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Parallel enforcement of international cartels and its impact on the proportionality of overall punishment

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ANNEX 1

ABBREVIATIONS

AAI	American Antitrust Institute
ABA	American Bar Association
ACM	Autoriteit Consument & Markt, the Dutch competition authority
AG	Advocate General
BBA	British Bankers' Association
BMA-ABC	<i>Belgische Mededingingsautoriteit / Autorité Belge de la Concurrence</i> , the Belgian competition authority
CBb	<i>College van Beroep voor het bedrijfsleven</i> , the Dutch Trade and Industry Appeals Tribunal
CISA	Convention Implementing the Schengen Agreement
CFTC	US Commodity Futures Trading Commission
CMA	UK Competition and Markets Authority
CNMC	<i>Comisión Nacional de los Mercados y la Competencia</i> , the Spanish competition authority
COMCO	Swiss Competition Commission
DOJ	US Department of Justice
DPP	Dutch public prosecutor (<i>Openbaar Ministerie</i> or <i>OM</i>)
ECHR	European Convention on Human Rights and Fundamental Freedoms
ECJ	European Court of Justice
ECN	European Competition Network
EEA	European Economic Area
EU	European Union
FCA	UK Financial Conduct Authority
FSA	UK Financial Services Authority (now the FCA)
FTAIA	US Foreign Trade Antitrust Improvements Act
FTC	US Federal Trade Commission
DNB	<i>De Nederlandse Bank</i> , the Dutch central bank
FBI	US Federal Bureau of Investigation
FINMA	Swiss Financial Market Supervisory Authority
HIBOR	Hong Kong Interbank Offered Rate
HKMA	Hong Kong Monetary Authority
IBA	International Bar Association
ICCPR	International Covenant on Civil and Political Rights
ICN	International Competition Network
ICPAC	International Competition Policy Advisory Committee

JFSA	Japan Financial Services Agency
JFTC	Japan Fair Trade Commission
LCD	Liquid crystal display
LIBOR	London Interbank Offered Rate
METI	Japanese Ministry of Economy, Trade and Industry
NCA	National competition authority
NMa	<i>Nederlandse Mededingingsautoriteit</i> (now the ACM)
OECD	Organisation for Economic Cooperation and Development
OEM	Original equipment manufacturer
OFT	UK Office of Fair Trading (now CMA)
OPEC	Organisation of Oil Exporting Countries
PCIJ	Permanent Court of International Justice
PIC	Private International Cartels
PRA	UK Prudential Regulation Authority
ROW	Rest of world
SDNY	US States District Court for the Southern District of New York
SEC	US Securities and Exchange Commission
SFO	UK Serious Fraud Office
SIBOR	Singapore Interbank Offered Rate
TFEU	Treaty on the Functioning of the European Union
TIBOR	Tokyo Interbank Offered Rate
UK	United Kingdom
US	United States
VoC	Volume of Commerce

