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## Monosomy 18p is a risk factor for facioscapulohumeral dystrophy

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### Abstract

**Background**—18p deletion syndrome is a rare disorder caused by partial or full monosomy of the short arm of chromosome 18. Clinical symptoms caused by 18p hemizyosity include cognitive impairment, mild facial dysmorphism, strabismus and ptosis. Amongst other genes, *SMCHD1* is hemizygous in most patients with 18p deletions. Digenic inheritance of a *SMCHD1*

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### Contributions

JB, SM, conceived the study, JB designed the experiments, analyzed the data, JB, RG, KRS, RJFL, PV, CR and AH performed the experiments. LC, NC, LF, VM, JC, MK, CDS, PH, JDC, RT, SS, SJT contributed with clinical data and patient material. JB, SM, SS and CDS wrote the manuscript.

### Conflicts of interest

All authors declare to have no conflicts of interest.

mutation and a moderately sized D4Z4 repeat on a facioscapulohumeral muscular dystrophy (FSHD) permissive genetic background of chromosome 4 can cause FSHD type 2 (FSHD2).

**Objectives**—Since 12% of Caucasian individuals harbor moderately sized D4Z4 repeats on a FSHD permissive background we tested if people with 18p deletions are at risk of developing FSHD.

**Methods**—To test our hypothesis we studied different cellular systems originating from individuals with 18p deletions not presenting FSHD2 phenotype for transcriptional and epigenetic characteristics of FSHD at D4Z4. Furthermore, individuals with an idiopathic muscle phenotype and an 18p deletion were subjected to neurological examination.

**Results**—Primary fibroblasts hemizygous for *SMCHD1* have a D4Z4 chromatin structure comparable with FSHD2 concomitant with DUX4 expression after transdifferentiation into myocytes. Neurological examination of 18p deletion individuals from 2 independent families with a moderately sized D4Z4 repeat identified muscle features compatible with FSHD.

**Conclusions**—18p deletions leading to haploinsufficiency of *SMCHD1*, together with a moderately sized FSHD permissive D4Z4 allele, can associate with symptoms and molecular features of FSHD. We propose that 18p deletion patients should be characterized for their D4Z4 repeat size and haplotype and monitored for clinical features of FSHD.

## Keywords

18p deletion; FSHD; SMCHD1; DUX4

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## Introduction

Facioscapulohumeral dystrophy (FSHD [OMIM:158900 and 158901]) is the third most common muscular dystrophy, most often inherited in an autosomal dominant fashion. It has an estimated prevalence of 1:8,300–22,000 depending on geographical location[1]. Clinical symptoms of FSHD include facial weakness, often noted by inability to whistle, shoulder girdle weakness recognized by difficulty in raising arms above shoulder level, and upper extremity muscle weakness. Muscle weakness can spread to the lower extremities with disease progression. In 20% of cases, disease progression results in wheelchair dependency. Beside muscle weakness, hearing loss and vascular retinopathy can be present; most often in patients with early onset FSHD. Presentation of initial clinical symptoms can vary from birth to middle age, but generally it starts in the second decade of life. Manifestation of the disease is highly variable between and within families with 12–30% of familial cases with a genetic diagnosis remaining asymptomatic. This high variability in disease presentation suggests a role for other genetic disease modifiers.

The molecular mechanism of FSHD has been largely elucidated and identified a key role for *DUX4*. *DUX4* is a member of the double homeodomain protein family of transcription factors and is normally expressed in luminal cells of the testis[2, 3], in late-differentiating keratinocytes[4] and in thymus[3]. *DUX4* was recently identified as key transcriptional regulator in early stage embryos where it initiates zygotic genome activation[5–7]. Inappropriate expression in skeletal muscle leads to an early embryonic transcriptional

program, eventually resulting in muscle degeneration and the diagnosis of FSHD[8, 9]. A copy of the *DUX4* gene is located within each unit of the D4Z4 macrosatellite repeat at 4q35. This D4Z4 repeat is polymorphic forming arrays of 8–100 units which are in a repressed chromatin state in skeletal muscle of healthy individuals. When the D4Z4 repeat is generally between 1–10 units the chromatin can become relaxed. When this occurs on a genetic background of chromosome 4 that contains a non-canonical *DUX4* polyadenylation signal (PAS) distal to the D4Z4 repeat a stable *DUX4* transcript is produced in muscle cells[10]. Population studies have shown that the polymorphic *DUX4* PAS is present on approximately half of chromosomes 4 in the Caucasian and Asian population[11].

Patients diagnosed with FSHD are currently classified into 2 groups based on cis (FSHD1) or trans (FSHD2) genetic variants leading to D4Z4 chromatin relaxation. More than 95% of FSHD patients have a cis mechanism of disease; contraction of the D4Z4 repeat to a size of 1–10 units on a FSHD permissive (i.e. *DUX4* PAS containing) chromosomal background, usually 4q35 (4qA; FSHD1). Less than 5% of patients have disease from a trans mechanism; a moderately sized (8–20U in most cases)[12] D4Z4 repeat on a FSHD permissive chromosome 4qA, combined with a heterozygous mutation in genes regulating the chromatin structure at D4Z4 in somatic cells (FSHD2). At least 85% of FSHD2 patients have a mutation in the Structural Maintenance of Chromosomes Flexible Hinge Domain Containing 1 (*SMCHD1*)[2] gene located on the short arm of chromosome 18 while in two FSHD families mutations in the DNA Methyltransferase 3 Beta (*DNMT3B*) gene[13] on chromosome 20 have been identified. While in FSHD1 chromatin relaxation is restricted to the shortened D4Z4 repeat, in FSHD2 the epigenetic changes are present on all genomic regions regulated by *SMCHD1* or *DNMT3B*, including both D4Z4 repeats at 4q35 and the highly homologous D4Z4 like repeats at 10q26.

The first epigenetic finding in FSHD was a significant reduction of D4Z4 DNA methylation in patients[14]. Changes in histone modifications were also detected in FSHD samples compared to controls. Somatic cells of controls show the presence of the repressive H3K9me3 and H3K27me3 histone modifications at D4Z4, but unusual for heterochromatin, H3K4me2, a permissive histone mark, is also present at low levels[15]. Patient primary myoblasts, myotubes and fibroblasts[15, 16] have reduced H3K9me3 levels, and a significant increase of H3K27me3 was reported in FSHD2, but not FSHD1 [4]. Furthermore, *SMCHD1* mutation carriers have significantly lower amounts of *SMCHD1* at D4Z4 than controls.

The mutations in *SMCHD1* that result in FSHD2 are distributed over the entire locus. Since about 30% of FSHD2 patients carry a nonsense mutation in *SMCHD1*, these likely lead to degradation of mutant mRNA by nonsense mediated decay (NMD), resulting in the observed decreased *SMCHD1* transcript levels[14]. We therefore hypothesized that conditions leading to *SMCHD1* haploinsufficiency are a risk factor for FSHD. We previously described 2 families with a monoallelic 1,2Mb interstitial deletion of 18p, including *SMCHD1* and 4 neighboring genes with a classical FSHD phenotype without additional clinical symptoms[12]. Deletions of 18p occur in 1:50,000 individuals and lead to development of 18p deletion (18p-) syndrome. The main clinical features of 18p- syndrome include mental impairment characterized by borderline to low normal IQ, motor and speech delay, global

hypotonia, abnormal brain MRI findings, autoimmune disorders and cardiac abnormalities. Hypotonia may cause weakness in the mouth muscles, but there is generally no muscle weakness, unlike the weakness observed in FSHD. Systematic collection and characterization of patients showed that deletions of 18p can vary in size: approximately 50% of patients have a breakpoint in the centromeric region thereby creating hemizygoty of the entire short arm of one of their chromosomes 18, encompassing 66 genes. The remainder of the patients have terminal deletions with breakpoints all along the chromosome arm, with microdeletions rarely described. Recent studies focus on identifying correlations between phenotype and hemizygoty for individual genes as a result of an 18p deletion[17]. Since a heterozygous *SMCHD1* deletion together with 4 neighboring genes is associated with FSHD, but not with an 18p- syndrome phenotype[12] we aimed to explore if 18p- patients, missing one copy of *SMCHD1* and several to dozens of other genes have clinical or cellular signs of FSHD. Here we describe 2 families with a complex clinical picture with features of 18p- syndrome and FSHD and combine this with molecular studies of the D4Z4 repeat in cell lines of individuals with FSHD or 18p- syndrome.

