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### **Citation**

Gerritsen, L., Milaneschi, Y., Vinkers, C. H., Hemert, A. M. van, Velzen, L. van, Schmaal, L., & Penninx, B. W. J. H. (2017). HPA Axis Genes, and Their Interaction with Childhood Maltreatment, are Related to Cortisol Levels and Stress-Related Phenotypes. *Neuropsychopharmacology*, 42(12), 2446-2455. doi:10.1038/npp.2017.118

Version: Not Applicable (or Unknown)

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**Note:** To cite this publication please use the final published version (if applicable).

# HPA Axis Genes, and Their Interaction with Childhood Maltreatment, are Related to Cortisol Levels and Stress-Related Phenotypes

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Stress responses are controlled by the hypothalamus pituitary adrenal (HPA)-axis and maladaptive stress responses are associated with the onset and maintenance of stress-related disorders such as major depressive disorder (MDD). Genes that play a role in the HPA-axis regulation may likely contribute to the relation between relevant neurobiological substrates and stress-related disorders. Therefore, we performed gene-wide analyses for 30 *a priori* literature-based genes involved in HPA-axis regulation in 2014 subjects (34% male; mean age: 42.5) to study the relations with lifetime MDD diagnosis, cortisol awakening response, and dexamethasone suppression test (DST) levels (subsample  $N = 1472$ ) and hippocampal and amygdala volume (3T MR images; subsample  $N = 225$ ). Additionally, gene by childhood maltreatment (CM) interactions were investigated. Gene-wide significant results were found for dexamethasone suppression (*CYP11A1*, *CYP17A1*, *POU1F1*, *AKR1D1*), hippocampal volume (*CYP17A1*, *CYP11A1*, *HSD3B2*, *PROPI*, *AVPRA1*, *SRD5A1*), amygdala volume (*POMC*, *CRH*, *HSD3B2*), and lifetime MDD diagnosis (*FKBP5* and *CRH*), all permutation  $p$ -values  $< 0.05$ . Interactions with CM were found for several genes; the strongest interactions were found for *NR3C2*, where the minor allele of SNP rs17581262 was related to smaller hippocampal volume, smaller amygdala volume, higher DST levels, and higher odds of MDD diagnosis only in participants with CM. As hypothesized, several HPA-axis genes are associated with stress-related endophenotypes including cortisol response and reduced brain volumes. Furthermore, we found a pleiotropic interaction between CM and the mineralocorticoid receptor gene, suggesting that this gene plays an important moderating role in stress and stress-related disorders.

*Neuropsychopharmacology* (2017) **42**, 2446–2455; doi:10.1038/npp.2017.118; published online 5 July 2017

## INTRODUCTION

Stress in the form of childhood maltreatment (CM), stressful life events or taking care of a sick child has been related to several detrimental health outcomes (Gouin *et al*, 2012; Steptoe and Kivimaki, 2012), including cardiovascular disease (Steptoe and Kivimaki, 2012), immune disorders (Bauer *et al*, 2009), and neuropsychiatric disorders, among which post-traumatic stress disorder (Liu *et al*, 2017) and major depressive disorder (MDD) (Nelson *et al*, 2017). The human stress response involves a complex succession of signals; when a stressor (either physiological or

psychological) is encountered the hypothalamus releases corticotropin-releasing hormone (CRH) and vasopressin. CRH stimulates the anterior pituitary to release corticotropin and corticotropin activates the adrenal cortex to upregulate the production of glucocorticoids (GCs). Glucocorticoids are the primary stress hormones and their main function is to restore homeostasis following exposure to stress.

A maladaptive stress response or dysregulation of the hypothalamus pituitary adrenal (HPA)-axis is a frequent finding in MDD; a meta-analysis showed that MDD patients overall have higher levels of cortisol (Stetler and Miller, 2011). Further, it has been shown that high cortisol at the start of a psychological intervention is related to poorer outcomes (Fischer *et al*, 2017) and that the dexamethasone/CRH test could serve as a diagnostic test for MDD (Mokhtari *et al*, 2013), suggesting that dysregulation of the HPA-axis in MDD plays a clinically relevant role. Moreover, some studies showed that genetic variation in the GC receptor (GR) and mineralocorticoid receptor (MR) genes play a role in the

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Received 19 December 2016; revised 12 May 2017; accepted 30 May 2017; accepted article preview online 7 June 2017

etiology of MDD as well (Keller *et al.*, 2017; Kuningas *et al.*, 2007), although hypothesis-free genome-wide studies have not yet confirmed a role for these genes (Bond, 2015; Ripke *et al.*, 2013). Additionally, interactions between some HPA-axis genes and exposure to stressors have repeatedly been found to play a role in the onset of MDD, for instance with the MR gene (Vinkers *et al.*, 2015), the GR gene (Bet *et al.*, 2009), and the FKBP5 gene (Zannas and Binder, 2014).

Particularly severe stressors experienced early in life, such as CM increase the risk of MDD onset (Kendler *et al.*, 2004) and early-life stress has also been shown to have long-lasting effects on HPA-axis regulation and the brain (Teicher *et al.*, 2016). Two limbic brain regions that are thought to be vulnerable to early-life stressors are the hippocampus (McEwen, 2007) and the amygdala (Roosendaal *et al.*, 2009). Moreover, in humans smaller hippocampal volume is a consistent finding in MDD and both enlarged and decreased amygdala volumes have been observed in MDD (Kempton *et al.*, 2011; Price and Drevets, 2012; Schmaal *et al.*, 2015; van Eijndhoven *et al.*, 2009).

Given the strong associations of HPA-axis regulation with stress-related disorders, brain structure and function, genes that play a role in HPA-axis regulation seem likely candidates to be investigated further. This current study therefore examines the relation between genetic variation in an literature-based *a priori* selection of 30 HPA-axis genes on one hand and cortisol levels (cortisol awakening response levels, a low-dose dexamethasone suppression test (DST) cortisol levels), brain volumes (amygdala and hippocampus) and clinical MDD diagnosis on the other hand, in a large cohort consisting of patients with MDD and healthy controls. We will do a look-up of the most clear pleiotropic genetic findings (that is, genes or SNPs that affect multiple phenotypes). Additionally, to investigate whether genetic variation in the HPA-axis would specifically play a role in interaction with stressors, we will also examine gene by CM interactions.

