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Platinum electrochemistry through a magnifying glass

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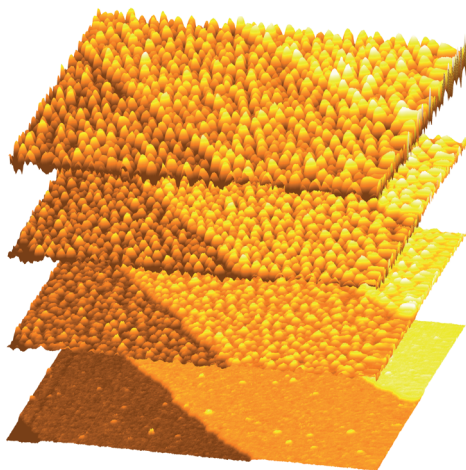
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Correlation of surface site formation to nanoisland growth in the electrochemical roughening of Pt(111)

Platinum plays a central role in a variety of electrochemical devices. Its practical applications depend on the prevention of electrode degradation. This is particularly important under conditions of repeated oxidation and reduction, which induce irreversible surface structure changes. However, the underlying atomic processes are far from understood. Here, we examine the correlation between the evolution of the electrochemical signal of Pt(111) and its surface roughening by simultaneously performing cyclic voltammetry and in situ electrochemical scanning tunneling microscopy (EC-STM). We identify: a ‘nucleation & early growth’ regime of nanoisland formation, and a ‘late growth’ regime after island coalescence, which continues up to at least 170 cycles. The correlation analysis shows that each step site that is created in the ‘late growth’ regime contributes equally strong to both the electrochemical and the roughness evolution. In contrast, steps created in the ‘nucleation & early growth’ regime contribute to the roughness, but not to the electrochemical signal.



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Early attempts to resolve the structure of the roughened Pt(111) surface were made by *ex situ* low energy electron diffraction (LEED)^{1,2}, *in situ* x-ray reflectivity³⁻⁵, and *in situ* EC-STM⁶⁻¹⁰ experiments. From these studies it was concluded that the roughened surface is covered with nanoscale islands of (at most) a few atoms high. Indications were found that the resulting surface structure depends on the number of oxidation-reduction cycles (ORCs), the upper potential limit (or transferred charge), and the potential scan rate. However, it has as yet been impossible to fully resolve the island evolution. Importantly, the corresponding electrochemical data for all spatially-resolved studies is either absent or incomplete.

Based on electrochemical experiments, insights in the average surface evolution were obtained during the first 20 ORCs.¹¹⁻¹⁵ Initially both {100} and {111} steps* form on the roughened surface, while eventually only {111} steps remain. Advanced *in situ* EC-STM^{16,17} and x-ray reflectivity¹⁸⁻²² experiments are ideal tools to study this system at the atomic level. Using x-ray scattering experiments and following the surface evolution for 20 ORCs, Ruge *et al.* observed an increasing island size with increasing cycle number and decreasing upper potential limit.²¹ However, there is no single publication that provides both the detailed structural information and the complete electrochemical characterization from the very same experiment. This is a prerequisite for a true correlation, a deeper understanding of the roughening process, and the interpretation of the voltammetric signals.

The experiments presented here and in Chapter 3 are measured using a home-built EC-STM described previously.²³⁻²⁵ In these experiments, STM images and cyclic voltammograms (CVs) were recorded alternately for 170 ORCs. The presented data originate from one single experiment, which was reproduced four times to ensure its representative character (for details see Appendix A). We first discuss the observed electrochemical signals that have been measured *in situ* during the EC-STM experiment and will then turn to the STM results and their analysis.

* More precisely, these step sites should be denoted as $\langle 110 \rangle / \{100\}$ and $\langle 110 \rangle / \{111\}$ respectively, i.e. steps along a direction equivalent (according to the symmetry) to [110] and exhibiting a square {100} or hexagonal {111} geometry.

2.1 Electrochemical measurements

Figure 2.1 shows, from black to white, the CVs of the Pt(111) electrode of 170 ORCs between 0.06 and 1.35 V. From the evolution of the CVs, one notices the appearance and disappearance of ‘peaks’ and ‘shoulders’ upon increasing cycle number. The changes in the hydrogen adsorption/desorption region (< 0.4 V) can be related to the presence and appearance of specific step or defect-like surface structures.^{11,12,14,26–31} With increasing potential, first OH is adsorbed on the terrace (0.8 V), followed by the formation of a 2D oxide (1.05 V) and a 3D oxide (> 1.1 V).^{15,32,33} Not all atoms reach their original positions upon reduction of the 3D oxide, leading to surface roughening. Simultaneously, a small amount of Pt^{2+} dissolves in the electrolyte, a part of which will be reduced on the surface once the potential is decreased.^{34,35} The identification of specific surface sites is typically performed in the hydrogen region. Initially, this region consists only of a single broad band, associated with hydrogen adsorbed on Pt(111) terrace sites. The charge related to this process, indicated by the dark blue area in Fig. 2.1, is $168 \mu\text{C}\cdot\text{cm}^{-2}$. The agreement of this value with the literature³⁶ and the absence of any other peaks confirm the cleanliness and efficacy of our setup, sample treatment, and preparation.

