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# The PAU Survey: narrowband photometric redshifts using Gaussian processes

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#### ABSTRACT

We study the performance of the hybrid template-machine-learning photometric redshift (photo-z) algorithm delight on a subset of the early data release of the Physics of the Accelerating Universe Survey (PAUS). We calibrate the fluxes of the 40 PAUS narrowbands with 6 broadband fluxes (uBVriz) in the COSMOS field using three different methods, including a new method which utilises the correlation between the apparent size and overall flux of the galaxy. We use a rich set of empirically derived galaxy spectral templates as guides to train the Gaussian process, and we show that our results are competitive with other standard photometric redshift algorithms, including the bespoke template-based BCNZ2 previously applied to PAUS, lowering the photo-z 68th percentile error to  $\sigma_{68} \approx 0.009(1+z)$  without any quality cut for galaxies with  $i_{\rm auto} < 22.5$ . We use the combined DELIGHT and BCNZ2 results to identify a small number of tentative catastrophic failures among the secure spectroscopic redshift measurements in zCOSMOS. In the process, we introduce performance metrics derived from the results of both algorithms which improves the photo-z quality such that it achieves  $\sigma_{68} < 0.0035(1+z)$  at a magnitude of  $i_{\rm auto} < 22.5$  while keeping 50 per cent objects of the galaxy sample.

**Key words:** galaxies: distances and redshifts – galaxies: structure – methods: statistical

### 1 INTRODUCTION

Photometric redshift (photo-z) estimation continues to be an active research area as it plays a major role in solving the big questions in cosmology. Redshifts provide radial information (distance) to

the traditional two dimensional sky maps of galaxies. They are traditionally determined through spectroscopic methods (spectroscopic redshifts, or spec-z's). Yet since the process requires long telescope time for high completeness and being computationally expensive, photo-z's are instrumental for the analysis of large surveys containing of order  $10^{8-9}$  galaxies. Photo-z methodology has been evolving and improving a lot over the past couple of decades

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(e.g. Brescia et al. 2018; Salvato et al. 2019), such that it had been sufficiently useful for most recent cosmological researches.

Photo-z, as its name suggests, is often determined through the use of a handful of broadband photometric filters obtained from large sky surveys. Photo-z estimation methods are generally categorised into two different types: the template-based method, which relies on accurate models of spectral energy distribution (SED) templates of different types of galaxies; and the data-driven empirical method, which relies on training sets of galaxies and machinelearning algorithms. Each method however has its own limitations: template-based methods may produce photo-z's with large scatter and catastrophic rates without representative templates; while machine-learning methods may perform poorly outside the regions of the parameters covered by the training sample (D'Isanto et al. 2018). As a result, hybrid methods have been implemented to utilise the best of both worlds (Cavuoti et al. 2017; Duncan et al. 2018, 2019).

Many current and upcoming surveys such as the Dark Energy Survey (DES, Abbott et al. 2005), Legacy Survey of Space and Time (LSST, Ivezić et al. 2008), Euclid (Laureijs et al. 2011), Kilo-Degree Survey (KiDS, De Jong et al. 2013), Wide Field Infrared Survey Telescope (WFIRST, Spergel et al. 2013) and Hyper Suprime-Cam (HSC, Aihara et al. 2018) have set stringent photo-z requirements to ensure that they meet their science goals, forcing the quality of photo-z methodology to constantly improve. For example, LSST's photo-z requirement is to reach a root-mean-square error of  $\sigma_{\rm RMS}$  < 0.02(1+z), while the *Euclid* requirement is  $\sigma_{RMS} < 0.05(1+z)$ . High quality photo-z's are required for a reliable estimation of e.g. weak lensing (Benjamin et al. 2013), angular clustering (Crocce et al. 2016), intrinsic alignment (Johnston et al. 2020), structure formation, galaxy classification and galaxy properties (Jouvel et al. 2017; Laigle et al. 2018; Siudek et al. 2018).

The aforementioned surveys are predominantly broadband surveys which use between 4-9 broadband filters ranging from infrared to ultraviolet. This work however, explores the estimation of photoz's in narrowband surveys, focusing on the Physics of the Accelerating Universe Survey (PAUS, Padilla et al. 2019), which observes the sky using 40 narrowbands (see Section 2.1). Producing high quality photo-z's for such a survey would require careful optimisation between narrow and broad bands, since machine-learning based methods have to be optimised for a larger number of inputs (Eriksen et al. 2020), while template-based methods require more attention towards the narrow emission line features.

Martí et al. (2014) used simulations to predict that by using PAUS narrowband photometry, the photo-z quality could reach an unprecedentedly low 68th percentile error of  $\sigma_{68} = 0.0035(1+z)$ at a quality cut of 50 per cent at i < 22.5. This has been verified by Eriksen et al. (2019), where they combined the 40 PAUS narrowbands (early data release) with broadbands uBVriz from the Cosmic Evolution Survey (COSMOS, Laigle et al. 2016), and using their template-based photo-z code BCNZ2, they showed that this result is achievable when a 50 per cent photometric quality cut was imposed on the final testing set. In a more recent work, Eriksen et al. (2020) used DEEPZ, a deep learning algorithm on the same data set and showed that it outperformed BCNZ2 by reaching 50 per cent lower in  $\sigma_{68}$ . Furthermore, Alarcon et al. (2020) showed that an ever greater precision can be achieved when using additional photometric bands available in the COSMOS field (a total of 66 bands).

We are motivated by the work of Eriksen et al. (2019), but instead of using purely template-based methods, we attempt to achieve this PAUS photo-z precision by utilising Gaussian processes (GPs, see Section 3.1) to make empirical adjustments to templates, work-

ing on the same data set and conditions. We seek to produce an independent method that is competitive, as that will allow us to exploit synergies with BCNZ2 by Eriksen et al. (2019) as shown in this work, DEEPZ (Eriksen et al. 2020), and photo-z's by Alarcon et al. (2020) in the future. Therefore the contents of this paper reflect our findings, putting special emphasis on the performance and application of DELIGHT (Leistedt & Hogg 2017), a hybrid template-machinelearning photo-z code. When carefully calibrated and combined with COSMOS broadband fluxes, DELIGHT should achieve equally good results as that of BCNZ2. The main aims of this paper are threefold:

- (i) to optimise and test the performance of the hybrid templatemachine-learning photo-z code DELIGHT on a narrowband survey;
- (ii) to develop an optimal method to calibrate the fluxes between the COSMOS broadbands and the PAUS narrowbands;
- (iii) to provide an independent photo-z solution for PAUS, enabling the study of photometric and spectroscopic redshift outliers.

This paper is structured as follows. In Section 2 we first introduce PAUS and the sources of photometry and spectroscopic redshifts used in this work. Section 3 describes the algorithms (DELIGHT, ANNZ2 and BPZ) used in this work, together with their optimisation settings and SED templates used. Section 4 describes the full details of how the photometry and spectroscopy from PAUS, COSMOS and zCOSMOS are cross-matched, how the galaxy fluxes are selected, the three methods to calibrate the broadband and narrowband fluxes, and the performance metrics used in this work to compare the results between runs and codes. Section 5 shows the photo-z results obtained by DELIGHT, and a thorough analysis is conducted to compare its performance with ANNZ2, BPZ and BCNZ2. Finally, in Section 6 we study the photo-z outliers of DELIGHT and BCNZ2, and derive new metrics with improved photo-z outlier identifications. Our work is concluded in Section 7.

#### 2 PHOTOMETRY AND SPECTROSCOPY

In this work, photometric data were obtained from PAUS (Section 2.1) and COSMOS (Section 2.2), while spectroscopic redshifts were obtained from zCOSMOS (Section 2.3). In this section, these surveys will be introduced, together with the selection cuts used to obtain our training and testing sets.

#### 2.1 PAUS

PAUS is a narrowband photometric galaxy survey aimed at mapping the large-scale structure of the Universe up to  $i \sim 23.0$ . Using 40 narrowbands spaced by 100 Å in the range between 4500 to 8500 Å (filter responses visualised in Eriksen et al. 2019, and Fig. 4), PAUS aims to achieve redshifts with a precision of  $\sigma_{RMS} < 0.0035(1+z)$ for galaxies with  $i_{auto}$  < 22.5. PAUS uses the PAUCam instrument (Padilla et al. 2019) on the 4 m William Herschel Telescope (WHT) at Observatorio del Roque de los Muchachos (ORM) in La Palma. It has observed more than 50 deg<sup>2</sup> of sky since the beginning of 2016, and observations to full depth in all narrowbands for 100 deg<sup>2</sup> are planned.

The PAUS forced-aperture coadded photometry has its aperture defined by using the 50 per cent light radius  $(r_{50})$ , the point spread function (PSF), ellipticity and Sérsic index of COSMOS morphology, such that the fluxes measure a fixed fraction of light. The reader is referred to Eriksen et al. (2019) for detailed information on how the PAUS fluxes are measured. In this work we used the early data release from PAUS (objects are observed at least five times, using an elliptical aperture with 62.5 per cent light radius), and select objects with  $i_{\rm auto} \le 22.5$ , entries with no missing measurement, and the COSMOS flag TYPE=0 (extended objects).

### 2.2 COSMOS

The Cosmic Evolution Survey (COSMOS, Scoville et al. 2007) covers a sky area of 2 deg<sup>2</sup> (149.47°  $\leq \alpha \leq 150.7$ °, 1.62°  $\leq \delta \leq 2.83$ °) and is known for its high sensitivity, depth and an exceptionally low and uniform Galactic extinction ( $E_{B-V} \sim 0.02$ ).

In this work we used photometry from the COSMOS2015 Catalogue (Laigle et al. 2016); it is a highly complete mass-selected sample to very high redshifts, highly optimised for the study of galaxy evolution and environments in the early Universe. The COSMOS2015 Catalogue provides 30 band photometry ranging from near UV to near infrared wavelengths, all these have been observed through multiple facilities, two of which are the Canada-Hawaii-France Telescope (CFHT) and Subaru Telescope (Miyazaki et al. 2002). From this catalogue we only use the CFHT  $u^*$ -band (Boulade et al. 2003) and Subaru  $B, V, r, i^+$  and  $z^{++}$  bands (Miyazaki et al. 2002), in conjunction with the narrow band photometry of PAUS. For simplicity, these bands will be referred to collectively as the uBVriz bands; the superscripts are dropped for easier reading.

