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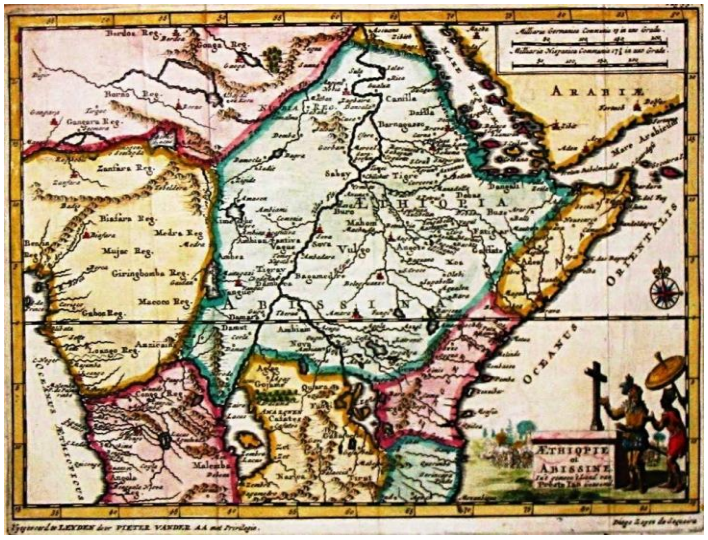
Jon Abbink

Ethiopian-Eritrean Studies: a Bibliography on Society and History, 2016-2022



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Introduction

1.

This is the 'ultimate final instalment' of the Ethiopia-Eritrea Bibliography on society and history, this time on the years 2016-2022, and again a gradually accumulated byproduct of research that I conducted on Ethiopia, mainly on societal developments, political culture, and modern history. It is published as an E-book only.

It is not exhaustive (of virtually all authors cited here one could list more items) and neither pretends to be a work of scholarship, but gives an overview of the most important titles published in the above period, thereby indicating the developing trends and topics of research on Ethiopia and Eritrea (excluding work in linguistics). It provides entries assisting researchers to take stock of work done as a stepping stone to start new research projects, and to get an overview of cumulative research insights and conclusions. What struck me in collecting the multitude of references and reading (part of) them is the extraordinary richness and inventiveness of so many of these studies, venturing into new subjects and asking quite original questions. There is also a lot of duplication or undue repetition of work on well-established themes. Some areas and topics in Ethiopian/African studies (or any field) have evoked a great number of papers that are much alike and offer few really new insights. Examples of almost 'saturated' fields in Ethiopian (less so Eritrean) studies are those of the medical sciences, agricultural studies, or politics: many dozens of papers on the same subjects, with the similar concepts and approaches, only repeated in another location but not much advancing new insights or general knowledge. For this reason, the large number of virtually similar papers - like on 'traditional conflict-resolution mechanisms', or the numerous studies on Ethiopia's 'ethnic federalism' - or those on very specialist topics - like the nuances of maize varieties, mango value chains, responses to malaria treatment in a specific rural hospital - were not all indexed.

In view of the ongoing quest for 'policy development' by governmental, NGO, international and other parties aimed at positive interventions, the bibliography could be useful to help inventorize and evaluate the available body of studies, reports and knowledge sources (remarkably large for Ethiopia) preliminary to embarking upon additional study, empirical research or developmental projects. In fact, there is often an urgent need for less minute studies and for more general review articles on key subjects, summarizing the many studies, in the style of the chapters published, for instance, in the reputed *Annual Review* series.[1]

The flood of studies on the history, archaeology, politics, economics, sociology, anthropology, ancient and modern literature, religions, geography and environmental issues on Ethiopia and Eritrea has continued in the past six years, showing that Eritreo-Ethiopian Studies are doing quite well.[2] Indeed the amount of original and fascinating research on these countries, notably by Ethiopian-Eritrean scholars, remains impressive. This holds for virtually all fields, such as history, palaeo-anthropology and archaeology, medieval and modern history, religious studies, rural sociology and

[1] See: www.annualreviews.org.

