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## **Prepare; before starting dialysis : outcomes in patients with CKD stage 4-5**

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## **VITAMIN K ANTAGONIST USE AND RENAL FUNCTION IN PRE-DIALYSIS PATIENTS**

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## Abstract

**Introduction:** A post-hoc analysis of a recent trial on direct oral anticoagulants versus vitamin K antagonists showed that amongst patients with a mildly decreased kidney function, use of vitamin K antagonists was associated with a greater decline in renal function than use of direct oral anticoagulants. Whether these vitamin K antagonist effects are the same in pre-dialysis patients is unknown. Therefore, the aim of this study was to investigate the association between vitamin K antagonist use and rate of renal function decline and time until start of dialysis in incident pre-dialysis patients.

**Methods:** Data from 984 patients from the PREdialysis PATient REcord study, a multicenter follow-up study of patients with chronic kidney disease who started pre-dialysis care in The Netherlands (1999–2011), were analysed. Of these patients, 101 used a vitamin K antagonist. Linear mixed models were used to compare renal function decline between vitamin K antagonist users and non-users. Cox proportional hazards models were used to estimate the hazard ratio (HR) with 95% confidence intervals (95% CIs) for starting dialysis.

**Results:** Vitamin K antagonist use was associated with an extra change in renal function of -0.09 (95% CI (-1.32 to 1.13) ml/min/1.73m<sup>2</sup> per year after adjustment for confounding. The adjusted hazard ratio for start of dialysis was 1.20 (95% CI 0.85 to 1.69) in vitamin K antagonist users, compared to non-users.

**Conclusions:** In incident pre-dialysis patients the use of vitamin K antagonists was not associated with an accelerated kidney function decline or an earlier start of dialysis compared to non-use.

## Introduction

Vitamin K antagonists are used to prevent and treat thrombotic complications. Several clinical trials performed in patients with thrombotic complications and a normal kidney function, have shown that the benefits of vitamin K antagonists outweigh the side-effects such as bleeding.[1-4]

Recent studies have debated whether the benefits of vitamin K antagonists also outweigh the risks in patients with early stage chronic kidney disease or in dialysis patients.[5-11] A post-hoc analysis of a recent trial comparing direct oral anticoagulants with vitamin K antagonists, showed that patients with a mildly decreased kidney function receiving vitamin K antagonists exhibited a greater decline in renal function than patients receiving direct oral anticoagulants.[12] Furthermore, most studies in dialysis patient have failed to show a protective effect of vitamin K antagonists on stroke risk or all-cause mortality,[5-9, 13] with the exception of two studies that showed a decreased risk of stroke and a survival benefit for vitamin K antagonists in dialysis patients.[10, 14] The proposed hypotheses of these negative effects due to vitamin K antagonists are that the medication could either cause damage to the kidneys by vascular calcifications due to the inhibition of matrix Gla protein or cause damage by glomerular haemorrhage which could lead to tubular obstruction.[11, 15-19]

As patients with chronic kidney disease are often prescribed vitamin K antagonists, adequate insight into the benefits and risks of vitamin K antagonist use is crucial.[20] To our knowledge, there are no existing studies that investigated the association between vitamin K antagonist use and decline of glomerular filtration rate or time until start of dialysis in pre-dialysis patients. From a clinical point of view, withholding medication that could lead to kidney function decline is very important to postpone or prevent dialysis in pre-dialysis patients.

Therefore, the aim of this study was to investigate the association between vitamin K antagonist use and rate of kidney function decline and time until start of dialysis in incident pre-dialysis patients.