#### 2.3 zCOSMOS

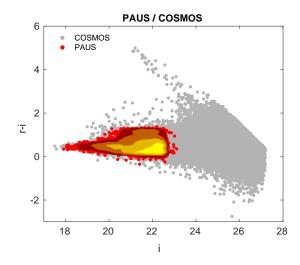
The zCOSMOS Survey (Lilly et al. 2007) targets galaxies in the COSMOS field using the Visible Multi-Object Spectrograph (VIMOS, Le Fèvre et al. 2003). There are two main surveys in zCOSMOS: zCOSMOS-Bright observed 20 689 galaxies in a sky area of 1.7 deg², these galaxies have magnitudes  $15 < i_{\rm auto} < 22.5$  and redshifts in the range of 0.1 < z < 1.2, its spectral range is in the red (rest-frame wavelength 5550 Å to 9650 Å) to follow strong spectral features around the 4000 Å break to as high redshifts as possible. zCOSMOS-Deep observed about 10 000 galaxies in a sky area of  $1.0 \ deg^2$ , these galaxies are colour selected in  $B_ZK$  and UGR (see Daddi et al. 2004; Steidel et al. 2004, for more details) and redshifts 1.4 < z < 3.0; its spectral range is in the blue (rest-frame wavelength 1200 Å to 1700 Å) to pick up stronger absorption features

In this work we use only data from zCOSMOS-Bright DR3<sup>1</sup>. Galaxies with redshift confidence class 3 and 4 (spectroscopic verification rate of 99 and 99.8 per cent, respectively) are selected and cross-matched with PAUS objects.

# 2.4 Our dataset

Using the aforementioned selection cuts, we cross-matched within 1" the 40-narrowband photometry from PAUS, six broadband photometry (*uBVriz*) from COSMOS, and highly reliable redshifts from zCOSMOS to obtain a data sample of 8406 galaxies, which is divided randomly into half for training and testing respectively. This sample uses a total of 46 bands, and flux calibration between the broadbands and narrowbands is required as they are obtained from different surveys with different flux measurements. The calibration between these fluxes will be discussed in Section 4.

The colour-magnitude diagram of this sample is shown in Fig. 1, in comparison with the COSMOS2015 sample (all objects



**Figure 1.** Colour-magnitude diagram for the PAUS data (red) used in this work in comparison with the COSMOS2015 sample (all objects with TYPE=0 and detected in r and i). The contours represent the density of objects.

with TYPE=0 and detected in r and i). The slight incompleteness in i magnitude is due to the selection effects in brightness of the spectroscopic redshifts available.

The sample size may seem small, but is sufficient for the GP to work, since the GP essentially creates 4000+ flux-redshift 'templates' to produce photo-z's for objects in the testing set. However, we note that such a small training size has a major effect on the results of ANNz2 as this training size is close to the lower limit threshold suggested by Bonfield et al. (2010). We also note that the sample we have chosen is very similar to that of Eriksen et al. (2019), the only difference being that they have a more relaxed cut in the number of bands (N\_BANDS), being 35<N\_BANDS<40 (workable for a template code like BCNz2), while we used N\_BANDS=40<sup>2</sup>. When comparing results between DELIGHT and BCNz2, we will only compare photo-z's of the exact same objects. Note that we have used the same broadbands as used by Eriksen et al. (2019).

#### 3 ALGORITHMS AND TEMPLATES

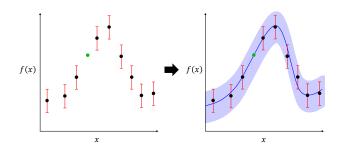
# 3.1 Delight and Gaussian processes

DELIGHT<sup>3</sup> (Leistedt & Hogg 2017) is a hybrid template-based and machine learning photo-*z* algorithm, which was constructed to combine the advantages, and minimise the disadvantages, of both types of algorithms. DELIGHT constructs a large collection of latent SED templates (or physical flux-redshift models) from training data, with a template SED library as a guide to the learning of the model. This conceptually novel approach uses Gaussian processes (GPs) operating in flux-redshift space. DELIGHT was featured in the results of the LSST Photo-*z* Data Challenge 1 (Schmidt et al. 2020), where it was found to have a low photo-*z* bias but slightly broader PDFs.

<sup>1</sup> http://www.eso.org/qi/catalog/show/65

<sup>&</sup>lt;sup>2</sup> The relaxed cut resulted in Eriksen et al. (2019) having a larger sample size of 10 801 objects.

<sup>3</sup> https://github.com/ixkael/Delight



**Figure 2.** Illustration of a Gaussian process (GP). The left panel shows data points (black dots), with a single datum to be predicted (green dot). The GP trains on the given data points to provide a best fit function (blue line) as shown on the right. It also provides a Gaussian confidence interval (blue shaded area) for the prediction.

A GP is a supervised learning method, which finds a distribution over the possible functions f(x) that are consistent with the observed data x. Consider Fig. 2: suppose we have a set of observed variables y = f(x), we can fit it using a GP, denoted as  $f \sim \mathcal{GP}(\mu, k)$ , which assumes that the probability of all f(x) is jointly Gaussian and representable by a mean function  $\mu(x)$  and a covariance matrix  $\Sigma(x) = k(x_i, x_j)$ .  $k(x_i, x_j)$  is the kernel function, which relates one variable  $x_i$  to another  $x_j$ . An example case would be  $\mu \equiv 0$  and a kernel function that takes the form of a squared exponential,

$$k(x_i, x_j) = \sigma_f^2 \exp\left[\frac{-(x_i - x_j)^2}{2l^2}\right],\tag{1}$$

where  $\sigma_f^2$  is the maximum allowable covariance between data (set by the errors on the observation), and l is the tunable correlation length that determines the smoothness of the GP. In this simplistic case, the GP will try to find a marginalisation of all possible functions, but  $\mu$  and k can be modified if an underlying model of the data we want to fit is known. The covariance function is defined such that a smooth function is to be predicted.

Assuming that we have a set of training data  $\{x_i, f(x_i)\}$  and would like to find the prediction  $\{x_*, f_*(x_*)\}$ , the GP models f and  $f_*$  as jointly Gaussian,  $\mathcal{N}(\mu, \Sigma)$ , and therefore

$$\begin{pmatrix} f(x) \\ f_*(x) \end{pmatrix} \sim \mathcal{N} \left( \begin{pmatrix} \mu \\ \mu_* \end{pmatrix}, \begin{pmatrix} \Sigma & \Sigma_* \\ \Sigma_*^T & \Sigma_{**} \end{pmatrix} \right), \tag{2}$$

where  $\Sigma = k(x_i, x_j)$  is the covariance between the training data,  $\Sigma_* = k(x_*, x_i)$  the covariance between training and the predicted data (superscript T denotes the transpose of the matrix), while  $\Sigma_{**} = k(x_*, x_*)$  is the variance of the predicted data.

It follows from the above that the posterior  $p(f_*|x_*,x_i,f_i)$  is also Gaussian, therefore a predicted point  $f_*(x_*)$  is plotted (green dot in Fig. 2) is modelled by a Gaussian function (smooth blue line) which runs across all points, with its 95% confidence interval  $(\pm 1.96\sigma_{f_*})$  represented by the navy shaded area.

In the context of DELIGHT, GPs are used to calculate the predicted fluxes  $\hat{F}$  at a certain redshift z for a training object i with fluxes  $F_i$  and redshift  $z_i$ . This could be better understood by first defining the posterior photo-z distribution  $p(z|\hat{F})$  of an object in the testing set. For machine learning methods, it has the form

$$p(z|\hat{F}) \approx \sum_{i} p(\hat{F}|z, z_i, F_i) p(z|z_i, F_i) p(z_i, F_i), \tag{3}$$

where  $p(\hat{F}|z, z_i, F_i)$  is the prediction for fluxes of the training galaxy

at a different redshift z, while  $p(z|z_i, F_i)p(z_i, F_i) = p(z, z_i, F_i)$  is the prior generated from the training data. This is analogous to the one derived from template-based methods,

$$p(z|\hat{F}) \approx \sum_{i} p(\hat{F}|z, t_i) p(z|t_i) p(t_i), \tag{4}$$

where  $t_i$  is the template,  $p(z|t_i)p(t_i) = p(z,t_i)$  is the prior and  $p(\hat{F}|z,t_i)$  is the probability of the predicted flux  $\hat{F}$  at redshift z and for template  $t_i$ . Both equations are easily differentiated by the fact that for template-based methods,  $p(z|\hat{F})$  is derived using a list of templates  $t_i$ , while for machine learning methods it is derived using the individual training set objects with fluxes  $F_i$  and spectroscopic redshift  $z_i$ .

DELIGHT differs a little from the usual machine learning method in the sense that instead of finding an empirical relationship between the fluxes and redshifts of the training objects, it models  $p(\hat{F}|z,z_i,F_i)$  using a GP,  $\hat{F}\sim\mathcal{GP}(\mu,k)$  to create multiple latent flux-redshift templates from the training objects. In this case  $\mu$  and k no longer follow the simplistic case above: both are imposed to capture expected correlations resulting from the known underlying physics (i.e., fluxes resulting from observed SEDs through filter response, and the SEDs being redshifted) involved. This is done by incorporating physical SED templates into  $\mu$  and k: the algorithm first selects a best fit template for a particular training object i with redshift  $z_i$  and fluxes  $F_i$  (multiple bands). The template is then used to formulate the mean function and kernel of a GP to build a flux-redshift template which could predict the expected fluxes of certain band filters when this object is redshifted to a different z.

With each training object now becoming a flux-redshift template, the final photo-z posterior distribution of a testing set object is determined by making a pairwise comparison of every training-testing pair, and a weighted solution is obtained based on the best fits of each pair. In other words, we are computing the probability that the target galaxy has the same SED as the training galaxy but at a different redshift. Delight is thus a hybrid template-machine-learning photo-z algorithm in the sense that SED templates are used to 'guide' the creation of flux-redshift templates based on the training objects, or, if seen from another perspective, the GP 'corrects' the SED templates by using training data. We refer the reader to Leistedt & Hogg (2017) for more on Gaussian processes, and also for the full expressions of the  $\mu$  and k in relation to the filter responses, flux normalisations, linear mixtures of physical SED templates, and the manually configurable SED residual function of

DELIGHT is advantageous over many other photo-z algorithms as its output is less dependent on representative training data, and it does not strictly require the training set to use the same photometric bands. However, it still requires accurate spectroscopic redshifts, high quality training fluxes and representative templates to produce high quality photo-z probability density functions (PDFs), or p(z). As such, given a few photometric bands, DELIGHT is able to predict missing bands or fluxes in an entirely different set of photometric bands, and this function is utilised in Section 4.1 to predict and calibrate the flux values between two surveys.

