

Into the darkness : forging a stable path through the gravitational landscape

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4 de Sitter limit analysis for dark energy and modified gravity models

4.1 Introduction

In the previous Chapter we presented a choice of variables which allowed us to obtain a Hamiltonian of the form:

$$
\mathbf{H}(\Phi_i, \dot{\Phi}_i) = \frac{a^3}{2} \left[\dot{\Phi}_1^2 + \dot{\Phi}_2^2 + \mu_1(t, k) \Phi_1^2 + \mu_2(t, k) \Phi_2^2 \right],\tag{4.1}
$$

where $\Phi_i(t, k)$ are two linear combinations of the physical fields $\zeta(t, k)$, the curvature perturbation, and $\delta \rho_d(t, k)$, the perturbation of the dust energy density. This Hamiltonian was then the basis from which we constructed the conditions guaranteeing the absence of tachyonic instabilities on large scales. As the final set of variables is a mix of the initial, gaugedependent quantities, one might naturally wonder if a different choice of variables would yield different results. Thus we wished to study this question and compare the gauge-dependent variables with a choice of gauge-independent variables.

In order to get an insight into the dependence of the mass on the choice of variables we will choose a gauge invariant combination which will describe the perturbations. Then, we will make a change of coordinates to this new field, δ_{ϕ} , and proceed to study the mass. In order to simplify the comparison we will choose to study the final-state de Sitter (dS) background. This is a reasonable choice as our universe already experiences a dark-energy dominated phase. On doing this, we will employ the EFToDE/MG formalism which allows for late-time dS solutions citeCreminelli:2006xe. Since we restrict our attention to the dS background, we will have one, and only one, propagating scalar d.o.f., because matter fields are sub-dominant. Then, it is possible to exactly define the speed of propagation and the mass of this gauge-invariant field representing δ_{ϕ} . Even though the value for the mass of the dark energy field is exact only on the dS background, it is expected to be a reliable approximation for its value at late times, i.e. when $z \approx 0$.

Besides the mass we will proceed to investigate, during the dS stage, the behaviour of the speed of propagation in a model independent fashion. On doing so we need to consider the limit $k/(aH) \gg 1$ as a potential gradient instability might manifest itself at those scales. However, on dS, as time progresses one needs to consider increasingly larger values for k , as a grows exponentially (whereas H remains constant). Subsequently, as the system evolves, the same modes will be rapidly stretched to cosmological scales. Now, in general, we find that the speed of propagation for the dark energy perturbation does not necessarily vanish, even for the Horndeski subclasses of theories. In fact, the numerical value of the speed of propagation is model dependent, and its non-negativity can be set as a constraint in order to have a final stable dS. If this constraint is not satisfied (i.e. $c_s^2 < 0$) then we will expect that the late time evolution cannot evolve towards a dS background even though at the level of the background the dS case is an attractor solution. On the other hand, for lower value of $k/(aH)$, the mass of the mode will play a more important role. In this case one needs to impose, in general, a constraint on the value of the mass for the dark energy perturbation field in order to obtain a stable dS.

A final source of instability might show up for those theories which exhibit a small or vanishing speed of propagation. In this case the subleading order term in the high $k/(aH)$ expansion becomes relevant and can potentially lead to unstable solutions. We will discuss this in depth and we will present the necessary constraints in order to avoid such instability.

The work in this Chapter is based on [33]: *de Sitter limit analysis* for dark energy and modified gravity models with A. De Felice and N. Frusciante. In Sec. 4.2 we give a general overview of the EFToDE/MG approach for dark energy and modified gravity and we introduce a gauge invariant quantity to describe the dark energy field. In Sec. 4.3 we show that the parameter space identified by imposing the no-ghost condition and a positive speed of propagation for scalar modes does not change when considering different quantities describing the dynamics of the extra d.o.f.. In Sec. 4.4, we discuss the dS limit by using the EFToDE/MG framework, we discuss the evolution of the extra scalar d.o.f. on different regime, i.e. low and large k, by deriving the speed of propagation and the mass term. In Sec. 4.5, in order to make our results concrete we apply them to specific well known models, such as K-essence, Galileons and low-energy Hoˇrava gravity. Finally, in Sec. 4.6 we summarize and discuss potential future steps.

4.2 Modifying General Relativity

In the present analysis we will employ a general and unifying approach to parametrize any deviation from General Relativity obtained by including one extra scalar d.o.f. in the action, i.e. the effective field theory for Dark Energy and Modified Gravity [14, 15]. For the present purpose the EFToDE/MG approach has the advantage of keeping our results very general as all the well known theories of gravity with one extra scalar d.o.f. can be cast in the EFToDE/MG framework[14, 15, 37, 39, 65].

The EFToDE/MG is constructed in the unitary gauge, i.e. uniform time hypersurfaces correspond to uniform field hypersurfaces. This results in the scalar perturbation being absorbed by the metric. Let us now introduce the action which can be constructed by solely geometric quantities. The general form is:

$$
S^{(2)} = \int d^4x \sqrt{-g} \left[\frac{m_0^2}{2} (1 + \Omega(t)) R^{(4)} + \Lambda(t) - c(t) \delta g^{00} + \frac{M_2^4(t)}{2} (\delta g^{00})^2 - \frac{\bar{M}_1^3(t)}{2} \delta g^{00} \delta K - \frac{\bar{M}_2^2(t)}{2} (\delta K)^2 - \frac{\bar{M}_3^2(t)}{2} \delta K_\nu^\mu \delta K_\mu^\nu + \frac{\hat{M}^2(t)}{2} \delta g^{00} \delta R^{(3)} + m_2^2(t) (g^{\mu\nu} + n^\mu n^\nu) \partial_\mu g^{00} \partial_\nu g^{00} \right],
$$
 (4.2)

where as usual m_0^2 is the Planck mass, $g_{\mu\nu}$ and g are respectively the four dimensional metric and its determinant, $\delta g^{00} = 1 + g^{00}$, whereas $R^{(4)}$ and $R^{(3)}$ are respectively the trace of the four dimensional and three dimensional Ricci scalar, n_{μ} is the normal vector, $K_{\mu\nu}$ and K are the extrinsic curvature and its trace. All the operators appearing in the action are invariant under the time dependent spatial-diffeomorphisms and they are expanded in perturbations up to second order around a flat Friedmann-Lemaître-Robertson-Walker (FLRW) background. The notation $\delta A =$ $A - A^{(0)}$ indicates the linear perturbation of the operator A with $A^{(0)}$ its background value. The functions appearing in front of each operator are unknown functions of time and usually they are named EFT functions. In particular, $\{\Omega(t), c(t), \Lambda(t)\}\$ are called background EFT functions because these are the only functions that appear in the background Friedmann equations. Finally one can opt to work directly with the field perturbation by restoring the full diffeomorphism invariance, through the Stückelberg technique. This step is useful either when the gauge is not well defined or when studying the evolution of the perturbations with numerical tool, such as $EFTCAMB/ETCosmoMC$ [eftweb, 22, 23, 80].

For the present purpose we adopt the action (4.2), which includes theories like Horndeski/Generalized Galileon [78, 79], beyond Horndeski

(GLPV) [66] and low-energy Hoˇrava gravity [35, 36, 55], without the new operators presented in Chapter 2.

Now, let us use the Arnowitt-Deser-Misner (ADM) formalism [19] and expand the line element around the flat FLRW background. Keeping only the scalar part of the metric, we get

$$
ds^{2} = -(1+2\delta N)dt^{2} + 2\partial_{i}\psi dt dx^{i} + [a^{2}(1+2\zeta)\delta_{ij} + 2\partial_{i}\partial_{j}\gamma] dx^{i} dx^{j},
$$
 (4.3)

where as usual $\delta N(t, x^i)$ is the perturbation of the lapse function, $\partial_i \psi(t, x^i)$, $\zeta(t, x^i)$ and $\gamma(t, x^i)$ are the scalar perturbations respectively of N_i and of the metric tensor of the three dimensional spatial slices, h_{ij} , and $a(t)$ is the scale factor. In the following, since we choose the unitary gauge, we also set $\gamma(t, x^i) = 0$.

We have shown in Chapter 3 that the above EFToDE/MG action can be written as:

$$
S^{(2)} = \int dt d^3x a^3 \left\{ -\frac{F_4(\partial^2 \psi)^2}{2a^4} - \frac{3}{2} F_1 \dot{\zeta}^2 + m_0^2 (\Omega + 1) \frac{(\partial \zeta)^2}{a^2} - \frac{\partial^2 \psi}{a^2} \left(F_2 \delta N - F_1 \dot{\zeta} \right) \right.+ 4m_2^2 \frac{[\partial (\delta N)]^2}{a^2} + \frac{F_3}{2} \delta N^2 + \left[3F_2 \dot{\zeta} - 2 \left(m_0^2 (\Omega + 1) + 2 \hat{M}^2 \right) \frac{\partial^2 \zeta}{a^2} \right] \delta N \right\}, \quad (4.4)
$$

where we have defined

$$
F_1 = 2m_0^2(\Omega + 1) + 3\bar{M}_2^2 + \bar{M}_3^2,
$$

\n
$$
F_2 = HF_1 + m_0^2 \dot{\Omega} + \bar{M}_1^3,
$$

\n
$$
F_3 = 4M_2^4 + 2c - 3H^2F_1 - 6m_0^2H\dot{\Omega} - 6H\bar{M}_1^3,
$$

\n
$$
F_4 = \bar{M}_2^2 + \bar{M}_3^2,
$$
\n(4.5)

and $H \equiv \dot{a}/a$ is the Hubble function and δN and ψ are auxiliary fields. Varying the action with respect to δN and ψ yields the Hamiltonian and momentum constraints:

$$
\frac{2k^2\zeta\left(2\hat{M}^2 + m_0^2(\Omega + 1)\right)}{a^2} + 3F_2\dot{\zeta} + \frac{8m_2^2k^2\delta N}{a^2} + F_2\frac{k^2\psi}{a^2} + F_3\delta N = 0,
$$

$$
\delta N F_2 - F_1\dot{\zeta} - \frac{F_4}{a^2}k^2\psi = 0.
$$
 (4.6)

Finally, solving for the auxiliary fields one can eliminate them from the action, hence obtaining the following Lagrangian, written in compact form in 3D Fourier space:

$$
S^{(2)} = \int d^4x \, a^3 \left\{ \mathcal{L}_{\dot{\zeta}\dot{\zeta}}(t,k)\dot{\zeta}^2 - \frac{k^2}{a^2} G(t,k)\zeta^2 \right\} \,, \tag{4.7}
$$

where

$$
\mathcal{L}_{\dot{\zeta}\dot{\zeta}}(t,k) = \frac{\mathcal{A}_1(t) + \frac{k^2}{a^2}\mathcal{A}_4(t)}{\mathcal{A}_2(t) + \frac{k^2}{a^2}\mathcal{A}_3(t)}, \qquad G(t,k) = \frac{\mathcal{G}_1(t) + \frac{k^2}{a^2}\mathcal{G}_2(t) + \frac{k^4}{a^4}\mathcal{G}_3}{(\mathcal{A}_2(t) + \frac{k^2}{a^2}\mathcal{A}_3(t))^2},
$$
\n(4.8)

are respectively the kinetic and gradient term. The $A_i(t)$ and $G_i(t)$ coefficients are listed in Appendix 4.7 for a general FLRW background. In the next section they will be specified in the dS limit.

