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# Hybrid endoscopic mucosal resection and full-thickness resection for large colonic polyps harboring a small focus of invasive cancer: a case series





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#### **ABSTRACT**

Endoscopic treatment of large laterally spreading tumors (LSTs) with a focus of submucosally invasive colorectal cancer (T1 CRC) can be challenging. We evaluated outcomes of a hybrid resection technique using piecemeal endoscopic mucosal resection (pEMR) and endoscopic full-thickness resection (eFTR) in patients with large colonic LSTs containing suspected T1 CRC. Six hybrid pEMR-eFTR procedures for T1 CRCs were registered in a nationwide eFTR registry between July 2015 and December 2019. In all cases, the invasive part of the lesion was successfully isolated with eFTR; with eFTR, histologically complete resection of the invasive part was achieved in 5/6 patients (83.3%). No adverse events occurred during or after the procedure. The median follow-up time was 10 months (range 6-27), with all patients having undergone ≥ 1 surveillance colonoscopy. One patient had a small adenomatous recurrence, which was removed endoscopically. In conclusion, hybrid pEMR-eFTR is a promising noninvasive treatment modality that seems feasible for a selected group of patients with large LSTs containing a small focus of T1 CRC.

## Introduction

Although endoscopic full-thickness resection (eFTR) has been shown to be effective in resecting colorectal tumors [1], its applicability remains limited due to the size restrictions of the eFTR devices. To overcome this issue, several Western endoscopists have recently proposed combining eFTR with other treatment modalities (e.g. snare polypectomy [2] or piecemeal

endoscopic mucosal resection [pEMR] [3–5]). These hybrid techniques may be useful when resecting large and complex colorectal tumors such as nonlifting adenomas with a diameter of > 20 mm [3,5]. Here, we describe a series of six patients with colonic laterally spreading tumors (LSTs) containing a small (< 20 mm) focus of submucosally invasive colorectal cancer (T1 CRC), treated in a single session using hybrid pEMR-eFTR.

<sup>\*</sup> These authors contributed equally to this work.

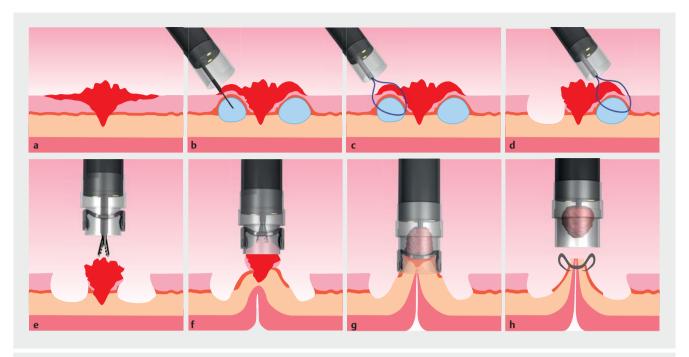
## Case series

Patients with a histological diagnosis of T1 CRC who underwent hybrid pEMR-eFTR were selected from a nationwide multicenter eFTR registry (NTR6292, http://www.trialregister.nl/). Six patients with a large LST containing suspected T1 CRC were treated with hybrid pEMR-eFTR (>Table 1). All lesions were judged as having one well-demarcated focus of T1 CRC based on a Kudo Vi pit pattern, with a median size of 12.5 mm (range 5-20). Indications for hybrid pEMR-eFTR were as follows: two patients were considered inoperable due to comorbidity and/ or frailty; two patients refused surgery, both had undergone oncological surgery (sigmoid resection, low anterior resection) for CRC in the past; and two patients strongly preferred endoscopic treatment above surgical resection after extensive discussion with their physician. For all patients, endoscopic submucosal dissection (ESD) was not considered feasible by the treating endoscopist, mainly because of the relatively high risk of perforation [6,7].