# 3.2 Delight optimisation

The optimisation settings of DELIGHT used in this work are as follows. For the GP setup, the number of Gaussians to fit the filter curves (numGpCoeff) was set to 7 instead of the default 20, appropriately selected to accommodate the smaller full width half maximum (FWHM) of the narrowband filters. Other than that, we

have mainly used the default hyperparameter settings for delight with the exception of the widths of the luminosity and redshift priors  $\sigma_\ell$  and  $\sigma_z$  (ellPriorSigma and zPriorSigma, see Leistedt & Hogg 2017), which have been lowered to 0.2 and 0.1 respectively as they produced better results.

As mentioned earlier, the mean function and the kernel of the GP are modelled after the choice of emission lines and SED template sets. We replaced the 3 default emission lines in DELIGHT with the list provided by Eriksen et al. (2019), although we note that the change in result for this is insignificant. As for the templates, we used the Brown et al. (2014) high-quality templates, which consist of 129 SEDs derived from real nearby galaxies. These templates have wavelengths covering the ultraviolet to mid-infrared, and encompass a broad range of galaxy types including ellipticals, spirals, merging galaxies, blue compact dwarfs and luminous infrared galaxies. In this work we have also tested the performance of various other template sets (Coleman et al. 1980; Kinney et al. 1996; Bruzual & Charlot 2003; Ilbert et al. 2006; Polletta et al. 2007); however they do not perform as good as those of Brown et al. (2014): the root-mean-square photo-z errors could range between 21 to 112 per cent higher when these templates are used. Therefore, the results from these tests are not shown in this work.

We note that delight requires all magnitudes  $m_i$  and magnitude errors to be converted into fluxes  $F_i$  and flux variances, with a zero-point adjustment of 26.4 in magnitude (i.e.  $F_i = 10^{-0.4(m_i-26.4)}$ ). We have also added a 3 and 6 per cent flux error in quadrature to the flux variances for the narrowbands and broadbands, respectively, to account for other flux errors from both the data and the model (values estimated via trial and error). It is also worth mentioning that while delight is capable of processing negative fluxes (non-detections), the reference band (referenceBand) used for flux normalisation only handles fluxes with positive values. In this work we have selected the narrowband nb625 as the reference band, or the COSMOS r-band in cases where narrowbands were not used.

Throughout this work, we use  $z_{\rm map}$  (the maximum a posteriori of the PDF) to represent the best point estimate photo-z produced by DELIGHT. The output photo-z PDF bins were set to be linear instead of logarithmic, with a stepsize of 0.001, and a range of 0.02 < z < 1.65, keeping close to the limits of the spectroscopic redshifts.

#### 3.3 Other algorithms

We are also interested in how delight compares to other common template-based or machine-learning-based methods besides BCNZ2 and DEEPZ. Therefore two other photo-z algorithms, ANNZ2 and BPZ are also used in this work, using the same training and template sets, to be compared with the performance of delight. In the following paragraphs we briefly introduce the two algorithms and their optimisation settings.

ANNZ2<sup>4</sup> (Sadeh et al. 2016) is a machine-learning-based photoz algorithm which has been widely used in recent works (Bonnett et al. 2016; Jouvel et al. 2017; Bilicki et al. 2018; Soo et al. 2018; Schmidt et al. 2020) due to its high customisability and its ability to produce PDFs. It uses the Toolkit for Multivariate Data Analysis (TMVA, Hoecker et al. 2007) with Root (Brun & Rademakers 1997), which allows it to run multiple different machine learning algorithms for training, and outputs photo-z's based on a weighted average of their performance. In this work we ran ANNZ2 with a mixture of 3 machine learning methods, namely artificial neural networks (ANNs), boosted decision trees (BDTs) and k-nearest neighbours (KNNs), see Hoecker et al. (2007) for detailed descriptions of these machine learning algorithms. An architecture of  $N: \frac{2N+1}{3}: \frac{N+2}{3}:1$  was used for the ANN; the bagging method was used to boost the decision trees; a polynomial kernel was used for the KNN; while the other hyperparameters for each method were individually optimised for best performance. ANNZ2 version 2.3.1 was used in this work, and the mean value of the PDF,  $z_{\rm pdf}$  was chosen to represent the photo-z point estimate.

BPZ<sup>5</sup> (Benítez 2000), on the other hand, is one of the longest-standing template-based photo-*z* algorithms, and still widely used today (Martí et al. 2014; Bundy et al. 2015; Cavuoti et al. 2017; Tanaka et al. 2018; Joudaki et al. 2020; Raihan et al. 2020). Other than sharing the usual attributes of a template-based code, BPZ uses Bayesian inference, prior information of redshift distributions and template interpolation to improve photo-*z* results. BPZ version 1.99.3 was used in this work, and similar to DELIGHT the Brown templates were used, with the interpolation parameter set to 2. We assumed the same functional form for the Bayesian priors as those used by COSMOS (Laigle et al. 2016). The peak of the PDF, *z*<sub>b</sub>, was used as the best photo-*z* point estimate.

Other than ANNZ2 and BPZ, the results of DELIGHT are also compared to the results of BCNZ2, which was developed specifically for the PAUS data (Eriksen et al. 2019). BCNZ2 is able to compute a linear combination of SED templates and is designed to deal with emission lines, extinction, and adjust zero-points between narrow and broadbands, all of which are crucial in the context of PAUS. The introduction of the code BCNZ2 and its early demonstration of PAUS photo-z can be found in Eriksen et al. (2019).

#### 4 FLUX CALIBRATION

This work utilises fluxes obtained from two different surveys: the PAUS narrowband fluxes are measured using an aperture which covers 62.5 per cent of light from the galaxy, while COSMOS broadband fluxes are measured using a fixed 3" aperture. Therefore, calibration is required to ensure that the flux values are consistent with one another. We only calibrate the broadband fluxes, leaving the narrowband fluxes untouched following Eriksen et al. (2019). The calibration process is done in two steps: first a calibration per galaxy, we note that ideally this could have been easily done if spec-z's are available; however since the evaluation set would not have spec-z's available, we present 3 alternatives in the following sections to calibrate the fluxes photometrically.

# 4.1 Calibration per galaxy

In the first step we define a parameter  $R_{\rm g}$ , a correction factor estimated for each galaxy to be multiplied with all of its six uBVriz broadband fluxes. Ideally, this factor is estimated by first finding the best-fit Brown template for each galaxy using only 40 narrowband fluxes from PAUS and its true redshift. The best-fit template is then used to generate the predicted uBVriz fluxes, and a weighted mean

<sup>4</sup> https://github.com/IftachSadeh/ANNZ

<sup>5</sup> http://www.stsci.edu/~dcoe/BPZ/

of the ratios between the predicted flux and the original COSMOS flux  $R_{g,b}$  is calculated for each band b, given by

$$R_{\rm g} = \frac{\sum_b R_{\rm g,b} / \sigma_{R_{\rm g},b}^2}{\sum_b 1 / \sigma_{R_{\rm g},b}^2},\tag{5}$$

where the sum is over the six COSMOS broadbands, and  $\sigma^2_{R_g,b}$  is the variance of  $R_{g,b}$ . Here we have assumed that the Brown templates are sufficiently representative, and therefore the predicted flux derived from it is the true flux of the broadbands. We have also assumed that  $R_{g,b}$  should be almost the same across each band for each galaxy. This calibration is motivated by the fact that each galaxy requires a calibration between fixed-size and adaptive aperture photometry dependent on its apparent size.

We now explore three different methods to determine  $R_{\rm g}$  from the photometric data only.

#### 4.1.1 The photo-z calibration method

The first method, which we call the *photo-z calibration method*, is very similar to the method above except that we replace the spectroscopic redshifts used to determine the predicted uBVriz flux for the testing set with photometric redshifts. We first use DELIGHT and only the 40 narrowbands to produce photo-z's for each object, and then we use these photo-z's to estimate the predicted fluxes, and then later  $R_g$  for each galaxy. This implies that the better the quality of the photo-z's produced by only the 40 narrowbands, the better the calibrated broadband fluxes will be.

# 4.1.2 The size calibration method

The second method, hereafter the *size calibration method*, does not require the production of predicted fluxes for the testing set. Instead, this method uses the correlation between the sizes of galaxies with their values of  $R_{\rm g}$  in the training set, to predict the values of  $R_{\rm g}$  for objects in the testing set. With the predicted fluxes of the training set known, we plot  $R_{\rm g}$  against the 50 per cent light radius  $r_{\rm 50}$  (measured in pixels) for each object, and obtain a best-fit linear-least-squares regression line in the process,

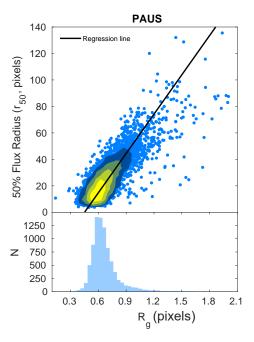
$$R_{\rm g} = m \cdot r_{50} + c,\tag{6}$$

where the slope and y-intercept are found to be m = 0.0101 and c = 0.4504 respectively, with a correlation coefficient of r = 0.8349, implying a strong positive correlation between  $R_{\rm g}$  and  $r_{\rm 50}$ .

With this relationship derived, the values of  $R_{\rm g}$  for each object in the testing set can be estimated. This method is motivated by the fact that the size of galaxies is a defining factor for the difference in their flux values when measured using a fixed aperture or when measured using a fixed light radius. Fig. 3 shows a scatter plot of  $r_{50}$  v.s.  $R_{\rm g}$  for the training set, where the correlation equation is determined. The distribution of  $R_{\rm g}$  is also tabulated in the figure, it is shown to have a median value of 0.6349, implying that on average COSMOS measures more flux for each galaxy than PAUS. We note that in the case when galaxies have undefined values of  $r_{50}$ , we substitute them with the mean value of  $r_{50} = 22.4934$  pixels.

# 4.1.3 The flux calibration method

The third and final method is the *flux calibration method*, which is similar to the method used by Eriksen et al. (2019), but simpler in that the Gaussian Process has a larger capacity to accommodate



**Figure 3.** *Top*: Correlation between  $r_{50}$  and  $R_{\rm g}$  for the training set, where  $R_{\rm g}$  is a calibration correction factor estimated for each galaxy to be multiplied with all of its six uBVriz broadband fluxes. *Bottom*: The distribution of  $R_{\rm g}$  of the training set, estimated using the size calibration method. N is the number of galaxies.

uncertainties. This method makes use of the fact that there are overlaps in wavelength between the COSMOS broadbands and PAUS narrowbands: the V-band overlaps with the narrowbands nb505 to nb585 (9 bands); the r-band overlaps with nb565 to nb685 (13 bands); and the i-band overlaps with nb705 to nb835 (14 bands). This overlap is illustrated in Fig. 4.