Starting from this well-defined situation, two new peaks (A_1 and A_2) appear when the sample is subjected to the first ORCs. The A_1 peak is attributed to $\{100\}$ step sites and starts decreasing again already after three cycles. In contrast, the A_2 peak that is attributed to $\{111\}$ step sites, stabilizes after approximately 20 cycles. These observations agree well with reported data.¹⁴ Interestingly, we find a third, not earlier reported, peak (A_3) that develops slowly with increasing cycle number starting around the 30th cycle. Based on other single crystal experiments, it might be related to the formation of $\{100\}$ terrace sites.^{37,38}

The total charge related to hydrogen desorption (q_H) can be obtained by integrating the anodic, double-layer corrected current between 0.06 and 0.4 V (see Appendix A). As q_H is directly related to the number of surface sites, it should be possible to convert it into a measure for the surface roughness. However, for converting a terrace site into the various undercoordinated (step) sites one has to take into account different stoichiometric coefficients.^{26–29} For fewer than 20 ORCs, there is no linear relationship between the charge corresponding to A_1 and A_2 peaks, leading to q_H being a complex function of the created as well as annihilated adsorption sites. Further complications arise as the stoichiometric

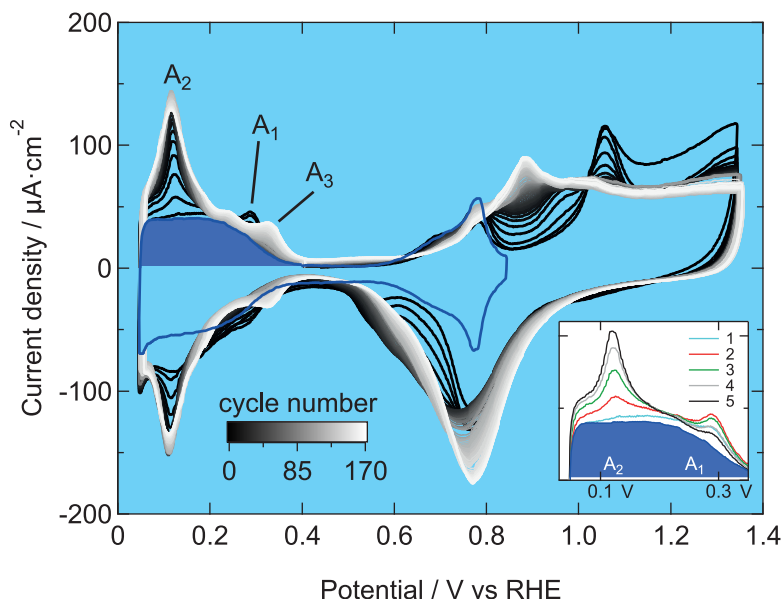


Fig. 2.1 | Cyclic voltammograms of consecutive oxidation-reduction cycles: The CV of the pristine Pt(111) surface is shown in blue and the blue shaded area indicates the initial charge related to hydrogen desorption. The scan rate is $50 \text{ mV}\cdot\text{s}^{-1}$. The inset shows the evolution of the A_1 and A_2 peaks during the first five cycles.

coefficients in the kinetic model describing the roughening process^{11,12} differ from the ones determined for the ideally stepped single crystal surfaces. This suggests that the formed adsorption sites should not be considered as regular step edges, although they might be so geometrically, as finite size effects are known to change the local potential energy landscape of the sites.^{39–41} In the following, we will use q_H to describe the electrochemically observed roughening, which we compare to the roughness derived from the EC-STM data.

2.2 *in situ* EC-STM

Although our STM is capable of measuring under *operando* conditions (e.g. during a CV), our aim was to record high-quality snapshots, in which the complete imaged surface has transformed in the same way. Therefore, all images are acquired in the double layer region at constant tip and sample potential ($U_t=0.45 \text{ V}$ and $U_s=0.4 \text{ V}$, respectively). The full set of STM images is provided as movie in Ref. [42] and Fig. 2.2 shows four selected images illustrating the key situations in the roughening

evolution. We first describe the surface changes qualitatively, before turning to a quantitative analysis.