Besides the curvature perturbation $\zeta(t, k)$ one can choose to undo the unitary gauge and work directly with the Stückelberg field, namely π , by performing a broken time translation $t \to t - \pi(t, \vec{x})$. In order to obtain an unperturbed metric after the translation one needs to recognize that $\zeta = -H\pi$ [131]. However, these fields are not gauge invariant. In this work, we will define a gauge invariant quantity which will describe the evolution of the dark energy field at level of perturbations. Let us introduce the one-form

$$
n_{\mu} = \frac{\partial_{\mu}\phi}{\sqrt{-g^{\alpha\beta}\partial_{\alpha}\phi\partial_{\beta}\phi}} = \frac{\delta_{\mu}^{0}}{\sqrt{-g^{00}}},
$$
\n(4.9)

which would define the 4-velocity along the field-fluid. On the other hand, looking for deviation from General Relativity, when the matter fields are negligible we can can rewrite the Einstein equations as follows

$$
m_0^2 G_{\mu\nu} = T^{\phi}_{\mu\nu} \,. \tag{4.10}
$$

This equation can always be written, and the modifications of gravity have been named in terms of its effective stress-energy tensor, $T^{\phi}_{\mu\nu}$, independently of the EFToDE/MG which we are considering. Therefore, we can define

$$
\rho_{\phi} \equiv T^{\phi}_{\mu\nu} n^{\mu} n^{\nu} = m_0^2 G_{\mu\nu} n^{\mu} n^{\nu} , \qquad (4.11)
$$

where the second part of this equation holds on-shell, that is, on implementing the equations of motion (at any order). Notice that the definition given in eq. (4.11) is covariant and, as such, valid even at non-linear order, and does not depend on the choice of the gauge. Since we want the results to match a more phenomenological approach we will define, at linear order the following gauge invariant combination to describe the dark energy field, namely

$$
\delta_{\phi} \equiv \frac{\delta \rho_{\phi}}{\bar{\rho}_{\phi}} + \frac{\dot{\bar{\rho}}_{\phi}}{\bar{\rho}_{\phi}} \left[\psi - a^2 \frac{d}{dt} \left(\frac{\gamma}{a^2} \right) \right], \tag{4.12}
$$

where, using the background Friedmann equation from action (4.2) and assuming that no matter fields are present, on the background we can

define

$$
\bar{\rho}_{\phi} = 2c - \Lambda - 3m_0^2 H^2 (\Omega + a\Omega_{,a}), \qquad (4.13)
$$

and

$$
\delta \rho_{\phi} \equiv \rho_{\phi} - \bar{\rho}_{\phi} \,. \tag{4.14}
$$

We notice here that δ_{ϕ} reduces to $\delta \rho_{\phi}/\bar{\rho}_{\phi}$ in the Newtonian gauge. Comma a is the derivative with respect to the scale factor.

We will find the equation of motion for δ_{ϕ} which in general assumes the following from

$$
\ddot{\delta}_{\phi} + \mu_3(t, k) \dot{\delta}_{\phi} + \mu_6(t, k) \delta_{\phi} = 0.
$$
 (4.15)

The coefficient of δ_{ϕ} is the friction term and its sign will damp or enhance the amplitude of the field fluctuations. While μ_6 contains both the speed of propagation of the dark energy field and the information about of the mass which, in principle, can be both negative or positive. The above equation will allow us to define the mass of the dark energy perturbation field, which in the next section will be exact on the de Sitter background, and approximate at low redshifts, $z \approx 0$.

4.3 The Ghost and Gradient instabilities

By studying the curvature perturbation field, one can immediately work out the stability conditions, namely the no-ghost condition, the positive speed of propagation and the tachyonic condition as weas done in Chapter 2 and 3. The first two conditions, i.e. the combination of no-ghost and positive-squared-speed conditions, give equivalents constraints for both the ζ and δ_{ϕ} fields, in the high-k regime [126]. We will show it in the following. Let us consider the action (4.7) and the field transformation

$$
\delta_{\phi} = \alpha_3(t, k)\dot{\zeta} + \alpha_6(t, k)\zeta. \tag{4.16}
$$

We will show in the following section that it is possible to derive this relation and find explicit expressions for $\{\alpha_3, \alpha_6\}$. For the moment we assume that such an expression exist, since we have only one independent d.o.f. (the curvature perturbation, ζ), so that any other field (for example δ_{ϕ} in this case) can be constructed out of a linear combination of ζ and its first time derivative ζ . Then, on introducing an arbitrary function, $E(t, k)$ (note, it is not a field), we can construct the action

$$
S^{(2)} = \int d^4x \, a^3 \left\{ \mathcal{L}_{\dot{\zeta}\dot{\zeta}}(t,k)\dot{\zeta}^2 - \frac{k^2}{a^2} G(t,k)\zeta^2 - E(t,k) \left(\delta_\phi - \alpha_3 \dot{\zeta} - \alpha_6 \zeta\right)^2 \right\},\tag{4.17}
$$

4.3 The Ghost and Gradient instabilities

and it is clear that δ_{ϕ} is a Lagrange multiplier so that we can use its own equation of motion to remove it from the action. On performing this step we can see that eq. (4.17) reduces to eq. (4.16) . This step may look like superfluous, but it allows us to change the dynamical field variable in the Lagrangian from ζ to δ_{ϕ} . Thus, since E is a free function, if $\alpha_3 \neq 0$, on choosing it to be $E = \mathcal{L}_{\dot{\zeta}\dot{\zeta}}/\alpha_3^2$, we immediately see that the kinetic quadratic term proportional to $\dot{\zeta}^2$ disappears and the action can be rewritten, after integrations by parts, as

$$
\mathcal{S}^{(2)} = \int d^4 x \, a^3 \left\{ \left[\frac{\left(H \left(\eta_{\mathcal{L}} - \eta_3 + \eta_6 + 3 \right) \alpha_3 - \alpha_6 \right) \alpha_6 \mathcal{L}_{\dot{\zeta}\dot{\zeta}}}{\alpha_3^2} - \frac{k^2}{a^2} G \right] \zeta^2 \right. \\ \left. + \left(-\frac{2 \mathcal{L}_{\dot{\zeta}\dot{\zeta}} \dot{\delta}_{\phi}}{\alpha_3} + \frac{\left(-2 H \left(\eta_{\mathcal{L}} - \eta_3 + 3 \right) \alpha_3 + 2 \alpha_6 \right) \mathcal{L}_{\dot{\zeta}\dot{\zeta}} \delta_{\phi}}{\alpha_3^2} \right) \zeta \right. \\ \left. - \frac{\delta_{\phi}^2 \mathcal{L}_{\dot{\zeta}\dot{\zeta}}}{\alpha_3^2} \right\}, \tag{4.18}
$$

where we have defined

$$
\eta_{\mathcal{L}} \equiv \frac{\dot{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}}}{H\mathcal{L}_{\dot{\zeta}\dot{\zeta}}}, \qquad \eta_{3} \equiv \frac{\dot{\alpha}_{3}}{H\alpha_{3}}, \qquad \eta_{6} \equiv \frac{\dot{\alpha}_{6}}{H\alpha_{6}}.
$$
 (4.19)

Therefore, we have succeeded to make ζ become a Lagrange multiplier and, as such, in general, it can be integrated out (using its own equation of motion), leaving δ_{ϕ} as the propagating independent scalar d.o.f..

It should be noted, that integrating out ζ is only possible whenever the term proportional to ζ^2 in Eq. (4.18) does not vanish. If this case occurs, as we shall see later on happening in some theories for which both α_6 and G vanish, then the field δ_{ϕ} cannot be chosen as the independent field used to describe the system of scalar perturbations.

After removing the auxiliary field ζ , we can rewrite the action as

$$
S^{(2)} = \int d^4x \, a^3 \left[\frac{a^2}{k^2} \left(Q(t,k) \, \dot{\delta}_\phi^2 - \mathcal{G}(t,k) \, \frac{k^2}{a^2} \, \delta_\phi^2 \right) \right],\tag{4.20}
$$

where the coefficients are listed in Appendix 4.7. Therefore, the no-ghost condition for the field δ_{ϕ} can be read as

$$
\lim_{\frac{k}{aH}\to\infty} Q = \lim_{\frac{k}{aH}\to\infty} \frac{\mathcal{L}_{\dot{\zeta}\dot{\zeta}}^2}{G\alpha_3^2} = \frac{\mathcal{A}_3(t)^2}{\mathcal{G}_3(t)} \lim_{\frac{k}{aH}\to\infty} \frac{\mathcal{L}_{\dot{\zeta}\dot{\zeta}}^2}{\alpha_3^2} > 0, \tag{4.21}
$$

which implies

$$
\mathcal{G}_3(t) > 0\tag{4.22}
$$

and we have assumed that for any function $f(t, k)$ in the Lagrangian, we have, for large k's, that $f(t, k) = \bar{f}(t) + \mathcal{O}(k^{-2})$. If the previous assumption does not hold, then we need to discuss case by case what happens for the limit. On using again the above assumption, the speed of propagation can be defined as

$$
c_s^2 = \lim_{\frac{k}{aH} \to \infty} \frac{\mathcal{G}}{Q} = \lim_{\frac{k}{aH} \to \infty} \frac{G}{\mathcal{L}_{\dot{\zeta}\dot{\zeta}}} = \frac{\mathcal{G}_3(t)}{\mathcal{A}_3(t)\mathcal{A}_4(t)},\tag{4.23}
$$

which we require to be positive defined. On combining both the constraints we find

$$
\mathcal{A}_3(t)\,\mathcal{A}_4(t) > 0\,. \tag{4.24}
$$

If we consider the stability conditions defined by the field ζ , we find the no-ghost condition

$$
\lim_{\frac{k}{aH} \to \infty} \mathcal{L}_{\dot{\zeta}\dot{\zeta}} = \frac{\mathcal{A}_4(t)}{\mathcal{A}_3(t)} > 0, \qquad (4.25)
$$

which, together with

$$
c_s^2 = \lim_{\frac{k}{aH} \to \infty} \frac{G}{\mathcal{L}_{\dot{\zeta}\dot{\zeta}}} = \frac{\mathcal{G}_3(t)}{\mathcal{A}_3(t)\mathcal{A}_4(t)} \ge 0, \qquad (4.26)
$$

imply $\mathcal{G}_3 > 0$. Thus, both fields propagate with the same speed. Note that these results apply on a general FLRW background.

This calculation shows that the no-ghost condition and the speed of propagation must be calculated in the high- k regime and in such a limit they become invariants, meaning that they do not change when we change the propagating scalar d.o.f.. It should be noticed that the no-ghost conditions do not coincide but the final set of conditions do for ζ and δ_{ϕ} .

Since the mass term is not a quantity which is sensitive to the high k regime, we should not expect, in general, it behaves as an invariant. Therefore, each propagating field will have its own mass. However, here we are considering physical fields, i.e. fields for which we can attach a clear physical meaning and both δ_{ϕ} and ζ need to remain less than unity for the background to be stable. Therefore, a mass instability for δ_{ϕ} , leading this field to reach unity, will imply in general some instability for the field ζ and viceversa. In order to find the mass of the field δ_{ϕ} we will investigate its equation of motion. We will perform this calculation in the following sections.

4.4 The de Sitter Limit

In this section we will consider the EFToDE/MG action (4.7) in the limit of a dS universe. Such a limit is a good approximation in those regimes in which the dark energy component is dominant over any matter fluids, e.g. very late time. In this case the background Friedmann equation simply reduces to

$$
3m_0^2 H_0^2 = \bar{\rho}_\phi,\tag{4.27}
$$

where the dark energy density, $\bar{\rho}_{\phi}$ has been defined in eq. (4.13). From the assumption of a dS universe, it follows that $H = \text{const} = H_0$ and the dark energy density is a constant as well. Therefore, eq. (4.13) is a constraint. As a result the dark energy density acts like a cosmological constant. As it is well known such a realization can be obtained, beside the cosmological constant itself, by considering a modified gravity theory with a scalar field whose solution can mimic such a behaviour. Then, eq. (4.27) can be integrated and one immediately gets

$$
a(t) = a_0 e^{tH_0}, \t\t(4.28)
$$

where a_0 is an integration constant.

The EFToDE/MG approach preserves a direct link with those theories of modified gravity which show one extra scalar d.o.f. and they can be fully mapped in the EFToDE/MG language as in Chapter 2 and refs. [14, 15, 37, 39, 65]. Then, by using the mapping with specific theories and the solution in the dS limit for the chosen theories, we can deduce the behaviour of the EFT functions. In case of Horndeski [78] or Generalized Galileon [79] and beyond Horndeski/GLPV [66], when the shift symmetry is applied, the dS universe can be realized when the kinetic term is a constant, i.e. $X = -\dot{\phi}^2 = const$ [132, 133]. In this case all the EFT functions are constants and the constraint (4.13) is always satisfied. Kessence models [134] also admit a dS limit with $\phi = const$, when the general function of the kinetic term, namely $\mathcal{K}(X)$, has a polynomial form. In this case the roots of the polynomial obtained by solving the equation $d\mathcal{K}/dX = 0$ are the constant values for the derivative of the field. A more general class of theories is the one with $m_2^2 \neq 0$, to which low-energy Hoˇrava gravity [35, 36, 55] belongs. Such theory admits a dS solution [46, 135] and also in this case the EFT functions are constants. We will assume that the EFT functions on a dS background for all theory having $m_2^2 \neq 0$ are constant. In the following, assuming constant EFT functions will greatly simplify the whole treatment.

