

A gap, shadows, spirals, streamers: SPHERE observations of binary-disk interactions in GG Tau A[★]

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Received —; accepted —

ABSTRACT

Context. A large fraction of stars is found to be part of binary or higher-order multiple systems. The ubiquity of planets found around single stars raises the question if and how planets in binary systems may form. Protoplanetary disks are the birthplaces of planets, and their characterization is crucial in order to understand the planet formation process.

Aims. Our aim is to characterize the morphology of the GG Tau A disk, one of the largest and most massive circumbinary disks, and trace evidence for binary-disk interactions.

Methods. We obtained observations in polarized scattered light of GG Tau A using the SPHERE/IRDIS instrument in the H-band filter. We analyze the observed disk morphology and substructures. We run 2D hydrodynamical models simulating the evolution of the circumbinary ring over the lifetime of the disk.

Results. The disk, as well as the cavity and the inner region are highly structured with several shadowed regions, spiral structures, and streamer-like filaments, some of them detected for the first time. The streamer-like filaments appear to connect the outer ring with the northern arc. Their azimuthal spacing suggests that they may be generated by periodic perturbations by the binary, tearing off material from the inner edge of the outer disk once during each orbit. By comparing observations to hydrodynamical simulations we find that the main features, in particular the gap size, as well as the spiral and streamer filaments, can be qualitatively explained by the gravitational interactions of a binary with semi-major axis of ~ 35 au on an orbit coplanar with the circumbinary ring.

Key words. Stars: individual: GG Tau – Protoplanetary disks – Methods: observational – Methods: numerical – Techniques: polarimetric

1. Introduction

Almost half of all main-sequence solar-type stars are found in binary or higher-order multiple systems (e.g., Raghavan et al. 2010; Duchêne & Kraus 2013), and it is thought that the fraction of multiple systems is even higher among pre-main sequence stars (e.g., Duchêne 1999; Kraus et al. 2011). More than 4000 detections of extrasolar planets around single stars to date show that the assembly of planetary bodies is a common by-product of star formation. The high abundance of multiple stars on the one hand, and planetary companions on the other hand thus raises the question about the possible formation pathways and prevalence of planets in multiple systems.

While our understanding of the building-up of planets within protoplanetary disks around single stars has made significant advancement during the past years, less is known about the conditions of planet formation in multiple systems (e.g., Thebault & Haghipour 2015). In contrast to the single star case, the evolution of material in the circumbinary and individual circumstellar disks in multiple systems will (depending on the binary parameters such as mass ratio, orbital separation and eccentricity) be dominated by the gravitational perturbation of the central binary. As a consequence, the binary-disk interaction has severe implications for the planet formation process. Tidal in-

teractions exerted by the binary are expected to truncate the individual circumstellar disks, reducing their masses, outer radii and viscous timescales (e.g., Papaloizou & Pringle 1977; Artymowicz & Lubow 1994; Rosotti & Clarke 2018). In addition, the tidal torques will truncate the circumbinary disk from the inner edge by opening a large inner cavity. Despite the resulting separation of circumbinary and circumstellar material, gas streams through the gap may form, supplying the circumstellar disks with material from the outer circumbinary disk (e.g., Artymowicz & Lubow 1996; Muñoz et al. 2020). While observational trends infer binary interaction to be indeed destructive for disks in many cases (e.g., Bouwman et al. 2006; Duchêne 2010; Harris et al. 2012; Cox et al. 2017; Akeson et al. 2019; Manara et al. 2019), potentially impeding the formation of planets, several massive disks around binary systems are known and have been observed at high angular resolution (e.g., UY Aur, HD142527, HD 34700 A; Hioki et al. 2007; Tang et al. 2014; Avenhaus et al. 2017; Monnier et al. 2019).

Despite the potential complications for planet formation induced by the gravitational perturbations from the binary, more than 100 planets in binary systems have already been discovered (e.g., Martin 2018; Bonavita & Desidera 2020)¹. Most of these planets are found to orbit only *one* of the binary stars (i.e.,

[★] Based on observations performed with VLT/SPHERE under program ID 198.C-0209(N)

¹ see also <http://www.univie.ac.at/adg/schwarz/multiple.html> (Schwarz et al. 2016)

‘S-type’, circumstellar planets). The reason for this is certainly that the radial velocity and transit photometry methods, which represent the most successful planet detection methods in terms of numbers, are strongly biased toward planets on short orbital periods. Nevertheless, about 20 planets have been discovered on orbits surrounding *both* binary components (i.e., ‘P-type’, circumbinary planets) (e.g., Doyle et al. 2011; Orosz et al. 2019). In fact, the statistical analysis of the first direct imaging survey dedicated to finding planets orbiting two stars suggests that the distributions of both planets and brown dwarfs are indistinguishable between single and binary stars within the error bars (Bonavita et al. 2016; Asensio-Torres et al. 2018). This implies that planet formation in multiple systems, and in particular in circumbinary disks is indeed happening.

Most of the circumbinary planets were detected thanks to the Kepler space telescope, on close ($\lesssim 1$ au) orbits around eclipsing binary systems. Interestingly, they seem to orbit their host systems close to the stability limit, implying that migration processes and planet-disk interactions may have played a crucial role during their early evolution (e.g., Kley & Haghighipour 2014). It is therefore clear that the observation and characterization of circumbinary disks provide the unique opportunity to test the conditions and setup for possible planet formation in multiple systems.

One of these cases is GG Tau. Located at a distance of 150 pc (see Sect. 2; Gaia Collaboration et al. 2016, 2018), GG Tau is a young (~ 1 -4 Myr; White et al. 1999; Hartigan & Kenyon 2003; Kraus & Hillenbrand 2009) hierarchical quintuple system composed of two main components, GG Tau Aa/b and GG Tau Ba/b, at a projected separation of about $\sim 10''$ (~ 1500 au) (Leinert et al. 1991, 1993). The northern and more massive binary, GG Tau Aa/b (projected separation $\sim 0.25''$, corresponding to ~ 38 au) is surrounded by a bright and well studied circumbinary disk. Recent interferometric observations suggest that the secondary component, GG Tau Ab is a binary in itself (GG Tau Ab1/2) at a projected separation of about 31.7 mas (~ 4.8 au) (Di Folco et al. 2014).

The circumbinary disk around GG Tau A is observed as a large and massive disk with a cleared cavity. While the gaseous disk extends out to more than ~ 850 au and reveals a reduced amount of gas in the inner region (e.g., Guilloteau et al. 1999; Dutrey et al. 2014; Phuong et al. 2020b), the population of large dust grains observed at (sub-)millimeter wavelengths is confined within a narrow ring surrounding a deeply depleted dust cavity, spanning a full width of ~ 60 -80 au centered at a radial distance of about 250 au with respect to the system barycenter (e.g., Andrews et al. 2014; Dutrey et al. 2014; Tang et al. 2016). Scattered light observations in the optical, near- and thermal infrared regime infer that the inner edge of the outer disk of the small grain population is located at about ~ 190 -200 au (e.g., Krist et al. 2002; Duchêne et al. 2004; Itoh et al. 2014; Yang et al. 2017). Such a radial concentration of dust is indicative of particles being trapped within a pressure maximum at the edge of the cavity, as expected for binary-disk interactions (e.g., de Juan Ovelar et al. 2013; Cazzoletti et al. 2017).

To what extent the tidal interactions of GG Tau Aa/b are responsible for the observed gap size, however, has remained controversial. Since the radial location of the gas pressure maximum depends on the binary semi-major axis and eccentricity (e.g., Artymowicz & Lubow 1994), the knowledge of the binary orbit is required in order to compare the observed gap size with theoretical predictions. Thanks to almost two decades of orbital monitoring, a best-fit orbit with a semi-major axis of 36 au and an eccentricity of 0.28 has been established (Köhler 2011). How-

ever, this orbital solution assumes that the orbit is coplanar with the circumbinary ring; when relaxing this assumption the orbital solution is less well constrained and allows for larger orbit sizes. Several theoretical studies have concluded that, in order to explain the observed gap size of ~ 190 au, the binary orbit should have a semi-major axis of about ~ 65 au, i.e. about 1/3 of the gap size. To be still consistent with the astrometric constraints, such a large binary orbit would have to be misaligned with respect to the circumbinary disk (e.g., Beust & Dutrey 2005; Cazzoletti et al. 2017; Aly et al. 2018). It is clear that the respective geometry and orientation of binary orbit, circumstellar and circumbinary disk will have a severe impact on the potential of planet formation. Therefore, a detailed knowledge of these parameters is required.

In this paper, we present new high-resolution ($\sim 0.04''$) near-infrared polarimetric observations of the GG Tau A system obtained with the SPHERE instrument. Our observations reveal the circumbinary environment at unprecedented detail. We confirm previously known disk substructures and reveal new features within the circumbinary disk. We compare our observations to hydrodynamical simulations in order to investigate whether the observed structures can be explained by binary-disk interactions. Our paper is structured as follows: first, we revise the stellar parameters of GG Tau A in Sect. 2, followed by the presentation of our observations in Sects. 3 & 4. Section 5 presents our modeling efforts, which are discussed in context with the observations in Sect. 6.

2. Stellar properties

Although several authors have studied the stellar properties of GG Tau A (e.g., White et al. 1999; Hartigan & Kenyon 2003; Kraus & Hillenbrand 2009), the discovery of the binarity of GG Tau Ab by Di Folco et al. (2014) needs to be taken into account. In this work, we performed a re-calculation of the stellar masses and ages following this notion and the newly known distance d to the system. GG Tau A has in fact a negative parallax reported (Gaia Collaboration et al. 2018), probably because of its binarity (see also Luhman 2018), with an absolute value of 6.65 mas. GG Tau B, lying about $10''$ farther south than GG Tau A, has a positive parallax of 6.66 mas. Since the two components are known to be bound, we therefore use a parallax of 6.66 mas (150 pc) as a proxy for the distance of GG Tau A. We note however that the parallax measured for GG Tau B is likely affected by its own binarity as well, such that the distance of the system remains somewhat uncertain.

Table 1. Properties of the GG Tau A system assumed in this study.

