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## **A monodromy criterion for existence of Neron models and a result on semi-factoriality**

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### **Citation**

Orecchia, G. (2018, February 27). *A monodromy criterion for existence of Neron models and a result on semi-factoriality*. Retrieved from <https://hdl.handle.net/1887/61150>

Version: Not Applicable (or Unknown)

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**Issue Date:** 2018-02-27

## 5 Néron models of abelian schemes in characteristic zero

In this section, we consider a connected, locally noetherian, regular base scheme  $S$ , a normal crossing divisor  $D$  on  $S$ , an abelian scheme  $A/U$  of relative dimension  $d$  and a semi-abelian scheme  $\mathcal{A}/S$  with a given isomorphism  $\mathcal{A} \times_S U \rightarrow A$ . We will retain the notation used in the previous sections.

### 5.1 Test-Néron models

**Definition 5.1.** Let  $\mathcal{N}/S$  be a smooth, separated group algebraic space of finite type with an isomorphism  $\mathcal{N} \times_S U \rightarrow A$ ; we say that it is a *test-Néron model* for  $A$  over  $S$  if, for every strictly henselian trait  $Z$  and morphism  $Z \rightarrow S$  transversal to  $D$  (definition 2.4), the pullback  $\mathcal{N} \times_S Z$  is the Néron model of its generic fibre.

It is clear that the property of being a test-Néron model is smooth-local on the base, and is also preserved by taking the localization at a point of the base, or the strict henselization at a geometric point.

We will start by working on a strictly local base. Recall that in this case, for a prime  $l$  different from the residue characteristic  $p$  at the closed point, the Tate module  $T_l A(K^s)$  is acted on by  $G = \bigoplus_{i=1}^n I_i = \bigoplus_{i=1}^n \widehat{\mathbb{Z}}'(1)$ , the tame fundamental group of  $U$ .

**Lemma 5.2.** *For any subset  $\mathcal{E} \subseteq \{1, \dots, n\}$  and any  $m \in \mathbb{Z}$ , there is a canonical injective group homomorphism*

$$\varphi_{\mathcal{E}}: \frac{A[m](K^s)^{\oplus_{i \in \mathcal{E}} I_i}}{T_l A(K^s)^{\oplus_{i \in \mathcal{E}} I_i} \otimes \mathbb{Z}/m\mathbb{Z}} \rightarrow \bigoplus_{i \in \mathcal{E}} \frac{A[m](K^s)^{I_i}}{T_l A(K^s)^{I_i} \otimes \mathbb{Z}/m\mathbb{Z}}. \quad (25)$$

*If  $\mathcal{A}/S$  is toric-additive, for any  $\mathcal{E} \subseteq \{1, \dots, n\}$  the homomorphism  $\varphi_{\mathcal{E}}$  is an isomorphism.*

**Remark 5.3.** Recall the characterization of the group of components of Néron models in section 2.4. If  $S_i$  is a strict henselization at the generic point  $\zeta_i$  of  $D_i$ , then there exists a Néron model  $\mathcal{N}_i/S_i$  for  $A \times_S S_i$ . The  $i$ -th summand of the right-hand side of (5.2) is the group of components of  $\mathcal{N}_i$  over the closed point of  $S_i$ . On the other hand, if  $\zeta$  is the generic point of  $\bigcap_{i \in \mathcal{E}} D_i$ , and if  $A_K/K$  admits a Néron model over a strict henselization  $\mathcal{O}_{S, \zeta}^{sh}$ , then the left hand side is its group of components over the closed point.

*Proof.* First, it follows easily from lemma 3.3 that  $(T_l A(K^s)^{\bigoplus_{i \in \mathcal{E}} I_i}) \otimes \mathbb{Z}/m\mathbb{Z} = \bigcap_{i \in \mathcal{E}} (T_l A(K^s)^{I_i} \otimes \mathbb{Z}/m\mathbb{Z})$ . Given this, it is evident that the group homomorphism 25 is injective.

Let us assume that  $\mathcal{A}/S$  is toric-additive. Then we have a decomposition of  $T := T_l A(K^S)$  into a direct sum  $V_1 \oplus \dots \oplus V_n$  as in theorem 3.4. For a  $\mathbb{Z}_l$ -module  $M$ , we will write  $M_{(m)}$  for  $M \otimes_{\mathbb{Z}_l} \mathbb{Z}/m\mathbb{Z}$ .

Now, if  $\mathcal{E}$  is empty the statement of the lemma is obviously satisfied; otherwise, we can rename the components  $D_i$ , so that  $\mathcal{E} = \{1, 2, \dots, r\} \subseteq \{1, \dots, n\}$  for some  $1 \leq r \leq n$ .

The left-hand side of eq. (25) is

$$\begin{aligned} & \frac{(V_{1,(m)} \oplus \dots \oplus V_{n,(m)})^{\bigoplus_{i \in \mathcal{E}} I_i}}{(V_1 \oplus \dots \oplus V_n)^{\bigoplus_{i \in \mathcal{E}} I_i} \otimes \mathbb{Z}/m\mathbb{Z}} = \\ & = \frac{(V_{1,(m)})^{I_1} \oplus \dots \oplus (V_{r,(m)})^{I_r} \oplus V_{r+1,(m)} \oplus \dots \oplus V_{n,(m)}}{(V_1)_{(m)}^{I_1} \oplus \dots \oplus (V_r)_{(m)}^{I_r} \oplus V_{r+1,(m)} \oplus \dots \oplus V_{n,(m)}} = \\ & = \frac{(V_{1,(m)})^{I_1}}{(V_1)_{(m)}^{I_1}} \oplus \dots \oplus \frac{(V_{r,(m)})^{I_r}}{(V_r)_{(m)}^{I_r}}. \end{aligned}$$

The right hand side is

$$\bigoplus_{i=1}^r \frac{V_{1,(m)} \oplus \dots \oplus (V_{i,(m)})^{I_i} \oplus \dots \oplus V_{n,(m)}}{V_{1,(m)} \oplus \dots \oplus (V_i)_{(m)}^{I_i} \oplus \dots \oplus V_{n,(m)}} = \frac{(V_{1,(m)})^{I_1}}{(V_1)_{(m)}^{I_1}} \oplus \dots \oplus \frac{(V_{r,(m)})^{I_r}}{(V_r)_{(m)}^{I_r}}.$$

So we have obtained the same expression on both sides, and  $\varphi_{\mathcal{E}}$  induces the identity between them.  $\square$

Next, we make a choice of a compatible system of primitive roots of units; equivalently, we choose a topological generator for  $\widehat{\mathbb{Z}}'(1)$ . This gives us, for each  $i = 1, \dots, n$ , a topological generator  $e_i$  of  $I_i$ .

**Lemma 5.4.** *Assume that  $A$  is toric-additive. Then, for any subset  $\mathcal{E} \subseteq \{1, \dots, n\}$  and any  $m \in \mathbb{Z}$ , we have*

$$\frac{A[m](K^s)^{\bigoplus_{i \in \mathcal{E}} I_i}}{T_l A(K^s)^{\bigoplus_{i \in \mathcal{E}} I_i} \otimes \mathbb{Z}/m\mathbb{Z}} = \frac{A[m](K^s)^{\sum_{i \in \mathcal{E}} e_i}}{T_l A(K^s)^{\sum_{i \in \mathcal{E}} e_i} \otimes \mathbb{Z}/m\mathbb{Z}}$$

*Proof.* We have a decomposition

$$T_l A(K^s) = V_1 \oplus V_2 \oplus \dots \oplus V_n$$

as in theorem 3.4. Again, for a  $\mathbb{Z}_l$ -module  $M$ , we write  $M_{(m)} = M \otimes_{\mathbb{Z}_l} \mathbb{Z}/m\mathbb{Z}$ ; if  $\mathcal{E} = \emptyset$  we are done, so we assume that  $\mathcal{E} = \{1, \dots, r\} \subseteq \{1, \dots, n\}$  for some  $1 \leq r \leq n$ . The left hand side is

$$\frac{(V_{1,(m)})^{I_1} \oplus \dots \oplus (V_{r,(m)})^{I_r} \oplus V_{r+1,(m)} \oplus \dots \oplus V_{n,(m)}}{(V_1)_{(m)}^{I_1} \oplus \dots \oplus (V_r)_{(m)}^{I_r} \oplus V_{r+1,(m)} \oplus \dots \oplus V_{n,(m)}}$$

The right hand side is

$$\begin{aligned} \frac{(V_{1,(m)})^{\sum_1^r e_i} \oplus \dots \oplus (V_{n,(m)})^{\sum_1^r e_i}}{(V_1)_{(m)}^{\sum_1^r e_i} \oplus \dots \oplus (V_n)_{(m)}^{\sum_1^r e_i}} &= \\ &= \frac{(V_{1,(m)})^{e_1} \oplus \dots \oplus (V_{r,(m)})^{e_r} \oplus V_{r+1,(m)} \oplus \dots \oplus V_{n,(m)}}{(V_1)_{(m)}^{e_1} \oplus \dots \oplus (V_r)_{(m)}^{e_r} \oplus V_{r+1,(m)} \oplus \dots \oplus V_{n,(m)}} \end{aligned}$$

which concludes the proof.  $\square$

We now return to the hypotheses as in the beginning of the section, so  $S$  is not local anymore. From this moment, we will assume that  $S$  is a  $\mathbb{Q}$ -scheme, so it has residue characteristic 0 at every point. We will use the previous lemmas to prove existence and uniqueness of test-Néron models, under the hypothesis of toric-additivity of the base.

