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Prognostic Implications of Staging Right Heart Failure in Patients With Significant Secondary Tricuspid Regurgitation



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ABSTRACT

OBJECTIVES The purpose of this study was to evaluate the prognostic value of staging right heart failure (RHF) in patients with significant secondary tricuspid regurgitation (TR).

BACKGROUND Right ventricular dysfunction (RVD), defined as tricuspid annular plane systolic excursion <17 mm and clinical signs of RHF, defined as New York Heart Association functional class $\geq II$, peripheral edema, or use of diuretics, do not always coincide in patients with significant secondary TR and may have different prognostic implications.

METHODS A total of 1,311 patients with significant secondary TR (median age: 71 [interquartile range: 62 to 78] years; 50% male) were divided into 4 RHF Stages according to the presence or absence of RVD and clinical signs of RHF: Stage 1 was defined as no RVD and no signs of RHF; Stage 2 indicated RVD but no signs of RHF; Stage 3 included RVD and signs of RHF; Stage 4 was defined as RVD and refractory signs of RHF at rest. Five-year mortality rates were compared across the 4 Stages of RHF, and the independent associates of mortality were identified by using multivariate Cox proportional hazards models.

RESULTS A total of 101 patients (8%) were classified as Stage 1, 124 (10%) as Stage 2, 683 (52%) as Stage 3, and 403 (31%) as Stage 4. Patients in higher Stages of RHF had more comorbidities and worse renal and left ventricular systolic function. Cumulative 5-year survival was 54%. RHF Stages 3 and 4 were independently associated with increased mortality compared to Stage 1 (hazard ratio: 2.110 [95% confidence interval (CI): 1.163 to 3.828] and 3.318 [95% CI: 1.795 to 6.133], respectively).

CONCLUSIONS In patients with significant secondary TR, higher Stages of RHF are independently associated with allcause mortality at long-term follow-up. (J Am Coll Cardiol HF 2020;8:627-36) © 2020 by the American College of Cardiology Foundation.

n heart failure patients, evaluating the presence of symptoms and signs of right heart failure (RHF) is key in decision making and risk stratification. RHF is a clinical diagnosis characterized by reduced exercise capacity and/or signs of right-sided decompensation (1). Comparable to left heart failure, RHF is a progressive disease that can be divided into Stages (2,3). Based on the staging system for left heart failure, a similar staging system that combines right ventricular (RV) dysfunction and clinical signs of RHF was proposed by Haddad et al. (3) and adapted by Gorter et al. (1). This staging system recognizes the progressive nature of the disease in the presence of established risk factors such as tricuspid

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ABBREVIATIONS AND ACRONYMS

ACE = angiotensin-converting enzyme

AF = atrial fibrillation

ICD = implantable cardioverter-defibrillator

LV = left ventricular

MR = mitral regurgitation

NYHA = New York Heart Association

RHF = right heart failure

RV = right ventricular

TAPSE = tricuspid annular plane systolic excursion

TR = tricuspid regurgitation

regurgitation (TR). Significant (moderate and severe) TR is often associated with RV remodeling and dysfunction due to volume overload. Recent studies have demonstrated the independent prognostic influence of RV dysfunction in patients with significant TR (4). However, the prognostic impact of staging RHF in patients with secondary TR is unknown. Accordingly, this study evaluated the impact of staging RHF on survival of patients with significant secondary TR.

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METHODS

STUDY POPULATION AND DESIGN. The data that support the findings of this study are available upon request to the corresponding author. Patients with a diagnosis of significant TR between June 1995 and September 2016 were identified from the departmental echocardiographic database of the Leiden University Medical Center (Leiden, the Netherlands). Significant TR was defined as moderate and severe TR, measured by an integrative approach using qualitative, semiquantitative, and quantitative echocardiographic parameters of the regurgitant jet, tricuspid valve morphology, and right atrial and RV dimensions, as recommended by the current guidelines (5). Patients with congenital heart disease, primary TR, or previous surgery of the tricuspid valve were excluded from the analysis.

Based on the staging system for RHF as proposed by Haddad et al. (3), patients were divided into 4 groups of progressive disease according to the presence or absence of RV dysfunction in combination with clinical signs of RHF. RV dysfunction was evaluated by transthoracic echocardiography and defined as a tricuspid annular plane systolic excursion (TAPSE) of <17 mm (6). Clinical signs of RHF included New York Heart Association (NYHA) functional class \geq II, use of diuretics, and the presence of peripheral edema.