Stellar parameters	Aa	Ab1	Ab2	ref.
Spectral type	M0	M2	M3	a,b
$L [L_{\odot}]$	0.44	0.153	0.077	a,b,c
$T_{\text{eff}} [K]$	3900	3400	3200	d
Mass [M_{\odot}]	0.65	0.30	0.20	e
Age [Myr]	2.8	2.8	3.1	e
Disk properties				
inclination	$37 \pm 1^{\circ}$			f
position angle	$277 \pm 1^{\circ}$			f

Notes. a) Hartigan & Kenyon (2003), b) Di Folco et al. (2014), c) Brauer et al. (2019), d) Rajpurohit et al. (2013), e) this work, f) Guilloteau et al. (1999)

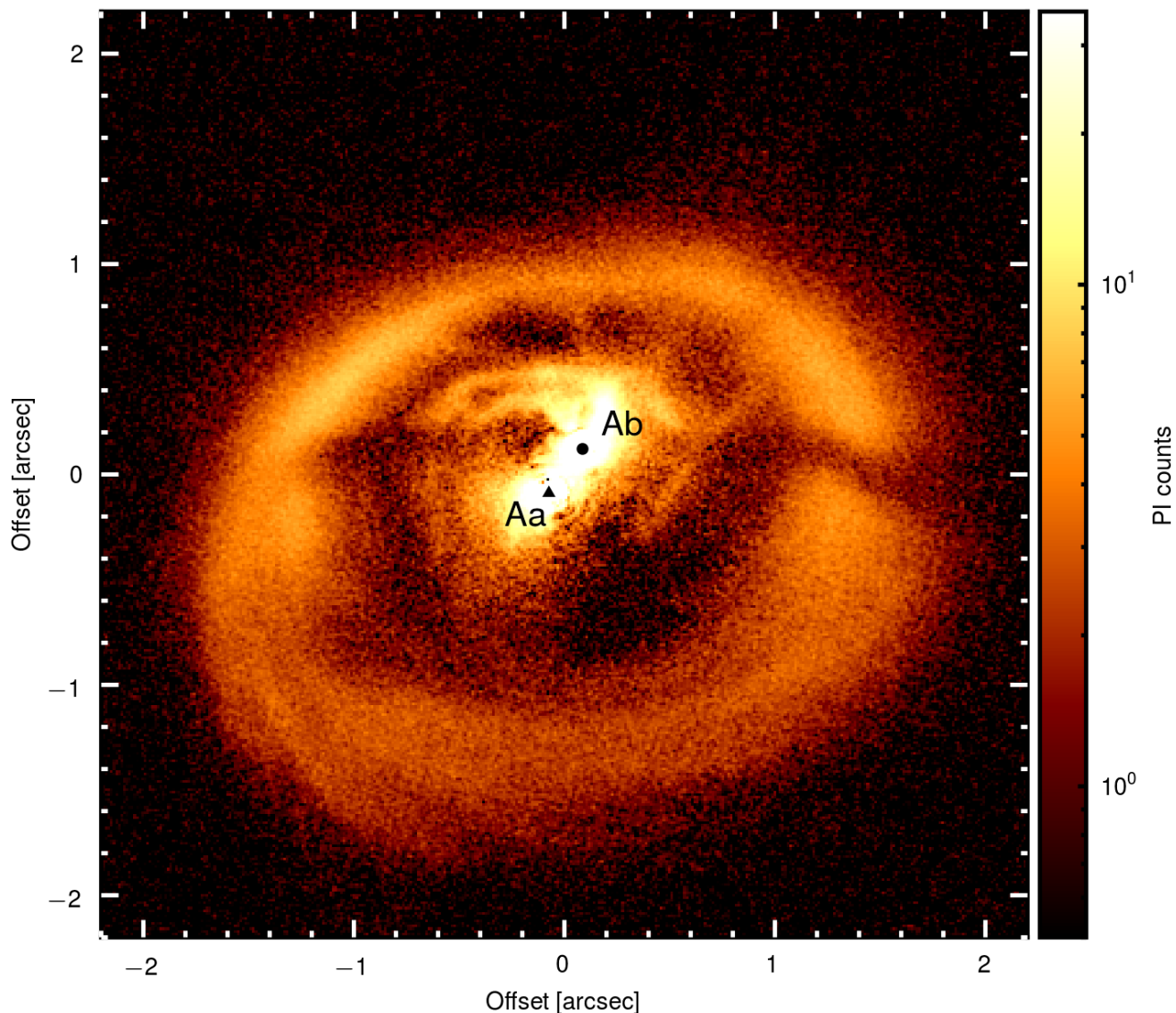


Fig. 1. SPHERE polarized intensity (*PI*) image of GG Tau A. The image is centered on the expected location of the system’s center of mass. The locations of GG Tau Aa and Ab are marked by a black triangle and circle, respectively. North is up and east is to the left.

We assumed spectral types of M0, M2 and M3 for GG Tau Aa, Ab1 and Ab2 and an extinction of 0.3 mag for Aa, and 0.45 mag for Ab1/2, as determined by Hartigan & Kenyon (2003) and Di Folco et al. (2014). The corresponding stellar effective temperatures were obtained using the temperature scale of Rajpurohit et al. (2013) calibrated by their NTT spectra. We further assumed stellar luminosities derived by Hartigan & Kenyon (2003), rescaled to 150 pc, considering that their luminosity measured for Ab actually represents the sum of the luminosities of Ab1 and Ab2 with a respective luminosity ratio of $\sim 2:1$ (see Di Folco et al. 2014; Brauer et al. 2019). We derived stellar masses and ages by comparing the locations of the GG Tau A components on a Hertzsprung-Russell diagram with those predicted by a set of five pre-main sequence tracks (Siess, PARSEC, MIST, Baraffe, Dartmouth; Siess et al. 2000; Bressan et al. 2012; Dotter 2016; Choi et al. 2016; Baraffe et al. 2015; Dotter et al. 2008). This yielded the following possible ranges for stellar masses and ages: 0.6-0.7 M_{\odot} and 2.4-3.1 Myr for Aa; 0.3-0.5 M_{\odot} and 2.2-5.6 Myr for Ab1; and 0.2-0.4 M_{\odot} and 2.7-10.0 Myr for Ab2. We adopted the median of these values as our

final stellar masses and ages: 0.65 M_{\odot} and 2.8 Myr for Aa, 0.3 M_{\odot} and 2.8 Myr for Ab1, and 0.2 M_{\odot} and 3.1 Myr for Ab2, respectively.

Our inferred ages are well within the range of ages derived in previous studies (~ 1 -4 Myr; White et al. 1999; Hartigan & Kenyon 2003; Kraus & Hillenbrand 2009). Stars in multiple systems are generally assumed to form simultaneously and thus to be coeval. While Aa and Ab1 appear to be coeval according to our analysis, the age derived for Ab2 appears slightly larger. However, increasing the luminosity of Ab2 by only 7% reconciles the ages of all three stars. This has almost no effect on the derived mass of Ab2, since the evolutionary tracks run almost vertically in the Hertzsprung-Russell diagram at these young ages.

While the median values of our inferred stellar masses sum up to 1.15 M_{\odot} , slightly less than the dynamical mass of the system derived through the CO observations of $1.37 \pm 0.08 M_{\odot}$ (Guilloteau et al. 1999, scaled to 150 pc), the range of possible stellar masses constrained by our models do not exclude a total mass of 1.37 M_{\odot} . It should be noted, however, that the de-

termination of spectral types, effective temperatures and luminosities, as well as the evolutionary models (e.g., by not taking into account the impact of magnetic fields; Simon et al. 2019; Asensio-Torres et al. 2019) are afflicted with some uncertainty, which could explain any discrepancy between our inferred values and those derived from the CO observations. Further, our inferred total stellar mass could be underestimated in case any of the components has an additional, yet undiscovered close-in stellar companion.

The circumbinary disk is observed at an inclination of 37° and at a position angle of 277° (Guilloteau et al. 1999). The system parameters are summarized in Table 1.

3. Observations and data reduction

GG Tau A was observed with SPHERE (Beuzit et al. 2019) as part of the guaranteed time observations (GTO) during the night of November 18, 2016. The IRDIS instrument (Dohlen et al. 2008) was used in the dual-beam polarimetric imaging (DPI) mode (Langlois et al. 2014; de Boer et al. 2020; van Holstein et al. 2020), applying the H-band filter ($1.625 \mu\text{m}$; pixel scale 12.25 mas/px) and the telescope operating in field tracking mode. One polarimetric cycle consisted of tuning the half-wave plate position at four different angles (0° , 45° , 22.5° and 67.5° , respectively). At each of these positions we took 15 frames with an exposure time of 4 s each. A total of 11 polarimetric cycles was carried out, resulting in a total integration time on the science target of about 44 minutes. No coronagraph was used during the observations inducing a slight saturation at the location of both Aa and Ab. Weather conditions were relatively stable during the observations (seeing at $500 \text{ nm} \sim 0.6''\text{-}0.9''$, coherence time $\sim 3 \text{ ms}$, wind speed $\sim 10 \text{ m/s}$). We measured a PSF full width at half maximum (FWHM) of about 43 mas by fitting a Moffat pattern to the unsaturated images obtained with a neutral density filter.

The data were reduced using the IRDAP pipeline² (van Holstein et al. 2020). In short, the pipeline obtains after basic steps of data reduction (dark subtraction, flat fielding, bad-pixel correction and centering) the clean Stokes Q and U frames using the double difference method. The data is then corrected for instrumental polarization and cross-talk effects applying a detailed Mueller matrix model that takes into account the complete optical path of the light beam. After having corrected for instrumental effects, the pipeline determines, and if desired also subtracts, any remaining stellar polarization. This is measured by quantifying the flux in the Q and U images from regions where no polarized disk emission is present. From the final Q and U images, a linear polarized intensity (PI) image is then obtained, following $PI = \sqrt{Q^2 + U^2}$. This final image is corrected for true north (Maire et al. 2016). For details regarding the pipeline we refer the reader to van Holstein et al. (2020). Finally, the images were re-centered on the expected location of the center of mass, assuming a mass ratio between GG Tau Aa and GG Tau Ab1/2 of 0.77 (see Sect. 2).

4. Results

The final PI image is shown in Fig. 1. Since in our image the binarity of GG Tau Ab1/2 is not resolved, we will address this component in the following as Ab. The image shows bright emission close to Aa and Ab, followed by a gap surrounded by the bright circumbinary ring. The circumbinary ring is highly structured

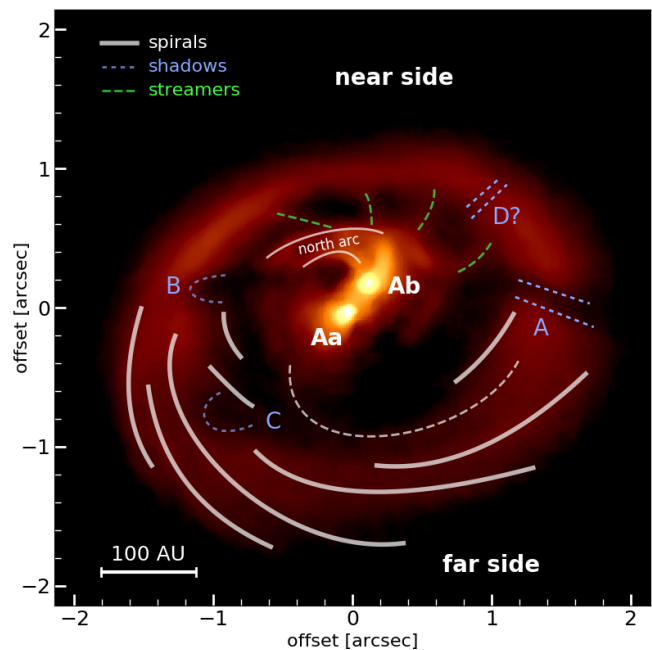


Fig. 2. Schematic overview of the features in the outer circumbinary ring detected in our SPHERE PI image. The image is centered on the location of GG Tau Aa and was smoothed for illustration purposes.

with several shadowed regions, as well as several fine filament structures connecting the northern side of the ring with the close environment of the binary, and spiral structures in the southern disk region. Figure 2 presents a schematic overview of the detected features in the outer disk region. The following sections are dedicated to a detailed characterization of the different disk regions and categories of substructures.