Similar to the previous method, no redshift information is required for flux prediction, the  $R_{\rm g}$  in this case is estimated by first averaging the narrowband fluxes within the range of the broadband of interest (V, r or i), and then taking the ratio between the broadband flux and the averaged narrowband fluxes. This will give us 3 values of  $R_{\rm g,b}$  for the 3 Vri bands, and finally  $R_{\rm g}$  for each galaxy is taken as the weighted average of the 3 values.

This method is simple yet effective: it does not involve the spectroscopic redshift, the photo-z derived by 40 narrowbands, or even the size of the galaxy. Here we assume that the  $R_{\rm g}$  estimated using Vri is applicable for the uBz bands as well. We will compare the overall photo-z quality produced by the three methods above in Section 5.2.

# 4.2 Calibration per band

After calibrating the COSMOS broadband fluxes for each galaxy, we proceed to calibrate the broadband magnitude offsets within each band. We perform a weighted least-squares fit between the predicted broadband fluxes (produced by DELIGHT using 40 PAUS narrowband fluxes, the respective best fit Brown templates and zCOSMOS specz's) and the original COSMOS uBVriz fluxes in the training set, by using a simple linear equation,

$$\ln(F_{\mathbf{p},b}) = a_b \cdot \ln(F_{\mathbf{g},b}) + c_b \tag{7}$$

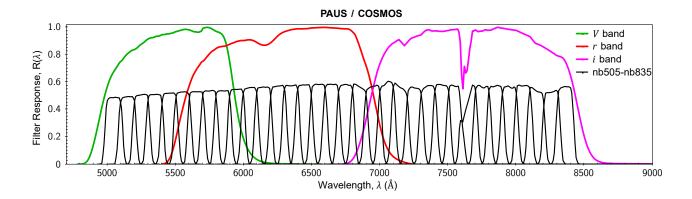


Figure 4. The overlapping wavelengths between 34 PAUS narrowband filters and 3 COSMOS broadband filters: V overlaps with nb505-nb585 (9 bands); r overlaps with nb565-nb685 (13 bands); and i overlaps with nb705-nb835 (13 bands). Note that the filter responses from PAUS and COSMOS are normalised at different values, respectively.

**Table 1.** List of the best fit parameters  $a_b$  and  $c_b$  for each band b when the predicted and original COSMOS fluxes from the training set were fitted with a weighted least-squares fit, using Equation 7.

Bands	$a_b$	$c_b$
и	$1.0007 \pm 0.0001$	$0.0354 \pm 0.0008$
B	$0.9906 \pm 0.0002$	$0.2163 \pm 0.0009$
V	$0.9988 \pm 0.0002$	$-0.0830 \pm 0.0009$
r	$1.0006 \pm 0.0002$	$0.0015 \pm 0.0009$
i	$1.0202 \pm 0.0001$	$-0.0875 \pm 0.0008$
z	$0.9791 \pm 0.0001$	$0.0424 \pm 0.0007$

where  $F_{\mathrm{p},b}$  is the predicted flux for band b,  $F_{\mathrm{g},b}$  the COSMOS broadband flux after undergoing the per-galaxy calibration, and  $a_b$  and  $c_b$  are constants to be optimised. The values of  $a_b$  and  $c_b$  estimated for each band using the training set are now used to calibrate the fluxes in the testing set, and these values are tabulated in Table 1. A weighted fit was implemented, with the inverse variances of the fluxes used as the weights, since we expect that objects which are brighter to have relatively lower variances, and by accounting for the variances of objects the fainter objects would be upweighted.

As expected from the table, the values of  $a_b$  and  $c_b$  are very close to 1 and 0 respectively, since the calibrated flux per galaxy  $F_{g,b}$  is already very close to the predicted flux  $F_{p,b}$ . Essentially, this process 'straightens' the correlation line, providing minor yet essential improvements to the overall calibration.

# 4.3 Overall calibration performance

Figure 5 shows the correlation between the broadband fluxes predicted by DELIGHT (using spectroscopic redshifts, PAUS 40 narrowbands and Brown templates) and the COSMOS broadband fluxes for our training set, both before and after calibration (red and blue, respectively). The figure only shows the result of the flux calibration method, as the other two methods look very similar graphically (which translates to a small difference in photo-z results shown later in Section 5). Significant improvement in the flux values can be seen, however the scatter at low fluxes for the *u* and *B*-bands remains evident, which originated from the high uncertainty in flux measurements. The calibration of the broadband fluxes translates

into an improvement in photo-z scatter and 68th percentile error by about 70 to 80 per cent.

#### 5 RESULTS AND DISCUSSION

Table 2 summarises the results of this work, it shows all the photo-*z* metrics we produced, using different algorithms (DELIGHT, ANNZ2, BPZ), different calibration methods (*flux*, *photo-z* and *size*), and different number of input fluxes (6 broadbands, 40 narrowbands, or both). We divide the analysis of the results into two sections: Section 5.2 studies the performance between the three calibration methods used in DELIGHT, while Section 5.3 compares the best performance of DELIGHT with ANNZ2, BPZ and BCNZ2. In the following section, we briefly introduce the performance metrics we used in this work.

#### 5.1 Performance metrics

In this work we use three metrics to quantify the performance of the photo-z point estimates: the root-mean-square error ( $\sigma_{RMS}$ ), the 68th percentile error ( $\sigma_{68}$ ) and the outlier fraction rate ( $\eta_{out}$ ). With  $\Delta z \equiv \frac{z_{phot} - z_{spec}}{1 + z_{spec}}$ , the above metrics are defined as follows:

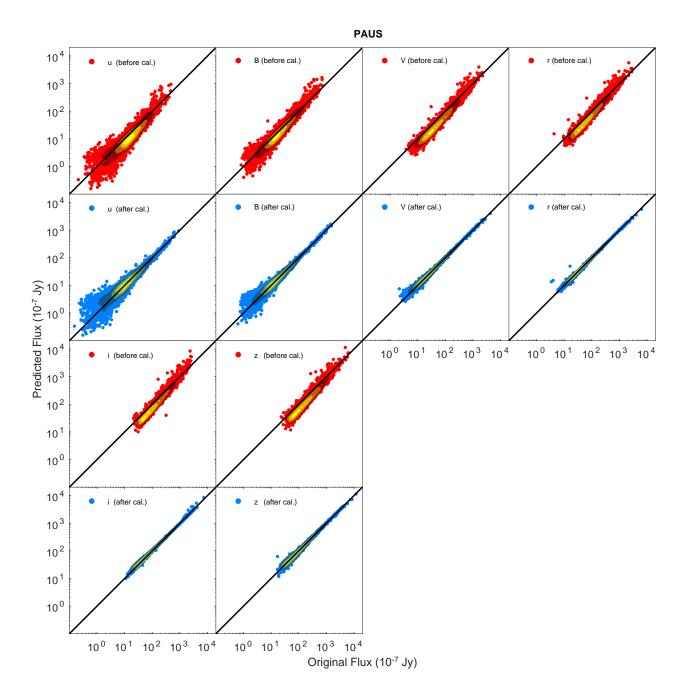
$$\sigma_{\rm RMS} \equiv \sqrt{\frac{1}{N} \sum_{i}^{N} |\Delta z_{i}|^{2}} \,, \tag{8}$$

$$\sigma_{68} \equiv \frac{Q_{84.1\%}(\Delta z_i) - Q_{15.9\%}(\Delta z_i)}{2} , \qquad (9)$$

$$\eta_{\text{out}} \equiv \% \text{ objects where } |\Delta z_i| \ge 0.15 .$$
(10)

Here N is the total number of galaxies, while Q is a percentile of the distribution. Since  $\sigma_{\rm RMS}$  is calculated without the outliers removed, it measures the overall scatter of the sample, whereas  $\sigma_{68}$  measures the scatter with reduced sensitivity to outliers.

With similar motivations as Martí et al. (2014) and Eriksen et al. (2019), we hope to achieve an overall photo-z error of  $\sigma_{68} \le 0.0035(1 + z_{\text{spec}})$  for at least 50 per cent of the testing sample after



**Figure 5.** The *uBV riz* broadband fluxes predicted by DELIGHT plotted against their original COSMOS fluxes, both before and after the two-step calibration process (red and blue respectively) for our training set, using the flux calibration method as an example. The broadband fluxes match their prediction much better after calibration.

applying an appropriately chosen quality cut. We use the Bayesian ODDS ( $\Theta$ ) parameter (Benítez 2000) in DELIGHT, similar to its implementation in ANNZ2 by Soo et al. (2018).  $\Theta$  can be estimated from the photo-z PDF, p(z) using the equation

$$\Theta = \int_{z_p - k(1 + z_p)}^{z_p + k(1 + z_p)} p(z) dz, \qquad (11)$$

where  $z_p$  is the peak of p(z) and k = 0.01.  $\Theta$  ranges between 0 and 1, the higher the value the lower the p(z) width, which implies a more precisely predicted photo-z (though not necessarily accurate).

The value of k is arbitrary, appropriately selected such that not too many objects end up having  $\Theta = 1$ . Therefore, an x per cent quality cut on the sample keeps the top x percent of objects with the highest values of  $\Theta$ .

To assess the quality of the p(z), we use probability integral transform (PIT) plots and the continuous ranked probability score (CRPS). The PIT is the cumulative distribution function (CDF) at  $z_{\rm spec}$  while asserting the p(z) to have an area of unity. Since the

**Table 2.** The root-mean-square error ( $\sigma_{RMS}$ ), 68th percentile error ( $\sigma_{68}$ ), outlier fraction ( $\eta_{out}$ ), mean continuous ranked probability score ( $\rho_{CRPS}$ ) and the root-mean-square error in redshift distribution ( $n_{RMS}$ ) for the photo-z's produced in this work, using different algorithms, methods and number of bands. All results are produced using 6 broadbands (BB) and 40 narrowbands (NB) unless stated otherwise.

Photo-z methods	$\sigma_{ m RMS}$	$\sigma_{68}$	η <sub>out</sub> (%)	$ ho_{\mathrm{CRPS}}$	$n_{\rm RMS}$
DELIGHT (6BB only)	0.0514	0.0441	0.93	0.0388	0.885
DELIGHT (40NB only)	0.0684	0.0119	4.02	0.0298	0.637
DELIGHT (no calibration)	0.1555	0.0566	9.06	0.0887	0.895
DELIGHT (photo-z calibration method)	0.0335	0.0083	0.71	0.0158	0.634
DELIGHT (size calibration method)	0.0341	0.0095	0.76	0.0165	0.646
DELIGHT (flux calibration method)	0.0331	0.0081	0.86	0.0155	0.636
DELIGHT (flux calibration method, no GP)	0.0442	0.0089	0.98	0.0179	0.639
ANNZ2	0.0556	0.0396	2.66	0.0719	0.465
ANNZ2 (6BB only)	0.0371	0.0202	1.14	0.0522	0.432
BPZ	0.0368	0.0089	0.86	0.0184	0.740
BCNZ2	0.0403	0.0085	1.14	_	

photo-z CDF is  $C(z) = \int_0^z p(z') dz'$ , PIT is defined to be

$$PIT = C(z_{\text{spec}}) = \int_0^{z_{\text{spec}}} p(z) dz.$$
 (12)

A PIT distribution tells us on average if the p(z) produced are 'adequately shaped': the shape of the PIT distribution can tell us if the p(z) produced are generally too wide/narrow, or if the p(z) are over/under-predicting the true redshift.

