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## **A comprehensive approach to assess walking ability and fall risk using the Interactive Walkway**

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### **Citation**

Geerse, D. J. (2019, May 8). *A comprehensive approach to assess walking ability and fall risk using the Interactive Walkway*. Retrieved from <https://hdl.handle.net/1887/72513>

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**Note:** To cite this publication please use the final published version (if applicable).

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**Issue Date:** 2019-05-08

## **Chapter 6**

### *Assessing walking adaptability in Parkinson's disease: "The Interactive Walkway"*



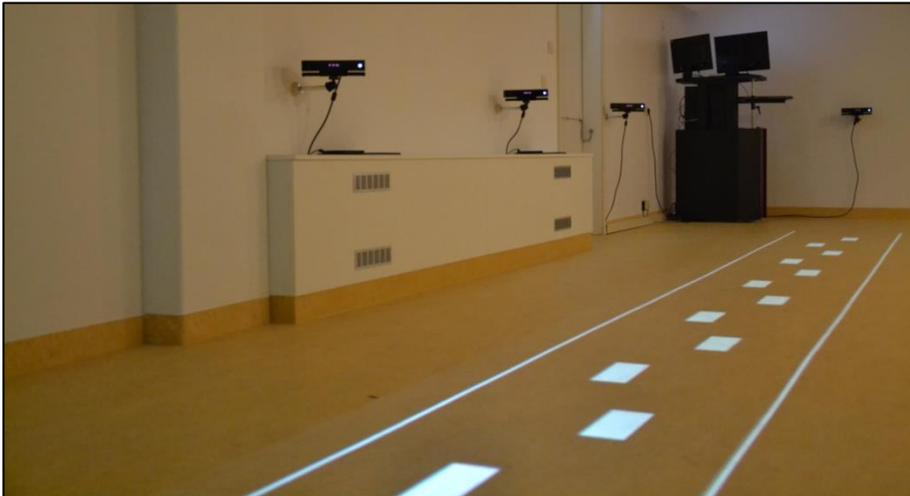
*Introduction. In patients with Parkinson's disease (PD) many aspects of walking ability deteriorate with advancing disease. Clinical tests typically evaluate single aspects of walking and to a lesser extent assess more complex walking tasks involving a combination of the three key aspects of walking ability (i.e., generating stepping, maintaining postural equilibrium, adapting walking). The Interactive Walkway allows for assessing more complex walking tasks to address features that are relevant for daily life walking of patients, including adaptive walking and dual-task walking. Methods. To evaluate the expected added value of Interactive Walkway assessments in PD patients, we first evaluated its known-groups validity for outcome measures of unconstrained walking, adaptive walking and dual-task walking. Subsequently, these outcome measures were related to commonly used clinical test scores. Finally, we evaluated the expected added value of these outcomes over clinical tests scores in discriminating PD patients with and without freezing of gait. Results. Interactive Walkway outcome measures showed significant differences between freezers, non-freezers and healthy controls, in expected directions. Most Interactive Walkway outcome measures were not or at best moderately correlated with clinical test scores. Finally, Interactive Walkway outcome measures of adaptive walking slightly better discriminated freezers from non-freezers than clinical tests scores. Conclusion. We confirmed the added value of Interactive Walkway assessments, which provides a comprehensive evaluation of walking ability incorporating features of its three key aspects. Future studies are warranted to examine the potential of the Interactive Walkway for the assessment of fall risk and informing on tailored falls prevention programs in PD patients and in other populations with impaired walking ability.*

## Introduction

Walking ability is a multifaceted construct which includes the ability to generate stepping, to maintain postural equilibrium, and to adjust walking to meet behavioral goals and environmental demands [1]. In Parkinson's disease (PD) these walking ability aspects all deteriorate to some extent with advancing disease. This is evidenced by an inability to generate effective stepping (e.g., freezing of gait [FOG]), a reduced ability to adapt walking to environmental circumstances, and a limited ability to combine walking with secondary tasks [2-5]. Such impairments in walking ability may contribute to an increased fall risk. This is clearly demonstrated in PD, where most falls are due to FOG, impaired adaptive walking resulting in trips, and limitations in dual-task walking [6,7]. Clinical tests to evaluate gait and balance disturbances in PD typically evaluate single aspects of walking ability (i.e., the ability to generate stepping or to maintain postural equilibrium) and to a lesser extent assess more complex walking tasks (i.e., adaptive walking and dual-task walking) involving a combination of the three key aspects of walking (stepping, equilibrium and adaptation). The Interactive Walkway (IWW; Figure 6.1) allows for assessing more complex walking tasks to address features that are relevant for daily life walking of patients, which could guide the management of clinical care.

This study aimed to evaluate the expected added value of IWW assessments in PD patients, which includes an assessment of more complex walking tasks. The IWW utilizes multiple external sensors for a validated quick markerless 3D full-body motion registration of unconstrained walking [8]. Moreover, the IWW can be used to assess adaptive walking by augmenting the walkway with visual context, such as suddenly appearing obstacles [9], whose location and timing can be controlled based on real-time processed full-body kinematics. Finally, the IWW may be used to assess the ability to combine walking tasks with a secondary task by quantifying dual-task costs of walking and adaptive walking [10]. In this study, we first examined the known-groups

validity of IWW outcome measures of unconstrained walking, adaptive walking and dual-task walking to detect differences between PD patients with FOG, PD patients without FOG and healthy controls. Secondly, we compared IWW outcome measures to commonly used clinical tests of gait and balance impairment to identify redundancy and complementarity between tests. Thirdly, we examined the expected added value of the IWW over clinical tests in discriminating PD patients with and without FOG.



