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Efficacy of ketamine in relieving neuropathic pain: a systematic review and meta-analysis of animal studies

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Abstract

In humans, proof of long-term efficacy of ketamine treatment in neuropathic pain is lacking. To improve our understanding of ketamine behavior under various administration conditions, we performed a systematic review and meta-analyses of controlled studies on the efficacy of ketamine in mice and rats with a disease model of nerve injury on relief of allodynia. Searches in PubMed and EMBASE identified 31 unique studies. Four meta-analyses were conducted. The first analysis included 19 comparisons on a single ketamine dose and measurement of effect within 3 hours of dosing and showed an appreciable effect (standardized mean difference 1.6, 95% confidence interval 1.1-2.1). Subgroup analyses showed no effect of species, administration route, or dose. A single administration was insufficient to sustain relief of allodynia at 24 or 72 hours after dosing, as observed in our second analysis (7 comparisons) with similar effects in ketamine-treated and control animals. Chronic ketamine administration (9 comparisons) caused profound relief of allodynia when tested during ketamine exposure (effect size 5.1, 3.7-6.5). The final analysis (6 comparisons) showed that chronic administration caused a slow loss of relief of allodynia with 70% loss of effect 24 days after end of treatment. No subgroup analyses were possible in the last 3 meta-analyses due to small group sizes. These results indicate long-term ketamine anti-allodynic effects after chronic exposure (>3 days) but not after a single administration. Given several limitations, extrapolation of the animal data to the human condition is tenuous.

Keywords: Animal models, Chronic pain, Ketamine, Neuropathic pain, Pain models

1. Introduction

Ketamine a versatile drug that, apart from its original indication as anesthetic agent (since 1970), is widely used since the 1990s at low (subanesthetic) dose in the treatment of pain.^{1,20} For example, in the treatment of acute (nociceptive) postoperative pain, there is ample evidence that ketamine is an effective analgesic and is opioid sparing.^{1,20} In chronic pain, particularly in

the management of neuropathic pain refractory to treatment with more conventional medication such as antiepileptic and antidepressant drugs, ketamine is frequently administered as a last resort option.^{6,21} Theoretically, ketamine seems to be well suited to produce long-term relief of neuropathic pain symptoms, especially those symptoms that are related to central sensitization. Ketamine is a noncompetitive antagonist of the *N*-methyl-D-aspartate (NMDA) receptor,³⁹ which plays an important role in the chronification and amplification of (neuropathic) pain.^{9,41} Ketamine reduces wind-up and temporal summation,⁹ which are surrogate measures of central sensitization. However, narrative and systematic reviews indicate that proof of efficacy of ketamine in neuropathic pain is absent or limited.^{2,6,20,21} It is not evident why ketamine efficacy is limited in human studies. We earlier suggested that efficacy of ketamine in chronic pain is related to treatment duration (ie, single dose vs multiple doses or continuous infusion), whereas other factors such as dose or etiology of neuropathic symptoms were considered less important.³⁸ Finding options that improve our ability to effectively treat neuropathic pain with ketamine is important given the large number of patients who experience limited efficacy from conventional treatment modalities including opioids. To advance our understanding of the role of ketamine in the relief of neuropathic pain symptoms, we performed a systematic review and meta-analyses of animal studies that provide data on the efficacy of ketamine in the relief of allodynia. We focused on animal studies in which ketamine (racemic or S-ketamine) is administered systemically or through the intrathecal route to relieve neuropathic pain symptoms (allodynia) associated with

Sponsorships or competing interests that may be relevant to content are disclosed at the end of this article.

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nerve, spinal cord, or brain damage models. We performed 4 meta-analyses to determine the ketamine effect size after a single dose, shortly (<3 hours) and 24 to 72 hours after injection, and the effect of chronic dosing, during ketamine exposure and in the days after exposure. We further explore the possibility whether the information obtained from these animal studies may be helpful in designing human studies on the efficacy of ketamine in neuropathic pain.

2. Methods

2.1. Search strategy

In this review, we focus on the effect of ketamine on experimentally induced neuropathic pain symptoms related to surgically induced (partial) damage to nerves or spinal cord, lesions in the brain, postinfectious neuritis, and disease- or drug-induced neuropathy. The study protocol was prospectively registered on the PROSPERO Web site under registration number 20119 (https://www.crd.york.ac.uk/prospero/display_record.php?RecordID=201190) and performed according to published guidelines.⁷ We systematically searched 2 electronic literature databases (PubMed and EMBASE) to identify preclinical studies on racemic ketamine or S-ketamine treatment of allodynia induced by a variety of neuropathic pain models. Allodynia is defined as “pain due to a stimulus that does not normally provoke pain” by the International Association of the Study of Pain (<https://www.iasp-pain.org/terminology?navItemNumber=576#Allodynia>). The search strategy was developed in collaboration with information specialists of the Walaeus library of Leiden University Medical Center and the Systematic Review Center for Laboratory Animal Experimentation (SYRCLE) at Radboud University Medical Center (Nijmegen, the Netherlands). For our complete search strategy, see supplemental file 1 (available at <http://links.lww.com/PAIN/B302>). No publication date or language restrictions were applied.

The literature search was first performed on July 22, 2020. Thereafter, the search strategy was amended including a filter for animal studies,¹⁶ developed by SYRCLE, and an additional search in PubMed and Embase was performed on September 22, 2020. The 2 searches yielded similar outputs. Finally, a last search was performed on October 2, 2020, to search for more recently published studies (none were identified). After removal of duplicates, articles were first selected based on the title/abstract level and thereafter at the full-text level. We also checked relevant articles such as review articles for additional references. Inclusion criteria were as follows: (1) original nonhuman studies; (2) available full-text articles; (3) disease model (neuropathic pain) induced by one of the following methods: spared nerve injury, (full or partial) ligation of peripheral nerves (chronic nerve constriction injury [CCI]), spinal nerve ligation, spinal cord injury, plexus ablation, viral infections (postherpetic neuralgia), chemotherapeutics, streptozotocin administration, or central lesions; (4) systemic (intravenous, subcutaneous, intraperitoneal, or oral) or intrathecal administration of ketamine, either the racemic formulation or the S-enantiomer, to relieve mechanical or heat/cold allodynia as measured by withdrawal responses to tactile or thermal stimuli; (5) ketamine is tested against control conditions (eg, saline or vehicle); (6) ketamine treatment was initiated after the neuropathic disease model was fully established; and (7) relief of allodynia (our primary end point) was quantitatively reported either in a table or in a graph. We included relief of mechanical allodynia in our analysis if reported (85% of studies), or relief of thermal allodynia as alternative. In case both were reported, we chose mechanical allodynia for inclusion in our analyses.

