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#### ABSTRACT

- 8 **Objectives** The first aim of this study was to examine various aspects of Theory of Mind
- 9 (ToM) development in young children with moderate hearing loss (MHL) compared to
- 10 hearing peers. The second aim was to examine the relation between language abilities and
- 11 ToM in both groups. The third aim was to compare the sequence of ToM development
- 12 between children with moderate hearing loss and hearing peers.
- **Design** Forty-four children between 3 and 5 years old with moderate hearing loss (35-70 dB
- 14 HL) who preferred to use spoken language were identified from a nationwide study on
- 15 hearing loss in young children. These children were compared to 101 hearing peers. Children
- 16 were observed during several tasks to measure intention understanding, the acknowledgement
- 17 of the other's desires and belief understanding. Parents completed two scales of the Child
- 18 Development Inventory (CDI) to assess expressive language and language comprehension in
- all participants. Objective language test scores were available from the medical files of
- 20 children with MHL.
- 21 **Results** Children with moderate hearing loss showed comparable levels of intention
- 22 understanding but lower levels of both desire and belief understanding than hearing peers.
- 23 Parents reported lower language abilities in children with MHL compared to hearing peers.
- 24 Yet, the language levels of children with MHL were within the average range compared to test
- 25 normative samples. A stronger relation between language and ToM was found in the hearing
- 26 children than in children with MHL. The expected developmental sequence of Theory of
- 27 Mind skills was divergent in approximately one fourth of children with moderate hearing
- 28 loss, when compared to hearing children.
- 29 **Conclusion** Children with moderate hearing loss have more difficulty in their ToM reasoning
- 30 than hearing peers, despite the fact that their language abilities lie within the average range
- 31 compared to test normative samples.

#### **INTRODUCTION**

Engagement in social interactions is essential for the social-emotional development of 33 children. In order to induce and maintain relationships, children need to learn that different 34 people have different intentions, desires, and beliefs. The ability to apply such mental states 35 to others is known as 'Theory of Mind' (ToM). Through ToM development, children will 36 start to understand that our mental states explain our actions (e.g., dad chooses coffee for 37 38 dessert because he prefers coffee over ice-cream). ToM development has been studied extensively over the last two decades (Wellman 1990; Dunn 1996). These studies revealed 39 that both language and communicative abilities are very important for an adequate ToM 40 development (see (Stanzione & Schick 2014) for a review). The importance of this relation 41 has been illustrated previously by many studies in deaf children of hearing parents. Outcomes 42 show severe delays in the ToM development of deaf children of hearing parents (Peterson & 43 Siegal 1999; Courtin 2000; De Villiers & De Villiers 2000; Schick et al. 2007) that may 44 continue to be problematic during adolescence (Pyers & Senghas 2009; Wellman et al. 2011). 45 46 One explanation offered in the literature for these findings lies in the reduced abilities of parents (especially hearing parents who sign) to discuss abstract concepts such as thoughts 47 and emotions compared to hearing-haring dyads (Moeller & Schick 2006). Children with 48 moderate hearing loss (MHL) share the same mode of communication as their hearing 49 parents. However, these children often still encounter language difficulties (Moeller et al. 50 51 2007; Moeller et al. 2015; Tomblin et al. 2015). Therefore, children with MHL are also potentially at risk for inadequate ToM development. Nevertheless, until now, no research has 52 focused on the development of ToM in children with moderate hearing loss, which is the aim 53

54 of this study.

55

#### 56 Children with moderate hearing loss

A substantial number of children have hearing loss thresholds falling in the moderate range 57 (40-70 dB HL). When wearing their hearing aids, children with MHL can function 58 59 reasonably well in quiet areas and in one-on-one conversations. They can hear what is said 60 when they are not disturbed by background noise that interferes with their hearing aids, their ability to recognize consonants, and directional hearing (Eisenberg 2007; McCreery et al. 61 62 2015a). However, the hearing capacities of these children are frequently overestimated. Children with MHL frequently encounter difficulties in fully understanding what is said in 63 daily interactions, especially in noisy environments such as daycare centers and classrooms 64 (Finitzo-Hieber & Tillman 1978). Children with hearing loss encounter difficulties in speech 65 perception when listening to speech in noise (Yang et al. 2012). Furthermore, the children's 66 hearing aids (HAs) are often not fit optimally, which may negatively impact their hearing 67 potential (McCreery et al. 2015; Tomblin et al. 2015). For the child's surroundings, it is often 68 difficult to understand what a child with MHL does hear and what input is missed. 69 Diminished access to social conversations could potentially diminish their opportunities for 70

social learning, which has ongoing consequences for their social-emotional development.

#### 72 ToM development and hearing loss

- 73 In studies on ToM development, the majority of research has focused on only one aspect of
- 74 ToM development, that is, the understanding of (false) beliefs. Yet, Wellman and others
- rs emphasize on the importance of studying ToM in its broadest sense. Thereby, it is important
- to be aware of the fact that the acknowledgement of others' intentions and desires precedes
- the understanding of others' (false) beliefs (Wellman 2002). This was previously
- 78 demonstrated in large studies examining the developmental sequence of ToM development in
- 79 deaf children and children with an autism spectrum disorder. These studies show that deaf
- 80 children generally show the same sequential pattern of ToM development as hearing peers,
- albeit slower (Peterson et al. 2005; Peterson & Wellman 2009). This delayed ToM
- 82 development can have ongoing consequences for a child's social development (Olson et al.
- 83 2011; Caputi et al. 2012).