Panel (A) shows the starting situation with large (111) terraces confirming the well-prepared and clean surface. The few islands on the terraces are remainders of our flame-annealing procedure to approximately 1250 K. During cooling down, the thermally activated equilibrium adatom density has to decrease and all adatoms that can not reach a step edge within their diffusion length, will nucleate as small islands.^{*,45,46}

During the first ~25 ORCs small, monoatomic-high adatom islands nucleate on the surface and existing islands undergo a lateral and slight height growth. This is illustrated in the STM image after 8 cycles (Fig. 2.2B). We refer to this phase as the ‘nucleation & early growth’ regime of the roughening process. Simultaneous with the formation of adatom islands, vacancy islands should be created to balance the total mass. However, small vacancy islands are extremely hard to resolve using an STM, due to their convolution with the tip shape. This is probably the reason that the early EC-STM studies only reported the formation of adatom islands,^{6–8} whereas ‘holes’¹⁶ and ‘pits’¹⁷ were observed only more recently. We have clear evidence for the formation of vacancy islands. Firstly we do observe small areas that are lower than the original pristine terrace, see the darker spots between the islands in Fig. 2.2B. As not all of them show a depth of exactly one step height (some half a step height), one might argue that these are oxide-like remainders from the oxidation-reduction process. However, this is unlikely, since our holes do not change at a constant reducing sample potential in between the ORCs (see Appendix B). Furthermore, the vacancy islands depth increases with increasing cycle number, which is interpreted as lateral growth, thereby reducing the effect of the tip convolution. Finally, the height line after cycle 170 in Fig. 2.3 clearly shows that the original terrace is etched more than 2 monolayers deep.

With further ORCs, the nucleation of new islands stops and any further changes are related to the growth of existing islands both in lateral size and height. The original, unaffected terrace area decreases until the islands eventually coalesce as shown in panel (C) after 31 cycles. Tip convolution prevents the determination of the exact moment of coalescence between the 20th and 30th cycle. Depending on

* This is confirmed by the denuded zone in front of the lower step edge, the missing denuded zone at the upper step edge (Ehrlich-Schwoebel barrier^{43,44}), the distances between the islands, their size, and the fact that these islands show the standard Pt(111) step-height.

