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## Altered Bile Acid Profile in Mild Cognitive Impairment and Alzheimer's Disease: Relationship to Neuroimaging and CSF Biomarkers

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## Abstract

**Introduction:** Bile acids (BAs) are the end products of cholesterol metabolism produced by human and gut microbiome co-metabolism. Recent evidence suggests gut microbiota influence pathological features of Alzheimer's disease (AD) including neuroinflammation and amyloid- $\beta$  deposition.

**Method:** Serum levels of 20 primary and secondary BA metabolites from the AD Neuroimaging Initiative (n=1562) were measured using targeted metabolomic profiling. We assessed the association of BAs with the "A/T/N" (Amyloid, Tau and Neurodegeneration) biomarkers for AD: CSF biomarkers, atrophy (MRI), and brain glucose metabolism ( $[^{18}\text{F}]\text{FDG-PET}$ ).

**Results:** Of 23 BA and relevant calculated ratios after quality control procedures, three BA signatures were associated with CSF A $\beta$ 1–42 ("A") and three with CSF p-tau181 ("T") (corrected  $p < 0.05$ ). Furthermore, three, twelve, and fourteen BA signatures were associated with CSF t-tau, glucose metabolism, and atrophy ("N"), respectively (corrected  $p < 0.05$ ).

**Conclusion:** This is the first study to show serum-based BA metabolites are associated with "A/T/N" AD biomarkers, providing further support for a role of BA pathways in AD pathophysiology. Prospective clinical observations and validation in model systems are needed to assess causality and specific mechanisms underlying this association.

## Keywords

Metabolomics; bile acid; Alzheimer's disease; amyloid- $\beta$ ; CSF biomarkers; brain glucose metabolism; PET; MRI; gut liver brain axis

## 1. Introduction

Several metabolic perturbations have been noted in Alzheimer's disease (AD) including failures associated with cholesterol metabolism [1–3], which has been associated with AD in multiple lines of research including physiological and epidemiological studies [3–5]. Bile acids (BAs) are synthesized from cholesterol and are involved in the digestion of lipids and absorption of fat soluble vitamins[6]. Cholesterol is synthesized in liver and its clearance involves bile acid (BA) production by gut microbiome and human co-metabolism. Changes in microbial gut populations can profoundly alter BA profiles and signaling [7–9]. BA

synthesis can also be regulated by fat soluble vitamins through repression of the rate-limiting enzyme Cytochrome P450 7A (CYP7A1) [6]. Activation of the Vitamin D Receptor (VDR) is the mechanism by which vitamin D affects BA homeostasis whereas the action of vitamin A is mediated through the Retinal X Receptor/Farnesoid X Receptor (RXR/FXR) heterodimer [6]. Vitamin-D dependent regulation of BA synthesis may be an additional mechanism by BA influence AD pathophysiology as vitamin D has been shown to be protective against risk of AD and cognitive impairments [10, 11].

Bile acids appear to play a role in the central nervous system [12, 13]. Recent work suggests microbial disturbances linked to BA profiles are implicated in neurodegenerative disorders [7–9, 14–16]. The gut microbiota are involved in immune, neuroendocrine, and neural pathways [8, 9, 17–21], have been shown to regulate microglial maturation and function, and may contribute to AD [21, 22].

Peripheral metabolic changes may influence central changes through the liver and gut-brain axis that includes commensal and pathogenic bacteria, through its interactions with the vagus nerve, changes in central nervous system functioning, the immune system [23, 24], and hippocampal neurogenesis [25]. These signals are crucial for the regulation of energy, glucose homeostasis and inflammation [26]. Gut microbiota are vital to the transformation of bile acid through their deconjugation, dihydroxylation, and re-conjugation [16]. The gut-brain biochemical axis of communication is just starting to be elucidated. Circulating BAs seem to provide an important mechanism for communication between the gut and the brain, and their alterations reflect gut dysbiosis [8, 9, 18]. Previous studies suggest that BAs are altered in mild cognitive impairment (MCI) and AD [27], and in the preceding paper, we showed that increased levels of secondary cytotoxic BAs and their ratios to primary BAs were associated with AD and poor cognition. This supported the hypothesis that circulating BAs may contribute to AD pathogenesis. Research in AD animal models suggests a role for the gut microbiome in the development of amyloid- $\beta$  pathology [28].

However, little work has been done in humans to link peripheral metabolic changes in cholesterol to central biomarkers related to AD including amyloid- $\beta$  and tau accumulation, brain glucose metabolism, and structural atrophy. Therefore, we analyzed serum BA metabolites and their ratios from older adults with early stage AD or who were at risk for AD from the Alzheimer's Disease Neuroimaging Initiative (ADNI) cohort.

We hypothesized that serum BA levels and their relevant ratios would associate with biomarkers of AD pathophysiology including neuroimaging (MRI and PET) and cerebrospinal fluid (CSF). The AD biomarkers were selected and defined consistent with the recent NIA-Alzheimer's Association Research Framework ("A/T/N") for AD biomarkers that defines three general groups of biomarkers based on the nature of pathologic process that each measures [29–31]. This approach has several strengths including the focus on biological underpinnings of the disease rather than clinical phenotypes which may have different pathophysiological substrates as well as making no assumptions based on the temporal order of the biomarkers included or their potential causal relationships. Biomarkers of amyloid- $\beta$  plaque ("A") are CSF A $\beta$ 1–42 and cortical amyloid- $\beta$  accumulation measured by Florbetapir PET, biomarkers of fibrillary tau ("T") are CSF phosphorylated tau (p-tau),

and biomarkers of neurodegeneration or neuronal injury (“N”) are atrophy on MRI, glucose metabolism on FDG PET, and CSF total tau (t-tau).

## 2. Methods

### 2.1. Study cohort

Serum samples and data analyzed in the present report were obtained from ADNI. The initial phase (ADNI-1) was launched in 2003 to test whether serial magnetic resonance imaging (MRI), position emission tomography (PET), other biological markers, and clinical and neuropsychological assessment could be combined to measure the progression of MCI and early AD. ADNI-1 was extended to subsequent phases (ADNI-GO, ADNI-2, and ADNI-3) for follow-up for existing participants and additional new enrollments. Inclusion and exclusion criteria, clinical and neuroimaging protocols, and other information about ADNI can be found at [www.adni-info.org](http://www.adni-info.org) [32, 33]. Demographic information, raw neuroimaging scan data, *APOE*, neuropsychological test scores, and clinical information are available and were downloaded from the ADNI data repository ([www.loni.usc.edu/ADNI/](http://www.loni.usc.edu/ADNI/)). Written informed consent was obtained at the time of enrollment that included permission for analysis and data sharing and consent forms were approved by each participating sites’ Institutional Review Board (IRB).