Transthoracic echocardiograms were analyzed, and demographic and clinical data were retrospectively retrieved from the departmental Cardiology Information System (EPD-Vision, Leiden University Medical Center, Leiden, the Netherlands). The study endpoint was all-cause mortality. Outcomes were analyzed from the time of first diagnosis of significant secondary TR until death or last follow-up in August 2017. Date of death for all patients was ascertained from the departmental Cardiology Information System and the Social Security Death Index. In addition, the prevalence of tricuspid valve surgery during follow-up was evaluated. The institutional review board of the Leiden University Medical Center approved the observational design and retrospective analysis of clinically acquired data. For retrospective analysis of anonymized clinically acquired data, the need for written informed consent was waived.

CLINICAL AND ECHOCARDIOGRAPHIC VARIABLES. Baseline data included demographic, clinical, and echocardiographic characteristics at the time of first diagnosis of significant TR by transthoracic echocardiography. Demographic characteristics included age, sex, and body surface area. Clinical variables comprised cardiovascular risk factors (hypertension, hypercholesterolemia, diabetes mellitus, smoking habit); relevant medical history and comorbidity (coronary artery disease, chronic kidney disease, pacemaker or implantable cardioverter-defibrillator [ICD], atrial fibrillation [AF], chronic obstructive pulmonary disease); clinical signs of RHF (dyspnea, peripheral edema, NYHA functional class); medication(s) (aspirin, beta-blocker, angiotensin-converting enzyme [ACE] inhibitor, aldosterone antagonist, statin, diuretic); and biochemical analysis (hemoglobin, creatinine, urea, bilirubin). Significant coronary artery disease was defined as previous myocardial infarction or >70% stenosis of a coronary artery on invasive coronary angiography.

Transthoracic echocardiographic data were obtained by a standard method using available equipment (Vivid 7 and E9 systems, GE-Vingmed, Horton, Norway). All images were digitally stored for off-line analysis (EchoPAC versions 113.0.3 and 202 software, GE-Vingmed). The evaluation included Mmode, 2-dimensional (2D) and color, continuous, and pulsed wave Doppler data obtained during the same examination on multiple windows, following current recommendations (5,7,8). Left ventricular (LV) ejection fraction was derived from LV volumes measured on apical 2- and 4-chamber views with the Simpson's method (6). Left atrial volume was measured at endsystole on the apical 4-chamber view and normalized for body surface area (6). Aortic and mitral valve function assessments were based on qualitative, semiquantitative, and quantitative parameters evaluated on color, continuous, and pulsed wave Doppler data and were graded according to current recommendations (5,8,9). Right atrial and RV dimensions as well as the tricuspid annular end-diastolic diameter were measured on a RV-focused apical 4-chamber view, and RV function was evaluated by TAPSE, measured by M-mode as the total displacement of the tricuspid annulus from end-diastole to end-systole. In addition, RV end-systolic and end-diastolic areas



were traced, and RV fractional area change was derived (6). As recommended by current guidelines, TR severity was measured by an integrative assessment of the valve, using qualitative, semiquantitative, and quantitative approaches (8). Tricuspid valve tenting height and area were measured at mid-systole. Systolic pulmonary artery pressures were estimated by Doppler echocardiography recording of the tricuspid regurgitant jet peak velocity from any view with continuous wave Doppler (modified Bernoulli equation) (7).

STAGES OF RIGHT HEART FAILURE. The development of RHF was divided into 4 progressive Stages of disease as proposed by Haddad et al. (3) (Figure 1). Patients categorized as Stage 1 are at risk for RHF without RV dysfunction or symptoms of RHF (defined as TAPSE of ≥17 mm, NYHA functional class I, no peripheral edema, and no use of diuretics). Stage 2 includes patients with RV dysfunction but without symptoms of RHF (defined as TAPSE of <17 mm, NYHA functional class I, no peripheral edema, and no use of diuretics). Stage 3 includes patients with RV dysfunction and prior or current symptoms of RHF (defined as TAPSE of <17 mm, NYHA functional class II to III, no peripheral edema with use of diuretics), and Stage 4 consists of patients with RV dysfunction and refractory signs of RHF or symptoms at rest (defined as TAPSE of <17 mm, NYHA functional class

IV, peripheral edema despite the use of diuretics). Patients were classified according to the parameter that defined the highest Stage.

