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## Tackling chronic respiratory disease in low-resource settings

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# CHAPTER



## **A SYSTEMATIC APPROACH TO CONTEXT-MAPPING TO PREPARE FOR HEALTH INTERVENTIONS – DEVELOPMENT AND VALIDATION OF THE SETTING- TOOL IN FOUR COUNTRIES**

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## ABSTRACT

Effectiveness of health interventions can be substantially impaired by implementation failure. Context-driven implementation strategies are critical for successful implementation. However, there is no practical, evidence-based guidance on how to map the context in order to design context-driven strategies. Therefore, this practice paper describes the development and validation of a systematic context-mapping tool. The tool was cocreated with local end-users through a multistage approach. As proof of concept, the tool was used to map beliefs and behaviour related to chronic respiratory disease within the FRESH AIR project in Uganda, Kyrgyzstan, Vietnam and Greece. Feasibility and acceptability were evaluated using the modified Conceptual Framework for Implementation Fidelity. Effectiveness was assessed by the degree to which context-driven adjustments were made to implementation strategies of FRESH AIR health interventions. The resulting Setting-Exploration-Treasure-Trail-to-Inform-implementation-strategies (SETTING-tool) consisted of six steps: (1) Co-set study priorities with local stakeholders, (2) Combine a qualitative rapid assessment with a quantitative survey (a mixed-method design), (3) Use context-sensitive materials, (4) Collect data involving community researchers, (5) Analyse pragmatically and/or in-depth to ensure timely communication of findings and (6) Continuously disseminate findings to relevant stakeholders. Use of the tool proved highly feasible, acceptable and effective in each setting. To conclude, the SETTING-tool is validated to systematically map local contexts for (lung) health interventions in diverse low-resource settings. It can support policy-makers, non-governmental organisations and health workers in the design of context-driven implementation strategies. This can reduce the risk of implementation failure and the waste of resource potential. Ultimately, this could improve health outcomes.

### Summary Box

- Implementation failure can seriously impair the effectiveness of health interventions, thereby draining resource potential and undermining health outcomes.
- Context-driven implementation strategies can help to avoid failure. To design such strategies, practical guidance is needed on how to map local contexts.
- We developed and validated the Setting-Exploration-Treasure-Trail-to-Inform-Implementation-strategies (SETTING-tool) for mapping local health beliefs and behaviours.
- The SETTING-tool proved highly feasible, acceptable and effective in four diverse countries across the globe, demonstrating its wide applicability.

## INTRODUCTION

Evidence-based interventions consistently fail to be implemented into routine practice, resulting in a substantial waste of resource potential and undermining health outcomes.<sup>1</sup> Implementation—the act of carrying an intervention into effect<sup>3</sup>—is a typically effortful, complex process.<sup>4</sup> Context-driven implementation strategies help to avoid implementation failure.<sup>2</sup> 3 5–10 However, there is no evidence-based, practical guide on how to first understand and map contexts in order to design such strategies.

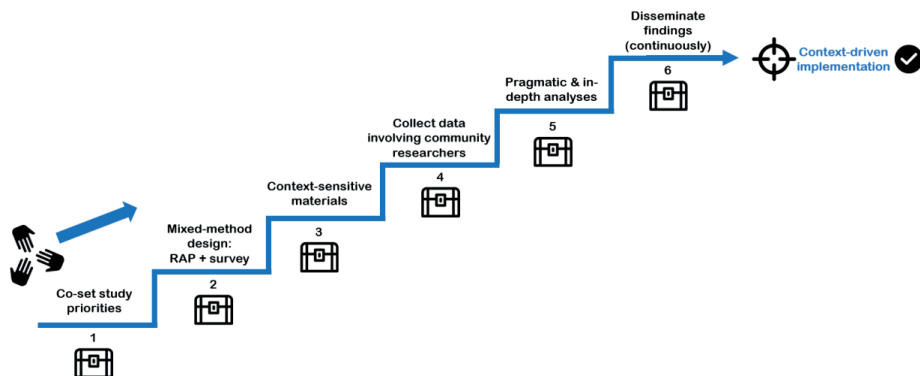
Context can be defined as a set of unique characteristics and circumstances surrounding the implementation effort.<sup>11</sup> A critical dimension of context pertains to local attitudes and knowledge regarding an intervention.<sup>8</sup> Health beliefs and risk perceptions shape behaviour, ranging from perceived causes of a disease to perceived barriers to change disease-related behaviour.<sup>12–14</sup> For example, it would be unfeasible to motivate a community to instal kitchen chimneys to reduce indoor smoke, when local beliefs entail that ghosts enter homes via the chimneys. However, implementers are often unaware of such beliefs.<sup>15</sup>

