

## Business incubators: the impact of their support

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## Appendices

The list of Appendices consists of ten parts as given below.

Appendix A: From Codes to Categories
Appendix B: Invitation Letter to Participate in a Research
Appendix C: The Measurement Instrument (Questionnaire)
Appendix D1: Correlation Matrix of Two Supports by Business Incubator Scales and Innovation Strategy
Appendix D2: Correlation Matrix of Two Moderators
Appendix E1: Results of Kaiser's Criterion for Independent Variables
Appendix E2: Results of Kaiser's Criterion for Moderators
Appendix F: Syntax to Perform Parallel Analysis in SPSS
Appendix G: Residual Plots of The Variables Used in the Regression Analysis
Appendix H: Results of Common Method Bias

## **APPENDIX A: FROM CODES TO CATEGORIES**

Appendix A presents the 36 codes obtained from interviews with entrepreneurs, and classifies them into the five different categories.

No.	Codes	Categories
1	Attract big cooperation and companies by incubator	Access to the networks
2	Partnership	
3	Cooperation with different well-known companies by	
	incubator	
4	networks of incubators	
5	Strong communication of the incubator	
6	Get relationship with big companies by NTBF	
7	Interaction with university	
8	Reaching customers by NTBF	
9	Meeting Potential Customers/VS/Advisors	
10	Synergy	
11	Meetups/events	
12	Engagement	
13	Brand visibility	Creation of exposure
14	Reputation/ credibility	
15	Increase awareness about NTBF's brand, product, service	
16	Being present in the incubators' social media	
17	Knowledge creation	Knowledge
18	Learning from other startups	development and
19	Advisory / coaching	dissemination
20	Access to a default platform for legal issues	
21	Knowledge diffusion and development	
22	Develop personal skills	
23	Interactive Training	
24	Mentoring	
25	Evaluate the progress	Growth control
26	Evaluate the problems	
27	Monitoring	
28	Set Milestones by incubator	
29	Get loan	Finance and
30	Fundraising	administrative
31	Venture Capital	mobilization
32	Financial sponsor	
33	Facilities	
34	IT infrastructure	
35	Place to work	
36	Administrative Services	

### APPENDIX B: INVITATION LETTER TO PARTICIPATE IN A RESEARCH

Appendix B shows the invitation letter of the survey for the entrepreneurs. This letter addresses the objective of the survey.



Dear Founder and Entrepreneur.

I invite you to participate in a research study entitled "Business Incubators: How effective are they?"

I am Negin Samaee a Ph.D. candidate in the field of Innovation Management in Leiden University. The purpose of the research is to determine the effect of the supports by business incubators or accelerators on the performance of startups. The enclosed questionnaire has been designed to collect information on the founder's opinion on the received support by business incubators. Your participation in this research project is completely voluntary. Your responses will remain confidential and anonymous. Data from this research will be kept and reported only as a collective combined total. No one other than the researchers will know your individual answers to this questionnaire.

If you agree to participate in this project, please answer the questions on the questionnaire as best you can. It should take approximately 10-15 minutes to complete. If you have any questions about this project, feel free to contact: <a href="mailto:n.samaeemofrad@liacs.leidenuniv.nl">n.samaeemofrad@liacs.leidenuniv.nl</a> or to my LinkedIn account (Negin Samaee)

Thank you for your assistance in this important endeavor.

Sincerely yours,

Negin Samaeemofrad	Prof. Jaap van den Herik
Ph.D. Candidate, Leiden University	Graduate School of Mathematics
	Leiden University

#### APPENDIX C: THE MEASUREMENT INSTRUMENT QUESTIONNAIRE

Appendix C demonstrates the questionnaire that we disseminated among entrepreneurs to collect data. The questionnaire gathers (1) general information from the participants (Q1-Q4) and information on the supports by BIs and the performance of the NTBFs (Q5-Q16).

Q1: Email:

Q2: Name of Business Incubator/Accelerator

Q3: Prior working experience:

First working experience Consultant University and other R&D organizations High-Tech firm Others:

Q4: Graduate degree in:

Computer science Mathematics Physics Chemistry Economics and Business Biology Others:

Q5: Number of Founders:

Q6: Please state the year of your firm's establishment (start to work)

Q7 What is the total number of employees in your team? Number of Full-Time Employees

Part-Time Employees

Q8 How many employees do you increase since last year? Q9 Please indicate the industry of your business.

