

Thrombophilia

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Thrombophilia is now considered a multicausal disease, with an interplay of acquired and genetic risk factors. Recent studies have shown that patients with the 20210 A prothrombin mutation display remarkably similar characteristics compared with patients with Factor V Leiden mutation. It is evident that neither the Factor V Leiden mutation nor the 20210 A prothrombin mutation is a major risk factor for myocardial infarction or stroke, unless accompanied by other classical risk factors, including diabetes mellitus, hypertension and smoking. Finally, the homozygous form of the thermolabile methylenetetrahydrofolate reductase gene, although leading to elevated homocysteine levels, seems not to represent a genetic risk factor for venous thrombosis. *Curr Opin Hematol* 1999, 6:291–297 © 1999 Lippincott Williams & Wilkins, Inc.

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Abbreviations

APC activated protein C
MTHFR methylenetetrahydrofolate reductase

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Venous thrombosis is a frequently occurring disorder with an estimated annual incidence of around one per 1000 people per year. The term “thrombophilia” is used to describe a tendency to develop thrombosis on the basis of inherited or acquired disorders of blood coagulation and fibrinolysis that increase the risk of thrombosis. Examples of acquired risk factors include immobilization, surgery, trauma, plaster casts, lupus anticoagulants, and malignancy. Besides, pregnancy and the use of estrogens have been shown to be associated with venous thrombosis. The entity of thrombophilia was first introduced in 1956 by Jordan and Nandorff [1], who described 43 family members with a thrombotic tendency. In 1965, Egeberg *et al.* [2] reported the first family with antithrombin deficiency and thrombotic tendency. Since then, multiple defects in the genes of anticoagulant proteins have been identified. Until 1994, the prevalence of then-known genetic defects with an established risk of venous thrombosis, *ie*, antithrombin, protein C and protein S, among patients with venous thrombosis was rather low. In a large series of 2132 patients with documented venous thrombosis, the prevalence of one of these deficiencies was 10.9% [3]. In one case-control study [4] in 277 consecutive patients with documented venous thrombosis, the combined prevalence was 8.3%, compared with 2.2% in the 138 control subjects. In a third study [5] in 474 unselected patients, the prevalence was 6.8% versus 3.0% among 474 control subjects. Since 1994, at least four abnormalities have been described that are far more commonly encountered: activated protein C (APC) resistance caused by Factor V Leiden; the 20210 G to A variation in the prothrombin gene (20210 A prothrombin mutation); high levels of Factor VIII; and hyperhomocysteinemia. This article reviews recent studies performed in patients with Factor V Leiden, the 20210 A prothrombin mutation, and hyperhomocysteinemia.

Factor V Leiden

In physiologic circumstances, thrombin, when bound on the endothelial surface, activates protein C into APC. Activated protein C then inactivates the cofactors Factor Va and Factor VIIIa. In 1993, a resistance to this APC was described in patients [6]; it was subsequently shown that in more than 95% of cases, this is caused by a single point mutation in the Factor V molecule (FV R506Q), which is called Factor V Leiden [7,8]. In the general population, the allelic frequency varies from 1% to 8% among whites [9], with very high prevalences occurring in South Sweden (up to 15 percent) and the Middle East. Factor V

is extremely rare to absent in nonwhite people [10]. In patients with venous thrombosis, Factor V Leiden has been found in 20 (unselected patients) up to 50% (selected patients) [11]. The risk for venous thrombosis in heterozygous carriers of the Factor V Leiden has been estimated to be increased four- to eightfold.

In a large, comparative, retrospective cohort study in 723 first- and second-degree relatives of 150 index patients, Martinelli *et al.* [12] found relative risks of 8.1 (95% confidence interval (CI) 3.4 to 19.6) for antithrombin, 7.3 (95% CI 2.9 to 18.4) for protein C, and 8.5 (95% CI 3.5 to 20.8) for protein S deficiency, whereas the risk ratio for Factor V Leiden in this study was 2.2 (95% CI 1.1 to 4.7). The risk of thrombosis for subjects with Factor V Leiden in this study thus seemed lower than the risk for subjects with all three other coagulation defects. However, in the Leiden Thrombophilia Study [13], which had a case-control design, a relative risk of 7 was found for patients with heterozygous Factor V Leiden mutation, versus a relative risk for protein C, protein S, and antithrombin of 6.5, 1.5, and 5.0 respectively.

Whether Factor V Leiden is a risk factor for thrombosis in older patients was investigated in 79 patients with a mean age of 83 years who had had at least one proven episode of venous thromboembolism. The prevalence of Factor V Leiden mutation was 11.4%; only one patient had an antithrombin deficiency [14]. The researchers concluded from this study that even at a higher age, Factor V Leiden is a frequently occurring risk factor.