### 84 Intention understanding

- 85 An essential precursor for the development of ToM is the ability to acknowledge others'
- 86 intentions (Sodian & Kristen-Antonow 2015). Growing consciousness of the fact that others'
- 87 actions are guided by their intentions teaches children to separate human beings from objects.
- 88 Only by knowing someone else's intentions, one can understand the person's actions. To
- 89 illustrate, the physical movement of an object from one person to the other can be interpreted
- 90 as giving, sharing, loaning, returning, or trading something. Yet, without intention
- 91 understanding, we do not know why actions happen. In typically developing children,
- 92 intention understanding begins to emerge in the second year of life (Tomasello et al. 2005).
- 93 An important aspect of intention understanding is joint attention; the ability to share attention
- 94 with someone else concerning an object or situation. Drawing someone's attention to a
- 95 certain situation increases language development and strengthens relationships. Studies in
- 96 young children show equal levels of joint attention in deaf children with CI compared to age-
- 97 related peers, whereas less engagement in joint attention was seen in deaf children without a
- 98 CI (Tasker et al. 2010; Ketelaar et al. 2012; Cejas et al. 2014).

### 99 <u>Desire understanding</u>

- 100 The next important step in ToM development is the ability to acknowledge others' desires
- and to be able to distinguish between one's own and the other's desires. Desire understanding
- 102 gradually takes place after a child's third birthday (Wellman et al. 2000). Abstract concepts
- such as taste allow children to understand the subjectivity of desires. For example, a child
- needs to learn to understand that dad does not like to eat cheese whereas the child herself
- really likes a cheese sandwich. Research on desire understanding in deaf children can be
- extracted from the work by Peterson (Peterson 2004; Peterson et al. 2005; Peterson &
  Wellman 2009; Wellman & Peterson 2013) and Remmel (Peters et al. 2009; Remmel &
- Peters 2009) who found no difference in desire understanding when comparing school-aged
- 108 Peters 2009) who found no difference in desire understanding when comparing school-ag
- deaf children (with and without CI) to hearing preschoolers. Only one study comparedpreschoolers with CI to age-related hearing peers. When focusing on children with sufficient
- preschoolers with CI to age-related hearing peers. When focusing on children with sufficient language comprehension, children with CI were able to appreciate the protagonist's desire
- 111 ianguage comprehension, condicent with C1 were able to appreciate the protagonist's desire
- 112 when it matched their own desire. Yet, they were outperformed by their hearing peers when
- the protagonist in the vignette had a dissimilar desire (Ketelaar et al. 2012).

#### 114 <u>Belief understanding</u>

Classic false belief tasks include the change-of-location and the unexpected-content task. In 115 both tasks, the child is questioned about the behavior of a story character. In the story, this 116 character holds a belief that opposes the actual truth. Around the age of four, children start to 117 appreciate other's beliefs. Research shows equal levels of belief understanding in deaf 118 children born to deaf parents compared to hearing peers born to hearing parents (see 119 Stanzione and Schick 2014 for an overview) (Schick et al. 2007; Stanzione & Schick 2014). 120 However, deaf children of hearing parents performed lower on false belief understanding 121 than hearing children, with so-called late signers showing the least favorable results (Courtin 122 2000; Peterson et al. 2005). This difference can be explained by the quality and quantity of 123 communication. Both deaf children who acquire oral communication and deaf children who 124 acquire sign language relatively late (because it is their second language) may encounter 125 limited participation in high-quality social interactions involving mental state talk, be it in 126 127 school or with their family at home (Jeanes et al. 2000; Macaulay & Ford 2006; Ziv et al. 2013). 128

A limited number of studies on false belief understanding in deaf and hard of hearing 129 children compared to hearing controls found no differences in ToM abilities. However, in 130 these studies children were much older than the control group, making the groups difficult to 131 compare (Peterson et al. 2005; Peters et al. 2009; Peterson & Wellman 2009; Remmel & 132 133 Peters 2009; Levrez et al. 2012). Since the introduction of early identification of hearing loss and early cochlear implantation, results have changed. Because of early implantation, young 134 children with CI had relatively better language skills. These improved language skills enabled 135 them to join in conversations more often which could potentially stimulate their ToM skills. 136 137 Consequently, studies started to compare children with CI to age-related peers. Yet, these studies in young children still found lower levels of belief understanding in preschoolers with 138 139 CI as compared to hearing peers (Ketelaar et al. 2012; Sundqvist et al. 2014).

#### 140 **ToM and language**

141 The relation between ToM and language abilities has been studied extensively. A meta-

- 142 analysis examining this relation reported a strong relation between the two indices (Milligan
- et al. 2007). Since there has been an ongoing debate regarding the direction of causality
- between language and ToM development, this was one of the aims of this meta-analysis.
- 145 Even though a bidirectional relationship was found in longitudinal studies (i.e., early
- 146 language predicted later ToM development and early ToM skills predicted later language
- 147 development), the relation reporting early language skills to be beneficial for later ToM
- 148 development was significantly stronger than vice versa. However, this review only included
- studies that examined this relation in typically developing children.
- In DHH children, the relation between language and ToM skills seems complex. False belief tasks for instance contain 'mental state verbs' and 'if/then statements'. In order to understand such complex ToM tasks, a certain level of language and communication skills is needed to succeed. As a result it is often unclear what it is exactly that such tasks are measuring: the child's ToM skills or their language capacities. Schick et al. therefore used

- 155 ToM tasks that required minimal language skills to measure ToM abilities in deaf children of
- 156 hearing parents. Results showed that the deaf children in their study also performed lower on
- the low-verbal tasks compared to hearing children and deaf children of deaf parents,
- indicating the importance of access to communication with others. This statement was
- underlined by the fact that complement processing skills were found to predict performance
- 160 on low-verbal ToM tasks, yet vocabulary comprehension skills did not (Schick et al. 2007).
- The language skills of young children with MHL have recently been studied thoroughly by Tomblin and colleagues. Their study showed that the language skills of children with MHL were, on average, approximately 1 standard deviation lower than the language skills of hearing children. This may have been caused by their reduced ability to fully capture what is said in daily conversations. Missing out on the subtleties and nuances of communication may interfere with their capacity to understand what people mean to achieve when communicating to others. Subsequently, these difficulties can interfere with the
- 168 development of adequate ToM skills.

