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## Real-Time Substrate Feed Optimization of Anaerobic Co-Digestion Plants

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# Appendix A

## Anaerobic Digestion Model (Simple)

In the following the anaerobic digestion model, used in Section 4.4 to compare different state estimation schemes, is presented. It is the implementation used in Shen et al. (2006). The state equations are given in equation (A.1). The state, output and input variables are explained in Table 4.1 and all model parameters in Table A.1.

$$\begin{aligned}
 S'(t) &= D \cdot (S_i(t) - S(t)) - f_a(S(t), S_i(t)) \cdot X_a(t) \cdot Y_a \\
 X'_a(t) &= [f_a(S(t), S_i(t)) - D_a] \cdot X_a(t) \\
 V'_a(t) &= -D \cdot V_a(t) + f_a(S(t), S_i(t)) \cdot X_a(t) \cdot y_{vf}^a - \\
 &\quad - f_m((H_a \circ V_a)(t)) \cdot X_m(t) \cdot Y_m \\
 X'_m(t) &= [f_m((H_a \circ V_a)(t)) - D_m] \cdot X_m(t) \\
 C'(t) &= -D \cdot C(t) + f_a(S(t), S_i(t)) \cdot X_a(t) \cdot y_{co_2}^a + \\
 &\quad + f_m((H_a \circ V_a)(t)) \cdot X_m(t) \cdot y_{co_2}^m - k_{la} \cdot (C(t) - k_h \cdot P_c(t)) \\
 P'_c(t) &= k_g \cdot [k_{la} \cdot (1 - P_c(t)) \cdot (C(t) - k_h \cdot P_c(t)) - \\
 &\quad - r_g \cdot P_c(t) \cdot f_m((H_a \circ V_a)(t)) \cdot X_m(t) \cdot y_{ch4}^m]
 \end{aligned} \tag{A.1}$$

The model outputs are produced methane  $Q_{ch_4}$  and carbon dioxide  $Q_{co_2}$  as well as the pH value of the reactor. The output equations are given in eq. (A.2).

$$\begin{aligned}
 Q_{ch_4}(t) &= k_g \cdot r_g \cdot f_m((H_a \circ V_a)(t)) \cdot X_m(t) \cdot y_{ch4}^m \\
 Q_{co_2}(t) &= k_g \cdot k_{la} \cdot (C(t) - k_h \cdot P_c(t)) \\
 pH(t) &= -\log_{10}(c(H^+(t)))
 \end{aligned} \tag{A.2}$$

Further variables of the model are given in equation (A.3).

$$\begin{aligned}
 Y_a &:= y_{vf}^a + y_{co_2}^a + \frac{1}{y_s^a} & Y_m &:= y_{ch4}^m + y_{co_2}^m + \frac{1}{y_s^m} \\
 D_a &:= \chi \cdot D + k_{da} & D_m &:= \chi \cdot D + k_{dm} \\
 k_g &:= \frac{S_v \cdot V_{liq}}{C_{co_2} \cdot V_{gas}} & r_g &:= \frac{C_{co_2}}{C_{ch4}}
 \end{aligned} \tag{A.3}$$

The growth rate of the acidogenic bacterial population is modeled by  $f_a$  and the one for the methanogenic population by  $f_m$ , both are given in equation (A.4).

$$\begin{aligned} f_a(S(t), S_i(t)) &= \frac{\mu_{\max}^a \cdot S(t)}{k_{sa} + S(t)} \cdot \frac{k_{sa} + S_i(t)}{S_i(t)} \\ f_m((H_a \circ V_a)(t)) &= \frac{\mu_{\max}^m \cdot (H_a \circ V_a)(t)}{(H_a \circ V_a)(t) + k_{sm} + \frac{((H_a \circ V_a)(t))^2}{k_{im}}} \end{aligned} \quad (\text{A.4})$$

The undissociated fraction of the acetic acid concentration  $V_a$  is symbolized by  $H_a$  and given in equation (A.5). The needed hydrogen cation concentration  $c(H^+(t))$  is governed by the cubic equation in (A.6).

$$H_a(V_a(t)) := (H_a \circ V_a)(t) := \frac{V_a(t) \cdot c(H^+(t))}{k_a + c(H^+(t))} \quad (\text{A.5})$$

$$\begin{aligned} (c(H^+(t)))^3 + (k_a + B_{ic}(t)) \cdot (c(H^+(t)))^2 - \\ - [k_a \cdot (V_a(t) - B_{ic}(t)) + k_w + k_h \cdot k_{co_2} \cdot P_c(t)] \cdot c(H^+(t)) = \\ = k_a \cdot (k_w + k_h \cdot k_{co_2} \cdot P_c(t)) \end{aligned} \quad (\text{A.6})$$

**Table A.1:** Model parameters as in Shen et al. (2006).

Parameter	Value	Unit	Description
$\mu_{\text{max}}^{\text{a}}$	0.5033	$\text{h}^{-1}$	Maximum growth rate of acidogenic bacteria
$k_{\text{sa}}$	238.1	$\text{mg/l}$	Acidogenic bacteria half-velocity
$k_{\text{da}}$	$3.1e^{-2}$	$\text{h}^{-1}$	Acidogenic bacteria decay rate
$\mu_{\text{max}}^{\text{m}}$	$2.27e^{-3}$	$\text{h}^{-1}$	Maximum growth rate of methanogenic bacteria
$k_{\text{sm}}$	$1.45e^{-2}$	$\text{mg/l}$	Methanogenic bacteria half-velocity
$k_{\text{im}}$	35.47	$\text{mg/l}$	Methanogenic bacteria inhibition concentration
$k_{\text{dm}}$	$8e^{-4}$	$\text{h}^{-1}$	Methanogenic bacteria decay rate
$y_s^{\text{a}}$	0.688	—	Yield coefficient (substrate to acidogenic bacteria)
$y_{\text{vf}}^{\text{a}}$	0.6427	—	Yield coefficient (substrate to acetic acid)
$y_{\text{co}_2}^{\text{a}}$	0.5	—	Yield coefficient (substrate to $\text{CO}_2$ )
$y_s^{\text{m}}$	3.27	—	Yield coefficient (acetic acid to methanogenic bacteria)
$y_{\text{ch}_4}^{\text{m}}$	20.732	—	Yield coefficient (acetic acid to $\text{CH}_4$ )
$y_{\text{co}_2}^{\text{m}}$	5.174	—	Yield coefficient (acetic acid to $\text{CO}_2$ )
$k_w$	$1e^{-14}$	—	Water dissociation constant
$k_{\text{co}_2}$	$4.5e^{-7}$	—	Carbonic acid dissociation constant
$k_h$	$1.08e^3$	—	Henry's law constant
$k_a$	$1.85e^{-5}$	—	Weak acid dissociation constant
$k_{\text{la}}$	6.832	—	$\text{CO}_2$ mass transfer rate coefficient
$S_v$	22.4	—	Avogadro's constant
$C_{\text{co}_2}$	$4.4e4$	—	mole to $\text{mg/l}$ conversion constant for $\text{CO}_2$
$C_{\text{ch}_4}$	$1.6e4$	—	mole to $\text{mg/l}$ conversion constant for $\text{CH}_4$
$\chi$	0.01667	—	Liquid/solid dilution rate ratio
$D$	0.042	$\text{h}^{-1}$	Dilution rate
$V_{\text{liq}}$	30	l	Liquid phase volume
$V_{\text{gas}}$	5	l	Gas phase volume
$P_t$	1	atm	Total pressure in the gas phase



# Appendix B

## Biogas Toolbox in MATLAB®

In this thesis detailed simulation models of biogas plants play a key role. In MATLAB®, more precisely in Simulink®, simulation models can be created quite easily. Therefore, MATLAB® was used as a platform to develop a general model for biogas plants, as well as all other components developed in this thesis, such as the state estimators and the NMPC.

The key idea of the developed MATLAB® toolbox is to treat algorithms for optimization and control and biogas simulation models separately. The advantage is that all algorithms can be easily applied to different tasks and different biogas plant models as well. The result is a collection of 16 different MATLAB® toolboxes, each with its own purpose. Seven of these toolboxes have something to do with biogas and three toolboxes form the foundation of each of the other toolboxes and have no own use. The remaining six toolboxes have each their special purpose and can be used independently of the others. Their purposes for example are data analysis, file-I/O, machine learning or optimization. The following list gives an overview.

- `biogas_blocks`: Simulink® blocks to create complex models of biogas plants.
- `biogas_calibration`: Framework to automatically calibrate the ADM1 parameters of a biogas plant model.
- `biogas_control`: Contains the algorithms of the NMPC for optimal feed control of biogas plants.
- `biogas_gui`: GUIs to create and define the simulation model, feeds, boundaries, etc.
- `biogas_ml`: Framework to use machine learning methods in biogas applications.
- `biogas_optimization`: Framework to use optimization methods in biogas applications.
- `biogas_scripts`: Collection of useful functions in biogas applications.
- `data_tool`: Collection of functions for data preparation and visualization.
- `doc_tool`: Creates the online documentation of all functions in the other tool-

boxes.

