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Physiological measurements of the effect of cord clamping strategies

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CHAPTER 2

The effect of breathing on venous return in infants at birth: an observational study

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ABSTRACT

OBJECTIVE

To investigate the effect of spontaneous breathing on venous return in term infants during delayed cord clamping at birth.

METHODS

Echocardiographic ultrasound recordings were obtained directly after birth in healthy term-born infants. A subcostal view was used to obtain an optimal view of the inferior vena cava (IVC) entering the right atrium, including both the ductus venosus (DV) and the hepatic vein (HV). Colour Doppler was used to assess flow direction and flow velocity. Recordings continued until the umbilical cord was clamped and were stored in digital format for offline analyses.

RESULTS

Ultrasound recordings were obtained in 15 infants, with a median [IQR] gestational age of 39.6 [39.0-40.9] weeks and a birth weight of 3560 [3195-4205] g. Flow was observed to be antegrade in the DV and HV in 98% and 82% of inspirations, respectively, with flow velocity increasing in 74% of inspirations. Retrograde flow in the DV was observed sporadically and only occurred during expiration. Collapse of the IVC occurred during 58% of inspirations and all occurred caudal to the DV inlet (100%).

CONCLUSION

Spontaneous breathing was associated with collapse of the IVC and increased antegrade DV and HV flow velocity during inspiration. Therefore, inspiration appears to preferentially direct blood flow from the DV into the right atrium. This indicates that inspiration could be a factor driving placental transfusion in infants.

INTRODUCTION AND RATIONALE

It is well established that delayed cord clamping (DCC) is beneficial to preterm and term infants⁽¹⁻³⁾ and it is therefore currently recommended for all infants not needing immediate resuscitation.⁽⁴⁾ However, the underlying mechanisms behind these benefits are unclear. Studies have demonstrated that delaying cord clamping until after breathing onset, maintains cardiac output throughout the fetal-to-neonatal transition by ensuring continued umbilical venous return to the heart. Similarly, DCC enables the net movement of blood volume from the placenta to the neonate (placental transfusion), which is also dependent on sustaining umbilical venous return into the infant.⁽¹⁻³⁾ However, despite placental transfusion being verified in several clinical studies, the underlying physiological mechanisms remain elusive.⁽⁵⁻⁷⁾ Possible mechanisms for placental transfusion include the effects of gravity and uterine contractions.^(8, 9) However, the effect of gravity has recently been refuted in experimental and human studies,^(10, 11) and experimental studies have shown that uterine contractions markedly reduce (rather than increase) umbilical venous blood flow (UVBF).^(12, 13)

It has also been suggested that spontaneous breathing during DCC enhances umbilical venous return by generating sub atmospheric intrathoracic pressures that increase umbilical venous inflow and reduce umbilical arterial outflow from the infant. This could explain the maintenance of cardiac output and net increase in neonatal blood volume when DCC occurs after breathing onset.^(13, 14) Indeed, studies using residual placental blood volumes as a measure for placental transfusion have shown that the onset of respiration prior to cord clamping reduces residual placental blood volume.^(15, 16) Ultrasound studies of umbilical blood flow in human fetuses have also shown that fetal breathing movements (FBM) are associated with distention of the umbilical vein and an increase in UVBF. In contrast, the inspiratory phase was associated with a concentric reduction in the cross section of the inferior vena cava (IVC), resulting in significantly reduced flow.^(17, 18) We previously used ultrasonography during DCC after birth to show that umbilical blood flow continues much longer than assumed prior to that study and that spontaneous breathing influences UVBF. However, the exact relationship between inspiration/expiration and umbilical blood flow could not be defined since inspiration and expiration were not specifically recorded.⁽¹⁴⁾

