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## **Biological evaluations of nanocarriers to improve the effectiveness of colorectal cancer treatment**

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# CHAPTER TWO

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CHOLESTEROL  
-FUNCTIONALIZED  
CARVEDILOL-LOADED  
PLGA NANOPARTICLES:  
ANTI-INFLAMMATORY,  
ANTIOXIDANT, AND  
ANTITUMOR EFFECTS



**Abstract:** The inflammation has been identified as factor of tumor progression, which has increased the interest and use of molecules with anti-inflammatory and antioxidant activities in the cancer treatment. In this study, the antioxidant, anti-inflammatory, and antitumor potentials of carvedilol was explored in a different approach. The cholesterol (CHO) was investigated as facilitated agent in the action of carvedilol-loaded nanoparticles. Different formulations exhibited spherical and stable nanoparticle with mean diameter size < 250 nm. The cholesterol changed the copolymer-drug interactions and the encapsulation efficiency. The *in vitro* cancer study was performed using murine colorectal cancer cell line (CT-26) to observe the cell viability and apoptosis on MTS assay and flow cytometry, respectively. The experiments have demonstrated that cholesterol improved the performance of drug-loaded nanoparticles, which was much better than free drug. The *in vivo* inflammation peritonitis model revealed that carvedilol-loaded nanoparticles increased the level of glutathione and leukocyte migration mainly when the functionalized drug-loaded nanoparticles were tested, in a lower dose than the free drug. As hypothesized, the experimental data suggest that cholesterol-functionalized carvedilol-loaded PLGA nanoparticles can be a novel and promising approach in the inflammation-induced cancer therapy since showed anti-inflammatory, antioxidant, and antitumor effects.

**Keywords:** Cancer; Inflammation; Oxidative stress; Colloidal nanocarriers; Functionalized nanoparticles; Drug delivery systems.

### INTRODUCTION

Inflammation and cancer are two interrelated pathological processes, it is well known that inflammation plays a role in tumor progression and that anti-inflammatory therapy can be an ally in the treatment of tumor development (Coussens and Werb 2002; Ghuman et al. 2017). Colorectal cancer (CRC) is an example of cancer whose risk factor is inflammatory bowel disease, which participates in the process of tumor progression (West et al. 2015). The inflammation-mediated CRC tumorigenesis mechanism is correlated to an action of pro-inflammatory cytokines and reactive oxygen species, activating transcription factors, such as NF- $\kappa$ B and STAT3, involved in tumor proliferation pathways and apoptosis inhibition (Elinav et al. 2013; Ghuman et al. 2017; Taniguchi and Karin 2018). The development of new anticancer approach that can take cancer cells to apoptosis, without the harmful side effects caused by conventional cancer therapies, is one of exciting challenges of modern medicine. Even though carvedilol (CVDL) is classified as a non-selective beta-adrenergic receptor blocker ( $\beta$ 1,  $\beta$ 2), which has been used for treating hypertension, angina pectoris, small myocardial infarction, tachyarrhythmia, and congestive heart failure (Balaha et al. 2016), studies have shown that it has also antioxidant, anti-inflammatory, pro-apoptotic, antifibrotic, antiproliferative, and anticancer properties (Araújo Júnior et al. 2016; Areti et al. 2017; De Araujo et al. 2018; Félix et al. 2017; Yao et al. 2016).

As one alternative to the smart use of drug efficiency, nanoparticles can be used as drug delivery systems (DDSs) enable to increase the solubility of hydrophobic drugs, the distribution of higher drug concentrations to target areas due to an Enhanced Permeation and Retention (EPR) effect and provides the sustained and controlled release of encapsulated drugs. This can lead to lower doses of drugs, thus reducing side effects (Goyal et al. 2016; Lee et al. 2015). Different types of nanoparticles (NPs) can be formulated such as liposomes, dendrimers, carbon nanotubes, inorganic, and NPs based on proteins and polymers, each with their own properties. Poly (D, L-lactide-co-glycolide) (PLGA) is a type of polymer approved by the European Medicines Agency (EMA) and Food and Drug Administration (FDA), applied in the development of DDSs (Masloub et al. 2016; Ramezanzpour et al. 2016). In this way, nanoparticles can be used for targeted site-specific delivery, which facilitates their internalization into cancer cells and for co-delivering multiple factors to the same cell/tissue

site (Bahrami et al. 2017; Kapadia et al. 2015; Milling et al. 2017). Several targeting ligands are used for drug delivery such as antibodies, peptides, folate, glycoproteins, and cholesterol (CHOL) (Bahrami et al. 2017; Varan et al. 2016). Cholesterol is an important structural component in cells which is involved in many biological processes such as endocytosis of materials and proliferation of cancer and metastasis. Cancer cells have rapid metabolism, requiring more nutrients. Therefore, CHOL is required for synthesizing new membranes (Lee et al. 2016; Varan et al. 2016). In this context, the large number of reports on PLGA NPs used as drug delivery systems for cancer treatment highlights the potential of PLGA NPs as carriers of cancer therapy drugs.

Therefore, the aim of the present study was to verify the *in vitro* and *in vivo* performance of carvedilol-loaded polymeric nanoparticles and the effects of modifying particle surfaces induced by CHOL to improve cell uptake of carvedilol as a chemotherapy agent. The interactions among the involved compounds and physicochemical properties of distinct formulations were carefully monitored using an analytical approach. Enhancing the biological efficacy in the inflammatory process and against tumor cells by carvedilol-loaded polymeric induced by CHOL is an interesting and relevant achievement which is not yet reported in literature.

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### Reagents

For formulation of the nanoparticles, the following compounds were used: copolymer PLGA (poly (lactic-co-glycolic acid) (Sigma-Aldrich, Saint Louis, MO, EUA), CVDL (Espaço Pharma®, RN, Brazil), CHOL (92.5%, Vetec®, Sigma-Aldrich, Saint Louis, MO, USA), and poly vinyl alcohol (PVA) with viscosimetric molecular mass of  $4.7 \times 10^4$  g/mol Vetec (São Paulo, Brazil). The organic solvents: acetone (ACE) and dichloromethane (DCM) (were purchased from Labsynth® (São Paulo, Brazil). Dimethyl sulfoxide (DMSO) was purchased from Sigma-Aldrich (CA, USA). For *in vitro* assays, the following reagents were used: CellTiter 96 AQueous One Solution (MTS) solution (Promega Corporation, Madison, WI), DAPI (Life Technologies, the Netherlands), and Annexin V-FITC (BD Pharmingen, CA, USA). Purified water (1.3 µS) was obtained from reverse osmosis purification equipment model OS50 LX (Gehaka, SP, Brazil). All other chemicals and reagents were

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### EXPEERIMENTAL SECTION

of analytical grade.

#### Preparation and Characterization of Nanoparticles

Nanoparticles were prepared by the emulsification- solvent evaporation method (Pooja et al. 2016; Zhu et al. 2012), following a previously standardized nanoparticulate system (dos Santos-Silva et al. 2017). First, the organic phase (OP) (6 mL) containing the PLGA was injected into the aqueous phase (AP) (14 mL containing the solution of PVA surfactant in water) under magnetic stirring at 720 rpm at 25 °C. The AP was previously filtered using 0.45- $\mu\text{m}$  membranes. Emulsification was followed in Ultraturrax equipment (IKA Labortechnik, Germany) at 25 °C for 18 min and evaporation of the solvent occurred under magnetic stirring at 720 rpm overnight. Samples were placed in hermetically sealed glass flasks and stored at 5 °C in a freezer.