**Figure 6.1** Set-up of the Interactive Walkway with visual context projected on the walkway.

## Methods

### *Subjects*

Walking ability was assessed in 30 PD patients and 30 age- and sex-matched healthy controls (Table 6.1). PD patients and controls were recruited from the outpatient clinic of the Leiden University Medical Center and via advertisement, respectively. PD patients had to meet the UK Parkinson's Disease Society Brain Bank clinical diagnostic criteria [11] and have a Hoehn and Yahr stage of 1-4 [12]. In addition, subjects had to be 18 years or older, have command of the Dutch language, be able to stand unsupported for more than 20 seconds and

walk independently. PD patients were evaluated using the Movement Disorder Society version of the Unified Parkinson's Disease Rating Scale motor score [13]. The New Freezing of Gait Questionnaire [14] was used to classify PD patients with and without FOG (i.e., based on a score greater than or equal to zero, respectively), leading to the classification of 14 freezers and 16 non-freezers. The Scales for Outcomes in Parkinson's disease – Cognition [15] was administered to assess cognitive abilities, since this scale is sensitive to PD-specific cognitive deficits. PD patients were measured in the ON state. Controls did not suffer from neurological or orthopedic diseases interfering with gait, had normal cognitive function (Montreal Cognitive Assessment score  $\geq 23$ ; [16]) and (corrected to) normal vision. All subjects gave written informed consent, and the study was approved by the local medical ethics committee (P15.232).

### *Experimental set-up and procedure*

We used clinical tests of gait and balance impairment that have previously been suggested or recommended for use in PD patients [17]. Two tests assessed mobility: the Timed-Up-and-Go test and the 10-meter walking test at comfortable and maximum walking speed. Longer completion times indicate poorer mobility. The Tinetti Balance Assessment has two sections that evaluate gait and balance performance of which the combined score was used in this study (higher scores indicate a better performance). Two other balance tests were administered: the 7-item Berg Balance Scale, to measure static and dynamic balance, and the Functional Reach Test, to determine the maximal reaching distance (higher scores indicating a better balance). The order of these clinical tests was randomized.

**Table 6.1** Group characteristics of Parkinson's disease patients (all, freezers and non-freezers) and healthy controls.

	Parkinson's disease	Freezer (n = 14)	Non-freezer (n = 16)	Control
Age (years)	mean ± SD	61.8 ± 9.6	64.2 ± 10.5	62.9 ± 10.3
Sex	male/female	10/4	8/8	18/12
Disease duration (years)	mean ± SD	14.3 ± 6.8	10.3 ± 6.3	-
Levodopa equivalent daily dose (mg) <sup>a</sup>	mean ± SD	1258 ± 947	661 ± 441	-
SCOPA-COG [0-43]*	mean ± SD	28.9 ± 8.0	31.8 ± 6.3	-
MDS-UPDRS motor score [0-132]**	mean ± SD	41.4 ± 20.3	32.9 ± 15.3	-
Hoehn and Yahr stage [1-5]**, <sup>a</sup>	mean ± SD	2.6 ± 0.7	2.0 ± 0.5	-
NFOGQ [0-24]**	mean ± SD	19.9 ± 5.0	0	-
MOCA [0-30]*	mean ± SD	-	-	27.7 ± 1.4

Abbreviations: SCOPA-COG = Scales for Outcomes in Parkinson's disease – Cognition; MDS-UPDRS = Movement Disorder Society version of the Unified Parkinson's Disease Rating Scale; NFOGQ = New Freezing of Gait Questionnaire; MOCA = Montreal Cognitive Assessment.

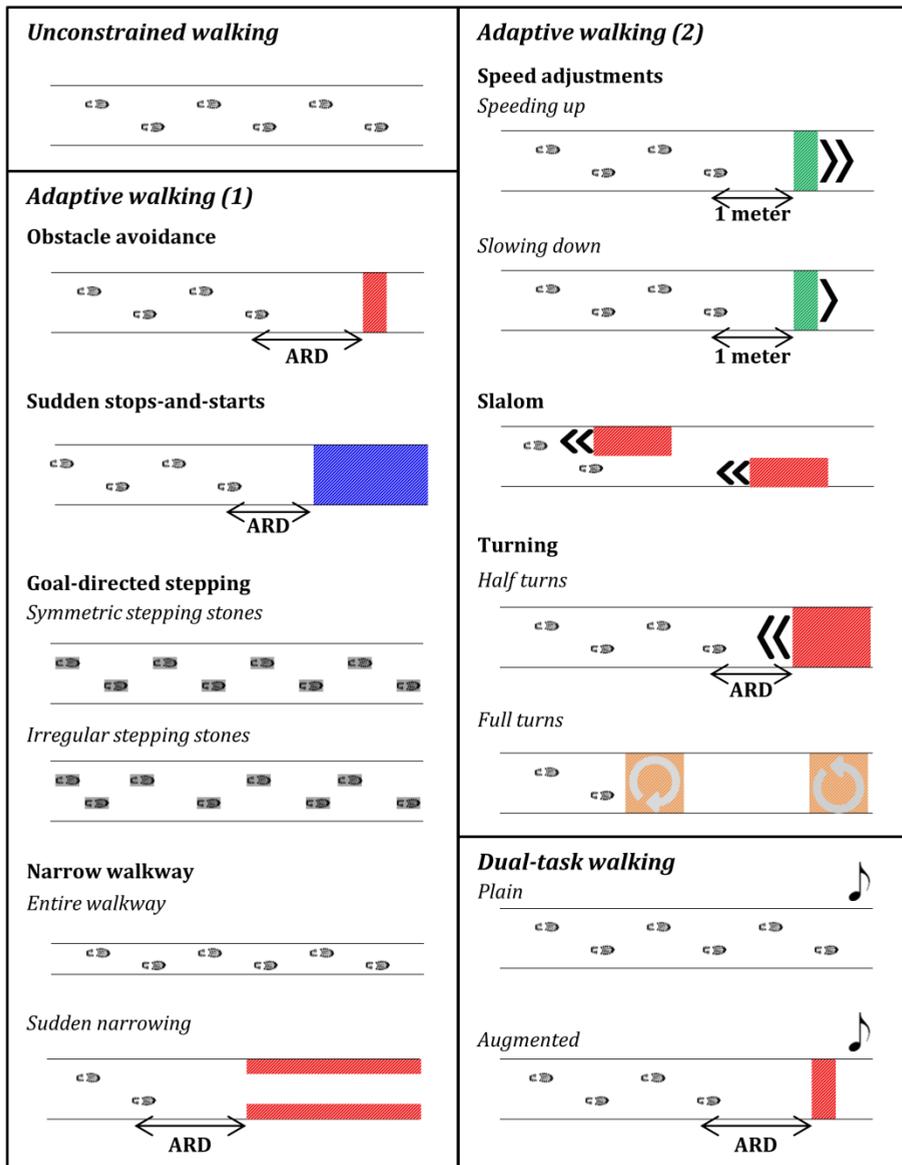
\*Higher scores represent better outcomes.

