

# Bleeding in haemato-oncological diseases: how to score, treat, predict

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A study protocol for a randomised controlled trial evaluating clinical effects of platelet transfusion products: the Pathogen Reduction Evaluation and Predictive Analytical Rating Score (PREPAReS) trial

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# Abstract

Patients with chemotherapy-induced thromboctopaenia frequently experience minor and sometimes severe bleeding complications. Unrestrictive availability of safe and effective blood products is presumed by treating physicians as well as patients. Pathogen reduction technology potentially offers the opportunity to enhance safety by reducing bacterial and viral contamination of platelet products along with a potential reduction of alloimmunisation in patients receiving multiple platelet transfusions.

To test efficacy, a randomised, single blinded, multicentre controlled trial was designed to evaluate clinical non-inferiority of pathogen reduced-platelet concentrates treated by the Mirasol system compared to standard plasma-stored platelet concentrates using the percentage of patients with WHO grade  $\geq 2$  bleeding complications as the primary endpoint. The upper limit of the 95% confidence interval of the non-inferiority margin was chosen to be a < 12.5% increase in this percentage. Bleeding symptoms are actively monitored on a daily basis. The adjudication of the bleeding grade is performed by three adjudicators, blinded to the platelet product randomisation as well as by an automated computer algorithm. Interim analyses evaluating bleeding complications as well as serious adverse events are performed after each batch of 60 patients. The study started in 2010 and patients will be enrolled up to a maximum of 618 patients, depending on the results of consecutive interim analyses. A flexible stopping rule was designed allowing stopping for non-inferiority or futility. Besides analysing effects of pathogen reduction on clinical efficacy, the Pathogen Reduction Evaluation and Predictive Analytical Rating Score (PREPAReS) is designed to answer several other pending questions and translational issues related to bleeding and alloimmunisation, formulated as secondary and tertiary endpoints.

Ethics approval was obtained in all three participating countries. Results of the main trial and each of the secondary endpoints will be submitted for publication in a peer-reviewed journal.

Registration: Netherlands Trial Register NTR2106.

## Introduction

Patients with thrombocytopaenia due to myeloablative chemotherapy in the setting of serious and acute bone marrow disease are at risk of haemorrhagic complications.<sup>1</sup> Platelet transfusions are extensively used for treatment and prophylaxis of bleeding in these patients. Bleeding and refractoriness to platelet transfusions are prominent issues in patient management, and clinicians face the challenge of choosing the most appropriate transfusion strategy. Meeting the demands of 24 hour availability of safe platelet products is a logistic challenge, since the maximum storage time of platelets in the blood bank is five days.<sup>2</sup> Platelets are stored at room temperature, which places them at higher risk of bacterial contamination as compared to other blood components.<sup>3</sup>Extended storage for platelet concentrates to seven days has been approved in Europe for clinical use, but requires a post marketing surveillance phase and 100% bacterial testing.<sup>4,5</sup> Bacterial outgrowth to high titres which is associated with longer storage times has prompted a further search for techniques that warrant safe platelet products. Currently in the Netherlands, 0.44% of all platelet products are found to be contaminated.<sup>6</sup> Transfusion transmitted bacterial infections with clinical consequences are rare, estimated incidence 1 in 70.000 to 1 in 118.000.7 Pathogen reduction strategies aim to reduce the risk of bacterial contamination of stored platelet products.8 A recent review assessing the effectiveness of pathogen-reduced platelet products in general concluded that pathogenreduced platelets did not differ from standard platelets with regard to clinically significant- or severe bleeding complications or adverse reactions.<sup>9</sup> Although the use of pathogen-reduced platelet products (PR-platelet concentrates) is not formally accepted as standard of care everywhere, gradually these products are being implemented in transfusion centres worldwide. Experts in the field of transfusion recently elucidated reasons for either implementing pathogen reduction techniques or postponing decision making on implementation.<sup>10</sup> These reasons consist of the absence of regulatory approval and concerns on safety and increment of pathogen reduced platelets.<sup>10</sup> The Mirasol pathogen reduction technology (Mirasol PRT) is a treatment system designed for plasma and platelet products. This technology uses riboflavin, a naturally occurring vitamin B<sub>2</sub>, which interacts with nucleic acids leading to a chemical reaction when exposed to ultraviolet (UV) light.11 Riboflavin-based photo-chemical treatment has been shown to be effective against a broad range of pathogens.<sup>12,13</sup> In addition to reducing the infectious risk of viruses and bacteria for which blood is currently tested, this technology also offers a safety benefit in protecting against unknown and/or emerging pathogens, or pathogens that cannot be detected otherwise in an early phase of infection (window phase). Until now, only one randomised study evaluating Mirasol PRT, comparing corrected count increments (CCIs) of treated versus untreated apheresis- or buffy coat-derived plasmaplatelet concentrates, has been performed.<sup>14</sup> This trial showed acceptable 1 h CCIs for both methods of preparation and no difference in platelet or red cell usage, suggesting no increase in bleeding tendency. Besides effective pathogen reduction another important (pre)clinical premise concerning Mirasol treatment is potential effectiveness in reducing

alloantibody formation. Indeed, the 'trial to reduce alloimmunisation to platelets- study group' showed evidence in patients suffering from acute myeloid leukaemia that UV-B illumination of platelets is equally effective as leuco-filtration in preventing generation of alloantibodies, as well as alloantibody-mediated refractoriness to platelets.<sup>15</sup>