We will use gene-based methods, which will enable us to include jointly the total genetic variation, and by doing so we can increase our statistical power (Franke *et al.*, 2010), opposed to looking solely at candidate single nucleotide polymorphisms.

## MATERIAL AND METHODS

### Sample

The Netherlands Study of Depression and Anxiety (NESDA) is a large multicenter cohort study examining the course of depressive and anxiety disorders, including in total 2981 respondents recruited from the community, general practice care, and specialized mental health care. The study sample included persons with depressive or anxiety disorders as well as control subjects without a lifetime psychiatric diagnosis.

For NESDA's objectives and methods see Penninx *et al.* (2008). The research protocol was approved by the ethical committees of the participating universities and all participants provided written informed consent. Genome-wide data were available for 2327 participants (with North European ancestry and consent for genetic research) and these constitute the basic sample size for these analyses.

### Genotyping

Whole blood was collected and DNA extracted as previously described (Boomsma *et al.*, 2008). Genotyping was performed on Affymetrix Human Genome-Wide SNP 6.0 array (Affymetrix, Santa Clara, CA, USA). More details on genotyping can be found in the Supplementary Material.

We selected 30 genes which are known to play a role in HPA-axis regulation (Supplementary Table S1 in Supplementary Material), which were divided into three functional sets: GC biosynthesis (6 genes), HPA-axis control (15 genes), and GC metabolism (9 genes), as was defined in the paper by Velders *et al.* (2011). Gene start and end positions were derived from UCSC genome browser gateway (NCBI37/HG19)  $\pm 20$  kB. In total 3437 SNPs in these 30 HPA-axis genes met the post imputation QC standards (MAF > 0.05, INFO > 0.8, INFO < 1.1 and HWE < 1e-3).

### Phenotypes

**Salivary cortisol measurement.** At the baseline interview, respondents were instructed to collect saliva samples at home on a regular (preferably working) day.

Two cortisol indicators were used: the cortisol awakening response and cortisol suppression on the DST. We calculated the area under the curve with respect to the increase (AUCi) of the CAR using the formulas by Pruessner *et al.* (2003). The AUCi is a measure of the dynamic of the CAR, more related to the sensitivity of the system and emphasizing changes over time.

In all, 1472 subjects (96.3%) had taken 0.5 mg of dexamethasone after 2300 hours on the first sampling day and were available for the DST analyses. To assess the magnitude of suppression we calculated the ratio between basal awakening cortisol and DST awakening cortisol. Because DST levels had a skewed distribution we log-transformed the DST data to obtain normally distributed data.

**Hippocampal and amygdala volumes.** A subset of the 301 NESDA participants (both patients and controls) participated in the NESDA-MRI study. The imaging protocol is described in the Supplementary Material.

Hippocampal and amygdala volumes were obtained using automatic subcortical volumetric segmentation with the FreeSurfer software. This fully automated process includes motion correction, removal of non-brain tissue, automated Talairach transformation, segmentation of the subcortical white matter and deep gray-matter volumetric structures, intensity normalization, and cortical reconstruction. This segmentation procedure assigns a neuroanatomical label to every voxel in the MR image volume. The method is based on probabilistic information estimated from a manually labeled training set. For 225 participants we had data available on both brain volumes and genotypes.

**MDD diagnosis.** The lifetime presence of MDD was assessed according to DSM-IV criteria using the Composite International Depression Interview (CIDI, version 2.1) (Robins *et al.*, 1988), which was administered by trained research staff members. The CIDI is a structured interview that is applicable for use by trained non-clinicians and has shown good reliability and validity (Wittchen, 1994). Patients

with an MDD diagnosis were contrasted against subjects without any lifetime psychiatric disorder as assessed using the CIDI.

### Childhood Maltreatment

Childhood maltreatment was assessed with the Nemesis Trauma Interview (Spijker *et al*, 2002). Participants were asked whether they had experienced emotional neglect, psychological abuse, physical abuse, and/or sexual trauma before age 16. The number of different traumata encountered was combined with their frequencies, resulting in a sum score ranging from 0 to 8, as has been defined before (Peyrot *et al*, 2014). More details are described in the Supplementary Material.

### Statistical Analysis

For each phenotype (MDD lifetime diagnosis, cortisol awakening response, DST cortisol, amygdala, and hippocampal volumes), we performed analyses within the three functional gene sets and subsequently performed gene-wide analyses. All analyses were adjusted for age, gender, three ancestry-informative principal components capturing population stratification in the Netherlands (Abdellaoui *et al*, 2013) and in case of brain volumes we additionally adjusted for intracranial volume.

Because there are several methods to perform gene-wide analyses (Mooney *et al*, 2014), we decided to use three frequently used methods (Gamma method, VEGAS, and Plink set-based test). Given that we already made a selection of genes for which we hypothesized that they are involved in cortisol levels, brain volumes, and MDD, we chose only self-contained gene-wide methods, opposed to competitive methods that are more suitable for hypothesis-free genome-wide data.

First, gene-set analysis within the three functional gene-sets was performed using the Plink Set-based tests and the Gamma method.

Second, we performed gene-wide analyses using the total genetic coverage within each gene using Plink Set-based tests, the Gamma method test, and VEGAS2 method (see Supplementary Material for further description of each

method). To control for multiple comparison we first applied 10 000 permutations that controlled for the total number of SNP tests within each gene and second we applied FDR correction on gene-wide level using a  $q$  of 0.05; for each gene-wide and interaction test we used an FDR-corrected  $p$ -value of 0.008; based on the fact that we have 5 phenotypes  $\times$  30 genes.

In case of significant gene-wide findings, we looked for top SNPs and also for overlapping SNPs across all phenotypes. We expect that several genes will indeed play a role in more than one phenotype and therefore we particularly looked for overlapping top SNPs and their pleiotropic effects on multiple phenotypes.