Beliefs and behaviours are mostly not adequately mapped as it is resource-consuming and time-consuming.<sup>15</sup> Moreover, there are no standardised practical methodologies available to guide context-mapping efforts.<sup>6</sup> 16 17 The identification, development and testing of practical implementation methodologies are now considered top priorities in implementation science.<sup>16</sup> Especially clinical researchers without implementation science expertise would benefit from systematic guidance to enable them to reproduce evidence-based methodologies in practice.<sup>18</sup>

Paradoxically, implementation evidence is particularly limited for low-resource settings, where the global burden of disease is highest and resources are scarcest.<sup>9</sup> 19 Therefore, we aimed to develop and validate a practical, systematic context-mapping tool for assessing local beliefs and behaviours in low-resource settings. To validate the tool, it was tested during a research project on chronic respiratory diseases (CRDs). CRDs are a major burden to low-resource settings; 90% of chronic obstructive pulmonary disease (COPD)-related deaths and 80% of asthma-related deaths occur in low-income and middle-income countries (LMICs).<sup>20</sup> In this paper, we describe the development and validation of the Setting-Exploration-Treasure-Trail-to-Inform-implementation-strateGies (SETTING-tool) in practice, and report on its feasibility, acceptability and effectiveness.

## DEVELOPMENT AND VALIDATION OF THE CONTEXT-MAPPING TOOL

With a multidisciplinary expert panel including professionals from four diverse low-resource countries, we employed a multistage and multimethod approach to develop a context-mapping tool (see online supplemental appendix 1). The approach was similar to the approach for the development of the ImpRes tool.<sup>18</sup> We first generated components for the tool based on a pragmatic literature search and methodological principles considered state-of-the-art in our fields (see online supplemental appendix 1). A principle would be, for example, that mixed-method designs generally provide better insights than qualitative or quantitative research alone). Together with the expert panel, we then detailed the components (eg, use a ‘Rapid Assessment Process (RAP) for qualitative data collection’) based on expected feasibility, acceptability and effectiveness. We discussed these components until consensus was reached, and consolidated these into a comprehensive step-by-step tool. The resulting context-mapping tool had a stepwise approach, and was named the SETTING-tool (figure 1). Next, a research team sequentially validated the SETTING-tool prospectively in six diverse low-resource settings across four countries (see online supplemental appendix 2) within the Free Respiratory Evaluation and Smoke-exposure reduction by primary Health care Integrated groups (FRESH AIR) project (Box 1). Feasibility and acceptability of the tool were evaluated using the modified Conceptual Framework for Implementation Fidelity.<sup>21</sup> Effectiveness of the tool was assessed by determining the degree to which its application resulted in context-driven adjustments in the implementation strategies of subsequent FRESH AIR lung health interventions. Results guided iterative fine-tuning of the tool for the next setting. Online supplemental appendix 1 provides a detailed description of the full methodology for the development and evaluation of the tool.

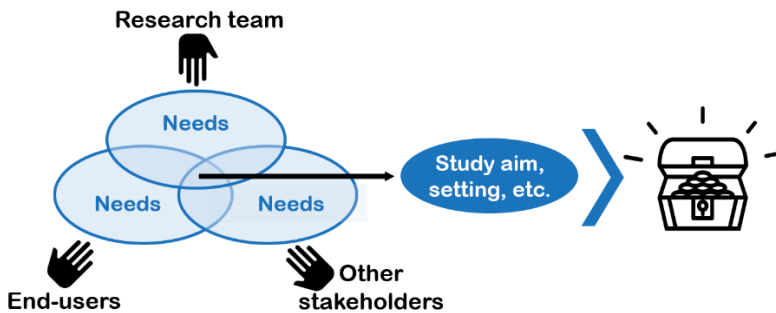


**FIGURE 1.** The Setting Exploration Treasure Trail to Inform implementation strategies-tool; a step-by-step guide. This trail is a continuous, joint walk for researchers (those studying the context), foreseen end-users of the resulting information and other stakeholders. Certain factors, the treasures along the way, are considered to be key in successful completion of the step. RAP, rapid assessment process.

