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Annex 1: List of Interviews

Anonymised version without 'name' and 'function and organisation' categories. Please contact the author whenever you might need more information about the interviews (ries.kamphof@gmail.com).

Date of the interview	Reference in text	Taped ?	Case study	Referral by other interviewee or own initiative	Location, other details
13-06-2017	EU official	Yes	SDGs	Referral	Brussels
07-06-2017	Other societal stakeholder	Yes	SDGs	Own initiative	Brussels
08-06-2017	MS official	Yes	Climate change	Referral	Brussels
07-06-2017	EU official	No	SDGs	Own initiative	Brussels
31-3-2017	Former EU official, Former MS official (elite interview)	No	Climate change & general	Own initiative	The Hague
19-07-2016	Other societal stakeholder	No	Alternative fuels	Own initiative	Amsterdam-Brussels (by phone)
29-03-2017	Former MS official	No	General	Own initiative	The Hague
07-09-2016	Other societal stakeholder	No	Alternative fuels	Own initiative	Brussels, together with Thijs Bonenkamp
27-03-2017	Other societal stakeholder	No	Alternative fuels	Own initiative	Brussels, together with Thijs Bonenkamp
07-06-2017	EU official	Yes	Climate change	Own initiative	Brussels
20-7-2016	Other societal stakeholder	No, but interview by e-mail	Alternative fuels	Own initiative	The Hague-Brussels (by e-mail)
19-04-2017	(Former) MS official	No	General	Own initiative	The Hague

14-06-2017	MS official	Yes, taped in 3 parts (stopped due to confidential phone call)	Climate change	Own initiative	The Hague
13-06-2017	EU official	Yes	SDGs	Referral	Brussels
24-01-2014	MS official	No	Climate change	Own initiative	The Hague, More explorative than semi-structured
27-03-2017	Other societal stakeholder	No	Alternative fuels	Referral	Brussels, together with Thijs Bonenkamp
09-04-2014	(Former) EU official (elite interview)	No	Climate change	Referral	Amsterdam. More explorative than semi-structured
22-07-2016	MS official	No	Alternative fuels	Own initiative	The Hague
07-09-2016	MS Official	No	Alternative fuels	Own initiative	Brussels, together with Thijs Bonenkamp
31-05-2017	EU official	Yes	SDGs	Referral	Brussels
29-05-2017	Other societal stakeholder	Yes	SDGs	Own initiative	Brussels
12-06-2017	EU official	Yes	SDGs	Referral	Brussels
13-06-2017	MS Official	No	SDGs & Climate change	Referral	Brussels
30-05-2017	EU official	Yes	Climate change	Referral	Brussels

20-04-2017	Former EU official (elite interview)	Yes	General	Referral	The Hague, at Prof Brinkhorst's office
14-10-2015	EU official	Yes	Climate change	Own initiative	Brussels, more explorative than semi-structured
07-06-2017	Other societal stakeholder	Yes	SDGs	Own initiative	Brussels
31-03-2017	EU official	No	Alternative fuels	Own initiative	The Hague-Brussels (by phone), together with Thijs Bonenkamp
13-06-2017	EU official	Yes	Climate change	Referral	Brussels, preference 'consent form' after interviews
14-11-2014	Other societal stakeholder	No	Climate change	Own initiative	The Hague-Bonn, Skype, also attended by Ms van de Sande for Interview Course Leiden University (no participation). More explorative than semi-structured

10-5-2017	Former MS official (elite interview)	Yes	SDGs, climate change & general	Referral	The Hague
14-06-2017	MS official	Yes	Climate change	Referral	The Hague, Riga (by phone)
03-04-2015	MS official	Yes	Climate change	Own initiative	The Hague
08-06-2017	Other societal stakeholder	Yes	SDGs	Referral	Brussels
12-06-2017	MS official	Yes	SDGs	Own initiative	Brussels
07-09-2016	EU Official	No	Alternative fuels	Own initiative	Brussels, together with Thijs Bonenkamp
30-05-2017	EU official	Yes	SDGs	Own initiative	Brussels
28-07-2016	Other societal stakeholder	No	Alternative fuels	Own initiative	Amsterdam
26-08-2015	Other societal stakeholder	No	Climate change	Own initiative	The Hague, More explorative than semi-structured
10-08-2016	MS official	No	Alternative fuels	Referral	The Hague, together with Thijs Bonenkamp
02-05-2017	EU official	Yes	SDGs	Own initiative	Brussels
26-08-2015 &	MS official	No	Climate change	Own initiative	The Hague, Interview

07-10-2015					conducted twice after sending report interview to replace sentences
13-06-2017	MS official	Yes	Climate change	Own initiative	Brussels, Unintentionally no e-mail with semi-structured questions sent the day before
04-05-2017	MS official	Yes	SDGs	Own initiative	The Hague, Also received (and scanned) answers questions
13-06-2017	EU official	Yes	SDGs	Referral	Brussels
31-05-2017	EU official (elite interview)	Yes	Climate change	Referral	Brussels, <45 minutes
03-03-2017	Other societal stakeholder	No	Alternative fuels	Own initiative	The Hague (by phone), together with Thijs Bonenkamp

DISCLAIMER : the views expressed by the interviewees do not (per se) represent the views and opinions of the organisations/countries they work for or represent.