Exclusion criteria included case reports, case series, review articles, conference abstracts, studies that tested preemptive ketamine, the combined administration of ketamine with another drug, ketamine as positive or negative control (eg, when administered at subeffective low dose or excessively high dose as stated in the text), ketamine administered directly into the brain, or ketamine tested as an anesthetic or analgesic for nerve damage surgery. Finally, studies with insufficient quantitative data to extract threshold values were excluded. Two reviewers independently performed the selection procedure (A.D. and M.v.V.). Differences in opinion were resolved by consensus and when needed a third reviewer (J.D.C.D.) was consulted.

2.2. Data extraction

The absolute values of the withdrawal thresholds after treatment were extracted with ketamine and control data obtained at identical time points. If no quantitative data were reported directly (eg, in text or tables), authors were contacted or the graphical data were measured using a digital ruler (*A Ruler for Windows*, <https://a-ruler-for-windows.en.softonic.com/>) with 2 reviewers performing an independent assessment of treatment effect (A.D. and E.L.A.v.D.). Standard errors of the mean were transformed into SDs. When the animal group size was reported as a range, a conservative approach was chosen and the lowest number of animals was used in the analysis. Articles that described multiple independent experiments in different groups of animals were included as independent comparisons. The variables that were extracted from the studies are presented in **Table 1** and included the frequency of ketamine dosing (single, repetitive, and continuous), the delay between ketamine injection and neuropathic pain symptom measurement, and outcome measures.

2.3. Risk of bias assessment

To get an indication of the quality of the animal studies, we determined their risk of bias (RoB) using the RoB tool developed by the SYRCLE and published by Hooijmans et al.¹⁵ After training by SYRCLE, 2 reviewers performed the risk assessment (J.D.C.D. and M.v.V.), and differences in opinion were resolved by consensus and when needed a third reviewer (A.D.) was consulted. A “yes” score indicates low RoB, a “no” score indicates high RoB, and a “?” score indicates unknown RoB. To overcome the problem of judging too many items as “unclear risk of bias” because reporting of experimental details on animals, methods, and materials is very poor in the pain preclinical literature,⁴⁴ we added 3 items on reporting: reporting of any measure of randomization, reporting of any measure of blinding, and reporting of power calculation. For these 2 items, a “yes” score indicates “reported” and a “no” score indicates “unreported.”

2.4. Meta-analysis

We aimed at obtaining a general indication of the efficacy of ketamine in relieving neuropathic pain symptoms and therefore initially pooled all behavioral pain experiments regardless of etiology or methods used for measurement of pain symptom relief. We performed the following 4 exploratory meta-analyses: (1) to determine the pooled peak effect size of a single administration of ketamine within 3 hours of its administration (the largest effect size observed within this time frame was used). We had set the time frame initially at within 2 hours of

Table 1**Animal study characteristics.**

First author publication year	Animals				Treatment	Control	Admin. route	Dose	Dosing	Pain model	Outcome measure	Measurement delay since injection*
	Species	Sex	Age (wk)	Weight (g)								
Studies on single ketamine administration (n = 21)												
Chaplan et al., 1997 ²	Rat (Sprague-Dawley)	Male			Ketamine	Saline	i.t.	100 µg	Single	SNL	Paw withdrawal to a mechanical stimulus	60 min
Christoph et al., 2006 ³	Rats (Sprague-Dawley)	Male		170-310	Ketamine	Saline	i.v.	4.64 mg/kg	Single	CCI	Paw withdrawal to a cold stimulus	15 min, 24 h
Claudino et al., 2018 ⁴	Rat (Wistar)	Male		200-220	S-ketamine	Vehicle	i.n.	1 mg/kg	Single	CION	Paw withdrawal to a mechanical stimulus	30 min
De Vry et al., 2004 ⁸	Rat (Wistar)	Male		180-200	Ketamine	Vehicle	i.p.	20 mg/kg	Single	CCI	Paw withdrawal to a mechanical stimulus	30 min
Doncheva et al., 2019 ¹⁰	Rat (Wistar)	Male		200-220	Ketamine	Saline	i.p.	50 mg/kg	Single	CCI	Paw withdrawal to a mechanical stimulus	60 min
Fang 2018 ¹¹	Rat (Sprague-Dawley)	Male		180-230	Ketamine	Saline	i.p.	10 mg/kg	Single	SNI	Paw withdrawal to a mechanical stimulus	72 h
Hama and Sagen, 2012 ¹²	Rat (Sprague-Dawley)	Male		100-150	Ketamine	Vehicle	i.t.	100 µg	Single	SCI	Paw withdrawal to a mechanical stimulus	90 min
Humo et al., 2020 ¹⁹	Mouse (C75BL/6)	Male	8		Ketamine	Saline	i.p.	15 mg/kg	Single	CCI	Paw withdrawal to a mechanical stimulus	120 min, 24 h
Kroin et al., 2019 ²²	Mouse (D1)	Female		20	Ketamine	Saline	i.p.	10 mg/kg	Single	SNI	Paw withdrawal to a mechanical stimulus	60 min, 72 h
Lim et al., 2013 ²⁵	Mouse (ICR)	Male		25-30	Ketamine	Vehicle	i.t.	100 µg	Single	CCI	Paw withdrawal to a mechanical stimulus	90 min
Mao† et al., 1993 ²⁷	Rat (Sprague-Dawley)	Male		400-500	Ketamine	Saline	i.t.	23.7 µg	Single	CCI	Paw withdrawal to radiant heat	30 min
M'Dahoma 2014 ²⁸	Rat (Sprague-Dawley)	Male	7-8	225-250	Ketamine	Vehicle	i.p.	50 mg/kg	Single	SCI	Paw withdrawal to a mechanical stimulus	30 min
M'Dahoma et al., 2015 ²⁹	Rat (Sprague-Dawley)	Male		175-200	Ketamine	Saline	i.p.	50 mg/kg	Single	CCI	Paw withdrawal to a mechanical stimulus	60 min
Mehta et al., 2012 ³⁰	Rat (Wistar)	Male		180-250	Ketamine	Control	i.p.	25 mg/kg	Single	CCI	Paw licking or jump latency on hot plate	45 min
Mei et al., 2010 ³²	Rat (Sprague-Dawley)	Male		180-200	S-ketamine	Saline	i.t.	1000 µg/kg	Single	CCI	Paw withdrawal to a mechanical stimulus	30 min, 72 h
Pan et al., 2018 ⁴⁰	Rat (Sprague-Dawley)	Male	6-7	200-250	Ketamine	Saline	i.p.	10 mg/kg	Single	SNI	Paw withdrawal to a mechanical stimulus	72 h
Qian et al., 1996 ⁴³	Rat (Sprague-Dawley)	Male		125-150	Ketamine	Saline	i.p.	50 mg/kg	Single	SNL	Paw withdrawal to a mechanical stimulus	15 min
Rodrigues-Filho et al., 2004 ⁴⁵	Rat (Wistar)	Male		250-300	Ketamine	Saline	i.p.	25 mg/kg	Single	BPA	Paw withdrawal to a mechanical stimulus	30 min
Sasaki et al., 2008 ⁴⁷	Mouse (C57BL/6J)	Female	6		Ketamine	Vehicle	i.p.	50 mg/kg	Single	PHN	Paw withdrawal to a mechanical stimulus	60 min
Truin et al., 2011 ⁵²		Male		300-350	Ketamine	Saline	i.t.	200 µg	Single	CCI		45 min