**Proposition 5.5.** *Suppose that  $S$  is a  $\mathbb{Q}$ -scheme and that  $\mathcal{A}/S$  is toric-additive. If  $\mathcal{N}/S$  and  $\mathcal{N}'/S$  are two test-Néron models for  $\mathcal{A}$ , there exists a unique isomorphism  $\mathcal{N} \rightarrow \mathcal{N}'$  that restricts to the isomorphism  $\mathcal{N}_U \rightarrow \mathcal{N}'_U$ .*

*Proof.* The uniqueness is automatic, because  $\mathcal{N}'$  is separated and  $\mathcal{N}_U$  is schematically-dense in  $\mathcal{N}$ . For the existence part, we proceed by induction on the dimension of the base. In the case of  $\dim S = 1$ , let  $S^{sh}$  be a strict henselization of the trait  $S$ . The base change of a test-Néron model to  $S^{sh}$  is a Néron model. By lemma 2.10,  $\mathcal{N}$  and  $\mathcal{N}'$  are themselves Néron models over  $S$ , and therefore there exists an isomorphism  $\mathcal{N} \rightarrow \mathcal{N}'$ .

Now let  $\dim S = M$  and assume the statement is true for  $\dim S < M$ . We claim that we can reduce to the case of a strictly local base  $S$ . Suppose that for every geometric point  $s$  of  $S$  we can construct an isomorphism  $f_s: \mathcal{N}_{X_s} \rightarrow \mathcal{N}'_{X_s}$  where  $X_s$  is the spectrum of the strict henselization at  $s$ . Then we can spread out  $f_s$  to an isomorphism  $f': \mathcal{N}_{S'} \rightarrow \mathcal{N}'_{S'}$  for some étale cover  $S'$  of  $S$ . Let  $S'' := S' \times_S S'$ ,  $p_1, p_2: S'' \rightarrow S'$  be the two projections and  $q: S'' \rightarrow S$ . Because test-Néron models are stable under étale base change,  $q^*\mathcal{N}$  and  $q^*\mathcal{N}'$  are test-Néron models. The two isomorphisms  $p_1^*f, p_2^*f: q^*\mathcal{N} \rightarrow q^*\mathcal{N}'$  necessarily coincide, thus  $f$  descends to an isomorphism  $\mathcal{N} \rightarrow \mathcal{N}'$ , which proves our claim.

Let then  $S$  be strictly local, of dimension  $M$ , with closed point  $s$ . The open  $V = S \setminus \{s\}$  has dimension  $M - 1$ ; since  $\mathcal{A}_V/V$  is toric-additive, by inductive hypothesis there is a unique isomorphism  $f_V: \mathcal{N}_V \rightarrow \mathcal{N}'_V$ . We would like to extend it to the whole of  $S$ .

Let  $Z$  be a regular, closed subscheme of  $S$  of dimension 1, transversal to  $D$ . The existence of such  $Z \subset S$  is easily checked. As  $Z$  is a strictly henselian trait, the pullbacks of  $\mathcal{N}$  and  $\mathcal{N}'$  to  $Z$  are Néron models of their generic fibre, hence there is a unique isomorphism  $\alpha: \mathcal{N}_Z \rightarrow \mathcal{N}'_Z$ . Now let  $\underline{\Phi}$  and  $\underline{\Phi}'$  be the étale  $S$ -group schemes of components of  $\mathcal{N}$  and  $\mathcal{N}'$ ; and let  $\Phi$  and  $\Phi'$  be the groups  $\underline{\Phi}_s(k)$  and  $\underline{\Phi}'_s(k)$  respectively. The restriction of  $\alpha$  to the fibre over  $s$  induces an isomorphism  $\Phi \rightarrow \Phi'$ .

We show next that the isomorphism  $\Phi \rightarrow \Phi'$  is independent of the choice of  $Z \subset S$ . Let's call  $L$  the fraction field of  $\Gamma(Z, \mathcal{O}_Z)$ . The morphism  $Z \rightarrow S$  induces a group homomorphism

$$\pi_1(Z \setminus \{z\}) = \text{Gal}(\bar{L}|L) = \widehat{\mathbb{Z}}(1) \rightarrow \pi_1(S \setminus D) = \bigoplus_{i=1}^n I_i = \bigoplus_{i=1}^n \widehat{\mathbb{Z}}(1) \quad (26)$$

which sends a topological generator  $e$  of  $\pi_1(Z \setminus \{z\})$  to a sum  $\sum_{i=1}^n e_i$  of topological generators of the direct summands of  $\pi_1(S \setminus D)$ , since  $Z$  is transversal to  $D$ . By section 2.4, both  $\Phi$  and  $\Phi'$  are canonically isomorphic to

$$\bigoplus_{l \text{ prime}} \frac{(T_l A(\bar{L}) \otimes \mathbb{Q}_l/\mathbb{Z}_l)^{\text{Gal}(\bar{L}|L)}}{T_l A(\bar{L})^{\text{Gal}(\bar{L}|L)} \otimes \mathbb{Q}_l/\mathbb{Z}_l}.$$

We have a canonical isomorphism of  $\mathbb{Z}_l$ -modules  $T_l A(\bar{K}) \rightarrow T_l A(\bar{L})$ , compatible with the homomorphism 26, so that  $e$  acts on an element of  $T_l A(\bar{L})$  as  $\sum_{i=1}^n e_i$  acts on its image in  $T_l A(\bar{K})$ . Hence, writing  $G$  for  $\pi_1(S \setminus D)$ ,  $\Phi$  and  $\Phi'$  are given by

$$\bigoplus_{l \text{ prime}} \frac{(T_l A(\bar{K}) \otimes \mathbb{Q}_l/\mathbb{Z}_l)^{\sum_{i=1}^n e_i}}{T_l A(\bar{K})^{\sum_{i=1}^n e_i} \otimes \mathbb{Q}_l/\mathbb{Z}_l} = \bigoplus_{l \text{ prime}} \frac{(T_l A(\bar{K}) \otimes \mathbb{Q}_l/\mathbb{Z}_l)^G}{T_l A(\bar{K})^G \otimes \mathbb{Q}_l/\mathbb{Z}_l}$$

the equality coming from the assumption of toric-additivity and lemma 5.4. This shows that the isomorphism  $\Phi \rightarrow \Phi'$  is independent of the choice of  $Z \subset S$ . For this reason, we will write  $\Phi$  for both groups  $\Phi$  and  $\Phi'$ .

