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Local ablative therapies for colorectal liver metastases and the immune system

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Chapter 1

General Introduction

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Locoregional treatment of colorectal liver metastases

Colorectal cancer is one of the most common malignancies in the western world and is associated with a poor prognosis. This is mainly due to the occurrence of metastases, predominantly in the liver. Synchronous liver metastases are found in 10 to 25% of patients¹ and eventually over 70% of patients will develop liver metastases^{2,3}. Without treatment, these patients have a poor life expectancy of 5 to 9 months⁴⁻⁷. If metastases are confined to the liver, surgical removal of the liver metastases is a possible curative treatment option. Unfortunately only 20 to 30% of patients with liver metastases are eligible for resection, as number, size and location of liver metastases often preclude resection⁸. However, several other locoregional treatment modalities such as local ablative therapies and locoregional chemotherapy may offer palliation, prolongation of survival or even curation in this patient group.

Hepatic resection

Currently, resection of liver metastases is the gold standard treatment for colorectal liver metastases. Only patients with liver metastases that can be resected with tumour-free margins and with sufficient functioning liver volume are eligible for resection, which drastically limits the applicability of this curative treatment. However, the restriction of inadequate remaining liver volume may be overcome by selective chemoembolization of the portal vein branches feeding the liver lobe in which the tumour is located⁹. Upon embolization, hypertrophy of the remaining liver lobe is induced, increasing the functioning liver volume to an acceptable percentage.

Resection of liver metastases is associated with morbidity rates of 8–24%, mainly related to the major abdominal operation it implies¹⁰⁻¹⁵. Complications related specifically to liver resection include bile leak, bile fistulas and formation of perihepatic abscess. Postoperative mortality rate is less than 4%, with the main causes of death being perioperative haemorrhage, infection and liver failure. Results of hepatic resection have been extensively reported, with large studies showing 5-year survival rates of 27–37%^{12,16-19}. However, up to 20% of patients develop new liver tumours or extrahepatic disease after hepatic resection²⁰⁻²². Prognosis after resection is strongly associated with obtaining tumour-free resection margins, as the extent of the resection margin correlates with a better prognosis²³⁻²⁷. Other factors that influence prognosis are the stage of the primary tumour, disease-free interval, number and size of liver metastases, preoperative CEA and extrahepatic disease²⁸⁻³³.

Local ablative techniques

Various local ablative techniques are available for the treatment of colorectal liver metastases.

Radiofrequency ablation (RFA) and cryotherapy are currently most often applied, other modalities include laser induced thermotherapy (LITT), photodynamic therapy (PDT), percutaneous alcohol injection (PAI) and stereotactic radiotherapy.

Radiofrequency ablation – In RFA, needle electrodes deliver a high frequency alternating current to the tissue, causing hyperthermia of the tissue and thus inducing coagulative necrosis. RFA electrodes can be either single probes, inducing a cylindrical necrotic lesion, or multi-tined expandable electrodes that induce spherical lesions. Similar to resection, an adequate necrotic margin surrounding the tumour should be achieved by RFA. This often requires multiple insertions when tumours are over 3 cm in diameter and emphasizes the pivotal importance of correct placement of the electrodes. Electrodes are usually placed under ultrasound guidance, either percutaneously or in an open procedure. The latter may be preferred when correct placement of electrodes is hindered (large tumours, compromised electrode accessibility) or when there is an increased risk of complications (tumours close to large vessels, diaphragm or to adjacent internal organs). Additionally, RFA can be easily applied in combination with surgical resection when there is bilobar distribution of liver tumours that cannot all be surgically removed. Thus, RFA broadens the applicability of resection, enabling potentially curative treatment in more liver metastases patients than the present 20–30%.

RFA also has considerable merits on its own, as it has resulted in complete response rates of 52–95%. It can offer palliation by prolongation of disease-free and overall survival to respectively 50% and 94% at 1 year, with a median survival time of 30–34 months^{34–40}. Possibly it can result in curation, although at present the limited follow-up time in most studies does not allow a meaningful determination of survival rates. Until there is further research available into the comparison of RFA with conventional surgery, resection is still considered to be the gold standard therapy for colorectal liver metastases. However, RFA can be applied when resection is not possible due to intimate association with major blood vessels, or when the medical condition of the patient or previous hepatic resection hampers a large open procedure.