> Computer and software industry (e.g., AI, Blockchain) Energy industry ICT Life science Healthcare and MedTech Manufacturing industry Robotics

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Agriculture General services Aerospace and aviation industry Complex technologies (e.g., Nanotech, CleanTech) Others:

Q10 Please indicate whether or not your organization has a patented technology. Yes / No  $\,$ 

Q11 Has your firm produced one or more new products and/or services in the last two years?

Yes / No

Q12 Please assess the extent to which you agree or disagree with the following statements.

Stater	nent	Strongly agree	Agree	Somewhat agree	Neither agree nor disagree	Somewhat disagree	Disagree	Strongly disagree						
(1)	The t impro	echnology ar ovement.	nd innova	tion behind o	ur existing p	products and s	services nee	d						
(2)	We invest in the development of new technologies, patents, products and /or services that are completely new to our company.													
(3)	We aim to develop new products or services.													
(4)	We invent new products and/or services.													
(5)	We intend to add small adaptations to existing products and/or services.													
(6)	We regularly attempt to use optimize resources, as well as less time and less money in producing our existing products and/or services.													
(7)	We re custo	egularly mor mer needs.	nitor our e	xisting produ	cts and /or s	ervices to be	aligned witl	h						
(8)	We h mark	ave plan to i ets.	ncrease th	e amount of	production a	nd/or service	s in our exis	sting						
(9)	Our c	company bui	lds new di	istribution ch	annels.									
(10)	We re	egularly sear	ch for nev	w approaches	into new m	arkets.								
(11)	We u	tilize new op	oportunitie	es in new mai	rkets.									
(12)	) Our company develops at least two new services each year for our existing clients.													
(13)	) We introduce improved our existing products and services for our existing market.													

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Q13 Business incubators attempt to support their tenants via offering businessoriented training programs. Please indicate the extent to which you find them effective in the development of your business.

Statement	Extremely satisfied	satisfied	Slightly satisfied	Neither satisfied nor dissatisfied	Slightly dissatisfied	dissatisfied	Extremely dissatisfied							
(1) Marketing strategy and sales management skills														
(2) Neg	(2) Negotiation and communication skills													
(3) Busi	iness strategy	y and agile	manageme	ent										
(4) Hun	nan Resource	e Managen	nent											
(5) Financial statements, tax, contracts, protectability (Intellectual Property)														

## Q14 Please indicate the extent to which you are satisfied or dissatisfied with the following support offered to your business.

Statement	Extremely satisfied	satisfied	Slightly satisfied	Neither satisfied nor dissatisfied	Slightly dissatisfied	dissatisfied	Extremely dissatisfied								
(1)	Adviser's	availabilit													
(2)	Adviser's	Adviser's expertise and experience													
(3)	Organizat	Organization of meetings with your adviser (duration, frequency, and efficiency)													
(4)	There is a between y	relationsh you and yo	ip based on ur adviser.	trust. respect a	nd compliand	ce with a mos	ral contract								
(5)	Increase i	n self-conf	ïdence as a	result of the ad	visory experi	ience									
(6)	Access to with an ac	a more ex lviser	tensive targ	eted network of	f contacts due	e to the colla	boration								
(7)	Achieve r	eal, observ	vable results	for your busin	ess through t	he advisory p	process								
(8)	Adviser offers guidance regarding your successes, failures and methods for improving your business practice														

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Q15 Business incubators attempt to support their tenants via the access to different capital resources. How do you evaluate their fundraising attempts to get access to capital resources for your business?

Statement	Extremely satisfied	satisfied	Slightly satisfied	Neither satisfied nor dissatisfied	Slightly dissatisfied	dissatisfied	Extremely dissatisfied						
(1) F	or governmen	tal subsidy											
(2) F	(2) For Venture Capital funds, Private investors												
(3) F	or philanthrop	y (donation	18)										
(4) F	(4) For a loan from your business incubator												
(5) F	or strategic al	liance or pa	rtnership v	vith establishe	d firms								

Q16 Emphasis is on characteristics of your relationship between your firm and whoever (e.g., customers. users. advisers) from whom you may obtain or exchange new information or useful knowledge. Please indicate the degree of agreement or disagreement with the following statements.