## 169 **Present study**

170 The first aim of this study was to examine ToM abilities and its precursors in children with

- 171 MHL compared to hearing children. Although children with MHL and their hearing
- 172 caregivers share the same mode of communication (i.e. spoken language), it is also known
- that parents of children with hearing loss use less mental state talk in their conversations with
- their child (Ambrose et al. 2015). Additionally, due to various reasons children with MHL
- often still encounter (mild) language and communication problems (Tomblin et al. 2015).
- 176 These difficulties could prevent them from fully benefiting from social interaction and
- incidental learning about others' intentions, desires, and beliefs. We therefore hypothesizedthat children with MHL of hearing parents would have lower ToM skills than hearing
- 179 children. The second aim of this study was to define the relation between language skills and
- the development of ToM in children with MHL and in hearing controls separately. We
- 181 expected language skills to be positively related to both desire and belief understanding
- because a certain level of language is needed to develop these skills. We expected no
- 183 difference in the strength of this relation between the two groups. The third aim of this study
- 184 was to evaluate the developmental sequence of various ToM concepts both in children with
- and without MHL. Because of language difficulties, we expected a delayed but not
- 186 qualitatively different development of ToM in children with MHL compared to peers with
- 187 normal hearing.

### 188

## METHODS

## 189 **Procedure**

- 190 The children with MHL in this study were identified through the DECIBEL-study. DECIBEL
- 191 stands for Developmental Evaluation of Children: Impact and Benefits of Early hearing
- 192 screening strategies Leiden. The DECIBEL-study was conducted in The Netherlands between
- 193 2008 and 2010 to define the influence of early detection of hearing loss on the development
- 194 of young DHH children. This nationwide study identified all children who were born with

hearing loss between January 2003 and December 2005. Hearing loss was detected using
Ototacoustic Emissions (OAEs) which enables identification of hearing loss of 35 dB HL or
more. The database consisted of 210 children with permanent bilateral hearing loss. Ethical
approval for the DECIBEL-study was obtained through the Medical Ethics Committee of the
Leiden University Medical Center (Korver 2010; Korver et al. 2010).

200 For participation in the social-emotional assessments of the DECIBEL-study, children needed to fulfill additional inclusion criteria. Children needed to be at least 36 months old, 201 their unaided hearing loss in the better ear should not exceed 70 dB HL, children had to use 202 conventional hearing aids or bone conduction devices (BCD) and it was requested that their 203 preferred mode of communication was either spoken, or sign-supported Dutch. This resulted 204 205 in 74 children who were eligible for participation, and their parents were invited to participate. Finally, parents of 44 children gave informed consent (response rate 59.5%). 206 Children were visited at home. A researcher sat with the child in a quiet room and conducted 207 several tasks which will be explained in more detail below. The session was video-recorded 208 209 in order to score the child's behavior afterwards. The camera was positioned so that both the experimenter and the child were recorded. This allowed for both observation of the child's 210 behavior as well as to check if all tasks were correctly performed by the experimenter. The 211 sequence of the tasks and observations that were performed was as follows: 1) Intention 212 213 understanding, 2) False belief task, 3) Similar desire task, 4) Imperative pointing, 5) 214 Dissimilar desire task, 6) other tasks and observations not mentioned in this study, 7) Dissimilar desire task, 8) Declarative pointing, 9) other tasks and observations not mentioned 215 in this study, 10) Similar desire task. Completion of the whole set of tasks and observations 216

took approximately 35 to 45 minutes per child.

218 Parents were requested to complete several questionnaires to gain background information. Medical history and language scores were derived from the child's medical files. 219 A control group of hearing children was collected as part of another nationwide study. These 220 children were previously described by Ketelaar et al. and were recruited from all over the 221 Netherlands through mainstream primary schools and daycare centers (Ketelaar et al. 2012). 222 From this large control sample, we were able to compose a subsample of 101 hearing 223 children with a comparable age and sex distribution. Parents of children in the control group 224 reported no history of hearing loss in their child. 225

## 226 **Participants**

All children were between 40 and 70 months old during home observations (mean age 57  $(1 + 1)^{-1}$ 

months). Of the 44 children with MHL, 27 were boys (61.4%). Their hearing loss varied with
a pure-tone-average between 35 and 70 dB HL in the better ear (mean loss 50 dB HL).

Residual hearing was calculated by averaging unaided hearing thresholds at 500, 1,000 and

231 2,000 Hz. Six children had a hearing loss between 35 and 40 dB. One child used a BCD, all

others used hearing aids. All but one were aided bilaterally. All children understood spoken

language, yet five of them (11.4%) preferred to use sign-supported Dutch. Parents of seven

- children with MHL reported having hearing loss themselves. Three children with MHL had
- an additional handicap. One child was diagnosed with Turner syndrome, one child suffered

- from muscle-tone dysregulation and the third child had a mild hypotonic hemiparesis. These
- three children did not differ from the rest of the MHL group in age, language skills, or on any
- of the ToM tasks. The control group consisted of 101 children with normal hearing, 55 were
- boys (54.5%). Demographic characteristics of both groups are listed in Table 1.