Cryoablation – For cryoablation, a probe through which liquid nitrogen circulates is inserted in the lesion, repeatedly freezing and thawing the surrounding tumour tissue. The resulting formation of intra- and extracellular ice crystals causes tumour destruction. Median survival of around 26 months has been reported^{41–45} but morbidity rate is considerable, ranging from 10 to 30%, with bleeding of the liver as most serious complication. Several studies have compared RFA with cryotherapy, and confirmed the high rates of local recurrence and complications after cryosurgery^{46,47}. In addition, RFA probes are of smaller diameters

than those used in cryotherapy, which facilitates the applicability of RFA. Most clinicians therefore seem to prefer RFA to cryotherapy and the use of RFA now greatly exceeds that of cryotherapy.

Laser induced thermotherapy & photodynamic therapy – In smaller and more experimental settings, LITT and PDT have also shown to be able to adequately treat liver tumours. LITT resembles the RFA technique, as it is based on the generation of heat in the tumour. However, heat is not generated by high frequency current but by a laser applicator that delivers light energy through optical fibres inserted in the target tissue. The resulting coagulative necrosis leads to tumour destruction⁴⁸. Studies have shown tumour responses up to 97% after 6 months, with median survival ranging from 32 to 39 months^{49–52}.

Similarly to LITT, PDT makes use of optical fibres and laser light. Contrary to LITT and RFA, antitumour effect is not based on generation of heat but of reactive oxygen species. In PDT, a photosensitising agent is administered systemically and will, with varying specificity, localize in tumour tissue^{53,54}. Upon subsequent tumour illumination by light of an appropriate wavelength, the photosensitiser is excited by photons to an unstable higher energy level. When returning to its ground state energy level, the absorbed energy is transferred to oxygen, which leads to the formation of reactive oxygen species. These reactive oxygen species are cytotoxic and cause direct tumour cell damage and vascular damage, resulting in both apoptosis and necrosis⁵⁵. PDT has been successfully applied in a rat model for liver metastases^{56,57} and results of a phase I trial show PDT to be safe, feasible and effective in treatment of colorectal liver metastases as well⁵⁸.

Percutaneous alcohol injection – Another technique involving percutaneous insertion of probes directly into the tumour is the intratumoural injection of alcohol. PAI is widely used in the treatment of HCC with tumour response rates up to 80%⁵⁹, but its role in treatment of colorectal liver metastases is not as well defined. For PAI, sterile ethyl alcohol is injected through a needle that is placed in the tumour under ultrasound-guidance. The alcohol will cause chemical coagulative necrosis, followed by formation of fibrotic tissue and thrombosis of small intratumoural vessels. In liver metastases from various primary tumours, complete necrosis is obtained in 52–58% of treated tumours^{60,61} and median survival is 26 months. The more solid aspect of colorectal liver metastases however impairs the adequate injection of sufficient volumes of alcohol in the tumours. This is corroborated by the poor results of PAI in these tumours, with no necrosis induced in 22 tumours⁶². Although complications are minimal, PAI does not seem to be a very promising technique in treatment of colorectal liver metastases, due to its low antitumour efficacy.

Stereotactic radiotherapy – Stereotactic radiotherapy is a technique which value is not yet assessed in large clinical trials. As the liver has a low tolerance dose for radiation and studies concerning whole-liver irradiation for liver metastases did not show any survival benefit, radiotherapy was until recently not a part of standard nor experimental treatment for colorectal liver metastases. However, improvements in patient

positioning and 3D planning software have enabled stereotactic treatment of liver tumours, with a single high dose of radiotherapy being delivered to a specific focus in the liver with minimal damage to healthy liver tissue^{48,63-66}.