\*\*Higher scores represent worse outcomes.

<sup>a</sup>Significant difference between freezers and non-freezers ( $p < 0.05$ ).

The IWW was used to assess unconstrained walking, adaptive walking and dual-task walking (cf. Figure 6.2; see Supplement 6.1 and Table 6.2 for more details). Full-body kinematics was obtained using four spatially and temporally integrated Kinect v2 sensors, which allows for a quick markerless

assessment of walking. The sensor set-up was based on a validated IWW set-up [8,9], with improved inter-sensor distances following recommendations of Geerse et al. [18] (Figure 6.1). The sensors were positioned at a height of 0.95 m alongside a walkway of 8 by 0.75 m. The first three sensors were placed frontoparallel (i.e., with an angle of  $70^{\circ}$  relative to the walkway direction) with a distance of 1.2 m from the left border of the walkway. The last sensor was positioned frontally at the end of the walkway, since this will minimize orientation-based biases. The first sensor was positioned at 3 m from the start and the other sensors were placed at inter-sensor distances of 2.1 m (Figure 6.1). The IWW was equipped with a projector (EPSON EB-585W, ultra-short-throw 3LCD projector) to augment the entire walkway with visual context. The coordinate systems of the sensors and the projector were spatially aligned using a spatial calibration grid. IWW data were sampled at 30 Hz using custom-written software utilizing the Kinect-for-Windows Software Development Kit (SDK 2.0). Unconstrained walking was assessed with an 8-meter walking test. Adaptive walking was assessed with obstacle avoidance, sudden stops-and-starts, goal-directed stepping (symmetric and irregular stepping stones), narrow walkway (entire walkway and sudden narrowing), speed adjustments (speeding up and slowing down), slalom and turning (half and full turns). Dual-task walking was assessed in plain and augmented walking environments by adding an auditory Stroop task in which the words high and low were pronounced at a high or low pitch (i.e., congruent and incongruent stimuli) to the 8-meter walking test and obstacle-avoidance task, respectively. Subjects had to respond with the pitch of the spoken word. The IWW assessment contained 36 trials (Table 6.2). Subjects were instructed to complete each trial at a self-selected walking speed, while also responding to the Stroop stimuli in case of dual-task walking. Figure 6.2 presents a schematic representation of the IWW assessment.



**Figure 6.2** Schematic representation of the Interactive Walkway assessment, including unconstrained walking, adaptive walking and dual-task walking. The available response distance (ARD) of the suddenly appearing obstacles and cues was patient-tailored to yield a similar response time.

Half of the subjects started with the block of clinical tests, the other half with the IWW assessment. With regard to the latter, subjects always started with the 8-meter walking test, allowing us to adjust the settings of the adaptive walking tasks to one's own gait characteristics in an attempt to obtain a similar level of difficulty for each subject (see Table 6.2). For example, available response times for suddenly appearing obstacles were controlled by self-selected walking speed during the 8-meter walking test and available response distance (ARD in Figure 6.2). Subsequently, plain dual-task walking was performed, preceded by a familiarization trial in which the dual task was practiced while sitting. The remaining IWW tasks were randomized in blocks (Table 6.2).

#### *Data pre-processing and analysis*

Data pre-processing followed Geerse et al. [8,9], as detailed in Supplement 6.2. In total, 12 trials (1.1% of all trials) were excluded since subjects were not able to perform the tasks or trials were not recorded properly (i.e., incorrect recording or not all sensors were able to track the subject). These trials only concerned PD patients. The IWW outcome measures of unconstrained walking, adaptive walking and dual-task walking were calculated from specific body points' time series, estimates of foot contact and foot off, and step locations, as detailed in Table 6.2 and Supplement 6.2. The average over trials per IWW task per subject was calculated for all outcome measures (Table 6.2).

#### *Statistical analysis*

IBM SPSS Statistics for Windows, version 24 (IBM Corp., Armonk, N.Y., USA) was used to perform the statistical analyses. With regard to the known-groups validity we examined the effect of group (i.e., freezer, non-freezer or control) on clinical test scores and IWW outcome measures of unconstrained walking, adaptive walking and dual-task walking using one-way ANOVAs or the Kruskal-Wallis test if the assumption of normality was violated (i.e., significant Shapiro-

Wilk test). For one-way ANOVAs, the assumption of homogeneity of variance was checked using the Levene's test. If significant, the Welch test was used and main effects were examined using Games-Howell post hoc tests. Otherwise, main effects were examined with Least Significant Difference post hoc tests. For the Kruskal-Wallis test, main effects were examined using multiple Mann-Whitney tests. Effect sizes were quantified with omega squared ( $\omega^2$ ) for one-way ANOVAs and eta squared ( $\eta^2$ ) for Kruskal-Wallis tests. There was no correction for multiple comparisons due to the explorative character of the study and given the dependency between the outcome measures.

Pearson's correlation coefficients were determined between clinical test scores and IWW outcome measures for PD patients only. Absolute correlations between 0-0.499, 0.500-0.699, 0.700-0.899 and 0.900-1.000 were regarded as low, moderate, high and very high correlations, respectively [19].