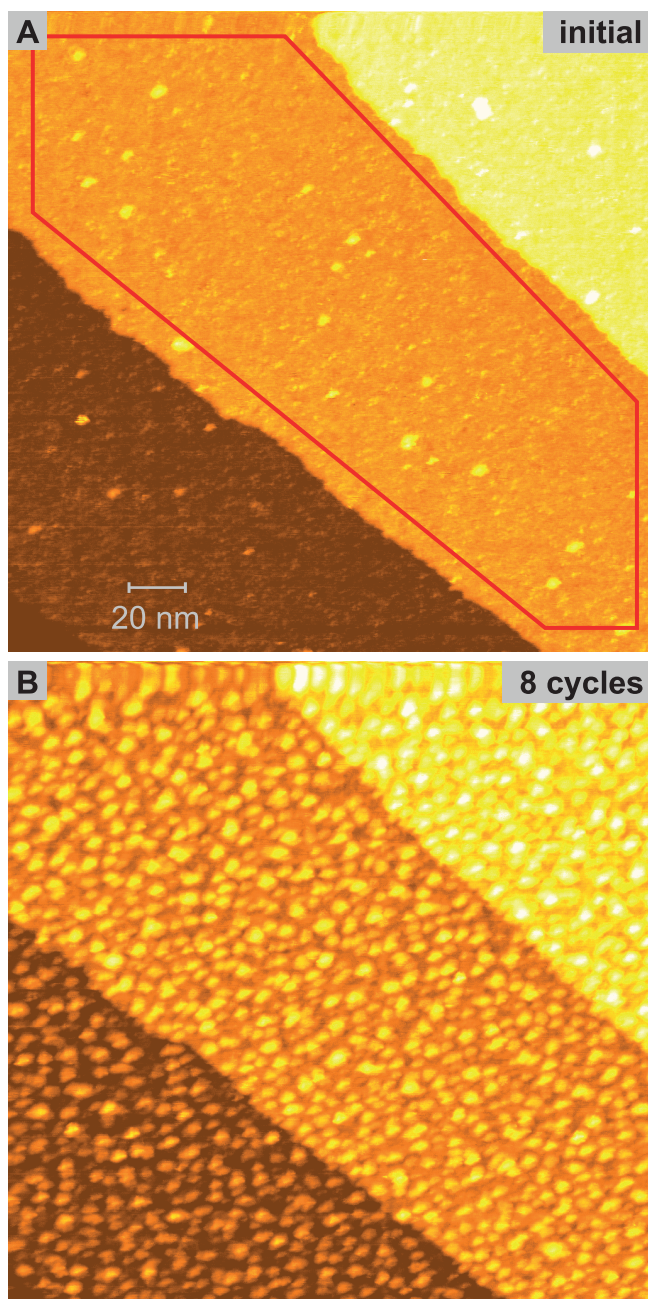
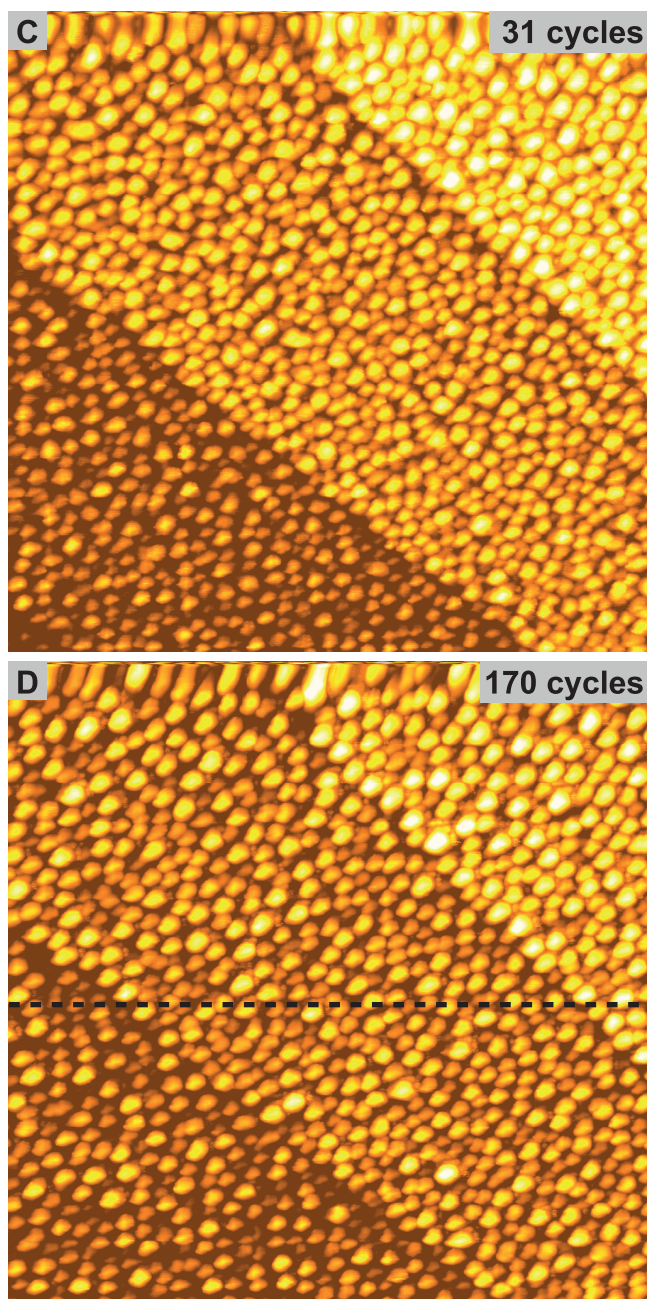


Fig. 2.2 | STM images after oxidation-reduction cycles: (A) the pristine Pt(111) surface after cleaning. A few Pt adatom islands are visible that remain from the flame annealing. The solid red line shows the typical area that we used for our analysis. (B) After 8 cycles, several islands, predominantly of monoatomic height, have nucleated. In between the islands, one sees the original terrace and even some vacancy islands.



(C) After 31 cycles, the islands have grown in size such that they touch each other and cover the complete terrace. (D) After 170 cycles, the islands have continued growing, primarily in height, as is clarified in Fig. 2.3, which compares the height profiles of the surface at the position indicated by the dashed black line. All images ($230 \times 230 \text{ nm}^2$) were recorded on the same part of the surface with $U_t = 0.45 \text{ V}$, $U_s = 0.4 \text{ V}$, and $I_t < 300 \text{ pA}$.

