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## **Simulating the birth environment of circumstellar discs**

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# 2

## The viscous evolution of circumstellar discs in young star clusters

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**S**TARS with circumstellar discs may form in environments with high stellar and gas densities that affect the discs through processes like truncation from dynamical encounters, ram pressure stripping, and external photoevaporation. Circumstellar discs also undergo viscous evolution that leads to disc expansion. Previous work indicates that dynamical truncation and viscous evolution play a major role in determining circumstellar disc size and mass distributions. However, it remains unclear under what circumstances each of these two processes dominates. Here, we present results of simulations of young stellar clusters taking viscous evolution and dynamical truncations into account. We model the embedded phase of the clusters by adding leftover gas as a background potential that can be present through the whole evolution of the cluster, or expelled after 1 Myr. We compare our simulation results to actual observations of disc sizes, disc masses, and accretion rates in star-forming regions. We argue that the relative importance of dynamical truncations and the viscous evolution of the discs changes with time and cluster density. Viscous evolution causes the importance of dynamical encounters to increase in time, but the encounters cease soon after the expulsion of the leftover gas. For the clusters simulated in this work, viscous growth dominates the evolution of the discs.

✱

## 2.1. Introduction

Stars are formed in clustered environments (Clarke et al. 2000; Lada & Lada 2003). Circumstellar discs develop shortly after star formation (Williams & Cieza 2011), when they are still embedded in the dense star and gas surroundings of the cluster. In these environments, discs can be disturbed by different processes such as truncations due to close stellar encounters (Rosotti et al. 2014; Vincke et al. 2015; Portegies Zwart 2016), external photoevaporation due to nearby bright O type stars (O’dell 1998; Scally & Clarke 2001; Guarcello et al. 2016; Haworth et al. 2017), accretion and ram pressure stripping (Wijnen et al. 2016, 2017a), and nearby supernovae (Pelupessy & Portegies Zwart 2012). Circumstellar discs can also present viscous evolution (Lynden-Bell & Pringle 1974). As the typical viscous time scales of T Tauri stars seem to be on the order of  $10^5$  yr (Hartmann et al. 1998; Isella et al. 2009), circumstellar discs are likely to undergo considerable viscous growth during the first few million years of cluster evolution.

Studying the processes that affect the distribution of circumstellar discs in young star clusters helps to understand the development of planetary systems like our own. Different processes, however, can dominate the evolution of clusters at different times and cluster densities. Young clusters are still embedded in the gas from which they formed. Gas expulsion, which can be the result of feedback from massive stars such as winds and supernovae explosions, leaves the cluster in a supervirial state that leads to its expansion and possible dissolution (Tutukov 1978). Vincke & Pfalzner (2016) carried out simulations including the effect of truncations by stellar encounters before and after gas expulsion. They show that taking the early gas expulsion into account in their simulations increases the rate of stellar encounters, because the larger total mass increases the stellar velocity dispersion.

Most approaches to study the interaction of the circumstellar discs with their surrounding cluster have focused on a static size for the disc which can only decrease by the influencing external processes (e.g., Scally & Clarke (2001); Pfalzner et al. (2006); Olczak et al. (2006, 2010); Vincke et al. (2015); Portegies Zwart (2016)). In contrast, Rosotti et al. (2014) considered the viscous evolution of the discs along with truncations by dynamical encounters, by combining N-body simulations with smoothed particle hydrodynamics (SPH) to represent the growth of the discs. However, due to the numerical cost of their simulation, their study was limited to 100 stars of  $1 M_{\odot}$  each distributed in a Plummer sphere, only half the stars had a circumstellar disc, and their simulations were run for just 0.5 Myr.

The purpose of this work is to analyse the combined effect of viscous disc evolution and the presence of gas on the dynamics and circumstellar disc distributions in young star clusters. We look to understand the relative importance of such processes during different stages of cluster evolution. We include the viscous evolution of the circumstellar discs semi-analytically using the similarity solutions developed by Lynden-Bell & Pringle (1974). We also consider disc truncations caused by dynamical encounters between stars in the cluster. Additionally, we model the presence of gas in the cluster, as a means to represent the embedded phase, and the further expulsion of said gas. We carry out our simulations using the AMUSE framework (Portegies Zwart et al. 2013; Pelupessy et al. 2013). All the code used for the simulations, data analyses, and figures of this paper is available in a Github repository<sup>1</sup>.

Thanks to modern observational techniques, circumstellar discs have been observed and characterized inside many open star clusters and star forming regions, such as Chamaleon I (Pascucci et al. 2016; Mulders et al. 2017; Manara et al. 2017),  $\sigma$  Orionis (Maucó et al. 2016; Ansdell et al. 2017), the Lupus clouds (Alcalá et al. 2014; Ansdell et al. 2016, 2018), the Orion Trapezium cluster (Vicente & Alves 2005; Mann & Williams 2009; Robberto et al. 2004), and

<sup>1</sup><http://doi.org/10.5281/zenodo.1465931>

the Upper Scorpio region (Barenfeld et al. 2016, 2017). From these observations, the size and mass of the discs and the accretion rate onto their central star can be calculated. This brings an opportunity to calibrate the results obtained by simulations, by offering a way to compare simulated disc distributions with observed ones.

## 2.2. Methods

### 2.2.1. Evolution of isolated viscous discs

Lynden-Bell & Pringle (1974) showed that for a thin disc in which viscosity has a radial power-law dependence and no time dependence, there exists a similarity solution to which all initial mass distributions will asymptotically approach. The description of the similarity solutions used in this work is largely based on the one provided by Hartmann et al. (1998), who applied the similarity solutions to explain the observed accretion rates of T Tauri stars.

The similarity solutions of viscous discs are characterised by four independent parameters:

1.  $\gamma$  - the radial viscosity dependence exponent.
2.  $M_d(0)$  - the initial disc mass.
3.  $R_c(0)$  - the initial characteristic disc radius, outside of which  $1/e \simeq 37\%$  of the disc mass initially resides.
4.  $t_v$  - the viscous time scale at  $R_c(0)$ . It is possible to instead specify  $\nu_c$ , the viscosity at  $R_c(0)$ .

For the present work, the modelled discs will be represented by three properties: their characteristic radius, the mass of the disc, and the accretion rate from the disc to the central star. These are the properties derived from observations, allowing us to compare them directly with the simulation results.