[2] I here again refer to the *Encyclopaedia Aethiopica* (five volumes, Wiesbaden: Harrassowitz, 2003-2014) as the starting point for all research on Ethiopia and Eritrea.

livelihoods, cultural geography, environmental issues, economics; development issues, ethnography and anthropology, social and cultural studies, text-studies and philology, material culture, arts, crafts and music, refugee and relatively newer subjects like 'human trafficking' and migration issues, 'transnationalism', and 'globalization'. In addition, urban studies (infrastructures, sociology, public management) kept growing as well. There is also much work published in the Ethiopian/Eritrean languages, but on such titles this bibliography is weak (As said, I excluded titles on linguistics). Most works in the prevalent language Amharic are missing; many are published by private printers without an ISBN number or a publisher place or name. In addition, only a fraction of the many reports and background studies made by NGOs and international organizations (World Bank, FAO, UNDP, etc.) focused on Ethiopia and Eritrea are included here.

The tendency of connecting and embedding of field studies on Ethiopia-Eritrea in wider theoretical-disciplinary fields and global research traditions has continued in recent years: in development studies political science, agricultural economics, environmental studies, religious studies, and sociology/anthropology, although a regional or area studies tendency studying the unique historical and socio-cultural features of the region has continued. Ethiopian-Eritrean scholars both within the countries as well as abroad are the major contributors.

2.

As for the situation of the countries themselves, the picture is very complex and often distressing. They continue to struggle in establishing 'normal politics', in arriving at steady, productive economic growth, national cohesion and internal peace. Managing climate change, environmental crises, and controlling the rapid population growth[3] are also challenges. Structural problems persisted despite hopeful openings and promising and fresh political leadership, e.g., in Ethiopia in 2018 with prime minister Abiy Ahmed. He tried to introduce a new style of open, more democratic politics, and to that effect started radical reforms, setting out a new political, economic, and judicial agenda. He also invited all political opposition movements, including armed ones (like the OLF), back to Ethiopia. Several of these returning groups abused the opportunity. The TPLF elite, previously in power as the dominant faction of the ruling EPRDF party, could not stomach their being moved out of central power when their PM candidate was defeated in the March 2018 party elections, did not cooperate with the new PM, and planned a return via violent means.

The negative effects and pent-up tensions of 27 years of 'ethnic federalism' became more and more visible and undermined the processes of reform, which had to tackle an old entrenched political-administrative order. This volume goes to press at a time when the integrity and future of Ethiopia is again at stake, due to the armed conflict instigated by the TPLF (Tigray Peoples Liberation Front) that ruled in the Tigray Regional State and that devastated much of the north of the country since its attack in the night of 3-4 November 2020. The country is also subject to often undue

[3] On the latter issue, see for warnings from within Ethiopia: Birhanu Fikade, 'Experts, academia express concern about population explosion' (at: https://www.thereporterethiopia.com/article/experts-academia-express-concern-about-population-explosion', accessed 8 December 2020).

international pressure of various kinds. The renewed armed conflict and related instability were the result of decades of problematic 'ethnicization' of politics and societal relations in this complex country. Such policies often amounted to divide-and-rule 'identity politics' and also led to the growth of violent insurgencies in Western Ethiopia, such as the 'Oromo Liberation Army' (OLA), an armed offshoot of the OLF (which had become a legal political party after 2018), and in the Benishangul-Gumuz Region. Smaller ethnic minority-based insurgent groups also were active here and there. The OLA movement appeared to be supported by the TPLF and recruited unemployed, disenchanted local youths on an 'ethnic' agenda. Like the TPLF, it was designated as terrorist by the Ethiopian Parliament in May 2021.

The past six years also indicate the challenges of socio-economic problems and the rise of non-parliamentary, violent ethnic-based 'street politics' in Ethiopia, fueled by social media disinformation and sectarian agendas by certain opposition politicians. The recurring eruption of gross communal violence in several cities and rural areas of Ethiopia over the past few years was often elite-orchestrated. It evoked centrifugal tendencies and communal clashes detrimental to the country, and not 'empowering' anyone. The discourse of ethnicity *as* politics has never worked in any country, and indeed Ethiopia is no exception. Issues of citizenship and national identity are therefore still highly problematic.[4] The paradox is that the messages of reform, reasonableness and moderation under Ethiopia's new federal leadership since April 2018 had opened up new possibilities of a major political reset. Much was achieved by the legal-political reforms and economic investments (including a powerful restart of construction of the Grand Renaissance Dam or GERD), but the process was subverted by the self-appointed 'ethno-regional' elites, notably the TPLF elite that had 'retreated' to Tigray Regional State in 2018-2019, by other sectarian political party leaders, and by some elements of the wider public. The agency by individual and elite political actors is important in Ethiopia in view of shaky political institutionalization, and many party politicians, would-be leaders and radical diaspora activists did not play a visibly constructive role.