(continued on next page)

Table 1 (continued)

First author publication year	Animals				Treatment	Control	Admin. route	Dose	Dosing	Pain model	Outcome measure	Measurement delay since injection*
	Species	Sex	Age (wk)	Weight (g)								
Wang et al., 2011 ⁵³	Rat (Sprague- Dawley)										Paw withdrawal to a mechanical stimulus	
	Rat (Sprague- Dawley)	Male		250- 300	Ketamine	Saline	i.p.	10 mg/kg (1 h), 50 mg/kg (24 h)	Single	SNI	Paw withdrawal to a mechanical stimulus	1 h, 24 h
Multiple ketamine administrations or continuous ketamine infusion (n = 11)												
Hota et al., 2007 ¹⁷	Rat (Wistar)	Male		200- 250	Ketamine	Control	Gavage	2.5 mg/kg	1 daily dose for 25 days	SNI	Paw licking latency on hot plate	0 d
Huang et al., 2004 ¹⁸	Rat (Sprague- Dawley)	Female		200- 250	Ketamine	Saline	i.p.	10 mg/kg	6 days for 4 weeks	SNL	Paw withdrawal to a mechanical stimulus	1 d
Kwon et al., 2014 ²³	Rat (Sprague- Dawley)	Male		180- 200	Ketamine	Saline	s.c.	40 mg/kg per day	7-day infusion	SNL	Paw withdrawal to a mechanical stimulus	0 d
Mak et al., 2015 ²⁶	Rat (Wistar)	Male			Ketamine	Control	s.c.	20 mg/kg per day	5-day infusion	STZ DN	Paw withdrawal to a mechanical stimulus	14 d
Mao† et al., 1993 ²⁷	Rat (Sprague- Dawley)	Male		400- 500	Ketamine	Saline	i.t.	23.7 µg	4 daily doses for 3 days	SNL	Paw withdrawal to radiant heat	7 days after last dose
Mei‡ 2009a,c ³¹	Rat (Sprague- Dawley)	Male		180- 200	S- ketamine	Saline	i.t. i.p.	100 µg/kg 20 mg/kg	1 dose/day for 3 or 7 days	SNL	Paw withdrawal to a mechanical stimulus	0 d
Mei 2009b,d	Rat (Sprague- Dawley)	Male		180- 200	S- ketamine	Saline	i.t.	300 µg/kg	1 dose/day for 3 days	SNL	Paw withdrawal to a mechanical stimulus	0 d
Mei et al., 2011a ³³	Rat (Sprague- Dawley)	Male		180- 200	S- ketamine	Saline	i.t.	300 µg/kg	1 dose/day for 3 days	SNL	Paw withdrawal to a mechanical stimulus	0 d
Mei et al., 2011b ³⁴	Rat (Sprague- Dawley)	Male		180- 200	S- ketamine	Saline	i.t.	300 µg/kg	1 dose/day for 3 days	SNL	Paw withdrawal to a mechanical stimulus	0 d
Salvat§ et al., 2018a ⁴⁶	Mouse (6J)	Male	6		Ketamine	Saline	i.p.	15 mg/kg	2 doses/day for 10 days (0-10 after CCI); 2 doses/day (25-35 days after CCI)	CCI	Paw withdrawal to a mechanical stimulus	15 d and 34 d
Salvat 2018b												
Swartjes 2011 ⁵⁰	Rat (Sprague- Dawley)	Female	9	230	Ketamine	Saline	i.v.	9 mg/kg per day	5-day infusion	SNI	Paw withdrawal to a mechanical stimulus	28 d
Swartjes 2013 ⁵¹	Mouse (C57BL/ 6)	Female			Ketamine	Vehicle	i.p.	50 mg/kg	In week 1, every other day 1 dose, followed by weekly dosing in week 2-6	SNI	Paw withdrawal to a mechanical stimulus	0 d

Ketamine is the racemic mixture, S-ketamine is the S-enantiomer of ketamine.

* Time points included in the meta-analysis.

† Mao et al.²⁷ performed 2 independent comparisons: one comparing a single ketamine administration vs saline and one comparing single multiple administrations vs multiple saline administrations.

‡ Mei et al.³³ performed 4 independent comparisons: S-ketamine vs saline administered through the intrathecal route and S-ketamine vs saline administered intraperitoneally with 1 dose per day for 3 and for 7 days.

§ Salvat et al.⁴⁶ performed 2 independent comparisons: one comparing ketamine administration on days 0 to 10 after surgery for CCI and one comparing ketamine administered on days 25 to 35 after surgery for CCI. Neuropathic pain models: BPA, brachial plexus avulsion; CCI, chronic constriction injury; CION, constriction of infraorbital nerve; PHN, postherpetic neuralgia; Route of administration: i.p., intraperitoneal; i.t., intrathecal; i.v., intravenous; i.n., intranasal; s.c., subcutaneous; SNI, spared nerve injury; SNL, spinal nerve ligation; STZ DN, streptozotocin-induced diabetic neuropathy.

ketamine administration but amended the protocol during the course of data extraction (as published on the PROSPERO Web site);
(2) to determine the pooled peak effect size of a single administration of ketamine at 24 hours or later after its administration;

(3) to determine the pooled effect size of repetitive or continuous ketamine administration(s) with measurements made at the end of ketamine administration; and
(4) to determine the pooled effect size of chronic ketamine administration with measurements made in the days after ketamine administration had ended.