Stat	ement	Strongly agree	Agree	Somewhat agree	Neither agree nor disagree	Somewhat disagree	Disagree	Strongly disagree							
(1)	Your firm has a close relationship with its customers that is characterized by mutual trust and respect														
(2)	) Our team is able to understand knowledge from outside our business focus or industry-niche														
(3)	) There are many informal conversations and formal meetings in our organization to discuss the development of our business practice														
(4)	Our tea	am publishes	informative	e documents p	periodically (e.g.	, reports. bull	etins)								
(5)	When s and the	something im knowledge i	portant occ is shared an	urs. all memb nong all mem	bers of our team team team team team team team team	are informed iization	within a sho	ort time,							
(6)	We fre	quently pivot	t our busine	ss based on th	ne obtained know	vledge from o	outside								

Q17 Please indicate the extent to which you rate yourself regarding your ability in raising capital.

State	ment	Strongly agree	Agree	Somewhat agree	Neither agree nor disagree	Somewhat disagree	Disagree	Strongly disagree							
(1)	) I am able to evaluate to risk well														
(2)	) Our team has direct and relevant experience														
(3)	) Our customers easily adapt to our product														
(4)	Our p	roduct is read	ly to mark	et											
(5)	People	e can NOT co	opy our pr	oduct/ service											
(6)	Our p	roduct meets	customer	needs											
(7)	We ha	we a realistic	marketin	g plan											
(8)	) There is a large market for our product (Over 20 Million \$)														

Q18 Regarding measuring the performance of your firm. please indicate to what extent you are satisfied with the following statements.

Statement	Extremely satisfied	satisfied	Slightly satisfied	Neither Slightly satisfied satisfied nor dissatisfied		dissatisfied	Extremely dissatisfied							
(1) Meet the planned milestones as scheduled														
(2) Able learni	(2) Able to achieve the defined business goals (excluding personal development and learning goals)													
(3) Devel	oping my bus	iness and ma	anagement s	kills										
(4) I am s	satisfied with t	the income												
(5) I am s	(5) I am satisfied with the process of business development													

## APPENDIX D1: CORRELATION MATRIX OF TWO SUPPORTS BY BUSINESS INCUBATOR SCALES AND INNOVATION STRATEGY

Appendix D1 describes the correlations between three measurement scales of the support by business incubators. These scales are: Innovation Strategy (Q1-Q12), Knowledge development (Q13-Q26), and finance mobilization (Q27-Q31).

