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Marine mammals and microplastics: a systematic review and call for standardisation

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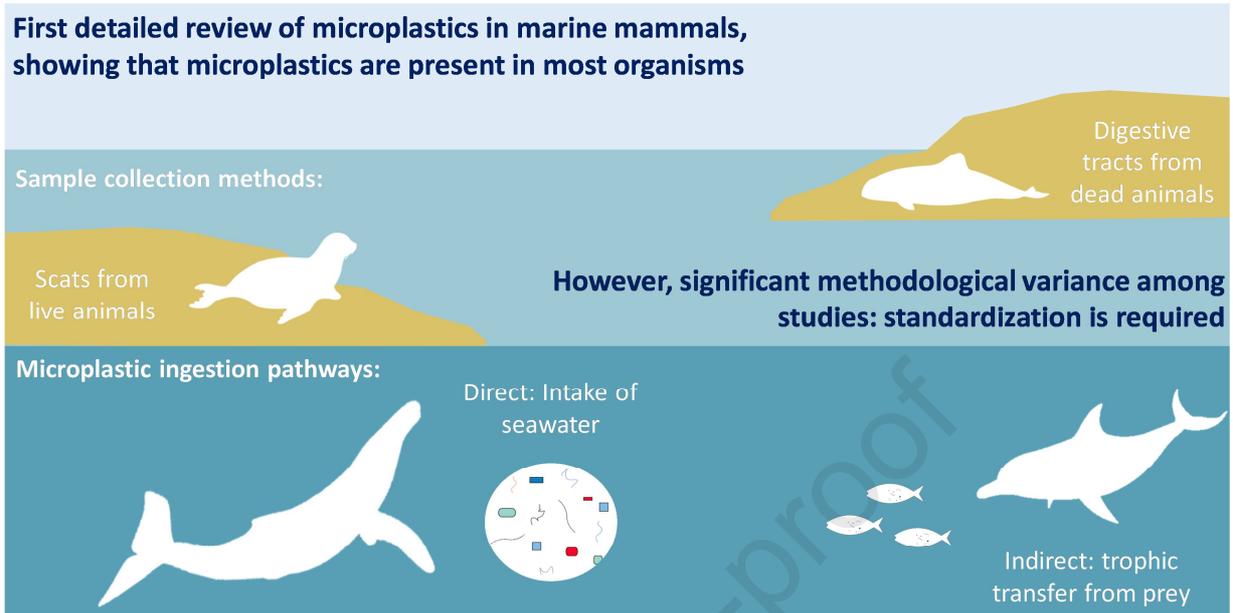
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Graphical Abstract



1 **Marine mammals and microplastics: a systematic review and call for standardisation**

2

3

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12

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17 **Abstract**

18 Microplastics receive significant societal and scientific attention due to increasing concerns
19 about their impact on the environment and human health. Marine mammals are considered
20 indicators for marine ecosystem health and many species are of conservation concern due to
21 a multitude of anthropogenic stressors. Marine mammals may be vulnerable to microplastic
22 exposure from the environment, via direct ingestion from sea water, and indirect uptake from
23 their prey. Here we present the first systematic review of literature on microplastics and
24 marine mammals, composing of 30 studies in total. The majority of studies examined the
25 gastrointestinal tracts of beached, bycaught or hunted cetaceans and pinnipeds, and found
26 that microplastics were present in all but one study, and the abundance varied between 0
27 and 88 particles per animal. Additionally, microplastics in pinniped scats (faeces) were
28 detected in eight out of ten studies, with incidences ranging from 0% of animals to 100%. Our
29 review highlights considerable methodological and reporting deficiencies and differences
30 among papers, making comparisons and extrapolation across studies difficult. We suggest
31 best practices to avoid these issues in future studies. In addition to empirical studies that
32 quantified microplastics in animals and scat, ten studies out of 30 (all focussing on
33 cetaceans) tried to estimate the risk of exposure using two main approaches; i) overlaying
34 microplastic in the environment (water or prey) with cetacean habitat or ii) proposing
35 biological or chemical biomarkers of exposure. We discuss advice and best practices on
36 research into the exposure and impact of microplastics in marine mammals. This work on
37 marine ecosystem health indicator species will provide valuable and comparable information
38 in the future.

39

40 *Keywords: Marine mammals; Microplastics; Best practices; Plastic pollution; Standardisation.*

41 **Capsule**

42 A first systematic review on microplastics and marine mammals. We summarize and discuss
43 research findings and discuss best practices in the field to guide future research on this topic.

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44 **1. Introduction**

45 Marine mammals play key roles in influencing the structure and function of the marine
46 environment and are sentinels for ecosystem health (Burek et al., 2008; Moore, 2008).
47 However, due to an increase in anthropogenic activities, including fishing (Barcenas-De la
48 Cruz et al., 2018; Ocampo Reinaldo et al., 2016), shipping (Halliday et al., 2017; Riley &
49 Hollich, 2018), pollution (Brown et al., 2018; Frouin et al., 2012) and climate change (Albouy
50 et al., 2020; Sanderson & Alexander, 2020), many marine mammals species are of
51 conservation concern (Nelms et al., In prep; Davidson et al., 2012; Pompa et al., 2011).

52
53 Plastic pollution is known to affect marine mammals, through entanglement (Kraus, 2018),
54 ingestion (Alexiadou et al., 2019; De Stephanis et al., 2013; Unger et al., 2016) and potential
55 habitat degradation (Gall & Thompson, 2015; Pawar et al., 2016). One area of specific
56 concern is the exposure of marine mammals to microplastics. These small (< 5mm),
57 pervasive and persistent synthetic particles (Moore, 2008) are bioavailable to marine
58 organisms, through direct ingestion and/or via trophic transfer (Cole et al., 2011; Eriksson &
59 Burton, 2003; Nelms, et al., 2019a). Mysticetes (baleen-whales), for example, are megafilter
60 feeders that engulf large volumes of water alongside their prey, and are potentially exposed
61 to microplastics via both pathways; direct uptake of microplastics from the environment
62 (environmental exposure, e.g. Germanov et al., 2018; Guerrini et al., 2019), and indirect
63 ingestion, from consuming contaminated prey (trophic transfer exposure, e.g. Burkhardt-
64 Holm & N'Guyen, 2019; Desforges et al., 2015). In comparison, odontocetes (toothed-
65 whales) and pinnipeds (seals, sea lions and walruses) are most likely to be exposed through
66 trophic transfer (Au et al., 2017; Ivar Do Sul & Costa, 2014; Nelms et al., 2018; Perez-
67 Venegas et al., 2018). Studies on other taxa indicate that microplastics may present a
68 number of potential impacts, acting as a vector for pathogens or chemical contaminants
69 (Prinz & Korez, 2020).

70
71 Though the impact of microplastics on marine mammals is relatively understudied compared
72 to other taxa, research on the uptake and exposure of marine mammals to microplastics has
73 increased in recent years. Studies have investigated microplastic abundance and exposure
74 risk in marine mammals using gut content analysis (e.g. Lusher et al., 2015; Nelms et al.,
75 2019b), faecal analysis (e.g. Hudak & Sette, 2019; Nelms et al., 2018; Ryan et al., 2016) as
76 well as indirectly by measuring levels of chemical biomarkers, such as phthalates (e.g. Bains
77 et al., 2017; Fossi et al., 2014). Importantly, a wide range of microplastic identification and
78 contamination prevention methods are used within these studies, highlighting the need for
79 standardized protocols for robust and comparable microplastic analysis (Panti et al., 2019;
80 Stock et al., 2019).

81

82 Reviews on plastic ingestion and entanglement by marine mammals (e.g. Baulch & Perry,
83 2014; Simmonds, 2012) have highlighted the abundance of interactions of marine mammals
84 with plastic debris. Given the growing interest in this field, the objective of this study was to
85 conduct the first systematic literature review on microplastics and marine mammals. We
86 sought to synthesize and summarize the existing literature on the topic, highlight knowledge
87 gaps and recommend avenues for future research, and suggest best practices to move the
88 field forward.

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89 2. Materials and methods

90 2.1 Literature search parameters

91 The design of this systematic literature review follows the guidelines of Siddaway et al.
 92 (2019). The main search for literature was conducted in September 2019, and an update was
 93 made in May 29, 2020. Searches for relevant peer-reviewed literature were made using two
 94 online publication databases; Web of Science and PubMed. The selection process of articles
 95 is summarized according to the PRISMA approach (Moher et al., 2009; Figure S1). The
 96 bibliographies of peer-reviewed publications were also explored, and potentially relevant
 97 studies not found in online databases were recorded.

98

99 The following search terms were utilised during a first scoping exercise and resulted in a
 100 selection of relevant articles:

- 101 • *Subject*: Microplastic*, "Plastic particle*", "Marine Debris**"
- 102 • *Target*: Whale*, Cetacean*, Dolphin*, Delphinid*, Mysticete*, Odontocete*, Porpoise*,
 103 Phocid*, Otariid*, Pinniped*, Seal*, "Sea lion**", Manatee*, "Polar bear**".

104

105 The terms within each category ("subject" and "target") were combined using the Boolean
 106 operator "OR". The two categories were then combined using the Boolean operator "AND".
 107 An Asterix (*) is a wildcard that represents any group of characters, including no characters.
 108 The full search string thus reads as follows:

(Microplastic* OR "Plastic particle*" OR "Marine Debris**") AND (Whale* OR Cetacean*
 OR Dolphin* OR Delphinid* OR Pinniped* OR Seal* OR Manatee* OR "Polar bear**" OR
 Mysticete* OR Odontocete* OR Porpoise* OR Phocid* OR Otariid* OR "Sea lion**")

109

110 2.2 Screening process

111 Articles found during the searches were assessed for inclusion using a two-step screening
 112 process:

113

114 **Step 1: Study inclusion criteria**

115 The title and abstract of each publication were evaluated for relevance using a number of
 116 inclusion criteria;

- 117 ○ *Subject*: Discusses link between microplastic pollution and marine mammals, including
 118 pinnipeds, cetaceans, manatees or polar bears.
- 119 ○ *Results*: Presents information on the interaction between marine mammals and
 120 microplastic. For a detailed list of variables, we searched for and minimum
 121 requirements see Table S1.
- 122 ○ *Type of study*: Empirical study published in a peer-reviewed journal

123

124 **Step 2: Data extraction and presentation**

125 Potentially relevant papers were read in full, and information and data which were relevant
126 for this review were extracted from the eligible papers. When available, information on study
127 type, target species, study location, method, abundance of microplastics, polymer
128 identification protocol, polymer characteristics and contamination identification protocol were
129 collected (See Table S1 for extracted information).

130

131 In the results we summarize and discuss the results focussing on digestive tracts (section
132 3.1) and scat samples (section 3.2). Next, we summarize and discuss methodological
133 differences (section 3.3) followed by suggestion on best practices (section 3.4). In section
134 3.5, we will discuss inferential studies in which biomarkers or levels of microplastics in prey
135 are linked to risk of exposure.