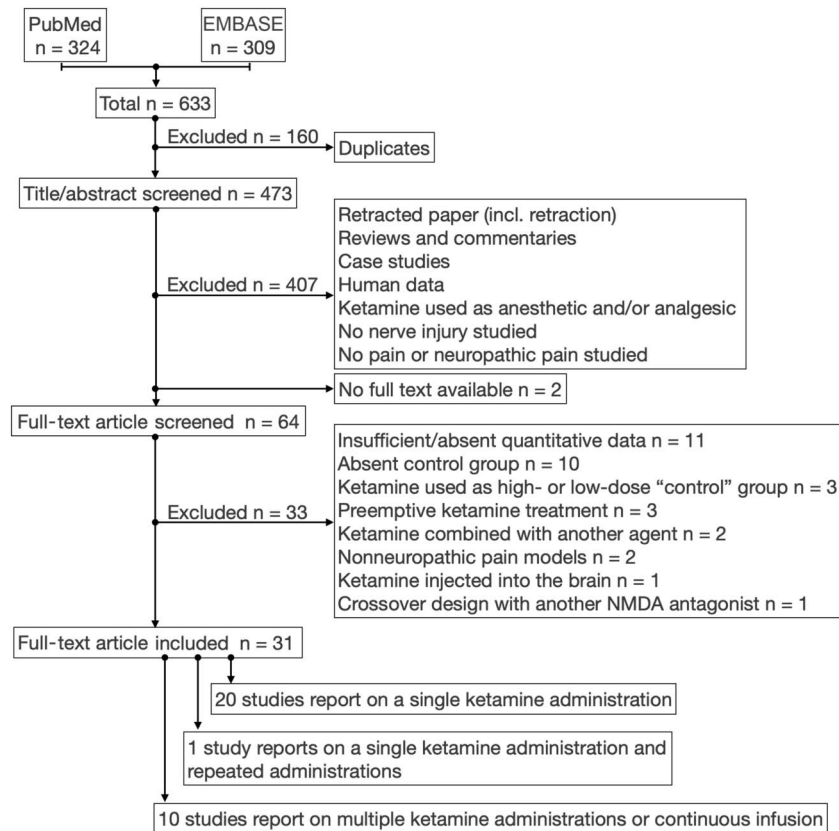


Figure 1. Flowchart of study search and selection process.

Prespecified subanalyses included the comparison of species (rats vs mice), neuropathic pain models, administration routes, use of mechanical threshold responses vs other outcomes, or doses.

The meta-analyses were performed using the Comprehensive Meta-Analysis software package version 3.0 for Windows (Biostat Inc., Englewood, NJ). The difference between treatments was used in the analysis (standardized mean difference). For each study, Hedges g and 95% confidence intervals were calculated and presented in a forest plot. Hedges g is preferable over Cohen d when sample sizes are small ($n < 20$). The data were analyzed using random effects models, assuming 2 sources of variance: within-study and between-study error. Subgroup comparisons were performed by mixed-effects analysis; subgroup comparisons were restricted to subgroups with at least 3 studies. We expected the variance to be comparable within subgroups; therefore, we assumed a common among-study variance across subgroups. Differences between subgroups should be interpreted with caution and should be used for constructing new hypotheses rather than for drawing conclusions. A sensitivity analysis was performed to assess the effect of a single study on the meta-analysis outcome by using the leave-one-out method. Heterogeneity was assessed by visual inspection of the forest plot and by measuring the degree of between-study inconsistency in the studies' results (I^2). We constructed funnel plots and performed Egger and trim-and-fill analyses to search for evidence of publication bias in meta-analyses that included at least 15 independent comparisons in Stata (StataCorp. 2019. Stata Statistical Software: Release 16; College Station, TX). Because standardized mean differences may cause funnel plot distortion,

we plotted the standardized mean differences against a sample size-based precision estimate ($1/\sqrt{n}$).⁵⁴

Statistical significance was set at $P < 0.05$. For subgroup analyses, we adjusted our significance level according to the conservative Bonferroni method to account for multiple analyses ($P \times \text{number of comparisons}$).

3. Results

3.1. Study selection and characteristics

The flowchart of studies identified in the search and the process of elimination is given in **Figure 1**. The search retrieved 324 studies from PubMed and 309 from EMBASE. After removal of duplicates, 473 studies were screened and 407 were discarded, mostly because other end points than neuropathic pain symptoms were measured or because ketamine was used as anesthetic or analgesic given during or after surgery. Sixty-six articles remained of which 2 could not be retrieved in full-text mode (1 Japanese and 1 Russian article). Sixty-four articles were read in full and carefully screened for eligibility. Thirty-two articles were excluded from the analysis for various reasons (**Fig. 1**). One additional article was *post hoc* excluded because ketamine and norketamine were administered in a crossover design, which may have influenced the relief of allodynia from ketamine. A total of 31 articles were included in the analyses (study characteristics of all articles are given in **Table 1** and **Fig. 2**), of which 20 report on the effects of a single ketamine administration,^{2–4,8,10–12,19,22,25,28–30,32,40,43,45,47,52,53} 10 articles on chronic ketamine,^{17,18,23,26,31,33,34,46,50,51} and one article on both a single and chronic administrations.²⁷ In addition, 2 articles reported on multiple independent comparisons (2 in one study and

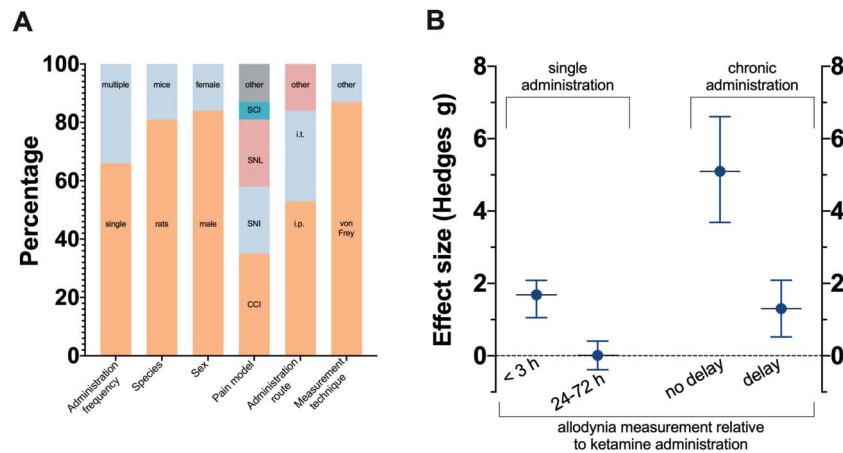


Figure 2. (A) Main characteristics of studies selected in the meta-analysis. Pain models: SCI, spinal cord injury; SNL, spinal nerve ligation; SNI, spared nerve injury; CCI, chronic nerve constriction injury; and “other” pain models were constriction of infraorbital nerve ($n = 1$), brachial plexus ablation ($n = 1$), postherpetic neuralgia ($n = 1$), and streptozotocin-induced neuropathy ($n = 1$). Administration route: i.t., intrathecal; i.p., intraperitoneal; and “other” routes were subcutaneous ($n = 2$), intranasal ($n = 1$), and intravenous ($n = 2$). Measurement techniques: “other” includes measuring withdrawal latency to cold and heat stimuli. (B) Summary of the 4 meta-analyses. No delay indicates that the measurement of neuropathic pain was performed during the period of ketamine administration. The data point of the single administration 24-72 h is without Fang et al. (Ref. 11).