We recently examined the effect of spontaneous breathing on UVBF in newborn lambs during DCC and surprisingly found that inspiration causes UVBF to decrease or cease.⁽¹⁹⁾ This was attributed to constriction of the IVC at the level of the diaphragm during inspiration, as previously observed in human fetuses with antenatal ultrasound.⁽¹⁸⁾ In fetal sheep, the umbilical venous blood traverses the ductus venosus (DV) and passes into the IVC before entering the thorax. It then

passes along a 3-4cm segment of the intrathoracic IVC before entering the right atrium (RA) or foramen ovale. As such, constriction of the IVC at the diaphragm was thought to also reduce UVBF through the DV.^(20, 21) However, in humans the abdominal IVC ends in a funnel-like venous structure, which also contains the orifices of the hepatic vein (HV) and the DV. This subdiaphragmatic venous vestibulum is located directly below and at the level of the diaphragm, before entering the RA (figure 1).⁽²²⁾ It is unclear whether inspiration interferes with UVBF in humans as it does in sheep based on this anatomical difference. We hypothesise that in humans, inspiration causes an increase in UVBF, as occurs antenatally, at the expense of flow through the IVC, thereby prioritising umbilical venous return to the heart directly after birth. Our aim was to investigate the effect of spontaneous breathing on umbilical venous return by measuring both blood flow in the DV, as a measure of UVBF, and the diameter of the IVC.

METHODS

A prospective observational study was performed from February 2018 until September 2019 at Leiden University Medical Centre.

Study population

Infants born vaginally between 37 and 42 weeks of gestational age were eligible if they were born after an uncomplicated and low-risk pregnancy to a multiparous woman. Infants were excluded if they were known or suspected to have congenital heart or pulmonary conditions, if the infant needed respiratory support or if additional oxygen during transition was required. Written parental consent was obtained prior to birth.

Equipment and procedures

Directly after birth, infants were dried and placed on the woman's chest with an intact umbilical cord, as per standard of care. Infants were placed in a supine position, before ECG leads were attached and ultrasound measurements commenced. If placement of the infant in a supine position was determined to be undesirable by the midwife for any reason, if the umbilical cord was too short, or if one of the parents felt uncomfortable with repositioning the baby, the study procedure was discontinued and the infant excluded from the study.

Recordings were performed using a Vivid-S6 or Vivid-S60 (GE Healthcare) echocardiographic ultrasound machine with a neonatal/paediatric 6S probe. The probe was placed gently over the subcostal region and slowly rotated and tilted until an optimal view of the IVC entering the RA was obtained, which also included both the DV and the HV (figure 1). Recordings continued until the umbilical cord was clamped, at the discretion of the midwife, and recordings were stored in digital format for offline analyses. In the event that parents withdrew consent, the woman or the neonate needed medical support, or for any other reason the umbilical cord needed to be clamped prior to finishing the ultrasound examination, measurements were discontinued immediately.