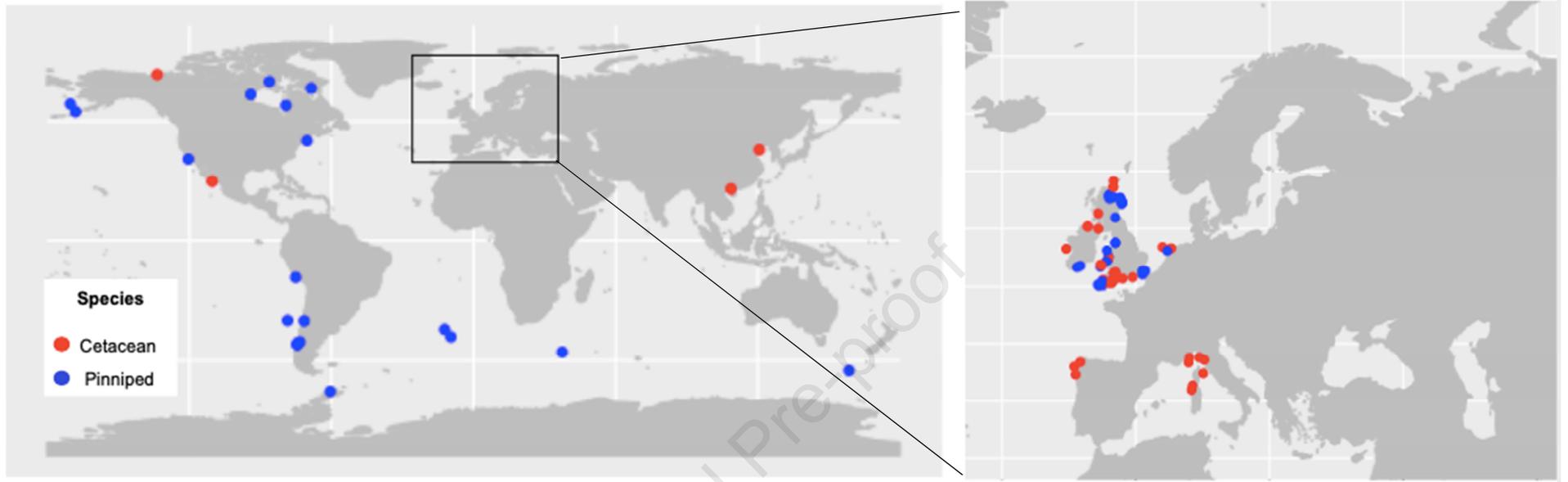
136 3. Results and Discussion

137 Searches with the main search terms in two databases returned a total of 297 articles. Three
138 additional articles were found through other sources. After removing duplicates, 219 articles
139 were left. Title and abstract screening further excluded 156 articles. A remaining 63
140 publications were then screened based on their full text, resulting in 30 articles, which were
141 finally included in this review (Table S2).

142
143 Most of the scat and gut studies on microplastics and marine mammals were conducted in
144 Europe (47%; $n=10$) – mostly in the United Kingdom and in Italy, followed by North America
145 (19%; $n=4$), Sub Antarctic and Antarctica (14%; $n=3$ pinniped studies), Latin America (10%;
146 $n=2$) and Asia (10%; $n=2$; Figure 1).

147
148 The majority of papers on gut content analyses focussed on cetaceans, particularly
149 odontocetes (Figure S2). In contrast, all studies on microplastics in faeces used scat from
150 pinnipeds, mostly otariids (eared seals). No studies on sirenians and polar bears were
151 identified (Figure S2).

152



153

154 **Figure 1.** The global distribution and focus of studies on microplastics and marine mammals. Note: modelling studies were not included.

155 3.1 Microplastics in digestive tracts

156 In total, 12 publications were identified that examined digestive tracts for microplastics using
157 samples from beached ($n=8$ publications), by-caught ($n=2$) or hunted ($n=2$) marine mammals
158 (Table 1; Figure S2).

159
160 All of the studies found suspected microplastics in at least one animal examined (Table 1),
161 with the exception of Bourdages et al. (2020), who reported none in the stomach contents of
162 142 hunted arctic seals (ringed seals; *Phoca hispida*; $n=135$, bearded seals; *Erignathus*
163 *barbatus*; $n=6$, and one harbour seal; *Phoca vitulina*; $n=1$). Drawing direct comparisons
164 among studies is challenging due to differences in the amount of digestive tract content
165 analysed, and the lack of information provided about the analysed amount. For example,
166 some studies examined all content from the whole digestive tract and reported the number of
167 suspected microplastics per animal (Lusher et al., 2015, 2018; Nelms et al., 2019b). This
168 ranged from three in a white-beaked dolphin (Nelms et al., 2019b) to 88 in a True's beaked
169 whale (*Mesoplodon mirus*) (Lusher et al 2015; Table 1). This information on microplastic
170 abundance per animal, coupled with information on animal size, age-class, sex and species,
171 allows for further investigation into potential drivers any observed trends in microplastic load.

172
173 Where sub-samples were taken from the digestive tract, some studies report the number of
174 microplastics per animal without reporting the volume of content examined, making it
175 impossible to calculate total microplastic load. Another approach involved extrapolating the
176 number of microplastics found within sub-samples, to estimate the microplastic abundance
177 range for the whole animal. For example, Moore et al. (2020) found 81 microplastics in
178 digestive tract sub-samples of seven Beluga whales (*Delphinapterus leucas*) and estimated
179 that each whale contained 18 to 147 microplastics (average of 97 ± 42 per individual) by
180 estimating the intestinal length and calculating the potential microplastic abundance
181 throughout. Though this approach is useful where no other means of garnering such
182 information exist, it should be used with caution.

183
184 Fibres were the predominant particle shape for the majority of studies (Table S2). However,
185 Moore et al. (2020) found that approximately half of microplastics in Beluga whales were
186 fragments and half were fibres (51% and 49%, respectively; Table S2). In addition, three
187 studies also reported foam, sheet and bead-shaped particles (Besseling et al., 2015;
188 Hernandez-Gonzalez et al., 2018; Xiong et al., 2018). Due to concerns regarding air-borne
189 contamination, some studies did not seek to extract microfibrils or excluded them, or
190 particles below a certain size limit, from their results (Besseling et al., 2015; Bourdages et al.,
191 2020; Hernandez-Milian et al., 2019; van Franeker et al., 2018). Only five studies presented

192 information on the colour of particles detected, of which blue and black were the most
193 common (Table S2).

194

195 Of the 11 studies that report the presence of suspected microplastics in digestive tracts,
196 seven presented information on polymer type for all, or a sub-sample of, particles using
197 analytical polymer characterisation techniques, such as Fourier-transform spectroscopy
198 (FTIR) or Near Infrared Spectroscopy (NIR; Table S3). The proportion of suspected
199 microplastics analysed for polymer type varied from 19% – 100% among studies and of
200 those particles analysed, the proportion that were confirmed as synthetic ranged from 16% -
201 77% per study. The remaining particles were either natural, semi-synthetic or too degraded/
202 dirty to obtain reliable spectra matches. Of the confirmed microplastics, sixteen main polymer
203 types were reported, but the composition varied considerably among studies (Table S2). This
204 variation is likely due to the heterogeneity of plastic pollution sources as well as lack of
205 uniformity in polymer analysis techniques and equipment (e.g. polymer libraries,
206 interpretation of spectral matches, confidence criteria). For example, four of the studies
207 accepted FTIR spectra matches with confidence levels of between 70% and 80% but the
208 remaining three studies do not specify their accepted confidence thresholds.

209 **Table 1:** Summary of results of studies investigating microplastic (MPs) in the gastrointestinal track of bycaught, hunted or beached marine mammals. N/R
 210 means not recorded within the study.

Species	Sample origin	Sample size	Number of particles (confirmed or suspected microplastics)				Size of particles		Source
			Total MPs #	% samples with MPs	"All" mean MPs per animal	Range MPs per animal	Mean size (\pm SD) (mm)	Size range (mm)	
Mysticete									
Humpback whale	Part of GIT	1	16	100%	16	16	N/R	1.1–4.7 x 0.4– 2.4	Besseling et al. 2015
Odontocete									
Atlantic white-sided dolphin	GIT	1	8	100%	5.5 \pm 2.7*	3-12*	Fib: 2.0 \pm 2.3 Frag: 0.9 \pm 1.1*	Fib: 0.1- 20, Frag: 0.1-4*	Nelms et al. 2019b
Beluga whale	GIT	7	81	100%	11.6 \pm 6.6	3-24	<1mm (87%), 1-2mm (20%)	N/R	Moore et al. 2020
Bottlenose dolphin	GIT	1	6	100%	5.5 \pm 2.7*	3-12*	Fib: 2.0 \pm 2.3 Frag: 0.9 \pm 1.1*	Fib: 0.1- 20, Frag: 0.1-4*	Nelms et al. 2019b
Common dolphin	GIT	2	39	100%	25.5*	1-88*	N/R	0.3 - 16.7*	Lusher et al. 2018
	GIT	16	91	100%	5.5 \pm 2.7*	3-12*	Fib: 2.0 \pm 2.3 Frag: 0.9 \pm 1.1*	Fib: 0.1- 20, Frag: 0.1-4*	Nelms et al. 2019b
	Stomach	35	411	94%	12 \pm 8	3-41	Fib: 2.11 \pm 1.26, Frag: 1.29 \pm 0.93	Fib: 0.29-4.92 Frag: 0.49-4.07 Bead: 0.95	Hernandez Gonzalez et al. 2018
Cuvier's beaked whale	GIT	9	187	100%	25.5*	1-88*	N/R	0.3 - 16.7*	Lusher et al. 2018
	GIT	1	53	100%	25.5*	1-88*	N/R	0.3 - 16.7*	Lusher et al. 2018
Finless porpoise	Intestine	7	134	100%	19.1 \pm 7.2	10-32	N/R	N/R	Xiong et al. 2018
Harbour porpoise	GIT	21	110	100%	5.5 \pm 2.7*	3-12*	Fib: 2.0 \pm 2.3 Frag: 0.9 \pm 1.1*	Fib: 0.1- 20, Frag: 0.1-4*	Nelms et al. 2019b
	Stomach	654	71	7%	0.11 \pm 0.02	1-5	0.009 \pm 0.004	0.2-2.6g	Van Franeker et al. 2018
	GIT	5	103	100%	25.5*	1-88*	N/R	0.3 - 16.7*	Lusher et al. 2018
Indo-Pacific humpbacked	Intestine	3	77	100%	0.2-0.6	2-45	2.2 \pm 0.4	0.1-4.8	Zhu et al. 2019