### 240 Materials

### 241 <u>Intention understanding</u>

242 Comprehension of other people's intentions was measured using three tasks. The 'Intention

- 243 Understanding task' based on the design of Meltzoff (Meltzoff 1995) and adapted by
- 244 Ketelaar et al. (Ketelaar et al. 2012) was used to define whether children understand others'
- intentions when trying to achieve a certain goal, even if the person is unable to succeed. To
- illustrate this, in one of these tasks the researcher attempts to put a string of beads in a cup.After failing to get the string in the cup, she hands it over to the child. Children succeed if
- they put the string of beads in the cup. With each task (trying to stack two cups and fitting a
- tube in a slightly bigger one) the researcher makes three attempts before handing the task to
- the child. This results in a maximum score of three if all intentions are understood correctly.

The 'Declarative Comprehension task' measures joint attention (Colonnesi et al. 2008; Ketelaar et al. 2012). During this task, the researcher acts surprised and points to an object out of sight of the child. The researcher then looks back and forth between the object and the child. The subsequent behavior of the child was observed and children could receive up to three points when they looked at the object, looked at the researcher and, attempted to communicate about the object.

257 The third task to measure intention understanding was the 'Imperative Comprehension task' (Colonnesi et al. 2008; Ketelaar et al. 2012). This task starts with the 258 researcher pointing towards an object that is within reach of the child but not of the 259 researcher. After pointing towards the object, the researcher holds up her hand with the palm 260 facing up to request the object. The child succeeds if he or she actively responds to this 261 gesture either by handing over the object or refusing to do so (e.g., saying no, shaking his/her 262 head). Three points were awarded if the child succeeded the first time. If not, up to two 263 additional attempts were performed between the other tasks and the score decreased by one 264 point each time until a score of zero was attained after three unsuccessful attempts. 265

# 266 <u>Desire understanding</u>

267 The acknowledgement of others' desires was assessed using the 'desire task' (Ketelaar et al.

268 2012). This task uses vignettes to measures two types of desires: similar and dissimilar

desires. In the similar desire condition, the child is presented with a picture showing two

types of food (e.g., tomato and ice-cream). The child is asked what he or she prefers to eat.

The researcher then tells a story about a boy who also likes the food that the child just chose.

- Then the child is asked: "Now the boy can choose a snack. What will the boy choose to eat?"
- This question is followed by two control questions: "Does the boy like [Snack 1]?" and
- 274 "Does the boy like [Snack 2]?" The child is awarded one point if he or she answers all three

- 275 questions correctly. In the dissimilar desire task, the only difference is that the protagonist in
- the story does not like the snack that the child preferred but instead likes the opposite snack.

## 277 <u>Belief understanding</u>

- 278 Belief understanding was measured using an adapted version of the traditional false-belief
- 279 Sally-Anne task by Baron-Cohen (Baron-Cohen et al. 1985; Ketelaar et al. 2012). In this task,
- the child sees a drawing of a boy playing with his model airplane. The boy hides his plane
- and leaves the scene. When the boy is away, a girl grabs the plane and hides it in a different
- location. On the next drawing the boy returns and the child is asked: "Where will the boylook for his plane?". This question is followed by two additional questions to check
- comprehension: "Where did the boy hide his plane before he went away?" and "Where is the
- plane now?". One point was awarded only if the child was able to answer all three questions
- correctly. All tasks mentioned above have previously been used in different clinical groups
- with good reliability (Ketelaar et al. 2012; Broekhof et al. 2015).

### 288 <u>Language</u>

- 289 In order not to interfere with the regular evaluations of the child's speech- and language
- therapists, test scores were derived from the child's medical files. Therefore, language scores
- 291 were not available from the hearing children. Receptive language abilities were assessed with
- the *verbal comprehension* scale of the Dutch version of the Reynell Developmental Language
- 293 Scale (RLDS) (Van Eldik 1998). The *word development* and *sentence development* scales of
- the Dutch version of the Schlichting Expressive Language Test (SELT) were used to assess
- expressive language abilities. These language tests are used throughout The Netherlands to
- assess language development, especially in high-risk groups. Raw scores are standardized
- according to age using quotients in which the population mean in hearing children is 100 with
- a standard deviation of 15. Language quotients within one standard deviation from the mean
- are considered to be in the normal range (85-115).

## 300 Parent-reported language skills

- 301 Two scales of the Child Development Inventory (CDI) were used to assess language skills in
- all participants (Ireton & Glascoe 1995). Parents completed 50 items that together represent
- 303 the *Expressive Language* scale and measures expressive communication ranging from simple
- 304 gestures and words to complex language (e.g., *Asks questions beginning with "what" or*
- 305 *"where"*). The *Language Comprehension* scale also consists of 50 items and relates to the
- 306 understanding of simple instructions to the understanding of complex concepts (e.g.,
- 307 Understands the meaning of at least six location words, such as "in, on, under, beside, top,
- 308 *bottom, above, below"*).

## 309 Statistical analyses

- 310 To assess differences between the two groups on ToM abilities and precursors (mixed design)
- analyses of covariance (ANCOVA's) were used to test both between-group and repeated-
- measures variables. Because the outcome on the False Belief task was dichotomous (i.e., pass
- or not) logistic regression was used to predict the effect of group and age on belief
- understanding. Pearsons' correlations and partial correlations were used to identify the

relation between ToM skills and language abilities, taking the age of the child into account.
Fisher *r*-to-z transformations were used to compare if the correlation coefficients differed
between children with MHL and hearing controls.

To define whether ToM development evolved in the same manner in both children 318 with MHL and in hearing children, participants were grouped into four stages of increasing 319 ability to successfully complete the desire and belief tasks (Wellman & Liu 2004; Peterson et 320 321 al. 2005; Peterson & Wellman 2009). Because the Desire tasks each consisted of two vignettes, children needed to pass both tasks successfully in order to pass for this stage. ToM-322 Stage 1 was assigned when the child was unable to successfully complete any of the desire or 323 belief tasks. Successful acknowledgement of similar desires resulted in assignment of the 324 child to Stage 2. Stage 3 was assigned when a child also managed to acknowledge dissimilar 325 desires. If a child mastered all ToM skills he or she was assigned to Stage 4. When other 326 patterns were shown by the children, these were categorized as divergent. Categories were 327 compared using the likelihood ratio test because some categories contained fewer than 5 328

329 participants.