According to the model developed by Lynden-Bell & Pringle (1974), the characteristic radius of the disc as a function of time  $t$  is given by

$$R_c(t) = \left(1 + \frac{t}{t_v}\right)^{\frac{1}{2-\gamma}} R_c(0). \quad (2.1)$$

The disc mass as a function of time is

$$M_d(t) = M_d(0) \left(1 + \frac{t}{t_v}\right)^{\frac{1}{2\gamma-4}} \quad (2.2)$$

and the radial cumulative mass distribution of the disc as a function of time is

$$M_d(R, t) = M_d(t) \left(1 - e^{-\Gamma}\right). \quad (2.3)$$

Here

$$\Gamma = \left(\frac{R}{R_c(0)}\right)^{2-\gamma} \left(1 + \frac{t}{t_v}\right)^{-1} \quad (2.4)$$

The accretion rate of matter to the star as a function of time is

$$\dot{M}_{acc}(t) = -\frac{dM_d(t)}{dt} = \frac{M_d(0)}{(4-2\gamma)t_v} \left(1 + \frac{t}{t_v}\right)^{\Delta}. \quad (2.5)$$

Here  $\Delta = \frac{5-2\gamma}{2\gamma-4}$ . The viscous time scale  $t_v$  relates to the characteristic viscosity of the disc  $v_c$  by

$$t_v = \frac{R_c(0)^2}{3(2-\gamma)^2 v_c}. \quad (2.6)$$

The disc viscosity can be written as

$$v(R) = \alpha \frac{c_s^2}{\Omega} = \alpha \frac{k_B T \sqrt{R^3}}{\mu m_p \sqrt{GM_*}}. \quad (2.7)$$

Here  $\alpha$  is the turbulent mixing strength (Shakura & Sunyaev 1973),  $c_s = \sqrt{\frac{k_B T}{\mu m_p}}$  is the isothermal sound speed,  $\Omega = \sqrt{\frac{GM_*}{R^3}}$  is the Kepler frequency,  $k_B$  is the Boltzmann constant,  $T$  is the disc temperature at distance  $R$  from the star,  $\mu$  is the mean molecular weight of the gas in atomic mass units,  $m_p$  is the proton mass,  $G$  is the constant of gravity,  $M_*$  is the mass of the central star and the mass of the disc is assumed to be insignificant. Considering the temperature of the disc as a radial power law  $T \propto R^{-q}$ ,

$$v_c = \alpha \frac{k_B T R_c(0)^{\frac{3}{2}-q}}{\mu m_p R^{-q} \sqrt{GM_*}}, \quad (2.8)$$

and

$$t_v = \frac{\mu m_p R_c(0)^{\frac{1}{2}+q} \sqrt{GM_*}}{3\alpha (2-\gamma)^2 k_B T R^q}. \quad (2.9)$$

Relating  $T_d$  with an estimate of stellar luminosity  $L_* = L_*(M_*)$  allows us to write  $t_v = t_v(M_*, \alpha, \gamma, q, R_c(0))$ . While  $t_v$  is an independent parameter for the similarity solutions, we parametrize it as a function of  $\gamma$  and  $R_c(0)$  in our implementation, on physical grounds. We use the zero age main sequence stellar luminosities for stars with metallicities  $Z = 0.02$  from Hurley et al. (2000) that are available in the package SeBa, which is incorporated into AMUSE.

If it is observed that  $\dot{M}_{acc}(t) \propto t^{-\eta}$ , then the viscosity exponent  $\gamma$  can be defined as:

$$\gamma = \frac{4\eta - 5}{2\eta - 2}, \quad (2.10)$$

where we assumed that  $\gamma$  is the same for all discs. Previous work by Hartmann et al. (1998), Sicilia-Aguilar et al. (2010) and Antonucci et al. (2014) have found the value of  $\eta$  to be in the range  $1.2 \lesssim \eta \lesssim 2.8$ . Furthermore, Andrews et al. (2010) found a value of  $\gamma = 0.9 \pm 0.2$  in the Ophiuchus star forming region, independent of the disc masses or stellar properties. In general  $\gamma = 1$ , which corresponds to  $\eta = 1.5$ , seems to be in agreement with most observed discs and is thus the value we adopt in this work.

Equation (2.9) shows that the viscous time scale depends on the turbulence parameter  $\alpha$  and on the temperature profile of the disc. A value of  $\alpha \sim 10^{-2}$  was found by Hartmann et al. (1998) from observations of T Tauri stars. Isella et al. (2009) suggest that  $\alpha$  might range from  $10^{-4}$  to 0.5, while Andrews et al. (2010) found  $\alpha \sim 10^{-3} - 10^{-2}$  in the Ophiuchus star forming region. Mulders & Dominik (2012) found that  $\alpha \sim 10^{-4}$  for circumstellar discs around stars of all masses, but by assuming slightly different circumstellar dust properties  $\alpha \sim 10^{-2}$  could also fit the observations. In figure 2.1 we show the viscous time scales obtained in our model for three different values of the turbulence parameter:  $\alpha = 10^{-4}$ ,  $\alpha = 5 \times 10^{-3}$ , and  $\alpha = 10^{-2}$ . It can be seen that the two highest values of the turbulence parameter lead to viscous time scales that agree with observations, up to moderately massive stars. Motivated by this, we adopt two values for this parameter:  $\alpha = 10^{-2}$ , in what we refer to as fast viscous evolution,

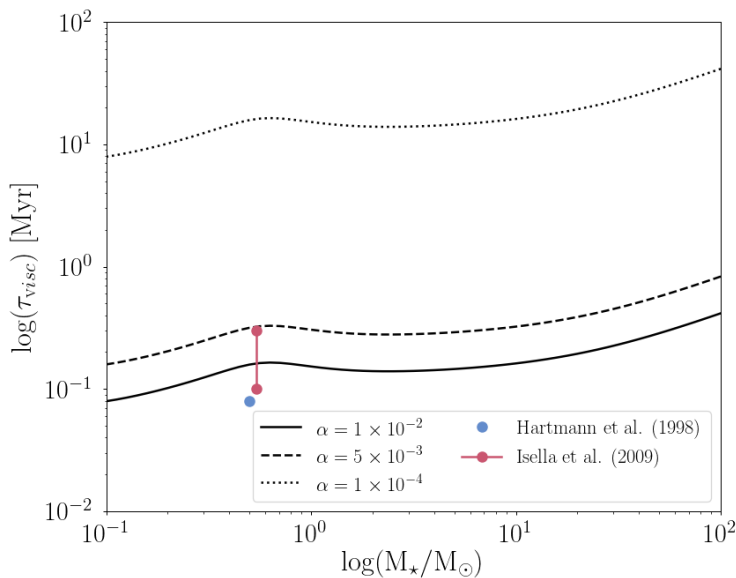


Figure 2.1: Viscous time scales in our model as a function of stellar mass, for different values of the turbulence parameter  $\alpha$ . Observational estimates for circumstellar discs around T Tauri stars by Hartmann et al. (1998) and Isella et al. (2009) are shown for comparison.

and  $\alpha = 5 \times 10^{-3}$  in what we call slow viscous evolution. The viscosity parameters chosen are in good agreement with estimates for the viscous time scale,  $t_v$ . Hartmann et al. (1998) found a value of  $t_v \sim 8 \times 10^4$  yr for a typical T Tauri star. Isella et al. (2009) estimate, based on their observations, that  $t_v \sim 10^5$  yr –  $3 \times 10^5$  yr.