dolphin					items/g					
Killer whale	GIT	1	39	100%	25.5*	1-88*	N/R	0.3 - 16.7*	Lusher et al. 2018	
Pygmy sperm whale	GIT	1	4	N/R	5.5 ± 2.7*	3-12*	Fib: 2.0±2.3 Frag: 0.9±1.1*	Fib: 0.1- 20, Frag: 0.1-4*	Nelms et al. 2019b	
Risso's dolphin	GIT	1	9	N/R	5.5 ± 2.7*	3-12*	Fib: 2.0±2.3 Frag: 0.9±1.1*	Fib: 0.1- 20, Frag: 0.1-4*	Nelms et al. 2019b	
Striped dolphin	GIT	1	7	N/R	5.5 ± 2.7*	3-12*	Fib: 2.0±2.3 Frag: 0.9±1.1*	Fib: 0.1- 20, Frag: 0.1-4*	Nelms et al. 2019b	
True's beaked whale	GIT	1	88	100%	N/R	88	2.2±1.4	0.3 – 7	Lusher et al. 2015, Lusher et al. 2018	
White-beaked dolphin	GIT	1	3	100%	5.5 ± 2.7*	3-12*	Fib: 2.0±2.3 Frag: 0.9±1.1*	Fib: 0.1- 20, Frag: 0.1-4*	Nelms et al. 2019b	
Phocidae										
Bearded seals	Stomach	6	0	0	0	0	0	0	Bourdages et al. 2020	
Grey seal	Intestine	13	363	100%	27.9 ± 14.7	13-71	N/R	N/R	Hernandez-Milian et al. 2019	
	GIT	3	18	100%	5.5 ± 2.7*	3-12*	Fib: 2.0±2.3 Frag: 0.9±1.1*	Fib: 0.1- 20, Frag: 0.1-4*	Nelms et al. 2019b	
Harbour seal	Stomach	1	0	0	0	0	0	0	Bourdages et al. 2020	
	GIT	4	17	100%	5.5 ± 2.7*	3-12*	Fib: 2.0±2.3 Frag: 0.9±1.1*	Fib: 0.1- 20, Frag: 0.1-4*	Nelms et al. 2019b	
	Stomach and Intestine	Stom: 107, Int: 100	Stom: 28, Int: 7	Stom: 11.2% Int: 1%	Stom: 0.26 Int: 0.07	0-8	N/R	N/R	Bravo Rebolledo et al. 2013	
Ringed seals	Stomach	135	0	0	0	0	0	0	Bourdages et al. 2020	

211 # # all suspected microplastics: some studies did not confirm whether observed particles were actual plastic polymers, or analyzed a subset

212 * average within study including multiple species

213 3.2 Microplastics in scat samples

214 In total, nine peer-reviewed papers have analysed marine mammal scats for the presence of
215 microplastics (Table 2; Figure S2). All of these examined scats originate from pinnipeds,
216 likely because of i) ease of collection compared with cetaceans due to use of terrestrial
217 habitats (e.g. haul out sites) and ii) access to long-term datasets where scat was collected for
218 other purposes (e.g. diet analyses).

219
220 In the six studies for which microplastics in scat were reported, the occurrence varied from
221 1% in scats collected in 2016/2017 from grey seals (*Halichoerus grypus atlantica*) on the
222 Atlantic coast of the USA ($n=129$, Hudak & Sette, 2019) to 100% in scats collected in
223 1996/1997 from Sub Antarctic and Antarctic fur seals (*Arctocephalus tropicalis*; *A. gazella*)
224 on Marion Islands ($n=100$, Eriksson & Burton, 2003; Table 2). The reporting of microplastic
225 load varied, as some studies reported it as a mean or incidence for all scats analysed (*all*),
226 while some reported statistics only for those scats in which microplastics were detected
227 (*positives*). This also could have contributed to increased variance, ranging from a mean of
228 0.87 ± 1.09 in 31 grey seal scats collected from captive animals (Nelms et al., 2018: all scats)
229 to a mean of 37.3 ± 38.1 per positive scat in the 34 scats found to have microplastics in
230 Perez-Venegas et al. (2018) (Table 2).

231
232 The route of exposure was also examined, with the study by Nelms et al. (2018) being a key
233 paper as this is the only controlled study on microplastic and marine mammals to date. In this
234 study, the microplastic load of both prey and scat was directly measured, and a similar
235 incidence, type and colour of microplastic was found in the fish used to feed captive grey
236 seals and their scat. These results support the hypothesis of trophic transfer. In field
237 experiments, the authors typically either did not specifically hypothesise about the route of
238 exposure (Donohue et al., 2019; Hudak & Sette, 2019; Perez-Venegas et al., 2018) or
239 suggested trophic transfer rather than environmental exposure (Eriksson & Burton, 2003;
240 Perez-Venegas et al., 2020).

241
242 The majority of studies reported fragments as the most dominant particle shape (Table S4).
243 However, two studies only found fibres in scat samples (Table S4; Perez-Venegas et al.,
244 2018, 2020). Most studies presented information on the colour of particles detected, of which
245 white, blue and black were the most common (Table S4) (Donohue et al., 2019; Eriksson &
246 Burton, 2003; Nelms et al., 2018; Perez-Venegas et al., 2018, 2020). However, Hudak &
247 Sette (2019) mostly observed red and purple fragments in their study on grey seals. Of the
248 six studies that report the presence of suspected microplastics, five presented information on
249 polymer type for all, or a sub-sample of, particles using analytical polymer characterisation

250 techniques, such as Fourier-transform spectroscopy (FTIR; Table S3). Of the confirmed
251 microplastics, five main polymer types were reported (polyethylene, nylon/ polyamide,
252 polypropylene, phenoxy resin and rubber; Table S4). One study also identified semi-synthetic
253 particles, such as cellophane (Hudak & Sette, 2019).

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Table 2: Summary of results of studies investigating microplastics (MPs) in scat of pinnipeds. N/R means not recorded within the study.

Species	Sample size	Number of particles			Size of particles		Author	
		Total MP [#]	% samples with MPs	“All” mean MPs per scat +/- SD	Range MPs per scat	Mean size (mm)		Size range (mm)
Otariidae								
Antarctic fur seal	145	164*	100%	1.13 ± 0.43*	1-4*	4.1x 1.9*	89%: 2-5*	Eriksson and Burton 2003
Juan Fernández fur seal	42 40	0 Unknown ^S	0 Fib: 62.5%; Frag: 12%	0 Fib: 30; Frag: 2	0 Fib: 0-200; Frag: 0-30	0 N/R	0 N/R	Garcia Garin et al. 2020 Perez-Venegas et al. 2020 ^Y
Northern fur seals	44	584	Frag: 55%; Fib: 41%	Frag: 16.6±19.1, Fib: 3.8±3.4	Frag: 1-86; Fib: 1-18	N/R	Frag: 82%: <1, Fib: 70%: <2, 28%: 2-10	Donohue et al. 2019
Sub Antarctic fur seals	4905 145	0 164*	0 100%	0 1.13 ± 0.43*	0 1-4*	0 4.1x 1.9*	0 89%: 2-5*	Ryan et al. 2016 Eriksson and Burton 2003
South American fur seal	79 51	Unknown ^S 1268*	Fib: 65%; Frag: 6% 67%	Fib: 16.5; Frag: 1 37.26 ± 38.08	Fib: 0-182; Frag: 0-32 3-182	N/R N/R	N/R Fibres: 67% > 0.1	Perez-Venegas et al. 2020 ^Y Perez-Venegas et al. 2018
South American sea lion	36	Unknown ^S	Fib: 86%; Frag: 11%	Fib: 43; Frag: 1	Fib: 0-267; Frag: 0-18	N/R	N/R	Perez-Venegas et al. 2020 ^Y
Phocidae								
Grey seals	129 31	2 Prey: 18, seal scat: 26	1% 48%	0.02 ± 0.12 0.87 ± 1.09	0-1 0-4	N/R 1.5 ± 1.2	1.9×0.8-2.6×1.1 Scat: Frag: 0.4- 5.5, Fib: 0.6-3.5.	Hudak and Sette 2019 Nelms et al. 2018
Harbor seal	32 125	2 0	6% 0	0.06 ± 0.25 0	0 – 1 0	N/R 0	1.19×0.58 - 3.45×1.81 0	Hudak and Sette 2019 Bravo Rebolledo et al. 2013

254

[#] all suspected microplastics: some studies did not confirm whether observed particles were actual plastic polymers, or analysed a subset

- 255 [§] Authors classify all particles found as MPs but state they only tested the contents of 6 scats for each seal population (number of particles unknown). Of the particles tested
256 30% were confirmed as polymers (PET and Nylon).
- 257 * Average within study including multiple species
- 258 [¥] We are currently confirming these numbers with the authors, as there were mistakes in the supplementary information. Small changes might be made in final version

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259 3.3 Differences in methodological approaches

260 There are three key steps in the determination of microplastic in scat and digestive tracts: 1)
261 collection, 2) extraction and 3) identification. In addition, the prevention of contamination is a
262 key part of determining microplastics levels. However, there are considerable methodological
263 differences across studies, preventing comparisons among studies.

264

265 Collection of samples

266 The amount and origin of the *gut content* differed significantly among studies (Table S3). For
267 example, some studies inspected whole, or sub-samples of, single digestive tract sections
268 (e.g. stomach or intestines only; Bourdages et al., 2020; Hernandez-Gonzalez et al., 2018;
269 Hernandez-Milian et al., 2019; van Franeker et al., 2018; Xiong et al., 2018; Zhu et al., 2019).
270 Others examined all, or sub-samples of, the whole digestive tract (Bravo Rebolledo et al.,
271 2013; Lusher et al., 2015, 2018; Moore et al., 2020; Nelms et al., 2019b). The volume and
272 origin of gut content analysed is likely to affect the abundance of microplastics detected due
273 to variation in sampling effort and the uneven distribution of microplastics throughout the
274 digestive tract (Lusher et al., 2015; Moore et al., 2020; Nelms et al., 2019b).

275

276 There was limited variation in collection of *scat samples*, as they were all taken from haul out
277 sites, although these did vary between coastal and offshore locations. The amount of scat
278 analysed varied among studies and was often not reported. The impact of the age (i.e. time
279 since deposition) of the scats was investigated in one study, but no statistically significant
280 difference in microplastic load between fresh or aged scats was found (Perez Venegas et al.,
281 2018).

282

283 Extraction protocols

284 Once the *gut content* was extracted, potential microplastics were isolated from organic
285 material using a range of techniques, including physical separation (e.g. sieving and/ or
286 filtering), digestion (e.g. using chemicals or enzymes), or a combination of both (Table S3).
287 Potassium hydroxide (KOH; usually a 10% concentration applied for a range of durations)
288 was the most commonly used chemical digestion technique (Table S3), while Nelms et al.
289 (2019b) used enzymatic digestion with Proteinase K. Finally, the range of filter and sieve
290 mesh sizes (20 μm – 1000 μm) used to extract microplastics also varied considerably (Table
291 S3). This likely affected the number and sizes of particles detected in each study (Lindeque
292 et al., 2020).