## Rationale

Policymakers, product providers, and investigators agree that substantial changes in platelet production such as pathogen reduction technologies should also be validated for their clinical efficacy, including an assessment of bleeding. The Pathogen Reduction Evaluation and Predictive Analytical Rating Score (PREPAReS) study was initiated to investigate Mirasol-treated platelet products for clinical efficacy. The research question that is addressed is summarised in Figure 1. The aim is to describe here the design of the PREPAReS trial and provide rationale for the design elements that were incorporated into this study.

# **Objectives**

## Primary objective

The primary aim of this study is to assess the non-inferiority of PR-plasma-platelet concentrates (PCs) compared with plasma-PCs in terms of WHO bleeding complications  $\geq$  grade 2 (expressed as percentage of patients) in haemato-oncological patients with thromboctopaenia during one transfusion episode.

## Secondary objectives

- 1. To assess the transfusion failures defined as 1 h CCI < 7.5 and 24 h < 4.5, 1 and 24 h CI and CCI of all platelet transfusions.
- 2. To assess the percentage of days that bleedings  $\geq$  WHO grade 2 occur.
- 3. To evaluate whether clinical factors interact with the different study products leading to a difference in platelet refractoriness.
- 4. To assess the safety (incidence of adverse reactions).
- 5. To assess the transfusion requirement (red cells and platelets).
- 6. To assess the transfusion interval.
- 7. To assess the rate of human leukocyte antigen (HLA) alloimmunisation.
- 8. To evaluate whether in vitro measures relate to in vivo outcomes measures as the 1-hour and the 24-hour CCI.
- 9. To establish the relation between albuminuria and bleeding tendency.
- 10. To establish whether transmission of commensal viruses can be prevented by the Mirasol treatment.

#### Figure 1: PREPAReS research question

**Research question:** In adults with hypoproliferative thrombocytopenia, are pathogen reduced platelets not inferior to standard platelets stored in plasma as measured by WHO bleeding greater than or equal to grade 2 during the period when patients are thrombocytopenic ?

**Population:** Adults  $\geq$  18 years of age, with a clinical diagnosis of acute leukemia or other haemato-oncological disease requiring myeloablative chemotherapy or autologous- or allogeneic hematopoietic stem cell transplantation following a myeloablative conditioning regimen.

**Intervention:** Patients randomized to receive pathogen reduced platelet units receive transfusions in exact the same way as patients randomized to receive plasma stored platelet products, according to transfusion protocols. This includes prophylactic and therapeutic platelet transfusions as explained under the subheading "indications for platelet transfusions".

**Comparison:** The percentage of patients with bleeding complications graded 2 or higher according to the World Health Organization (WHO) bleeding scale in the randomization arm receiving pathogen reduced platelet units compared to the percentage of patients in the plasma platelets arm.

Outcome: WHO bleeding greater than or equal to grade 2

**Time:** The outcome (bleeding) is measured daily during a transfusion period at most until day 42 of transfusion period or till hospital discharge or transfusion independency, whichever occurs first. A **transfusion period** is defined as the continuous period following intensive chemotherapy or a pre transplant conditioning regimen a patient is in need of prophylactic platelet transfusions.

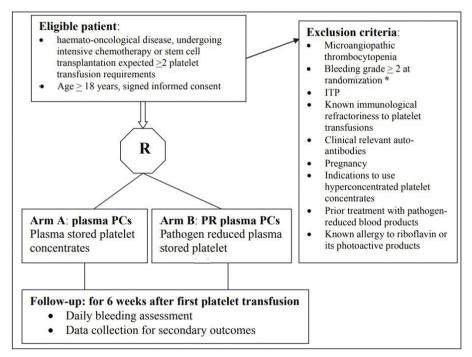
**Figure 1.** Pathogen Reduction Evaluation and Predictive Analytical Rating Score (PREPAReS) research question (PICOT format).