STATISTICAL ANALYSIS. Continuous variables with Gaussian distribution are summarized as mean \pm SD and were compared using 1-way analysis of variance (ANOVA). Continuous variables without a Gaussian distribution are presented as median (interquartile range [IQR]) and were compared using the Kruskal-Wallis test. Categorical variables are expressed as numbers and percentages and differences between groups were analyzed using the Pearson chi-square test. Multiple comparisons of continuous variables were tested with Bonferroni correction. Long-term survival rates were calculated according to the Kaplan-Meier method, and differences between groups were compared by means of the log-rank test. A multivariate Cox proportional hazards regression analysis was performed to identify parameters independently associated with all-cause mortality. The entry criteria for the multivariate regression analysis were a significant correlation in univariate analysis (p < 0.05) and an amount of missing values that did not exceed 10% of the total study population. In addition, correlation factor analysis was used to determine if any pairs of variables were correlated. No collinearity (correlation coefficient of >0.70) was detected for the variables that met the entry criteria



for multivariate regression analysis. Variables with missing data exceeding 10% were body surface area, hemoglobin, urea and bilirubin levels, E/A ratio, left atrial volume, and significant aortic stenosis. Hazard ratios (HRs) and 95% confidence intervals (CIs) were calculated. All p values were 2-sided, and values <0.05 were considered significant. All data were analyzed using SPSS version 23 software (IBM, Armonk, New York) for Windows (Microsoft, Redmond, Washington).

RESULTS

DISTRIBUTION OF RHF STAGES. A total of 1,311 patients with significant secondary TR (median age: 71 years [IQR: 62 to 78 years], 50% male) were included in the analysis. At the time of first diagnosis of significant TR on echocardiography, 101 patients (8%) were in Stage 1 (at risk); 124 patients (10%) were in Stage 2 (RV dysfunction without clinical symptoms of RHF); 683 patients (52%) were in Stage 3 (RV dysfunction with symptoms of RHF); and 403 patients (31%) were in Stage 4 (RV dysfunction with refractory signs of RHF) (**Figure 2**). Patients with severe TR on echocardiography (n = 276 [21%]) presented with more advanced Stages of RHF than patients with moderate TR (37% in Stage 4 vs. 29%, respectively; p = 0.027) (Figure 2).

CLINICAL CHARACTERISTICS. Clinical characteristics of the overall population stratified according to RHF Stage are presented in **Table 1**. Most patients had hypertension (81%), and 521 patients (40%) had a history of coronary artery disease, of whom 300 had a previous myocardial infarction. One-half of the patients (50%) had AF, and 471 (37%) had a pacemaker or ICD. Almost two-thirds of the patients used betablockers, ACE inhibitors, and diuretic agents at the time of first diagnosis of significant TR.

Analysis of the differences among the 4 Stages of RHF showed that patients in Stage 4 were significantly older than patients in Stage 1, whereas no significant differences in sex were observed among Stages. Inherent to the definitions of the Stages in this study, significant differences among the Stages were observed in NYHA functional class, peripheral edema, and diuretic use. Notably, only one-half of patients (47%) classified in RHF Stage 4 had symptoms of NYHA functional class IV. As expected, patients in