We used online available data from the ENIGMA consortium (Hibar *et al*, 2015) to look up top hits for hippocampal and amygdala volume, data available from previous GWAS on serum morning cortisol (Bolton *et al*, 2014) to look up top hits of cortisol awakening response findings, and we used the online available Psychiatric Genomics Consortium (Ripke *et al*, 2013) data to look up our top findings on MDD.

*Interaction with childhood maltreatment.* Interactions with CM were examined on gene-wide level, using Plink and VEGAS2 methods. The summed CM score was added as a covariate and SNP by CM interaction terms were created. For further details on this analysis see the Supplementary Material.

## RESULTS

Data on age, gender, and CM was available for 2327 participants, including 1615 with a lifetime MDD disorder, 313 patients with an anxiety disorder, and 399 healthy controls. Table 1 shows the sample characteristics in further detail. Correlations among all phenotypes are shown in Supplementary Table S3.

### Gene-Set Results

On HPA-axis function set level, only Set 1, consisting of genes that play a role in GC biosynthesis, had a set-wide nominally significant association with hippocampal volume (PLINK  $p$ -value 0.07 and Gamma method  $p$ -value = 0.01). None of the other sets had a significant association with any of the phenotypes (Table 2; all  $p$ -values > 0.05).

### Gene-Wide Results

Table 2 also shows the results of the gene-wide analyses, organized by functional set and separate for each method.

Cortisol awakening response was not significantly related to any of the selected genes, although there was a borderline significant association with the *SERPINA6* gene.

DST cortisol levels showed significant gene-wide findings for the genes *LEP* and *AKR1D1*. For hippocampal volume there were gene-wide significant associations with the genes *CYP17A1*, *CYP11A1*, and *AVPR1A*. Amygdala volume showed significant gene-wide associations with the genes *POMC* and *CRH*.

**Table 1** Sample Characteristics

|   | N with complete data |                |
|---|----------------------|----------------|
| Mean age (SD)                                   | 2014                 | 42.5 (13.0)    |
| Male (%)  | 2014                 | 34             |
| Lifetime diagnosis MDD (%)                      | 2014                 | 69             |
| Mean AUC <sub>i</sub> cortisol nmol/l (SD)      | 1427                 | 2.2 (6.2)      |
| Mean DST cortisol nmol/l (SD)                   | 1472                 | 1.5 (1.3)      |
| Mean childhood maltreatment sumscore (0–8) (SD) | 2014                 | 1.5 (1.9)      |
| One or more reported CM events                  | 1170                 | 50%            |
| Mean hippocampal volume ml (SD)                 | 225                  | 7.9 (0.9)      |
| Mean amygdala volume ml (SD)                    | 225                  | 3.2 (0.4)      |
| Mean intracranial volume ml (SD)                | 225                  | 1427.2 (247.9) |