4.1. Inner region

Our final image, after correction for the instrumental polarization effects, reveals a residual, unresolved polarized intensity signal at the location of both Aa and Ab. We measure a linear polarization degree and angle of 0.33% and 37.1° at the location of Aa, and 1.12% and 8.7° at the location of Ab, respectively. A non-negligible amount of residual polarization can be interpreted as signal from unresolved circumstellar material such as a disk observed at non-zero inclination (e.g., van Holstein et al. 2020; Keppler et al. 2018; Garufi et al. 2020). Indeed, the presence of circumstellar material around both components is supported by the measurement of non-negligible extinction ($A_V = 0.3 \text{ mag}$ and 0.45 mag toward Aa and Ab, respectively; Hartigan & Kenyon 2003), as well as accretion signatures from hydrogen recombination lines and $10 \mu\text{m}$ silicate features found at the location of both components (White et al. 1999; Hartigan & Kenyon 2003; Skemer et al. 2011). While we cannot make a statement about the inclinations of the disks from our measurements (apart from excluding the case where the disks would be seen face-on and are circular symmetric, since in this case the polarized signal would cancel out), the measured angles of linear polarization are indicative for the disks being oriented at position angles of $\sim 127^\circ$ and $\sim 99^\circ$ (i.e., perpendicularly to the direction of linear polarization), respectively. We note that close to the stars, the radiation field is dominated by their individual illumination, and the contribution to the measured residual PI from the respectively other star can be neglected (see Sect. A). Observations at higher an-

² <https://irdap.readthedocs.io>

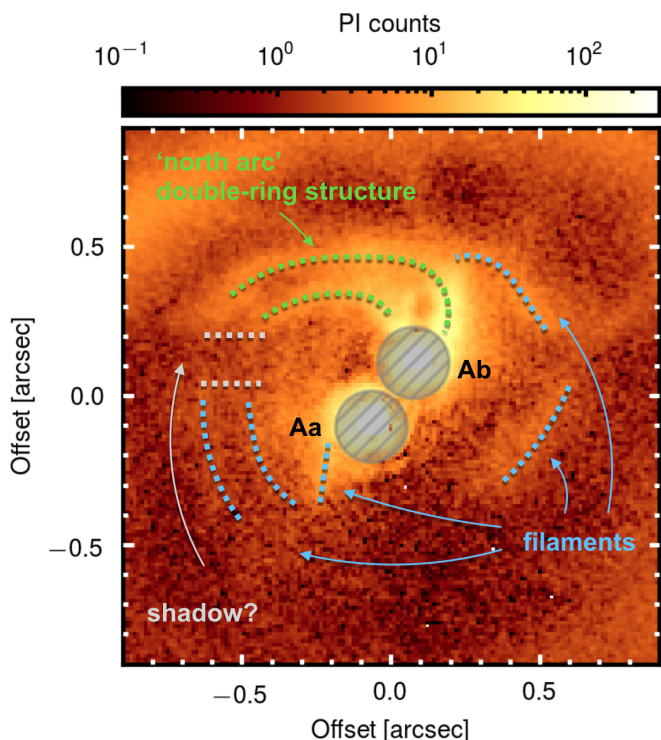


Fig. 3. Zoom on the inner region after subtraction of the stellar polarization on Aa. The dotted lines highlight the detected features: the ‘north arc’, revealing a double-arc structure (green), several filaments (light blue) and a possible shadow lane (gray dashed). See Sect. 4.1 for details. The immediate stellar environments (< 120 mas) are masked out. North is up and east is to the left.

gular resolution, and/or detailed modeling are required to better constrain the orientation of the circumstellar disks.

Any unresolved circumstellar material may create a halo of polarization signal around the star. Since this unresolved polarized signal can affect the analysis of the immediate circumstellar environments, we subtracted these polarized signals (i.e., the total intensity halo multiplied by the degree of polarization), once for Aa and once for Ab, respectively. While subtracting the polarization signal of Aa slightly increases the contrast of the fine structures in the immediate stellar environment, subtracting that of Ab rather blurs these structures. This can be explained by the fact that the measured polarization degree of Ab is somewhat higher than that of Aa. Therefore, subtracting the polarization signal of Ab adds an artificial polarization halo around Aa, which weakens the fine structures in its environment. Subtracting the (less strongly) polarized signal of Aa however does not noticeably impact the environment of Ab. Figure 3 shows the resulting image after subtraction of the polarization signal of Aa with annotations of the detected features. The immediate stellar environments that are affected by the diffraction pattern are masked out. In all the images, the inner region appears highly structured, as highlighted in Fig. 3 by the dotted lines. Most prominently, the ‘north arc’, an extended structure to the north-east of Ab observed in previous scattered light images (e.g., Krist et al. 2002, 2005; Itoh et al. 2014; Yang et al. 2017), is clearly detected and appears in our SPHERE image to be actually composed of a double-arc structure at projected separations of $\sim 0.38''$ and $\sim 0.48''$. This double-arc structure may extend along the entire eastern side to the south, interrupted by a dark

lane extending from Ab in east direction (see gray dotted lines on Fig. 3). This dark lane seems to be connected to the shadow observed in the outer disk at a similar position angle (see Sect. 4.4). We further detect two additional filament structures north-west and south-west of Ab. It is however unclear if those are related to the double-arc system on the eastern side. Finally, another filament is detected right south-east of Aa pointing toward the south.

Figure 4 (left panel) shows the angles of linear polarization overplotted on the inner disk region. The polarization angles θ were calculated according to $\theta = 0.5 \times \arctan(U/Q)$, within bins of 3 pixels. Within the entire inner region, the polarization vectors appear to be generally aligned in azimuthal direction, as expected for light being scattered off dust particles illuminated by a central source. Deviations from azimuthal polarization, as e.g. in south-west direction from Aa, may be due to the complex illumination pattern by the binary, or could indicate multiple scattering events (e.g., Canovas et al. 2015). We note that our detected disk substructures highlighted in Fig. 3 cannot be explained by a potential interference of polarization vectors in the presence of two illumination sources, which could principally lead to cancelling PI out if the polarization vectors include an angle of 90° (see Sect. A). This illustrates the presence of small grains scattering light from the central illumination sources within a large region around the binary.

4.2. Outer disk geometry

As in previous observations, the outer circumbinary disk appears as a large, elliptical ring. As can be seen from the polarization angles in the center panel of Fig. 4 (here calculated within bins of 6 pixels), also in the outer disk, the detected signal is overall well polarized in azimuthal direction. Only emission within two shadowed regions (shadows A and B, see Sect. 4.4) appears to be less consistently aligned, owing to the lower signal-to-noise ratio. We note that while the circumbinary ring appears bright in (sub-)mm continuum observations (e.g., Guilloteau et al. 1999; Dutrey et al. 2014; Tang et al. 2016; Phuong et al. 2020b), the region inside the ring reveals little to no signal at these wavelengths, apart from an unresolved source at the location of Aa. This is illustrated by an overlay of the SPHERE image with the contours of the ALMA dust continuum at 0.9 mm (Phuong et al. 2020b) in Fig. 4 (right). This may imply that dust grains are mostly of small size inside the cavity, consistent with large grains being trapped in the outer circumbinary ring, while small grains, well coupled to the gas, can still enter the cavity (e.g., Pinilla et al. 2012; de Juan Ovelar et al. 2013; Cazzoletti et al. 2017). The comparison of the SPHERE and ALMA images also shows an obvious shift of the ring roughly along the disk minor axis, which is due to a projection effect related to the fact that the ALMA dust continuum traces the disk midplane, while the near-infrared SPHERE observations image the scattering surface of the disk. This is explained in detail in the following.

Figure 5 shows the radial, deprojected profiles of linear polarized intensity averaged along the major and minor axes, as well as averaged over the complete range of azimuthal angles. The polarized intensity along the major axis peaks around 200 au. Although the derivation of the profiles takes into account the projection by the disk inclination, the profiles along the near (north) and far (south) side of the minor axis appear very different: while the profile along the near side is quite peaked and peaks further in than the major axis (~ 175 au), the profile of the far side is much broader and peaks at a much larger distance

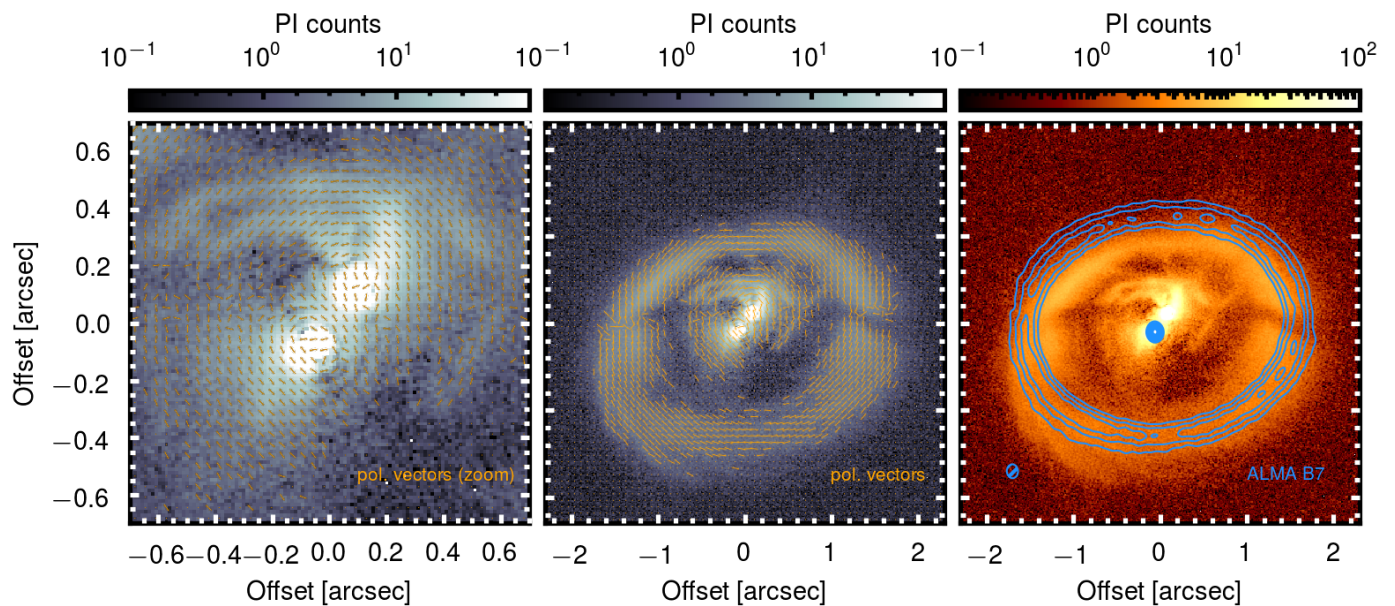


Fig. 4. *Left and center:* SPHERE PI image with lines indicating the angle of linear polarization overplotted, showing two different fields of view ($0.7'' \times 0.7''$, $2.3'' \times 2.3''$). The lines have an arbitrary length. For the computation of the polarization angles, we ignored bins at which the binned polarized intensity values ≤ 1.9 . *Right:* polarized intensity image with ALMA Band 7 (0.9 mm) continuum contours overplotted (blue). The ALMA observations were published in [Phuong et al. \(2020b\)](#). The ALMA image was registered such that the inner continuum emission, attributed to a circumstellar disk around Aa, coincides with the NIR position of Aa. Contours are shown at 20, 30, ..., 80, 90% of the peak intensity. The beam size is indicated in the lower left corner.