#### 330 <u>Missing data</u>

In the group of children with MHL, verbal comprehension scores were missing from 7

participants, word development scores were missing from 11 participants and sentence

development scores were missing from 10 children. When conducting standard analyses such
 as ANCOVA's and Pearson's correlations, incomplete cases are automatically excluded from

the analyses. Excluding these participants might give bias and would lower the power of our

results. Therefore, missing language scores on the RLDS and the SELT were reconstructed

using multiple imputations. This technique estimates a prediction model based on the

complete cases and uses this model to predict outcomes of missing scores (Schafer & Graham
2002; Sterne et al. 2009; Van Buuren 2012; De Goeij et al. 2013; Netten et al. Accepted for

publication). Language scores were predicted using the child's age, language skills as

reported by their parents (CDI), and observations during the ToM tasks. Ten imputations

342 were performed because research has shown that this is a sufficient number to make a robust

estimation of each unique data point (Sterne et al. 2009; Van Buuren 2012). Statistical

analyses were carried out using the program *SPSS* version 23.0 (IBM 2013). One child with

345 MHL refused to answer the dissimilar desire task. In analyses concerning desire

understanding, this participant was excluded. Because of low language skills, one child wasnot able to perform the desire and false belief understanding task. This child was excluded in

analysis that included these variables.

#### RESULTS

### 351 Intention understanding

350

The mean scores on outcomes of all observations are shown in Table 2. To assess if children 352 353 with MHL differed from hearing children in their ability to acknowledge others' intentions, a mixed-design ANCOVA was performed with Intention understanding (Intention 354 understanding, Declarative pointing, and Imperative pointing) as the within-subject variable, 355 Group (MHL vs. hearing) as the between-subjects variable and Age as the covariate. No main 356 effects were found. An interaction effect was found for Intention understanding  $\times$  Group  $F_{HF}$ 357  $(1.936, 267.225) = 3.063, p < 0.05, n^2 = 0.02$ . Age significantly influenced intention 358 understanding (F(1, 138) = 3.971, p < 0.05). Subsequent paired t-tests in both groups 359 separately revealed that children with MHL showed relatively lower Intention understanding 360 compared to Declarative and Imperative pointing (as indicated by the number superscripts in 361 Table 2). In the hearing group, children scored relatively higher on Imperative pointing as 362 compared to Declarative pointing and Intention understanding. Intention understanding 363

abilities increased with age.

### 365 **Desire understanding**

- 366 The ability to acknowledge others' desires was assessed using a mixed ANCOVA with
- 367 Desires (Similar and Dissimilar) as the within-subject variable, Group (MHL vs. hearing) as
- the between-subject variable and Age as the covariate. This analysis revealed a main effect
- for Group (*F* (1,141) = 30.967, *p* < 0.001,  $\eta^2$  = 0.18) and Age (*F* (1,141) = 12.714, *p* < 0.001,
- 370  $\eta^2 = 0.08$ ). On both Similar and Dissimilar desires, children with MHL scored lower than the
- hearing group (as indicated by the letter superscripts in Table 2). Older children were better
- in acknowledging others' desires than younger children.

### 373 Belief understanding

- The understanding of false beliefs was analyzed by logistic regression with Group (MHL vs.
- hearing) and Age as predictors. The outcomes in Table 3 show that children with MHL
- scored lower than hearing children on the false belief task. The Odds Ratio (OR) of 0.41
- indicates that the chance of successfully completing the false belief task was lower in
- 378 children with MHL. The understanding of false beliefs increased with age. The OR of 1.09
- 379 illustrates that the change of successfully acknowledging false beliefs increased when
- 380 children were older.

## 381 Language and ToM

- 382 Children with MHL were found to have language quotients within the normal range compared
- to test normative samples (M= 92.5, M=94.9, and M=94.4 for receptive language, word
- development, and sentence development, respectively. Parent-reported language skills were
- lower in the MHL group compared to the hearing control group (t (46.422)= -4.276, p <
- 386 0.001, and t (50.419)= -3.326, p < 0.01 for expressive language and language comprehension,
- 387 respectively).

388 The relation between age and the ToM tasks was assessed first because age was thought to be a possible confounder of the relation between ToM and language abilities, as 389 shown in Table 4. Pearson's correlations revealed a positive relationship between age and all 390 tasks in both groups. Partial correlations corrected for Age revealed a positive relation 391 between both Expressive language and Language comprehension as reported by parents, and 392 all ToM tasks. However, the relation between both parent-reported language indices and 393 Similar desire was absent in the MHL group and significantly different from the hearing 394 group (z = 2.12, p < 0.05, and z = 2.69, p < 0.01 for Expressive language and Language 395 comprehension, respectively). This same pattern was seen in the relation between the 396 Dissimilar desire task and Expressive language (z = 2.11, p < 0.05). 397

398 The six children with a PTA between 35 and 40 dB HL were compared with the 38 remaining children with a hearing loss between 40-70 dB. The parents of these six children 399 with mild hearing loss reported higher Expressive language scores (t (39.10) = -3.715,  $p < 10^{-10}$ 400 0.01 than the parents of children with MHL. No difference was found in their Language 401 402 comprehension scores. We also observed better understanding of similar desires in the group of children with mild hearing loss compared to the children with MHL (t (11.87)= -2.691, p <403 0.05. No differences were found in Intention understanding, Dissimilar desires or False belief 404 understanding between the two groups. 405

Objectively measured language scores were available for the children with MHL.
Correlation coefficients are shown in Table 5. When solely focusing on this group, a positive
relation was found between both Receptive and Expressive language and Similar desire and
False belief, but not with Dissimilar desire. The Degree of hearing loss was negatively related
to Similar desires. No relations were found between the Age at first amplification and the
three ToM abilities.