293

294 Similarly, for the *scat samples*, the digestion and filtration steps differed significantly among
295 studies (Table S3). Three studies did not use or specifically detail a digestion step (e.g.,

296 Eriksson & Burton, 2003; Hudak & Sette, 2019; Ryan et al., 2016), one paper physically
297 degraded scat samples via homogenization (Donohue et al., 2019), while the remaining four
298 studies used chemical digestion with KOH (Garcia-Garin et al., 2020; Perez-Venegas et al.,
299 2018; Perez-Venegas et al., 2020) or enzymatic digestion with proteinase K (Nelms et al.,
300 2019a) (Table S3). The remaining paper used an alternative enzymatic digestion approach
301 where scats were machine-washed in fine-mesh laundry bags with washing detergent (Bravo
302 Rebolledo et al., 2013). The size of the mesh used during the filtration step likely influences
303 the findings, as highlighted in the previous section. For example, Perez-Venegas et al.
304 (2018) used fine mesh (0.7 μm) which was several orders of magnitude finer than that used
305 by Ryan et al. (2016; 0.5 mm). The ability to detect smaller microplastics will likely increase
306 the detectable amount in the scat (Huvet et al., 2016; Lenz et al., 2016).

307

308 Identification of potential microplastics

309 There is a wide range of approaches used to identify potential microplastics extracted from
310 samples (Table S3). The simplest and cheapest form is visual identification of potential
311 microplastics, however, it is important to note that this method could give high error rates of
312 up to 70% (Hidalgo-Ruz et al., 2012). Therefore it is highly recommended for microplastics to
313 undergo further analysis and identification (Dekiff et al., 2014). A variety of more precise
314 methods are available to characterise the microplastic polymer, ranging from thermal
315 analysis to spectroscopy (Hidalgo-Ruz et al., 2012; Shim et al., 2017). Additional analysis is
316 important as it gives more information on whether a particle is an actual microplastic, while
317 providing additional information on the type of plastic and, potentially, its origin and source
318 (Dioses-Salinas et al., 2020; Schwarz et al., 2019).

319

320 Of the studies that directly measured microplastics from scat or inside organisms (n=20), four
321 studies used visual identification under a microscope only (Table S3). As indicated above,
322 these results need to be treated with caution due to potential high error rates in the
323 identification process (Lusher et al., 2020). The majority of studies did perform further
324 analyses to characterise the type of polymer found, with 12 using (micro-)Fourier transform
325 infrared (FTIR) analysis, one Raman Spectroscopy and one a Phazir (NIR) to characterise
326 the type of polymers found (Table S3). In addition, three studies did not use or define any
327 methods to confirm that the particles found were microplastics (Table S3).

328

329 Encouragingly, more recent studies (i.e., publication from 2019 and 2020) are more likely to
330 use FTIR spectrometry to identify polymer types. However, FTIR identification is an
331 expensive process, and most studies only analyse a subset of their suspected particles.
332 Importantly, when using techniques such as FTIR it is key to have clear QA/QC protocols in

333 place, for example a threshold for matching, to minimize misclassification (Kühn et al., 2020).
334 Furthermore, terminology varies significantly among studies and if polymer types are not
335 confirmed, terminology needs to include caveat, e.g. “suspected”, “putative” or “potential”
336 microplastics. Determining the colour of a potential microplastic can be very subjective,
337 depending on the viewer’s perception of a colour and can be influenced by background
338 colour of the filter or light used during microscopic analysis for example.

339

340 Contamination prevention

341 The contamination of samples with microplastics during collection, preparation and analysis,
342 can alter the results of a study. Therefore measures to limit and account for contamination
343 are necessary for obtaining accurate estimates of microplastics (Hidalgo-Ruz et al., 2012).
344 Out of the 20 studies we reviewed that quantified microplastics in scat or gut content, there
345 was a wide range of contamination prevention protocols, ranging from absent to extensive
346 (Table S5). Five papers did not describe a contamination protocol, and we assume they did
347 not have any methods to limit or control for contamination in place (Table S5). However,
348 three of these five studies did not include fibres as they were seen as a potential
349 contamination source (Besseling et al., 2015; Bravo Rebolledo et al., 2013; van Franeker et
350 al., 2018).

351

352 During sample preparation and analysis, the most common methods used to prevent
353 contamination were to cover samples when not used ($n=14$ publications), the use of clean
354 equipment (e.g. wiped with ethanol and Milli-Q water; $n=12$), to work under appropriate
355 conditions that minimise environmental contamination in the laboratory (e.g. positive
356 pressure laminar flow hood; $n=6$) and to wear non-synthetic clothing (e.g. cotton lab coats;
357 $n=7$). Finally, to account for possible airborne contamination some studies ($n=5$ publications)
358 exposed a wet filter in a Petri-dish to the same conditions as the samples and examined
359 them for particles. Negative controls or blanks were also used to determine any background
360 contamination ($n=11$ publications). Four studies also sampled equipment for further analysis
361 to compare with their findings, three sampled plastic equipment used in the laboratory
362 (Donohue et al., 2019; Hudak & Sette, 2019; Nelms et al., 2019a) and one took clothing
363 samples during sample collection (Moore et al., 2020).

364

365 Of the 16 papers with contamination control measures in place, only four had a very detailed
366 protocol, which accounted for contamination during all stages of sample processing, from
367 collection to analysis. In these studies, control samples from clothing were taken during
368 animal sample collection and blanks were used during the microplastic analysis to monitor
369 potential contamination. In addition, the analysis was done inside a positive pressure laminar

370 flow hood, equipment was cleaned in advanced, if possible plastic material was avoided and
371 cotton lab coats and gloves were worn (Nelms et al., 2018; 2019b; Donohue et al., 2019;
372 Moore et al., 2020). However, most papers had a much less elaborate protocol, and often
373 only checked for a limited number of contamination sources (Table S5). Moreover, some
374 contamination protocols might not be very effective, or could actually introduce microplastics
375 (for example, rinsing with tap water without collecting the residues, Bourdages et al., 2020).
376 Importantly, as some studies had no or limited measures in place, it is difficult to be confident
377 that the suspected microplastics are actual microplastics from collected samples. Several
378 studies without a protocol to determine air contamination excluded microfibrils from their
379 results and considered them all as airborne contamination (Table S5). This method,
380 however, might underestimate the presence of microplastic in animals, as the majority of
381 microplastic detected in samples are microfibrils (see Table S2 and S4).

382
383 Several of the more recent papers had more detailed and elaborate protocols for
384 contamination prevention compared to papers which were published 3-15 years ago (Table
385 S5), highlighting the increased awareness among scientists about the risk of contamination
386 (Hidalgo-Ruz et al., 2012; Löder & Gerdtz, 2015; Norén, 2007).

387

388 **3.4 Best practices for future studies**

389

390 As highlighted in previous sections, the differences in contamination protocols among studies
391 make comparing results across species difficult. In order to facilitate harmonisation across
392 studies, we have developed a standardized protocol to limit and account for potential
393 contamination sources in different key steps of the collection and extraction process (Figure
394 2). By using this proposed standardized protocol, we can improve comparability,
395 reproducibility and transparency across studies.

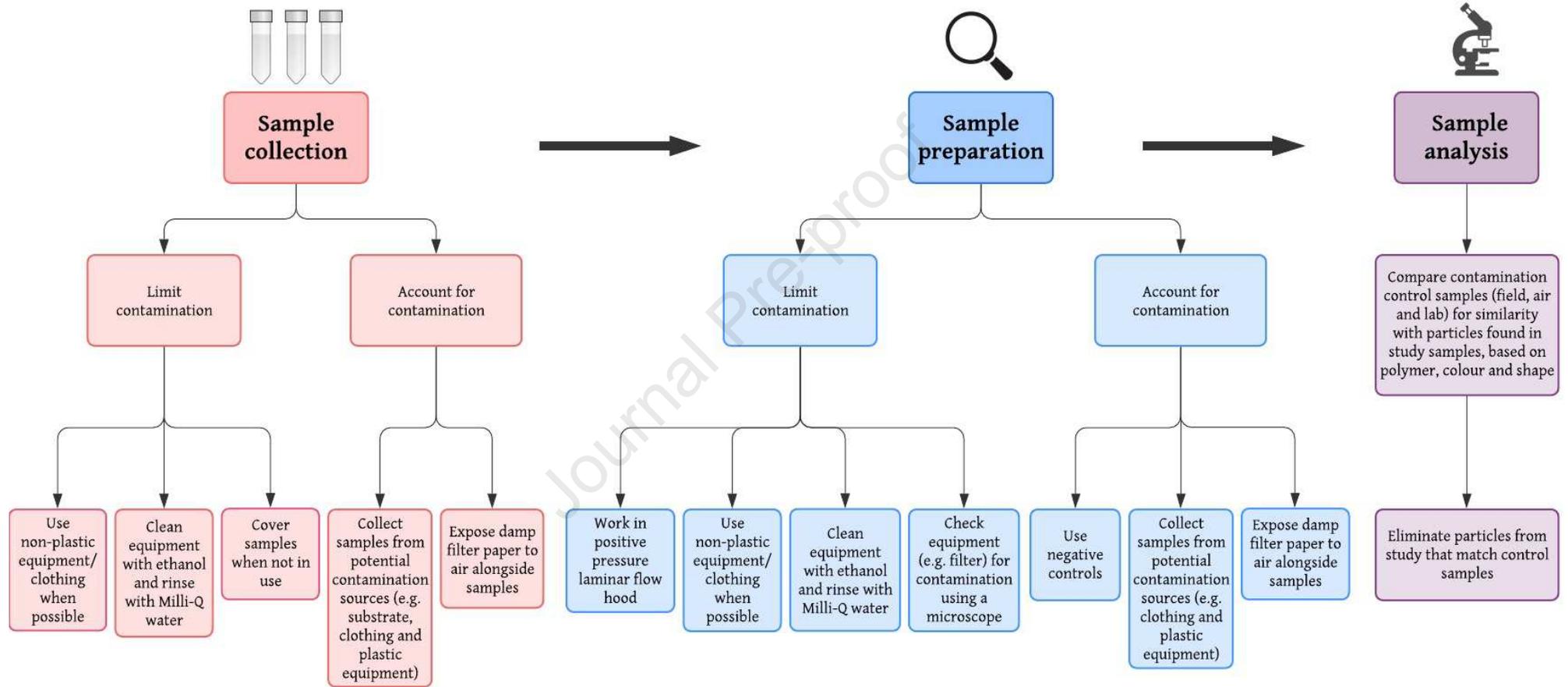
396

397 In addition, there is a wide range in reporting of results (Table S3). In order to facilitate
398 meaningful comparisons across studies, we have also developed guidelines for the collection
399 and reporting of qualitative and quantitative metrics during microplastic studies (Figure 3).
400 We also recommend defining colour categories (e.g. making “orange, yellow, gold” one
401 category) to make results more consistent (Gauci et al., 2019; Wright et al., 2013; Figure 3).
402 Adoption of these guidelines will enable future work to be synthesised to facilitate
403 comparisons across studies, comparisons by taxa, and to identify species or regions with
404 highest levels of exposure. Moreover, to ensure transparency and reproducibility in science,
405 raw data per sample should be made available as supplementary material or as online
406 dataset (see <https://www.nature.com/sdata/policies/repositories#other> for suggested
407 databases).