4 in another).^{31,46} This makes a total of 35 independent comparisons, 21 studying a single ketamine injection, and 14 repetitive daily administrations and one on a continuous infusion. Of the studies on a single injection, most ($n = 19$) measured the effect of ketamine shortly after injection (mean \pm SD delay = 1 ± 0.7 hours), whereas 7 studies report on measurements at 24 or 72 hours after the single ketamine injection. Of the 15 studies that tested the chronic administration of ketamine over several days (median duration of treatment = 6 days, range 3-42 days), 9 measured treatment effect during the administration period, whereas 6 assessed the effect of the drug at least one day after the administration was terminated (median duration of treatment = 7.5 days, range 3-28 days; median test delay = 24 days, range 1-36 days). The characteristics of the individual studies (Table 1 and Fig. 2) indicate that most studies were performed in male rats (84%) after surgery for chronic nerve constriction injury (CCI) (35%), spinal nerve ligation injury (SNL) (23%), or spared nerve injury (23%) and after intraperitoneal (53%) or intrathecal (31%) ketamine administration. Mechanical withdrawal responses were tested in 87% of studies using manual von Frey filaments or similar techniques (eg, by electronic von Frey technique). The total number of animals included in the 31 studies that received ketamine was 331 with 333 control animals of which 270 and 274, respectively, were rats and the remaining were mice. The median number of animals per treatment group was 7 with range 4 to 12 in both ketamine and control groups. The majority of studies (71%) injected racemic ketamine, while the remainder injected the S-isomer.

3.2. Risk of bias

The results of the RoB assessment is presented in Figure 3A. As a consequence of inadequate reporting of essential methodological details, the RoB was in most studies and most RoB domain scores as “unclear” due to poor reporting. This is further emphasized in the 3 reporting questions (Fig. 3B) that we added to the SYRCL RoB tool on randomization (reported: yes/no), blinding (reported: yes/no), and power calculation (reported: yes/no) that showed that in 77%, 68%, and 94% of studies, respectively, these were not reported.

3.3. Meta-analysis 1: effect of a single ketamine dose and measurement within 3 hours of administration

The 19 comparisons (coming from 19 individual studies) that tested the ketamine effect within 3 hours after administration showed an appreciable effect size. The pooled effect size (Hedges $g \pm$ standard error) was 1.6 ± 0.3 with 95% confidence interval 1.1 to 2.1 ($P = 0.00$); Figures 2 and 4. Across all studies heterogeneity was large ($I^2 = 72%$); the leave-one-out method did not point towards studies that dominated the outcome. Subgroup analyses indicated (Fig. 5) that there were no differences between species (rat: $n = 15$; 1.7 ± 0.3 [1.1-2.3], $P = 0.00$, $I^2 = 73%$ vs mouse: $n = 4$; 1.1 ± 0.4 [0.3-2.0], $P = 0.007$, $I^2 = 48%$; group comparison $P = 0.30$), administration routes (i.p.: $n = 10$; 1.6 ± 0.4 [0.8-2.4], $P = 0.00$, $I^2 = 81%$ vs i.t.: $n = 6$; 1.5 ± 0.4 [0.8-2.2], $P = 0.00$, $I^2 = 46%$; group comparison $P = 0.26$), or doses (systemic dose 5-25 mg/kg: $n = 7$, 1.5 ± 0.5 [0.4-2.5], $P < 0.01$, $I^2 = 83%$; systemic dose 50 mg/kg: $n = 5$, 2.0 ± 0.5 [1.1-3.0], $P = 0.00$, $I^2 = 65%$, and intrathecal dose 100-200 μ g: $n = 4$, 1.4 ± 0.4 [0.6-2.1], $P = 0.00$, $I^2 = 27%$, group comparison $P = 0.55$). Most studies were performed in animals with a disease model induced by CCI ($n = 9$) or SNL ($n = 3$). The effect direction was similar for all nociceptive assays with a rather large variability in the 3 studies performed using SNL (Fig. 5). Finally, 18 studies measured the mechanical withdrawal response, whereas the 3 others measured the withdrawal response to cold or heat (radiant heat or hot plate). The pooled effect size for studies that measured the mechanical withdrawal response was 1.7 ± 0.3 (1.1-2.3), $P = 0.00$, $I^2 = 73%$.

3.3.1. Publication bias

No evidence was found for small study effects ($P = 0.74$) and missing studies (zero imputed; Fig. 6). However, the number of studies was small ($n = 19$), and therefore this result does not mean that there is no publication bias. We expect, based on previous systematic reviews of animal studies, that some studies are missing and the summary effect is somewhat overestimated.

