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# Atmospheric mass loss and stellar wind effects in young and old systems II: Is TOI-942 the past of TOI-421 system?

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## ABSTRACT

The two planetary systems TOI-942 and TOI-421 share many similar characteristics, apart from their ages (50 Myr and 9 Gyr). Each of the stars hosts two sub-Neptune-like planets at similar orbits and in similar mass ranges. In this paper, we aim to investigate whether the similarity of the host stars and configuration of the planetary systems can be taken as proof that the two systems were formed and evolved in a similar way. In paper I of this series, we performed a comparative study of these two systems using 3D modeling of atmospheric escape and its interaction with the stellar wind, for the four planets. We demonstrated that though the strong wind of the young star has a crucial effect on observable signatures, its effect on the atmospheric mass loss is minor in the evolutionary context. Here, we use atmosphere evolution models to track the evolution of planets in the younger system TOI-942 and also to constrain the past of the TOI-421 system. We demonstrate that despite all the similarities, the two planetary systems are on two very different evolutionary pathways. The inner planet in the younger system, TOI-942, will likely lose all of its atmosphere and become a super-Earth-like planet, while the outer planet will become a typical sub-Neptune. Concerning the older system, TOI-421, our evolution modeling suggests that they must have started their evolution with very substantial envelopes, which can be a hint of formation beyond the snow line.

**Key words:** Hydrodynamics – Planets and satellites: atmospheres – Planets and satellites: physical evolution

## 1 INTRODUCTION

Each individual planetary system and the observed population of exoplanets in general were shaped by a combination of planetary formation processes, including the various scenarios of planetary core formation, atmospheric accretion, and planetary migration, as well as atmospheric mass loss (see, e.g., [Fulton et al. 2017](#); [Owen & Wu 2017](#); [Jin & Mordasini 2018](#); [Gupta & Schlichting 2019, 2020](#); [Lloyd et al. 2020](#); [Sandoval, Contardo, & David 2021](#)). However, the relative role of these processes is not precisely known and is currently widely debated. Even when atmospheric mass loss models can be constrained by observa-

tions, an initial state of individual planetary systems and the formation processes that led to them remains unknown. Fortunately, it has been demonstrated that coupling atmospheric evolution models and present-day observations of exoplanets can provide constraints on the initial parameters of planetary systems and the early stages of evolution of their host stars ([Kubyskhina et al. 2019a,b](#); [Owen 2020](#); [Rogers & Owen 2021](#); [Bonfanti et al. 2021](#)). Of particular interest is applying such methods to multiplanetary systems, as they allow to better constrain the early properties of the system and some of the poorly known present-day parameters ([Kubyskhina et al. 2019a,b](#); [Owen & Campos Estrada 2020](#)). Additionally, applying these coupled models to different systems with similar architecture (i.e., comparative studies) allows us to constrain whether these similar sys-

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tems underwent similar formation scenarios. This is precisely what we investigate here.

In the present study, we model the evolution of planetary atmospheres in two systems of very similar configuration, but very different ages, the 9-Gyr old TOI-421 (Carleo et al. 2020) and the 50-Myr old TOI-942 (Carleo et al. 2021). These two host-stars have similar masses of about  $0.85 M_{\odot}$  and close spectral types (G9 and K2.5V) and each of them hosts two sub-Neptune-like planets at similar close-in orbits about 0.05 and 0.1 AU, where the evolution of their atmospheres is to a large extent controlled by atmospheric mass loss driven by stellar irradiation. The masses of companion planets also lay in similar ranges: for planets b and c,  $\sim 7.2 M_{\oplus}$  and  $16.4 M_{\oplus}$  in the older system TOI-421, and below  $16 M_{\oplus}$  and  $37 M_{\oplus}$  in the younger system TOI-942.

In the first paper of this series (Kubyskhina et al. 2021, further referred to as Paper I), we performed 3D atmospheric escape modeling to study in detail the interaction between the evaporating planetary atmospheres and the stellar winds. We found that, though the stellar winds can affect the observable signatures of escaping atmospheres significantly, they have only a minor effect on the atmospheric mass loss rates of these planets, and that this is negligible in the evolutionary context. Therefore, we concluded in Paper I that using evolutionary models that do not account for the stellar wind effects can be safely applied to study these systems.

To track the evolution of planetary atmospheres, here we employ the framework presented in Kubyskhina et al. (2020); Kubyskhina & Vidotto (2021) that couples the thermal evolution of a planet with a realistic prescription of the atmospheric mass loss based on hydrodynamic modeling. Here, we further update this framework by using the extended grid of planetary upper atmospheres models presented in Kubyskhina & Fossati (2021). Our work explores two approaches: a forward modelling, in which the young system TOI-942 is evolved in time up to the age of the old system, and a backward modelling, in which the old system TOI-421 is ‘rejuvenated’ (evolved back in time) down to the age of the young system. The second modeling approach, as we will show, is more costly and less trivial.