Moreover, by assuming $\Omega = const$ in the dS limit the EFToDE/MG

background equations reduce to the following forms

$$
3m_0H_0^2(1+\Omega) + \Lambda = 0,
$$

\n
$$
3m_0H_0^2(1+\Omega) + \Lambda - 2c = 0.
$$
\n(4.29)

Then, it is easy to deduce the following relations

$$
c = 0, \quad \bar{\rho}_{\phi} = -\frac{\Lambda}{1 + \Omega}.
$$
\n
$$
(4.30)
$$

The generality of the EFToDE/MG approach in describing linear modifications of gravity due to an extra scalar d.o.f., allows us to perform a very general analysis in the dS limit for a wide range of theories. However, it is worth to notice that a unique treatment is not possible because subclasses of models, corresponding to specific choices of EFT functions are expected to show up. Therefore, in the following we will mainly consider three subclasses corresponding to

- General case: ${F_4, m_2^2} \neq 0$, to this class belong all models with higher then two spatial derivatives;
- Beyond Horndeski (or GLPV) models: $\{F_4, m_2^2\} = 0;$
- Hořava gravity-like models: $m_2^2 \neq 0$ and $3F_2^2 + F_3F_1 = 0$.

For all of them we will study the behaviours of the curvature perturbation, $\zeta(t, k)$ as well as of the gauge independent quantity describing the dark energy field $\delta_{\phi}(t,k)$.

4.4.1 The general case

We will now investigate the stability of the dS universe in the general case, i.e. by assuming all operators to be active. In contrast to the next cases this corresponds to the case ${F_4, m_2^2} \neq 0$. The kinetic and gradient terms for this case have the same form as in (4.8), where now the terms A_i and \mathcal{G}_i are constants and they can be obtained from the time dependent expressions in the Appendix 4.7 by setting all the EFT functions to be constant. They are:

$$
\mathcal{L}_{\dot{\zeta}\dot{\zeta}}(t,k) = \frac{(F_1 - 3F_4) \left((3F_2^2 + F_1F_3) + 8\frac{k^2}{a(t)^2} F_1 m_2^2 \right)}{2 \left((F_2^2 + F_3F_4) + 8\frac{k^2}{a(t)^2} F_4 m_2^2 \right)},
$$
\n(4.31)

$$
G(t,k) = \left(16F_4^2m_2^2\left(-4m_0^2(\Omega+1)\left(m_2^2-\hat{M}^2\right)+4\hat{M}^4+m_0^4(\Omega+1)^2\right)\frac{k^4}{a^4} + 8F_4\left(4m_0^2(\Omega+1)\left(F_2^2\left(\hat{M}^2-2m_2^2\right)+F_3F_4\left(\hat{M}^2-2m_2^2\right)\right)\right)
$$

4.4 The de Sitter Limit

+
$$
3(F_1 - 3F_4) F_2 H_0 m_2^2
$$
 + $4\hat{M}^2 \left(F_2^2 \hat{M}^2 + F_3 F_4 \hat{M}^2$
+ $6(F_1 - 3F_4) F_2 H_0 m_2^2$ + $(F_2^2 + F_3 F_4) m_0^4 (\Omega + 1)^2 \frac{k^2}{a^2}$
+ $F_2 (F_1 - 3F_4) (F_2^2 + F_3 F_4) H_0 \left(2\hat{M} + m_0^2 (\Omega + 1) \right)$
- $(F_2^2 + F_3 F_4)^2 m_0^2 (\Omega + 1) / \left(\left((F_2^2 + F_3 F_4) + 8F_4 \frac{k^2}{a(t)^2} m_2^2 \right)^2 \right)$. (4.32)

We assume that $\{F_2,(F_1 - 3F_4), 2\hat{M}^2 + m_0^2(\Omega + 1)\} \neq 0$, leaving the treatment of these special cases at the end of this section. Now from action (4.7), one can derive the field equation for the curvature perturbation, ζ , in the dS limit, which reads

$$
\ddot{\zeta} + \left(3H_0 + \frac{\dot{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}}}{\mathcal{L}_{\dot{\zeta}\dot{\zeta}}}\right)\dot{\zeta} + \frac{k^2}{a(t)^2}\frac{G}{\mathcal{L}_{\dot{\zeta}\dot{\zeta}}} \zeta = 0.
$$
 (4.33)

We notice that in the above equation there is no dispersion coefficient.

Let us now analyse two limiting cases of the above equation. In the limiting case in which k^2/a^2 is small, the term proportional to ζ in the above equation is sub-dominant and it can be neglected, thus the curvature perturbation behaves as follows

$$
\zeta(t) = C_2 - \frac{C_1 e^{-3H_0 t}}{3H_0},\tag{4.34}
$$

where C_i are integration constant. Because the second term is a decaying mode, we can deduce from the above result that the curvature perturbation is conserved. On the contrary, when k^2/a^2 really matters, the equation of ζ reduces to

$$
\ddot{\zeta} + 3H_0 \dot{\zeta} + \left(\frac{k^2}{a(t)^2} c_s^2 + \tilde{\mu}_{un}\right) \zeta = 0, \qquad (4.35)
$$

where we have defined the squared speed of propagation of the mode ζ at high-k as in eq. (4.26) and $\tilde{\mu}_{un}$ is the next to leading order term in the high-k expansion of $G/\mathcal{L}_{\dot{\zeta}\dot{\zeta}}$. We will refer to $\tilde{\mu}_{un}$ as the undamped effective mass of the mode. When considering a dS background these two terms assume the following constant form

$$
c_s^2 = \frac{G_3}{A_3 A_4} = \frac{F_4 \left(-4m_0^2 (\Omega + 1) \left(m_2^2 - \hat{M}^2\right) + 4\hat{M}^4 + m_0^4 (\Omega + 1)^2\right)}{2F_1 \left(F_1 - 3F_4\right) m_2^2},
$$

$$
\tilde{\mu}_{un} = -(-12F_1 \left(F_1 - 3F_4\right) F_2 H_0 m_2^2 \left(2\hat{M}^2 + m_0^2 (\Omega + 1)\right)
$$

+
$$
F_2^2 \left(3F_4 \left(-4m_0^2(\Omega+1) \left(m_2^2 - \hat{M}^2\right) + 4\hat{M}^4 + m_0^4(\Omega+1)^2\right) + 4F_1m_2^2m_0^2(\Omega+1)\right)
$$

+ $F_1F_3F_4 \left(2\hat{M}^2 + m_0^2(\Omega+1)\right)^2)/(16F_1^2(F_1 - 3F_4)m_2^4).$ (4.36)

Now, let us consider (4.35) for a general friction coefficient, χ . Then for high-k, we choose an approximate plane wave solution of the form $\zeta \propto \exp(-i\omega t)$, which, after substituting in the previous equation we get the following algebraic equation:

$$
-\omega^2 - \chi i H_0 \omega + \left(\frac{c_s^2 k^2}{a(t)^2} + \tilde{\mu}_{un}\right) = 0, \qquad (4.37)
$$

The equation has the following solution

$$
\omega = -\frac{\chi}{2} H_0 i \pm \omega_0, \qquad (4.38)
$$

where

$$
\omega_0 \equiv \sqrt{\frac{c_s^2 k^2}{a(t)^2} + \tilde{m}^2}, \qquad \tilde{m}^2 \equiv \tilde{\mu}_{un} - \frac{\chi^2}{4} H_0^2, \qquad (4.39)
$$

and \tilde{m}^2 represents the damped mass of the oscillatory part of the solution. The imaginary part of ω corresponds instead to the decaying (damped) part of the solution. Since we are in the high-k regime, we expect that in general $\frac{c_s^2 k^2}{a^2} + \tilde{m}^2 > 0$. In this case we are in the presence of an underdamped oscillator, for which the solution reads

$$
\zeta(t) \approx e^{-\chi H_0 t/2} (C_1 \cos \omega_0 t + C_2 \sin \omega_0 t),
$$

and no instability occurs.

Now, an example of where the next to leading order term becomes relevant for stability is when the speed of sound is small or vanishing, i.e. $c_s^2 \simeq 0$. Then, when $\tilde{m}^2 < 0$, one has $\frac{c_s^2 k^2}{a^2} + \tilde{m}^2 < 0$, yielding the following solution:

$$
\zeta(t) \approx e^{-\chi H_0 t/2} (C_1 e^{-|\omega_0| t} + C_2 e^{|\omega_0| t}), \qquad (4.40)
$$

which represents overdamped solutions when $|\omega_0| < \chi H_0/2$. On the other hand, if the model has $\frac{c_s^2 k^2}{a^2} + \tilde{m}^2 < 0$ and $|\omega_0| > \chi H_0/2$, then the mode

$$
\zeta(t) \propto e^{\left(-\frac{\chi}{2}H_0 + |\omega_0|\right)t} \tag{4.41}
$$

is exponentially growing. For $c_s^2 \simeq 0$ and $\tilde{m}^2 < 0$ this implies a catastrophic instability when

$$
\tilde{\mu}_{un} < 0 \qquad \text{and} \qquad |\tilde{\mu}_{un}| \gg H_0^2. \tag{4.42}
$$

Besides the case described above, when $c_s^2 < 0$ and $|\tilde{\mu}_{un}| \simeq H_0$ another instability arises. This is then the usual gradient instability.

The above discussion is directly applicable to the eq. (4.35) presented in this section when $\chi = 3$. We will show that the above arguments will be still valid in the high-k-limit of the dark energy field for the general case as well as for the other sub-cases discussed in the following, for which one will only need to employ this analysis for different values of χ . In such instances we will refer back to this paragraph instead of repeating the whole discussion.

However, in general the speed of propagation is not vanishing, thus the extra d.o.f. propagates also in a dS universe and the solution, when $\tilde{\mu}_{un}$ is negligible reads:

$$
\zeta(t,k) = \frac{1}{8H_0} \left[\sin\left(\frac{k}{a(t)}\frac{c_s}{H_0}\right) \left(3C_2H_0 + 8C_1\frac{k}{a(t)}c_s\right) + \cos\left(\frac{k}{a(t)}\frac{c_s}{H_0}\right) \left(8C_1H_0 - 3C_2\frac{k}{a(t)}c_s\right) \right],
$$
(4.43)

which can be approximated as

$$
\zeta(t,k) \approx \frac{c_s}{8H_0} \frac{k}{a(t)} \left[8C_1 \sin\left(\frac{k}{a(t)} \frac{c_s}{H_0}\right) - 3C_2 \cos\left(\frac{k}{a(t)} \frac{c_s}{H_0}\right) \right]. \tag{4.44}
$$

This solution decays, even for a very large k as the scale factor grows exponentially.

Finally, in order to ensure a stable dS universe one has to impose some stability requirements. Following the discussion in the previous section and the results in Chapters 2 and 3, we have respectively for the avoidance of scalar and tensor ghosts

$$
\frac{F_1(F_1 - 3F_4)}{F_4} > 0, \qquad m_0^2(1 + \Omega) - \bar{M}_3^2 > 0,
$$
 (4.45)

which need to be combined with the requirement of positive speeds of propagation for scalar and tensor modes

$$
c_s^2 = \frac{F_4 \left(-4m_0^2 (\Omega + 1) \left(m_2^2 - \hat{M}^2\right) + 4\hat{M}^4 + m_0^4 (\Omega + 1)^2\right)}{8F_1 \left(F_1 - 3F_4\right) m_2^2},
$$

$$
c_T^2 = 1 + \frac{\bar{M}_3^2}{m_0^2 (1 + \Omega) - \bar{M}_3^2}.
$$
 (4.46)

At this point one may wonder if a tachyonic condition can be applied. In Chapter 2 it has been shown that by performing a field redefinition in order to obtain a canonical action, one can define an effective mass term, which in the small k limit gives the correct condition. If we apply such condition in the dS limit the effective mass associated to our general case is vanishing. Moreover as discussed before, in case the speed of propagation for the ζ field becomes very small at high-k, one has also to ensure that the following conditions do not apply: $\tilde{\mu}_{un} < 0$ and $|\tilde{\mu}_{un}| \gg H_0^2$. However, as already discussed the mass term is sensitive to a field redefinition, thus in order to impose a condition on the mass which holds regardless of the considered field but containing the real information about the mass of the dark energy field, we need to investigate the behaviour of the gauge invariant quantity δ_{ϕ} .