**Table 2** Set- and Gene-Wide *p*-Values for all Phenotypes Using Different Genetic Methods

| Genes           | # SNPs | AUCi cortisol |       |       | DST cortisol  |              |              | Hippocampus  |              |              | Amygdala     |               |              | MDD diagnosis |              |       |
|-----------------|--------|---------------|-------|-------|---------------|--------------|--------------|--------------|--------------|--------------|--------------|---------------|--------------|---------------|--------------|-------|
|                 |        | PLINK         | Gamma | VEGAS | PLINK         | Gamma        | VEGAS        | PLINK        | Gamma        | VEGAS        | PLINK        | Gamma         | VEGAS        | PLINK         | Gamma        | VEGAS |
| SET 1           |        | 0.44          | 0.69  | NA    | <b>0.06</b>   | 0.18         | NA           | 0.07         | 0.01         | NA           | 0.73         | 0.13          | NA           | 0.66          | 0.49         | NA    |
| <i>HSD3B2</i>   | 20     |               |       |       | 0.28          | 0.61         | 0.27         | 0.12         | 0.07         | 0.03         | 0.02         | 0.04          | 0.02         | 0.08          | 0.67         | 0.95  |
| <i>CYP11B1</i>  | 41     |               | 0.26  | 0.32  | 0.27          | 0.30         | 0.01         |              | 0.37         | 0.54         |              | 0.42          | 0.33         |               | 0.35         | 0.23  |
| <i>CYP17A1</i>  | 19     |               | 0.82  | 0.46  | 0.06          | 0.05         | <b>0.002</b> | 0.03         | 0.06         | <b>0.006</b> |              | 0.55          | 0.97         |               | 0.26         | 0.36  |
| <i>CYP11A1</i>  | 23     | 0.14          | 0.17  | 0.08  | 0.13          | 0.06         | 0.07         | <b>0.001</b> | <b>0.003</b> | 0.08         | 0.07         | 0.30          | 0.61         | 0.15          | 0.10         | 0.32  |
| <i>PRKARIA</i>  | 62     |               | 0.66  | 0.59  |               | 0.57         | 0.58         |              | 0.77         | 0.49         |              | 0.91          | 0.88         |               | 0.64         | 0.72  |
| <i>MC2R</i>     | 111    | 0.09          | 0.75  | 0.56  | 0.42          | 0.36         | 0.85         |              | 0.40         | 0.53         |              | 0.86          | 0.19         |               | 0.52         | 0.83  |
| SET 2           |        | 0.60          | 0.23  | NA    | 0.58          | 0.41         | NA           | 0.86         | 0.92         | NA           | 0.48         | 0.007         | NA           | 0.47          | 0.18         | NA    |
| <i>LEPR</i>     | 501    | 0.38          | 0.99  | 0.96  | 0.22          | 0.03         | 0.09         | 0.55         | 0.77         | 0.76         |              | 0.76          | 0.68         | 0.52          | 0.42         | 0.41  |
| <i>TBX19</i>    | 48     |               | 0.81  | 0.92  | 0.25          | 0.10         | 0.38         | 0.43         | 0.83         | 0.61         |              | 0.91          | 0.95         |               | 0.64         | 0.80  |
| <i>POMC</i>     | 7      |               | 0.74  | 0.76  |               | 0.64         | 0.87         |              | 0.24         | 0.33         | <b>0.009</b> | <b>0.0006</b> | <b>0.004</b> |               | 0.71         | 0.55  |
| <i>POU1F1</i>   | 23     |               | 0.54  | 0.47  | 0.04          | 0.08         | 0.03         |              | 0.50         | 0.39         |              | 0.29          | 0.61         |               | 0.10         | 0.61  |
| <i>NR3C2</i>    | 771    | 0.72          | 0.77  | 0.70  | 0.63          | 0.38         | 0.09         | 0.41         | 0.82         | 0.80         | 0.31         | 0.26          | 0.17         |               | 0.58         | 0.51  |
| <i>NR3C1</i>    | 171    | 0.15          | 0.17  | 0.35  | 0.13          | 0.16         | 0.57         |              | 0.91         | 0.93         | 0.33         | 0.38          | 0.37         | 0.20          | 0.25         | 0.07  |
| <i>PROPI</i>    | 7      | 0.07          | 0.16  | 0.24  |               | 0.94         | 0.92         | 0.03         | 0.09         | 0.07         | 0.10         | 0.69          | 0.09         |               | 0.48         | 0.33  |
| <i>FKBP5</i>    | 109    | 0.17          | 0.02  | 0.13  |               | 0.70         | 0.70         |              | 0.83         | 0.78         | 0.02         | 0.03          | 0.32         | 0.12          | <b>0.002</b> | 0.02  |
| <i>CRHR2</i>    | 57     | 0.30          | 0.41  | 0.35  | 0.38          | 0.53         | 0.89         | 0.47         | 0.29         | 0.12         | 0.75         | 0.35          | 0.16         |               | 0.78         | 0.75  |
| <i>LEP</i>      | 31     |               | 0.18  | 0.14  | <b>0.0008</b> | 0.29         | 0.05         |              | 0.92         | 0.94         | 0.52         | 0.77          | 0.66         |               | 0.64         | 0.52  |
| <i>CRH</i>      | 8      |               | 0.27  | 0.39  |               | 0.32         | 0.34         |              | 0.75         | 0.84         | 0.04         | 0.02          | <b>0.002</b> | 0.07          | 0.06         | 0.04  |
| <i>AVPR1A</i>   | 13     | 0.24          | 0.43  | 0.53  | 0.15          | 0.72         | 0.10         | 0.15         | <b>0.004</b> | 0.04         | 0.71         | 0.32          | 0.70         |               | 0.40         | 0.71  |
| <i>CRHR1</i>    | 319    | 0.05          | 0.89  | 0.51  |               | 0.31         | 0.93         | 0.36         | 0.29         | 0.95         | 0.35         | 0.58          | 0.47         | 0.19          | 0.07         | 0.35  |
| <i>MC4R</i>     | 6      | 0.06          | 0.15  | 0.17  | 0.18          | 0.54         | 0.19         | 0.15         | 0.13         | 0.07         | 0.33         | 0.11          | 0.31         | 0.23          | 0.15         | 0.33  |
| <i>AVP</i>      | 5      | 0.13          | 0.26  | 0.10  |               | 0.88         | 0.83         |              | 0.81         | 0.65         | 0.64         | 0.52          | 0.55         |               | 0.53         | 0.64  |
| SET 3           |        | 0.54          | 0.79  | NA    | 0.43          | 0.04         | NA           | 0.35         | 0.18         | NA           | 0.72         | 0.33          | NA           | 0.92          | 0.82         | NA    |
| <i>HSD11B1</i>  | 53     |               | 0.82  | 0.89  |               | 0.24         | 0.35         |              | 0.75         | 0.37         |              | 0.36          | 0.17         | 0.30          | 0.41         | 0.45  |
| <i>H6PD</i>     | 72     | 0.38          | 0.46  | 0.45  |               | 0.34         | 0.31         | 0.45         | 0.35         | 0.27         | 0.11         | 0.11          | 0.28         | 0.53          | 0.39         | 0.33  |
| <i>SRD5A2</i>   | 77     | 0.38          | 0.56  | 0.51  |               | 0.74         | 0.60         | 0.25         | 0.46         | 0.44         |              | 0.59          | 0.83         |               | 0.64         | 0.55  |
| <i>DHRS9</i>    | 3      |               | 0.15  | 0.17  |               | 0.56         | 0.57         |              | 0.08         | 0.08         |              | 0.28          | 0.76         |               | 0.18         | 0.29  |
| <i>SRD5A1</i>   | 107    |               | 0.99  | 0.99  |               | 0.15         | 0.25         | 0.22         | 0.04         | 0.05         | 0.26         | 0.05          | 0.32         |               | 0.51         | 0.37  |
| <i>CYP3A4</i>   | 7      |               | 0.53  | 0.36  |               | 0.61         | 0.45         | 0.17         | 0.05         | 0.07         |              | 0.36          | 0.08         |               | 0.34         | 0.09  |
| <i>AKR1D1</i>   | 93     |               | 0.65  | 0.72  | 0.18          | <b>0.001</b> | 0.05         |              | 0.78         | 0.75         |              | 0.97          | 0.98         |               | 0.41         | 0.86  |
| <i>SERPINA6</i> | 72     | 0.05          | 0.20  | 0.14  |               | 0.69         | 0.59         |              | 0.33         | 0.74         |              | 0.45          | 0.94         |               | 0.67         | 0.56  |
| <i>ACE</i>      | 32     |               | 0.65  | 0.86  |               | 0.35         | 0.66         |              | 0.78         | 0.86         |              | 0.12          | 0.13         |               | 0.23         | 0.18  |

NB: The Plink set-wise method only gives a permuted *p*-value if any of the SNP tests is *p* < 0.05. *p*-values printed in bold refer to significant findings.

For lifetime diagnosis of MDD, there was a gene-wide significant finding for the gene *FKBP5*.

For all gene-wide analyses we examined SNP-wise tests; Supplementary Table S3 shows the top 20 most significant SNPs for each phenotype.

Two genes (*CYP17A1* and *CYP11A1*) showed significant gene-wide associations with multiple phenotypes. For each of these significant gene-wide findings, we looked for overlapping significant SNPs within a significant gene among the phenotypes (Supplementary Table S4). Overlapping SNPs were found in the *CYP17A1* (rs6162, rs6163, and rs743572) and *CYP11A1* (rs80047157 and rs11072479) genes; these SNPs were associated with both DST cortisol and hippocampal volume. For the SNPs in the *CYP11A1* gene, the minor allele was associated with higher DST cortisol levels and smaller hippocampal volume and for the SNPs in the *CYP17A1* gene, the minor allele was associated with lower DST cortisol levels and larger hippocampal volume.

