Diagnostic value of targeted next-generation sequencing in patients with suspected pancreatic or periampullary cancer

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Abstract

Aims Radiological imaging and morphological assessment of cytology material have limitations for preoperative classification of pancreatic or periampullary lesions, often resulting in surgical resection without definitive diagnosis. Our prospective study aims to define the diagnostic value of targeted next-generation sequencing (NGS) of DNA from cytology material. **Methods** Patients with a suspect pancreatic or periampullary lesion underwent standard diagnostic evaluation including preoperative morphological cytology assessment. Treatment options for suspect lesions were surgical exploration with possible resection, follow-up or palliation. The cytology samples were analysed with NGS, in which 50 genes were sequenced for the presence of pathogenic variants. The NGS results were integrated with the clinical information during multidisciplinary team meetings, and changes in the treatment plan were scored. Diagnostic accuracy of NGS analysis (malignancy vs benign disease) was calculated.

Results NGS results of the cytology samples were confirmed in the resection specimens of the first 10 included patients. The integration of the NGS results led to a change in treatment plan in 7 out of 70 patients (from exploration to follow-up, n=4; from follow-up to exploration and resection, n=2; from palliation to resection, $n=1$). In four patients, the NGS results were contradictory, but did not affect the treatment plan. In the remaining 59 patients, NGS analysis supported the initial treatment plan. The diagnostic accuracy of NGS analysis was 94% (sensitivity=93%; specificity=100%). **Conclusions** NGS can change the treatment plan in a significant portion of patients with suspect pancreatic or periampullary lesions. Application of NGS can optimise treatment selection and diminish unnecessary surgeries.

Introduction

For patients with pancreatic ductal adenocarcinoma (PDAC), surgery is currently the only option to achieve long-term survival. In 5%–11% of pancreatic resections for a clinically presumed malignancy, a benign lesion is found, resulting in unnecessary morbidity and mortality for these patients. $1²$ Autoimmune pancreatitis, for example, can mimic the clinical signs of PDAC. 3 Therefore, an accurate distinction of (pre-)neoplastic lesions from non-malignant lesions would significantly

improve the identification of patients who require surgery. Currently, the treatment plan for patients with a suspicion of PDAC or other periampullary tumours is mainly based on radiological imaging, the morphological analysis of preoperative cytology and clinical judgement. However, current imaging techniques are significantly limited in differentiating between PDAC and inflammation, benign lesions or preneoplastic lesions.⁴⁻⁶ Endoscopic ultrasound-guided fine needle aspiration (EUS FNA) and biliary brush can be performed to obtain a preoperative pathological diagnosis. However, discriminating between reactive atypia due to inflammation and (pre-)neoplastic dysplasia remains challenging, and classifying the grade of dysplasia and the presence of invasion is often not possible.⁷⁻⁹ Therefore, false or inconclusive results occur in 12%–33% of the cases and are mainly caused by sampling error, suboptimal sample quality, low cellular yield and the presence of an intense desmoplastic stromal reaction.[10–14](#page-6-4) Additional immunohistochemistry testing of blocked FNA material can aid in further characterising suspicious lesions.¹⁵ However, FNA is in many cases not sufficiently diagnostic. Altogether, the accuracy of diagnostic procedures for suspect PDAC should be improved.

Targeted next-generation sequencing (NGS)^{[16](#page-6-6)} of FNA-derived DNA samples might be useful in distinguishing benign from malignant lesions. The advantage of targeted NGS is that only a limited quantity of material is required for ultra-deep DNA sequencing with NGS panels targeting hotspot gene variants. Even analysis of samples containing as little as 100 cancer cells or DNA obtained from formalin-fixed paraffin-embedded (FFPE) tissue can be done. 917 The mutational landscape of PDAC was previously described and updated by Waddell *et al*. [18](#page-6-8) Gene variants identified in PDAC mainly include *KRAS*, *TP53*, *CDKN2A* and *SMAD4*, but also variants in *ARID1A*, *ROBO2*, *BRCA1*, *BRCA2* and *PALB1* and focal gene amplifications in *ERBB2*, *MET*, *FGFR1*, *CDK6*, *PIK3R3* and *PIK3CA.*

The aim of this prospective cohort study was to determine the diagnostic value of targeted NGS DNA analysis of preoperative cytology material of patients with a suspect malignancy of the pancreas or periampullary region.