Stepwise discriminant analyses were conducted to determine the added value of IWW outcome measures over clinical test scores in discriminating freezers from non-freezers, using Wilks' lambda method (entry = 3.84 and removal = 2.71) in four different models. Predictor variables were clinical test scores (model 1), IWW gait characteristics of unconstrained walking (model 2), IWW outcome measures of adaptive walking (model 3) and IWW outcome measures of dual-task walking (model 4; Table 6.2). Subjects were only included if they had values for all possible predictor variables. Three not highly correlated predictor variables with the highest effect sizes for the comparison between freezers and non-freezers were selected per model. All models were cross-validated using the leave-one-out method (i.e., each subject is classified by a discriminant function which is based on all subjects except itself; [20]). The accuracy (i.e., proportion of correctly classified freezers and non-freezers) of discriminant models and cross-validated discriminant models was determined. Furthermore, exact McNemar's tests were performed to establish if one model significantly outperformed the others.

**Table 6.2** Interactive Walkway tasks and outcome measures of unconstrained walking, adaptive walking and dual-task walking.

	<b>n</b>	<b>Level of difficulty</b>	<b>Characteristics</b>	<b>Outcome measure</b>	<b>Unit</b>	<b>Calculation</b>
<i>Unconstrained walking</i>						
<b>8-meter walking test</b>	2		Walking at self-selected walking speed.	Walking speed	cm/s	The distance travelled between the 0-meter and 8-meter line on the walkway divided by the time, using the data of the spine shoulder.
				Step length	cm	The median of the differences in the anterior-posterior direction of consecutive step locations.
				Stride length	cm	The median of the differences in anterior-posterior direction of consecutive ipsilateral step locations.
				Step width	cm	The median of the absolute mediolateral difference of consecutive step locations.
				Cadence	steps/min	Calculated from the number of steps in the time interval between the first and last estimate of foot contact.
				Step time	s	The median of the time interval between two consecutive instants



Table 6.2 Continued.

	n	Level of difficulty	Characteristics	Outcome measure	Unit	Calculation
<b>Goal-directed stepping</b>	3	Average SL	Stepping as accurately as possible onto the	Initiation time	s	The time between disappearance of the stop cue and the moment of first foot contact.
		75% average SL 125% average SL	shoe-size-matched stepping stones.	Stepping accuracy	cm	The standard deviation over the signed deviations between the center of the stepping target and the center of the foot at corresponding step locations. The center of the foot was determined using the average distance between the ankle and the middle of the shoe-size-matched targets of the calibration trials (Supplement 6.2).
<b>ISS</b>	2	25% variation in SL				
		left and right 50% variation in SL				
<b>Narrow walkway</b>	2	WW = 1.5*SW+FW	Walking between the	Normalized walking speed	%	Walking speed divided by SSWS times 100%.
		WW = SW+FW	lines of the walkway or between the blocks of	Success rate	%	Number of steps inside the walkway or the sudden narrowing walkway divided by the total number of steps taken times 100%.
<b>SN</b>	1	ART = 1 s,	the suddenly			
		WW = 1.5*SW+FW	narrowing walkway.	Normalized	%	Walking speed divided by SSWS

<b>Speed adjustments</b>	<b>SU</b>	2	120% SSWS 140% SSWS	The subject has to follow a speed cue appearing one meter in front of the subject at the imposed speed.	walking speed Normalized step width Success rate	% %	times 100%. Step width divided by the imposed step width times 100%. The percentage of the time spend walking faster (or slower) than the imposed speed minus (or plus) 20% during the period in which the speed cue was visible.
	<b>SD</b>	2	80% SSWS 60% SSWS		Normalized walking speed	%	Walking speed divided by the imposed walking speed times 100%.
<b>Slalom</b>		2	Symmetric distance between obstacles	Walking around the moving obstacles that approach the subjects with a speed of 50% SSWS.	Success rate	%	Number of successfully avoided obstacles divided by the number of obstacles presented times 100%.
			Variable distance between obstacles		Normalized walking speed	%	Walking speed divided by SSWS times 100%.
<b>Turning</b>	<b>HT</b>	2	ART = 3 s	When a turning cue approaches the subject with a speed of 100% SSWS, the subject has to turn and walk back to the start.	Success rate	%	Number of successful half turns divided by the number of half turns times 100%.
			ART = 2 s				

Table 6.2 Continued.

n	Level of difficulty	Characteristics	Outcome measure	Unit	Calculation
FT	1	In the two presented squares the subject has to make a full turn as fast and safe as possible in the direction of the arrow.	Turning time	s	Time within the turning square (for full turns) or time from appearance of the turning cue till moment walking direction was reversed (for half turns), using the data of the spine shoulder.
<b>Dual-task walking</b>					
	2	Walking at self-selected walking speed while also performing a dual task. The dual task was an auditory Stroop task.	Normalized walking speed	%	Walking speed divided by SSWS times 100%.
<b>Augmented</b>					
	5	Avoiding suddenly appearing obstacles and while also performing a dual task. The dual task was an auditory Stroop task.	Normalized success rate	%	Obstacle avoidance success rate divided by success rate of the obstacle avoidance task times 100%, excluding subjects that had an obstacle-avoidance success rate of 0% at baseline.
			Success rate dual task	%	Number of correct responses divided by the number of stimuli given times 100% (excluding subjects that had an obstacle-

avoidance success rate of 0% at  
baseline for augmented dual-task  
walking).

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**Total trials** 36

Abbreviations: SSS = symmetric stepping stones; ISS = irregular stepping stones; EW = entire walkway; SN = sudden narrowing; SU = speeding up; SD = slowing down; HT = half turns; FT = full turns; ART = available response time; SL = step length; WW = walkway width; SW = step width; FW = foot width; SSWS = self-selected walking speed of unconstrained walking.

**Table 6.3** Means, standard deviations and between-groups statistics of clinical test scores and Interactive Walkway outcome measures of unconstrained walking, adaptive walking and dual-task walking for freezers, non-freezers and controls.

