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ON COVERT MOVEMENT. PARTIALLY FRONTED *WH* VS. *WH*-IN-SITU

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1 Introduction

This paper probes the nature of covert movement. We provide evidence that covert movement can be *partial terminal* movement and can be *non-successive cyclic*. That covert movement is non-successive cyclic is indeed the null hypothesis under Fox and Pesetsky's (2005) proposal that cyclicity is a result of PF interface conditions on linearization, namely Order Preservation. We extend Fox & Pesetsky's proposal for the PF interface to the LF interface by suggesting that while the former is governed by an *Order Preservation* principle, the latter should be governed by a *Scope Preservation* principle.

2 Evidence for Covert (Terminal) Partial Movement

The argument for terminal covert partial movement is based on list readings of multiple *wh*-questions. The argument goes as follows: to account for certain list readings of multiple *wh*-questions, we must assume that **terminal partial movement takes place at LF** – that is, that *wh*-phrases move covertly to the edge of an embedded CP, but this position is not an intermediate stopover position (for onward, LD movement), but a position in which movement terminates: the *wh*-phrase does not move further up (to the matrix CP edge), but remains stranded at the edge of the embedded CP.¹

This section briefly reviews two such arguments: Cheng & Demirdache's (2010) argument from so-called *trapped pair* list readings of multiple questions (section 2.1), and Kotek's (2014) argument from intervention effects in multiple questions (section 2.2). Further crosslinguistic evidence from overt multiple partial movement is provided in section 3.

¹For related discussion concerning overt partial movement, see den Dikken (2010) who takes the more radical position that “movement to an A'-specifier position is always terminal”.

2.1 Trapped pair-list readings (Cheng & Demirdache 2010)

Cheng & Demirdache discuss pair-list readings of multiple *wh*-questions arising in configurations such as (1) involving Long-Distance (LD) questions with three *wh*-phrases, two of which are embedded *in-situ* within a subordinate clause. They show that configurations such as (1) allow a pair list reading, which they call the *trapped pair-list* reading, where the two embedded *whs* are paired independently of the matrix *wh*-phrase, as illustrated in (2d):

(1) [CP₁ wh₁ [CP₂ ... wh₂ wh₃]

- (2) a. Which parent thanked Mary for giving which child which toy?
 b. ✓ *Single triple*:
 Zoey thanked Sam for giving Sybren a plane.
 c. ✓ *List of triples*
 Zoey thanked Sam for giving Sybren a plane, and Noël thanked Amina for giving Zara a ball.
 d. ✓ *Trapped pair-list: Pairing the 2 WHs within the embedded clause*:
 Zoey thanked Sam for giving Sybren a car, Amina a plane, and Zara a ball.

The LD multiple question in (2a) with two *wh*-phrases *in-situ* within the embedded (purpose) clause allows, alongside the single triple answer in (2b) (e.g., only one triple parent-child-toy) and the list of triples answer in (2c) (e.g., multiple parent-child-toy triples), the trapped pair list reading in (2d), which only pairs the two *whs* within the island. Note, crucially, that, on the trapped pair-list reading in (2d), all three *whs* are answered; the list of pairs, however, is confined to the island. That is, the answer in (2b) supplies a single value for the highest *wh*, base-generated in the matrix, while pairing different values for the two *whs* within the island. This is the trapped pair-list reading.²

Cheng & Demirdache argue at length that the list of triples reading in (2c) and the trapped pair-list reading in (2d) are two distinct readings with their own respective LF syntax: the latter involves multiple partial-movement of the *whs* to the left periphery of the subordinate clause, while the former involves multiple (non-cyclic/one single step) LD movement to the periphery of the matrix clause.