**Box 1. The Horizon 2020 FRESH AIR study**

The FRESH AIR project (2015-2018) studied the implementation of evidence-based chronic respiratory disease-related interventions in low-resource settings across the globe: in Uganda, Kyrgyzstan, Vietnam, and Greece. The project included preventive interventions (reducing exposure to tobacco smoke and biomass smoke), diagnostic (eg, diagnosing asthma in young children, online spirometry training), and treatment interventions (smoking cessation, pulmonary rehabilitation). The design of the implementation strategies of these interventions was context-driven, and informed by the application of the Setting-Exploration-Treasure-Trail-to-Inform-implementationN-strateGies SETTING-tool.

<https://www.ipcrg.org/projects/research/fresh-air> (Trial ID NTR5759; [trialregister.nl/trial/5644](http://trialregister.nl/trial/5644))

**STEP 1: Co-set study priorities** (Figure 2)

**FIGURE 2. Step 1: collaboratively set the study priorities.** Key to the treasure case (to successful completion of the step) is to define end-users of the study data, identify other stakeholders and actively engage all. Then explore the needs and coformulate the exact aim and scope of the context assessment, the setting and other priorities.

## APPLICATION OF THE SETTING-TOOL IN THE FRESH AIR PROJECT

The tool is discussed step by step, including the rationale for each step and its application in the FRESH AIR project (see also table 1).

As a first step, we (the context-mapping researchers) collaboratively set the study priorities with our end-users and other influential stakeholders. We defined end-users of our study findings as (1) our FRESH AIR consortium colleagues, who would use the data to design context-driven implementation strategies for their planned interventions and (2) future implementers in similar settings, such as policy-makers, non-governmental organisations (NGOs) and health workers. The other influential stakeholders we identified, ranged from the Minister of Health

to local community members (CMs). They were diverse in terms of age, sex and educational background. They were engaged in Stakeholder Engagement Groups (see online supplemental appendix 3 table E1). Stakeholders received no financial compensation for participation. We engaged stakeholders from the beginning to ensure the study would closely meet their needs; this can be crucial in fostering uptake of the findings into practice later.<sup>3 7 22–25</sup>

Together with the FRESH AIR consortium colleagues and the Stakeholder Engagement Groups, we collaboratively set the context-mapping study's priorities. We formulated the exact study aim: 'To explore CRD-related beliefs and behaviours in diverse low-resource settings.' We used a theoretical framework (a combination of three well-established health-behaviour frameworks,<sup>12–14</sup> online supplemental appendix 4) to further guide the specification of the aim and our methodological orientation.<sup>26–29</sup> This framework consisted of factors leading to (intention to conduct) behaviour, such as the locally perceived identity of CRDs, perceived causes, and perceived barriers to change CRD-related behaviour. CRD-related behaviour included risk behaviour (tobacco smoking, cooking/ heating in a way that generates household air pollution), help-seeking behaviour by CMs, and helping behaviour by healthcare professionals.

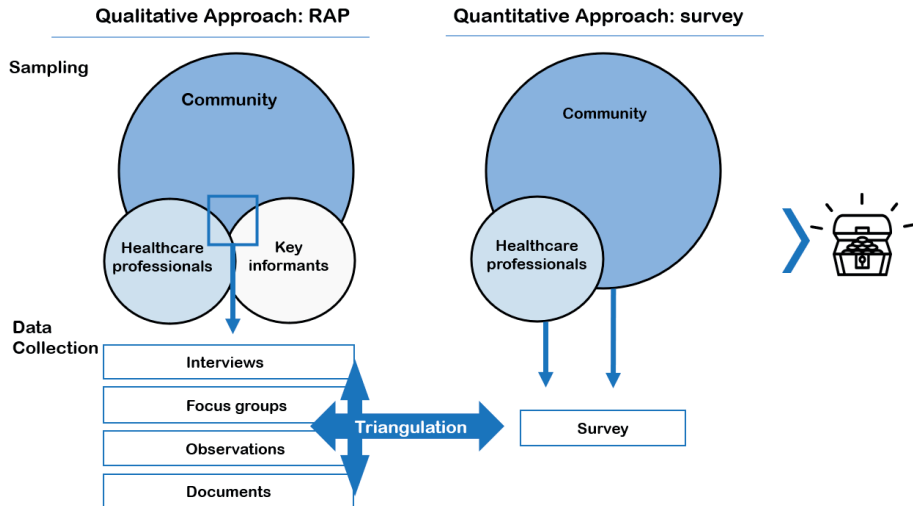
We also determined the study settings. Implementation research generally aims to produce knowledge applicable across various settings,<sup>24</sup> and rural settings have a high prevalence of CRD and risk factors to CRD.<sup>30 31</sup> Therefore, six rural settings across four countries were selected purposively to represent diversity, and conveniently based on established relationships with the settings (online supplemental appendix 2 further details the settings and the definition of rural). These settings were in Uganda, Kyrgyzstan (a highland and lowland setting), Vietnam and Greece (a Roma camp and a rural Greek setting).