Values of  $\alpha$  should be considered only an approximation. In reality, disc parameters related to the viscosity –like the viscosity exponent  $\gamma$  and the turbulence parameter  $\alpha$ – should be expected to vary among stars of equal mass, age, and observed accretion rates; even within the same disc, different parts of it may show different viscosity parameters (Pinte et al. 2016).

### 2.2.2. Gas in the cluster

The presence of gas in the cluster is modelled semi-analytically with a background potential in the  $N$ -body computations. We adopt the gas and gas expulsion parameters from Lügghausen et al. (2012). The distribution of the gas corresponds to a Plummer sphere with the same Plummer radius as the stars in the cluster. The initial mass of the gas,  $M_g(0)$ , is taken to be twice the mass of the stars, which corresponds to a star formation efficiency of  $1/3$ .

In the runs with gas expulsion, the mass of the gas as a function of time is given by

$$M_g(t) = \begin{cases} M_g(0) & t \leq t_D, \\ \frac{M_g(0)}{\varphi} & t > t_D, \end{cases} \quad (2.11)$$

with  $\varphi = 1 + (t - t_D)/\tau$ , and where  $t_D$  is the time at which gas expulsion begins. The gas expulsion timescale corresponds to the time it takes for the cluster to lose half its gas once

gas expulsion has begun, and it is given by

$$\tau = \frac{R_P}{1 \text{ pc}} \times 0.1 \text{ Myr}, \quad (2.12)$$

where  $R_P$  is the Plummer radius of the cluster.

### 2.2.3. Dynamical disc truncation

The semi-analytical model used in this work assumes that, between encounters, discs evolve according to the similarity solution described in section 2.2.1. A close enough stellar encounter introduces a discontinuity in the disc parameters, but after that the disc is assumed to continue evolving as an isolated viscous disc.

The work by Rosotti et al. (2014) encourages us to adopt the commonly used approximation that an encounter truncates circumstellar discs at one third of the encounter distance in the case of equal massed stars. In addition, we use the mass dependence from Bhandare et al. (2016). The combination of these two models gives us the characteristic radius of a circumstellar disc immediately after an truncating encounter:

$$R'_c = \frac{r_{\text{enc}}}{3} \left( \frac{M}{m} \right)^{0.2}, \quad (2.13)$$

where  $r_{\text{enc}}$  is the encounter distance,  $M$  is the mass of the star with the disc in question, and  $m$  is the mass of the encountered star.

Equation (2.2) gives the disc mass immediately before the encounter. This mass is lower than the initial disc mass, because some of it has been accreted onto the star. In our model this mass difference is added to the stellar mass. If the encounter is assumed to not remove mass from the inner part of the disc, then the disc mass inside the new initial characteristic radius just after the encounter can be assumed to be equal to the mass inside that radius just before the encounter. Equation (2.3) and the properties of characteristic radius can then be used to find the disc mass immediately after the encounter as

$$M'_d = \frac{M_d(R'_c, t)}{1 - \frac{1}{e}} \simeq 1.6 M_d(R'_c, t), \quad (2.14)$$

where  $t$  is the time from the last discontinuity of the disc parameters.

According to equation (2.6) and the underlying assumption  $\nu \propto R^\gamma$ , the new viscous timescale of the disc will be

$$t'_v = \left( \frac{R'_c}{R_c(0)} \right)^{2-\gamma} t_v. \quad (2.15)$$

In our model, the relative change in disc mass and accretion rate in an encounter is determined by  $\gamma$  and the truncation radius relative to the disc characteristic radius just before the encounter,  $R'_c/R_c(t)$ . If the disc is truncated, its viscous time scale decreases and the disc starts evolving faster. We ignore the orientation of the discs, so the equation for truncation radius is the average truncation radius over all disc inclinations.

### 2.2.4. Numerical implementation

We use the 4th-order Hermite  $N$ -body code `ph4`, which is incorporated into the `AMUSE` framework. The softening length is  $\epsilon = 100 \text{ au}$  and the time step parameter is  $\eta = 10^{-2}$ . The simulations start in virial equilibrium and last for 2 Myr.



Collisional radii for each star in the cluster are defined depending on the stellar mass and the theoretical size of the disc evolving in isolation, given by equation 5.13. The initial collisional radius for each star is given by the distance at which the most massive star in the cluster can truncate its disc. The collisional radii of all stars are updated every 2000 yr, to account for the viscous evolution of the discs. Two stars are considered to be in an encounter if the distance between them is less than the sum of their collisional radii. The encounter distance between the stars is then computed by analytically solving the two body problem. If the encounter is strong enough as to truncate the discs, disc parameters of both stars are updated as described in section 2.2.3. After each encounter, the collisional radii of both stars are set to  $0.49r_{\text{enc}}$  in order to prevent the  $N$ -body code from detecting the same encounter multiple times during the same 2000 yr window.

## 2.3. Results

### 2.3.1. Initial conditions

#### Cluster properties

Simulations were run for clusters with 1500 stars. The stellar masses are randomly sampled from a Kroupa initial mass distribution (Kroupa 2001) with lower mass limit  $0.1M_{\odot}$  and upper mass limit  $100M_{\odot}$ . The stars are initially distributed in a Plummer sphere (Plummer 1911) with Plummer radius 0.5 parsec. All stars are assumed to be single and coeval.

All simulations were carried out for 2.0 Myr. We define three different gas scenarios for the simulations:

1. No gas
2. Gas presence
3. Gas expulsion starting at 1.0 Myr

Each simulation was run for two values of the turbulence parameter:  $\alpha = 10^{-2}$  and  $\alpha = 5 \times 10^{-3}$ .

#### Disc properties

Based on the observations of low mass stars carried out by Isella et al. (2009) and the estimations obtained by Hartmann et al. (1998), the initial characteristic radius  $R_c$  of the circumstellar discs was chosen to be

$$R_c(0) = R' \left( \frac{M_*}{M_{\odot}} \right)^{0.5} \quad (2.16)$$

with  $R' = 30 \text{ AU}$ .