631																																-
<b>(</b> 30																															-	.416
62)																														-	.312	542
63																													-	.635	.427	.58
62																												-	.522	404	- <del>8</del>	589
Ś																											-	.216	. 159	070.	031	.133
025																										-	.416	.352	266	.134	.278	.265
Q24																									-	.637	.421	.381	.324	295	£	.337
ŝ																								-	.636	579	.479	404	.381	.161	.149	.341
3																							-	.745	671	.522	.412	.446	.337	.130	.254	.280
63																						-	.654	.682	.618	.555	.587	.314	.364	.178	.173	.211
620																					-	38	.319	345	.259	.271	.138	.296	342	.189	.312	304
619																				-	.750	.230	.223	.305	.258	.186	.198	309	.356	204	.165	.423
QI8																			-	<u>8</u>	.585	.145	.158	.238	.134	610.	.198	.156	220	.078	.150	.363
QI7																		-	.745	5	.656	.196	.190	.272	.190	44.	.283	.223	.227	.138	.149	.323
QI6																	-	.616	.534	.638	699.	.264	.253	.326	208	.188	210	.240	.410	233	201	369
QIS																-	999.	.560	.489	.652	<u>46</u>	.163	.235	.336	.126	.116	.110	.198	.320	.216	.213	.417
QI4															-	.682	.539	.534	.425	.547	.424	.192	.313	.394	.296	322	ŝ	.253	257	760.	.127	370
QI3														-	.793	.677	.523	.545	.435	.492	.391	.171	.282	439	.310	.254	.273	.298	.277	.143	.115	339
S													-	.124	040.	.042	.200	.096	.123	027	.096	600	.025	.063	.133	.208	<u>8</u>	.010	.08	.146	027	026
6)												-	.420	-073	-076	110	124	010	028	145	166	.026	017	046	.069	.032	.082	056	<u>-</u> 001	124	010	-152
010											-	.124	.150	10	101.	238	960.	280	277	228	.173	- 092	047	019	030	- 068	151	.192	<u>86</u>	.052	.022	262
Q12										-	.559	231	.168	070	-018	<u>100</u>	.032	Ē	.061	.121	.051	028	80	030	.132	-033	-094	127	117	.036	.166	.138
(II									-	.305	.422	.284	.292	029	690.	.112	.189	.117	.215	.105	080.	- <u>-</u> 011	053	<u>100</u>	.083	600	-066	072	.169	.043	115	.132
6								-	.354	.179	.172	.146	.411	.004	.035	.050	.232	.180	.198	.127	.275	043	-044	053	.073	.168	057	.067	.197	.014	.146	140
03-2							-	.251	.205	.371	.222	.187	.184	.109	.165	.102	.093	.181	.104	.133	.158	.272	.274	.195	.197	.106	022	.038	. 159	.067	.131	.118
Q3-1						-	299	.319	211	.205	.174	.167	.154	-076	-088	-111	.091	.059	.032	079	070.	-103	042	051	.035	.023	154	-112	.027	.045	<u>.04</u>	-019
62					-	.205	329	.416	.236	.128	.193	.296	.443	.058	.027	.130	.126	.114	.127	.075	.192	£	.190	.163	.115	204	-133	.014	204	.057	940.	-9 <del>6</del> 0
5				-	115	800.	.123	00	.014	.074	.051	.260	- 093	.102	.186	.117	.091	.085	.038	.161	.072	.053	.092	.032	.141	-109	.130	£.	.078	147	-008	.119
8			-	.232	.122	.010	.057	.092	-094	.105	.365	-051	.033	.238	.162	.253	.026	111.	.157	.215	.285	046	.135	.063	.018	.084	-094	.285	010	-088	<u>1</u>	.146
Ś		-	.345	349	113	022	.140	600-	.087	.037	.210	.002	017	222	.258	<u></u>	305	.357	.297	.378	333	.209	.103	.230	17	.025	.185	.363	.246	.243	.243	488
Q	-	<u>.069</u>	.035	015	- <u>-</u> 011	-043	-008	.128	.063	.016	.087	-110	.043	200	247	.246	.362	220	.147	.244	241	053	-002	.028	.025	.050	660'-	£	205	.237	.108	201
ltem	6	8	8	z	8	03-1	03-2	6	- TIÒ	Q12	010	6)	s	QI3	Q14	QIS	QI6	017	Q18	619	Ø	621	63	ŝ	57	33	95	63	62	62)	60	631

### **APPENDIX D2: CORRELATION MATRIX OF TWO MODERATORS**

Appendix D2 describes the correlations between two moderators. These scales are: Absorptive capacity (Q32-Q37), and Financial capability (Q38-Q45).

Items	Q32	Q33	Q34	Q35	Q36	Q37 Q38		Q39	Q40	Q41	Q42	Q43	Q44	Q45
Q32	1.													
Q33	0.380	1.												
Q34	0.312	0.308	1.											
Q35	0.263	-0.031	0.099	1.										
Q36	0.342	0.410	0.288	0.336	1.									
Q37	0.175	0.338	0.111	0.209	0.310	1.								
Q38	0.121	0.231	0.126	0.210	0.077	0.082	1.							
Q39	0.231	0.310	0.113	0.112	0.130	0.224	0.512	1.						
Q40	0.047	0.002	0.211	-0.053	-0.028	0.146	0.004	0.080	1.					
Q41	0.246	-0.119	0.121	0.066	-0.050	-0.107	-0.028	-0.005	0.140	1.				
Q42	0.122	0.111	0.168	0.045	0.283	-0.097	0.246	-0.007	0.115	0.222	1.			
Q43	0.229	0.257	0.297	-0.015	0.283	0.018	0.133	0.220	0.014	-0.025	0.260	1.		
Q44	0.368	0.127	0.115	0.121	0.224	0.067	0.024	0.135	0.349	0.349	0.296	0.277	1.	
Q45	0.078	0.223	0.114	0.104	0.324	0.086	0.244	0.125	0.119	-0.059	0.435	0.333	0.068	1.