A new Factor V mutation associated with resistance to protein C (Factor V Cambridge, Arg306→Thr) was found in one patient with venous thrombosis and confirmed APC resistance in the absence of the common R506 Q mutation [15]. Subsequent screening of 585 patients with venous thrombosis, and 226 blood donors, did not reveal any other patient with this mutation, so the authors concluded that the Thr306 mutation is not a common polymorphism but a rare cause of APC resistance.

The 20210 A prothrombin mutation

This prothrombotic mutation involves a guanine-to-adenine transition at nucleotide 20210 in the untranslated region of the gene encoding prothrombin. In a population-based patient control study (the Leiden Thrombophilia Study) [16], heterozygosity for the 20210A allele was found more frequently among consecutive patients with a first deep-vein thrombosis (6.2%) than in the control subjects (2.2%). The relative risk for thrombosis associated with the prothrombin 20210A allele was 2.8 (95% CI 1.4 to 5.6). Heterozygous carriers had significantly higher levels of prothrombin (1.32 U/mL) than noncarriers (1.05 U/mL). Thus, it is likely

that the prothrombin 20210A allele acts through the elevated plasma prothrombin levels.

Geographic distribution

In a comparative study [17•], the 20210 G to A prothrombin variant was proved to follow a somewhat different geographic pattern from the Factor V Leiden mutation. After combining data from 11 centers in nine countries, the overall prevalence was calculated. Among 5527 people, 111 heterozygous carriers of the 20210 A allele had been found. The prevalence estimates varied from 0.7 to 4.0 between the centers, and the overall prevalence estimate was 2.0 percent (95% CI 1.4–6.2%). Interestingly, in southern Europe the prevalence was 3.0 percent (95% CI 2.3 to 3.7%), whereas in northern Europe, the prevalence was 1.7% (95% CI 1.3 to 2.2%). The 20210 A allele was very rare in people of Asian and black descent.

Homozygous G20210A prothrombin mutation

In reviewing data from three case studies [18–20], it appears that carriers of a homozygous 20210 A mutation, *ie*, carriers with homozygous Factor V Leiden mutation, do not have as severe thrombotic diathesis as those with homozygous protein C or protein S deficiency (in which purpura fulminans is often encountered) or homozygous type I antithrombin deficiency (which is thought to be incompatible with life) [21]. Most homozygous carriers have experienced early, spontaneous, sometimes severe thrombotic disease. Interestingly, one 72-year-old asymptomatic patient had homozygous 20210 A prothrombin and underwent two episodes of major surgery without prophylactic treatment [20].

Cerebral vein thrombosis

Cerebral vein thrombosis is a rare manifestation of thrombotic disease with a high mortality rate, estimated to be 5% to 30%. It has been found to be associated with Factor V Leiden, the use of oral contraceptives, and pregnancy. The relation between the 20210 A prothrombin gene mutation and cerebral-vein thrombosis, as well as the potential interaction with the use of oral contraceptives, has been studied in 40 unrelated patients with cerebral-vein thrombosis who were compared with 120 healthy control subjects [22•]. The prevalence of the prothrombin mutation was significantly higher in the patients than in the healthy control subjects, 20% and 3% respectively (odds ratio, 10.2; 95% CI 2.3 to 10). The use of oral contraceptives was more frequent in patients (96%) than in control subjects (32%; odds ratio, 22.1; 95% CI 5.9 to 84.2). For women who both had the prothrombin gene mutation and used oral contraception, the odds ratio for cerebral-vein thrombosis was 149.3 (95% CI 31.0 to 711.0). These results are in line with data of another study [23], in which the prevalence of Factor V Leiden was 14% among 36 patients with cere-

bral vein thrombosis, versus an expected prevalence in the general population of 4% to 5%. The age-adjusted odds ratio for venous thrombosis in oral contraceptives users was 13 (95% CI 5 to 37) in this study [23]. In a third study [24], 45 patients with cerebral vein thrombosis were compared with 354 healthy blood donors and 131 patients with either acute ischemic stroke or transient ischemic attack. A total of four patients with cerebral-vein thrombosis (8.9%) had the prothrombin gene mutation, versus eight of 354 (2.3%) in the healthy controls. In contrast, three of 131 (2.3%) subjects (2.3%) with an acute cerebrovascular accident or transient ischemic attack had the mutation, indicating that the 20210A prothrombin mutation plays only a minor role in arterial ischemic cerebral events.