## Materials and Methods

### Clinical studies

Samples were obtained with informed consent and the study was approved by the appropriate Institutional Review Boards.

### Cell lines, culturing conditions of human primary fibroblast, myoblast and LCLs

Human primary myoblast cell lines were isolated from muscle biopsy of patients Rf1731.103 and Rf1731.201 at University Nice, France. Primary myoblasts were cultured and fused for 72 hours as described previously[4]. Human primary fibroblast cell lines were received from the University of Rochester bio repository (<http://www.urmc.rochester.edu/fields-center/>), from the University of Texas Health Science Center at San Antonio, or were derived from a lower extremity skin biopsy at the Leiden University Medical Center. Primary fibroblasts were cultured according to a published protocol[16]. LCL cell lines were obtained from the University of Texas Health Science Center at San Antonio and from the Leiden University Medical Center and were cultured according to published protocol[18]. The list of cell lines included in the study, origin, D4Z4 repeat size, genotype and experimental use are listed in Supplemental table 1.

### Transdifferentiation of human primary fibroblasts into myogenic cell cultures

Transdifferentiation of fibroblasts was achieved by ectopic expression of MyoD, or GFP as control, as described previously[13]. Every transdifferentiation experiment was performed twice independently and figures show the average of these 2 experiments.

### RNA isolation, cDNA synthesis and qRT-PCR

Samples originating from different cell cultures used in the study were harvested in QIAzol lysis reagent (#79306 Qiagen N.V., Venlo, The Netherlands) for RNA isolation. RNA isolation, cDNA synthesis and qRT-PCR reactions were performed as described previously[4].

## Chromatin Immunoprecipitation

Histone ChIP-qPCR experiments were carried out as described before[16] using 3µg chromatin per reaction and antibodies against H3 (ab1791, 2µl/reaction, Abcam, Cambridge, UK), H3K9me3 (39161, 5 µl/reaction, Active Motif, Carlsbad, USA), H3K27me3 (17-622, 5 µl/reaction, Merck-Millipore, Amsterdam Zuid-Oost, The Netherlands), H3K4me2 (39141, 5 µl/reaction Active Motif, Carlsbad, USA) and total IgG (5 µl/reaction, Merck-Millipore, Amsterdam Zuid-Oost, The Netherlands). SMCHD1 ChIPs were performed as described before[2] using 60 µg chromatin per reaction and antibody against SMCHD1 (ab31865, 5 µg/rxn, Abcam, Cambridge, UK). qPCR was performed as described previously[4]. Every ChIP-qPCR experiment was performed 2 independent times and figures show the average values.

## Western blots

Patient primary myoblasts and primary fibroblasts were lysed in Radio-Immunoprecipitation Assay (RIPA) Buffer (0.1% SDS, 1% NP40, 0.5% sodiumdeoxycholine, 150mM NaCl, 5mM EDTA and 1× Complete protease inhibitor cocktail (Roche, Basel, Switzerland) in 20 mM Tris pH 7.4. The lysate was sonicated using a Bioruptor Pico (Diagenode, Liege, Belgium) and centrifuged for 10 minutes at 13.500 RPM to pellet the cell debris. Protein concentration was determined using Pierce BCA protein assay kit (Thermo Fisher Scientific, Waltham, MA) and equal protein amounts were boiled in 1× Laemmli Sample Buffer (2% SDS, 10% glycerol, 2% β-mercaptoethanol and 0.01% bromophenol blue in 60 mM Tris pH6.8). Samples were loaded on Criterion TGX 4–20% gels (Bio-Rad, Hercules, CA) and transferred to an Immobilon-FL PVDF membrane (EMD Millipore, Billeria, MA). The membrane was subsequently blocked in 4% skim milk in PBS and probed for SMCHD1 (ab176731, Abcam, Cambridge, UK), α-Tubulin (T6199, Sigma Aldrich, St. Louis, MO) or HSP90 (#4874, Cell Signaling Technology, Danvers, MA) in Immunobooster (Takara Bio Inc. Kusatsu, Japan). Membranes were incubated with donkey-α-rabbit IRDye-800 and donkey-α-goat IRDye-680 (Li-cor, Lincoln, NE) followed by visualization of immunocomplexes using an Odyssey Infrared Imaging System (Li-cor, Lincoln, NE). Protein quantification was performed using the accompanying software package.

## Immunofluorescence detection of DUX4 and high-throughput microscopy analysis

Cells were fixed with 2% paraformaldehyde and permeabilized with 1% Triton in PBS. Primary antibody against DUX4 (Abcam ab124699) was diluted 1:2000 in PBS and primary antibody detecting MYH1E (MF20, Hybridoma Bank, Iowa University) was diluted 1:1000 in PBS. Secondary antibodies Alexa 488 conjugated anti-rabbit (A21206, Thermo Fisher Scientific, Waltham, MA, USA) and Alexa 594 conjugated anti-mouse (A21203, Thermo Fisher Scientific, Waltham, MA, USA) were diluted 1:500 in PBS. Visualization of nuclei was achieved by incubation with DAPI (4',6-Diamidino-2'-phenylindole dihydrochloride, Sigma-Aldrich, 268298) diluted 1:1000 in PBS. Transdifferentiated fibroblasts (Figure 5C) were imaged with Thermo Cellomics Arrayscan@ VTI HCS Reader and 500 images per cell line were taken at 20× magnification. Number of nuclei in myotubes were determined by analyzing images with a custom made colocalization program in HCS studio software. Number of DUX4 positive nuclei was determined by manual screening of all images.

Primary myoblast cultures shown in Figure 2E were imaged with Nikon Eclipse Ti microscope equipped with A1 confocal detector at 20× magnification.

### Statistical analysis

All statistical analysis was done using GraphPad Prism 6 software and the statistical method applied in different experiments is described in the results section and figure legends. Scatterplots and bar diagrams show the mean and standard deviation of the mean.

## Results

### 18p- individuals can show clinical signs of FSHD

Clinical diagnosis of individuals with contiguous gene syndromes can be challenging because of their complex phenotype and are therefore more often diagnosed through genetic analysis. Identification of a FSHD phenotype in individuals with *SMCHD1* monosomy as a result of an 18p deletion combined with a FSHD permissive moderately sized D4Z4 repeat is challenging because of the wide spectrum of symptoms associated with 18p- syndrome and the well documented inter- and intra-familial clinical variability in FSHD. From our patient cohort we identified 3 individuals from 2 families having an interstitial deletion of 18p and clinical signs of FSHD. These individuals were subjected to detailed clinical examination. Array CGH was performed to define the 18p deletion. The FSHD diagnosis was confirmed based on the identification of a FSHD permissive 4qA haplotype, D4Z4 repeat sizing and by DNA methylation analysis[14].