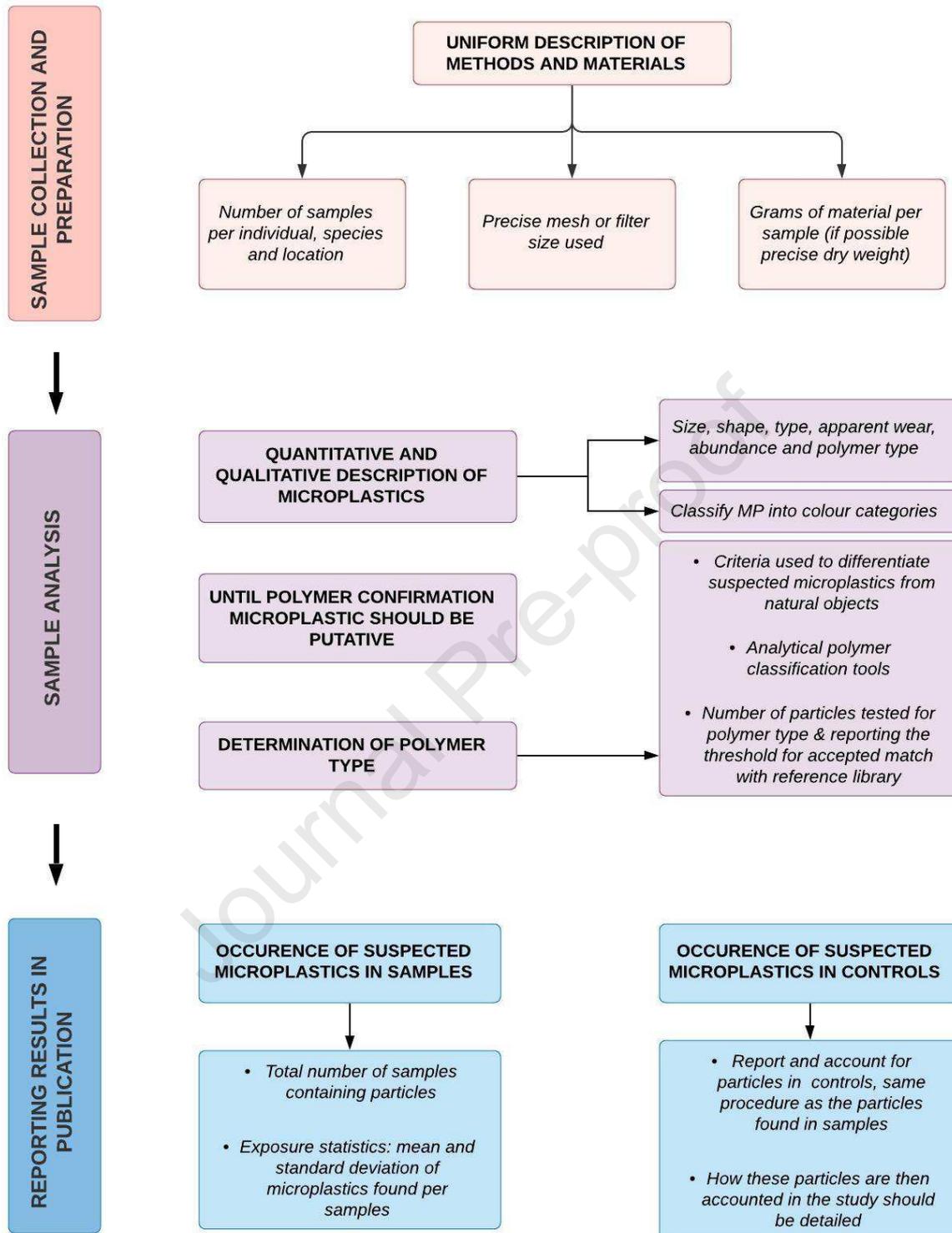
408

409 To allow for better comparison across studies, we suggest reporting i) total number of
410 microplastics found and total number of samples (scat or GIT) analysed; ii) proportion of
411 samples which had at least one microplastic, and iii) the microplastic load on a per gram
412 basis, clearly stated as wet or dry weight. In addition to reporting, the identification of prey
413 species or trophic level of the prey species within a study would be a major step towards
414 understanding microplastic exposure from trophic transfer. However, most studies did not
415 determine prey species or trophic level in their studies, even though well-developed protocols
416 are available. In pinnipeds, identification of otoliths or other hard parts in scat has been a
417 common method of assessing diet for decades (Bowen & Iverson, 2012; Tollit et al., 2009).
418 DNA diet methods are also becoming more common and affordable (Pompanon et al., 2012),
419 and have been used in both cetaceans (Carroll et al., 2019; de Vos et al., 2018; Jarman et
420 al., 2002) and pinnipeds (Casper et al., 2007; Deagle et al., 2009; Hardy et al., 2017).
421 Concurrent assessment of diet and microplastic load per scat/GIT sample should be
422 encouraged in future studies to start building a picture of exposure from environmental and
423 trophic transfer routes (Nelms et al., 2019a).

Contamination protocol monitoring environmental and laboratory contamination during microplastic analyses of gut content/scat and toxicological analysis



424 **Figure 2.** Recommended standardised protocol for limiting and accounting for potential environmental and laboratory contamination during
 425 microplastic analyses of gut content and scat analyses of marine mammals.



426

427

Figure 3. Key information to report in any marine mammal study on microplastics.

428 **3.5 Microplastics exposure assessment**

429 Aside from quantifying levels of microplastics in organisms and scat, a total of ten studies
430 attempted to infer exposure (and sometimes risk) levels of microplastics to marine mammals,
431 all focusing on cetaceans (Table S6). Six of these studies linked habitat or prey species to
432 exposure risk, while four studies attempted to use chemical and biological markers to assess
433 exposure levels.

434

435 Linking habitat and prey to exposure risk

436 The linking of habitat and prey to exposure risk has been done, both on a global scale
437 (Germanov et al. 2018; Burkhardt-Holm & N'Guyen, 2019), as well as a more regional scale
438 (Fossi et al. 2017; Guerrini et al. 2019). A broad scale study was conducted by Germanov et
439 al. (2018) in which baleen whale distribution was combined with recognized microplastic hot-
440 spots. Not only did the paper provide some insight into the overlap between whale habitat
441 and microplastic hotspots, it also highlights how the biology of individual species needs to be
442 adequately accounted for in broad-scale assessments and modelling exercises. For
443 instance, humpback whales were considered to have a presence in all key buoyant
444 microplastic pollution hotspots bar one (Mediterranean Sea) by Germanov et al. (2018).
445 However, exposure risk might not be high in each of the microplastics hotspots. For example,
446 satellite telemetry work in the South Atlantic shows that humpback whales migrate through
447 the South Atlantic gyre, likely with minimal feeding (Zerbini et al., 2006, 2011), and therefore
448 the actual exposure is most probably minimal as foraging is unlikely to occur here. The
449 approach by Burkhardt-Holm & N'Guyen (2019) did include the feeding biology of whales,
450 and this approach is therefore, in our opinion, a better approach to estimate levels of
451 exposure. However, uptake via seawater was not included in the assessment, even though
452 that is a likely important source for mega-filter feeders (Burkhardt-Holm & N'Guyen, 2019).

453

454 In contrast to the previous two studies, more detailed and complex modelling studies were
455 conducted by Fossi et al. (2017) and Guerrini et al. (2019). Fossi et al. (2017) conducted a
456 study in which field measurements of zooplankton, microplastic abundance and cetacean
457 survey data were combined with models on ocean circulation and potential fin whale habitat.
458 This resulted in a preliminary risk assessment for whales, highlighting that areas with high
459 levels of microplastic overlap with fin cetacean habitat and several sightings (Fossi et al.,
460 2017). Guerrini et al. (2019) used a model to track particles from release points (sources) to
461 estimate the hazard. This approach does allow for identifying areas where exposure might be
462 relatively high. However, there currently is limited data on the contribution of microplastics
463 from different sources, and this data is needed to improve the accuracy of the model.

464

465 Importantly, both Fossi et al. (2017) and Guerrini et al. (2019) highlight that their approach
466 could be used in risk assessment. However, in our opinion it provides a confirmation that
467 there is *risk of exposure* of fin whales within the area but falls short of a risk assessment. In
468 *risk assessment* there is a need to determine the severity and the probability of adverse
469 effects (Suter II, 2016), not just exposure to a contaminant. In both cases the adverse effects
470 of microplastics on whales were not assessed, only the likelihood of exposure. Additionally,
471 to conduct a risk assessment future research should focus on i) how long microplastics
472 remain inside the digestive tract and whether there is transfer to the tissue of marine
473 mammals (Perez-Venegas et al., 2018) and ii) whether microplastic exposure results in any
474 effects on animal health (Claro et al., 2019; Panti et al., 2019).

475

476 Phthalates and other persistent contaminants as biomarkers

477 Four papers that investigated the use of biomarkers to predict marine mammal exposure to
478 microplastics. The studies focus on phthalate levels [predominantly mono(2-ethylhexyl)
479 phthalate (MEHP) and bis(2-ethylhexyl) phthalate (DEHP)], within the environment, in
480 zooplankton and/or in whale blubber. Phthalates are added to plastics to increase plasticity
481 and can leach from plastic into the environment (Hermabessiere et al., 2017; Teuten et al.,
482 2009). In addition, phthalates can bioaccumulate in organisms, and can cause potential
483 adverse effects, including effects on embryo development and reproduction, and the
484 disruption of endocrine functioning (Gunaalan et al., 2020; Hermabessiere et al., 2017).

485

486 However, we want to highlight several issues with these studies which need to be addressed
487 before this approach can be used to determine exposure levels. First of all, in all these
488 studies the variance was often (very) high making meaningful statistics difficult to perform. In
489 many cases the coefficient of variance (CoV; standard deviation/mean x 100%) exceeded
490 100% for key measurements (e.g. microplastic levels and DEHP and MEHP levels in
491 zooplankton and whale blubber). Secondly, phthalates (including MEHP) are used in a range
492 of different products and industrial processes, and therefore can enter the environment from
493 different sources, including wastewater (Jiang et al., 2018). This makes the direct linkage
494 between MEHP levels in organisms and microplastic exposure difficult to establish. Finally,
495 these studies had low sample sizes (for example Bains et al. (2017) sampled between $n=1$
496 and $n=3$ animals per species), and therefore can only be used as preliminary studies (which
497 was also highlighted by the authors). For these reasons, significant further work is needed to
498 validate and optimize this approach.