Second, we codesigned the context-mapping study with the end-users (the FRESH AIR consortium colleagues) and other stakeholders. Our researchers'-perspective enabled us to underpin the study with evidence-based methods, whereas the stakeholders ensured high compatibility of the selected methods with the local context. Codesigning the methods furthermore benefited the stakeholders' engagement.<sup>3 7</sup> We cocreated a population-based, cross-sectional, observational design. Mixed-method designs generally provide a better understanding of situations than qualitative or quantitative research alone.<sup>3 32</sup> Therefore, we adopted a mixed-method approach enabling to (1) explore and describe qualitatively how people perceive CRD, and what their related behaviour is like, and then 2) *quantify* the findings. We selected an RAP for the qualitative data collection,<sup>33</sup> as it allowed us to timely inform our FRESH AIR colleagues on the implementation strategies of their interventions. We chose to collect the quantitative data using a survey. This enabled us to triangulate our data (to compare data collected through multiple methods, sources, theories and/or researchers) to optimise the validity of our findings (figure 3).<sup>34</sup>

Table 1 Overview of the SETTING-tool, explanation of the content and rationale for each step

STEP	Content	Rationale
1: Co-set study priorities	Define end-users of the study data, identify other stakeholders, and actively engage all. Then explore the needs and coformulate the exact aim and scope of the context assessment—guided by a theoretical framework, the setting and other priorities.	Identifying and engaging stakeholders from the beginning enables that the study meets the stakeholders' needs, which can be crucial in fostering uptake of the findings into practice later. <sup>3, 7, 22-25</sup> A theoretical framework helps to guide the specification of the aim and methodological orientation. <sup>26-29</sup> Implementation research generally aims to produce knowledge applicable across various settings. <sup>24</sup>
2: Co-create a mixed-method study design: combine a rapid assessment with a survey	Co-create the mixed-method study design. Include (1) a qualitative rapid assessment process (RAP) in which data are collected by multiple methods, sources and researchers, and 2) a quantitative survey. Triangulate all findings. A RAP: The key principles of a RAP are (1) a system (community) perspective, (2) rapid, in-depth and iterative data collection and analysis, and (3) triangulation of data. The RAP research team immerses into a community for a short period and collects data simultaneously in subgroups using multiple methods.	Whereas the researchers can provide input on the use of evidence-based methods and a theoretical framework, <sup>26, 27</sup> the end-users and other stakeholders can provide input on the compatibility of these methods with the local context. This collaboration furthermore stimulates the stakeholders' engagement. <sup>3, 7</sup> Mixed-method designs generally provide a better understanding of situations than qualitative or quantitative research alone. <sup>3, 32</sup> Triangulation of findings optimises validity of research results. <sup>34</sup> With a RAP, data collection is highly flexible and driven by local needs. <sup>33, 35</sup>
3: Create context-sensitive study materials with high validity	Use evidence-based components where available throughout every substep, in our case: use (1) a theoretical framework, (2) a syndromic approach, (3) a vignette, (4) validated questionnaires, (5) a careful translation process (including translation, back-translation and according adjustments) and (6) pilot-testing of the materials.	The framework helps to theoretically underpin material development. <sup>26-29</sup> A syndromic (symptom-based) approach helps the participants to identify with the phenomenon studied, when a low awareness about it is expected. Use of a vignette can help to discuss topics more openly, while avoiding stigmatisation or while addressing sensitive topics. <sup>35</sup>
4: Collect data with a trained, diverse team including community researchers	Set up a diverse team, with local (community) researchers with an insiders' perspective combined with researchers with an external perspective. Train the team members by an experienced researcher.	Diversity in the team helps to enlighten the research topic from multiple perspectives and enrich the data. <sup>35</sup> The right community researchers are trusted by their community and have a thorough understanding of local networks and local health beliefs and behaviours. The combination with the 'outsiders' perspectives' helps to point out remarkable, typical local themes taken for granted by the community team members. Training secures ethical standards during data collection and enhances uniformity and high-quality data collection. <sup>26</sup>
5: Analyse data pragmatically and/or in-depth	Decide to analyse the data pragmatically and/or in-depth, depending on the objective.	Ensure to timely inform the implementation design for related health interventions, which may have to be supplemented by more in-depth analyses for scientific purposes. For feasibility, findings from pragmatic analyses via a 'targeted approach' can also be effective. <sup>27</sup>
6: Disseminate findings and promote data use (continuous step)	Continuously communicate relevant findings to the end-users and other stakeholders using a tailored message and delivery strategy.	Frequent communication with end-users and other stakeholders promotes sustained engagement and alignment, and uptake of the findings. <sup>7, 23, 47, 48</sup> A systematic, practical tool designed to effectively communicate research findings can guide in facilitating knowledge uptake by policy-makers. <sup>23</sup> A tailored message and delivery strategy benefit information use. <sup>23, 49</sup>