Circumstellar discs with masses larger than 10% of the mass of their star are the most likely to be gravitationally unstable (Kratter & Lodato 2016). According to hydrodynamical simulations of collapsing gas clouds, the disc-to-star mass ratios of embedded protostars are large enough for gravitational instabilities to occur (Vorobyov 2011), but these instabilities lead to accretion bursts that quickly decrease the mass ratios (Armitage et al. 2001; Kratter & Lodato 2016). Based on this we chose the initial disc masses to be

$$M_d(0) = 0.1M_* \quad (2.17)$$

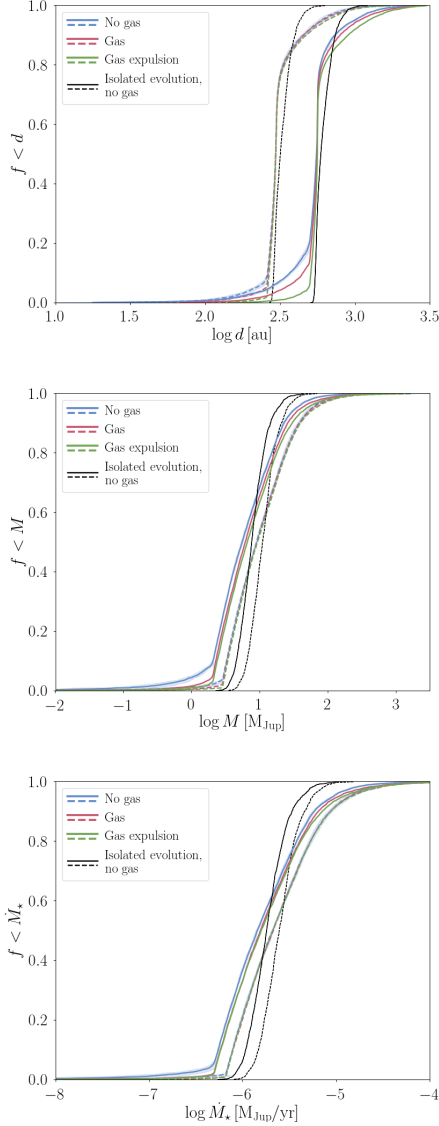


Figure 2.2: Cumulative distributions of the mean disc size (top panel) and mass (center), and the accretion rate onto central star (bottom panel) at the end of the simulations. The colors indicate the different mode of gas loss in the simulation (see legend in the top left corner), and in black we show the results of isolated disc evolution (viscous growth only, no truncations). The solid lines correspond to fast viscous disc evolution ( $\alpha = 10^{-2}$ ) and the dashed lines to slow viscous evolution ( $\alpha = 5 \times 10^{-3}$ ). The shaded areas around the blue curves indicate the dispersion around the mean value averaged over 5 simulations. For clarity we only show this uncertainty interval for the blue curves, but the others are comparable.

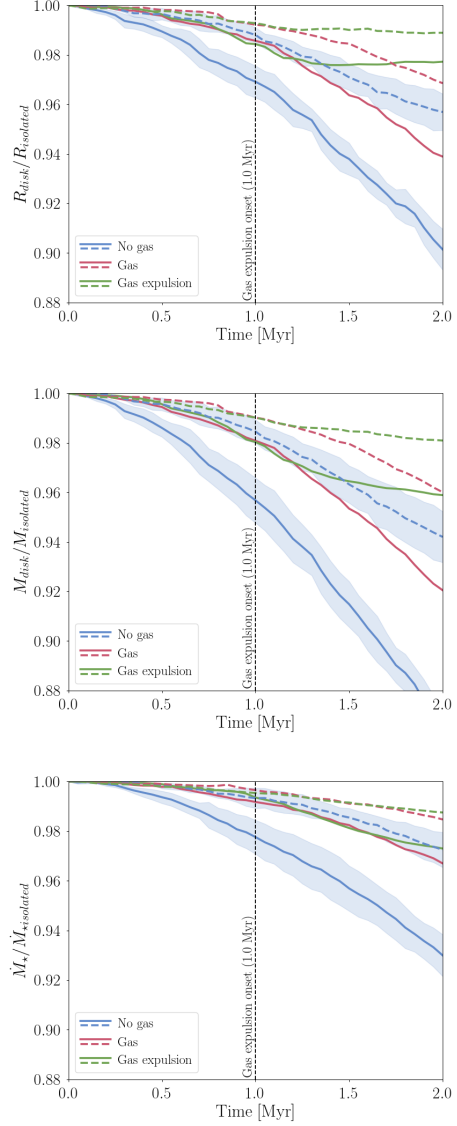


Figure 2.3: Evolution of the mean disc size (top panel) and mass (center), and accretion rate onto central star (bottom panel) compared to the isolated disc case. By definition, values closer to 1 are similar to the isolated case. The colors indicate the different mode of gas loss in the simulation (see legend in the lower left corner). The solid lines correspond to fast viscous disc evolution ( $\alpha = 10^{-2}$ ) and the dashed lines to slow viscous evolution ( $\alpha = 5 \times 10^{-3}$ ). The dashed black vertical line shows the gas expulsion onset, 1.0 Myr. The shaded areas around the blue curves indicate the dispersion around the mean value averaged over 5 simulations. For clarity we only show this uncertainty interval for the blue curves, but the others are comparable.

### 2.3.2. The effect of gas in the cluster

In Fig. 2.2 we present the cumulative distributions for the mean values of sizes and masses of the circumstellar discs, and the accretion rates onto the central star. The three different colors in each of the panels present one of our choices of how the gas is removed from the cluster. In black we show the results of isolated evolution, where discs are subject to viscous growth but no dynamical truncations. In addition, we present the results for two choices of the turbulence parameter  $\alpha$  with solid and dashed lines.

Changing the value of  $\alpha$  has quite a pronounced effect on the size distribution of the discs, in the sense that a low value (of  $5 \times 10^{-3}$ ) results in smaller discs. This difference is mainly caused by the faster intrinsic growth of the discs for high values of  $\alpha$ . For rather viscous discs,  $\alpha = 10^{-2}$ , some difference in the mean size is noticeable near  $\sim 500$  au, in the sense that for the simulations without gas the discs are on average somewhat smaller than in the other simulations. This is caused by more frequent encounters in the former simulations. The gas expulsion tends to drive the early evaporation of the cluster, which leads to larger discs on average because the latter effect terminates the dynamical disc-truncation process. The mean sizes of the discs in the simulation with gas but without expulsion tend to be in between the other two distributions, because some truncation leads to a subsequent faster viscous growth of the discs, as shown in equation 2.15.

The same behaviour can be seen for the average disc masses and accretion rates onto the central star (Fig. 2.2, middle and bottom panel respectively). In the simulations without gas, there are more discs with masses  $\lesssim 2 M_{\text{Jup}}$  than in the other simulations. Again this difference is diminished in the slow viscous evolution case. For the accretion rates the different simulations yield the same final distributions, except for a negligible higher amount of discs with  $\dot{M} < \sim 2 \times 10^{-8} M_{\text{Jup}}/\text{yr}$  in the cases without gas. Unlike the case for the average disc radius, using different values of  $\alpha$  in the simulations yields comparable results for the final distributions of both average disc masses and accretion rates. The two values for the turbulence parameter used in our simulations yield final values of disc mass and accretion rates that differ by less than an order of magnitude.