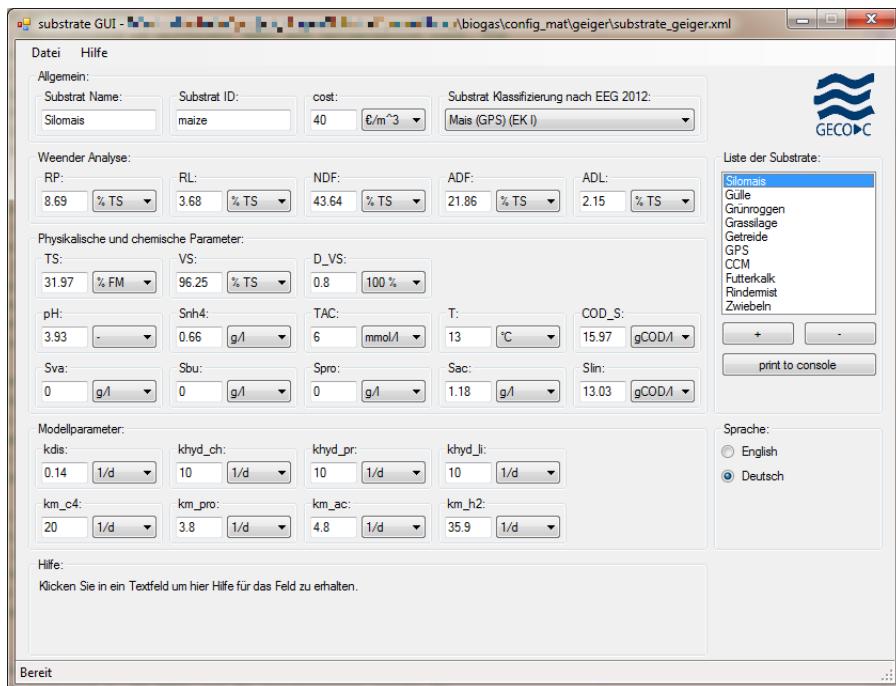
- gecoc\_tool\_def: Needed for all toolboxes.
- io\_tool: Collection of functions for file-I/O.
- ml\_tool: Collection of functions that belong to the family of machine learning.
- numerics\_tool: Contains classes and functions to perform some numerical mathematics.
- optimization\_tool: Collection of optimization methods.
- script\_collection: Collection of functions that are generally useful.
- setup\_tool: Provides a general installation method that is used by all other toolboxes.

The separation of methods and models requires general algorithms and methods, but also the models must somehow be general. As prerequisite all models have to be designed following the same guidelines, which are facilitated by using well-developed graphical user interfaces (GUIs) implemented in the toolbox. With these GUIs the user can e.g. specify the setup of the plant, like the number and properties of the fermenters as well as those for the cogeneration units available on the plant. Furthermore, physical and chemical characteristics of the substrate feed can be specified (see Figure B.1), which makes detailed simulations of various substrate mixtures possible. The toolbox then uses this information to semi-automatically generate a Simulink® model of the specified biogas plant, which by default satisfies the needed guidelines (see Figure 7.6). This developed model then can be used to learn the state estimator in Chapter 8 or as prediction model inside the control application in Chapter 9.

To improve the speed of the simulations parts of the algorithms are implemented in C#. The implemented classes are included in MATLAB® by importing them as DLLs. Upon request the complete MATLAB® toolbox is given to the interested user licensed under the GNU General Public Licence<sup>1</sup>.

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<sup>1</sup><http://www.gnu.org/copyleft/gpl.html>



**Figure B.1:** GUI to define chemical and physical parameters of the substrate feed.



# Appendix C

## ADM1: Petersen Matrix and Model Parameters

On the following pages the parameters and variables of the ADM1, introduced in Section 7.1, are given. The ADM1 is modeled as a system of ordinary differential equations. The system equation is given in eq. (7.1) and is repeated here for convenience.

$${}^o\boldsymbol{x}_{AD}'(\tau) = \mathbf{D}_u(\tau) \cdot {}^o\boldsymbol{u}_{AD}(\tau) - \mathbf{D}_x(\tau) \cdot {}^o\boldsymbol{x}_{AD}(\tau) + \mathbf{V}({}^o\boldsymbol{x}_{AD})^T \cdot \boldsymbol{\rho}({}^o\boldsymbol{x}_{AD}) \quad (C.1)$$

The input  $\mathbf{D}_u$  and state transition matrix  $\mathbf{D}_x$  are given in eq. (C.2). There,  $\mathbf{1}_{33} \in \mathbb{N}_0^{33 \times 33}$  is the 33 dimensional identity matrix and  $\mathbf{0}_{n \times m}$  is the  $n \times m$  dimensional zero matrix,  $n, m \in \mathbb{N}_0$ .

$$\begin{aligned} \mathbf{D}_u(\tau) &:= \begin{pmatrix} D(\tau) \cdot \mathbf{1}_{33} & \mathbf{0}_{33 \times 1} \\ \mathbf{0}_{4 \times 33} & \mathbf{0}_{4 \times 1} \end{pmatrix} \in \mathbb{R}^{37 \times 34} & D(\tau) &:= \frac{{}^o u_{AD,34}(\tau)}{V_{liq}} \stackrel{(7.2)}{=} \frac{Q_{IN}}{V_{liq}} \\ \mathbf{D}_x(\tau) &:= \begin{pmatrix} D(\tau) \cdot \mathbf{1}_{33} & \mathbf{0}_{33 \times 4} \\ \mathbf{0}_{4 \times 33} & \mathbf{0}_{4 \times 4} \end{pmatrix} \in \mathbb{R}^{37 \times 37} \end{aligned} \quad (C.2)$$

The stoichiometric matrix  $\mathbf{V} : \mathbb{R}^{37} \rightarrow \mathbb{R}^{29 \times 37}$  and the vector of process rates  $\boldsymbol{\rho} : \mathbb{R}^{37} \rightarrow \mathbb{R}^{29}$  are given in the Petersen matrix (Henze et al., 2000) form, see Tables C.1 and C.2. The matrix layout is  $[\mathbf{V} | \boldsymbol{\rho}]$ . The process rate vector  $\boldsymbol{\rho}$  is shown in eq. (C.3). All variables and parameters of the ADM1 are given in eqs. (C.4) - (C.6) and Table C.3, respectively. The implementation and all values are adapted from Tschepeetzki and Ogurek (2010).

**Table C.1:** ADM1 Petersen Matrix: Part I

**Table C.2:** ADM1 Petersen Matrix: Part II

Component →	$i$	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	process rate	
$j$	Process ↓	$X_{\text{pr}}$	$X_{\text{H}}$	$X_{\text{su}}$	$X_{\text{aa}}$	$X_{\text{fa}}$	$X_{\text{c4}}$	$X_{\text{pro}}$	$X_{\text{ac}}$	$X_{\text{h2}}$	$X_{\text{l}}$	$X_{\text{p}}$	$S_{\text{cat}}^+$	$S_{\text{an}}^-$	$S_{\text{va}}^-$	$S_{\text{bu}}^-$	$S_{\text{pro}}^-$	$S_{\text{ac}}^-$	$S_{\text{heo3}}^-$	$S_{\text{nh3}}$	$\text{pi}_{\text{Sh2}}$	$\text{pi}_{\text{Sh4}}$	$\text{pi}_{\text{Seo2}}$	$P_{\text{total}}$	$\rho_j$	
1	Disintegration	$f_{\text{pr}, \text{Xc}}$											$f_{\text{xl}, \text{Xc}}$	$f_{\text{xp}, \text{Xc}}$										$\rho_1$		
2	Hydrolysis of $X_{\text{ch}}$																								$\rho_2$	
3	Hydrolysis of $X_{\text{pr}}$	-1																							$\rho_3$	
4	Hydrolysis of lipids		-1																						$\rho_4$	
5	Uptake of sugars		$Y_{\text{su}}$																							$\rho_5$
6	Uptake of $S_{\text{aa}}$			$Y_{\text{aa}}$																						$\rho_6$
7	Uptake of LCFA				$Y_{\text{fa}}$																					$\rho_7$
8	Uptake of valerate					$Y_{\text{c4}}$																				$\rho_8$
9	Uptake of butyrate						$Y_{\text{c4}}$																			$\rho_9$
10	Uptake of propionate							$Y_{\text{pro}}$																		$\rho_{10}$
11	Uptake of acetate								$Y_{\text{ac}}$																	$\rho_{11}$
12	Uptake of hydrogen									$Y_{\text{h2}}$																$\rho_{12}$
13	Decay of $X_{\text{su}}$	$f_{\text{pr}, \text{xb}}$	$f_{\text{li}, \text{xb}}$											$f_p$												$\rho_{13}$
14	Decay of $X_{\text{aa}}$	$f_{\text{pr}, \text{xb}}$	$f_{\text{li}, \text{xb}}$											$f_p$												$\rho_{14}$
15	Decay of $X_{\text{fa}}$	$f_{\text{pr}, \text{xb}}$	$f_{\text{li}, \text{xb}}$											$f_p$												$\rho_{15}$
16	Decay of $X_{\text{c4}}$	$f_{\text{pr}, \text{xb}}$	$f_{\text{li}, \text{xb}}$											$f_p$												$\rho_{16}$
17	Decay of $X_{\text{pro}}$	$f_{\text{pr}, \text{xb}}$	$f_{\text{li}, \text{xb}}$											$f_p$												$\rho_{17}$
18	Decay of $X_{\text{ac}}$	$f_{\text{pr}, \text{xb}}$	$f_{\text{li}, \text{xb}}$											$f_p$												$\rho_{18}$
19	Decay of $X_{\text{h2}}$	$f_{\text{pr}, \text{xb}}$	$f_{\text{li}, \text{xb}}$											$f_p$												$\rho_{19}$
20	$S_{\text{hva}}/S_{\text{va}}^-$																									$\rho_{20}$
21	$S_{\text{hbu}}/S_{\text{bu}}^-$																									$\rho_{21}$
22	$S_{\text{hpro}}/S_{\text{pr}}^-$																									$\rho_{22}$
23	$S_{\text{hac}}/S_{\text{ac}}^-$																									$\rho_{23}$
24	$S_{\text{h2o}}/S_{\text{heo3}}^-$																									$\rho_{24}$
25	$S_{\text{nh4}}^+/S_{\text{nh3}}$																									$\rho_{25}$
26	part. pressure $S_{\text{h2}}$																									$R \cdot T$
27	part. pressure $S_{\text{ch4}}$																									$\frac{R \cdot T}{16}$
28	part. pressure $S_{\text{co2}}$																									$\frac{R \cdot T}{64}$
29	total part. pressure																									$\frac{R \cdot T}{64}$
																										$\rho_{26}$
																										$\rho_{27}$
																										$\rho_{28}$
																										$\rho_{29}$