Non-response rate

Despite multiple attempts by e-mail and phone the following organisations/institutions could not be interviewed for the specific case studies

- European Automobile Manufacturers' Association: ACEA (alternative fuels, other societal stakeholder)
- Former EU official (general)
- CSR Europe (SDGs, other societal stakeholder)
- European Political Strategy Centre (EPSC) (climate change, SDGs, other societal stakeholder)
- Netherlands Permanent Representation to EU (climate change & SDGs, MS official)
- Members of European Parliament (SDGs, climate change, other societal stakeholder)
- Unilever (SDGs, other societal stakeholder)

Annex 2: List of Semi-structured Interview Questions and Interview Requests

Case Study Team EU in UNFCCC Negotiations

Semi-structured interview questions PhD Thesis Ries Kamphof

‘Shared EU (External) Action on Sustainable Development Issues: a Politico-Legal Analysis of Shared Competences’, Case study EU negotiations at UNFCCC

**Interviews will be anonymised. The list of interviewed people will only be available upon request.*

General questions/background:

- In what way have you been active in the *negotiation* of the Paris Agreement?
- What experience of negotiation do you have before or in the follow-up of the Paris Agreement?
- How do you/(..) relate to the *implementation* of the Paris Agreement after the Presidency?
- Do you have a legal background/education? If yes, (how) do you use this background in UNFCCC negotiations? If no, do you ever miss this background in negotiations?

European Union and the UNFCCC negotiations

- According to your experience which institutions and/or Member States take the lead in the negotiations?
- What role do legal rules (e.g. Treaty, case law) play in the cooperation and conflict between EU and Member States?
- What role do political issues play in the cooperation and conflict between EU and Member States?
- How did (..) position itself in the discussion about the division of responsibilities during international negotiations?
- How important are other societal actors in climate negotiations?

EU and climate, environmental and development policies

- Do you consider the EU Member States to agree substantively on these topics? How does that affect a common stance in international negotiations?
- According to you, is the EU an effective negotiator with third countries on these topics, e.g. at the United Nations?
- To what extent do you consider the Member States to be *independent* actors on these themes?

Finally

- Are there important issues we have not discussed yet?
- Who do you recommend to get in touch with regarding this research project?

Case study SDG implementation

Semi-structured interview questions PhD Thesis Ries Kamphof

‘Shared EU (External) Action on Sustainable Development Issues: a Politico-Legal Analysis of Shared Competences’, Case study EU implementation SDGs/UN Agenda 2030

**Interviews will be anonymised. The list of interviewed people will only be available upon request.*

General questions/background:

- In what way have you been active in the *negotiation* phase of the UN Agenda 2030?
- How do you/your institution relate to the *implementation* of the UN Agenda 2030 and the Sustainable Development Goals?
- Do you have a legal background/education? If yes, (how) do you use this background in SDG implementation? If no, do you ever miss this background in negotiations?

European Union and the Sustainable Development Goals

- According to your experience which institutions and/or Member States take the lead in SDG implementation?
- What role do legal rules (e.g. Treaty, case law) play in the cooperation and conflict between EU and Member States in SDG implementation?
- What role do political issues play in the cooperation and conflict between EU and Member States in SDG implementation?
- How does (...) position itself in the discussion about the division of responsibilities during SDG implementation?
- How important are other societal actors in SDG implementation?

EU and climate, environment and development policies

- Do you consider the EU Member States to agree substantively on these topics? How does that affect SDG implementation?
- According to you, is the EU an effective negotiator with third countries on these topics, e.g. at the United Nations?
- To what extent do you consider the Member States to be *independent* actors on these themes?

Finally

- Are there important issues we have not discussed yet?
- Who do you recommend to get in touch with regarding this research project?

Case study alternative fuels (many interviews together with Thijs Bonenkamp)

Interview questions research project alternative fuels

- How are you related to the European transport policy in general and the automotive production and fuels in particular?

Scenario's Ethanol/methanol vs hydrogen vs electrification

- What is your opinion on the feasibility of the following scenarios in the EU for the future of transport, private vehicles:
 1. 'All electric' scenario: renewable electricity via battery to electrical motor with new distribution infrastructure and charging stations.
 2. Hydrogen-based scenario: hydrogen from renewable electricity via electrolysis, /fuel cell in every car for electricity from hydrogen from a tank and towards electrical motor, distribution infrastructure on the basis of hydrogen, could make use of the current autogas infrastructure
 3. 'All liquid' scenario: gasoline → bioethanol → methanol from renewables based on hydrogen/electrolysis/fuel cell catalytic reaction. No 'disruptive' change infrastructure/legislation.
- Could you please take into account the preferences from Member States and state whether you see any difference for short- and long-distances?
- How important is oil import dependence for the future of automobiles in the EU and how would this 'priority' relate to issues such as decreasing emissions, air pollution and decarbonisation of transport?
- Did you ever consider methanol as a fuel option and why/why not?