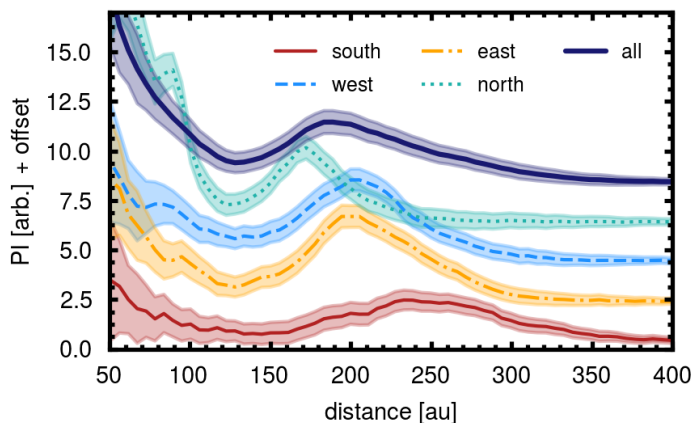


Fig. 5. Radial disk profiles, taking into account the disk inclination of 37° . The profiles are drawn along the major (east, west) and minor (north, south) axes within an azimuthal cone of $\pm 20^\circ$ around the corresponding axes, as well as averaged over all azimuths. The radial bin size is 3 pixels.

(~ 250 au). The different peak locations along the minor axis reflect a shifted geometric center of the ring due to the fact that the ring is not geometrically flat but has a non-negligible thickness. Similarly, the different profile shapes (broad versus peaked) are also connected to the geometrical thickness, because the inclination of the disk allows to see the inner rim of the southern (far) side, while for the north (front) side the inner wall is hidden and only the upper surface is visible (e.g., [Silber et al. 2000](#); [Krist et al. 2005](#)).

To quantify the outer ring geometry, we extracted radial profiles within azimuthal bins of 20° width. For each azimuthal bin, we determined the location of maximum brightness by fitting a polynomial function to the radial profile, and then fitted an ellipse to the radial peak locations at all position angle bins. We find that the ring can be fitted with an ellipse of eccentricity 0.64, a semi-major axis of 216 au, and a position angle of 288° . The geometric center of the ellipse is offset by 32 au towards the south from the assumed center of mass. These results compare well with the values found in previous scattered light studies at similar wavelengths (e.g., [McCabe et al. 2002](#)). If the disk was geometrically flat and intrinsically circular, an eccentricity of 0.64 would infer an inclination of 39.7° . This value is slightly larger than the inclination of $37^\circ \pm 1^\circ$ derived from (sub-)mm continuum observations ([Guilloteau et al. 1999](#); [Andrews et al. 2014](#)), due to the impact of the geometric thickness of the disk on the scattered light observations (e.g., [Guilloteau et al. 1999](#); [McCabe et al. 2002](#); [Krist et al. 2002](#)). The measured offset Δs of the ellipse's geometric center from the assumed system barycenter can be used to constrain the scattering surface height $H_{\tau=1}$ along the ellipse according to $H_{\tau=1}(r) = \Delta s(r)/\sin(i)$ (e.g., [de Boer et al. 2016](#)). Our measured offset Δs of 32 au therefore corresponds to a scattering height of ~ 53 au at the inner edge of the ring (~ 200 au). Since the scattering surface height usually traces layers at about 2-3 times the pressure scale height H_p , this would imply an aspect ratio of $H_p/R \sim 0.09-0.13$, which compares well with constraints from other disks (e.g., [Villenave et al. 2019](#)). We stress that this should only be considered as a rough estimate because azimuthal variations of the surface brightness, due to the azimuthal dependence of phase function and polarization degree, as well as the abundance of disk substructures such as shadows and spirals may complicate a precise determination of the isophotes, to which our ellipse was fitted.

Finally, a precise knowledge of the ring's vertical thickness would be required in order to determine the disk eccentricity from the scattered light data. However, optically thin millimeter observations indicate that the ring's intrinsic eccentricity is rather small, since the continuum, which traces the emission from the disk midplane and whose shape is therefore less biased by geometrical effects, can be well fitted by an intrinsically circular model ring, at the given angular resolution (beam major axes of $0.45''$ and $0.67''$; Piétu et al. 2011; Andrews et al. 2014).

4.3. Streamers

We detect four filament-like structures connecting the outer disk inner edge and the outer edge of the northern arc, as indicated in green on Fig. 2, some of which previously noted as 'bridges' by Itoh et al. (2014) and Yang et al. (2017).

In order to measure their position angles, we deprojected the image, assuming $i = 37^\circ$ and $PA = 277^\circ$. The connecting points of the filaments at the inner edge of the outer disk are found at approximately $PA \sim 296^\circ, 331^\circ, 0^\circ$, and 36° (from west to east). The filaments are not aligned with the radius vector pointing toward the center of mass but are tilted by increasing angles from west to east of $\sim 13^\circ$ to 26° with respect to the radial direction. The measured PA s imply that the azimuthal spacing of the filaments is about $29^\circ, 35^\circ$ and 36° . Adopting an arbitrary uncertainty on the PA measurement of 5° , this translates into a mean spacing of $33.3 \pm 2.9^\circ$. Assuming that the outer disk is in Keplerian rotation around a center of mass with $1.15 M_\odot$, the azimuthal spacing of the filaments may imply that those are launched by periodic perturbations occurring at the inner edge of the disk (180 ± 20 au) every 208 ± 29 years.

The binary best-fit semi-major axis of 36.4 au constrained by Köhler (2011) (scaled here to 150 pc), translates into an orbital period of about 205 years, assuming a central binary mass of $1.15 M_\odot$. The azimuthal spacing of the filaments would therefore be compatible with being triggered by a periodic perturbation occurring once each binary orbit, namely when the secondary passes at apocenter and comes closest to the disk edge. Interestingly, assuming the binary orbit being coplanar with the disk, the binary has just passed apoastron (McCabe et al. 2002).

We interpret the filaments as accretion streams. The presence of accretion streams close to the north arc have already been suggested by continuum observations at 1.1 mm (Piétu et al. 2011), as well as by the CO J = 6-5 emission line, which show deviation from Keplerian rotation that may be compatible with infall motion (Dutrey et al. 2014). Furthermore, the ^{12}CO gas distribution within the cavity shows a highly inhomogeneous structure consisting of several fragments (Dutrey et al. 2014). One of these CO fragments coincides with the location of the northern arc. As noted by Yang et al. (2017), the entire northern arc may thus be part of a large accretion stream itself.

4.4. Shadows

We detect three shadowed regions, known from previous scattered light observations (e.g., Silber et al. 2000; Itoh et al. 2014; Yang et al. 2017), at $PA \sim 275^\circ, \sim 86^\circ$, and $\sim 132^\circ$, and labeled A, B, C in Fig. 2. In addition, we detect a tentative fourth shadow, labeled 'D' at a PA of about 320° and possibly related to a less prominent gap detected at a similar location ($PA \sim 310^\circ$) by Krist et al. (2005).

The most prominent shadow is a dark lane close to the western major axis of the disk (shadow 'A'). To measure the shadow location, we deprojected the disk assuming an inclination of 37° , transformed the image into polar coordinates and traced the azimuthal profile of the shadow in different radial bins. We then fit a Gaussian profile with negative amplitude to these profiles. At the ring inner and outer edge (~ 175 au and ~ 245 au), we find the shadow center to be located at PA of 274.8° and 266.7° , respectively. The tilt of the shadow is therefore about 8° . We further measure the contrast of the surface brightness in polarised intensity within the shadow lane with respect to the disk just North of it, resulting in a contrast of about 2.6.

Using the Subaru datasets taken in January 2001 and September 2011, Itoh et al. (2014) measured an anti-clockwise rotation of the shadow of 5.9° and 4.9° between both epochs for the inner and outer disk edges, respectively. If the movement was linear in time, we would expect a further displacement by ~ 2.5 - 3° between 2011 and our SPHERE dataset taken in November 2016. In order to verify the movement, we repeated our procedure on determining the shadow location on the total intensity frame of the 2011 Subaru dataset. We measure a shadow PA of 274.4° and 268.1° on the inner and outer edge of the disk as defined above. Compared to the values we measured on our SPHERE dataset above (274.8° and 266.7°), we therefore cannot confirm a linear movement of the shadow between 2011 and 2016. Rather, the shadow positions appear stable.

It has been suspected that this western shadow may be cast by circumstellar material (e.g., Itoh et al. 2014), such as by an inclined disk around one of the binary components as in the case of HD 142527 (Marino et al. 2015). Shadow 'B' (and the dark lane to the east of Ab detected in the inner region, see Sect. 4.1) may be just the east side of this same shadow (see also Brauer et al. 2019). We can estimate the expected brightness contrast of the shadow lane with respect to the adjacent non-shadowed disk region under the hypothesis that one or two of the illumination sources are blocked by an optically thick, inclined disk. A disk region that does not lie in any shadow is illuminated by all three stars, and it will therefore receive a total flux of $F_{\text{tot}} = (1/4\pi) \times (L_{\text{Aa}}/d_{\text{Aa}}^2 + L_{\text{Ab1}}/d_{\text{Ab1}}^2 + L_{\text{Ab2}}/d_{\text{Ab2}}^2)$, where d_x is the distance of component x to the shadowed disk region. In the case where one of the stellar components is surrounded by an inclined, optically thick disk, this will cast a shadow on the outer disk, which will therefore only be illuminated by the two remaining sources. Using the stellar luminosities as defined in Table 1, and estimating a distance of Aa and Ab to shadow 'A' of ~ 181 au and ~ 156 au, respectively (as measured on the deprojected image), we would expect a contrast of ~ 2.4 for a disk around Aa, a contrast of ~ 1.7 for a disk around Ab (i.e., a circumbinary disk around Ab1/2), and a contrast of ~ 1.4 and ~ 1.2 for a disk around Ab1 and Ab2, respectively. The measured contrast of 2.6 from our SPHERE data would therefore favor the shadow to be cast by an inclined disk around Aa or Ab, rather than around Ab1 or Ab2.