Process rate vector  $\rho$ :

$$\rho := \begin{pmatrix} k_{\text{dis}} \cdot X_c \\ k_{\text{hyd, ch}} \cdot X_{\text{ch}} \cdot \frac{1}{1 + \left( \frac{\text{TS}}{K_{\text{hyd}}} \right)^n_{\text{hyd}}} \\ k_{\text{hyd, pr}} \cdot X_{\text{pr}} \cdot \frac{1}{1 + \left( \frac{\text{TS}}{K_{\text{hyd}}} \right)^n_{\text{hyd}}} \\ k_{\text{hyd, li}} \cdot X_{\text{li}} \cdot \frac{1}{1 + \left( \frac{\text{TS}}{K_{\text{hyd}}} \right)^n_{\text{hyd}}} \\ \frac{k_{m,su} \cdot S_{su}}{K_{S,su} + S_{su}} \cdot X_{\text{su}} \cdot I_1 \\ \frac{k_{m,aa} \cdot S_{aa}}{K_{S,aa} + S_{aa}} \cdot X_{\text{aa}} \cdot I_1 \\ \frac{k_{m,fa} \cdot S_{fa}}{K_{S,fa} + S_{fa}} \cdot X_{\text{fa}} \cdot I_1 \cdot I_{H2,fa} \\ \frac{k_{m,c4} \cdot S_{va}}{K_{S,c4} + S_{va}} \cdot X_{c4} \cdot \frac{S_{va}}{S_{va} + S_{bu}} \cdot I_1 \cdot I_{H2,c4} \\ \frac{k_{m,c4} \cdot S_{bu}}{K_{S,c4} + S_{bu}} \cdot X_{c4} \cdot \frac{S_{bu}}{S_{va} + S_{bu}} \cdot I_1 \cdot I_{H2,c4} \\ \frac{k_{m,pro} \cdot S_{pro}}{K_{S,pro} + S_{pro}} \cdot X_{\text{pro}} \cdot I_1 \cdot I_{H2,pro} \\ \frac{k_{m,ac} \cdot S_{ac}}{K_{S,ac} + S_{ac}} \cdot X_{\text{ac}} \cdot I_{\text{in}} \cdot I_{\text{NH3}} \cdot I_{\text{pH,ac}} \\ \frac{k_{m,h2} \cdot S_{h2}}{K_{S,h2} + S_{h2}} \cdot X_{h2} \cdot I_{\text{in}} \cdot I_{\text{pH,h2}} \\ k_{\text{dec,su}} \cdot X_{\text{su}} \\ k_{\text{dec,aa}} \cdot X_{\text{aa}} \\ k_{\text{dec,fa}} \cdot X_{\text{fa}} \\ k_{\text{dec,c4}} \cdot X_{c4} \\ k_{\text{dec,pro}} \cdot X_{\text{pro}} \\ k_{\text{dec,ac}} \cdot X_{\text{ac}} \\ k_{\text{dec,h2}} \cdot X_{h2} \\ k_{A/Bva} \cdot \left( S_{va}^- \cdot c(H^+) - K_{a,va} \cdot S_{hva} \right) \\ k_{A/Bbu} \cdot \left( S_{bu}^- \cdot c(H^+) - K_{a,bu} \cdot S_{hbu} \right) \\ k_{A/Bpro} \cdot \left( S_{pro}^- \cdot c(H^+) - K_{a,pro} \cdot S_{hpro} \right) \\ k_{A/Bac} \cdot \left( S_{ac}^- \cdot c(H^+) - K_{a,ac} \cdot S_{hac} \right) \\ k_{A/Bco2} \cdot \left( S_{hco3}^- \cdot c(H^+) - K_{a,co2} \cdot S_{co2} \right) \\ k_{A/Bin} \cdot \left( S_{nh3}^- \cdot c(H^+) - K_{a,in} \cdot S_{nh4}^+ \right) \\ k_L a_{h2} \cdot \left( S_{h2} - p_{Sh2} \cdot \frac{16}{R \cdot T \cdot K_{H,h2}} \right) \cdot \frac{V_{liq}}{V_{gas}} \\ k_L a_{ch4} \cdot \left( S_{ch4} - p_{Sch4} \cdot \frac{64}{R \cdot T \cdot K_{H,ch4}} \right) \cdot \frac{V_{liq}}{V_{gas}} \\ k_L a_{co2} \cdot \left( S_{co2} - p_{ScO2} \cdot \frac{1}{R \cdot T \cdot K_{H,co2}} \right) \cdot \frac{V_{liq}}{V_{gas}} \\ k_p \cdot (p_{\text{total}} - p_{\text{ext}}) \cdot \frac{V_{liq}}{V_{gas}} \end{pmatrix} \quad (\text{C.3})$$

$$\begin{aligned}
f_{xi,Xc} &:= 1 - f_{si,Xc} - f_{ch,Xc} - f_{pr,Xc} - f_{li,Xc} - f_{xp,Xc} \\
f_{co2,Xc} &:= C_{Xc} - f_{si,Xc} \cdot C_{SI} - f_{ch,Xc} \cdot C_{Xch} - f_{pr,Xc} \cdot C_{Xpr} - f_{li,Xc} \cdot C_{Xli} - f_{xi,Xc} \cdot C_{XI} - f_{xp,Xc} \cdot C_{Xp} \\
f_{co2,Xli} &:= C_{Xli} - f_{fa,li} \cdot C_{fa} - (1 - f_{fa,li}) \cdot C_{Xch} \\
f_{ac,su} &:= 1 - f_{h2,su} - f_{bu,su} - f_{pro,su} \\
f_{co2,su} &:= C_{Xch} - (f_{bu,su} \cdot C_{bu} + f_{pro,su} \cdot C_{pro} + f_{ac,su} \cdot C_{ac}) \cdot (1 - Y_{su}) - Y_{su} \cdot C_{xb} \\
f_{ac,aa} &:= 1 - f_{h2,aa} - f_{va,aa} - f_{bu,aa} - f_{pro,aa} \\
f_{co2,aa} &:= C_{Xpr} - (f_{va,aa} \cdot C_{va} + f_{bu,aa} \cdot C_{bu} + f_{pro,aa} \cdot C_{pro} + f_{ac,aa} \cdot C_{ac}) \cdot (1 - Y_{aa}) - Y_{aa} \cdot C_{xb} \\
f_{ac,fa} &:= 1 - f_{h2,fa} \\
f_{co2,fa} &:= C_{fa} - f_{ac,fa} \cdot C_{ac} \cdot (1 - Y_{fa}) - Y_{fa} \cdot C_{xb} \\
f_{ac,va} &:= 1 - f_{pro,va} - f_{h2,va} \\
f_{co2,va} &:= C_{va} - (f_{pro,va} \cdot C_{pro} + f_{ac,va} \cdot C_{ac}) \cdot (1 - Y_{c4}) - Y_{c4} \cdot C_{xb} \\
f_{ac,bu} &:= 1 - f_{h2,bu} \\
f_{co2,bu} &:= C_{bu} - f_{ac,bu} \cdot C_{ac} \cdot (1 - Y_{c4}) - Y_{c4} \cdot C_{xb} \\
f_{ac,pro} &:= 1 - f_{h2,pro} \\
f_{co2,pro} &:= C_{pro} - (1 - Y_{pro}) \cdot f_{ac,pro} \cdot C_{ac} - Y_{pro} \cdot C_{xb} \\
f_{co2,ac} &:= C_{ac} - (1 - Y_{ac}) \cdot C_{ch4} - Y_{ac} \cdot C_{xb} \\
f_{co2,h2} &:= -(1 - Y_{h2}) \cdot C_{ch4} - Y_{h2} \cdot C_{xb}
\end{aligned} \tag{C.4}$$

Inhibition functions:

$$\begin{aligned}
I_1 &:= I_{in} \cdot I_{pH,a} & I_{in} &:= \frac{S_{nh4}^+ + S_{nh3}}{S_{nh4}^+ + S_{nh3} + K_{s,in}} & I_{NH3} &:= \frac{K_{1,NH3}}{K_{1,NH3} + S_{nh3}} \\
I_{H2,fa} &:= \frac{K_{1,H2,fa}}{K_{1,H2,fa} + S_{h2}} & I_{H2,c4} &:= \frac{K_{1,H2,c4}}{K_{1,H2,c4} + S_{h2}} & I_{H2,pro} &:= \frac{K_{1,H2,pro}}{K_{1,H2,pro} + S_{h2}} \\
K_{1,H,a} &:= 10^{-\frac{1}{2}(pH_{UL,a} + pH_{LL,a})} & K_{1,H,b2} &:= 10^{-\frac{1}{2}(pH_{UL,b2} + pH_{LL,b2})} & K_{1,H,ac} &:= 10^{-\frac{1}{2}(pH_{UL,ac} + pH_{LL,ac})} \\
I_{pH,a} &:= \frac{K_{1,H,a}^2}{c(H^+)^2 + K_{1,H,a}^2} & I_{pH,b2} &:= \frac{K_{1,H,b2}^3}{c(H^+)^3 + K_{1,H,b2}^3} & I_{pH,ac} &:= \frac{K_{1,H,ac}^3}{c(H^+)^3 + K_{1,H,ac}^3}
\end{aligned} \tag{C.5}$$

$$\begin{aligned}
f_{ch,xb} &:= \frac{f_{ch,Xc}}{f_{ch,Xc} + f_{pr,Xc} + f_{li,Xc}} \cdot (1 - f_P) \\
f_{pr,xb} &:= \frac{f_{pr,Xc}}{f_{ch,Xc} + f_{pr,Xc} + f_{li,Xc}} \cdot (1 - f_P) \\
f_{li,xb} &:= \frac{f_{li,Xc}}{f_{ch,Xc} + f_{pr,Xc} + f_{li,Xc}} \cdot (1 - f_P) \\
f_{sin,xb} &:= N_{xb} - f_P \cdot N_{Xp} - f_{pr,xb} \cdot N_{aa} \\
f_{co2,xb} &:= C_{xb} - f_P \cdot C_{Xp} - f_{ch,xb} \cdot C_{Xch} - f_{pr,xb} \cdot C_{Xpr} - f_{li,xb} \cdot C_{Xli} \\
Q_{gas} &:= k_p \cdot \frac{P_{total} - P_{ext}}{R \cdot T \cdot 44.643} \cdot V_{liq}
\end{aligned} \tag{C.6}$$