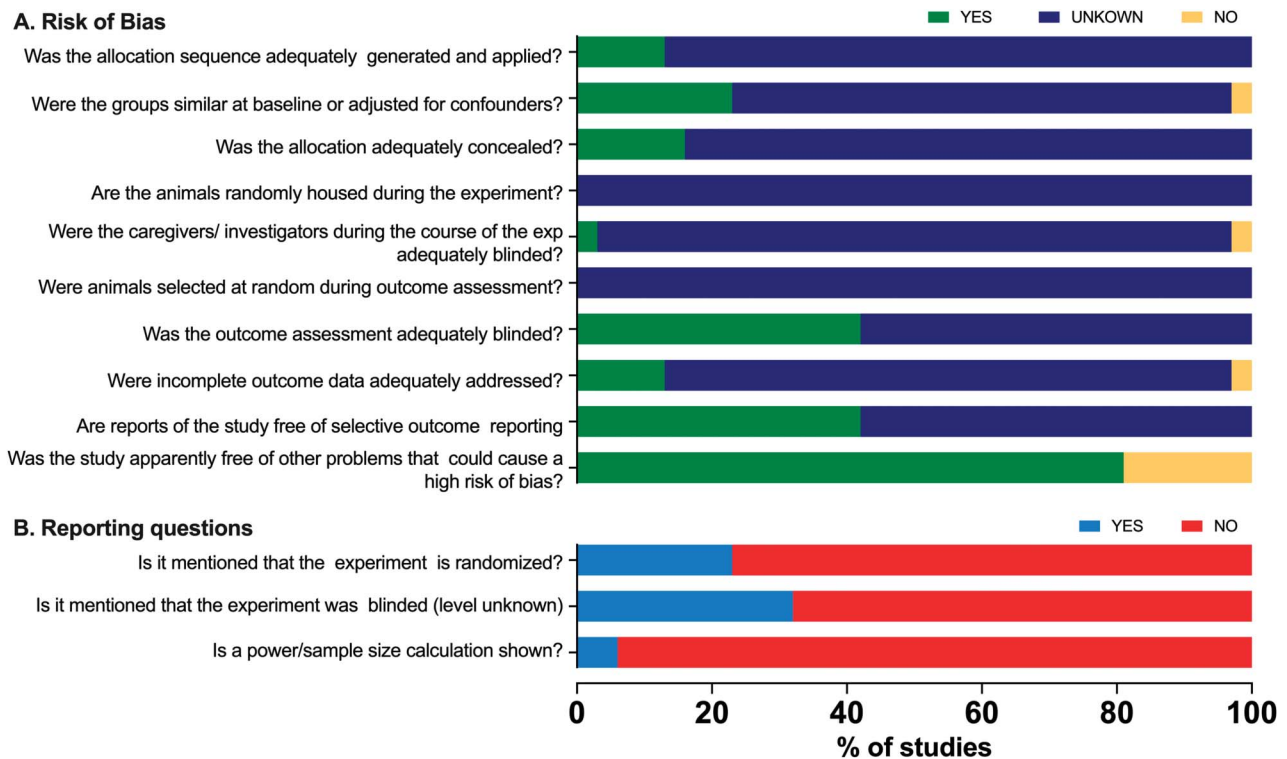


Figure 3. (A) Risk of bias assessment of the included studies. (B) Reporting questions on randomization, blinding, and power calculation.

3.4. Meta-analysis 2: effect of a single ketamine dose and measurement at 24 hours or 72 hours after dosing

Seven comparisons from 7 studies tested the effect of ketamine 24 or 72 hours after administration with pooled effect size 0.4 ± 0.4 (-0.3 to 1.1), $P = 0.28$, $I^2 = 70.7\%$. One study (Fang et al.¹¹) was considered an outlier and without that study the effect size was reduced to 0.010 ± 0.201 (-0.4 to 0.4), $P = 0.96$, $I^2 = 0\%$ (Fig. 4B). The effect size at 24 hours ($n = 3$) was 0.1 ± 0.3 (-0.5 to 0.6), $P = 0.80$, $I^2 = 0\%$, and at 72 hours ($n = 3$, excl. Fang et al.¹¹) -0.05 ± 0.28 (-0.6 to 0.5), $P = 0.86$, $I^2 = 0\%$. All predefined subgroups were too small to conduct reliable analyses.

3.5. Meta-analysis 3: effect of chronic ketamine and measurement during exposure

Nine comparisons (obtained from 6 studies) tested the ketamine effect within the period of drug administration and had a pooled effect size of 5.1 ± 0.7 (3.6 – 6.5), $P = 0.00$, $I^2 = 82\%$ (Fig. 4C). In 8 comparisons, rats were tested with effect size 5.3 ± 0.8 (3.7 – 6.9) $P = 0.00$, $I^2 = 83\%$. All other subgroups were too small to conduct reliable analyses.

3.6. Meta-analysis 4: effect of chronic ketamine and measurement after exposure

Six comparisons (from 5 studies) that tested the effect of ketamine after treatment had a pooled effect size of 1.3 ± 0.4 (0.5 – 2.1), $P = 0.001$, $I^2 = 66\%$ (Fig. 4D). In 4 comparisons, rats were tested (effect size 1.6 ± 0.6 [0.4 – 2.8], $P = 0.01$, $I^2 = 79\%$) and mice in the 2 remaining studies. All other subgroups were too small to conduct reliable analyses.

Comparison between analyses #3 and #4 showed that the delay in testing (respectively during and after ketamine exposure)

resulted in a significant reduction in effect size of ketamine from 5.1 ± 0.7 to 1.3 ± 0.4 ($P = 0.00$).

4. Discussion

The analyses of ketamine studies performed in nerve-injured rodents demonstrate that a single ketamine administration has an appreciable effect on the relief of allodynia when tested within 3 hours after administration. This effect dissipated rapidly in the subsequent days. When chronically administered for at least 3 days, ketamine had a large effect when tested in the treatment period, an effect that diminished in the days after exposure.

In contrast to most analgesics, the effect of ketamine is not driven by its pharmacokinetics.¹⁹ There is evidence from human and animal data that the effect of ketamine persists at times when plasma ketamine concentrations (and metabolites) are low or undetectable. For example, in nerve-injured rats, Christoph et al.³ showed that ketamine inhibits the electrophysiological response of spinal dorsal horn wide-dynamic-range neurons with a time course consistent with the ketamine plasma half-life ($t_{1/2} = 10$ – 12 minutes), indicative of rapid receptor kinetics,³⁹ whereas its anti-allodynic effects lasted >3 hours. In patients with chronic neuropathic pain, we observed differences in half-lives of the decay of ketamine-induced analgesia, depending on the duration of treatment. A short (2 hours) administration of intravenous S-ketamine in patients with complex regional pain syndrome type 1 resulted in effective analgesia (pain reduced from 6 to 0 on a 10-cm visual analogue scale) during treatment followed by a rapid return of pain with a half-life of 2 hours.⁴⁸ In a similar patient population, a 100-hour infusion of esketamine resulted in the reduction of perceived pain from 7 to 2.5.⁵ On termination of treatment, pain intensity slowly returned to baseline levels with a half-life of 11 days. In both studies, ketamine and norketamine plasma concentration dropped below 100 ng/mL within minutes after the termination of