Min et al. (2017) have developed an analytical description with which the orientation of an inner, shadow-casting disk can be derived from measuring the orientation of the shadows cast on the outer disk. We repeat the same procedure for GG Tau A, assuming that the shadow is cast by a disk around either Aa or Ab. For this purpose, we measure a position angle of the line connecting the two shadows of about 90° , and a vertical (projected) offset of this line of 21.2 au and -9.7 au from Aa and Ab, respectively. Inserting these values into equations 7 and 10 of Min et al. (2017), we obtain a disk position angle of about 90° for the shadow-casting disk for both cases. Assuming an outer disk

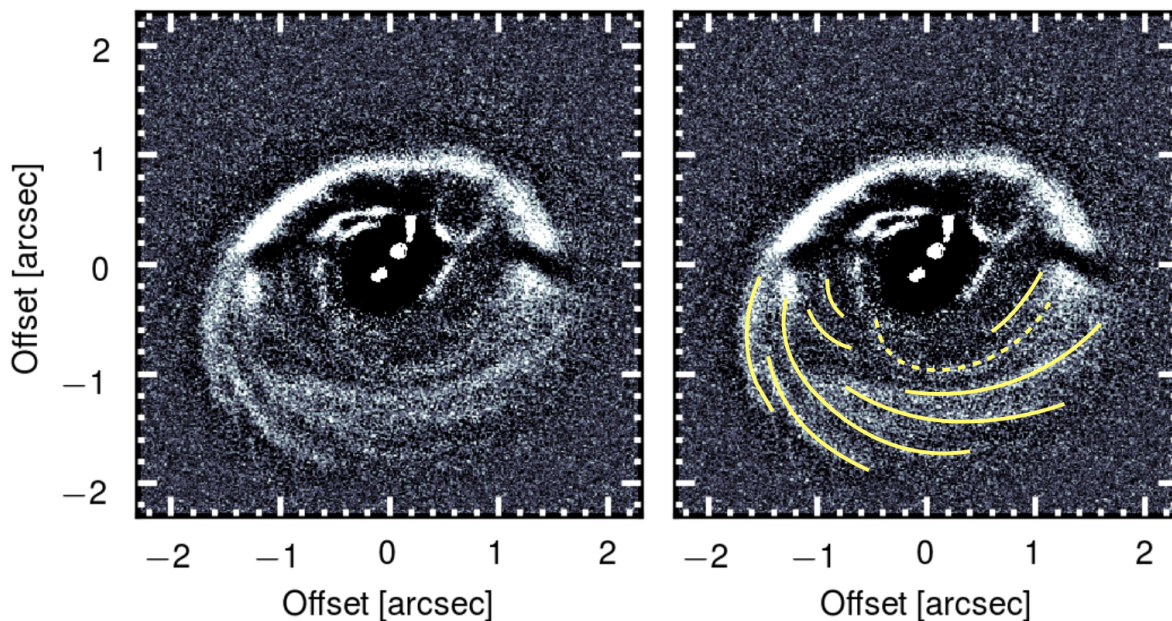


Fig. 6. High-pass filtered PI image of GG Tau A (*left*) with highlighted spiral structures (*right*). The dashed line highlights the possible connection of the outer disk to Aa.

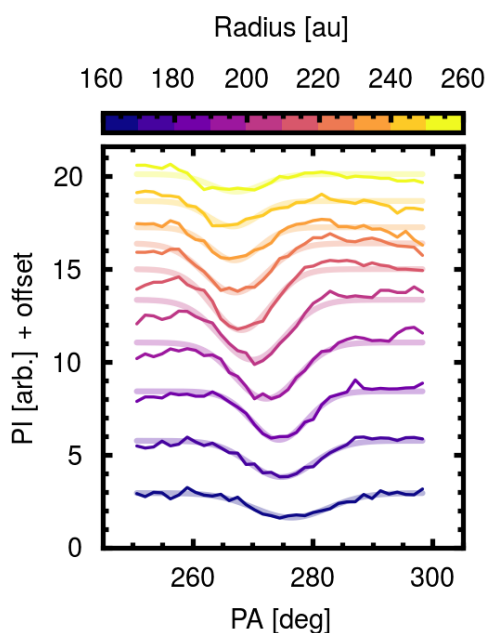


Fig. 7. Azimuthal profiles of the western shadow at different (deprojected) radial bins between 160 and 260 au. The smooth, shadowed lines correspond to the best-fit Gaussian profiles, respectively.

aspect ratio of 0.1-0.15, and assuming that the scattering surface is found at about 2-3 times the pressure scale height, we further find an inclination of $\sim 72^\circ$ - 81° , if the disk was found around Aa, and an inclination of $\sim 96^\circ$ - 100° if it was found around Ab. Considering the outer disk inclination of 37° , the misalignment of a disk around Aa and Ab would then be $\sim 35^\circ$ - 44° and $\sim 59^\circ$ - 63° , respectively.

Recently, Brauer et al. (2019) have investigated the impact of circumstellar disks around the binary components on the brightness distribution within the circumbinary ring using radiative transfer modelling. In one of their setups they simulated an inclined circumstellar disk around Ab2 (while keeping a coplanar disk around Aa). In this case their simulations could reproduce a sharp shadowed lane at the location of shadow ‘A’, as well as a symmetric eastern shadow (corresponding to shadow ‘B’), although they found it to be shallower in brightness contrast than in the observations. We suggest here that an inclined disk around Ab (i.e., a circumbinary disk around both Ab1 and Ab2) or around Aa would be more compatible with the measured contrast.

4.5. Spirals

We detect multiple spiral structures in the southern part of the disk. For an improved identification, we processed the image by a high-pass filter, i.e. we convolved the image with a Gaussian filter ($\sigma = 9$ px) and subtracted it from the original image. The spiral structures are clearly seen in this image (Fig. 6). Interestingly, one spiral arm is tentatively found to cross the gap and, if confirmed, connects from the south-western circumbinary ring to the immediate circumstellar environment of Aa (see yellow dashed line in Fig 6, right). The presence of thin filaments in the south-east disk was already suggested from the observations by Krist et al. (2005), who interpreted these structures as possible signs of binary-disk interactions. Further, Tang et al. (2016) and Phuong et al. (2020a) found, at an angular resolution of ~ 0.3 - $0.4''$, that the radial distribution of CO brightness in the outer disk exhibits several spiral structures.

5. Modelling

We perform hydrodynamical simulations, in order to model the system and its evolution, with the main goal to verify if the bi-

nary can be qualitatively responsible for the observed gap size and features within the circumbinary ring.

5.1. Hydrodynamical model setup

We carry out hydrodynamical simulations of the gas disk using the GPU version of PLUTO (Mignone et al. 2007) by Thun & Kley (2018). The simulations are 2D and isothermal. We used a polar, radially logarithmic grid ranging from 1 binary semi-major axis (a_{bin} , 35 au) to $40 a_{\text{bin}}$ (1400 au) with 684 cells in radial and 584 cells in azimuthal direction. Due to the small separation of Ab1 and Ab2 (~ 5 au; Di Folco et al. 2014) compared to the inner edge of the circumbinary ring (~ 200 au) we considered Ab1 and Ab2 together as a single component, Ab, and the entire system was treated as a binary. The binary components Aa and Ab were assumed to have masses of $0.75 M_{\odot}$ and $0.67 M_{\odot}$, implying a mass ratio of 0.89, similar to the mass ratio of 0.77 derived in Sect. 2. As shown in Thun & Kley (2018), minor changes in the mass ratio of the binary affect the disk dynamics only very little. The binary orbit was set to have a semi-major axis of 35 au and an initial eccentricity of 0.28, consistent with the observations (Köhler 2011). Further, the binary orbit is assumed to be coplanar with the circumbinary disk plane. We ran two different models, which differ only in the adopted radial temperature profile. In the first model, we considered a temperature profile constrained by the ^{13}CO molecule (Guilloteau et al. 1999), tracing the disk surface temperature, and in the second model we applied a temperature profile constrained by the dust continuum (Dutrey et al. 2014), tracing the midplane temperature:

$$T_{\text{surface}} = 20 \text{ K} \cdot \frac{300 \text{ au}}{R} \quad (1)$$

$$T_{\text{midplane}} = 13.8 \text{ K} \cdot \frac{200 \text{ au}}{R} \quad (2)$$

By considering these two different temperature profiles, sensitive to the warm disk surface and to the cool midplane, respectively, thus allows us to cover the two limiting cases. The aspect ratio $h = H/R$ of the disk is determined by the sound speed c_s and Keplerian orbital frequency Ω_k , and therefore results from the assumed temperature profile as follows:

$$h = \frac{c_s}{\Omega_k R} = \sqrt{\frac{k_B}{GM_{\text{bin}} \mu m_p}} \cdot \sqrt{TR}, \quad (3)$$

with M_{bin} the binary mass, $\mu=2.3$ the mean molecular weight, m_p the proton mass, and R the radial distance from the system barycenter in the disk plane. With our chosen temperature profile, we obtain a constant aspect ratio corresponding to the following values:

$$h_{\text{surface}} \approx 0.15 \quad (4)$$

$$h_{\text{midplane}} \approx 0.11 \quad (5)$$

The initial surface density follows a power law $\propto R^{-1.5}$ normalized in such a way that the total disk mass amounts to 10% of the binary mass ($0.14 M_{\odot}$). As the inner $3 a_{\text{bin}}$ of the disc are unstable the initial density profile inside of $2.5 a_{\text{bin}}$ is exponentially decaying to e^{-1} of the smooth profile within $0.1 a_{\text{bin}}$. The boundary conditions of the simulations were defined as in Thun & Kley (2018). We simulate the gas content of the disk assuming an α viscosity with a constant Shakura-Sunyaev parameter of 10^{-3} throughout the disk.

The computational time needed to reach the actual disk structure from the initial power law profile can be large (Kley et al. 2019). To ensure a feasible time step for the grid code we did not include the stars themselves in the simulation domain, but the inner grid boundary is set to a radius of $1 a_{\text{bin}}$ (35 au) and we added the binary as n-bodies inside the domain to create the potential, using a gravitational softening parameter of 0.6 (see Kley et al. 2019). As discussed in Kley et al. (2019) such an inner boundary does not change the dynamics of the circumbinary disk or gap width. The outer disk edge is an open boundary that assumes a continuation of the power law disk. We note that the simulations do not take into account the presence of GG Tau B, which is observed at a projected separation of about 1400 au from GG Tau A. Since this outer companion may accrete from and/or truncate the outer parts of the disk (see e.g., Beust & Dutrey 2006), it is therefore possible that the density in the outer parts of the disk is overestimated in the simulation. We ran both models for 28 000 binary orbits (≈ 4.9 Myr).

5.2. Post processing of hydrodynamical simulations

To investigate the appearance of our simulated disks in scattered light, we generate images in polarized intensity using the radiative transfer code RADMC-3D (Dullemond et al. 2012). We include a radiation field from two stellar components with luminosities of $0.44 L_{\odot}$ and $0.20 L_{\odot}$, and temperatures of 3900 K and 3400 K, respectively. In order to generate a 3D view from the simulated disk, we expanded the 2D surface density distribution resulting from the hydrodynamical simulations along the vertical axis, assuming a Gaussian density distribution with constant aspect ratios of 0.15 and 0.11, consistent with the assumed temperature laws in the simulations (see Sect. 5.1). We assumed the dust to be well mixed with the gas. This is a valid assumption since at $1.67 \mu\text{m}$, the scattered light is dominated by micron-sized dust grains, which are well coupled to the gas. We thus assumed the dust density distribution to be identical to that of the gas, scaled by a factor of 0.01, which corresponds to a typically assumed dust-to-gas ratio of 1 to 100 in protoplanetary disks.

We assume the dust number density n as a function of grain size a to follow a power law of the form $n(a) \propto a^{-3.5}$. The grains are considered to be distributed between sizes of $0.005 \mu\text{m}$ and $0.5 \mu\text{m}$, as assumed in the modeling efforts by Brauer et al. (2019). We assume that 5% of the total dust mass is contained within this population of small grains, corresponding to a fraction of 5×10^{-4} of the total disk gas mass. Our dust mixture is composed of 70% astronomical silicates (Draine 2003) and 30% amorphous carbon grains (Zubko et al. 1996). We computed the Stokes Q and U frames at $1.67 \mu\text{m}$, taking into account the observed inclination and position angle of the disk. The simulations were run using 10^8 photon packages in order to obtain high signal-to-noise images. Finally, we convolved our images with a Gaussian kernel with a FWHM of 43 mas.