After adding smoking habits and alcohol use as covariates to these gene-wide analyses none of the results changed substantially (data not shown).

### Look-Up of Gene-Wide Hits

Using the ENIGMA consortium data (on  $N=12\,826$  (Hibar et al, 2015)), we could replicate gene-wide significance for hippocampal volume in the gene *AVPR1* (gene-wide  $P$ -value=0.03), but not for any of the other genes. Top SNP in *AVPR1*, rs11174811 was associated with decreased hippocampal volume (NESDA:  $B=-258.8$ ,  $p$ -value=0.01; ENIGMA:  $B=-10.6$ ,  $p$ -value=0.03). For amygdala volume we could replicate the gene-wide significant finding in the *CRH* gene (gene-wide  $p=0.006$ ), but not for any of the other hits. Top SNP rs12721510, in *CRH* was related with increased amygdala volume (NESDA:  $B=191.6$ ,  $p$ -value=0.02 and ENIGMA:  $B=16.22$ ,  $p$ -value=0.002). For more details please see Supplementary Table S5 in Supplementary Material.

Also for MDD we could replicate the gene-wide finding for *FKBP5*, in PGC (based on  $N=18\,759$  (Ripke et al, 2013)) (gene-wide  $p$ -value=0.002) and the overlapping top SNP rs4173904 was related to lower risk for MDD in both (NESDA:  $b=0.17$ ,  $p$ -value=0.003 and PGC:  $B=-0.05$ ,  $p$ -value=0.002).

For the cortisol awakening response we could not find any overlapping SNPs nor SNPs that are in LD; however, in both the GWAS (Bolton et al, 2014) and our study the *SERPINA6* gene showed significant associations.

### Interactions with CM

**Gene-wide level.** On gene-wide level we found several significant adjusted interactions with CM; Table 3 shows the gene-wide interaction  $p$ -values and Z-values stratified for CM- and CM+ for the most significant SNPs in each gene. For the *NR3C2* gene we found interactions in all phenotypes that reached (borderline) significance considering an FDR-corrected  $p$ -value of 0.008.

***NR3C2* gene.** For four of our phenotypes there was a nominal significant interaction between CM and the *NR3C2* gene; amygdala volume ( $p$ -value=0.001), hippocampal

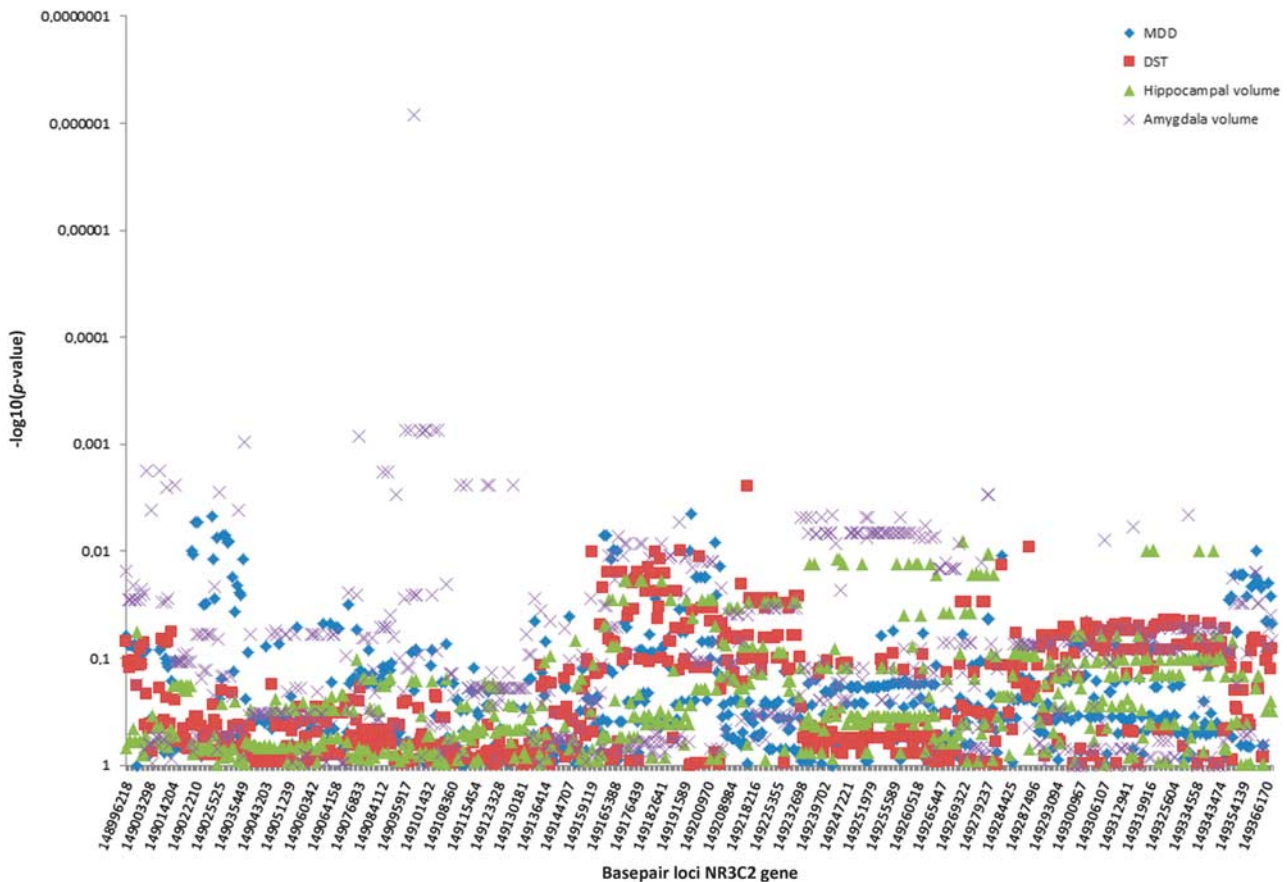
**Table 3** Significant CM  $\times$  Gene Interactions, and Stratified Z-Values for Most Significant SNPs in Participants With and Without Childhood Maltreatment