#### Preparation of Surface-Modified Nanoparticles

Surface-modified and CHOL NPs were prepared as in the “Preparation and characterization of nanoparticles” section. The CHOL was inserted in a ratio of 1:10 relative to the CVDL in the polymeric OP following the same evaluation procedure in which nanoparticles were not functionalized. Preparation of drug-loaded nanoparticles

The PLGA 1.0% w/v solution in the OP composed by DCM:ACE at 25:75 v/v was injected in the aqueous solution of PVA 0.5% w/v, using a burette in a flow of 1.0 mL/min under magnetic stirring at 720 rpm. Emulsification followed a stirring speed of 20,000 rpm for 18 min (dos Santos-Silva et al. 2017). Different concentrations of drug were dissolved with the polymer in the organic solvent to supply drug/copolymer ratios of 1:2.5, 1:5, 1:10, and 1:20 relative to the PLGA.

#### Particle Size and Zeta Potential Measurements

Mean particle size and polydispersity index (Pdl) were assessed by using the dynamic light scattered (DLS) by the particle size analyzer (Zetasizer Nano ZS, Malvern instruments, Malvern, UK) at 659-nm wavelength,

temperature of  $25 \pm 2$  °C, and an angle of  $173^\circ$  to the incident beam. Zeta potential measurements were performed in the same equipment using Zeta Potential Analyzer software by electrophoretic mobility. The measurements average of ten determinations for each sample diluted at 1:100 (v/v) with purified water were carried out in triplicate and data expressed as mean  $\pm$  standard deviation (SD).

### Atomic Force Microscopy

The topographic image and the surface of the particles in atomic resolution of drug-free and CVDL-loaded nanoparticles were observed by using atomic force microscopy (AFM) images. The dispersions were freshly diluted in a ratio of 1:25 (v/v) using purified water and placed on a glass coverslips, dried under a desiccator for 24 h, and then analyzed in an AFM SPM-9700 Shimadzu microscope (Tokyo, Japan) at room temperature with a non-contact cantilever and 1-Hz scanning (Dos Santos-Silva et al. 2019).

### Physicochemical Stability

The drug-free nanoparticles and CVDL-loaded nanoparticles were hermetically stored for 7 weeks in closed flasks at 5 °C. The samples were collected at intervals of 7 days and had their size and zeta potential evaluates. The measurements were performed using the parameters described in the “Particle size and zeta potential measurements” section. Attenuated total reflectance Fourier transform infrared spectroscopy. The drug-copolymer interactions in the nanoparticles without carvedilol (NP), carvedilol-loaded (NP CVDL), and carvedilol-loaded functionalized with cholesterol (NP CVDL CHOL) were assessed by attenuated total reflectance Fourier transform infrared spectroscopy (ATR-FTIR). The colloidal dispersion of nanoparticles was concentrated using the vacuum concentrator (Labconco Centrivap) for 7–8 h. The spectra were recorded with a resolution of  $4 \text{ cm}^{-1}$  between  $4000$  and  $500 \text{ cm}^{-1}$  at 20 scans, for pure compounds (PLGA, CVDL, CHOL), and polymeric nanoparticles in a SHIMADZU IR Prestige 21 FTIR-ATR spectrophotometer (Tokyo, Japan).

### Drug Loading Efficiency

The samples were centrifuged for during 60 min at 4 °C (Eppendorf® Microcentrifuge 5404R) at  $16.0 \times g$  using the ultra-centrifugal filter

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(Sartorius®, Vivaspin 2, Ultra-15 MWCO 10 kDa). The drug in the supernatant was analyzed at 241 nm, which was the maximum absorption wavelength for the CVDL using the previously validated UV-Vis spectrophotometry. All analyses were performed in triplicate, and the data expressed as mean  $\pm$  standard deviation (SD). The encapsulation efficiency (EE%) was calculated using Eq. (1), as described by Dos Santos Silva (dos Santos Silva et al. 2019)

$$EE (\%) = (\text{total drug} - \text{drug in the supernatant}) / \text{total drug} \times 100$$

#### *In Vitro* Drug Release Performance

*In vitro* drug release analyzed was made using static Franz vertical diffusion cells (Crown Scientific, Sommerville, USA) thermostatted at  $37 \pm 0.5$  °C. First, 3.0 mL of distinct nanoparticles (NP CVDL, NP CVDL CHOL) and CVDL solution were applied and hermetically sealed in the donor compartment and separated from the receptor compartment by a synthetic cellulose acetate 0.45- $\mu$ m filter, previously hydrated for 24 h in phosphate buffer solution (PBS). The receptor compartment was filled with 11.0 mL of PBS adjusted for pH 7.4, which remained under magnetic stirring at 360 rpm during the entire experiment. Aliquots of 1.0 mL were withdrawals at seven specific intervals (30 to 600 min) and analyzed by previously validated UV spectrophotometry at 241 nm. The same volume of freshly buffered solution replaced the medium to maintain the sink conditions (Ng et al. 2010). The release data were then analyzed using linear regression according to mathematical models to determine the release mechanism of carvedilol from its NPs. The correlation coefficient [R] was determined in each case, and the orders of release were accordingly determined.

#### *In Vitro* Anti-CRC Studies

##### Cell line and cultivation

The murine CRC cell line CT-26 (CT-26.WT, ATCC® CRL-2638™; ATCC, Manassas, VA, USA) was cultivated in Dulbecco's Modified Eagle Medium (DMEM) (Thermo Fisher Scientific, MA, USA) supplemented

with 10% (v/v) fetal bovine serum (FBS) and 1% antibiotics (penicillin/streptomycin) in 37 °C incubator with atmosphere of 5% CO<sub>2</sub> and was passaged twice a week by removing the adherent cells with trypsin/EDTA in buffered saline.

### Cell viability assay (MTS)

MTS assay for the PLGA nanoparticles conjugated with CVDL was determined based on the reduction of the MTS tetrazolium compound to formazan product by living cells in culture. CT-26 cell lines were plated in 96-well plates with a density of  $3 \times 10^3$  cells/well. Applications of free CVDL and drug-loaded nanoparticles at concentrations of 0.3 µg/mL, 0.78 µg/mL, 1.56 µg/mL, 3.12 µg/mL, and 6.2 µg/mL were then performed after 24 h under culture conditions (De Araujo et al. 2018). MTS solution was added to medium without cells as a blank control. Treatments were evaluated at 24 h and 48 h by the addition of 20 µL/well of MTS solution after replacing the treatment medium with a new one. After incubation for 2 h, the fluorescence was measured using a microplate reader (VersaMax Molecular Devices, Sunnyvale, CA) with absorbance being measured at 490 nm. All data points represent triplicates for each treatment.

### Evaluation of *in vitro* cell death

The effect of free CVDL and nanoconjugates on CT-26 cells was determined by double-labeled flow cytometry with Annexin V-FITC and DAPI, which enables identifying apoptotic and necrotic cells through loss of membrane integrity. Cell lines were arranged in 24-well plates with a density of  $1 \times 10^4$  cells/well, at a total volume of 500 µL. After 24 h of incubation under culture conditions, the cells were treated with the samples at doses of 0.3 µg/mL, 0.78 µg/mL, and 1.56 µg/mL at 24 h and 48 h. The cells were obtained after each period by collecting the supernatant from the wells, washing with PBS, trypsinization, and centrifugation at 3200 rpm at 4 °C for 5 min. Finally, they were labeled with Annexin V-FITC and DAPI, and analyzed with BD LSR-II (BD Biosciences, CA, USA) and FlowJo software version 7.6.5 (Tree Star Inc., CA, USA).

#### *In vivo* Inflammation and Oxidative Stress Studies

##### Animal preparation

Female Swiss mice at 9 weeks old ( $40 \pm 2.0$  g) were obtained from the UFRN Vivarium Center of Biosciences. Animals were maintained under standard 12-h light/dark cycle,  $22 \pm 0.1$  °C, and 50–55% humidity with ad libitum access for food and water. The mice were subjected to 12-h fasting prior to the experiments. Euthanasia was performed with a subcutaneous administration of 90 mg/kg sodium thiopental (0.5%, Tiopentax, Cristália, São Paulo, Brazil). This study was carried out in strict accordance with the recommendations in the Guide for the Care and Use of Laboratory Animals of the National Institutes of Health. The protocol was approved by the Committee on the Ethics of Animal Experiments of the UFRN (CEUA, Permit Number: 001/2015).