Now, the surjective morphism

$$(T_l A(\bar{K}) \otimes \mathbb{Q}_l/\mathbb{Z}_l)^G \rightarrow \Phi$$

splits; letting  $N$  be the order of  $\Phi$ , we obtain a surjective morphism between the  $N$ -torsion subgroups

$$A[N](K) = A[N](\bar{K})^G \rightarrow \Phi.$$

We pick a section  $\Phi \rightarrow A[N](K)$  and denote by  $B$  its image. Consider the schematic closures  $\mathcal{B}$  and  $\mathcal{B}'$  of  $B$  inside  $\mathcal{N}$  and  $\mathcal{N}'$  respectively. Then  $\mathcal{B}$  is a closed subgroup scheme of the étale  $S$ -group scheme  $\mathcal{N}[N]$ ; in fact, it is the union  $\sqcup_{\varphi \in \Phi} V_\varphi$  of some of its connected components. As  $V_\varphi \rightarrow S$  is flat, separated and birational, it is an open immersion. As  $\mathcal{N}[N]$  is finite over  $U$ , the restriction of  $V_\varphi \rightarrow S$  to  $U$  is surjective, hence an isomorphism. In particular, it is given by some section  $U \rightarrow A$ , which restricts to a section  $\text{Spec } L \rightarrow A_{\text{Spec } L}$  over the generic point of  $Z$ . As  $\mathcal{N}_Z$  is a Néron model of its generic fibre, this section extends to a section  $Z \rightarrow \mathcal{N}_Z$ . This latter section is for sure contained in the schematic closure of  $V_\varphi$ , which is  $V_\varphi$  itself. This shows that  $V_\varphi \rightarrow S$  is surjective, and in particular an isomorphism. Therefore,  $\mathcal{B}$  is simply given by a disjoint union  $\sqcup_{\varphi \in \Phi} b_\varphi$  of torsion sections  $b_\varphi: S \rightarrow \mathcal{N}$ , and the restriction  $\mathcal{B}_s$  is canonically isomorphic to  $\underline{\Phi}_s$ . Similarly, we write  $\mathcal{B}' = \sqcup_{\varphi \in \Phi} b'_\varphi$ .

Let  $\mathcal{A} \subset \mathcal{N}$  and  $\mathcal{A}' \subset \mathcal{N}'$  be the fibrewise-connected components of identity. By uniqueness of semi-abelian extensions, there is a unique isomorphism  $\mathcal{A} \rightarrow \mathcal{A}'$ . Now let  $\mathcal{M} = \bigcup_{\varphi \in \Phi} (b_\varphi + \mathcal{A}) \subseteq \mathcal{N}$ . It is an open subgroup  $S$ -scheme of  $\mathcal{N}$ , and on the closed fibre we have  $\mathcal{M}_s = \mathcal{N}_s$ , since  $\mathcal{B}_s = \underline{\Phi}_s$ . In particular,  $\mathcal{N} = \mathcal{N}'_V \cup \mathcal{M}$ . Writing similarly  $\mathcal{M}' = \bigcup_{\varphi \in \Phi} (b'_\varphi + \mathcal{A}') \subseteq \mathcal{N}'$ , we have  $\mathcal{N}' = \mathcal{N}'_V \cup \mathcal{M}'$ .

Now, we construct an isomorphism  $\mathcal{M} \rightarrow \mathcal{M}'$  simply by sending  $b_\varphi$  to  $b'_\varphi$  and by means of the isomorphism  $\mathcal{A} \rightarrow \mathcal{A}'$ . To obtain an isomorphism  $\mathcal{N} \rightarrow \mathcal{N}'$  it is enough to show that  $\mathcal{N}'_V \rightarrow \mathcal{N}'_V$  and  $\mathcal{M} \rightarrow \mathcal{M}'$  agree on the intersection  $\mathcal{N}'_V \cap \mathcal{M} = \mathcal{M}'_V$ . This is clear: indeed, the isomorphism  $\mathcal{N}'_V \rightarrow \mathcal{N}'_V$  agrees with the restriction  $\mathcal{A}_V \rightarrow \mathcal{A}'_V$ , and it sends the schematic closure of  $B$  inside  $\mathcal{N}'_V$  to the schematic closure of  $B$  inside  $\mathcal{N}'_V$ ; that is, it restricts to an isomorphism  $\mathcal{B}_V \rightarrow \mathcal{B}'_V$  sending  $b_\varphi$  to  $b'_\varphi$ .  $\square$

**Theorem 5.6.** *Suppose that  $S$  is a  $\mathbb{Q}$ -scheme, and that  $A/S$  is toric-additive. Then there exists a test-Néron model  $\mathcal{N}/S$  for  $A$ .*

*Proof.* Our proof is constructive; we subdivide it in steps.

**Step 1: constructing the group  $\Psi$ .** Let  $s$  be a geometric point of  $S$ , and write  $X_s$  for the spectrum of the strict henselization at  $s$ . Let  $K_s$  be the field of fractions of  $X_s$ , that is, the maximal extension of  $K$  unramified at  $s$ , and  $\overline{K}$  an algebraic closure of  $K_s$ . Let  $\mathcal{J}_s$  be the finite set of components of the strict normal crossing divisor  $D \times_S X_s$ .

For every prime  $l$ , the action of  $\text{Gal}(\overline{K}|K_s)$  factors via the quotient  $\text{Gal}(\overline{K}|K_s) \rightarrow G := \pi_1(U \times_S X_s) = \bigoplus_{i \in \mathcal{J}_s} I_i$  where  $I_i = \widehat{\mathbb{Z}}(1)$ .

We set

$$\Psi := \bigoplus_{l \text{ prime}} \bigoplus_{i \in \mathcal{J}_s} \frac{(T_l A(\overline{K}) \otimes \mathbb{Q}_l/\mathbb{Z}_l)^{I_i}}{T_l A(\overline{K})^{I_i} \otimes \mathbb{Q}_l/\mathbb{Z}_l} \quad (27)$$

The abelian group  $\Psi$  is finite, as each of its summands is the  $l$ -primary part of the group of components of the Néron model of  $A_{K_s}$  over the local ring at the generic point of  $D_i$ , which exists by theorem 2.14.

By lemma 5.2,

$$\Psi = \bigoplus_{l \text{ prime}} \frac{(T_l A(\overline{K}) \otimes \mathbb{Q}_l/\mathbb{Z}_l)^{\bigoplus_{i \in \mathcal{J}_s} I_i}}{T_l A(\overline{K})^{\bigoplus_{i \in \mathcal{J}_s} I_i} \otimes \mathbb{Q}_l/\mathbb{Z}_l}.$$

The surjective morphism

$$\bigoplus_l ((T_l A(\overline{K}) \otimes \mathbb{Q}_l/\mathbb{Z}_l)^{\bigoplus_{i \in \mathcal{J}_s} I_i}) \rightarrow \Psi$$

splits; therefore, denoting by  $N$  the order of  $\Psi$ , we obtain a surjective morphism between the  $N$ -torsion subgroups

$$\pi: A[N](K_s) = A[N](\overline{K})^{\bigoplus_{i \in \mathcal{J}_s} I_i} \rightarrow \Psi.$$

We consider the set of sections  $\mathcal{S} := \{\alpha: \Psi \rightarrow A[N](K_s) \text{ such that } \pi \circ \alpha = \text{id}\}$ : it is a torsor under the finite group  $\bigoplus_l (T_l A(K_s) \otimes \mathbb{Z}/N\mathbb{Z})$ , and as such it is finite. As the group  $\Psi$  is finite as well, there exists a finite extension  $K \rightarrow K'$ , unramified over  $s$ , such that every section  $\Psi \rightarrow A[N](K_s)$  factors via  $A[N](K')$ . Notice that  $\mathcal{S}$  is non-empty, as the quotient map  $\pi$  splits; thus we can fix a section  $\alpha: \Psi \rightarrow A[N](K')$ .

**Step 2: spreading out to an étale neighbourhood of  $s$ .** The normalization of  $S$  inside  $K'$  is unramified over the image of  $s$  in  $S$ , hence étale over it ([Sta16]TAG 0BQK), so we obtain an étale neighbourhood  $S'$  of  $s$ , which we may assume to be connected, with fraction field  $K'$ . We write  $\mathcal{J}'$  for the set of irreducible components of  $D \times_S S'$ . There is a natural function  $\mathcal{J}_s \rightarrow \mathcal{J}'$ : up to restricting  $S'$ , we may assume that it is bijective. Indeed, its surjectivity corresponds to the fact that every component of  $D \times_S S'$  contains (the image of)  $s$ ; imposing also injectivity means asking that  $D \times_S S'$  is a *strict* normal crossing divisor. Thus, we need not distinguish between  $\mathcal{J}_s$  and  $\mathcal{J}'$  and we will simply write  $\mathcal{J}$  for this set.