## **Methods and Analysis**

#### PREPAReS trial design

The PREPAReS study is a randomised multicentre trial using a parallel arm design. Three countries involving 10 centres are participating in PREPAReS. In the Netherlands there are three academic centres and one non-academic hospital participating. In Norway, one academic centre participates and in Canada, one academic centre and four general

hospitals participate. In all of these hospitals there are facilities for haematological intensive care for acute leukaemia patients and autologous and/or allogeneic stem cell transplantation for haemato-oncological diseases. Sanquin Blood Supply, the Dutch Blood Bank, is the study sponsor. Patient enrolment started in 2010 and is expected to end in 2016. The study was approved by a central ethical committee as well as the local Research Ethics Boards (REBs) of the participating sites. Figure 2 summarises the design of the trial and each of the trial aspects are described in detail below.



**Figure 2.** PREPAReS trial design. The flow chart summarizes the design of the PREPAReS trial; eligible patients may be enrolled more than once, for example when they receive consolidation chemotherapy.

\*See also figure 3. PR, pathogen reduced; PREPAReS, Pathogen Reduction Evaluation and Predictive Analytical Rating Score.

## Eligibility criteria

Patients are eligible for the study when they are aged 18 years or older and expected to require at least two platelet transfusions during a period of profound thromboctopaenia. This may be following intensive chemotherapy or a conditioning regimen prior to hematopoietic stem cell transplantation, due to aplastic anaemia or a result of the bone marrow disease crowding out megakaryopoiesis. Exclusion criteria include: microangiopathic thromboctopaenia and ITP; bleeding grade  $\geq 2$  at randomisation;

known immunological refractoriness to platelet transfusions; HLA and/or HPA alloimmunisation or clinical relevant autoantibodies; pregnancy; indications to use hyperconcentrated platelets; prior treatment with pathogen-reduced blood products; known allergy to riboflavin or its photoactive products. Sites that routinely do HLA/ platelet antibody screening when immunological refractoriness is suspected will base this decision on a positive screening test result. Sites that do not routinely do this screening will base this decision on clinical judgment. With regard to patients presenting with a bleeding condition of grade  $\geq 2$  before enrolment a template was designed to support decision making, primarily enabling the enrolment of patients with old bruises (figure 3). Patients previously treated with pathogen-reduced platelet products using other technologies are also excluded.

Bleeding condition at screening	Site action for enrollment	
Active grade $\geq 2$ bleeding	Exclude, and re-evaluate after bleeding has resolved	
Grade 2 bleeding that occurred in the day(s) before screening, but has resolved with no active bleeding for at least 24 hours prior to screening Exception: Skin / soft tissue bleeding grade 2	Include	
Existing grade 2 skin / soft tissue bleeding that occurred in the day(s) before screening, and are still visible at the day of screening	Include	
A resolved grade 3-4 bleeding episode in the day(s) before screening	Patients can be included after 14 days	

#### Figure 3. Inclusion in the study in case of a bleeding complication.

Template for decision making in case of a bleeding complication at presentation before enrolment of the Pathogen Reduction

*Evaluation and Predictive Analytical Rating Score (PREPAReS) trial. Bleeding grade is based on WHO bleeding scale.* 

## Randomisation

Patients who meet the eligibility criteria are randomised to receive either standard plasma-stored, or Mirasol-treated platelet products during a transfusion period (for definition of 'transfusion period': figure 1). Randomisation takes place using a webbased tool, as part of ProMISe (Project Manager Internet Server). The ProMISe system, developed at the Leiden University Medical Centre, provides custom-made databases for scientific medical research as well as an application for on-line data entry, quality checks and reporting. The treatment allocation scheme is created within ProMISe and is generated by the computer, ensuring concealment of treatment allocation. The randomisation method uses permuted blocks of variable size, randomly varying from 2 to 6 participants. Stratification factors in the randomisation are: centre, diagnosis (acute myeloid leukaemia (AML) vs no AML) and treatment phase (transplant vs no transplant).<sup>16</sup> Patients are randomly assigned to the treatment allocation at the time that they are expected to require their first platelet transfusion. All eligibility criteria will be checked with an electronic checklist during randomisation. Randomisation will be requested by the staff member responsible for recruitment. Each patient will be given a unique patient study number, which will be given immediately by the randomisation provider (ProMISe) and confirmed by email. This email is sent to the staff member requiring randomisation as well as to the general PREPAReS email address, controlled by the principal investigator. Patients may be re-randomised in a subsequent transfusion period (see figure 1) following a next intensive chemotherapy course or a pre-transplant conditioning regimen and the statistical analysis will account for multiple randomisations per individual.<sup>17</sup>

#### Treatment arms

All platelet products are produced by local blood suppliers (Sanquin Blood Bank in the Netherlands, Haukeland University Blood Bank in Norway, and Canadian Blood Services in Canada). Platelet concentrates are all prepared from pooled buffy coats and are resuspended in plasma. The pooled platelet concentrates are leukoreduced by filtration. All platelet products are stored with gentle agitation at 20-24°C up to 7 days in the Netherlands and Norway, and for a maximum of 5 days in Canada. Patients randomised to the standard platelet product arm of the study receive platelet products prepared in this manner.