We expect the planets in the TOI-942 system (50-Myr old) to be at the end of an extreme atmospheric escape phase. This is because atmospheric mass loss is particularly intense within the first few tens of Myr, when the planet is hot and inflated and is subjected to the strong high-energy irradiation of the young host star (e.g., Watson, Donahue, & Walker 1981; Lammer et al. 2003; Owen & Wu 2016; Fossati et al. 2017; Kubyskhina et al. 2018a). This is consistent with the relatively high atmospheric mass-loss rates obtained with our 3D modeling in Paper I:  $5.1\text{--}12 \times 10^{11} \text{ g s}^{-1}$  for the inner planet, and  $3.2\text{--}3.5 \times 10^{11} \text{ g s}^{-1}$  for the outer one. These values are further confirmed by our evolutionary models here and suggest that we can make a robust prediction of the future of this system.

For the older system TOI-421, we face the opposite task and have to resolve the initial parameters of the system. To achieve this, we produce a large number of evolutionary models assuming different initial parameters for the system, including different initial planetary atmospheres and masses, and different activity levels of the host star, and check a

posteriori if each of these tracks can fit the present-day observations.

The paper is organized as follows. In Section 2 we discuss the properties of the stellar hosts TOI-421 and TOI-942 and their planets and the possible activity/rotation evolution of TOI-421. In Section 3, we give a brief overview of our model, and in Section 4 we discuss the possible evolutionary scenarios for both systems. We summarize our conclusions in Section 5.

## 2 TARGET SYSTEMS AND STELLAR EVOLUTION MODELS

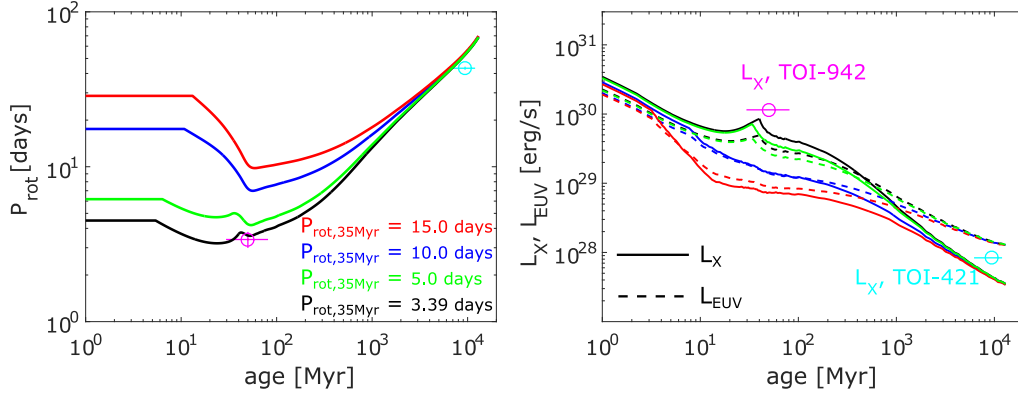
The two target systems, TOI-421 and TOI-942, were discussed in detail in Paper I, and their detailed parameters can be found in Table 1 of that paper. Here, we present a brief overview of the relevant parameters.

The two stars have masses coinciding within their observational uncertainties:  $0.88 \pm 0.04 M_{\odot}$  (TOI-942) and  $0.85^{+0.029}_{-0.021} M_{\odot}$  (TOI-421), and belong to neighboring spectral types, with parameters of each star being close to the border between the two classes. In terms of activity, these stars have parameters typical for their ages ( $50^{+30}_{-20}$  Myr for TOI-942 and  $9.4^{+2.4}_{-3.1}$  Gyr for TOI-421), with TOI-942 being more active than average for stars of similar masses, but not an outlier (see Paper I). At the age of TOI-421, the rotation period and high-energy radiation of main-sequence stars lie in a narrow range for the specific stellar masses (Matt et al. 2015; Johnstone, Bartel, & Güdel 2021). Altogether, this suggests that both stars can potentially be at different evolutionary stages of the same star.

In Paper I, we found the stellar evolutionary track that reproduces well the present-day parameters of TOI-942 and TOI-421. In this study, we will refer to this model as a fast rotator. In Figure 1, the black lines show the corresponding evolution of the rotation period ( $P_{\text{rot}}$ , left panel) and of the X-ray and extreme ultraviolet luminosities ( $L_X$  and  $L_{\text{EUV}}$ , respectively, right panel), that control to a large extent the atmospheric mass loss from the companion planets. The present-day values of  $P_{\text{rot}}$  and  $L_X$  are shown by red (TOI-942) and blue (TOI-421) circles and the measurements of  $L_{\text{EUV}}$  are not available.

The fast rotator track from Figure 1 is used to study the evolution of the TOI-942 system. However, as the initial rotation period of a star can be widely different (see, e.g., Pizzolato et al. 2003; Mamajek & Hillenbrand 2008; Wright et al. 2011; Jackson, Davis, & Wheatley 2012; Tu et al. 2015; Magaudda et al. 2020; Johnstone, Bartel, & Güdel 2021), we cannot assume that TOI-421 has followed the same evolutionary path. We consider, therefore, three additional tracks, assuming different rotation periods at the age of 35 Myr: 5, 10, and 15 days (green, blue, and red lines, respectively). These periods correspond to moderate, slow, and very slow rotators according to the observations in the young stellar cluster NGC 2547 (Irwin et al. 2008).