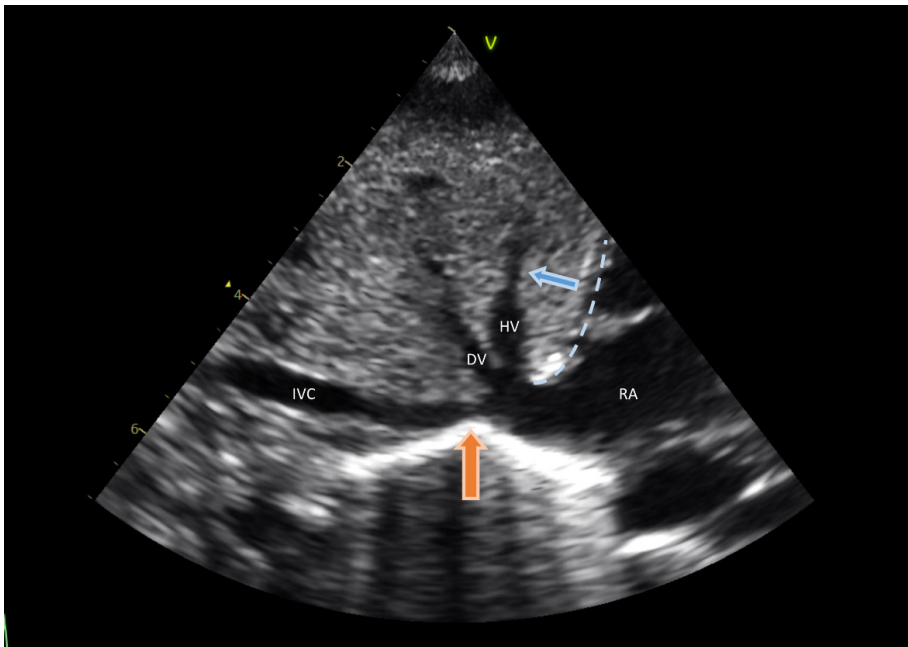


Figure 1 | Subcostal view of the inferior vena cava (IVC) entering the right atrium (RA) with both the ductus venosus (DV) and hepatic vein (HV) visible Dashed line: location of the diaphragm. Blue arrow: direction of diaphragm movement with inspiration. Orange arrow: location of IVC collapse, directly caudal of DV inlet.

Measurements

Respiration

Spontaneous breathing was observed by diaphragm movements visible in all recordings. Caudal or caudal-anterior movement of the diaphragm was defined as inspiration, whereas cranial or cranial-posterior movement was defined as expiration (figure 1). Both inspiration and expiration were measured separately and as part of a complete respiration cycle including inspiration and expiration within one recording. If no diaphragm movement was visible, this was considered a 'respiratory pause', which may occur after both inspiration (expiratory hold; helps maintain functional residual capacity (FRC) and clear lung liquid) and expiration (postexpiratory hold).⁽²³⁾

Ductus venosus

A subcostal view was used to visualise both the DV and the HV. Colour Doppler was then used to visualise flow direction in both vessels during inspiration, expiration and respiratory pauses. Flow velocity in the DV and HV was assessed with colour Doppler using M-mode. Increase of flow was evaluated as a visual increase of colour in the respective vessel (figure 2). Flow direction was defined as antegrade (towards the infant) if the majority of flow during a respiratory phase was antegrade, which was assessed with colour Doppler using M-mode. Flow was defined as retrograde, if the majority of flow during a respiratory phase was retrograde (away from the infant).

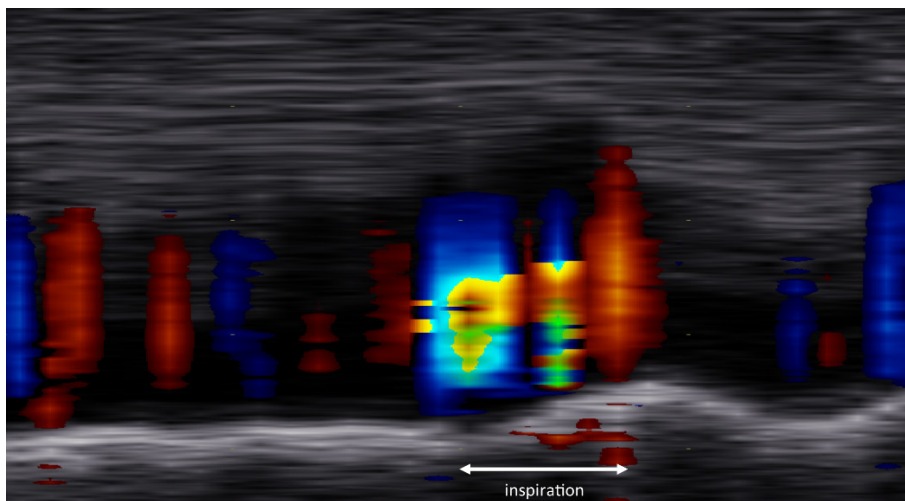


Figure 2 | Increasing antegrade flow (blue) in the hepatic vein during inspiration

Inferior vena cava

Colour Doppler flow measurements in the IVC were unavailable due to the 90° angle of the subcostal view. IVC diameter (IVC-Dia) was measured using standard two-dimensional grey scale images of the subcostal view. Change in IVC-Dia was measured for every recorded inspiration movement, when the IVC was visible over the entire length. If a change in IVC-Dia was present during inspiration, the location of this diameter change was noted as either cranial or caudal to the DV inlet into the subdiaphragmatic venous vestibulum or at the DV.