## Methods

### Study design and population

The PREdialysis PATient REcord (PREPARE) study is a multicenter follow-up study of incident patients starting specialized pre-dialysis care (age  $\geq 18$  years) in the Netherlands. At inclusion, these patients had an estimated GFR (eGFR) between 20 and 30 ml/min per 1.73 m<sup>2</sup> (CKD stages 4–5). The PREPARE study consists of a retrospective and a prospective cohort. In the retrospective cohort (PREPARE-I), incident pre-dialysis patients who had their first pre-dialysis visit between 1999 and 2001 were included from eight outpatient clinics. In the prospective cohort (PREPARE-II), incident pre-dialysis patients who started

pre-dialysis care in one of the 25 participating outpatient clinics between 2004 and 2011, and understood the Dutch language were included. In PREPARE-I, patients who experienced kidney failure from a kidney transplant were excluded. In PREPARE-II, patients who experienced kidney failure from a kidney transplant that was received within a year before referral to pre-dialysis care were excluded. The study was approved by the medical ethics committee or the institutional review board of the participating centers. Patients included in PREPARE-II gave written informed consent before study inclusion.

### **Demographic and clinical data**

Data were collected from medical records and extracted from the hospital information systems. Data on demography, primary kidney disease, comorbidities, medication use including vitamin K antagonist use (phenprocoumon or acenocoumarol), and laboratory values were collected at baseline and during routine visits to the pre-dialysis outpatient clinics. These visits took place at the start of specialized pre-dialysis care and when one of the study endpoints was reached. In PREPARE-II, data were also collected every 6 months at follow-up visits. The closest laboratory measurement performed within 90 days before or after the date of a visit was appointed to that visit. Patients were categorized as non-users or users of vitamin K antagonists based on medication use at baseline. We had no information on the indication for vitamin K antagonist use. The eGFR was calculated using the CKD-EPI (Chronic Kidney Disease Epidemiology Collaboration) formula from 2009, taking age, sex, race, and serum creatinine into account.[21] Hypertension was defined as either a history of hypertension, a systolic blood pressure  $\geq 140$  mmHg or a diastolic blood pressure  $\geq 90$  mmHg at baseline.[22] Cardiovascular disease (CVD) was defined as angina pectoris, myocardial infarction, heart failure, ischemic stroke, or claudication. Primary kidney disease was classified according to the codes of the European Renal Association-European Dialysis and Transplantation Association.[23] Patients were grouped into four classes of primary kidney disease: glomerulonephritis, diabetes mellitus, renal vascular disease, and other kidney diseases.

### **Outcomes**

In the PREPARE study, patients were followed until the start of renal replacement therapy (defined as dialysis or renal transplantation), death, loss to follow-up, refusal to further participate in the study (PREPARE-II), recovery of renal function, or the end of the study period (January 2008 for PREPARE-I and October 2016 for PREPARE-II), whichever came first. Main outcomes were change in rate of kidney function decline and start of dialysis within two years. For the current study follow-up time was restricted to two years, after this period the number of patients at risk became too small.[24, 25] The date of dialysis initiation was collected from medical records. To calculate the kidney function decline rate, all available eGFR measurements from three months prior to inclusion until two years of follow up were used.

## Statistical analyses

Baseline characteristics are presented stratified for vitamin K antagonist use. Continuous variables are described by their median and interquartile range (IQR), and categorical variables are presented as percentages.

Follow-up time was defined as the time between baseline and the start of dialysis, other renal replacement therapy, death, withdrawal or end of follow-up (two years). To estimate the change of renal function decline rate in vitamin K antagonist users, compared to non-users, a linear mixed model (LMM) was used. This model takes the correlation between eGFR measurements within each individual patient into account. Multivariable analyses were used to adjust for age, sex, race, diabetes mellitus, hypertension, cardiovascular disease, malignancy, gastro-intestinal problems, antiplatelet drug use, primary kidney disease, and hemoglobin levels.

The proportional hazard assumption was tested using a log minus log plot. Incidence rates of dialysis initiation within two years of follow-up were calculated for both vitamin K antagonist users and non-users. Survival curves for start of dialysis were determined with the Kaplan Meier method, stratifying for vitamin K antagonist use. We conducted Cox proportional hazards regression analyses, obtaining hazard ratios (HR) with 95% confidence intervals (95%CI) to estimate the effect of vitamin K antagonist use on start of dialysis. Analyses were adjusted for potential confounders, including: age, sex, race, diabetes mellitus, hypertension, cardiovascular disease, malignancy, gastro-intestinal problems, antiplatelet drug use, primary kidney disease, hemoglobin levels, and eGFR levels.