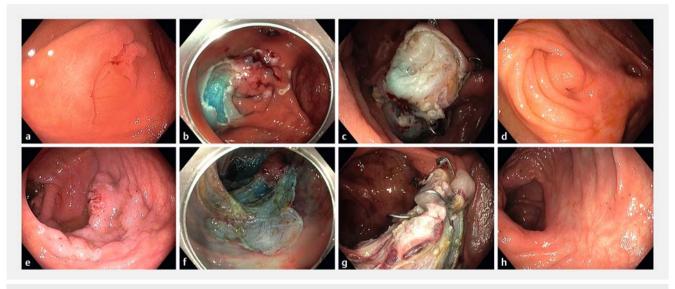
Target lesions were identified and carefully inspected using high definition, white light endoscopy (Olympus HQ190, Tokyo, Japan) and magnifying virtual chromoendoscopy in order to distinguish polyp parts with benign features (Kudo IIIS/L and IV; Sano II) from areas with invasive features (Kudo Vi; Sano IIIa). A straight transparent cap (Olympus) was used for inspection and to measure the size of the invasive part of the polyp. Hybrid pEMR-eFTR was started with submucosal injection and snare polypectomy of the benign parts of the polyp in order to carefully isolate the invasive part of the polyp (>Fig.1). The pEMR-resected polyp tissue parts were collected and sent for histopathologic analysis. Next, the colonoscope was withdrawn, the eFTR device (Ovesco Endoscopy AG, Tübingen, Germany) was mounted onto the endoscope, and eFTR of the suspected invasive part was performed according to standard procedures [1]. The resected eFTR specimen was retrieved, stretched out, and pinned down on cork before immersion into formalin. Finally, the scope was reintroduced to examine clip positioning. Procedure time was defined as the time between first introduction and last withdrawal of the endoscope (including the pEMR part, withdrawal for mounting the eFTR device, reintroduction and subsequent eFTR, withdrawal when retrieving the eFTR specimen, and reintroduction to examine clip positioning). Endoscopically resected specimens were sectioned serially at 2-mm intervals and embedded for histologic examination. The procedure was deemed successful when there was no histologic evidence of invasive growth among the pEMR-resected tissue parts and when evaluation of the eFTR resection specimen confirmed that the vertical and lateral resection margins of the invasive part were tumor free.

The median procedure time was 84.5 minutes (range 58–140). In all procedures, the endoscopist managed to isolate the invasive part by pEMR and perform a technically successful eFTR (**Fig. 2**). There were no immediate or delayed adverse events (e.g. bleeding, perforation). All patients stayed one night in the hospital for observation and were discharged the next day. All eFTR specimens contained a focus of histolo-

|  | Last CT<br>scan fol-<br>low-up,<br>months            | 9               | NA          | NA              | NA               | 20              | A N         |
|--|--|-----------------|-------------|-----------------|------------------|-----------------|-------------|
| ► Table 1 Clinical characteristics of patients treated with hybrid piecemeal endoscopic mucosal resection and endoscopic full-thickness resection (pEMR-eFTR). | Last endo-<br>scopic fol-<br>low-up,<br>months       | 9               | 9           | 27              | 9                | 22              | 14          |
|  | Adverse events                                       | None            | None        | None            | None             | None            | None        |
|  | Complete resection of the invasive part of the tumor | Rx lateral      | Yes         | Yes             | Yes              | Yes             | Yes         |
|  | Histologic<br>assessment<br>of eFTR part             | Sm1; LVSI –     | Sm1; LVSI – | Sm2; LVSI –     | Sm1; LVSI –      | Sm3; LVSI +     | Sm1; LVSI – |
|  | Histologic<br>assess-<br>ment of<br>pEMR<br>parts    | НСБ             | TCD         | CD              | НСБ              | НСБ             | CD          |
|  | Procedure<br>time,<br>minutes                        | 84              | 59          | 140             | 85               | 06              | 58          |
|  | LST classification                                   | LST-NG-F        | LST-NG-F    | LST-G-M         | LST-NG-F         | LST-NG-F        | LST-G-M     |
|  | Inva-<br>sive<br>part,<br>mm                         | 15              | 10          | 20              | 15               | 10              | 2           |
|  | Lar-<br>gest<br>diam-<br>eter<br>polyp,              | 40              | 35          | 09              | 30               | 35              | 40          |
|  | Location of lesion                                   | Ascending colon | Cecum       | Ascending colon | Transverse colon | Ascending colon | Cecum       |
|  | Sex  | Female          | Male        | Female          | Male             | Male            | Female      |
|  | Age,<br>years  | 59              | 74          | 72              | 78               | 92              | 89          |
| ► Table 1  | Patient  | -               | 2           | 3               | 4                | 5               | 9           |