TABLE 1 Clinical Characteristics of the Total Population and According to Stages of Right Heart Failure							
	Overall (N = 1,311)	Stage 1 (n = 101)	Stage 2 (n = 124)	Stage 3 (n = 683)	Stage 4 (n = 403)	p Value	
Demographic characteristics							
Age, yrs	71 (62-78)	67 (59-75) <mark>§</mark>	73 (63-79)	71 (62-78)	71 (63-78)*	0.041	
Males	651 (50)	49 (49)	71 (57)	333 (49)	198 (49)	0.364	
Body surface area, m ²	1.9 ± 0.2	$\textbf{1.9}\pm\textbf{0.2}$	$\textbf{1.9}\pm\textbf{0.2}$	1.9 ± 0.2	1.9 ± 0.3	0.355	
Medical history							
NYHA functional class						<0.001	
I	267 (22)	79 (100)	84 (100)	86 (13)	18 (5)		
П	383 (32)	0 (0)	0 (0)	313 (48)	70 (18)		
Ш	379 (31)	0 (0)	0 (0)	257 (39)	122 (31)		
IV	185 (15)	0 (0)	0 (0)	0 (0)	185 (47)		
Dyspnea	729 (57)	6 (6)	13 (12)	285 (58)	319 (79)	<0.001	
Edema	296 (24)	0 (0)	0 (0)	0 (0)	296 (74)	< 0.001	
Hypertension	977 (81)	65 (73)	78 (78)	537 (83)	297 (80)	0.098	
Hypercholesterolemia	574 (48)	30 (34)	48 (48)	307 (47)	189 (51)	0.036	
Diabetes mellitus	240 (20)	6 (7)	11 (11)	113 (18)	110 (30)	< 0.001	
(Ex-)smoker	381 (32)	26 (30)	27 (27)	200 (31)	128 (35)	0.398	
Coronary artery disease	521 (40)	14 (14)	41 (35)	289 (42)	177 (44)	<0.001	
Pacemaker/ICD	471 (37)	36 (27)	40 (33)	272 (40)	133 (34)	0.024	
Chronic kidney disease	227 (19)	6 (7)	12 (12)	116 (18)	93 (25)	< 0.001	
Atrial fibrillation	611 (50)	39 (42)	56 (54)	318 (48)	198 (52)	0.190	
COPD/asthma	167 (14)	6 (7)	6 (6)	90 (14)	65 (17)	0.005	
Laboratory values							
Hemoglobin, mmol/l	7.9 (6.8-8.7)	8.4 (7.6-9.2)‡§	8.5 (7.3-9.1)‡§	7.9 (6.9-8.7)*†	7.6 (6.5-8.5)*†	< 0.001	
Creatinine, µmol/l	93 (74-124)	79 (67-90)‡ §	86 (75-103) <mark>§</mark>	92 (73-121)* <mark>§</mark>	105 (79-145)*†‡	<0.001	
Urea, mmol/l	8.5 (6.3-12.2)	6.3 (5.1-8.5) <mark>‡§</mark>	7.2 (5.5-9.7) <mark>‡§</mark>	8.4 (6.2-11.8)* †§	10.3 (7.2-17.2)*†‡	<0.001	
Bilirubin, μmol/l	12 (9-18)	13 (9-17)	12 (10-16)	11 (8-16) <mark>§</mark>	16 (10-23)‡	<0.001	
Medication							
Aspirin	254 (22)	14 (16)	23 (24)	145 (23)	72 (20)	0.355	
Beta-blocker	736 (62)	47 (53)	55 (56)	422 (66)	212 (58)	0.010	
ACE inhibitor	758 (64)	48 (55)	60 (61)	423 (66)	227 (62)	0.133	
Aldosterone antagonist	256 (22)	3 (3)	2 (2)	149 (23)	102 (28)	<0.001	
Statin	543 (46)	26 (30)	49 (51)	293 (46)	175 (48)	0.012	
Diuretics	763 (60)	0 (0)	0 (0)	458 (68)	305 (76)	<0.001	

Values are median (interquartile range), n (%), or mean \pm SD. The p values were derived by Kruskal-Wallis or 1-way ANOVA for non-Gaussian- and Gaussian-distributed continuous variables, respectively. The p values were determined by chi-square test for categorical variables Bonferroni correction: *p < 0.05 vs. Pattern 1; †p < 0.05 vs. Pattern 2; ‡p < 0.05 vs. Pattern 3; §p < 0.05 vs. Pattern 4. ACE = angiotensin-converting enzyme; COPD = chronic obstructive pulmonary disease; ICD = implantable cardioverter-defibrillator; NYHA = New York Heart Association.

more advanced Stages of RHF more often presented with dyspnea. A similar trend was detected for the presence of comorbidities such as diabetes mellitus, chronic obstructive pulmonary disease, and worse renal function. Interestingly, no significant differences were observed across groups for the prevalence of AF at first diagnosis of TR.

ECHOCARDIOGRAPHIC VARIABLES. The echocardiographic characteristics of the patients are summarized in **Table 2**. The mean heart rate was 79 ± 19 beats/min, and 375 patients (29%) had AF during echocardiographic assessment. The mean LV ejection fraction was 44 \pm 16%, and concomitant significant aortic stenosis and mitral regurgitation (MR) were present in 25% and 29% of patients, respectively. In per-group analysis, concomitant left-sided valvular disease was generally more prevalent in more advanced Stages of RHF. Furthermore, patients in Stage 4 had significantly larger LV and RV dimensions, larger right atrial area, lower LV ejection fraction, higher RV systolic pressure, and larger tricuspid leaflet tenting height and area than patients in all other Stages of right heart failure.

PROGNOSTIC IMPACT OF RHF STAGES. During a median follow-up of 34 months (IQR: 15 to 66 months) after diagnosis of significant secondary TR, 602 deaths (46%) occurred. The cumulative survival rates were 80% and 54% at 1 and 5 years, respectively. During follow-up, only 103 patients (8%) underwent tricuspid valve surgery. A total of 91% of these patients were in Stages 3 and 4 of RHF.