499

500 In addition, the level of other organochlorine contaminants (HCB, DDT and its metabolites
501 and PCBs) were determined in Fossi et al. (2016), as well as certain biomarkers, including

502 CYP1a and CYP2b (CYP family of enzymes, responsible for the metabolism of organic
503 contaminants) and lipid peroxidation (LPO: indicator of oxidative stress). The organochlorine
504 contaminants were included based on the Trojan Horse hypothesis, which is centred around
505 the idea that microplastic can be a vehicle for the transfer of other organic contaminants into
506 organisms (Burns & Boxall, 2018). However, this hypothesis is widely debated (Burns &
507 Boxall, 2018), and there is no consensus in the scientific community that that microplastics
508 are a major source of transfer of organic contaminants into organisms (Bakir et al., 2016;
509 Burns & Boxall, 2018; Lohmann, 2017). Therefore, this approach should also be used with
510 caution.

511

512 Total exposure

513 Though the papers above attempt to determine risk of exposure and identify markers of
514 exposure, only very few studies have attempted to quantify total exposure levels. A first
515 attempt was made by Desforges et al. (2015) which estimated levels of microplastics in two
516 foundation zooplankton prey species (*Neocalanus cristatus* and *Euphausia pacifica*) in the
517 Northeast Pacific. They encountered microplastics in 2.9% and 5.9% in *N. cristatus* and *E.*
518 *pacifica*, respectively. Using these results, the authors estimated that a humpback whale in
519 coastal British Columbia is exposed to 300 000 microplastics d⁻¹ (assuming it consumes
520 1.5% of its body weight in krill and zooplankton every day). In a similar way, Lusher et al.
521 (2016) attempted to determine microplastic exposure of striped dolphins through trophic
522 transfer. Levels of microplastic in mesopelagic fish were determined within the North Atlantic,
523 and these levels were linked to dietary composition. Lusher et al. (2016) estimated that a
524 single individual could be exposed to 1.3 million particles day⁻¹, or 463 million particles year⁻¹.
525 As far as we are aware, these are the only studies that attempt to quantify uptake through
526 trophic transfer in wild marine mammals. In addition, two studies (Fossi et al., 2014, 2016)
527 attempted to quantify the levels of microplastic taken up by fin whales, based on microplastic
528 abundances recorded for seawater and the whales' filtering capacity. Uptake was estimated
529 to be 3653 particles day⁻¹ (Fossi et al., 2014) and "thousands of particles" per day (Fossi et
530 al., 2016).

531

532 Although this could be an interesting and illustrative approach to quantify uptake of
533 microplastics from the water column, it is over-simplified and significant improvements are
534 needed. We highlight this point, as an extreme example, by taking the blue whale
535 (*Balaenoptera musculus*) feeding of the Coast of British Columbia in the Northeast Pacific
536 Ocean. The blue whale can engulf 83 m³ of sea water per mouthful (Goldbogen et al., 2011).
537 Desforges et al. (2014) conducted a study on microplastics in the size range 62-5000 µm and
538 found an average level of 279 particles m⁻³, but a range from 8 to 9200 particles m⁻³. This

539 means that, based on this reported range, a blue whale feeding of the coast of British
540 Columbia could engulf anywhere between 663 and 763600 particles per mouthful. However,
541 there is considerable uncertainty about levels of microplastics in surface waters, especially at
542 lower size ranges of plastics (Huvet et al., 2016; Lenz et al., 2016). A recent study of the
543 coast of British Columbia using advanced quantification techniques to detect particles as
544 small as 5 μm estimated average levels of ~ 4 million microplastic m^{-3} in the open ocean and
545 15 million microplastic m^{-3} in coastal waters (empirical findings; Brandon et al., 2020). Using
546 this range, it can best estimated that blue whales could be exposed to between 332 and
547 1,245 million microplastics per mouthful. Clearly, given this range between studies,
548 significant work needs to be done to estimate exposure levels of marine mammals to
549 microplastics.

550

551 **4. Conclusion**

552 Charismatic megafauna such as marine mammals can help bring the public's attention to
553 anthropogenic impacts. However, to fully assess risk of exposure to threats, and how they
554 vary across species and ecosystems, standardised analysis and reporting protocols are
555 required. Therefore, a key output of this paper is a framework to improve consistency across
556 studies that examine the incidence of microplastics in marine mammal gut and scat. We
557 strongly urge scientists working in this field to adopt our protocols where possible. However,
558 if not possible, for example due to financial or technical constrains, transparency about study
559 constraints is essential. Alternatively, increased collaborations between partners and
560 institutions with access to advanced equipment would help optimize the quality of reported
561 data. In addition, a continuous search to develop improved and more affordable technology
562 to extract and identify microplastics is needed, but this is important for all studies focussing
563 on microplastic pollution, as this research field seems likely to continue to burgeon in the
564 future.

565

566 Overall, it is encouraging to see the marine mammal community produce a rapidly growing
567 body of work on the exposure of these taxa to microplastics. Microplastics were detected in
568 most marine mammals samples analysed, with large variation among samples, even within
569 studies. A key next step is to try and understand impacts of microplastics on marine mammal
570 health, for example by using marine mammal cell lines linked directly to empirical
571 measurements of microplastic exposure. The use of biological or chemical markers was
572 suggested in several preliminary studies, but significant work is needed to confirm that these
573 markers can be effectively linked to microplastic exposure. Overlaying levels of microplastics
574 in prey and the water column with the feeding biology of marine mammals is likely a more
575 promising avenue to estimate total exposure, but more research on this is needed to

576 understand the variation in microplastic exposure by region, season and ocean depth, as
577 well as trophic transfer mechanisms.

578

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Journal Pre-proof

888 **Supplementary Information:**

889

890 **Figure S1.** Summary of the inclusion and screening of articles: A) following the PRISMA
891 statement (Moher et al., 2009) and B) a list of references included in this study .

892

893 **Figure S2.** The distribution of studies on microplastics and marine mammals for different
894 taxonomic groups. *Note: Guerinni et al. (2019; a generic paper including most mysticetes)*
895 *not included.*

896 **Table S1.** Key information and data extracted from papers on microplastics and marine
897 mammals.

898 **Table S2.** Summary of characteristics of microplastics (MPs) found in the gastrointestinal
899 track of bycaught, hunted or beached marine mammals.

900 **Table S3.** Summary of differences in extraction, identification and reporting among empirical
901 studies investigating microplastics in marine mammals.

902 **Table S4.** Summary of characteristics of microplastics (MPs) found in the scat of pinnipeds.

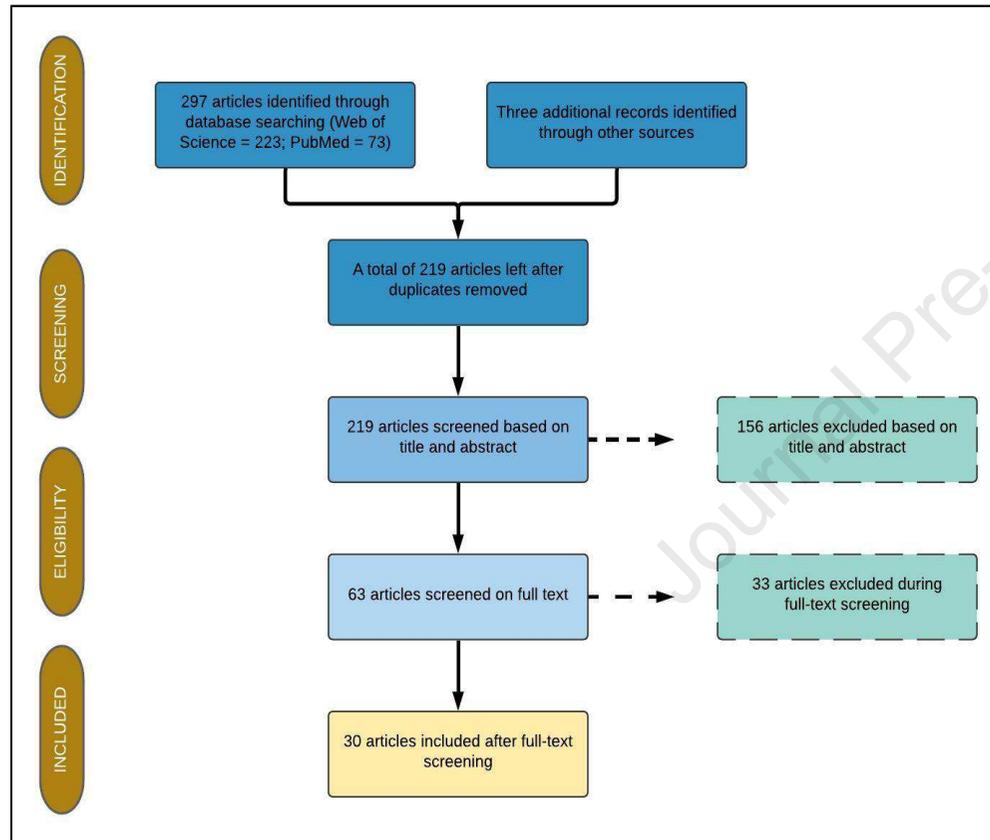
903 **Table S5.** Summary of contamination prevention methods used in studies investigating
904 microplastic in gut content and scat of marine mammals.

905 **Table S6.** Summary of inferential studies to estimate exposure risk of marine mammals to
906 microplastics.