Multiple imputation was used to impute missing potential confounders at baseline. To test the robustness of the results, several sensitivity analyses were performed. Analyses were repeated with stratification by PREPARE-I and PREPARE-II. Furthermore, we repeated the analyses without correcting for cardiovascular disease, since cardiovascular disease could be both a confounder and part of the causal pathway. Next, we added angiotensin converting enzyme inhibitor (ACEi), angiotensin II receptor blocker (ARB), systolic blood pressure, and diastolic blood pressure to our linear mixed model. These variables could be both a confounder or part of the causal pathway. For the same reason C-reactive protein (CRP), body mass index (BMI), albumin, and proteinuria were added as confounders in a sensitivity analysis.

Fifth, we restricted our analysis to patients who were persistent users or non-users of vitamin K antagonists during the entire study period, since changes in therapy during the follow-up period might dilute treatment effects. Sixth, we restricted our analyses to patients with cardiovascular disease. This could give an indication of the effects of confounding by indication. Finally, we performed our analyses with a follow-up period of five years. All analyses were performed using SPSS version 23.0 for Windows.

## Results

### Baseline characteristics

Of the 1049 patients in PREPARE, 547 patients originate from PREPARE-I and 502 patients originate from PREPARE-II. Vitamin K antagonist use was known for all PREPARE-I patients and for 437 PREPARE-II patients, resulting in 984 patients. Of these patients, 101 used a vitamin K antagonist and 883 did not use a vitamin K antagonist. The baseline characteristics of these 984 patients are shown in table 1. Vitamin K antagonist users were older, more often male, had more cardiovascular disease and used antiplatelet drugs less often than vitamin K antagonist non-users. A total of 846 (96%) patients were persistent vitamin K antagonist non-users, and 86 (85%) patients were persistent vitamin K antagonist users during the entire study period.

During follow-up the occurrence of vascular cerebral complications was recorded. A total of six brain infarctions occurred, all in vitamin K antagonist non-users. A cerebral hematoma was diagnosed in five vitamin K antagonist non-users and in one vitamin K antagonist user.

**Table 1. Baseline characteristics vitamin K antagonist users and vitamin K antagonist non-users (N=984)**

	Vitamin K antagonist users N=101	Vitamin K antagonist Non-users N=883
Age (years)	74 (68-79)	63 (51-74)
Sex, female	29%	39%
Primary kidney disease		
Diabetes mellitus	21%	15%
Glomerulonephritis	9%	12%
Renal vascular disease	39%	26%
Other	32%	48%
Cardiovascular disease <sup>a</sup>	71%	47%
Malignancy	20%	11%
Gastro-intestinal disease	26%	31%
Hypertension	83%	90%
Antiplatelet drug use	2%	16%
eGFR (ml/min) <sup>b</sup>	14.1 (10.5-18.0)	12.5 (9.6-16.7)
Hemoglobin (mmol/L)	7.4 (6.7-8.1)	7.2 (6.5-7.9)

Continuous variables; median (interquartile range), categorical variables; percentages

<sup>a</sup>Cardiovascular disease was defined as angina pectoris, myocardial infarction, heart failure, ischemic stroke, or claudication.

<sup>b</sup>eGFR; estimated glomerular filtration rate, calculated using the CKD-EPI (Chronic Kidney Disease Epidemiology Collaboration) formula from 2009

Missings: Users: Malignancy; 60, Gastro-intestinal disease; 63, eGFR; 20, Hemoglobin; 22. Non-users: Malignancy; 379, Gastro-intestinal disease; 383, Hypertension; 1, eGFR; 93, Hemoglobin; 89.