	Overall	Stage 1	Stage 2	Stage 3	Stage 4	
	(N = 1,311)	(n = 101)	(n = 124)	(n = 683)	(n = 403)	p Value
Heart rhythm						
AF	375 (29)	17 (17)	34 (27)	193 (28)	131 (33)	0.019
Rate, beats/min	79 ± 19	75 ± 17 §	76 ± 18 §	79 ± 18 §	$82\pm20^* \texttt{\dagger} \texttt{\ddagger}$	< 0.001
LV, LA, and left-sided valvular disease						
LV end-diastolic diameter, mm	49 ± 12	$45\pm8\ddagger\$$	$44\pm9{\ddagger}{\$}$	$49 \pm 11^* \text{+}\text{\$}$	$51\pm13^* ^{\dagger \ddagger}$	< 0.001
LV end-systolic diameter, mm	39 ± 13	$33\pm9\$$	34 ± 9	$\textbf{39} \pm \textbf{13*}\textbf{†}\textbf{\$}$	$42 \pm 15^{*} ^{\dagger \ddagger}$	< 0.001
LV end-diastolic volume, ml	114 (80-171)	103 (78-135) <mark>§</mark>	102 (78-138) <mark>‡§</mark>	111 (80-176)†	127 (83-194)*†	< 0.001
LV end-systolic volume, ml	61 (38-108)	45 (34-71)‡§	53 (35-75)‡§	60 (38-114)* <mark>†§</mark>	75 (43-133)*†‡	< 0.001
LV ejection fraction, %	44 ± 16	51 ± 12‡§	$48 \pm 14 \tfrac{5}{3}$	$45\pm15^{*}{\$}$	$40\pm16^* ^{\dagger \ddagger}$	< 0.001
E/A ratio	1.6 (1.0-2.7)	1.2 (0.9-1.8) <mark>‡§</mark>	1.3 (0.9-2.4) <mark>§</mark>	1.6 (1.0-2.6)*	2.0 (1.1-3.0)*†	< 0.001
Left atrial maximum volume, indexed, ml/m ²	51 (34-70)	41 (26-57) ‡§	48 (30-66)	52 (34-70)*	55 (37-73)*	< 0.001
Significant (moderate and severe) AS	292 (25)	9 (10)	26 (23)	160 (26)	97 (29)	< 0.001
Significant (moderate and severe) MR	374 (29)	22 (22)	24 (20)	176 (26)	152 (38)	< 0.001
RV and RA						
RV basal dimension, mm	$\textbf{45}\pm\textbf{8}$	$43\pm8\$$	$44\pm7\$$	$45\pm8\$$	$47\pm9^*^{\dagger\ddagger}$	< 0.001
RV end-diastolic area, mm ²	24 (19-30)	20 (17–27)‡§	21 (19-27) <mark>§</mark>	23 (18-29)* <mark>§</mark>	26 (20-33)*†‡	< 0.001
RV fractional area change, %	35 ± 13	$39 \pm 14 \mathbf{\underline{8}}$	36 ± 13	36 ± 12	$\textbf{33} \pm \textbf{12*\ddagger}$	0.001
RV systolic pressure, mm Hg	36 ± 15	31 ± 12 §	32 ± 11 §	35 ± 15	$38 \pm 17^{*+}$	< 0.001
TAPSE, mm	15 ± 5	21 ± 4	$13 \pm 2^{*}$	$15\pm5^{*}^{+}$ §	14 \pm 5*†‡	< 0.001
Right atrial maximum area, mm ²	26 (20-34)	24 (19-30) <mark>§</mark>	25 (20-32) <mark>§</mark>	26 (20-33) <mark>§</mark>	28 (22-35)*†‡	< 0.001
Tricuspid valve						
Moderate TR	1,035 (79)	84 (83)	107 (86)	542 (80)	302 (75)	0.028
Severe TR	276 (21)	17 (17)	17 (14)	141 (21)	101 (25)	0.028
Valvular annulus diameter, mm	42 ± 8	$41\pm8\$$	42 ± 7	$\textbf{42} \pm \textbf{8}$	$43\pm8^{\ast}$	0.014
Leaflet tenting height, mm	10 (0-14)	5 (0-12) <mark>‡§</mark>	9 (0-13) <mark>§</mark>	10 (0-14)*§	11 (4-16)*†‡	< 0.001
Leaflet tenting area, mm ²	2.5 (0-4.2)	0.9 (0-3.2)‡§	2.3 (0-3.7)	2.5 (0-4.2)*§	3.0 (0.6-4.8)*†‡	<0.001