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Methods Patients

Consecutive patients with a suspicious lesion in the pancreas or periampullary region and with diagnostic material (EUS FNA or brushes) were included in this study between January and August 2016. Because of the indication for diagnostic material, the a priori chance of pancreatic or periampullary cancer was increased in these patients. All the patients were discussed during the multidisciplinary pancreatic cancer team (MDT) meeting at the Leiden University Medical Center (LUMC). The preoperative samples were assessed for routine pathological work-up and analysed using targeted NGS. Targeted NGS analysis for primary diagnostic and companion diagnostic stratification of human cancer is fully implemented in the Department of Pathology of the LUMC. Therefore, the prospective analysis of cytology and biopsy samples was performed within the framework of routine clinical care. All patient samples and clinical data were handled in accordance with the medical ethics guidelines described in the Code of Conduct for the Proper Secondary Use of Human Tissue of the Dutch Federation of Biomedical Scientific Societies.¹⁹ For this manuscript, patient data were anonymised.

First, the feasibility and reproducibility of the NGS analysis were tested. The NGS results of the FNA or brush were compared with the NGS results of the resected specimen. For this purpose, the first 10 patients who underwent a resection were included in the 'initial cohort'. Subsequently, 60 patients were included in the 'additional cohort' to investigate the diagnostic value of NGS of FNA or brush material.

Conventional EUS-FNA analysis

An experienced gastroenterologist performed the FNA during EUS or brush during endoscopic retrograde cholangiopancreatography. In challenging cases, a pathologist was present during the procedure, checking the quality and representativeness of the sample. The cytology samples acquired with either FNA or brush were morphologically assessed by an experienced pathologist (HM, AFS) and reported in four categories: (1) no conclusion, (2) atypia/inflammation, (3) low-grade dysplasia (LGD) and (4) at least high-grade dysplasia (HGD).

Selection of tumour cells, DNA isolation and targeted NGS

The method of selection of tumour cells, DNA isolation and the NGS analysis was previously described.²⁰ In short, a fully automated DNA extraction procedure was used to isolate DNA from FNA-derived material and FFPE (possible) malignant tissue.^{[21](#page-6-11)} The AmpliSeq Cancer Hotspot Panel V.2 (Thermo Fisher Scientific, MA, USA) consists of a single primer pool and is designed to detect somatic cancer hotspot mutations in 200 amplicons covering 50 genes.

The minimum coverage threshold is 100 reads target, although in real practice the coverage is way higher. Minimum variant allele frequencies in molecular diagnostics are automatically set at 10% of all reads. All variants under 10% are visually inspected in the program Integrated Genomics Viewer (IGV, [http://soft](http://software.broadinstitute.org/software/igv/)[ware.broadinstitute.org/software/igv/](http://software.broadinstitute.org/software/igv/)) and assessed for validity in the context of tumour cell percentages. Variants appearing in both read directions have more chance to be considered as valid. Especially in the current study, low tumour cell percentages is an issue. The identification of false positivity of low frequent C>T transitions in FFPE material can be a challenge. However, due to fixation of the cytological material in methanol and not in formalin, false positivity of low level and aberrant C>T transitions is not (often) seen. The reliability of low frequent

individual variants increases once additional pathogenic variants are seen in other genes with similar read on target frequencies.