Risk of recurrent venous thromboembolism

One of the main reasons to investigate both a patient after an episode of venous thrombosis and his family members for inherited disorders of blood coagulation is to give specific therapeutic and prophylactic recommendations on the basis of laboratory findings. Although many articles appear on the prevalence of inherited risk factors and their related relative risk in patients with established venous and arterial thrombosis, few studies exist that have assessed the incidence of recurrent venous thromboembolism after stopping oral anticoagulant treatment in patients with a genetic defect who have had venous thrombosis, because initially, these patients were mostly put on lifelong anticoagulant treatment. Second, no studies have yet assessed the incidence of a first thromboembolic event in asymptomatic but affected family members, nor are studies available that have compared duration or intensity of oral anticoagulant treatment in patients with a history of venous thrombosis and an inherited defect.

Referring to the first type of study, Eichinger *et al.* [25] studied the risk of early recurrent venous thromboembolism in a follow-up study of 492 patients with a history of venous thromboembolism. The 20210 A allele was present in 42 patients (8.5%). Recurrent venous thromboembolism had to be confirmed by objective tests. Duration of anticoagulant therapy had to be at least three months. Mean follow-up time was 26 months, plus or minus 18 months, for the 20210 A positive group, and 25 months, plus or minus 18 months, for the 20210 A negative group. Three patients (7%) with the 20210 A allele underwent a recurrent thromboembolic event, versus 54 patients (12%) without the mutation. The cumulative probability of recurrence did not differ between patients with or without the 20210 A mutation. It was concluded that the risk of early recurrence venous thromboembolism was not higher in heterozygous carriers of the 20210 A mutation than in patients without the mutation. In this study [25], however, the exact duration

of oral anticoagulation was not predetermined and varied greatly among the patients. The results of this study are corroborated by a very recent study by Kearon *et al.* [26], who randomly assigned patients with a first episode of idiopathic thromboembolism after three months of oral anticoagulation to either continue warfarin therapy (international normalized ratio 2.0–3.0) or to receive placebo for 24 months. After an average follow-up period of 10 months, the rate of recurrent thromboembolic events among 83 patients who received warfarin for three months was 27.4% per patient-year (17 patients had a recurrence), versus 1.3% per patient-year (one of the 79 patients had a recurrent event) among those who received prolonged oral anticoagulant treatment (95% risk reduction). Three patients (3.8%) in the warfarin group had nonfatal major bleeding, compared with none of those assigned to the placebo group, a statistically significant difference. The prevalence of Factor V Leiden mutation was 26% and the prevalence of the 20210 A prothrombin mutation was 5%. It appeared that in this study [26], these mutations were not associated with recurrent venous thromboembolism. In comparison, researchers in two earlier studies evaluated the risk of recurrent venous thromboembolism in patients with Factor V Leiden. Ridker *et al.* [27] followed 77 patients with idiopathic venous thromboembolism. Seven patients among 63 genetically unaffected people had a recurrence, an incidence of 11.1%, whereas four recurrences developed among 14 heterozygotes for Factor V Leiden, for an incidence of 28.6%. In the second study [28], the recurrence rate of venous thromboembolism among 112 Factor V Leiden patients was 9.9% after a median observation time of 19.3 months, and 9.7% in 268 patients without Factor V Leiden mutation.

Thus, it remains uncertain whether Factor V Leiden or the 20210 A prothrombin mutation confer a greatly increased risk for recurrent venous thromboembolism after a period of oral anticoagulation of 3 to 6 months. A clear need exists for prospective studies wherein patients with thromboembolism and Factor V Leiden or 20210 A prothrombin mutation are followed for a fixed duration of prolonged, then possibly less intensive, anticoagulant treatment. Such studies are now either planned or already started [29].

Factor V Leiden, the prothrombin 20210 A allele, and arterial thrombosis

Although the association between venous thrombosis and Factor V Leiden or 20210 A prothrombin mutation is well demonstrated, most data on the risk of arterial thrombotic events indicate that the carriership of these gene defects does not confer a major risk for arterial disease. In a large study of 560 middle-aged men with a first myocardial infarction before age 70, the prevalence