Both TOI-942 and TOI-421 host two sub-Neptune-like planets in similar close-in orbits. In the TOI-942 system, planet b orbits at  $0.0498 \pm 0.0007$  AU and planet c at  $0.088 \pm 0.0014$  AU, while in the TOI-421 system the orbits are  $0.056 \pm 0.0018$  AU and  $0.1189 \pm 0.0039$  AU, for planets



**Figure 1.** Evolutionary tracks of TOI-421 and TOI-942 fitted using the Mors stellar evolution code (Johnstone, Bartel, & Güdel 2021). Here we show the evolution of the rotation period (left panel) and X-ray/EUV luminosities (right panel). The black lines show the model corresponding to the stellar mass of  $0.84 M_{\odot}$ , and a stellar rotation period of 3.39 days at the age of 35 Myr (“track 1” in Paper I, and a fast rotator in this study). The green, blue, and red lines show the tracks assuming the same internal stellar parameters, but different rotation periods at 35 Myr: 5, 10, and 15 days, respectively. The magenta/cyan circles show the present values for TOI-942/TOI-421 stars, as indicated in the right panel. The error bars for  $P_{\text{rot}}$  and the ages of the two systems are shown with vertical/horizontal lines. The given values of  $L_X$  are model dependent and are given for the median values.

b and c, respectively. Planetary masses in the older system TOI-421 are of  $7.17 \pm 0.66 M_{\oplus}$  for the inner planet and  $16.42^{+1.06}_{-1.04} M_{\oplus}$  for the outer one. For the younger system TOI-942, only the upper limits of planetary masses are constrained, and we assume them to be similar to the masses of the planets in the evolved TOI-421 system to facilitate comparison, namely 8.5 and  $17 M_{\oplus}$  for planets b and c, respectively. This choice, as well as the differences in the exact orbits, have a minor effect on our results (see detailed discussion in Paper I).

The radii of the two outer planets coincide within the uncertainty, and are of  $4.793^{+0.410}_{-0.351} R_{\oplus}$  (TOI-942 c) and  $5.09^{+0.16}_{-0.15} R_{\oplus}$  (TOI-421 c). In case of the inner planets, the values are  $4.242^{+0.376}_{-0.313} R_{\oplus}$  for TOI-942 b, and substantially smaller,  $2.68^{+0.19}_{-0.18} R_{\oplus}$ , for TOI-421 b. In both systems, the radii of each planet changes a lot throughout the evolution, and therefore cannot be directly compared. The same holds for the XUV (X-ray+EUV) fluxes received by planets, as is seen from Figure 1. The present-day fluxes are about 50 times higher for the younger system.

### 3 ATMOSPHERE EVOLUTION MODEL

In this study, we employed the planetary atmosphere evolution framework developed in Kubyshkina et al. (2020); Kubyshkina & Vidotto (2021) to track the evolution of planets in the younger system TOI-942 and constrain the past of the TOI-421 system.

This framework is based on combining the thermal evolution of a planet performed with MESA models (Modules for Experiments in Stellar Astrophysics, Paxton et al. 2011, 2013, 2018, 2019) and the realistic prescription of the atmospheric escape (Kubyshkina et al. 2018b,c) to track the evolution of planetary atmospheric parameters with time. It accounts for the heating of the planetary atmosphere by the star and allows for the inclusion of an arbitrary stellar evolution model. Here, we employ the stellar evolutionary tracks described in Section 2.

The main difference between the evolution framework used in this study to that described in Kubyshkina et al. (2020); Kubyshkina & Vidotto (2021) is the approach used to calculate the hydrodynamic atmospheric mass loss rates throughout the evolution. In Kubyshkina et al. (2020), the atmospheric escape was calculated by employing the analytical approximation (Kubyshkina et al. 2018c) based on the large grid of the hydrodynamic 1D upper atmosphere models covering a wide range of planetary and stellar parameters. In the present study, we substitute it by the direct interpolation within the extended version of the grid of models presented in Kubyshkina & Fossati (2021). The extended grid covers the planets with masses of  $1\text{--}109 M_{\oplus}$  and radii of  $1\text{--}10 R_{\oplus}$  orbiting not further than the habitable zone of the host star, around stars between  $0.4$  and  $1.3 M_{\odot}$  and under different levels of stellar XUV irradiation. For more detail, see Kubyshkina et al. (2018b); Kubyshkina & Fossati (2021). For the present-day planetary and stellar parameters in TOI-421 and TOI-942 systems, this method predicts the atmospheric escape rates similar to the escape rates obtained in 3D models for both systems.

To reduce computation time, the atmospheric mass-loss rates are not calculated at every time step but set instead constant for the specific time intervals. The duration of each specific interval is calculated on the basis of the current mass of the atmosphere and atmospheric mass-loss rate in a way that no more than 5% of the whole atmosphere is lost within this time interval.