##### The carrageenan-induced peritonitis model

Mice were randomly distributed into twelve groups ( $n = 5/\text{group}$ ). The mice were orally pre-treated with a vehicle (0.9% saline solution)/carrageenan group, drug-free nanoparticle (NP) (1 mL of a 1 mg/mL preparation), free CVDL (3 mg/kg and 0.3 mg/kg), NP CVDL (0.3 mg/kg, 0.1 mg/kg and 0.05 mg/kg), NP CVDL CHOL (0.3 mg/kg, 0.1 mg/kg, and 0.05 mg/kg), or Diclofenac (10 mg/kg) in order to evaluate the effect of PLGA, the association of PLGA with CVDL or CVDL and CHOL combined on leukocyte recruitment into the peritoneal cavity. After 30 min, 0.25 mL of a 1% carrageenan solution (Sigma-Aldrich, São Paulo, Brazil) was intraperitoneally (i.p.) injected. The sham group received a vehicle (1 mL water/10 g) and a 0.9% sterile saline solution intraperitoneal injection (0.1 mL/10 g) (Ribeiro et al. 1991). Then, the mice were euthanized 4 h later with an overdose of 90 mg/kg sodium thiopental. Three milliliters of saline solution was then injected into each abdominal cavity and peritoneal fluid was collected and diluted (1:20) in Turk's solution. A total leukocyte count was performed for each sample with a Neubauer counting chamber.

The samples were stored at  $-80\text{ }^{\circ}\text{C}$  for subsequent analyses of malondialdehyde (MDA) and total glutathione (GSH) levels.

### Glutathione levels

GSH levels assessment was performed according to the method described by Anderson (Anderson 1985). Briefly, 100  $\mu\text{L}$  of each inflammatory lavage was diluted in a 5% trichloroacetic acid (TCA)/distilled water solution and centrifuged at 10,000 rpm for 15 min at  $4\text{ }^{\circ}\text{C}$ . Each standard dilution (20  $\mu\text{L}$ ), TCA solution (20  $\mu\text{L}$ , Vetec, São Paulo, Brazil) for the blank, and each sample supernatant (20  $\mu\text{L}$ ) were added to 96-well plates in duplicate. In addition, 15  $\mu\text{L}$  PBS-EDTA, 20  $\mu\text{L}$  dithiobisnitrobenzoic acid (DTNB) solution, and 140  $\mu\text{L}$  NADPH were added to each well. After an incubation step at  $30\text{ }^{\circ}\text{C}$  for 5 min, 15  $\mu\text{L}$  of an enzyme solution and GSH reductase (Sigma-Aldrich, São Paulo, Brazil) were added to each well. Absorbance values at 412 nm were recorded by spectroscopical UV/VIS analysis (Biotek, São Paulo, Brazil) for 3 min. Total GSH content was calculated based on interpolations from a standard curve that was generated with purified glutathione ( $\gamma$ -L-Glutamyl-L-cysteinyl-glycine, GSH, Sigma-Aldrich, São Paulo, Brazil, G4251). The results of this assay are expressed in nanomoles per microliter of sample.

### Malonyldialdehyde assay

Malonyldialdehyde (MDA) is a product of lipid peroxidation. To quantify the increase in free radicals in liver sample, MDA content was measured via the assay described by Esterbauer and Cheeseman (Esterbauer and Cheeseman 1990). First, each sample (50  $\mu\text{L}$ ) was diluted in Tris HCl buffer (250  $\mu\text{L}$ , 20 mM) (Trizma hydrochloride, Sigma Aldrich, São Paulo, Brazil) in distilled water (20 mM, pH 7.4). Peritoneal fluid samples were homogenized and centrifuged (10,000 rpm for 10 min at  $4\text{ }^{\circ}\text{C}$ ), then 750  $\mu\text{L}$  of 10.3 mM 1-methyl-2-phenylindole and 225  $\mu\text{L}$  HCl (37%) were added to each sample. Samples were incubated in a water bath for 40 min at  $45\text{ }^{\circ}\text{C}$ , and centrifuged at 10,000 rpm for 5 min at  $4\text{ }^{\circ}\text{C}$ . Absorbance values at 586 nm were recorded with a spectroscopic UV/VIS analysis (Biotek, São Paulo, Brazil) and the results were interpolated from a standard

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#### *In vivo* inflammation and oxidative stress studies

curve that was established with 1,1,3,3- tetraethoxypropane. The results from this assay are expressed as nanomoles per microliter of sample.

#### Statistical analysis

All analyses of nanoparticles were performed in triplicate and data expressed as mean  $\pm$  standard deviation (SD). The significant differences between the groups were calculated using the analysis of variance (ANOVA) and the Bonferroni's test as indicated, in which  $p < 0.05$  was considered statistically significant.

## RESULTS

### Preparation and characterization of nanoparticles

Figure 1a shows the results of the nanoparticles prepared for emulsification-solvent evaporation method, using a concentration of PLGA 1.0% and PVA 0.5%, with solvent ratio DCM: ACE 25:75 (v/v). Nanoparticles were prepared as CVDL-free nanoparticles (NP) and nanoparticles in different CVDL: copolymer ratios (NP CVDL 1:10, NP CVDL 1:5, and NP CVDL 1:2.5) (Fig. 1a). The nanoparticles showed the formation of the smallest particles ( $209.1 \pm 2.03$  nm at  $373.4 \pm 19.3$  nm, desirable values of zeta potential ( $-2.0 \pm 4.2$  mV at  $-5.7 \pm 1.3$  mV) and polydispersity index ( $0.137 \pm 0.01$  at  $0.248 \pm 0.07$ ). The highest drug loading efficiency ( $95.06 \pm 0.4\%$ ) was observed for the nanoparticle containing CVDL:PLGA weight-ratio of 1:10, which corresponds to a final drug concentration in the nanoparticle of 1 mg/mL. CHOL was inserted as functionalizing substance in this best drug:polymer ratio. The results obtained are shown in Table 1. A slight increase in particle size was observed when NP CVDL ( $227.3 \pm 9.07$  nm) and NP CVDL CHOL were compared ( $234.7 \pm 18.7$  nm), and a consequent decrease in encapsulation efficiency ( $95.06 \pm 0.4$  at  $37.45 \pm 1.8$ )

Nanoparticles	Diameter (nm) ± SD	PDI (nm) ± SD	ZP (mV) ± SD	EE% ± SD
NP	209.1 ± 2.03	0.137 ± 0.01	-1.03 ± 0.2	-
NP CVDL	227.3 ± 9.07	0.223 ± 0.03	-2.04 ± 0.2	95.06 ± 0.4
NP CVDL CHOL	234.7  ± 18.7	0.081 ± 0.01	2.08 ± 0.1	37.45 ± 1.8

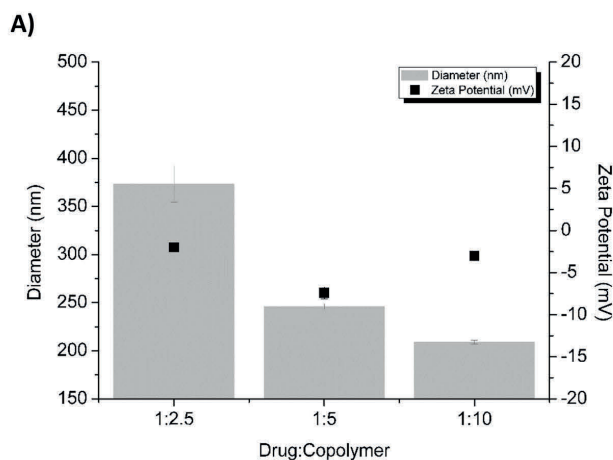
**Table 1.** Results of diameter, polydispersity index, zeta potential and encapsulation efficiency in the analysis of the chosen nanoparticles.