of the 20210 A variant of the prothrombin gene was 1.8%, whereas in the control group this prevalence was 1.2% [30]. This indicates that the risk of myocardial infarction was increased by 50%, but the 95% confidence interval was wide and not incompatible with the absence of a risk excess (odds ratio 0.6 to 3.8). For carriership of Factor V Leiden, the risk of myocardial infarction was increased by 40%; the 95% confidence limits ranged from 0.8 to 2.2. These data suggest a modest increase in risk for the prothrombin mutation, which was substantially increased if a gene defect coincided with one of the major cardiovascular risk factors like obesity, smoking, hypertension, or diabetes mellitus, with resulting odds ratios varying between 3 and 6. Importantly, in the absence of the coagulation defects, these risks then exceeded those of the single effects. These results confirm two other studies performed in young women ages 18 to 44 years, in whom much higher risks were found (two- to fourfold increase for gene defect carriers) and for whom the relative risk of carriers of either Factor V Leiden or the 20210 A prothrombin allele was especially high if another major cardiovascular risk factor was present (increased 20- to 40-fold) [31,32]. All three studies may be interpreted as examples of interactions between atherosclerotic risk factors and hemostatic defects, which appear to be more relevant in younger patients. This is further underlined by a population based study of 5201 men and women over age 65 who were followed for a mean time of 3.4 years. Among 373 incident cases of myocardial infarction, angina, stroke, or transient ischemic attack, the Factor V Leiden mutation was not a risk factor for any of these events [33]. Finally, to assess whether either Factor V Leiden mutation or the 20210 A prothrombin allele increase the risk of stroke in young women, in a case-control study [34], 106 women ages 18 to 44 years who all had undergone a first stroke were compared with 391 control subjects who had not undergone stroke. It was concluded that for young women who had undergone stroke, neither Factor V Leiden nor the 20210 A prothrombin mutation is an important risk factor.

Combined 20210 A prothrombin mutation and Factor V Leiden mutation

Since Factor V Leiden has been discovered as the most common inherited defect of blood coagulation, it has become apparent that venous thrombosis often should be considered as being caused by multiple factors. Many inherited and acquired risk factors could cosegregate with Factor V Leiden, and this could possibly lead to thrombosis both becoming manifest in younger patients and having increased severity. For protein C, protein S, and antithrombin, a higher risk of thrombosis has been demonstrated in three studies [35–37].

To assess how often the 20210 A prothrombin mutation is co-inherited with Factor V Leiden mutation, Ehrenfort *et al.* [38] studied 200 carriers of Factor V mutation, 150 of whom had had a thrombosis before age 45, and 200 healthy control subjects, in all of whom FII genotyping was performed. The 20210 A allele was found in four of the 200 healthy controls, a prevalence of 2%. Among the 50 asymptomatic people with Factor V Leiden, the prevalence was 4%. In contrast, of the 115 patients with heterozygous Factor V Leiden mutation, 14 (12.2%) had the 20210A allele, whereas five of the 35 patients (14%) with homozygous Factor V Leiden also had a 20210 A allele. In the presence of the 20210 A allele, the risk for thrombosis occurring at a young age was increased threefold in patients with heterozygosity for Factor V Leiden, which itself was shown to increase the risk for thrombosis around fourfold. The median age at which patients underwent a first thrombosis was 27 years for those with double defects, 33 years for those with the 20210 A allele, and 29 years for those with Factor V Leiden. In another study [39] of 332 people from 53 families with APC resistance, both the 20210 A prothrombin and Factor V Leiden mutations were tested. Of 29 persons with Factor V Leiden mutation, three (10%) also had the 20210 A prothrombin mutation, which was higher than the 1.8% (five of 282) found in the healthy control subjects. Both reports differ from a large study by Alhenc-Gelas *et al.* [40], who did not find any 20210 A prothrombin mutation among 151 subjects with heterozygous Factor V Leiden mutation. An explanation of the disparate findings might be that in the latter study [40], most subjects (66 of 151) were asymptomatic and possibly older than the subjects in the former two studies. It is concluded that, like Factor V Leiden, the 20210 A prothrombin mutation may increase the risk of thrombosis in people affected with protein C, protein S, or antithrombin deficiency.

Hyperhomocysteinemia

Mild hyperhomocysteinemia has been shown to be a risk factor for venous thrombosis and may be caused by genetic and environmental factors. Den Heijer *et al.* [41•] performed a meta-analysis of ten case-control studies on venous thrombosis and found a pooled estimate of the odds ratio of 2.5 (95% CI 1.8–3.5) for a fasting homocysteine level above the 95th percentile. The most common genetic variant that results in hyperhomocysteinemia is caused by a substitution in methylenetetrahydrofolate reductase (MTHFR), the enzyme that converts 5,10-methylenetetra-hydrofolate to 5-methyltetra-hydrofolate, the carbon donor in homocysteine remethylation by methionine synthase [42]. The resultant 677T variant is thermolabile and has reduced activity in people who are homozygous or heterozygous for this mutation. Debate has occurred as to whether this variant is a risk factor for thrombosis. Initial reports

