status during rehabilitation after arthroplasty for hip fractures. *PM R.* 2012;4:548–555.
- 43. Mariconda M, Costa GG, Cerbasi S, et al. Factors predicting mobility and the change in activities of daily living after hip fracture: a 1-year prospective cohort study. J Orthop Trauma. 2016;30:71–77.
- Kim JL, Jung JS, Kim SJ. Prediction of ambulatory status after hip fracture surgery in patients over 60 years old. *Ann Rehabil Med.* 2016; 40:666–674.
- Haentjens P, Autier P, Barette M, et al. Predictors of functional outcome following intracapsular hip fracture in elderly women: a one-year prospective cohort study. *Injury*. 2005;36:842–850.
- 46. Reider L, Hawkes W, Hebel JR, et al. The association between body mass index, weight loss and physical function in the year following a hip fracture. J Nutr Health Aging. 2013;17:91–95.
- Healy WL, Iorio R. Total hip arthroplasty: optimal treatment for displaced femoral neck fractures in elderly patients. *Clin Orthop Relat Res.* 2004;429:43–48.
- 48. Cadossi M, Chiarello E, Savarino L, et al. A comparison of hemiarthroplasty with a novel polycarbonate–urethane acetabular component for displaced intracapsular fractures of the femoral neck: a randomised controlled trial in elderly patients. *Bone Joint J.* 2013;95-B:609–615.
- 49. Van den Bekerom MPJ, Hilverdink EF, Sierevelt IN, et al. A comparison of hemiarthroplasty with total hip replacement for displaced intracapsular fracture of the femoral neck: a randomised controlled multicentre trial in patients aged 70 years and over. *J Bone Joint Surg Br.* 2010;92:1422– 1428.
- Mouzopoulos G, Stamatakos M, Arabatzi H, et al. The four-year functional result after a displaced subcapital hip fracture treated with three different surgical options. *Int Orthop.* 2008;32:367–373.
- Blomfeldt R, Törnkvist H, Eriksson K, et al. A randomised controlled trial comparing bipolar hemiarthroplasty with total hip replacement for displaced intracapsular fractures of the femoral neck in elderly patients. J Bone Joint Surg Br. 2007;89:160–165.
- 52. Keating JF, Grant A, Masson M, et al. Randomized comparison of reduction and fixation, bipolar hemiarthroplasty, and total hip arthroplasty: treatment of displaced intracapsular hip fractures in healthy older patients. *J Bone Joint Surg Am.* 2006;88:249–260.

- Baker RP, Squires B, Gargan MF, et al. Total hip arthroplasty and hemiarthroplasty in mobile, independent patients with a displaced intracapsular fracture of the femoral neck. A randomized, controlled trial. *J Bone Joint Surg Am.* 2006;88:2583–2589.
- Ravikumar KJ, Marsh G. Internal fixation versus hemiarthroplasty versus total hip arthroplasty for displaced subcapital fractures of femur—13 year results of a prospective randomised study. *Injury*. 2000;31:793–797.
- 55. Ukaj S, Zhuri O, Ukaj F, et al. Dual mobility acetabular cup versus hemiarthroplasty in treatment of displaced femoral neck fractures in elderly patients: comparative study and results at minimum 3-year follow-up. *Geriatr Orthop Surg Rehabil.* 2019;10:2151459319848610.
- 56. Sonaje JC, Meena PK, Bansiwal RC, et al. Comparison of functional outcome of bipolar hip arthroplasty and total hip replacement in displaced femoral neck fractures in elderly in a developing country: a 2year prospective study. *Eur J Orthop Surg Traumatol.* 2018;28:493–498.
- Barenius B, Inngul C, Alagic Z, et al. A randomized controlled trial of cemented versus cementless arthroplasty in patients with a displaced femoral neck fracture: a four-year follow-up. *Bone Joint J.* 2018;100-B:1087–1093.
- Xu F, Ke R, Gu Y, et al. Bipolar hemiarthroplasty vs. total hip replacement in elderly. Int J Clin Exp Med. 2017;10:7911–7920.
- Tol M, van den Bekerom MPJ, Sierevelt IN, et al. Hemiarthroplasty or total hip arthroplasty for the treatment of a displaced intracapsular fracture in active elderly patients: 12-year follow-up of randomised trial. *Bone Joint J.* 2017;99-B:250–254.
- Sharma V, Awasthi B, Kumar K, et al. Outcome analysis of hemiarthroplasty vs. total hip replacement in displaced femoral neck fractures in the elderly. *J Clin Diagn Res.* 2016;10:RC11–RC13.
- van der Sijp MPL, van Delft D, Krijnen P, et al. Surgical approaches and hemiarthroplasty outcomes for femoral neck fractures: a meta-analysis. J Arthroplasty. 2018;33:1617–1627.
- 62. Hoskins W, Webb D, Bingham R, et al. Evidence based management of intracapsular neck of femur fractures. *Hip Int.* 2017;27:415–424.
- Tian P, Li ZJ, Xu GJ, et al. Partial versus early full weight bearing after uncemented total hip arthroplasty: a meta-analysis. *J Orthop Surg Res.* 2017;12:31.
- Oldmeadow LB, Edwards ER, Kimmel LA, et al. No rest for the wounded: early ambulation after hip surgery accelerates recovery. *ANZ J Surg.* 2006;76:607–611.
- Warren J, Sundaram K, Anis H, et al. The association between weightbearing status and early complications in hip fractures. *Eur J Orthop Surg Traumatol.* 2019;29:1419–1427.
- Schaefer A, Hotfiel T, Pauser J, et al. Incompliance of total hip arthroplasty (THA) patients to limited weight bearing. *Arch Orthop Trauma Surg.* 2015;135:265–269.
- 67. Seo H, Lee GJ, Shon HC, et al. Factors affecting compliance with weight-bearing restriction and the amount of weight-bearing in the elderly with femur or pelvic fractures. *Ann Rehabil Med.* 2020;44:109– 116.
- 68. Avery PP, Baker RP, Walton MJ, et al. Total hip replacement and hemiarthroplasty in mobile, independent patients with a displaced intracapsular fracture of the femoral neck: a seven-to ten-year follow-up report of a prospective randomised controlled trial. *J Bone Joint Surg Br.* 2011;93: 1045–1048.
- Hedbeck CJ, Enocson A, Lapidus G, et al. Comparison of bipolar hemiarthroplasty with total hip arthroplasty for displaced femoral neck fractures: a concise four-year follow-up of a randomized trial. *J Bone Joint Surg Am.* 2011;93:445–450.

S36 | www.jorthotrauma.com