### 2.3.3. Evolution of the circumstellar discs

During the 2.0 Myr of the simulations, both the viscous growth of the discs and their truncations due to dynamical encounters occur simultaneously. The final disc size, mass, and accretion rate distributions are the result of the combination of these two processes. In Figure 2.3 we show the normalized disc parameters compared to the isolated case, averaged over 5 simulations for each of the gas scenarios. The normalized disc parameters correspond to the actual value for each parameter, divided by the value of the isolated case (viscous growth only, no truncations). By construction, the normalized disc parameters have a value of 1 if there are no dynamical truncations taking place. In a cluster where dynamical truncations are taken into account, we can expect the normalised disc parameters to deviate from 1. The behaviour seen in this case is in agreement with the final parameter distributions shown in Section 2.3.2. In the top panel of Figure 2.3 it can be seen how, for the simulations without intracluster gas and for initial disc parameters as specified in Section 2.3.1, fast viscous evolution results in discs about 10% smaller than in the isolated case, while for the slow viscous evolution this value drops to less than 5%. It can also be seen how the expulsion of the leftover gas allows the discs to simply continue their viscous evolution without being further perturbed by dynamical truncations. These normalized parameters show that the size of the discs in the first Myr of cluster evolution is dominated by the viscous growth, rather than by dynamical truncations. The dynamical encounters experienced by the discs are not enough

to truncate them to values largely different from the isolated case. Specially in the case of slow viscous growth, this process seems to be the only one driving the evolution of the discs.

For the disc mass and the accretion rate of the central star (center and bottom panels of Fig. 2.3, respectively), similar behaviours are observed in the curves, also in agreement with the cumulative distributions in Fig. 2.2. Again, the different values of  $\alpha$  used in our simulations result in a negligible difference in disc mass and accretion rate.

### 2.3.4. Comparison with observations

Observations of circumstellar discs in young clustered environments can help us understand how representative our simulations are. We compared our results with observations of star forming regions and stellar associations. Given the different ages, stellar densities, and general characteristics of the star formation regions mentioned above, we do not look to reproduce precisely their disc distributions using only our approximate model. We carry out this comparison as a way to determine if our model yields reasonable results within the varied collection of young star forming regions.

#### Observational data

We compared our simulation results with observations of star forming regions and stellar associations. The ages, distances, and stellar densities of the observed regions used in this work can be found in Table 2.1. Given the diverse nature of the observational data used in this work, we give detailed descriptions of the specific observations used for disc sizes, disc masses, and stellar accretion rates.

For the disc radii, we used gas measurements when available. Gas discs are particularly important, because gas dominates the dynamics of the whole disc and gas discs are expected to be larger than dust discs by a factor of  $\sim 2$  (Ansdell et al. 2018), since dust can decouple from the gas and drift to the inner regions of the discs. Due to observational constraints, however, gas discs are much more difficult to observe than the compact, sub-mm/mm dusty ones. For this work, we limit ourselves to gaseous radii for discs in the Lupus clouds and Upper Scorpio star forming regions, as noted below.

Given that the chemistry of CO and other tracers of disc mass may be affected by rapid loss of gas or carbon depletion, we chose to use dust masses for our comparisons, scaling them to total disc masses by using the 1:100 dust-to-gas ratio determined by Bohlin et al. (1978), which assumes that protoplanetary discs inherit this ratio from the interstellar medium. Recent observations, however, suggest that the dust-to-gas ratio might actually be much lower (Ansdell et al. 2016; Miotello et al. 2017). The implications of this to our analyses are further discussed in section 5.4.

**Trapezium cluster** For disc sizes in the Orion Trapezium cluster we used a sample of 135 bright proplyds and 14 disc silhouettes from Vicente & Alves (2005), corresponding to dust radii. The dust mass distribution of circumstellar discs in the Trapezium were obtained from Mann & Williams (2009). The stellar mass accretion rates were obtained from Robberto et al. (2004).

**Lupus clouds** Gas radii for 22 circumstellar discs in the Lupus star forming region were obtained from Ansdell et al. (2018). Dust masses for 22 discs were obtained from Tazzari et al. (2017). Stellar mass accretion rates were obtained from Alcalá et al. (2014).

Table 2.1: Observational values and obtained similar simulations for the observed star forming regions. References: <sup>(a)</sup>Hillenbrand & Hartmann (1998), <sup>(b)</sup>Muench et al. (2002), <sup>(c)</sup>Hillenbrand (1997), <sup>(d)</sup>Schulz et al. (2015), <sup>(e)</sup>Comerón (2008), <sup>(f)</sup>Merín et al. (2008), <sup>(g)</sup>Luhman (2007), <sup>(h)</sup>Roccatagliata et al. (2018), <sup>(i)</sup>Boulanger et al. (1998), <sup>(j)</sup>Sacco et al. (2017), <sup>(k)</sup>Sherry et al. (2004), <sup>(l)</sup>Schaefer et al. (2016), <sup>(m)</sup>Caballero (2008), <sup>(n)</sup>Carpenter et al. (2006), <sup>(o)</sup>Freibisch & Mamajek (2008), <sup>(p)</sup>Luhman & Mamajek (2012)

	Trapezium	Lupus clouds	Chamaeleon I	$\sigma$ Orionis	Upper Scorpio
Age (Myr)	$\sim 1^{(a)}$	$1 - 3^{(e)}$	$2 - 3^{(g)}$	$3 - 5^{(k)}$	$5 - 11^{(n)}$
Distance (pc)	$450^{(b)}$	200 (Lupus III) <sup>(e)</sup> 150 (Lupus I, IV) <sup>(e)</sup>	$\sim 190^{(h)}$	$385^{(l)}$	$145 \pm 2^{(p)}$
R (pc)	$1^{(c)}$	$\sim 52^{(f)}$	$4^{(i)}$	$3^{(m)}$	$15^{(p)}$
N	$\sim 2000^{(c)}$	$\sim 12700^{(f)}$	$\sim 240^{(i)}$	$340^{(m)}$	$863^{(q)}$
$\rho_N$ (stars pc <sup>-3</sup> )	$\sim 250^{(d)}$	$\sim 500$	$\sim 0.9$	$\sim 3$	$\sim 0.05$
Simulation $N$	750	1000	25	25	25
Simulation $\rho_N$ (stars pc <sup>-3</sup> )	1816.09	509.35	35.25	35.25	35.25
Disc size	$2 \times 10^{-3}$	$2 \times 10^{-3}$	$10^{-4}$	$10^{-4}$	$10^{-4}$
Disc mass	$10^{-4}$	$10^{-2}$	$10^{-4}$	$10^{-2}$	$10^{-2}$
Accretion rate	$10^{-4}$	$10^{-2}$	$10^{-2}$	$10^{-2}$	$10^{-4}$

**Chamaeleon I** Dust radii for 87 circumstellar discs in the Chamaeleon I star forming region were obtained from Pascucci et al. (2016). Dust masses for 93 sources were obtained from Mulders et al. (2017). Accretion rates for this region were taken from Manara et al. (2017).