### Family 1

The index case (Rf1731.102, 18p-9, Figure 1A, B) was first examined at age 26 when she started having difficulty in lifting her arms overhead. Physical examination showed classical features of FSHD such as asymmetrical facial and shoulder girdle weakness with scapular winging, mild paravertebral and abdominal muscle involvement, and asymmetrical muscle wasting of the anterior compartment of the leg. Total CPK blood levels were increased up to 245 UI/L (normal range < 200 UI/L). Cardiac screening did not show major abnormalities. At age 50 she was wheelchair dependent with a clinical severity score (CSS)[19] of 8. Besides FSHD-like symptoms mild intellectual disability (IQ 65), short stature, ocular ptosis and strabismus were also observed. She also had micrognathia, low-set ears, hyperlordosis and bilateral pes cavus. The MRI of the brain showed white matter abnormalities and the muscle biopsy examination revealed non-specific dystrophic changes consistent with FSHD. D4Z4 repeat sizing identified one D4Z4 repeat of 12 units and one of 13 units, each on a FSHD permissive 4qA chromosome. Based on D4Z4 DNA methylation testing in genomic DNA from peripheral blood mononuclear cells (PBMCs) a delta1 value of -24% was established which is in the range of FSHD2 methylation values (<-21%) (Figure 1A). Array Comparative Genomic Hybridization (aCGH) analysis showed a 1.2 Mb deletion (arr[hg19] 18p11.32p11.31(1,852,498–3,039,186)x1) affecting 5 protein coding genes including *SMCHD1* (*METTL4*, *NDC80*, *SMCHD1*, *EMILIN2*, *LPIN2*). Furthermore, an interstitial duplication of 151 kb proximal to the deletion was detected (arr[hg19] 18p11.31(3,553,032–3,703,842)×3) affecting exons 5, 6 and 7 of *DLGAP1* and the uncharacterized antisense

transcripts *DLGAPI-AS1* and *DLGAPI-AS2* (BC094703) (Supplemental figure 1A). This figure also shows the 18p deletion in the previously described FSHD2 families[12].

The daughter of the index case (Rf1731.201, 18p-10, Figure 1A, B) was examined first at the age of 16 at which age she did not present any sign of symptoms. She started to display signs at the age of 24 with mild scapular and abdominal weakness, as well as asymmetrical ocular ptosis and strabismus. No cardiac anomalies were detected by transthoracic echocardiography and by a 24-hour Holter monitoring, as well as by cardiorespiratory physical examination. Pulmonary function test indicated a moderate restrictive pattern. Physical examination also showed short stature, round fixed face, transverse smile, micrognathia, low-set ears with detached pinnae and short fingers. Intellectual disability was not evident but not formally measured. Muscle biopsy performed in *vastus lateralis* muscle showed mild non-specific dystrophic changes.

D4Z4 repeat sizing showed that she inherited the FSHD permissive D4Z4 repeat of 12 units from her mother and a 23 units long D4Z4 repeat on a 4qB non-permissive allele from her father. DNA methylation analysis was performed in PBMCs revealing a delta1 methylation value of -30% which is in the FSHD2 range (Figure 1A). Array CGH analysis showed the presence of the same heterozygous 1.2 Mb deletion and 151 Kb duplication on 18p as observed in the mother.

Based on the muscle phenotype, the presence of 12 and 13 units long D4Z4 repeats on permissive haplotypes, *SMCHD1* haploinsufficiency and D4Z4 hypomethylation, the diagnosis of FSHD2 was confirmed in both individuals.

## Family 2

The proband (Rf1877.201, Figure 1C, D) presented at age 13 with elevated levels of total blood CPK (up to 2727 UI/L), which dropped to slightly elevated levels at age 17 (262UI/L). Cardiac screening did not show abnormalities. He progressively developed difficulty in lifting his arms overhead, *orbicularis oris* and *oculi* muscle weakness with fixed face and transverse smile, moderate scapular and humeral muscle weakness with scapular winging and mild *quadriceps femoris* muscle weakness. Physical examination also revealed a short-broad neck, high-arched palate, hyperlordosis, as well as moderate calf hypertrophy and muscle wasting in pectoralis major and *quadriceps femoris* muscles. Muscle biopsy examination did not show any pathological changes, which is not uncommon for FSHD given the often focal nature of the disease. D4Z4 repeat sizing detected a repeat of 12 units on a FSHD permissive 4qA allele, which excluded FSHD1. DNA methylation analysis resulted in a delta 1 value of -23% which is in the FSHD2 range. Based on the presence of moderately sized permissive D4Z4 repeat at a permissive 4qA allele and low D4Z4 DNA methylation FSHD2 was diagnosed in this individual. Array CGH analysis showed the presence of a 3.7 Mb terminal deletion on 18p (arr[hg19] 18p11.32p11.31(136,226–3,709,404)×1) that besides *SMCHD1* includes 19 additional protein coding genes. This 18p deletion was not detected in other members of the family.

## 18p hemizyosity leads to reduced SMCHD1 RNA and protein levels associated with DUX4 expression in muscle cells

To determine whether *SMCHD1* hemizyosity leads to decreased SMCHD1 expression, RNA and protein from proliferating and differentiated muscle cell cultures was analyzed by qRT-PCR and western blotting, respectively. We assayed myotube cultures from individuals with 18p deletions who had been clinically diagnosed with FSHD2 but not with the clinical features of 18p- syndrome[12] and cultures from the 2 individuals in family Rf1731 presenting with features of 18p- syndrome and FSHD. SMCHD1 transcript levels were significantly reduced in 18p-myoblast (n=5, unpaired *t*-test  $p<0.01$ ) and myotube (n=5, unpaired *t*-test  $p<0.05$ ) cultures compared to control myoblast (n=7) and myotube (n=7) cultures (Figure 2A). Semiquantitative western blot analysis using HSP90 as loading control showed that control myotube cultures express significantly higher levels (unpaired *t* test  $p<0.05$ ) of SMCHD1 than *SMCHD1* hemizygous samples (Figure 2B and C).

Next, we asked if the lower SMCHD1 levels result in transcriptional derepression of *DUX4* in the 18p deletion samples, as was shown in FSHD2 patient samples with *SMCHD1* mutations[2, 4]. We detected *DUX4* and *DUX4* target gene expression by qRT-PCR in myoblast and myotube cultures of Rf1731.102 and Rf1731.201 (Figure 2D, Supplemental figure 2B, C) while myogenic differentiation was monitored by *MYOG* expression levels (Supplemental figure 2A). Although *DUX4* target gene expression indicates that 18p deletion myotube cultures express *DUX4* protein, we aimed to detect *DUX4* by immunofluorescence microscopy to explore if individual 18p deletion myotubes exhibit the same pattern of sporadic nuclei expressing *DUX4* as is found in FSHD. Sporadic expression of *DUX4* was found in both myotube cultures, albeit with different frequencies (Figure 2E).

To test if *SMCHD1* hemizyosity results in reduced SMCHD1 levels in tissues other than muscle, we studied immortalized lymphoblast cell lines (LCLs) and fibroblasts from control, FSHD and individuals with 18p deletions who had not been diagnosed with FSHD2. SMCHD1 mRNA quantification by qRT-PCR in LCLs and primary fibroblasts with 1 copy or 2 copies of *SMCHD1* (samples are listed in Supplemental table 1) showed that SMCHD1 mRNA levels were significantly reduced (fibroblast unpaired *t*-test  $p<0.01$ , LCLs unpaired *t*-test  $p<0.0001$ ) in samples with 1 copy of *SMCHD1* (Figure 3A, B). Semiquantitative western blot analysis of primary fibroblasts with one (n=8) or 2 copies (n=8) of *SMCHD1* using tubulin as control showed a significant (unpaired *t*-test  $p<0.05$ ) reduction in SMCHD1 protein levels in 18p deletion primary fibroblasts compared to controls (Figure 3C, D).

In summary, our results show that all 18p deletion samples have lower SMCHD1 RNA and protein content and that this situation is associated with *DUX4* and *DUX4* target expression in individuals with a relatively short D4Z4 repeat on a FSHD permissive chromosomal background, similar to FSHD.