Values are n (%), mean \pm SD, or median (interquartile range). The p values by Kruskal-Wallis or 1-way ANOVA for non-Gaussian- and Gaussian-distributed continuous variables, respectively. The p value by chi-square test were for categorical variables Bonferroni correction: *p < 0.05 vs. Pattern 1; tp < 0.05 vs. Pattern 2; tp < 0.05 vs. Pattern 3; Sp < 0.05 vs. Pattern 4. AF = atrial fibrillation; AS = aortic stenosis; E/A = ratio of mitral inflow peak early diastolic flow-velocity to atrial contraction peak velocity; LV = left ventricle; MR = mitral regurgitation; RV = right ventricle; TAPSE = tricuspid annular plane systolic excursion; TR = tricuspid regurgitation.

The Kaplan-Meier curves for overall survival according to the 4 Stages of RHF are shown in the **Central Illustration**. Survival rates at 5 years were significantly worse in more advanced Stages of RHF: 80%, 70%, 57%, and 39% for Stages 1, 2, 3, and 4, respectively (log-rank chi-square result: 110.336; p < 0.001). Survival rates at 5 years for patients who underwent tricuspid valve surgery were higher in all Stages of RHF than the overall population: 100%, 80%, 71%, and 52% for Stage 1, 2, 3, and 4, respectively.

Univariate and multivariate Cox regression analyses for all-cause mortality are presented in **Table 3**. Age, coronary artery disease, worse renal function, lower LV ejection fraction, higher RV systolic pressure, and the Stages of RHF were significantly associated with worse survival. Sex and the presence of a pacemaker or ICD were not independently associated with survival in patients with significant TR in the current study.

DISCUSSION

The main finding of the present, large retrospective study is the independent association between Stages of RHF and survival in patients with significant secondary TR.

The association between significant TR and mortality was initially demonstrated by Nath et al. (10) and confirmed by several studies since (11). However, patients with significant TR are not frequently referred for surgery, and most of the tricuspid valve repair interventions are performed concomitantly with left-sided valve surgery (12). Isolated TR intervention is associated with high in-hospital mortality (8% to 10%) in small and heterogenous study populations (13). The outcomes of these studies were confirmed in a recent larger study by Zack et al. (12), including 5,005 isolated secondary tricuspid valve operations over a 10-year period. During that period, the number of operations increased significantly, but

Tricuspid Regurgitation								
	Univariate Analysis		Multivariate Analysis					
	Hazard Ratio (95% CI)	p Value	Hazard Ratio (95% CI)	p Value				
Age, yrs	1.021 (1.015-1.028)	< 0.001	1.024 (1.016-1.033)	< 0.001				
Males	1.210 (1.031-1.421)	0.019	1.090 (0.898-1.324)	0.383				
BSA, m ²	0.555 (0.360-0.854)	0.007						
Dyspnea	1.573 (1.328-1.863)	<0.001	0.986 (0.806-1.207)	0.891				
Diabetes mellitus	1.787 (1.477-2.161)	<0.001	1.144 (0.921-1.421)	0.225				
Hypercholesterolemia	1.065 (0.902-1.257)	0.458						
Coronary artery disease	1.620 (1.379-1.902)	<0.001	1.212 (1.003-1.464)	0.046				
Atrial fibrillation	1.025 (0.870-1.207)	0.771						
Pacemaker/ICD	1.261 (1.071-1.486)	0.005	1.092 (0.904-1.319)	0.363				
COPD/asthma	1.537 (1.230-1.921)	<0.001	1.164 (0.911-1.487)	0.224				
Hemoglobin, mmol/l	0.846 (0.791-0.905)	<0.001						
Creatinine, µmol/l	1.004 (1.003-1.004)	<0.001	1.003 (1.002-1.004)	<0.001				
Urea, mmol/l	1.013 (1.010-1.017)	<0.001						
Bilirubin, µmol/l	1.014 (1.010-1.020)	<0.001						
Beta-blocker	0.943 (0.794-1.120)	0.505						
Aldosterone antagonist	1.362 (1.127-1.645)	0.001	1.037 (0.840-1.280)	0.736				
Statin	1.116 (0.944-1.319)	0.200						
LV ejection fraction, %	0.985 (0.979-0.990)	<0.001	0.992 (0.986-0.998)	0.010				
E/A ratio	1.121 (1.035-1.214)	0.005						
LAVI, ml/m ²	1.006 (1.003-1.009)	<0.001						
Significant AS	1.443 (1.194-1.745)	<0.001						
Significant MR	1.377 (1.162-1.633)	<0.001	0.991 (0.815-1.205)	0.929				
Tricuspid annulus diameter, mm	1.011 (1.001-1.021)	0.034	1.005 (0.990-1.021)	0.489				
RV systolic pressure, mm Hg	1.018 (1.013-1.023)	<0.001	1.010 (1.004-1.016)	0.001				
Right atrial maximum area, mm ²	1.008 (1.000-1.016)	0.037	0.995 (0.984-1.007)	0.440				
Severe TR	1.139 (0.938-1.383)	0.187						
Leaflet tenting height, mm	1.014 (1.002-1.026)	0.017	1.006 (0.991-1.021)	0.430				
Stages overall		<0.001		<0.001				
Stage 1 (Ref.)	-	-	_	_				
Stage 2	1.753 (0.989-3.107)	0.055	1.439 (0.727-2.849)	0.297				
Stage 3	3.097 (1.899-5.050)	<0.001	2.110 (1.163-3.828)	0.014				
Stage 4	5.545 (3.388-9.076)	<0.001	3.318 (1.795-6.133)	0.001				
AS = antic stances, DSA = body curface area, Cl = confidence interval, CODD = chronic obstructive subsense. ICD = implementable cardine definitiates 1 AVI = left								