| Gene                      | Gene-wide interaction $p$ -value | Top SNP              | Stratified Z-value for SNP |                    |
|---------------------------|----------------------------------|----------------------|----------------------------|--------------------|
|                           |                                  |                      | No CM                      | CM                 |
| <i>AUCi cortisol</i>      |                                  |                      |                            |                    |
| <i>LEPR</i>               | 0.0035                           | rs1171280 (0.0001)   | 2.47 <sup>a</sup>          | -3.48 <sup>a</sup> |
| <i>DST cortisol</i>       |                                  |                      |                            |                    |
| <i>NR3C2</i>              | 0.004                            | rs6535598 (0.002)    | -1.95 <sup>a</sup>         | 1.24               |
| <i>Hippocampal volume</i> |                                  |                      |                            |                    |
| <i>NR3C2</i>              | 0.012                            | rs1512334 (0.009)    | 2.27 <sup>a</sup>          | -0.97              |
| <i>Amygdala volume</i>    |                                  |                      |                            |                    |
| <i>NR3C2</i>              | 0.0013                           | rs7442442 (0.000001) | 0.89                       | -4.02 <sup>a</sup> |
| <i>FKBP5</i>              | 0.0078                           | rs3777747 (0.001)    | 3.44 <sup>a</sup>          | 0.12               |
| <i>MDD</i>                |                                  |                      |                            |                    |
| <i>SERPINA6</i>           | 0.0016                           | rs2144834 (0.001)    | -1.70 <sup>a</sup>         | 1.93 <sup>a</sup>  |
| <i>NR3C2</i>              | 0.036                            | rs5520 (0.005)       | 2.31 <sup>a</sup>          | -1.07              |

<sup>a</sup> $P$ -value for difference in top SNP effect between CM+ and CM- <0.05.

volume ( $p$ -value=0.012), DST cortisol ( $p$ -value=0.004) and MDD lifetime diagnosis ( $p$ -value=0.036). For each of these phenotypes, Figure 1 shows all  $p$ -values for interactions between each SNP in the *NR3C2* gene and CM. We decided to focus on this result in more detail, because it showed overlapping effects in more than two phenotypes.

For all four phenotypes there was a significant interaction around SNP rs17581262 (Supplementary Figure S1 showing LD blocks). Figure 2 shows the stratified results for the AA genotype vs G-allele carriers; compared to individuals carrying one or more major alleles (genotypes AG and GG) homozygotes for the minor allele (genotype AA) of this SNP had smaller hippocampal volume ( $B=-403$ ;  $p=0.006$ ), smaller amygdala volume ( $B=-221$ ;  $p$ -value=0.02), and higher levels of cortisol after DST ( $B=0.04$ ;  $p=0.03$ ) in case of CM, but no SNP effect was found in participants without CM on hippocampal volume ( $B=177$ ;  $p=0.18$ ), amygdala volume ( $B=97$ ;  $p=0.13$ ), or DST ( $B=0.001$ ;  $p=0.91$ ). Also we found that participants who had the AA genotype had a higher likelihood of having a lifetime diagnosis of MDD after experiencing CM (odds ratio = 1.95; 95%CI = 1.59–2.41;  $p=0.0003$ ) than participants who were carriers of one or two G-alleles (odds ratio = 1.49; 95%CI = 1.25–1.65;  $p=0.008$ ). The  $X^2$  for the difference in odds ratio between participants



**Figure 1** Interaction between NR3C2 gene and childhood maltreatment on four different phenotypes (MDD status, DST ratio cortisol, amygdala, and hippocampal volume). For every phenotype a different symbol was used (see legend in the figure) and each single symbol represents an association on that specific base pair locus (for which location in gene is depicted on x axis).

with CM and without CM for the effect of AA genotype on MDD lifetime diagnosis was a Z-score of 1.97,  $p$ -value = 0.05.

## DISCUSSION

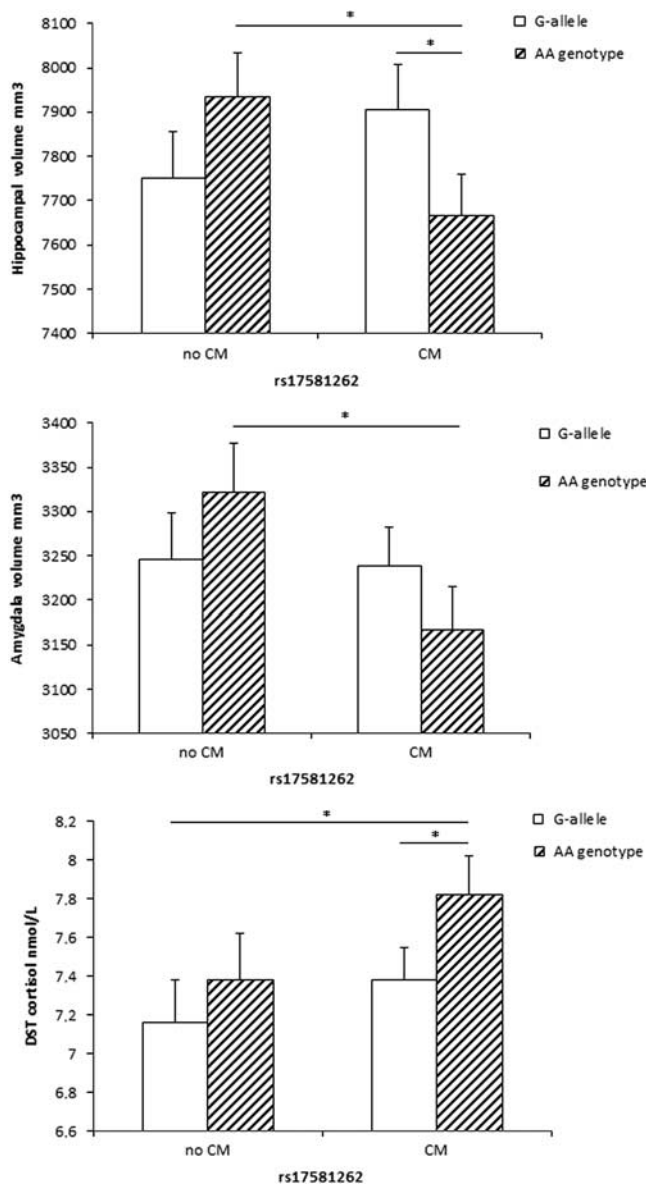
Using gene-wide analyses, we showed that several genes known to be involved in HPA-axis regulation play a role in cortisol levels and also in hippocampal volume, amygdala volume, and MDD. More specifically, we found gene-wide effects for genes that have previously been shown to play a role in stress-related disorders, such as *CRH* on amygdala volume, *AVPR1A* on hippocampal volume, and *FKBP5* on MDD. But we also found new hits of genes playing a role in the biosynthesis of GCs (*CYP11A1* and *CYP17A1*) on hippocampal volume and a pleiotropic interaction with CM and the gene coding for the MR (*NR3C2*) on DST cortisol, hippocampal and amygdala volume and MDD status. The *NR3C2* gene was more strongly associated with amygdala volume, hippocampal volume, and MDD status in participants with CM than in participants without CM.