### 4 CAN TOI-942 ACTUALLY BE THE PAST OF TOI-421 SYSTEM?

#### 4.1 TOI-942 b and c and their future

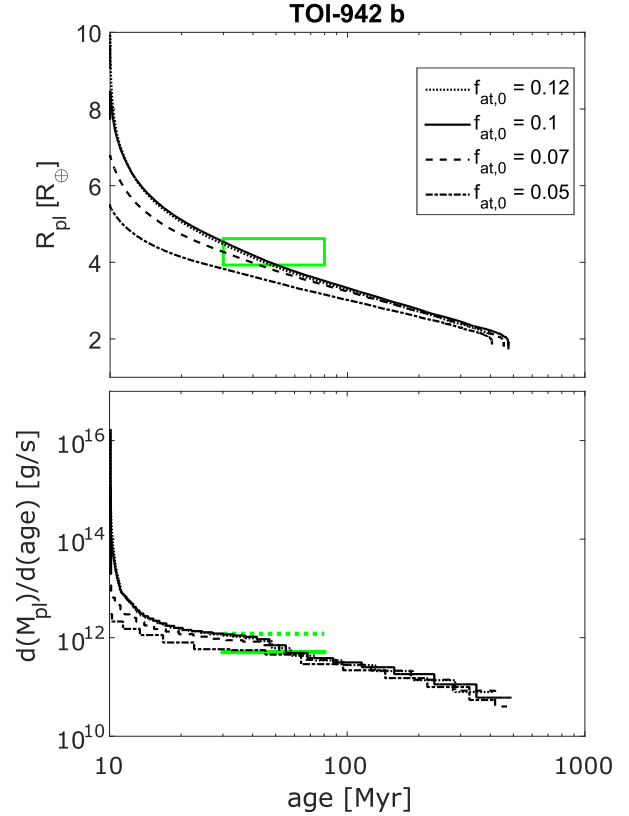
To estimate the evolution of planets TOI-942 b and c, we have considered planets with their parameters, starting their evolution at disk dispersal with initial atmospheric mass fractions of  $0.05\text{--}0.15 M_{\text{pl}}$  for the inner planet, and of  $0.05\text{--}0.4 M_{\text{pl}}$  for the outer planet (with non-uniform steps increas-

ing from 0.01 at lowest atmospheric mass fractions to 0.05 above  $f_{at,0} = 0.15$ ). These ranges extend somewhat above the estimates we made for planets in TOI-942 systems in Section 2 for two reasons. First, we start the simulation at the disk dispersal time instead of at the current age of TOI-942 system to ensure that we can reproduce the two planets accounting for the effects of intense atmospheric escape at the beginning of the evolution (so, the planets are expected to lose some of their atmospheres before reaching the age of the system). And second, in the present work we employ the lower initial entropies (hence, luminosities/temperatures) of the planet cores compare to that used in Kubyshkina & Vidotto (2021), and therefore require the higher atmospheric mass fraction to reproduce the observed radii.

The effects of uncertain initial temperatures (luminosities) of planets are expected to be relevant for the first few tens of megayears (see, e.g., Owen 2020; Kubyshkina & Vidotto 2021), i.e., it might be still important in the case of TOI-942 system. Therefore, we do not use the approach used in Kubyshkina & Vidotto (2021) (a single mass-independent initial entropy of  $8.5 k_b$ /baryon used for all planets) and employ the initial entropies of 7.3 and  $7.7 k_b$ /baryon, as given by the entropy-mass relation suggested in Malsky & Rogers (2020). To ensure that this choice has no effect on the conclusions we make, we also probe the higher entropy of  $8.5 k_b$ /baryon and found that all the effects of different initial entropy/temperature vanish within  $\sim 5$  Myr after the disk dispersal for planet b, and within  $\sim 40$ -100 Myr for planet c depending on the specific planetary parameters.

We have also considered two different disk dispersal times of 5 Myr (the average time, Mamajek 2009) and 10 Myr. These two times allow reproducing the radius of the planet b with slightly different ranges of initial atmospheric mass fractions:  $\geq 0.07 M_{pl}$  or  $\geq 0.05 M_{pl}$  for 5 and 10 Myr, respectively. For planet c, the difference caused by the different disk dispersal times is smaller than can be accounted with the grid of initial atmospheric mass fractions considered here. In both cases, the radius of the planet c can be reproduced at the age of the system by considering the initial atmospheric mass fractions in the range of  $\sim 0.08$  - 0.15.

In Figure 2, we show four evolutionary tracks for the inner planet TOI-942 b, assuming the disk dispersal time of 10 Myr and initial atmospheric mass fractions of 0.05, 0.07, 0.1, and 0.12  $M_{pl}$ . In the top panel, we show the radius evolution, where the radius and age of TOI-942 b known from observations are shown by the green rectangle. In the bottom panel, we show the corresponding atmospheric mass loss rates, where the green lines correspond to the values obtained with the 3D simulations. One can see, that the two tracks with the largest initial atmospheric mass fractions converge after a negligible time of  $\sim 0.12$  Myr (looking carefully, one can see the track with  $f_{at,0} = 0.12$  starts at  $R_{pl} \sim 10 R_\oplus$ , and the track with  $f_{at,0} = 0.1$  at  $\sim 8.5 R_\oplus$ ). This effect is caused by the atmospheric mass-loss rate increasing with atmospheric mass fraction, and, hence, the radius of the planet (see Kubyshkina & Vidotto 2021, and references therein). Therefore, the upper limit of the initial atmospheric mass fraction can only be constrained by em-