TABLE 3 Univariate and Multivariate Cox Proportional Hazard Models for All-Cause Mortality for Patients With Significant Secondary

AS = aortic stenosis; BSA = body surface area; CI = confidence interval; COPD = chronic obstructive pulmonary disease; ICD = implantable cardiac-defibrillator; LAVI = left atrial volume index; LV = left ventricular; MR = mitral regurgitation; RV = right ventricle; TR = tricuspid regurgitation; other abbreviations in Tables 1 and 2.

the in-hospital mortality remained consistently high (8.8%). In contrast, Hamandi et al. (14) demonstrated that in-hospital mortality for isolated primary and secondary tricuspid valve surgery could be as low as 3.2% and suggested that this difference was predominantly caused by improved patient selection.

RV function is one of the main determinants of postoperative outcomes in patients with secondary TR (15). However, there are no recommendations for specific values of RV functional parameters to predict the outcome of isolated tricuspid valve intervention, and it is difficult to characterize with 2D echocardiography due to the complex geometry of the right ventricle and the interaction between RV myocardial performance and loading conditions (16). In addition, volume overload is well tolerated by the RV compared to pressure overload, and RV remodeling may precede RV dysfunction until advanced stages of TR (16). The current authors recently demonstrated that RV dysfunction (based on TAPSE) was associated with poor outcomes in patients with significant secondary TR, regardless of RV dilation (17). However, signs of RHF were not included in the analysis. The present results are incremental as they demonstrate that not only RV dysfunction but also signs of RHF, which may be related to the severity of TR, should be considered in the risk stratification of these patients.



In a total population of 1,311 patients with significant secondary tricuspid regurgitation, higher Stages of right heart failure (RHF) were associated with significantly lower 5-year survival rates. Patients in Stage 1 had normal right ventricular (RV) function and no symptoms of RHF. Stage 2 included patients with RV dysfunction but without symptoms of RHF. Stage 3 included patients with RV dysfunction and prior or current symptoms of RHF. Stage 4 consisted of patients with RV dysfunction and refractory signs of RHF.

Current transcatheter therapies for severe TR are being tested in patients with symptoms, large coaptation defects, and regurgitant volumes and have demonstrated promising results (18). Treating patients with severe TR who are asymptomatic and have normal RV systolic function may prevent further damage of the RV and improve survival. This needs to be demonstrated in large studies where safety and efficacy are shown, and the risk of mortality is proven to be much lower than the surgical risk.

International heart failure associations proposed a staging strategy to characterize RHF, combining signs and symptoms of RHF and RV dysfunction (1). In the presence of established risk factors such as TR, RHF may progress from asymptomatic RV dysfunction to refractory RHF in 4 consecutive Stages of disease. The staging system provides a tool for risk stratification and helps clinicians to optimally manage their patients with Stage-specific treatments to reduce morbidity and mortality. However, the proposed RHF staging system has never been validated in patients with significant secondary TR.

