

Categoriality in Language Change

OXFORD STUDIES IN THE HISTORY OF ENGLISH

General Editor
Terttu Nevalainen, University of Helsinki

Editorial Board
Laurel Brinton, University of British Columbia
Donka Minkova, University of California, Los Angeles
Thomas Kohnen, University of Cologne
Ingrid Tieken-Boon van Oostade, University of Leiden

The Early English Impersonal Construction
Ruth Möhlig-Falke

Information Structure and Syntactic Change in the History of English
Edited by Anneli Meurman-Solin, María José López-Couso, and Bettelou Los

Spreading Patterns
Hendrik De Smet

Constructions and Environments
Peter Petré

Middle English Verbs of Emotion and Impersonal Constructions
Ayumi Miura

Language Between Description and Prescription
Lieselotte Anderwald

Motion and the English Verb
Judith Huber

Categoriality in Language Change
Lauren Fonteyn

Categoriality in Language Change

The Case of the English Gerund

LAUREN FONTEYN

OXFORD
UNIVERSITY PRESS



Oxford University Press is a department of the University of Oxford. It furthers the University's objective of excellence in research, scholarship, and education by publishing worldwide. Oxford is a registered trade mark of Oxford University Press in the UK and certain other countries.

Published in the United States of America by Oxford University Press
198 Madison Avenue, New York, NY 10016, United States of America.

© Oxford University Press 2019

All rights reserved. No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, without the prior permission in writing of Oxford University Press, or as expressly permitted by law, by license, or under terms agreed with the appropriate reproduction rights organization. Inquiries concerning reproduction outside the scope of the above should be sent to the Rights Department, Oxford University Press, at the address above.

You must not circulate this work in any other form
and you must impose this same condition on any acquirer.

Library of Congress Cataloging-in-Publication Data

Names: Fonteyn, Lauren, author.

Title: Categoriality in language change : the case of the English gerund /
Lauren Fonteyn.

Description: New York, NY : Oxford University Press, [2019] | Revision of
author's thesis (doctoral)—Katholieke Universiteit te Leuven, 2016. |

Includes bibliographical references and index.

Identifiers: LCCN 2018032481 | ISBN (cloth : alk. paper) | ISBN 9780190917586 (updf) |
ISBN 9780190917593 (epub) | ISBN 9780190917609 (online content)

Subjects: LCSH: English language—Gerund.

Classification: LCC PE1313 .F66 2019 | DDC 425/.6—dc23

LC record available at <https://lccn.loc.gov/2018032481>

9 8 7 6 5 4 3 2 1

Printed by Sheridan Books, Inc., United States of America

CONTENTS

Acknowledgments ix

List of Abbreviations xi

CHAPTER 1 Introduction 1

- 1.1 Theoretical Aim: Modeling and Measuring What It “Means” to Nominalize/Verbalize 3
- 1.2 Methodological Aim: Operationalizing the Model 5
- 1.3 Chapter Overview 7

PART I

CHAPTER 2 Transcategorial Shift 13

- 2.1 Terminological Matters 13
- 2.2 Morphosyntactic Properties 16
 - 2.2.1 Grammatical Categories as Formal Feature Bundles 16
 - 2.2.2 Categorial Gradience 17
 - 2.2.3 Decategorialization and Recategorialization 21
- 2.3 Iconicity and Categoriality 22
 - 2.3.1 Schematic Constructions as Abstract Form-Meaning Pairings 23
 - 2.3.2 Diagrammatic Iconicity 24
- 2.4 Functional Properties 26
 - 2.4.1 Langacker’s “Notional” Definition 28
 - 2.4.2 Hopper and Thompson’s Discourse-Functional Definition 31

| | | |
|------------------|--------------------------------------------------------------------------|---------------------------|
| 2.4.3 | Croft's Semantic Maps | 33 |
| 2.4.4 | The Multidimensional Functional Profile of Grammatical Categories | 35 |
| 2.5 | A Functional-Semantic Model of Categoriality | 38 |
| CHAPTER 3 | | The English Gerund |
| 3.1 | Historical Developments | 42 |
| 3.1.1 | Morphosyntactic Verbalization | 42 |
| 3.1.2 | Morphosyntactic Nominalization | 44 |
| 3.2 | Potential Sources of the Verbal Gerund | 47 |
| 3.2.1 | Infinitives, the <i>Gérondif</i> , and the Present Participle | 47 |
| 3.2.2 | Reanalysis | 49 |
| 3.3 | Functional Motivations | 51 |
| 3.3.1 | Competition, Substitution, and Retention | 52 |
| 3.3.2 | New Perspectives on Functional Overlap | 55 |
| 3.4 | The English Gerund as an Instance of Functional-Semantic Category Change | 58 |