The platelet products transfused to patients in the pathogen-reduced arm of the study are prepared as follows: 500  $\mu$ M riboflavin is added to the leukoreduced plasmaplatelet concentrates within 28 h of platelet collection, mixed, and exposed to UV-B light (wavelength 280-315 nm) for 5-10 min (depending on the volume of the platelet concentrate) with constant agitation at 120 rpm, giving a total dose of 6.2 J/mL. Following treatment, the platelets are stored in the same way as the untreated platelets, making sure that the products are not exposed to direct daylight. In all participating centres, a standardised automated culture method is used to detect bacterial contamination of the platelet product.<sup>18</sup>

Products are  $\gamma$ -irradiated if indicated by the requesting centre, even though the Mirasol procedure is licensed for white cell inactivation to prevent graft versus host disease, making gamma irradiation unnecessary. The rationale for  $\gamma$ -irradiation in this trial reflects a logistical concern that two different policies of irradiation based on the treatment arms of the study could increase the risk of error. It could result in patients in the standard treatment arm incorrectly receiving non irradiated products, or in

the treatment arm when receiving non irradiated products in case of an off protocol transfusion.

Table 1 shows characteristics of the platelet products in the participating countries. In Canada, platelet concentrates are routinely prepared from four buffy coats, with on average 300 x10° platelets per unit. The SPRINT trial had shown that pathogen-reduced platelet units with fewer than 300 x10<sup>9</sup> per unit were associated with a significantly shorter transfusion interval and lower CCIs (though not an increased bleeding risk) compared with untreated platelet concentrates with <300 x10<sup>9</sup> platelets per unit.<sup>19,20</sup> Since there was a chance that the platelet content in a four buffy coat pool would be below this threshold, the Canadian sites provided a five buffy coat platelet pool for patients allocated to the PR arm increasing the number of platelets by 25%. The Canadian hospitals wanted to maintain four buffy coats in the control arm, as this is the current standard of practice to which the PR arms should be compared. Differences in platelet numbers could potentially lead to a bias in the study. Therefore, before the final decision was made, quality control data from Canada and the Netherlands for the year 2011 were compared. The Dutch untreated units from 5 buffy coats (n=5068) contained, on average,  $380\pm55 \times 10^9$  platelets. Treated units from 5 buffy coats (n=347) contained  $366\pm55 \times 10^9$ , that is about 5% lower than untreated ones. Canadian untreated platelet concentrates from four buffy coats (n=1302) had an average of 312±54 x109 platelets. Therefore, five buffy coats would theoretically give 390 x10° platelets, but with the 5% decrease due to the handling associated with Mirasol treatment, the expected average would come to 371±64 x10<sup>9</sup> platelets. Assuming that Canada would include one-third of the patients, and the Netherlands and Norway two-thirds, the overall distribution of platelet numbers per concentrate was estimated. This estimation was based on the following facts: platelet concentrates in the untreated group were derived from four buffy coats for Canada and Norway, and five buffy coats for the Dutch sites; in the Mirasol group, four buffy coats for Norway and five buffy coats for all other sites. Under these conditions, it was calculated that the platelet counts would largely overlap, although in the untreated group about 23% would contain <300 x109 versus 7% in the Mirasol group. In the SPRINT trial, 12% of the platelet concentrates in the control group contained  $<300 \text{ x}10^{\circ}$  platelets versus 20% in pathogen-inactivated group.<sup>19</sup> Therefore, we accepted that, in Canada, untreated platelet concentrates were made from four buffy coats, while in the Mirasol-treated group, they were made from five buffy coats. Sub analysis based on platelet content is feasible. Moreover, a higher platelet dose may offset part of the lower platelet increments seen with Mirasol-treated platelet concentrates.14

**Table 1.** Characteristics of platelet products in three participating countries in the PREPAReS trial.

	Country Participating in PREPAReS			
	Netherlands	Norway	Canada	
Blood Supplier	Sanquin Blood	Haukeland University	Canadian Blood	
	Bank	Blood Bank	Services	
Anticoagulant used for whole	CPD	CPD	CPD	
blood collection				
Method of platelet	Buffy coat	Buffy coat	Buffy coat	
preparation				
Standard pool size of				
plasma platelets	5	4	4	
pathogen reduced	5	4	5	
platelets				
Bacterial testing	Yes	Yes	Yes	
Storage solution	Plasma	Plasma	Plasma	
Duration of storage	7 days	7 days	5 days	

CPD, citrate-phosphate-dextrose; PREPAReS, Pathogen Reduction Evaluation and Predictive Analytical Rating Score

## Indications for platelet transfusions

Indications for platelet transfusions are distinguished into platelet count-related prophylaxis (PP), intervention related prophylaxis (IP) and treatment of bleeding (TB). For each transfusion, the indication is recorded. The Dutch transfusion guidelines are used as guidance for the indication of platelet transfusions, these imply: trigger for PP 10 x 10<sup>9</sup>/L; for IP 50 x10<sup>9</sup>/L; and for TB until bleeding stops or up to a platelet count of 100 x10<sup>9</sup>/L. When bleeding complications occur intracranial, the retina or any other vital organ, the advised platelet count is >100 x10<sup>9</sup>/L.<sup>21</sup> The treating physician determines if or when a transfusion is ordered.