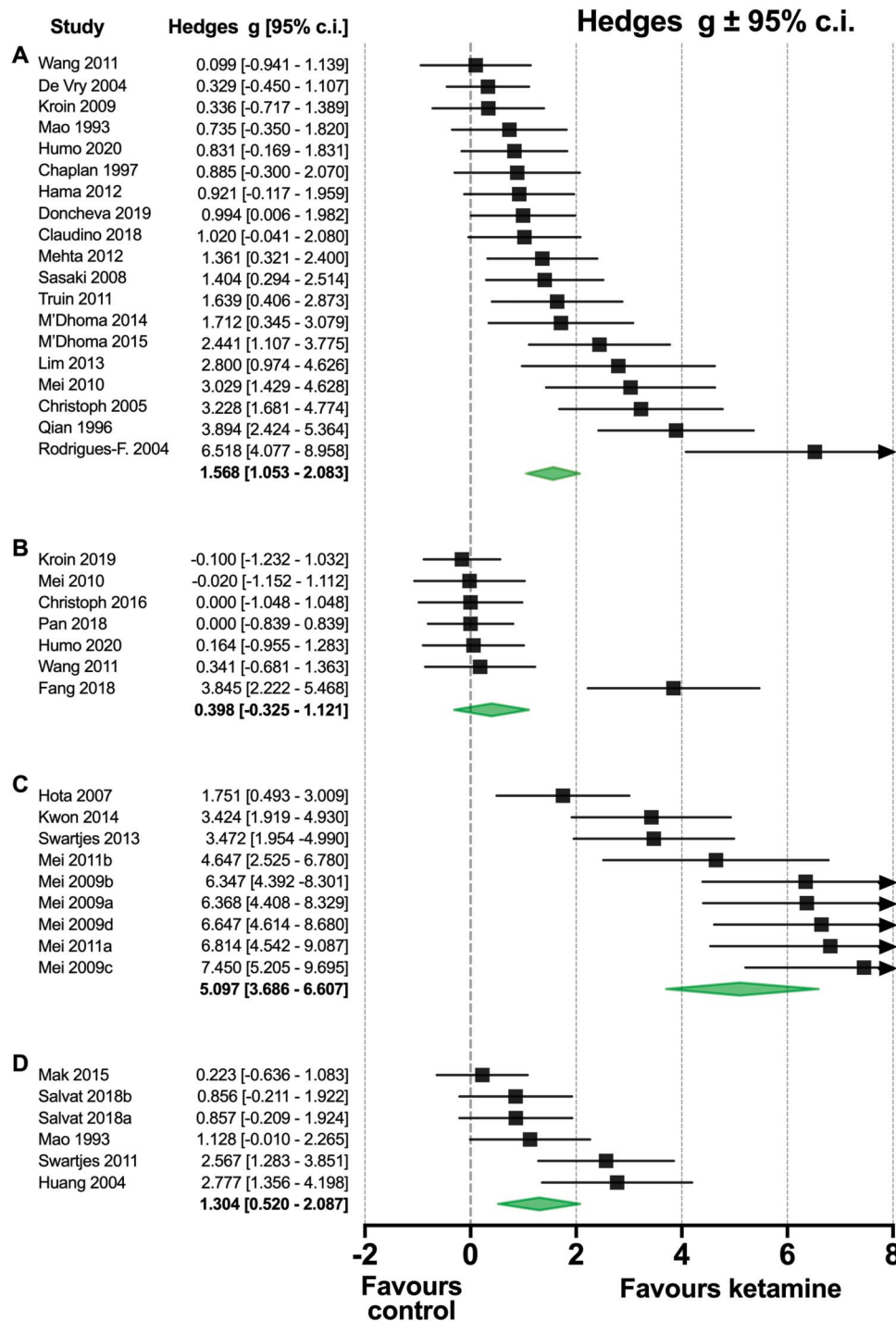


Figure 4. (A) Forest plot of the effect of a single ketamine injection on neuropathic pain measured within 3 hours of injection. (B) Forest plot of the effect of a single ketamine injection on neuropathic pain measured at 24 or 72 hours after injection. (C) Forest plot of the effect of repetitive ketamine injections or a continuous infusion on neuropathic pain, measured during ketamine administration. (D) Forest plot of the effect of repetitive ketamine injections or a continuous infusion on neuropathic pain, measured in the days after ketamine administration. The green diamonds are the group effect sizes ± 95% confidence intervals.

infusion. Summarized, these data suggest that the ketamine efficacy in relieving neuropathic pain symptoms depends on the duration of administration and the timing of effect measurement. We believe that this behavior may be one of the main reasons why most human randomized controlled trials examining the efficacy of ketamine in relieving neuropathic pain yield negative results. One example is a recent trial that tested a single dose of 0.5 mg/kg ketamine in 20 patients with neuropathic pain from a variety of origins (related to surgery, radiculopathy, trauma, diabetes mellitus,

or chemotherapy) and observed no relief of neuropathic pain at 5 weeks after treatment (primary outcome), although at 1 week a small effect was observed (secondary end point).⁴² To get insight in this matter, we sought help from animal studies that tested the effect of ketamine on relief of allodynia. The aim of our review is to explore whether ketamine efficacy is time dependent in terms of exposure and neuropathic symptom relief testing.

We first analyzed the data from 19 studies that examined the effect of a single systemic or intrathecal ketamine injection in a

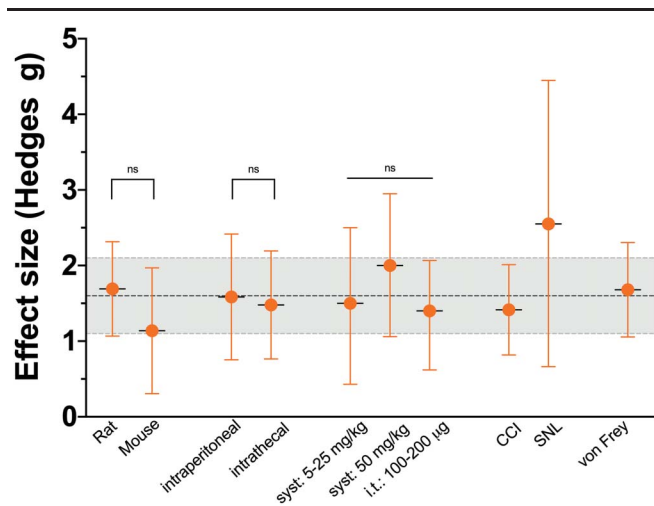


Figure 5. Subgroups of comparisons on the effect of a single administration of ketamine on relief of allodynia measured within 3 hours of administration. The data are pooled effect size (Hedges g) \pm 95% confidence interval. The dotted line and gray area are the effect size of all the complete data set \pm 95% confidence interval. CCI, chronic nerve constriction injury; i.t., intrathecal; SNL spinal nerve ligation injury.

