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## Modification of host dendritic cells by microchimerismderived extracellular vesicles generates split tolerance

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Maternal microchimerism (MMc) has been associated with development of allospecific transplant tolerance, antitumor immunity, and cross-generational reproductive fitness, but its mode of action is unknown. We found in a murine model that MMc caused exposure to the noninherited maternal antigens in all offspring, but in some, MMc magnitude was enough to cause membrane alloantigen acquisition (mAAQ; "cross-dressing") of host dendritic cells (DCs). Extracellular vesicle (EV)-enriched serum fractions from mAAQ+, but not from non-mAAQ, mice reproduced the DC crossdressing phenomenon in vitro. In vivo, mAAQ was associated with increased expression of immune modulators PD-L1 (programmed death-ligand 1) and CD86 by myeloid DCs (mDCs) and decreased presentation of allopeptide+self-MHC complexes, along with increased PD-L1, on plasmacytoid DCs (pDCs). Remarkably, both serum EV-enriched fractions and membrane microdomains containing the acquired MHC alloantigens included CD86, but completely excluded PD-L1. In contrast, EV-enriched fractions and microdomains containing allopeptide+self-MHC did not exclude PD-L1. Adoptive transfer of allospecific transgenic CD4 T cells revealed a "split tolerance" status in mAAQ+ mice: T cells recognizing intact acquired MHC alloantigens proliferated, whereas those responding to allopeptide+self-MHC did not. Using isolated pDCs and mDCs for in vitro culture with allopeptide+self-MHC-specific CD4 T cells, we could replicate their normal activation in non-mAAQ mice, and PD-L1dependent anergy in mAAQ+ hosts. We propose that EVs provide a physiologic link between microchimerism and split tolerance, with implications for tumor immunity, transplantation, autoimmunity, and reproductive success.

dendritic cells | exosomes | split tolerance | T cells | microchimerism

mmunologic tolerance was originally described in 1945 as a state of 50:50 mixed chimerism in dizygotic bovine twins (1), a discovery that launched modern immunogenetics and remains the gold standard for organ transplantation tolerance (2). Conversely, maternal microchimerism (MMc), common to all mammals, imposes a partial form of immunologic tolerance. Female offspring gain cross-generational fitness in the form of acquired resistance to loss of a fetus bearing inherited paternal antigens (IPAs) identical to her noninherited maternal antigens (NIMAs) (3, 4). MMc also has a strong impact in transplantation. Significantly longer graft and patient survival is achieved under a standard immunosuppressive regimen in recipients of a sibling kidney or bone marrow transplant that expresses the NIMA haplotype as the HLA mismatch (5, 6).

Paradoxically, NIMA+ sibling kidney transplants had a significant increase in early acute rejection episodes, followed by comparative freedom from late graft loss, compared with sibling transplants mismatched for noninherited paternal antigens (5). The basis for such conflicting manifestations of preexisting MMc is that such tolerance is split. The indirect allorecognition pathway, whereby host antigen-presenting cells (APCs) present processed allopeptides bound to self-MHC-II (major histocompatibility complex)

molecules to CD4 Th cells (7), is anergic or suppressed (8–10). In contrast, conventional allorecognition of maternal target cells by T cells in adults (known as direct alloreactivity) is intact (11, 12). The latter seems to be largely responsible for early acute rejection of organ transplants (13). Curiously, the persistence of exposure to certain NIMA-HLA may lead to increased or decreased risk of certain rheumatoid diseases (e.g., rheumatoid arthritis) depending on the NIMA-HLA haplotype (14, 15).

The transfer of membrane-bound intact allo-MHC molecules from the allogeneic cell to host dendritic cells (DCs) has been described (16). This membrane alloantigen acquisition (mAAQ), also known as cross-dressing (17), can occur by extracellular vesicle (EV) exchange. Importantly, mAAQ gives rise to a subset of host DCs that express intact allo-MHC molecules on the cell surface, while also presenting processed allopeptides, thus allowing a single DC to interact with both directly alloreactive CD8+ cytotoxic T lymphocytes and indirect pathway CD4+ Th cells (16) (18). This process has recently been shown to be critical to a direct pathway, acute rejection response in organ transplantation (19, 20). Furthermore, because certain EVs, like exosomes, contain microRNAs (miRNAs), acquisition leads not only to surface expression of intact transferred allo-MHC/peptide complexes, but can also change the functional status of the mAAQ+ DC (21).

#### **Significance**

The induction of tolerance is still an ideal and largely unachieved goal in the transplantation and autoimmunity fields. Understanding natural allotolerance mechanisms could help realize this objective. In this study we found that maternal microchimerism generated "split" tolerance, stimulating classical alloreactivity (i.e., recognition of intact peptide/allo-MHC class II complexes by CD4 T cells), while silencing CD4 T cells responding to allopeptide in a self-MHC-restricted manner. These contrary activities could be attributed to the presence of separate antigenic microdomains with different costimulatory properties on the surface of a single host dendritic cell. The possibility that this state develops from interaction with a microchimerism-derived extracellular vesicle has important implications for cell biology, cancer immunotherapy, and molecular immunology.