**Table C.3:** ADM1 parameter values as in Tschepeetzki and Ogurek (2010).

Parameter	Value	Unit	Description
$N_i$	0.06/14	mol/g <sub>COD</sub>	nitrogen content of inerts
$N_{aa}$	0.098/14	mol/g <sub>COD</sub>	nitrogen content of amino acids and proteins
$N_{sb}$	0.08/14	mol/g <sub>COD</sub>	nitrogen content of biomass
$N_{X_p}$	0.06/14	mol/g <sub>COD</sub>	nitrogen content of $X_p$
$C_{X_c}, C_{X_p}$	0.03	mol/g <sub>COD</sub>	carbon content of composites ( $X_p$ )
$C_{Si}, C_{Xi}$	0.03	mol/g <sub>COD</sub>	carbon content of soluble (particulate) inerts
$C_{Xch}$	0.0313	mol/g <sub>COD</sub>	carbon content of carbohydrates
$C_{Xpr}$	0.03	mol/g <sub>COD</sub>	carbon content of proteins
$C_{Xli}$	0.022	mol/g <sub>COD</sub>	carbon content of lipids
$C_{fa}$	0.0217	mol/g <sub>COD</sub>	carbon content of LCFA
$C_{va}$	0.024	mol/g <sub>COD</sub>	carbon content of valerate
$C_{bu}$	0.025	mol/g <sub>COD</sub>	carbon content of butyrate
$C_{pro}$	0.0268	mol/g <sub>COD</sub>	carbon content of propionate
$C_{ac}$	0.0313	mol/g <sub>COD</sub>	carbon content of acetate
$C_{ch4}$	0.0156	mol/g <sub>COD</sub>	carbon content of methane
$C_{sb}$	0.0313	mol/g <sub>COD</sub>	carbon content of biomass
$Y_{su}$	0.1	g <sub>COD</sub> /g <sub>COD</sub>	yield uptake of sugars
$Y_{aa}$	0.08	g <sub>COD</sub> /g <sub>COD</sub>	yield uptake of amino acids
$Y_{fa}, Y_{c4}, Y_{bu}$	0.06	g <sub>COD</sub> /g <sub>COD</sub>	yield uptake of LCFA (butyrate and valerate, hydrogen)
$Y_{pro}$	0.04	g <sub>COD</sub> /g <sub>COD</sub>	yield uptake of propionate
$Y_{ac}$	0.05	g <sub>COD</sub> /g <sub>COD</sub>	yield uptake of acetate
$f_{fa,li}$	0.95	g <sub>COD</sub> /g <sub>COD</sub>	fatty acids from lipids
$f_{j2,su}$	0.19	g <sub>COD</sub> /g <sub>COD</sub>	hydrogen from sugars
$f_{j2,aa}$	0.13	g <sub>COD</sub> /g <sub>COD</sub>	butyrate from sugars
$f_{j2,eu}$	0.27	g <sub>COD</sub> /g <sub>COD</sub>	propionate from sugars
$f_{j2,aa}$	0.06	g <sub>COD</sub> /g <sub>COD</sub>	hydrogen from amino acids
$f_{va,aa}$	0.23	g <sub>COD</sub> /g <sub>COD</sub>	valerate from amino acids
$f_{bu,aa}$	0.26	g <sub>COD</sub> /g <sub>COD</sub>	butyrate from amino acids
$f_{pro,aa}$	0.05	g <sub>COD</sub> /g <sub>COD</sub>	propionate from amino acids
$f_{j2,fa}$	0.3	g <sub>COD</sub> /g <sub>COD</sub>	hydrogen from LCFA
$f_{j2,va}$	0.15	g <sub>COD</sub> /g <sub>COD</sub>	hydrogen from valerate
$f_{pro,va}$	0.54	g <sub>COD</sub> /g <sub>COD</sub>	propionate from valerate
$f_{j2,bu}$	0.2	g <sub>COD</sub> /g <sub>COD</sub>	hydrogen from butyrate
$f_{j2,pro}$	0.43	g <sub>COD</sub> /g <sub>COD</sub>	hydrogen from propionate
$f_p$	0.08	100 %	fraction of biomass leading to particulate products
$K_{N,IN}$	$1 \cdot 10^{-4}$	mol/l	half saturation coefficient of inorganic nitrogen
$K_{s,su}$	0.5	g <sub>COD</sub> /l	half saturation coefficient of sugars
$K_{s,aa}$	0.3	g <sub>COD</sub> /l	half saturation coefficient of amino acids
$K_{s,fa}$	0.4	g <sub>COD</sub> /l	half saturation coefficient of LCFA
$K_{s,c4}$	0.2	g <sub>COD</sub> /l	half saturation coefficient of valerate and butyrate
$K_{s,pro}$	0.1	g <sub>COD</sub> /l	half saturation coefficient of propionate
$K_{s,ac}$	0.15	g <sub>COD</sub> /l	half saturation coefficient of acetate
$K_{H,h2}$	$7 \cdot 10^{-6}$	g <sub>COD</sub> /l	half saturation coefficient of hydrogen
$k_{m,su}$	30	1/d	max. uptake rate of sugars
$k_{m,aa}$	50	1/d	max. uptake rate of amino acids
$k_{m,fa}$	6	1/d	max. uptake rate of LCFA
$K_{1,H2,fa}$	$5 \cdot 10^{-6}$	g <sub>COD</sub> /l	hydrogen inhibition constant for LCFA uptake
$K_{1,H2,c4}$	$1 \cdot 10^{-5}$	g <sub>COD</sub> /l	hydrogen inhibition constant for valerate and butyrate uptake
$K_{1,H2,pro}$	$3.5 \cdot 10^{-6}$	g <sub>COD</sub> /l	hydrogen inhibition constant for propionate uptake
$K_{1,NH3}$	0.0018	mol/l	free ammonia inhibition constant for acetate uptake
$p_{H_{UL,a}}, p_{H_{LL,a}}$	5.5, 4	—	upper (lower) pH limit for $p_5$ to $p_{10}$
$p_{H_{UL,b2}}, p_{H_{LL,b2}}$	7, 6	—	upper (lower) pH limit for $p_{11}$
$k_{dec,aa}, k_{dec,aa}$	0.02	1/d	decay rate of $X_{su}, X_{aa}$
$k_{dec,fa}, k_{dec,c4}$	0.02	1/d	decay rate of $X_{fa}, X_{c4}$
$k_{dec,pro}, k_{dec,ac}$	0.02	1/d	decay rate of $X_{pro}, X_{ac}$
$k_{dec,h2}$	0.02	1/d	decay rate of $X_{h2}$
$K_{a,va}$	$10^{-4.86}$	mol/l	acid-base equilibrium coefficient of valerate
$K_{a,bu}$	$10^{-4.82}$	mol/l	acid-base equilibrium coefficient of butyrate
$K_{a,pro}$	$10^{-4.88}$	mol/l	acid-base equilibrium coefficient of propionate
$K_{a,ac}$	$10^{-4.76}$	mol/l	acid-base equilibrium coefficient of acetate
$K_{a,co2}$	$4.94 \cdot 10^{-7}$	mol/l	acid-base equilibrium coefficient of carbon dioxide
$K_{a,am}$	$1.11 \cdot 10^{-9}$	mol/l	acid-base equilibrium coefficient of ammonia
$k_{A/Bva}, k_{A/Bbu}, k_{A/Bpro}$	$1 \cdot 10^8$	kmol/d	acid-base kinetic parameter (valerate, butyrate, propionate)
$k_{A/Bac}, k_{A/Bco2}, k_{A/Bin}$	$1 \cdot 10^8$	kmol/d	acid-base kinetic parameter (acetate, carbon dioxide, ammonia)
$k_{L,a2}, k_{L,ac4}, k_{L,ac2}$	200	1/d	gas-liquid transfer coefficient of hydrogen (methane, carbon dioxide)
$K_{H,co2}$	$1 / (0.0271 \cdot R \cdot [T])$	mol / (bar · m <sup>3</sup> )	Henry constant of carbon dioxide, $[T] \stackrel{!}{=} K$
$K_{H,ch4}$	$1 / (0.00116 \cdot R \cdot [T])$	mol / (bar · m <sup>3</sup> )	Henry constant of methane, $[T] \stackrel{!}{=} K$
$K_{H,h2}$	$1 / (7.38 \cdot 10^{-4} \cdot R \cdot [T])$	mol / (bar · m <sup>3</sup> )	Henry constant of hydrogen, $[T] \stackrel{!}{=} K$
$K_{hyd}$	2.5	% <sub>FM</sub>	inhibition constant of hydrolysis
$n_{hyd}$	2.3	100 %	inhibition index of hydrolysis
$R$	$8.31399 \cdot 10^{-2}$	m <sup>3</sup> · bar / (kmol · K)	gas constant
$p_{ext}$	$1.04 - 0.0084147 \cdot \exp(0.054 \cdot [T])$	bar	external pressure, $[T] \stackrel{!}{=} {}^\circ C$
$k_p$	10000	m <sup>3</sup> / (m <sup>3</sup> · d)	proportional control constant for gas balance

# Appendix D

## Symbols and Abbreviations

General Symbols		
Symbol	Description	Ref.
$\mathbf{1}_n$	$n \in \mathbb{N}_0$ dimensional identity matrix	C
$\mathbf{0}_{n \times m}$	$n \times m$ dimensional zero matrix, $n, m \in \mathbb{N}_0$	C
$t \in \mathbb{R}_0^+$	continuous time, can be real and simulated	2
$\tau \in \mathbb{R}_0^+$	some time	2
d	differential	
$\delta_D(x) = \begin{cases} \infty & \text{if } x = 0 \\ 0 & \text{if } x \neq 0 \end{cases}, \quad \int_{-\infty}^{\infty} \delta_D(x) dx = 1$	Dirac delta “function” (distribution)	2
$\delta_{\alpha\beta} = \begin{cases} 0 & \text{if } \alpha \neq \beta \\ 1 & \text{if } \alpha = \beta \end{cases}$	Kronecker delta	4.2
$E\langle x \rangle$	expectation value of the process $x$	2
$\mathbb{N}_0 := \{0, 1, 2, \dots\}$	set of natural numbers	
$\mathbb{N} := \{1, 2, 3, \dots\}$	set of natural numbers without zero	
$\mathbb{R}$	set of real numbers	
$\mathbb{R}^+ : t > 0$	set of positive real numbers	
$\mathbb{R}_0^+ : t \geq 0$	set of positive real numbers including 0	