The 2020-2022 violent conflict in northern Ethiopia started by the TPLF has again shown the political fragility of the country, highlighting the ever-present danger of autocratic elite 'ethno-politics' based on cultivated political rivalry, the heritage of unequal economic competition and favouritism, and faulty conceptions of bounded 'ethnic identities'. The consequences of this and other conflicts marred the reform agenda of PM Abiy Ahmed and his government, as well as cooperative communal relations and the constructive rebuilding of relations with Eritrea, with which a peace treaty was concluded in mid-2018. The Ethiopian elections of 21 June 2021 for parliament and regional councils went relatively well, showing a total turn-out of ca. 80% (On 30 September a second round followed in remaining constituencies). Many Ethiopians queued to vote into the late evening hours, indicating that there was deep wish to participate - despite that many constituencies initially had to skip the vote due to insecurity. Although the elections had incidents and problems, and were less

[4] Cf. A. Meckelburg and J. Abbink (2020), 'Subjects of history: administrative categories, ethnic labels and the limits of cultural citizenship in Ethiopia', *Northeast African Studies* 20(1-2), 137-159.

competitive than expected, they gave a new mandate to the ruling Prosperity Party and its PM Abiy Ahmed.

The chief underlying dynamics influencing societal life and constraining political possibilities in Ethiopia remain mostly that of steady high population growth and resource pressure, durable and long-term economic problems (e.g., notably low-level export revenues), urban and rural unemployment, biased access to (state) resources, low absorptive capacity of labour markets, and land scarcity. The new government since 2018 has made major efforts to redress this and tried to make a new, future-oriented start. But economic opportunity creation and supportive administrative and social policies seem not able to keep up with population growth and environmental limitations, while systemic political challenges, and authoritarian mindsets and practices inherited from the past still play a role. As this volume is published the security situation across the country is still precarious.

In general, Northeast Africa as a whole shared in the cycle of accelerating global economic, environmental-climatic, and political problems (such as recently in 2022 the Russian war on Ukraine), and cash-strapped governments are only slowly addressing them. The complications and dilemmas of the COVID-19 pandemic since early 2020 have also greatly impacted the region,[5] although the Ethiopian-Eritrean health authorities have done a relatively good job, with limited means, to contain its spread as much as possible in a world marred by inequalities in medical facilities and vaccine distribution/production possibilities.

3.

In the present volume, more or less the same format of chapters and subdivisions was followed as in the previous volumes, although I am now of the opinion that the division of topics/subjects can be improved and rearranged, including those of the ethnological-anthropological items and their classification into 'ethnic groups': this is now problematic and even dubious, despite the constant media representations and ethno-nationalist talk of 'different ethnic groups', etc. Originally a well-meaning anthropological and linguistic differentiation on the basis of fieldwork studies and observed group varieties, the 'boundaries' are now often much essentialized in often disembodied, context-less political discourse, and there is a need to tone this down, in both the political and scientific sense. Due to their multiple historical interconnections, socio-economic and political interactions, conflict dynamics, and emerging trans-ethnic social, religious, geographical or class groupings, these ethnicities can hardly be separated in an historical or meaningful analytical sense. However, in order to keep the format of previous volumes, I did not reorganize these numerous items in the current Bibliography. I thus (reluctantly) followed the format of the previous four volumes, but with the addition of a number of new headings, like 'Labour migration and human

[5] To give an indication: as of 8 April 2022 the total number of cases registered was 470,005 (Ethiopian Health Ministry data). But the total caseload of recoveries reached 453,523. Up to this date, 7508 registered/tested people had died of the virus in Ethiopia, and the total number of confirmed active cases was then at 8972 (a significant decline). As of the same date, over 4,69 mln people had been tested and the number of (partly) vaccinated persons was 24,534,697. In the course of 2022 and in line with the global trend, the number of active cases further declined.

trafficking', 'Demography and Population Dynamics', 'Nutrition', 'Industrial Development', and 'Nile Basin Issues'. I have again included some vital older references dating from before 2015 that were missed in the previous volumes (1-4) of the bibliography.