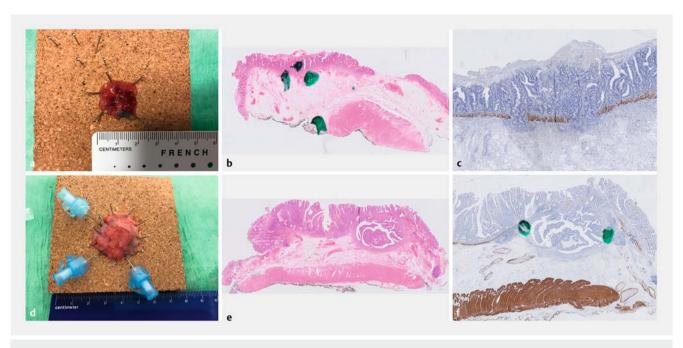
▶ Fig. 1 Schematic illustration of the hybrid piecemeal endoscopic mucosal resection and endoscopic full-thickness resection (pEMR-eFTR) technique for a large colonic laterally spreading tumor (LST) with a small focus of T1 colorectal cancer. a A large (>20 mm) LST was observed in the colon with a small region (<20 mm) containing malignant features. b A mixture of saline, epinephrine, and methylene blue was injected into the submucosa. c pEMR of the benign part was performed according to standard procedures and repeated until the invasive part was isolated (d). e Next, the full-thickness resection device was mounted on top of the endoscope. f The lesion was grasped with forceps and pulled into the cap entirely (g). h Finally, in a single step, an over-the-scope clip was deployed and a pre-loaded snare resected the tissue above the clip, leaving an automatically sealed wall defect.



► Fig. 2 Endoscopic images of hybrid piecemeal endoscopic mucosal resection and endoscopic full-thickness resection (pEMR-eFTR). Endoscopic images of patients 2 (a-d) and 3 (e-h). a, e Endoscopic image of the lesion. b, f Isolation of the invasive part after piecemeal endoscopic resection of the noninvasive parts. c,g After eFTR of the invasive part. d, h The scar at the last follow-up colonoscopy.

gically confirmed T1 CRC, and pathological assessment showed complete resection of the invasive part in 5/6 cases (83.3%; Fig. 3). In the remaining case, one of the lateral margins of the invasive part could not reliably be assessed owing to cauterization artefacts; the basal resection margin was tu-

mor free. The adjacent pEMR-resected tissue parts showed low grade dysplasia in three cases and high grade dysplasia in the remaining three; none of the pEMR-resected tissue parts contained invasive cancer.



▶ Fig. 3 Pathological images of hybrid piecemeal endoscopic mucosal resection and endoscopic full-thickness resection (pEMR-eFTR). Pathological images of patients 2 (a-c) and 3 (d-f). a, d The eFTR specimen pinned onto cork. b, e Hematoxylin and eosin staining of the eFTR specimen. c, f Desmin staining of the eFTR specimen, with interruption of the muscularis mucosae.

After pEMR-eFTR, all patients were discussed in a multidisciplinary meeting. The patient with an Rx resection of the invasive part opted for endoscopic surveillance instead of a right-sided colectomy. The patient with deep submucosal invasion (Sm3) and lymphovascular invasion was already deemed inoperable beforehand and thus underwent endoscopic surveillance. The median follow-up duration was 10 months (range 6–27). All patients underwent a surveillance colonoscopy after 6 months. One patient had local recurrence of low grade adenomatous tissue at the 6-month follow-up, which was successfully treated with endoscopic resection; a surveillance colonoscopy 8 months later showed a clear polypectomy scar without signs of recurrence. Two patients underwent an additional surveillance colonoscopy after 22 and 27 months, respectively; none of them had signs of local recurrence. Additional imaging with abdominothoracic computed tomography was performed in two patients at 6 and 20 months, respectively, and neither showed signs of local recurrence or distant metastases.

### Discussion

This case series demonstrates that hybrid pEMR-eFTR seems to be feasible for large colonic LSTs with a small focus of T1 CRC, with a success rate of 83% and satisfactory short-term oncological outcomes in our experience. To our knowledge, this is the first prospective series of patients with invasive tumors to be treated with hybrid pEMR-eFTR.