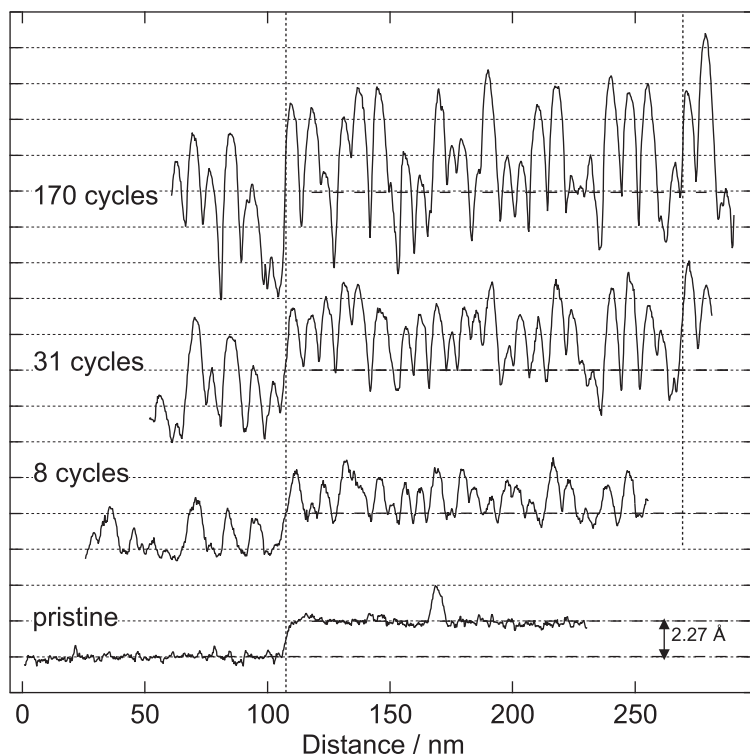


Fig. 2.3 | Height lines extracted from the STM images in Fig. 2.2: The lines are measured at the same position of the surface allowing a direct comparison. The original step positions are indicated with the vertical dotted lines. The well-prepared, flat terrace evolves into a roughness with islands that span 6 MLs in total. The data is vertically offset for clarity. The horizontal dashed lines indicate the original, roughened height of the upper terrace. Although the grid indicates integer step heights, one should be aware of the tip-surface convolution and, more importantly, of the low probability that a single height line captures the maximum height (depth). The fact that the original terrace is etched more than 2 MLs deep after cycle 170 proves the formation of vacancy islands.

the precise mechanism for the island nucleation and evolution, one might expect that stagnation sets in at this point and that the surface has adapted its growth equilibrium (steady-state) structure for this process.^{47,48} However, even up to the 170th cycle (panel (D)), the surface changes significantly. We describe this as the ‘late growth’ phase. To fully acknowledge the differences, we extracted 4 height lines, plotted in Fig. 2.3, from the STM images taken at the position indicated by the dashed line in Fig. 2.2D. These height lines show that initially monoatomic-high islands nucleate on the flat terrace and that the final height variation (after 170 ORCs) spans six monolayers. From the horizontal line that indicates the original terrace, it is clear that the increasing height variation is caused both by an increased island height and an increased ‘depression’ depth, the latter of which is due to vacancy island formation.

There have been two earlier EC-STM studies on the prolonged roughening of Pt(111) by ORCs, from the groups of Itaya⁸ and Shibata¹⁰, using a different supporting electrolyte (0.5 M H₂SO₄ instead of 0.1 M HClO₄) and a slightly higher upper potential limit (1.5 V). Itaya concluded that no further surface evolution takes place after the 10th cycle while measuring up to 100 cycles. Shibata, on the other hand, reported island growth for at least 100 cycles as determined by EC-STM. Although the surface was subjected to 1000 ORCs in the latter study, it is unclear if this led to any additional island growth. Our real-space observation following exactly the same part of the surface in the form of STM images enables a detailed roughness analysis which, combined with the evolution of the CVs, proves that the surface keeps evolving up to the 170th cycle, although the changes become less pronounced with increasing cycle number.

2.3 Quantitative roughness analysis

To quantify the roughness and its evolution, we calculate from our STM images so-called height-difference correlation functions (HDCFs).⁴⁹ We use the second-order form of the family of HDCFs, because this provides direct access to the root-mean-square (RMS) roughness value.

$$C_2(\mathbf{x}_0, \mathbf{r}) = \langle |h(\mathbf{x}_0 + \mathbf{r}) - h(\mathbf{x}_0)|^2 \rangle^{1/2} \quad (2.1)$$

Specifically, we calculate the average for all different data points of the surface and for the full range of radii

$$C_2(r) = \langle C_2(\mathbf{x}_0, \mathbf{r}) \rangle_{|\mathbf{r}|=r, |\mathbf{x}_0|} \quad (2.2)$$

For a self-affine surface, $C_2(r)$ can be described with scaling parameters

$$C_2(r) = \xi_{\perp} \cdot f(r/\xi_{\parallel}) \quad \text{with} \quad \begin{aligned} f(x) &\sim r^H & \text{for } r \ll \xi_{\parallel} \\ f(x) &= \sqrt{2} & \text{for } r \gg \xi_{\parallel}, \end{aligned} \quad (2.3)$$

in which $\xi_{\perp}$ is the perpendicular correlation length (surface width or RMS roughness), $\xi_{\parallel}$ is the parallel correlation length, and H is the so-called Hurst exponent. The surface width describes the surface roughness, the parallel correlation length describes the lateral distance one needs to travel to reach the surface roughness, and the Hurst exponent describes how the roughness is locally built up.