SETTING-tool, Setting-Exploration-Treasure-Trail-to-Implement-Implementation-strateGies-tool.

**STEP 2: A mixed-method study design: Combine a rapid assessment with a survey (Figure 3)**

**FIGURE 3. Step 2: a mixed-method study design.** Key is to include (1) a qualitative rapid assessment process (RAP) in which data are collected by multiple methods, sources and researchers, and (2) a quantitative survey

### **The qualitative RAP**

The key principles of an RAP are (1) a system (community) perspective, (2) rapid, in-depth and iterative data collection and analysis and (3) triangulation of data. We immersed into the rural communities for a short period and collected data simultaneously in subgroups using multiple methods.<sup>35</sup> We included semistructured interviews, focus groups, documents and observations of healthcare professionals (respiratory consultations) and CMs (smoking, cooking and heating behaviour). Online supplemental appendix 2 details our planning and field methods.

Informants were selected based on opportunity and diversity (in sex, age, background, etc), to obtain rich data.<sup>26</sup> We distinguished three participant groups: healthcare workers (HPs), CMs and key informants (stakeholders with either in-depth knowledge or an overall overview, such as religious leaders) (see online supplemental appendix 3 for inclusion and exclusion criteria). The sample size relied on when data saturation was achieved (when additional research activities would no longer result in additional insights). Preliminary findings were collated in daily debriefings with the entire team, guided by the theoretical framework. New informants were identified, and emerging themes and data saturation discussed. Iterative adaptations to the data collection materials, strategy and planning were also made. Accordingly, data collection was highly flexible and driven by local needs.<sup>33</sup>

### **The quantitative survey**

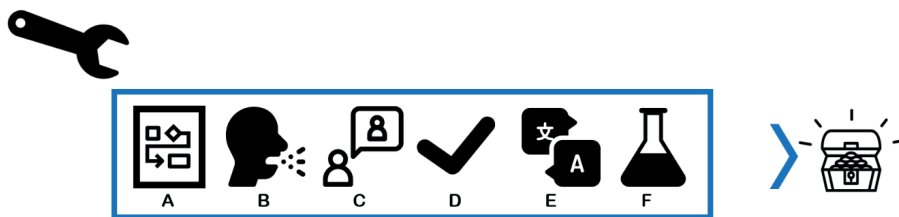
We conducted one survey for CMs (200 per setting) and one for HPs (40 per setting). The CMs were randomly selected using a three-stage sampling approach based on the WHO 'Expanded programme for Immunisation', because it was especially suitable for rural settings.<sup>36</sup> We then invited all eligible HPs from the nearest healthcare facilities.

Step 3 concerns the development of context-sensitive research materials with high validity. For the field methods selected in step 2, we needed corresponding materials: topic lists for the interviews and focus groups, semistructured forms for the observations, and structured questionnaires for the survey. Our theoretical framework guided the development of the research materials (eg, the construct 'perceived cause of CRD') (step 3A, figure 4).

We anticipated a low awareness of COPD, asthma and the implications.<sup>30 37 38</sup> Hence, to enable informants to relate to the topic we adopted a syndromic (symptom-based) approach (step 3B). As informants could fear stigmatisation for tuberculosis, they could be hesitant to speak freely about respiratory symptoms. Therefore, we introduced the topic using a vignette (3C) which detailed a story about someone with slowly progressive chronic respiratory symptoms typical for COPD.<sup>39</sup> We then probed using questions such as: 'Are there people with a similar situation living in your community?' and 'What, according to you, is the cause of the situation?'

The questionnaires for CMs and HPs were composed of validated questionnaires (3D). They included demographical questions, the revised version of the brief-Illness Perception Questionnaire,<sup>40</sup> CM risk behaviour (solid fuel use for cooking/heating and tobacco use)<sup>41–43</sup> and HP treatment behaviour respectively.<sup>44</sup> Context-specific adjustments were made (eg, rainy/dry season instead of winter/summer).