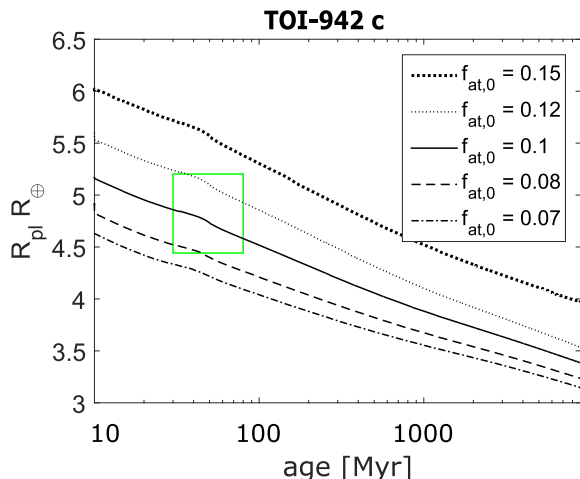
**Figure 2.** The evolutionary profiles for planetary radius (top) and atmospheric mass loss rate (bottom) of TOI-942 b assuming the “fast rotator” stellar evolutionary track described in Section 2, initial entropy of the planet of  $7.3 \frac{k_b}{\text{baryon}}$  and the disk dispersal time of 10 Myr. Different types of line correspond to different initial atmospheric mass fractions of 0.05 (dashed-dotted), 0.07 (dashed), 0.1 (solid) and 0.12 (thin dotted line). The green rectangle in the top panel denotes the parameters of TOI-942 b from Carleo et al. (2021), and the green lines in the bottom panel denote the atmospheric mass loss rates from 3D simulations (solid for the basic model and dotted for the split-wavelength one) at the age of the system.

ploying planetary formation/atmospheric accretion models. Here we do not consider such a task.

The evolutionary tracks in Figure 2 stop before 1 Gyr because all of the atmosphere is lost from the planet after about 500 Myr of evolution. The escape rates at the system’s age ( $50^{+30}_{-20}$  Myr), however, are similar to those predicted in 3D modeling (the green solid line in the bottom panel corresponds to the escape rate assuming the basic XUV model, and the dotted green line, assuming the split-wavelength XUV model) for any initial atmospheric mass fraction. Therefore, for TOI-942 b ( $R_{pl} \sim 4.24$ ) to have parameters similar to the TOI-421 b ( $R_{pl} \sim 2.68$ ) after Gyrs of evolution, the assumed planetary mass is insufficient.

Considering the mass of TOI-942 b at the upper limit of the observational constraint ( $16 R_\oplus$ ) and the initial atmospheric mass fractions of 0.12-0.2 would allow to get similar radius to the radius of planet TOI-421 b at ages older than 6.3 Gyr (remnant atmospheric mass fraction would be of 0.02-0.04), but not a similar mass – that would remain about





**Figure 3.** The evolutionary profiles for planetary radius of TOI-942 c assuming the “fast rotator” stellar evolution track described in Section 2, initial entropy of the planet of  $7.7 \frac{k_B}{\text{baryon}}$  and the disk dispersal time of 10 Myr. Different types of line correspond to different initial atmospheric mass fractions of 0.07 (dashed-dotted), 0.08 (dashed), 0.1 (solid), 0.12 (thin dotted), and 0.15 (thick dotted line). The green rectangle denotes the parameters of TOI-942 c from Carleo et al. (2021).

twice higher than the actual mass of TOI-421 b. These models would also reproduce TOI-942 b only at the lower part of this  $f_{\text{at},0}$  interval, 0.12-0.14. For the whole range of  $f_{\text{at},0}$ , the radii predicted at the age of 30-80 Myr are  $\sim 5.1$ - $5.7 R_{\oplus}$ . Thus, we can conclude that TOI-421 b could not be similar to TOI-942 b in the past.

Concerning the outer planet, the observed radius of TOI-942 c can be reproduced for the mass of the planet adopted in 3D simulations if assuming the initial atmospheric mass fraction of  $\sim 0.08$ - $0.14$  (see Figure 3). In this case, the planet is likely to keep a substantial part of its atmosphere throughout the evolution ( $f_{\text{at}} \approx 0.04$ - $0.07$  at 9 Gyr). The corresponding radius of the planet at the ages older than 6.3 Gyr is, however,  $\sim 3.5 R_{\oplus}$ , which is much smaller than the observed radius of TOI-421 b ( $\sim 5.1 R_{\oplus}$ ): by about 30% compared to the observational uncertainty of  $\sim 3\%$ . Increasing the planetary mass, in this case, would not change the outcome significantly: at the upper mass limit, TOI-942 c would be reproduced with the similar range of the initial atmospheric mass fractions (0.07-0.12), and its radius would evolve to  $\sim 4 R_{\oplus}$  at the age of TOI-421.

#### 4.2 TOI-421 b and c and their past

To obtain the results described above, we used a basic stellar model (as the source of bolometric and high-energy irradiation exposed at the planet) reproducing the present-day parameters of both TOI-942 and TOI-421, the “fast rotator” described in Section 2. As we discussed in Paper I, this model describes the star rotating faster than average at young ages (Irwin et al. 2008), which was not necessarily the case for TOI-421. Therefore, to resolve the possible initial parameters of the planets in TOI-421 system, we consider new stellar evolutionary models that reproduce well the present parameters of TOI-421, but have different rotation periods at 35 Myr compare to the 3.39 days assumed