Figure 2.4 shows $C_2(r)$ for all our STM images from the pristine surface until after the 170th ORC (68 images in total, see Appendix A). To avoid contributions from the slope of the sample and the step edges, we analyzed only a background corrected part of a single terrace (see red area in Fig. 2.2A and Appendix A). For all images we observe a plateau value ($\sqrt{2} \cdot \xi_{\perp}$) for large distances, which confirms that the chosen image size is indeed large enough to capture the full terrace roughness. At short distances, $C_2(r)$ shows a power law dependence. $\xi_{\parallel}$ is determined by calculating the intersection between $\xi_{\perp}$ and a power law fit through the first 20 data points ($r < 1.4$ nm) as illustrated by the red/white dashed lines in Fig. 2.4. Initially, $\xi_{\parallel}$ depends on both the island size and the nucleation distance. After coalescence, $\xi_{\parallel}$ depends only on the island size. The slight increase in the ‘late growth’ regime, indicates lateral island growth. Although $\xi_{\parallel}$ cannot directly be translated into an absolute island size, it can be derived from the first local minimum of $C_2(r)$. Between 31 and 170 cycles, our average island size is 8.9 ± 0.1 nm.* This value is slightly larger than reported literature values^{6–10}, which fits with the observed trend of increasing island size with decreasing upper potential limit.²¹

Equipped with both the electrochemical information on the number of hydrogen adsorption sites (q_H) and the detailed real-space information on the roughness ($\xi_{\perp}$), we quantify their dependence in Fig. 2.5A. Figure 2.5B shows that the evolution of the two curves deviates from each other until $\sim 30^{\text{th}}$ cycle, i.e. in the ‘nucleation & early growth’ phase. As mentioned before, this is also the regime where the voltammetry exhibits a complex and not yet understood behavior. In the ‘late growth’ regime, however, there is a very good agreement between both curves.

* This error is based on the variation of the position of the local minimum in the different images after radially averaging the HDCE. In the individual images, the radial variation of this minimum is about 0.9 nm.

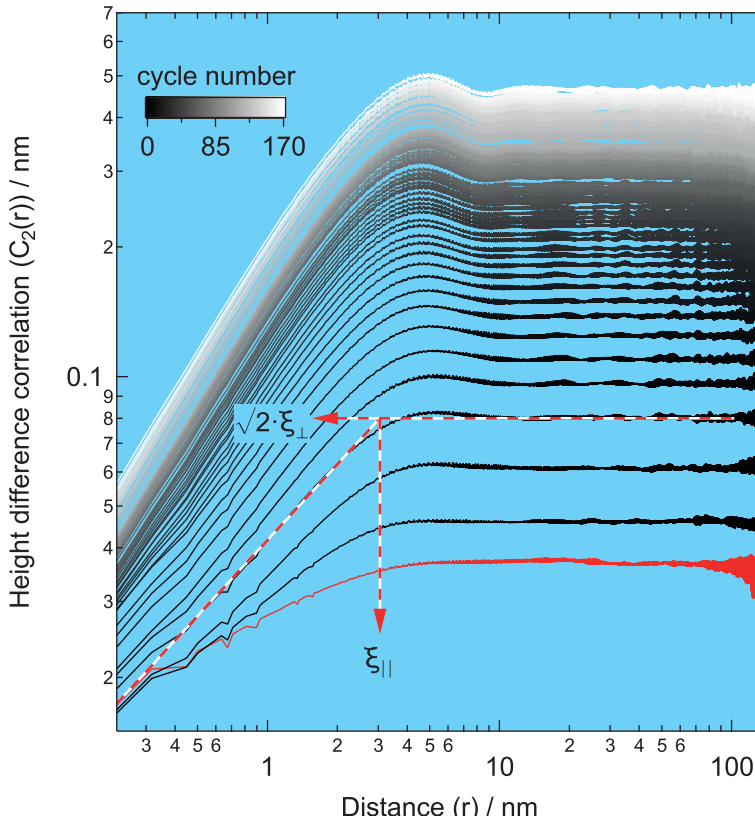


Fig. 2.4 | Height-difference correlation functions ($C_2(r)$) as function of ORC number: The number of the oxidation-reduction cycles is indicated in the legend and covers from black to white all 170 cycles. The result for the pristine surface is shown in red. The red/white dashed lines illustrate the determination of the surface width ($\xi_{\perp}$) and the parallel correlation length ($\xi_{\parallel}$).