# APPENDIX E1: RESULTS OF KAISER'S CRITERION FOR INDEPENDENT VARIABLES

Appendix E1 describes the results of Principal Component Analysis with the Eigenvalues for Independent Variables.

	Initial Eigenvalues				
Component	Total	% of Variance	Cumulative %		
1	7.955	24.858	24.858		
2	3.316	10.362	35.220		
3	2.933	9.165	44.385		
4	2.159	6.746	51.131		
5	1.811	5.660	56.790		
6	1.338	4.180	60.971		
7	1.212	3.788	64.759		
8	1.164	3.637	68.396		
9	1.050	3.281	71.677		
10	.905	2.828	74.505		
11	.859	2.685	77.191		
12	.820	2.562	79.752		
13	.702	2.194	81.947		
14	.658	2.057	84.004		
15	.636	1.988	85.992		
16	.535	1.671	87.663		
17	.497	1.554	89.217		
18	.454	1.420	90.637		
19	.357	1.115	91.752		
20	.347	1.085	92.837		
21	.323	1.010	93.847		
22	.314	.981	94.828		
23	.280	.875	95.703		
24	.252	.789	96.492		
25	.235	.733	97.225		

26	.204	.639	97.864
27	.164	.512	98.376
28	.122	.383	98.758
29	.121	.377	99.135
30	.117	.365	99.500
31	.097	.303	99.803
32	.063	.197	100.000

## APPENDIX E2: RESULTS OF KAISER'S CRITERION FOR MODERATORS

Appendix E2 describes the results of Principal Component Analysis with the Eigenvalues for Moderators Variables.

	Initial Eigenvalues				
Component	Total	% of Variance	Cumulative %		
1	3,284	23,458	23,458		
2	1,684	12,027	35,484		
3	1,452	10,374	45,858		
4	1,231	8,789	54,648		
5	1,190	8,502	63,150		
6	1,017	7,267	70,417		
7	,861	6,147	76,564		
8	,730	5,217	81,781		
9	,581	4,151	85,932		
10	,534	3,813	89,745		
11	,446	3,188	92,933		
12	,382	2,729	95,662		
13	,306	2,187	97,848		
14	,301	2,152	100,000		

#### APPENDIX F: SYNTAX TO PERFORM PARALLEL ANALYSIS IN SPSS

In this appendix, the SPSS scripts for performing the Parallel Analysis are presented. The following article provides more information on the script: O'Connor. B. P. (2000). SPSS and SAS programs for determining the number of components using parallel analysis and Velicer's MAP test, Behavior Research Methods, Instrumentation and Computers. 32. 396-402.

#### \* Parallel Analysis Program For Raw Data and Data Permutations.

\* To run this program, you need to first specify the data for analysis and then RUN, all at once, the commands from the MATRIX statement to the END MATRIX statement.

\* This program conducts parallel analyses on data files in which the rows of the data matrix are cases/individuals and the columns are variables; Data are read/entered into the program using the GET command (see the GET command below); The GET command reads an SPSS data file. which can be either the current. active SPSS data file or a previously saved data file; A valid filename/location must be specified on the GET command; A subset of variables for the analyses can be specified by using the "/ VAR =" subcommand with the GET statement; There can be no missing values.

\* You must also specify:

the # of parallel data sets for the analyses; the desired percentile of the distribution and random data eigenvalues; whether principal components analyses or principal axis/common factor analysis are to be conducted. and whether normally distributed

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random data generation or permutations of the raw data set are to be used in the parallel analyses.