Multiple studies have demonstrated the prognostic value of RV dysfunction in heterogenous populations of patients with TR (4,19). In addition, a significant interaction between the presence of symptoms and outcome of significant TR in patients with preserved LV ejection fraction and pulmonary hypertension was demonstrated by Bar et al. (20). However, only 1 study has described the RHF entity in patients with TR and LV systolic dysfunction (21). The definition of RHF in that study was based on the Framingham criteria, and the prognostic implications were not assessed. To the best knowledge of the present authors, the current study is the first to assess the distribution and prognostic implications of Stages of RHF as proposed by the international heart failure associations in patients with significant secondary TR. Given the clear association between higher Stages of RHF and all-cause mortality in this study, application of multiparametric staging of RHF may be useful in future recommendations for risk stratification of patients with significant secondary TR. In addition, the present study can be used as a benchmark for later studies assessing optimal timing and outcomes of tricuspid valve interventions. Further research is needed to investigate whether surgery is effective in patients with significant secondary TR at an earlier stage, prior to the onset of symptomatic RHF.

AF and significant MR are frequently observed in patients with heart failure and are associated with poor prognosis (22,23). Significant secondary TR may be observed in these patients and may indicate a more advanced stage of the disease. In the current study, significant MR and AF were observed in 29% and 50% of the patients, respectively. The presence of significant MR was significantly associated with allcause mortality in the univariate Cox regression analysis but not in the multivariate analysis. Notwithstanding, comparison of HR for the RHF Stages in a model with and without adjustment for significant MR shows a confounding effect of MR on the association between RHF Stages and mortality, although small (HR for RHF Stage 4 vs. Stage 1 without adjustment for MR: 3.333; 95% CI: 1.804 to 6.159). AF was not significantly associated with survival in univariate Cox regression analysis. This differs from the results of the study by Benfari et al. (24) in patients with HF failure with reduced LV ejection fraction, of which a subgroup of patients with severe TR had similar AF rates (48%). However, in multivariate analysis, AF was not significantly associated with mortality, whereas the presence of moderate or severe TR was. Similar to the present results, this could suggest that the presence of significant TR represents a more advanced stage of disease and is significantly associated with increased all-cause mortality, even after adjustment for known associates of poor survival such as MR and AF.

STUDY LIMITATIONS. First, the current study is a retrospective cohort study from a single tertiary

center. Future prospective trials are needed to confirm the prognostic value of the described classification system. Second, it is important to acknowledge certain limitations of the staging system. In the current study, the echocardiographic variable TAPSE with a cutoff value of <17 mm for RV dysfunction was used because this is the most validated method in 2D echocardiography (7). However, TAPSE is dependent on volume overload and may be influenced by the tricuspid regurgitant volume. Moreover, RHF is a subjective clinical diagnosis, whereas signs and symptoms may change over short periods of time, resulting in a low Therefore, a multiparametric reproducibility. approach was chosen to define the Stages of RHF. Prior symptoms of RHF were considered by including use of diuretic agents in Stages 3 and 4 of RHF. It should be noted that diuretic usage and reduced exercise capacity could be caused by left heart failure instead of RHF. To correct for this confounder in the evaluation of the prognostic implications of RHF, we included LV ejection fraction in the multivariate Cox proportional hazard model. Additionally, some studies claim that NYHA functional class correlates better with RHF through ventricular interdependence than with left heart failure (25,26). Specific signs of RHF such as hepatomegaly, jugular venous distention, and ascites were not widely available in the present retrospective database but could complement the current staging system. The present classification of RHF was not compared with other established risk scores such as the MAGGIC (Meta-Analysis Global Group in Chronic Heart Failure) risk score (27) due to the specific characteristics of the study population, including patients with secondary significant TR and not just patients with left-sided heart failure.

CONCLUSIONS

The introduction of a staging system for RHF would be potentially valuable in the risk stratification of patients with significant secondary TR. In the present large cohort of patients with significant secondary TR, symptomatic RHF (Stages 3 and 4) was present in approximately 80% of the population and was independently associated with worse survival.

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PERSPECTIVES

COMPETENCY IN MEDICAL KNOWLEDGE: In pa-

tients with significant TR, the presence of RV dysfunction and clinical symptoms of RHF are common. Standardizing the evaluation of RV dysfunction and signs and symptoms of RHF in clinical practice by introducing a staging system for RHF is potentially valuable in risk stratification. The current study demonstrated that, in the natural history of significant TR, progressive Stages of RHF are significantly associated with worse long-term survival. **TRANSLATIONAL OUTLOOK:** Incorporation of Stages of RHF in future risk assessment of patients with significant secondary TR may help to identify patients who will benefit from earlier tricuspid surgery or new transcatheter therapies. However, prospective studies are needed to investigate the proper timing of intervention. In addition, further research in different patient populations is needed to validate the staging system for RHF in a broader perspective.

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