### **STEP 3: Create context-sensitive study materials with high validity (Figure 4)**



**FIGURE 4. Step 3: a toolbox for creating context-sensitive materials with high validity.** Key to success is to use evidence-based components where available throughout every sub-step, in our case: use (A) a theoretical framework to guide development of the materials, (B) a syndromic approach for expected low awareness of the phenomenon studied, (C) a vignette to avoid stigmatisation or address sensitive topics, (D) validated questionnaires, (E) a careful translation process and (F) pilot-testing of the materials.

The research materials were translated into each local language, back-translated and adjusted accordingly (3E). The qualitative materials were tested internally during our training sessions and iteratively improved throughout the study.<sup>27</sup> The quantitative materials were pilot tested on feasibility and content validity among 10% of our targeted sample in each setting (3F). Resulting adaptations were, for example, replacing the Likert-scales (0–10) by multiple choice options, as scales turned out to be too abstract for local participants to comprehend. See online supplemental appendix 5 and online supplemental file 2 for the resulting research materials.

In step 4, we set up a well-trained and diverse team to collect the data. It included researchers from the communities, such as local nurses. Their insiders' perspectives offered deep understanding of local networks and prevailing themes,<sup>35</sup> and they facilitated access to and openness of the participants. The combination with the 'outsiders' perspectives' (team members from the country's universities and Dutch researchers) helped to point out remarkable, typical local themes that were taken for granted by the community team members. Additional diversity in terms of background, age and gender helped to further enlighten the research topic from multiple perspectives and enrich the data.<sup>35</sup> Notably, debriefing sessions generated additional data, for example, when community researchers and international researchers discussed explanations for certain findings from their own perspective. All team members received a thorough 1-day training from an experienced researcher, securing ethical standards during data collection and enhancing uniformity and high-quality data collection (online supplemental appendix 3).<sup>26</sup> Further information on the data collection and training can be found in online supplemental appendix 3.

**STEP 4: Collect data with a trained, diverse team including community researchers** (Figure 5)



**FIGURE 5** Step 4: collect data involving community researchers, who are trusted by their community and have a thorough understanding of local networks and local health beliefs and behaviours. Key to the treasure case is the combination of local researchers with an insiders' perspective, with researchers with an external perspective.

Step 5 emphasises the important difference between more pragmatic and in-depth analyses. The overall FRESH AIR project was bound to timelines that did not allow for time-consuming in-depth qualitative analyses of the context-assessment before the subsequent lung health interventions had to be implemented. Therefore, we pragmatically used our preliminary qualitative analyses of our debriefing sessions, which we communicated immediately after the RAP. We continued to update partners on the findings during the subsequent in-depth analyses.

**STEP 5: Pragmatic and/or in-depth analyses** (Figure 6)

**FIGURE 6. Step 5: decide to analyse the data pragmatically and/or in-depth**, depending on the objective; key to success is timely informing the implementation design for related health interventions, which may have to be supplemented by more in-depth analyses for scientific purposes.

For the in-depth analyses of qualitative data, we first transcribed the audio recordings verbatim.<sup>26 45</sup> We coded the data both deductively and inductively, using thematic analyses to identify themes.<sup>46</sup> Two researchers independently applied The Framework Method for data structuring and reduction (online supplemental appendix 6), compared results and discussed these until consensus was reached.<sup>45</sup> We used appropriate qualitative software to facilitate data storing, analyses and sharing (online supplemental appendix 6). Next, data from the observations were charted into a table. These data together with field notes were used to complement and triangulate the data from the interviews and focus groups. Our quantitative data were analysed using associative analyses and descriptive statistics, in which we calculated frequencies of prevalence.

As a continuous process, we disseminated the findings using a tailored message and delivery strategy, to optimise information use among the FRESH AIR colleagues and other stakeholders.<sup>7 23 23 47–49</sup> We communicated our preliminary findings immediately after the RAP. Further dissemination of our in-depth findings occurred during international scientific conferences. Policy-makers, NGOs and health workers were reached via international and local health conferences in each country.

**STEP 6: Disseminate findings + promote data use (continuous step)** (Figure 7)

**FIGURE 7 Step 6: continuous communication between the research team, end-users, and other stakeholders** by a tailored message and delivery method ensures that findings will be received and used.