## Outcomes (primary and secondary)

The primary outcome for the study is bleeding greater than or equal to WHO grade 2. Earlier comparative platelet transfusion studies concerning platelet additive solutions, and even the Mirasol system for pathogen inactivation, used CCIs as primary outcome measure.<sup>14</sup>The corrected count increment however, is inadequate as a surrogate marker for the occurrence of bleeding symptoms.<sup>22,23</sup>There is general agreement that substantial changes in platelet production and processing should not only be validated by corrected count increment, but also, clinical validation for their haemostatic effectiveness is needed. Haemostatic assessment ideally is performed using a consistent

and well-described manner.<sup>24</sup> Several large randomised controlled trials that were published in recent years used the WHO bleeding scale.<sup>25-28</sup> The WHO bleeding scale can be regarded as a grading method that classifies the seriousness of bleeding. In the WHO bleeding scale, grade 0 is absence of bleeding; grade 1 bleeding generally is considered not to be clinically relevant, in the grade 2 bleeding category bleeding of variable clinical relevance is encountered (skin, mucosal membranes, bleeding at invasive sites, etc.), whereas grade 3 (bleeding requiring red cell transfusions) and 4 bleeding (central nervous system bleeding and fatal bleeds) are the most serious. In a pilot study where daily bleeding was assessed in the Dutch centres that currently participate in the PREPAReS, we found that 54% of patients present with grade  $\geq$  2 bleeding at some point in their clinical course.<sup>29</sup> As this is comparable to the STOP trial published in 2009, we used these pilot data for the power calculation of the PREPAReS.<sup>25</sup>

Secondary outcomes contribute to the evaluation of non-inferiority of pathogen reduced platelet products in this patient population: the frequency of 1 h and 24 h post-transfusion platelet increment failures (defined as 1 h CCI < 7.5 and 24 h CCI < 4.5), the percentage of days that bleedings <sup>3</sup> WHO grade 2 occur, the incidence of adverse reactions, the transfusion requirement of red cells and platelets and the platelet concentrate transfusion interval, and the incidence of HLA alloimmunisation. The evaluation of whether in vitro measures relate to in vivo outcomes measures as the 1h and the 24 h CCI is also defined as secondary outcome and is incorporated in several tertiary outcomes as explained ahead.

#### Tertiary outcome measures; linking in vitro quality tests to in vivo outcomes

The large cohort of patients randomised in the PREPAReS being closely watched for bleeding symptoms, creates possibilities for additional research questions. Outcome measures, focusing on the connection of preclinical and clinical outcomes with respect to safety issues (decrease of microbial transmission and HLA antibody formation) as well as other translational aspects are addressed in the PREPAReS trial. Prediction of individual bleeding episodes on clinical grounds, or with the aid of laboratory measurements, remains a blind spot in the aim of maximal prevention strategies.

In vitro platelet quality testing and relation with CCI

Currently, provided the pH is above the threshold for product release ( pH<sub>22</sub>, > 6.2), there are no in vitro measurements available that accurately predict platelet recovery, survival or haemostatic function in platelet concentrates.<sup>30-32</sup> A combination of individually well-established metabolic, activation and functional parameters of platelet concentrates, combined into one 'rating score', may predict either the 1 h and/or the 24 h CCI. For such an in vitro rating value, we selected assays that can be performed shortly before transfusion of the platelet concentrates. We selected three parameters for this rating system, each of which could play an independent role in predicting in vivo effectiveness of stored platelet concentrates for reasons discussed below.<sup>33</sup> First, we considered CD62P expression, an activation marker, on the platelet surface. A higher CD62P expression has been associated with enhanced platelet clearance from the circulation.<sup>34-37</sup> Second,

impaired platelet survival in animals was found to be associated with the apoptosis marker annexin A5 binding.<sup>38,39</sup> The third assay is the lactate concentration (as surrogate for lactate production) as a low lactate production rate is considered a good indicator of mitochondrial function.<sup>31,40,41</sup> The in vitro outcomes of each of these three parameters are scored from 0 to 2, where 0 points would indicate a poor quality and 2 points a good quality, rated on an arbitrarily chosen linear basis. The combined rating then results in a value between 0 (poor quality) and 6 (optimal quality; table 2).