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Here, we used a mouse model to determine whether the amplification mechanism of MMc and the split nature of the tolerance it induces might be consequences of functional changes in host DCs caused by EV acquisition from rare maternal cells.

Maternal mAAQ Is Found in Some, but Not All, H-2b/b Mice Born to H-2<sup>b/d</sup> Mothers. Homozygous H2<sup>b</sup> adult offspring of a BDF19 x C57BL/66 backcross (H2b mice exposed to H2d plus other noninherited antigens of DBA/2 origin during the pregnancy/ nursing period; hereafter referred to as NIMA<sup>d</sup> mice) were analyzed for mAAQ. Fig. 1A illustrates the breeding scheme, the generation of mAAQ in some of the b/b offspring from products of MMc, and the types of antibodies and T-cell receptor transgenics (TcR Tg) used to characterize the model. Both non-mAAQ and mAAQ<sup>+</sup> mice expressed the allopeptide/MHC-II Eα52–68/ I-A<sup>b</sup> complex, indicated by (Y) at the surface of their DCs. We defined mAAQ<sup>+</sup> status (represented by cell-bound spheres in Fig. 1*A*) as dim H2K<sup>d</sup> expression by flow cytometry (Fig. 1*B* and Fig. S1). The incidence of mAAQ<sup>+</sup> status in NIMA<sup>d</sup> mice was 45% (31/68), similar in males and females. In adult mAAQ<sup>+</sup> offspring, the proportion of H2K<sup>d-dim</sup> DC was quite variable (range 1–25%; mean  $\pm$  SD = 5.32  $\pm$  5.84%) and was detectable on fresh myeloid DCs (mDCs), but no other subpopulations (Fig. S1). Using imaging flow cytometry, we found that splenic mAAQ+ mDCs could be clearly distinguished by an uneven punctate/patchy surface distribution of H2Kd staining (Fig. 1 B and C), whereas freshly isolated plasmacytoid DCs (pDCs) did not show any evidence of mAAQ (Fig. 1D).

Serum (EV)-Enriched Fractions Replicate the mAAQ Phenomenon in Vitro. EV-enriched serum fractions from non-mAAO and mAAO<sup>+</sup> mice were obtained by using various approaches (Materials and Methods). Fig. S2 shows the characterization of EV-enriched fractions obtained using matrix precipitation. EV-enriched serum fractions from individual mice were cultured with C57BL/6 splenocytes to determine whether they could generate mAAQ. C57BL/6- and BDF1-derived serum EV were used as negative and positive controls, respectively.

EV fractions from mAAQ<sup>+</sup> mice induced acquisition of H2K<sup>d</sup> and IA<sup>d</sup> on both mDCs and pDCs between 6 and 24 h of culture (Fig. 1 E and F shows the  $\dot{6}$ -h data). Conversely, EV fractions from non-mAAQ mice induced neither  $K^d$  nor  $IA^d$  acquisition by C57BL/6 splenocytes; results were no different from the negligible mAAQ signal (background) detected after incubation with control C57BL/6-derived EV (Fig. 1G). Thus, serum EV-enriched fractions from non-mAAQ vs. mAAQ+ mice were significantly different in their ability to cause appearance of MHC-I and -II intact alloantigens on the surface of both pDCs and mDCs.

The mDC >> pDC bias of mAAQ noted in vivo did not appear to arise from differences in ability to reexpress intact H2Kd and IAd. In vitro, both antigens remained highly colocalized on either DC subset [Brightfield similarity index (BSI) = 3.9-4.3, where values >1 represent significant colocalization, suggesting similar retention of antigen integrity and association pattern. However, differences in kinetics of EV uptake and reexpression were striking. After 6 h of in vitro exposure to EV-enriched serum fractions from mAAQ<sup>+</sup> mice, imaging flow cytometry of B6 mDC revealed a punctate, spherical expression of H2K<sup>d</sup> and IA<sup>d</sup>. suggesting cell-bound intact EVs, along with some membrane alloantigen incorporation (Fig. 1E). In contrast, B6 pDCs displayed both alloantigens evenly, with no retained EVs at this time point (Fig. 1F). The more rapid EV uptake and reexpression of allo-MHC (particularly I-A<sup>d</sup>) by pDCs was transient compared with mDCs, on which mAAQ continued to increase up to 12 h and stabilized at 24 h (Fig. S3).

To investigate further the forms of NIMAd in serum of nonmAAQ vs. mAAQ<sup>+</sup> mice, we analyzed  $100,000 \times g$  ultracentrifuged fractions of serum by ELISA (Materials and Methods). As expected because of a mutation in the  $E\alpha$  locus in H-2<sup>b</sup> strains, B6 serum was completely negative for I-E antigen (Fig. 1H),