**Notes:** PDI (Polydispersity Index), nm (Nanometer), Standard Deviation (SD), Millivolt (mV).

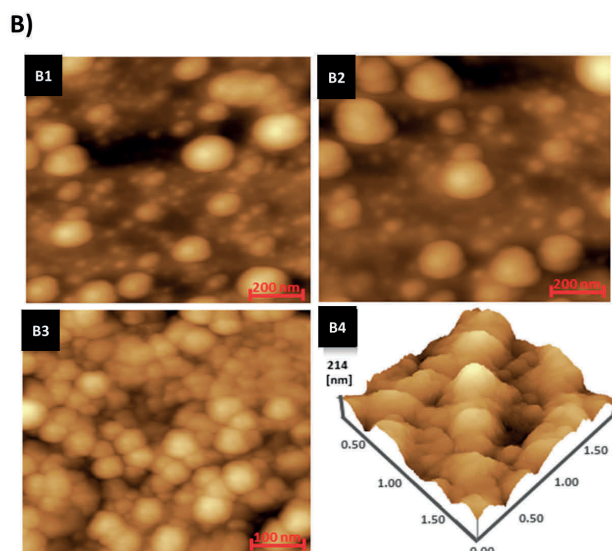
## RESULTS

## Preparation and characterization of nanoparticles

The AFM images (Fig. 1) assessed topography aspects such as the shape and the surface of particles. The 2D images were obtained for the nanoparticles without drug (Fig. 1(B1)), the nanoparticle with drug in the proportion of 1:10 (Fig. 1(B2)), and the nanoparticle with drug and CHOL were observed in 2D and 3D images, respectively (Fig. 1(B3, B4)). Both drug-free, drug-containing nanoparticles and drug-containing functionalized nanoparticles showed slightly spherical shapes with smooth and uniform surfaces.



**Figure 1.** Mean diameter and zeta potential as a function of concentration of the drug: PLGA copolymer. b AFM images of (B1) NP, (B2) NP CVDL, and (B3, B4) NP CHOL in 2D and 3D respectively.



**Fig. 2:** a ATR-FTIR spectra for pure compounds (CVDL, PLGA, and CHOL) and nanoparticles (NP, NP CVDL, and NP CHOL). b Experimental *in vitro* release profile from CVDL solution and nanoparticles CVDL content (NP CDVL and NP CHOL). c Physicochemical stability is expressed in mean diameter and zeta potential as a function of storage time for the drug-free nanoparticles (NP) and drug-loaded nanoparticles (NP CVDL and NP CHOL). Note: The data are expressed as mean  $\pm$  standard deviation (SD) (n = 3)

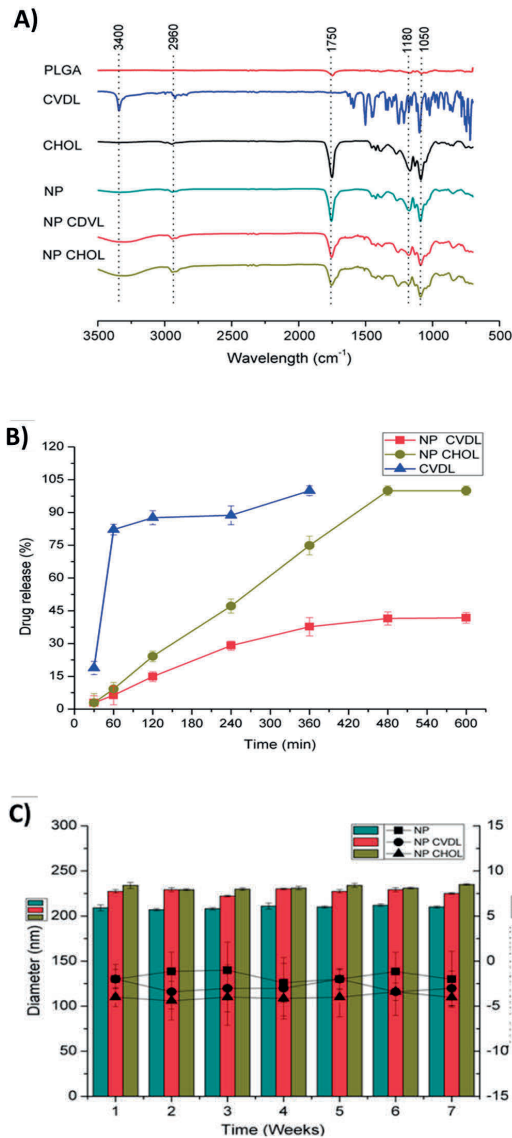


Figure 2 a shows the comparisons of the ATR-FTIR spectrum bands for the pure compounds (CVDL, PLGA, and CHOL), for the drug-free nanoparticle (NP), for the nanoparticle with the drug (NP CVDL), and for the functionalized nanoparticle with the drug (NP CVDL CHOL). The result was presented mainly comparing the bands of the nanoparticle with drug with the bands of the pure compounds, still highlighting the behavior of the functionalized nanoparticles. Carvedilol showed N-H peak of aromatic amines at 3400 cm<sup>-1</sup> and weak peak of angular deformation N-H bonds at 1580 cm<sup>-1</sup> used to characterize secondary amines of the drug structure. It is possible to observe peaks of aliphatic C-H between 2960 and 2850 cm<sup>-1</sup>, while bands of C=C vibrations of aromatic nuclei are observed between 1600 and 1450 cm<sup>-1</sup>. The isolated PLGA showed characteristic absorption peaks with significant N-C stretch peaks close to 1300 cm<sup>-1</sup> and C=O monomeric peaks of the carboxylic acid at about 1750 cm<sup>-1</sup>. The CHOL spectrum alone shows a broad band

**RESULTS**

## Preparation and characterization of nanoparticles

between 3250 and 3500  $\text{cm}^{-1}$  due to the elongation vibration of the O–H bond. The NP, NP CVDL, and NP CVDL CHOL specters were also compared (Fig.2a). In the spectrum of NPs, the peaks in the region of 1750  $\text{cm}^{-1}$ , 1180  $\text{cm}^{-1}$ , and 1050  $\text{cm}^{-1}$  stand out, respectively, corresponding to monomeric peaks of the C=O, aliphatic peaks of the C–N and C–O peaks present in the aromatic group.

Figure 2 b shows the release profile of CVDL and distinct formulations of the CVDL-loaded polymeric nanoparticles. The successful drug efficiency in the PLGA nanoparticles, previously demonstrated, assured the desired slow release rate for all formulations (Danhier et al. 2012; Hans and Lowman 2002; Lee et al. 2016). We further subjected the data to four different diffusion kinetic linear models, which include the first-order model, the Bhaskar model, the modified Freundlich model, and parabolic model [Araújo et al.]. The calculated parameters are shown in Table 2. In these equations,  $M_t/M_\infty$ ,  $t$ , and  $k$  correspond to the fractional drug release, release time and release rate constant, respectively. The  $a$  is a constant whose chemical significance is not clearly resolved. The Bhaskar model showed the best correlation ( $R = 0.96 \pm 0.02$  to  $0.97 \pm 0.02$ ) for all

Formulations	Kinetic Match			
	First order	Bhaskar	Freundlich	Parabolic Diffusion
$a$ ( $R \pm DS$ )				
NP CVDL	0.0059 $\text{h}^{-1}$ (0.91 $\pm$ 0.02)	0.52 $\text{h}^{0.65}$ (0.97 $\pm$ 0.02)	42.16 h (0.92 $\pm$ 0.03)	1.26 $\text{h}^{-0.5}$ (0.59 $\pm$ 0.03)
NP CVDL CHOL	0.0059 $\text{h}^{-1}$ (0.90 $\pm$ 0.03)	0.52 $\text{h}^{0.65}$ (0.96 $\pm$ 0.02)	50.43 h (0.93 $\pm$ 0.03)	0.30 $\text{h}^{-0.5}$ (0.47 $\pm$ 0.03)

**Table 2.** Fitting parameters of different kinetic models applied for the *in vitro* CVDL release from PLGA nanoparticles.

formulations.