population of predominantly male rats after nerve injury and measured withdrawal responses to mechanical stimuli within 3 hours of ketamine administration. The effect of ketamine was appreciable (effect size 1.6 ± 0.3) albeit with high between-study heterogeneity. Subgroup analyses showed no differences between species, administration route, assay, or dose (**Fig. 5**). This, however, does not mean that there are no effects of these independent variables because the power of identifying the effects in our subgroup analyses is low. The immediate effect of a single ketamine dose is best explained by the blockade of afferent nociceptive input from injured nerves to central sites through antagonism of NMDA receptors and possibly involvement of other receptor systems (eg, opioid receptors).⁴⁹ However, a single dose is insufficient to produce long-lasting effects as observed in our second analysis. Six of 7 comparisons included in the analysis showed allodynia in magnitude similar to control when tested at 24 or 72 hours after ketamine injection without heterogeneity. One outlier (Fang et al.¹¹; **Fig. 4**) observed a rather

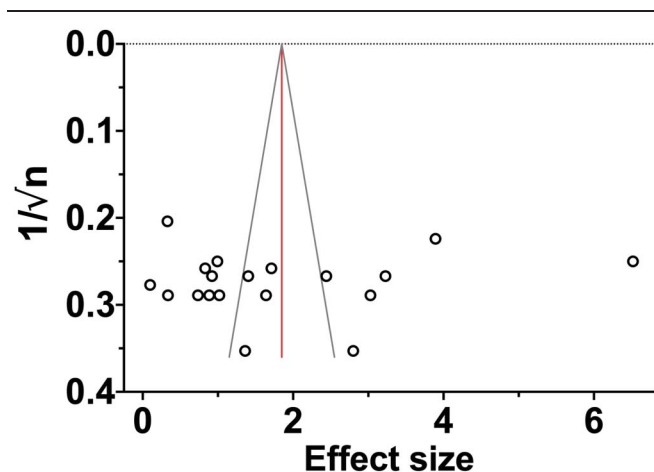


Figure 6. Funnel plot of meta-analysis 1 examining the ketamine effect within 3 hours of a single administration. Each symbol represents an independent comparison.

large effect after 10 mg/kg ketamine in animals selected for their anhedonia susceptibility as a surrogate measure of depression. Possibly, the animal selection was the reason for the divergent effect relative to the other 6 studies.

We next determined the effect of chronic ketamine administration (treatment given for 3–42 days). Across these 9 comparisons a large effect size was detected with high between-study heterogeneity levels. In contrast to the effect of a single ketamine administration, the chronic treatment strategy caused a slow loss of the relief of allodynia. At 24 days after termination of ketamine administration (the median test delay period), allodynia had partially returned but, relative to control, the effect was still present and similar to the effect observed after a single administration. These data indicate that ketamine effect persists beyond the treatment exposure time and suggests that already after 3 days of treatment a long-term pharmacokinetic-independent effect is observed.^{5,39,48} Various mechanisms have been proposed for the persistent ketamine effect: (1) blocking NMDA channel function and changes in NMDA receptor phosphorylation and expression and consequently disrupting pathologic glutamatergic neurotransmission at spinal and/or central levels⁴⁹; (2) an alteration of pain phenotype from changes in connectivity among the various pain-related brain centers³⁷; and (3) a restorative anti-inflammatory effect at spinal and/or central levels from activation of several receptors system including the CD131 cytokine receptor.^{24,51}

4.1. Limitations

To explore the certainty in the evidence, we assessed the domains of the GRADE approach for animal studies.¹³ First, heterogeneity among studies was high ($I^2 > 50\%$), which can be expected and is related to the often exploratory nature of animal studies, and part of the heterogeneity is intentionally induced.¹³ To account for the expected heterogeneity, we analyzed the data with random effects models, and heterogeneity was explored by sensitivity and subgroup analyses. Meta-analyses allow the exploration of the causes for heterogeneity, which is not only informative but may also help in the design of future animal studies. An important observation was that there was consistency between the 2 species tested, which is a strength in our analyses.

Second, the number of animals and comparisons in the meta-analyses described in this review is relatively low. Consequently, the calculated effect estimates in the meta-analyses may be imprecise. In combination with the high between-study heterogeneity in meta-analyses of animal studies, the certainty in the calculated effect decreases. Fortunately, as recommended in meta-analyses of animal studies, we focus on direction of effects rather than on actual effect sizes.^{13,14} For the results of the subgroup analyses, the risk of imprecise results is even larger as subgroup analyses are often conducted on even smaller numbers of studies. Therefore, the results of subgroup analyses should be interpreted with caution and treated as hypothesis generating.

An additional issue is the indirectness of evidence from the studies compared with their original research question and translatability towards humans. Considering the first issue, the study of pain relief by ketamine was often a secondary or tertiary end point (with primary end points related to, eg, relief of depression or measurement of cytokines). Although this does not degrade the internal validity of the outcome of pain-related experiments, we cannot exclude an interfering effect of multiple end points measured in the same animals. Regarding the second item of indirectness, (1) the animals were all studied in the hours or

days after surgery. In humans, patients with neuropathic pain are often treated with ketamine after years of suffering with often highly ineffective earlier treatments; (2) most animal models of allodynia do not represent the most common causes of neuropathic pain in humans, which also include genetic syndromes, surgery/trauma, infectious disease, metabolic syndromes, and degenerative disease; (3) several studies administered ketamine through the intrathecal route, which is not the pathway of choice in humans; (4) patients with neuropathic pain report many additional symptoms not studied in these animal models; and (5) the experiments were predominantly restricted to male animals, which ignores existing sex differences in pain perception and efficacy of pain treatment (including ketamine).³⁶ These issues suggest that blind extrapolation of our findings towards humans is tenuous. To improve translation, further animal studies are needed that use randomized and blinded designs, are sufficiently powered, use multiple species beyond mice and rats, study males and females, and apply ketamine administration routes used in humans. Nevertheless, our results seem in correspondence with the sparse human data showing ketamine efficacy when administered over multiple days and lack of efficacy when administered only once.^{5,35,38,48}

Finally, our RoB analysis revealed that essential details regarding the design and conduct of the included experiments are poorly reported. Consequently, the RoB could not be estimated for most studies. This is a serious concern because a lack of reporting methodological details will to some extent indicate neglected use of these methods to reduce bias, and this negatively impacts the ability to draw reliable conclusions based on the included experimental animal studies.

4.2. Conclusions

Ketamine efficacy in relief of allodynia in rodent models of neuropathic pain seems dependent on exposure time and timing of allodynia testing. Prolonged exposure (>3 days) results in long-term relief of allodynia. Given the limitations, extrapolation of the animal data to the human condition is tenuous.

Conflict of interest statement

The authors have no conflicts of interest to declare.

Appendix A. Supplemental digital content

Supplemental digital content associated with this article can be found online at <http://links.lww.com/PAIN/B302>.

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