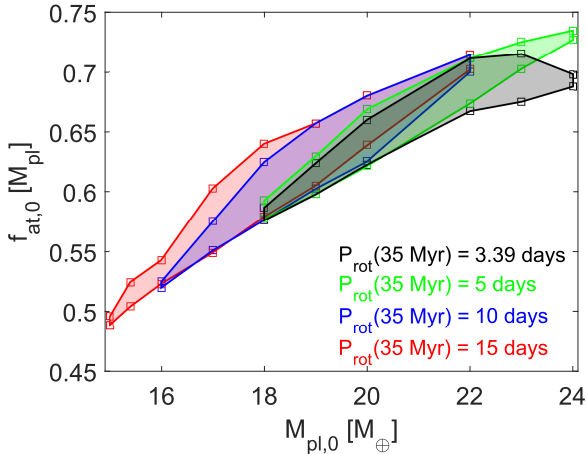
$M_{\text{pl},0} [M_{\oplus}]$	$f_{\text{at},0} [M_{\text{pl}}]$
14.4	0.45 - 0.55
15.0	0.48 - 0.57
15.4	0.49 - 0.58
16.0	0.51 - 0.59
17.0	0.53 - 0.62
18.0	0.56 - 0.64
19.0	0.59 - 0.66
20.0	0.61 - 0.67
22.0	0.65 - 0.80
24.0	0.67 - 0.75

**Table 1.** The initial masses and the corresponding ranges of initial atmospheric mass fractions used to model the evolution of TOI-421 b. To compare, the present day mass of TOI-421 b is  $\sim 7.2 M_{\oplus}$ .

for the fast rotator (see Section 2): 5 days (medium rotator for the given stellar mass Irwin et al. 2008), 10 days (slow rotator), and 15 days (very slow rotator). None of these new stellar evolution models let us reproduce the radii of planets in the TOI-421 system if assuming initial parameters similar to those of TOI-942 b and c.

For there to be a chance of fitting both the mass and the radius of TOI-421 b, the initial mass of the planet can only be increased (which would allow keeping the primordial atmosphere long enough) with simultaneous increase of the initial atmospheric mass fraction, so this additional mass can be removed from the planet throughout the evolution. Thus, taking into account the present mass of TOI-421 b with the observational uncertainties, each potential initial planetary mass corresponds to a relatively narrow range of possible initial atmospheric mass fractions. To estimate the possible initial parameters of TOI-421 b, we run multiple evolutionary models employing the stellar evolution models described above and assuming different initial planetary masses and the corresponding intervals of initial atmospheric mass fractions outlined in Table 1. For each interval of  $f_{\text{at},0}$ , we take at least 3 values. We further interpolate the final planetary parameters ( $R_{\text{pl},0}$  and  $M_{\text{pl},0}$ ) at the age of TOI-421 b within these intervals to find for which exact  $f_{\text{at},0}$  we can reproduce the radius of TOI-421 b for the specific planetary mass. The disk dispersal time we assume is 10 Myr.

In Figure 4, we present our results (initial atmospheric mass fraction against initial mass allowing to reproduce the mass and the radius of TOI-942 b at the present time according to our evolution models) for different types of stellar rotation histories considered in this section. Even though the possible ranges of the initial atmospheric mass fraction outlined in Table 1 for the specific initial planetary mass are already narrow, the actual parameter regions allowing to reproduce the radius and the mass of TOI-421 b at the age of the system are even narrower and are different for different rotation histories of the host star. Thus, naturally, for the faster rotating (hence, emitting higher XUV) star, the initial mass of the planet has to be on average larger compare to the slower rotator. In particular, for the fastest rotator, the initial mass of the planet has to be in the range of  $\sim 17.5$ - $24 M_{\oplus}$ , which corresponds to the overall range of initial atmospheric mass fractions of  $\sim 0.57$ - $0.71$  (while the



**Figure 4.** The initial parameters (initial atmospheric mass fraction against initial mass of the planet) of TOI-421 b constrained by our evolutionary model for different stellar rotation scenarios. The shaded areas correspond to the possible range of initial parameters, color-coded by different initial rotation periods, given at 35 Myr. The square markers denote the ranges resolved for the specific masses given in Table 1.

ranges for the specific masses remain very narrow). For the slowest rotator, these ranges are  $\sim 15\text{--}22 M_{\oplus}$  and  $\sim 0.48\text{--}0.71$ .

Assuming the same initial mass of the planet, TOI-421 b had to start with a smaller (maximum) initial atmospheric mass fraction if it orbits the fast rotator, compared to the slow one. This is because, near the faster rotator, the planet with given parameters has to stand stronger evaporation due to the higher irradiation, and more compact atmospheres were shown to be more stable (see, e.g., Kubyshkina & Vidotto 2021).

Overall, the range of initial parameters allowing to fit the present-day parameters of TOI-421 b is broader, and the possible initial planetary masses are lower if assuming that TOI-421 was a slow rotator in its youth, compared to the case of the fast rotator. However, to make more robust conclusions one would need to employ a statistical framework to account for all the observational uncertainties (in the present study we only account for uncertainties on planetary mass and radius) and resolve the rotational history of the star in a probabilistic way, as was done in, e.g., Kubyshkina et al. (2019a). At the moment, our evolutionary framework is too computationally expensive for use in such an analysis (requiring an order of  $10^5\text{--}10^6$  of single runs), so we leave this to future work.

The above makes TOI-421 b a peculiar planet. First, it can be reproduced assuming only a narrow range of initial atmospheric mass fractions for the specific mass, and, second, such a high initial atmospheric mass fraction looks unlikely for a planet of (initial)  $14\text{--}24 M_{\oplus}$  mass at the orbital separation of  $0.056 \text{ AU}$ . We will come back to this discussion in Section 5.