To quantify the correlation between q_H and $\xi_{\perp}$, we calculate the cumulative correlation coefficient $r(n)$ shown in the inset of Fig. 2.5B (see Appendix A). In the ‘nucleation & early growth’ regime, the value for the correlation is rather low. At the end of the ‘nucleation & early growth’ regime, $r(n)$ starts to increase significantly (while also becoming more accurate), eventually reaching a maximum of $r = 0.87 \pm 0.02$ after 170 ORCs. Actually, omitting the initial ORCs, one would find a maximum correlation of $r(31-170) = 0.96 \pm 0.01$. Thus, the transition between the two regimes occurs between the 21st (the minimum of $r(n)$) and the 31st cycle. This analysis demonstrates that there is a strong and significant linear correlation between q_H and $\xi_{\perp}$ in the ‘late growth’ phase: it implies that the number of newly generated step edges contributing to the surface roughness is a constant fraction of the total number of newly generated hydrogen adsorption sites. The strong correlation between q_H and $\xi_{\perp}$ is in line with the qualitative observation that the islands grow predominantly in height during the ‘late growth’ phase.

The fact that the correlation holds over a large number of ORCs is rather surprising. On the formed nanoscale islands, one expects finite size effects (due to stress relaxations and step-step interactions^{39–41}) to change the atomic distances and the local potential energy landscape, leading to a more complicated evolution of the electrochemical signals. The derivation of an atomic model that describes the island shapes during the evolution based on the EC-STM data should lead to a deeper insight into (the evolution of) the voltammetric features.

More detailed information on the roughening mechanism can be obtained by further analyzing the time evolution of $\xi_{\perp}$ and $\xi_{\parallel}$. If the underlying mechanism would remain the same during the entire experiment and the continuous process can be described by one set of differential equations, so-called *scaling* should occur and the time evolution should adhere to the following three power law dependencies:

$$\begin{aligned}\xi_{\perp} &\sim t^{\beta} \\ \xi_{\parallel} &\sim t^{1/z} \sim t^{\beta/\alpha} \\ \xi_{\perp} &\sim \xi_{\parallel}^{\alpha}\end{aligned}\tag{2.4}$$

The values of the scaling exponents (α, β, z) allow to classify data according to specific universality classes.⁴⁹ The different classes describe for example the influences of diffusion via the surface or the electrolyte, mass conservation, or etching/deposition flux dependence on the surface dynamics.⁴⁹ Strictly speaking, scaling is applicable only to a continuous evolution in time. However, it was