### 2.2. Quality control procedures of serum bile acid profiles

Targeted metabolomics profiling was performed to identify and quantify concentrations of 20 BAs from serum samples using Biocrates® Bile Acids Kit as described in detail in the companion paper in this volume (MahmoudianDehkordi et al, 2018 submitted). In brief, morning serum samples from the baseline visit were collected and aliquoted as described in the ADNI standard operating procedures, with only fasting samples included in this study [32]. BA quantification was performed by liquid chromatography tandem mass spectrometry. Metabolites with >40% of measurements below the lower limit of detection (<LOD) were excluded. To assess the precision of the measured analytes, a set of blinded analytical replicates (24 pairs in ADNI-1 and 15 triples in ADNI-GO/2) were supplied by ADNI. Unblinded metabolite profiles went through further quality control (QC) checks. Validation of the kit was completed with acceptable inter- and intra-laboratory reproducibility as part of an international ring trial on the Bile Acids Kit from Biocrates AG, published in 2016 [34]. Inter-plate variability was monitored using a series of quality control samples, including low, mid, and high QC that come with the kit, a study pool QC analyzed in triplicate on each plate, and the NIST SRM-1950 reference material in duplicate on each plate, and only analytes with <30% technical reproducibility were included in subsequent analysis. The coefficient of variation (range 6.25, 21.49) and intra-class correlation coefficients (range 0.89, 0.99) are presented in Supplementary Table 1. The preprocessed dataset included 15 BAs (5 BAs did not pass QC criteria) and 8 ratios. These selected ratios reflect enzymatic dysfunctions in liver and changes in gut microbiome metabolism (see Fig 1b and Section 2.3). The preprocessed BA values obtained from the QC step were adjusted for the effect of medication use (at baseline) on BA levels (see Toledo et al. 2017 [35] for adjustment description details).

### 2.3. Ratios reflective of conversion of BAs by gut microbiome

We investigated eight selected ratios that are thought to be reflective of enzymatic activities in the liver and the gut microbiome to determine in these enzymatic processes in BA metabolism are associated with neuroimaging and CSF biomarkers of AD. These ratios include:

1. CA:CDCA ratio was selected to test if a possible shift in BA synthesis from the primary to the alternative BA pathways occurs in the liver.
2. Ratios of secondary to primary BAs (DCA:CA, GLCA:CDCA, and TLCA:CDCA) to examine differences in the gut microbiome enzymatic activity leading to altered production of secondary of secondary BAs. Because LCA was excluded in QC steps, the GLCA:CDCA and TLCA:CDCA ratios were used as proxies for LCA:CDCA ratio.
3. GDCA:DCA and TDCA:DCA ratios were used to test if the observed secondary BA dysregulation is related to enzymatic differences related to conjugation.

### 2.4. Neuroimaging processing

**2.4.1. Magnetic Resonance Imaging (MRI)**—T<sub>1</sub>-weighted brain MRI scans at baseline were acquired using a sagittal 3D MP-RAGE sequence following the ADNI MRI protocol [36, 37]. As detailed in previous studies, FreeSurfer V5.1, a widely employed automated MRI analysis approach, was used to process MRI scans and extract whole brain and ROI (region of interest)-based neuroimaging endophenotypes including volumes and cortical thickness determined by automated segmentation and parcellation [38–40]. The cortical surface was reconstructed to measure thickness at each vertex. The cortical thickness was calculated by taking the Euclidean distance between the grey/white boundary and the grey/cerebrospinal fluid (CSF) boundary at each vertex on the surface [41–43].

**2.4.2. Positron Emission Tomography (PET)**—Pre-processed [<sup>18</sup>F] FDG and [<sup>18</sup>F] Flortetapir PET scans (co-registered, averaged, standardized image and voxel size, uniform resolution) were downloaded from the ADNI LONI site (<http://adni.loni.usc.edu>) as described in previously reported methods for acquisition and processing of PET scans from the ADNI sample.[38, 44] For [<sup>18</sup>F] FDG PET, scans were intensity-normalized using a pons ROI to create [<sup>18</sup>F] FDG standardized uptake value ratio (SUVR) images. For [<sup>18</sup>F] Flortetapir PET, scans were intensity-normalized using a whole cerebellum reference region to create SUVR images.

### 2.5. CSF A $\beta$ 1–42, t-tau, and p-tau181 biomarkers

ADNI generated CSF biomarkers (A $\beta$ 1–42, t-tau and p-tau181) in pristine aliquots of 2,401 ADNI CSF samples using the validated and highly automated Roche Elecsys® electrochemiluminescence immunoassays [45, 46] and the same reagent lot for each of these three biomarkers. The CSF biomarker data was downloaded from the ADNI LONI site (<http://adni.loni.usc.edu>).

## 2.6. Statistical analyses

**2.6.1. CSF biomarkers**—We performed a linear regression analysis using age, sex, study phase (ADNI-1 or ADNI-GO/2), body mass index (BMI), and *APOE*  $\epsilon$ 4 status as covariates, followed by false discovery rate (FDR)-based multiple comparison adjustment with the Benjamini-Hochberg procedure.

**2.6.2. Region of Interest (ROI) based analysis of structural MRI and PET**—Mean hippocampal volume was used as an MRI-related phenotype. For FDG PET, a mean SUVR value was extracted from a global cortical ROI representing regions where AD patients show decreased glucose metabolism relative to cognitively normal older participants (CN) from the full ADNI-1 cohort, normalized to pons.[44] For [<sup>18</sup>F] Florbetapir PET, a mean SUVR value was extracted using MarsBaR from a global cortical region generated from an independent comparison of ADNI-1 [<sup>11</sup>C]Pittsburgh Compound B SUVR scans (regions where AD > CN). We performed a linear regression analysis using age, sex, BMI, study phase (ADNI-1 or ADNI-GO/2), and *APOE*  $\epsilon$ 4 status as covariates. For hippocampal volume, years of education, intracranial volume (ICV), and magnetic field strength were added as additional covariates. FDR-based multiple comparison adjustment with the Benjamini-Hochberg procedure was used because the AD biomarker phenotypes were strongly correlated with each other.[47] Not accounting for this high collinearity of dependent variables would lead to an overly stringent correction for multiple testing.