**Step 3: constructing the subgroup-scheme  $\mathcal{H} \subseteq \mathcal{A}_{S'} \times_{S'} \Psi_{S'}$ .** We call  $H \subseteq A[N](K') \times \Psi$  the image of  $\Psi$  via  $(\alpha, \text{id}): \Psi \rightarrow A[N](K') \times \Psi$ ; we let  $\mathcal{H}/S'$  be the schematic closure of  $H$  inside  $\mathcal{A}_{S'} \times_{S'} \Psi_{S'}$  (where  $\Psi_{S'}$  denotes the constant group scheme over  $S'$  associated to the finite abelian group  $\Psi$ ). It is a closed subgroup scheme of the étale  $S'$ -group scheme  $\mathcal{A}_{S'}[N] \times_{S'} \Psi_{S'}$  and



a disjoint union  $\sqcup_{j \in \Psi} V_j$  of some of its connected components; moreover, over the generic point of  $S'$ , each  $V_j$  restricts to a copy of  $\text{Spec } K'$ . As  $V_j \rightarrow S'$  is flat, separated and birational, it is an open immersion; thus  $\mathcal{H} = \sqcup_{j \in \Psi} V_j \rightarrow S'$  is a disjoint union of open immersions. In fact, if we write  $U' = U \times_S S'$ , the base change  $\mathcal{A}_{U'}$  is an abelian scheme; therefore  $\mathcal{A}_{U'}[N] \times_{U'} \Psi_{U'}$  is finite, and each  $V_j \rightarrow S'$  is an isomorphism over  $U'$ . This can be restated by saying that the composition

$$\mathcal{H}_{U'} \rightarrow \mathcal{A}_{U'} \times_{U'} \Psi_{U'} \rightarrow \Psi_{U'}$$

is an isomorphism.

**Step 4: taking the quotient by  $\mathcal{H}$ .** Consider now the fppf-quotient

$$\mathcal{N}^\alpha := \frac{\mathcal{A}_{S'} \times_{S'} \Psi_{S'}}{\mathcal{H}}.$$

First, we claim that its restriction  $\mathcal{N}_{U'}^\alpha$  is canonically isomorphic to  $\mathcal{A}_{U'}$ . Indeed, we observed that  $\mathcal{H}_{U'} = \Psi_{U'}$ , and the quotient morphism for  $\Psi_{U'} \rightarrow \mathcal{A}_{U'} \times_{U'} \Psi_{U'}$ ,  $\psi \mapsto (\alpha(\psi), \psi)$  is  $\mathcal{A}_{U'} \times_{U'} \Psi_{U'} \rightarrow \mathcal{A}_{U'}$ ,  $(a, \psi) \mapsto a - \alpha(\psi)$ , which proves the claim.

Because  $\mathcal{H}$  is étale,  $\mathcal{N}^\alpha$  is automatically an algebraic space; we claim that it is actually representable by a scheme. As the quotient morphism  $p: \mathcal{A}_{S'} \times_{S'} \Psi_{S'} \rightarrow \mathcal{N}^\alpha$  is an  $\mathcal{H}$ -torsor,  $p$  is étale. In particular the restriction of  $p$  to the connected component of identity,  $\mathcal{A}_{S'} \times \{0\} \rightarrow \mathcal{N}^\alpha$ , is étale; it is also separated, and an isomorphism over  $U$ . It follows that it is an open immersion. Hence, all other components  $\mathcal{A}_{S'} \times \{\psi\}$  map to  $\mathcal{N}^\alpha$  via an open immersion. The disjoint union  $\bigsqcup_{\psi \in \Psi} \mathcal{A}_{S'} \times_{S'} \{\psi\}$  surjects onto  $\mathcal{N}^\alpha$ , and this gives us an open cover of  $\mathcal{N}^\alpha$  by schemes.

In summary, we have obtained an  $S'$ -group scheme  $\mathcal{N}^\alpha$ , which restricts to  $A$  over  $U'$ ; moreover, it is  $S'$ -smooth, of finite presentation, and separated, since  $\mathcal{H}$  is closed in the separated scheme  $\mathcal{A}_{S'} \times_{S'} \Psi_{S'}$ .

**Step 5: independence of the section  $\alpha$ .** We have used the notation  $\mathcal{N}^\alpha$  as a reminder of our choice of section  $\alpha$  done above. We show that  $\mathcal{N}^\alpha$  does not depend on the choice of the section  $\Psi \rightarrow A[N](K')$ , or to put it better, we show that given two sections  $\alpha, \beta$  we obtain a canonical isomorphism  $\mathcal{N}^\alpha \rightarrow \mathcal{N}^\beta$ . Actually, as soon as we prove that  $\mathcal{N}^\alpha$  and  $\mathcal{N}^\beta$  are test-Néron models (step 6), the existence of a canonical isomorphism between them is ensured by proposition 5.5; however, we still give an argument: suppose we choose another section  $\beta: \Psi \rightarrow A[N](K')$  and let  $H^\beta \subset A[N](K') \times \Psi$  be the image of  $\Psi$  via  $(\beta, \text{id}): \Psi \rightarrow A[N](K') \times \Psi$ . Then the map  $f_{\beta-\alpha}: H^\alpha \rightarrow H^\beta$  sending  $(h, \psi) \in H^\alpha \subseteq A[N](K') \times \Psi$  to  $(h + (\beta - \alpha)\psi, \psi)$  is an isomorphism. Moreover,  $\beta - \alpha$  lands inside  $\bigoplus_l T_l A(K') \otimes \mathbb{Z}/N\mathbb{Z}$ , the subgroup of  $A(K')$  consisting of those  $N$ -torsion points that extend to torsion sections of  $\mathcal{A}_{S'}/S'$ . Therefore  $\beta - \alpha$  extends to a morphism of  $S'$ -group schemes  $\Psi_{S'} \rightarrow \mathcal{A}_{S'}$ . Now,

the isomorphism

$$\mathcal{A}_{S'} \times_{S'} \Psi_{S'} \begin{pmatrix} 1 & \beta - \alpha \\ 0 & 1 \end{pmatrix} \longrightarrow \mathcal{A}_{S'} \times_{S'} \Psi_{S'}$$

restricts to  $f_{\beta - \alpha}$  on  $H^\alpha$  and therefore also restricts to an isomorphism  $\mathcal{H}^\alpha \rightarrow \mathcal{H}^\beta$  between the schematic closures of  $H^\alpha$  and  $H^\beta$  in  $\mathcal{A}_{S'} \times_{S'} \Psi_{S'}$ . Hence, we obtain an isomorphism  $\mathcal{N}^\alpha = (\mathcal{A}_{S'} \times_{S'} \Psi_{S'}) / \mathcal{H}^\alpha \rightarrow \mathcal{N}^\beta = (\mathcal{A}_{S'} \times_{S'} \Psi_{S'}) / \mathcal{H}^\beta$  between the quotients, as wished. We can therefore forget about the choice of section and use the notation  $\mathcal{N}/S'$  for the group-scheme just constructed.

**Step 6: showing that  $\mathcal{N}$  is a test-Néron model.** To ease notation, let us write  $S$  in place of  $S'$ ,  $D = \bigcup_{i \in \mathcal{J}} D_i$  for the strict normal crossing divisor  $D \times_S S'$ . Let  $Z$  be a strictly henselian trait, with closed point  $z$ , and  $g: Z \rightarrow S$  a morphism transversal to  $D$ . Write  $T$  for the strict henselization of  $S$  at  $z$  and  $\mathcal{E} \subseteq \mathcal{J}$  for the subset of indices of components  $D_i$  that contain  $z$ . Let also  $\mathcal{M}/Z$  be the Néron model of  $A \times_S Z$ . The Néron mapping property gives a morphism  $\mathcal{N}_Z \rightarrow \mathcal{M}$ , which is an open immersion and induces an isomorphism between the fibrewise-connected components of identity, as they are both semi-abelian (lemma 2.17). Let  $\Phi/S$  and  $\Upsilon/Z$  be the étale group schemes of connected components of  $\mathcal{N}/S$  and  $\mathcal{M}/Z$  respectively. To show that  $\mathcal{N}_Z \rightarrow \mathcal{M}$  is an isomorphism, we only need to check that the induced morphism  $\Phi|_Z \rightarrow \Upsilon$  is an isomorphism. It is certainly an open immersion, so it suffices to show that  $\Phi(z) \rightarrow \Upsilon(z)$  is an isomorphism.