In the dS universe the gauge invariant quantity defined in eq. (4.12) reads

$$
\delta_{\phi} = \frac{\delta \rho_{\phi}}{\bar{\rho}_{\phi}} = \frac{2\dot{\zeta}}{H} - 2\,\delta N - \frac{2}{3} \frac{\nabla^2 \zeta + \nabla^2 \psi}{a^2 H^2} \,,\tag{4.47}
$$

which can be easily obtained from the first line in eq. (4.6) . Moreover, from the same equations we found that δ_{ϕ} can be written as in eq. (4.16) and it is then used to derive the eq. (4.15). In the dS universe the coefficients of the eqs. $(4.15)-(4.16)$ are

$$
\alpha_3(t,k) = \frac{\tilde{\alpha}_3 + \frac{k^2}{a(t)^2} \frac{4}{F_1} \mathcal{A}_4}{3H_0(\mathcal{A}_2 + \frac{k^2}{a(t)^2} \mathcal{A}_3)}, \quad \alpha_6(t,k) = \frac{2k^2}{3H_0^2 a(t)^2} \left[\frac{\tilde{\alpha}_6}{(\mathcal{A}_2 + \frac{k^2}{a(t)^2} \mathcal{A}_3)} + 1 \right],
$$

$$
\mu_3(t,k) = H_0 \frac{\sum_{m=0}^7 b_m \frac{k^{2m}}{a^{2m}}}{\sum_{m=0}^7 c_m \frac{k^{2m}}{a^{2m}}}, \quad \mu_6(t,k) = \frac{\sum_{n=0}^{10} d_n \frac{k^{2n}}{a^{2n}}}{\sum_{n=0}^9 f_n \frac{k^{2n}}{a^{2n}}}, \quad (4.48)
$$

where here the $\{b_i, c_i, d_i, f_i, \tilde{\alpha}_i\}$ are constants. Note that the above results might have some limiting cases when the determinants of the above relations go to zero. In what follows we are assuming a non-vanishing denominator.

For the dark energy field in the regime in which k^2/a^2 is negligible, we have

$$
\mu_3 = 5H_0 + \mathcal{O}(k^2), \qquad \mu_6 = 6H_0^2 + \mathcal{O}(k^2),
$$
\n(4.49)

where $\mu_6 \equiv m^2$ can be read as a mass term, which in this case is positive and of the same order of H_0^2 , thus no instability takes place. Moreover, because the value of the mass is fixed (i.e. does not depend on the specific value of the EFT functions one can assume), this result is quite general. We also stress that such results can be also safely applicable at low redshifts, as we know at those z the universe is mostly dark energy

dominated and thus approaching a dS universe. Finally, the dark energy field evolves following

$$
\delta_{\phi}(t) = C_1 e^{-3H_0 t} + C_2 e^{-2H_0 t}, \qquad (4.50)
$$

and because the friction term is positive, its effect will be to damp the amplitude of the field. Then, in this regime the δ_{ϕ} field effectively has a mass, while the ζ field has not. This is one of the main differences which characterize the gauge invariant field δ_{ϕ} .

In the opposite regime, we have

$$
\mu_3 = 7H_0 + \mathcal{O}(k^{-2}), \qquad \mu_6 = \left(c_s^2 \frac{k^2}{a(t)^2} + \mu_{un}\right) + \mathcal{O}(k^{-2}), \quad (4.51)
$$

where also in this case we have defined a speed of propagation of the mode δ_{ϕ} at high-k, which coincides with the speed of propagation for the field ζ as discussed in Sec. 4.3 and we have defined, in analogy with the previous case, μ_{un} as the effective undamped mass for the dark energy filed, which in this case assumes the following form:

$$
\mu_{un} = 10H_0^2 + \frac{\mathcal{A}_3(\mathcal{A}_4\mathcal{G}_2 - \mathcal{A}_1\mathcal{G}_3) + \mathcal{A}_4\mathcal{G}_3(4\mathcal{A}_4H_0^2 - \mathcal{A}_2)}{\mathcal{A}_3^2\mathcal{A}_4^2},\qquad(4.52)
$$

which is the next to leading order term in μ_6 . From (4.51) we see that the equation of motion has the form

$$
\ddot{\delta}_{\phi} + 7H_0 \dot{\delta}_{\phi} + \left(\frac{c_s^2 k^2}{a^2} + \mu_{un}\right) \delta_{\phi} = 0, \qquad (4.53)
$$

which is exactly the same form of the equation of the ζ field at high-k. Thus the discussion presented earlier is also applicable here, for $\chi = 7$ and μ_{un} given by eq. (4.52). Finally, the the damped mass of the oscillatory mode is

$$
\hat{m}^2 \equiv \mu_{un} - \frac{49}{4} H_0^2. \tag{4.54}
$$

Therefore, an instability might manifest itself when $c_s^2 \simeq 0$ and $\hat{m}^2 < 0$. To be precise, when one has $\frac{c_s^2 k^2}{a^2} + \hat{m}^2 < 0$, one must impose $\mu_{un} < 0$ and $|\mu_{un}| \gg H_0^2$ in order to avoid said instability.

Finally we present the solution at leading order and when μ_{un} is negligible:

$$
\delta_{\phi}(t,k) \approx \frac{k^3}{a(t)^3} \frac{c_s^3}{1920H_0^3} \left(1575c_2 \cos\left(\frac{k}{a(t)}\frac{c_s}{H_0}\right) - 128c_1 \sin\left(\frac{k}{a(t)}\frac{c_s}{H_0}\right)\right) ,
$$
\n(4.55)

which is decaying for an exponentially growing scale factor.

When one considers the case where all the operators are active it is necessary to highlight a number of limiting cases where a different behaviour emerges:

• case $F_2 = 0$. In this case, one is still able to solve the constraint equation to write the action in the form (4.7), with the following coefficients:

$$
\mathcal{L}_{\dot{\zeta}\dot{\zeta}} = \frac{1}{2} F_1 \left(\frac{F_1}{F_4} - 3 \right),
$$

\n
$$
G(t, k) = \frac{1}{8 \frac{k^2}{a(t)^2} m_2^2 + F_3} \left[2 \frac{k^2}{a(t)^2} \left(-4m_0^2 (\Omega + 1) \left(m_2^2 - \hat{M}^2 \right) + 4 \hat{M}^4 + m_0^4 (\Omega + 1)^2 \right) - m_0^2 F_3 (\Omega + 1) \right],
$$
\n(4.56)

the speed of propagation of the curvature perturbation in the high- k limit (k^2/a^2) is

$$
c_s^2 = \frac{F_4 \left(-4m_0^2 (\Omega + 1) \left(m_2^2 - \hat{M}^2\right) + 4\hat{M}^4 + m_0^4 (\Omega + 1)^2\right)}{2F_1 \left(F_1 - 3F_4\right) m_2^2}.
$$
\n(4.57)

The results and the discussion we had in the general case work also in this case, one has just to replace the correct speed of propagation.

- case $F_1 3F_4 = 0$. In this case the kinetic term in action (4.7) is vanishing, thus follows that the curvature perturbation $\zeta = 0$ as well as the dark energy field. These theories lead to strong coupling thus they cannot be considered in the EFT context.
- case $2\hat{M}^2 + m_0^2(1+\Omega) = 0$. After computing the kinetic and gradient terms, it is straightforward to verify that the gradient term is negative. Indeed, it has the form $G = -m_0^2(\Omega + 1)$, and the stability condition to avoid ghost in tensor modes imposes that $1 + \Omega > 0$. Now, considering that the kinetic terms is positive as well, to guarantee that the scalar modes have no-ghosts, we can conclude that the speed of propagation in negative, thus this subclass of theories in the dS limit shows an instability.

In summary, we have analysed the evolution and stability of the curvature perturbation and the gauge invariant dark energy field for a quite general case. We have found that the curvature perturbation is conserved at large scale, as expected, and at small scale it evolves with a non zero speed of propagation, which finally decays as the scale factor grows with time (eq. (4.55)). The δ_{ϕ} field at large scale appears to have mass which results to be positive and of same order of H_0^2 , thus avoiding the tachyonic instability and along with the fact that at these scale it decays, these are the two characteristics that makes the two fields analysed to be different. We conclude this section saying that in order to have a stable dS universe the conditions which need to be satisfied are the requirements on the kinetic terms and speeds of propagations for scalar and tensor modes (see eqs. $(4.45)-(4.46)$) since the condition on the avoidance of tachyonic instability at large scale is always satisfied. However, one has to make sure that at high-k, in case $c_s^2 \simeq 0$ the mass associated to these modes do not show an instability, i.e. $\tilde{m}^2 < 0$ when $\tilde{\mu}_{un} < 0$ and $|\tilde{\mu}_{un}| \gg H_0^2$ for the ζ field and $\hat{m}^2 < 0$ when $\mu_{un} < 0$ and $|\mu_{un}| \gg H_0^2$ for the dark energy field.

4.4.2 Beyond Horndeski class of theories

In this section we will consider the EFToDE/MG action restricted to the beyond Horndeski class of theories, which corresponds to set $m_2^2 = 0$, $F_4 = 0$ in action (4.2). For such case in general we have both $\mathcal{L}_{\dot{\zeta}\dot{\zeta}}$ and G to be functions of time as in Chapter 2, but in the dS limit the kinetic and the gradient terms reduce to constants with the following expressions:

$$
\mathcal{L}_{\dot{\zeta}\dot{\zeta}} = \frac{1}{2}F_1 \left(\frac{F_1 F_3}{F_2^2} + 3 \right), \quad G = \frac{F_1 H_0 \left(2\hat{M}^2 + m_0^2 (\Omega + 1) \right) - F_2 m_0^2 (\Omega + 1)}{F_2}
$$
\n(4.58)

and because they are constant we can define the speed of propagation from the beginning without requiring any limit, and it reads

$$
c_s^2 = \frac{2F_2\left(F_1H_0\left(2\hat{M}^2 + m_0^2(\Omega + 1)\right) - F_2m_0^2(\Omega + 1)\right)}{F_1\left(3F_2^2 + F_1F_3\right)}.
$$
 (4.59)

In the following we will consider $\{F_2, F_1, (3F_2^2 + F_1F_3) \neq 0\}$. The requirement $F_1 \neq 0$ is ensured by the assumption that our theory reduces to GR, while the others cases will be considered at the end of this section. The stability conditions requires $\mathcal{L}_{\dot{\zeta}\dot{\zeta}} > 0$ and $c_s^2 > 0$ to guarantee the theory to be free from ghost in the scalar sector and to prevent gradient instabilities. To complete the set of stability conditions one has to include the conditions from the tensor modes, i.e. the no-ghost condition which reads $F_1/2 > 0$ and a positive tensor speed of propagation, that is $c_T^2 = 2m_0^2(1+\Omega)/F_1 > 0$. For the ζ field we can perform a filed redefinition and construct a canonical action as was done in Chapter 2, ,

from which we can read the effective mass. In the dS universe, such term is identically zero at all scale.

In the dS limit the analysis of the dynamical equation for ζ is straightforward:

$$
\ddot{\zeta} + 3H_0 \dot{\zeta} + \frac{k^2}{a(t)^2} c_s^2 \zeta = 0, \qquad (4.60)
$$

which has the same form of the equation for ζ in the general case (see eq. (4.33)), thus it has the same solutions in both the regimes, but the speed is now given by eq. (4.59). In summary, the curvature perturbation is conserved in the limit in which $k^2/a(t)^2$ is heavily suppressed and it slowly decays at high-k (see eq. (4.55)).