Statistical analysis

Data are presented as mean ($\pm$ SD), median [IQR] or number (%) where appropriate. SPSS V.24.0 software for Windows was used for the database and statistics.

RESULTS

A total of 17 infants were included in the study, although ultrasound measurements could not be performed in 2 infants due to complications at birth, that is, shoulder dystocia and postpartum haemorrhage. Thus, ultrasound recordings were obtained in 15 infants, with a median [IQR] gestational age of 39.6 [39.0 - 40.9] weeks and a birth weight of 3560 [3195 - 4205]g (table 1). Measurements were obtained over a median time of 06:35 [05:12-07:56]min.

Table 1 | Baseline characteristics

Maternal characteristics	
Maternal age	33 [30 - 35]
Gravidity	3 [2 - 4]
Parity	3 [2 - 3]
Neonatal characteristics	
Gestational age, weeks	39.6 [39.0 - 40.9]
Female	7 (46.7)
Birthweight, grams	3560 [3195 - 4205]
Apgar 1 min	9 [9 - 9]
Apgar 5 min	10 [10 - 10]
Apgar 10 min	10 [10 - 10]

Data presented as median [IQR] or n (%)

Using direct assessment of diaphragm movement, we were able to observe 129 inspirations and 118 expirations, of which 104 respiratory cycles were complete and thereby included both inspiration and expiration, with or without a respiratory pause, within one recording. The median recorded respiratory cycles per infant were 5 [3-11]. However, due to the short duration of the recordings, it was not possible to distinguish between respiratory pauses that occurred after inspiration or after expiration. Therefore, all observations of ‘respiratory pauses’ were combined.

Flow in DV and HV

DV and HV flow was observed in all infants. Antegrade flow in the DV was observed in 56 of 57 (98%) inspirations, 27 of 46 (59%) expirations and 33 of 41 (81%) respiratory pauses. Retrograde flow occurred sporadically in the DV and was only observed in 2 of 46 (4%) expirations (table 2).

Table 2 | Flow direction in the DV and HV during respiration

DV	Antegrade flow	Retrograde flow	High frequency bidirectional flow
Inspiration (N=57)	98%	0%	2%
Expiration (N=46)	59%	4%	37%
Respiratory pause (n=41)	81%	0%	19%
HV			
Inspiration (n=61)	82%	0%	18%
Expiration (n=72)	25%	13%	62%
Respiratory pause (n=52)	17%	0%	83%

Data presented as (%)

Antegrade flow in the HV was observed in 50 of 61 (82%) inspirations, whereas in 45 of 72 (62%) expirations and 43 of 52 (83%) respiratory pauses an alternating bidirectional (antegrade/retrograde) flow pattern occurred at a high frequency, which was approximately twice the heart rate (figure 3). Retrograde flow in the HV also occurred sporadically and was only observed in 9 of 72 (13%) expirations. Evaluation of flow increase in either the DV, the HV or in both vessels shows that antegrade flow velocity increased during 56 of 76 (74%) inspirations and during 12 of 50 (24%) respiratory pauses. Increases in retrograde flow velocity occurred in 9% (7 of 79) expirations.