## Vitamin K antagonist and decline of kidney function

During the first two years of follow-up, patients had on average of 1.5 (SD 1.2) serum creatinine measurements. The mean change in kidney function was -1.45 (95% CI -1.80 to -1.10) ml/min/1.73 m<sup>2</sup>/year for the total group. Table 2 shows the difference in kidney function change between vitamin K antagonist users and non-users. The difference in kidney function change was -0.09 (95% CI -1.32 to 1.13) ml/min/1.73 m<sup>2</sup>/year after adjustment (the minus indicates an extra change of 0.09 units in the vitamin K antagonist users). In absolute numbers this means the change in vitamin K antagonist non-users was -3.23 ml/min/1.73 m<sup>2</sup>/year, and -3.32 ml/min/1.73 m<sup>2</sup>/year in vitamin K antagonist users.

**Table 2. Vitamin K antagonist use and renal function decline**

Mean decline in eGFR (ml/min/1.73 m <sup>2</sup> /y)	-1.45 (95%CI -1.80 to -1.10)
	Change in decline in eGFR ml/min/1.73m <sup>2</sup> per year (95% CI)
Vitamin K antagonist non-users	Reference
Vitamin K antagonist users, crude	0.43 (-0.74 to 1.60)
Vitamin K antagonist users, adjusted*	-0.09 (-1.29 to 1.11)
Vitamin K antagonist users, adjusted**	-0.09 (-1.32 to 1.13)

\*Adjusted for: age, sex, race, diabetes mellitus, hypertension, cardiovascular disease, malignancy, gastro-intestinal problems, antiplatelet drug use, and primary kidney disease

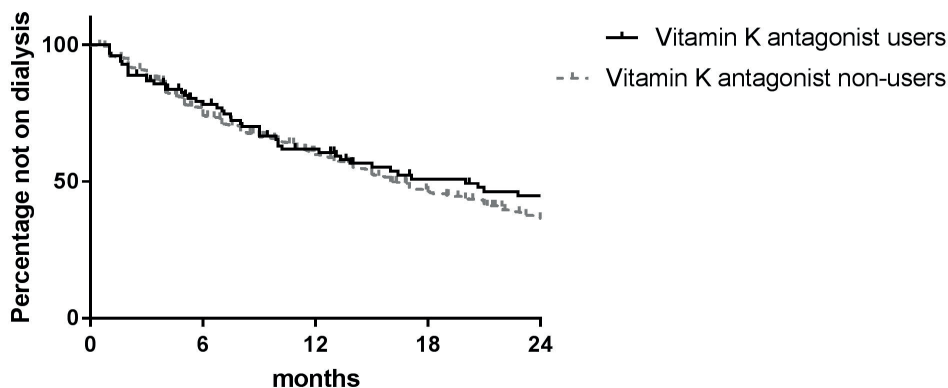
\*\* Additionally adjusted for hemoglobin levels

## Vitamin K antagonists and start of dialysis within two years

Incidence rates for start of dialysis were 47/100 person years for vitamin K antagonist users and 46/100 person years for non-users. During the two years of follow-up, 48 (48%) vitamin K antagonist users started dialysis, and 520 (59%) non-users started dialysis. Figure 1 shows the Kaplan Meier for start of dialysis for vitamin K antagonist users and non-users. The crude and adjusted hazard ratios (HR) for start of dialysis are presented in Table 3. As compared with no vitamin K antagonist use (reference category), pre-dialysis patients who used vitamin K antagonists did not have an increased risk of start of dialysis (HR 1.20 (95% CI 0.85 to 1.69)), adjusted for age, sex, race, diabetes mellitus, hypertension, cardiovascular disease, malignancy, gastro-intestinal problems, antiplatelet drug use, primary kidney disease, hemoglobin levels, and eGFR at baseline.

## Sensitivity analyses

After stratification for study cohort, the extra change in kidney function in vitamin K antagonist users was 1.11 (-0.86 to 3.07) ml/min/1.73 m<sup>2</sup>/year in PREPARE-I, and -0.40 (-1.89 to 1.09) ml/min/1.73 m<sup>2</sup>/year in PREPARE-II. Vitamin K antagonist users in PREPARE-I had a HR for start of dialysis of 1.38 (95% CI 0.87 to 2.18) as compared with non-users, in PREPARE-II this was 1.09 (95% CI 0.63 to 1.86). Performing the analyses without correction for cardiovascular disease resulted in an extra change in kidney function of -0.12 (95% CI -1.30 to