Now, let us consider the dark energy field, δ_{ϕ} defined in eq. (4.16). For the beyond Horndeski sub-case, the coefficients of eqs. (4.16)-(4.15) reduce as follows

$$
\alpha_3 = -\frac{2F_1(2F_2H_0 + F_3)}{3F_2^2H_0} \equiv \alpha_3^0,
$$
\n
$$
\alpha_6(t, k) = \frac{2k^2(-2F_2H_0(2\hat{M}^2 + m_0^2(\Omega + 1)) + F_2^2)}{3F_2^2H_0^2a(t)^2} \equiv \frac{k^2}{a(t)^2}\alpha_6^0,
$$
\n
$$
\mu_3(t, k) = -\frac{H_0(5\alpha_3^0(\alpha_6^0H_0 - \alpha_3^0c_s^2) - 7(\alpha_6^0)^2\frac{k^2}{a(t)^2})}{\alpha_3^0(\alpha_3^0c_s^2 - \alpha_6^0H_0) + (\alpha_6^0)^2\frac{k^2}{a(t)^2}},
$$
\n
$$
\mu_6(t, k) = \frac{1}{\alpha_3^0(\alpha_3^0c_s^2 - \alpha_6^0H_0) + (\alpha_6^0)^2\frac{k^2}{a(t)^2}} [6\alpha_3^0H_0^2(\alpha_3c_s^2 - \alpha_6^0H_0)
$$
\n
$$
+ \frac{k^2}{a(t)^2} [\alpha_6^0\alpha_3^0H_0c_s^2 + (\alpha_3^0)^2(c_s^2)^2 + 10(\alpha_6^0)^2H_0^2] + (\alpha_6^0)^2\frac{k^4}{a(t)^4}c_s^2],
$$

where α_3^0 and α_6^0 are constants. These relations have been obtained from eqs. (4.48), and from them it is easy to identify the b_i, c_i, d_i coefficients. The above expressions hold for $F_2 \neq 0$ and $\alpha_3^0 \left(\alpha_3^0 c_s^2 - \alpha_6^0 H_0 \right) + (\alpha_6^0)^2 \frac{k^2}{a^2} \neq$ 0. Let us note that in the latter, in order to realize $\alpha_3^0 \left(\alpha_3^0 c_s^2 - \alpha_6^0 H_0 \right)$ + $(\alpha_0^0)^2 \frac{k^2}{a^2} \rightarrow 0$, we have to consider that since all the coefficients are k-independent we need to have $\alpha_6^0 = 0$, then the remaining option is $c_s^2 = 0$. That is because $\alpha_3^0 \neq 0$ otherwise the dark energy field disappears. Therefore, the only configuration is with ${c_s², \alpha₀⁰} = 0$. We will consider the case $F_2 = c_s = 0$ at the end of this section.

In the limit in which k^2/a^2 is suppressed, these coefficients reduce to

$$
\mu_3 = 5H_0 + \mathcal{O}(k), \qquad \mu_6 = 6H_0^2 + \mathcal{O}(k^2).
$$
\n(4.62)

(4.61)

Then, the friction term μ_3 will dump the amplitude of the dark energy field, while $\mu_6 = m^2$ will act as positive dispersive coefficient or a "mass" one. These results are independent on the specific theory one may consider and the mass of the dark energy field is positive. This is a general result, which allows us to conclude that all the theories belonging to this subclass do not experience tachyonic instability in a dS universe, and it is quite safe to assume that this results holds also at $z \approx 0$. Moreover, the solution of eq. (4.15) reads

$$
\delta_{\phi}(t,0) = D_1 e^{-3H_0 t} + D_2 e^{-2H_0 t},\tag{4.63}
$$

where D_i are integration constant. Therefore, we can conclude that the dark energy field is damped.

On the other hand, for large k^2/a^2 we get

$$
\mu_3 = 7H_0 + \mathcal{O}(k^{-2}), \qquad \mu_6(t, k) = 2H_0 \left(\frac{\alpha_3^0 c_s^2}{\alpha_6^0} + 5H_0 \right) + \frac{k^2}{a(t)^2} c_s^2 + \mathcal{O}(k^{-2}),
$$
\n
$$
(4.64)
$$

with $\alpha_6^0 \neq 0$. Also in this limit the μ_3 coefficient will dump the amplitude of the dark energy field, while the second coefficient assumes the form

$$
\mu_6(t,k) \equiv \left(\frac{k^2}{a(t)^2}c_s^2 + \mu_{un}\right),
$$
\n(4.65)

where the speed of the dark energy field in this regime is the same of the original ζ field and μ_{un} follows directly from the previous expression. The analysis done in the previous section for the high- k limit of the dark energy field is directly applicable to this case. Let us just recall that an instability might occurs when at high- k the speed of propagation is very small, as it can happen that $\hat{m}^2 < 0$ when $\mu_{un} < 0$ and $|\mu_{un}| \gg H_0^2$. When, μ_{un} is negligible as in the previous case, we can solve the equation and we find the same behaviour of the general case (eq. (4.55)).

As before we now separately consider some special cases:

• ${c_s^2, \alpha_6}$ = 0. In case $c_s^2 = 0$ the ζ field has the solution

$$
\zeta(t) = \tilde{C}_1 - \frac{\tilde{C}_2}{3H_0} e^{-3H_0 t}, \qquad (4.66)
$$

which predicts the conservation of the curvature perturbation at any scale.

When going to the dark energy field, δ_{ϕ} , which is related to the ζ field through the eq. (4.16), one can notice two main aspects. Firstly, because $\alpha_6 = 0$, the dark energy field is identified as ζ up

to a constant (α_3) and hence it requires one boundary condition less. Additionally, when carefully studying the Lagrangian after changing the field, eq. (4.20), it is clear that the kinetic term for the dark energy field diverges for high- k . This is due to the fact that the speed is vanishing which translates to the gradient term being zero. Hence, it must be concluded that, for this particular case, the choice for the dark energy field is inappropriate and should not be considered.

- $F_2 = 0$: Considering the action (4.4), by varying with respect to ψ immediately follows that $\dot{\zeta} = 0$. Thus the extra scalar d.o.f. does not propagate.
- $3F_2^2 + F_1F_3 = 0$: in this case the kinetic term is zero and the curvature perturbation is vanishing. These theories show strong coupling thus they cannot be considered in the EFT approach.

We conclude by saying that the results of the previous section also apply to the beyond Horndeski class of theories considered in the present section. Moreover, the main result here is also that the speed of propagation of the scalar mode in general does not vanish as instead previously found in literature. We will show some practical examples in Sec. 4.5.

4.4.3 Hořava gravity like models

Let us now consider a special case in which $m_2^2 \neq 0$ and $3F_2^2 + F_3F_1 = 0$. This subclass of models includes the low-energy Hořava gravity model. The action can be written as

$$
S^{(2)} = \int d^4x a(t)^3 \frac{k^2}{a(t)^2} \left\{ \frac{\mathcal{A}_4}{\mathcal{A}_2 + \frac{k^2}{a(t)^2} \mathcal{A}_3} \dot{\zeta}^2 - \left(\frac{k^2}{a(t)^2} \frac{\mathcal{G}_2 + \frac{k^2}{a(t)^2} \mathcal{G}_3}{(\mathcal{A}_2 + \frac{k^2}{a(t)^2} \mathcal{A}_3)^2} + \frac{\mathcal{G}_1}{(\mathcal{A}_2 + \frac{k^2}{a(t)^2} \mathcal{A}_3)^2} \right) \zeta^2 \right\}
$$
(4.67)

with an overall factor $k^2/a(t)^2$. For this case in the dS limit the no-ghost and positive speed conditions read

$$
\frac{\mathcal{A}_4}{\mathcal{A}_3} > 0, \qquad c_s^2 = \frac{\mathcal{G}_3}{\mathcal{A}_3 \mathcal{A}_4} > 0, \tag{4.68}
$$

along with the usual conditions for the stability of tensor modes

$$
m_0^2(1+\Omega) - \bar{M}_3^2 > 0
$$
, $c_T^2(t) = 1 + \frac{\bar{M}_3^2}{m_0^2(1+\Omega) - \bar{M}_3^2} > 0$. (4.69)

The conditions on the speeds reduce to $\mathcal{G}_3 > 0$ and $1 + \Omega > 0$. Just for simplicity, let us rewrite the above action as follows

$$
S^{(2)} = \int d^4x a^3 \frac{k^2}{a(t)^2} \left\{ \tilde{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}}(t,k)\dot{\zeta}^2 - \left(\frac{k^2}{a^2}\tilde{G}(t,k) + \tilde{M}(t,k)\right)\zeta^2 \right\},\tag{4.70}
$$

where the definitions of the above coefficients immediately follows from the action (4.67). The field equation for the curvature perturbation can then be written in a compact form as

$$
\ddot{\zeta} + \left(3H_0 + \frac{\dot{\tilde{\mathcal{L}}}_{\dot{\zeta}\dot{\zeta}}}{\tilde{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}}}\right)\dot{\zeta} + \left(\frac{k^2}{a^2}\frac{\tilde{G}}{\tilde{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}}} + \frac{\tilde{M}}{\tilde{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}}}\right)\zeta = 0, \qquad (4.71)
$$

where in this case a dispersion coefficient for the field ζ appears in the evolution equation. Let us now analyse the two limit as in the previous cases.

In the case k^2/a^2 is sub-dominant $\tilde{M} \neq 0$ and we have

$$
\ddot{\zeta} + 3H_0 \dot{\zeta} + \bar{m}^2 \zeta = 0, \qquad (4.72)
$$

where we have defined the mass term at low k as

$$
\bar{m}^2 = \lim_{\frac{k^2}{a^2} \to 0} \frac{\tilde{M}}{\tilde{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}}} = \frac{\mathcal{G}_1}{\mathcal{A}_2 \mathcal{A}_4} \,. \tag{4.73}
$$

In order to avoid a instability coming from the mass term we require $|\bar{m}^2| << H_0^2$. The solution reads

$$
\zeta(t) = C_1 e^{\frac{1}{2}t \left(-\sqrt{9H_0^2 - 4\bar{m}^2} - 3H_0\right)} + C_2 e^{\frac{1}{2}t \left(\sqrt{9H_0^2 - 4\bar{m}^2} - 3H_0\right)}.
$$
 (4.74)

When $9H_0^2 - 4\bar{m}^2 > 0$, both the exponentials are purely negative hence both modes are decaying. In the opposite case the solution is a decaying oscillator.

In the limit in which k^2/a^2 is dominant the above equation reduces to

$$
\ddot{\zeta} + 5H_0 \dot{\zeta} + \left(\frac{k^2}{a(t)^2} c_s^2 + \tilde{\mu}_{un}\right) \zeta = 0, \qquad (4.75)
$$

where

$$
\tilde{\mu}_{un} = \frac{(\mathcal{A}_3 \mathcal{G}_2 - \mathcal{A}_2 \mathcal{G}_3)}{\mathcal{A}_3^2 \mathcal{A}_4}.
$$
\n(4.76)

Let us note that in this limit \tilde{M} is of $\mathcal{O}(k^{-2})$ and the above mass-like term comes from the 0th order expansion of the term $\tilde{G}/\tilde{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}}$. Also in

this case we can apply the analysis of Sec. 4.4.1, for $\chi = 5$, and conclude that, when $\frac{k^2}{a^2}c_s^2 + \tilde{m}^2 > 0$ no instability occurs, while when the speed is small or negligible some growing modes or instability might take place if $\bar{\mu}_{un} < 0$. In the case $\tilde{\mu}_{un} < \frac{k^2}{a^2} c_s^2$, the solution of the above equation at leading order is:

$$
\zeta(t,k) \approx -\frac{k^2}{a(t)^2} \frac{c_s^2}{96H_0^2} \left(45c_2 \sin\left(\frac{k}{a(t)} \frac{c_s}{H_0}\right) + 32c_1 \cos\left(\frac{k}{a(t)} \frac{c_s}{H_0}\right) \right),\tag{4.77}
$$

which decays in time.

Now, let us consider the dark energy field. The definitions of the α_i functions which enter in the relation between ζ and δ_{ϕ} can be found in Appendix 4.7 after applying the restriction to this subcase. In the regime in which k^2/a^2 is sub-dominant, the equation for the dark energy field has the following coefficients

$$
\mu_3 = 3H_0 + \mathcal{O}(k^2), \qquad \mu_6 = \frac{\mathcal{G}_1}{\mathcal{A}_2 \mathcal{A}_4} + \mathcal{O}(k^2).
$$
\n(4.78)

As expected in this case the mass term is the dominant one and $\mu_6 \equiv \bar{m}^2$. Thus in this limit the solution is the same of the curvature perturbation.