In Cheng & Demirdache (2010), the argument that the trapped pair-list reading involves partial movement comes from Romanian, where the syntax of the trapped pair-list reading is overt (Ratiu 2005). As shown in (3a), overt multiple (or single) extraction across an island is not allowed in Romanian (nor could the embedded *wh* remain *in-situ*). The question in (3a), however, can be rescued by partial *wh*-movement to the left periphery of the island, as in (3b), which allows the single pair answer in (3c), but *not* the pair-list answer in (3d). With this in mind, consider the interpretation of the multiple question in (4) with three *whs*, two of which have been partially fronted to the left edge of the island.

²Note that (2a) also allows a list of trapped pairs answer (e.g. Zoey thanked Sam for giving Sybren a car and Amina a plane, and Noël thanked Zara for giving Rosa a train and Max a ball). Crucially, what is not possible is an answer that pairs the matrix *wh* with one of the embedded *whs* to the exclusion of the other embedded *wh*. For extensive discussion of trapped pair lists and their analysis, see Cheng & Demirdache (2010), as well as Dayal (to appear).

- (3) a. * $[\text{CP}_1 \text{ cine}_i \text{ ce}_k \text{ t}_i \text{ o cunoaște pe studenta}]$
 who what CL.3.FS know PREP student
 $[\text{CP}_2 \text{ căreia i s-a dedicat t}_k \text{ ieri}]$
 which.DAT CL.DAT.3SG EXPL.AUX dedicated yesterday
 Intended: ‘Who knows the student to whom was dedicated what yesterday?’
- b. $\sqrt{[\text{CP}_1 \text{ cine}_i \text{ t}_i \text{ o cunoaște pe studenta}]}$
 who CL.3.FS know PREP student
 $[\text{CP}_2 \text{ căreia ce}_k \text{ i s-a dedicat t}_k \text{ ieri}]$
 which.DAT what CL.DAT.3SG EXPL.AUX dedicated yesterday
 ‘Who knows the student to whom was dedicated what yesterday?’
- c. $\sqrt{\text{Single pair}}$: Vlad knows the student to whom a poem was dedicated yesterday.
- d. **List of pairs*: Vlad knows the student to whom a poem was dedicated yesterday,
 Filip knows the student to whom a song was dedicated yesterday.

- (4) $[\text{CP}_1 \text{ cine}_i \text{ } [\text{IP}_1 \text{ t}_i \text{ o cunoaște pe studenta } [\text{CP}_2 \text{ căreia unde}_j \text{ ce}_k$
 who CL.3.FS know PREP student REL.DAT where what
 i s-a dedicat t_k t_j ieri]]
 CL.DAT.3SG EXPL.AUX dedicated yesterday

Lit: ‘Who knows the student to whom was dedicated what where yesterday?’

- a. $\sqrt{\text{Single triple}}$:
 Vlad knows the student to whom a poem was dedicated yesterday at the radio station.
- b. **List of triples*:
 Vlad knows the student to whom a poem was dedicated yesterday at the radio station,
 Filip knows the student to whom a song was dedicated yesterday at a concert.
- c. $\sqrt{\text{Trapped pair-lists}}$:
 Vlad knows the student to whom *a poem* was dedicated yesterday at the *radio station*,
 and the student to whom a *song* was dedicated yesterday at a *concert*.

We see that multiple partial *wh*-movement to the left periphery of the island in (4) allows the single triple reading in (4a), but not the list of triples reading in (4b). Crucially, (4) also allows the answer in (4c), where all three *whs* are answered, and where the two *whs* trapped within the island are paired independently of the matrix. This reading is the trapped list reading that we discussed for English in (2d). Note, moreover, that the trapped pair-list answer in (4c) is in fact preferred over the single triple answer in (4a).

To recapitulate, configurations instantiating overt multiple partial movement to the edge of the embedded clause such as in (4), allow a trapped pair list reading, but do not allow a list of triples reading, as schematized in (5).

- (5) (*Overt*) multiple partial movement

Wh1 t₁ [ISLAND Wh2 Wh3 t₂ t₃

$\sqrt{\text{Trapped pair-list}}$ *List of triples

Conversely, configurations instantiating multiple LD movement to the edge of the matrix clause such as (7) below (which involves movement across a complement clause), allow a list of triples readings but do not allow trapped pair-list readings, as schematized in (6).