ANNEX 2

LIST OF CASES

European Union

European Court of Justice

ECJ judgment of 13 February 1969 in case 14/68 *Walt Wilhelm v Bundeskartellamt*, ECLI:EU:C:1969:4
ECJ judgment of 25 November 1971 in case C-22/71 *Béguelin Import v G.L. Import Export*, ECLI:EU:C:1971:113
ECJ judgment of 14 July 1972 in case C-48/69 *ICI v Commission (Dyestuffs)*, ECLI:EU:C:1972:70
ECJ judgment of 14 December 1972 in case C-7/72 *Boehringer Mannheim v Commission*, ECLI:EU:C:1972:125
ECJ judgment of 27 September 1988 in cases 89/85 etc, *Ahlström v Commission (Woodpulp)*, ECLI:EU:C:1988:447
ECJ judgment of 21 September 1989 in case 68/88 *Commission v Greece*, ECLI:EU:C:1989:339
ECJ judgment of 18 October 2001 in case C-354/99 *Commission v Ireland*, ECLI:EU:C:2001:550
ECJ judgment of 11 February 2003 in cases C-187/01 and C-385/01 *Gözütok and Brugge*, ECLI:EU:C:2003:87
ECJ judgment of 7 January 2004 in cases C-204/00 P etc. *Aalborg Portland v Commission*, ECLI:EU:C:2004:6
ECJ judgment of 28 June 2005 in cases C-189/02 etc. *Dansk Rørindustri A/S and others v Commission*, ECLI:EU:C:2005:408
ECJ judgment of 18 May 2006 in case C-397/03 P *Archer Daniels Midland v Commission*, ECLI:EU:C:2006:328
ECJ judgment of 29 June 2006 in case C-289/04 P *Showa Denko v Commission*, ECLI:EU:C:2006:431
ECJ judgment of 29 June 2006 in case C-308/04 P *SGL Carbon v Commission*, ECLI:EU:C:2006:433
ECJ judgment of 18 July 2007 in case C-367/05 *Norma Kraaijenbrink*, ECLI:EU:C:2007:444
ECJ judgment of 4 June 2009 in case C-8/08 *T-Mobile Netherlands and others*, ECLI:EU:C:2009:343
ECJ judgment of 14 February 2012 in case C-17/10 *Toshiba Corporation v Urad pro ochranu hospodarske souteze*, ECLI:EU:C:2012:72
ECJ judgment of 12 November 2014 in case case C-580/12 P *Guardian Industries*, ECLI:EU:C:2014:2363
ECJ judgment of 23 April 2015 in case C-227/14 P *LG Display v Commission*, ECLI:EU:C:2015:258
ECJ judgment of 9 July 2015 in case C-231/14 P *InnoLux*, ECLI:EU:C:2015:451
ECJ judgment of 6 September 2017 in case C-413/14 *Intel*, ECLI:EU:C:2017:632
ECJ judgment of 10 July 2019 in case C-39/18 *Commission v ICAP and others*, ECLI:EU:C:2019:584

General Court / Court of First Instance

Court of First Instance judgment of 25 March 1999 in case T-102/96 *Gencor v Commission*, ECLI:EU:T:1999:65
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Court of First Instance judgment of 27 September 2006 in case T-204/03 *Haladjian Frères v Commission*, ECLI:EU:T:2006:273.
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General Court order of 13 June 2013 in case T-94/11 *AU Optronics v Commission*, ECLI:EU:T:2013:313
General Court judgment of 27 February 2014 in case T-91/11 *InnoLux v Commission*, ECLI:EU:T:2014:92
General Court judgment of 27 February 2014 in case T-128/11 *LG Display v Commission*, ECLI:EU:T:2014:88
General Court judgment of 12 June 2014 in case T-286/09 *Intel v Commission*, ECLI:EU:T:2014:547
General Court judgment of 10 November 2017 in case T-180/15 *ICAP and others v Commission*, ECLI:EU:T:2017:795
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European Commission decision of 1 June 1964 in case IV-A/12.868 *Bendix & Mertens et Straet*
European Commission decision of 24 July 1969 in case IV/26.267 *Dyestuffs*
European Commission decision of 19 December 1984 in case IV/29.725 *Woodpulp*

European Competition decision of 16 May 2000 in case Case IV/34.018 *FETTSCA*
European Commission decision of 7 June 2000 in case COMP/36.545 *Amino Acids*
European Commission decision of 21 November 2001 in case COMP/E-1/37.512 *Vitamins*
European Competition decision of 5 December 2001 in case COMP/E-1/36 604 *Citric acid*
European Commission decision of 29 November 2002 in case COMP/M.2879 *Wallenius Lines / Wilhelmsen / Hyundai Merchant Marine*
European Competition decision of 17 December 2002 in case COMP/C.37.671 *Food flavour enhancers*
European Commission decision of 3 December 2003 in case COMP.38.359 *Electrical and mechanical carbon and graphite products*
European Commission decision of 24 January 2007 in case COMP/38.899 *Gas Insulated switchgear*
European Commission decision of 13 May 2009 in case COMP/37.990 *Intel*
European Commission decision of 9 November 2010 in case AT.39258 *Airfreight*
European Commission decision of 8 December 2010 in case COMP/39.309 *LCD*
European Commission decision of 31 March 2011 in case COMP/39.168 *Fasteners*
European Commission decision of 4 December 2013 in case AT.39914 *Euro Interest Rate Derivatives*
European Commission decision of 21 October 2014 in case AT.39924 *Swiss Franc Interest Rate Derivatives*
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Belgium

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France

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Spain

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Canada

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Supreme Court judgment of 3 January 2011 in case *Valverde v AMA, UCI and RFEC* 4A_386/2010.

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iiyama (UK) Ltd and others v Samsung Electronics Co Ltd and others (2016), EWHC 1980 (Ch)

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ANNEX 3

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