We will fix a prime  $l$  and compare the  $l$ -primary parts of the two groups, which we denote  ${}_l\Phi(z)$  and  ${}_l\Upsilon(z)$ . Let's start with  ${}_l\Phi(z)$ . The group scheme  $\Phi/S$  being given by  $\Psi_S/\mathcal{H}$ , we have  ${}_l\Phi(z) = {}_l\Psi(z)/{}_l\mathcal{H}(z)$ . Recall that  ${}_l\mathcal{H}$  is the schematic closure of  ${}_lH$  inside  $\mathcal{A} \times_S {}_l\Psi_S$ . Hence,  ${}_l\mathcal{H}(z)$  is identified with a subgroup of  ${}_lH$  consisting of those elements  $(a, \psi) \in {}_lH \subset A[N](K) \times {}_l\Psi$  such that  $a$  extends to a section of  $\mathcal{A}_T/T$ . These are exactly the pairs  $(a, \psi) \in {}_lH$  such that  $a \in T_l A(\overline{K})^{\oplus_{i \in \mathcal{E}} I_i} \otimes \mathbb{Z}/N\mathbb{Z}$ . Therefore,  ${}_l\mathcal{H}(z)$  is the kernel of the composition

$$\begin{aligned} {}_lH \xrightarrow{\sim} {}_l\Psi &= \bigoplus_{i \in \mathcal{J}} \frac{(T_l A(\overline{K}) \otimes \mathbb{Z}/N\mathbb{Z})^{I_i}}{T_l A(\overline{K})^{I_i} \otimes \mathbb{Z}/N\mathbb{Z}} \xrightarrow{pr} \\ &\xrightarrow{pr} \bigoplus_{i \in \mathcal{E}} \frac{(T_l A(\overline{K}) \otimes \mathbb{Z}/N\mathbb{Z})^{I_i}}{T_l A(\overline{K})^{I_i} \otimes \mathbb{Z}/N\mathbb{Z}} = \frac{(T_l A(\overline{K}) \otimes \mathbb{Z}/N\mathbb{Z})^{\oplus_{i \in \mathcal{E}} I_i}}{T_l A(\overline{K})^{\oplus_{i \in \mathcal{E}} I_i} \otimes \mathbb{Z}/N\mathbb{Z}} \end{aligned}$$

from which it follows that

$${}_l\Phi(z) = \frac{{}_l\Psi(z)}{{}_l\mathcal{H}(z)} \cong \bigoplus_{i \in \mathcal{E}} \frac{(T_l A(\overline{K}) \otimes \mathbb{Z}/N\mathbb{Z})^{I_i}}{T_l A(\overline{K})^{I_i} \otimes \mathbb{Z}/N\mathbb{Z}}.$$

Next, we look at  ${}_l\Upsilon(z)$ . Let's call  $K_Z$  the field of fractions of  $\Gamma(Z, \mathcal{O}_Z)$ . The morphism  $Z \rightarrow T$  induces a group homomorphism

$$\pi_1(Z \setminus \{z\}) = \text{Gal}(\overline{K}_Z|K_Z) = \widehat{\mathbb{Z}}(1) \rightarrow \pi_1(T \setminus D) = \bigoplus_{i \in \mathcal{E}} \widehat{\mathbb{Z}}(1)$$

which sends a topological generator  $e$  of  $\widehat{\mathbb{Z}}(1)$  to a sum of topological generators  $\sum_{i=1}^n e_i$ , because  $Z$  meets  $D$  transversally.

Notice that there is a canonical identification  $T_l A(\overline{K}_Z) = T_l A(\overline{K})$ ; the topological generator of  $\text{Gal}(\overline{K}_Z|K_Z)$  acts on the latter as  $\sum_{i \in \mathcal{E}} e_i$  does. Therefore

$${}_l\Upsilon(z) = \frac{(T_l A(\overline{K}) \otimes \mathbb{Z}/N\mathbb{Z})^{\sum_{i \in \mathcal{E}} e_i}}{T_l A(\overline{K})^{\sum_{i \in \mathcal{E}} e_i} \otimes \mathbb{Z}/N\mathbb{Z}}$$

By lemma 5.4 and lemma 5.2,  ${}_l\Upsilon(z) \cong {}_l\Phi(z)$ , as we wished to show. Hence  $\mathcal{N}$  is a test-Néron model for  $A_{U'}$  over  $S'$ .

**Step 7: descending  $\mathcal{N}$  along  $S' \rightarrow S$ .** For every geometric point  $s$  of  $S$ , we have found an étale neighbourhood  $S' \rightarrow S$  and a test-Néron model  $\mathcal{N}/S'$  over  $S'$ . Using uniqueness up to unique isomorphism of test-Néron models, their stability under étale base change, and effectiveness of étale descent for algebraic spaces, we obtain a smooth separated algebraic space of finite type  $\widetilde{\mathcal{N}}$  over  $S$ , and an isomorphism  $\widetilde{\mathcal{N}} \times_S U \rightarrow A$ . Because the property of being a test-Néron model is étale-local,  $\widetilde{\mathcal{N}}$  is itself a test-Néron model for  $A$  over  $S$ .  $\square$

## 5.2 Test-Néron models and finite flat base change

In [Edi92], Edixhoven considers the case of an abelian variety  $A_K$  over the generic point of a trait  $S$ , and a tamely ramified extension of traits  $\pi: S' \rightarrow S$  whose associated extension of fraction fields  $K \rightarrow K'$  is Galois. He considers the Néron model  $\mathcal{N}/S$  of  $A_K$  and the Néron model  $\mathcal{N}'/S'$  of  $A_{K'}$ : after defining a certain equivariant action of  $\text{Gal}(K'|K)$  on the Weil restriction  $\pi_* \mathcal{N}'$ , he shows that  $\mathcal{N}$  is naturally identified with the subgroup-scheme of  $\text{Gal}(K'/K)$ -invariants of  $\pi_* \mathcal{N}'$ .

In this subsection, we aim to show an analogous statement for test-Néron models over a base of higher dimension and characteristic everywhere zero.

We let then  $S$  be a noetherian, regular, strictly local  $\mathbb{Q}$ -scheme,  $D = \cup_{i=1}^n \text{div}(t_i)$  a normal crossing divisor on  $S$  (thus the  $t_i$  are part of a system of regular parameters for  $\mathcal{O}_S(S)$ ),  $A$  an abelian scheme over  $U = S \setminus D$ ,  $\mathcal{A}/S$  a toric-additive semi-abelian scheme extending  $A$ .

We can apply theorem 5.6 to construct a test-Néron model

$$\mathcal{N} = \frac{\mathcal{A} \times_S \Psi_S}{\mathcal{H}}.$$

Notice that the étale cover  $S' \rightarrow S$  of the proof of theorem 5.6 is necessarily trivial in this case.

Consider now a finite flat cover  $\pi: T \rightarrow S$  of the form

$$T = \text{Spec} \frac{\mathcal{O}_S(S)[X_1, \dots, X_n]}{X_1^{m_1} - t_1, \dots, X_n^{m_n} - t_n}$$

for some positive integers  $m_1, \dots, m_n$ . Then  $T$  is a regular strictly local scheme. We denote by  $K'$  its field of fractions. The morphism  $\pi$  is finite étale over  $U$ , and the preimage via  $\pi: T \rightarrow S$  of  $D$  is the normal crossing divisor  $\pi^{-1}(D) = \cup_{i=1}^n \text{div } X_i$ .

We have a commutative diagram

$$\begin{array}{ccc} \text{Gal}(\overline{K}|K') & \longrightarrow & \pi_1(U_T) = \bigoplus_{i=1}^n \widehat{\mathbb{Z}}(1) \\ \downarrow & & \downarrow \\ \text{Gal}(\overline{K}|K) & \longrightarrow & \pi_1(U) = \bigoplus_{i=1}^n \widehat{\mathbb{Z}}(1) \end{array}$$

where the right vertical arrow is given by multiplication by  $m_i$  on the  $i$ -th component. We will write  $\pi_1(U) = \bigoplus_{i=1}^n I_i$  and identify  $\pi_1(U_T)$  with its subgroup  $\bigoplus_{i=1}^n m_i I_i$ .

The fraction field  $K'$  of  $T$  is an extension of  $K$  of order  $m_1 \cdot m_2 \cdot \dots \cdot m_n$ , and we write  $G$  for the Galois group  $\text{Gal}(K'|K) = \bigoplus_{i=1}^n I_i / m_i I_i = \bigoplus_{i=1}^n \mu_{m_i}$ .