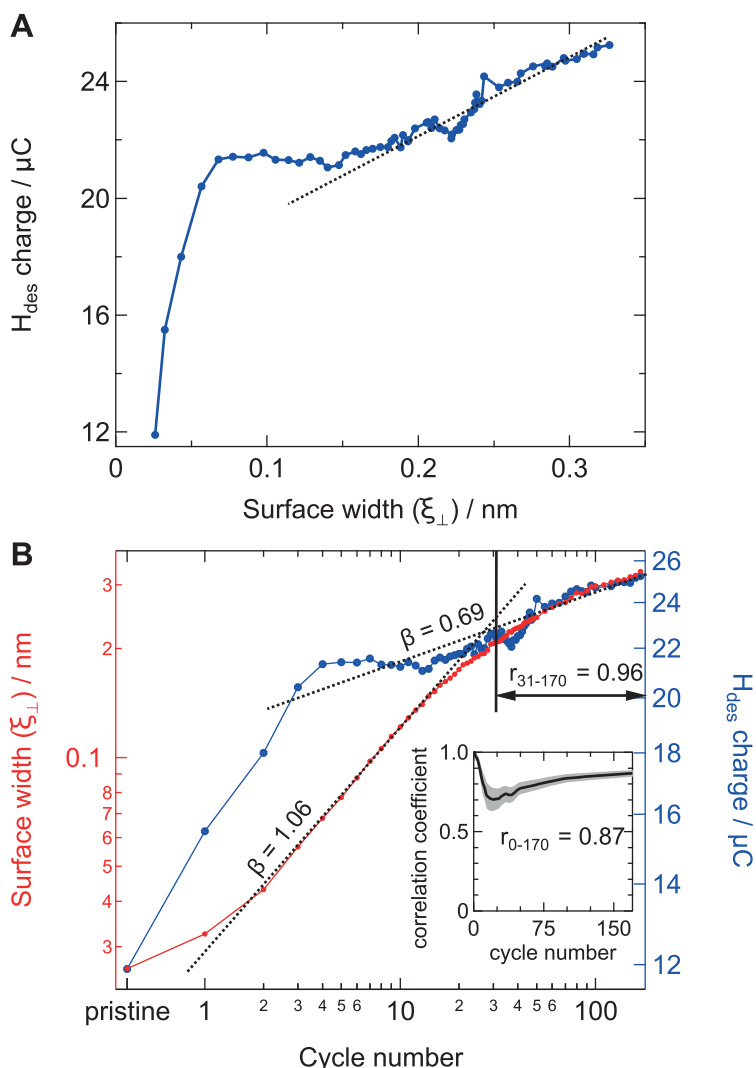


Fig. 2.5 | Correlation between electrochemical and STM data: (A) the hydrogen desorption charge as function of the surface width. The dotted black line, indicating the linear correlation in the 'late growth' regime, is drawn to guide the eye. (B) the surface width (red, from STM images) is shown together with the charge related to hydrogen desorption (blue, from cyclic voltammetry). Both data are plotted logarithmically to easily identify possible power-law regimes. The y-axes are chosen such that the data for the initial and final situation overlap. The two black dotted lines indicate the two possible scaling regimes as discussed in the main text. Details on the determination of the exponent β are provided in Appendix B. The inset shows the cumulative correlation coefficient quantifying the correlation between the STM and electrochemical data. The shaded area indicates its probable error.⁵⁰

demonstrated that this formalism holds also for our kind of electrochemical experiments, with interrupted growth and surface evolution, as long as all surface changes occur only during the oxidation/reduction transition (negligible surface diffusion in between).³

If ideal scaling would occur, the logarithmic representation of $\xi_{\perp}$ vs. cycle number in Fig. 2.5B should yield a single straight line. However, we observe at least two slopes, and therefore scaling does not apply over all 170 cycles. Considering the two growth regimes already identified, this is expected. As nucleation may also follow scaling laws⁵¹, the roughness in Fig. 2.5 might be described by two sets of exponents for the different regimes, indicated by the two black dotted lines.

Previously, You and Nagy concluded from x-ray reflectivity experiments on the same system that scaling *does* occur during the first 9 ORCs.³ Slight differences in the roughening process might occur as they kept the surface at 1.25 V for 15 minutes, whereas we scan the sample potential to 1.35 V. However, You and Nagy discussed only the first of the three power laws in Eq. 2.4, which cannot indisputably prove scaling. In our case, the nonlinear behavior of $\xi_{\parallel}$ with a pronounced maximum during the first 9 ORCs (see Appendix B), leads to the conclusion that scaling does not occur in this regime.

The ‘late growth’ regime can be described by the power laws in Eq. 2.4, but this analysis does not lead to consistent values for the exponents, which would be necessary to definitely prove scaling (see Appendix B). This is a well-known issue, as the ‘ideal’ values for the scaling exponents are approached asymptotically when the growth mechanism changes from one process to another.⁴⁹ Therefore, we can also not say that scaling does not occur in the ‘late growth’ regime. An even larger number of ORCs would be necessary to provide more insight.

If scaling does not occur and the growth is conserved, the surface profile might end in a steady-state situation in the ‘late growth’ regime.^{47,48} However, a small fraction of a monolayer of platinum dissolves during each ORC.^{34,35} Moreover, the amount of Pt that dissolves during each ORC, increases with increasing cycle number for at least 100 ORCs.⁵² Although it would be possible to reach a steady-state surface structure while losing material into the electrolyte during each cycle^{47,48}, the fact that the dissolved amount changes with each cycle means that the surface cannot reach such a steady-state.

2.4 Conclusions

In this chapter, we have for the first time directly linked electrochemical observations to structural information obtained from high-resolution, real-space EC-STM images of Pt(111) subjected to oxidation-reduction cycles. This approach allows for a quantitative correlation between electrochemical and STM data. Our experiments show that the total roughening process can be divided into two regimes: ‘nucleation & early growth’ followed by ‘late growth’. During the ‘nucleation & early growth’ phase the electrode surface is covered with an increasing number of nanoscale islands. Simultaneously, these islands also increase in radius and slightly in height. In this regime, the roughness extracted from the STM images is not linearly correlated to the hydrogen desorption charge determined from cyclic voltammetry, as geometrically created step sites do not contribute to the electrochemical signal.

The analysis to identify the underlying mechanism during the ‘nucleation & early growth’ phase, indicates that no scaling occurs. Once the islands have coalesced, the existing islands keep growing, predominantly in height. In this ‘late growth’ phase, there is a linear correlation between the surface roughness and the hydrogen desorption charge. In this regime, in contrast to the ‘nucleation & early growth’ regime, each created step site contributes equally to both the electrochemical signal as well as the roughness. Future research is necessary to understand the lack of correlation in the ‘nucleation & early growth’ regime. Our experiment consisting of 170 ORCs is not extensive enough to completely (dis)prove scaling during the ‘late growth’ phase. A key factor in the long-term evolution of the roughened Pt(111) surface is probably the increasing amount of dissolving Pt with prolonged potential cycling.

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