Bioinformatic analysis of amplifications of *ERBB2*, *MET*, *FGFR1* and *PIK3CA* is also standardly performed on the cytological material, but the results are not reliably due to low tumour cell percentages. Variants were analysed using the Geneticist Assistant NGS Interpretative Workbench (V.1.1.8; SoftGenetics, State College, Pennsylvania, USA). The identified variants were classified into five classes, and only class 4 (likely pathogenic) and class 5 (pathogenic) variants were reported, using a threetiered molecular evaluation.²² All identified pathogenic variants were included in the classification as follows: if no gene variant(s) were identified, it was reported as 'no molecular support for dysplasia'; the sole finding of a *KRAS or GNAS* class 4 or 5 gene variant as 'molecularly at least low-grade dysplasia (LGD)'; and more than one class 4 or 5 gene variant, for example, a combination of *KRAS*, *PTEN*, *ATM*, *CDKN2A* or *APC*, or a single *TP53* or *SMAD4* variant as 'molecularly at least high-grade dysplasia (HGD)'.²³

Treatment plan

The MDT proposed an individual treatment plan based on the clinical presentation (including blood results for tumour markers), radiological assessment and morphological assessment of cytology. Subsequently, the NGS results were integrated during the consecutive meeting and the treatment plan could be changed, confirmed or not altered.

The following treatment plans were considered in case of a malignancy: exploration, potentially followed by resection of the tumour, or palliation (chemotherapy, bypass surgery, stent placement or a combination) in case of a metastatic or irresectable disease. The treatment plan was follow-up, including clinical and radiological evaluation every 3months, in case of pancreatitis or another benign lesion. All patients were monitored for a follow-up period longer than 6months. The decision scheme is shown in [figure](#page-2-0) 1.

Final diagnosis

Final diagnosis was defined as malignant or benign disease based on definitive pathological assessment of resected specimen or on the MDT opinion after 6months of follow-up (based on the course of disease or, if available, repeated imaging). Malignant was defined as a carcinoma of the pancreas, ampulla of Vater, distal choledochus or duodenum, and also in case of a malignant intraductal papillary mucinous neoplasm (IPMN). Diagnostic accuracy was calculated, as were sensitivity and specificity for morphological assessment of cytology and NGS, using final diagnosis as a reference.

Results

Patient cohorts

DNA of 70 patients with preoperative samples, either FNA $(n=50)$ or cytological brushes $(n=20)$, were analysed with targeted NGS of isolated DNA [\(table](#page-3-0) 1).

The NGS results of the FNA or brush of the 10 patients of the 'initial cohort' were completely identical with the NGS results of the matching resection material (online [supplementary table](https://dx.doi.org/10.1136/jclinpath-2017-204607) [1,](https://dx.doi.org/10.1136/jclinpath-2017-204607) patients 1–10). In all cases of the 'initial cohort', NGS identified a pathogenic *KRAS* variant, and in 9 out of the 10 cases, additional pathogenic variants were identified, mostly *TP53*. NGS was additionally performed on the preoperative cytological material of another 60 patients with pancreatic lesions (the

Figure 1 Decision scheme of the study. FNA, fine needle aspiration; NGS, next-generation sequencing.

'additional cohort'). The results of both cohorts were combined for further evaluation.

Morphological and NGS assessment of cytological material

NGS analysis was successfully performed in all patients. In 56 patients (80%), 118 pathogenic variants were identified ([figure](#page-4-0) 2). As expected, *KRAS* was the most prevalent gene variant and was seen in the cytological DNA of 50 patients. *TP53* and *SMAD4* class 4 and 5 pathogenic variants were seen in 34 and 12 patients, respectively. Other identified pathogenic variants were *CDKN2A*, *GNAS*, *ATM*, *APC*, *BRAF*, *PTEN*, *CTNNB1* and *PTPN11.* No pathogenic variants were identified in the cytological material of 14 patients.

The morphological assessment of the cytological material was compared with the molecular NGS data ([table](#page-4-1) 2), which showed that 33 cases (47%) were scored differently.

In nine cases, the results were completely different: FNA of one patient could not be assessed morphologically (due to an insufficient amount of material) and showed at least HGD with NGS; in six patients, morphological assessment was atypia, while NGS showed at least HGD. In two patients, morphological assessment was HGD/malignancy while no pathogenic variants

AIP, autoimmune pancreatitis; CA19.9, cancer antigen 19.9; CEA, carcinoembryonic antigen; FNA, fine needle aspiration; HGD, high-grade dysplasia; IPMN, intraductal papillary mucinous neoplasm; LGD, low-grade dysplasia; PDAC, pancreatic ductal adenocarcinoma; PET, positron emission tomography; SPEN, solid pseudopapillary tumour.

were identified with NGS. In the other 24 discordant cases, the assessments were one category different from each other.