**2.6.3. Whole brain imaging analysis**—The SurfStat software package ([www.math.mcgill.ca/keith/surfstat/](http://www.math.mcgill.ca/keith/surfstat/)) was used to perform a multivariate analysis of cortical thickness to examine the effect of BA profiles on brain structural changes on a vertex-by-vertex basis using a general linear model (GLM) approach.[43] GLMs were developed using age, sex, years of education, ICV, BMI, *APOE*  $\epsilon$ 4 status, and magnetic field strength as covariates. The processed FDG PET images were used to perform a voxel-wise statistical analysis of the effect of BA levels on brain glucose metabolism across the whole brain using SPM8 ([www.fil.ion.ucl.ac.uk/spm/](http://www.fil.ion.ucl.ac.uk/spm/)). We performed a multivariate regression analysis using age, sex, BMI, *APOE*  $\epsilon$ 4 status, and study phase (ADNI-1 or ADNI-GO/2) as covariates. In the whole brain surface-based analysis, the adjustment for multiple comparisons was performed using the random field theory correction method with  $p < 0.05$  adjusted as the level for significance.[48–50]. In the voxel wise whole brain analysis, the significant statistical parameters were selected to correspond to a threshold of  $p < 0.05$  (FDR-corrected).

## 3. Results

### 3.1. Study sample after QC

After QC procedures, 1,562 ADNI participants with 23 BAs and their relevant ratio levels (15 BA metabolites and 8 ratios) at baseline (370 cognitively normal older adults (CN), 98 significant memory concern (SMC), 284 early MCI (EMCI), 505 late MCI (LMCI), and 305 AD) were available for analysis. Demographic information for the study population is presented in Table 1. Mean and standard errors of primary and secondary BAs stratified by clinical diagnosis are presented in **Supplementary Table 2**.



### 3.2. Biomarkers of amyloid- $\beta$ (“A”)

We used CSF A $\beta$ 1–42 levels and a global cortical amyloid deposition of amyloid PET as biomarkers of amyloid- $\beta$ . First, we evaluated whether BA profiles were associated with CSF A $\beta$ 1–42 biomarker by performing an association analysis for 15 BA metabolites and 8 relevant ratios with *APOE*  $\epsilon$ 4 status as a covariate. As shown in Fig 1a, after applying FDR-based multiple comparison correction, we identified three BA ratios significantly associated with CSF A $\beta$ 1–42 levels. Regression coefficients of the three BA ratios of bacterially produced conjugated secondary BAs to primary BAs (GDCA:CA, TDCA:CA, and GLCA:CDCA) showed negative associations indicating higher levels were associated with lower CSF A $\beta$ 1–42 values (CSF A $\beta$ 142 positivity). However, global cortical amyloid deposition of amyloid PET was not significantly associated with any BA or their ratios after applying FDR-based multiple comparison correction. GDCA:CA was marginally associated with a global cortical amyloid load (uncorrected  $p$ -value < 0.05). Higher GDCA:CA levels were associated with greater amyloid deposition.

### 3.3. Biomarkers of fibrillary tau (“T”)

We used CSF phosphorylated tau (p-tau) levels as the biomarker of fibrillary tau. We investigated the association of 23 BAs and their relevant ratios with CSF p-tau, with *APOE*  $\epsilon$ 4 status included as a covariate. We identified three significant associations (FDR-corrected  $p$ <0.05) (Fig 1a). For one conjugated primary BA metabolite (GCDCA), higher GCDCA levels were associated with higher CSF p-tau values. For two bacterially produced conjugated secondary BA metabolites (GLCA and TLCA), higher levels were correlated with higher CSF p-tau values.

### 3.4. Biomarkers of neurodegeneration or neuronal injury (“N”)

We used atrophy on T1-weighted MRI, hypometabolism on FDG PET, and CSF total tau (t-tau) levels as biomarkers of neurodegeneration or neuronal injury.

**3.4.1. Structural MRI (atrophy)**—We investigated the association of BA metabolites and ratios with mean hippocampal volume with *APOE*  $\epsilon$ 4 status as a covariate. Among 23 BA characteristics, 14 BAs/ratios were significantly associated with hippocampal volume after controlling for multiple testing using FDR (Fig 1a; corrected  $p$ <0.05). For one primary BA metabolite, lower CA levels were associated with decreased hippocampal volume. However, for two conjugated primary BA metabolites (GCDCA and TMCA(a+b)) and five bacterially produced conjugated secondary BA metabolites (GDCA, GLCA, GUDCA, TDCA, and TLCA), higher BA levels were associated with decreased hippocampal volume. In addition, higher levels of six ratios of bacterially produced secondary BA metabolite to primary BA metabolite (DCA:CA, GDCA:CA, TDCA:CA, GDCA:DCA, GLCA:CDCA, and TLCA:CDCA) were associated with decreased hippocampal volume.

Among the 14 significant BA signatures, six BA profiles were significantly associated with CSF A $\beta$ 1–42 biomarker (“A”) or CSF p-tau biomarker (“T”). For the six BA profiles, we performed a detailed whole-brain surface-based analysis using multivariate regression models and assessed their effects on whole-brain cortical thickness in an unbiased way. We identified significant associations for all six BA profiles (cluster wise threshold of RFT-

corrected  $p < 0.05$ ), which showed consistent patterns in the associations of CSF A $\beta$ 1–42 or p-tau levels (Fig 2). Higher levels of a conjugated primary BA (GCDCA) were significantly associated with reduced cortical thickness especially in bilateral entorhinal cortices. Increased levels of one bacterially produced conjugated secondary BA metabolite (GLCA) and two ratios of bacterially produced secondary BA metabolites to primary BA metabolites (GDCA:CA and GLCA:CDCA) were significantly associated with reduced cortical thickness in the bilateral frontal, parietal, and temporal lobes including the entorhinal cortex. For one bacterially produced conjugated secondary BA metabolite (TLCA) and one ratio of a bacterially produced secondary BA metabolite to a primary BA metabolite (TDCA:CA), increased levels were associated with reduced cortical thickness in a widespread pattern, especially in the bilateral frontal, parietal, and temporal lobes.