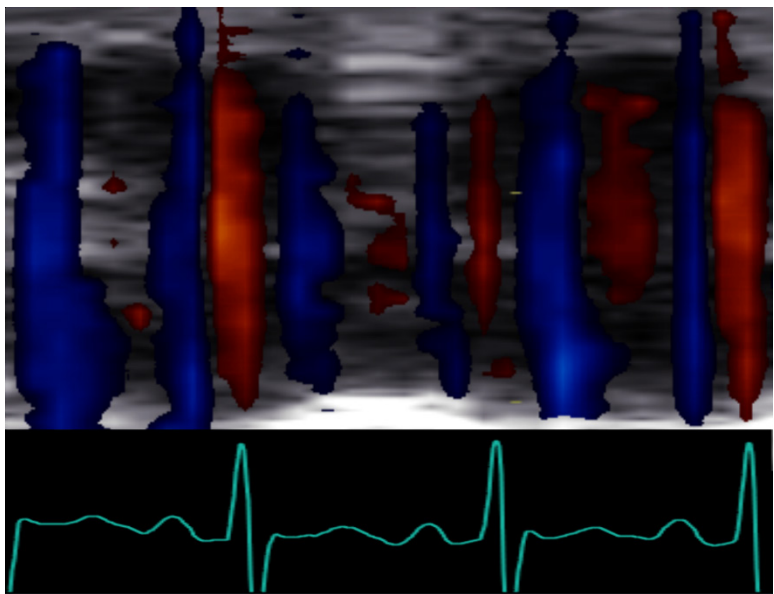


Figure 3 | Alternating antegrade (blue) and retrograde (red) blood flow in the hepatic vein with corresponding ECG signal

Diameter of IVC

IVC-Dia could be reliably measured in all infants. The IVC collapsed in 66 of 101 inspirations and respiratory pauses, but in only 7 of 67 (10%) expirations (table 3). This collapse always (72 of 72, 100%) occurred caudal to the DV inlet into the subdiaphragmatic venous vestibulum. Of these constrictions, 64 of 72 (89%) occurred directly below the DV inlet (figure 1), with a median change in diameter of 2.6 [1.9-3.5]mm (from 3.6 [2.7-4.5]mm to 0.0 [0.0-2.1]mm) whereas in 11% the IVC constriction occurred further upstream. On these occasions, the collapse occurred 10 [9.3-10.2]mm caudal to the DV inlet into the vestibulum, with a median change in diameter of 2.2 [2.0-2.8]mm (from 4.1 [3.4-5.3]mm to 2.1 [1.6-2.5]mm).

Table 3 | Collapse of the IVC during respiration

	Inspiration (n=69)	Expiration (n=67)	Respiratory pause (n=32)
Collapse	40 (58)	7 (10)	26 (81)
Complete	23 (58)	5 (71)	5 (19)
Incomplete	17 (42)	2 (29)	21 (81)
No Collapse	29 (42)	60 (90)	6 (19)

Data are noted as n(%).

DISCUSSION

We used ultrasound to assess the effect of breathing on DV and HV blood flow in human infants at birth prior to umbilical cord clamping. We observed that breathing was associated with an increase in antegrade blood flow in both the DV and the HV during inspiration. While blood flow continued in antegrade direction in the DV during both expiration and respiratory pauses, blood flow in the HV became bidirectional and alternated at high frequency (approximately twice the heart rate). Furthermore, inspiration was associated with collapse of the IVC, resulting in an obstructed IVC flow as has previously been described in human fetuses.⁽¹⁸⁾ These observations indicate that inspiration facilitates and prioritises umbilical venous return from the placenta into the heart during DCC. Thus, breathing could contribute to placental transfusion during DCC, and as such the presence or absence of breathing may be a complicating factor in previous placental transfusion studies.⁽²⁴⁾

Our observations of the effect of inspiration on DV and HV in term infants are in line with previous antenatal ultrasound studies of breathing movements in humans. Kiserud *et al.*⁽²⁵⁾ demonstrated a 10-fold increase in pressure gradient between the DV and IVC during inspiration. Nyberg *et al.*⁽¹⁷⁾ showed that umbilical blood flow increased by 42% during inspiration, when measured at the placental end of the umbilical cord.⁽¹⁷⁾ Our current findings confirm those of a previous study in which we observed increases and decreases in umbilical blood flow that appeared to be related to breathing.⁽¹⁴⁾ However, when we examined the underlying physiology using a spontaneously breathing lamb model, we were surprised to find the opposite effect, whereby UVBF decreased during inspiration.⁽¹⁹⁾ In contrast to humans, lambs have a lengthy (3-4cm) intrathoracic segment of the IVC and so it is possible that this difference in anatomy is responsible for the opposite effects on UVBF during breathing. That is, as the DV joins the IVC before it enters the chest in fetal sheep, the effect of diaphragmatic contraction on IVC blood flow also reduces DV flow. If this is correct, it is likely that the highly significant benefits of physiological-based cord clamping (lung aeration and breathing onset before cord clamping) that have been demonstrated in sheep are even greater in humans.