- a'. George boasted about where he finished in the tennis competition, and Max boasted about where he finished in the golf competition,
 *Pair-list of *which sportman* and *where*
 √Single triple
- b. melyik versenyző dicsekedett, hogy hol melyik versenyen végzett?
 which sportsman boasted that where which competition-on finished
 *Pair-list of *which sportman* and *which competition*
 *Pair-list of *which sportman* and *where*
 √Single triple

Surányi (2006) points out that when the *wh*-phrase *melyik versenyen* ‘in which competition’ stays in-situ, as in (14a), the sentence can have a pair-list reading pairing the matrix *wh* with the in-situ *which competition*. This LD pair-list reading, however, disappears in (14b), where *which competition* has undergone overt partial movement to the periphery of the embedded clause. Once again we see that while *wh*-in-situ can be assigned matrix scope to be paired up with the matrix *wh*, neither of partially fronted *wh*s (be it *which competition* in (14b) or *where* in (14a/b)) can be paired up with the matrix *wh*.⁵

These contrasts between *wh*-in-situ and partially fronted *wh* raised two related questions.

- (i) Why can't the partially fronted *wh*s, be it in Hungarian (14a/b), Russian (13), or for that matter Romanian (11b), be paired with the matrix *wh*? That is, why must these partially fronted *wh*s remain in their surface scope position at LF?
- (ii) In contrast, why can the in-situ *wh*s in (12) and (14a) be paired with the matrix *wh*? In the next section, we speculate on a uniform answer to these two questions.

4 Interface Constraints: Order vs. Scope Preservation

That *wh* in-situ can be paired with the matrix *wh* even across an island, as is the case in (12), suggests that *wh*-in-situ can undergo covert non-successive cyclic (single swoop) movement to the matrix scope position. That covert movement need not be successive cyclic is the null assumption under Fox & Pesetsky's (2005) proposal that obligatory successive cyclic movement in the syntactic computation is driven by PF requirements (namely, the principle of Order Preservation). In particular, for Fox & Pesetsky (2005), successive cyclic movement is enforced to satisfy PF interface conditions on the syntax/phonology mapping such as linearization. Since overt movement precedes linearization, it will show cyclicity effects. In contrast, covert movement applies after linearization and, as such, does not fall under Order Preservation. Successive cyclic movement will thus never be enforced with covert movement.

We expect, however, the requirements that drive covert/LF movement to care about scopal hierarchies since covert movement serves essentially to establish (relative) scope. The idea

⁵(14b) also allows the trapped pair-list reading in (i) (Surányi p.c.). Crucially, this reading must be kept distinct from the LD pair list reading in (14a') under discussion. On the LD pair-list readings in (14a')/(12c), only the paired *wh*s are assigned matrix scope (answered). That is, the fronted *wh*s (be it in English (12c) or Hungarian (14a')) do not participate in the list answer. In contrast, on the trapped pair-list reading, all three *wh*s are assigned matrix scope (and thus answered) but only the two *wh*s trapped in the island are (and can) be paired independently of the third *wh*.

- (i) George boasted that in last year's competition he finished in first position and in this year's competition, he finished in second position.

would then be to extend Fox & Pesetsky's proposal for the PF interface to the LF interface by assuming that while the former is governed by an *Order Preservation* principle, the latter would be governed by a *Scope Preservation* principle. At the PF interface, the derivation crashes if the ordering statements, established at the various points in the derivation where a given phase is spelled out, are contradictory. At the LF interface, on the other hand, the derivation crashes if the scopal statements, established at the various points in the derivation where movement to a given scope position takes effect, are contradictory.