## D4Z4 chromatin in 18p- cell lines shows similar epigenetic characteristics as in FSHD2

Because of the reduced SMCHD1 levels, we asked if primary fibroblasts from individuals with 18p deletions who have not been diagnosed with FSHD2 also show D4Z4 chromatin

changes characteristic of FSHD2. As previously reported in PBMCs from 18p- syndrome individuals[12], we found significantly reduced D4Z4 DNA methylation levels (n=6 control samples and n=8 18p-samples, unpaired *t*-test  $p<0.01$ ) in fibroblasts from these individuals, comparable to what was found in FSHD2 patients (Figure 4A). In concordance with previous observations in FSHD2 individuals, ChIP-qPCR on chromatin isolated from human primary fibroblasts (n=8 one copy and n=8 two copies of *SMCHD1*) showed a significant (unpaired *t*-test  $p<0.05$ ) decrease in SMCHD1 binding at D4Z4 in samples hemizygous for *SMCHD1* compared to controls (Figure 4B).

Next we investigated if changes in histone modifications are present on D4Z4 in 18p- fibroblasts. Consistent with our previous report[4], ChIP-qPCR with antibodies against H3K27me3, H3K9me3 and H3K4me2 showed that H3K9me3 levels at D4Z4 do not differ (Supplemental figure 3) but that H3K27me3 levels, like in FSHD2, were significantly increased in 18p- samples (unpaired *t*-test  $p<0.05$ , Figure 4C). Furthermore, we detected significantly increased H3K4me2 levels (unpaired *t*-test  $p<0.05$ ) at D4Z4 in fibroblasts hemizygous for *SMCHD1* compared to controls, as reported in FSHD[16] (Figure 4D).

Altogether our study revealed striking similarities between the D4Z4 chromatin structure in FSHD2 and 18p- fibroblasts suggesting that indeed 18p- patients are at risk of expressing *DUX4* in somatic tissue.

### **DUX4 is expressed in different in vitro systems originating from patients with 18p deletions**

Besides in myogenic cells *DUX4* expression has also been reported in FSHD LCLs[18] and in FSHD primary fibroblasts after transdifferentiation in myocytes[13]. To test for *DUX4* expression in individuals with 18p- and a permissive 4q35 allele, we transdifferentiated control (n=3) and 18p- (n=6) primary fibroblasts by lentiviral MyoD transduction. Transdifferentiation was monitored by the presence of the late myogenic marker *MYH3* (Figure 5A). *DUX4* (Figure 5B) and *DUX4* targets *KHDCL1* and *ZSCAN4*[20] (Supplemental figure 4A, B) were detected in 18p-samples at similar levels as FSHD1 and FSHD2 fibroblasts after efficient transdifferentiation, while fibroblasts transduced with GFP as control expressed no or negligible levels of *DUX4* and its targets.[13]

Because of the variegated nuclear expression of *DUX4* in FSHD, we employed high content immunofluorescence microscopy allowing us to screen at least 10,000 nuclei per sample for the presence of *DUX4*. High content imaging of transdifferentiated control (n=3) and 18p- (n=7) fibroblasts allowed, despite the variability in transdifferentiation, for the detection of *DUX4* only in 18p- cell cultures but not controls. We detected *DUX4* positive nuclei in 5 out of 7 18p- cell cultures with the number of *DUX4* positive nuclei varying between the cell lines (Figure 5C, Supplemental table 2).

Finally, we analyzed a cohort of 18p- (n=17), control (n=13) and FSHD1 (n=10) LCL cell lines (the same cohort as included in the *SMCHD1* expression analysis) for *DUX4* and *DUX4* target gene expression. All samples included in the study were sized for D4Z4 repeat at 4q35 and all cell lines had their shortest D4Z4 repeat on a FSHD permissive *DUX4* PAS containing chromosome. Since D4Z4 array length also correlates with *DUX4* expression[1]

control LCLs were selected with similar D4Z4 repeat sizes at a permissive 4q35 with sizes ranging between 13 and 35 units (Supplemental table 1). We detected significantly higher *DUX4* levels (FSHD1; one way ANOVA  $p < 0.0001$ , 18p-; one-way ANOVA  $p < 0.05$ ) in FSHD1 and 18p- LCLs compared to controls (Figure 6A). The relative expression of three *DUX4* target genes was also determined. *MBD3L2* and *TRIM43* expression was significantly increased in FSHD1 (Figure 6B, C, one way ANOVA, *TRIM43*  $p < 0.05$ , *MBD3L2*  $p < 0.001$ ) and 18p- LCLs (one way ANOVA, *TRIM43*  $p < 0.001$ , *MBD3L2*  $p < 0.001$ ). *ZSCAN4* expression levels did not significantly differ between controls and FSHD1 (Figure 6D) while it was significantly increased in 18p- samples (one way ANOVA,  $p < 0.01$ ).

In general, *DUX4* and *TRIM43* expression levels were similar between myotubes, LCLs and transdifferentiated fibroblasts of FSHD1 and 18p- individuals, although quite some variability was observed in transdifferentiated fibroblasts possibly as a consequence of the transduction efficiency (Supplemental figure 5). Highest *DUX4* expression was detected in 18p- LCL samples with the shortest D4Z4 repeat of 13 units ( $n=2$ , Supplemental figure 6) and 15 units ( $n=1$ ). Interestingly *DUX4* expression of 18p- samples with repeats of 25 D4Z4 units was still above control levels. Together these results show that different cell systems originating from 18p- individuals can express *DUX4* and *DUX4* targets similar to FSHD and that the level of *DUX4* expression is dependent on the size of the permissive D4Z4 repeat.

## Discussion

Based on the occurrence of a moderately sized D4Z4 repeat on a FSHD permissive chromosomal background we estimated that about 12% of 18p- patients are at risk of developing FSHD in the European population[12]. Our current study shows that individuals with 18p deletions, being hemizygous for *SMCHD1* and few to 65 additional genes on 18p, can develop symptoms compatible with FSHD and display a molecular signature of FSHD in different cellular systems. We show in all *in vitro* models tested that *SMCHD1* hemizygoty is associated with reduced *SMCHD1* transcript and protein levels. At D4Z4, reduced *SMCHD1* binding is associated with increased H3K27me3, H3K4me2 levels, and reduced DNA methylation levels, the latter corroborating our previous report of reduced D4Z4 DNA methylation levels in blood samples of people with 18 deletions[12]. The observed D4Z4 DNA hypomethylation, the significant increase in the transcriptionally permissive H3K4me2 histone modification and the increase in the repressive H3K27me3 modification, resembles the epigenetic landscape of D4Z4 in FSHD2, and suggests that the D4Z4 chromatin state is permissive for *DUX4* transcription in somatic tissue of individuals with 18p-.

Indeed, we detected *DUX4* expression in primary fibroblasts of individuals with variably sized deletions on chromosome 18p including *SMCHD1* after transdifferentiation into myocytes. We extended our study to LCLs because a recent publication showed *DUX4* and *DUX4* target gene expression in FSHD LCLs[18]. We could confirm the presence of *DUX4* and some *DUX4* targets at equal levels in FSHD LCLs, the absence in control LCLs, and extended this observation by showing *DUX4* and *DUX4* target gene expression in LCLs of individuals with 18p-. Several studies have reported that the epigenetic structure of the

disease locus is similar in different tissues[4, 21, 22], and DUX4 expression has also been reported in non-myogenic tissues of non-affected individuals[3, 23, 24]. However, given the significant transformation that LCLs have undergone, the clinical relevance of DUX4 expression in these cell lines is likely very limited.