**3.4.2. FDG-PET (brain glucose metabolism)**—We performed an association analysis for 23 BA and ratios with global cortical glucose metabolism measured by FDG PET scans across 1,066 participants with both FDG PET scans and BA measurements. The association testing including *APOE*  $\epsilon$ 4 status as a covariate, identified twelve BA characteristics as significantly associated with brain glucose metabolism after controlling for multiple testing using FDR (Fig 1a; corrected  $p < 0.05$ ). For one primary BA metabolite, lower CA levels were associated with reduced glucose metabolism. In contrast, for one conjugated primary BA metabolite (GCDCA), four bacterially produced conjugated secondary BA metabolites (GDCA, GLCA, TDCA, and TLCA), and six ratios of bacterially produced secondary BA metabolites to primary BA metabolites (DCA:CA, GDCA:CA, TDCA:CA, GDCA:DCA, GLCA:CDCA, and TLCA:CDCA), higher BA ratio levels were associated with reduced glucose metabolism.

In addition, in an unbiased way we performed a detailed whole-brain analysis to determine the effect of BAs on brain glucose metabolism on a voxel wise level for six BAs and ratios (GCDCA, GLCA, TLCA, GDCA:CA, TDCA:CA, and GLCA:CDCA) that were significantly associated with both CSF A $\beta$ 1–42 or p-tau biomarkers, FDG metabolism, and hippocampal volume. We identified significant associations for all six BA profiles (cluster wise threshold of FDR-corrected  $p < 0.05$ ), which showed consistent patterns in the associations of CSF A $\beta$ 1–42 or p-tau levels and structural atrophy (Fig 3). Higher levels of a conjugated primary bile acid GCDCA were significantly associated with reduced glucose metabolism especially in the bilateral hippocampi, which showed consistent patterns with the associations of cortical thickness. Increased levels of one bacterially produced conjugated secondary BA metabolite (GLCA) and one ratio of a bacterially produced secondary BA metabolite to a primary BA metabolite (GLCA:CDCA) were significantly associated with reduced glucose metabolism in the bilateral temporal and parietal lobes. Lower TLCA levels, a bacterially produced conjugated secondary BA metabolite, were associated with increased glucose metabolism in the left temporal lobe. For two ratios (GDCA:CA and TDCA:CA) of bacterially produced secondary BA metabolite to a primary BA metabolite, higher ratio levels were significantly associated with reduced glucose metabolism in a widespread pattern, especially in the bilateral frontal, parietal, and temporal lobes.



**3.4.3. CSF total tau (t-tau)**—We evaluated whether 23 BAs and ratios were associated with the CSF t-tau including *APOE*  $\epsilon$ 4 status as a covariate. We identified three significant associations after controlling for multiple testing using FDR (corrected  $p < 0.05$ ) (Fig. 1). Higher levels of GCDCA, a conjugated primary BA metabolite, and GLCA and TLCA, bacterially produced secondary BA metabolites, were associated with higher CSF t-tau values.

## 4. Discussion

In this report we analyzed serum-based BA profiles in the ADNI cohort to investigate the relationship between peripheral metabolic measures and central biomarkers for AD pathophysiology based on the recently proposed framework (“A/T/N”).[30] Our results showed that altered BA profiles were significantly associated with structural and functional changes in the brain as noted by larger atrophy and reduced glucose metabolism (“N”). Furthermore, altered BA profiles were significantly associated with three CSF biomarkers including  $A\beta$ 1–42, t-tau, and p-tau. Three ratios of primary BAs to secondary BAs were associated with lower CSF  $A\beta$ 1–42 levels (amyloid- $\beta$  positivity) (“A”) as well as reduced cortical glucose metabolism and larger structural atrophy (GDCA:CA, TDCA:CA, and GLCA:CDCA). One conjugated primary BA profile (GCDCA) and two bacterially produced conjugated secondary BAs (GLCA and TLCA) were associated with higher CSF p-tau values (“T”) as well as higher CSF t-tau values, reduced glucose metabolism, and larger structural atrophy.

Whether the gut microbiome directly influences AD pathogenesis remains unknown, however it does appear to influence amyloid- $\beta$ , fibrillary tau, and neurodegeneration. To our knowledge, this is the first study to systematically link markers of the gut microbiome and liver function to AD-related structural and functional neuroimaging biomarkers as well as biomarkers of amyloid- $\beta$  and tau burden.

Three core CSF biomarkers ( $A\beta$ 1–42, t-tau, and p-tau) reflect AD pathology and can be used to reliably diagnose AD and identify MCI, a prodromal stage of AD, with high diagnostic accuracy.[51, 52] Previous studies showed that AD patients have a substantial reduction in CSF  $A\beta$ 1–42 and a marked increase in levels of CSF t-tau and p-tau [53–56]. We observed that higher levels of TDCA:CA, GDCA:CA, and GLCA:CDCA were associated with decreased levels of CSF  $A\beta$ 1–42 and higher levels of GCDCA, TLCA, and GLCA were associated with increased levels of CSF t-tau and p-tau.

MRI is widely used to investigate structural changes in MCI and AD.[57–59] We observed lower levels of CA and higher levels of GCDCA, TMCA(a+b), GDCA, GLCA, GUDCA, TDCA, TLCA, DCA:CA, GDCA:CA, TDCA:CA, GDCA:DCA, GLCA:CDCA, and GCDCA:CDCA were associated with greater brain atrophy. Significant regional effects were observed particularly in the bilateral inferior parietal gyri cortices, hippocampi, and temporal lobes including the entorhinal cortex. The hippocampus and entorhinal cortex are affected early in AD, and the decrease in hippocampal volume accelerates as AD progresses. Significant thinning of the cortical surface reflects atrophy in the temporal, parietal, and frontal lobes has been shown in MCI and AD.[57–59] Reduced cortical thickness in the

temporal cortex as a measure of brain atrophy rate has shown promise in predicting MCI to AD progression.[57]

Lower CA and higher TDCA, GDCA, GCDCA, GLCA, TLCA, DCA:CA, GDCA:CA, TDCA:CA, GDCA:DCA, GLCA:CDCA, and TLCA:CDCA levels were associated with reduced global glucose metabolism in brain. The significant regional effect on brain glucose metabolism was observed particularly in the bilateral hippocampi for GCDCA, in the temporal and parietal lobes for GLCA, TLCA, and GLCA/CDCA, and in a widespread pattern including the bilateral temporal, parietal, and frontal lobes for GDCA:CA and TDCA:CA. AD patients have shown significant glucose metabolism reduction in the temporal lobes, parietal lobes, and then the frontal lobes with increasing severity of AD [60–62].