Changes in treatment plan

Due to the integration of the NGS results, the initial treatment plan was changed in 7 of the 70 patients (10%; [table](#page-5-0) 3; online supplementary [table 1,](https://dx.doi.org/10.1136/jclinpath-2017-204607) patients 1, 11–16).

Four of these seven patients (online [supplementary table 1](https://dx.doi.org/10.1136/jclinpath-2017-204607), patients 11–14) were evaluated for suspected PDAC and planned for exploration with possible resection. NGS analysis revealed no class 4 or 5 gene variants in the cytological DNAs. Due to

the absence of unequivocal PDAC on the basis of clinical and radiological evaluation, the initial surgical plan was waived and stringent follow-up was instigated. During the follow-up period, two of the four patients (patients 11 and 12) were finally diagnosed with IgG4-mediated disease, one with an autoimmune pancreatitis (patient 13) and one with a non-specific pancreatitis (patient 14). Conversely, the initial treatment plan was follow-up for two of the seven patients (patients 15, 16), which was changed to exploration with possible resection due to multiple pathogenic variants identified with NGS. NGS results revealed two pathogenic *KRAS* variants and one pathogenic *GNAS* variant

Figure 2 All gene variants detected in the 70 included patients.

(patient 15), and a pathogenic *KRAS* and a pathogenic *TP53* variant in the second patient (patient 16). Patient 15 underwent exploration followed by resection, and pathological assessment of the resected specimen revealed PDAC. Patient 16 rapidly presented with liver metastases after the first diagnosis and could only receive palliative treatment. The final patient (patient 1) for whom the treatment plan was changed due to the NGS results is previously described. 20 This patient was thought to have a local recurrence after a previous pylorus preserving pancreatectomy for PDAC. By comparing the NGS results of the FNA of the suspected recurrence with the NGS results of the resected specimen, this patient turned out to have a second primary tumour on the basis of a genetic predisposition for PDAC. Instead of palliation, the remnant of the pancreas was resected. For the 63 remaining patients, the treatment plan was not altered due to the NGS results. The NGS results were supportive in 60 of the 63 patients. In three patients, the NGS results were conflicting, but did not change the initial treatment plan. These patients are discussed below.

Final treatment and final diagnosis

NGS results were in line with the final diagnosis in 66 of the 70 patients ([table](#page-3-0) 1). No pathogenic variants were identified in four patients with discordant NGS results, although these patients were finally diagnosed with a malignancy. In three out

Severe discordance in assessment is highlighted in bold.

HGD, high-grade dysplasia; LGD, low-grade dysplasia; NGS, next-generation sequencing.

of four patients with negative NGS results, the treatment plan was not influenced (patients 17–19) because the clinical and radiological evaluation were too suspect to refrain from surgery. In patient 17, the FNA was morphologically assessed as LGD. The cause of the negative NGS result was probably sampling error as the resected specimen revealed a PDAC with a diameter of only 5mm. Additional NGS analysis on the resected specimen revealed a *KRAS* and a *TP53* pathogenic variant. For patient 18, the MDT decision was challenging due to conflicting results (imaging suggestive for pancreatitis, a cancer antigen 19.9 (CA19.9) of 855 and FNA morphological assessed as LGD, NGS identified no pathogenic variants). After 3 months of follow-up, it was decided to operate on the patient because of a significant rise of CA19.9 level. During surgery, biopsies were taken from the omentum, suspect lymph nodes and the mesenterium of the colon; all were positive for malignancy and subsequently NGS analysis revealed *KRAS* and *TP53* pathogenic variants. For patient 19, the ductus choledochus brush was morphologically assessed as normal and no pathogenic variants were detected with NGS. However, the initial treatment plan of exploration was maintained because of high suspicion of malignancy and a likely sample error. Eventually, the patient was unfit for surgery and a subsequent scan suggested development of an irresectable distal cholangiocarcinoma. Patient 20 was initially diagnosed with autoimmune pancreatitis based on clinical presentation, radiological evaluation and a morphological assessment of the FNA suggestive for pancreatitis and atypia due to inflammation. Additionally, with NGS no pathogenic variants were identified. Morphological assessment of a subsequent brush of the ductus choledochus showed acute inflammation, atypia and normal ductal epithelia. Moreover, at first the patient demonstrated a decline of CA19.9 level, but after 3months, a significant rise of CA19.9 level was observed. The CT scan that was made 3months later was suspicious for liver metastases, which were biopsied and pathologically confirmed. NGS analysis of the liver metastasis biopsy revealed *KRAS*, *SMAD4* and *TP53* pathogenic variants.