412

### 413 Different stages of ToM development

414 Children with MHL were more often in the lower ToM stages than their hearing peers ( $\chi^2(4)$ )

415 = 25.632, p < 0.001). The various ToM stages can be found in Table 6. More than half of all

416 hearing children (54.4%) mastered all ToM skills compared to 25% of children with MHL. A

417 4 (ToM stages) x 2 (Group) mixed ANOVA with Age as the dependent variable revealed no

418 differences in age between the two groups in any of the ToM stages, although the overall  $T_{1}$ 

419 mean age per ToM stage was different (F(3, 114) = 7.462, p < 0.001). With increasing age, 420 children more often succeeded in the higher ToM stages. Figure 1 illustrates the relation

420 condition note often succeeded in the higher Town stages. Figure 1 must ales the relation 421 between the different ToM stages and age. Despite the fact that we did not find a difference

- 422 in age per ToM stage between the two groups, a tendency of hearing children reaching the
- 423 higher ToM stages earlier in life can be seen.

424 Approximately one-fourth (12; 27.3%) of children with MHL showed a divergent 425 sequence compared to 11 (10.9%) in the hearing group ( $\chi^2$  (1)= 6.163, p < 0.05). The 426 divergent sequences were so idiosyncratic that each appeared in only one or two children. For 427 reasons of clarity, these sequences were not visualized here. Compared to children with normal developmental sequences, the children showing divergent sequences did not differ on
characteristics such as age and language capacities. When focusing only on the group of
children with MHL, no differences were found in age at detection, age at amplification of

431 first hearing device, degree of hearing loss, and language capacities when comparing children

432 with divergent sequences to those with the most common ToM development sequences.

#### DISCUSSION

433

The current study aimed to examine various aspects of Theory of Mind in children with 434 moderate hearing loss compared to hearing peers. As far as we are aware, this is the first 435 study to show that even moderate hearing loss can have detrimental effects on ToM abilities. 436 In turn, these diminished ToM skills can have ongoing consequences for the social 437 development of children with MHL. In line with our hypothesis, children with MHL had 438 more difficulty with the acknowledgement of others' desires and beliefs than children without 439 440 hearing difficulties. Furthermore, children with higher language skills were more able to acknowledge the other's perspective than those with lower language skills. 441

Both groups were equally able to understand others' intentions. However, children 442 with MHL had relatively more difficulties than hearing controls with interpreting others' 443 intentions when the other's goal was not achieved compared to more directive intention 444 understanding tasks. Perhaps the nature of the hand gestures in the joint attention tasks was 445 much more explicit than in the intention understanding tasks. It has previously been found 446 447 that parents of children with MHL show more directive communication towards their child than parents of hearing children (Pressman et al. 1999). Possibly, children with MHL are 448 449 better used to this direct form of non-verbal communication using gestures to focus attention than to more indirect forms of communication where they need to interpret the situation 450 before they understand what is going on. The hearing children on the other hand are relatively 451 good in joint attention compared to the MHL group, this task only asks for a shared focus of 452 attention, without having to participate actively. 453

454 Albeit most children with MHL showed sequences of ToM development similar to 455 hearing children, one in four children showed a divergent pattern compared to one in ten in the hearing group. Children with MHL who showed such divergent sequences did not differ 456 in their language abilities or in other hearing loss related factors such as age at detection of 457 458 hearing loss or age at start of hearing amplification compared to those with normal sequences. However, we should interpret these results with care as these analyses were done 459 in rather small groups. A lack of power could have prevented us from finding significant 460 results. Because we were not able to identify factors that influenced such divergent 461 development, we can only speculate about causes for divergent development. Possibly, the 462 duration of testing was more exhausting for children with hearing loss. Since the belief 463 understanding task was administered at the beginning of the test session, it may be that the 464 children paid more attention than when administering the desire task at the end. In addition, 465 beliefs were measured by a single task whereas to pass the (dis)similar desire tasks, children 466 needed to succeed on the test twice resulting in a higher chance to fail one of them and 467 obtaining a negative score. Yet, all tasks have previously been used successfully in different 468

469 clinical groups (i.e., preschoolers with a CI and preschoolers with an autism spectrum
470 disorder) with reliable results (Ketelaar et al. 2012; Broekhof et al. 2015).

Despite their relatively good intention understanding skills, children with MHL fall 471 behind compared to hearing peers on more language dependent skills such as desire and 472 belief acknowledgement. In line with previous studies in children with more severe hearing 473 474 loss wearing a CI, it is likely that a hearing loss may act as a barrier that prevents sufficient 475 access to social communication in our sound-dominated world. This reduced ability to adequately receive social cues may cause a delay in ToM development (Ketelaar et al. 2012; 476 Sundqvist et al. 2014). The relationship between ToM and hearing loss can be explained by 477 several challenges that children with hearing loss and their families have to face. One aspect 478 is the input children with hearing loss receive from their parents. In the first few years of life, 479 parents provide the largest proportion of verbal input to the child. When parents talk about 480 how others feel, what they want or wish for, they stimulate ToM understanding in their 481 children (Harris 2005; Taumoepeau & Ruffman 2006). However, research has shown that the 482 483 quality of input that parents present to their child with MHL is frequently lower than in hearing children (Ambrose et al. 2015). As a result, children with MHL may encounter more 484 difficulties increasing their language capacities. This in turn may prevent them from higher 485 quality interactions that are essential in order to discuss abstract concepts such as other's 486 mental states and emotions. 487