Besides SMCHD1 activity, DUX4 expression is also dependent on the size of the D4Z4 repeat: longer D4Z4 repeats are less likely to express *DUX4* than shorter repeats. We therefore measured D4Z4 repeat sizes in all samples included in the study and in agreement with earlier observations higher DUX4 expression was detected in 18p- samples with shorter D4Z4 repeats on a permissive chromosome 4qA than in those with longer repeats. Importantly, those 18p-samples with repeats of 13–15 units had the highest expression but 18p- samples harboring repeats of 15–25 D4Z4 units still expressed DUX4 above controls. Our initial estimation, predicting that about 12% individuals with 18p- might be at risk of developing FSHD based on SMCHD1 monosomy and a D4Z4 repeat 16 units on a permissive chromosome 4 may, in light of these findings, be an underestimation. When using a threshold of 25 units based on the observation of DUX4 expression in LCLs, almost one-third of individuals with 18p- might be at risk of developing FSHD. However, as we rarely find patients with >16 units in the FSHD2 patient population[14] it might suggest that DUX4 expression levels in tissue culture may not necessarily reflect the levels *in vivo* and be predictive for pathogenicity.

The clinical diagnosis of FSHD in individuals with 18p- may be challenging because of the presence of additional signs and symptoms related to 18p- syndrome. In particular, the presence of extra-ocular muscle involvement and dysmorphic features are confounding and may suggest other diagnosis. In family Rf1731 we identified a deletion on 18p that is almost identical to the deletions reported earlier in two classical FSHD2 families, affecting the same five genes on 18p. While clinically different, the main genetic difference between Rf1731 and the earlier reported FSHD2 families is the duplication of 150kb adjacent sequence in Rf1731 affecting exons 5, 6 and 7 of *DLGAP1* and two antisense transcripts *DLGAP1-AS1* and *DLGAP1-AS2*. The database of Genomic Variants lists 3 large duplications (nsv1059696[25], dgv184n21[26] and esv2758710[27], Supplemental figure 1B) that partially overlap with this region. These variants were found in studies performed in the control population and predicts that this duplication represents a variant without clinical significance. Although *DLGAP1* is part of the postsynaptic scaffold and has been suggested to associate with obsessive-compulsive disorder[28], its role in the neurological disease aspects of this family remains enigmatic. Considering the clinical variability in FSHD and 18p- syndrome, each representing conditions in which the clinical variability may vary from non- or minimally affected to severe in individuals carrying the same genetic defect, it is perhaps not surprising that almost identical deletions at 18p may result in a pure FSHD phenotype or a combination of clinical features of FSHD and 18p-syndrome. Alternatively, since SNP array analysis cannot determine the genomic location of the 150kb duplication, it is possible that this duplication has integrated somewhere else in the genome and thereby disrupting or altering the expression of unknown loci.

In summary, our study highlights the complex and variable clinical outcome of *SMCHD1* haploinsufficiency and supports the conclusion that people with 18pdeletions should

routinely be genetically characterized for their D4Z4 repeat size and haplotype and monitored for clinical features of FSHD.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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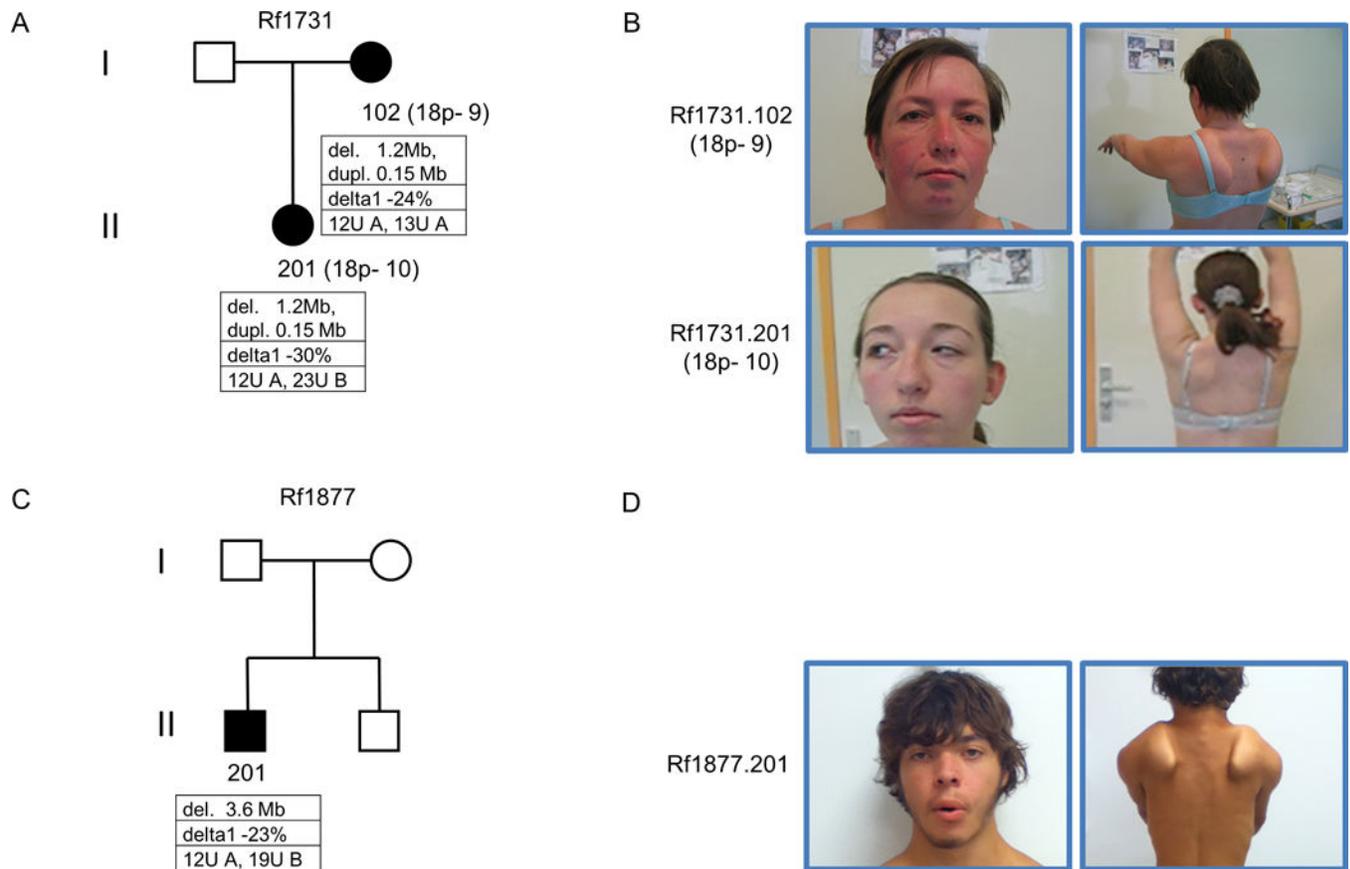
This study was supported with grants from the US National Institutes of Health (NIH) (National Institute of Neurological Disorders and Stroke (NINDS) P01NS069539, and National Institute of Arthritis and Musculoskeletal and Skin Diseases (NIAMS) R01AR045203 and R01AR066248, the Prinses Beatrix Spierfonds (W.OP14-01 and W.OR14-04), and Spieren voor Spieren.