The observed pattern of association between changes in brain structure and glucose metabolism as well as CSF biomarkers with specific BAs and ratios indicates a potential mechanistic connection between peripheral and central biochemical changes. Our results strongly suggest gut-liver-brain axis involvement in AD, neurodegeneration, and brain dysfunction. Both liver function and gut microbiome activity are impacted in AD, and these changes seem to occur at the earliest stages of disease. Despite this strong pattern of associations, the specific mechanism and causal directionality remains to be determined.

We hypothesized that altered gut microbiota play an important role. This is supported by several lines of research connecting the gut microbiota and AD pathology. Alterations in the gut microbiota and an increase in gut permeability may lead to dysfunction in the hippocampus [63, 64] and the development of insulin resistance, which correlates with AD pathogenesis.[65–67] It has been hypothesized that increased gut permeability allows bacteria-derived amyloids from the gastrointestinal tract to accumulate at the systemic and brain level [68]. This in turn could lead to the upregulation of pro-inflammatory microRNA-34a and as a consequence, downregulation of TREM2 leading to the accumulation of A $\beta$ <sub>42</sub>. [67, 68]

Results from animal studies demonstrate that increased input of BAs significantly inhibits two of the major phyla in the human gut microbiome, Bacteroidetes and Actinobacteria.[69] Bacterial taxonomic composition of fecal samples revealed differences in bacterial abundance including decreased Firmicutes, increased *Bacteroidetes*, and decreased *Bifidobacterium* (phylum Actinobacteria) in the microbiome of AD patients relative to age- and sex- matched controls. Furthermore, these differences in bacterial abundance correlated with CSF biomarkers including A $\beta$ <sub>42</sub>/A $\beta$ <sub>40</sub> and p-tau/A $\beta$ <sub>42</sub>. Even in the age- and sex- matched controls (no dementia diagnosis), there was a similar relationship between the same bacteria that were either more or less abundant in AD and markers of tau and amyloid.[70] In another study, an increased abundance of pro-inflammatory bacteria (*Escherichia/Shigella*) and a decreased abundance of anti-inflammatory bacteria (*Eubacterium rectale*) were noted in cognitively impaired older adults with evidence of amyloid deposition on PET imaging compared to those who were amyloid negative.[71] These results lend further support to the link between gut microbiota and brain amyloidosis. Gut microbiota have been associated with the accumulation of amyloid plaques in a mouse model of AD. A transgenic

AD mouse model generated under germ-free conditions had dramatic reductions in cerebral amyloid- $\beta$  pathology compared to control animals with normal intestinal microbiota whereas, colonization of germ-free AD mice with microbiota harvested from conventionally raised AD mice significantly increased A $\beta$  pathology.[28]

The association between BA cytotoxicity and the generation of reactive oxygen species (ROS) is well documented [72–76]. Others have proposed that mitochondrial ROS production plays an important role in brain metabolic signaling [77, 78]. Some of the mechanisms by which mitochondrial dysfunction leads to neuronal degeneration in AD include ROS generation and activation of mitochondrial permeability transition [79, 80], suggesting a crucial role for oxidative stress in the pathophysiology of AD.

Hyperphosphorylation of tau proteins has been linked to oxidation through the microtubule-associated protein kinase pathway [81]. In our analyses, three cytotoxic BAs (GCDCA, GLCA, and TLCA) correlated with higher biomarker levels of fibrillary tau and neurodegeneration/neuronal injury.

Hydrophobic BAs, like CDCA, are known to damage biological membranes [82], whereas hydrophilic BAs, like UDCA and TUDC, are inhibitors of apoptosis via their ability to stabilize mitochondrial membranes.[83, 84] Impairment of mitochondrial function is likely one of the vital ways in which BAs cause cellular dysfunctions [85–88]. Decreased mitochondrial membrane potential has been associated with increasing concentrations of the bile acids, LCA, DCA, UDCA, CDCA, GCDCA, and taurochenodeoxycholic (TCDC) acid [85].

#### 4.1. Limitations

The ADNI study is observational by design making it difficult to control for confounding as well as to determine directionality of associations and causal pathways. For example, the population of the gut is affected by a plethora of factors including geography, lifetime immunological experience, and environmental factors, which could play important, yet currently unknown roles in the pathogenesis of AD. Experimental studies are needed to understand the mechanistic role of BA in the development of AD-related pathology as well as to disentangle cause and effect. Medication use was extensively explored as a potential confounder (Supplementary Fig. 2). Overall, our key findings remained significant after adjustment for medication use, although effects were attenuated. In addition, further studies are warranted to validate our findings in independent cohorts. In this paper, we focus on secondary BAs that are only known to be synthesized by bacterial enzymes. It is possible that there might be an alternative route to their synthesis that is yet to be discovered. These additional regulatory mechanisms could be responsible for the observed changes.

Currently, no large-scale clinical studies (like ADNI) have collected fecal materials to interrogate changes in the gut microbiome composition. Future studies we are planning in coordination with large AD centers will enable collection of matching blood and fecal materials where the composition of the gut microbiome across the trajectory of disease can be investigated. There is an urgent need to elucidate mechanistic links between gut microbial metabolism and brain function in AD. Existing studies elucidating relations between gut microbial metabolism and the human metabolome have mainly used statistical analyses to

correlate individual compounds with microbial taxa [89, 90]. However, correlation does not necessarily equal causation as the microbiome may change due to AD-related metabolic pathology including weight loss. Longitudinal studies that capture both the metagenome and metabolome along with fecal samples and dietary information are needed to begin to clarify the relationship between bile acid metabolism, gut dysbiosis, and AD.