\* Permutations of the raw data set can be time consuming;

Each parallel data set is based on column-wise random shuffling of the values in the raw data matrix using Castellan's (1992. BRMIC. 24. 72-77) algorithm; The distributions of the original raw variables are exactly preserved in the shuffled versions used in the parallel analyses; Permutations of the raw data set are thus highly accurate and most relevant. especially in cases where the raw data are not normally distributed or when they do not meet the assumption of multivariate normality (see Longman & Holden. 1992. BRMIC. 24. 493. for a Fortran version); If you would like to go this route, it is perhaps best to (1) first run a normally distributed random data generation parallel analysis to familiarize yourself with the program and to get a ballpark reference point for the number of factors/components; (2) then run a permutations of the raw data parallel analysis using a small number of datasets (e.g., 100). just to see how long the program takes to run; then (3) run a permutations of the raw data parallel analysis using the number of parallel data sets that you would like use for your final analyses; 1000 datasets are usually sufficient. although more datasets should be used if there are close calls.

\* These next commands generate artificial raw data (500 cases) that can be used for a trial-run of the program. instead of using your own raw data; Just select and run this whole file; However. make sure to delete the artificial data commands before attempting to run your own data.

```
set mxloops=9000 printback=off width=80 seed = 1953125.
matrix.
* Enter the name/location of the data file for analyses after "FILE =";
If you specify "FILE = *". then the program will read the current.
 active SPSS data file; Alternatively. enter the name/location
 of a previously saved SPSS data file instead of "*";
 you can use the "/ VAR =" subcommand after "/ missing=omit"
 subcommand to select variables for the analyses.
GET raw / FILE = * / missing=omit / VAR = a1 to O31.
* Enter the desired number of parallel data sets here.
compute ndatsets = 1000.
* Enter the desired percentile here.
compute percent = 95.
* Enter either
 1 for principal components analysis. or
 2 for principal axis/common factor analysis.
compute kind = 1.
* Enter either
 1 for normally distributed random data generation parallel analysis. or
 2 for permutations of the raw data set.
compute randtype = 1.
compute neases = nrow(raw).
compute nvars = ncol(raw).
* principal components analysis & random normal data generation.
do if (kind = 1 and randtype = 1).
compute nm1 = 1 / (ncases-1).
compute vcv = nm1 * (sscp(raw) - ((t(csum(raw))*csum(raw))/ncases)).
compute d = inv(mdiag(sqrt(diag(vcv)))).
compute realeval = eval(d * vcv * d).
compute evals = make(nvars.ndatsets.-9999).
loop \#nds = 1 to ndatsets.
compute x = sqrt(2 * (ln(uniform(ncases.nvars)) * -1)) &*
       cos(6.283185 * uniform(ncases.nvars)).
compute vcv = nm1 * (sscp(x) - ((t(csum(x))*csum(x))/ncases)).
compute d = inv(mdiag(sqrt(diag(vcv)))).
compute evals(:.\#nds) = eval(d * vcv * d).
end loop.
end if.
```