	Freezers		Non-freezers		Control		Between-groups statistics	
	mean ± SD	mean ± SD	mean ± SD	mean ± SD	mean ± SD	mean ± SD	p-value	Effect size
<i>Clinical tests</i>								
Timed-Up-and-Go test	Time (s) <sup>b</sup>	12.3 ± 6.9	8.5 ± 3.2	7.4 ± 2.2	$H_2 = 6.02$	0.049	0.102	
10-meter walking test	Time (s) <sup>a,b</sup>	9.3 ± 2.0	8.0 ± 1.7	7.3 ± 1.0	$H_2 = 9.77$	0.008	0.166	
	Time (s) <sup>b,c</sup>	6.9 ± 2.0	6.1 ± 1.2	5.3 ± 0.8	$H_2 = 8.66$	0.013	0.147	
Tinetti Balance Assessment	Score [0-28] <sup>a,b,c</sup>	23.8 ± 3.5	26.1 ± 2.3	27.7 ± 0.5	$H_2 = 30.69$	<0.001	0.520	
7-item Berg Balance Scale	Score [0-14] <sup>b</sup>	10.6 ± 3.1	12.3 ± 2.7	13.3 ± 1.3	$H_2 = 10.54$	0.005	0.179	
Functional Reach Test	Reaching distance (cm) <sup>b,c</sup>	22.0 ± 9.2	25.7 ± 6.3	29.9 ± 5.6	$F_{2,57} = 6.98$	0.002	0.166	
<i>Unconstrained walking</i>								
8-meter walking test	Walking speed (cm/s) <sup>b</sup>	111.7 ± 26.5	121.4 ± 22.8	134.3 ± 19.0	$F_{2,57} = 5.46$	0.007	0.129	
	Step length (cm) <sup>b</sup>	62.8 ± 13.4	70.1 ± 11.1	74.5 ± 9.4	$F_{2,57} = 5.57$	0.006	0.132	
	Stride length (cm) <sup>b</sup>	126.1 ± 26.7	140.9 ± 21.9	149.9 ± 18.7	$H_2 = 7.90$	0.019	0.134	
	Step width (cm)	10.7 ± 2.9	9.3 ± 3.1	11.1 ± 2.8	$F_{2,57} = 2.05$	0.138	0.034	
	Cadence (steps/min)	112.1 ± 6.9	108.9 ± 13.5	112.3 ± 7.5	$F_{2,27,8} = 0.42$	0.659	-0.020	
	Step time (s)	0.524 ± 0.036	0.551 ± 0.068	0.526 ± 0.038	$F_{2,27,4} = 0.97$	0.391	-0.001	
	Stride time (s)	1.052 ± 0.074	1.098 ± 0.140	1.047 ± 0.074	$F_{2,27,0} = 0.91$	0.415	-0.003	
<i>Adaptive walking</i>								
Obstacle avoidance	Margins trailing limb (cm)	15.0 ± 8.0	19.1 ± 8.4	19.9 ± 7.3	$F_{2,57} = 1.95$	0.151	0.031	
	Margins leading limb (cm) <sup>b,c</sup>	3.9 ± 9.7	6.3 ± 8.0	12.1 ± 6.1	$F_{2,57} = 6.70$	0.002	0.160	

<b>Sudden stops-and-starts</b>	Success rate (%) <sup>b,c</sup>	56.4 ± 39.7	67.6 ± 32.0	88.2 ± 11.3	$H_2 = 8.59$	0.014	0.146	
	Sudden-stop margins (cm)	-0.9 ± 9.1	4.9 ± 6.2	5.4 ± 9.2	$F_{2,57} = 2.79$	0.070	0.056	
	Success rate (%)	62.3 ± 22.2	71.5 ± 13.5	76.8 ± 18.5	$H_2 = 4.99$	0.083	0.085	
	Initiation time (s)	1.522 ± 0.330	1.281 ± 0.108	1.338 ± 0.235	$H_2 = 5.17$	0.076	0.088	
<b>Goal-directed stepping</b>	Stepping accuracy (cm) <sup>a,c</sup>	SSS	2.5 ± 1.0	3.2 ± 1.0	2.5 ± 0.7	$F_{2,57} = 4.29$	0.018	0.099
	Normalized walking speed (%) <sup>b</sup>	SSS	83.6 ± 17.1	90.6 ± 16.4	96.0 ± 16.5	$H_2 = 6.23$	0.044	0.106
	Stepping accuracy (cm)	ISS	4.1 ± 1.9	4.8 ± 1.9	3.9 ± 1.0	$H_2 = 3.22$	0.200	0.055
	Normalized walking speed (%)	ISS	84.0 ± 20.5	88.2 ± 18.8	96.0 ± 15.7	$H_2 = 4.77$	0.092	0.081
<b>Narrow walkway</b>	Success rate (%)	EW	78.3 ± 25.6	77.2 ± 21.8	84.3 ± 17.4	$H_2 = 1.60$	0.448	0.028
	Normalized walking speed (%)	EW	86.7 ± 27.8	94.4 ± 11.0	99.0 ± 11.9	$F_{2,23.1} = 1.64$	0.216	0.021
	Normalized step width (%)	EW	47.5 ± 22.4	40.4 ± 19.9	37.7 ± 16.1	$F_{2,55} = 0.80$	0.455	-0.007
	Success rate (%)	SN	87.1 ± 25.6	83.4 ± 32.0	94.2 ± 13.7	$H_2 = 1.21$	0.547	0.020
	Normalized walking speed (%)	SN	87.9 ± 21.7	90.5 ± 12.1	92.8 ± 11.8	$H_2 = 0.31$	0.858	0.005
	Success rate (%) <sup>b</sup>	SU	61.6 ± 11.5	63.0 ± 15.0	69.7 ± 10.1	$H_2 = 6.39$	0.041	0.110
<b>Speed adjustments</b>	Normalized walking speed (%)	SU	86.8 ± 7.0	87.7 ± 7.8	90.2 ± 6.7	$F_{2,56} = 1.27$	0.288	0.009
	Success rate (%)	SD	76.5 ± 4.1	78.7 ± 5.3	79.1 ± 5.2	$F_{2,56} = 1.24$	0.297	0.008
	Normalized walking speed (%)	SD	99.3 ± 3.1	97.3 ± 10.2	99.4 ± 2.3	$H_2 = 0.54$	0.764	0.009
	Success rate (%)	SD	53.5 ± 16.6	61.7 ± 23.3	55.3 ± 23.0	$F_{2,56} = 0.63$	0.539	-0.013
<b>Slalom</b>	Normalized walking speed (%)	HT	86.6 ± 24.0	97.1 ± 11.6	94.7 ± 9.6	$F_{2,23.1} = 1.04$	0.370	0.001
	Success rate (%)	HT	42.3 ± 40.0	46.9 ± 38.6	65.0 ± 35.1	$H_2 = 4.18$	0.124	0.072
<b>Turning</b>	Turning time (s)	HT	1.532 ± 0.449	1.453 ± 0.277	1.435 ± 0.251	$H_2 = 0.04$	0.980	0.001
	Turning time (s) <sup>b,c</sup>	FT	4.841 ± 2.899	3.322 ± 2.243	2.149 ± 0.961	$H_2 = 14.82$	0.001	0.256