**$\sigma$  Orionis** Measurements of dust radii and stellar accretion rates for 32 sources in this star forming region were obtained from Maucó et al. (2016). Dust masses for 92 sources were taken from Ansdell et al. (2017).

**Upper Scorpio** Gas radii for 57 sources in the Upper Scorpio star forming region were taken from Barenfeld et al. (2017). Dust masses for the circumstellar discs were obtained from (Barenfeld et al. 2016).

### Preparing simulation results for comparison

In the previous sections we represented the size of a disc with its characteristic radius, which encloses  $\approx 63\%$  of its mass (Equation 5.13). As a way to do a parallel with actual observations of circumstellar disc sizes, we follow Tazzari et al. (2017) in fitting the outer radius of a disc at the point where 95% of the mass of the disc is enclosed. To perform the comparisons with observations, we redefined our simulated disc radii as to contain 95% of the disc mass, as follows. Equation 2.4 can be rewritten as

$$\Gamma = \left( \frac{R}{(1 + t/t_v)^{1/(2-\gamma)} R_c(0)} \right)^{2-\gamma}.$$

Using Equation 5.13, this can be rewritten as

$$\Gamma = \left( \frac{R}{R_c(t)} \right)^{2-\gamma}.$$

The radius  $R_M$  that encompasses the mass  $M$  can be found by solving Equation 2.3, from which we obtain

$$\left( \frac{R_M}{R_c(t)} \right)^{2-\gamma} = \ln \left( \frac{1}{1 - M/M_d(t)} \right).$$

Since we are using  $\gamma = 1$  in our simulations, this further simplifies to

$$R_M = R_c(t) \ln \left( \frac{1}{1 - M/M_d(t)} \right)$$

so the radius  $R_{0.95}$  that encompasses 95 % of the disc mass is

$$R_{0.95} = \ln(20)R_c(t) \approx 3R_c(t)$$

### Comparison

The observed star forming regions have distinct ages and stellar densities. This is taken into account when we compare them with simulations. The comparisons were performed as follows: first, the stellar densities of the observed regions were obtained from the literature (observational parameters can be found in Table 2.1). We performed new simulations with the same initial conditions mentioned in section 2.3.1, except now with number of stars

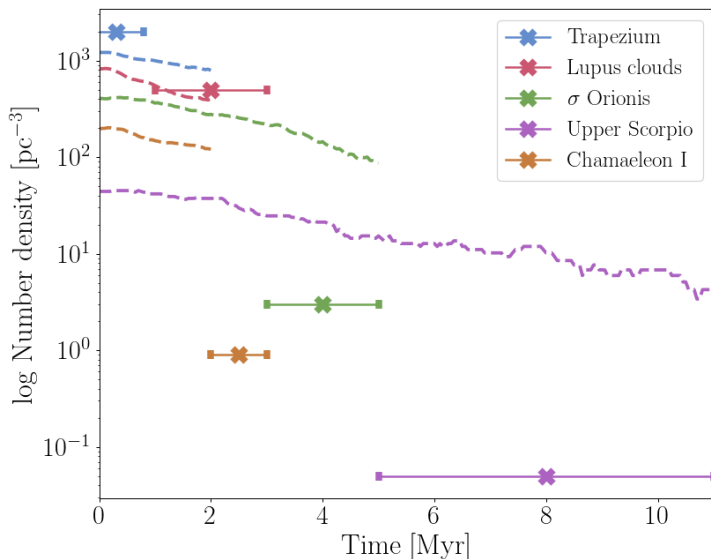


Figure 2.4: Observed stellar densities of star clusters, along with the most similar simulation. Simulations are plotted at the time comparable to the estimated age of the corresponding cluster (dashed lines in the same colors). The process carried out to find the most similar simulations is described in section 2.3.4. References for the observational values can be found in Table 2.1.

$N=[25, 50, 100, 125, 250, 500, 750, 1000, 1250, 1500, 1750, 2000, 2250, 2500, 5000]$  and with values of  $\alpha=[10^{-4}, 2 \times 10^{-3}, 5 \times 10^{-3}, 7 \times 10^{-3}, 10^{-2}]$ , to allow the observations to be compared with simulated clusters spanning a wider range of parameter space for  $N$  and  $\alpha$  than the one used for our previous results. Given that the star forming regions with the largest estimated ages are the ones with lowest stellar densities (see Table 2.1), additional simulations with  $N=[25, 50, 100, 125]$  were run for 11 Myr. For each observed star forming region, we went through the different simulations and looked at the stellar density at the point in time corresponding to the estimated age for the observed star forming region. The simulation which resulted on the closest stellar density to the one of the observed region was selected as the most similar one.

For the selected stellar density, there are different values of the turbulence parameter  $\alpha$ . This means an additional step is needed to determine the simulation result closest to each star forming region. A Kolmogorov–Smirnov (KS) test was performed between the observed and simulated distributions of disc size, disc mass, and accretion rate. A separate KS test was carried out for each of these parameters.

In Figure 2.4 we show the observational values for stellar density, along with the comparable simulation for each observation. The regions with higher stellar densities (the Trapezium cluster and the Lupus clouds) find good matches in our simulations. For the clusters with lower densities (Chamaeleon I,  $\sigma$  Orionis, and Upper Scorpio), even the simulations with the lowest densities are not a good match.

Given that the results for different gas scenarios in section 2.3.3 show that disc evolution is dominated by viscous growth rather than dynamical truncations, we are interested in determining if our model is nevertheless able to reproduce the observed distributions of disc

sizes, masses, and accretion rates. In Figure 2.5 we show the cumulative distributions for disc size, disc mass, and accretion rates for each observed cluster (solid lines) together with its corresponding simulation (dashed line). The simulation curves are plotted at the point in time coinciding with the estimated age of the clusters. The closest resemblance of one of our simulations to an observation is obtained for the Trapezium cluster. The simulation closest to the Lupus region curve both under and over estimates disc sizes. Our model tends to underestimate disc sizes for the top region of the Upper Scorpio observations. This can be related to the fact that gaseous radii were considered for these regions, which leads to large disc sizes (Ansdell et al. 2018). For Upper Scorpio, however, as reported in Barenfeld et al. (2017) only 4 discs of their sample turn out to have large gas discs. For most of the Upper Scorpio data, as well as for the Chamaeleon I data, our model overestimates disc sizes.

Regarding the disc masses, in the center panel of Figure 2.5 it can be seen that good simulation matches are found for the Lupus clouds and Chamaeleon I. The masses for Upper Scorpio and  $\sigma$  Orionis are overestimated by our simulations, whereas the masses for the Trapezium cluster are underestimated.

## 2.4. Discussion

We carried out simulations to understand how the combined effect of viscous disc evolution and leftover gas from the star formation process affect the development of circumstellar discs in star clusters. The discs are subject to viscous growth and can be truncated by dynamical interactions with nearby stars.