Currently, ESD is the only other resection technique that is suitable for treating large colonic tumors containing T1 CRC in a noninvasive manner, but its use is not yet widespread (i.e. outside Asian countries). Owing to a lack of opportunities to ac-

quire sufficient skill (e.g. structured training programs, suitable starting cases), only a few Western endoscopists are able to perform colonic ESD [8], and their performance considerably lags behind that of Asian colleagues [9]. Data from several Western endoscopy centers suggest that right-sided ESD in particular can be guite hazardous, with adverse events occurring in > 20% of patients [9, 10] compared with < 5% at Asian centers [6, 9, 11]. In contrast, the use of eFTR is much more common in the West, and adverse event rates seem to be considerably lower when compared with right-sided ESD at Western centers (10% vs. > 20%) [1, 12–15]. In our series, hybrid pEMR-eFTR was therefore primarily used for tumors located in the right hemicolon. Although our sample size was not large enough to demonstrate complete safety of this technique, our results suggest that hybrid pEMR-eFTR for invasive colon tumors seems to be safe, as no adverse events occurred during or after the procedure. In line with our data, a recent study on hybrid pEMR-eFTR for noninvasive colorectal polyps also reported an acceptable overall adverse event rate of 3.2% (1/31) [5]. Another advantage of the hybrid technique is that tumors with deep submucosal invasion (i.e.>Sm1) can be resected with adequate vertical resection margins. This can be more difficult to achieve with ESD because this method requires dissection in the submucosal plane. As several studies show that deep submucosal invasion appears not to be an independent risk factor for distant disease [16, 17], maximizing the chance of achieving complete resection of deeply invasive T1 CRCs becomes especially important, as this may be considered curative in some

A major concern of hybrid pEMR-eFTR is that the invasive part of the tumor may not be completely resected by eFTR but

may be removed in a piecemeal fashion. This could be problematic because piecemeal resection of T1 CRCs often require additional surgical treatment owing to suboptimal assessment of histological high risk features [16]. As hybrid pEMR-eFTR relies heavily on accurate identification of noninvasive and (suspected) invasive areas, an important prerequisite for performing this technique is careful optical assessment of the lesion. In our series, we were able to successfully distinguish noninvasive and invasive tumor parts in all six patients using virtual chromoendoscopy. Although advanced imaging modalities have been shown to provide adequate diagnostic accuracy [16], it is important to note that even in expert hands, around 10% of invasive cancers may still be missed [18]. However, especially for patients with right-sided tumors, we consider this risk to be acceptable as the only feasible alternative at most Western centers would often be invasive surgery. Next, one should be aware that some T1 CRCs may show multifocal invasion [19]. If the eFTR-resected tissue part does not contain all the invasion sites, the situation would be the same as if the tumor were resected piecemeal. Therefore, we believe that hybrid pEMR-eFTR should be mainly used for large LSTs (i.e. > 20 mm) containing only one small (i.e. < 20 mm) and well-demarcated area of suspected T1 CRC that could fit into the eFTR cap with a broad margin of optically benign adenomatous tissue. In particular, hybrid pEMR-eFTR should be avoided for pseudodepressed nongranular LSTs, as these tumors have a substantially higher risk of multifocal invasion (46.9%) compared with other subtypes such as flat-elevated, nongranular LSTs (11.8%) and granular nodular mixed LSTs (7.9%) [19]. Finally, it is important to keep in mind that short-term local recurrence occurs in a substantial proportion of patients (~20%) treated with piecemeal resection techniques [20]. Our data suggest that the same applies to patients treated with hybrid pEMReFTR, with 1/6 patients (16.7%) experiencing local adenomatous recurrence after 6 months. This warrants timely endoscopic follow-up after hybrid pEMR-eFTR. Unfortunately, the long-term oncological outcomes of hybrid pEMR-eFTR remain largely unclear, as only two patients from our series had more than 2 years of follow-up.

In conclusion, our case series suggests that hybrid pEMR-eFTR is a feasible treatment modality for large colonic LSTs with a small focus of suspected T1 CRC. Hybrid pEMR-eFTR may be particularly promising for patients with tumors located in the right hemicolon, who are at high risk of adverse events from surgery (owing to comorbidity or frailty) as well as from ESD (owing to a lack of sufficient expertise, which is often the case at non-Asian endoscopy centers). Larger sample sizes and long-term follow-up data are needed to determine the efficacy and safety of this resection technique.

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#### Competing interests

Jurjen J. Boonstra is a consultant at Boston Scientific. Barbara A. J. Bastiaansen has received speaker fees from Olympus, Tillotts Pharma AG, and Ovesco Endoscopy AG. All other authors declare that they have no conflict of interest.

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