When we focused on the top overlapping SNP in a locus that showed most significant interactions, we found that the minor allele of rs17581262 in participants with CM was related to lower amygdala volume, lower hippocampal volume, higher DST cortisol levels, and more lifetime MDD diagnosis, while there was no effect in participants without CM. The overlapping top SNP, rs17581262, is

located in the intron region of *NR3C2* and has not previously been associated with any neuropsychiatric phenotype. A recent study on genetic risk for preterm birth found two SNPs in this same locus that are in high LD ( $R^2 > 0.7$ ) with rs17581261 (Christiaens *et al*, 2015), suggesting that this region plays a role in multiple phenotypes.

The *NR3C2* gene, coding for the MR, is widely distributed in the hippocampus and amygdala and it is thought that particularly the receptors in the limbic system play a role in HPA-axis inhibition. There is increasing evidence that the MR is also involved in susceptibility for stress-related disorders (Klok *et al*, 2011; Otte *et al*, 2007; van Leeuwen *et al*, 2011) and animal studies have shown the MR located in the amygdala and hippocampus mediates rapid cortisol effects and influences stress appraisal (Karst *et al*, 2010). As such, the MR is thought to play a role in the susceptibility for psychiatric disorders, particularly following exposure to severe stress.

Preceding studies on genetic variation in the *NR3C2* gene have mostly focused on the well-described haplotype consisting of the 2G/C (rs2070951) and I180V (rs5522) SNPs in the *NR3C2* promoter region that displays differential activity at the transcriptional, translational, and transactivational level (Joels *et al*, 2008). This haplotype has been associated with MDD risk in women (Klok *et al*, 2011), changes in amygdala activation (Bogdan *et al*, 2012) and increased subjective stress and cortisol stress response (van



**Figure 2** Interaction between rs17581262 and childhood maltreatment (CM) on different phenotypes (hippocampal volume, amygdala volume, and DST ratio cortisol). \* $p$ -value < 0.05. G-allele consists of GG and AG genotype. Adjusted for age, sex (hippocampal and amygdala volume also adjusted for ICV).

Leeuwen *et al.*, 2011). Moreover, in the NESDA study it was previously found that there was no main effect of this haplotype on MDD and course of MDD (Hardeveld *et al.*, 2015), but that in participants with a history of CM, the CA haplotype was related to depressive symptoms in men, but not in women (Vinkers *et al.*, 2015). In the current study we found that for MDD, the most significant interaction with CM was found for the rs5520 SNP, which is in high LD with rs5522, but for all other phenotypes the most significant findings were around rs17581262, which has very low LD with the well-described haplotype.

Unlike previous studies we found little support for previously reported candidate SNPs in the GC gene *NR3C1* and the cortico-tropin releasing hormone receptor genes

*CRHR1* and *CRHR2*. Previous studies that did report associations with MDD consisted of either different populations (eg psychotic depression (Keller *et al.*, 2017)) or reported indirect effects via epigenetics (Bakusic *et al.*, 2017). Furthermore another recent study like ours did not find support for any of the HPA-axis candidate genes and a role in MDD (Buttenschon *et al.*, 2017). Owing to generally smaller sample sizes candidate SNP studies can be more prone to publication bias and biased estimates (Duncan and Keller, 2011).

We did however find support for the *FKBP5* gene, which is in line with preceding studies, using candidate SNPs, that reported a role for the *FKBP5* gene in onset of depression (Zobel *et al.*, 2010), treatment response, and recurrence of depressive episodes (Binder *et al.*, 2004). This gene-wide result could also be looked up in the PGC data and interestingly the SNP that reached significance in both data sets is a well-known SNP, rs4173904, which has been shown to play a role in the stress response (Binder *et al.*, 2004).

Two other genes from the gene-set that are related to HPA-axis regulation showed significant associations, namely the gene coding for cortico-tropin releasing hormone (*CRH*) and the gene coding for the vasopressin receptor 1A (*AVPR1A*). The *CRH* gene was related to amygdala volume, which we could also replicate using the ENIGMA data. *CRH* stimulates pituitary ACTH secretion and thereby controls the activity of the HPA axis. Moreover, *CRH* containing neurons in the central nucleus of the amygdala innervate the locus coeruleus, whereby activating noradrenergic and sympathetic nervous systems. Furthermore, effects of *CRH* in limbic brain regions have been associated with increased fear, alertness, decreased appetite, and libido, all functions relevant in the fight or flight response and dysregulated in depression and anxiety disorders (Binder and Nemeroff, 2010). Given that the *CRH* gene is more expressed in the amygdala than in the hippocampus (Flandreau *et al.*, 2012), it makes sense that we found a gene-wide result for the *CRH* gene with amygdala volume but not with hippocampal volume. The minor allele of the top SNP (rs12721510) was found to be related to increased amygdala volume. In preceding studies this SNP was found to be part of a functional haplotype that is associated with increased stress-induced alcohol use and aggressive temperament in primates (Barr *et al.*, 2009, 2008).