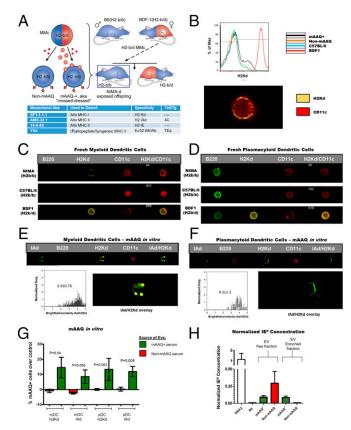


Fig. 1. NIMA<sup>d</sup> model breeding strategy, and mAAQ phenomenon. (A) Scheme showing the breeding strategy of BDF1<sup>o</sup> (H2<sup>b/d</sup>) x C57BL/6<sup>o</sup> (H2<sup>b/b</sup>) backcross. From this breeding, ~50% of offspring are H2<sup>b/b</sup>, which were exposed to H2<sup>d</sup> NIMAs during the fetal and nursing period. Some NIMA<sup>d</sup> mice developed sufficient levels of semiallogeneic MMc to receive NIMAs (like H2<sup>d</sup> antigens) from MMc sources via exosomes (red spheres), leading to mAAQ. All NIMA<sup>d</sup> offspring, including non-mAAQ mice, developed expression of maternal allopeptides bound to self-MHC (Y). H2<sup>d</sup> and H2<sup>b</sup> antigens are represented in red and blue, respectively. (B) Flow cytometry (Upper) showing H2Kd expression (black line) typical of mAAQ, and microscopic patchy/uneven pattern of H2Kd acquisition by mDCs (Lower; imaging flow cytometry). (C) Natural patterns of H2Kd acquisition (mAAQ) (vs. controls) by mDCs (microscopy flow cytometry; ImageStream 60x). (D) mAAQ was not detectable in fresh pDCs. (E and F) mAAQ phenomenon was replicated in vitro by incubating C57BL/6 DCs with EVs derived from the serum of mAAQ+ mice. Both mDCs (F) and pDCs (F) acquired H2Kd when incubated with EVs, but with different microscopic pattern. For imaging flow cytometry analysis, n = 3 mice per group, and 50–200 H2K<sup>d-dim</sup> events were analyzed per mouse. (G) Summary of independent EV-DCs culture experiments (n = 7 for mAAQ<sup>+</sup>-EVenriched serum fractions and n = 6 for EV fractions from non-mAAQ mice). The mean  $\pm$  SD of percent mAAQ $^+$  B6 mDC and pDC after incubation with EV fractions from mAAQ<sup>+</sup> vs. mAAQ<sup>neg</sup> serum, over B6 negative control EV fraction, is plotted. (H) I-Ed ELISA analysis of serum and its fractions (see SI Materials and Methods for details). ImageStream magnification of panels B-F: 60x.

compared with positive control (DBA/2) serum. Therefore, in the H2 b/b, NIMAd backcross mouse, the only source of I-E would be rare BDF<sub>1</sub> (maternal) cells. Surprisingly, a strong I-E signal was detected in serum from non-mAAQ NIMAd mice, but only in the EV-free fraction (Fig. 1H). In mAAQ<sup>+</sup> mouse serum, we detected I-E in both EV-free and EV-enriched fractions of serum, indicating that maternally derived MHC-II<sup>+</sup> cells had generated both membrane-bound and free soluble forms of alloantigen. The ELISA data are thus consistent with the in vitro mAAO data, and with quantitative PCR (qPCR) analysis of bone marrow MMc (see Microchimerism Analysis) that reinforce the idea that, although all NIMA<sup>d</sup> offspring have some MMc and are chronically exposed to maternal soluble MHC, only mAAQ+ mice have sufficient MMc to generate EVs capable of cross-dressing host APCs.

Analysis of DC, Serum EV, and EV-Free Fractions for Patterns of PD-L1 and CD86 Coexpression. To determine whether cross-dressing had altered the DCs of mAAQ<sup>+</sup> mice in either (*i*) the pattern of costimulatory molecule expression or (*ii*) the expression of allopeptide/self–MHC-II complexes, those features were analyzed in freshly isolated splenocytes. By comparing the H2K<sup>d-dim</sup> with H2K<sup>d-neg</sup> mDCs from the same mAAQ<sup>+</sup> mouse by flow cytometry, we observed that PD-L1 (programmed death-ligand 1) and CD86 were overexpressed in the H2K<sup>d-dim</sup> (mAAQ<sup>+</sup>) subset of mDCs (Fig. 24). CD11b expression remained unchanged.

of mDCs (Fig. 24). CD11b expression remained unchanged. Although a H2K<sup>d-dim</sup> subset was not discernible in fresh pDCs (Fig. 1 D and F and Fig. S1), PD-L1 expression was significantly increased overall on pDCs of mAAQ<sup>+</sup> vs. non-mAAQ mice (Fig. 2B). The pDCs from mAAQ<sup>+</sup> mice showed a significantly reduced expression of the E $\alpha$ 52-68 peptide-IA<sup>b</sup> complex recognized by the YAe monoclonal antibody (hereafter referred to as the "YAe epitope"), compared with pDCs of non-mAAQ mice (Fig. 2B and Fig. S4).