## System Definition (Biogas Plant)

Symbol	Description	Ref.
$n_x \in \mathbb{N}_0$	number of states of the real-world system	2
$i_x \in \{1, \dots, n_x\}$	iterator for the number of states of the real-world system	2
$\mathcal{X}_{i_x} \subseteq \mathbb{R}$	vector space of the $i_x$ th state of the real-world system	2
$x_{i_x} : \mathbb{R}^+ \rightarrow \mathcal{X}_{i_x}$	$i_x$ th state of the real-world system	2
$\mathcal{X} := (\mathcal{X}_{i_x})^{n_x} := \mathcal{X}_1 \times \dots \times \mathcal{X}_{n_x}$	$n_x$ dimensional state space of the real-world system	2
$\mathbf{x} := (x_1, \dots, x_{i_x}, \dots, x_{n_x})^T$	state vector of the real-world system	2
$n_u \in \mathbb{N}_0$	number of inputs of the real-world system	2
$i_u \in \{1, \dots, n_u\}$	iterator for the number of inputs of the real-world system	2
$\mathcal{U}_{i_u} \subseteq \mathbb{R}$	vector space of the $i_u$ th input of the real-world system	2
$u_{i_u} : \mathbb{R}^+ \rightarrow \mathcal{U}_{i_u}$	$i_u$ th input of the real-world system	2
$\mathcal{U} := (\mathcal{U}_{i_u})^{n_u} := \mathcal{U}_1 \times \dots \times \mathcal{U}_{n_u}$	$n_u$ dimensional input space of the real-world system	2
$\mathbf{u} := (u_1, \dots, u_{i_u}, \dots, u_{n_u})^T$	input vector of the real-world system	2
${}^o\mathbf{x} : \mathbb{R}^+ \rightarrow \mathcal{X}$	open loop predicted state of the real-world system	(2.1)
${}^o\mathbf{x} := ({}^o x_1, \dots, {}^o x_{i_x}, \dots, {}^o x_{n_x})^T$	open loop predicted state of the real-world system	(2.1)
$\mathbf{f} : \mathcal{X} \times \mathcal{U} \times \mathbb{R}^{n_\omega} \rightarrow \mathcal{T}\mathcal{X}$	model of the real-world system	(2.1)
$\mathcal{T}\mathcal{X} \subseteq \mathbb{R}^{n_x}$	tangential state space of the real-world system	2
$\boldsymbol{\omega} : \mathbb{R}^+ \rightarrow \mathbb{R}^{n_\omega}, n_\omega \in \mathbb{N}_0$	$n_\omega$ dimensional process noise of the model $\mathbf{f}$	2
$\boldsymbol{\Psi}_\omega \in \mathbb{R}^{n_\omega \times n_\omega}$	covariance matrix of process noise	2
$\omega(t) \sim \mathcal{N}(\mathbf{0}, \boldsymbol{\Psi}_\omega),$	normal distribution, zero-mean, white and uncorrelated	2
$E \langle \omega(t) \cdot \omega^T(\tau) \rangle = \boldsymbol{\Psi}_\omega \cdot \delta_D(t - \tau)$	process noise	2
$\sigma_{\omega_{i_u}} \in \mathbb{R}^+$	standard deviation of the $i_u$ th process noise $\omega_{i_u}$	4.4.3
$n_y \in \mathbb{N}_0$	number of outputs of the real-world system	4
$i_y \in \{1, \dots, n_y\}$	iterator for the number of outputs of the real-world system	4
$\mathcal{Y}_{i_y} \subseteq \mathbb{R}$	vector space of the $i_y$ th output of the real-world system	4
$y_{i_y} : \mathbb{R}^+ \rightarrow \mathcal{Y}_{i_y}$	$i_y$ th output of the real-world system	4
$\mathcal{Y} := (\mathcal{Y}_{i_y})^{n_y} := \mathcal{Y}_1 \times \dots \times \mathcal{Y}_{n_y}$	$n_y$ dimensional output space of the real-world system	4
$\mathbf{y} := (y_1, \dots, y_{i_y}, \dots, y_{n_y})^T$	output vector of the real-world system	4
$\sigma_{v_{i_y}} \in \mathbb{R}^+$	standard deviation of the $i_y$ th measurement noise $v_{i_y}$	4.4.3

Model Predictive Control		
Symbol	Description	Ref.
$n_o \in \mathbb{N}_0$	number of objectives	(2.2)
$i_o \in \{1, \dots, n_o\}$	iterator for the number of objectives	(2.3)
$\tilde{\mathcal{J}} : \mathcal{X} \times \mathcal{U} \rightarrow \mathbb{R}^{n_o}$	$n_o$ dimensional objective function for continuous input	(2.2)
$\tilde{\mathcal{J}} := (\tilde{\mathcal{J}}_1, \dots, \tilde{\mathcal{J}}_{n_o})^T$ , $\tilde{\mathcal{J}}_{i_o} : \mathcal{X} \times \mathcal{U} \rightarrow \mathbb{R}$	$n_o$ dimensional objective function for continuous input	(2.3)
$\mathbf{u}^* : \mathbb{R}^+ \rightarrow \mathcal{U}$	optimal input function for the real-world system	(2.5)
$T_p \in \mathbb{R}^+$	prediction horizon	2.1
$T_c \in \mathbb{R}^+$	control horizon	2.1
$\delta \in \mathbb{R}^+$	control sampling time	2.1
$t_k := k \cdot \delta$ , $k = 0, 1, 2, \dots$	discrete time $t_k$ , control sampling instant $k$	(2.6)
${}^o\mathbf{u} : [t_k, t_k + T_p] \rightarrow \mathcal{U}$	open loop input applied to the model $f$	(2.7)
${}^o\mathbf{u} := ({}^o u_1, \dots, {}^o u_{i_u}, \dots, {}^o u_{n_u})^T$	open loop input applied to the model $f$	(2.7)
${}^o\mathbf{u}_k^* : [t_k, t_k + T_p] \rightarrow \mathcal{U}$	optimal open loop input applied to the model $f$	(2.7)
$s_c := \frac{T_c}{\delta} \in \mathbb{N}_0$	number of steps of the piecewise constant input over the control horizon $T_c$	2.1
$\mathbf{u}_{i_u} := (u_{i_u,1}, \dots, u_{i_u,s_c})^T \in (\mathcal{U}_{i_u})^{s_c}$	vector of $i = 1, \dots, s_c$ amplitudes $u_{i_u,i} \in \mathcal{U}_{i_u}$	(2.9)
$\mathcal{U}_{\mathcal{F}} := (\mathcal{U}_1)^{s_c} \times \dots \times (\mathcal{U}_{i_u})^{s_c} \times \dots \times (\mathcal{U}_{n_u})^{s_c}$	input space for piecewise constant input, this is the feasible region	(2.10)
$\mathbf{u} := (\mathbf{u}_1^T, \dots, \mathbf{u}_{i_u}^T, \dots, \mathbf{u}_{n_u}^T)^T \in \mathcal{U}_{\mathcal{F}}$	vector of optimization variables	(2.10)
$n_v := s_c \cdot n_u \in \mathbb{N}_0$	number of optimization variables	2.1
$i_v \in \{1, \dots, n_v\}$	iterator for the number of optimization variables	2.1
$f_{\mathcal{U}} : \mathcal{U}_{\mathcal{F}} \rightarrow \mathcal{U}$ ,	transformation from vector of decision variables to piecewise constant input	(2.11)
${}^o\mathbf{u} : [t_k, t_k + T_p] \rightarrow f_{\mathcal{U}}(\mathbf{u})$	multi-objective function for piecewise constant input	(2.13)
$\mathcal{J} : \mathcal{X} \times \mathcal{U}_{\mathcal{F}} \rightarrow \mathbb{R}^{n_o}$	multi-objective function for piecewise constant input	(2.13)
$\tilde{\mathcal{J}}({}^o\mathbf{x}(\tau), {}^o\mathbf{u}(\tau)) = \tilde{\mathcal{J}}({}^o\mathbf{x}(\tau), f_{\mathcal{U}}(\mathbf{u}))$ =: $\mathcal{J}({}^o\mathbf{x}(\tau), \mathbf{u})$ , $\forall \tau \in [t_k, t_k + T_p]$	multi-objective function for piecewise constant input	(2.13)
$\mathbf{J} := (J_1, \dots, J_{n_o})^T$ ,	multi-objective function for piecewise constant input	(2.13)
$J_{i_o} : \mathcal{X} \times \mathcal{U}_{\mathcal{F}} \rightarrow \mathbb{R}$	multi-objective function for piecewise constant input (omitting ${}^o\mathbf{x}$ )	(2.16)
$J_{\mathbf{x}}(\mathbf{u}) := \mathcal{J}({}^o\mathbf{x}(\tau), \mathbf{u})$	multi-objective function for piecewise constant input (omitting ${}^o\mathbf{x}$ )	(2.16)
$J_{\mathbf{x}} : \mathcal{U}_{\mathcal{F}} \rightarrow \mathbb{R}^{n_o}$ ,	multi-objective function for piecewise constant input (omitting ${}^o\mathbf{x}$ )	(2.16)
$J_{\mathbf{x}, i_o} := (J_{\mathbf{x},1}, \dots, J_{\mathbf{x},n_o})^T$ ,	multi-objective function for piecewise constant input (omitting ${}^o\mathbf{x}$ )	(2.16)
$\varpi_{i_o} \in (0, 1)$ , $\sum_{i_o=1}^{n_o} \varpi_{i_o} = 1$	weighting factors in weighted sum of optimization criteria	(2.19)