Results of a phase I/II trial with single-dose stereotactic radiotherapy for liver tumours were reported by Herfarth et al⁶⁷. A total of 60 liver tumours, half of which were colorectal liver metastases, were treated with this technique without complications and with local control at 6 weeks achieved in 98% of the tumours. Although at present there is no substantial evidence indicating that results with radiotherapy are comparable to those achieved with other local ablative therapies, further clinical studies may advocate stereotactic radiotherapy as a new possible treatment modality in colorectal liver metastases.

Locoregional chemotherapy

If resection or local ablative therapy of colorectal liver metastases is not possible, patients may benefit from systemic chemotherapy. Systemic toxicity of chemotherapeutics however limits the use of these antitumour drugs. Administration of cytostatic drugs directly into the hepatic artery may overcome these problems, as systemic drug exposure is limited. Hepatic tumours derive their blood supply mainly from the hepatic artery and not from the portal system, as opposed to liver parenchyma. This allows for high doses to be delivered in the tumour while exposure of liver parenchyma is limited^{68,69}. Chemotherapeutics may be infused into the hepatic artery with or without further isolation of the liver circulation. In hepatic artery administration (HAI), drugs are administered into the hepatic artery without isolation of the liver circulation. Most commonly, fluorodeoxyuridine (FUDR) or 5-fluorouracil (5-FU) are used, with tumour response rates of up to 74%⁷⁰⁻⁷⁴. However, HAI does not increase overall or progression-free survival when compared to systemic chemotherapy and its clinical use seems therefore limited⁷⁵.

In isolated hepatic perfusion (IHP), drugs are also administered directly into the hepatic artery but in this technique, hepatic circulation is completely shut off from the systemic circulation. Perfusate containing cytostatic drugs (mostly melphalan) is circulated through the liver for a certain period of time, exposing tumour tissue to high drug doses while other vital organs are not affected⁷⁶. With this method, liver tumours can be treated with high doses of chemotherapeutics that would cause severe toxicity if administered systemically^{77,78}. Also, other anti-tumour compounds may be added that are not suitable for systemic administration, such as TNF- α ^{79,80}. IHP with varying treatment strategies has resulted in response rates up to 74% and median survival up to 29 months⁸¹⁻⁸³, and can therefore be of considerable value in the treatment of colorectal liver metastases. It should be noted that the development of new drugs for systemic chemotherapy, such as irinotecan and oxaliplatin, as well as the design of different combination therapies

with several drugs has increased median survival after systemic chemotherapy as well in recent clinical trials⁸⁴.

Local ablative techniques and immune response

Various in vivo and in vitro studies have assessed the involvement of the immune system in the efficacy of PDT and indicated an increased specific immune response upon PDT⁸⁵⁻⁸⁹. Korbelyik *et al.* found that selective depletion of macrophages, neutrophils or T cells significantly inhibited tumour cure of PDT treated sarcomas in mice⁹⁰. In addition, tumour cure after PDT of sarcomas in severe combined immune deficient (SCID) mice was shown to be strongly inhibited. After administration of splenocytes from immune competent mice whose sarcomas had been treated with PDT five weeks earlier, tumour cure was completely restored⁹¹. Not only is an intact immune system indispensable for an optimal initial antitumour effect of PDT, PDT may also increase resistance to subsequent tumour cell exposure. Chen *et al.* showed that after treatment of rat mammary tumours with PDT, rats did not develop new tumours when rechallenged with the same tumour cell line whereas rats that were treated with resection did develop tumours upon rechallenge⁹².

For cryoablation, various in vivo studies into its effect on the immune system have been conducted. As early as 1968, Shulman *et al.* found significantly increased serum titers of auto antibodies in rabbits that underwent cryosurgery of the male accessory glands⁹³. Cellular immunity may also play a role after cryotherapy, as rats and mice treated with cryotherapy exhibited increased resistance to subsequent tumour rechallenge^{94,95}. Faraci *et al.* published results of an in vivo experiment with mice bearing fibrosarcomas that were treated with a single cryosurgical treatment. After cryotherapy, humoral and cellular toxicity was increased in cryosurgical treated rats when compared to rats treated with resection⁹⁶. The role of cellular immunity upon cryotherapy was further elucidated in two Japanese studies assessing the resistance to tumour rechallenge after cryotherapy of subcutaneous mammary tumours in rats^{97,98}. Both authors found that in the first 6 weeks after treatment, tumour resistance was low, but at 10 weeks after treatment tumour resistance increased, exceeding tumour resistance in rats whose initial tumour was treated with surgical excision.