To further characterize the serum EV fractions, we analyzed them by immunoprecipitation, SDS/PAGE, and Western blot. As shown in Fig. 2C, EV-enriched serum fractions from both mAAQ<sup>+</sup> and non-mAAQ NIMA<sup>d</sup> mice, like EV from BDF<sub>1</sub>, contained the exosome-associated tetraspanin CD9, as noted by imaging flow cytometry and Western blot (Fig. S2). Total EV derived from mAAQ<sup>+</sup> mouse serum and from non-mAAQ mice had abundant PD-L1 and CD86 (Fig. 2C, lanes 2 and 3), as did control BDF<sub>1</sub> EV (lane 1). However, when serum EV fractions were enriched for YAe (Fig. 2C, lanes 4 and 5) or H-2K<sup>d</sup> expression (lanes 6 and 7), differences in costimulatory molecule expression emerged. The YAe-enriched EV fraction from mAAQ<sup>+</sup> and non-mAAQ mouse serum contained both CD86 and PD-L1. However, the H2K<sup>d</sup>-enriched fraction of mAAQ<sup>+</sup> EV

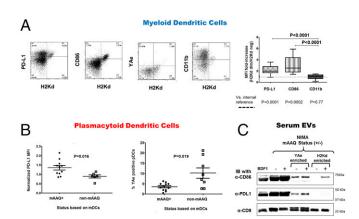


Fig. 2. Analysis of DCs immunophenotype and EVs PD-L1 and CD86 content. (A) Flow cytometry dot plots of H2K<sup>d</sup> vs. PD-L1, CD86, YAe, or CD11b on mDCs of mAAQ $^{\scriptscriptstyle +}$  mice. Note that the subset of H2K $^{\scriptscriptstyle d}$  dim mDCs highly express surface PD-L1 and CD86. No substantial changes were found in YAe or CD11b expression in mAAQ $^+$  DC (n = 18). Statistical analysis demonstrates a significant increase in PD-L1 and CD86 expression on H2K<sup>d</sup> dim mDC subset in mAAQ<sup>+</sup> mice (2.2  $\pm$  0.74 and 3.07  $\pm$  1.54 fold increase, respectively; P < 0.0001) by geometric mean fluorescent intensity (MFI) fold increase. (B) MFI of PD-L1 expression in the entire pDC population was estimated, and the average within mAAO+ mice was compared with the average expression in non-mAAQ mice. A significant increase in PD-L1 expression was observed in the pDCs of mice with detectable mAAQ among mDCs. The same pDC population showed a significant decrease in YAe (allopeptide/MHC-II) expression compared with pDCs from non-mAAQ mice. Dot plots show mean  $\pm$  SD. (C) Immunoblot (IB) of EV-enriched serum samples separated on SDS/PAGE. Far left sample is from normal BDF1 serum EV; all others are from NIMA<sup>d</sup> mice. The + or – in parentheses indicates the mAAQ status of the NIMA<sup>d</sup> mice. Lanes 1–3 (from left) show total EV; lanes 4 and 5 show YAe-enriched; and lanes 6 and 7 show anti-K<sup>d</sup>-enriched fractions. After transfer, anti-CD9 was used as an EV/ exosome marker, and antibodies to CD86 and PD-L1 were tested by IB to determine costimulator/coinhibitor content of each EV sample.

contained CD86, but not PD-L1 (Fig. 2*C*, lane 7). H2K<sup>d</sup> enrichment of EV fractions from non-mAAQ mouse serum produced no signal for either CD86 or PD-L1. Together, these data suggest that mAAQ was associated with cotransfer of CD86 along with class I and II allo-MHCs. Unlike CD86, PD-L1 expression in the mAAQ<sup>+</sup> DCs did not appear to arise from EV protein transfer, suggesting an induction of endogenous PD-L1 expression by another component of the EV.

**Microchimerism Analysis.** In a group of eight NIMA<sup>d</sup> mice, all but one had some level of MMc in bone marrow detectable by qPCR assay. A linear correlation between the MMc level and the proportion of mAAQ<sup>+</sup> mDCs (r = 0.81, P = 0.01) was observed (Fig. S5A). These data are consistent with the ELISA results for serum I-E (Fig. 1H). Together, they indicate that, although nearly all NIMA<sup>d</sup> mice were microchimeras for maternal MHC-II<sup>+</sup> cells, the mAAQ<sup>+</sup> phenotype required a higher level of MMc.

Areas of H-2K<sup>d</sup>/IA<sup>d</sup> Expression on the Surface of Host DCs Exclude PD-L1, Whereas Areas of YAe Expression Do Not. Using imaging flow cytometry, we could detect IA<sup>d</sup> expression on mAAQ<sup>+</sup> mDCs analyzed directly ex vivo (Fig. 3). A high degree of H2K<sup>d</sup>/IA<sup>d</sup> colocalization was observed (Fig. 3A), similar to that seen after in vitro coculture of splenocytes with EV fractions (Fig. 1). CD86 was also colocalized with the mAAQ patches on the mDC (Fig. 3D). However, H2K<sup>d</sup> and IA<sup>d</sup> were not colocalized with PD-L1, which appeared to be completely excluded from the mAAQ patches (Fig. 3B, blue arrow).

On mDCs of mAAQ<sup>+</sup> mice, PD-L1 was mostly excluded from areas where the YAe epitope was expressed. Nevertheless, there was a significantly higher YAe/PD-L1 colocalization (BSI) value compared with H2K<sup>d</sup>/PD-L1 (*P* < 0.001; Fig. 3 *B* and *C*). On pDCs, the YAe epitope was even more colocalized with PD-L1, with some values of BSI in the 1–2 range (Fig. 3*E*). These data, along with the presence of PD-L1 in YAe-enriched EV of mAAQ<sup>+</sup> mice and its absence in H2-K<sup>d</sup>-enriched EV that contain CD86 (Fig. 2*C*), strongly suggest a difference in the costimulatory context of cross-dressed vs. endogenously processed alloantigen.