The top SNP in *AVPR1A* gene that was related to smaller hippocampal volume is a known functional SNP that has previously been found to be associated with increased expression levels of *AVPR1A* in prefrontal cortex tissue (Maher *et al.*, 2011) and another study demonstrated that this SNP disrupts a microRNA binding site (Nossent *et al.*, 2011). We could also replicate this finding using the ENIGMA consortium, suggesting that genetic control of the vasopressin neurohumoral system also plays a role in hippocampal volume variation.

In our study, most genetic associations were found for hippocampal volume. Two of the genes (*CYP17A1* and *CYP11A1*) associated with hippocampal volume code for enzymes that play a role in the biosynthesis of GCs and other neurosteroids and have not been previously linked to neuropsychiatric outcomes. These two genes are expressed throughout the brain (Munetomo *et al.*, 2015). In our study, minor alleles of the *CYP17A1* gene were related to smaller

hippocampal volume and increased cortisol levels after the DST. The hippocampus plays an important role in the feedback loop of the HPA-axis and smaller hippocampal volume has previously been found to be related to higher DST cortisol levels (Knoops *et al*, 2010). Unfortunately no other (large) data set was available to look up the gene-wide hits we found on. DST cortisol is considered to be a proxy for stress-response cortisol and other gene-wide hits we found for DST were for the leptin gene *LEP* and the aldo-keto reductase family 1 gene (*AKR1D1*). Leptin has previously been linked to play a role in endocrine and neuropsychiatric disorders (Stieg *et al*, 2015) while *AKR1D1* catalyzes the metabolism of steroid hormones (Penning, 2015), but has otherwise never been related to any stress-related disorder.

Unlike DST cortisol we found no gene-wide results on cortisol awakening response; however, there was a borderline significant effect with the *SERPINA6* gene, which has also been reported in a recent genome-wide study on morning cortisol levels (Bolton *et al*, 2014). A major difference is that the GWAS was performed on one sample of serum cortisol, whereas we looked at the cortisol awakening response in saliva. Nevertheless our results do suggest that genetic variations in *SERPINA6* can explain variation in cortisol awakening response levels and thus more research on this gene in cortisol and stress response research is warranted.

### Strengths and Limitations

The largest strength of this study is that we applied gene-wide analyses using all available genetic variation in a selection of 30 genes relevant to HPA-axis functioning on various phenotypes. This method combines the strength of genome-wide association studies by looking at the total gene and the strength of candidate SNP analysis, by looking at a selection of genes of which we hypothesized that they would be related to the chosen phenotypes. Furthermore, by looking specifically for genetic findings that were present across several phenotypes we decreased the chance of false positive findings even more.

Ideally we would have had an independent sample to replicate all our findings; however, only few cohorts have similar wealth of data on MDD, CM, cortisol, and brain volumes. We could only look for replication of the genetic associations and not the interaction with CM. However the fact that we found significant interactions in the same locus for four out of five phenotypes could be seen as internal validation of the finding.

Cortisol is known to be influenced by a multitude of external factors, including age, lifestyle factors, and disease. In a previous study from our group we found that the cortisol measures as used in the current study (AUCi morning cortisol, DST, and evening cortisol) were not affected by lifestyle, somatic disease, or sampling factors (eg time of awakening or workday *vs* weekend day) (Vreeburg *et al*, 2009). It has also been suggested that multiple days of sampling are necessary to reliably measure salivary cortisol levels, especially directly after awakening (Hellhammer *et al*, 2007) but this was not an option in our large-scale study. However, these limitations regarding reliability of individual measures are likely to be compensated by the large sample size in this study.

Apart from MDD it would also be interesting to investigate to which extent genetic variation and the interaction with CM can explain other stress-related disorders, such as post-traumatic stress disorder and generalized anxiety disorder. Unfortunately our data were not suitable to investigate the relation with (specific) anxiety disorders, due to too small number of cases with anxiety disorder.

In conclusion, here we report that several genes that play a role in HPA-axis regulation are related to cortisol levels and other stress-related endophenotypes. More specifically, we found strong support that genetic variation in the genes *CRH*, *AVPR1A* and *FKBP5* are related to amygdala hippocampal volume and MDD status, respectively. In addition, there was a pleiotropic interaction between CM and the MR gene on MDD, DST cortisol levels, and amygdala and hippocampal volumes, suggesting that the MR plays an important role in stress and stress-related disorders.

### FUNDING AND DISCLOSURE

The infrastructure for the NESDA study ([www.nesda.nl](http://www.nesda.nl)) is funded through the Geestkracht program of The Netherlands Organization for Health Research and Development (Zon-Mw, grant number 10-000-1002) and is supported by participating universities and mental health-care organizations (VU University Medical Center, GGZ inGeest, Arkin, Leiden University Medical Center, GGZ Rivierduinen, University Medical Center Groningen, Lentis, GGZ Friesland, GGZ Drenthe, Institute for Quality of Health Care (IQ Healthcare), Netherlands Institute for Health Services Research (NIVEL) and Netherlands Institute of Mental Health and Addiction (Trimbos)). NESDA's genetic data were supported by the National Institutes of Health (NIH, R01D0042157-01A, MH081802, Grand Opportunity grant 1RC2 MH089951), Center for Medical Systems Biology (CSMB, NWO Genomics) and the Biobanking and Biomolecular Resources Research Infrastructure (BBMRI-NL). CHV is supported by a Netherlands Organisation for Scientific Research VENI grant (451-13-001) and a Netherlands Brain Foundation Fellowship (F2013(1)-216). LG is supported by a Netherlands Organisation for Scientific Research VENI grant (916-14-016). BWJHP, LvV and LS are supported by a Netherlands Organisation for Scientific Research VICI grant (918-11-302), and has received research grant funding from Johnson & Johnson. LS gratefully acknowledges support from The Netherlands Brain Foundation (F2014(1)-24), the Neuroscience Campus Amsterdam (IPB-SE-15-PSYCH-Schmaal) and from the NIH Big Data to Knowledge (BD2K) award (U54 EB020403).

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Supplementary Information accompanies the paper on the Neuropsychopharmacology website (<http://www.nature.com/npp>)