PART II

| | | |
|------------------|------------------------------------------------------|------------------------|
| CHAPTER 4 | | Reference Types |
| 4.1 | Denotation, Conceptualization, and Reference | 66 |
| 4.1.1 | Reference as Nominal/Clausal Epistemic Grounding | 66 |
| 4.1.2 | Reference and Epistemic Grounding of English Gerunds | 68 |
| 4.2 | Data and Methodology | 72 |
| 4.3 | A Referential Typology | 75 |
| 4.3.1 | Referential Subtypes and Mental Spaces | 80 |
| 4.3.2 | Nominal Gerunds | 84 |
| 4.3.3 | Verbal Gerunds | 90 |
| 4.3.4 | Summary | 92 |
| 4.4 | Reference Types of Modern English Gerunds | 94 |
| 4.4.1 | The Relation between Formal and Functional Clusality | 98 |
| 4.5 | Conclusion | 99 |

CHAPTER 5 Discourse Function 102

- 5.1 Manipulability and Accessibility of Referents 102
- 5.2 Data and Methodology 106
- 5.3 Analysis 108
 - 5.3.1 Gerunds Functioning as Manipulable Participants 108
 - 5.3.2 Attention Phenomena 113
 - 5.3.3 Gerunds Functioning as Relators between Manipulable Participants 115
- 5.4 Conclusion 118

CHAPTER 6 Aspect 120

- 6.1 Aspect and Its Complications 122
- 6.2 An Aspectual Classification of Nominalizations 127
- 6.3 Data and Methodology 131
 - 6.3.1 Corpora 131
 - 6.3.2 Aspectual Classification of Gerunds 132
- 6.4 Analysis 141
- 6.5 Conclusion 146

CHAPTER 7 Conceptual (In)Dependence and the Diachronic Nominalization of *ing*-Forms 149

- 7.1 Diachronic Lexicalization or “Semantic Drift” 151
- 7.2 Eventive vs. Non-Eventive *ing*-Nominals 154
- 7.3 Data 157
- 7.4 Lexical Preferences of Verbal vs. Nominal *ing*-Forms 157
- 7.5 Semantic Drift of *ing*-Nominals 163
- 7.6 Conceptual (In)Dependence Scales 172
- 7.7 Conclusion 175

PART III

CHAPTER 8 Conclusions 179

- 8.1 Functional-Semantic Categoriality Empirically Tested 180
- 8.2 The Functional-Semantic Development of Nominal and Verbal Gerunds 181

| | | |
|-------------------|----------------------------------------------------|-----|
| 8.2.1 | From Hybridity to a (Partial) Division of Labor | 181 |
| 8.2.2 | Attraction in Competition | 185 |
| 8.3 | An Outlook to Future Research | 186 |
| <i>References</i> | | 189 |
| <i>Index</i> | | 209 |

ABBREVIATIONS

| | |
|----------|------------------------------------------------------------------------|
| φ | phi-coefficient (indicating effect size) |
| a | ante (preceding a date) |
| acc | accusative |
| AIC | Akaike Information Criterion |
| amb | ambiguous |
| BNC | British National Corpus (Davies 2004–) |
| c | circa (preceding a date) |
| cf. | compare (preceding a reference) |
| CLMET3.1 | Corpus of Late Modern English Texts, Version 3.1 (De Smet et al. 2015) |
| CNP | complex noun phrase |
| COCA | Corpus of Contemporary American English (Davies 2008–) |
| DCA | Distinctive Collexeme Analysis |
| DDCA | Diachronic Distinctive Collexeme Analysis |
| EModE | Early Modern English |
| HC | Helsinki component (of PPCEME) |
| HG | hybrid gerund |
| LEONo.3 | Leuven English Old to New corpus (Version 0.3) |
| LModE | Late Modern English |
| ME | Middle English |
| N | noun |
| NG | nominal gerund |
| NOM | (<i>ing</i> -)nominal |
| NP | noun phrase |
| OE | Old English |
| p | p-value |
| PDE | Present-day English |
| poss | possessive |
| PPCEME | Penn Parsed Corpus of Early Modern English (Kroch et al. 2004) |
| PPCMBE | Penn parsed Corpus of Modern British English (Kroch et al. 2010) |

| | |
|----------------------|---------------------------------------------------------------------------|
| PPCME2 | Penn Parsed Corpus of Middle English (Second Edition) (Kroch et al. 2000) |
| proto | prototype |
| <i>t_bound(ed)</i> | temporally bounded |
| <i>t_neutral</i> | neutral contexts in terms of temporal boundedness |
| <i>t_unbound(ed)</i> | temporally unbounded |
| V | verb |
| VG | verbal gerund |