## 5. Conclusions

This is the first study to our knowledge to demonstrate an association between altered BA profiles and amyloid- $\beta$ , tau, and neurodegeneration biomarkers of AD pathophysiology. While our results provide further evidence implicating BA signaling in AD, the causal pathway remains to be systematically investigated by prospective clinical studies and experimental manipulations in model systems. Future metagenomics studies are also needed to define the relationship between BAs, host factors including genetics, and bacterial community composition within an individual across time. Building on present results, these investigations are needed to achieve a mechanistic understanding of the role of gut bacteria and BAs in relation to AD pathophysiology. If a causal role can be demonstrated in future research, BA signaling pathways may lead to the identification of metabolites that are protective against AD and could foster novel therapeutic strategies.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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#### DISCLOSURES

J.Q.T. may accrue revenue in the future on patents submitted by the University of Pennsylvania wherein he is a co-inventor and he received revenue from the sale of Avid to Eli Lilly as a co-inventor on imaging-related patents submitted by the University of Pennsylvania. L.M.S. receives research funding from NIH (U01 AG024904; R01 MH 098260; R01 AG 046171; 1RF AG 051550); MJFox Foundation for PD Research and is a consultant for Eli Lilly; Novartis; Roche; he provides QC over-sight for the Roche Elecsys immunoassay as part of responsibilities for the ADNI study. A.J.S. reports investigator-initiated research support from Eli Lilly unrelated to the work reported here. He has received consulting fees and travel expenses from Eli Lilly and Siemens Healthcare and is a consultant to Arkley BioTek. He also receives support from Springer publishing as an editor in chief of Brain Imaging and Behavior. M.W.W. reports stock/stock options from Elan, Synarc, travel expenses from Novartis, Tohoku University, Fundacio Ace, Travel eDreams, MCI Group, NSAS, Danone Trading, ANT Congress, NeuroVigil, CHRU-Hopital Roger Salengro, Siemens, AstraZeneca, Geneva University Hospitals, Lilly, University of California, San Diego-ADNI, Paris University, Institut Catala de Neurociencies Aplicades, University of New Mexico School of Medicine, Ipsen, Clinical Trials on Alzheimer's Disease, Pfizer, AD PD meeting. PMD has received research grants and advisory/speaking fees from several companies for other projects, and he owns stock in several companies. Full disclosures will be made through the IJCME form. R.K.D. is inventor on key patents in the field of metabolomics including applications for Alzheimer disease. All other authors report no disclosures.

#### Abbreviations:

<b>AD</b>	Alzheimer's disease
<b>ADMC</b>	Alzheimer's disease Metabolomics Consortium
<b>ADNI</b>	Alzheimer's Disease Neuroimaging Initiative
<b>APOE</b>	apolipoprotein E
<b>BBB</b>	blood-brain barrier
<b>BA</b>	bile acid
<b>CA</b>	Cholic acid
<b>CDCA</b>	Chenodeoxycholic acid
<b>CN</b>	Cognitively normal older control
<b>DCA</b>	Deoxycholic acid
<b>EMCI</b>	Early mild cognitive impairment
<b>FDR</b>	False discovery rate
<b>FXR</b>	Farnesoid X Receptor
<b>GCA</b>	Glycocholic acid
<b>GCDCA</b>	Glycochenodeoxycholic acid

<b>GDCA</b>	Glycodeoxycholic acid
<b>GLCA</b>	Glycolithocholic acid
<b>GUDCA</b>	Glycoursodeoxycholic acid
<b>ICV</b>	intracranial volume
<b>LCA</b>	Lithocholic acid
<b>LMCI</b>	Late mild cognitive impairment
<b>MCI</b>	Mild cognitive impairment
<b>MRI</b>	magnetic resonance imaging
<b>PET</b>	positron emission tomography
<b>TCA</b>	Taurocholic acid
<b>TCDC</b>	taurochenodeoxycholic acid
<b>TDCA</b>	Taurodeoxycholic acid
<b>TLCA</b>	Taurolithocholic acid
<b>TMCA</b>	Trimethoxycinnamic acid
<b>TUDCA</b>	Tauroursodeoxycholic acid
<b>UDCA</b>	Ursodeoxycholic acid

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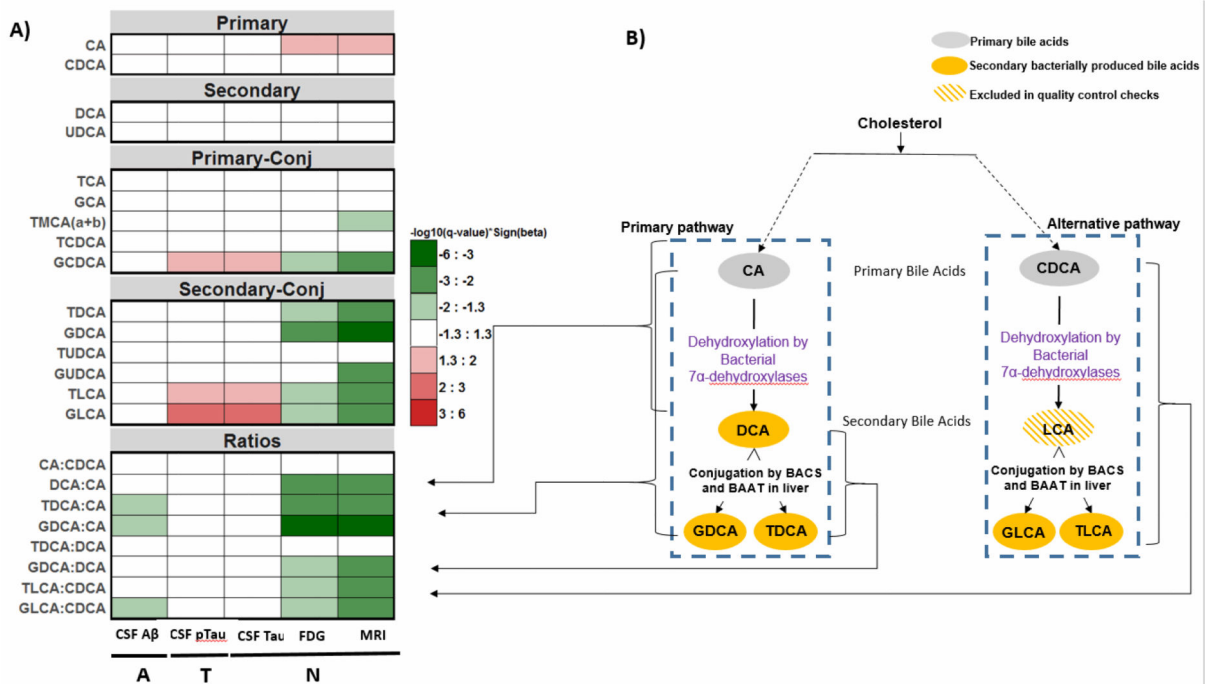
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### Research in Context