To better visualize the PD-L1 context of YAe epitopes arising from the classical MHC-II processing and presentation pathway of the host, we used B6 recipients of a DBA/2 (YAe<sup>neg</sup>) heart allograft, made tolerant by anti-CD40L treatment. In this fully allogeneic tolerance setting, in which all YAe expression derives from the classical MHC-II pathway and none from cross-dressing, we found that PD-L1 was highly colocalized with the YAe epitope on the surface membrane of splenic mDC (Fig. S6).

mAAQ Generates Functional Recognition of Intact allo-MHC (Direct Pathway) and Abortive Activation by Allopeptide/MHC (Indirect Pathway). To study the impact of mAAQ on the two major pathways of allorecognition, adoptive transfer experiments were performed with TcR Tg CD4 T cells. Carboxyfluorescein succinimidyl ester (CFSE)-labeled 4C T cells, which recognize the intact I-A<sup>d</sup> alloantigen, were transferred into NIMA<sup>d</sup>, C57BL/6, or BDF1 mice. Only BDF1, and mAAQ<sup>+</sup> NIMA<sup>d</sup> mice, induced the proliferation of 4Cs, whereas in non-mAAQ mice, the transferred cells remained unproliferated (Fig. 4 *A–C*).

To interrogate the indirect allorecognition pathway, CFSE-labeled TEa cells, CD4 T cells that express a TcR specific for the YAe epitope, were adoptively transferred. No proliferation was seen in negative control B6 mice, whereas in BDF1 mice, all transferred TEa cells proliferated. A substantial portion of TEa cells proliferated in non-mAAQ mice. In contrast, within mAAQ+ mice, no productive proliferation of TEa cells occurred (Fig. 4 D and E). Instead, TEa cells in mAAQ+ hosts underwent abortive activation based on two criteria: (i) Cell-cycle analysis indicated that up to 80% of the TEa cells in the mAAQ+ recipient underwent one division cycle, whereas only a negligible proportion (<1%) underwent further proliferation (Fig. 4D and Fig. S7A); and (ii) despite arrest after one cell division, there was a significant increase of activated phenotype (Fig. S7 B and C). By

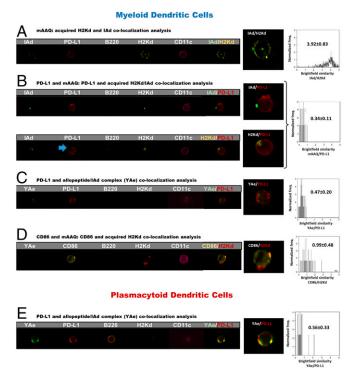


Fig. 3. Different surface distribution of K<sup>d</sup>/I-A<sup>d</sup> vs. YAe in relation to PD-L1 on mAAQ $^+$  DC. (A) Imaging flow cytometry of fresh mice splenocytes (60x) allowed us to detect H2K<sup>d</sup> and IA<sup>d</sup> acquisition. Both had a similar punctate distribution pattern. Interestingly, they were strongly colocalized on the surface of mDCs. (B) PD-L1 expression was not colocalized either with H2Kd or IAd, being excluded from patches of mAAQ. (C) Some mDCs showed partial colocalization between YAe and PD-L1, demonstrated by events with BSI > 1 and a significantly higher BSI compared with the H2K<sup>d</sup>/PD-L1 BSI value. (D) Significant colocalization of CD86 with H2K<sup>d</sup>, demonstrating its presence in the patches of acquired alloantigen. (E) Partial H2Kd/PD-L1 colocalization on pDCs. Representative examples are shown. Similar findings were obtained in three independent experiments. Mean  $\pm$  SD of BSI is reported. Colocalization by BSI were estimated on n = 55-363 DCs per experiment.

contrast, 4C T cells that failed to proliferate after transfer into non-mAAQ hosts retained a naïve phenotype (Fig. S7 D and E). The split-tolerance phenomenon was reflected in prolonged transplantation survival in some, but not all, mAAQ+ vs. nonmAAQ recipients of DBA/2 heart allografts (Fig. S5B). Both T-cell adoptive transfer and allograft studies suggested that the impact of MMc on host DCs could result in either (i) no restraint on alloreactivity, with strong TEa proliferation and uniform acute rejection of heart allografts in non-mAAQ mice; or (ii) split tolerance, with TEa abortive activation coupled with 4C proliferation and acute rejection or long-term DBA/2 heart allograft survival in untreated mAAQ<sup>+</sup> mice. The latter results are consistent with the cardinal feature of split tolerance (i.e., a premature and weakened, but still active acute rejection pathway, followed by a relative freedom from chronic rejection) (5, 22).