With this in mind, let's turn to the issue of why partially fronted *whs*, either in Russian (13) or Hungarian (14b), must remain in their surface scope position. The issue is how to filter out the derivation in (15) where *wh2* or *wh3* overtly raise to the matrix Spec CP, tucking in under *wh1*:

- (15) a. *Partially fronted Wh*:
 [CP₁ Wh₁ [IP₁ ... [CP₂ that [IP₂ **Wh2** [IP₂ **Wh3** [IP₂ ... t₂ ... t₃ ...]]]]]]]
 b. *Subsequent covert spec-to-spec movement*:
 *[CP₁ Wh₁ [IP₁ **Wh3** ... [IP₁ ... [CP₂ that [IP₂ **Wh2** [IP₂ t'₃ [IP₂ t₃ ... t₂ ...]]]]]]]]]
 *[CP₁ Wh₁ [IP₁ **Wh2** ... [IP₁ ... [CP₂ that [IP₂ t'₃ [IP₂ **Wh3** [IP₂ t₃ ... t₂ ...]]]]]]]]]

At the point in the derivation shown in (15a) where both *wh2* and *wh3* have been fronted to their (surface) subordinate scope position, scopal statements can be established. Assuming the segment theory of adjunction, *wh2* and *wh3* in (15a) do not c-command each other (since the adjoined IP₂ projections do not exclude either *wh2* or *wh3*) and thus have the same scope (i.e., they do not have scope over one another). The derivation then crashes in (15b) because subsequent Spec-to-Spec movement of *wh2* (for instance) to the matrix scope position yields a conflicting scopal statement since *wh2* now outscopes *wh3*.

In contrast, in the English multiple question in (12), *wh3* hasn't raised overtly to a scope position (unlike its Russian counterpart in (13)/(15a)), as schematized in (16a).

- (16) a. *Wh-in-situ*:
 [CP₁ Wh₁ [IP₁ ... [CP₂ Wh₂ [IP₂ ... t₂ ... **Wh3** ...]]]]]
 b. *Covert one swoop movement*:
 √ [CP₁ Wh₁ [IP₁ **Wh3** [IP₁ ... [CP₂ Wh₂ [IP₂ t₂ ... t₃ ...]]]]]]]

The idea is that the derivation in (16) will not yield conflicting scopal statements because the (relative) scope of the two *Whs* (*Wh2* and *Wh3*) cannot be computed prior to the step/moment in the derivation where they both have raised to scope positions – that is, prior to (16b). Long movement of *wh3* in (16b) is thus not constrained by Scope Preservation.⁶

⁶Scope Preservation could carry over to explain the intervention effect of NEG with overt PM in Romanian (11b), since covert spec-to-spec raising in (ib) reverses the scope of *Wh2* established via movement in (ia) relative to NEG:

- (i) a. Overt partial movement: [CP₁ Wh₁ ... [IP₁ ... NEG ... [CP₂ **Wh2** [IP₂ ... t₂ ...]]]]]]
 b. Covert spec-to-spec movement: *[CP₁ Wh₁ [CP₁ **Wh2** ... [IP₁ ... NEG ... [CP₂ t'₂ [IP₂ t₂ ...]]]]]]]]

The astute reader will have noticed, however, that once we allow covert non-successive cyclic movement, an alternative account (to Kotek's account in (10b)) needs to be sought for the intervention effects in (9b) with *wh*-in-situ in English.

Let's now see how to handle the earlier contrast we gave between the English multiple question in (2a) with two *wh*-phrases phonologically *in-situ* within the subordinate island, and the Romanian multiple question in (4a) with two *wh*-phrases *overtly fronted* to the edge of the subordinate island. We expect the English LD question in (2a) to allow either the triple-list reading in (2c) or the trapped pair-list reading in (2d). This is because the in-situ *wh*-phrases are free to undergo covert non-successive cyclic (single swoop) movement either to the edge of subordinate scope position, yielding the trapped pair-list reading (as in (8a)), or to the edge of matrix scope position, yielding the list of triples reading (as in (8b))

In contrast, the Romanian multiple question in (4a) allows the trapped pair-list reading in (4d), but not the triple-list reading in (4c). This means that covert spec-to-spec movement from the edge of the island in (17a)/(4a) to the edge of matrix in (17b) must be ruled out. This is indeed the case since the derivation in (17) yields conflicting scopal statements in violation of Scope Preservation: at the step of the derivation in (17a), *wh*₂ (the relative operator *căreia*) outscopes both *wh*₃ and *wh*₄ (which have the same scope), while at the step of the derivation in (17b), both *wh*₃ and *wh*₄ now outscope *wh*₂.