Score	e 2 points	1 point	0 points	
In vitro test				
CD62P expression	< 20 %	20-30 %	> 30 %	
Annexin A5 binding	< 10 %	10-20 %	> 20%	
Lactate concentration	< 10 mM	10-20 mM	> 20 mM	

Table 2. Rating score for quality testing platelet concentrates.

By relating the in vitro rating scores with CCI values and haemostatic assessment data of the transfusion day and the day after, the relation between this combination of metabolic (lactate concentration), activation (CD62P) and apoptosis (annexin A5) parameters of transfused platelet concentrates, and the usefulness of combining these into one 'rating' value, will be evaluated. Other laboratory tests for platelet responsiveness of the product will be performed and linked to clinical outcome, including thromboelastography and dynamic light scattering (ThromboLUX, LightIntegra, Canada).<sup>42</sup> We apply the same tests also on patient samples prior to and after transfusion, to investigate if there is an improvement in these platelet function assays and how that relates to the tests performed on that platelet concentrate. The research staff performing daily bleeding assessments is blinded for the outcomes of the in vitro tests of the product and of patient samples. HLA antibodies

The Mirasol treatment not only inactivates proliferation of residual contaminating white blood cells in platelet products preventing graft versus host disease, but also impairs the antigen-presenting potential of treated leukocytes. Animal studies contribute to the hypothesis that the Mirasol procedure could play a role in reducing the alloimmunisation and induce tolerance to a subsequent allograft.<sup>43-47</sup> To further investigate these assumptions in the current study, samples are obtained from patients who are negative for HLA antibodies prior to transfusions, and analysed to investigate de novo or boostered formation of HLA antibodies during a follow-up period. These samples are collected weekly during hospitalisation up until day 28, and then on day 56, and tested in the Luminex-based assay for presence of HLA antibodies.<sup>48</sup> In a selected cohort of primed and unprimed patients, following the first on-protocol transfusion, the 1 h samples are analysed for induction of HLA class II molecules on T-cells of the

donor (if detectable) and recipient, and at day 56 blood is collected and frozen processed for evaluation of persisting donor cells.

#### Endothelial damage

Cytotoxic therapy for haemato-oncological disease plays a central role in the occurrence of a bleeding event.<sup>49-51</sup> Maintenance of vascular integrity is important to prevent microand macrovascular bleeding symptoms. Endothelial damage is a presumed mechanism for increased (transfused) platelet consumption.<sup>52</sup> A subset of patients included in the PREPAReS trial (this depends on hospital participation) undergo serum CRP and urine testing for albumin twice weekly. These laboratory markers for endothelial damage<sup>53,54</sup> will be analysed in relation to the extent of the bleeding tendency patients develop during the platelet transfusion period.

## Tests for commensal viruses

The pathogen reduction technology applied in the PREPAReS study aims to reduce the transmission of pathogens. The actual risk of viral transmission is in the order of 1 in 1 million or less, and for clinically relevant transmission of bacteria about 1 in 70,000 to 1 in 118,000.<sup>7,55</sup> Hence, the PREPAReS trial is not powered to detect prevention of transmission of pathogens. Commensal viruses in the blood supply are not screened for, as they are present in healthy blood donors, and are thought to be non-pathogenic, albeit this may not the case for (premature) infants and immunocompromised patients. Because of their universal presence in humans, commensal viruses can therefore serve as surrogate marker for pathogen reduction efficacy. Transmission of commensal viruses during transfusion can be most efficiently tracked using the highly genetically diverse commensal anelloviruses, of which numerous strains can be readily distinguished by their distinct DNA sequences. Genetic comparisons of the strains of anelloviruses in donor and recipient samples therefore offer a sensitive system to confirm viral transmission during transfusion and to detect its elimination in patients that receive Mirasol-treated platelet units.<sup>56</sup>

#### Assessment of bleeding

During the transfusion period, patients are screened for bleeding every day by a member of the study team. Every assessor is trained by an instruction slide set on the study website, which discusses usual and unusual or debatable bleeding sites and presentations, followed by a test. The assessments are performed by physical examination and patient interviews, as well as checking hospital medical and nursing charts. In Canada, a validated patient self-assessment form is used on weekends,<sup>57</sup> with additional follow-up at the beginning of the week by reviewing the patient's medical record and communicating with the care givers who were on service over the weekend period to ascertain if bleeding has occurred.

#### Blinding

Because the Mirasol-treated platelet products show a colour difference that does not allow proper blinding to the treatment arm, the study is not blinded for research personnel evaluating bleeding signs and symptoms. Nurses involved in giving the transfusion or assisting with data collection other than bleeding may be aware of the randomisation results. The actual allocation must not be disclosed to the staff members responsible for the adjudication process, the monitors, the sponsor. In none of the corresponding patient documents the allocation is disclosed.