However, what is said is not only important, but also how it is said. Both diversity in 488 489 syntactic structures and the introduction of various speakers can positively influence ToM development (De Villiers & De Villiers 2000; Taumoepeau & Ruffman 2006; Bernard & 490 Deleau 2007). Yet, parents of children with hearing loss often choose more simple and clear 491 492 formulations when talking to their child. A relatively larger proportion of communication is also more directive in nature, aiming to instruct the child instead of discussing or explaining 493 the child's surroundings. Parents adjust the complexity of their language to the child's 494 495 language abilities (Ambrose et al. 2015). Although simple and clear communication can benefit language understanding in children with MHL, limited diversity of input may also 496 hamper more complex language development in the long run. Again, diminished 497 opportunities to learn about others' perspectives may lead to less experience in ToM usage in 498 children with MHL. 499

500 With the introduction of cochlear implantation, the focus of research on hearing loss 501 has shifted. Improving and understanding the effects of this highly innovative technique became the goal of many funders and commercial companies for obvious reasons (Lederberg 502 503 et al. 2013). But how about the children with moderate hearing loss? A recent special issue of Ear and Hearing discussing the Outcomes of Children with Hearing Loss (OCHL) Study by 504 Moeller and colleagues addressed the challenges that children with MHL have to face. 505 Among other things, this large longitudinal study revealed that children with MHL are still at 506 risk for the development of language delays. The outcomes of the present study in which the 507 508 language skills of children with MHL are in the low-normal range compared to test normative samples are in line with these findings. Despite their relatively normal language skills, the 509 510 parent-reported language skills of children in the MHL group were below the average range.

511 These scores possibly better reflect children's communication skills in daily life, because 512 parents do not base their judgment on one particular moment but on the child's average skills 513 over a longer period in time. Because communicative abilities determine how well a child is 514 able to join conversations with others, this may also better reflect their opportunities for

- 515 incidental learning, which subsequently determines their social development. This is in line
- 516 with the outcomes of the OCHL study in which qualitative aspects of conversations were
- important for a child's language output (Ambrose et al. 2015; Tomblin et al. 2015). Our study
  is unique in providing insight into the relation between language skills and different aspects
- 519 of ToM.

Parent-reported language skills were strongly related to ToM in the hearing controls. 520 Yet, the relation between desire understanding and parent-reported language skills in children 521 with MHL was almost absent. On the other hand, we found a relation between objective test-522 scores and desire understanding. It is possible that parents rate their child's language skills in 523 daily life, and take account for their lower communication skills in interactions with others 524 525 and in noisy environments. They acknowledge the difficulties their child with MHL has in communication with others. This obviously differed from the quiet language-test settings in 526 clinical surroundings. During the ToM observations in this study there was no time limit so 527 children could take their time which might have benefitted their ToM outcomes compared to 528 how they would have responded in hectic daily life. Still, this does not explain the absent 529 530 relation between objective language tests and the dissimilar desire task. This absence could be the result of our study design. Children completed the false belief tasks relatively early and 531 the dissimilar desire tasks relatively late during the test session. In addition, the dissimilar 532 desire task was preceded by a rather difficult task that is not described in this study. Possibly, 533 534 the children became tired and lost their concentration. Concentration difficulties are well known in children with various degrees of hearing loss (Bess & Hornsby 2014). Either way, 535 536 this finding highlights the importance of this study. It aims to trigger both parents and professionals to be alert when it comes to ToM development in young children with MHL. It 537 shows that although parents are well able to understand their child and professionals rate their 538 language abilities to be within the average range, these children are at risk for delays in their 539 social development. In addition, the outcomes of this study suggest it might be better to also 540 focus on the child's communicative abilities than to solely rely on language test scores 541 (Tomblin et al. 2015). 542

### 543 Future research

544 We would like to point out that this study is a first attempt to address ToM-related difficulties 545 in children with MHL. Some of the analyses were done in rather small groups and using a 546 cross-sectional design. A second limitation of the current study concerns the absent language 547 scores in the control group. Although norm-scores were available for typically developing

- 548 (hearing) children, it would be more convenient to directly compare the two groups.
- Although a clear difference in ToM skills was found between the two groups, we feel that we
- are only able to hypothesize about a possible delay when focusing on the developmental
- 551 patterns of ToM in young children with MHL. To confirm our findings, there is a strong need
- 552 for longitudinal research that is able to link age, language and ToM abilities of increasing

difficulty to confirm causality and to focus on different developmental patterns in this

- specific group of young children. In addition, future research should also include participant
- and family-related factors that may influence social development like the cognitive abilities
- of the child (e.g., phonological working memory, executive functioning) and the
- socioeconomic status of the family as these factors are known to influence language skills
- and general development. This study was unable to show a direct link between hearing loss-
- related factors such as the age at detection or the age at first HA amplification and ToM.
- 560 However, factors like audibility and early access to HA's have been proven to influence
- language skills in MHL children and should therefore be integrated in future studies when
- studying social functioning in this group of children (Tomblin et al. 2015).

#### 563

## CONCLUSION

The present study shows that children with MHL often encounter problems in developing age-appropriate ToM skills, even though their language capacities are within the normal range. These difficulties can seriously hamper social learning since ToM skills are essential for inducing and maintaining relationships. Early intervention programs should emphasize the importance of developing skills to acknowledge the other's perspective in this specific

569 group of children.

## 570

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- 722



Figure 1: Spread of ToM Stages by age across participants, separated for children with MHLand hearing controls.

- *Abbreviations:* ToM Theory of Mind, MHL Moderate Hearing Loss
- *Note:* Data points are overlapping in children of the same age assigned to the same ToM Stage.