The physicochemical stability test was performed to monitor the time-dependent changes in the NP, NP CVDL, and NP CVDL CHOL. The analysis intervals were 7 days (for 7 weeks). The performed tests were of particle size, polydispersity index, and zeta potential, as shown in Fig. 2c. Analyses from the first to the seventh week particle size for NP values ranged from ~ 209 to 213 nm, and the PDI values ranged from ~ 0.130 to 0.165 nm. The particle size for NP CVDL ranged from ~ 227 to 233 nm and the PDI values ranged from ~ 0.223 to 0.229 nm. The particle size for NP CVDL CHOL ranged from ~ 234 to 239 nm and the PDI values ranged from ~ 0.081 to 0.109 nm. The zeta potential for all formulations ranged from ~ - 2 to - 4 mV.

### *In vitro* anti-CRC studies

The results obtained with MTS assay showed that after the time points treatment with free CVDL, NP, NP CVDL, and NP CVDL CHOL, the proliferation of the CRC cell line CT-26 was compared with the negative control. The NP treatment did not alter the cell line viability after 24 h and 48 h (Fig. 3a, b).

After 24 h of treatment, CVDL (3.12  $\mu\text{g}/\text{mL}$  and 6.25  $\mu\text{g}/\text{mL}$ ) decreased the proliferation rate of CT-26,  $p < 0.01$  and  $p < 0.05$ , respectively. NP CVDL CHOL also showed the same result in a lower dose (0.78  $\mu\text{g}/\text{mL}$ ,  $p < 0.01$ ) (Fig. 3a). There was a decrease in the CT-26 cell viability when NP CVDL was used in 0.35  $\mu\text{g}/\text{mL}$  ( $p < 0.05$ ), 1.56  $\mu\text{g}/\text{mL}$ , ( $p < 0.0001$ ), 3.12  $\mu\text{g}/\text{mL}$  ( $p < 0.0001$ ), and 6.25  $\mu\text{g}/\text{mL}$  ( $p < 0.0001$ ) doses for 48 h. Free CVDL and NP CVDL CHOL presented similar activity after 48 h by reducing the proliferation of the tumor line with the same statistical value ( $p < 0.0001$ ) at 0.78  $\mu\text{g}/\text{mL}$ , 1.56  $\mu\text{g}/\text{mL}$ , 3.12  $\mu\text{g}/\text{mL}$ , and 6.25  $\mu\text{g}/\text{mL}$  concentrations (Fig. 3b).

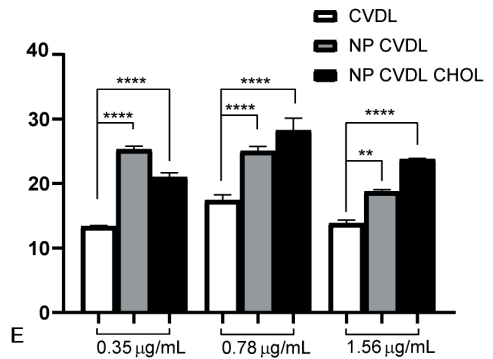
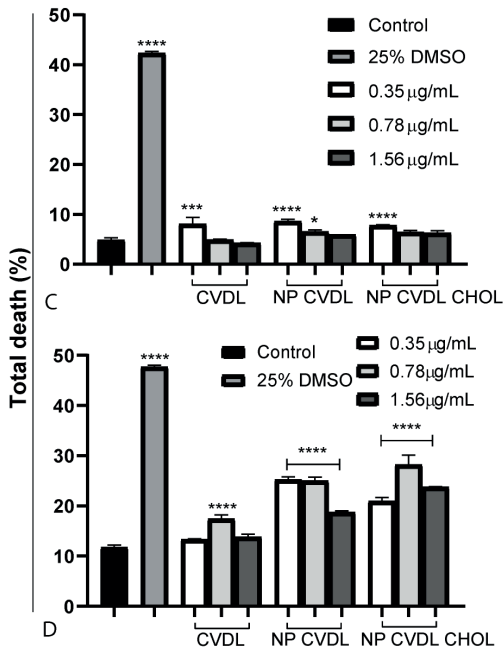
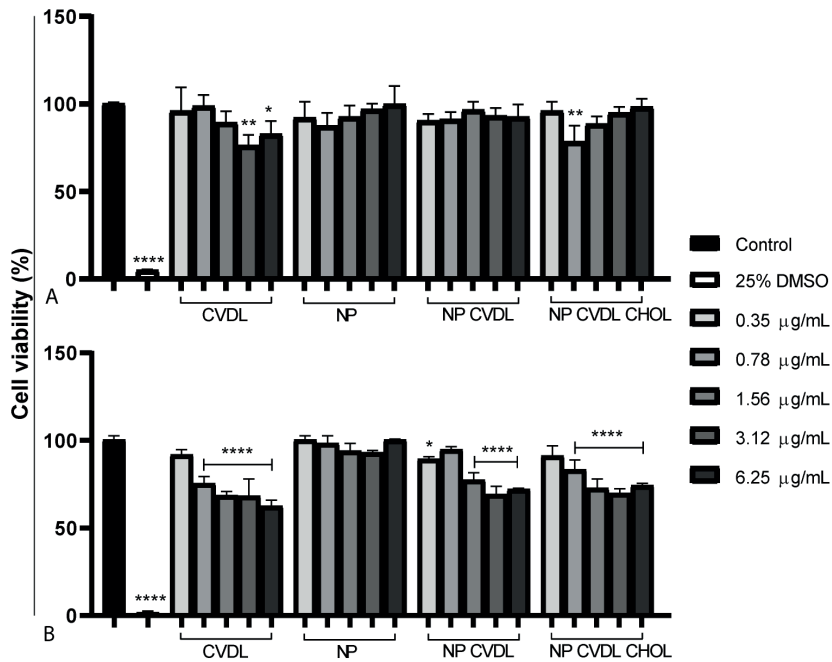
According to the flow cytometry, Fig. 2 shows the percentage of cell death of the treatments with free CVDL, NP CVDL, and NP CVDL CHOL (0.35  $\mu\text{g}/\text{mL}$ , 0.78  $\mu\text{g}/\text{mL}$ , 1.56  $\mu\text{g}/\text{mL}$ ) for 24-h or 48-h treatment when compared with the negative control. The percentage is the sum of Annexin V-FITC-positive/DAPI-negative and Annexin V-FITC-positive/DAPI-positive cells. Dot plots of flow cytometry for 24 (A) hours and 48 h (B) are shown in supplementary material.

**RESULTS***In vitro* anti-CRC studies

All the samples presented antitumor activity in both time points. After 24 h, the treatment at 0.35  $\mu\text{g}/\text{mL}$  concentration increased the total death with CVDL ( $p < 0.001$ ), NP CVDL ( $p < 0.0001$ ), and NP CVDL CHOL ( $p < 0.0001$ ). The NP CVDL also increased the total death at 0.78  $\mu\text{g}/\text{mL}$ ,  $p < 0.05$  (Fig. 3c). When treatment time was increased to 48 h, the quantity of death also increased, in which CVDL 0.78  $\mu\text{g}/\text{mL}$  induced cell death ( $p < 0.0001$ ), as well as NP CVDL and NP CVDL CHOL for the three doses used ( $p < 0.0001$ ) (Fig. 3d).