### Multi-Objective Optimization

Symbol	Description	Ref.
$\mathcal{P}^* : \{\underline{\mathbf{u}} \in \mathcal{U}_{\mathcal{F}} \mid \underline{\mathbf{u}} \text{ is Pareto optimal}\}$	Pareto optimal set	2.3
$\mathcal{PF}^* := \{\mathbf{J}_x(\underline{\mathbf{u}}) \in \mathbb{R}^{n_o} \mid \underline{\mathbf{u}} \in \mathcal{P}^*\}$	Pareto front	2.4
$Vol : \mathbb{R}^{n_o} \rightarrow \mathbb{R}$	Lebesgue measure for a $n_o$ dimensional set	3.1
$r \in \mathbb{R}^{n_o}$	reference point used in definition of hypervolume indicator	3.1
$I_H : \mathbb{R}^{n_o} \rightarrow \mathbb{R}$	hypervolume indicator for a $n_o$ dimensional set	3.1
$d_n(\phi, \mathcal{A}) := \text{card } \{\mathbf{a}_{\mathcal{A}} \in \mathcal{A} \mid \mathbf{a}_{\mathcal{A}} \prec \phi\}$	number of dominating points	(3.1)
$\Delta I_H(\mathbf{a}_{\mathcal{A}}, \mathcal{A}) := I_H(\mathcal{A}) - I_H(\mathcal{A} \setminus \{\mathbf{a}_{\mathcal{A}}\}), \mathbf{a}_{\mathcal{A}} \in \mathcal{A}, \mathcal{A} \subset \mathbb{R}^{n_o}$	contributing hypervolume	(3.2)
$\mathcal{D} \subset \mathbb{R}^{n_v}, \phi \in \mathcal{D}$	set of dominated individuals $\phi$	3
$\mathcal{P} \subset \mathbb{R}^{n_v}$	population of an evolutionary algorithm	3
$\mu \in \mathbb{N}_0$	number of parents in an evolutionary algorithm	3
$\lambda \in \mathbb{N}_0$	number of offspring in an evolutionary algorithm	3
$\kappa = 0, 1, 2, \dots$	iterator of an evolutionary algorithm	3

## State Estimation: Part I

Symbol	Description	Ref.
$\mathbf{h} : \mathcal{X} \times \mathbb{R}^{n_v} \rightarrow \mathcal{Y}$	measurement function	(4.1)
$\mathbf{v} : \mathbb{R}^+ \rightarrow \mathbb{R}^{n_v}, n_v \in \mathbb{N}_0$	$n_v$ dimensional measurement noise of the model $\mathbf{h}$	(4.1)
$\Psi_{\mathbf{v}} \in \mathbb{R}^{n_v \times n_v}$	covariance matrix of measurement noise	(4.1)
$\mathbf{v}(t) \sim \mathcal{N}(\mathbf{0}, \Psi_{\mathbf{v}}),$ $E \langle \mathbf{v}(t) \cdot \mathbf{v}^T(\tau) \rangle = \Psi_{\mathbf{v}} \cdot \delta_D(t - \tau)$	normal distribution, zero-mean, white and uncorrelated measurement noise	(4.1)
$\delta_u \in \mathbb{R}^+$	sampling time for input values	(4.2)
$\delta_y \in \mathbb{R}^+$	sampling time for output (measurement) values	(4.2)
$N_{\delta_u} := \frac{\delta}{\delta_u} \in \mathbb{N}_0$	ratio of sampling times $\delta/\delta_u$	(4.2)
$N_{\delta_y} := \frac{\delta}{\delta_y} \in \mathbb{N}_0$	ratio of sampling times $\delta/\delta_y$	(4.2)
$\mathbf{F}_E : \mathcal{Y}^{N_{\delta_y} \cdot t_k} \times \mathcal{U}^{N_{\delta_u} \cdot t_k} \rightarrow \mathcal{X}$	state calculation function	4.1
$\mathcal{Y}^{N_{\delta_y} \cdot t_k} := \{\mathbf{y}(0), \mathbf{y}(\delta_y), \dots, \mathbf{y}(\delta), \mathbf{y}(\delta + \delta_y), \dots, \mathbf{y}(t_k)\}$	set of measurements until $t_k$	(4.3)
$\mathcal{U}^{N_{\delta_u} \cdot t_k} := \{\mathbf{u}(0), \mathbf{u}(\delta_u), \dots, \mathbf{u}(\delta), \mathbf{u}(\delta + \delta_u), \dots, \mathbf{u}(t_k)\}$	set of inputs until $t_k$	(4.4)
$\hat{\mathbf{x}}_{\mathbf{F}_E}(t_k) := \mathbf{F}_E(\mathbf{y}(0), \dots, \mathbf{y}(t_k), \mathbf{u}(0), \dots, \mathbf{u}(t_k))$	best state estimate using $\mathbf{F}_E$	(4.5)
$\tilde{\mathbf{F}}_E : \mathcal{Y}^{N_y+1} \times \mathcal{U}^{N_u+1} \rightarrow \mathcal{X}$	state estimation function, approximation of $\mathbf{F}_E$	4.1
$\hat{\mathbf{x}} : \mathbb{R}^+ \rightarrow \mathcal{X}$	state vector estimate	4
$N_u \in \mathbb{N}_0$	number of moving average filters for input	4.1
$N_y \in \mathbb{N}_0$	number of moving average filters for measurement (output)	4.1
$w_u \in \mathcal{W}_u \subset \mathbb{N}_0$	window size of moving average filters for input	4.1
$w_y \in \mathcal{W}_y \subset \mathbb{N}_0$	window size of moving average filters for measurement	4.1
$\Lambda_u : \mathcal{U}^{w_u} \rightarrow \mathcal{U}$	moving average filter for input	(4.6)
$\Lambda_y : \mathcal{Y}^{w_y} \rightarrow \mathcal{Y}$	moving average filter for output	(4.8)
$i_{\Lambda_u} \in \{1, \dots, N_u\}$	iterator for the number of moving average filter for input	4.1
$i_{\Lambda_y} \in \{1, \dots, N_y\}$	iterator for the number of moving average filter for output	4.1
$w_{u,i_{\Lambda_u}} \in \mathcal{W}_u \subset \mathbb{N}_0$	window size of $i_{\Lambda_u}$ th moving average input filter	4.1
$w_{y,i_{\Lambda_y}} \in \mathcal{W}_y \subset \mathbb{N}_0$	window size of $i_{\Lambda_y}$ th moving average measurement filter	4.1
$\bar{\mathbf{u}}_{i_{\Lambda_u}} : \mathbb{R}^+ \rightarrow \mathcal{U}$	moving average value of input	(4.7)
$\bar{\mathbf{y}}_{i_{\Lambda_y}} : \mathbb{R}^+ \rightarrow \mathcal{Y}$	moving average value of output	(4.9)
$D := n_y \cdot (N_y + 1) + n_u \cdot (N_u + 1)$	dimension of original feature space	(4.11)
$d \in \mathbb{N}_0$	dimension of projected feature space	4.1.1.1
$\mathbf{Y} \in \mathbb{R}^{N \times D}, N := k \cdot N_{\delta_y} + 1$	data of input and output values for state estimation	(4.11)
$\mathbf{Y}_T \in \mathbb{R}^{N_T \times D}, N_T < N$	training data of input and output values for state estimation	4.1.1
$\mathbf{Y}_V \in \mathbb{R}^{N_V \times D}, N_V := N - N_T$	validation data of input and output values for state estimation	4.1.1
$\mathbf{X} := (\mathbf{x}_{i_x}, \dots, \mathbf{x}_{n_x}) \in \mathbb{R}^{N \times n_x}$	data of state vector values for state estimation	(4.12)
$\mathbf{x}_{i_x} \in \mathbb{R}^N$	data of state vector $i_x = 1, \dots, n_x$ for state estimation	(4.12)
$C \in \mathbb{N}_0$	number of classes in the classification problem	4.1.1
$\vartheta_{i_x} \in \{1, \dots, C\}^N$	clustered data of state vector $\mathbf{X}_{i_x}, i_x = 1, \dots, n_x$	4.1.1