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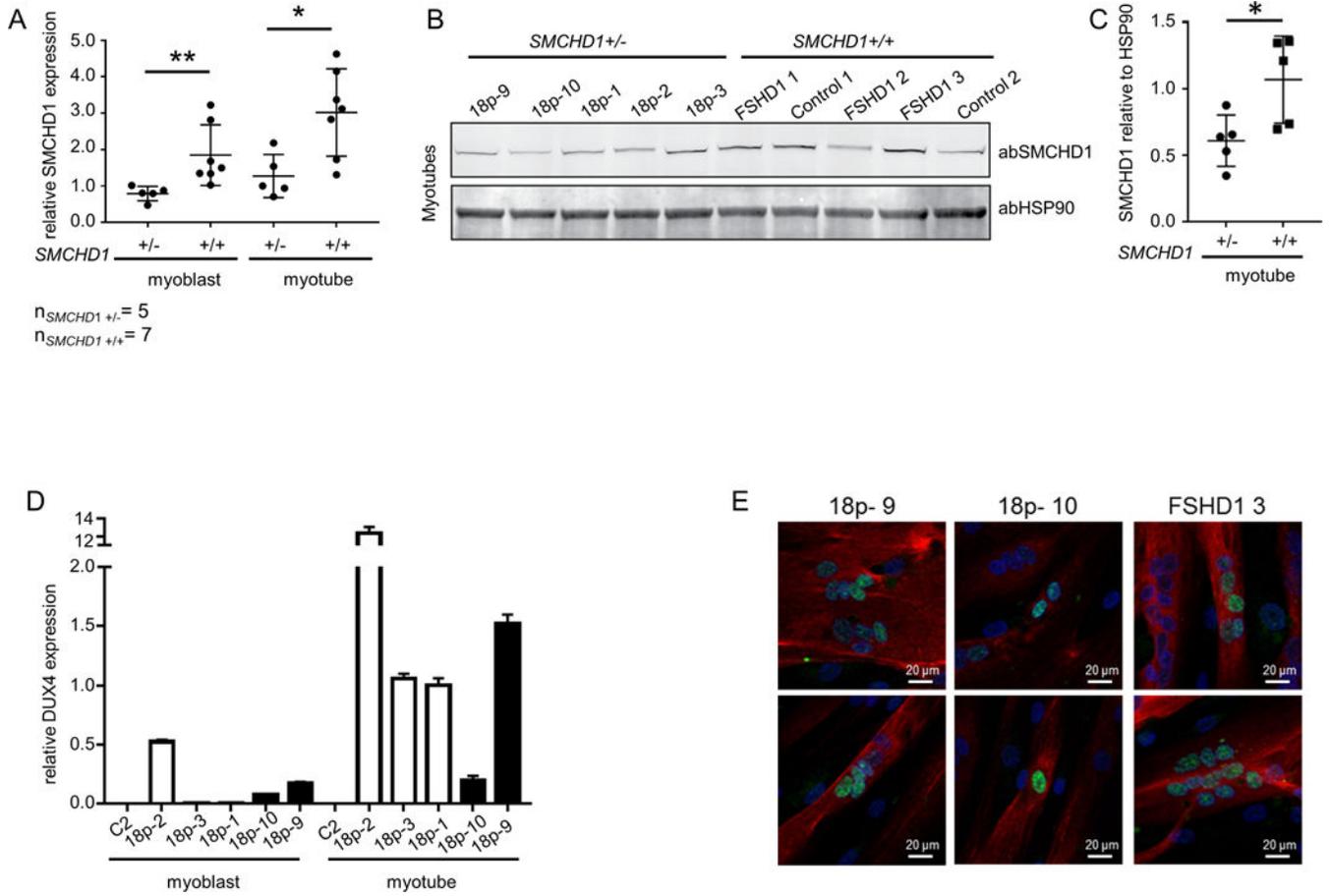
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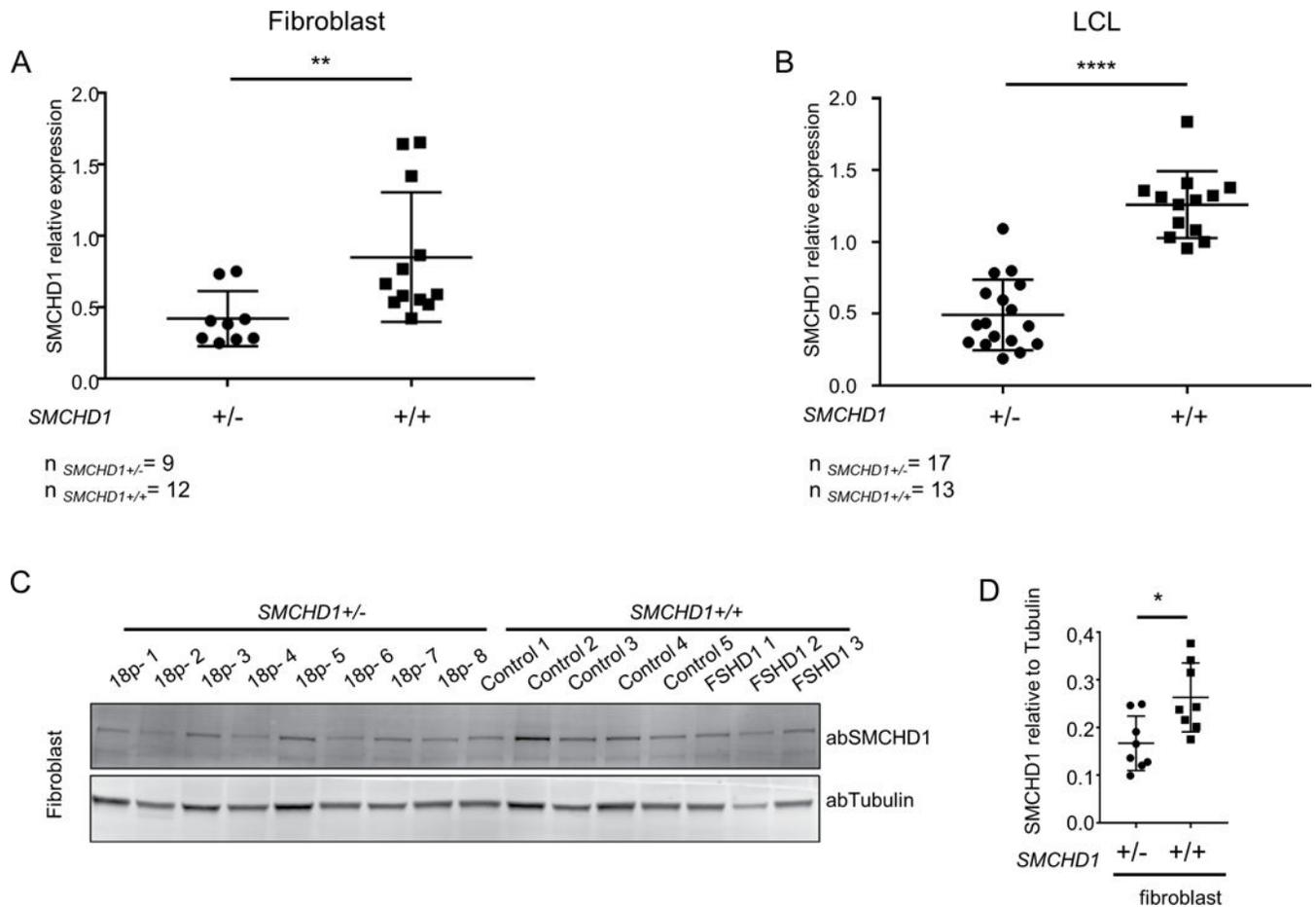
**Figure 1. Combined 18p- and FSHD phenotype in two independent families**

Pedigrees of families Rf1731 (A) and Rf1877 (C). Individuals with a phenotypic combination of 18p- syndrome and FSHD are marked with filled symbols. Below each individual, the size of 18p deletion or duplication is shown, followed by the delta1 values reflecting DNA methylation levels at D4Z4 and the number of D4Z4 units on both chromosomes 4 as well as chromosomal background (A: 4qA FSHD permissive; B: 4qB non-permissive) are depicted. Images of Rf1731.102 and Rf1731.201 (B) and Rf1877.201 (D) highlight facial characteristics compatible with 18p- syndrome, including ocular ptosis, strabismus, low-set ears. Right images show the presence of bilateral winging scapula which are characteristic of FSHD and is more pronounced on Rf1731.102 and Rf1877.201.