To fit TOI-421 c, one has to assume the initial atmospheric mass fraction of  $\sim 0.30 \pm 0.07$ . On the other hand, this can be done for essentially any type of stellar rotator, as stellar history does not affect the estimate on the initial atmospheric mass fraction of TOI-421 c much (as well as varying the initial mass of the planet within the range cor-

responding to the measured mass uncertainty of TOI-421 c). This is consistent with the estimates made in Carleo et al. (2020) with the simpler model (not accounting consistently for the thermal evolution of a planet), but with a more thorough probabilistic approach.

To summarize, from the new set of evolutionary models presented in this section, we conclude that the two planets in TOI-421 were likely born with more substantial envelopes than those in the TOI-942 system. In the case of the inner planet, it also had to start with essentially higher mass, with most of it in the atmosphere. The planets in a young system TOI-942 will most likely end up after a few Gyrs of evolution on the different sides of the radius gap, with planet c keeping most of its atmosphere, and planet b losing all of it unless its true mass is close to the upper boundary of the existing constraint. In the latter case, planet b can preserve an atmosphere of a few percent of its mass.

## 5 DISCUSSIONS AND CONCLUSIONS

In paper I of this series (Kubyshkina et al. 2021), we modeled atmospheric escape of the four planets in TOI-421 and TOI-942. Our 3D modeling approach allowed us to quantify how escape is affected by the stellar wind, which is particularly important at young ages, when the wind is believed to be stronger. We demonstrated that the wind can substantially affect the observational signatures of escape, but in the case of these systems, the effects on escape rate were not significant to alter the evolution of the planets. With that in mind, here, we employed our evolutionary framework (which neglects the presence of stellar winds) to investigate whether TOI-942 will one day become like TOI-421 (forward modeling) or, alternatively, whether TOI-942 is the past version of TOI-421 (backward modeling).

For that, we employed the evolution framework introduced in Kubyshkina & Vidotto (2021), with some adjustments. In the case of the young system TOI-942, our forward modeling mainly predicts the possible future of the system after Gyrs of evolution. Taking into account the rotation period of the host star, which is faster than average, we predict that the inner planet of the system will likely lose all of its atmosphere before the age of 1 Gyr, and will therefore cross the radius gap and become a super-Earth type planet. The outer planet, TOI-942 c, will likely keep some of its envelope and remain in the sub-Neptune group. Its predicted atmospheric mass fraction and the radius at the age of the TOI-421 system ( $9.4^{+2.4}_{-3.1} \text{ Gyr}$ ) is of  $\sim 0.045\text{--}0.095$  and  $\sim 3.5\text{--}4.0 R_{\oplus}$  (compared to  $5.09^{+0.16}_{-0.15} R_{\oplus}$  of TOI-421 c), respectively. The TOI-942 planetary system cannot therefore be the past state of the TOI-421 system, and this remains true if we assume a slower rotating star. In summary, the planetary system TOI-942 will presumably end up as one of the systems hosting two planets of relatively similar masses but lying on different sides of the radius gap, i.e., with a closer-in super-Earth and a further-out sub-Neptune planet. Examples of such systems are, e.g., HD 3167 (Christiansen et al. 2017) and Kepler-36 (Vissapragada et al. 2020).

In the case of the old system TOI-421, the task of performing our backward modeling is less trivial. We know the present-day state of the system and would like to resolve its past. To achieve it, we consider a range of possible initial

parameters of the planets in the system. We then run the forward evolution model and check a posteriori that models fit the observed parameters at the current age of the system. This process is further complicated by the unknown rotational history of TOI-421. To account for it, we have considered four different stellar evolution models assuming the same internal stellar parameters, but using different rotation periods at 35 Myr: 3.39, 5, 10, and 15 days. This range of periods covers well the possible rotation scenarios for stars of mass similar to TOI-421.

Accounting for the above, we find that, to agree with the present state of the system, both TOI-421 b and c had to start their evolution with substantial envelopes. For planet c, our estimate is similar to the one made in [Carleo et al. \(2020\)](#): its initial atmospheric mass fraction had to be about 0.3, and the initial mass had to be  $\sim 15\text{--}35\%$  higher than the present-day mass. This estimate is only weakly dependent on the rotation history of the star. For planet b, we estimate the overall range of possible initial masses (accounting for the various possible rotation histories of TOI-421) between 15 and  $24 M_{\oplus}$ , and the corresponding range of initial atmospheric mass fractions of 0.48–0.71. The latter is the range for the whole mass interval. For each specific initial planetary mass, the range of possible initial atmospheric mass fractions is quite narrow, with a maximum absolute width of  $\sim 0.065$  (see Figure 4). These estimates are dependent on the rotation history of the star. Thus, the ranges of possible initial parameters are wider if assuming the slower rotator, and correspond to the lower average initial mass of the planet.

The initial parameters of TOI-421 b resolved in this study are peculiar, considering their compatibility with planetary formation models. More precisely, according to common atmospheric accretion models, a planet with such a substantial envelope could not form at the orbital distance of TOI-421 b. [Ikoma & Hori \(2012\)](#) have demonstrated that the mass of the accreted atmosphere increases with increasing distance from the star and the mass of the core. Thus, according to their models, the planet with a core of about  $7 M_{\oplus}$  can accrete at  $\sim 0.05$  AU an atmosphere less than 0.01 of its mass. The analytical approximations given in the recent work by [Mordasini \(2020\)](#) predict the possible initial atmospheric mass fraction of TOI-421 b of  $\sim 0.01\text{--}0.02$ , and the adaptation they present of the earlier work by [Lee & Chiang \(2015\)](#) (adopting the same model parameters) suggests the possible range of 0.07–0.1. All these estimates are much below the range of 0.48–0.71 constrained by our atmospheric escape models. The same, though less extreme, applies to planet c.