\* principal components analysis & raw data permutation.

```
do if (kind = 1 and randtype = 2).
compute nm1 = 1 / (ncases-1).
compute vcv = nm1 * (sscp(raw) - ((t(csum(raw))*csum(raw))/ncases)).
compute d = inv(mdiag(sqrt(diag(vcv)))).
compute realeval = eval(d * vcv * d).
compute evals = make(nvars.ndatsets.-9999).
loop \#nds = 1 to ndatsets.
compute x = raw.
loop \#c = 1 to nvars.
loop \#r = 1 to (ncases -1).
compute k = trunc((ncases - #r + 1) * uniform(1.1) + 1) + #r - 1.
compute d = x(\#r.\#c).
compute x(\#r.\#c) = x(k.\#c).
compute x(k,\#c) = d.
end loop.
end loop.
compute vcv = nm1 * (sscp(x) - ((t(csum(x))*csum(x))/ncases)).
compute d = inv(mdiag(sqrt(diag(vcv)))).
compute evals(:.#nds) = eval(d * vcv * d).
end loop.
end if.
* PAF/common factor analysis & random normal data generation.
do if (kind = 2 and randtype = 1).
compute nm1 = 1 / (ncases-1).
compute vcv = nm1 * (sscp(raw) - ((t(csum(raw))*csum(raw))/ncases)).
compute d = inv(mdiag(sqrt(diag(vcv)))).
compute cr = (d * vcv * d).
compute smc = 1 - (1 \& / diag(inv(cr))).
call setdiag(cr.smc).
compute realeval = eval(cr).
compute evals = make(nvars.ndatsets.-9999).
compute nm1 = 1 / (ncases-1).
loop \#nds = 1 to ndatsets.
compute x = sqrt(2 * (ln(uniform(ncases.nvars)) * -1)) \&*
       cos(6.283185 * uniform(ncases.nvars)).
compute vcv = nm1 * (sscp(x) - ((t(csum(x))*csum(x))/ncases)).
compute d = inv(mdiag(sqrt(diag(vcv)))).
compute r = d * vcv * d.
compute smc = 1 - (1 \& / diag(inv(r))).
call setdiag(r.smc).
compute evals(::#nds) = eval(r).
end loop.
end if.
* PAF/common factor analysis & raw data permutation.
```

```
do if (kind = 2 and randtype = 2).
compute nm1 = 1 / (ncases-1).
compute vcv = nm1 * (sscp(raw) - ((t(csum(raw))*csum(raw))/ncases)).
compute d = inv(mdiag(sqrt(diag(vcv)))).
compute cr = (d * vcv * d).
compute smc = 1 - (1 \& / diag(inv(cr))).
call setdiag(cr.smc).
compute realeval = eval(cr).
compute evals = make(nvars.ndatsets.-9999).
compute nm1 = 1 / (ncases-1).
loop \#nds = 1 to ndatsets.
compute x = raw.
loop \#c = 1 to nvars.
loop \#r = 1 to (ncases -1).
compute k = trunc( (ncases - \#r + 1) * uniform(1.1) + 1) + \#r - 1.
compute d = x(\#r.\#c).
compute x(\#r.\#c) = x(k.\#c).
compute x(k,\#c) = d.
end loop.
end loop.
compute vcv = nm1 * (sscp(x) - ((t(csum(x))*csum(x))/ncases)).
compute d = inv(mdiag(sqrt(diag(vcv)))).
compute r = d * vcv * d.
compute smc = 1 - (1 \& / diag(inv(r))).
call setdiag(r.smc).
compute evals(::#nds) = eval(r).
end loop.
end if.
* identifying the eigenvalues corresponding to the desired percentile.
compute num = rnd((percent*ndatsets)/100).
compute results = { t(1:nvars). realeval. t(1:nvars). t(1:nvars) }.
loop \#root = 1 to nvars.
compute ranks = rnkorder(evals(#root.:)).
loop #col = 1 to ndatsets.
do if (ranks(1.\#col) = num).
compute results(\#root.4) = evals(\#root.\#col).
break.
end if.
end loop.
end loop.
compute results(:.3) = rsum(evals) / ndatsets.
print /title="PARALLEL ANALYSIS:".
do if (kind = 1 and randtype = 1).
print /title="Principal Components & Random Normal Data Generation".
```

```
else if (kind = 1 and randtype = 2).
```

print /title="Principal Components & Raw Data Permutation".

else if (kind = 2 and randtype = 1).

print /title="PAF/Common Factor Analysis & Random Normal Data Generation". else if (kind = 2 and randtype = 2).

print /title="PAF/Common Factor Analysis & Raw Data Permutation".

end if.

compute specifs = {ncases; nvars; ndatsets; percent}.

print specifs /title="Specifications for this Run:"

/rlabels="Ncases" "Nvars" "Ndatsets" "Percent".

print results

/title="Raw Data Eigenvalues. & Mean & Percentile Random Data Eigenvalues" /clabels="Root" "Raw Data" "Means" "Prcntyle" /format "f12.6".

do if (kind = 2).

print / space = 1.

print /title="Warning: Parallel analyses of adjusted correlation matrices".

print /title="eg. with SMCs on the diagonal. tend to indicate more factors".

print /title="than warranted (Buja. A.. & Eyuboglu. N.. 1992. Remarks on parallel".

print /title="analysis. Multivariate Behavioral Research. 27. 509-540.).".

print /title="The eigenvalues for trivial. negligible factors in the real".

print /title="data commonly surpass corresponding random data eigenvalues".

print /title="for the same roots. The eigenvalues from parallel analyses".

print /title="can be used to determine the real data eigenvalues that are".

print /title="beyond chance. but additional procedures should then be used".

print /title="to trim trivial factors.".

print / space = 2.