In Vitro Analysis of Alloantigen Presentation—The PD-L1 Basis of Split **Tolerance.** To determine whether the observed costimulatory/ coinhibitory patterns on DCs were relevant to the mechanism of the split-tolerance condition, mDCs and pDCs were sorted from NIMA<sup>d</sup> mice and controls (C57BL/6 or BDF1) and cultured with either 4C or TEa cells, in the presence or absence of anti-PD-L1 antibody (Fig. 4F summarizes two independent experiments, two replicates each).

Whereas neither mDCs nor pDCs isolated from non-mAAQ mice stimulated proliferation of 4CT cells, both DC types induced TEa proliferation. The addition of anti-PD-L1 antibody to the TEa-non-mAAQ DC cultures had no effect on proliferation of the TEa cells.

In contrast, when mAAQ<sup>+</sup> mice were used as the source of DCs for coculture, we observed opposite results, thus resembling the in vivo assay (Fig. 4 A-E). The mDCs from mAAQ+ mice induced proliferation of cocultured 4C T cells. In contrast, neither DC subset in mAAQ<sup>+</sup> mice was able to stimulate TEa proliferation. However, PD-L1 blockade restored TEa proliferation: the levels of CFSElow TEa cells observed in the presence of anti-PD-L1 antibody strongly supported the role of PD-L1 in anergy/abortive activation of indirect recognition in mAAQ $^+$  settings (Fig. 4E and Fig. S8 A and B).

Finally, titration experiments were performed with variable ratios of BDF1:C57BL6 DCs for both mDCs and pDCs. The 4C cells did not proliferate below a 1:100 BDF1:C57BL/6 DCs ratio (Fig. S8C). These results strongly suggest that 4C proliferation induced by DCs from mAAQ+ mice represents T-cell interaction with cross-dressed host DCs, rather than direct allorecognition of the rare maternal DCs themselves.

#### Discussion

Low numbers of maternal cells persist in the offspring of placental mammals after birth. How such rare cells go on to mediate a tolerogenic impact on later fetal survival in adult female hosts (3, 4) and on transplant survival in males and females (5, 6, 23, 24), while causing an increased tempo of acute rejection episodes (5), has been a major conundrum.

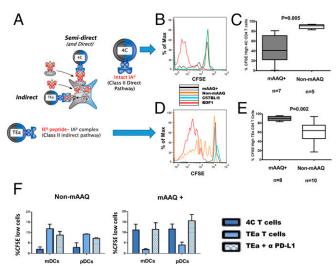


Fig. 4. Inverse response patterns of 4C and TEa CD4<sup>+</sup> TcR Tg T cells in vivo and PD-L1 dependence of TEa abortive activation/anergy induced by mAAQ+ DC in vitro. (A) Models of two pathways of alloresponse: (semi) direct recognition of intact allo-MHC antigens (interrogated by 4C TcR Tg CD4 T cells) and indirect recognition (allopeptide presented in a class II restricted manner; interrogated by TEa TcR Tg CD4 T cells). (B) The 4C T cells proliferate in mice with detectable mAAQ (black). No proliferation was observed in a nonmAAQ host (orange). (C) The proportion of divided (CFSE low) 4C cells was significantly higher in mAAQ<sup>+</sup> than in non-mAAQ hosts (n = 5-7 experiments). (D) Opposite behavior of TEa T cells was observed in adoptive transfer experiments: Proliferation was observed in non-mAAO mice, whereas no significant proliferation was observed in mAAQ+ mice (black). (E) The difference of CFSE dilution between mAAQ<sup>+</sup> and non-mAAQ hosts was significant. Boxes show median, and whiskers show maximum and minimum. (F) In vitro analysis of alloantigen presentation by DC isolated from non-mAAQ and mAAQ+ mice and corresponding models of microchimerism-DC interaction. We isolated mDCs and pDCs from the spleen of non-mAAQ mice (F, Left) or mAAQ+ mice (F, Right) and tested them for their ability to induce proliferation, as measured by increased percentage of CFSE<sup>low</sup> CD4 T cells (CFSE-labeled 4C or TEa CD4 T cells in vitro). PD-L1 antibody was added on day 0 to TEa cells cultured with non-mAAQ or mAAQ $^+$  DCs. Bars show mean  $\pm$  SD (n=2 experiments, with two replicates each). Max, maximum.

**Proposed Model for Rare Cell Amplification and Split Tolerance in Microchimerism.** Fig. 5 shows two hypothetical forms of MMc that could explain our results. Both models assume that DCs acquire peptide/MHC-II complexes by two distinct mechanisms, represented by opposite sides of the dotted lines in Fig. 5. One is classical MHC-II antigen presentation: Engulfed and processed exogenous antigens are recognized as peptides by CD4 T cells in a MHC-II–restricted manner (right sides of lines). The other is nonclassical: cross-dressing or acquisition of EVs (such as exosomes) containing intact peptide/MHC complexes from another cell source. This latter form of antigen presentation has recently been shown to be the cause of acute rejection of allografts, by greatly amplifying the impact of donor passenger leukocytes (19, 20).