The CRPS on the other hand tells us how well the p(z) encapsulates or predicts the true redshift ( $z_{\rm spec}$ ). The CRPS of a p(z) can be expressed as

$$CRPS = \int_{-\infty}^{\infty} |C(z) - \mathcal{H}(z - z_{\text{spec}})|^2 dz, \qquad (13)$$

where  $\mathcal{H}(z-z_{\mathrm{spec}})$  is the Heaviside step function with

$$\mathcal{H}(z - z_{\text{spec}}) = \begin{cases} 1, & z = z_{\text{spec}} \\ 0, & \text{otherwise.} \end{cases}$$
 (14)

We refer the reader to Polsterer et al. (2016) for a detailed description of both PIT and CRPS.

# 5.2 Performance of Delight

Rows 1 and 2 from Table 2 shows the photo-z's produced when only trained using the broadbands and narrowbands individually, and we find that by combining both broadbands and narrowbands (rows 4 to 6), we have achieved at least 34 and 20 per cent improvement in the photo-z scatter and  $\sigma_{68}$ , respectively (visualised in Fig. 6).

Rows 3 to 7 proceed to show the metrics for each calibration method, and on average, the performance of each method is quite similar, all within 4 to 16 per cent difference in  $\sigma_{RMS}$  and  $\sigma_{68}$ , respectively. Statistically, the flux calibration method seems to perform slightly better compared to the remaining ones, with the exception of the photo-z calibration method having better values of  $\eta_{\text{out}}$  and  $n_{\text{RMS}}$ . This suggests that while the photo-z's produced by training with only 40 narrowbands are not as competitive as when trained with all 46 bands and calibrated broadbands (see Table 2 and Fig. 6), it is however sufficient to guide the calibration process. Note that we have also included the results of DELIGHT run as a pure template code when calibrated using the flux calibration method for comparison, and we see that without the help of the GP, the photo-z results are similar for most metrics except a degradation in scatter of up to -33.5 per cent. Therefore the good results of DELIGHT shown here are mainly due to the use of the Brown templates, the flux calibration, the combination of broad and narrowbands, and also the work of the GP.

We probe deeper into each method by studying the variation of their metrics with respect to their Kron i-band magnitude ( $i_{\rm auto}$ ) and their photo-z values. Fig. 7 shows the variation of  $\sigma_{\rm RMS}$  and  $\sigma_{68}$  with respect to  $i_{\rm auto}$  (cumulatively), while Fig. 8 shows the completeness of the sample with respect to photo-z, when the testing sample is systematically cut in steps of 10 per cent based on the  $\Theta$  value for the p(z) for each galaxy. The plots once again show very similar results across the methods. It is however notable that the photo-z requirement of  $\sigma_{68} < 0.0035(1+z)$  is achievable for all objects at  $i_{\rm auto} < 20.0$ , or objects with a 40 per cent  $\Theta$  cut at  $i_{\rm auto} < 22.5$ . Fig. 8 also shows that despite such high percentage  $\Theta$  cuts being implemented, a significant number of high photo-z objects still remain in the sample.

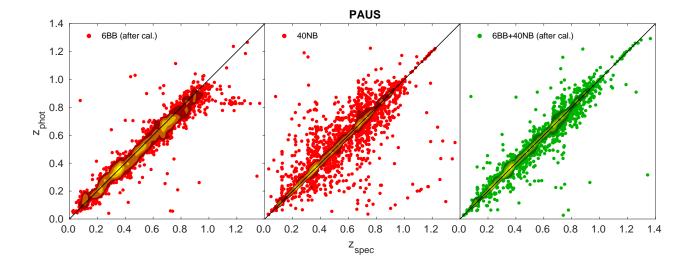
Next, we compare the quality of p(z) produced by each method. Fig. 9 shows a few samples of p(z) produced. After inspecting several p(z) and analysing the PIT plots, we find that the p(z) produced by the flux calibration method seem to outperform the other two methods. Not only does the p(z) of the flux calibration method tend to suppress unnecessary secondary peaks, it is also found to have produced appropriate p(z) widths as its PIT distribution is close to flat compared to the other methods.

# 5.3 Comparison with Other Algorithms

Since the delight results for each of the three calibration methods are very similar to each other, we decided to select only the flux calibration method to be compared to the results obtained by the two other algorithms used in this work, annz2 and BPZ. We also include the point estimates from Eriksen et al. (2019). The values of  $\sigma_{RMS}$ ,  $\sigma_{68}$  and other relevant metrics obtained from these algorithms are shown in rows 8 to 11 of Table 2, and visualised in Fig. 10.

From the figure, it is found that ANNZ2, being a purely machine-learning based algorithm, is underperforming compared to the other algorithms. This machine-learning method is unable to make full use of the extra information provided by the 40 narrowbands, and is shown to perform better without them. This is partially due to the problem of the curse of dimensionality (Bellman 1957), sharply diluting the pattern recognition power of the algorithm as the number of inputs increases. Besides, the very small training sample size may have heavily affected the potential of ANNZ2. Here we note however that the deep learning code DEEPZ is shown to work well on a similar sample (Eriksen et al. 2020), therefore we hope to do follow-up evaluations of ANNZ2 on PAUS data in the future when a larger training set is available.

In terms of the quality of the point estimate photo-z's, DELIGHT



**Figure 6.** Plot of photo-z vs. spec-z, comparing the photo-z's when trained and tested using only 6 uBV riz broadbands (BB, left), only 40 narrowbands (NB middle), and all 46 bands combined (right). The flux calibration method was used for this plot.

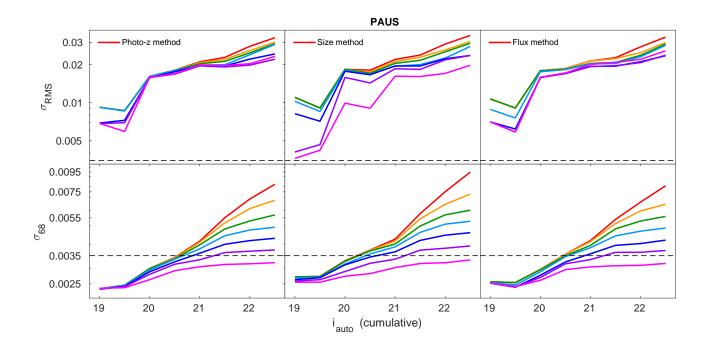


Figure 7. Plots of  $\sigma_{\rm RMS}$  (top) and  $\sigma_{68}$  (bottom) with respect to  $i_{\rm auto}$  (cumulatively) for each method in DELIGHT. The coloured lines represent the sample when cut systematically in  $\Theta$ , keeping only objects with the best 100% (red), 90% (orange), 80% (green), 70% (blue), 60% (navy), 50% (purple) and 40% (pink) values. The dashed line with  $\sigma_{68} = 0.0035(1+z)$  represents the photo-z quality target of PAUS for 50 per cent of the objects at  $i \sim 22.5$ . This figure is a parallel to Fig. 11.

is shown to fare well against BCNZ2 and BPZ (Fig. 10), both of which are purely template-based methods. As both DELIGHT and BPZ used the same template sets in this case (i.e. the Brown templates), we find that the Gaussian process contributed to 25 and 9 per cent improvement in the scatter and  $\sigma_{68}$ , respectively, as compared to the pure template fit of BPZ.

Despite the similarities in the point estimates for the entire sample (Table 2), when we cut the sample in percentages of  $\Theta$  (Figs. 11 and 12), we see two major differences. Firstly, the cut in  $\Theta$  for BPZ does not systematically remove objects with high uncertainties (especially for objects brighter than  $i_{\text{auto}} = 21$ ); and secondly, the cut in  $\Theta$  for BPZ selectively removes objects with lower photo-z.

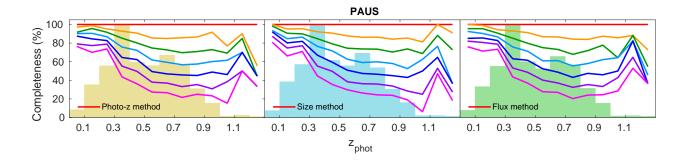


Figure 8. Plot of percentage of objects within each photo-z bin with respect to the cut in  $\Theta$  value for the three different methods used for DELIGHT. The lines use the same colour scheme as those in Fig. 7, while the histograms in the background show the photo-z distribution for each method (relative number of objects in each photo-z bin). This figure is a parallel to Fig. 12.

In both cases, DELIGHT is shown to not only perform better in this regard as compared to BPZ, but also better than all other algorithms shown.

A selection of sample p(z) produced by each algorithm is shown in Fig. 13, while the overall quality of the p(z) produced are visualised in the PIT plots as shown in Fig. 14. Once again we see DELIGHT on average producing superior p(z) compared to the other algorithms: it is obvious from the PIT plots that the p(z) produced by ANNZ2 are too narrow (a U-shaped distribution), while those by BPZ are too wide (a significant central peak). We also note that the p(z) produced by ANNZ2 are hagged compared to BPZ and DELIGHT, this is due to the limited training sample size and the low number of network committees used. We intend to look into several methodologies to smoothen machine-learning based p(z) which are limited by such conditions; this is left for future work.

#### 6 APPLICATION: IDENTIFYING PHOTO-Z OUTLIERS

# 6.1 Analysing the photo-z outliers of Delight and BCNz2

As we compared the photo-*z* results, we discovered that there are some galaxies that have similar delight and bcnz2 photo-*z* values, however these redshift values are far from their respective zCOS-MOS spectroscopic redshifts or broadband photo-*z*'s. Since both bcnz2 and delight utilise the PAUS narrowbands, we expect that the photo-*z*'s they produce are more sensitive to emission lines as compared to photo-*z*'s produced using only broadbands. Therefore, we suspect that objects that have similar photo-*z* values for delight and bcnz2 but have disagreeing spec-*z* values to be an indication of either having (1) a catastrophic zCOSMOS spectroscopic redshift<sup>6</sup>, (2) outlier broadband or narrowband fluxes, or (3) misidentification of close neighbours.