5.3. Modelling results and comparison to observations

Both models ran for 28 000 binary orbits (≈ 4.9 Myr), during which the system reached a convergent configuration of a stable sized, eccentric, precessing cavity around the binary and a stable circumbinary disk after about 10 000 binary orbits (≈ 1.7 Myr). In the simulations, mass is constantly accreted onto the stars through accretion streams. Therefore, the disk loses a fraction of about $5.2 \cdot 10^{-6}$ of its mass per binary orbit (or $3.0 \cdot 10^{-8}$ per year). As a result, the initial disk mass of $0.14 M_{\odot}$ has de-

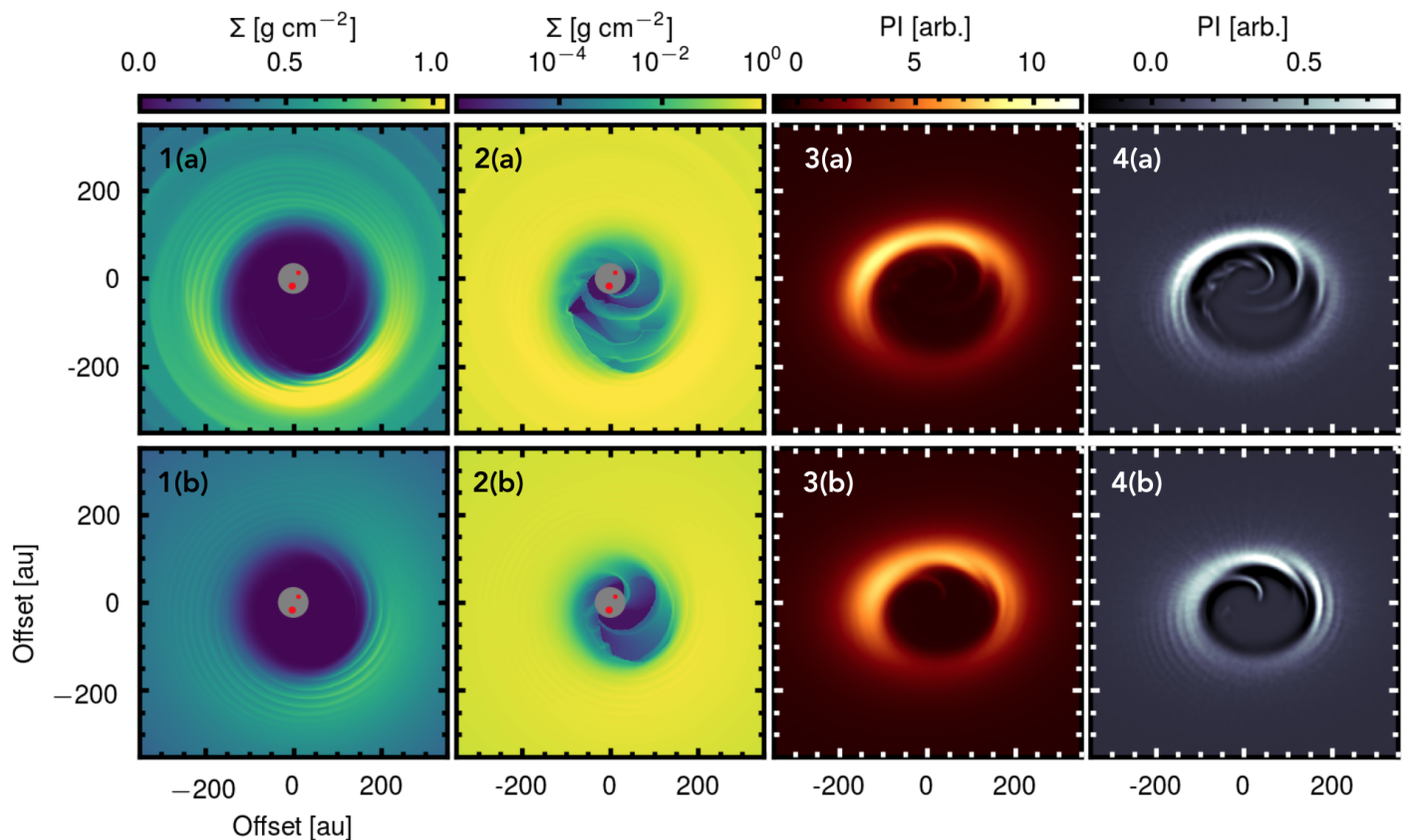


Fig. 8. Surface density output of our simulations in linear (left column; 1 (a) and (b)) and logarithmic (center left column; 2 (a) and (b)) color stretch. The center right column (3 (a) and (b)) shows the simulated polarized intensity images evaluated at $1.67 \mu\text{m}$. This image was calculated after inclining and orienting the disk as in the observations. The right column (4 (a) and (b)) shows the polarized intensity image of the center right column, processed with a high-pass filter. In each column, the panel in the first row (a) corresponds to the model with $h=0.11$, the panel in the second row (b) to $h=0.15$.

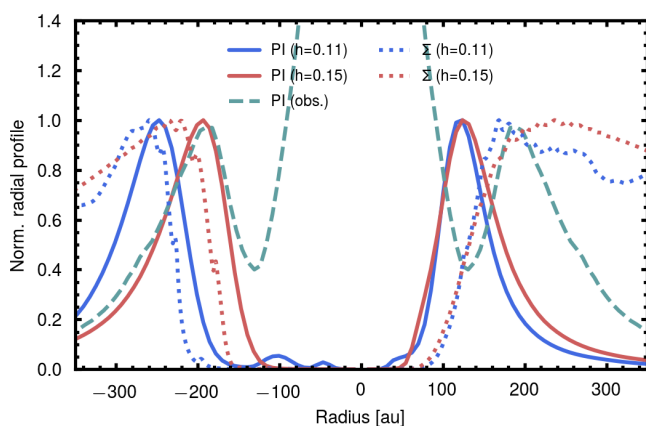


Fig. 9. Radial profiles along the disk major axes (within a cone of $\pm 30^\circ$) of the two models drawn from the gas surface densities (dotted) and the deprojected, simulated polarized intensity images (solid lines). As a comparison, the radial profile of the observed, deprojected disk averaged over all azimuths is plotted (dashed).

creased at the end of the simulation to 86% of its initial value ($0.12 M_\odot$). This is in excellent agreement with the disk mass constraints from observations ($\sim 0.12 M_\odot$, [Guilloteau et al. 1999](#)).

We did not take the accretion onto the stars into account as it is not resolved in the domain.

The final gas density distributions for both simulations are displayed in Fig. 8 (left and center left columns). They show evidence of large cleared inner regions. The gap in the gas is found to have a semi-major axis (defined as the location where the gas density has decreased to 10 % of its peak value) of $4.77 a_{\text{bin}}$ (167 au) and an eccentricity of 0.34 in the case of the mid-plane temperature ($h = 0.11$), and a semi-major axis of $3.85 a_{\text{bin}}$ (135 au) and an eccentricity of 0.25 in the case of the (higher) surface temperature ($h = 0.15$).

The surface density shows an azimuthal asymmetry, with the density peaking in direction of the disk apocenter. This is due to the fact that the gas velocity is slowest at these locations, leading to an enhancement of material in these regions. As can be seen in Fig. 8 (left column), the circumbinary ring is structured by numerous tightly wound, fine spirals. Further, the logarithmic color stretch for the surface density (Fig. 8, center left column) reveals the structure of material flow through the cavity. Spiral streams occur in the simulation periodically driven by the circumbinary rotation, accelerating the close-by infalling material. Our simulations show regularly stripped-off material streams from the outer disk, similar to the observations, while the exact morphology and orientation of the filaments is not reproduced. These differences may be related to the fact that we do not know the exact initial conditions of the system, with some parameters such as its mass related to some uncertainty. Another possible caveat in the simu-

lations is the fact that we do not simulate the direct circumstellar material but the simulation domain is cut inside of about 35 au. The presence of material in that region (such as the ‘northern arc’) may impact the flow dynamics and dust morphology within the cavity. Further, the fact that Ab is actually a binary itself, may affect the morphology of the material flow.

The post-processed polarized intensity images are shown in the center right column of Fig. 8. Also here, the intensity shows clear azimuthal variations. Since the disk is optically thick in the near-infrared regime, the azimuthal dependence of the large-scale surface brightness is not sensitive to the surface density, but to the dust phase function and polarization degree. As expected, the near side is significantly brighter than the far side. Also in the simulated polarized intensity images, substructures are visible within the circumbinary ring. While the contrast of the spirals in the circumbinary ring appears faint, they become very well visible when treating the images with a high-pass filter, similarly to the observations (Fig. 8, right column). It should be noted, however, that the simulated view of the disk in scattered light may be biased by our simplified treatment of the vertical structure of the disk.

Figure 9 shows the radial profiles of the simulated gas surface densities (blue and red dotted lines) along the disk major axes. We find a disk semi-major axis (defined as the distance where the profile peaks) of about 215-230 au. Assuming that the large dust particles traced by millimeter observations are being trapped at the location of maximum gas density, these findings are well comparable with the observations: using the optically thin continuum emission between 1.3 mm to 7.3 mm, Andrews et al. (2014) observe the continuum to peak at about 250 au. Figure 9 also shows the radial profiles of the simulated, deprojected polarized intensity images along the disk major axes (blue and red solid lines). In each of the cases, the polarized intensity profile peaks slightly ahead of the gas density. This can be explained by the fact that the peak of the scattered light profile traces the location of the inner wall of the ring, where illumination is strongest, and not directly the dust density distribution. The semi-major axis of the disk in the polarized intensity images is measured to be 180 au and 160 au, respectively. This is slightly less than the location of the peak of the mean (i.e., averaged over all azimuths), deprojected radial profile of the observed *PI* image (~190 au). A reason could be that the slope of the inner edge of the gas disk may in reality be somewhat sharper than in the simulations, which could possibly be connected to the exact value of the binary eccentricity (e.g., Miranda et al. 2017), or to other disk properties such as the assumed temperature profile, density distribution and viscosity. Further, the rim location inferred from the scattered light observations may be overestimated due to possible shadowing from one (or several) circumstellar disks around the three individual components (Brauer et al. 2019).

Finally, the simulated gap cleared by the binary becomes eccentric, with mean eccentricity values of ~0.2-0.3. As noted in Sect. 4.2, it is difficult to extract reliable information on the disk eccentricity from the scattered light observations, but the (sub-)mm observations indicate that the eccentricity of the disk is probably rather low (Guilloteau et al. 1999; Andrews et al. 2014). This could hint toward a smaller disk viscosity than assumed in our simulations, as discussed in Sect. 6.3.