In the non-mAAQ host (Fig. 5*A*), MMc is depicted as a tissue-resident, MHC-II<sup>+</sup> cell capable of producing only a soluble form of the MHC-II alloantigen I-E<sup>d</sup> (Fig. 1*H*). This I-E is processed and presented as  $\text{E}\alpha_{52-68}/\text{I-A}^{\text{b}}$  complexes, leading to YAe expression by DCs. Engagement of peptide–MHC occurs in the context of CD80 or CD86, so a responding T cell can form productive TCR/CD28 signaling complexes (25). In this low MMc condition (Fig. S5*A*), EVs are produced by host-type DC (Fig. 5*A*, *Upper*), not by maternal cells. The YAe epitope is packaged in EVs with a high CD86:PD-L1 ratio (Fig. 2*B*). Thus, the cross-dressing pathway reinforces a positive costimulation context for the TEa responder cell. However, the 4C T cell is left unstimulated.

In contrast, in the mAAQ<sup>+</sup> host, MMc produces both soluble and EV-associated antigens (Figs. 1*H* and 5*B*). DCs are known to generate EVs capable of inducing transplant tolerance (26), but other MHC-II<sup>+</sup> cellular subsets cannot be ruled out as EV sources. However, one can distinguish tolerant from graft-rejecter NIMA<sup>d</sup> mice by MMc presence in CD11c and CD11b lineages (27), implicating a myeloid cell. Because EVs derived from rare

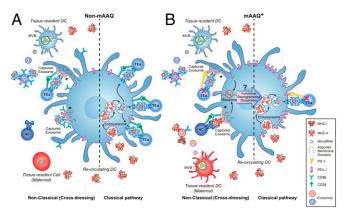


Fig. 5. Proposed models for microchimerism and exosome-mediated split tolerance. Models of DC nonclassical (cross-dressing) and classical MHC-II pathways of allopresentation in mice having non-mAAQ (A) and mAAQ+ (B) forms of microchimerism. (A) In a non-mAAQ condition, MMc-derived soluble allo-MHC molecules (red) are classically processed and presented in a self-MHC-II-restricted manner, leading to active indirect pathway (TEa) cells, whereas scarcity of intact allo-MHCs makes direct recognition (4C) inactive. The exosomes are all derived from self-tissue-resident DC, with ample CD86 and low PD-L1 coexpression, reinforcing self-MHC-restricted alloreactivity (\* indicates a membrane fusion process that may occur on contact or only after exosome internalization, with recycling of the fused patch to the cell surface). (B) In mAAQ+ settings, not only are soluble allo-MHCs released from MMc, but also exosomes, generating a "Janus-faced" DC. On the left, nonclassical side, exosome-acquired intact allo-MHCs are colocalized with acquired CD86 in microdomains that exclude PD-L1, leading to activation of direct T-cell clones (4C). On the right, exosome acquisition leads to reprogramming of the classical MHC II pathway ("?" indicates that exosome-associated miRNA effects on PD-L1 mRNA translation are postulated), such that PD-L1 is present in the microdomains expressing the allopeptide/self-MHC-II complexes, generating abortive activation/anergy of indirect T-cell clones (TEa; red X) via PD-1 and TcR microclustering.

maternal cells (H2-K<sup>d+</sup>) had CD86, but lacked PD-L1 (Fig. 2B), we propose that CD86-rich microdomains containing crossdressed allo-MHC are formed on the DC surface. This formation of CD86/allo-MHC-enriched microdomains allows productive TCR/CD28 complexes to form on the 4C CD4 T cells, causing their proliferation. Remarkably, other microdomains formed on the same host DCs become enriched in PD-L1 (Figs. 3 and 5*B*). Antigens presented in these regions would be likely to induce TcR signaling in microclusters with PD-1, abrogating effective stimulation (28). Because PD-L1 is not coming from protein expressed by the MMc-derived EVs (Fig. 2B), we suggest an alternative possibility (indicated by ? in Fig. 5B): that exosomal RNA causes a functional reprogramming of the MHC-II/classical presentation pathway. The result is that endogenous PD-L1 is expressed in the same microdomains as  $E\alpha_{53-68}/I$ -A<sup>b</sup> complexes at the DC surface. An example of miRNA-based regulation controlling PD-L1 expression in mDCs has recently been reported (29). The observation of a stronger signal for PD-L1 on the Western blot of YAeenriched serum EV fraction from mAAQ+ mice (Fig. 2B) suggests that the nonclassical cross-dressing pathway (Fig. 5B, Upper Left) has also been altered, increasing the PD-L1/CD86 ratio and further colocalizing the YAe epitope with PD-L1 (Fig. 3). Formation of PD-L1-poor and PD-L1-rich microdomains on DCs, along with lower expression of Eα<sub>53-68</sub>/I-A<sup>b</sup> complexes (Fig. 2B), could account for productive stimulation of 4C, but abortive activation and anergy of TEa cells (red X, Fig. 5B).

Split Tolerance and NIMA Effect. One important caveat in our study is that only one mouse strain combination (B6 -H2<sup>b</sup>, DBA/2-H2<sup>d</sup>) has been analyzed. Thus, it is possible that an EV-based mechanism for rare signal amplification and differential costimulation of T effector cells, leading to split tolerance, applies only to this particular mouse breeding model. However, there is reason to believe that such a mechanism is widely applicable. In a different mouse breeding combination, Akiyama et al. (30) showed that directly alloreactive CD8 TcR Tg T cells were not inhibited by NIMA class I exposure; instead,  $K^b$ -exposed  $H2^k$  mice were tolerized at the level of allopeptide-specific CD4 Th cells, consistent with split tolerance (30).