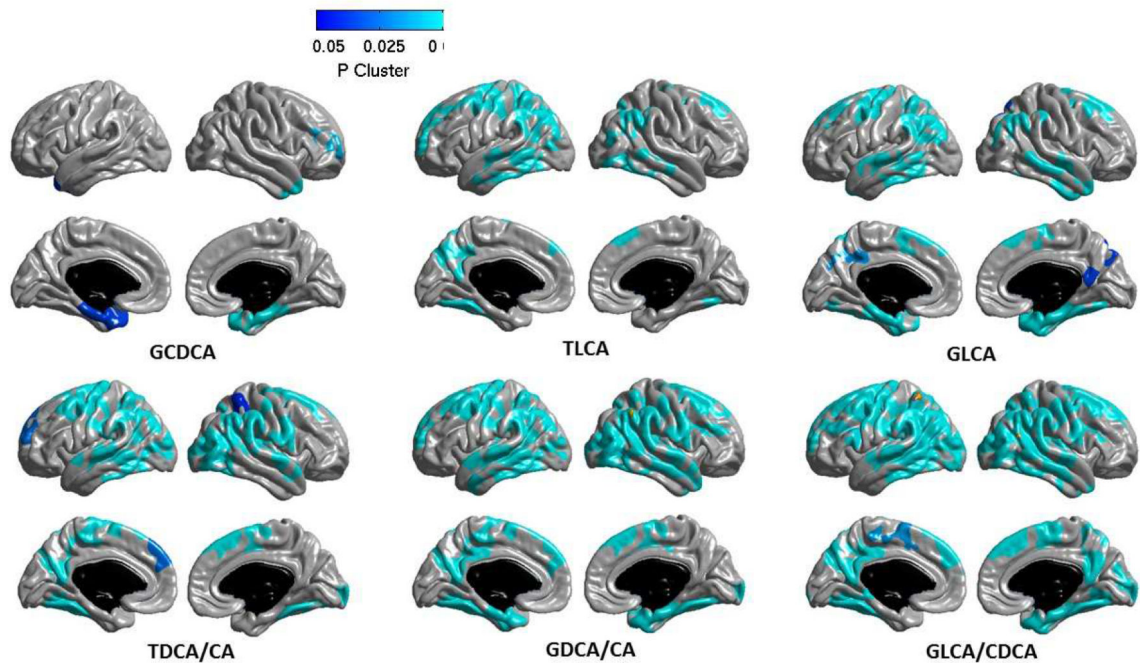
- 1. Systematic review:** The authors reviewed the literature using PubMed, Google, Web of Science, and through meeting abstracts and presentations. We have cited several recent publications implicating the gut microbiome role in neuropsychiatric diseases.
- 2. Interpretation:** This is the first study to show that serum bile acid (BA) produced in liver and by gut microbiome are associated with CSF biomarkers and brain imaging changes in AD. BAs play key role in cholesterol clearance and in maintaining energy homeostasis. Inter-organ communication seems important in maintaining brain glucose metabolism the dysregulation of which contributes to neurodegeneration in AD.
- 3. Future directions:** Understanding gut microbiome's role in aging and related diseases opens potential new hypotheses for AD. Prospective clinical observations and validation in model systems are needed to assess causality and specific mechanisms underlying BA and gut microbiome dysbiosis in AD. Biochemical gut-liver-brain axis of communication should be further evaluated in AD.





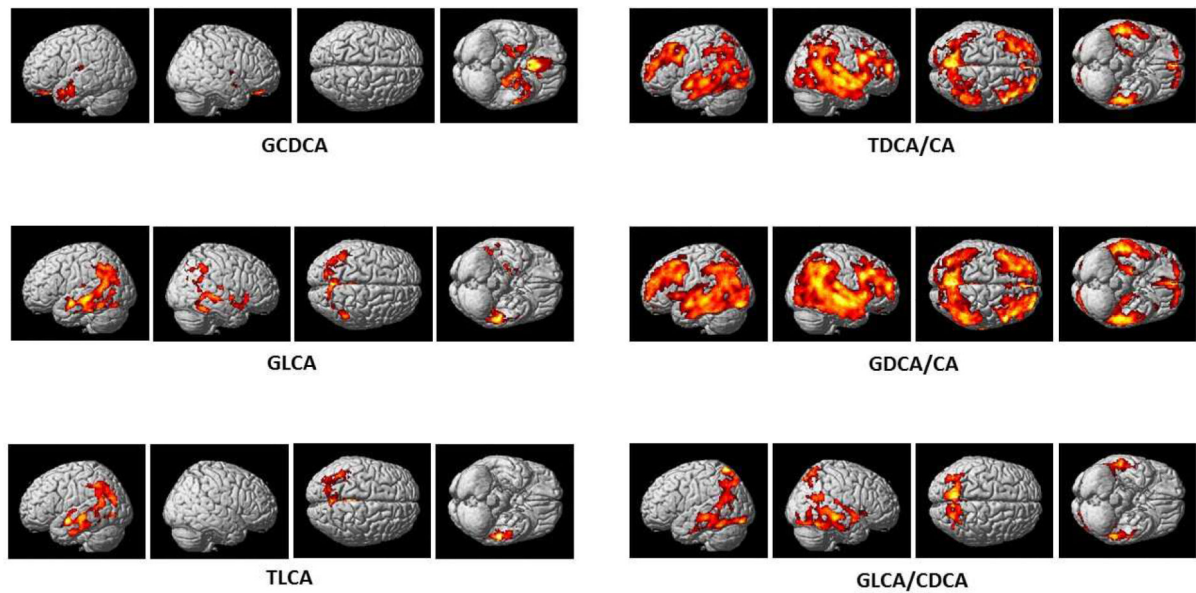
**Fig 1. Bile acids and their ratios reflective of gut microbiome and liver enzymatic activities and their correlation with ATN biomarkers for Alzheimer’s disease.**

Heat map of q-values of association between bile acid profiles and the “A/T/N” biomarkers for AD (a). P-values estimated from linear regression analyses were corrected for multiple testing using FDR (q-value). Color code: white indicates q-value>0.05, reds indicate significant positive associations, and greens indicate significant negative associations. Several ratios were calculated to inform about possible enzymatic activity changes in AD (b). These ratios reflect: (1) Shift in bile acid metabolism from primary to alternative pathway, (2) Changes in gut microbiome correlated with production of secondary bile acids, and (3) Changes in glycine and taurine conjugation of secondary bile acids. LCA was excluded in preprocessing checks.