## State Estimation: Part II (the methods)

Symbol	Description	Ref.
$\mathbf{A}_{\text{LDA}} \in \mathbb{R}^{d \times D}$	transformation matrix of linear discriminant analysis	4.1.1.1
$\mathbf{Z} := (\mathbf{z}_1, \dots, \mathbf{z}_{N_{\text{T}}}) \in \mathbb{R}^{d \times N_{\text{T}}}$	matrix of projected features of discriminant analysis	4.1.1.1
$\mathbf{S}_{\text{T}} \in \mathbb{R}^{D \times D}$	total scatter-matrix of linear discriminant analysis	(4.13)
$\mathbf{S}_{\text{B}} \in \mathbb{R}^{D \times D}$	between-class scatter-matrix of linear discriminant analysis	(4.13)
$\mathcal{F}$	function space of nonlinear GerDA transformations, defined by a DNN	4.1.1.2
$\mathbf{f}_{\text{GerDA}} : \mathbb{R}^D \rightarrow \mathbb{R}^d$ , $\mathbf{f}_{\text{GerDA}} \in \mathcal{F}$	some nonlinear GerDA transformation	4.1.1.2
$\mathbf{f}_{\text{GerDA}}^* : \mathbb{R}^D \rightarrow \mathbb{R}^d$ , $\mathbf{f}_{\text{GerDA}}^* \in \mathcal{F}$	optimal nonlinear GerDA transformation	4.1.1.2
$\mathbf{W}, \mathbf{b}$	weights and biases of GerDA	4.1.1.2
$t_j := j \cdot \delta_y$ , $j = 0, 1, 2, \dots$	discrete time $t_j$ , control sampling instant $j$	(4.14)
$\mathbf{X}_j := \mathbf{X}(t_j)$ and $\mathbf{x}_j := \mathbf{x}(t_j)$	simplified notation for any matrix $\mathbf{X}(t_j) \in \mathbb{R}^{m \times n}$ and vector $\mathbf{x}(t_j) \in \mathbb{R}^n$ , $n, m \in \mathbb{N}$	4.2
$\mathbf{X}_k := \mathbf{X}(t_k)$ and $\mathbf{x}_k := \mathbf{x}(t_k)$	simplified notation for any matrix $\mathbf{X}(t_k) \in \mathbb{R}^{m \times n}$ and vector $\mathbf{x}(t_k) \in \mathbb{R}^n$ , $n, m \in \mathbb{N}$	4.2
$\hat{\mathbf{x}}_j^- := \hat{\mathbf{x}}(t_j^-) \in \mathcal{X}$	a priori state estimate of Kalman filter at time $t_j^-$	4.2
$\hat{\mathbf{x}}_j^+ := \hat{\mathbf{x}}(t_j^+) \in \mathcal{X}$	a posteriori state estimate of Kalman filter at time $t_j^+$	4.2
$\mathbf{P}_j^- := E \left\langle (\mathbf{x}_j^- - \hat{\mathbf{x}}_j^-) \cdot (\mathbf{x}_j^- - \hat{\mathbf{x}}_j^-)^T \right\rangle$ $\mathbf{P}_j^- \in \mathbb{R}^{n_{\text{x}} \times n_{\text{x}}}$	a priori estimation error covariance matrix of Kalman filter at time $t_j^-$	(4.18)
$\mathbf{P}_j^+ := E \left\langle (\mathbf{x}_j^+ - \hat{\mathbf{x}}_j^+) \cdot (\mathbf{x}_j^+ - \hat{\mathbf{x}}_j^+)^T \right\rangle$ $\mathbf{P}_j^+ \in \mathbb{R}^{n_{\text{x}} \times n_{\text{x}}}$	a posteriori estimation error covariance matrix of Kalman filter at time $t_j^+$	(4.18)
$\mathbf{A}_j := \frac{\partial \mathbf{f}}{\partial \mathbf{x}}(\hat{\mathbf{x}}_{j-1}^+, \mathbf{u}(t_j), \mathbf{0}) \in \mathbb{R}^{n_{\text{x}} \times n_{\text{x}}}$	system matrix of linearized model of $\mathbf{f}$	(4.19)
$\mathbf{C}_j := \frac{\partial \mathbf{h}}{\partial \mathbf{x}}(\hat{\mathbf{x}}_{j-1}^+, \mathbf{0}) \in \mathbb{R}^{n_{\text{y}} \times n_{\text{x}}}$	measurement matrix of linearized model of $\mathbf{h}$	(4.19)
$\mathbf{E}_j := \frac{\partial \mathbf{f}}{\partial \omega}(\hat{\mathbf{x}}_{j-1}^+, \mathbf{u}(t_j), \mathbf{0}) \in \mathbb{R}^{n_{\text{x}} \times n_{\omega}}$	process noise matrix of linearized model of $\mathbf{f}$	(4.19)
$\mathbf{F}_j := \frac{\partial \mathbf{h}}{\partial \omega}(\hat{\mathbf{x}}_{j-1}^+, \mathbf{0}) \in \mathbb{R}^{n_{\text{y}} \times n_{\omega}}$	measurement noise matrix of linearized model of $\mathbf{h}$	(4.19)
$\mathbf{K}_j^* \in \mathbb{R}^{n_{\text{x}} \times n_{\text{y}}}$	optimal discrete Kalman matrix	(4.20)
$\mathbf{x}_o \in \mathcal{X}$	optimization variable (initial state) of MHE	4.3
$\mathbf{x}_o^* \in \mathcal{X}$	optimal value of optimization variable of MHE	4.3
$\delta_{\text{MHE}} := w_{\text{MHE}} \cdot \delta_y \in \mathbb{R}^+$	length of the moving horizon	(4.21)
$w_{\text{MHE}} \in \mathbb{N}$	unit-less length of the horizon, measured in units of $\delta_y$	(4.21)
$\tilde{w}_{\text{MHE}} := \frac{1}{N_{\delta_y}} \cdot w_{\text{MHE}} \in \mathbb{N}$	unit-less length of the horizon, measured in units of $\delta$	(4.22)
$\tilde{\mathbf{x}} : \mathbb{R}^+ \rightarrow \mathcal{X}$	initial state estimate in MHE	(4.23)
$\tilde{\mathbf{x}}_o := {}^o \mathbf{x}(t_{k+1} - \delta_{\text{MHE}}) \in \mathcal{X}$	simple version of initial state estimate in MHE	4.3
$\kappa_{\text{MHE}} \in \mathbb{R}^+$	weighting factor of MHE	(4.23)
$\mathbf{x}_{\text{LB}}, \mathbf{x}_{\text{UB}} \in \mathcal{X}$	lower, upper boundary for optimization variable in MHE	(4.23)
$\mathbf{x}_{i_{\text{x}}, [j_1, j_2]}$	vector of samples of state vector component $i_{\text{x}}$	(4.26)
$\mathbf{x}_{i_{\text{x}}, [k_1, k_2]}$	vector of samples of state vector component $i_{\text{x}}$	(4.27)
$e_{\hat{\mathbf{x}}, i_{\text{x}}} \in \mathbb{R}^+$	performance measure of $i_{\text{x}}$ th estimated state vector component $\hat{\mathbf{x}}_{i_{\text{x}}}$	(4.28)
$e_{\hat{\mathbf{x}}} := \frac{1}{n_{\text{x}}} \cdot \sum_{i_{\text{x}}=1}^{n_{\text{x}}} e_{\hat{\mathbf{x}}, i_{\text{x}}} \in \mathbb{R}^+$	total performance measure of experiments in Section 4.4	(4.29)

## Anaerobic Digestion

Symbol	Description	Ref.
ash	ash in substrate	7.2
COD <sub>total</sub>	total chemical oxygen demand of a substrate	7.2.1
COD <sub>SX</sub>	disintegrated particulate chemical oxygen demand of substrate	(7.9)
COD <sub>X</sub>	particulate chemical oxygen demand of a substrate	7.2.1
COD <sub>filtrate</sub>	total chemical oxygen demand in the filtrate of a substrate	7.2.1
$d = \frac{\text{NDF} - \text{VS}_{\text{IN}} \cdot (1 - D_{\text{VS}})}{\text{NDF} - \text{ADL}} \in [0, 1]$	degradable part of cellulose and hemicellulose	(7.11)
$D := \frac{Q_{\text{IN}}}{V_{\text{liq}}}$	dilution rate of digester	(5.6)
$\mathbf{D}_u : \mathbb{R}^+ \rightarrow \mathbb{R}^{37 \times 34}$	input and state transition matrix of ADM1	(7.1)
$\mathbf{D}_x : \mathbb{R}^+ \rightarrow \mathbb{R}^{37 \times 37}$	carbohydrates, proteins in non-disintegrated part of particulate COD	(7.11)
$f_{\text{ch}, \text{Xc}}, f_{\text{pr}, \text{Xc}}$	lipids, inerts in non-disintegrated part of particulate COD	(7.11)
$f_{\text{li}, \text{Xc}}, f_{\text{x}, \text{Xc}}$	inhibition function of ADM1	7.1
$I : \mathbb{R}^{37} \rightarrow [0, 1]$	process rate index of ADM1	7.1
$j \in \{1, \dots, 29\}$	bijective function	(7.5)
$js : \{2, 3, 4\} \rightarrow \{\text{ch, pr, li}\}$	hydrolysis rates for carbohydrates, protein and lipids	(7.5)
$k_{\text{hyd, ch}}, k_{\text{hyd, pr}}, k_{\text{hyd, li}}$	inhibition constant of hydrolysis	(7.5)
$K_{\text{hyd}}$	unit of the amount of substance, called mole	5.3.1
mol	molar mass	5.3.1
M	inhibition index of hydrolysis	(7.5)
$n_{\text{hyd}}$	cost of substrate	7.2
$p_{\text{IN}}$	pH of sludge in digester, of substrate	7.2
pH, pH <sub>IN</sub>	volumetric flow rate of a material	(5.5)
$Q := \frac{dV}{dt}$	volumetric flow rate of a substrate	(5.5)
$Q_{\text{IN}}$	volumetric flow rate of sludge flowing into final storage tank	(5.5)
$Q_{\text{FST}}$	volumetric flow rates of biogas, hydrogen, methane and CO <sub>2</sub>	(7.3)
$Q_{\text{gas}}, Q_{\text{h}_2}, Q_{\text{ch}_4}, Q_{\text{co}_2}$	relative content of hydrogen, methane and carbon dioxide in biogas	(7.4)
$r_{\text{h}_2}, r_{\text{ch}_4}, r_{\text{co}_2}$	raw fiber, raw proteins and raw lipids of substrate	7.2
RF, RP and RL	temperature in digester, of substrate	5.3.8
$T, T_{\text{IN}}$	total alkalinity in digester, of substrate	7.2
TA, TAIN	theoretical oxygen demand of carbohydrates, proteins, lipids, lignin	7.2.1
ThOD <sub>ch</sub> , ThOD <sub>pr</sub> , ThOD <sub>li</sub> , ThOD <sub>l</sub>	weighted mean of ThOD of substrate feed, see eq. (7.6)	(7.6)
$\overline{\text{ThOD}}$	total solids of sludge in digester, of substrate	5.3.10
TS, TS <sub>IN</sub>	input vector of ADM1	(7.2)
${}^\circ \mathbf{u}_{\text{AD}} : \mathbb{R}^+ \rightarrow \mathbb{R}^{34}$	stoichiometric matrix of ADM1	(7.1)
$\mathbf{V} : \mathbb{R}^{37} \rightarrow \mathbb{R}^{29 \times 37}$	volatile solids of sludge in digester, of substrate	5.3.11
VS, VS <sub>IN</sub>	digester volume of liquid phase, of gas phase	(5.6)
$V_{\text{liq}}, V_{\text{gas}}$	ratio of volatile fatty acids (intermediate alkalinity) over TA	7.3.3.8
VFA/TA	state vector of ADM1	(7.2)
${}^\circ \mathbf{x}_{\text{AD}} : \mathbb{R}^+ \rightarrow \mathbb{R}^{37}$	process rates of ADM1	(7.1)
$\rho : \mathbb{R}^{37} \rightarrow \mathbb{R}^{29}$	density of substrate feed, of sludge in digester (eq. (7.20))	(7.6)
$\rho_{\text{IN}}, \rho_{\text{digester}}$		