When free CVDL with NP CVDL and NP CVDL CHOL at the same concentration is compared, it is observed that there is a difference between antitumor activity of the nanoconjugates and the free CVDL. An increase in this activity for the three evaluated concentrations was observed at 48 h (Fig. 3e). The  $p$  values for this comparison are as follows: (i) for 0.35  $\mu\text{g}/\text{mL}$ , CVDL vs NP CVDL ( $p < 0.0001$ ) and CVDL vs NP CVDL CHOL ( $p < 0.0001$ ); (ii) for 0.78  $\mu\text{g}/\text{mL}$ , CVDL vs NP CVDL ( $p < 0.0001$ ) and CVDL vs NP CVDL CHOL ( $p < 0.0001$ ); and (iii) for 1.56  $\mu\text{g}/\text{mL}$ , CVDL vs NP CVDL ( $p < 0.01$ ) and CVDL vs NP CVDL CHOL ( $p < 0.0001$ ) (Fig. 3e).

**Fig. 3:** Antitumor activity of nanoparticles in CT-26 cells. a, b Mean cell viability of CT-26 cells treated with CVDL, NP, NP CVDL, and NP CVDL CHOL for 24 h (a) and 48 h (b). The concentrations used were as follows: 0.35  $\mu\text{g}/\text{mL}$ , 0.78  $\mu\text{g}/\text{mL}$ , 1.56  $\mu\text{g}/\text{mL}$ , 3.12  $\mu\text{g}/\text{mL}$ , and 6.2  $\mu\text{g}/\text{mL}$ . All treatment groups were compared with the negative control group (\*\*\*\* $p < 0.0001$ , \*\* $p < 0.01$ , \* $p < 0.05$ ). c, d The mean cell death statistic of the treatments of free CVDL, NP CVDL, and NP CVDL CHOL at CT-26 cells at 24 h (c) and 48 h (d) when compared with the negative control (\* $p < 0.05$ , \*\*\* $p < 0.001$ , and \*\*\*\* $p < 0.0001$ ). Negative control and 25% DMSO were used. The concentrations of CVDL, NP CVDL, and NP CVDL CHOL used were as follows: 0.35  $\mu\text{g}/\text{mL}$ , 0.78  $\mu\text{g}/\text{mL}$ , and 1.56  $\mu\text{g}/\text{mL}$ . e Comparison between CVDL, NP CVDL, and NP CVDL CHOL at the concentrations 0.35  $\mu\text{g}/\text{mL}$ , 0.78  $\mu\text{g}/\text{mL}$ , and 1.56  $\mu\text{g}/\text{mL}$ . The duration of treatment of CT-26 cells was 48 h (\*\* $p < 0.01$  and \*\*\*\* $p < 0.0001$ )



### RESULTS

#### *In vivo* inflammation and oxidative stress studies

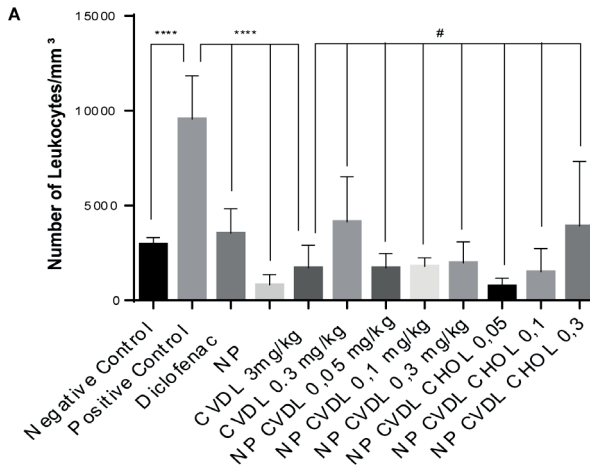
Global leukocyte counting was used in this study to evaluate the leukocyte migration to the injury sites. As shown in Fig. 4a, every tested treatment proved to be efficient in reducing the inflammation in comparison with the positive control group ( $p < 0.0001$ ). No significant difference was observed when the NP groups were compared with the gold standard treatment group (diclofenac) and CVDL 3 mg/kg ( $p > 0.05$ ). There was no difference among the NP group and the NP CVDL and NP CVDL CHOL combination groups in this parameter.

GSH levels (Fig. 4b) were higher in all the treated groups than in the positive control group ( $p < 0.0001$ ), proving that PLGA is involved in antioxidant processes. Interestingly, NP lead to higher GSH levels than the NP CVDL ( $p < 0.0001$ ), but the addition of CHOL seems to boost the antioxidant effects of NP, since no difference was observed between NP group and the NP CVDL CHO (0.05 mg/kg) group ( $p > 0.05$ ), whereas the levels were higher in the NP CVDL CHOL (0.1 mg/kg) and NP CVDL CHOL (0.3 mg/kg) ( $p < 0.0001$ ).

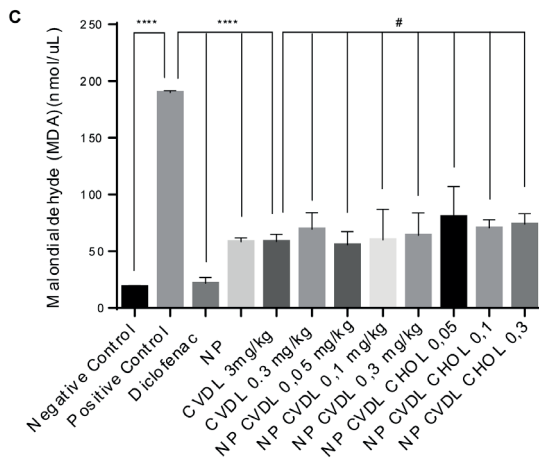
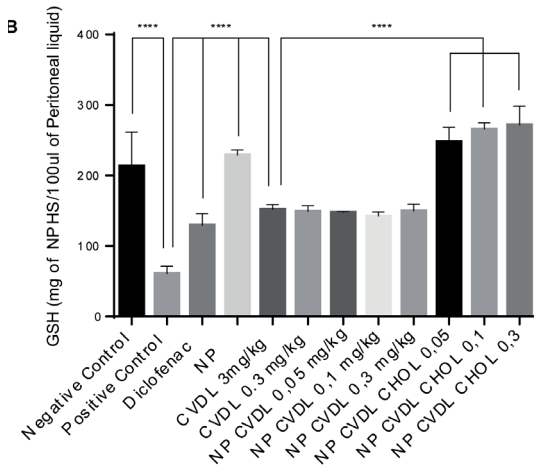
MDA is a secondary product of lipid peroxidation caused by oxidative stress. If there is an inflammatory process in course, MDA levels are expected to be increased, whereas GSH should remain low or decreased (Falcao et al. 2018). All tested treatments in this study were able to prevent oxidative stress and therefore MDA levels were kept low compared with the positive control (Fig. 4c) ( $p < 0.0001$ ).