By lemma 3.6,  $\mathcal{A} \times_S T$  is still toric-additive. We follow the construction carried out in the proof of theorem 5.6 to obtain a test-Néron model  $\mathcal{M}/T$ : to start with, we consider the finite abelian group

$$\Psi' = \bigoplus_{l \text{ prime}} \bigoplus_{i=1}^n \frac{(T_l A(\overline{K}) \otimes \mathbb{Q}_l / \mathbb{Z}_l)^{m_i I_i}}{T_l A(\overline{K})^{m_i I_i} \otimes \mathbb{Q}_l / \mathbb{Z}_l} = \bigoplus_{l \text{ prime}} \frac{(T_l A(\overline{K}) \otimes \mathbb{Q}_l / \mathbb{Z}_l)^{\bigoplus_{i=1}^n m_i I_i}}{T_l A(\overline{K})^{\bigoplus_{i=1}^n m_i I_i} \otimes \mathbb{Q}_l / \mathbb{Z}_l}$$

We claim that  $T_l A(\overline{K})^{I_i} = T_l A(\overline{K})^{m_i I_i}$ ; indeed, letting  $e_i$  be a topological generator of  $I_i$ , and denoting still by  $e_i$  the automorphism of  $T_l A(\overline{K})$  induced by  $e_i$ , we know by section 2.3 that  $(e_i - 1)^2 = 0$ . Using this relation, we obtain

$$e_i^{m_i} - 1 = ((e_i - 1) + 1)^{m_i} - 1 = m(e_i - 1) + 1 - 1 = m(e_i - 1).$$

As  $T_l A(\overline{K})$  is torsion-free, we see that  $\ker(e_i^{m_i} - 1) = \ker(e_i - 1)$ , which proves our claim. Hence, we actually have

$$\Psi' = \bigoplus_{l \text{ prime}} \frac{(T_l A(\overline{K}) \otimes \mathbb{Q}_l / \mathbb{Z}_l)^{\oplus_{i=1}^n m_i I_i}}{T_l A(\overline{K})^{\oplus_{i=1}^n I_i} \otimes \mathbb{Q}_l / \mathbb{Z}_l}$$

and it follows that  $\Psi'$  has a natural action of  $G$ .

Next, we let  $N = \text{ord}(\Psi')$  and choose a section  $\alpha: \Psi' \rightarrow A[N](K')$ . We write  $H'$  for the image of  $\Psi' \xrightarrow{(\alpha, \text{id})} A[N](K') \times \Psi'$  and  $\mathcal{H}'$  for its schematic closure inside  $\mathcal{A}_T \times_T \Psi'_T$ . The fppf-quotient

$$\mathcal{M} = \frac{\mathcal{A}_T \times_T \Psi'_T}{\mathcal{H}'}$$

is represented by a test-Néron model for  $A_{U'}$  over  $T$ .

In order to compare  $\mathcal{M}$  and  $\mathcal{N}$ , we will consider the Weil restriction of  $\mathcal{M}$  via  $\pi: T \rightarrow S$ , that is, the functor  $\pi_* \mathcal{M}: (\mathbf{Sch}/S) \rightarrow \mathbf{Sets}$  given by  $(Y \rightarrow S) \mapsto \mathcal{M}(Y \times_S T)$ . Recall that we have an exact sequence of fppf-sheaves of abelian groups

$$0 \rightarrow \mathcal{H}' \rightarrow \mathcal{A}_T \times_T \Psi'_T \rightarrow \mathcal{M} \rightarrow 0.$$

As  $\pi$  is a finite morphism, the higher direct images of  $\pi$  for the fppf-topology vanish, and we have an exact sequence of fppf-sheaves

$$0 \rightarrow \pi_* \mathcal{H}' \rightarrow \pi_* \mathcal{A}_T \times_S \pi_* \Psi'_T \rightarrow \pi_* \mathcal{M} \rightarrow 0.$$

We claim that  $\pi_* \mathcal{M}$  is representable by a scheme. By [Ray70b, XI, 1.16], semi-abelian schemes are quasi-projective, hence so is  $\mathcal{A}_T \times_T \Psi'_T$ . Clearly  $\mathcal{H}'/T$  is quasi-projective as well. As  $\pi: T \rightarrow S$  is finite and flat,  $\pi_* \mathcal{H}'$  and  $\pi_* \mathcal{A}_T \times_S \pi_* \Psi'_T$  are schemes (see for example [Edi92, 2.2]). Now,  $\pi_* \mathcal{H}'/S$  is étale ([Sch94, 4.9]), and its intersection with the identity component of  $\pi_* \mathcal{A}_T \times_S \pi_* \Psi'_T$  is trivial. Reasoning as in the proof of theorem 5.6, we conclude that  $\pi_* \mathcal{M}$  has an open cover by schemes, hence it is a scheme.

We want to define an equivariant action of  $G$  on  $\pi_* \mathcal{M} \rightarrow S$ , where  $G$  acts trivially on  $S$ . To do this, we let first  $G$  act on  $A_{K'}$  via the action of  $G$  on  $K'$ . By [Del85, 1.3 pag.132] the action of  $G$  extends uniquely to an equivariant action on  $\mathcal{A}_T \rightarrow T$ . We also have an obvious action of  $G$  on  $\Psi'$  which induces an equivariant action on  $\Psi'_T \rightarrow T$ . We put together these actions to find an equivariant action of  $G$  on  $\mathcal{A}_T \times_T \Psi'_T \rightarrow T$ : clearly  $H'$  is  $G$ -invariant, thus the same is true for its schematic closure  $\mathcal{H}'$ . Therefore the action of  $G$  descends to an equivariant action of  $G$  on  $\mathcal{M} \rightarrow T$ .

To define the action of  $G$  on  $\pi_* \mathcal{M}$ , we let  $g \in G$  act on  $\pi_* \mathcal{M}$  via the composition

$$\pi_*\mathcal{M} \times_S T \xrightarrow{(\text{id}, g)} \pi_*\mathcal{M} \times_S T \rightarrow \mathcal{M} \xrightarrow{g^{-1}} \mathcal{M}.$$

where the second arrow is given by the identity morphism  $\pi_*\mathcal{M} \rightarrow \pi_*\mathcal{M}$ . This defines the desired equivariant action of  $G$  on  $\pi_*\mathcal{M} \rightarrow S$ .

Consider the functor of fixed points  $(\pi_*\mathcal{M})^G: \mathbf{Sch}/S \rightarrow \mathbf{Sets}$ ,  $(Y \rightarrow S) \mapsto \pi_*\mathcal{M}(Y)^G$ . Then  $(\pi_*\mathcal{M})^G$  is represented by a closed subgroup-scheme of  $\pi_*\mathcal{M}$ , smooth over  $S$  by [Edi92, 3.1].

**Proposition 5.7.** *There is a canonical closed immersion  $\iota: \mathcal{N} \rightarrow \pi_*\mathcal{M}$ , which identifies  $\mathcal{N}$  with the subgroup-scheme of fixed points  $(\pi_*\mathcal{M})^G$ .*

*Proof.* By generalities on the Weil restriction [BLR90, pag. 198], the canonical morphism  $\mathcal{A} \rightarrow \pi_*\mathcal{A}_T$  is a closed immersion. The natural injection  $\Psi \rightarrow \Psi'$  gives a closed immersion  $\mathcal{A} \times_S \Psi_S \rightarrow \pi_*\mathcal{A}_T \times_S \Psi'_S = \pi_*(\mathcal{A}_T \times_T \Psi'_T)$ . To show that it descends to a closed immersion  $\mathcal{N} \rightarrow \pi_*\mathcal{M}$ , it is enough to show that

$$\pi_*\mathcal{H}' \cap (\mathcal{A} \times_S \Psi_S) = \mathcal{H}. \quad (28)$$

We may assume that the section  $\Psi \rightarrow A[N](K)$  used to construct  $H$  is obtained by restriction of the section  $\Psi' \rightarrow A[N](K')$  used to construct  $H'$ : indeed we know that it does not matter which section we choose. It follows that  $H = H' \cap (A(K) \times_K \Psi)$ , which realizes eq. (28) on the level of generic fibres. Now,  $\pi_*\mathcal{H}'$  is étale over  $S$ , and it is a closed subscheme of  $\pi_*\mathcal{A}_T \times_S \Psi'_S$ . Hence, it is the schematic closure of its generic fibre, which is  $H'$ . Then, the intersection  $\mathcal{H}^* := \pi_*\mathcal{H}' \cap (\pi_*\mathcal{A}_T \times_S \Psi_S)$  is clearly still étale over  $S$ , and has generic fibre  $H$ . Thus  $\mathcal{H}^*$  is the schematic closure of  $H$  in  $\pi_*\mathcal{A}_T \times_S \Psi_S$ . On the other hand,  $\mathcal{H} \rightarrow \mathcal{A} \times_S \Psi_S \rightarrow \pi_*\mathcal{A}_T \times_S \Psi_S$  is a closed immersion, and  $\mathcal{H}$  is étale over  $S$  and has generic fibre  $H$ . As  $\mathcal{H}$  and  $\mathcal{H}^*$  are both étale over  $S$ , have same generic fibre and are both closed subschemes of  $\pi_*\mathcal{A}_T \times_S \Psi_S$ , they are equal. Since  $\mathcal{H}$  is contained in  $\mathcal{A} \times_S \Psi_S$ , so is  $\mathcal{H}^*$  and we obtain eq. (28). This proves that we have a closed immersion  $\iota: \mathcal{N} \rightarrow \pi_*\mathcal{M}$ .