The possible solution to this contradiction could be the formation of planets further out in the protoplanetary disk and the consequent migration during the disk phase. Relatively high eccentricities and orbital inclinations of the planets in TOI-421 system ( $\sim 0.163$  and  $85.7^\circ$ , and  $\sim 0.152$  and  $88.4^\circ$  for planets b and c, respectively) do not contradict this assumption (see, e.g., [Izidoro et al. 2021](#)). Thus, according to the approximation based on [Lee & Chiang \(2015\)](#), TOI-421 b could be formed at  $\sim 0.4\text{--}0.5$  AU. This model lacks some of the relevant physical processes, and the approximation given in [Mordasini \(2020\)](#) does not allow to form TOI-421 b with 0.5–0.7 of its mass in the atmosphere within the snow line. However, [Michel et al. \(2020\)](#) predicts that

beyond the snow line, planets can be formed with a significant fraction of their mass being water ice (up to  $\sim 70\%$ ), which would be compatible with the initial parameters we constrained here.

The presence of the water vapor, in this case, could significantly reduce the heating efficiency ([Lopez 2017](#)), and thus, the atmospheric mass-loss rates and the initial atmospheric mass fraction/planetary mass predicted for TOI-421 b. This possibility, however, requires more detailed consideration (including the self-consistent atmospheric chemistry modeling of TOI-942 b), and we leave it for future studies. Another assumption of our evolutionary model that can affect these results is the absence of orbital migration after protoplanetary disk dispersal. This post-disk migration should be distinguished from the inwards migration during the disk phase discussed above, which affects the starting parameters of our simulations. Post-disk migration, on the contrary, affects the position of the planet (and thus its equilibrium temperature and amount of the XUV flux it receives) during the evolution simulation. Thus, if the planets have migrated outwards and had closer-in orbits in the past, the initial XUV must have been larger and our estimate on the possible initial masses would have to increase.

Finally, our models assume the absence of planetary magnetic fields. The early paradigm considering the evolution of terrestrial planets has implied that the planetary magnetic field is necessary to protect planetary atmospheres and reduce the atmospheric mass loss (see, e.g., [Dehant et al. 2007](#), and references therein). The later studies, however, show that this point of view is ambiguous. Thus, the effect of the magnetic field on the atmospheric escape can be considered as a result of the two concurring processes: reducing the escape by capturing the ionised atmospheric species within the closed magnetic field lines, and enhancing the escape of the atmospheric ions through the regions of the open magnetic lines (polar cusps, in the case of a dipole field) and the reconnection on the night-side (see, e.g., [Khodachenko et al. 2015](#); [Sakai et al. 2018](#); [Carolan et al. 2021](#)). Thus, for planets in the Solar System it was shown both in the observations ([Gunell et al. 2018](#); [Ramstad & Barabash 2021](#)) and by modeling ([Sakai et al. 2018](#); [Egan et al. 2019](#)) that the presence of a weak magnetic field can intensify atmospheric escape. These results, however, should be taken with caution for young planets, and in particular those in the sub-Neptune range, because of the different atmospheric structure and the non-thermal mechanisms dominating the atmospheric mass loss in the Solar System, contrary to the planets considered in this study (see, e.g., [Scherf & Lammer 2021](#), for the discussion). For hot Jupiters, [Khodachenko et al. \(2015\)](#) predict a significant suppression of escape for intrinsic magnetic fields larger than 0.3 G. The model with the closest setup to the present study by [Carolan et al. \(2021\)](#) predicts, however, for the  $0.7 M_{\text{Jup}}$  planet experiencing XUV (thermally) driven atmospheric escape a small increase in the atmospheric mass-loss rate with increasing dipole field strength (about twice between 0 and 5 G). We therefore expect that, the possible effect from the planetary intrinsic magnetic field depends largely on strength and configuration of the planetary and stellar magnetic field, but, according to the numbers reported in the literature, might not affect our results dramatically. The



lack of studies for close-in sub-Neptune-like planets, however, hold us from making final conclusions.

To summarise our results, we demonstrated that the young planets b and c in the TOI-942 system are unlikely to follow the same evolutionary scenario as the planets b and c in the TOI-421 system, despite both b and c planets in the two systems being at similar close-in orbits and in similar mass ranges. With our forward modelling, we found that the planetary system TOI-942 will presumably end up as one of the systems hosting two planets of relatively similar masses but lying on different sides of the radius gap, i.e., with a closer-in super-Earth and a further-out sub-Neptune planet. Examples of such systems are, e.g., HD 3167 (Christiansen et al. 2017) and Kepler-36 (Vissapragada et al. 2020). With our backward modeling, we found that planets in the TOI-421 system started their evolution with more massive envelopes compared to planets in the TOI-942 system, potentially suggesting their formation beyond the snow line.

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## DATA AVAILABILITY

The data underlying this article will be shared on reasonable request to the corresponding author.

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