For the purpose of this inquiry, we have selected 30 objects from the sample which are photo-z outliers in  $z_{Delight}$  vs.  $z_{spec}$  or  $z_{BCNz}$  vs.  $z_{spec}$ , yet are not outliers in  $z_{Delight}$  vs.  $z_{BCNz}$ . Mathematically, they satisfy the following conditions:

(i) 
$$\frac{\left|z_{Delight} - z_{spec}\right|}{1 + z_{spec}} \ge 0.15 \text{ or } \frac{\left|z_{BCNz} - z_{spec}\right|}{1 + z_{spec}} \ge 0.15, \text{ and}$$

(ii) 
$$\frac{|z_{\text{Delight}} - z_{\text{BCNz}}|}{1 + \frac{z_{\text{Delight}} + z_{\text{BCNz}}}{2}} < 0.15$$

Note that the  $z_{\rm Delight}$  used here refers to the photo-z produced using the flux calibration method, trained using 46 bands guided by the Brown templates.

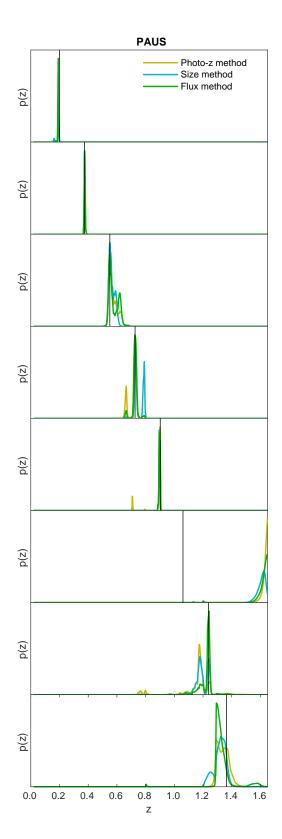
These 30 objects are visualised in the redshift-redshift plots in Fig. 15. Note that in the following paragraphs, we will define a photo-z to be *catastrophic* if it is found to be an outlier with respect to its spec-z, as defined mathematically above. These objects are found to have faint magnitudes ( $i_{\rm auto} > 19.75$ ) and small angular sizes ( $r_{\rm 50} < 60$  ACS pixels, or 1.8"), which describe most galaxies of interest for PAUS. We study several different attributes of these objects, namely their respective photo-z's by Delight, BCNZ2 and Lephare, photo-z PDFs, best-fit templates (Brown and GP), spectra and images. We summarise important observations according to their respective attributes below.

Photo-z's. While these 30 objects have been identified as outliers when trained using 46 bands, we find that two-thirds of these objects have non-catastrophic photo-z's when trained with either only the broadbands or narrowbands, respectively. In other words, only one-third of these objects have catastrophic photo-z's regardless of which bands were used in the training or fitting process. This suggests that most of the time, outlier fluxes in the broadbands or the narrowbands may have caused a degradation in photo-z quality when trained together (more on this in the templates paragraph below). We have also made a comparison between DELIGHT photo-z's with those produced by LEPHARE for the COSMOS2015 catalogue (Laigle et al. 2016), and found that in fact half of the 30 objects have non-catastrophic LEPHARE photo-z's. This suggests that the infrared yJHK bands could have played a role in improving the PAUS photo-z's, and could be incorporated in future trainings in case the PAUS photometry is problematic.

*Photo-z PDFs*. We inspected the secondary/tertiary peaks of the PDFs for all DELIGHT runs (trained with 6 broadbands, 40 narrowbands, or both), and find that less than 20 per cent of these

<sup>&</sup>lt;sup>6</sup> While we have already selected to use only secure spectroscopic redshifts in this work, we still deem this as a remote possibility, since a 1 per cent outlier rate in 4000+ spec-z measurements may still yield 40 objects, which is within the same order of number of objects being investigated in this section. Our results later in this section however have verified that most of the outliers are not caused by catastrophic spectroscopic redshifts.

<sup>&</sup>lt;sup>7</sup> We note that these additional bands will not be available over most of PAUS, which targets Canada-France-Hawaii Telescope Legacy Survey (CFHTLS) wide fields W1 to W4. There is however some infrared data on these fields provided by the Wide-field InfraRed Camera (WIRCam) and the VISTA Kilo-degree Infrared Galaxy Survey (VIKING).



**Figure 9.** Sample redshift PDF p(z) for the three different delight calibration methods discussed in this work. The black vertical line shows the position of the spectroscopic redshift. This figure is a parallel to Fig. 13, each row in each plot corresponds to the exact same objects.

secondary/tertiary peaks coincide with their respective spec-z's. We deduce that despite the importance of secondary PDF peaks in redshift distributions, they do not significantly influence the photo-z quality of these 30 objects.

Templates. DELIGHT utilises the 129 Brown et al. (2014) templates and the 4203 training objects to guide the GP to produce the same number of new flux-redshift templates, which are used to produce photo-z's for the objects. In the selection process, DELIGHT would always choose one best-fit Brown template for each training galaxy to be trained by the GP. Here we inspected two different kinds of best-fit Brown templates to these 30 outliers: one fixed at the spec-z, and the other with the redshift as a free parameter. In both cases, we examined

- (i) if the objects fit to the same templates when trained with only broadbands, only narrowbands, or both, respectively;
- (ii) if there are any trends in galaxy morphological types, based on the galaxy type classification indicated by the template;
- (iii) if there is any correlation between the  $\chi^2$  value of the best-fit templates and the quality of photo-z's; and
- (iv) if any outlier narrowband fluxes can be identified as the cause of the degradation of photo-z.

As expected, we find that more than half of the objects have different best-fit Brown templates when fitted at photo-z and spec-z, with only slightly more than a third of the objects fitted to the same templates when trained using broadbands as compared to trained with all 46 bands. Besides, up to 70 per cent of the best-fit templates fit at photo-z and spec-z for each object do not agree with one another, which translates into disagreeing morphological types as well. However, it was found that up to 60 per cent of the objects have their best-fit template  $\chi^2$  value correlating with the quality in photo-z, which further affirms the usage of this as a metric to remove unreliable photo-z's (see Section 6.2), as also attempted by Eriksen et al. (2019) and Eriksen et al. (2020).

Perhaps a more significant finding from the study of the bestfit templates is the ability to identify outlier narrowband fluxes. Fig. 16 shows an example which highlights the importance of identifying outlier narrowband fluxes, which is shown to significantly affect the photo-z results. It was found that a third of the 30 objects contained outlier narrowband fluxes, which results in entirely different template fits and photo-z's when trained with narrowbands, as compared to when trained with broadbands only. Among these 10 objects, 8 of them are shown to have worse photo-z as compared to training without the narrowbands.

Images. We inspect the individual object images compiled by zCOSMOS DR3, these are  $5'' \times 5''$  images observed by the Hubble Space Telescope/Advanced Camera for Surveys (HST/ACS) in the F814W filter (Koekemoer et al. 2007). Among the 30 outlier objects, we find 63.3 and 26.7 per cent of them having bright neighbours within 5'' and 3'' of the primary source, respectively. Having said that, we have not found any correlation between the presence of bright neighbours to the other attributes that we have studied thus far. In fact the opposite is true: we find that 60 per cent of the objects with outlier narrowband fluxes actually have primary sources without any bright neighbours in vicinity.

Spectra. So far we have assumed that the zCOSMOS spectra obtained are reliable, as only entries with high-confidence quality flags have been selected for training (see Section 2.3). In order to probe further, we examined the one-dimensional spectra obtained by the VIMOS spectrograph, which is processed by the VIMOS Interactive Pipeline and Graphical Interface (VIPGI, Scodeggio et al. 2005) to produce the zCOSMOS spec-z's used in this work. The

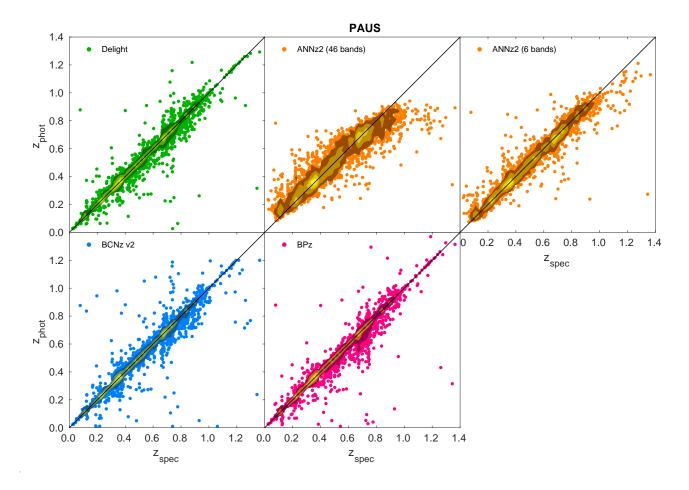


Figure 10. Plots of photo-z vs. spec-z, comparing the results of the flux calibration method of DELIGHT (green), ANNZ2 trained with 46 bands / 6 broadbands (orange), BCNZ2 (blue) and BPZ (pink). The same colouring scheme will be used to represent the respective methods in the following plots.

spectra have a range between 5500 Å and 9450 Å, measured with a resolution of  $R \sim 600$  at 2.5 Å per pixel (Lilly et al. 2009).

We used Ez to inspect the spectra of the 30 outlier objects, and compared our best fits to the spectroscopic redshift produced by zCOSMOS, and also the photo-z's produced by DELIGHT, BCNZ2, DEEPZ, LEPHARE (COSMOS2015) and those of Alarcon et al. (2020). Upon inspection, we find that up to 10 of these objects (33 per cent) have disputable zCOSMOS spec-z (e.g. two possible redshift values, incorrect redshift values, line confusion, low signal-to-noise). However, most of these objects could be forced-fitted to the zCOS-MOS spec-z and still look satisfactory, which leaves only 2 (0.07 per cent) of these objects having truly catastrophic spec-z's. Both these objects are found to have better EZ fits at redshift values within 10 per cent uncertainty from the photo-z's produced by DELIGHT and other algorithms. The spectrum of one of these objects is shown in Fig. 17. We have also found one isolated case where the spectra belonged to a bright neighbour and has been mismatched to the PAUS photometry.

Generally, the higher-redshift objects are identified by clear O II (3727.1 Å) emission lines, while the lower-redshift objects are identified by clear H $\alpha$  (6564.6 Å) emission lines. We therefore conclude that although catastrophic spec-z's played a role in this situation, our results did not provide enough evidence to say that it is a major cause for catastrophic photo-z's produced by BCNZ2 and

DELIGHT. This is not surprising since we have only selected secure spectroscopic redshifts from COSMOS to be used in this work. However this highlights the usefulness of multiple PAUS photo-z's being used to determine failure rates in insecure spectroscopic redshifts.