Table 6.3 Continued.

		Freezers		Non-freezers		Control		Between-groups statistics		
		mean $\pm$ SD	SD	mean $\pm$ SD	SD	mean $\pm$ SD	SD	<i>p</i> -value	Effect size	
<i>Dual-task walking</i>										
<b>Plain</b>	Normalized walking speed (%)	88.5 $\pm$ 11.8		79.1 $\pm$ 20.0		87.7 $\pm$ 9.5		$H_2 = 1.93$	0.380	0.033
	Success rate dual task (%)	81.6 $\pm$ 23.4		94.0 $\pm$ 10.1		94.9 $\pm$ 12.2		$H_2 = 3.92$	0.141	0.068
	Normalized success rate (%)	83.7 $\pm$ 50.0		98.0 $\pm$ 31.4		97.2 $\pm$ 23.9		$H_2 = 2.08$	0.353	0.038
<b>Augmented</b>	Success rate dual task (%)	72.2 $\pm$ 26.8		86.1 $\pm$ 18.8		91.6 $\pm$ 9.2		$H_2 = 3.94$	0.139	0.072

Abbreviations: CWS = comfortable walking speed; MWS = maximum walking speed; SSS = symmetric stepping stones; ISS = irregular stepping stones; EW = entire walkway; SN = sudden narrowing; SU = speeding up; SD = slowing down; HT = half turns; FT = full turns.

<sup>a</sup>Significant difference between freezers and non-freezers ( $p < 0.05$ ).

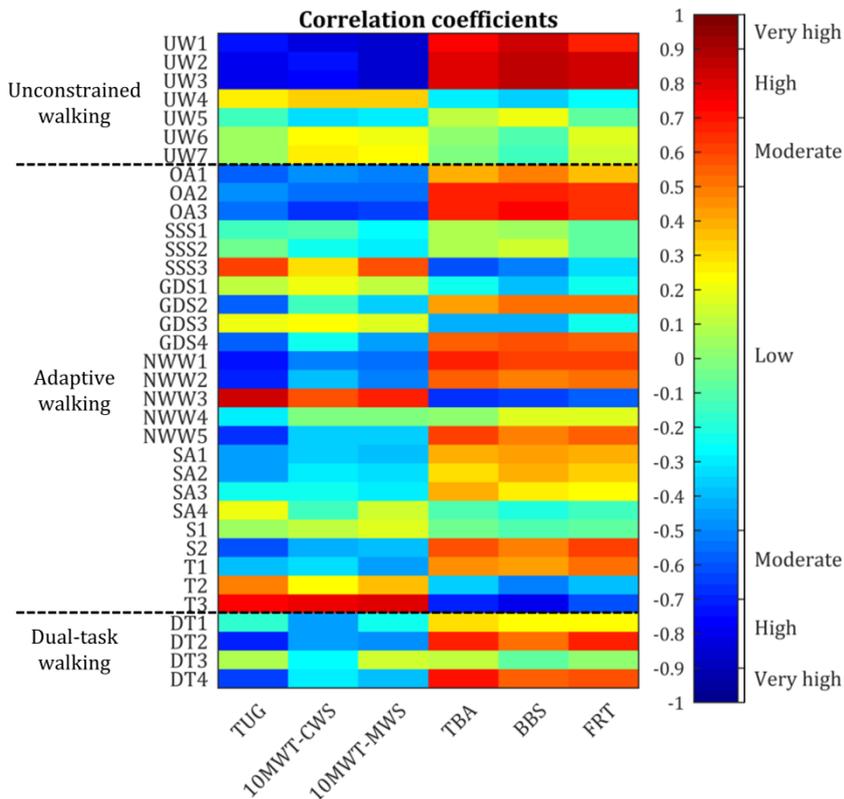
<sup>b</sup>Significant difference between freezers and controls ( $p < 0.05$ ).

<sup>c</sup>Significant difference between non-freezers and controls ( $p < 0.05$ ).

## Results

### *Known-groups validity*

As expected, freezers performed significantly worse, non-freezers performed in-between, and matched controls performed best on almost all assessments (i.e., clinical tests, unconstrained walking and adaptive walking; Table 6.3). There was one exception; freezers had significantly better stepping accuracies than non-freezers on the goal-directed stepping task with symmetric stepping stones. No significant group differences were found for IWW outcome measures of dual-task walking.



**Figure 6.3** Pearson's correlation coefficients between clinical test scores (x-axis; i.e., Timed-Up-and-Go test [TUG], 10-meter walking test at comfortable and maximum walking speed [10MWT-CWS, 10MWT-MWS], Tinetti Balance Assessment [TBA], 7-item Berg Balance Scale [BBS] and

Functional Reach Test [FRT]) and Interactive Walkway outcome measures (y-axis; i.e., gait characteristics of unconstrained walking [UW1-7], outcome measure of adaptive walking [OA1-3, SSS1-3, GDS1-4, NWW1-3, SA1-4, S1-2, T1-3], and outcome measures of dual-task walking [DT1-4]) in patients with Parkinson's disease. The order of the outcome measures on the x-axes is in agreement with Table 6.3. The dotted black lines separate the three types of Interactive Walkway tasks (i.e., unconstrained walking, adaptive walking and dual-task walking). The colorbar provides a visualization of the strength and direction of the correlation.