#### Grading the bleeding

The grade of bleeding is assigned later by three independent adjudicators blinded to the patient's treatment allocation, based on the specified WHO bleeding scale.<sup>26</sup> If discrepancies occur, a consensus meeting is organised to make a final decision on the bleeding grade. In addition, an automated algorithm for computer adjudication on consecutive haemostatic assessment data sets was developed, and showed an excellent relationship with 'human' adjudication.<sup>58</sup> Human and computerized adjudication is performed independently once the data manager has given permission to adjudicate a subsequent series of 60 participants with complete data. In the event that discrepancies occur between the manual and computerized adjudication, agreement arrives through consensus. The blinded adjudicators also answer any questions or issues concerning unclear bleeding symptoms from participating sites through the study by email.

#### Adverse events

Adverse events are, according to the definitions, any unfavourable or unintended event affecting patients on study. In the case of prolongation of hospitalisation, death or significant clinical sequelae these events are defined as serious adverse events (SAEs) and the study sponsor (Sanquin) and the Data Safety Monitoring Board (DSMB) will be informed at short notice. During protocol treatment all deaths, all SAEs that are life-threatening and any unexpected SAE must be reported to the Sanquin data manager using the SAE web form within 48 h of the initial observation of the event. The protocol treatment period is defined as the period from the first study-related platelet transfusion until 30 days after the last study-related platelet transfusion, or until the start of another systemic anticancer treatment cycle, if that occurs earlier. All details should be documented on the SAE and death report. Reports will also be sent to the local Canadian REBs and to Health Canada, according to pre-established criteria. Further, any death (regardless of cause) that has come to the attention of the study staff, occurring more than 30 days after the last study-related platelet transfusion, must be reported to the Sanquin data manager using the death report web form.

Safety aspects of the study are closely watched by the DSMB, which receives unblinded data for its judgment. In the case of a suspected relation of the SAE to the pathogen-reduction technique, Terumo blood component technology (Terumo BCT) is notified.

#### Data management

In the PREPAReS trial, data are collected during a transfusion episode as defined (figure 1). Data collection is performed by trained local research staff and data entry in the ProMISe database is completed in a standardised fashion from two central research locations in Canada and the Netherlands. Other participating centres in the Netherlands

and Canada as well as the Norwegian centre send their paper case report forms (CRFs) to the data entry site. A central data manager performs and monitors data entry and looks after timely CRF delivery. Data on the CRF will include at least: scores of bleeding, baseline observations at entry, follow up observations, observations before, during and after platelet and red cell transfusions, adverse transfusion reactions, the parameters needed for calculating 1 h and 24 h CCIs. Any other parameters necessary to evaluate the study endpoints and reason for end of protocol treatment are also documented.

#### End of protocol treatment

Reasons for going off protocol are:

- Transfusion independency > 7 days or hospital discharge, whichever occurs first.
- Patient non-compliance (especially refusal to continue; the reason for discontinuation must be recorded in the source documentation and the Study Exit CRF).
- Intercurrent death.

Reasons for going off protocol treatment, but with full study monitoring, including daily scoring of bleeding, are:

- Serious adverse transfusion reactions necessitating other products;
- Immunological refractoriness.

#### Sample size and rationale for non-inferiority

The PREPAReS study is designed on a hypothesis of non-inferiority. Apart from the superior safety profile of the product to date,<sup>59</sup> the effectiveness of the product to prevent bleeding may be less than the control product to some extent. Previous studies indicated that in this specific patient group, on average, 50% of patients with thrombocytopaenia exhibit bleeding complications  $\geq$  grade 2 at any time while on study.<sup>25,27,29</sup> Based on discussions with clinicians and on the basis of earlier published studies,<sup>26</sup> the investigators agreed that up to a 12.5% increase in grade 2 or greater bleeding would be acceptable to still claim non-inferiority of the PR platelet product. Thus, non-inferiority will be concluded when the upper limit of the two-sided 95% confidence interval for the difference between the risk of  $a \ge \text{grade 2}$  bleeding in the Mirasol group compared to the control group is below 12.5%. Under the assumption of 50% risk in both groups the required number of patients to demonstrate non-inferiority with 80% power is 618 (309 per arm), taking into account the stopping rule as well as a 7% drop out of patients. For safety reasons, interim analyses are planned in this study after every 60<sup>th</sup> inclusion. A flexible stopping rule based on alpha and beta spending functions was designed, allowing stopping for non-inferiority or futility. The (unblinded) DSMB will, at each interim analysis, either give the green light for continuation or not; the study team remains blinded.