## VALIDATION OUTCOMES

Testing of the SETTING-tool in the six settings demonstrated that feasibility of the tool was high. Generally, all steps were implemented as intended with reasonable effort, and within our budget and time planning (online supplemental appendix 7,8). However, in the Roma setting, we needed to invest a substantial amount of time for building trust with the community. Therefore, we could only test our methodology, but did not achieve data saturation. Acceptability of the tool was consistently high; both the users of the tool and to whom it was applied considered it appropriate, based on their collaborative attitude (online supplemental appendix 7). Lastly, effectiveness of the tool was considered high; the application resulted in findings that led to multiple major context-driven changes to the planning and implementation strategies of the subsequent FRESH AIR interventions (table 2).

Table 2 Examples of major context-driven changes to the FRESH AIR Intervention planning and Implementation strategies

Theoretical factors*	Contextual input	Context-driven adaptations
Perceived identity of CRD	Awareness on CRDs and their implications was considerably lower than anticipated among rural communities and their healthcare professionals in Uganda, Kyrgyzstan and Vietnam.	For the Online Spirometry Trainings to improve knowledge and skills successfully, either the video content had to be adapted fundamentally to be compatible with a more basic level of understanding of CRD, or the trainings needed to be implemented in areas where the level of understanding was higher. In agreement with the stakeholders, we chose the latter strategy.
Perceived causes/ susceptibility/ cue to action	Awareness on the risk of household air pollution was low in Uganda, Kyrgyzstan and Vietnam; communities did not perceive their traditional cooking habits to be a risk for CRD, and therefore perceived no need for cleaner cooking measures.	The Awareness Programme on CRDs was expanded: the delivery strategy turned into a cascading train-the-trainer programme with a larger reach. <sup>56</sup> Household air pollution as a risk factor to lung health was covered extensively, creating a locally perceived need for cleaner cooking interventions. This contributed substantially to a high acceptability of the interventions (Cleaner Cooking and a Midwife-led Smoke-reduction Programme). <sup>57,58</sup>
Perceived causes/ benefits/ norms	A (rural) Kyrgyz norm is that 'a real man smokes', while smoking women are despised. The risk of smoke exposure during pregnancy is fairly unknown, and the man's position in the family does not allow to question his smoking behaviour. Meanwhile, the youngest son in the family is responsible for taking care of his parents later in life (the families' pension).	In the Kyrgyz Awareness Programme, we emphasised even more on the risk of smoke exposure to (unborn) children, and provided solutions to secondhand smoke exposure (smoking cessation, smoking outdoors). <sup>56</sup> This promoted openness to the Awareness Programme and uptake of the Smoking Cessation Counselling intervention (very brief advice). <sup>59</sup>
Perceived causes/ susceptibility	The Vietnamese word for 'overwork' (Lao Lu'i) resembled the word for 'tuberculosis' (Lao), and so communities and their healthcare professionals often associated exercise, including pulmonary rehabilitation, with lung impairment.	To overcome the hesitance of patients to participate in the Pulmonary Rehabilitation Programme, <sup>60</sup> we had to introduce a component in the programme that emphasised the benefits of exercise for respiratory symptoms.
Norms/ barriers	We had planned to also address the Roma population in Greece with our interventions, yet our RAP provided us the insight that this population was extremely hard-to-reach; working with the Roma would require years of trust, which was beyond the scope of our 3-year research and funding period.	To use our resources more effectively in Greece, we decided to prioritise solely on the rural, traditional Greek population (low-resource, rural populations) instead.
Norms/ cue to action	In rural Greece, brotherhood (filotimo) was a prevailing norm: connecting with and helping those around you was highly valued.	We embraced this norm as a motivator in the Pulmonary Rehabilitation Programme. <sup>60</sup> We capitalised on the positive social pressure to exercise together as a group and help each other to complete the programme by not missing a single training. The programme in Greece had almost no drop-outs.

\*In many examples, multiple factors of our composed theoretical framework would apply to the contextual input. The factors with highest applicability are reported.

.CRD, chronic respiratory disease; RAP, rapid assessment process.;

## CONCLUSION

We have developed and validated a systematic tool for context-mapping, enabling to design context-driven implementation strategies for (lung) health interventions in low-resource settings. Its application to the FRESH AIR project demonstrated that the SETTING-tool is highly feasible, acceptable, and effective in facilitating context-driven adaptations to implementation strategies in six diverse settings across the globe.