Altogether, in this study, the diagnostic accuracy of NGS analysis was 94%. Sensitivity and specificity of NGS analysis were 93% and 100%, respectively (online [supplementary](https://dx.doi.org/10.1136/jclinpath-2017-204607) [table 2\)](https://dx.doi.org/10.1136/jclinpath-2017-204607). When the NGS results are combined with the radiological, cytological and clinical evaluation, the sensitivity was 98%. Depending on whether the LGD category is deemed as true malignant or true benign, the sensitivity and specificity of the morphological assessment of the cytology were between 58%–81%and 73%–82%, respectively (online [supplemen](https://dx.doi.org/10.1136/jclinpath-2017-204607)[tary table 3](https://dx.doi.org/10.1136/jclinpath-2017-204607)). The cytology material of 34% of the patients was first assessed in a referring hospital. There was a difference in morphological assessments between the referring hospitals and the LUMC in 47%.

The number of identified pathogenic variants per patient in relation to the final diagnosis is shown in [figure](#page-5-1) 3. A higher number of pathogenic variants were associated to a more advanced disease.

Discussion

This study underlines the added value of NGS analysis of DNA of patients with suspect pancreatic and periampullary tumours during the multidisciplinary diagnostic decision making of these patients. Although promising, NGS results should be carefully weighed in the MDT discussions as sampling errors during FNA or brush procedures can occur, potentially leading to false-negative results.

	Treatment plan with addition of NGS results			
	Follow up	Resection/exploration	Palliation	Total
Treatment plan based on standard MDT meeting				
Follow-up				10
Resection/exploration		44		49
Palliation				
Total		47		70

Table 3 Cross table of the treatment plan proposed based on the standard multidisciplinary team (MDT) meeting compared with the treatment plan proposed after the next-generation sequencing (NGS) results were included (changes in treatment plan are highlighted)

Our results are consistent with other reports using diagnostic applications of in-depth molecular analyses. For example, recent studies showed that molecular genetic analysis of cystic fluid could aid the preoperative classification of cystic neoplasm of the pancreas and that the discrimination of serous from mucinous cystic pancreatic lesions could be improved.^{24 25} NGS analysis of DNA was used to increase the accuracy of classifying pancreatic cystic neoplasm as either benign or premalignant lesions. In addition, NGS analysis of DNA has also been used to identify actionable molecular targets for on-label or off-label targeted systemic therapies in patients with advanced PDAC.^{[26 27](#page-6-15)} A recent study of Gleeson *et al*²⁸ in which EUS-derived FNA samples of patients with PDAC were analysed with NGS suggests that NGS analysis could be useful for the development of future biomarker-driven therapeutic innovations.

In our study, a focused gene panel was used that targets the mutation hotspot regions of 50 genes. This is a commercial available panel; therefore, not all genes that are sequenced are of value for this cohort. This panel can be expanded for other diagnostic or therapeutic purposes. In the previously mentioned study of Gleeson *et al*, a comprehensive cancer panel of 160 genes was used; thereby, an overview of the multigene mutational landscape of PDAC was acquired. This panel can also be used for other diagnostic and therapeutic purposes because additional informative gene targets are included. However, by using large gene panels, cytology slides with a low percentage of tumour cells in a background of benign cells might have to be excluded from