EU decision-making

- Is there a common internal EU market on transportation fuels?
- Transport policy is a 'shared competence' between EU and Member States. How would that affect this situation?
- Which Member States would feel more inclined to introduce mass-scale introduction of E85 and flexi-fuel automobiles and which ones would normally oppose such a move?
- Which parties would you consider the main stakeholders in the debate on biofuels, decarbonisation of transport and bio-ethanol? EU vs rest of the world
- Large scale introduction of ethanol production is much more common in other parts of the world (e.g. Brazil, United States). Why?

Biofuel production/innovation

- How has the transformation process from first generation biofuels production to second and third generation biofuels been proceeding?
- Are there any European funds that promote innovation in biofuel production methods?
- What measures can be taken to consolidate/enhance the success of biofuels in the (near) future?
- Are there any points of improvement?

Other

- Would you feel that certain issues need specific attention that are not covered in this interview?
- Who do you advice to speak to for this research project?

Interview requests EN

Own initiative

Dear Ms/Mr

Hopefully everything is all right in (...) at the (..)

With much interest I learned about the activities of (..) and (...). I wondered whether it is possible to speak to you for my PhD Thesis on shared competences and the EU sustainable development policies. I am particularly interested in the EU implementation of the UN Agenda 2030 and the SDGs and hope (one of) you could provide me with some answers.

Hopefully it is possible to have the meeting with you in Brussels. I am planning to be in Brussels for some days/weeks in May, June and July. Would this 45-minute short and confidential interview be possible for example at (..), (..) or (..)? I could send the interview questions in advance. I would be most grateful if you can let me know whether you or one of your colleagues is available for an exploratory meeting.

Kind regards,

Ries Kamphof

PhD Candidate, Leiden University, Faculty of Governance and Global Affairs

a.kamphof@fgga.leidenuniv.nl

Referral

Dear Ms/Mr

Hopefully everything is all right at the (..). (Point of contact) referred to you as a very relevant expert overseeing the activities of the EU and its Member States, the conduct of UNFCCC negotiations and implementing sustainable development policies. With much interest I learned about your activities (...). I wondered whether it is possible to speak to you for my PhD Thesis on shared competences and the EU sustainable development policies. I am particularly interested in the EU and its Member States at climate change negotiations, but also in general how the (shared) competences have an effect on (external) EU sustainable development policies and hope you could provide me with some answers.

I am planning to be in Brussels for some days/weeks in (...). Would this 45-minute short and confidential interview be possible for example (..), (..) or (..)? I could send the interview questions in advance. I would be most grateful if you can let me know whether you or one of your colleagues is available for an exploratory meeting.

Kind regards,

Ries Kamphof

a.kamphof@fgga.leidenuniv.nl

Curriculum Vitae

Andries (Ries) KAMPHOF was born on 15 May 1985 in Utrecht, The Netherlands. He completed secondary school at College Blauwkapel in Utrecht in 2003. He studied and graduated in Political Science (Bachelor and Master of Arts) from 2003 to 2009 at Leiden University. He also completed the Master of European Law (LL.M) at Leiden University in 2010. After his studies Ries started to work as a Policy Adviser at the Dutch Senate ('Eerste Kamer der Staten-Generaal') specifically for the Committees of European Affairs and Foreign Affairs, Development Cooperation and Defence. In 2011 he moved to Platform31, and the European Metropolitan network Institute (EMI) where he was a 'Project Leader Europe' until 2013. From 2013 to the end of 2017 Ries worked as a International Relations Researcher at the Dutch National Commission for Sustainable Development (NCDO) and its research department Kaleidos Research. He has also been involved with the Clingendael Institute as an Associate Research Fellow in many projects from July 2015. He has also been a guest lecturer 'European Integration' at Leiden University College from April 2015. His professional topics of research experience were Sustainable Development Goals, climate change negotiations; natural resources; financing for development and EU external relations. He started to work as a PhD Candidate in April 2013 at the Leiden University Faculty of Governance and Global Affairs (FGGA) and, because of his professional affiliation, at the Leiden University Dual PhD Centre. Prof. Dr. Madeleine O. Hosli supervised him. His research has been published in *Global Policy, Europe and the World: a Law Review*. Ries also published chapters as the leading author in Research Handbooks 'EU energy law and policy' (2017) and on 'the EU's engagement with International Organisations'. Ries has been working for the Netherlands Ministry of Economic Affairs and Climate Policy from May 2018.