At this moment, only one study concerning the effect of RFA on the immune system has been published. Wisniewski *et al.* showed the presence of circulating tumour specific T cells after RFA treatment of VX2 hepatoma in 11 rabbits as well as increased T cell infiltration in tumour margins after RFA⁹⁹. In addition to these sparse data on RFA and the immune response, two studies concerning LITT have been published^{100,101}. Both studies showed that LITT of liver tumours in rats resulted in decreased occurrence of intraperitoneal

tumour spread. In the study by Isbert *et al.*, treatment of one out of two CC531 liver tumours by LITT also inhibited growth of the nearby, untreated tumour.

HAI and IHP differ from these ablative techniques as they are not local but regional, and tumour destruction is not as acute as with the local ablative techniques. At present, there is no data available on the effect of these treatments on the immune system.

If locoregional therapies for colorectal liver metastases indeed cause the generation or enhancement of a tumour specific systemic immune response, this could be a valuable starting point for further improvements in the antitumour efficacy of these techniques¹⁰². Further research into the exact nature of the interaction between local therapies and the immune system is indispensable and could be the first step towards incorporation of the immunological effects of locoregional therapies for liver metastases in daily clinical practice. It could expand the scope of these treatments from a local to a systemic level, thereby broadening its applicability and possibly improve its clinical results.

Background

In 1999, research into photodynamic therapy for colorectal liver metastases was initiated at the LUMC Department of Surgery. Initially, research was aimed at assessing the possibilities of PDT for colorectal liver metastases using a newly developed photosensitiser. In vivo experiments on pigs and rats proved interstitial PDT with this new sensitiser to be possible and a phase I clinical trial was designed.

This multicentre trial started in 2000 and a total of 24 patients were treated with this technique, 3 of whom in the Department of Surgery of the LUMC. Simultaneously, the RFA technique was introduced in our centre and the first patient was also treated in 2000. In addition to evaluating the antitumour efficacy of these treatments, we formulated the hypothesis that local ablative techniques may induce or enhance a systemic antitumour immune response. In various in vivo experiments on rats, RFA, PDT and HAI and their effects on the immune response were assessed.

This thesis contains results of both the clinical RFA and PDT trials and of the in vivo experiments with these local ablative techniques.

Outline of this thesis

The aims of this thesis were to evaluate the safety and anti-tumour efficacy of local treatments for colorectal liver metastases and to study the effects of these therapies on interaction between tumour cells and immune system

In **Chapter 2**, the difficulty of an immune response to effectively attack established tumours is shown in vivo. As local therapies such as PDT and RFA disrupt the structure of established tumours, they may induce an immune response due to the exposure of tumour antigens to cells of the immune system.

In **Chapters 3 and 4** we assess the effect of PDT, RFA and HAI on the immune system in vivo, using a rat model for colorectal liver metastases. In **Chapter 5**, the occurrence of an immune response following locoregional therapies in patients is evaluated by determination of the level of anti-colorectal carcinoma antibodies and the immune response related cytokines IL-10 and IFN-gamma.

Chapters 6, 7 and 8 report on results from clinical application of local therapies, PDT and RFA. In **Chapter 6**, results of a multicentre phase I trial on PDT for irresectable colorectal liver metastases show PDT to be an effective, safe and feasible treatment option. Adverse effects of the more frequently used RFA is evaluated in a retrospective study on RFA in The Netherlands, which is described in **Chapter 7**. In the following **Chapter 8** we investigate the local efficacy of RFA and identify parameters that influence local failure following RFA.

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