The finding of Kinder et al. (4), that MMc-induced Treg development impacts cross-generational reproductive fitness via NIMA-specific Tregs in female offspring, appears to conflict with the results of Molitor-Dart et al. (24) showing increased likelihood of acute rejection in female vs. male recipients of NIMA+ heart allografts. However, a higher level of MMc in females, and the presence of intrauterine MMc in particular (4), may enhance development of allopeptide-specific Tregs, but at a cost of a stronger semidirect pathway, responsible for a higher acute rejection risk in an immunosuppressive-free model of organ transplantation (24). Indeed, mammalian pregnancy requires an acute inflammatory response to facilitate embryo implantation, while chronic regulation of the maternal immune response during gestation protects against fetal loss (31). In this way, split tolerance to NIMA may be the best way to enforce cross-generational fitness (4).

Our results, together with those of Kinder et al. (4), help to explain the original observations of split tolerance to NIMA-Rh by Owen et al. (3). Indeed, the claim leveled against Owen's hypothesis was that, rather than tolerizing the daughter to a subsequent fetal antigen, NIMA exposure had sensitized her (32). This apparent contradiction may now finally be resolved, because, at the cell surface of the MMc-modified, mAAQ<sup>+</sup> DCs, both sensitizing and tolerizing forms of NIMA presentation can be found side by side. The contrasting impact of functional, CD86-associated, and nonfunctional, PD-L1-associated, microdomains may explain why host B-cell responses were historically the first to be described as suppressed by exposure to maternal noninherited antigens, because IgG responses such as those to Rh (3) or HLA (33) are strictly dependent on Th cells, triggered by the classical pathway of peptide/MHC-II generation and antigen presentation (34). Our findings may be relevant to other contexts: for example, the antileukemia effect of cord blood transplants when the recipient is mismatched for a NIMA (35) or shares an IPA (36) and the breaking of split tolerance to tumor antigens by PD1/PD-L1 blockade (37).

#### **Materials and Methods**

Mice. The 8- to 12-wk-old C57BL/6, BDF1 (Harlan), TEa TCR Tg [B6.Cg-Tg(Tcra, Tcrb)3Ayr/J; Jax catalog no. 005655], 4C TCR Tg (Duke University), and C57BL/6 CD90.1 (B6.PL-Thy1a/CyJ; Jax catalog no. 000406) mice were used. All studies with mice were performed in accordance with NIH vertebrate animals guidelines, and approved by the University of Wisconsin-Madison Institutional Animal Care and Use Committee (IACUC).

Serum EV Enrichment. An exosome-isolation kit (Invitrogen, catalog no. 4478360) was used for serum EV enrichment, plus final ultrafiltration (0.45  $\mu$ m). In experiments for ELISA analysis, the ultrafiltration method was used (SI Materials and Methods).

Flow Cytometry Imaging (ImageStream). Antibodies and procedures for flow cytometry imaging are listed in SI Materials and Methods. Acquisition was made with BD LSR-II and ImageStream MKII Amnis (60x). Data analysis was performed by using FlowJo (Version 7.6.5 or 10) and Ideas.

Surgical Procedures. Hemisplenectomies and heterotopic heart transplants were performed as described in Dutta et al. (9).

Cell Sorting. For cell sorting, magnetic beads technology was used (Miltenyi and StemCell Technologies, QuadraMACS and PurpleMagnet).

Adoptive Transfer Experiments (in Vivo MLR). Hemisplenectomy was performed in mice, and splenocytes used to determine mAAQ status. Seven days later, mice were i.v.-injected (retroorbital) with  $10-15 \times 10^6$  CFSE-labeled TCR

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Tg CD4 T cells. At 72 h after the injection, TCR Tg cell proliferation was addressed with the CFSE-dilution method (splenocytes).

TCR Tg CD4 T Cell Proliferation in Vitro. CFSE-labeled TCR Tg CD4 T cells were cultured in vitro with either mDCs or pDCs from different sources. The T-cell/DC ratio was 20/1, in complete medium + 10% (vol/vol) heat-inactivated FBS for 84 h at 37 °C. For PD-L1 blockade experiments, a concentration of 0.20 µg/mL was added.

Microchimerism Analysis-qPCR. qPCR with HD<sup>d</sup> primers were performed as described in ref. 23, and the number of copies was estimated based on Ca values.

Antigen Acquisition in Vitro Cell Culture with EVs. Splenocytes were cultured with EVs. A total of  $0.5\times 10^6$  splenocytes + standardized amount of EV (50  $\mu g$ of total EV-derived proteins) in Complete medium + 10% exosome-free FBS (SBI SystemBiosciences, no. EXO-FBS-50A-1) were cultured at 37 °C.

Western Blot and ELISA. Western blots and ELISAs were performed by following standard procedures (see details in SI Materials and Methods).

Statistical Analysis. Statistical significance was estimated by using Student's t, the Mann–Whitney *U* test,  $\chi^2$ , and Pearson *r*, when appropriate, with  $\alpha = 0.05$ .

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