### DISCUSSION

The nanoparticles obtained by the emulsification-solvent evaporation method showed the formation of small particles and desirable zeta potential values. Similar particle size results as in the paper about carvedilol- loaded solid lipid nanoparticles for intranasal drug delivery had already been obtained in later works, where a concentration of 0.5–2.5% surfactant obtained a particle size in the range  $174.35 \text{ nm} \pm 1.34$  to  $492.40 \text{ nm} \pm 8.20$  (Qi et al. 2013). The best results were obtained with



**Fig. 4:** a Number of leukocytes, b glutathione levels, and c malondialdehyde. ANOVA followed by Bonferroni's multiple comparisons test. \*\*\*\* $p < 0.0001$ , # $p > 0.05$



### DISCUSSION

particles smaller than 300 nm and are ideal because they are smaller and have better adsorption (Aboud et al. 2016). The zeta potential reflects the surface charge of the particles, and the use of PLGA as the main polymer dispersed in the organic phase gave us a negative zeta potential in all results as expected (Table 1). This can be explained by considering the anionic nature of the polymer (Némethová et al. 2017). The choice of three ratios of drug:copolymer is justified because factors such as the nature and concentration of the polymer in the organic phase, the polarities of the solvents, the nature and ratio of the internal/external phases, and the nature and concentration of the surfactants are essential. Therefore, it was necessary to choose which of the nanoparticles among the various ratios have the best results among such factors (Baena-Aristizábal et al. 2016). The highest drug loading efficiency was observed in the formulation containing CVDL:PLGA in the weight ratio of 1:10. Other papers dealing with carvedilol nanoparticles have shown incorporation efficiency of up to 97%, being slightly higher than ours. However, the drug amount used was greater than 1 mg/mL (Luxenhofer 2015). Thus, we had higher incorporation efficiency for the drug quantity. The drug proportion plays a critical role in determining drug loading, particle size, and particle size distribution, as well as the physical stability of the resulting products (El-Say et al. 2018). In this same reasoning, CHOL was incorporated as a functionalizing substance to increase the targeting for cancer cells, where there was a slight increase in particle size and a consequent decrease in encapsulation efficiency. Variations in particle size are indicative that there was an interaction between the copolymer and the drug. In addition, smaller particle size is also indicative of a larger surface area which directly reflects the encapsulation efficiency (de Oliveira et al. 2013).

The AFM images assessed topography aspects such as the shape and the surface of particles. Comparisons of the images of CVDL-free nanoparticles with CVDL-loaded nanoparticles and drug-loaded functionalized nanoparticles confirmed that the drug loading did not affect the formation of sub 250-nm spherical nanoparticles, corroborating the particle size measurements performed by DLS. The analysis of the AFM tip over the surface of nanoparticles records the topography, elucidating the shape and giving information about the size and surface of particles after to desiccants the samples. The more spherical and uniform the particle

size, the better the release kinetics of the drug (Bazylińska et al. 2014; Doquet and Barkia 2016; M. Hoo et al. 2008).

By analyzing the infrared spectra of the nanoparticles, it is possible to observe slight shortening of peaks while adding drug and CHOL, as in the regions of 1750  $\text{cm}^{-1}$ , 1180  $\text{cm}^{-1}$ , and 1050  $\text{cm}^{-1}$  which respectively corresponded to monomeric peaks of the C=O, derivative of PLGA. Peaks of the C–N aliphatic and C–O peaks were present in the aromatic group. These changes in the spectrum are indicative of the incorporation of CVDL as well as of CHOL to the nanoparticles.

The Bhaskar model explains that the drug release rate in the particles may be limited ( $1 - \text{MT}/M \infty$ )  $K_b = t0.65 + b$ ). This fact suggests that the drug release was controlled by diffusion dependent on the drug loading ratio from spherical particles (Gocalinska et al. 2015). This result also corroborates AFM data (Neupane et al. 2014). However, it is possible to observe that the systems show a controlled release for at least 600 min (although with a low percentage of release), differently from the drug that released 100% in the first minutes. Similar results were found in a work on CVDL-loaded solid lipid nanoparticles to improve the oral bioavailability, in which a maximal release of 25% of CVDL from the nanoparticles was found (Venishetty et al. 2012). Thus, our work brings even more favorable release results. In addition, the previous work does not address what kind of kinetic model causes release, which makes a difference. This capacity of nanoparticulate systems to maintain the entrapped drug into polymeric matrix in the release medium potentially improve the biological activity. This fact avoids the CVDL release before the nanoparticles arrive to the target cells, mainly considering the internalization of nanoparticles by endocytosis phenomena (Cheng et al. 2014; Lin et al. 2010; Son and Kim 2010).

Good results were obtained within the analysis in the stability study of the nanoparticles containing CVDL:PLGA at the 1:10 ratio and the nanoparticle without the drug (Fig. 2c). There were no significant variations between particle size and zeta potential values over the 7 weeks, as the zeta potential values remained positive without large variations and the particle size remained below 250 nm. Previous studies with nanoparticles generally do not report the physical stability of proposed formulations or just consider small intervals of hours or days. These results show that there

### DISCUSSION

were no instability phenomena such as creaming, flocculation, sedimentation, phase separation, or coalescence (Binder et al. 2014; Grouchko et al. 2014).

The MTS viability assay is based on the ability of viable cells to transform a tetrazolium salt into a soluble formazan product through their mitochondrial activity (Malich et al. 1997). In this work, the antiproliferative activity of the samples after 24 h of incubation showed that the free CVDL (3.12  $\mu\text{g}/\text{mL}$  and 6.25  $\mu\text{g}/\text{mL}$ ) and NP CVDL CHOL (0.78  $\mu\text{g}/\text{mL}$ ) were able to decrease the proliferation of tumor cells when compared with the control. Increasing the incubation time of the treatment (48 h) also increased the amount of non-viable cells.

All compounds induced cell death by flow cytometry at both treatment times, although the amount of total cell death was more significant after 48 h. This result confirms the data observed in the MTS, corroborating that the release of the drug by the nanoparticles occurs later (Liu et al. 2015). Low-dose CVDL (0.35  $\mu\text{g}/\text{mL}$ ) does not induce cell death when compared with control at 48 h, whereas NP CVDL and NP CVDL CHOL induce cell death; this outcome is an important finding which can prevent side effects in future use as an antitumor agent. It may be possible to use less amount of CVDL when encapsulated in nanoparticles and thus reduce likely side effects when higher doses of the drug are used (Waghela et al. 2015). There is a difference between the amount of cell death when comparing the free CVDL and the nanoparticle systems at the same concentration (Fig. 3e). This result demonstrates the increased efficiency of the antitumor activity of CVDL when present in a functionalized system (Wang et al. 2018). NP CVDL CHOL showed greater efficiency in inducing cell death than NP CVDL at doses 0.78  $\mu\text{g}/\text{mL}$  and 1.56  $\mu\text{g}/\text{mL}$  at 48 h, and this data corroborates other works which showed the promising cholesterol-targeting characteristic (Kong et al. 2019; Lee et al. 2016; Li et al. 2018; Mazumdar et al. 2018).