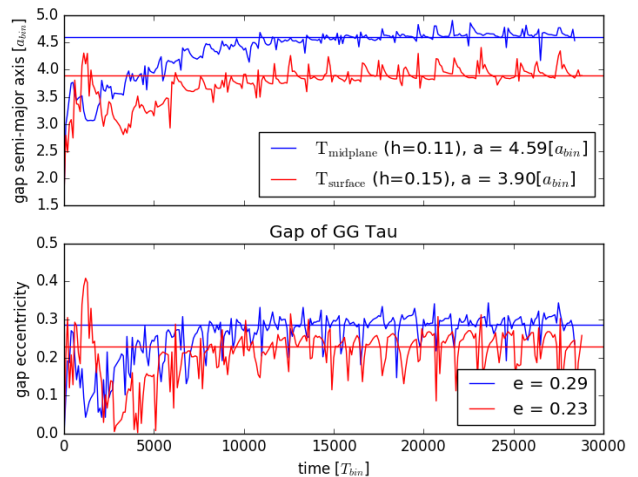


Fig. 10. Size and eccentricity evolution of the cavity around GG Tau A created by the PLUTO simulations for the midplane temperature and surface temperature of the disk. a_{bin} is 35 au and the initial disk mass is 0.1 binary masses.

6. Discussion

6.1. Accretion streams within the circumbinary gap

We interpret the filaments detected in our observations and described in Sect. 4.3 as accretion streams. According to theoretical models, circumbinary accretion is thought to proceed onto the stars from the outer circumbinary disk through accretion streams, which are repeatedly torn off at the inner edge of the disk near the apocenter of the binary orbit. This is consistent with what is seen in our simulations. Such a phase dependent, pulsed accretion process has been seen in numerous theoretical studies (e.g., Günther & Kley 2002; Dunhill et al. 2015; Duffell et al. 2019). Indeed, time variable spectroscopic signatures of accretion activity (e.g., through hydrogen recombination lines) correlated with the binary orbital phase have been observed in some tight (spectroscopic) binary systems (e.g., Mathieu et al. 1997; Kóspál et al. 2018). While the detection of pulsed accretion is usually restricted to very tight systems (due to the restricted time base), the detection of periodic streamers in GG Tau A, if confirmed, would be the first indication of such processes in a wider system.

The hypothesis that the filaments in GG Tau A indeed trace accretion streams fits well in the overall picture. With large grains being trapped in the outer circumbinary disk, the detected streamers refill the immediate environment of Ab predominantly with gas and small grains, which is compatible with the strong silicate feature observed at the location of GG Tau Ab (Skemer et al. 2011). The formation of large, massive circumstellar disk(s) around Ab1/2 however may be inhibited by its binary nature despite continuous replenishment of material, which could explain the non-detection of millimeter flux at the location of Ab (Dutrey et al. 2014).

6.2. Spiral structures as imprints of binary-disk interaction

Our SPHERE observations show the presence of several spiral structures in the southern disk region. Our simulations show that this is an expected outcome of binary-disk interactions, and is consistent also with other modelling efforts, which do show that

the generation of spiral density waves is a common result of binary-disk interaction, in particular for cases where the binary orbit has non-zero eccentricity (e.g., [Miranda et al. 2017](#); [Price et al. 2018](#)). Observations of circumbinary disks have brought observational evidence of such spiral structures in these systems (e.g., [Avenhaus et al. 2017](#); [Monnier et al. 2019](#)). In addition, the presence of large accretion streams, such as the tentative connection from the southern disk to the primary, Aa, is also expected from simulations (e.g., [Mösta et al. 2019](#)). In this respect, the detected spiral features are well in agreement with our expectations from simulations of circumbinary disks, where the binary orbit has moderate eccentricity. We note that in addition to that also the *external* binary-disk interactions with GG Tau B (projected separation of ~ 1500 au) could be able to trigger spiral waves in the GG Tau A disk (e.g., [Dong et al. 2016](#)), a scenario that may be addressed by future work.

However, theoretical models have shown that, in addition to binary-disk interactions, there exists a number of different processes that can also drive the generation of spirals in disks, such as the presence of a low-mass companion on an orbit inside or outside of the disk (e.g., [Dong et al. 2015b](#)), gravitational instability (e.g., [Dong et al. 2015a](#); [Dipierro et al. 2015](#); [Nelson & Marzari 2016](#); [Meru et al. 2017](#)), or a combination of both (e.g., [Pohl et al. 2015](#)), as well as temperature fluctuations as a result of shadowing by an inner warped or misaligned inner disk (e.g., [Montesinos et al. 2016](#)). In order to discern between the companion and gravitational instability scenario, observations at comparably high resolution of the dust continuum, probing the mid-plane of the disk, would be required (e.g., [Rosotti et al. 2020](#)). However, we regard the last scenario as rather unlikely, because in this case the spiral arms would be expected to diverge from a location close to where the scattered light shadows are located. On the contrary, several spiral arms seem to rather originate from a point located on the outer ring at a *PA* of $\sim 120^\circ$. Interestingly, at this *PA* (but slightly outwards of the NIR peak emission, at radial distances of ~ 215 - 270 au) an asymmetric structure within the CO distribution has been found, showing evidence of a significantly increased temperature ([Dutrey et al. 2014](#); [Tang et al. 2016](#)). This so-called ‘hot spot’ was interpreted as an area with locally enhanced density and temperature, heated by a possible embedded planet at stage of formation ([Phuong et al. 2020a](#)). While we still consider binary-disk interaction as the most obvious driving force for the spirals observed in the scattered light, a possible connection or interference with this hypothetical forming body should be investigated with complementary observations.

6.3. Gap size as a result of binary-disk interactions

Our simulations show that a binary with a semi-major axis of 35 au is able to create a gap in a coplanar disk, with a size that is comparable to the present observations. Our inferred gap sizes ($4.8 a_{\text{bin}}$ and $3.9 a_{\text{bin}}$ for the two temperature regimes, respectively) are in agreement with former studies of other systems, in which cavity sizes ranging from 3 to 6 binary separations were found (e.g., [Thun & Kley 2018](#)).

We note that our gap estimates are significantly larger than the ones derived by previous studies of the GG Tau A circumbinary ring. As an example, considering the best-fit astrometric solution of the binary under the assumption that the orbit was coplanar with the outer disk ($a_{\text{bin}} \sim 36$ au), [Beust & Dutrey \(2005\)](#) predicted a gap size of 2 - $3.3 a_{\text{bin}}$, which they noted to be obviously incompatible with the observations. Such an apparent discrepancy between observed and simulated gap sizes was

confirmed by the hydrodynamical simulations of [Cazzoletti et al. \(2017\)](#) who tested the binary-disk coplanar case considering different disk temperature profiles and a range of values for the viscosity. Indeed, the authors found the simulated gas distribution to peak at radial distances smaller than ~ 160 au, which contrasts with the observed millimeter continuum peak at about 250 au. As a possible solution of this discrepancy, [Beust & Dutrey \(2005\)](#) proposed to drop the assumption that the binary orbit was coplanar with the disk. In this case, the most plausible orbit has a semi-major axis of ~ 65 au, an eccentricity of 0.44 and a disk-orbit misalignment of about 25° ([Köhler 2011](#)). This latter scenario, a binary on a wide, disk misaligned orbit was tested with hydrodynamical simulations by [Aly et al. \(2018\)](#). The authors found that they could indeed reproduce the observed gap size, assuming a binary separation of ~ 60 au and a binary-disk misalignment of $\sim 30^\circ$.

We believe that the differences between these earlier estimates and our own gap values are mainly due to a difference in timescales. Indeed, while our simulations were run for 28 000 orbits, previous studies like [Nelson & Marzari \(2016\)](#), [Cazzoletti et al. \(2017\)](#) and [Aly et al. \(2018\)](#) stopped their simulations after about 1000-2000 orbits or less, and have therefore looked at earlier stages of the disk evolution to define the gap size and eccentricity. Because the disk evolution starts from an azimuthally symmetric density distribution, our simulations show that the disk crosses meta-stable symmetric states between 1100 and 1700 orbits. This is illustrated in Fig. B.1, which shows the evolution of the gap semi-major axis and gap eccentricity over the first 20 000 orbits. However, this meta-stable state is an artifact of the set-up and does not correspond to the convergent behavior of a circumbinary disk, since the binary will excite the disk to eccentric motion. Over time, the disk will evolve to a larger, more eccentric, stable gap as the full evolution in Fig. 10 shows. Although this evolution is slow, it converges well within the lifetime of the disk. Therefore, the simulations of [Cazzoletti et al. \(2017\)](#) and our simulations agree well with each other during the earlier stages, but our longer simulation time shows that the gap will widen with progressing evolution. We thus conclude that the observed gap size can be explained by the long-term action (10 000 orbits) of a binary of separation 35 au that is coplanar with the disk.

We note that our choice for the viscous α -parameter of 10^{-3} does not impact our conclusion. As the disk starts at more than 10 au with rather low density, a MRI-inactive medium seems to be a reasonable assumption, and we consider our value as a realistic choice. However, the relatively high mass of the disk may lead to an even lower viscosity. We therefore compared our results with a simulation using an even lower α parameter of 10^{-4} . Our test run shows that lowering α will affect the gap size only little, reducing it by less than 10%. The fact that in this case, a lower α viscosity slightly shrinks the gap size, is related to the relatively high binary eccentricity of GG Tau A ($\epsilon \sim 0.3$). For eccentricities ≥ 0.15 , the eccentricity of the disc is directly affected by the binary eccentricity. Due to the weaker transfer of angular momentum, lowering α decreases the apocenter distance of the disk, while the pericenter distance remains constant, thus lowering the gap eccentricity and resulting in a slightly smaller net gap size ([Penzlin et al. in prep.](#)). Similarly, [Cazzoletti et al. \(2017\)](#) observed no strong dependence of the location of the gas density peak on the assumed value of α . However, a lower α value would result in a significantly less eccentric gap. Therefore, a low viscosity may actually be consistent with the fact that the disk does not appear very eccentric in the continuum observations.

In summary, our simulations suggest that a tight, ~ 35 au sized binary orbit coplanar with the outer disk is sufficient to create a gap in the disk of the observed size. It should be noted, however, that some misalignment within the system cannot be excluded, in particular in view of the shadows on the outer disk which may imply the presence of misaligned circumstellar material. Final conclusion on the orbital parameters of the binary and the respective disk-orbit orientation requires further astrometric monitoring as the current orbital coverage is still small (Maire et al. in prep.).

7. Summary and conclusions

We have observed the circumbinary environment of GG Tau A in polarized light with SPHERE/IRDIS in H-band at unprecedented angular resolution. We analyzed the disk morphology and compared our observations to hydrodynamical simulations. The following section summarizes our findings.

The inner region appears to be highly structured. Our image suggests that the previously reported ‘northern arc’ is composed of a double-arc structure. We further detect various filament-like structures in the immediate circumbinary environment. Small dust grains scattering off light from the binary appear to be distributed in a large area around the binary. We clearly detect previously suggested filament-like structures connecting the outer ring with the northern arc. The azimuthal spacing of the streamers may be consistent with a periodic perturbation by the binary, tearing off material from the inner edge of the outer disk once during each orbit. We redetect three shadowed regions cast on the outer disk, as well as a tentative fourth shadow, suggesting the presence of an inclined circumstellar disk around Aa or Ab. We do not confirm a linear movement of the western shadow lane since 2011 that was suggested by previous observations.

We ran hydrodynamical simulations including the binary on an eccentric and disk coplanar orbit with a semi-major axis of 35 au. The simulations ran for 28 000 orbits, covering the system’s estimated age. The final disk configuration shows evidence of spiral structures in the outer ring as well as within the cavity, similar to the observations. The resulting disk size is in qualitative agreement with the observations, which implies that a coplanar binary orbit ~ 35 au in size may be sufficient to explain the size of the ring. Astrometric follow-up observations are required to provide final conclusion on the size and orientation of the binary orbit.