### *Correlations between outcome measures*

Of the 42 possible correlations between clinical test scores and IWW gait characteristics, 18 (42.9%) were significant, out of which 17 (40.5%) were high and 1 (2.4%) was moderate (Figure 6.3). Significant correlations were only found for walking speed, step length and stride length. For IWW outcome measures of adaptive walking, 88 (61.1%) of the possible 144 correlations were significant. Nevertheless, only 9 (6.3%) were high, while 45 (31.3%) were moderate and 34 (23.6%) were low (Figure 6.3). High correlations were mainly found for turning time of full turns. For IWW outcome measures of dual-task walking, 11 (45.8%) out of the possible 24 correlations were significant, out of which 1 (4.2%) was high, 7 (29.2%) were moderate and 3 (12.5%) were low (Figure 6.3).

### *Discriminant analyses of freezers and non-freezers*

For model 1 (clinical tests), group membership (i.e., freezer or non-freezer) was predicted using only the 10-meter walking test at comfortable walking speed ( $p = 0.025$ , Wilks' lambda = 0.791, Canonical correlation = 0.457), the sole predictor variable contributing significantly to the model. 5 of 10 freezers (50.0%) and 13 of 14 non-freezers (92.9%) were correctly classified. The accuracy of model 1 and its cross validation were both 75.0%. For model 2 (IWW gait characteristics), none of the predictor variables contributed significantly to the model. For model 3 (IWW outcome measures of adaptive walking), group membership was predicted using stepping accuracy on symmetric stepping stones of the goal-directed stepping task and turning time

of full turns ( $p = 0.005$ , Wilks' lambda = 0.598, Canonical correlation = 0.634) such that 7 of 10 freezers (70.0%) and 12 of 14 non-freezers (85.7%) were correctly classified, with an accuracy of 79.2%. The accuracy of the cross-validated model was 70.8%. For model 4 (IWW outcome measures of dual-task walking), none of the predictor variables contributed significantly to the model. The results of an exact McNemar's test demonstrated that there was no statistical significant difference in the proportion of freezers and non-freezers identified with models 1 and 3 ( $p = 0.688$ ).

## Discussion

This study aimed to examine the expected added value of IWW assessments in PD patients, focusing on known-groups validity, relations with clinical test scores and discriminating freezers from non-freezers.

On all clinical tests, freezers scored worst, non-freezers scored in-between and controls scored best (Table 6.3). These known-groups differences were also found for IWW gait characteristics (Table 6.3); freezers had significantly lower walking speeds and smaller step and stride lengths than controls, which is in agreement with findings of others using marker-based motion registration systems or the Kinect v2 sensor [21,22]. Significant group differences in expected directions were also observed for IWW outcome measures of adaptive walking (Table 6.3). As in Caetano et al. [3], both freezers and non-freezers had more difficulty adapting walking to suddenly appearing obstacles than controls as reflected by lower obstacle-avoidance success rates. In line with other studies [23,24], margins of the leading limb were smaller in freezers and non-freezers, which probably increases their risk of tripping in real life. Furthermore, group differences were found for the goal-directed stepping, speed adjustments and full turns tasks. In general, freezers scored worst, non-freezers in between, and controls best. An interesting exception was stepping accuracy on symmetric stepping stones, where freezers had significantly better stepping accuracies than non-freezers. Irregular stepping

stones showed the same trend, although this did not reach significance possibly due to the larger within-groups variations for this task (Table 6.3). It is well known that visual cues may lead to considerable improvement in walking of freezers [25]. This is likely mediated by a better visual exploration of freezers than non-freezers in terms of gaze fixations to task-relevant information [26], which is known to result in a better stepping performance [27]. No significant group differences were found for the sudden stops-and-starts, narrow walkway and slalom tasks. Reasons for the null effect for the narrow walkway tasks could be that step width and tandem gait are typically preserved in PD patients [28], which was corroborated by an absence of between-groups differences in step width in our study. For the other tasks, the cueing effect of the visual context may have confounded potential group differences. Hence, one could consider removing these tasks from adaptive walking assessments in PD patients. For dual-task walking, also no significant group differences were found. An explanation could be that task prioritization varied among subjects, leading to large within-groups variations for the outcome measures of dual-task walking which reduced the likelihood of finding significant between-groups differences. Note that other studies have also demonstrated that there were no differences in dual-task interference for gait characteristics and cognitive tasks between PD patients and controls [29]. The added value of dual-task walking in a walking ability assessment in PD is therefore questionable (see also Gaßner et al. [30] and Smulders et al. [10]). Our study not only confirmed these results, but also showed that quantifiable differences between groups are particularly evident for other aspects of adaptive walking (e.g., obstacle avoidance and goal-directed stepping).

The group differences found for the IWW tasks of unconstrained walking, obstacle avoidance, goal-directed stepping, speed adjustments and full turns imply that these tasks could be used in a comprehensive walking ability assessment with the IWW, incorporating the three key aspects of walking ability. Usually, a combination of the three key walking-ability aspects (i.e.,

stepping, equilibrium and adaptation) is needed for a successful task performance. Indeed, for most IWW tasks a combination was required strongly tapping into the aspect of walking adaptability, while adaptation was not or only moderately targeted by commonly-used clinical tests that mainly measure steady-state gait and static balance as evidenced by the low correlations (Figure 6.3). While high correlations between tests suggest redundancy in information content, low or no correlations suggest that tests contain complementary information. IWW gait characteristics and turning time of full turns correlated highly with clinical tests, addressing mainly aspects of stepping and equilibrium. PD patients seem to experience problems when having to deviate from their normal gait pattern [3], which requires dynamic balance control. Balance problems in PD patients and especially freezers are evident in the current study, demonstrated by large effect sizes for balance tests and full turns. Clinicians mainly focus on gait impairments [31], although dynamic balance control is also of great importance during challenging walking tasks. Therefore, in order to obtain a more comprehensive characterization of a subject's walking ability, both unconstrained and adaptive walking should be assessed, for example with obstacle-avoidance and goal-directed stepping.