# Statistical analysis

## Primary outcome

The primary effect parameter is the difference in the probability of a WHO bleeding complication  $\geq$  grade 2 during one transfusion episode for pathogen reduced platelet concentrates compared to standard plasma-stored platelet concentrates. Patients can contribute more transfusion episodes to the study and the outcomes of different transfusion periods may be correlated within patients. Furthermore, the probability of a  $\geq$  grade 2 bleeding might depend on the number of the episode within a patient. The primary effect parameter is estimated according to a generalised estimating equation (GEE) approach using a generalized linear model with identity link and independence working correlation. Covariates in the model will be the treatment group, the episode number (dichotomised as first or later) and the interaction between these two covariates. The primary treatment effect is then calculated as a weighted mean of the effect in first episodes and in later episodes, where the weights are proportional to the numbers of first and later episodes in the study. If the upper bound of the two-sided 95% confidence interval is <12.5%, the reduced platelet treatment will be concluded to be non-inferior to standard plasma-stored platelet concentrates.

## Secondary outcomes

We only briefly describe the analysis of the most important secondary outcomes. Transfusion failures are defined as 1 h CCI < 7.5 and 24 h CCI < 4.5, where the 1 h and 24 h CCI are defined as:

 $\frac{\text{posttransfusion count-pretransfusion count (x10<sup>9</sup>/L) X body surface area (m<sup>2</sup>)}{\text{CCI}_{1/24h}} = \text{transfused number of platelets (x 10<sup>11</sup>/L)}$ 

Per episode, the failure rates are calculated as the number of failed transfusions as proportion of the total number of transfusions. Next, the failure rates are analysed by a GEE approach analogous to the primary outcome analysis. The 1 h and 24 h CCI will be analysed with a fully specified linear mixed model, taking into account the correlations between transfusions within episodes as well as between episodes within patients. The percentage of days that bleedings  $\geq$  WHO grade 2 occur per episode will be analysed following the GEE approach as for the primary outcome. The rate of alloimmunisation will be analysed using the log-rank test.

## Monitoring

The study will have monitoring for quality and regulatory compliance. In the Netherlands and Norway, monitoring will be performed by Sanquin. In Canada, monitoring of the participating sites and McMaster Transfusion Research Program (MTRP) (acting as the Canadian Clinical Research Officer (CRO)) will be performed through a contract with an external company that specialises in monitoring of clinical trials. The frequency depends upon inclusion rates, questions and pending issues from earlier audits: once or twice a year.

#### Ethics and dissemination

Ethics approval was first obtained in the Netherlands at the Medical Ethics Committee South West Holland (The Hague, The Netherlands). They judged the study design, ethics, interim analyses, the allowed level of non-inferiority, and safety rules. Upon approval, the study was started in the HAGA Teaching hospital in The Hague. Additional regional approval was obtained for the remaining three Dutch hospitals. In Norway, ethics approval was obtained from the Regional Committee for Medicine and Health Research Ethics (region West, Bergen, Norway). In Canada, ethics was obtained at each of the five sites from the local REB. The trial is registered with the Netherlands Trial Register NTR2106.

A manuscript with the results of the primary study will be published in a peer reviewed journal. Separate manuscripts will be written on each of the secondary aims, and these will also be submitted for publication in peer-reviewed journals.

On completion of the trial, and after publication of the primary manuscript, data requests can be submitted to the researchers at the Center for Clinical Transfusion Research, Sanquin Research, Leiden, The Netherlands.

## Discussion

Supportive care of patients with life-threatening haemato-oncological disease is an important issue. Photochemical pathogen reduction contributes to the risk reduction of transfusion with regard to transmitted infections.<sup>12</sup> It remains however unclear whether this improved safety is not outweighed by the potentially reduced clinical efficacy of PRT platelets, that is, decreased post-transfusion increments, increased platelet product consumption and even increased bleeding risk.<sup>9</sup> With regard to Mirasol-treated platelets, a recent RCT showed decreased post- transfusion increments but did not find an increase in bleeding complications.<sup>14</sup> The main goal of the PREPAReS study is to demonstrate non-inferiority of Mirasol-treated platelets as compared with standard untreated platelets using the percentage of patients with <sup>3</sup> WHO grade 2 bleeding as primary outcome. Alloimmunisation as well as in vitro platelet quality testing related to clinical outcome are two other important cornerstones of this study. This study will collect day-to-day data of over 600 patients, 2000 platelet transfusions and 24,000 days of follow-up allowing for several other important issues, for instance the capacity of the current WHO system to grade bleeding complications in predicting major bleeding complications, the possibility of testing other bleeding scales and serving as a platform for several site studies including albuminuria as a predictor for vascular damage, increased platelet consumption and, perhaps, bleeding.60-62

The potential reduction of alloimmunisation by the use of Mirasol-treated platelets would be of additive beneficial value, potentially even more clinical significant in comparison to the reduction of transfusion transmitted infectious diseases. Last but not least, linking in vitro platelet product quality markers potentially enables us to improve the preclinical testing of novel platelet products.

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Study protocol for the PREPAReS trial