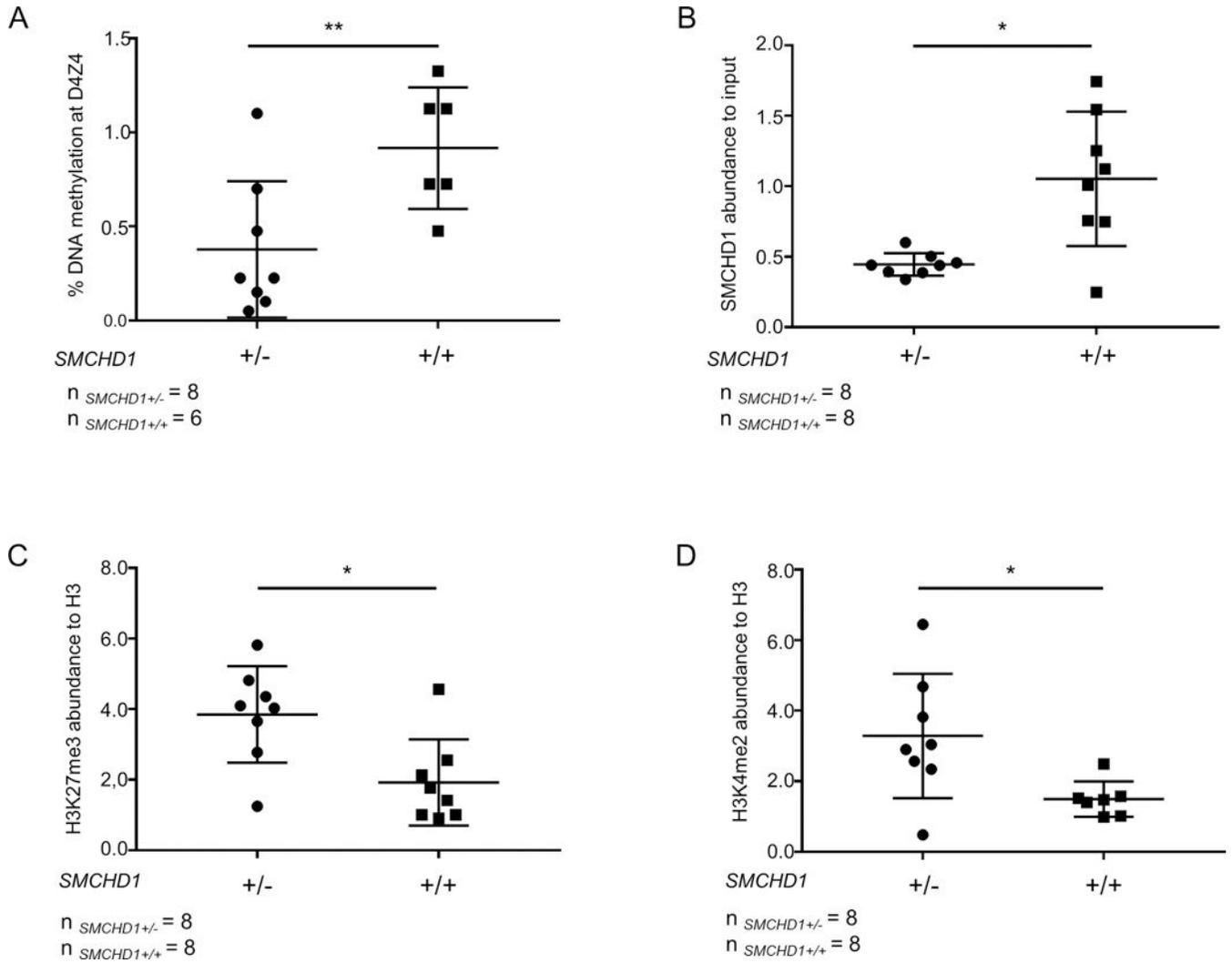


**Figure 2. Primary myoblasts originating from 18p- individuals presenting with a combined 18p- and FSHD phenotype express DUX4**

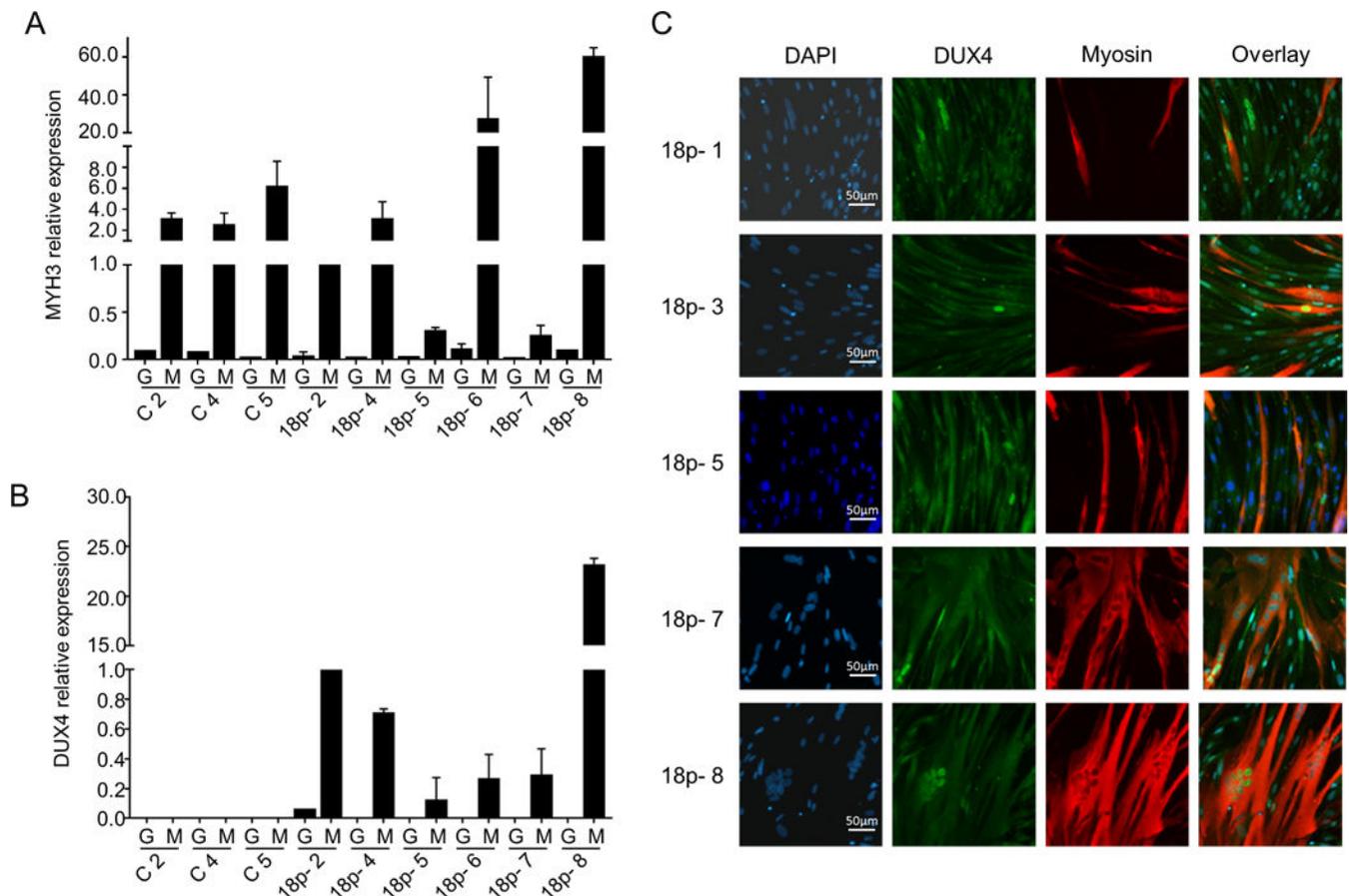
(A) Relative expression of *SMCHD1* normalized to *GUSB* in control and 18p- myoblasts and myotubes. Values of 18p- 3 were set to 1. (B) Western blot analysis of *SMCHD1* and HSP90 in myotubes having one or two copies of *SMCHD1*. (C) Quantification of western blot signals by normalizing *SMCHD1* signal intensities to HSP90 signal intensities. *P* value was calculated by unpaired *t*-test followed by Mann-Whitney test. \* represents  $p < 0.05$  and \*\* represents  $p < 0.01$ . (D) Expression levels of *DUX4* normalized to *GUSB* in undifferentiated and differentiated primary myoblast cultures originating from control, from 3 patients with FSHD phenotype and a microdeletion on chromosome 18p without presenting any 18p- phenotype (open bars) and from 18p- 9 and 18p- 10 (solid black bars). (E) *DUX4* (green signal) immunofluorescence analysis in differentiated myotubes with myosin (red signal) as differentiation marker in primary myotubes originating from 18p- 9, 18p- 10 and FSHD1 3. High content screening was performed using a Nikon confocal microscope at 20× magnification and 2 representative images are shown for every cell culture.