Now, the restriction of  $\iota$  to the generic fibre is the closed immersion  $A \rightarrow \pi_*A_{K'}$ , which identifies  $A$  with  $(\pi_*A_{K'})^G$ . Since  $(\pi_*\mathcal{M})^G$  and  $\mathcal{N}$  are both  $S$ -smooth closed subschemes of  $\pi_*\mathcal{M}$  and they share the same generic fibre, they are equal.  $\square$

### 5.3 Test-Néron models are Néron models

The objective of this subsection is to prove the following:

**Theorem 5.8.** *Let  $S$  be a connected, locally noetherian, regular  $\mathbb{Q}$ -scheme,  $D$  a normal crossing divisor on  $S$ ,  $A$  an abelian scheme over  $U = S \setminus D$  extending*

to a toric-additive semi-abelian scheme  $\mathcal{A}/S$ . Then  $\mathcal{A}$  admits a Néron model over  $S$ .

In view of theorem 5.6, theorem 5.8 is an immediate corollary of the following proposition:

**Proposition 5.9.** *Hypotheses as in theorem 5.8. Let  $\mathcal{N}/S$  be a test-Néron model for  $\mathcal{A}$  over  $S$ . Then  $\mathcal{N}/S$  is a Néron model.*

We will subdivide the proof of proposition 5.9 in two main steps (propositions 5.10 and 5.11).

**Proposition 5.10.** *In the hypotheses of proposition 5.9, assume  $S$  has dimension 2. Then  $\mathcal{N}/S$  is a weak Néron model for  $\mathcal{A}$ .*

*Proof.* Let  $\sigma : U \rightarrow \mathcal{A}$  be a section; we want to show that it extends to a section  $S \rightarrow \mathcal{N}$ , or equivalently, that the schematic closure  $\overline{\sigma(U)} \subset \mathcal{N}$  is faithfully flat over  $S$ . The latter may be checked locally for the fpqc topology; hence, we may reduce to the case where  $S$  is the spectrum of a complete, strictly henselian local ring. The normal crossing divisor  $D$  has at most 2 components, and up to restricting  $U$  we may assume that it is given by the zero locus of  $uv$ , with  $u, v$  regular parameters for  $\Gamma(S, \mathcal{O}_S)$ .

Notice that the closure  $\overline{\sigma(U)}$  may fail to be flat only over the closed points of  $S$ , as  $S \setminus \{s\}$  is of dimension 1. By the flattening technique of Raynaud-Gruson ([GR71, 5.2.2]), there exists a blowing-up  $\tilde{S} \rightarrow S$ , centered at  $s$ , such that the schematic closure of  $\sigma(U)$  inside  $\mathcal{N}_{\tilde{S}}$  is flat over  $\tilde{S}$ . Because  $S$  has dimension 2, we can find a further blow-up  $S' \rightarrow \tilde{S}$  such that the composition  $S' \rightarrow S$  is a composition of finitely many blowing-ups, each given by blowing-up the ideal of a closed point with its reduced structure. It follows that the exceptional fibre  $E \subset S'$  of  $S' \rightarrow S$  is a chain of projective lines meeting transversally. Let  $\Sigma \subset \mathcal{N}_{S'}$  be the schematic closure of  $\sigma(U)$ . The morphism  $\Sigma \rightarrow S'$  is flat, but may a priori not be surjective. At this point we only know that the image of  $\Sigma$  contains  $S' \setminus E$ .

We claim that  $\Sigma \rightarrow S'$  is surjective. Let  $p \in E$ . It's easy to show that there exists some strictly henselian trait  $Z$  with closed point  $z$  and a closed immersion  $Z \rightarrow S'$  mapping  $z$  to  $p$  and such that  $Z$  meets  $E$  transversally. We call  $L$  the field of fractions of  $\mathcal{O}_Z(Z)$ . The section  $\sigma : U \rightarrow \mathcal{A}$  restricts to a section  $\sigma_L : \text{Spec } L \rightarrow \mathcal{A}_L$ ; to establish the claim, it suffices to show that  $\sigma_L$  extends to a section  $Z \rightarrow \mathcal{N}_Z$ . We consider the composition  $\varphi : Z \rightarrow S' \rightarrow S$  and the pullbacks  $\varphi^*(u), \varphi^*(v) \in \mathcal{O}_Z(Z)$ . Let  $m, n \in \mathbb{Z}_{\geq 1}$  be their respective valuations. Now let  $\pi : T \rightarrow S$  be the finite flat morphism given by extracting

an  $m$ -root of  $u$  and an  $n$ -root of  $v$ , that is,

$$T = \operatorname{Spec} \frac{\mathcal{O}_S(S)[x, y]}{x^m - u, y^n - v}.$$

Then  $T$  is itself the spectrum of a regular, strictly henselian local ring and the preimage  $\pi^{-1}(D)$  is the zero locus of  $xy$  and hence a normal crossing divisor. The pullback of  $\mathcal{A}$  via  $T \rightarrow S$  is still toric-additive (lemma 3.6) and therefore we can construct a test Néron model  $\mathcal{M}/T$ . Writing  $X = \pi_*\mathcal{M}$  for the Weil restriction along  $\pi$  and  $G := \operatorname{Aut}_S(T) = \mu_m \oplus \mu_n$ , we have by proposition 5.7 that  $X^G = \mathcal{N}$ .

Now, as  $Z$  is a strictly henselian,  $\mathcal{O}(Z)$  contains all roots of elements of  $\mathcal{O}(Z)^\times$ , and we can find uniformizers  $t_u, t_v \in \mathcal{O}_Z(Z)$  such that  $t_u^m = \varphi^*(u)$  and  $t_v^n = \varphi^*(v)$ . These elements give us a lift of  $\varphi: Z \rightarrow S$  to  $\psi: Z \rightarrow T$ . Then  $\psi$  is a closed immersion meeting  $f^{-1}(D)$  transversally. This means that the base change  $\mathcal{M}_Z/Z$  is a Néron model of its generic fibre. Consider the section  $\sigma_L: \operatorname{Spec} L \rightarrow A_L$ . Composing it with the closed immersion  $A_L = (\pi_*\mathcal{M})_L^G \hookrightarrow (\pi_*\mathcal{M})_L$  gives, by definition of Weil restriction, a morphism  $\operatorname{Spec} L \times_S T \rightarrow \mathcal{M}_L$ . Precomposing with  $(\operatorname{id}, \psi): \operatorname{Spec} L \rightarrow \operatorname{Spec} L \times_S T$ , we obtain a section  $\tilde{\sigma}_L: \operatorname{Spec} L \rightarrow \mathcal{M}_L$ . As  $\mathcal{M}_Z/Z$  is a Néron model of its generic fibre,  $\tilde{\sigma}_L$  extends uniquely to a section  $Z \rightarrow \mathcal{M}_Z$ . This gives us a morphism of  $T$ -schemes  $Z \rightarrow \mathcal{M}$  and by composition a  $T$ -morphism  $Z \times_S T \rightarrow Z \rightarrow \mathcal{M}$ , that is, a section  $m \in X(Z)$  of the Weil restriction. Notice that the generic fibre of  $m$  is  $\sigma_L$ , which lands in the part of  $X$  fixed by  $G$ ; as  $X^G = \mathcal{N}$  is a closed subscheme of  $X$  we deduce that  $m$  lands inside  $\mathcal{N}$ . So  $m \in \mathcal{N}(Z)$  is the required extension of  $\sigma_L$  and we win.