## Performance Indicators (Section 7.3, Part I)

Symbol	Description	Ref.
$A_{\text{ground}}, A_{\text{roof}}, A_{\text{wall}}$	surface area of ground, area and wall of cylindrical digester	(7.42)
$c_{\text{substrate}}$	specific heat capacity of a substrate	(7.39)
$d_{\text{dig}}$	diameter of digester	(7.40)
$d_{\text{mix}}$	diameter of agitator	(7.20)
$d_{\text{pipe}}$	diameter of a pipe	(7.31)
$\Delta E_j$	thermal energy released due to microbial activity in reaction $j$	(7.43)
$E_{\text{plant}}$	total profit of produced electrical and thermal energy	(7.46)
$g$	gravitational acceleration	(7.30)
$h_{\text{dig}}$	wall height of digester	(7.40)
$\Delta h_{\text{geo}}$	geodetic head of a pump	(7.30)
$h_{\text{roof}}$	height of digester roof	(7.41)
$h_{v,h}$	higher heating value of produced biogas	(7.19)
$h_{v,h,h_2}, h_{v,h,\text{ch}_4}, h_{v,h,\text{co}_2}$	higher heating values of biogas components $H_2$ , $CH_4$ and $CO_2$	(7.19)
$k_{\text{ground}}, k_{\text{roof}}, k_{\text{wall}}$	heat transfer coefficient of digester ground, roof and wall	(7.42)
$k_{\text{pipe}}$	pipe roughness	(7.34)
$K_c$	consistency coefficient to calculate effective viscosity $\eta_{\text{eff}}$	(7.23)
$l_{\text{pipe}}$	transport distance of a pump	(7.30)
$n_{\text{mix}}$	rotation speed of agitator	(7.20)
$n_{\text{flow}}$	flow index to calculate effective viscosity $\eta_{\text{eff}}$	(7.24)
$N_p$	Newton (or power) number	(7.20)
$p_{\text{char}}$	specific energy value for typical solid supply unit	(7.38)
$p_{\text{el}}$	costs for consumed electrical energy	(7.46)
$p_{\text{th}}$	costs for produced thermal energy by heating	(7.46)
$\Delta p_L$	pressure loss in a pipe	(7.31)
$P_{\text{el}}, P_{\text{th}}$	produced electrical, thermal power of a CHP	(7.17)
$P_{\text{el,consume}}$	total consumed electrical energy per day	(7.44)
$P_{\text{mix}}$	mechanical power of agitator	(7.20)
$P_{\text{MIX}}$	electrical energy consumption of agitator	(7.28)
$P_{\text{diss}}$	dissipated power of agitator	(7.29)
$P_{\text{mic\_heat}}$	thermal energy production due to microbial activity	(7.43)
$P_{\text{pump}}$	electrical energy consumption of liquid substrate and sludge transport	(7.30)
$P_{\text{solids}}$	electrical energy consumption of solids substrate transport	(7.38)
$P_{\text{substrate}}$	thermal power needed to heat substrates	(7.39)
$\Delta P_{\text{th}}$	daily thermal energy balance of a biogas plant	(7.45)
$r_{\text{EEG}}$	remuneration of produced electrical energy	(7.46)
$r_{\text{th}}$	virtual or real revenue of produced thermal energy	(7.46)
$Re$	Reynolds number	(7.21)
$Re_{\text{pipe}}$	Reynolds number of a stream in a pipe	(7.33)
$v_{\text{pipe}}$	velocity of a medium in a pipe	(7.32)

## Performance Indicators (Section 7.3, Part II)

Symbol	Description	Ref.
$F_{1D} := \sum_{i_o=1}^{n_o} \varpi_{i_o} \cdot F_{i_o}$	one-dimensional stage cost	(7.71)
$\mathbf{F} := (F_1, F_2)^T$	stage cost in NMPC objective function	(7.62)
$J_{1D} := \sum_{i_o=1}^{n_o} \varpi_{i_o} \cdot J_{i_o}$	fitness value	(7.70)
$\mathbf{T}_{\text{penalty}} := (T_{\text{penalty},1}, T_{\text{penalty},2})^T$	terminal cost (terminal penalty term) in NMPC objective function	(7.62)
$\alpha_T$	temperature correction for effective viscosity $\eta_{\text{eff}}$	(7.25)
$\dot{\gamma}$	shear rate	(7.36)
$\eta_{\text{eff}}$	effective viscosity of sludge in digester	(7.22)
$\eta_{\text{eff,pipe}}$	effective viscosity of a medium in a pipe	(7.35)
$\eta_{\text{el}}, \eta_{\text{th}}$	electrical, thermal degree of efficiency of a CHP	(7.17)
$\eta_{\text{heat}}$	degree of efficiency of a heating	(7.45)
$\eta_w$	viscosity of water	(7.35)
$\kappa_{T,1}, \kappa_{T,2} \in \mathbb{R}^+$	weighting factor of terminal cost $T_{\text{penalty},1}, T_{\text{penalty},2}$	(7.64)
$\kappa_{i_c} \in \mathbb{R}^+$	weight of constraint $i_c = 1, \dots, n_c$ in stage cost component $F_2$	(7.66)
$\lambda_{\text{pipe}}$	pressure loss coefficient of a medium in a pipe	(7.34)
$\rho_{\text{Tu}} : \mathbb{R} \rightarrow \mathbb{R}^+$	Tukey biweight function	(7.69)
$\tau_{\text{mix}}$	runtime of the stirrer	(7.28)
$\tau_{\text{pipe}}$	shear stress	(7.37)

## Abbreviations (A - G)

Abbreviation	Description	Ref.
ABP	agricultural biogas plant	6
AD	anaerobic digestion	5
ADF	acid detergent fiber	7.2
ADL	acid detergent lignin	7.2
ADM1	anaerobic digestion model no. 1	7.1
ADM1d	distributed anaerobic digestion model no. 1	6
AF	anaerobic filter	5.4.2
AFB	anaerobic fixed bed	5.4.2
AM1	anaerobic digestion model introduced by Bernard et al. (2001a)	6
ANN	artificial neural networks	6
ARMAX	autoregressive moving average model with exogenous inputs	6
BA	bicarbonate alkalinity	6
BSM2	benchmark simulation model no. 2 (for wastewater treatment)	6
CCM	corn-cob-mix	7.4
CH <sub>4</sub>	methane	5.1
CHP	combined heat and power plant	7
CMA-ES	covariance matrix adaptation evolution strategy	9.3.1
CO <sub>2</sub>	carbon dioxide	5.1
COD	chemical oxygen demand	5.3.3
CSTR	continuous stirred-tank reactor	5.4.1
CV	curriculum vitae	1
DACE	design and analysis of computer experiments	3.2
dB	decibel	4.4
DIN	German institute for standardization (deutsches Institut für Normung)	7.2
DLL	dynamic link library	B
DNN	deep neural network	4.1.1
EA	evolutionary algorithm	3.1.1
EEG	Renewable Energy Sources Act	7.3.2
EGSB	expanded granular sludge bed	5.4.2
EKF	extended Kalman filter	6
EN	European standards (europäische Normen)	7.2
EPEX SPOT	European power spot market	1
EPSAC	extended prediction self-adaptive control	6
EU	European Union	1
FBR	fluidized bed reactor	5.4.2
FM	fresh mass: mass of untreated material	5.3.5
HRT	hydraulic retention time of digester	5.3.6
GerDA	generalized discriminant analysis	4.1.1
GC	gas chromatography	7.2
GPS	whole crop silage (Ganzpflanzensilage)	7.4
GUI	graphical user interface	B

## Abbreviations (H - Z)

Abbreviation	Description	Ref.
H <sub>2</sub>	hydrogen	5.1
HTML	hypertext markup language	B
I/O	input/output	B
ID	identifier	9.3
ISO	international organization for standardization	7.2
LCA	life cycle assessment	7.3.4
LCFA	long chain fatty acid	7.1.1
LDA	linear discriminant analysis	4.1.1
LHS	Latin hypercube sampling	9.3.1
LQT	linear quadratic tracking	6
MATLAB <sup>®</sup>	matrix laboratory	B
MCR	misclassification rate	8
MHE	moving horizon estimation	4.3
MONMPC	multi-objective nonlinear model predictive control	2
NDF	neutral detergent fiber	7.2
NfE	nitrogen free extract	7.2
NFC	non fiber carbohydrates	7.2
NMPC	nonlinear model predictive control	2
O <sub>2</sub>	oxygen	5.3.3
ODE	ordinary differential equation	7
OFMSW	organic fraction of municipal solid waste	1
OLR	organic loading rate of digester	5.3.7
P	proportional controller	6
PC	personal computer	9.3
PCA	principal component analysis	6
pCO <sub>2</sub>	partial pressure of CO <sub>2</sub>	6
PDE	partial differential equation	6.3
PI	proportional-integral controller	6
PID	proportional-integral-derivative controller	6
PLC	programmable logic controller	9.2
PSO	particle swarm optimization	6
RTO	real-time optimization	2
RMSE	root-mean-square error	4.4
SCFA	short chain fatty acid	7.2.1
SDE+	Renewable Energy Production Incentive Scheme	1
SMS-EGO	$\mathcal{S}$ -metric selection-based efficient global optimization	3.2
SMS-EMOA	$\mathcal{S}$ metric selection evolutionary multi-objective optimization algorithm	3.1.1
SRT	sludge retention time	5.3.6
ThOD	theoretical oxygen demand	5.3.9
TOC	total organic carbon	6
UASB	upflow anaerobic sludge blanket	5.4.2
UASB-AF	upflow anaerobic sludge blanket-anaerobic filter	5.4.2
UV/Vis	ultraviolet-visible	9.2
VFA	volatile fatty acids	7.3.3.8
VSM	variable structure model	6
WW	wastewater	6