**Fig 2. Whole-brain surface-based imaging analysis**

A whole-brain multivariate analysis of cortical thickness across the brain surface was performed to visualize the topography of the association of bile acid profiles with brain structure in an unbiased manner. For a surface-based analysis of levels of CDCA, TLCA, GLCA, TDCA:CA, GDCA:CA, and GLCA:CDCA, statistical maps were thresholded using a random field theory for a multiple testing adjustment to a corrected significance level of 0.05. The  $p$ -value for clusters indicates significant corrected  $p$  values with the lightest blue color. Higher GCDCA levels were significantly associated with reduced cortical thickness especially in bilateral entorhinal cortices. Increased GLCA, GDCA:CA, and GLCA:CDCA levels were significantly associated with reduced cortical thickness in the bilateral frontal, parietal, and temporal lobes including the entorhinal cortex. For TLCA and TDCA:CA, increased levels were associated with reduced cortical thickness in a widespread pattern, especially in the bilateral frontal, parietal, and temporal lobes.



**Fig 3. Whole-brain voxel-based imaging analysis**

A whole-brain multivariate analysis of glucose metabolism was performed to visualize the topography of the association of bile acid profiles with glucose metabolism in an unbiased manner. For a voxel-based analysis of FDG-PET scans, we identified significant associations (cluster wise threshold of FDR-corrected  $p < 0.05$ ). Higher GCDCA levels were significantly associated with reduced glucose metabolism especially in the bilateral hippocampi. Increased GLCA and GLCA:CDCA levels were significantly associated with reduced glucose metabolism in the bilateral temporal and parietal lobes. Lower TLCA levels were associated with increased glucose metabolism in the left temporal lobe. For two ratios (GDCA:CA and TDCA:CA), higher ratio levels were significantly associated with reduced glucose metabolism in a widespread pattern, especially in the bilateral frontal, parietal, and temporal lobes.

**Table 1.**Demographics of ADNI participants stratified by baseline diagnosis<sup>a</sup>.

Variable	N	CN (N=370)	SMC (N=98)	EMCI (N=284)	LMCI (N=505)	AD (N=305)
Age	1562	74.58(5.71)	72.18(5.63)	71.12(7.51)	73.95(7.59)	74.70(7.79)
Sex: Female, No. (%)	1562	190(51)	56(57)	130(46)	139(39)	139(46)
Education, years	1562	16.28(2.92)	16.71(2.56)	15.95(2.66)	15.87(2.90)	15.16(3.00)
BMI (Kg/M <sup>2</sup> )	1562	27.05(4.46)	28.22(6.24)	28.06(5.41)	26.54(4.25)	25.83(4.69)
APOE ε4 status, (+%)	1562	104(28)	32(33)	121(43)	273(54)	202(66)
CSF Aβ1-42	1112	1342.2(663.7)	1385.7(605.0)	1175.1(569.3)	896.5(502.7)	683.0(394.6)
CSF p-tau	1107	22.3(9.4)	21.7(9.6)	24.1(13.8)	30.5(14.8)	36.5(15.7)
CSF t-tau	1108	240.9(91.7)	237.9(92.7)	255.2(122.5)	309.7(130.3)	365.9(141.7)

<sup>a</sup>Data are reported as mean(SD) unless otherwise indicated

*Abbreviations:* AD: Alzheimer's disease; BMI: Body mass index; CN: Cognitively normal; EMCI: Early mild cognitive impairment; LMCI: Late mild cognitive impairment; SMC: subjective memory complaint; CSF: cerebrospinal fluid

**Table 2.**Levels of primary and secondary bile acids measured in the ADNI cohort stratified by clinical diagnosis<sup>a</sup>.

Bile Acid	Category	N <sup>b</sup>	CN (N=370)	SMC (N=98)	EMCI (N=284)	LMCI (N=505)	AD (N=305)
CA, Cholic	Primary	1446	0.221(0.024)	0.245(0.093)	0.155(0.021)	0.192(0.021)	0.135(0.025)
CDCA, Chenodeoxycholic	Primary	1357	0.285(0.042)	0.315(0.128)	0.241(0.034)	0.288(0.033)	0.216(0.033)
GCA, Glycocholic	Primary Conjugated	1463	0.236(0.019)	0.269(0.046)	0.234(0.021)	0.239(0.014)	0.297(0.037)
GCDCA, Glycochenodeoxycholic	Primary Conjugated	1464	0.658(0.035)	0.702(0.078)	0.724(0.059)	0.710(0.037)	0.806(0.049)
TCA, Taurocholic	Primary Conjugated	1020	0.068(0.008)	0.090(0.029)	0.057(0.006)	0.068(0.006)	0.066(0.009)
TCDCa, Taurochenodeoxycholic	Primary Conjugated	1426	0.090(0.006)	0.114(0.025)	0.088(0.007)	0.091(0.006)	0.097(0.008)
TMCA, Tauromuricholic	Primary Conjugated	1146	0.012(0.001)	0.007(0.001)	0.011(0.001)	0.014(0.002)	0.014(0.002)
DCA, Deoxycholic	Secondary	1445	0.526(0.041)	0.520(0.068)	0.574(0.043)	0.529(0.026)	0.627(0.045)
UDCA, Ursodeoxycholic	Secondary	1111	0.065(0.007)	0.044(0.008)	0.072(0.011)	0.091(0.010)	0.087(0.012)
GDCA, Glycodeoxycholic	Secondary Conjugated	1439	0.440(0.034)	0.462(0.063)	0.488(0.038)	0.502(0.031)	0.672(0.054)
TDCa, Taurodeoxycholic	Secondary Conjugated	1430	0.058(0.006)	0.077(0.019)	0.059(0.005)	0.065(0.005)	0.077(0.006)
GLCA, Glycolithocholic	Secondary Conjugated	1037	0.027(0.002)	0.025(0.003)	0.034(0.003)	0.030(0.002)	0.039(0.003)
TLCA, Tauroolithocholic	Secondary Conjugated	1008	0.005(0.0002)	0.004(0.001)	0.005(0.0003)	0.005(0.0003)	0.006(0.0005)
GUDCA, Glycoursodeoxycholic	Secondary Conjugated	1401	0.115(0.010)	0.093(0.015)	0.114(0.012)	0.129(0.012)	0.136(0.015)
TUDCA, Tauroursodeoxycholic	Secondary Conjugated	1369	0.008(0.001)	0.008(0.001)	0.008(0.001)	0.008(0.001)	0.008(0.001)

<sup>a</sup>Values represent  $\mu\text{M}$  in mean (standard error of the mean).<sup>b</sup>Number of non-missing measurements.

*Abbreviations:* AD: Alzheimer's disease; CN: Cognitively normal; EMCI: Early mild cognitive impairment; LMCI: Late mild cognitive impairment; SMC: subjective memory complaint