print /title="Principal components eigenvalues are often used to determine". print /title="the number of common factors. This is the default in most". print /title="statistical software packages. and it is the primary practice". print /title="in the literature. It is also the method used by many factor". print /title="analysis experts. including Cattell. who often examined". print /title="principal components eigenvalues in his scree plots to determine". print /title="the number of common factors. But others believe this common". print /title="practice is wrong. Principal components eigenvalues are based". print /title="on all of the variance in correlation matrices. including both". print /title="the variance that is shared among variables and the variances". print /title="that are unique to the variables. In contrast. principal". print /title="axis eigenvalues are based solely on the shared variance". print /title="among the variables. The two procedures are qualitatively". print /title="different. Some therefore claim that the eigenvalues from one". print /title="extraction method should not be used to determine". print /title="the number of factors for the other extraction method.". print /title="The issue remains neglected and unsettled.". end if.

compute root = results(:.1).

compute rawdata = results(:.2).

compute percntyl = results(:.4).

save results /outfile= 'screedata.sav' / var=root rawdata means percntyl . end matrix.

\* plots the eigenvalues. by root. for the real/raw data and for the random data. GET file= 'screedata.sav'.

TSPLOT VARIABLES= rawdata means percntyl /ID= root /NOLOG.

## APPENDIX G: RESIDUAL PLOTS OF THE VARIABLES USED IN THE REGRESSION ANALYSIS

In this appendix, the residual plots are generated for three independent variables (innovation strategy, knowledge development and dissemination, and finance mobilization); one moderator (absorptive capacity); control variables (team size, NTBF's age, and the level of innovativeness); and the performance of the NTBFs as an independent variable. The plots use Standardized Residuals (Y-axis) and Standardized Predicted Value (X-axis). Below, the seven residual plots are depicted.





K2: Knowledge development and dissemination on the performance of the NTBFs





K3: Finance Mobilization on the Performance of the NTBFs

K4: Absorptive Capacity on the Performance of the NTBFs



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K5: The size of the NTBFs on the Performance of the NTBFs







K7: The Level of Innovativeness on the Performance of the NTBFs

## APPENDIX H: RESULTS OF COMMON METHOD BIAS

Appendix H describes the results of Herman's Single Factor Test associated with common method bias.

				Extraction Sums of Squared		Squared
	Initial Eigenvalues			Loadings		
		% of	Cumulative		% of	Cumulative
Component	Total	Variance	%	Total	Variance	%
1	9,381	18,393	18,393	9,381	18,393	18,393
2	4,119	8,076	26,469			
3	3,538	6,937	33,406			
4	3,194	6,263	39,669			
5	2,748	5,387	45,057			
6	2,115	4,146	49,203			
7	2,019	3,960	53,163			
8	1,846	3,619	56,782			
9	1,691	3,316	60,098			
10	1,538	3,016	63,114			
11	1,317	2,583	65,697			
12	1,276	2,501	68,198			
13	1,197	2,348	70,546			
14	1,178	2,311	72,857			
15	1,106	2,168	75,025			
16	,952	1,867	76,891			
17	,940	1,842	78,734			
18	,820	1,607	80,341			
19	,791	1,551	81,892			
20	,709	1,390	83,283			
21	,651	1,277	84,560			
22	,638	1,250	85,810			
23	,560	1,099	86,909			
24	,536	1,050	87,959			
25	,525	1,029	88,989			
26	,482	,946	89,935			
27	,459	,899	90,834			
28	,435	,852	91,686			
29	,417	,818	92,504			
30	,368	,722	93,227			
31	,326	,640	93,866			
32	,323	,634	94,500			
33	,308	,604	95,105			

## Total Variance Explained

34	,280	,550	95,654		
35	,264	,518	96,172		
36	,240	,471	96,643		
37	,199	,390	97,033		
38	,190	,373	97,405		
39	,176	,346	97,751		
40	,165	,324	98,075		
41	,147	,288	98,363		
42	,137	,268	98,631		
43	,125	,245	98,875		
44	,114	,223	99,098		
45	,093	,183	99,281		
46	,086	,169	99,450		
47	,081	,159	99,609		
48	,065	,127	99,736		
49	,056	,111	99,846		
50	,044	,087	99,933		
51	,034	,067	100,000		

Extraction Method: Principal Component Analysis.

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