As  $\Sigma \rightarrow S'$  is faithfully flat, separated and birational, it is an isomorphism. Hence  $\sigma: U \rightarrow A$  extends to a section  $\sigma': S' \rightarrow \mathcal{N}_{S'}$ . We are going to show that  $\sigma'$  descends to a section  $\theta: S \rightarrow \mathcal{N}$ . The restriction of  $\sigma'$  to  $E$  maps a connected chain of projective lines to a connected component of  $\mathcal{N}_s$  (where  $s$  is the closed point of  $S$ ). Every connected component of  $\mathcal{N}_s$  is isomorphic to the semi-abelian variety  $\mathcal{N}_s^0$ , hence does not contain projective lines. It follows that  $\sigma'|_E$  is constant and that it descends to a morphism  $\operatorname{Spec} k(s) \rightarrow \mathcal{N}_s$ . Let  $\mathcal{J}$  be the ideal sheaf of the exceptional fibre  $E \subset S'$  and define  $S'_n \subset S'$  to be the closed subscheme defined by  $\mathcal{J}^{n+1}$  for every  $n \geq 0$ . Similarly let  $S_n := \operatorname{Spec} \mathcal{O}_S(S)/\mathfrak{m}^{n+1}$ , where  $\mathfrak{m}$  is the maximal ideal of  $\mathcal{O}_S(S)$ . We have shown that  $\sigma'_0: S'_0 \rightarrow \mathcal{N}$  descends to a morphism  $\theta_0: S_0 \rightarrow \mathcal{N}$ . Now, by smoothness of  $\mathcal{N}$ , every morphism  $S_{j-1} \rightarrow \mathcal{N}$  admits a lift  $S_j \rightarrow \mathcal{N}$ ; the set of such lifts is given by  $H^0(S_0, \Omega_{\mathcal{N}_{S_0}/S_0}^1 \otimes_{\mathcal{O}_{S_0}} \mathfrak{m}^j/\mathfrak{m}^{j+1})$ . The canonical morphism

$$H^0(S_0, \Omega_{\mathcal{N}_{S_0}/S_0}^1 \otimes_{\mathcal{O}_{S_0}} \mathfrak{m}^j/\mathfrak{m}^{j+1}) \rightarrow H^0(S'_0, \Omega_{\mathcal{N}_{S'_0}/S'_0}^1 \otimes_{\mathcal{O}_{S'_0}} \mathcal{J}^j/\mathcal{J}^{j+1})$$

is an isomorphism, due to the fact that the space of global sections of  $\mathcal{J}^j/\mathcal{J}^{j+1} = \mathcal{O}_{S'_0}(j)$  is equal to  $\mathfrak{m}^j/\mathfrak{m}^{j+1}$ . Thus the set of liftings of  $\alpha \in \operatorname{Hom}_S(S_j, \mathcal{N})$



to  $\mathrm{Hom}_S(S_{j+1}, \mathcal{N})$  is naturally in bijection with the set of liftings of  $\alpha|_{S'_j} \in \mathrm{Hom}_S(S'_j, \mathcal{N})$  to  $\mathrm{Hom}_S(S'_{j+1}, \mathcal{N})$ . The reductions modulo  $\mathcal{J}^j$  of  $\sigma': S' \rightarrow \mathcal{N}_{S'}$  provides a compatible set of liftings of  $\sigma'|_{S'_0}$ , and therefore a compatible set of liftings of  $\theta_0$ ; which in turn by completeness of  $S$  yield the desired morphism  $S \rightarrow \mathcal{N}$ .  $\square$

The next step is extending the result to the case of  $\dim S > 2$ .

**Proposition 5.11.** *In the hypotheses of proposition 5.9,  $\mathcal{N}/S$  is a weak Néron model.*

*Proof.* As in the proof of proposition 5.10, we may assume that  $S$  is the spectrum of a complete strictly henselian local ring. We proceed by induction on the dimension of  $S$ . If the dimension is 1, the statement is clearly true, and the case of dimension 2 is the statement of proposition 5.10. So we let  $n \geq 3$  be the dimension of  $S$  and we suppose that the statement is true when  $S$  has dimension  $n - 1$ . Let  $\sigma: U \rightarrow A$  be a section. Because  $V = S \setminus \{s\}$  has dimension  $n - 1$ , and because  $\mathcal{A}_V$  is still toric-additive (lemma 3.8),  $\sigma$  extends to  $\sigma: V \rightarrow \mathcal{N}_V$ .

Next, we cut  $S$  with a hyperplane  $H$  transversal to all the components of the normal crossing divisor  $D$ , but paying attention to choosing  $H$  so that  $D \cap H$  (with its reduced structure) is still a normal crossing divisor on  $H$ . This is always possible: consider a system of regular parameters  $u_1, u_2, \dots, u_n$  for  $S$  such that  $D$  is the zero locus of  $u_1 u_2 \cdots u_r$  for some  $r \leq n$ ; then  $H$  can be chosen to be, for example, the hypersurface cut by  $u_1 - u_n$ . Because  $H$  is transversal to  $D$ , it is clear that the base change  $\mathcal{N}_H/H$  is still a test-Néron model. By our inductive assumption on the dimension of the base,  $\sigma|_H: H \cap U \rightarrow A$  extends to  $\theta_0: H \rightarrow \mathcal{N}$ . Now we would like to put together the data of  $\sigma$  and  $\theta_0$  to extend  $\sigma: V \rightarrow \mathcal{N}_V$  to a section  $\theta: S \rightarrow \mathcal{N}$ . Let  $\mathcal{J} \subset \mathcal{O}_S$  be the ideal sheaf of  $H$  and for every  $j \geq 1$  define  $S_j$  to be the closed subscheme cut by  $\mathcal{J}^{j+1}$ . We have a morphism  $\theta_0: H = S_0 \rightarrow \mathcal{N}$ . By smoothness of  $\mathcal{N}$ , there exists for every  $j \geq 0$  a lifting of  $\theta_0$  to an  $S$ -morphism  $S_j \rightarrow \mathcal{N}$ . The set of liftings of an  $S$ -morphism  $S_{j-1} \rightarrow \mathcal{N}$  to an  $S$ -morphism  $S_j \rightarrow \mathcal{N}$  is given by the global sections of the locally-free sheaf  $\mathcal{F} := \Omega_{\mathcal{N}/S}^1 \otimes \mathcal{J}^j / \mathcal{J}^{j+1}$  on  $S_0$ . Because  $\dim S_0 \geq 2$  and  $V = S \setminus \{s\}$ , we have  $H^0(S_0, \mathcal{F}) = H^0(V \cap S_0, \mathcal{F}_V)$ , and the latter parametrizes liftings of morphisms  $S_{j-1} \cap V \rightarrow \mathcal{N}$  to  $S_j \cap V \rightarrow \mathcal{N}$ . The section  $\sigma: V \rightarrow \mathcal{N}_V$  gives a compatible choice of lifting for every  $j \geq 0$ , and we get by completeness of  $S$  a morphism  $S \rightarrow \mathcal{N}$  agreeing with  $\sigma$  on  $V$ , as we wished.  $\square$

We can now conclude the proof of proposition 5.9.

*Proof of proposition 5.9.* Let  $T \rightarrow S$  be a smooth morphism; then  $\mathcal{A}_T/T$  is toric-additive by lemma 3.8 and the base change  $\mathcal{N}_T/T$  is a test-Néron model. Now, given  $\sigma_U: T_U \rightarrow \mathcal{A}$ , we obtain a section  $T_U \rightarrow \mathcal{A} \times_U T_U$ , which by proposition 5.11 extends to a section  $T \rightarrow \mathcal{N}_T$ . The latter is the datum of an  $S$ -morphism  $\sigma: T \rightarrow \mathcal{N}$  extending  $\sigma_U$ .  $\square$

We give a corollary of theorem 5.8.

**Corollary 5.12.** *Let  $S$  be a connected, locally noetherian, regular  $\mathbb{Q}$ -scheme,  $D$  a regular divisor on  $S$ ,  $A$  an abelian scheme over  $U = S \setminus D$  extending to a semi-abelian scheme  $\mathcal{A}/S$ . Then  $A$  admits a Néron model over  $S$ .*

*Proof.* At every geometric point  $s$  of  $S$ ,  $D$  has only one irreducible component. It follows that  $\mathcal{A}/S$  is toric-additive and we conclude by theorem 5.8.  $\square$