The SETTING-tool was cocreated by an international expert panel and local end-users. Development was both theory driven and practice driven. Concepts from a rich diversity of relevant fields were consolidated, ranging from implementation science to global health. Additionally, the methodology was prospectively validated in six diverse settings in four countries on three continents: from deprived Ugandan slums, to rapidly developing Vietnamese villages, to austerity-impacted rural Greece and highly risk-burdened Kyrgyzstan. Feasibility, acceptability and effectiveness of the tool were consistently high. This demonstrates its global applicability.

However, it is difficult to assess whether better alternatives would have been available to the components included in our tool. In our pragmatic literature searches, there were no studies comparing the effectiveness of different components and certainly not across contexts. In this practice paper, we also did not compare our context-mapping outcomes against those of a control group. Lastly, although application of the SETTING-tool was feasible, the overall feasibility of working with certain populations should remain of consideration. For example, the tool's feasibility was also high in the Roma camp, yet working with the Roma population generally required more time than working in other settings.

Existing studies, frameworks and toolkits emphasised the need for context-driven implementation strategies and repetitive calls highlighted the need for evidence on how to design those.<sup>5 6 9 16 17 19</sup> The SETTING-tool addresses these calls by guiding the researcher step-by-step through the context-mapping process. Other than existing approaches such as Community-Based Participatory Research,<sup>50</sup> this tool is developed as a practical guide in the field. It covers the entire context-mapping process, including for example developing the research materials and promoting uptake of the findings. Each step is easily reproducible. Key elements for successful completion of the steps are highlighted. This structured guidance can particularly serve clinical researchers without implementation expertise.<sup>18</sup>

The SETTING-tool is particularly relevant as it was developed for low-resource settings, where the burden of disease is highest, while the means to combat disease and evidence on how to do so are scarcest.<sup>5 9 19 22 51 52</sup> Furthermore, the tool addresses two important issues that contribute to the avoidable loss of 85% of investments in health and biomedical research

(US\$200 billion lost in 2010 alone): (1) failure to establish priorities based on stakeholders' needs and (2) poorly designed research methods.<sup>53 54</sup>

The SETTING-tool can be used flexibly; it can be adapted according to local needs, as long as the core elements (the six steps) remain intact and the tool remains simple to use.<sup>7 55</sup> Researchers should also ensure to continuously collaborate closely with the stakeholders (box 2). Flexible aspects are, for example, that not every health topic requires the use of a vignette to avoid stigmatisation. Use of the tool across diverse contexts, for other health topics and by researchers that were not involved in its development, could provide further insight on the generalisability of the tool. This would also allow for assessing the importance of individuals components depending on the setting or targeted disease. Although validated in LMICs, the tool might also be transferable to high-income settings.

**Box 2. Main lesson learnt**

Continuous collaboration between the researchers and the stakeholders was vital for successful completion of every step of the SETTING-tool. The close collaboration helped to align the study aim with the actual needs, to promote compatibility of methods and materials with the local reality, to identify the right community researchers to join the team and to promote uptake of the findings. Additional lessons learnt are detailed in online supplemental appendix 9.

Notably, the tool focuses on local beliefs and behaviours, and it is important to remain aware of other elements that shape context.<sup>8</sup> Systematically assessing a context on multiple elements (beliefs and behaviour, physical environment, organisational structures, etc) would require a substantial amount of resources. We would then recommend to conduct the tool's steps more pragmatically; particularly the in-depth analyses in step 5 as they are most time-consuming.

To conclude, the SETTING-tool can support researchers, policy-makers, health workers, NGOs and other implementers to apply evidence-based methods in context-mapping. This can facilitate them to design context-driven implementation strategies to increase implementation success of their health interventions, potentially avoiding the widescale waste of scarcely available resources. Ultimately, this could improve health outcomes.

**Author's contributions:** EB led the design, conduct and analyses of the research. Together with CP and RvdK she performed the literature searches for the theoretical underpinning of the methodology. Together with all co-authors, the identified methodological components were discussed and selected for the tool. The country experts – IT, SW, PLA, and TS – specifically focused on local acceptability and feasibility. RR trained the team and supervised application of

the methodology on the ground in the first setting. Analyses were performed by all researchers. EB wrote the manuscript and RvdK provided feedback on each version. All authors read and approved the final manuscript.

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**Patient consent for publication:** not applicable.

**Availability of data and materials:** The datasets used and/or analysed during the current study are available from the corresponding author on reasonable request.

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**Supplementary information:** Provided with the online version of this publication (Reporting guidelines, Context of settings known before the study, Theoretical framework, Additional information on study design and conduct, Qualitative analysis: Application of Framework Method, Research materials, Budget.)

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