In our simulations we ignore various physical mechanisms that can alter the size and mass of circumstellar discs and cluster dynamics over its bound life-time. These effects include the tidal field of the galaxy, stellar evolution, and radiative feedback processes. Initially, our clusters are composed of single stars each of which has a relatively massive but small ( $\sim 30$  au) disc, in which orientation is ignored and truncation radius is defined as the average over all inclinations.

Photoevaporation of a circumstellar disc can be caused both by the central star or by nearby OB stars present in the clusters. The influence of external UV radiation can have an important effect on the outer parts of the circumstellar discs, causing mass loss and further diminishing their radii (Scally & Clarke 2001; Guarcello et al. 2016).

Other mechanisms neglected in our model are ram-pressure stripping and face-on accretion on discs. Wijnen et al. (2016, 2017a) demonstrated that face-on accretion of ambient gas in embedded star-forming regions can cause circumstellar discs to contract, while the ram pressure exerted by the interstellar medium strips the outer parts of the discs. Nearby supernovae could also have important repercussions on the morphology and mass of circumstellar discs (Close & Pittard 2017; Portegies Zwart et al. 2018), but since our clusters are very young we ignore this effect.

Encounters between stars with discs could result in the exchange of disc-material from one to the other and affect the shape and mass of both discs (Jilková et al. 2016). Such encounters also tend to harden the surface density of the discs, making their density profiles diverge from the similarity solutions (Hall 1997).

In Figure 2.6 we present a schematic overview of the various processes that can affect the final characteristics of circumstellar discs in young star clusters. We take values for viscous growth and dynamical truncations based in our results. We also include ram pressure stripping, stellar feedback from winds and supernovae, and external photoevaporation with values obtained from literature. This figure is intended as a guideline to visualize how incomplete our understanding of the processes that happen inside young star clusters still



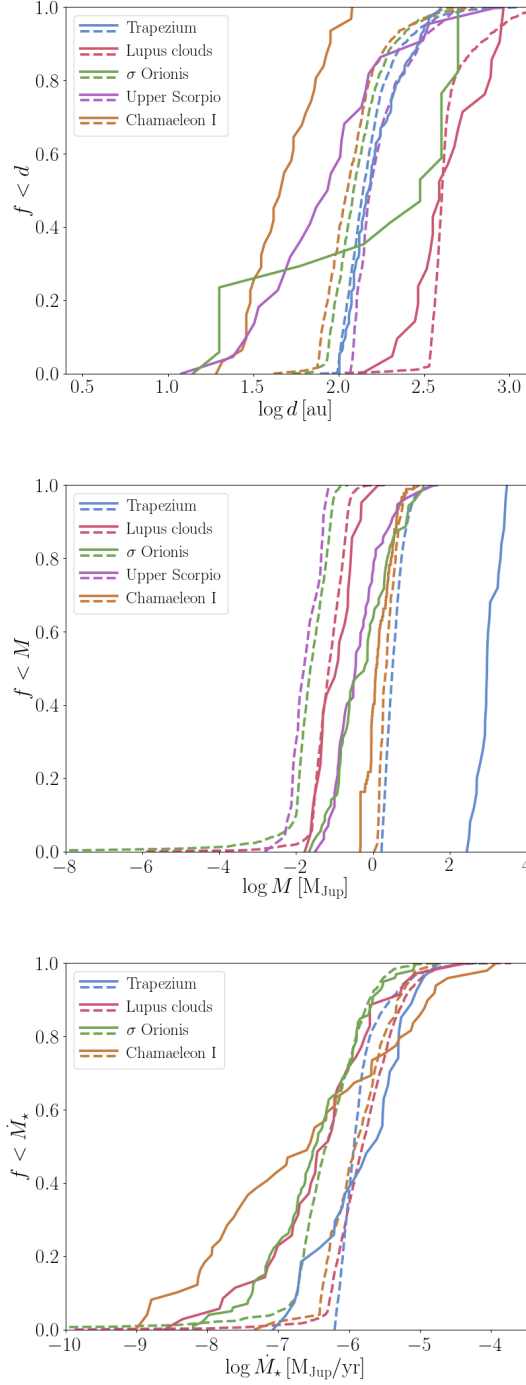


Figure 2.5: Observed cumulative distributions for the mean disc size (top panel) and mass (center), and accretion rate onto central star (bottom panel) for observed clusters (solid lines) along with the most similar simulation result (dashed lines) obtained at the time comparable to the estimated age of the corresponding cluster. Accretion rates measurements for the discs on the Upper Scorpio region were not available at the time of this paper.

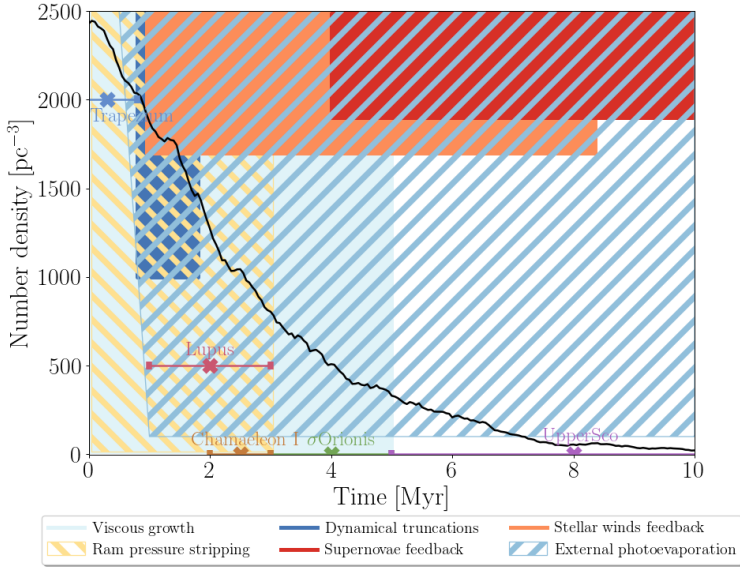


Figure 2.6: Physical processes in embedded clusters and their corresponding time scales and density scales. The cluster density shown corresponds to one of our simulations for  $N = 1500$ ,  $\alpha = 5 \times 10^{-3}$ . References: Ram pressure stripping Wijnen et al. (2016, 2017a); Dynamical truncations Portegies Zwart (2016); Vincke & Pfalzner (2016); Wijnen et al. (2017a); Supernovae feedback Pelupessy & Portegies Zwart (2012); Parker et al. (2014); Stellar winds feedback Pelupessy & Portegies Zwart (2012); External photoevaporation Adams (2010); Anderson et al. (2013); Facchini et al. (2016).

is. These processes need to be better constrained in parameter space before we can further discuss which ones dominate in the existing observations of young star forming regions.