Presumably, a complete collapse of the IVC directly below the DV inlet during inspiration, causes a cessation of IVC flow. When combined with an increase in antegrade flow in both the DV and the HV, this would result in preferential blood flow streaming from the DV into the RA. This could explain the increase in neonatal blood volume during DCC in spontaneously breathing infants. It would also explain why infants who breathe prior to cord clamping, have less residual placental blood volume, that is, more placental transfusion.⁽¹⁶⁾

The IVC was observed to collapse caudal to the DV inlet during inspiration, which is a similar location to the IVC collapse observed previously during FBM, which was associated with a concentric reduction in IVC-Dia. It is possible, however, that our results represent an underestimation of IVC collapse. Namely, we excluded recordings in which the IVC was not visible over the entire length to correct for the potential influence of partial volume effect/movement of the IVC out of the imaging plane and to ensure an optimal and accurate assessment of the IVC collapse. However, excluding these recordings could have influenced our results and led to an underestimation of the true relationship between IVC collapse and inspiration.

Interestingly, we found a high-frequency bidirectional flow pattern in the HV that is unlikely to be respiratory in origin as it was most commonly observed during respiratory pauses or expiration. However, as the measured frequency was approximately twice the heart rate, we speculate that it is due to atrial pressure changes during the cardiac cycle resulting in retrograde pressure waves within the HV. This is evidenced by aligning the individual components of the ECG to the observed flow patterns in the HV (figure 3). Atrial pressures increase twice per cardiac cycle, once during atrial contraction and again at maximum atrial filling immediately before the atrioventricular valves open.⁽²⁶⁾ Indeed, retrograde flow in the HV has been described during atrial contraction (a-wave), but also during maximal atrial filling (v-wave).⁽²⁷⁾ It is possible that the immaturity (and therefore stiffness) of the preterm heart increases this effect, resulting in retrograde flow in the HV twice per cardiac cycle. As this high frequency bidirectional flow pattern was most commonly seen during respiratory pause and expiration, it is likely that this cardiac effect on HV blood flow is diminished by the negative intrathoracic pressure created during inspiration.

Retrograde flow in either the DV or the HV was observed sporadically and only during expiration. While retrograde flow in the HV has been described during atrial contraction and atrial filling,^(26, 27) antenatal presence of retrograde DV flow is often an indication of placental insufficiency and cardiac compromise in infants with severe growth restriction.⁽²⁸⁾ Unfortunately, antenatal DV Doppler measurements were unavailable in this study. However, as we only included healthy infants of low-risk pregnancies, it is highly unlikely that the retrograde flow observed in this study was an extension of antenatal flow patterns based on placental insufficiency and/or cardiac compromise.

Obtaining these measurements directly at birth has proven to be challenging and we were only able to collect data from a small group of healthy term infants. The infant was placed on the mother's chest and measurements may have been influenced by movement from the infant itself, or by repositioning or stimulation of the infant by the parents and caregivers, which limited the number of reliable

measurements. Although a larger study would be needed to confirm our findings, the observations made in this small group of infants were quite consistent, making generalisation of our results plausible.

CONCLUSION

Blood flow in both the DV and HV is predominantly antegrade and increases during inspiration in healthy term-born infants. Interestingly, collapse of the IVC during inspiration consistently occurred caudal to the DV inlet and therefore had minimal impact on umbilical venous return, unlike in sheep. Instead, breathing during DCC tends to preferentially direct umbilical blood flow through the DV and into the RA. As such spontaneous breathing may partially explain the net increase in neonatal blood volume by providing the driving force for placental transfusion.

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