907 **Figure S1.** Summary of the inclusion and screening of articles A) following the PRISMA statement (Moher et al., 2009) and B) a list of
 908 references

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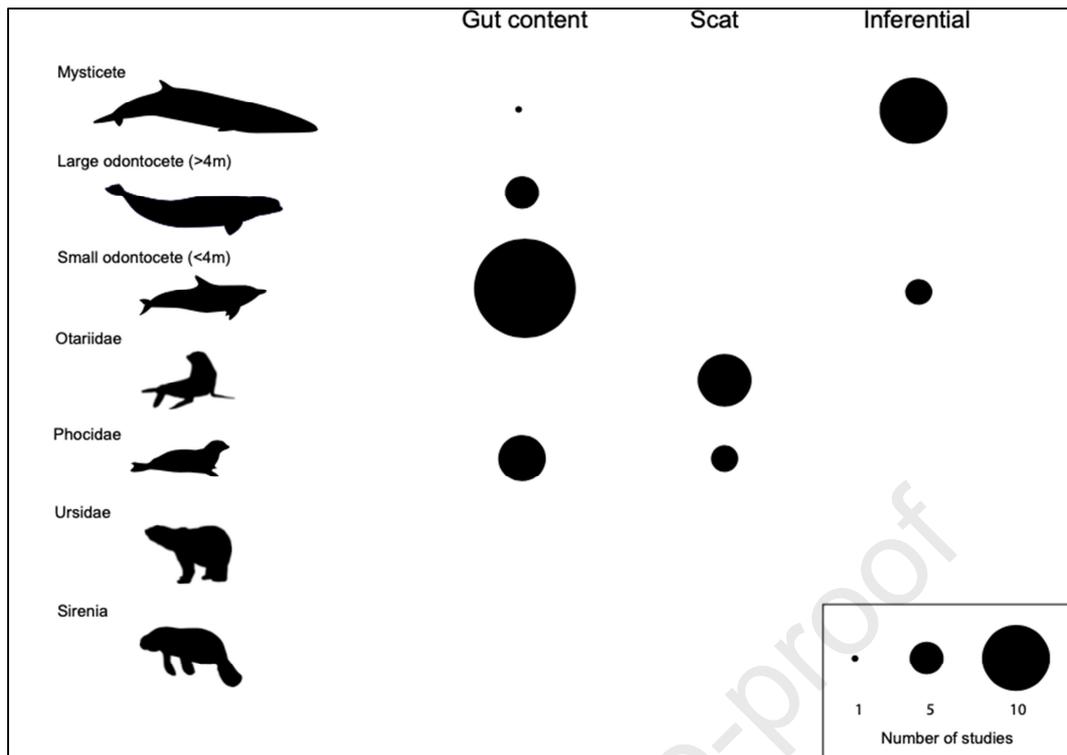
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B

References	GIT	Scat	Modelling
Baini et al. 2017			X
Besseling et al. 2015	X		
Bourdages et al. 2020	X		
Bravo Rebolledo et al. 2013	X		
Burkhardt-Holm and N'Guyen 2019			X
Desforges et al. 2015			X
Donohue et al. 2019		X	
Eriksson and Burton 2003		X	
Fossi et al. 2012			X
Fossi et al. 2014			X
Fossi et al. 2016			X
Fossi et al. 2017			X
van Franeker et al. 2018	X		
García-Garin et al. 2020		X	
Germanov et al. 2018			X
Guerrini et al. 2019			X
Hernandez Gonzales et al. 2018	X		
Hernandez-Milian et al. 2019	X		
Hudak and Sette 2019		X	
Lusher et al. 2016			X
Lusher et al. 2018	X		
Moore et al. 2020	X		
Nelms et al. 2018		X	
Nelms et al. 2019a		X	
Nelms et al. 2019b	X		
Perez-Venegas et al. 2018		X	
Perez-Venegas et al. 2020		X	
Ryan et al. 2016		X	
Xiong et al. 2018	X		
Zhu et al. 2019	X		
Total	11	9	10



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Figure S2. The distribution of studies on microplastics and marine mammals for different taxonomic groups. *Note: Germanov et al. (2018) was not included in this figure, as it was a generic paper including most mysticetes.*

916 **Table S1.** Key information and data extracted (when reported) from papers on microplastics
 917 and marine mammals.

Characteristic	Categories/description
Study type*	Experimental; Field based; Modelling/inferential
Target species*	Species name and taxonomy
Target location	Country, Region
Method*	Scat; Gut content; Persistent organic pollutant (POP); Inferential
Polymer identification	None; Visual; Raman spectroscopy; Fourier-transform infrared spectroscopy (FTIR); Phazir
Polymer characteristics	Colour; Size; Shape; Qualitative description (e.g. weathering)
Contamination identification protocol	Field controls; Lab – air and reagent controls; Other
Main findings	Description of microplastic abundances and characteristics

918 * Highlights minimum information requirements studies need to contain

919 **Table S2:** Summary of characteristics of microplastics (MPs) found in the gastrointestinal track of bycaught, hunted or beached marine
 920 mammals. N/R means not recorded within the study.

Species		Study location	Characteristics			Source
			Most common colours (>10%)	Most common polymers (>10%) [§]	Most common shape (>10%)	
Mysticete						
Humpback whale	<i>M. novaeangliae</i>	Texel, The Netherlands	N/R	PE (56%), PA (25%) [^]	Sheets + fragments (100%)	Besseling et al. 2015
Odontocete						
Atlantic white-sided dolphin	<i>L. acutus</i>	United Kingdom	Blue (43%), black (26%), clear (13%), red (11%)*	PA (60%), PET (10%), PES (10%)*	Fibres (84%), Fragments (16%)*	Nelms et al. 2019b
Beluga whale	<i>D. leucas</i>	Tuktoyaktuk, Canada	N/R	PES (44%), PE (16%), Acr (10%)	Fragments: 51%, Fibres: 49%	Moore et al. 2020
Bottlenose dolphin	<i>T. truncatus</i>	United Kingdom	Blue (43%), black (26%), clear (13%), red (11%)*	PA (60%), PET (10%), PES (10%)*	Fibres (84%), Fragments (16%)*	Nelms et al. 2019b
		Ireland	Blue (29%), Grey (18%), Black (17%), Orange (15%), Red (12%)*	N/R	Fibres (84%), fragments (16%)*	Lusher et al. 2018
Common dolphin	<i>D. delphis</i>	United Kingdom	Blue (43%), black (26%), clear (13%), red (11%)*	PA (60%), PET (10%), PES (10%)*	Fibres (84%), Fragments (16%)*	Nelms et al. 2019b
		Spain	Blue (45%), black (25%), green (16%), red (14%)	N/R	Fibres: 97%	Hernandez Gonzalez et al. 2018
		Ireland	Blue (29%), Grey (18%), Black (17%), Orange (15%), Red (12%)*	N/R	Fibres (84%), fragments (16%)*	Lusher et al. 2018

Cuvier's beaked whale	<i>Z. cavirostris</i>	Ireland	Blue (29%), Grey (18%), Black (17%), Orange (15%), Red (12%)*	N/R	Fibres (84%), fragments (16%)*	Lusher et al. 2018
Finless porpoise	<i>N. asiaeorientalis sunameri</i>	Penglai County, China	Blue (38%), red (15%), clear (15%)	PP (50%), PA (25%), PE (15%)	Fibres (70%), sheets (15%), fragments (13%)	Xiong et al. 2018
Harbour porpoise	<i>P. phocoena</i>	United Kingdom	Blue (43%), black (26%), clear (13%), red (11%)*	PA (60%), PET (10%), PES (10%)*	Fibres (84%), Fragments (16%)*	Nelms et al. 2019b
		Texel, The Netherlands	N/R	PE (46%), PP (40%)	Not reported	van Franeker et al. 2018
		Ireland	Blue (29%), Grey (18%), Black (17%), Orange (15%), Red (12%)*	N/R	Fibres (84%), fragments (16%)*	Lusher et al. 2018
Indo-Pacific humpbacked dolphin	<i>S. chinensis</i>	Guangxi Beibu Gulf, China	blue, white, pink, black and green (no %)	PA, PBT, PE, PES, PP, CL (no %)	Fibres (70%), fragments and flakes (no %)	Zhu et al. 2019
Killer whale	<i>O. orca</i>	Ireland	Blue (29%), Grey (18%), Black (17%), Orange (15%), Red (12%)*	N/R	Fibres (84%), fragments (16%)*	Lusher et al. 2018
Pygmy sperm whale	<i>K. breviceps</i>	United Kingdom	Blue (43%), black (26%), clear (13%), red (11%)*	PA (60%), PET (10%), PES (10%)*	Fibres (84%), Fragments (16%)*	Nelms et al. 2019b
Risso's dolphin	<i>G. griseus</i>	United Kingdom	Blue (43%), black (26%), clear (13%), red (11%)*	PA (60%), PET (10%), PES (10%)*	Fibres (84%), Fragments (16%)*	Nelms et al. 2019b
Striped dolphin	<i>S. coeruleoalba</i>	United Kingdom	Blue (43%), black (26%), clear (13%), red (11%)*	PA (60%), PET (10%), PES (10%)*	Fibres (84%), Fragments (16%)*	Nelms et al. 2019b

True's beaked whale	<i>M. mirus</i>	Ireland	N/R	Rayon (53%), PES (16%), Acr (10%)	Stomach: fibres (58%), fragments (42%). Intestine: Fibres (89%)	Lusher et al. 2015, Lusher et al. 2018
White-beaked dolphin	<i>L. albirostris</i>	United Kingdom	Blue (43%), black (26%), clear (13%), red (11%)*	PA (60%), PET (10%), PES (10%)*	Fibres (84%), Fragments (16%)*	Nelms et al. 2019b
Phocidae						
Bearded seals	<i>E. barbatus</i>	Nunavut, Canada	0	0	0	Bourdages et al. 2020
Grey seal	<i>H. grypus</i>	Cork, Ireland	N/R	N/R	Fibres (85%), fragments (14%)	Hernandez-Milian et al. 2019
		United Kingdom	Blue (43%), black (26%), clear (13%), red (11%)*	PA (60%), PET (10%), PES (10%)*	Fibres (84%), Fragments (16%)*	Nelms et al. 2019b
Harbour seal	<i>P. vitulina</i>	Nunavut, Canada	0	0	0	Bourdages et al. 2020
		United Kingdom	Blue (43%), black (26%), clear (13%), red (11%)*	PA (60%), PET (10%), PES (10%)*	Fibres (84%), Fragments (16%)*	Nelms et al. 2019b
		Netherlands	0	0	Stom: fibres (54%), Int: N/R	Bravo Rebolledo et al. 2013
Ringed seals	<i>P. hispida</i>	Nunavut, Canada	0	0	0	Bourdages et al. 2020

921 [§] PE: Polyethylene, PA: Nylon, PET: Polyethylene terephthalate, PES: Polyester, Acr: Acryl, PP: Polypropylene, PBT: Polybutylene terephthalate, CL: Cellulose

922 * average within study including multiple species

923 ^ both micro- and macroplastics included

924

925 **Table S3:** Summary of differences in extraction, identification and reporting among empirical studies investigating microplastics in marine
 926 mammals. N/R means not recorded within the study, N/A means not applicable within the study and None means non used.