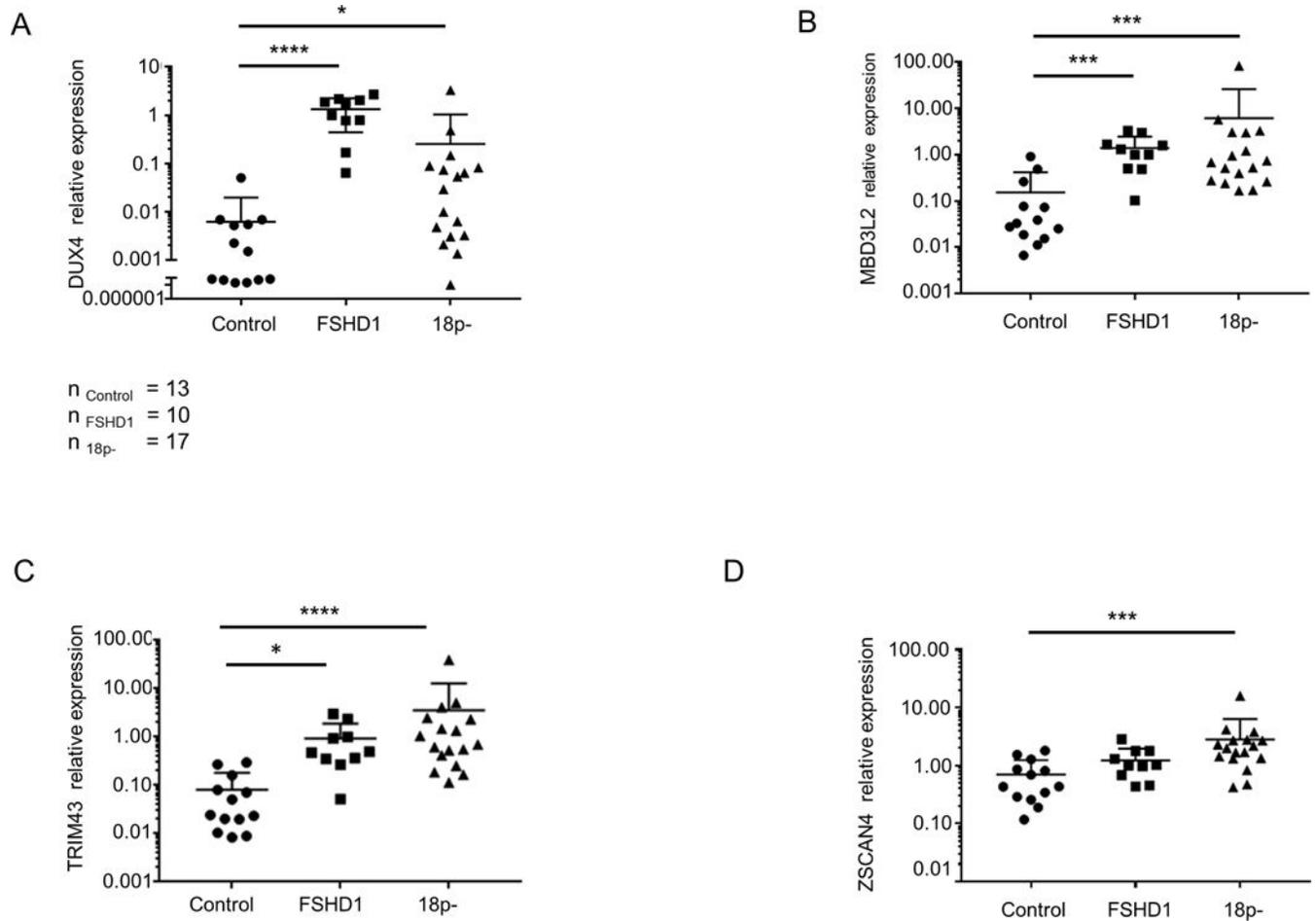
**Figure 3.** Hemizyosity of *SMCHD1* is associated with reduced *SMCHD1* transcript and protein levels in different tissues. *SMCHD1* transcript levels determined by qRT-PCR and normalized to *GUSB* in primary fibroblasts (A) and LCLs (B). (C) *SMCHD1* protein levels determined by western blot analysis in primary fibroblasts. (D) Intensity of *SMCHD1* signal shown on panel C was normalized to Tubulin and statistical analysis of normalized values are shown. Scatter plots show mean and standard error of the mean. Statistical analysis was done by unpaired *t*-test followed Mann-Whitney test. \* represents  $p < 0.05$  (D), \*\* represents  $p < 0.01$  (A) and \*\*\*\* represents  $p < 0.0001$  (B).



**Figure 4. The D4Z4 chromatin landscape is similar in FSHD2 and 18p- syndrome fibroblasts**  
 (A) DNA methylation at D4Z4 in control and 18p- fibroblasts (n=6 control and n=8 18p-) is shown as percentage methylation at the FseI site. (B) SMCHD1 levels at D4Z4 measured by ChIP-qPCR in control and 18p- primary fibroblasts. SMCHD1 enrichment values are shown as normalized to input and enrichment values of control 1 sample were set to 1. H3K27me3 (C) and H3K4me2 (D) levels at D4Z4 in the same samples shown in panel B. Histone modification levels are normalized to H3 and enrichment values of control 1 were set to 1. Statistical analysis was done by unpaired *t*-test followed Mann-Whitney test. \* represents *P*<0.05 (B, C, D), \*\* represents *P*<0.01 (A).

**Figure 5.**

18p- fibroblasts express DUX4 after myogenic transdifferentiation. (A and B) Relative expression of MYH3 and DUX4 in MyoD (M) or GFP (G) transduced control (C) and 18p-primary fibroblasts (18p-). Expression values were normalized to GUSB and value of 18p-2 was set to one. Bar diagrams show the mean and SD of 2 independent experiments. (C) Representative images of DUX4 protein detection by immunofluorescence staining at 20× magnification. Cells were stained with DAPI (nuclei; blue), DUX4 (green), and myogenic differentiation marker MYH1E (red) High content screening was performed by CellomicsArrayscan@VTI HCS instrument at 20× magnification and representative images are shown.



**Figure 6.** DUX4 and DUX4 target gene expression in control, 18p- and FSHD1 LCLs. Relative expression of *DUX4* (A) and DUX4 targets *TRIM43* (B), *ZSCAN4* (C), and *MBD3L2* (D) in a cohort of control, FSHD1 and 18p- LCLs. Scatter plots show expression levels normalized to *GUSB* and relative expression level of FSHD1–2 was set to 1. Statistical analysis was done by one way ANOVA followed by Kruskal-Wallis test. \* represents  $p < 0.05$  (A, B), \*\*\* represents  $p < 0.01$  (C, D) and \*\*\*\* represents  $p < 0.0001$  (A, B).