To summarise this part, we believe that the main cause for catastrophic photo-z's in the context of PAUS are the outlier narrowband fluxes, see above. We leave the tackling of outlier narrowband fluxes to future work, but in the following section, we attempt to improve our process to identify and remove these outlier photo-z's.

#### 6.2 New metrics to remove photo-z outliers

In Figs. 11 and 12 we have used the Bayesian odds  $(\Theta)$  to cut the sample, and the aim of this was to keep as many objects as possible while achieving the goal of  $\sigma_{68} \leq 0.0035(1+z)$ . Here, we extend our previous results further towards that goal by introducing several new metrics to better separate the photo-z outliers from the sample. These metrics are motivated by the inspection of the 30 outliers in Section 6.1, and they are defined as follows:

(i) The Delight-BCNz2 metric ( $\Delta_{DB}$ ),

$$\Delta_{\rm DB} \equiv \frac{\left| z_{\rm Delight} - z_{\rm BCNz} \right|}{1 + \frac{z_{\rm Delight} + z_{\rm BCNz}}{2}},\tag{15}$$

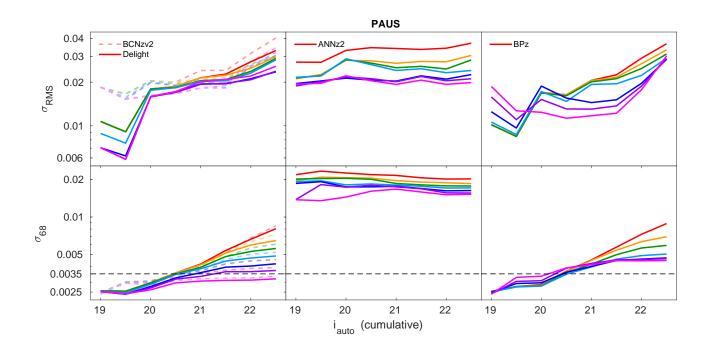


Figure 11. Plots of  $\sigma_{\text{RMS}}$  (top) and  $\sigma_{68}$  (bottom) with respect to  $i_{\text{auto}}$  (cumulatively), comparing the performance of DELIGHT (left) with ANNZ2 (middle), BPZ (right) and BCNZ2 (left, dashed lines). The coloured lines use the same colour scheme as those in Fig. 7. The dashed line with  $\sigma_{68} = 0.0035(1+z)$  represents the photo-z quality target of PAUS. This figure is a parallel to Fig. 7.

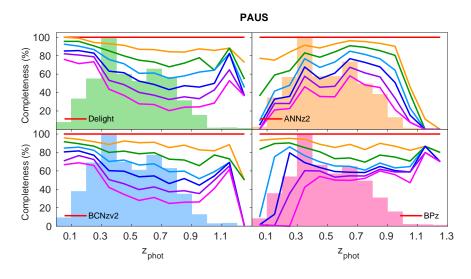


Figure 12. Plot of percentage of objects within each photo-z bin with respect to the cut in  $\Theta$  value for the results of DELIGHT (top left), ANNZ2 (top right), BCNZ2 (bottom left) and BPZ (bottom right). The lines use the same colour scheme as those in Fig. 7, while the histograms in the background show the photo-z distribution for each method (relative number of objects in each photo-z bin). This figure is a parallel to Fig. 8.

a metric used to identify the similarity between DELIGHT and BCNZ2 photo-z's. It is plausible that, in general, the closer the photo-z's between the two algorithms, the more reliable they are;

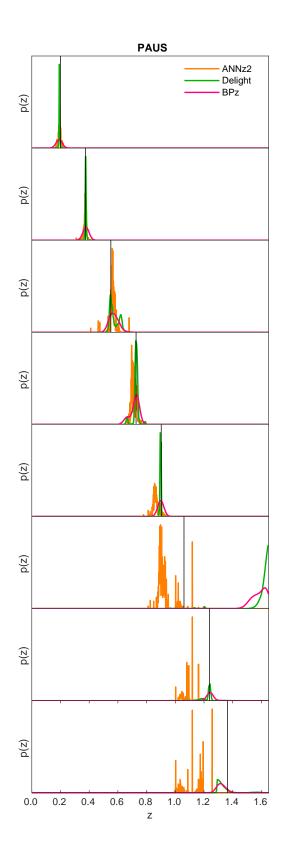
(ii) The *Delight photo-z standard deviation* ( $\sigma_D$ ), which is the standard deviation between all DELIGHT photo-z runs regardless of calibration method and number of bands. Smaller deviations could indicate more reliable photo-z's;

(iii) The *chi-squared value of the best-fit Brown template*  $(\chi_t^2)$ , where we identified a trend that the better the fit, the more reliable the photo-z; and

(iv) The broadband-narrowband complementary metric  $(\rho^2)$ ,

$$\rho^2 \equiv \int p_{\rm BB}(z) p_{\rm NB}(z) \, dz,\tag{16}$$

where  $p_{\mathrm{BB}}(z)$  and  $p_{\mathrm{NB}}(z)$  are the p(z) produced by DELIGHT when



**Figure 13.** Sample redshift PDF p(z) for the three different algorithms. The black vertical line shows the position of the spectroscopic redshift. This figure is a parallel to Fig. 9, each row in each plot corresponds to the exact same objects.

trained with only broadbands and only narrowbands, respectively. By multiplying these two p(z) and summing over the distribution at each step i, we can identify the consistency between the broadband and narrowband p(z). A higher value of  $\rho^2$  means a larger overlap, which indicates more reliable photo-z's.

Together with  $\Theta$  and the delight photo-z error ( $\delta z$ ), we yield a total of 6 metrics to experiment with. Using the results from the flux calibration method, we generate and test the individual performance for each of these metrics. For each metric, we measure the  $\sigma_{RMS}$  and  $\sigma_{68}$  after systematically removing objects with the worst metric values, 10 per cent of the total sample size each time, until we reach a sample size of only 40 per cent.

We also repeat the exercise by using combined cuts on several metrics, testing all 57 combinations of the 6 metrics. We note that we do not combine the metrics by averaging or multiplying them, as it would have diluted the impact of the individual metrics. Instead, we sort these metrics from best to worst, arranging them side by side, and systematically remove objects with the worst metric values line by line, and output the results upon reaching the respective percentiles. We visualise the performance of these metric cuts at several percentiles for  $\sigma_{\rm RMS}$  and  $\sigma_{68}$  with respect to  $i_{\rm auto}$  (cumulative) in Figs. 18 and 19.

From the plots, we find that each performance metric cuts the sample differently: while metric cuts of  $\sigma_D$  and  $\rho^2$  reduce the scatter  $(\sigma_{RMS})$  significantly, metric cuts of  $\Theta$  and  $\Delta_{DB}$  reduce the  $\sigma_{68}$  instead. The metric  $\chi^2_t$ , however does not seem to bring any significant improvement to the results. We have also plotted a cut in  $\Delta z = \frac{|z_{phor} - z_{spec}|}{1 + z_{spec}}$  (bottom-left panels in Figs. 18 and 19), which is the theoretical 'best metric', providing an upper limit to be compared with the performance of each of the metrics. Here we noticed that even with the theoretical best metric, a cut of slightly lesser than 70 per cent (blue line) on the sample is still necessary to fulfil the PAUS target of  $\sigma_{68} < 0.0035(1+z)$  (dotted line) for DELIGHT.

Therefore, we select the 60 per cent cut (navy line, retaining 60 per cent of galaxies) as a benchmark to assess the performance of these metrics, we do so by locating where this line cuts the dotted line (i.e., finding the maximum value of  $i_{\rm auto}$  where the photo-z's achieves the PAUS target at 60 per cent cut). From both Figs. 18 and 19, it is clear that cutting in all 6 metrics does not necessarily outperform the performance when cutting with only  $\Theta$ , so we searched for the best combination of metrics for  $\sigma_{\rm RMS}$  and  $\sigma_{68}$  separately.

For  $\sigma_{RMS}$ , the best combination of metrics is  $\Delta_{DB} + \sigma_D + \rho^2$ , and this combination achieves  $\sigma_{RMS} < 0.0035(1+z)$  at  $i_{auto} < 19.27$  at 60 per cent cut, a significant improvement to the case when only  $\Theta$  was used, where it did not cut the line at all. For  $\sigma_{68}$ , the best combination of metrics is  $\Theta + \Delta_{DB}$  where it reached  $\sigma_{68} < 0.0035(1+z)$  at  $i_{auto} < 21.25$  at 60 per cent cut, which is also a significant improvement as compared to  $\Theta$  at  $i_{auto} < 20.88$ . Here we note that in fact using  $\Delta_{DB}$  alone, the target can be reached at a higher limit of  $i_{auto} < 21.50$ , which highlights the significance of a synergy between DELIGHT and BCNZ2 in selecting a high quality photo-z sample.

Finally, we also show the performance of the metrics in terms of the completeness with respect to the photo-z (using DELIGHT's flux calibration method), visualised in Fig. 20. We find that metrics like  $\sigma_D$  and  $\rho^2$  tend to selectively remove high photo-z objects, while  $\Theta$ ,  $\chi^2_t$  and  $\Delta_{DB}$  tend to remove mid-ranged photo-z objects. In general, a cut using all 6 performance metrics at 60 per cent cut shows a balanced result in the completeness, keeping a sufficient number of high redshift objects in the sample.

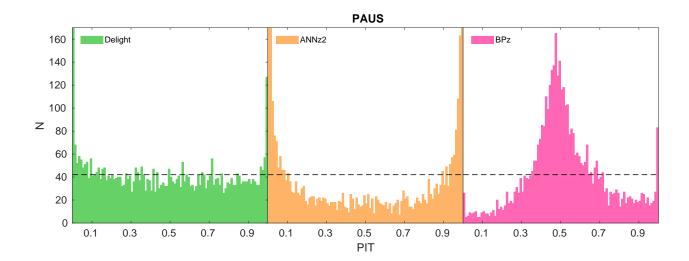


Figure 14. Probability integral transform (PIT) distributions for the p(z) produced by the three different algorithms, DELIGHT (left), ANNZ2 (middle) and BPZ (right). The dashed horizontal line indicates the mean of the distribution, and a flat distribution is ideal. A U-shaped distribution indicates that the p(z) produced are too narrow, while a mountain-peak shaped distribution indicates that the p(z) produced are too wide.