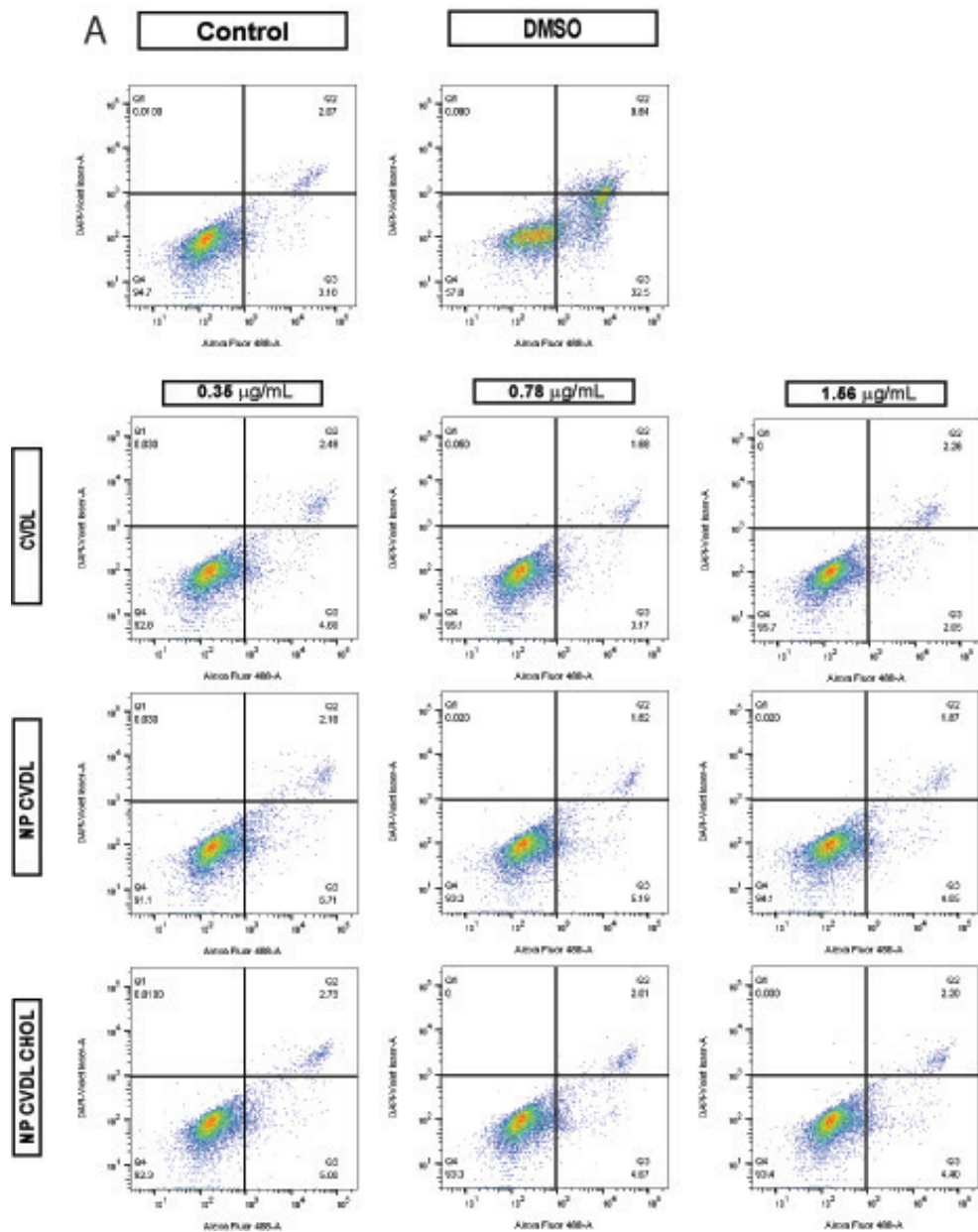
Many studies have proven that PLGA is an efficient delivery system of different active components, mainly if functionalized with cholesterol (Lee et al. 2016; Mazumdar et al. 2018). In this study, the treatment with NP CVDL CHOL (0.05 mg/kg and 0.1 mg/kg) is more efficient in decreasing

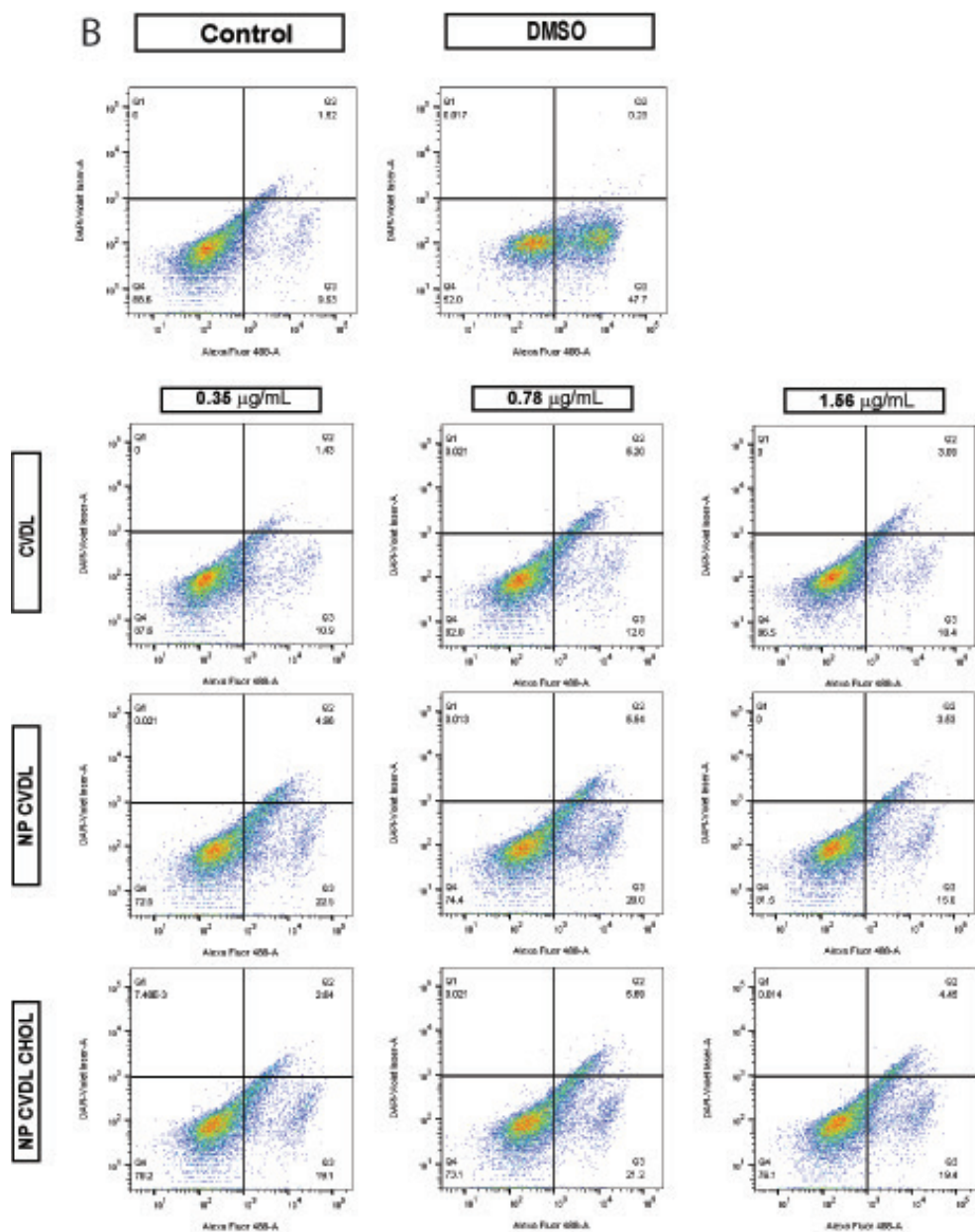
leukocyte migration as well as increasing the GSH levels at all tested doses (0.05 mg/kg, 0.1, and 0.3 mg/kg) than free CVDL and NP CVDL. As shown in Fig. 4, NP CVDL or NP CVDL CHOL (0.05 mg/kg) show similar activity regarding leukocyte levels, MDA and GSH when these data are compared with free CVDL at a concentration of 3 mg/kg. Thus, using functionalized nanoparticles with CHOL decreased the amount of CVDL without compromising its anti-inflammatory and antioxidant effects. These results suggest that PLGA nanoparticles functionalized with cholesterol can enhance the cellular uptake of pharmacological compounds, preventing lipid peroxidation and leukocyte migration in inflammatory processes (Alqahtani et al. 2015; Astete et al. 2011; Nallamuthu et al. 2013; Pereira et al. 2018).

## **CONCLUSION**

In this study, we successfully developed carvedilol-loaded polymeric nanoparticles and improved cell uptake of carvedilol as chemotherapy agent. Nanoparticles have shown suitable structure features as small and stable particles able to induce both the high encapsulation efficiency and the slow drug release profile for CVDL. The data presented indicates that the NP CVDL CHOL can be used as a drug carrier with promising antitumor, antioxidant, and anti-inflammatory activity.

APPENDIX TO CHAPTER 2





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