In the opposite regime, we have

$$
\mu_3 = 9H_0 + \mathcal{O}(k^{-2}), \qquad \mu_6 = \left(\mu_{un} + \frac{k^2}{a(t)^2}c_s^2\right) + \mathcal{O}(k^{-2}), \quad (4.79)
$$

where

$$
\mu_{un} = -\frac{-\mathcal{A}_3 \left(6F_2^2 \mathcal{G}_3 H_0^2 + F_3 F_4 \left(6\mathcal{G}_3 H_0^2 + \mathcal{G}_2\right)\right) + \mathcal{A}_2 F_3 F_4 \mathcal{G}_3 - 14 \mathcal{A}_3^2 \mathcal{A}_4 F_3 F_4 H_0^2}{\mathcal{A}_3^2 \mathcal{A}_4 F_3 F_4}.
$$
\n(4.80)

Again here we obtain a behaviour following the one of the ζ field but with a different dispersive coefficient. When μ_{un} is negligible the solution at leading order is again an oscillatory decaying mode

$$
\delta_{\phi} \approx \frac{k^4}{a(t)^4} \frac{c_s^4}{53760 H_0^4} \left(99225 C_2 \sin\left(\frac{k}{a(t)} \frac{c_s}{H_0}\right) + 512 C_1 \cos\left(\frac{k}{a(t)} \frac{c_s}{H_0}\right) \right). \tag{4.81}
$$

In conclusion, along with the conditions discussed in the beginning of this section for avoiding ghosts and having positive squared speeds of propagations, we need to make sure that $|\bar{m}^2| \ll H_0^2$. Additionally, when the speed of propagation is small, one needs to guarantee that both μ_{un} and $\tilde{\mu}_{un}$ do not cause an instability. This set of conditions will ensure the system to be stable. We will provide a working example in Sec. 4.5, where the above results are applied for low-energy Hořava gravity.

4.5 Working examples

In this section we will apply the results we have derived in the previous sections to specific models, i.e. K-essence, Horndeski/Galileon models, low-energy Hořava gravity.

4.5.1 Galileons

We consider here the Generalised Galileon Lagrangians, and we will apply the stability conditions derived for the beyond Horndeski models (Sec. 4.4.2). The complete Galileon action is the following [79]:

$$
S_{GG} = \int d^4x \sqrt{-g} \left(L_2 + L_3 + L_4 + L_5 \right), \tag{4.82}
$$

where the Lagrangians have the following structure:

$$
L_2 = \mathcal{K}(\phi, X),
$$

\n
$$
L_3 = G_3(\phi, X) \Box \phi,
$$

\n
$$
L_4 = G_4(\phi, X)R - 2G_{4X}(\phi, X) \left[(\Box \phi)^2 - \phi^{;\mu\nu} \phi_{;\mu\nu} \right],
$$

\n
$$
L_5 = G_5(\phi, X)G_{\mu\nu}\phi^{;\mu\nu} + \frac{1}{3}G_{5X}(\phi, X) \left[(\Box \phi)^3 - 3\Box \phi \phi^{;\mu\nu} \phi_{;\mu\nu} + 2\phi_{;\mu\nu}\phi^{;\mu\sigma} \phi^{;\nu}_{;\sigma} \right],
$$
\n(4.83)

here $G_{\mu\nu}$ is the Einstein tensor, $X \equiv \phi^{;\mu} \phi_{;\mu}$ is the kinetic term and $\{K, G_i\}$ (i = 3, 4, 5) are general functions of the scalar field ϕ and X, and $G_{iX} \equiv \partial G_i/\partial X$.

The Cubic Galileon model

We start by specializing action (4.82) to a well known model, i.e. the Cubic Galileon, which corresponds to the following choice of the functions

$$
K(X) = -\frac{g_2}{2}X
$$
, $G_3(X) = \frac{g_3}{M^3}X$, $G_4 = \frac{m_0^2}{2}$, $G_5 = 0$, (4.84)

where ${g_2, g_3}$ are constant and $M^3 = m_0 H_0^2$.

In a dS universe the background equations become

$$
3m_0^2H_0^2 = 6\frac{g_3}{M^3}H_0\dot{\phi}^3 + \frac{1}{2}g_2\dot{\phi}^2, \qquad 3m_0^2H_0^2 = -\frac{1}{2}g_2\dot{\phi}^2. \tag{4.85}
$$

From the first Friedmann equation one can define the density of the dark energy field at the background, that is

$$
\bar{\rho}_{\phi} = 6 \frac{g_3}{M^3} H_0 \dot{\phi}^3 + \frac{1}{2} g_2 \dot{\phi}^2, \qquad (4.86)
$$

and after manipulating the equations, one gets a constraint equation

$$
6\frac{g_3}{M^3}H_0\dot{\phi}^3 + g_2\dot{\phi}^2 = 0, \qquad (4.87)
$$

which corresponds to $c = 0$, and from which follows [86, 132]

$$
\dot{\phi} \equiv \dot{\phi}_0 = const, \qquad g_2 = -6\frac{g_3}{M^3}H_0\dot{\phi}_0. \tag{4.88}
$$

Considering the above results, the EFT functions corresponding to this model in the dS limit read

$$
\Lambda = -3\frac{g_3}{M_3}H_0\dot{\phi}_0^3 = -3m_0^2H_0^2, \qquad M_2^4 = \frac{3}{2}\frac{g_3}{M^3}H_0\dot{\phi}_0^3 = \frac{3}{2}m_0^2H_0^2, M_1^3 = -2\frac{g_3}{M^3}\dot{\phi}_0^3 = -2m_0^2H_0,
$$
\n(4.89)

while the others are vanishing.

Using the mapping and the results obtained in the previous section we obtain that the speed of propagation reduces to zero while the kinetic term diverges, implying that there is no scalar d.o.f. propagating in dS. This is an expected result as the cubic Galileon decouples from gravity in dS with a speed of sound of the form [14]

$$
c_s^2 = \frac{c}{c + M_2^4},\tag{4.90}
$$

which is exactly zero on the background.

K-essence

Motivated by the result for the cubic Galileon, where no scalar d.o.f. propagates on dS, we proceed to check if this holds in more Horndeski class theories. According to ref. [136] the complete set of Horndeski models (with $c = 0$) does not possess a scalar d.o.f. on a dS background, a statement which we wish to confront with specific examples.

We start with a well studied and rather simple theory by considering a K-essence model with a general $\mathcal{K}(X)$ and a standard Einstein-Hilbert term. In this case, we see that the background equations of motion impose

$$
\mathcal{K}_{,X}|_{X=X_0} = 0, \qquad \mathcal{K}(X_0) = -3m_0^2 H_0^2, \tag{4.91}
$$

where X_0 is the background value of X. The speed of propagation can be written, along with the no-ghost condition, as

$$
c_s^2 = \frac{\mathcal{K}_{,X}}{2X\mathcal{K}_{,XX} + \mathcal{K}_{,X}}, \qquad \mathcal{L}_{\dot{\zeta}\dot{\zeta}} = 2X\mathcal{K}_{,XX} + \mathcal{K}_{,X}.
$$
 (4.92)

Now, if K is analytical, we can consider a Taylor expansion around the point $X = X_0$. In such a case the background equations of motion impose

$$
\mathcal{K} = -3m_0^2 H_0^2 + \frac{\mathcal{K}_2}{2} (X - X_0)^2 + \frac{\mathcal{K}_3}{6} (X - X_0)^3 + \mathcal{O}[(X - X_0)^4], \tag{4.93}
$$

where $\mathcal{K}_2 \equiv \mathcal{K}_{,XX}(X_0)$, and $\mathcal{K}_3 \equiv \mathcal{K}_{,XXX}(X_0)$. Then, one finds that

$$
c_s^2 = \frac{1}{2X_0} (X - X_0) - \frac{1}{4\mathcal{K}_2 X_0^2} (3\mathcal{K}_2 + X_0 \mathcal{K}_3) (X - X_0)^2 + \mathcal{O}[(X - X_0)^3],
$$
\n(4.94)

and we have that, for an analytical function, $c_s^2 \to 0$ on dS. Hence, if one would want to design a K-essence model with a non zero speed of sound one has to resort to a non analytic form for K . Therefore, this is an example for which in the class of Horndeski models it is still possible to have a propagating d.o.f. in the dS universe. In the following we will show more.

Covariant Galileons

Let us study the dS solution for the Covariant Galileon [86], defined by the following choice of the functions:

$$
K(X) = \frac{c_2}{2} X, \quad G_3(X) = \frac{c_3 X}{2M^3}, \quad G_4(X) = \frac{m_0^2}{2} - \frac{c_4 X^2}{4M^6},
$$

$$
G_5(X) = \frac{3c_5 X^2}{4M^9}, \tag{4.95}
$$

We proceed by adopting the following definitions [99]

$$
X = -x_{dS}^2 m_0^2 H_0^2, \quad \alpha \equiv c_4 x_{dS}^4, \quad \beta \equiv c_5 x_{dS}^5, \quad (4.96)
$$

where $x_{dS} = \frac{\dot{\phi}_0}{m_0 H_0} |_{dS}$ being the dS solution and M has been defined before. Then, we find that the equations of motion for the background are fulfilled provided that

$$
c_2 x_{dS}^2 = 9\alpha - 12\beta + 6, \qquad c_3 x_{dS}^3 = 9\alpha - 9\beta + 2. \tag{4.97}
$$

In this case the no-ghost condition for the scalar mode can be written as

$$
\frac{\mathcal{L}_{\dot{\zeta}\dot{\zeta}}}{m_0^2} = -\frac{(3\,\alpha - 6\,\beta + 2)\,(3\,\alpha - 6\,\beta - 2)}{6\,(\alpha - 2\,\beta)^2} > 0\,,\tag{4.98}
$$

and the speed of propagation reduces to

$$
c_s^2 = \frac{(2\,\beta - \alpha)\left(15\,\alpha^2 - 48\,\alpha\,\beta + 36\,\beta^2 + 4\right)}{18\,\alpha^2 - 72\,\alpha\,\beta + 72\,\beta^2 - 8},\tag{4.99}
$$

which does not vanish in general. Finally, from the dark energy field sector, we obtain

$$
\mu_{un} = -\frac{1}{(-3\alpha + 6\beta + 2)^2} 4H_0^2 \left(15\alpha^3 - 6\alpha^2(13\beta + 5) + 2\alpha\left(66\beta^2 + 57\beta + 17\right) - 4\left(18\beta^3 + 27\beta^2 + 17\beta + 3\right)\right), \tag{4.100}
$$

which must be constrained, as discussed before, in the case of a vanishing speed of propagation. Correspondingly, we obtain for the tensor sector the following:

$$
\frac{A_T^2}{m_0^2} = \frac{1}{8} (3\alpha - 6\beta + 2) > 0, \qquad c_T^2 = \frac{\alpha - 2}{6\beta - 3\alpha - 2} \,. \tag{4.101}
$$

Considering the no-ghost condition and a positive speed of propagation it can be easily shown that a part of the parameter space allows for stable dS solutions with a non-vanishing speed of propagation. For example the choice $\alpha = -\frac{7}{5}$, and $\beta = -\frac{4}{5}$ achieves this. These values result in a relatively small speed of propagation for which $\mu_{un} > 0$. Thus no instability is present for these choice of parameters.

Models with $G_5(X) = 0$, and $G_4(X) = m_0^2/2$

Now, for the Covariant Galileon, setting $\alpha = 0 = \beta$, that is $G_4 = m_0^2/2$ and $G_5 = 0$, yields once more a vanishing c_s^2 while for the kinetic term implies $\mathcal{L}_{\dot{\zeta}\dot{\zeta}} \to +\infty$, i.e. weak coupling regime (see the Cubic Galileon case in the previous section). Therefore, the Covariant Galileon requires non-trivial G_4, G_5 in order to have a non-zero speed of propagation for the scalar modes.