Stellar density for a simulation with  $N = 1500$ ,  $\alpha = 5 \times 10^{-3}$  is shown as a guide. Our simulations run only for 2.0 Myr, and after that the clusters are not dense enough for dynamical truncations to be important. We expand the influence of viscous growth up to 5.0 Myr. This is the point when the diversity in spectral-energy distributions of observed circumstellar discs settles down and discs are predominantly weak. This means that they could have dissipated or that gas depletion or planet formation could be taking place (Hillenbrand 2008; Gorti et al. 2016). In our model, as well as in the literature, dynamical truncations do not appear to be a critical process for disc shrinking, in particular when encounters with other stars are distant and when the discs are also affected by external photoevaporation due to bright OB stars (Rosotti et al. 2018; Winter et al. 2018a,b). External photoevaporation can also start in early stages of cluster evolution ( $\sim 0.5$  Myr) and carry on for almost the whole life of the bright OB stars generating the strong UV radiation (Adams 2010). Discs can be expected to always be destroyed by external photoevaporation within 10 Myr (Anderson et al. 2013). Based on the work of Facchini et al. (2016) we extend external photoevaporation down to  $N = 100$  stars, since their results show that discs with radius  $> 150$  au can endure intense mass loss even for very low ambient far UV fields ( $G_0 \sim 30$ )<sup>2</sup>. We set the start of external photoevaporation effects at 1.0 Myr for low stellar densities, because this is the point where the average disc size for our isolated discs reaches 150 au. Feedback effects of supernovae start after  $\sim 4$  Myr. At stellar densities  $\gtrsim 1900 \text{ pc}^{-3}$  it can affect the evolution of the discs and even destroy them (Pelupessy & Portegies Zwart 2012; Parker et al. 2014).

<sup>2</sup> $G_0 = 1.6 \times 10^{-3} \text{ erg s}^{-1} \text{ cm}^{-2}$ , the interstellar FUV value

Winds from nearby stars can affect their Neighbors from times as early as 0.96 Myr; however, stellar densities  $\gtrsim 1500 \text{ pc}^{-3}$  are needed for this to affect the evolution of the discs (Pelupessy & Portegies Zwart 2012). Ram pressure stripping and face-on accretion affect the discs all through the embedded phase of young star clusters, even for very low stellar and gas densities (Wijnen et al. 2016, 2017a).

The discrepancy between observations and simulation results could reflect not only the need to include more physical processes. The initial conditions chosen for the simulations could also not be representative of real clusters. It is possible that young stellar clusters present substructure, in which the local stellar density might be higher and in turn lead to more dynamical encounters (Goodwin & Whitworth 2004).

We overlook the presence of primordial binaries in our initial conditions, which could also contribute to the overestimation of our disc sizes. Cox et al. (2017) show that discs around binary stars tend to be smaller than their isolated counterparts, and they tend to be less bright. Neglecting primordial binaries is a rather strong assumption, because they tend to have a strong effect of the disc-size distribution and their survivability in the cluster. We realize that our simulations tend to overestimate disc sizes, but observations may as well underestimate disc sizes, in particular of the outer extended regions of discs where they have a low surface density.

The different descriptions of observed disc radii and masses used together in section 2.3.4 may also explain why we do not see consistency in the over and underestimation of these parameters in our simulations. Having a uniform description of the observational data would be ideal to perform a more accurate comparison. Thanks to Gaia DR2 (Brown et al. 2018), the distances to the star forming regions considered in this work are being calculated more precisely (e.g. Roccatagliata et al. 2018), which could also reflect differences in disc sizes from the ones here reported. The first-order approach obtained in this paper, however, serves as a good guideline as to where to direct future developments.

## 2.5. Summary and conclusions

We studied the effect of viscous growth and dynamical truncations of circumstellar discs inside young star clusters. We used a semi-analytic model to include the viscous evolution of the discs, and a background potential to implement the gas in the cluster. We studied three scenarios for the gas: no gas in the cluster, constant gas through the cluster's evolution, and gas expulsion halfway through the cluster's evolution. For this, we ran simulations with number of stars  $N = 1500$ , turbulence parameter  $\alpha = 10^{-2}$  and  $\alpha = 5 \times 10^{-3}$ , and spanning 2.0 Myr of cluster evolution.

Our simulations result in similar distributions for average disc size, disc mass, and accretion rate onto the central star, independent of the gas in the cluster. Although clusters without leftover gas result in a higher amount of discs with radius  $\lesssim 500 \text{ au}$ , in our simulations gas presence does not seem to largely shape the final distribution of circumstellar disc parameters. In an environment where dynamical truncations were important in shaping the sizes of the circumstellar discs, we would expect the presence of gas to make a difference in the final disc size distribution, since stars still embedded in leftover gas have higher velocity dispersions (Vincke & Pfalzner 2016) which in turn leads to more dynamical encounters. In the parameter space spanned by our simulations, dynamical truncations are overtaken by viscous growth in determining the size of the circumstellar discs.

The different values of the turbulence parameter are reflected in the resulting sizes of circumstellar discs. Simulations with fast viscous growth ( $\alpha = 10^{-2}$ ) return bigger discs, but these discs are still not large enough to be affected by dynamical encounters. The size of the

circumstellar discs in our simulations is only defined by the inherent viscous growth of the discs. Dynamical truncations do not play an important role in the determination of the final disc sizes and masses.

We performed a comparison of our simulation results with observational data of circumstellar disc sizes, masses, and stellar accretion rates in several young star forming regions. To better match the stellar densities of the observed regions, we expanded the parameter space of our simulations to number of stars  $N=[25, 50, 100, 125, 250, 500, 750, 1000, 1250, 1500, 1750, 2000, 2250, 2500, 5000]$  and values of  $\alpha=[10^{-4}, 2 \times 10^{-3}, 5 \times 10^{-3}, 7 \times 10^{-3}, 10^{-2}]$ . We also adjusted the definitions of size and mass of our simulated discs to suit the descriptions of the observed discs.

Low values of the turbulence parameter ( $\alpha=10^{-4}$ ) are not enough to reproduce the small circumstellar disc sizes observed in the star forming regions. Simulations with higher values of  $\alpha$  ( $\alpha=5 \times 10^{-3}$  and higher) differ even more from the observed disc distributions. The stellar density of the simulated clusters is not enough for dynamical encounters to actively truncate the discs and reproduce observed circumstellar disc sizes. Dynamical truncations by themselves are not relevant enough to shape the observed distributions of circumstellar disc sizes and masses. Other processes are at play in terms of counteracting the viscous growth of the discs.

Compared to observations, our model both under and overestimates different disc parameters, but does not show a consistent behaviour related to the data. This could be due to the physical processes ignored in this work, or to an incorrect selection of initial conditions. It is also important to note that the observational data is not uniformly characterized, which could contribute to the incongruence with simulation results. The distributions of disc parameters obtained by our simulations, if not accurate, still fall within ranges in agreement with the ones spanned by observations of different star forming regions.

\* \* \*