**Figure 1.** Kaplan-Meier curves for start of dialysis stratified for vitamin K antagonist use

Month	0	6	12	18	24
Number at risk vitamin K antagonist users	101	70	50	34	29
Number at risk vitamin K antagonist non-users	883	632	470	336	248

1.05) in vitamin K antagonist users and a HR for start of dialysis of 1.23 (0.88 to 1.73) as compared with non-users. Adding ACEi, ARB, systolic blood pressure, and diastolic blood pressure to the linear mixed model changed the change in kidney function to -0.17 (95% CI -1.42 to 1.08). The addition of CRP, BMI, Albumin, and proteinuria changed the change in kidney function to 0.23 (95% CI -1.74 to 2.20). The HR for start if dialysis changed to 0.80 (95% CI 0.37-1.74). Restricting the analyses to persistent users and non-users changed the adjusted change in kidney function to 0.15 (95% CI -1.17 to 1.47), in absolute numbers this means the change in vitamin K antagonist non-users was -2.41 ml/min/1.73 m<sup>2</sup>/year, and -2.26 ml/min/1.73 m<sup>2</sup>/year in vitamin K antagonist users. The adjusted HR for start of dialysis changed to 1.36 (95% CI 0.96 to 1.93) in vitamin K antagonist users. Restricting the analyses to patients with cardiovascular disease (417 non-users and 72 users) resulted in an adjusted change in kidney function of 0.07 (95% CI -1.50 to 1.64), which is -1.25 ml/min/1.73 m<sup>2</sup>/year in vitamin K antagonist non-users and -1.18 ml/min/1.73 m<sup>2</sup>/year in vitamin K antagonist users. The adjusted HR for start of dialysis was 1.04 (0.66 to 1.65) in vitamin K antagonist users. Using a follow-up period of five years changed the adjusted change in kidney function to 0.09 (95% CI -0.97 to 1.15) which means the absolute change in vitamin K antagonist non-users was -0.55 ml/min/1.73 m<sup>2</sup>/year, in vitamin K antagonist users this was -0.46 ml/min/1.73 m<sup>2</sup>/year. The adjusted HR for start of dialysis was 0.99 (95% CI 0.98 to 0.99) for vitamin K antagonist users shows the Kaplan Meier for start of dialysis stratified for vitamin K antagonist use for five years of follow-up.

**Table 3. Vitamin K antagonist use and hazard ratios for start of dialysis**

	Person years	Number of events	HR (95% CI)
Vitamin K antagonist non-users, n=883	988.7	65	1 (reference)
Vitamin K antagonist users, crude, n=101	107.6	632	0.84 (0.62 to 1.13)
Vitamin K antagonist users, adjusted*, n=101			0.91 (0.65 to 1.28)
Vitamin K antagonist users, adjusted**, n=101			1.20 (0.85 to 1.69)

\*Adjusted for: age, sex, race, diabetes mellitus, hypertension, cardiovascular disease, malignancy, gastro-intestinal problems, antiplatelet drug use, and primary kidney disease

\*\* Additionally adjusted for hemoglobin and eGFR levels

## Discussion

### Key findings

In this cohort of 984 incident pre-dialysis patients with a follow-up of two years, we found no difference in annual kidney function decline between vitamin K antagonist users and non-users. Furthermore, vitamin K antagonist use as compared with non-use was not associated with an increased risk of start of dialysis within two years of follow-up. Restricting the population to patients with cardiovascular disease shows the same lack of an association for kidney function decline and risk of start of dialysis.

### Previous studies on the association between vitamin K antagonist use and kidney function decline and start of dialysis

To our knowledge, there are no previous studies that investigated the association between vitamin K antagonist use and kidney function decline in pre-dialysis patients. However, a post-hoc analysis of the RE-LY trial (warfarin versus dabigatran), in which patients with an eGFR <30 ml/min were excluded, showed that warfarin users had more kidney function decline over the first 30 months (-3.68 ml/min/1.73m<sup>2</sup>) than dabigatran users (-2.57 ml/min/1.73m<sup>2</sup> with 110 milligram and -2.46 ml/min/1.73m<sup>2</sup> with 150 milligram). The long-term effects of vitamin K antagonists on kidney function have not yet been investigated.