Total sample N= 145	MHL	Controls
	n = 44	n = 101
Age - in years (SD)	4.8 (0.8)	4.8 (0.8)
Range - in months	40-69	40-70
Gender, No (%)		
Male	27 (61.4%)	55 (54.5%)
Female	17 (38.6%)	46 (45.5%)
Maternal Education (SD) †	3.2 (0.7)	3.4 (1.0)
Language (SD)		
CDI - Expressive language ‡	45.2 (5.7)	48.9 (1.7)**
CDI - Language Comprehension ‡	43.5 (6.5)	46.8 (2.8)**
Reynell Developmental Language Scales	<i>n</i> = <i>37</i>	
Language Comprehension Quotient (SD)	92.49 (13.12)	
Schlichting Expressive Language Test	<i>n</i> = 34	
Word Quotient (SD)	94.85 (16.31)	
Sentence Quotient (SD)	94.35 (11.24)	
Age at detection - in months (SD)	17.1 (17.4)	
Range - in months	0-54	
Degree of hearing loss - in dB HL (SD)	50 (9)	
Range - in dB HL	35-70	
Age at first amplification - in months (SD)	26.4 (18.2)	
Device, No (%)		
Hearing Aid	43 (97.7%)	
BCD	1 (2.3%)	
Preferred mode of communication, No. (%)		
Oral language only	39 (88.6%)	
Sign-supported Dutch	5 (11.4%)	
Abbreviations: MHL Moderate Hearing Loss, SD Stan	dard Deviation, CDI	Child
Development Inventory, BCD Bone Conduction Devic	e	
* p< 0.01, ** p< 0.001		

Table 1: Demographic characteristics of participants

† Categories: 0 = don't know, 1 =no education/primary education, 2 = lower general secondary education, 3 = higher general secondary education, 4 = college/university
‡ Raw scores

731	Table 2: Mean scores or	different aspects of ToM	observations in both groups.
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	Mean (SD)			
Observation	MHL	Control	range	
Intention understanding	2.05 (1.03) <sup>a1</sup>	2.32 (0.88) <sup>a1</sup>	0-3	
Joint attention				
Imperative Comprehension	2.62 (0.87) <sup>a2</sup>	$2.70(0.72)^{a^2}$	0-3	
Declarative Comprehension	2.57 (0.67) <sup>a2</sup>	2.37 (0.58) <sup>a1</sup>	0-3	
Desires				
Similar	0.67 (0.38) <sup>a1</sup>	0.93 (0.23) <sup>b1</sup> *	0-1	
Dissimilar	$0.62 (0.42)^{a1}$	$0.89 (0.28)^{b1}*$	0-1	
False belief	$0.44 (0.50)^{a}$	0.63 (0.48) <sup>b</sup> †	0-1	

*Abbreviations:* MHL Moderate Hearing Loss, SD Standard Deviation Letter-superscripts indicate differences at p < 0.05 on rows (between groups), number-superscripts indicate differences at p < 0.05 on columns

(between tasks within groups).

\* Groups differed on both desire tasks at p < 0.001

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735 Table 3: Logistic regression predicting False belief understanding

	B (SE)	Wald	Odds Ratio	<i>p</i> -value			
Constant	-4.273 (1.36)	9.91	0.14	0.24			
Group	-0.887 (0.39)	5.11	0.41	0.002			
Age	0.084 (0.02)	12.70	1.09	0.000			
<i>Note</i> . Model $\chi^2(2) = 18.50, p < 0.001,$							
Group was dummy coded: 0=control group, 1= children with							
moderate hearing loss							

Table 4: (Partial) correlations between different aspects of ToM, parent-reported language

#### skills, and age.

	Age	Language Cor	nprehension (CDI)	Expressive Language (CDI)		
	r	partial <i>r</i>		partial <i>r</i>		
		MHL	Control	MHL	Control	
Similar desire	.23*	.09	.53**	.22	.55**	
Dissimilar desire	.24*	.26*		.13	.48**	
False belief	.30**	.24*		.29**		

*Note:* Partial correlations are corrected for age. Only when correlations between the two groups significantly differed (calculated using Fisher *r*-to-*z*), both coefficients are given separately.

Abbreviations: MHL Moderate Hearing Loss, CDI Child Development Inventory

\**p* < 0.01, \*\**p* < 0.001

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### Table 5: Partial correlations in participants with MHL between different aspects of ToM

743	observations,	language test scores,	and hearing loss related factors,	corrected for age $(N=43)$ .
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	Receptive language RDLS	Expressive language SELT			
	Verbal comprehension	Word development	Sentence development	Age at first amplification	Degree of HL
Similar desire	.36*	.31*	.32*	.19	41**
Dissimilar desire	.24	.03	01	.04	14
False belief	.56***	.44**	.35*	05	30

Abbreviations: HL Hearing Loss, RDLS Reynell Developmental Language Scales, SELT Schlichting Expressive Language Test

\* p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001

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746 Table 6: Different stages of ToM development.

ToM Stage	Similar	Dissimilar	False	MHL		Control	
	desire	desire	belief	No. (%)	Age (range)	No. (%)	Age (range)
1	-	-	-	12 (27.3)	52.9 (42-67)	5 (5.0)	54.8 (48-65)
2	+	-	-	3 (6.8)	50.3 (43-58)	4 (4.0)	48.0 (40-54)
3	+	+	-	6 (13.6)	59.8 (43-66)	26 (25.7)	56.9 (41-70)
4	+	+	+	11 (25.0)	63.0 (56-68)	55 (54.4)	59.9 (46-70)
			Total (%)	32 (72.7)		90 (89.1)	
Divergent				12 (27.3)	57.9 (40-69)	11 (10.9)*	54.2 (43-67)

Abbreviations: ToM Theory of Mind, MHL Moderate Hearing Loss

- : Participant was not able to successfully complete this task

+ : Participant successfully completed this task

\* 
$$p < 0.05$$

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