Figure 3 Number of pathogenic variants identified in the patients in relation to the final diagnosis. In four cases, the results were false negative. One patient had a *KRAS* pathogenic variant (frequency of 3%) and was diagnosed with pancreatitis. Two patients with an IPMN had a *KRAS* variant; one patient with an IPMN had a *KRAS* and a *GNAS* variant, and these IPMNs did not progress towards malignancy. IPMN, intraductal papillary mucinous neoplasm; PA, pathological; PDAC, pancreatic ductal adenocarcinoma.

analysis. In our 50-gene panel, we could reliably include cytology slides with only 2%–5%dispersedly positioned tumour cells, as previously described. Additional methods to increase the ability to identify gene variants and to stratify for structural variants such as focal gene amplifications and/or deletions might be the use of enrichment techniques such as microfluidic cell sorting.²⁹

In theory, FNA samples with low numbers of lesional cells can lead to false-positive results due to amplification of PCR artefacts. For that reason, molecular barcoding is advocated in which individual DNA molecules are flagged prior to amplifi-cation, thereby helping to recognise PCR artefacts.^{[30](#page-6-18)} However, a disadvantage of molecular barcoding is that relatively higher input DNA is required. Furthermore, due to a prior fixation step with only methanol, preoperative FNA-derived DNA is of far better quality than DNA isolated from FFPE tissue. In the latter, C>T transitions can be potentially seen leading to false-positive variant calling results. Our results now suggest that false positivity might not be an issue in FNA-derived DNA when looking at hotspot gene regions for pathogenic variants.

NGS is able to provide valuable molecular information about suspect lesions, also if radiology, cytology and clinical results are inconclusive. In our evaluation, the NGS results changed the treatment plan in 10% of patients. NGS had a sensitivity of 93% and a specificity of 100%, significantly higher than morphological assessment. Of course, the correctness of morphological assessment is dependent on the pathologist; specialised pancreatic cancer pathologists are required. Over time, NGS is increasingly performed during the diagnostic process of patients with suspect pancreatic lesions at our centre, and clinicians increasingly rely on the results to confirm their working diagnosis. NGS analysis can support the latter resulting in more certainty and confidence for both patients and clinicians. Therefore, NGS analysis might be of even more value than expressed by numbers and percentages in this study.

The unique key point of this study is the implementation of NGS analysis during MDT meetings. To make sure the MDT could rely on the results, the accuracy of NGS analysis had to be determined. Therefore, the cytology material of 70 consecutive patients, representing a real patient population with different types of benign and malignant lesions and with both brushes and FNA-derived cytology material, was analysed. Potentially, NGS analysis should only be used in selected cases, for example when clinical, radiological and cytological results are contradictory. In such a case, a supportive diagnosis by NGS can be of paramount importance since neoadjuvant therapy followed by surgery is increasingly performed.

In conclusion, the present study demonstrates that performing NGS on DNA obtained from suspect pancreatic or periampullary lesions can improve patient care and possibly patient outcome.

Original article

Take home message

- ► Due to suboptimal preoperative cytology assessment of suspected pancreatic cancer lesions, surgical resections are performed for benign conditions in 10% of the patients.
- Targeted next-generation sequencing (NGS) is sensitive and requires limited amounts of material.
- ► NGS is of added value in the diagnostic process of patients with suspect pancreatic cancer and can influence initial treatment plan choices.

Correction notice This article has been corrected since it was published Online First. Babs G Sibinga Mulder and Arantza Farina Sarasqueta surnames were corrected.

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Contributors HJMM has initiated and coordinated the study and edited the manuscript. BGSM wrote the initial draft. JSDM, HJMH and TW contributed to the study concept and design and critically revised the manuscript. R-JS, SACL, SF, AI, ALV and BAB are the clinicians who are part of the multidisciplinary team. AFS and HFAV critically revised the manuscript. All authors have read and approved the final version of the manuscript.

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Competing interests None declared.

Ethics approval All patient samples and clinical data were handled in accordance with the medical ethics guidelines described in the Code of Conduct for the Proper Secondary Use of Human Tissue of the Dutch Federation of Biomedical Scientific Societies.

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