- (17) a. **Overt partial movement to the periphery of the relative clause island:**
 [CP₁ who₁ ... [IP₁ ... [CP₂ ISLAND **which**₂ [IP₂ where₃ [IP₂ what₄ [IP₂ ... t₂ ... t₄ ... t₃ ...]]]]]]]]
 b. **Covert spec-to spec movement to the matrix periphery:**
 *[CP₁ who₁[IP₁ where₃[IP₁ what₄[IP₁... [ISLAND **which**₂[IP₂ t'₃[IP₂ t'₄[IP₂ ...t₂ ... t₄ ... t₃]]]]]]]]]]]

In all the LF configurations considered so far, partially fronted *wh*s are confined to their surface scope position since subsequent covert movement out of their surface scope position yields a violation of Scope Preservation ((15)/(17)). We should not, however, hastily conclude that partial movement is always terminal movement. This appears to be too strong in light of (18), which instantiates overt multiple *partial* movement to the edge of the complement clause:

- (18) a. [CP₁ cine a spus [că ce when va offeri satului
 who said that what when will offer the village
 'Who said that he will offer the village what, when?'
 b. $\sqrt{\text{List of triples}}$: Vlad said he will offer the village a theater for Christmas and Filip said he will offer the village a library for the New year.
 c. $\sqrt{\text{Trapped pair-lists}}$: Vlad said he will offer the village a theater for Christmas and a library for New year.

The attentive reader will have noticed that Romanian multiple *wh*-questions involving movement from a complement clause differ from multiple *wh*-questions involving movement from an island in two respects. First, they allow **overt** multiple LD extraction to the matrix Spec CP (compare the grammatical (7) with the ungrammatical (3a)). Second, they must also allow for **covert** LD extraction from the edge of the complement clause in (18a)/(19a) to the edge of the matrix in (19b) since the multiple question in (18a) with two *wh*-phrases *overtly fronted* to the edge of the complement clause allows for the list of triples reading illustrated in (18b).

- (19) a. **Overt partial movement to the periphery of the complement clause:**
 [CP₁ who₁ ... [IP₁ ... [CP₂ that [IP₂ **what**₂ [CP₂ **when**₃ [IP₂ ... t₂ ... t₃ ...]]]]]]]]
 b. **Covert spec-to spec movement to the matrix periphery:**
 $\sqrt{[CP_1 \text{ who}_1 [IP_1 \text{ what}_2 [IP_1 \text{ when}_3 [IP_1 \dots [CP_2 \text{ that } [IP_2 \text{ t}'_2 [IP_2 \text{ t}'_3 [IP_2 \dots t_2 \dots t_3 \dots]]]]]]]]]]]$

These two differences between Romanian multiple *wh*-questions involving movement from a complement clause vs. an island are expected under the proposal sketched here. **Overt** multiple LD extraction to the matrix Spec CP is (7) will be allowed as long as it proceeds successive cyclically in order to satisfy *Order Preservation*, the PF interface condition on the syntax/phonology mapping. **Covert** multiple LD extraction from the edge complement clause to the matrix Spec CP is (19a-b) will be allowed as long as it satisfies *Scope Preservation*, the LF interface condition on the syntax/semantics mapping. This is indeed the case on the (tucking in) derivation in (19) since covert movement of *wh*₂ and *wh*₃ in (19b) does not reverse the scopal relations computed at the step of the derivation in (19a).

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