Some further limitations: I have only indexed a select number of the many hundreds of papers published in non-refereed online journals like *Open Access Library Journal, Open Journal of Epidemiology, Open Journal of Preventive Medicine, International Journal of Scientific and Research Publications, International Journal of Advanced Research, Art and Social Sciences Journal*, etc., - many of them often print papers against payment and are qualified by some as 'predatory journals'.[6] Although many of these contributions are guided by ethnographic-sociological originality and historical novelty. Their problem is often the bad editing, which makes many of the papers rather unreadable, and this contributes to them being little cited.

MA theses on Ethiopian subjects defended at Ethiopian and foreign universities are very numerous, but despite their sometimes very interesting content they are not listed here.[7] The same of course goes for book reviews in Ethiopian Studies. While such reviews are often lengthy and remarkably insightful, they are too numerous to include, and neither is this a standard practice in bibliographies. An exception was made for longer review articles.

In addition, many technical and not strictly social science and humanities papers were ignored. Numerous specialist studies appeared on the nature and use of medicines, on geology, soil quality, trees, plants and crops, the effects of animal diseases, chicken production, etc. but these were not listed here. Neither were reports of organizations like the International Crisis Group and similar 'think tanks'. A lot of comments and analyses are today found in blogs and websites of all kinds of NGOs, diaspora organizations, etc. The opinion pieces, statements and non-academic comments found there are sometimes useful as sources of information and viewpoints. They are not referenced here. Social media also carry a lot of information, but much of the contents is often emotional, divisive, reckless and misleading. As is well-known, their impact is substantial and often deeply worrying; they often produce disinformation[8] and are accountable to no one.

4.

The index is only on authors' names; not on subjects. Ethiopian names were indexed again as much as possible on *first* name (the own name, which is preferred), but as Ethiopians now legally have to officially go under three names (own name, father's name and father's father's name), I generally followed the rule of inserting a comma after the first name, e.g.: 'Tesfaye Gebeyehu Admasu', which is indicated in the index as 'Tesfaye, Gebeyehu Admasu' and indexed on 'Tesfaye' as first term. However,

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[6] See: https://predatoryjournals.com/journals/. And also: https://en.wikipedia.org/wiki/Predatory_publishing. Some of these journals can be recognized by their bizarre or long names.

[7] Most of them can be found at the repository: http://etd.aau.edu.et.

[8] For some examples, see Azeb Madebo (2020), 'Social media, the diaspora, and the politics of ethnicity in Ethiopia' (https://democracyinafrica.org/social-media-the-diaspora-and-the-politics-of-ethnicity-in-ethiopia/).

there are various inconsistencies here due to the differing citation styles in the source publications. Of some authors, both Ethiopian and other, the first names could not be traced. In addition, in some publications the same Ethiopian author is cited on the first two names but with the third one sometimes present, sometimes missing. And some Ethiopian author names are spelled differently per publication. Therefore, multiple checking on the author names is necessary, as I did not bring together the different spellings of the same person's name under one index entry.

Many titles mentioned here have a digital link to the publisher website, but not all.[9] As most papers are available online, they can be easily searched on title. Digital resources, online searchable university repositories and other library services are increasingly become available, like for instance the National Academic Digital repository of Ethiopia (https://nadre.ethernet.edu.et), a great resource for many (MA) theses and papers produced in Ethiopia in various fields. Such linked repositories, in combination with digitalized libraries, resources such as the (online) *Africa Bibliography* of the International African Institute[10]) more and more open access journals,[11] reference managers, and Google Scholar, have now really made efforts at publishing bibliographic overviews in book form redundant. With this fifth volume I therefore rest my bibliographic labours.

[9] And some links may no longer work.

[10] See: https://africabibliography.cambridge.org/

[11] Like, for instance, *Aethiopica* (https://journals.sub.uni-hamburg.de/aethiopica), *Ethiopian Journal of the Social Sciences and Humanities* (https://www.ajol.info/index.php/ejossah) or *African Study Monographs* (Kyoto, https://repository.kulib.kyoto-u.ac.jp/dspace/handle/2433/66220).

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[12] See the Repository of Leiden University for downloadable versions. E.g., http://hdl.handle.net/1887/4639, http://hdl.handle.net/1887/377, and https://scholarlypublications.universiteitleiden.nl/access/item%3A3146166/view.

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40. Hagiographies, Christian/Muslim Literature and Indigenous Religious Thought and Philosophy

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