Acknowledgements. We thank the referee, Ruobing Dong, for providing constructive comments which helped improve the manuscript. We thank A. Müller, P. Pinilla, D. Price and J. Sanchez for fruitful discussions, as well as Y. Itoh for kindly sharing the previous Subaru data with us. M.K. warmly thanks Th. Müller for help with the visualization of the data. T.H. acknowledges support from the European Research Council under the Horizon 2020 Framework Program via the ERC Advanced Grant Origins 83 24 28. MBe,FMe and MV acknowledge funding from ANR of France under contract number ANR-16-CE31-0013 (Planet Forming Disks). GL has received funding from the European Union’s Horizon 2020 research and innovation programme under the Marie Skłodowska-Curie grant agreement No 823823 (RISE DUSTBUSTERS project). A.Z. acknowledges support from the FONDECYT Iniciación en investigación project number 11190837. SPHERE is an instrument designed and built by a consortium consisting of IPAG (Grenoble, France), MPIA (Heidelberg, Germany), LAM (Marseille, France), LESIA (Paris, France), Laboratoire Lagrange (Nice, France), INAF - Osservatorio di Padova (Italy), Observatoire de Genève (Switzerland), ETH Zurich (Switzerland), NOVA (Netherlands), ONERA (France), and ASTRON (The Netherlands) in collaboration with ESO. SPHERE was funded by ESO, with additional contributions from CNRS (France), MPIA (Germany), INAF (Italy), FINES (Switzerland), and NOVA (The Netherlands). SPHERE also received funding from the European Commission Sixth and Seventh Framework Programmes as part of the Optical Infrared Coordination Network for Astronomy (OPTICON) under grant number RII3-Ct2004-001566 for FP6 (2004-

2008), grant number 226604 for FP7 (2009-2012), and grant number 312430 for FP7 (2013-2016).

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Appendix A: Polarized intensity pattern in the presence of two illumination sources

In order to investigate how the presence of two illumination sources impacts the morphology of PI , we generate a toy model of the GG Tau A disk. We consider two illumination sources, at a respective separation of 38 au, and with luminosities ($L_a=0.44 L_\odot$, $L_b=0.23 L_\odot$) such as found for GG Tau Aa and Ab. We assume that the luminosity ratios of Aa and Ab are representative for their H-band flux ratios, which is consistent with the observations by Di Folco et al. (2014), who find a H-band flux ratio of ~ 2.1 . For each point in the disk plane, we compute the received stellar illumination $F_{tot} = F_a + F_b \propto L_a/d_a^2 + L_b/d_b^2$, where d_a and d_b is the distance to Aa and Ab, respectively. This received stellar flux is proportional to the intensity of scattered, linearly polarized light, assuming a homogeneous surface density and degree of linear polarization throughout the disk. We also assume a flat disk geometry for simplicity.

Fig. A.1 (left) shows the distribution of F_{tot} for a face-on view of the disk. The dotted circles trace contours at which the contribution from the respectively other star to F_{tot} is 5, 10 and 20%, i.e. where $F_a/F_{tot} = (0.05, 0.1, 0.2)$ (green) and $F_b/F_{tot} = (0.05, 0.1, 0.2)$ (red). The 5% contours are found as close as ~ 9 au (~ 5 au) to the location of Aa (Ab). Since the PSF FWHM of our SPHERE observations is about 40 mas (i.e., 6 au at 150 pc, thus corresponding to a PSF radius of ~ 3 au) the contribution of scattered polarized light from the respectively other star to the unresolved polarized signal measured at the locations of GG Tau Aa and Ab is thus expected to be negligible.

We further investigate if any of our detected disk substructures could be related to the respective orientation of the polarization vectors in the presence of two illumination sources, rather than to a variation in disk surface density or scale height. If, for example, the polarization vectors at a certain point in the disk due to light scattered from Aa and Ab enclosed an angle of about 90° , the polarized signal could cancel out, leading to a locally depressed PI . Since the orientation of the linear polarization vectors is expected to be orthogonal to the radius vectors connecting a certain point in the disk with the respective illumination sources, it is possible to map the angles enclosed by the two polarization vectors throughout the disk. This map is shown in Fig. A.1 (right). We find that close to the stars, there is indeed a region with a respective polarization angle difference of 90° . Further away, however, from $\sim a_{bin}$ on, polarization vectors tend to be aligned with respect to each other. This is consistent with our observations, where the polarization vectors are well azimuthally orientated throughout the outer disk. While we cannot exclude that some spatial PI variation close to the binary is caused by the superposition of the polarization vectors, we conclude that this effect cannot be responsible for the generation of any our detected disk substructures illustrated in Fig. 3.

Appendix B: Early meta-stable simulation phase

Cazzoletti et al. (2017) found a smaller inner cavity after a simulation of about 1000 binary orbits. We can confirm this finding for the early simulation as shown in Fig. B.1. However, we find that this is a feature created by the symmetric initial condition of the gas distribution. After clearing the inner disk from gas in unstable orbits during the first few hundred orbits, the disk reaches a meta stable configuration. This symmetric configuration will be disturbed by the higher modes of the binary potential and transform into the stable eccentric cavity that is reached af-

ter about 10 000 binary orbits. The same behavior happens for less viscous systems a few hundred orbits earlier.

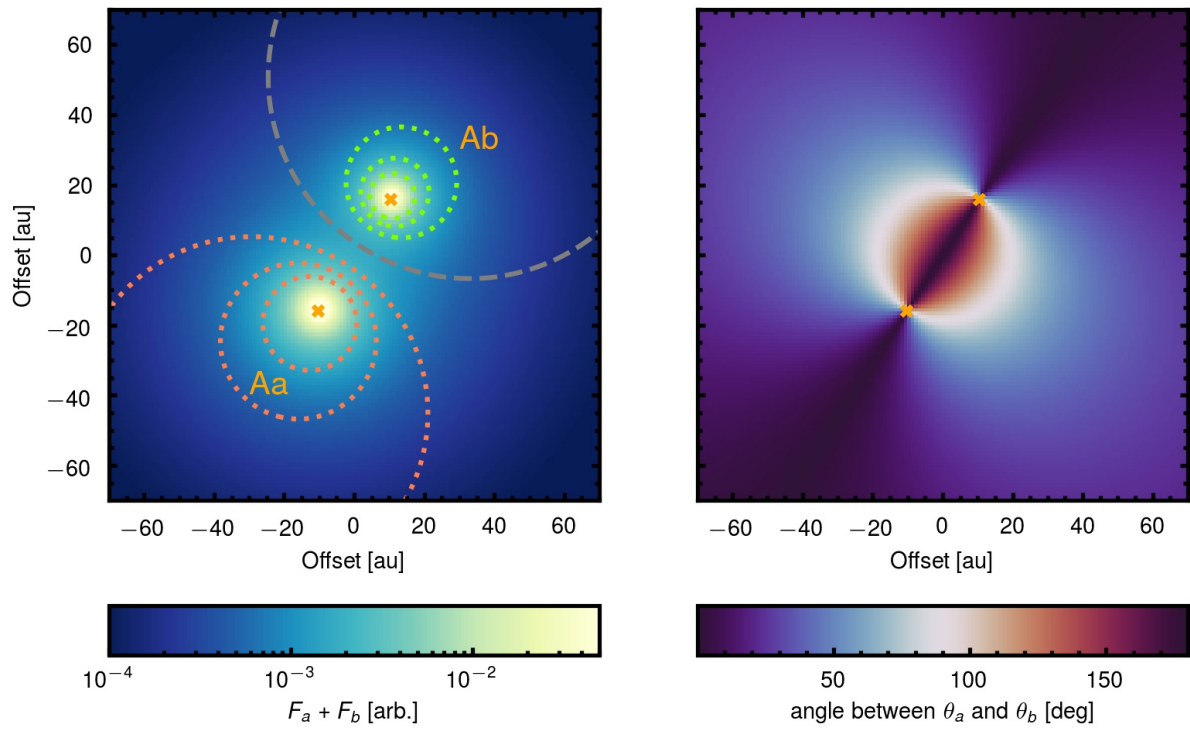


Fig. A.1. Toy model of the inner disk region of GG Tau A including two illuminating sources. The left panel shows the radiation field generated by the two stars. For each star, the dotted contours mark the regions where the contribution from the other star to the total flux is 5, 10, and 20% (in red, the contribution from GG Tau Ab in the immediate surrounding of GG Tau Aa; in green, vice versa). The grey dashed line traces the contour where F_a equals F_b . The right panel maps the angles between the linear polarization vectors resulting from scattering of light from Aa and Ab. In regions in which this angle becomes close to 90° , PI could theoretically cancel out.

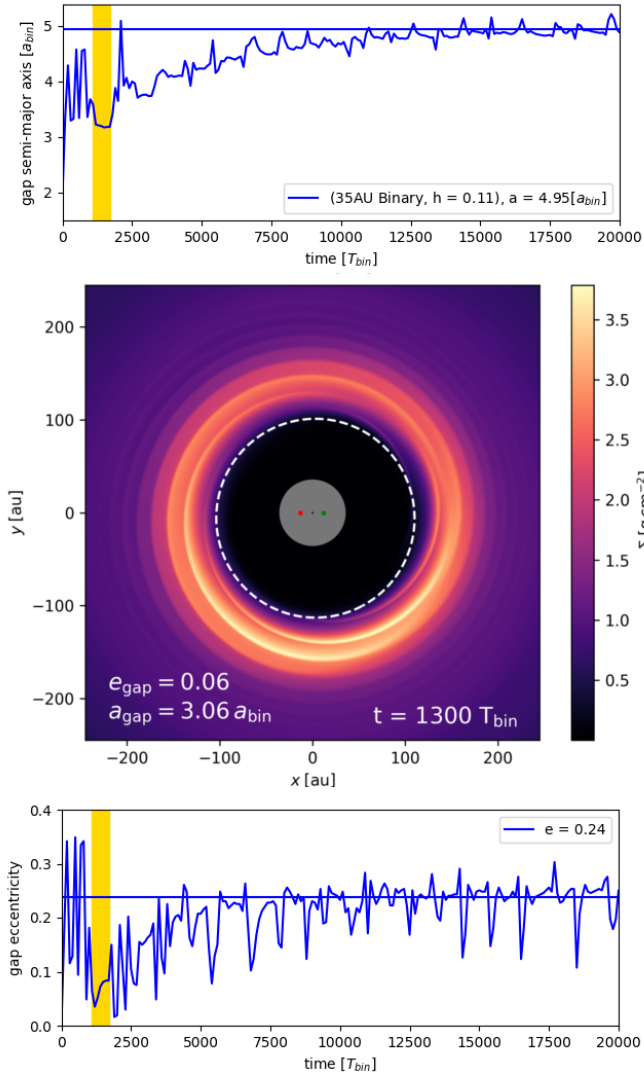


Fig. B.1. Gap size (top) and eccentricity (bottom) evolution of the cavity around GG Tau A created by our PLUTO simulation for the midplane temperature of the disk. The disk encounters a meta stable symmetric state (highlighted in yellow) with reduced gap size and eccentricity for about 600 orbits. The 2D surface density plot after 1300 orbits is shown in the middle.