It is possible to find models for which $G_4 = m_0^2/2$ and $G_5 = 0$, and, on dS, the speed of propagation does not vanish. We illustrate this by considering the model:

$$
K(X) = -c_2 \mu^4 \left(\frac{-X}{2M^4}\right)^p, \quad G_3(X) = c_3 \mu \left(\frac{-X}{2M^4}\right)^q, \quad G_4(X) = \frac{m_0^2}{2},
$$

$$
G_5(X) = 0,
$$
 (4.102)

where p and q are constants and μ is a typical length scale of the system. Using the same notation as for the Covariant Galileon we obtain from the background equations of motion the following:

$$
c_2 = \frac{3m_0^2 H^2}{\mu^4 (-X/(2M^4))^p}, \qquad c_3 = -\frac{p m_0^2 H}{\mu q (-X)^{1/2} (-X/(2M^4))^q}.
$$
\n(4.103)

and subsequently we obtain:

$$
\frac{\mathcal{L}_{\dot{\zeta}\dot{\zeta}}}{m_0^2} = \frac{3p(1-p+2q)}{(1-p)^2}, \quad c_s^2 = \frac{1-p}{3(1-p)+6q},
$$

$$
\mu_{un} = \frac{2H_0^2(21p^2-2p(18q+11)+1)}{3p(p-2q-1)},
$$
(4.104)

whereas the tensor modes do not add any new constraint. It is possible to find a stable dS on choosing $0 < p < 1$, and $q > -\frac{1}{2}(1-p)$. Finally, in order for the μ_{un} term to create an instability, one needs to look at the case of a very small (or vanishing) speed of sound, i.e. $p \to 1$ or $q \to \infty$. In both cases it turns out that $\mu_{un} = 12H_0^2$ hence no issues arise. As an example for the choice of parameters, we choose $p = 1/2$ and $q = 2$, for which all the conditions are satisfied with a speed of propagation of $c_s^2 = 1/27$, and the undamped mass of the modes is not negligible, as $\mu_{un} = 326/27 H_0^2.$

Therefore we have showed that, even in the absence of non-trivial G_4, G_5 , it is still possible to find models for which c_s^2 does not vanish on dS. This concludes our demonstration of the fact that Horndeski models do not necessarily imply a vanishing d.o.f. on a dS background as suggested by ref. [136].

4.5.2 Low-energy Hořava gravity

One well known model which falls in the above sub-case is the low-energy Hořava gravity $[35, 36, 55]$. The action of this theory is

$$
S_H = \frac{1}{16\pi G_H} \int d^4x \sqrt{-g} \left(K_{ij} K^{ij} - \lambda K^2 - 2\xi \bar{\Lambda} + \xi \mathcal{R} + \eta a_i a^i \right) (4.105)
$$

 $\{\lambda, \xi, \eta\}$ are dimensionless running coupling constants, Λ is the "bare" cosmological constant, G_H is the coupling constant which can be expressed as [64]

$$
\frac{1}{16\pi G_H} = \frac{m_0^2}{(2\xi - \eta)}.\tag{4.106}
$$

Expanding the above action in terms of the perturbed metric (4.3) and considering the mapping between this action and the EFToDE/MG framework, the action up to second order in perturbations can be recast in the the same form of action (4.67) and by using the redefinition (4.16) the action becomes the one in (4.70) . In order to specify the coefficients for action (4.70) and then analyse the solutions for this specific model, let us consider the background equation which in the dS limit is

$$
H_0^2 = \frac{2\xi\bar{\Lambda}}{3(3\lambda - 1)},
$$
\n(4.107)

from which follows

$$
\bar{\rho}_{\phi} = m_0^2 \frac{2\xi \bar{\Lambda}}{(3\lambda - 1)}.
$$
\n(4.108)

Now, we can specify all the EFT functions [39],

$$
(1+\Omega) = \frac{2\xi}{(2\xi - \eta)}, \quad \Lambda = -\frac{4m_0^2\xi^2\bar{\Lambda}}{(2\xi - \eta)(3\lambda - 1)}, \quad \bar{M}_3^2 = -\frac{2m_0^2}{(2\xi - \eta)}(1 - \xi),
$$

$$
\bar{M}_2^2 = -2\frac{m_0^2}{(2\xi - \eta)}(\xi - \lambda), \quad m_2^2 = \frac{m_0^2\eta}{4(2\xi - \eta)}, \quad \bar{M}_1^3 = \hat{M}^2 = c = M_2^4 = 0.
$$

(4.109)

Then, the no-ghost and gradient conditions at high-k read

$$
\frac{2(1-3\lambda)}{(\lambda-1)(\eta-2\xi)} > 0, \qquad c_s^2 = \frac{(\lambda-1)\xi(2\xi-\eta)}{\eta(3\lambda-1)} > 0,\tag{4.110}
$$

where the latter is different from zero even in the PPN limit ($\eta \to 2\xi - 2$). Additionally, when k/a is sub-dominant we obtain a vanishing mass term for the ζ field, i.e. $\bar{m}^2 = 0$. When k/a is dominant, we also need to consider the undamped mass for the ζ field, which is

$$
\tilde{\mu}_{un} = \frac{4H_0^2\xi}{\eta}.\tag{4.111}
$$

When studying the parameter space allowed further below it turns out that $\tilde{\mu}_{un}$ will remain manifestly positive, hence no instabilities will occur due to its presence. Now, when it comes to the gauge independent choice, the dark energy field, δ_{ϕ} adds no new conditions when demanding noghost and a positive speed of propagation as analysed in the previous section. The mass for this field at low k is vanishing as well. At high- k for the dark energy field we can define

$$
\mu_{un} = \frac{2H_0^2(\eta(21\lambda + 2\xi - 7) + 2\xi(3\lambda - 2\xi - 1))}{\eta(3\lambda - 1)},
$$
\n(4.112)

which has to be constrained if the speed is very small. Further below we will comment on its effect on the parameter space. Finally the tensor sector add the following set of constraints to the model:

$$
\frac{2}{2\xi - \eta} > 0, \qquad c_T^2 = \xi > 0.
$$
 (4.113)

Now it is possible to define a range of viability for the parameters of low-energy Hořava gravity based on this set of conditions, namely:

$$
0 < \eta < 2\xi, \qquad \lambda > 1 \quad \text{or} \quad \lambda < \frac{1}{3}, \tag{4.114}
$$

which is a very well known result. Keeping the above conditions in mind we turn our attention to the regime of a small speed, i.e. $\lambda \to 1$ or $\eta \to 2\xi$. In both cases it is easy to see that (4.111) will always be positive. On the contrary, (4.112) does show different behaviours. For $\lambda \to 1$ it is clear that an increasing ξ pushes it more and more to the strongly negative regime while η does the opposite. Now, for $\eta \to 2\xi$, it reduces to a constant, $\mu_{un} = 16H_0^2$. On top of these theoretical considerations one may want to consider additional constraints coming from PPN, binary pulsar or CherenKov [137]. Such additional constraints are complementary to the ones obtained in our paper and in case these have to be imposed, our results ensure that the theory is stable.

Finally, we will note that the relation between the original field ζ and the dark energy one for this specific case can be obtained by using the relations in Appendix 4.7 after applying the mapping provided in this section. The functions α_i appearing in eq. (4.16) result to be both functions of k and time.

4.6 Conclusion

Until now, when considering the EFToDE/MG in the unitary gauge, the curvature perturbation ζ has been the main focus of investigation when considering the question of stability. However, this choice of variable is gauge dependent, hence one might question if going to a gauge independent one the viable parameter space of the model changes and, most importantly, if such a gauge invariant quantity can be defined as the one describing the dynamical dark energy field. This motivated us to look for and construct a gauge independent quantity and, consequently, to perform a comparison with the results for the original field, ζ .

In this Chapter, we first proceeded to define a gauge invariant quantity which describes the linear density perturbation of the dark energy field. Such a definition is very general and applicable both in the presence of matter fields and in the late time universe. Then, moving to the explicit stability study of the scalar d.o.f., we focused on avoiding the usual set of instabilities namely ghost, gradient and tachyonic instabilities for both scalar and tensor modes. These are related to the sign of the kinetic term, the speed of propagation at high-k and the mass term at low-k respectively. Additionally, we studied the effect of sub-leading term in the high- k expansion as it might become important when the speed of propagation is small. Dubbed the effective undamped mass it can become problematic when it is strongly negative as the corresponding modes are unstable. Moreover, we showed that, by doing a field redefinition in the second order action from the curvature perturbation ζ to the dark energy field, the constrains arising by imposing the absence of ghost and gradient instabilities do not change.

Moving on to the mass terms the agreement between the two perturbations does not seem to hold as the mass terms are substantially different. It is then important to also consider the mass of the dark energy field, when setting the proper condition for the avoidance of tachyonic instability, as it has a real physical interpretation. In order to have an idea of the behaviour of the mass term, we studied modifications of gravity on a dS background and then we set and discussed the proper conditions one has to impose in order to ensure a stable dS universe. The existence of stable dS solutions is of value as it is expected to be the late time stage of the universe. As we wished to achieve model independent results we employed the usual EFToDE/MG while neglecting any matter components due to their heavily sub-leading behaviour.

As we saw in the previous Chapters, the all-encompassing nature of the original EFToDE/MG action dictates that a unique approach is not feasible as sub-cases might show up which need to be treated separately, a behaviour appearing due to the higher spatial derivative operators. In this Chapter we identified three main cases that deserved our attention: the case with all operators active, the beyond Horndeski class of models and the case encompassing low-energy Hořava gravity.

Starting with these three subcases we proceeded to study their theoretical stability by deriving the kinetic term and the speed of propagation. By demanding them to be positive, one guarantees that the theory is free of ghosts and gradient instabilities. Additionally, we supplemented them with the same conditions guaranteeing a stable tensor sector. As already discussed we find that the parameter space identified by the no-ghost and gradient conditions is independent of the field chosen to describe the scalar d.o.f.. In the general case when considering the low k/a limit it becomes clear that the two fields satisfy a different equation of motion. The curvature perturbations is conserved at those scales as the equation does not contain any mass term. On the contrary, the equation for the gauge invariant dark energy field appears to have a mass term which is positive and of the same order of H_0^2 , hence a tachyonic instability does not develop and the solution is an exponentially decaying mode. We can infer the same conclusion for the beyond Horndeski sub-case. On the other hand, we find that for the Hoˇrava like class both the curvature perturbation and the gauge invariant dark energy field satisfy the same equation of motion with a mass term dependent on the theory. The non zero mass term for the curvature perturbation can be attributed to the Lorentz violating nature of Hořava gravity. Thus we have to require that $|\bar{m}^2| \leq H_0^2$ in order to guarantee a stable dS universe.

In the high- k limit usually only the leading order is considered, identified as the speed of propagation. Constraining this to be positive is usually considered to be enough to guarantee stability of the corresponding modes. We proceeded to expand this analysis by not neglecting the next to leading order contribution, a term we dubbed the effective undamped mass. This term turns out be relevant for theories with a very small speed of propagation as it can become the source of an instability. Thus, in such a case, one needs to impose an additional constraint.

As a final comment we would like to emphasize that the speed of propagation was never identically zero. This is an interesting results when considering the Horndeski class of models as it was claimed that they do not propagate a scalar d.o.f. in dS [136]. While this can happen for specific cases, such as the Cubic Galileon and any analytic K-essence model, the statement does not hold in its full generality. To name one, the very well known Covariant Galileon theory has been studied and shown to propagate a d.o.f.. To complete its study we presented a parameter choice which not only propagates a d.o.f. but also guarantees a stable dS background.

Finally we believe that our results can be apllied at present time ($z \sim 0$) as well, as the dark energy field is dominating. However, in order to guarantee that this field remains stable along all the whole evolution of the universe, one has to properly derive the mass coefficient when the matter fluids are considered, as was done in the previous Chapter. In order to provide the mass associated to the, gauge invariant, dark energy density field one should construct the Hamiltonian for all the fields (perturbed dark energy density + fluid densities), then work out the associated eigenvalues and finally apply the . In light of the results of this work it might be important to investigate also the behaviour of the effective undamped mass term at high- k . Concluding, the gauge invariant quantity defined to describe dark energy is still valid when one includes the presence of matter. The difficulty will then lie in the disentangling of its dynamics from these new field and will require a separate investigation.