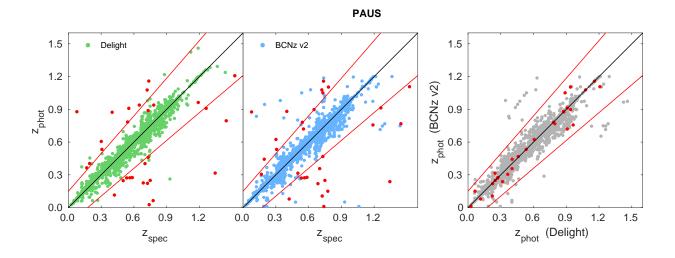


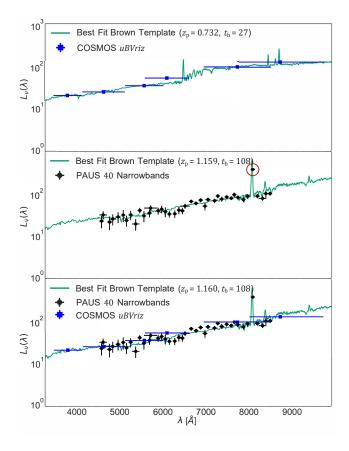
Figure 15. The selected 30 objects (red dots) marked for this outlier analysis. These objects are photo-z outliers of either DELIGHT or BCNZ2 with respect to  $z_{\text{spec}}$ , but are not outliers with respect to each other.

To summarise the performance of the individual metrics,

- $\bullet$   $\chi^2_{\rm t}$  is the least-performing metric here; it does not bring significant positive impact to the results;
- Cuts in  $\sigma_D$  and  $\rho^2$  help to improve the scatter, however, they tend to selectively remove higher photo-z objects from the sample;
- $\Theta$  and  $\delta z$  show very similar results, however  $\Theta$  tends to keep more high photo-z objects in the sample; and
- $\Delta_{DB}$  is the best-performing metric here, and we recommend the use of such a metric to remove outlier photo-z's from a sample.

# 7 CONCLUSION AND FUTURE WORK

In this work we have optimised delight, a hybrid template-machine learning algorithm such that it could be used to obtain photo-z's for PAUS, by utilising its 40 narrowband fluxes combined with 6 uBVriz COSMOS broadband fluxes. We have shown three distinct methods to calibrate the broadband and narrowband fluxes, and found that all three methods yield comparable results, although the most stable and the one which produces the lowest value of  $\sigma_{68}$  is what we defined as the *flux calibration method*: a method where we calibrate the broadband fluxes with respect to the narrowband fluxes by finding the flux ratio of the filter combinations which overlap. This calibration method is entirely photometric, and it was able to produce photo-z's with a scatter reaching as low as  $\sigma_{RMS}$  =



**Figure 16.** A sample of best-fit Brown templates (unfixed redshift) when fit to only broadbands fluxes (top), only narrowbands fluxes (middle), and both fluxes (bottom) for the galaxy with zCOSMOS ID 805216.  $L_{\nu}(\lambda)$  is the rest-frame luminosity density (or SED) of the galaxy. This galaxy has  $z_{\rm spec}=0.736$ ,  $z_{\rm p}$  and  $t_{\rm b}$  in the figure refer to its photo-z and best-fit Brown template number, respectively. The outlier narrowband flux shown in the middle panel (red circle) has caused a misfit in template type, resulting in erroneous photo-z's for both cases.

0.0331(1+z) and  $\sigma_{68} = 0.0081(1+z)$  for the full PAUS galaxy sample at  $i_{\text{auto}} < 22.5$ .

We have also compared the results of delight with a machine learning algorithm (annz2) and a template-based algorithm (bpz). We find that annz2 underperforms significantly, indicating that annz2 in its basic form is not suitable for narrowband surveys with large number of bands and small number of training objects. Despite the photo-z performance of bpz coming close to that of delight, the latter still stood out in terms of the quality of the photo-z PDF p(z) and the effectiveness of its Bayesian odds ( $\Theta$ ) cut in retaining objects with higher quality photo-z without losing too many high-redshift objects. Delight is also shown to produce competitive results as compared to bcnz2, the default photo-z produced for the PAUS.

Further investigation on the common photo-z outliers of DELIGHT and BCNZ2 led to the conclusion that outlier narrowband fluxes are the main cause for erroneous photo-z's, an insight which will inform improvements in forthcoming PAUS data reductions. We have also inspected the spectra and identified catastrophic specz's, however the effects are shown to be insignificant in this work. Motivated by the study of 30 outliers shared between DELIGHT and BCNZ2, we introduced several new metrics to help improve the identification of photo-z outliers and remove them from the sample to

achieve better results. From the 6 metrics compared, our newly introduced <code>delight-bcnz2</code> metric ( $\Delta_{DB}$ ) is shown to significantly improve our photo-z quality, allowing it to reach the PAUS target of  $\sigma_{68} < 0.0035(1+z)$  at  $i_{auto} < 21.5$  while retaining 60 per cent of the sample objects.

This opens the door to future studies in finding synergies between different photo-z algorithms and between broadband and narrowband photometry. Together with the promising developments of deep learning approaches to deal with narrowband data (Eriksen et al. 2020), these insights will pave the way towards unprecedentedly precise and accurate photometric redshifts for the full PAUS survey and beyond, like the Javalambre Physics of the Accelerating Universe Astrophysical Survey (J-PAS, Benítez et al. 2014).

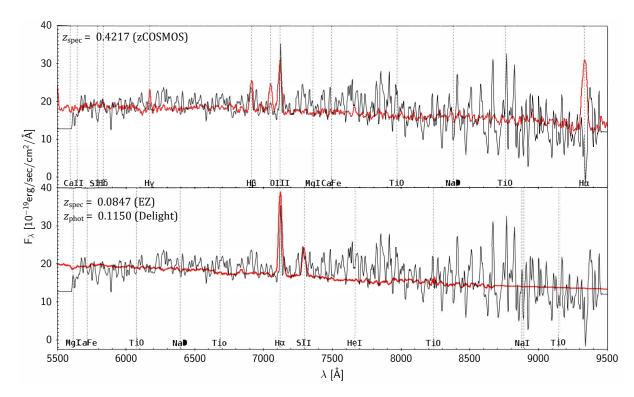
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**Figure 17.** Spectral line fitting (red) for the original spectra (black) of the galaxy with zCOSMOS ID 804179. The spec-z given by zCOSMOS is 0.4217 (top), while the best-fit using EZ (Garilli et al. 2010) gives a spec-z of 0.0847 (bottom), which is closer to the photo-z value of 0.1150 estimated by DELIGHT.

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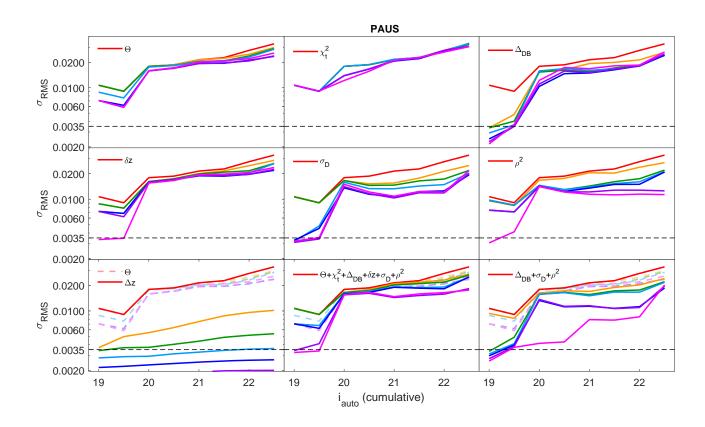


Figure 18. Plot of root-mean-square error ( $\sigma_{RMS}$ ) vs.  $i_{auto}$  (cumulative) when cut using the following metrics: the Bayesian odds ( $\Theta$ ), best-fit Brown template  $\chi^2_t$  value, DELIGHT-BCNZ2 metric ( $\Delta_{DB}$ ), DELIGHT photo-z error ( $\delta z$ ) and standard deviation ( $\sigma_D$ ), and the broadband-narrowband complementary metric ( $\rho^2$ ). The coloured lines follow the same percentile cuts as shown in Fig. 7, with the dotted-coloured lines in the background of the bottom panels depicting the results of  $\Theta$  for easier comparison. The bottom-left panel shows the cut in  $\Delta z$  (defined in Section 5.1), the unsurpassable theoretical best used for reference. The bottom-middle panel shows the cuts when all the above metrics were combined, while the bottom-right shows the combination of metrics which yield the best results.

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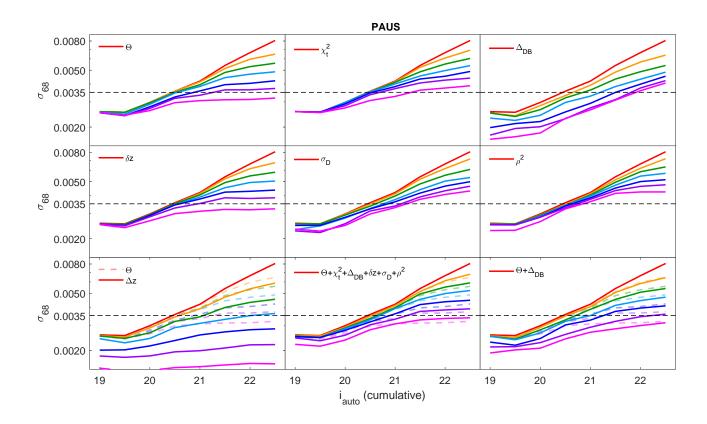


Figure 19. Plot of 68th percentile error ( $\sigma_{68}$ ) vs.  $i_{auto}$  (cumulative) when cut using the same metrics as Fig. 18, using the same coloured percentile lines and the same corresponding panels. Note that the combination of metrics which yield the best results (bottom-right) is different from that of Fig. 18.

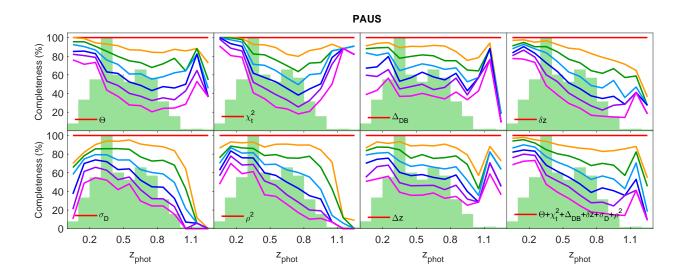


Figure 20. Plot of percentage of objects within each photo-z bin with respect to the cut in performance metric values listed in Fig. 18. The lines show the percentiles of the same colour scheme as in Fig. 7, while the histograms in the background show the relative number of objects in each photo-z bin. The bottom-right plot shows when the combination of all 6 metrics are used to cut the sample.