showed this variant to be a risk factor, especially in combination with Factor V Leiden mutation, whereas other reports did not support the hypothesis. In three reports, investigators have studied the risk for venous thrombosis of the 677T variant either with or without the Factor V Leiden mutation or the 20210 A prothrombin mutation. Kluijtmans *et al.* [43] studied the frequency of the homozygous 677C → T mutant genotype of the *MTHFR* gene in 471 patients with deep-vein thrombosis and 474 healthy controls and its interaction with Factor V Leiden mutation. Homozygosity for the 677T variant was observed in 10% of the patients and 9.9% of the control subjects. In addition, no modified risk of the genotype was observed in carriers of Factor V Leiden. In the second study [44], using a somehow similar approach but with the 20210 A prothrombin mutation as a second variable, 558 unselected patients with venous thromboembolism were compared with 500 control subjects. The odds ratio for the *MTHFR* C677T variant heterozygosity and homozygosity were 1.07 (95% CI 0.84 to 1.36) and 0.71 (95% CI 0.48 to 1.03), respectively. In patients with the Factor V Leiden or 20210 A prothrombin mutation, no increase in risk caused by the *MTHFR* 677T variant was seen. In the third study, Margaglione *et al.* [45] studied 277 consecutive patients with confirmed deep-vein thrombosis and 431 healthy subjects. The homozygous 677T variant was more frequent in patients than in control subjects (25.6% versus 18.1%, respectively; $P = 0.016$). The risk of thrombosis among carriers of this genotype was significantly increased (odds ratio 1.6; 95% CI 1.1 to 2.3). The estimated risk associated with the homozygous 677T variant was 2.0 (95% CI 1.3 to 3.1) for those patients with predisposing risk factors, including Factor V Leiden, prothrombin mutation, or acquired risk factors, but the estimated risk stayed significant at 1.7 (95% CI 1.2 to 2.6) after adjustment for gender, Factor V Leiden, and 20210 A prothrombin mutation. These authors [45] concluded, in contrast to the previous two studies, that the homozygous *MTHFR* 677T genotype is an independent risk factor for venous thrombosis.

Several conclusions related to homocysteinemia and venous thrombosis can be drawn. First, the relation between mild homocysteinemia and venous thrombosis has been clearly established. Second, most studies have not found an association of homozygosity of the thermolabile *MTHFR* and increased risk for venous thrombosis. Third, published studies on the interaction between Factor V Leiden or the 20210 A prothrombin mutation and the thermolabile *MTHFR* are controversial. Third, although in one study a clear interaction between hyperhomocysteinemia and Factor V Leiden was observed (when compared with men with neither abnormality, those affected by both disorders had a 10 fold increase in risk of venous thromboembolism) [46] in another

study the combined effect was smaller than for Factor V Leiden alone [47] and it is thus yet unclear whether such an interaction really exists. Finally, clinicians must realize that intervention studies with pharmacological lowering of homocysteine levels are currently being performed and should give definitive answers on the relevance of homocysteine lowering towards reduction of thrombotic risk.

Conclusions

Thrombophilia can now be considered a multicausal disease in which genetic and acquired risk factors interact with each other [48]. Among the common genetic abnormalities of coagulation, numerous studies have been performed in the previous year in patients with the recently discovered 20210 A prothrombin mutation. From these studies, the concept has emerged that the clinical features of patients with a 20210 A prothrombin mutation are remarkably comparable with those of patients with Factor V Leiden mutation. In spite of a slight shift towards the southern European population, the 20210 A prothrombin mutation is prevalent in the white population and very rare in people of black and Asian descent. The homozygous prothrombin mutation does not lead to the severe thrombotic disease as does protein C deficiency or antithrombin deficiency, although most homozygous carriers experience early and sometimes severe thrombotic episodes. It is uncertain whether the 20210 A prothrombin mutation confers a risk for increased recurrent venous thromboembolism. As for Factor V Leiden, no major role seems to exist for the prothrombin mutation in relation to arterial thrombotic complications, unless patients have other, more classical risk factors for arterial ischemic disease. Finally, if a combination of Factor V Leiden and 20210 A prothrombin mutation occurs, it may lead to higher risk for thrombosis. With respect to hyperhomocysteinemia, it is now evident that although homozygosity for the 677T polymorphism of *MTHFR* is associated with increased homocysteine levels, this homozygous mutation by itself is not an independent risk factor for deep vein thrombosis, regardless of the Factor V Leiden genotype.

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