This study also aimed to determine the expected added value of the IWW over clinical tests in discriminating freezers from non-freezers. We indeed found that IWW adaptive walking tasks discriminated better than clinical tests, although the added value was somewhat limited and the proportion of freezers and non-freezers identified with model 3 did not differ significantly from model 1. Clinical tests performed slightly worse compared to adaptive walking tasks with regard to the percentage of freezers correctly classified (50.0% vs. 70.0%, respectively). The percentage of non-freezers correctly classified was high for both models (92.9% and 85.7%, respectively). IWW gait characteristics and IWW outcome measures of dual-task walking did not contribute significantly to the discriminant analysis. Although we could discriminate freezers from non-freezers, the freezing phenomenon itself was rarely observed. IWW tasks

elicited FOG episodes in only 12 out of 466 (2.6%) trials, concerning five freezers and mostly during tasks that included turning (in agreement with literature; [32]). Explanations for the limited amount of FOG episodes could be the focused attention due to the specific instructions of the IWW tasks, cueing effects of visual content and the fact that we assessed PD patients during the ON state, while the occurrence of FOG episodes increases during the OFF state.

The latter is also a limitation of this study, since medication may improve gait impairments and could therefore lead to smaller group differences in walking ability. However, we still found significant between-groups differences, which may indicate that the IWW is a sensitive evaluation tool of walking ability. Another limitation is the relatively small sample size of the discriminant analyses (i.e., 10 freezers and 14 non-freezers). We therefore needed to pre-select predictor variables for the models to prevent overfitting, since the smallest group needs to exceed the number of predictor variables. Finally, the significant difference between freezers and non-freezers in disease severity (i.e., Hoehn and Yahr stage; Table 6.1) might have influenced the results of this study by increasing the group differences of walking-ability outcome measures.

In conclusion, the IWW assessment exhibited expected differences between freezers, non-freezers and healthy controls, with most IWW outcome measures reflecting combinations of stepping, equilibrium and adaptation; key aspects of walking that are addressed separately in most clinical tests. IWW adaptive walking tasks also contributed to a slightly better discrimination of freezers from non-freezers. Hence, it seems fair to conclude that the IWW is of added value in PD patients when assessing walking ability. The IWW tasks of adaptive walking evaluate more complex gait in comparison with clinical tests, which fits an assessment of walking ability in the early stages of PD where ceiling effects can occur. Future studies should examine the responsiveness of the IWW outcome measures on an individual level and in response to levodopa treatment (i.e., by examining differences in walking ability between the ON and

OFF state). In addition, since the impairments in walking ability evaluated with the IWW are linked to walking-related falls, future studies are warranted to examine the clinical potential of the IWW for assessing fall risk and informing on tailored falls prevention programs in PD patients or other populations prone to declines in walking ability (e.g., elderly, stroke). Note that the current study is helpful in that regard, by informing on the subtasks and associated outcome measures providing complementary information with a decent between-groups contrast.

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## **Supplement 6.1**

Video of Interactive Walkway tasks of unconstrained walking, adaptive walking and dual-task walking in a person with Parkinson's disease with dyskinesia. The subject had consented to the making of the video for publication purposes. This video is available at <https://youtu.be/p1a07lL9veM>.

## Supplement 6.2

### Data pre-processing

The Kinect for Windows Software Development Kit (SDK 2.0, [www.microsoft.com](http://www.microsoft.com)) provides 3D time series of 25 body points using inbuilt and externally validated human-pose estimation algorithms [1-5]. These body points are: head, neck, spine shoulder, spine mid, spine base and left and right shoulder, elbow, wrist, hand, thumb, hand tip, hip, knee, ankle and foot. For offline data analysis, the 3D positional data for these body points were first pre-processed per Kinect sensor separately. Body points labelled as inferred (i.e., Kinect's human-pose estimation software infers positions when segments are partially occluded for example) were treated as missing values. The body point's time series were linearly interpolated using Kinect's time stamps to ensure a constant sampling frequency of 30 Hz, without filling in the parts with missing values. We removed data points from the time series when they did not meet our stringent requirements for valid human-pose estimation (e.g., a minimum of 15 out of the 25 possible body points should be labeled as tracked, including the head and at least one foot and ankle, without outliers in segment lengths). In addition, a manual check of the data was added to remove errors of the algorithm due to occlusion of the right leg by the left leg. Subsequently, data of the four Kinect sensors were combined by taking for each sample the 3D positions of the body points of a validly estimated human pose. If, for a given sample, more than one sensor contained valid human pose data, the associated body point's 3D positions were averaged for that specific sample.

Body point's time series with more than 50% of missing values were excluded from further analyses. However, percentages of missing data for both groups did not exceed 27.2% with an average of  $5.3 \pm 1.6\%$  for the body points' time series of interest (i.e., ankles, spine base and spine shoulder). The missing values were interpolated with a spline algorithm. The so-obtained time series were used for the calculation of the Interactive Walkway (IWW) outcome measures of unconstrained walking, adaptive walking and dual-task walking.

The outcome measures of the IWW assessments were calculated from specific body points' time series, estimates of foot contact and foot off and step locations, as detailed in Table 6.2. Estimates of foot contact and foot off were defined as the maxima and minima of the anterior–posterior time series of the ankles relative to that of the spine base [3,6,7]. Step locations were determined as the median anterior–posterior and mediolateral position of the ankle joint during the single-support phase (i.e., between foot off and foot contact of the contralateral foot; [3,6]). Shoe edges and center of the foot were also needed to calculate several outcome measures. Ankle-to-shoe calibration trials, in which the subject was standing in two shoe-size-matched targets at a position on the walkway in front of the last Kinect, were included to determine the average distance between shoe edges and the ankle.

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