### Vitamin K antagonists and start of dialysis

Although we did not find an association between vitamin K antagonist and start of dialysis within two years of follow-up, we did find a 1.2-fold increased risk with a wide confidence interval. It could be that vitamin K antagonist use, which is a marker for cardiovascular disease, is associated with dialysis initiation through other pathways than kidney function decline, including fluid overload.

### Pathophysiological effects of vitamin K antagonists on kidney

There are several pathophysiological mechanisms through which vitamin K antagonists could influence kidney function. Firstly, vitamin K antagonists are associated with in-

creased arterial calcifications and accelerate pre-existing vascular calcifications due to the inhibition of matrix Gla protein. This could potentially lead to an acceleration of kidney dysfunction decline.[17-19] Furthermore, vitamin K antagonist use can cause glomerular haemorrhage, with dysmorphic red blood cells and tubular red blood cell casts causing tubular obstruction and thereby kidney damage.[11, 15, 16] However, in our pre-dialysis patients we did not find an association between vitamin K antagonist use and kidney function decline.

### **Clinical implications**

Our study results suggest that the effect of vitamin K antagonist use on kidney function decline and start of dialysis probably is limited. Therefore, based on our study, we do not recommend withholding vitamin K antagonists to slow kidney function decline or postpone dialysis. However, the possibility of confounding by indication should be taken into account when interpreting these results. Other benefits and risks of vitamin K antagonist use in pre-dialysis patients are not known, since existing trials have excluded these patients due to their high bleeding risk. Guidelines mention this knowledge gap concerning risks and benefits of anticoagulation with vitamin K antagonists for stroke prevention, especially in dialysis patients.[26]

### **Strengths and limitations of this study**

The main strength of this study is the well-defined cohort of incident pre-dialysis patients who received standardized treatments and check-ups by nephrologists. A wide range of incident pre-dialysis patients was included and all patient information was used to perform the analyses making the results generalizable to the clinical practice of pre-dialysis care. The main limitation of this study is the possibility of confounding-by-indication when comparing vitamin K antagonist users with non-users in an observational study design. We cannot exclude the possibility that doctors anticipate renal effects when prescribing or withholding vitamin K antagonists. In that case patients with a worse kidney function would receive vitamin K antagonists less often leading to a possible underestimation of negative effects of vitamin K antagonists. We tried to minimize this problem by correcting for multiple confounders, but cannot exclude residual confounding. Another limitation is the lack of information on the indication for vitamin K antagonist use in our population. Therefore, it could be that vitamin K antagonists were used for other indications than atrial fibrillation. However, since atrial fibrillation has a 2-3 times higher prevalence in CKD patients compared to the general population, it is likely the indication for vitamin K antagonist use in most of our population was atrial fibrillation.[27] Another limitation is the possible non-adherence of the vitamin K antagonist users. Medication adherence often is not 100%, which is very likely to be the case in this study too. Non-adherence can lead to an underestimation of the true effects of vitamin K antagonists. However, the adherence in this study is a representation of adherence in clinical practice and therefore gives an

estimate of the expected effects in clinical practice. In addition, we had no information on the number of patients that experienced kidney failure after receiving a kidney transplant. A final limitation is the inclusion of prevalent vitamin K antagonist users which could have led to an underestimation of the negative effects on kidney function and start of dialysis in vitamin K antagonist users.

### **Conclusion**

In conclusion, there was no association between vitamin K antagonist use and rate of renal function decline. Furthermore, this study showed no association between vitamin K antagonist use and time until start of dialysis in incident pre-dialysis patients. This study emphasizes the need for randomized controlled trials comparing vitamin K antagonists with placebo or direct oral anticoagulants in pre-dialysis patients to investigate their effect on kidney function decline. This would provide better insight into the adverse effects of vitamin K antagonists and more personalized prescription of anticoagulant drugs in pre-dialysis patients.

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