Source	Methods							Identification		Reporting		
	Sample (wet) weight determined	Dry weight determined	Mesh size (μm)	Filter pore size (μm)	Digestion (duration)	Detection limit (μm)	Fibres included	Type of polymer analysis	% samples analysed	% occurrence	particles per organism	particles per dry weight sample
Garcia-Garin et al. 2020	Yes (10-12 g)	No	3000, 1000,500, 1.2	None	20% KOH (1 week)	500	Yes	N/A - no microplastic found	0	N/A	N/A	N/A
Perez-Venegas et al. 2020	Yes (1-238.5 g)	No	None	0.7	20% KOH (1 week)	N/R	Yes	FTIR	4.3	Yes	Yes	No
Bourdages et al. 2020	Yes (123- 6210 g)	No	850 and 425	None	None	425	No	N/A - no microplastic found	0	N/A	N/A	N/A
Moore et al. 2020	Stomach/Intestines: NO; Scat: Yes (100 mL)	No	None	20	10% KOH (2 weeks)	20	Yes	FTIR	100	Yes	Yes	No
Hernandez-Milian et al. 2019	No	No	250 to 1000	None	10% KOH (3 weeks)	200	Yes	Microscope	100	Yes	Yes	No
Nelms et al. 2019a	Yes (2 g)	No	35	None	Proteinase K (enzyme)	N/R	Yes	FTIR	100	Yes	Yes	No
Hudak and Sette 2019	No	No	2000, 1000 and 500	None	None	500	No	FTIR	100	Yes	Yes	No
Zhu et al. 2019	Yes	N/A	None	5	10% KOH (N/A duration)	N/R	Yes	FTIR	100	Yes	Yes	No
Nelms et al. 2019b	No	Yes (4.5-203.5 g)	35	None	Proteinase K (enzyme)	35	Yes	FTIR	18.3	Yes	Yes	Yes

Donohue et al. 2019	Yes (≤ 200 g)	Yes	500 and 250	330	30% H ₂ O ₂ (N/A duration) or 5M NaCl/5.4M lithium	N/R	Yes	FTIR	0.34	Yes	Yes	Yes
Hernandez Gonzales et al. 2018	No	No	5000, 1000, 500 and 355	None	10% KOH (3 weeks)	5000	Yes	Microscope	100	Yes	Yes	No
Perez-Venegas et al. 2018	Yes (3.3- 64.89 g)	No	None	0.7	20% KOH (1 week)	N/R	Yes	Microscope	100	Yes	Yes	No
Xiong et al. 2018	Yes (610- 3048 g)	No	125 and 1000	1.2	10% KOH (N/A duration)	N/R	Yes	RAMAN	100	Yes	Yes	No
Nelms et al. 2018	Yes	Yes (3 g)	2000, 1000, 500 and 200	40	Proteinase K (enzyme)	40	Yes	FTIR	100	Yes	Yes	Yes
van Franeker et al. 2018	No	No	1000	None	None	None	No	Phazir	86.8	Yes	Yes	No
Lusher et al. 2018	No	No	118, 500 and 1000	None	10% KOH (3 weeks)	250	Yes	FTIR, but data not presented	N/A	Yes	Yes	No
Ryan et al. 2016	No	No	500	None	None	N/R	Yes	N/A - no microplastic found	0	N/A	N/A	N/A
Besseling et al. 2015	No	No	1000 and 500	300 and 120 ^s	10% KOH (N/A duration)	N/R	Yes	FTIR	77.7	Yes	Yes	No
Lusher et al. 2015	No	No	1000, 500 and 118	None	10% KOH (3 weeks)	N/R	Yes	FTIR	91	Yes	Yes	No
Bravo Rebolledo et al. 2013	No	No	None	300 and 120 ^s	Enzymatic washing detergent	None	Yes	Microscope	100	Yes	Yes	No
Eriksson and Burton 2003	No	No	1000 and 500	None	None	500	Yes	FTIR	100	Yes	Yes	No

927 § Washing bags used within protocol

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928 **Table S4:** Summary of characteristics of microplastics (MPs) found in the scat of pinnipeds. N/R means not recorded within the study. None
 929 means no microplastics found.

Species	Study location	Characteristics			Author	
		Most common colours (>10%)	Most common polymers (>10%) ^{&}	Most common shape (>10%)		
Otariidae						
Antarctic fur seal	<i>A. gazella</i>	Macquarie Island, Australian Sub Antarctic	White (33%), brown (19%), blue (15%), green (15%), yellow (15%)*	PE (93%)*	Particles and fibers*	Eriksson and Burton 2003
		Deception Island, Antarctica	None	None	None	Garcia Garin et al 2020
Juan Fernández fur seal	<i>A. philippii</i>	Peru-Chile coastline	Blue (55%), white (15%) and red (13%)	PE + PA (total 30%)*	Mainly fibers	Perez-Venegas et al 2020 [‡]
Northern fur seals	<i>C. ursinus</i>	Pacific Coast, USA	Fragments: White (99%), Fibers: Black, white, purple, blue, red, yellow, clear (no %)	Fragments: PE (100%), Fibers: N/D	Fragments (55%), fibers (41%)	Donohue et al 2019
Sub Antarctic fur seals	<i>A. tropicalis</i>	Marion Island	N/R	N/R	N/R	Ryan et al 2016
		Macquarie Island, Australian Sub Antarctic	White (33%), brown (19%), blue (15%), green (15%), yellow (15%)*	PE (93%)*	Particles and fibers*	Eriksson and Burton 2003
South American fur seal	<i>A. australis</i>	Peru-Chile coastline	Blue (42%), white (21%) and red (12%)	PE + PA (total 30%)*	Mainly fibers	Perez-Venegas et al 2020 [‡]
		North-Patagonia, Chile	Blue (45%), white (24%), black (16%), red (15%)	N/R	Fibers (100%)	Perez-Venegas et al 2018
South American sea lion	<i>O. flavescens</i>	Peru-Chile coastline	Blue (69%), White (50%) and red (31%)	PE + PA (total 30%)*	Mainly fibers	Perez-Venegas et al 2020 [‡]
Phocidae						
Grey seals	<i>H. grypus</i>	Cape Cod, USA	Purple and red (no %)	CP (50%), S-Rub (50%)	Fragments (100%)	Hudak and Sette 2019

		Captivity	Scat: Black (27%), clear (23%), red (23%), blue (15%), orange (12%)	Scat: PP (54%), PE (12%)	Scat: Fragments (69%), fibers (31%)	Nelms et al 2018
Harbor seal	<i>P. vitulina</i>	Cape Cod, USA the Netherlands	Tan and white (no %) N/R	Res (50%), CP (50%) N/R	Fragments (100%) N/R	Hudak and Sette 2019 Bravo Rebolledo et al 2013

930 [&] PE: Polyethylene, PA: Nylon, PET: Polyethylene terephthalate, PES: Polyester, Acr: Acryl, PP: Polypropylene, CL: Cellulose, CP: Cellophane, Res: Resin, S-Rub: Synthetic
931 rubber
932 * average within study including multiple species
933 [‡] We are currently confirming these numbers with the authors, as there were mistakes in the supplementary information. Small changes might be made in final version

934 **Table S5.** Summary of contamination prevention methods used in studies investigating microplastic in gut content and scat of marine mammals.

Source	Protocol described paper	Sample collection				Sample preparation				Fibres included?	Air control	Negative blanks
		Non-plastic	Clean equipment	Non-synthetic clothes	Samples covered when not used	Working in a flow hood	Non-synthetic clothes	Clean equipment	Samples covered when not used			
Garcia-Garin et al. 2020	Yes	X			X	X			X	N/A		X
Perez-Venegas et al. 2020	Yes				X				X	Yes		
Bourdages et al. 2020	Yes							X		N/A		
Moore et al. 2020	Yes			X	X	X		X	X	Yes	X	X
Hernandez-Milian et al. 2019	Yes									Yes	X	X
Nelms et al. 2019a	Yes		X		X	X		X	X	Yes	X	X
Hudak and Sette 2019	Yes		X		X				X	No		
Zhu et al. 2019	Yes							X	X	Yes		X
Nelms et al. 2019b	Yes					X		X	X	Yes	X	X
Donohue et al. 2019	Yes	X	X	X	X	X		X	X	Yes	X	X
Hernandez Gonzales et al. 2018	Yes				X			X	X	Yes		
Perez-Venegas et al. 2018	Yes		X		X			X	X	Yes		X
Xiong et al. 2018	Yes							X	X	Yes		X
Nelms et al. 2018	Yes	X	X		X	X		X	X	Yes	X	X
van Franeker et al. 2018	No									No		
Lusher et al. 2018	Yes							X	X	Yes		
Ryan et al. 2016	No									Yes		
Besseling et al. 2015	No									No		
Lusher et al. 2015	Yes				X			X	X	Yes		X
Bravo Rebolledo et al. 2013	No									No		
Eriksson and Burton 2003	No									Yes		

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936 **Table S6.** Summary of inferential studies to estimate exposure risk of marine mammals to microplastics.

Approach	Reference	Common name	Location	Approach	Exposure level	Main findings
Habitat/prey	Desforges et al. 2015	Humpback whale	Coastal British Columbia	Linking primary data on microplastic in zooplankton to exposure levels in humpback whales	>300000 particles/day from zooplankton	A humpback whale consuming 1.5 % of its body weight in krill and zooplankton daily would ingest >300,000 microplastic particles/day from krill and zooplankton
	Lusher et al. 2016	Striped dolphins	Ireland	Linking primary data on microplastic in mesopelagic fish to exposure levels in striped dolphins	>1.2 million particles/day from mesopelagic fish	Diet of a striped dolphin consists of 64.8% mesopelagic fish, resulting in 0.11 tonnes of fish/year. With 11% of fish containing microplastics, a dolphin consumes 0.012 tonnes fish/year (or 385 million fish/year) which contain ~1.2 microplastic/fish.
	Fossi et al. 2017	Fin whale	Mediterranean Sea	Overlaying measurements of zooplankton and microplastic in water with cetacean survey data and habitat modelling	N/A	Areas with higher incidence of exposure highlighted
	Germanov et al. 2018	All Mysticeti	Global	Overlaying map with microplastic hotspots with habitat of whales	N/A	There are overlaps between whale habitat and microplastic hotspots
	Guerrini et al. 2019	Fin whale	Mediterranean Sea	Risk assessment by overlaying a particle tracking model, with habitat suitability map to create georeferenced risk indication	N/A	Hotspots were identified with high microplastic levels based on model and linked to habitat of fin whales. Highest risk was near the coast
	Burkhardt-Holm and N'Guyen 2019	Common minke and sei whale	Atlantic and Pacific Oceans	Exposure assessment by overlaying prey preferences and microplastic levels within prey based on literature reviews	N/A	Significant different exposure levels are expected based on species-specific prey preferences, as well as feedings strategies.
Markers	Fossi et al. 2012	Fin whale	Mediterranean Sea	Linking levels of phthalates in the environment and whale blubber	N/A	Levels of MEHP found in whales, linked to microplastic and zooplankton

Fossi et al. 2014	Fin whale	Mediterranean Sea	Linking levels of phthalates in the environment and whale blubber	3653 particles/day from water	Levels of MEHP found in whales, linked to microplastic and zooplankton
Fossi et al. 2016	Fin whale Fin whale	Mediterranean Sea Sea of Cortez	Linking levels of phthalates and other contaminants to levels in blubber	1000s of particles/day from water	Indirect measure of microplastic exposure was broadly correlated with microplastic density, such that Mediterranean fin whales have higher levels of some POPs than Mexican fin whales.
Baini et al. 2017	Fin whale, bottlenose, Risso's and striped dolphin	Mediterranean Sea	Linking levels of phthalates to levels in cetaceans	N/A	A preliminary study linking phthalates in zooplankton to levels in cetaceans

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Highlights:

- A timely systematic review of literature on microplastics (MPs) in marine mammals.
- Most studies examined the guts of cetaceans or faeces of pinnipeds for MPs
- A range of taxa around the world are exposed to and ingest microplastics
- Several studies attempted to estimate risk of MP exposure for cetaceans
- Robust, standardized protocols are proposed to improve comparability across studies

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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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