4.7 Appendix A: Notation

In this Appendix we will explicitly list all the coefficients used in the main text.

The kinetic term in action (4.7) reads

$$
\mathcal{L}_{\dot{\zeta}\dot{\zeta}}(t,k) = \frac{\mathcal{A}_1(t) + \frac{k^2}{a^2}\mathcal{A}_4(t)}{\mathcal{A}_2(t) + \frac{k^2}{a^2}\mathcal{A}_3(t)},
$$
\n(4.115)

where

$$
A_1(t) = (F_1 - 3F_4) (3F_2^2 + F_1F_3) ,
$$

$$
\mathcal{A}_2(t) = 2\left(F_2^2 + F_3 F_4\right),\n\mathcal{A}_3(t) = 16F_4 m_2^2,\n\mathcal{A}_4(t) = 8F_1 m_2^2 \left(F_1 - 3F_4\right),
$$
\n(4.116)

and the gradient term is

$$
G(t,k) = \frac{\mathcal{G}_1(t) + \frac{k^2}{a^2}\mathcal{G}_2(t) + \frac{k^4}{a^4}\mathcal{G}_3}{(\mathcal{A}_2(t) + \frac{k^2}{a^2}\mathcal{A}_3(t))^2},
$$
\n(4.117)

where

$$
G_{1}(t) = 4\Big[F_{2}(F_{2}^{2}+F_{3}F_{4})(F_{1}-3F_{4})H(2\hat{M}^{2}+m_{0}^{2}(\Omega+1))
$$

+ $2\Big(F_{2}^{3}((\hat{F}_{1}-3\hat{F}_{4})\hat{M}^{2}+(F_{1}-3F_{4})2\hat{M}\hat{M})$
+ $F_{2}\Big(F_{4}(3F_{4}-F_{1})\hat{F}_{3}\hat{M}^{2}+F_{3}\Big(-6F_{4}^{2}\hat{M}\hat{M}+F_{4}\Big(\hat{F}_{1}\hat{M}^{2})$
+ $F_{1}2\hat{M}\hat{M}\Big)-F_{1}\hat{F}_{4}\hat{M}^{2}\Big)\Big)-F_{2}^{2}(F_{1}-3F_{4})\hat{F}_{2}\hat{M}^{2}$
+ $F_{3}F_{4}(F_{1}-3F_{4})\hat{F}_{2}\hat{M}^{2}\Big)+m_{0}^{2}\Big(-\Big(-F_{2}^{3}(F_{1}\hat{\Omega}-3F_{4}\hat{\Omega})$
+ $(\Omega+1)(\hat{F}_{1}-3\hat{F}_{4})\Big)+F_{2}\Big(F_{4}\Big(F_{3}\Big(3F_{4}\hat{\Omega}-(\Omega+1)\hat{F}_{1}\Big)$
- $3F_{4}(\Omega+1)\hat{F}_{3}\Big)+F_{1}\Big(F_{3}\Big((\Omega+1)\hat{F}_{4}-F_{4}\hat{\Omega}\Big)+F_{4}(\Omega+1)\hat{F}_{3}\Big)\Big)$
+ $F_{2}^{2}(\Omega+1)\Big((F_{1}-3F_{4})\hat{F}_{2}+2F_{3}F_{4}\Big)$
+ $F_{3}F_{4}(\Omega+1)(F_{3}F_{4}-(F_{1}-3F_{4})\hat{F}_{2}\Big)+F_{2}^{4}(\Omega+1)\Big)\Big],$

$$
G_{2}(t) = 8\Big(4m_{0}^{2}\Big(-F_{4}(\Omega+1)(F_{3}F_{4}\Big(2m_{2}^{2}-\hat{M}^{2}\Big)-(F_{1}-3F_{4})m_{2}^{2}\hat{F}_{2}\Big)
$$

+ $F_{4}F_{2}^{2}(-(\Omega+1))\Big(2m_{2}^{2}-\hat{M}^{2}\Big)+F_{4}\Big(F_{1}-$

The kinetic and Gradient coefficients here are in a FLRW universe.

Here, we define the coefficients of action (4.20)

$$
S = \int d^4x \, a^3 \left[\frac{a^2}{k^2} \left(Q \, \dot{\delta}_\phi^2 - \mathcal{G} \, \frac{k^2}{a^2} \, \delta_\phi^2 \right) \right],\tag{4.119}
$$

with

$$
Q = \frac{\mathcal{L}_{\zeta\zeta}^{2} \left(G\alpha_{3}^{2} \frac{k^{2}}{a^{2}} - [H(\eta_{\mathcal{L}} - \eta_{3} + \eta_{6} + 3)\alpha_{3} - \alpha_{6}] \alpha_{6} \mathcal{L}_{\zeta\zeta} \right) \frac{k^{2}}{a^{2}}}{\left(\alpha_{6} \left(H(\eta_{3} - \eta_{6} - \eta_{\mathcal{L}} - 3)\alpha_{3} + \alpha_{6} \right) \mathcal{L}_{\zeta\zeta} + \frac{k^{2}}{a^{2}} G\alpha_{3}^{2} \right)^{2}}, \quad (4.120)
$$
\n
$$
G = \frac{\mathcal{L}_{\zeta\zeta}}{\left[\alpha_{6} \left(H(\eta_{3} - \eta_{6} - \eta_{\mathcal{L}} - 3)\alpha_{3} + \alpha_{6} \right) \mathcal{L}_{\zeta\zeta} + \frac{k^{2}}{a^{2}} G\alpha_{3}^{2} \right]^{2}} \left(G^{2} \alpha_{3}^{2} \frac{k^{4}}{a^{4}} + G\left\{ \left[\eta_{\mathcal{L}}^{2} + (5 - 2\eta_{3} - \eta_{G} + s_{\mathcal{L}}) \eta_{\mathcal{L}} + \eta_{3}^{2} + (\eta_{G} - s_{3} - 5)\eta_{3} - 3\eta_{G} \right. \right.}{\left. + 6 \right] H^{2} \alpha_{3}^{2} + 3 H(\eta_{3} - \eta_{6} + 1/3 \eta_{G} - 2/3 \eta_{\mathcal{L}} - 5/3) \alpha_{6} \alpha_{3} + \alpha_{6}^{2} \right\} \frac{k^{2}}{a^{2}} \mathcal{L}_{\zeta\zeta}.
$$
\n
$$
+ H^{2} \eta_{6} \left[H(\eta_{3}^{2} \alpha_{3} - \eta_{3} \eta_{6} \alpha_{3} - 2 \eta_{\mathcal{L}} \eta_{3} \alpha_{3} + \eta_{3} \alpha_{3} s_{3} - \eta_{3} \alpha_{3} s_{6} + \eta_{\mathcal{L}} \eta_{6} \alpha_{3} \right. \right.}
$$
\n
$$
+ 3 \alpha_{3} s_{6} + 9 \alpha_{3} \right) + \alpha_{6} \eta_{6} - \alpha_{6} \eta_{\mathcal{L}} -
$$

and

$$
s_{\mathcal{L}} \equiv \frac{\dot{\eta}_{\mathcal{L}}}{H \eta_{\mathcal{L}}}, \quad s_{3} \equiv \frac{\dot{\eta}_{3}}{H \eta_{3}}, \quad s_{6} \equiv \frac{\dot{\eta}_{6}}{H \eta_{6}}, \quad \eta_{G} = \frac{\dot{G}}{H G}. \tag{4.122}
$$

Moreover, the explicit expressions for the α_i and μ_i coefficients in the dS limit used in Sec. 4.4.1 are

$$
\alpha_3(t,k) = -\frac{2(F_1 - 3F_4)\left(2F_2H_0 + F_3 + 8\frac{k^2}{a^2}m_2^2\right)}{3H_0\left(F_2^2 + F_3F_4 + 8F_4\frac{k^2}{a^2}m_2^2\right)}
$$

$$
\alpha_6(t,k) = \frac{2k^2}{a(t)^2}\frac{H_0\left(F_4H_0 - 2F_2\right)\left(2\hat{M}^2 + m_0^2(\Omega + 1)\right) + F_2^2 + F_3F_4 + 8F_4\frac{k^2}{a^2}m_2^2}{3H_0^2\left(F_2^2 + F_3F_4 + 8F_4\frac{k^2}{a^2}m_2^2\right)},
$$
(4.123)

and

$$
\mu_3(t,k) = \frac{1}{\mathcal{L}_{\dot{\zeta}\dot{\zeta}}\left(\mathcal{L}_{\dot{\zeta}\dot{\zeta}}\left(\alpha_6^2 - 3H_0\alpha_3\alpha_6 + \alpha_6\dot{\alpha}_3 - \alpha_3\dot{\alpha}_6\right) - \alpha_6\alpha_3\dot{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}} + \frac{k^2}{a^2}G\alpha_3^2\right)} \times \left\{\mathcal{L}_{\dot{\zeta}\dot{\zeta}}\left(-6H_0\alpha_6\alpha_3\dot{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}} + \alpha_6\alpha_3\ddot{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}} + \alpha_6^2\dot{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}} + 2\alpha_6\dot{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}}\dot{\alpha}_3\right) \right. \\
\left. + \mathcal{L}_{\dot{\zeta}\dot{\zeta}}^2\left(3H_0\alpha_6\left(2\dot{\alpha}_3 + \alpha_6\right) - 9H_0^2\alpha_3\alpha_6\right)
$$

$$
- \alpha_6 (2\dot{\alpha}_6 + \ddot{\alpha}_3) + \alpha_3 \ddot{\alpha}_6) + 2\alpha_3 \dot{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}} \left(-\alpha_6 \dot{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}} \right) - \frac{k^2}{a^2} \mathcal{L}_{\dot{\zeta}\dot{\zeta}} \alpha_3^2 \dot{G} + \frac{k^2}{a^2} G \alpha_3 \left(\mathcal{L}_{\dot{\zeta}\dot{\zeta}} (5H_0 \alpha_3 - 2\dot{\alpha}_3) + 2\alpha_3 \dot{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}} \right) \right\}
$$
(4.124)

$$
\mu_6(t,k) = \frac{1}{a^2 \mathcal{L}_{\dot{\zeta}\dot{\zeta}} \left(a^2 \left(\mathcal{L}_{\dot{\zeta}\dot{\zeta}} \left(-3H_0 \alpha_3 \alpha_6 + \alpha_6 \dot{\alpha}_3 - \alpha_3 \dot{\alpha}_6 + \alpha_6^2\right) - \alpha_6 \alpha_3 \dot{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}}\right) + k^2 G \alpha_3^2\right)} \times \left\{a^2 \left(a^2 \left(\mathcal{L}_{\dot{\zeta}\dot{\zeta}} \left(-3H_0 \alpha_3 \left(-2\dot{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}}\dot{\alpha}_6\right) - \dot{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}} \left(2\dot{\alpha}_3 + \alpha_6\right) \dot{\alpha}_6\right)\right) + \alpha_3 \left(-\ddot{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}}\dot{\alpha}_6 + \dot{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}}\ddot{\alpha}_6\right)\right) + \mathcal{L}_{\dot{\zeta}\dot{\zeta}}^2 \left(-3H_0 \left(\alpha_6 \dot{\alpha}_6 + 2\dot{\alpha}_3 \dot{\alpha}_6 - \alpha_3 \ddot{\alpha}_6\right) + 9H_0^2 \alpha_3 \dot{\alpha}_6\right) + \dot{\alpha}_6 \ddot{\alpha}_3 - (\dot{\alpha}_3 + \alpha_6) \ddot{\alpha}_6 + 2\dot{\alpha}_6^2\right) + \alpha_3 \dot{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}} \left(2\dot{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}}\dot{\alpha}_6\right)\right) + k^2 \alpha_3 \dot{G} \left(\mathcal{L}_{\dot{\zeta}\dot{\zeta}} \left(-3H_0 \alpha_3 + \dot{\alpha}_3 + \alpha_6\right) - \alpha_3 \dot{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}}\right)\right) + k^2 a^2 G \left(\alpha_3 \left(5H_0 \alpha_3 \dot{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}} + \alpha_3 \ddot{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}} - 2\alpha_6 \dot{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}} - 2\dot{\mathcal{L}}_{\dot{\zeta}\dot{\zeta}}\dot{\alpha}_3\right
$$